Replies to Anonymous Reviewer #1

Dear Reviewer,

Thank you for your comments and observations, which helped us to considerably improve the quality of the manuscript. We made several minor adjustments and a few major changes, which are listed here below:

- We widely revised our introduction and conclusion part - together with the section describing the stochastic physics - in order to better frame our work in the context of other existing stochastic methods in earth system modeling.
- We added two figures aimed at describing the changes in the mean state in a series of selected simulations.
- We improved the discussion of the results to better characterize the discussion of the climate variability.

You may find a detailed reply to the reviewer's comments in the following pages. We reported for completeness below each point in grey also the part of text that has been strongly modified. However, since many changes has been made extensively throughout the text, we attach to this reply also the new version of the manuscript.

Major comments:

1. **The first misleading thing is in fact the title. It needs to be changed. Instead of "in climate simulation" at the end, I suggest to use "in the ECMWF model climate simulations".**

The reviewer is right: we therefore change the title to "*Climate SPHINX: evaluating the impact of resolution and stochastic physics parameterisations in the EC-Earth Global Climate Model*". As highlighted in the new version of the manuscript, although EC-Earth and the ECMWF model share the main part of the code of its atmospheric model (IFS), many changes have been implemented in order to adapt it for climate simulations. Hence, calling it the "ECMWF model" may create confusion between the two.

2. **Given that all dynamical cores are perhaps equivalent to some extent, since they are based on a controlled discretization of somewhat the same governing equations, there is hope that the resolution sensitivity part of this work might extrapolate well to other climate models. However, the stochastic physics schemes considered are very particular and arguably questionable since they are essentially simply some black box random number generators that are by no means have any kind of physical justification and/or empirical validation (to the best of the reviewer's knowledge), though to their creators credit, they are the first to appear as such and led the path to stochastic parametrisations to flourish. Now days, there are many stochastic physics schemes that are developed from first principles and in most cases validated (or rather calibrated) using simulation and/or observation data, which actual achieve performances that are much better than what the pioneering ECMWF stochastic physics have achieved, in terms of improving climate simulations. To name a few, I list a couple of published articles that merit citation in this article because the readers of GMD deserve it.**
   - **Ajayamohan, R. S., B. Khouider, A. J. Majda, and Q. Deng (2016), Role of stratiform heating on the organization of convection over the monsoon trough, Clim. Dyn., pp. 284 1–20, doi:10.1007/s00382-016-3033-7**
   - **De La Chevroti`ere, M., Mich`ele, B. Khouider, and A. Majda (2015), Stochasticity of convection in giga-les data, Clim. Dyn., doi:doi:10.1007/s00382-015-2**
   - **Khouider, B., J. Biello, and A. J. Majda (2010), A stochastic multicloud model for tropical convection, Commun. Math. Sci.**
   - **Peters, K., C. Jakob, L. Davies, B. Khouider, and A. J. Majda (2013), Stochastic Behavior of Tropical Convection in Observations and a Multicloud Model, J. Atmos. Sci., 70 (11), 370 3556–3575, doi:10.1175/JAS-D-13-031.**
   - **Plant, R. S., and G. C. Craig (2008), A stochastic parameterization for deepconvection based on equilibrium statistics, J. Atmos. Sci., 65, 87?105, doi: 373doi:10.1175/2007JAS2263.1**
   - **Wang, Y., G. J. Zhang, and G. C. Craig (2016), Stochastic convective parameterization improving the simulation of tropical precipitation variability in the NCAR CAM5, Geophys. Res. Lett., 43 (12), 6612–6619, doi:10.1002/2016GL069818, 2016GL069818**
   - **Deng, Q., B. Khouider, and A. J. Majda (2015), The MJO in a Coarse- Resolution 294 GCM with a Stochastic Multicloud Parameterization, J. Atmos. Sci., 72 (1), 55–74, 295 doi:10.1175/JAS-D-14-0120.1.**

- **Deng, Q., B. Khouider, A. J. Majda, and R. S. Ajayamohan (2016), Effect of Stratiform 297 Heating on the Planetary-Scale Organization of Tropical Convection, J. Atmos. Sci., 73 (1), 371–392, doi:10.1175/JAS-D-15-0178.1**
- **Jesse Dorrestijn, Daan T. Crommelin, A. Pier Siebesma, Harmen J. J. Jonker, and Frank Selten, 2016: Stochastic Convection Parameterization with Markov Chains in an Intermediate-Complexity GCM. J. Atmos. Sci., 73, 1367–1382, doi: 10.1175/JAS-D-15-0244.1.**
- **Sakradzija, M., A. Seifert, and A. Dipankar (2016), A stochastic scale-aware parameterization of shallow cumulus convection across the convective gray zone, J. Adv. Model. Earth Syst.,8, 786–812, doi:10.1002/2016MS000634.**
- **G. A. Grell and S. R. Freitas: A scale and aerosol aware stochastic convective parameterization. Atmos. Chem. Phys., 14, 5233–5250, 2014 www.atmos-chem-phys.net/14/5233/2014/doi:10.5194/acp-14-5233-2014**
- **Frenkel, Y., A. J. Majda, and B. Khouider (2012), Using the Stochastic Multicloud Model to Improve Tropical Convective Parameterization: A Paradigm Example, J. Atmos. Sci., 69 (3), 1080–1105, doi:10.1175/JAS-D-11- 0148.1.**

Thank you for your comments. It was negligent of us not to include more references to existing and developing stochastic approaches in our manuscript: we have substantially increased the number of references to other schemes in the introduction, both when we introduce the concept of a stochastic parametrisation scheme and when we discuss previous work that demonstrates their impact on climate simulations.

Conversely, we disagree that the SPPT and SKEB schemes used here have no physical justification: we thus have included a clear explanation together with appropriate references in the section introducing the schemes.

The rephrased part of the introduction as follows:

Instead of explicitly resolving small-scale processes by increasing the resolution of climate models, a possible alternative is to use stochastic parameterisation schemes. There has been significant progress in developing stochastic schemes over the last decade, primarily for use in medium-range and seasonal ensemble forecasts (e.g. Plant and Craig, 2008; Khouider et al., 2010; Bengtsson et al., 2013; Grell and Freitas, 2013; Dorrestijn et al., 2016; Sakradzija et al., 2016; Ollinaho et al., 2016). These schemes introduce an element of randomness into physical parameterisation schemes to account for the impact of uncertain, unresolved processes on the resolved scale flow (Palmer, 2012). Stochastic schemes have been shown to improve the reliability of probabilistic forecasts on medium range and seasonal timescales, as well as improving biases in the mean state.

3.   **One important point that needs to be specifically addressed is the question of what would be the intake of more carefully designed stochastic physics schemes in the context of the present study?**

This is definitely a challenging point for the whole climate community: stochastic schemes have been extensively used on shorter time scales, from forecast to decadal prediction. This is one of the first times that such schemes are implemented and tested in such a comprehensive way in a climate simulation, following the paradigm of the "seamless prediction" where the same weather forecast stochastic physics schemes are used on multidecadal timescales. Also, within Climate SPHINX we have at disposal a large number of ensemble members that are used to assess the robustness of the impact of SPPT and SKEB schemes in areas with large internal variability as the mid-latitudes.

We definitely agree with the reviewer that testing other schemes is a key question that should be addressed in the following years. In the updated version of the manuscript we thus

encourage other studies using different schemes but with a similar setup in order to provide a robust comparison also on climate time scales. Indeed, taking advantage of the reviewer suggestion of point 2 and 3, we now discuss this in the conclusion, highlighting that the resolution sensitivity can potentially be useful for all climate models, while sensitivity to SKEB and SPPT schemes cannot apply to a whole range of available stochastic schemes.

Given the similarities between the dynamical cores of climate models, since they are all based on a controlled discretization of the same governing equations, we hope that the resolution sensitivity aspect of Climate SPHINX will be useful to the whole climate modelling community. On the other hand, several promising stochastic schemes exist, and the sensitivity of EC-Earth to SPPT and SKEB described here cannot be easily extrapolated to these alternative approaches. Nevertheless, considering that Climate SPHINX is the first large experiment where stochastic schemes are used massively on the climate time range, we hope that this work paves the way for other climate-oriented simulations aimed at investigating the impact of different stochastic schemes on climate variability.

4.   Even in the precise case of the present study, I was hoping to see some simulations where the two stochastic schemes (SPPT v.s. SKEB) were taking apart separately instead of considering them jointly. For instance which of these two leads to the improvements at low resolution and which one is causing the degradation at high resolution.

The reviewer is definitely right: this would be an interesting development that has not been faced within SPHINX. We widely discussed this before beginning the simulations and we concluded that it was better to avoid it for mainly three reason: 1) one of the primary goals of SPHINX is to sample the internal variability of the Earth's atmosphere, thus using the largest number of ensemble members possible. We have evidence that for mid-latitude climate even 10 members are not enough: subdividing the simulations into SPPT and SKEB cases would have affected our analysis further 2) The operational ECMWF seasonal forecasting system is currently using both the schemes at the same time. In this way we could provide an extension of their operational setup to climate simulations. 3) Finally, sensitivity tests as suggested have been carried out in a previous study by Weisheimer et al. (2014), using the same IFS model cycle in a seasonal forecast configuration (System4). These authors concluded that the SKEB schemes played a minor role for the impacts of stochastic perturbations on both the mean state of the model and the forecast quality.

However, we hope to have the chance in the upcoming SPHINX RELOADED project (a recently approved PRACE project that is just started: http://www.prace-ri.eu/projects/) to investigate this feature more in detail.

5.   Page 2, Line 22: " a cheaper alternative is to use stochastic parameterisation schemes". This statement is probably true if one is ought to use physically based stochastic schemes as demonstrated in papers from the list provided above. In the case of the scheme considered here, the original purpose was simply to increase the spread in ensemble prediction runs. The idea of them (SPPT and/or SKEB) competing with high resolution models seems to be new to this article. In the reviewer's opinion, these particular schemes are not at all the best suited for the job.

Thank you for your comment. It's true that a key motivating factor behind the original implementation of SPPT and SKEB was improving the reliability of ensemble forecasts by correcting the overconfidence of the ensemble. However, many additional benefits of the schemes have been documented in weather and seasonal forecasts (e.g. Weisheimer et al, 2014). Furthermore, several recent studies indicate an improvement in the modelled climate on including the SPPT and/or SKEB schemes. For example, Dawson and Palmer (2015)

demonstrate an improvement in extra-tropical weather regimes on including SPPT which is very similar to the improvement observed on increasing the resolution of the model (Dawson et al, 2012). In addition, SPPT was found to transform the representation of ENSO in coupled simulations with CCSM (Christensen et al, 2016) – the improvement is very similar to that observed when the model resolution is increased (J. Berner, pers. comm). Nevertheless, we agree that our phrasing is too strong. We have re-phrased our statement, and have stressed that the Climate SPHINX project aims to investigate the degree to which stochastic schemes can be used as a cheaper alternative to increased model resolution.

6.   Page 3, Line 30: One important pre-requisite to using a stochastic parameterization under grid refinement is that the scheme has scale awareness. However, this issue is completely ignored in this paper except for some minor remark in the conclusion section on the necessity of retuning the stochastic physics at high resolution---it is unfortunate that this much computing time was wasted in brute force computations to arrive toward an obvious conclusion as this one, especially given that the literature about this issue exists (see previous list for a sample)
The SPPT scheme is in principle scale aware as it applies the perturbation to the total tendencies derived from the individual physical parametrisation schemes. So it does not require retuning with resolution. We have highlighted this when introducing the SPPT scheme.
The SPPT scheme requires no retuning with changing horizontal resolution: the same noise characteristics are used operationally at ECMWF across model resolutions. This is because the multiplicative nature of the scheme applied to the total of all parameterised physical tendencies results in perturbations to the model that scale automatically as the parametrised tendencies scale with resolution. The resolution dependence of the individual contributions from the parametrisation schemes is implicitly dealt with at the (deterministic) parametrisation level.
The SKEB scheme uses scale-dependent backscatter ratios, as discussed in the original manuscript.
However, there are indeed aspects of the deterministic model that can be retuned to compensate for a shift in the mean state introduced by the stochastic physics scheme or by a change in model resolution. We chose not to retune the model - in both its stochastic and deterministic version - as it makes it harder to compare the effect of changing resolution or including stochastic physics. What aspects of the changed model climate are due to resolution /stochasticity and what aspects are due to the tuning carried out? The same approach here used is the one that is being taken in the PRIMAVERA project and by the HiResMIP community (Haarsma et al, 2016). We have clarified this motivation in the section on tuning.
It is important to note that the tuning of the radiative fluxes has been carried out only for the T255 deterministic model version: the radiative balance has not been tuned in the higher resolution or stochastic models This ensures a clean comparison between simulations at different resolutions and with and without stochastic physics. If the model were retuned for each run, it is not possible to determine whether it is changing the tuning parameters, changing the resolution, or including stochastic physics that is responsible (Haarsma et al., in review, 2016). Including the SPPT scheme led to a negative bias in the surface heat fluxes of about 0.8 W/m$_2$, likely caused by a different distribution of the clouds.

7.   Page 9, Lines 10-13: "unrealistic" in what sense? This is not intuitive at all as one would expect in terms of climate variability. It would probably make sense if we are dealing with an initial value problem. The fact that the member with smallest RMSE in sea-ice is chosen seems like an ad hoc choice and cannot possibly be a non biased "representative" of the ensemble. Thus the problem. What would one do if the RMSE is not accessible?

We understand that RMSE is an arbitrary measure of the spread, and other measures could be used. However, we have two main choices here: either to use the ensemble mean, or to select one single ensemble member.

Generally speaking, the mean of a bidimensional field is always unrealistic, because it is a physical state that never occurs in the daily variability. This is especially true for a field that is characterized by a binary function (SIC generally has a 0 or 1 value, with very few grid points with intermediate values) and it is has a large spatial interannual variability.

Given this consideration, choosing the ensemble mean would lead to a SIC reconstruction far to be one of possible physical solution of the system in the future, smoothed in space and with reduced interannual variability.

Therefore we are obliged to select one single ensemble member: using the RMSE measure we avoid to pick one of the outliers, selecting the ensemble member that is "closer" to the ensemble mean. We simply describe the criterion used, with no claim that other choices would not be equally suitable. We added a sentence in the text to specify that other methods can be used.

Considering that an ensemble mean would be unrealistic, especially for a field with a large spatial variance as sea ice, we select a single ensemble member representative of the ensemble. Member "r8i1p1" has been chosen to characterise the ensemble, since its climatology shows the smallest SIC Root Mean Square Error (RMSE) when compared to the ensemble mean climatology in the time window 2038-2068. Clearly, using RMSE is only one of the possible metric to perform such selection: our main goal is to pick an ensemble member that is not an outlier when compared to the other EC-Earth CMIP5 ensemble members.

Other Comments and typos:

Page 1, Line 18: "prediction of climate". Which climate?
Corrected to "prediction of Earth's climate"

Page 2, Line 12: "as the jet stream" ... ", the Euro Atlantic". Insert "the" in both places.
Corrected.

Page 3, Line 8: which stochastic physics
In the new version of the manuscript we specified that we make use of the SPPT and SKEB scheme directly in the introduction. Now it reads:

Each integration is repeated with the joint implementation of two stochastic parameterizations: the Stochastically Perturbed Parameterisation Tendencies (SPPT) scheme (Palmer et al., 2009) and the Stochastic Kinetic Energy Backscatter (SKEB) scheme (Shutts, 2005; Palmer et al., 2009). In order to sample the natural variability, several ensemble members are produced for each configuration.

Page 5, Lines 8-9: Are the horizontal correlation lengths and time decorrelation parameters and standard deviations etc physically justifiable? What are the motivations behind?
A number of coarse-graining studies have provided motivation for the multiplicative nature of the noise, together with the magnitude, and spatial and temporal correlation scales. We have included a comment in the text.
The rephrased a part of the description of the stochastic physics as follows:

The magnitude of the perturbation has been motivated through coarse-graining high-resolution model simulations (Shutts and Pallarès, 2014), and a recent coarse graining study has also provided justification for the noise temporal and spatial correlation scales

Page 5, Line 22: Are the SPPT and SKEB scheme activated and deactivated simultaneously? Why not also consider cases when only one or the other is activated? (See major comment 4 above).
Yes, the two schemes are activated and deactivated together. For further details, see the reply to major comment 4.

Page 6, Sentence in Lines 27-28: How much impact does this variation in the location of the Gaussian peak has on the simulations? Are we sure we are still comparing apple to apple? What are the reason behind this difference?
The new form of the GWD parameterization is identical to that currently used by ECMWF in its operational version of IFS, while the previous one derived from an ad-hoc parameterization used only by ECMWF for its System4 model (seasonal forecast). As described in the paper, the new form has been shown to work well in recent cycles of IFS, and in EC-Earth it has been proven to be able to reproduce a realistic QBO at all resolutions considered. Overall this choice is a typical tuning choice, as many other choices which are made normally during a project development cycle. The same parameterization will enter the final version of EC-Earth 3.2 which will be used for future CMIP6 simulations.
We never use the old scheme in this project, and hence believe we are comparing similar experiments ("apple to apple").

We now highlighted in the text that the new parametrisation is the new standard in the ECMWF forecast model while the other one was derived from the previous seasonal forecast system. Now the discussion on QBO reads as follows:

Further tuning has been performed in order to produce a realistic Quasi-Biennial Oscillation (QBO) at higher resolutions.
The EC-Earth 3.1 non-orographic gravity waves scheme is characterised by a momentum flux that is continuously launched at in the mid-troposphere to simulate the effect of gravity waves. The latitudinal profile of this momentum flux governs the correct parameterisation of gravity waves: a too high amplitude of the momentum flux will disturb the QBO in equatorial zones, particularly at high resolutions, while a too low value will lead to unrealistic eddy-driven jets, especially in the southern hemisphere, where orographically induced wave drag is low. With the current latitudinal profile, the QBO was simulated only at standard resolution (T255 with 91 vertical levels). Following advice from ECMWF staff, a resolution-dependent parameterisation of non-orographic gravity wave drag replaced the version-dependent parameterisation present in EC-Earth 3.1 (an ad-hoc parametrization developed for the ECMWF System4 seasonal forecast system). Namely, instead of using a low momentum flux average value (GFLUXLAUN=0.02) with a positive Gaussian peak at 50°S, we use a higher value (GFLUXLAUN=0.0375) which is reduced with a Gaussian shape at the equator. This negative peak is slightly deeper for stochastic runs than for deterministic simulations to compensate the effect of the stochastic noise. The average value of the momentum flux was further reduced with increasing resolution (starting from T799) according to the ECMWF specification for IFS cy40r1 (see Table 1).

Page 7, Line 5: "more"-->"more than"; "the majority of them were carried"
Thanks, corrected.

Page 7, line 20: Do all ensemble members use the same initial data?
As we explain in the text, the ensemble members are extracted the Initial Conditions are taken from the ECMWF ERA-Interim Reanalysis for 01/01/1979. A first experiment is run at each resolution for a few days, and it is then used to create the ICs for the other experiments. For instance, for T255 experiments, ICs for the 10 ensemble members are extracted using the midnight values (00:00) from each of the first 10 days respectively, and then reassigned to the 1st of January. For the T511 and T799, where we have less ensembles, only 6 and 3 days are used.
We now rephrased the text in order to make it clearer.

The Initial Conditions (ICs) in both the PDA and FSA experiments are taken from the ECMWF ERA-Interim Reanalysis (Dee et al., 2011) for 01/01/1979. A first experiment is run at each resolution for a few days, and it is used to create the ICs for the other experiments. For instance, for T255 experiments, the ICs for the 10 ensemble members are extracted using the midnight values (00:00) from each of the first 10 days respectively, and then reassigned to the 1st of January.
The same ICs are used also for FSA: in order to account for the land-surface adjustment to the new forcing, a 1-year spin up has been carried out for FSA (which is therefore starting from 2038).

Page 7, Line 31: What is the RCP8.5 scenario? Provide a reference.
We rephrased and we added the Moss et al (2010) and Taylor et al. (2012) reference.

Greenhouse gases (GHGs) and ozone concentrations as well volcanic aerosol are set according to the CMIP5 protocol (Moss et al., 2010; Taylor et al., 2012).

Page 8, Line 7: Avoid acronyms in section title, especially when they are not previously defined.
The reviewer is right: we changed the text and we added a definition of SST and SIC in the Chapter 3 in order to be able to use the acronym at that point of the manuscript

Page 9, Line 6: which reconstruction?
We added a reference to Step1Hadisst to the text.

Page 9, Line 27: explain in what sense the SST pattern is physically consistent with the sea-ice pattern.
The method used to fill the "bare points" is thought to create SSTs smoothly moving from one dataset (Step1HadISST) to the other (CMIP5 EC-Earth r8i1p1) creating a field where SSTs close to zero are found in the proximity of the sea ice cap. We improved the explanation in the text.

This provides a pattern of SSTs physically consistent with SICs: indeed, it avoids unrealistic values of SSTs in the proximity of the polar cap during winter and - using the CMIP5 EC-Earth data - it provides a reasonable distribution of SSTs in summer, where in the future scenario the ice coverage in the NH often disappears. Moreover, there is no discontinuity at the border with Step1HadiSST. The new dataset is defined as FutureHadiSST2.1.1.

Page 11, Line 11: "number ... is extremely dispersive"? Could say "Climate aspects are diverse" or "number of diverse climate aspects is large".
We rephrased this, thanks.

Page 11, Line 13: "in terms of variability rather than in terms of mean state". This can come at this expense of a hugely deteriorated mean state. Without a proper control of the model's mean state, the sole analysis of the variability by itself is not acceptable.
The reviewer is right, the presentation of the results in the previous version was not sufficient. However, we are unable to include, in the present GMD manuscript, the full description of the mean state due to page and figure limits: we have 5 different resolutions, decades of variables, stochastic physics schemes and so on. This would touch several topics and therefore makes the manuscript unnecessary long. Several of us co-authors as well as other collaborators are working on other manuscripts to follow, describing many aspects of the mean state and variability in these simulations.
Hoping to provide a reasonable equilibrium between the length of the text and the usefulness of the information, we thus decided to add a section introducing the results where we show in a 6-panel plot the sensitivity of precipitation and upper tropospheric zonal wind (200 hpa) to resolution and stochastic schemes for a selected high resolution (T799) and a low resolution version (T255).
The two figures are reported for completeness here below, while the full description and caption is now present in the new version of the manuscript.
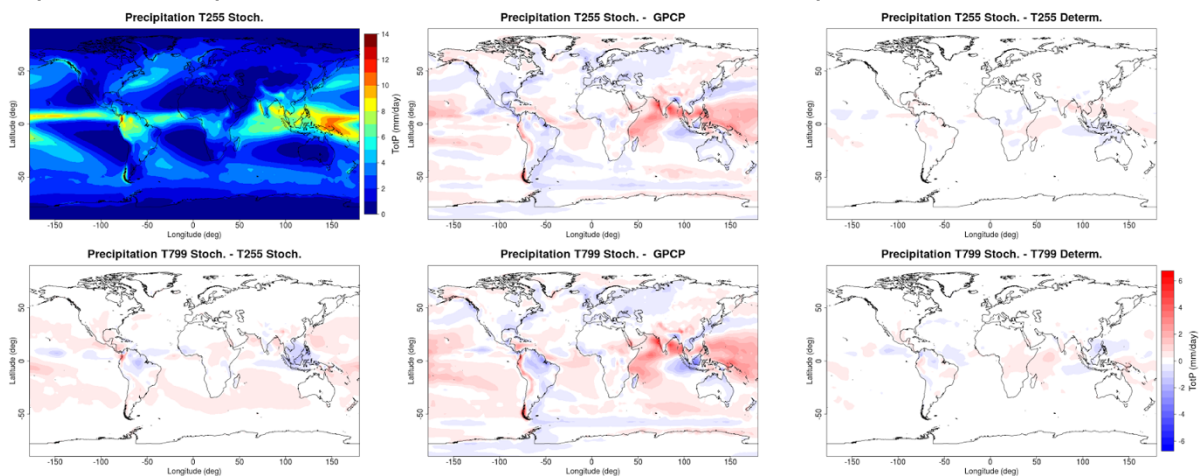
Figure R1. Upper left: Climatological ensemble mean precipitation for the PDA experiments (1979-2008) for T255 with stochastic physics. Lower left: T799 stochastic minus T255 stochastic precipitation. Central panels:

T255 (upper) and T799 (lower) precipitation bias with respect to GPCP. Right panels: Stochastic minus deterministic climatological precipitation for T255 (upper) and T799 (lower).
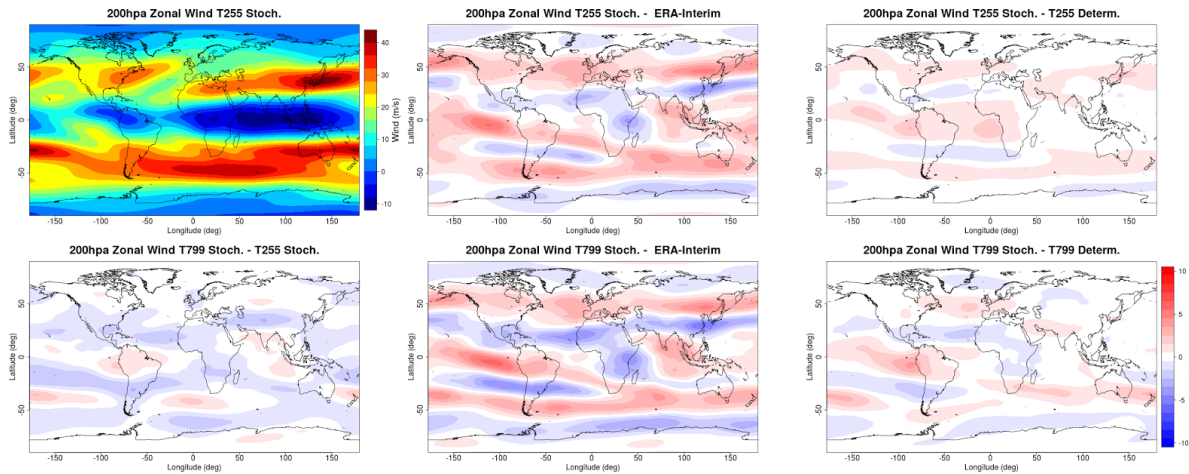
Figure R2: Same as R1 but for zonal wind at 200 hPa. Here bias is evaluated against ERA-Interim reanalysis.

The new discussion in the manuscript is here reported:

Although a detailed analysis of the mean climate in all the simulations performed would be excessively long to be included in the present work, we introduce a couple of figures showing the sensitivity to resolution and stochastic physics parameterization of the climatology of precipitation (Figure 5) and 200 hpa zonal wind (Figure 6). We compare the ensemble mean average fields of a low resolution version (T255) with a high resolution one (T799), in both its deterministic and stochastic configurations. Data have been interpolated on common 2.5°x2.5°grid.

The precipitation model bias - shown in Figure 5, with respect to Global Precipitation Climatology Project (GPCP) (Huffman et al., 2001) dataset - is especially strong in Indian Monsoon region, with an excess of precipitation that ranges from the Indian Ocean to the Western Pacific. More generally, EC-Earth tends to underestimate the precipitation over the continents and overestimate it over the oceans. When the comparison is carried out between stochastic and deterministic configurations, it is possible to see that SPPT and SKEB do neither improve nor deteriorate the climatology at both T255 and T799 resolutions.

Conversely, a slight more evident change is seen comparing the high and low resolutions: here T799 shows a widespread increase of the extratropical precipitation. But again, when it is evaluated against the model bias such changes are minor.

Impacts on the upper-tropospheric zonal wind field are clearer and they are shown in Figure 6. The T255 version - compared against ECMWF ERA-Interim reanalysis (Dee et al., 2011) - shows too strong jets in both the hemispheres. The subtropical jet over Asia and Pacific is also poleward displaced, while equatorial easterly jets are too weak. Again, stochastic physics bring minor changes, with a slightly stronger Atlantic jet, more penetrating over Europe. Conversely, the higher resolution leads to an overall weakening of the upper tropospheric winds: this is especially true over North America and the Tibetan Plateau, suggesting that this change may be induced by the stronger surface drag caused by the higher orography.

More generally, in these and other climatological fields (not shown) the impact of stochastic physics and resolution appears to be small if compared to the model bias. Indeed, larger benefits from increasing resolution and stochastic physics are expected more in terms of variability rather than in terms of mean state.

Therefore, in the following sections we will focus on a few selected features of climate variability. We will investigate the improvements and/or deteriorations following resolution increases and including stochastic parameterisation of three different phenomena: the distribution of the intensity of tropical rainfall, the tropical variability related to the Madden-Julian Oscillation and the mid-latitude variability associated with atmospheric blocking.

Figure 5, add legends in panels b) and d).
We added the legend now for both panels.

Page 11, Line 27: "occur too infrequently ... both observational datasets". This is simply not true. T799&T1279 seem to be as frequent as GPCP at for rain rates larger than 50 mm/day but much less compared to TRRM.
Figure 7b shows that for all of the deterministic model configurations, the frequency of rain rates between 20-60mm/day is below that of GPCP and TRMM. However, we think that our wording was not clear because we had not mentioned figure 7b in the text at this point, so we mentioned figure 7b earlier in the text.

Page 12, Line 16-17: How can the stochastic scheme reduce the conversion of vapour to rain. From the description in Section 2, SSPT doesn't care how the parameterization acts on the water vapour field all it does is amplify or reduce the water vapour sink or source according to the random realization of the number xi.
We agree that this sentence was not worded well and have reworded it.
One hypothesis to explain this effect is that the stochastic perturbations sometimes increase the moistening tendency of the air, so that it occurs more often that there is a high amount of water vapour in the air and heavier rain events can occur, and there is a compensating decrease in the frequency of moderate rain events.

Page 12, Line 15: In what sense Raymond et al. 2015 is an adequate reference for MJO studies.?
This reference was added to cite the importance of large-scale balanced dynamics in the Tropics and their interaction with convection, as described in Raymond et al. 2015. We have now added other MJO specific references here such as {Zhang et al. 2013; Khouider et al., 2011}.
- *Zhang, C., 2013. Madden-Julian oscillation: Bridging weather and climate. Bulletin of the American Meteorological Society, 94(12), pp.1849-1870.*
- *Khouider, B., St-Cyr, A., Majda, A.J. and Tribbia, J., 2011. The MJO and convectively coupled waves in a coarse-resolution GCM with a simple multicloud parameterization. Journal of the Atmospheric Sciences, 68(2), pp.240-264.*

Wheeler and Hendon Index is not at all the proper metric for measuring the "dynamics and thermodynamics" of the MJO. Why not do a Wheeler Kiladis spectral diagram, Hovemuller diagrams, and zonal and vertical structure. These are more more representative metrics for an MJO. The RMM index can be very misleading, see Katherine H. Straub, 2013: MJO Initiation in the Real-Time Multivariate MJO Index. J. Climate, 26, 1130–1151, doi: 10.1175/JCLI-D-12- 00074.1
We agree with the reviewer that Straub et al., 2013 has shown that the Wheeler Hendon index is biased towards capturing the variance in the wind signal and not as much of the OLR and convection signal of the MJO. We have now added this as a caveat in our manuscript text to interpret the results better.

We note that the Wheeler Hendon Realtime Multivariate MJO (RMM) index has been shown to be deficient in detecting MJO events when largescale circulation signals of the MJO are missing (Straub, 2013).

Yet, the Wheeler-Hendon RMM index is a standard for monitoring the state of the MJO both in climate models as well as in real time and in different operational forecasting models (Lin et al. 2009; Gottschalck et al. 2010; Rashid et al. 2011; Hamill and Kiladis 2014). Hence, we choose to use this standard index as we would like to describe the MJO characteristics in all these experimental runs with a succinct summary characteristic. We are currently working on another manuscript to study and describe the more detailed differences in the MJO in the

different simulations and also for reasons of why we see the differences. For instance, we have computed the lead-lag correlation diagnostic for the precipitation and winds associated with the MJO for all the different runs and the wavenumber-frequency spectral diagrams. Fig. R3 shows an example for the T255 experiment for one ensemble member showing that the SPPT simulation at this low resolution tends to improve the correlation of the propagating precipitation signal past the Maritime continent. We have also computed the power spectra for the winds and OLR in the Tropics for the different experiments and see a similar enhanced power in the intraseasonal band for winds (shown in Fig R4) and OLR in the runs with SPPT active.

- Lin, H., G. Brunet, and J. Derome, 2009: An observed connection between the North Atlantic oscillation and the Madden–Julian oscillation. J. Climate, 22, 364–380, doi:10.1175/2008JCLI2515.1
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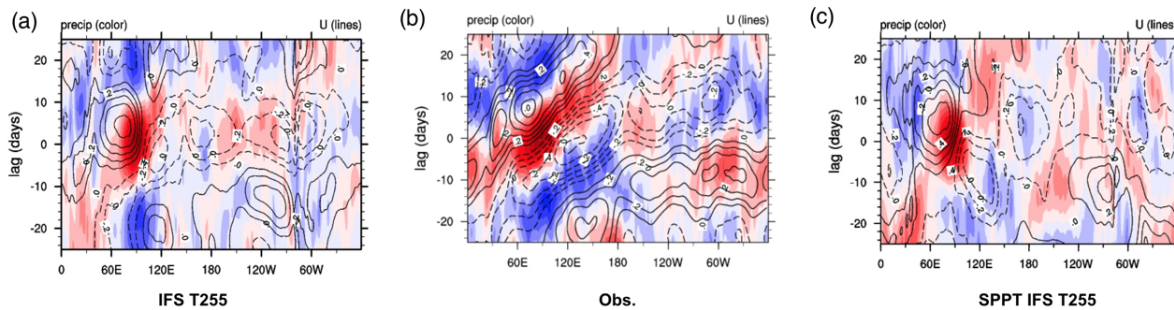
Fig R3: Lag–longitude diagram (a) EC-Earth (b) GPCP precipitation and NCEP winds and (c) SPPT IFS of 10°N–10°S-averaged intraseasonal precipitation anomalies (colors) and intraseasonal 850-hPa zonal wind anomalies (contours) correlated against intraseasonal precipitation at a Indian Ocean reference region. The two IFS simulations were done at T255 resolution. Contours and colors are plotted every 0.1. The zero line is not shown.
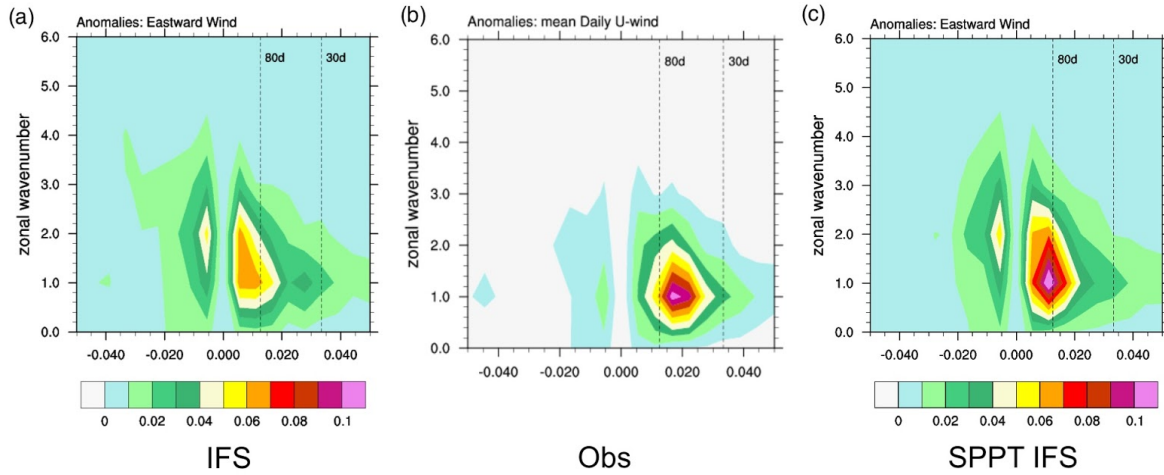
Fig R4: November-April wavenumber-frequency spectra of 10°N-10°S averaged a) EC-Earth 850 hPa U winds, b) NCEP 850 hPa zonal wind and c) SPPT IFS 850 hPa zonal wind. Units for the zonal wind spectrum are m² s⁻² per frequency interval per wavenumber interval.

Climate SPHINX: evaluating the impact of resolution and stochastic physics parameterisations in the EC-Earth Global Climate Model

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Abstract. The Climate SPHINX (Stochastic Physics HIgh resolutioN eXperiments) project is a comprehensive set of ensemble simulations aimed at evaluating the sensitivity of present and future climate to model resolution and stochastic parameterisation. The EC-Earth Earth-System Model is used to explore the impact of stochastic physics in a large ensemble of 30-year climate integrations at five different atmospheric horizontal resolutions (from 125km up to 16km). The project includes more than 120 simulations in both a historical scenario (1979-2008) and a climate change projection (2039-2068), together with coupled transient runs (1850-2100). A total of 20.4 million core hours have been used, made available from a single year grant from PRACE (the Partnership for Advanced Computing in Europe), and close to 1.5 PBytes of output data have been produced on SuperMUC IBM Petascale System at the Leibniz Supercomputing Center (LRZ) in Garching, Germany. About 140 TBytes of post-processed data are stored on the CINECA supercomputing center archives and are freely accessible to the community thanks to an EUDAT Data Pilot project. This paper presents the technical and scientific setup of the experiments, including the details on the forcing used for the simulations performed, defining the SPHINX v1.0 protocol. In addition, an overview of preliminary results is given: an improvement in the simulation of Euro-Atlantic atmospheric blocking following resolution increases is observed. It is also shown that including stochastic parameterisation in the low resolution runs helps to improve some aspects of the tropical climate - specifically the Madden-Julian Oscillation and the tropical rainfall variability. These findings show the importance of representing the impact of small scale processes on the large scale climate variability either explicitly (with high resolution simulations) or stochastically (in low resolution simulations).

1 Introduction

The simulation and prediction of Earth's climate is one of the scientific and computational grand challenges. In order to make quantitative projections of future climate, it is necessary to use climate models that simulate all the important processes governing the evolution of the climate system. Over the past few decades, climate models have developed considerably - increasing both in complexity and resolution - as computational power has increased. Yet there is a notable difference in the horizontal

resolution of models used in operational numerical weather prediction (NWP) and those used for climate simulations in the fifth Coupled Model Intercomparison Project (CMIP5, Taylor et al., 2012). Atmospheric horizontal resolutions in operational NWP are in the range 16-40 km, whereas the resolution of CMIP5 climate models is (on average) coarser than 120 km.

It is well known that a typical climate model is unable to represent many sub-synoptic-scale systems, and only poorly represents smaller baroclinic features. Typically climate models underestimate the number of observed storms (Zappa et al., 2013) and poorly simulate the statistics of atmospheric midlatitude blocking (Anstey et al., 2013). In fact it has been shown (e.g. van Oldenborgh et al., 2012) that at standard (low) climate resolution, forecast systems have pervasive systematic errors, which impact on quasi-persistent weather regimes (Dawson et al., 2012) and, more generally, on temporal variability and regional patterns of the leading modes of variability (Delworth et al., 2012; Kinter III et al., 2013). On the other hand, recent experiments have shown that high-resolution climate models are significantly better at simulating important physical processes such as the global water cycle (Demory et al., 2014), as well as relevant features of the large scale atmospheric circulation such as the jet stream (Lu et al., 2015), the Euro-Atlantic blocking (Jung et al., 2012) and the Madden-Julian Oscillation (MJO, Peatman et al., 2015).

The fact that enhanced horizontal resolution in climate models can positively impact some aspects of the simulated large scale atmospheric circulation is further evidence of the role that small scale processes play in "shaping" large scale motions. However, it is unlikely that climate integrations at very high resolution (i.e. at the resolution used in NWP), will be feasible in the near future. There are numerous other areas of climate model development that compete for the given computing resources: for example, the need for ensembles of integrations, the need to integrate over century and longer time-scales, and the need to incorporate additional Earth System complexity. In addition, parameterisations which have been developed for coarse scales may need retuning or to be substituted with alternative parameterisations at higher resolutions, requiring a consistent development effort.

Instead of explicitly resolving small-scale processes by increasing the resolution of climate models, a possible alternative is to use stochastic parameterisation schemes. There has been significant progress in developing stochastic schemes over the last decade, primarily for use in medium-range and seasonal ensemble forecasts (e.g. Plant and Craig, 2008; Khouider et al., 2010; Bengtsson et al., 2013; Grell and Freitas, 2013; Dorrestijn et al., 2016; Sakradzija et al., 2016; Ollinaho et al., 2016). These schemes introduce an element of randomness into physical parameterisation schemes to account for the impact of uncertain, unresolved processes on the resolved scale flow (Palmer, 2012). Stochastic schemes have been shown to improve the reliability of probabilistic forecasts on medium range and seasonal timescales, as well as improving biases in the mean state.

There is mounting evidence that stochastic parameterisations can also prove beneficial for climate simulations (e.g. Lin and Neelin, 2000, 2003; Arnold et al., 2013). Berner et al. (2012) show that including stochastic physics can reduce systematic biases in the model's mean climate, comparable to improvements gained by increasing the model resolution. Several recent papers have also demonstrated that the variability of a climate model can significantly improve with the introduction of a stochastic physics scheme, with improvements observed in the representation of the Madden-Julian Oscillation (MJO) (Deng et al., 2015; Wang et al., 2016), the El Nino-Southern Oscillation (Christensen et al., 2016), and extra-tropical flow regimes

(Weisheimer et al., 2014; Dawson and Palmer, 2015; Christensen et al., 2015). As was the case for the mean state, the observed improvements can be similar to that observed on increasing the resolution of the model (Dawson et al., 2012).

These results highlight the influence of small-scale processes on large-scale climate variability, and indicate that although simulating variability at small scales is a necessity, it may not be necessary to represent the small-scales accurately, or even ex-

5 plicitly, in order to improve the simulation of large-scale climate. This issue is important in the light of the next CMIP6 project. In fact it seems quite unrealistic that in the near future, climate simulations at NWP resolution could be affordable. However resolutions around 40 km might be more feasible and indeed they are planned within the HighResMIP project (Haarsma et al., in review, 2016).

In the coordinated project Climate SPHINX (Climate Stochastic Physics HIgh resolutioN eXperiments), we use the EC-

10 Earth Earth System Model (Hazeleger et al., 2010, 2012, http://www.ec-earth.org) to investigate the sensitivity of climate simulations to model resolution and stochastic parameterisations. A key aim of the study is to investigate the degree to which stochastic parametrisation schemes can be used as a computationally cheaper alternative to increased model resolution.

The experiments follow one historical and one scenario projection following CMIP5 specifications in AMIP configuration (i.e. atmosphere-only integrations forced with observed - for the past - and simulated - for the future - sea surface temper-

15 atures). The AMIP integrations have been carried out keeping constant the vertical resolution (91 levels) and exploring five different horizontal resolutions: (i) low ($\sim$125 km), (ii) moderate ($\sim$80 km), (iii) intermediate ($\sim$40 km), (iv) high ($\sim$25 km) and (v) very high ($\sim$16 km). Each integration is repeated with the joint implementation of two stochastic parameterizations: the Stochastically Perturbed Parameterisation Tendencies (SPPT) scheme (Palmer et al., 2009) and the Stochastic Kinetic Energy Backscatter (SKEB) scheme (Shutts, 2005; Palmer et al., 2009). In order to sample the natural variability, several ensemble

20 members are produced for each configuration. The simulations (ii-iv) aim to investigate whether configurations with intermediate horizontal resolution are able to partially bridge the gap between very high and low resolution. In other words, a systematic comparison is useful to understand if a gradual increase in resolution will lead to a similar gradual improvement of climate simulations or whether there is a true passing threshold in resolution, which is required to get acceptable simulations of the main climate features.

25 By comparing integrations carried out at different resolutions we evaluate the impact of increased atmospheric horizontal resolution on the simulation of key climate processes and of climate variability. By comparing experiments with and without the implementation of stochastic physics we evaluate the impact of stochastic physics on the simulation of key climate process and of the associated climate variability when the model resolution is the same. By comparing experiments with the implementation of stochastic physics with experiments carried out without stochastic physics, but at higher resolutions, we assess to what extent

30 the stochastic representation of the sub-grid processes can compete with a more refined horizontal resolution. The results of this project integrate with several other efforts currently underway (e.g. the EU-H2020 PRIMAVERA project). In particular this study complements groundbreaking past initiatives in pioneering the use of HPC for climate simulations such as the UPSCALE (Mizielinski et al., 2014) and the ATHENA (Kinter III et al., 2013) projects.

Climate SPHINX was made possible by a considerable amount of computing time provided by PRACE (the Partnership for

35 Advanced Computing in Europe) and of data storage from EUDAT (the collaborative Pan-European infrastructure providing

research data services). We were granted 20 million core hours during a single year at SuperMUC, the IBM Petascale System at the Leibniz-Rechenzentrum (LRZ) in Garching near Munich, Germany. Storage of data produced by Climate SPHINX is secured by the EUDAT Pilot Project DATA SPHINX (DATA Storage and Preservation of High resolution climate eXperiments), which provides a widely accessible archive for medium term storage to facilitate data access and discovery. DATA SPHINX is

5 managed by CINECA (the largest Italian computing centre) and at present hosts 140 TB of data generated by Climate SPHINX.

In this paper we describe in detail the important technical aspects of this project and highlight some preliminary scientific results on the impact of increased resolution and stochastic parameterisations on climate simulations. Model configuration and tuning are presented in Section 2, while the experimental setup is described in Section 3. Section 4 is devoted to detail the technical configuration. An overview of results and concluding remarks are reported in Sections 5 and 6.

10 ## 2 The EC-Earth Global Climate Model

We use version 3.1 of the state-of-art atmosphere-ocean Earth System Model EC-Earth (Hazeleger et al., 2010, 2012). EC-Earth is based on the Integrated Forecast System (IFS, cycle 36r4) (ECWMF, 2009) atmospheric circulation model, developed by the ECMWF. In order to represent land surface dynamics, IFS integrates the Hydrology Tiled ECMWF Scheme of Surface Exchanges over Land (H-TESSEL) land surface scheme (Balsamo et al., 2009).

15 When used in coupled mode, the NEMO 3.3.1 oceanic circulation model (Madec, 2008) which includes the LIM3 sea ice model (Vancoppenolle et al., 2012) is used. The atmospheric and oceanic components are coupled through OASIS3 (Valcke, 2013).

2.1 The IFS atmospheric model

IFS is the current atmospheric model developed at ECMWF, and given the predominance of atmosphere-only simulations run

20 within SPHINX, it is the core of the project. The version that is part of EC-Earth is derived from cycle 36r4 and has been tuned and improved for climate purposes by the EC-Earth Consortium. IFS uses a combination of spectral and reduced Gaussian grids (where, in the latter, the number of longitudinal grid points decreases towards the poles). Physical parameterisations and advection are computed on the reduced Gaussian grid and then, using the spectral transform, semi-implicit time stepping is performed in the spectral space.

25 Traditionally the spectral harmonic at which truncation occurs defines the horizontal resolution: IFS uses a linear triangular truncation for which for a specified number of N harmonics retained corresponds to 2(N+1) grid points in the longitudes along the Equator. If the resolution is T255, this means that post-processed output will have 512x256 grid points on a regular Gaussian grid, which corresponds to a resolution of about 80 km at the equator.

For Climate SPHINX, the horizontal resolutions T159, T255, T511, T799 and T1279 have been explored. Conversely, the

30 number of levels is fixed in all the configurations at 91: these are hybrid levels with the last full level at 0.01 hPa. The description of the main parameterisation schemes can be found in Beljaars et al. (2004): the parameterisations are in general independent

of resolution, with the only exception of the convective adjustment time, which decreases with increasing resolution as reported in Table 1.

2.2 The stochastic physics parameterisation schemes

We consider two complementary approaches to stochastic parameterisation, both developed at ECMWF for use in the IFS. The two schemes considered here are used operationally at weather and seasonal forecasting centres worldwide (Palmer et al., 2009; Yonehara and Ujiie, 2011; Bouttier et al., 2012; Sanchez et al., 2016), and so have been extensively tested in a range of models on medium range and seasonal timescales. Following the "seamless prediction" paradigm, we choose to test these schemes here on climate timescales.

The first approach is the Stochastically Perturbed Parameterisation Tendencies (SPPT) scheme (Palmer et al., 2009), which uses multiplicative noise to represent model uncertainty due to the parameterisation process. The use of multiplicative noise has been motivated through several coarse-graining studies (Shutts and Palmer, 2007; Shutts and Pallarès, 2014). SPPT can be expressed as

$$\frac{\partial X}{\partial t} = D + K + (1 + \mu e) \sum_i P_i, \tag{1}$$

where $\frac{\partial X}{\partial t}$ is the modelled total tendency in X. This is the sum of D, the dynamical tendency, K, the horizontal diffusion, and each P_i term, with P_i being the tendency from the ith physics scheme. The zero mean random perturbation, e, is constant in the vertical, but μ tapers the perturbation to zero close to the surface and in the stratosphere. The scheme acts on the tendencies of the physical fields (i.e. temperature, winds and specific humidity) resulting from the five main parameterisation schemes: radiation, turbulence and gravity wave drag, non-orographic gravity wave drag, convection, and large scale water processes. All variables are perturbed with the same random number.

The perturbation, e, is generated using a spectral pattern generator (Berner et al., 2009), ensuring that it smoothly varies in space, while the patterns evolve in time following an AR(1) process. The perturbation at each timestep is the sum of three independent random fields which represent uncertainties on different temporal and spatial scales. The fields have horizontal correlations of 500 km, 1000 km and 2000 km and temporal decorrelations of 6 h, 3 days and 30 days respectively, with associated standard deviations of 0.52, 0.18 and 0.06. The magnitude of the perturbation has been motivated through coarse-graining high-resolution model simulations (Shutts and Pallarès, 2014), and a recent coarse graining study has also provided justification for the noise temporal and spatial correlation scales (Christensen et al., 2017). While the smallest scale (500 km and 6 h) dominates on weather forecasting timescales, it is expected that the larger scales will also be important on climate time scales. The SPPT scheme requires no retuning with changing horizontal resolution: the same noise characteristics are used operationally at ECMWF across model resolutions. This is because the multiplicative nature of the scheme applied to the total of all parameterised physical tendencies results in perturbations to the model that scale automatically as the parametrised tendencies scale with resolution. The resolution dependence of the individual contributions from the parametrisation schemes is implicitly dealt with at the (deterministic) parametrisation level.

In contrast to SPPT, the Stochastic Kinetic Energy Backscatter (SKEB) scheme aims to represent a physical process that is otherwise absent from the model (Shutts, 2005; Palmer et al., 2009). Kinetic energy loss is common in models due to numerical integration schemes and the parameterisation process (Berner et al., 2009). To counteract this, the SKEB scheme represents upscale transfer of kinetic energy by randomly perturbing the streamfunction.

5   Similar to SPPT, the SKEB scheme uses a spectral pattern generator to generate a spatially and temporally correlated perturbation field which is added at each timestep to the deterministic streamfunction tendency,

$$\dot{\psi_p}(\phi, \lambda, t) = \dot{\psi_{dyn}}(\phi, \lambda, t) + f(\phi, \lambda, t), \tag{2}$$

where $\dot{\psi_p}$ is the streamfunction tendency after the perturbation, $\dot{\psi_{dyn}}$ is the tendency before perturbation and f is the additive perturbation field. The perturbation field is expressed in spherical harmonics, and each coefficient is evolved separately in 10   time following an AR(1) process. A tuning parameter for the SKEB scheme, the backscatter ratio, is set to increase following resolution increase (see Table 1, following practise at ECMWF) in order to improve the slope of the kinetic energy spectrum.

The standard SPPT and SKEB schemes were designed for use at NWP timescales. When implemented in the EC-Earth climate model, it was found that the SPPT scheme resulted in a large imbalance involving the water cycle, with a negative P-E that was ten times larger than in the deterministic model associated with an anomalous latent heat flux and a negative 15   net surface flux of about 2 W/m^2. This arises because the SPPT scheme was not designed specifically to conserve water vapour, and resulted in a water vapour sink in the atmosphere. A fix has been implemented, requiring that the global average of the tendencies (i.e. winds, temperature and more importantly specific humidity) before and after the SPPT perturbation are conserved. The new scheme removes the imbalance in P-E, which is now equal to that in the run where the SPPT scheme is disabled. This fix has subsequently been implemented at ECMWF (Leutbecher et al., 2017).

20   Hereafter, SPHINX simulations where stochastic parameterisation is operational will be defined as "stochastic" runs, while simulation where the scheme is deactivated will be mentioned as "deterministic" runs.

2.3 Model tuning

With respect to the previous version (v3.0.1), EC-Earth 3.1 shows a reduced radiative imbalance and an improved hydrological cycle. However it still exhibits a cold bias in both its atmosphere-only and coupled configuration and a small imbalance in 25   precipitation minus evaporation (P-E). In a "present day" AMIP configuration, the 3.1 version is too cold, extracting heat from the underlying sea surface temperatures (SST) by about 1.5 W/m^2 and showing unrealistically high values for net SW and LW fluxes at TOA (around 243-244 W/m^2). Thus the first goal of the tuning was to provide reasonable radiative fluxes at TOA and at the surface for the standard deterministic version of the model (T255, see next paragraph for further description on the configurations adopted).

30   To improve the radiation budget, some of the convection and microphysical parameters from a more recent version of IFS (cy40r1) were retrieved. In addition to this, two standard tuning parameters have been modified (see Mauritsen et al., 2012): the entrainment rate for organised convection (ENTRORG) was reduced from $1.75 \cdot 10^{-4}$ to $1.5 \cdot 10^{-4}$, and the rate of conversion of liquid water to rain (RPRCON) was reduced from $1.4 \cdot 10^{-3}$ to $1.2 \cdot 10^{-3}$.

The optimal choice of tuning parameters provides reasonable fluxes at the TOA (around 240 W/m^2) and a positive flux at surface of about 0.6 W/m^2, in accordance with the best estimates from observations (Wild et al., 2013). It is important to note that the tuning of the radiative fluxes has been carried out only for the T255 deterministic model version: the radiative balance has not been tuned in the higher resolution or stochastic models. This ensures a clean comparison between simulations at different resolutions and with and without stochastic physics. If the model were retuned for each run, it is not possible to determine whether it is changing the tuning parameters, changing the resolution, or including stochastic physics that is responsible (Haarsma et al., in review, 2016). Including the SPPT scheme led to a negative bias in the surface heat fluxes of about 0.8 W/m^2, likely caused by a different distribution of the clouds.

The main radiative fluxes resulting after the complete tuning procedure are reported in Table 2. As shown in this table, the radiative balance of the model at higher resolution (and with stochastic physics) shows larger TOA SW and LW with increasing resolution. Net surface fluxes are highly variable, with higher values for coarser resolutions.

Further tuning has been performed in order to produce a realistic Quasi-Biennial Oscillation (QBO) at higher resolutions. The EC-Earth 3.1 non-orographic gravity waves scheme is characterised by a momentum flux that is continuously launched at in the mid-troposphere to simulate the effect of gravity waves. The latitudinal profile of this momentum flux governs the correct parameterisation of gravity waves: a too high amplitude of the momentum flux will disturb the QBO in equatorial zones, particularly at high resolutions, while a too low value will lead to unrealistic eddy-driven jets, especially in the southern hemisphere, where orographically induced wave drag is low. With the current latitudinal profile, the QBO was simulated only at standard resolution (T255 with 91 vertical levels). Following advice from ECMWF staff, a resolution-dependent parameterisation of non-orographic gravity wave drag replaced the version-dependent parameterisation present in EC-Earth 3.1 (an ad-hoc parametrization developed for the ECMWF System4 seasonal forecast system). Namely, instead of using a low momentum flux average value (GFLUXLAUN=0.02) with a positive Gaussian peak at 50°S, we use a higher value (GFLUXLAUN=0.0375) which is reduced with a Gaussian shape at the equator. This negative peak is slightly deeper for stochastic runs than for deterministic simulations to compensate the effect of the stochastic noise. The average value of the momentum flux was further reduced with increasing resolution (starting from T799) according to the ECMWF specification for IFS cy40r1 (see Table 1).

The new non-orographic gravity wave scheme - a standard in the current operational forecast ECMWF model - allows now the simulation of the QBO at all the resolutions explored in Climate SPHINX, without deteriorating the jet streams. Given these positive results, the new parameterisation will be implemented in the upcoming EC-Earth 3.2 version.

3   Science configuration: the SPHINX v1.0 protocol

The following sections describe the scientific configuration, including the simulations performed, the initial and boundary conditions, the Sea Surface Temperatures (SST) and Sea Ice Concentration (SIC) used that together define the SPHINX v1.0 protocol.

3.1 Climate SPHINX simulations

The SPHINX project comprises more than 120 different simulations, the majority of them were carried out in an atmosphere-only configuration. The experiments are run at five different horizontal resolutions: T159 ($\sim$125km), T255 ($\sim$80 km), T511 ($\sim$40 km), T799 ($\sim$25 km) and T1279 ($\sim$16 km), all with the same vertical configuration with 91 levels (L91). The different simulations are grouped into three main blocks: Present-day AMIP (PDA), Future Scenario AMIP (FSA) and Past-to-Future Coupled (PFC).

PDA and FSA are atmosphere-only simulations: 20 ensemble members are run at T159, 20 at T255, 12 at T511, six at T799 and two at T1279 for both PDA and FSA experiments. For each resolution, half of the ensemble members have the stochastic physics parameterisations activated. The number of ensemble members run and their resolution is also reported in Table 1.

The atmosphere-only experiments extend for 30 consecutive years, from 1979 up to 2008 for PDA, while FSA experiments are run from 2039 up to 2068.

PFC simulations are run with IFS at the T255L91 configuration, coupled with NEMO using the ORCA1 grid (a tripolar grid with resolution of 1°longitudinally and refinement to 1/3° at the Equator) with 46 vertical levels. Six ensemble members are run, three with the stochastic parameterisation active and three control members, from 1850 up to 2100.

3.2 Initial conditions

The Initial Conditions (ICs) in both the PDA and FSA experiments are taken from the ECMWF ERA-Interim Reanalysis (Dee et al., 2011) for 01/01/1979. A first experiment is run at each resolution for a few days, and it is used to create the ICs for the other experiments. For instance, for T255 experiments, the ICs for the 10 ensemble members are extracted using the midnight values (00:00) from each of the first 10 days respectively, and then reassigned to the 1st of January.

The same ICs are used also for FSA: in order to account for the land-surface adjustment to the new forcing, a 1-year spin up has been carried out for FSA (which is therefore starting from 2038).

For PFC simulations, given the different expected climatologies of integrations with/without stochastic physics, two 320-year spin-ups are carried out in coupled mode to equilibrate the ocean to the atmospheric forcing. Having spun up, three oceanic states - from spin-up year 300, 310 and 320 - are coupled with three different atmospheric ICs: these are run in coupled mode for a further ten years with fixed greenhouse gas (GHG) forcing for the year 1850. In this way the phase space distance between the simulations is 20 years and the atmosphere and land surface have had enough time to adjust to the new oceanic state.

3.3 Forcing and boundary conditions

Well mixed greenhouse gases (GHGs), stratospheric ozone and volcanic aerosol concentrations have been set according to the CMIP5 protocol (Moss et al., 2010; Taylor et al., 2012). Historical forcing is used for PDA experiments, whereas for the FSA experiments the high emission scenario (Representative Concentration Pathway 8.5, RCP8.5) is adopted. PFC simulations use the historical CMIP5 specification from year 1850 up to year 2005 included: after that, the forcing is taken from the RCP8.5 scenario. Albedo, land use and vegetation patterns are set using the standard configuration of EC-Earth 3.1, which uses a

MODIS-derived fixed climatological seasonal cycle for snow-free albedo and the Leaf Area Index. The average yearly solar irradiance was set at 1368.2 W/m^2 with intrannual variations, following the standard EC-Earth 3.1 setup. All the simulations of PDA and FSA experiments use this setup. For the PFC simulations interannual variations following CMIP5 prescriptions (i.e. the 11-year solar cycle) have been added.

3.4   Present-day SST and SIC

Given that both FSA and PDA simulations are atmosphere-only runs, a special effort has been taken to provide reliable SSTs in order to fully exploit the high resolution.

For PDA, SSTs have been obtained from the daily SST and sea ice concentration (SIC) HadISST2.1.1, a pentad based dataset with resolution of 0.25°x0.25° for SSTs (Kennedy et al., 2016) and 1°x 1° for SIC (Titchner and Rayner, 2014). These are bilinearly interpolated onto the required reduced Gaussian grid for each resolution: climatologies for SST and SIC for the 1979-2008 period can be seen in the upper panels of Figure 1.

A number of inconsistencies are found between the land-sea mask of IFS and of HadISST2.1.1: these are due to slightly different coastlines and a different representation of the lakes. For the different coastlines, linear extrapolation from HadISST2.1.1 has been performed. For the interior (i.e. lakes), a methodology similar to the one used in ERA20CM dataset (Hersbach et al., 2015) has been adopted: one-month lagged 2m temperatures from the ERA-Interim monthly climatology of 1979-2008 are used as SST. Where the temperature is below zero, SIC is set to one, otherwise it is left at zero. This is interpolated in time on a daily basis and in space on the needed grid to create a smoothed seasonal cycle for lakes.

3.5   Future scenario SST and SIC

The creation of SST and SIC for the FSA experiment is more complex. We would like to account for the high-resolution variability provided by the HadISST2.1.1 but also consider the mean change and trend seen in a climate model. Therefore the SST for the future scenario have been obtained as a combination of HadISST2.1.1 variability and the CMIP5 EC-Earth simulations ensemble mean.

Firstly, the 1979-2008 HadISST2.1.1 SST has been detrended point by point to provide a set of anomalies with realistic variability. Secondly, the monthly seasonal cycle of the difference between the CMIP5 EC-Earth RCP8.5 ensemble mean over 2038-2068 (10 members) and the CMIP5 EC-Earth Historical ensemble mean over 1979-2008 (10 members) has been computed. This provides for each grid point the average expected SST increase from the present day to the future period according to a GCM, as a function of calendar month. To account for changes in SST during the FSA period, for each grid point the trend in SST from the CMIP5 EC-Earth RCP8.5 integration for 2038-2068 was also extracted. All CMIP5 EC-Earth data were bilinearly interpolated in space on the HadISST2.1.1 grid and linearly in time to daily frequency.

Finally, a new Step1HadISST dataset has been created combining the detrended HadISST2.1.1 (expressing the high-resolution daily variability), the average daily change of CMIP5 EC-Earth (from RCP8.5 and Historical, expressing the expected average temperature increase) and the linear trend of the CMIP5 EC-Earth RCP 8.5 (expressing the expected future trend in SST). The

methodology used here, that shares the main characteristics with the method developed by Mizuta et al. (2008), is sketched in Figure 2.

However, the Step1HadISST reconstruction misses an important element: there is no information on the sea ice cover in the future. To account for this, we took data from the CMIP5 EC-Earth simulations as a reference for SIC. CMIP5 EC-Earth simulations show a considerable cold bias in SST with respect to HadISST2.1.1, but they show good ice coverage, especially for the Northern Hemisphere (see average NH and SH hemisphere SIC in Figure 3).

Considering that an ensemble mean would be unrealistic, especially for a field with a large spatial variance as sea ice, we select a single ensemble member representative of the ensemble. Member "r8i1p1" has been chosen to characterise the ensemble, since its climatology shows the smallest SIC Root Mean Square Error (RMSE) when compared to the ensemble mean climatology in the time window 2038-2068. Clearly, using RMSE is only one of the possible metric to perform such selection: our main goal is to pick an ensemble member that is not an outlier when compared to the other EC-Earth CMIP5 ensemble members.

As a last step, we must evaluate SST for points where SIC coverage has disappeared in the future scenario. The lack of information about historical SST under sea ice results in undefined SST at these points using the methodology outlined above. We define these as "bare point". For bare points, we want to make use of the model variability, but we do not want to have inconsistent SST at the boundaries (i.e. where bare points border the Step1Hadisst dataset).

Initially, we perform a linear extrapolation for bare points for Step1HadISST SSTs - which gives us a measure of the average SSTs at the bare points. However, these extrapolated values are missing a realistic spatial variability. We then mask the bare points also in the SST field of the CMIP5 EC-Earth ensemble member "r8i1p1", and we subsequently linearly extrapolate new values. We then subtract from the original field of CMIP5 EC-Earth ensemble member "r8i1p1" these new extrapolated values, in order to obtain an anomaly field which includes the spatial and temporal variability of the SST field over the bare points given by CMIP5 EC-Earth "r8i1p1". This final field is then added to the linearly extrapolated Step1HadISST SST.

Hence, for each day, the SSTs for bare points are given by the EC-Earth CMIP5 RCP8.5 ensemble member "r8i1p1" SST minus extrapolated EC-Earth CMIP5 RCP8.5 SSTs plus extrapolated Step1HadiSST. The methodology to obtain this specific SST reconstruction is illustrated in Figure 4.

This provides a pattern of SSTs physically consistent with SICs: indeed, it avoids unrealistic values of SSTs in the proximity of the polar cap during winter and - using the CMIP5 EC-Earth data - it provides a reasonable distribution of SSTs in summer, where in the future scenario the ice coverage in the NH often disappears. Moreover, there is no discontinuity at the border with Step1HadiSST. The new dataset is defined as FutureHadiSST2.1.1.

The same methodology used for the PDA simulations has been adopted also for FSA runs in order to solve the issues of the lakes and the different land sea-mask: however, in this case we must account for the estimated temperature change over land. We consider the difference between the one-month lagged 2m surface temperature from CMIP5 EC-Earth RCP8.5 and the one-month lagged 2m surface temperature from CMIP5 EC-Earth historical ensemble (averaged over 8 members). We then add this to the one month lagged 2m surface temperature ERA-Interim monthly climatology of 1979-2008. This, analogous to what done for SSTs, accounts for climate change.

The SST and SIC changes between FutureHadiSST2.1.1 and HadISST2.1.1 are reported in Figure 1: as expected larger warming and sea ice retreat is seen in the Northern Hemisphere high latitude. Figure 3 reports the timeseries and trends for SST (between 45°S and 45°N) and SIC (for both Northern and Southern Hemisphere) for both FutureHadiSST2.1.1 and HadISST2.1.1.

4 Technical configuration

4.1 High-Performance Computing details

Simulations have been run on the 6.8-Petaflop SuperMUC IBM Petascale System at LRZ. The initial setup and configurations have been performed on the Supermuc-I platform, based on Sandy Bridge-EP Xeon E5-2680 8C processors. For processor decomposition the Message Passage Interface (MPI) parallelism paradigm has been used. EC-Earth allows also for OpenMP/Shared memory parallelisation, which has been tested without showing any significant computational benefit.

An accurate scaling of the performance was performed during the first months of the simulations. However, a conservative choice has been undertaken, after considering that the walltime needed to run the simulations was not the main concern for the project success. The number of cores assigned to each experiments have been selected following the resolution of the model considered. Although stochastic physics experiments showed about 5-10% decrease in performance (according to different resolutions), the same number of cores has been retained.

In summer 2015 a new Supermuc-II platform based on Haswell Xeon Processor E5-2697 v3 processors was made available by the LRZ. The new HPC granted a reduction of about 5% of the total core hours used, without affecting the walltime. About 75% of the simulations have been run using the Haswell nodes. Details on the processor decomposition, computational costs and data outputs are reported in Table 3.

4.2 Data output and postprocessing

In Climate SPHINX, IFS has been set up to provide output in GRIB format every 3 hours: however, the four T1279 simulations alone sum up to about 200 TB of raw data output. Summing together the restarts files and the output of all experiments the total amount of space occupied at the peak of the project (February 2016) reached about 1 PB. In order to reduce the size of the output and to increase the data accessibility to a larger audience, automatic post-processing routines have been implemented. At the end of each simulation leg, a script aimed at post-processing is launched: the script handles both the spectral and reduced Gaussian data from IFS and extracts and converts the requested variables from the default ECMWF format to a user-friendly, CMOR-like format on regular Gaussian grid. With this automatic procedure, more than 140 TB of post-processed data has been produced. A significant reduction of the data volume was obtained making use of the NetCDF-4 Zip format (HDF5).

Monthly (MON), daily (DAY), 6-hour (6HRS) data for different subsets of variables has been produced. More than 50 fields have been stored at monthly frequency. In order to further reduce the space requirements, daily and 6-hour 3D fields have been degraded to the spectral resolution of T255. Additional data at 3-hour frequency have been stored for the Euro-Cordex domain

(3HRS-CDX) and for a sub-domain including India Tibet and Pakistan (3HRS-ITP). Total precipitation has been saved also over the global grid at full resolution with 3 hours frequency (3HRS). Finally, synoptic monthly means have been stored for the main radiative variables (SMON). A few fields that are nonlinear functions of the output (e.g. specific humidity) have been computed from the original 3-hour output and then averaged at the required frequency in order to record them accurately. In
5 addition to the atmospheric data, about 10 TB of oceanic output have been stored for PFC simulations. Data at daily and pentad frequency have been retained.

    All the data, including raw output, post-processed data and restart files, have been archived on the tape archives of the Tivoli Storage Management Infrastructure (TSM) of the LRZ.

5   Results overview

10 In this section we present a brief overview of the preliminary findings of the Climate SPHINX project. Considering that the number of diverse climate aspects that could be analyzed in such a large dataset is large, we decided to present hereafter only a few selected features of the climate and its variability. For all the results presented hereafter - if not specified differently - the complete set of ensemble members available for the present-day climate (i.e. PDA experiments) has been used.

5.1   Mean climate

15 Although a detailed analysis of the mean climate in all the simulations performed would be excessively long to be included in the present work, we introduce a couple of figures showing the sensitivity to resolution and stochastic physics parameterization of the climatology of precipitation (Figure 5) and 200 hpa zonal wind (Figure 6). We compare the ensemble mean average fields of a low resolution version (T255) with a high resolution one (T799), in both its deterministic and stochastic configurations. Data have been interpolated on common 2.5°x2.5°grid.

20   The precipitation model bias - shown in Figure 5, with respect to Global Precipitation Climatology Project (GPCP) dataset (Huffman et al., 2001) - is especially strong in Indian Monsoon region, with an excess of precipitation that ranges from the Indian Ocean to the Western Pacific. More generally, EC-Earth tends to underestimate the precipitation over the continents and overestimate it over the oceans. When the comparison is carried out between stochastic and deterministic configurations, it is possible to see that SPPT and SKEB do neither improve nor deteriorate the climatology at both T255 and T799 resolutions.
25 Conversely, a slight more evident change is seen comparing the high and low resolutions: here T799 shows a widespread increase of the extratropical precipitation. But again, when it is evaluated against the model bias such changes are minor.

    Impacts on the upper-tropospheric zonal wind field are clearer and they are shown in Figure 6. The T255 version - compared against ECMWF ERA-Interim reanalysis (Dee et al., 2011) - shows too strong jets in both the hemispheres. The subtropical jet over Asia and Pacific is also poleward displaced, while equatorial easterly jets are too weak. Again, stochastic physics bring
30 minor changes, with a slightly stronger Atlantic jet, more penetrating over Europe. Conversely, the higher resolution leads to an overall weakening of the upper tropospheric winds: this is especially true over North America and the Tibetan Plateau, suggesting that this change may be induced by the stronger surface drag caused by the higher orography.

More generally, in these and other climatological fields (not shown) the impact of stochastic physics and resolution appears to be small if compared to the model bias. Indeed, larger benefits from increasing resolution and stochastic physics are expected more in terms of variability rather than in terms of mean state.

Therefore, in the following sections we will focus on a few selected features of climate variability. We will investigate the improvements and/or deteriorations following resolution increases and including stochastic parameterisation of three different phenomena: the distribution of the intensity of tropical rainfall, the tropical variability related to the Madden-Julian Oscillation and the mid-latitude variability associated with atmospheric blocking.

5.2 Tropical rainfall variability

Climate models generally have too little tropical variability on timescales of several days (e.g. Hung et al., 2013). One aspect of the variability of particular interest is the occurrence of heavy precipitation events, which can result in flooding, affect disease incidence and reduce crop yields (IPCC, 2015). Changes in the frequency of these events can also affect trends in total precipitation due to non-linearity in land surface processes (Saeed et al., 2013).

Figure 7a shows the frequency distribution of daily-mean precipitation rates averaged over 2.5°x2.5° grid boxes between 10°S-10° N over the period 1998-2008 in data from GPCP, data from the Tropical Rainfall Measuring Mission (TRMM) 3B42 Version 7 product (Huffman et al., 2007) and one ensemble member for each PDA run. Figure 7b shows the ratio of the frequency in each rain rate interval as a fraction of that in GPCP for each resolution.

Vertical bars in figure 7 show the 95% confidence intervals of the frequencies associated with sampling uncertainty. These were calculated using a bootstrap method. For each dataset, a surrogate dataset was created by randomly sampling individual years of data with replacement. The frequency distribution of the surrogates and their frequency ratios with respect to the GPCP surrogate were calculated. This was repeated 1000 times to produce the distribution of the calculations associated with sampling uncertainty, from which the confidence intervals were derived.

At all resolutions, rain rates below 15 mm/day occur too often in the model data, by about 50%, and rain rates between 20-60 mm/day occur too infrequently compared to both observational datasets. At rain rates near 30 mm/day, the simulated frequencies are between about 35-50% of the frequency in GPCP. At higher rain rates, the frequency differences between TRMM and GPCP become comparable in size to or larger than the differences between the modelled frequencies and the observational datasets. We do not know of a reason to strongly prefer one dataset over the other, so we consider the model bias to be uncertain at these rain rates. The frequency of rain rates above 30 mm/day in the T159 and T255 models are below about 40% of that in GPCP. At T511, T799 and T1279 the relative frequency difference compared to GPCP and TRMM decreases as the rain rate increases, and becomes comparable to that in GPCP in the 60-65 mm/day interval, though still much smaller than that in TRMM. Therefore increasing the model resolution from T159 to T511 improves the simulated frequency of heavy rainfall events compared to observational datasets, with the further improvements caused by increasing the resolution to T799 or T1279 being considerably smaller.

Figures 7c,d show the same data for the stochastic PDA runs. Stochastic physics has a similar effect at all resolutions. Frequencies of rain rates between 5-15mm/day are reduced by about 10% compared to those in the deterministic models,

reducing the model bias. Frequencies above about ~20 mm/day are substantially increased, by a larger factor at larger rain rates, up to a factor of ~2.5 at rain rates around 60 mm/day. This reduces the difference from GPCP and TRMM up to rain rates of 45 mm/day at all resolutions.

The higher resolution stochastic models have rain rate frequencies between those of GPCP and TRMM at rates above 45 mm/day, so they seem consistent with the observations given the observational uncertainty. The T255 stochastic model has rain rate frequencies closer to those in GPCP than any of the deterministic models in all but two of the 5 mm/day rain rate intervals shown. One hypothesis to explain this effect is that the stochastic perturbations sometimes increase the moistening tendency of the air, so that it occurs more often that there is a high amount of water vapour in the air and heavier rain events can occur, and there is a compensating decrease in the frequency of moderate rain events.

Therefore stochastic physics brings this aspect of the simulations into better agreement with observations, suggesting that including a representation of unresolved variability and model error is important for simulating the statistics of extreme tropical precipitation events.

5.3 The Madden-Julian Oscillation variability

The Madden-Julian Oscillation (MJO) is the dominant mode of variability in the tropical region on sub-seasonal timescales (Madden and Julian, 1994). It is characterised by a strong interaction between tropical convection and the large-scale environment, manifest as a coherent eastward propagating pattern of precipitation followed by subsequent rainfall suppression (Khouider et al., 2011; Zhang et al., 2013; Kim et al., 2014; Raymond et al., 2015). It is a challenge for the current generation of global climate and weather models to represent the dynamics and thermodynamics of the MJO realistically (Slingo et al., 1996; Lin et al., 2006; Kim et al., 2009; Sperber et al., 2011; Klingaman et al., 2015).

We here use the Wheeler and Hendon (2004) technique to identify the dominant modes of variability in zonal winds and Outgoing Longwave Radiation (OLR) in these model runs. Combined Empirical Orthogonal Functions (CEOFs) of intraseasonal OLR, U850 (zonal winds at 850 hPa) and U200 (zonal winds at 200 hPa) are computed for each of the runs. The first two leading modes (RMM1 and RMM2) correspond to MJO signatures in the tropical wind field and OLR. The amplitude A of the MJO is defined as:

$$A = \sqrt{(RMM1)^2 + (RMM2)^2} \tag{3}$$

and the phase Φ of the MJO is defined as:

$$\Phi = \tan^{-1}(\frac{RMM2}{RMM1}) \tag{4}$$

MJO occurrence is defined when the MJO A is > 1. Conventionally, eight phases of the MJO are defined (Gottschalck et al., 2010). We reduce the eight phases to four phases corresponding respectively to the MJO being active in the Indian Ocean, Maritime Continent, West Pacific and Western Hemisphere. We note that the Wheeler Hendon Realtime Multivariate MJO

(RMM) index has been shown to be deficient in detecting MJO events when largescale circulation signals of the MJO are missing (Straub, 2013). Figure 8 shows the frequency of occurrence vs. the mean amplitude of the MJO in the four different regions around the Tropics for all the different runs (colours) and for ECMWF ERA-Interim Reanalysis (grey, Dee et al., 2011) over the 1980-2001 period.

5   Overall the frequency of occurrence of the MJO in the different regions in the Tropics for the different model resolutions is underestimated with respect to that of ERA-Interim. The MJO amplitude in the model simulations is lower than reanalysis over the Indian Ocean and the Western Hemisphere.

More importantly, increasing horizontal resolution does not seem to improve the representation of the phenomenon significantly. This may be explained considering that the simulation of the MJO in GCMs is influenced primarily by the representation

10   of mesoscale dynamics and of convection. The simulation of mesoscale dynamics can be helped by increasing the resolution, while improvements in convection are driven by changes in physical parameterisations of the model. Yet, the coupling between the mesoscale dynamics and the convection is key for convectively coupled waves in the tropics (Raymond et al., 2015). Therefore, increasing resolution alone may not be sufficient to improve the simulation of the MJO.

Conversely, the stochastic physics parameterisation improves the MJO frequency in all regions at all resolutions but T1279.

15   It must be noted that this latter run was done with only one ensemble member compared to the other runs with 3 or more ensemble members over the same period, therefore a sampling error due to natural variability should be considered. Above all, the best results are obtained for the T255 with stochastic physics, suggesting that the tuning of the mean state of the model might play a relevant role for a better MJO simulation.

Additionally, the stochastic physics climate runs show an improvement in the representation of the MJO propagation over

20   the Maritime continent (not shown). The lack of propagation of the MJO over the Maritime continent into the West Pacific region is a known problem in GCMs (Zhang et al., 2013). An improvement in the MJO propagation past the Maritime continent due to SPPT has also been seen in the ECMWF seasonal forecasting system 4 (Weisheimer et al., 2014). In this study, they show that the ECMWF system 4 has increased number of MJO active days in each phase of the MJO when SPPT is active as compared to runs without stochastic physics but still has less total number of active MJO days than the observations. Such

25   improved propagation in stochastic runs indicates either that there is an impact of the stochastic physics on the mean state in the region or that the variability in the region helps maintain the intraseasonal signal. The reasons for the change in MJO representation due to stochastic physics will be explored further in a more detailed future study by the authors.

5.4 Mid-latitude atmospheric blocking variability

One of the most important challenges for the current generation of climate models is the simulation of atmospheric blocking

30   (Anstey et al., 2013; Masato et al., 2013; Dunn-Sigouin and Son, 2013; Davini and D'Andrea, 2016). Blocking is a recurrent weather pattern typically occurring at the exit of the Atlantic and Pacific jet stream, more frequently during the winter season but observed throughout the year (Rex, 1950; Tibaldi and Molteni, 1990). It is characterised by a high-pressure, long-lasting low vorticity anomaly that "blocks" the mid-latitude westerly flow, diverting synoptic disturbances poleward or equatorward

(Tyrlis and Hoskins, 2008; Davini et al., 2012). A blocking event can last several days or even weeks, and it may be associated with cold spells in winter and heat waves in summer (Sillmann et al., 2011; Dole et al., 2011).

Blocking here is diagnosed using the simple index introduced by D'Andrea et al. (1998), an extension of the more known Tibaldi and Molteni (1990) index. This 1-d blocking index detects the reversal of the zonal flow measuring the geopotential height gradient at 500 hPa at 60°N, providing a binary blocking timeseries for each longitude. Although there is some evidence (e.g. Berner et al., 2012; Dawson and Palmer, 2015) that stochastic physics may improve the blocking simulation, with the current diagnostic no statistically significant difference emerges - even at low resolution - when comparing deterministic and stochastic simulations. Therefore the two simulations are combined together to provide an unique ensemble.

The upper panel of Figure 9 shows the blocking frequency for the ERA-Interim Reanalysis (black) and the ensemble mean of the different horizontal resolutions (colours) of PDA experiments over the DJF period. The common negative bias over the Atlantic and Pacific basins is clearly evident. Increasing the horizontal resolution leads to benefits over both the basins, with marked improvements especially for the Atlantic: here T799 and T1279 runs show values comparable to the reanalysis. The largest improvement is however seen upgrading from T255 to T511, where the bias - measured as the relative difference between the blocking frequency averaged between 10°W and 30°E - is reduced from the 18% to 3%.

Those clear improvements in blocking frequency are interestingly reflected by a change in the mean state. A simple way to represent the flow variability is to highlight a few isopleths of geopotential height, as done in the lower panel of Figure 9. Indeed, the higher resolution models show a strengthened pattern of the dominant Northern Hemisphere planetary waves, with marked ridges over the Rockies and Europe. Especially the former over the Rockies (Brayshaw et al., 2009) suggests an important role of orography resolution in the representation of the eddy-driven jet stream, and indirectly, of Euro-Atlantic blocking frequencies.

Indeed, the reduction of the bias following resolution increase for winter Atlantic blocking (and not for Pacific blocking) seems to be a common feature of several GCMs (Davini and D'Andrea, 2016; Schiemann et al., 2016). Such improvements have been associated with both better resolved transient eddy activity - that should sustain the blocking persistence (Shutts, 1983; Berckmans et al., 2013) - and with higher orography variance - which affects the mean state through planetary waves shaping (Jung et al., 2012; Berckmans et al., 2013). Conversely, Pacific blocking has been shown to be phenomenologically different (Pelly and Hoskins, 2003; Davini et al., 2012) and to be strongly affected by tropical dynamics (e.g. Renwick and Wallace, 1996), therefore it is not surprising that the latter would be less affected by horizontal resolution changes.

A more detailed analysis of blocking and mid-latitude variability will be carried out by the authors in future studies.

6 Conclusions

In the present work we have described the scientific configuration and technical setup/tuning of the EC-Earth Earth System Model used for the Climate SPHINX project, which defines the SPHINX v1.0 protocol. More than 120 climate simulations have been produced making use of more than 20 million core hours and generating about 140 TB of post-processed data. Climate SPHINX includes both present day (PDA simulations, 1979-2008) and future scenario (FSA simulations, 2038-2068)

atmosphere-only simulations according respectively to CMIP5 historical and RCP8.5 forcing. These have been run at five different horizontal resolutions - spanning from 125 km up to 16 km - with several ensemble members. Furthermore, a smaller set of transient coupled simulations (PFC simulations, 1850-2100) at T255 ORCA1 (∼80 km for the atmosphere and about 1°for the ocean) has been run.

5 Each deterministic experiment included in Climate SPHINX has a counterpart where the sub-grid unresolved scales have been parameterised with two different stochastic physics schemes (namely the SPPT and SKEB schemes). This makes Climate SPHINX the first climate dataset which includes a large number of ensemble members with a stochastic parameterisation at different horizontal resolution: along with other high-resolution simulation campaigns such as UPSCALE (Mizielinski et al., 2014) or ATHENA (Kinter III et al., 2013), this demonstrates the ability of the climate community to exploit the more recent 10 HPC machines.

Details on the tuning procedure (aimed at providing a correct radiation budget in the standard configuration T255) have been presented. Moreover, a comprehensive description of the methodology adopted for the creation of the present day and future scenario SST and SIC (starting from the HadISST 2.1.1 dataset) has been described. A novel method aimed at estimating SST where SIC have disappeared in future climate simulations has been introduced.

15 More importantly, Climate SPHINX post-processed outputs are freely accessible to the climate community. This has been possible thanks to an EUDAT pilot project which makes available a THREDDS server operational at CINECA from which data can be easily downloaded.

Preliminary results show the importance of both resolution and stochastic perturbations on the representation of the climate variability, although different phenomena show different sensitivities. Tropical rainfall variability seems to benefit from both 20 increased horizontal resolution and stochastic parameterisation, whereas the Madden-Julian Oscillation shows improvements only when the stochastic perturbations are added. In general - in the tropics - applying stochastic schemes at low resolution leads to interesting improvements: on the other hand, increasing resolution beyond T511 does not seem to further improve the tropical variability.

Conversely, in the mid-latitudes, where atmospheric blocking frequencies were analysed, no statistical difference is found 25 between stochastic and deterministic runs. Previous works (Berner et al., 2012; Dawson and Palmer, 2015) suggest that blocking regimes can benefit from stochastic schemes. We note that the simulations presented here are at a higher resolution than Berner et al. (2012) and have been analysed using a different metric to Dawson and Palmer (2015). Nevertheless, we must observe that our blocking diagnostic shows strong variability in the different ensemble members, suggesting that a single realization may be not enough to capture the real sensitivity of this diagnostic to stochastic schemes. On the other hand, we found 30 that increased horizontal resolution seems extremely important to decrease the blocking bias: in agreement with other recent works (Davini and D'Andrea, 2016; Schiemann et al., 2016) this is true especially over the Euro-Atlantic sector - where the T799 resolution (∼25 km) reduces it to negligible values - but not evident over the Pacific.

To summarise, the best improvements are observed on upgrading from T255 to T511, whereas minor improvements are observed using higher resolutions. This may be associated with the absence of specific tuning for both deterministic and 35 stochastic higher resolution configurations, which can affect the mean climate and consequently partially deteriorate the climate

variability. Indeed, such tuning does not involve only the surface and TOA radiative fluxes, but also dynamical components of the climate model. Some schemes, e.g. deep and shallow convection parameterisations, may be satisfactory at coarse resolutions but may perform poorly at finer ones.

Given the similarities between the dynamical cores of climate models , since they are all based on a controlled discretization of the same governing equations, we hope that the resolution sensitivity aspect of Climate SPHINX will be useful to the whole climate modelling community. On the other hand, several promising stochastic schemes exist, and the sensitivity of EC-Earth to SPPT and SKEB described here cannot be easily extrapolated to these alternative approaches. Nevertheless, considering that Climate SPHINX is the first large experiment where stochastic schemes are used massively on the climate time range, we hope that this work paves the way for other climate-oriented simulations aimed at investigating the impact of different stochastic schemes on climate variability.

Furthermore, Climate SPHINX focuses attention on the controversial choice between increasing resolution or increasing the size of ensembles - whilst keeping the same computing time available (e.g. Buizza et al., 1998). Indeed, running 30 years of one member at T1279 on the SuperMUC Petascale System costs about 1.4 million core hours; with the same amount of time it would be possible to run 9-10 simulations at T511. However, the benefits of the two pathways may be different: while a single member with 16-km resolution can provide local information at a topographic scale, useful for instance for hydrological models - particularly in areas with complex topography - in contrast many ensemble members at 40-km resolution can provide a correct assessment of the natural variability, a key element for instance for mid-latitude climate (Deser et al., 2012; Kay et al., 2015). However, we must keep in mind that the computational constraints would become particularly relevant for coupled simulations, in which the computing time devoted to the oceanic model and - above all - to the spin up of the coupled system will inflate considerably the number of core hours needed. Stochastic physics parameterisations, especially at lower resolution, seem able to provide an interesting alternative to tackle such controversy, improving model performance without increasing the nominal resolution and the overall computational cost.

7   Data availability

Post-processed data have been transferred from LRZ to CINECA via GridFTP, where they have been permanently stored. More important, free data accessibility to the climate user community is granted through a dedicated THREDDS Web Server hosted by CINECA (https://sphinx.hpc.cineca.it/thredds/sphinx.html), where it is possible to browse and directly download SPHINX data. Details on the the data accessibility and on the Climate SPHINX project itself are available on the website of the project (http://www.to.isac.cnr.it/sphinx/).

The set up of this infrastructure for data sharing has been possible thanks to DATA SPHINX, an EUDAT Data Pilot project, which will allow long-term storage and sharing among a wide scientific user community of high-resolution climate model output data. DATA SPHINX aims at building a repository serving the climate change impact modelling community, providing selected variables at high temporal and spatial resolution, with a focus on climate extremes and the hydrological cycle in areas with complex orography.

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Table 1. Resolution dependent scientific configuration for EC-Earth in the Climate SPHINX experiments. The same number of ensemble members has been run for PDA and FSA experiments. T255C is the coupled configuration used for PFC simulations. Resolution is estimated at the Equator. The number of members indicate the deterministic and stochastic members. Backscatter ratio (tuning parameter for SKEB stochastic scheme), convective adjustment time (tuning parameter for deep convection) and momentum launch (tuning parameter for non-orographic gravity waves) are unit-less.

Truncation	Resolution	# members	Timestep	Backscatter ratio	Conv. adj. time	Mom. launch
T159	125.2 km	10+10	3600s	0.032	2.6	0.00375
T255	78.3 km	10+10	2700s	0.040	2.0	0.00375
T511	39.1 km	6+6	900s	0.085	1.5	0.00375
T799	25.0 km	3+3	720s	0.095	1.3	0.00368
T1279	15.7 km	1+1	600s	0.095	1.2	0.00334
T255C	78.3 km	3+3	2700s	0.040	2.0	0.00375

Table 2. Radiative fluxes expressed in $\mathrm{W/m^2}$ for the reference experiment (i.e. the first simulation run) at different resolution for PDA simulations. D stands for deterministic simulation, S for stochastic. Fluxes have been tuned for T255D.

Simulation	Net Sfc	Net TOA	TOA SW	TOA LW
T159D	1.57	1.22	239.93	238.71
T159S	0.75	0.33	239.32	238.99
T255D	0.67	0.41	240.23	239.82
T255S	-0.16	-0.49	239.65	240.14
T511D	0.16	1.05	241.50	240.44
T511S	-0.75	0.19	241.07	240.88
T799D	-0.12	1.16	242.10	240.94
T799S	-0.82	0.47	241.78	241.31
T1279D	-0.09	1.44	242.58	241.14
T1279S	-1.09	0.41	242.16	241.74

Table 3. Resolution dependent technical details for EC-Earth in the Climate SPHINX experiments. T255C is the coupled configuration used for PFC simulations. Walltime has been measured on the Supermuc-II Haswell platform, and it is evaluated for deterministic simulations; stochastic simulations walltime is about the 5% higher.

Truncation	# Cores	Walltime (per year)	Leg length	Output data (per year)	Post-proc data (per year)
T159	224	52 min	1 year	26 GB	9.7 GB
T255	588	1h12 min	1 year	64 GB	24 GB
T511	840	6h10 min	6 months	249 GB	35 GB
T799	1120	14 h	2 months	605 GB	57 GB
T1279	1540	30 h	1 month	1.6 TB	111 GB
T255C	588	1h35 h	1 year	38 GB	30 GB
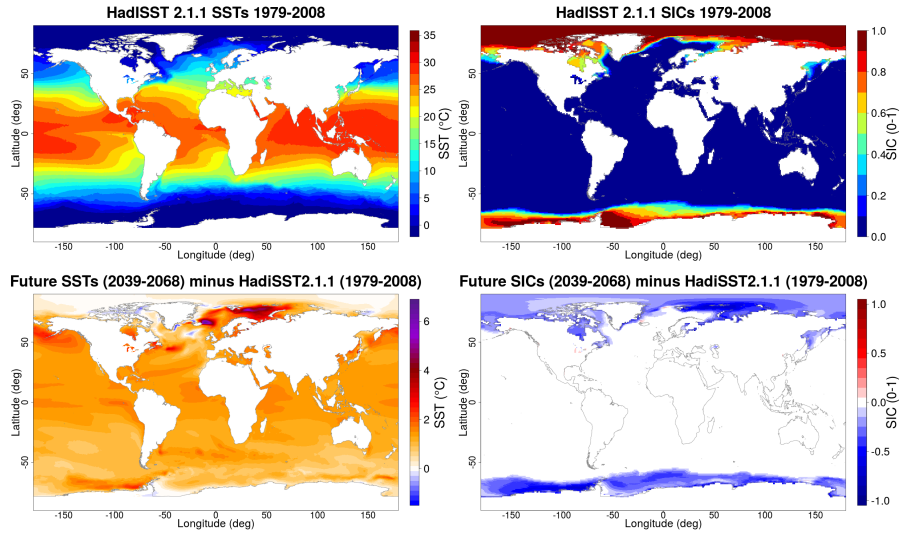
Figure 1. Upper panels: HadiSST2.1.1 climatology for the SSTs (left) and SIC (right) for the 1979-2008 period. Lower panels: climatological changes between the FutureHadiSST 2.1.1 dataset and the HadiSST 2.1.1 dataset for SST (left) and SIC (right).
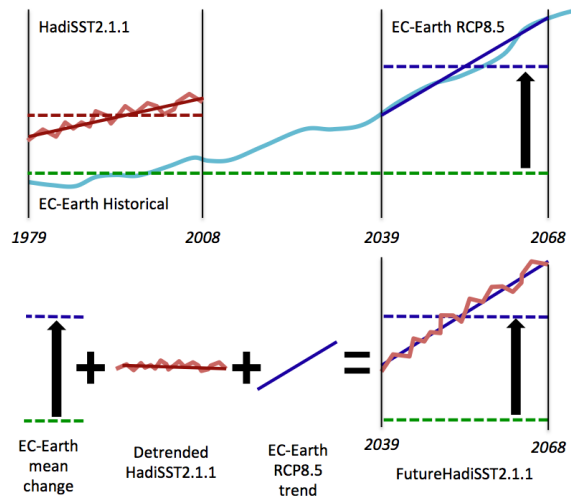
Figure 2. Scheme representing the methodology adopted to create the FutureHadiSST 2.1.1. The new dataset is a combination of detrended daily variability from HadiSST 2.1.1, CMIP5 EC-Earth mean change and CMIP5 EC-Earth RCP8.5 trend.
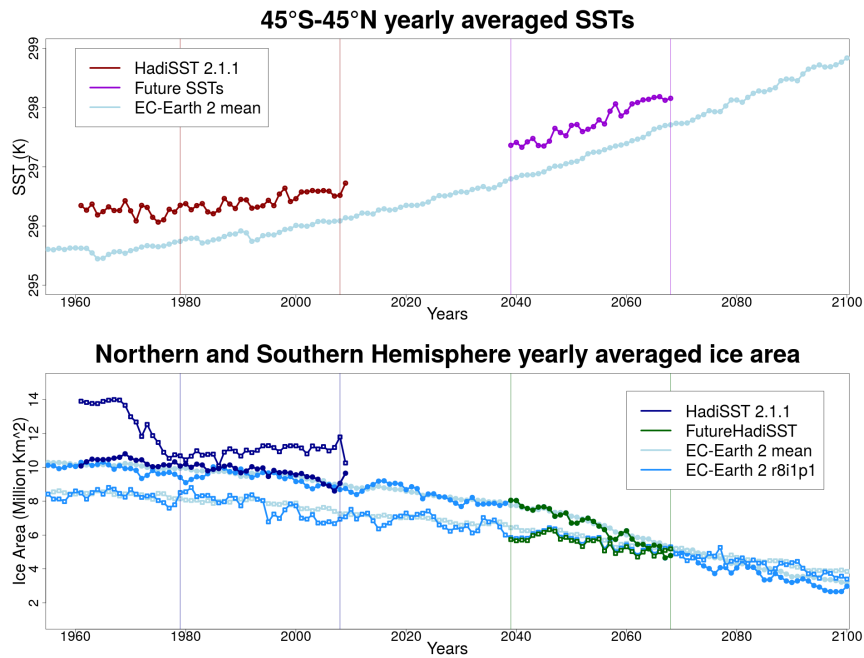
Figure 3. Upper panel: timeseries for 45°S-45°N yearly averaged SST for present-day HadISST 2.1.1 (red), FutureHadiSST 2.1.1 (violet) and CMIP5 EC-Earth ensemble mean (light blue). Lower panel: timeseries for Northern Hemisphere (filled circles) and Southern Hemisphere (empty circles) yearly averaged sea ice area for HadiSST 2.1.1 (dark blue), FutureHadiSST 2.1.1 (green), CMIP5 EC-Earth ensemble member "r8i1p1" (light blue) and the CMIP5 EC-Earth ensemble mean (faint blue).
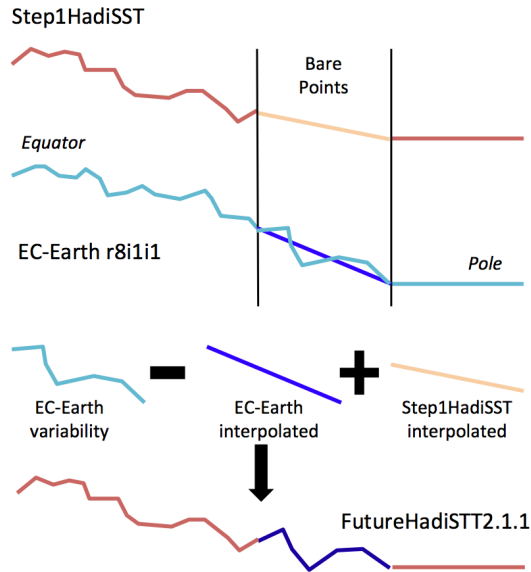
Figure 4. Scheme representing the methodology adopted to fill the "bare points", i.e. the points where sea ice have retreated in the CMIP5 EC-Earth RCP8.5 simulation. Each line represent a SST profile from the equator to the pole.
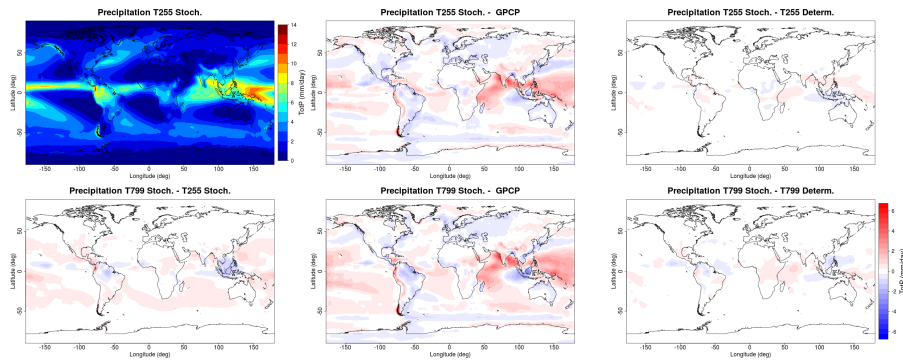
Figure 5. Upper left: Climatological ensemble mean precipitation for the PDA experiments (1979-2008) for T255 with stochastic physics. Lower left: T799 stochastic minus T255 stochastic precipitation. Central panels: T255 (upper) and T799 (lower) precipitation bias with respect to GPCP. Right panels: Stochastic minus deterministic climatological precipitation for T255 (upper) and T799 (lower).
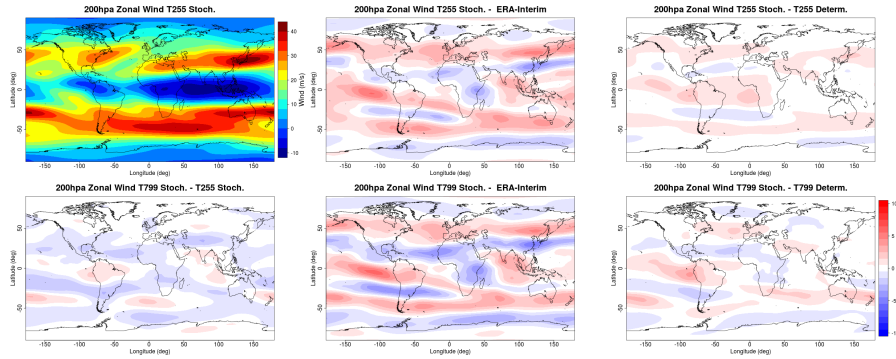
Figure 6. Same as Fig. 5 but for zonal wind at 200 hPa. Here bias is evaluated against ERA-Interim reanalysis.
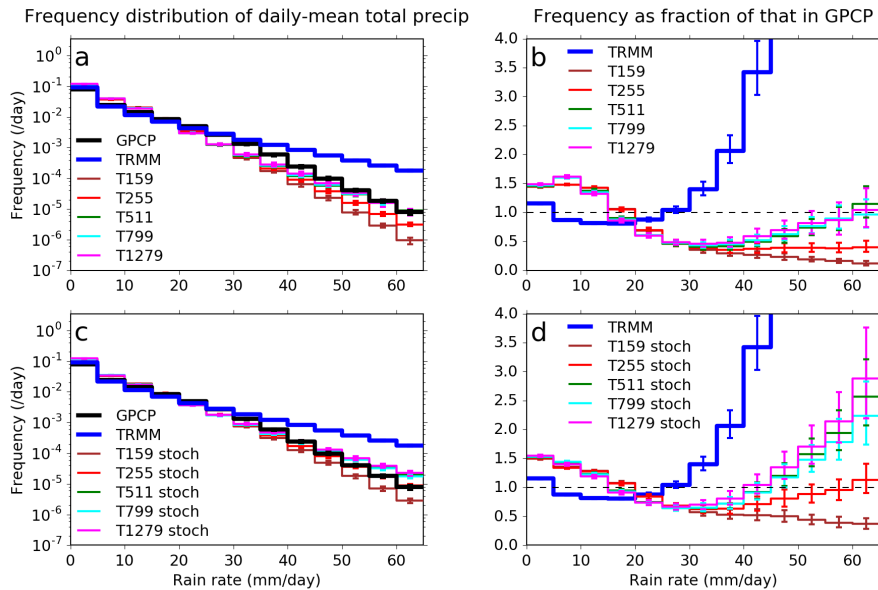
Figure 7. (a) and (c) show the frequency of occurrence of daily-mean rain rates averaged over 2.5°x2.5°grid boxes between 10°S-10°N in different datasets in 5 mm/day intervals, with rates below 0.1 mm/day omitted. (a) shows data for GPCP, TRMM and the deterministic SPHINX PDA simulations and (c) shows the same for the PDA simulations with stochastic physics. Note that the vertical axis is logarithmic. (b) and (d) show the the rain rates in each simulation and TRMM as a fraction of that in GPCP for the deterministic and stochastic runs respectively. Horizontal dashed lines indicate a fraction of 1, which would correspond to perfect agreement with GPCP. Vertical bars indicate the 95% confidence intervals. The frequency in (a) and (c) corresponds to that for an individual grid box, if all grid boxes were statistically equivalent. Data are shown for 1998-2008, the time period common to all datasets, for all ensemble members.
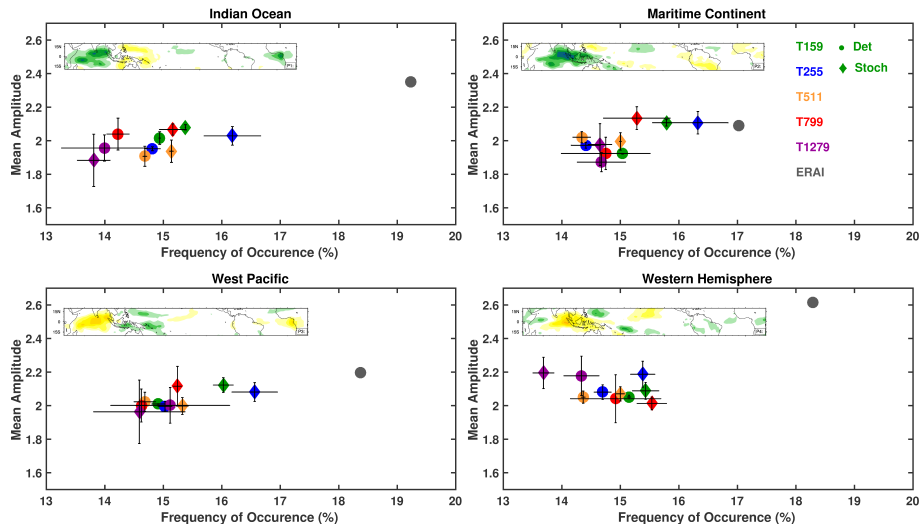
Figure 8. MJO frequency of occurrence vs. mean amplitude for the PDA experiments in the four different phases given the MJO amplitude to be > 1. The four phases are classified as Indian Ocean, Maritime Continent, West Pacific and Western Hemisphere, and their geographical location is shown by the boxes at the bottom of each panel with anomalous positive/negative precipitation patterns (green/yellow regions). Colours indicate the ensemble mean of the different resolutions as shown in the legend, where the circles are the deterministic runs and the diamonds the stochastic runs. ERA-Interim is reported in grey. Statistics are shown for the period 1980-2001. Error bars show the uncertainty range by providing the same statistic for periods half the length of the analysis.
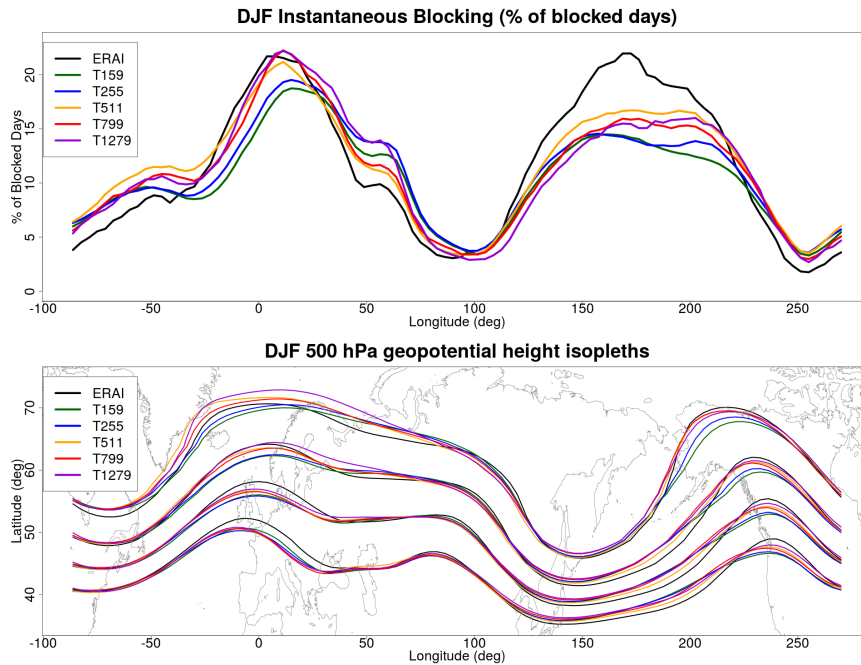
Figure 9. Upper panel: ensemble mean blocking frequencies following D'Andrea et al. (1998) for the different PDA experiments. Members of deterministic and stochastic experiments have been combined together for each resolution. ERA-Interim for the 1979-2008 period is shown as comparison in black. Lower panel: DJF climatological mean for geopotential height at 500 hPa for the ensemble mean of PDA experiments. Only 5200, 5300, 5400 and 5500m isopleths are reported.

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