

Reponse to reviews of “PMIP4-CMIP6: the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6” by Kageyama et al.

[for clarity, we reproduce *the comments by the reviewers, editor and CMIP panel in blue/italic* and provide our answers in black]

We thank the reviewers for their comments which helped focussing and clarifying the manuscript. We have attempted to reply to all their comments. In particular, we have:

- reduced sections 1 and 2 and removed figures 3 to 5 which were published elsewhere,
- expanded sections 3 and 4, with a more complete protocol and a more complete analyses plan, including an overview of the analyses plans for individual experiments.

We have also attempted to harmonise the text and to remove inconsistencies.

Reponse to Anonymous Referee #1

There is little doubt that PMIP made significant contributions in assessing the role of different forcings on past climate changes. The strength of a MIP comes of course from the clear definition of boundary conditions, forcings etc. Hence, it is absolutely useful to describe these as clearly as possible in the peer-reviewed literature. Having said this, I am struggling with the purpose of this ms. Large parts read like an (unconvincing) attempt to justify PMIP4. Instead of repeating what was done in PMIP 3, the ms. would be much more convincing if the authors could outline which insights into climate processes were gained that would have been impossible without PMIP (using a few examples). In my view, there is too much description of modeled changes and matches/mismatches with proxy data but too little information on real insights into climate processes - especially on processes that are of relevance outside the paleoclimate community.

We have shortened Sections 1 and 2 in agreement with this comment, removed Figures 3 to 5 which were describing previous results in terms of model-data comparisons and added examples of how PMIP can help and illustrate the processes at work in climate model responses to forcings. The available paleoclimatic reconstructions, which were also illustrated on these figures, are now the topic of a special section of the analyses plan (Section 4.1). We have attempted to complete the protocol wherever it lacked information, so that all necessary information to run the PMIP4 experiments for CMIP6 can be found in the present manuscript.

My understanding is that the ms. should serve as an entry point to a series of more specialized descriptions of the experimental setup for the individual time slices. While such a publication strategy seems very useful, it also means that repetition can and should be avoided. In my view this overarching ms. should have a clear focus on what is now sect. 3 as well as on common issues among the experiments.

This particular topic has been discussed between the CMIP Panel and the Editor. We have followed the CMIP Panel recommendation and added details on the protocols so that their description is complete. The complete justification of these protocols, though, would take too much space in the present manuscript and is developed in the companion papers on each period. These papers also detail the sensitivity experiments based on the PMIP4-CMIP6 experiment. They are being submitted

to GMD. The present manuscript will be held until acceptance of the companion paper and modified if necessary to guarantee consistence between all papers.

Here, I am surprised that some important aspects are only mentioned in passing:

- River routing: the recommendations are quite vague for the LIG and the Pliocene (recalling, for example, that the modern river-system draining into the Arctic developed to a large part after the LGM).

We have now devoted a section to river routing. This was previously in the section about implementing the ice sheets and it is true that there was no specification for periods other than the LGM. Our understanding, though, is that we are currently lacking data sets to take these changes in river routing into account for the LIG and mPWP experiments. We have therefore made the conservative choice of not requiring changes in river routing in those experiments. This could of course be the topic of additional sensitivity experiments, as we know this could be of importance for the oceanic circulation (e.g. Alkama et al, 2008, for the Last Glacial Maximum). This is now clearly stated in the manuscript (Section 3.3).

- Which plans exist to assess the results from LGM experiments using different ice sheet configurations?

It is the first time that we consider several possible ice sheets in our LGM experiments, and this is in acknowledgement that several approaches lead to significantly different reconstructions. It is therefore very important to assess these results and this is now better highlighted in our manuscript (Section 4.2). A first analysis will be to evaluate those simulations in comparison to paleo-climatic reconstructions and assess whether this comparison yields different results for the different ice sheet reconstructions. A second step will be to understand the differences between the climates simulated with the different reconstructions. This is why we encourage groups which can afford it to run several simulations with these different reconstructions. The “LGM ice sheets” working group has been set up to investigate these questions.

- Spin up: how is an insignificant trend defined in the framework of PMIP?

The criteria for defining a spun-up experiments are now quantified in section 3.6, with the same criteria as for PMIP2: “We recommend that the spin-up should be run until the trend in global mean sea-surface temperature is $<0.05\text{K per century}$ and the Atlantic Meridional Overturning Circulation (AMOC) is stable; a parallel requirement for carbon-cycle models and/or models with dynamic vegetation is that the 100-year average global carbon uptake or release by the biosphere is $<0.01 \text{ Pg C a}^{-1}$ ”

- I was surprised to read nothing about the calendar problem [Joussaume and Braconnot,1997], that featured high in earlier cycles of PMIP.

This is absolutely right and the calendar “problem” should still be dealt with, of course. We acknowledge that it would be very difficult for most modelling groups to adapt their online averaging procedures to account for the different definitions of the months for *midHolocene* and *lig127k*. This is why we require daily data for a few key variables so that the differences due to the changing calendars can be computed offline. This is now explained in section 4.5 and illustrated (Figure 5).

While I am generally very much in favor of the PMIP community to publish their experimental strategy, the current ms. needs considerable re-writing to become a useful contribution. I would suggest to drastically reduce the length and to focus it on new aspects:

- Sections 1 and 2 contain almost no new information and should be largely replaced by a concise summary of dynamical insights gained from earlier PMIP phases (see above)

We have considerably shortened these sections and added a few examples of “dynamical insights”.

- Figs. 2-5 were published elsewhere and should be removed

We have kept Fig. 2 which was created for this manuscript but removed all others as required.

- Focus should be on what is now sections 3 and 4.

These sections have been extended with a complete protocol and more detailed analyses plan.

Finally, someone out the large group of authors should read the ms. from beginning to end to ensure that the wording/style is consistent (incl. the ref. list; “et al” vs. et al.”; paleo vs. palaeo) and that geological ages are correct and consistent among the ms. (mPWP 3.2 Ma vs. 3.3-3 Ma; incorrect start of the Quaternary at 2.5 Ma).

Thank you for spotting these. We have done our best to have fully consistent text in this new version. The confusion about the dates for the mid Pliocene Warm Period might arise from the fact that while PRISM, the project which specifically deals with climate reconstructions for this period, has considered the full mid Pliocene Warm Period (from ~3.3 to 3 million years ago), the second phase of PlioMIP specifically focuses on a warm interglacial within the mid Pliocene Warm Period, and this interval, termed KM5c, has been dated to 3.205 Ma ago. We have added a sentence to clarify this, in section 1.3: “The mPWP experiment focuses on a specific interglacial period, dated at ~3.2 Ma before present, during the mid-Pliocene interval (3.3 to 3 Ma before present).”

Response to Steve Sherwood

This paper nicely lays out the plan for PMIP4, which is part of CMIP6. It describes the history and rationale for this project, then reviews the experiments which cover five periods in recent Earth history and expand on those of previous PMIPs. The protocols for these experiments are described, a few potential pitfalls are noted for modellers to beware of, and useful links to other MIPs are noted. I think the project sounds exciting and hope that plenty of modelling centres are able to participate. This article would benefit from some very minor revisions to improve clarity, but otherwise I am happy with it and don't see any major omissions.

We thank the reviewer for his enthusiasm. Despite his support, we have largely revised the manuscript according to the other reviewers' comments and the editor's comments.

The minor revisions to suggest are:

6, 18-29: Please mention (as I gather later though am still not 100% sure) that all of these experiments are "time-slice" experiments, i.e., the model is run to statistical equilibrium with time-invariant forcing specified based on the quoted point in time to give a representative mean state, except for the last millennium which is transient and includes time-varying forcings such as volcanic eruptions.

This was already mentioned in section 3.1 but we have added the information in section 1.3 too, following this comment.

8, 19: The word "observed" should be reserved for the instrumental period with real observations. Can we instead say "proxy-estimated" (or similar)?

We distinguish between primary observations, such as pollen counts, geomorphic features, or isotopic measurements, and the climate inferences or reconstructions made using these observations. We have therefore now distinguished between palaeoenvironmental observations and climate and/or vegetation reconstructions consistently throughout the text.

8, 29: By "mean values" do you mean global means? Time averages? Changes in global mean relative to modern?

This sentence has disappeared from the text following our decision to shorten Section 2.

10,40 By "trends" do you mean differences (relative to modern)?

We actually meant both differences relative to modern and trends, for transient simulations of the last interglacial. This has now been clarified.

11, 36: please fix error message

The error message has been fixed.

11,34: suggest "current" rather than "modern" (which can mean many things... from a palaeo perspective preindustrial could be viewed as modern)

ok, done.

17, 36-37: I don't think the polarity of the forcing is the real problem, but rather, the fact that some radiative forcing agents produce larger responses per unit global-average power input than do others, and/or provoke "rapid adjustments" to the forcing that are unrelated to global-mean warming or cooling. This is due to the spatial pattern of the forcing. Given the fact that a major goal here is to test model responses to forcings, and given that past forcings are different from the dominant ones we worry about for the future (greenhouse gases), this topic may deserve a bit more discussion.

We have expanded the corresponding paragraph, which is now in section 4.2.3.

Table 2: some of the cells in the table are blank, and I am not sure what this means. Suggest every box should say something (even if it is "see text")

All boxes of table 2 are now filled.

Figure 1. I found this figure confusing; maybe you are trying to cram too much into one panel. The caption refers to panel labels (a, b, ...) but there aren't any. It is hard to figure out what each curve is and which axis refers to what (especially when there is one on each side, or where it switches from right side to left going from one column to another). I would prefer the axes to be individually labelled with the quantity and units, or give the quantity in the title or above the curve and the units on the axis so we know we are looking at the right one. It is not at all obvious that the grey banded stripe is meant to represent the possible range of CO₂ in the left column; nothing wrong with showing it this way but please make clearer what everything is!

We apologize for this confusion. The labels have been added. Colored axes are used so that it is clear which axis a given line corresponds to.

Figure 2a. I presume there are black dots hiding underneath the red ones for mid- Holocene and Historical? To help avoid confusion perhaps the caption could mention this.

Yes, this is right and indicated in the caption.

Figure 2b. Please give units! Also, please explain in the caption what "OAV" and "OAC" refer to.

The unit (mm/year) has been added to the caption.

Figure 3. Please be consistent in labelling the panels (they are given (a,b) in the figure but you say lhs and rhs in the caption). Is this for land only, or land+ocean? What is STSI and ssTSI? Does 31 points mean 31 years? (give the time width of the smoothing window rather than the number of points)

Figure 3 has been removed following the other comments on this manuscript.

Figure 4. It doesn't appear to me that the proxy data are able to tell us anything about the seasonal cycle, given that the differences are small compared to the scatter --- so is it worth including the two right panels in a review paper on PMIP? Especially since the figure is reproduced from another source so anyone who really wants to see the seasonal results can find them. I see later you are already requesting permission to use a portion of another IPCC figure, I'd suggest making a similar request here.

Figure 4 has been removed following the other comments on this manuscript.

Figure 7. Please spell out “preindustrial” rather than PI since PI is not one of the study time periods and you haven’t used this acronym much.

This information has been placed in the caption as it was not fitting in the title of the plot.

Response to Anonymous Referee #3

This manuscript details the contribution of the paleoclimate modeling community to the new Coupled Modelling Intercomparison Project. For this phase the PMIP community is planning to expand its contribution significantly, including 5 different periods or experiments. In order that as many modelling groups as possible participate in this effort a clear modelling setup. This manuscript gives an overview of the rationale and broadly outlines the experimental setup. Hence the importance of this paper is clear

General Comments

I find the manuscript a bit long without going into the specific details of each experiment. This is ok as it is mentioned that there will be special papers for each one of them. I would suggest shortening section 1, and section 2 could benefit for a clearer discussion on current modelling gaps.

We have indeed considerably shortened Sections 1 and 2 and removed figures 3 to 5. Section 2 was originally written to summarize previous work on each period, including aspects which models could not account for. We have chosen to keep this section short and have not added information but have also attempted to clarify what is new in the PMIP4-CMIP6 experiments compared to the previous phases, e.g. including the dust forcing, which is new for the *midHolocene* and *lgm* experiments, including the analysis of the sensitivity of the *lgm* results to the imposed ice sheets, using an improved volcanic forcing for the *past1000* experiment. In our opinion, this addresses “modelling gaps” in a positive manner.

I have also personal comment. Having worked with the past1000 simulations, it would be very useful if all the modelling groups planning to run this experiment, would run it up to present. (850-2005 CE instead of stopping in 1850).

This is an important point which has been added to section 2.2 and explained in the specific manuscript on the past1000 experiment (Jungclaus et al, 2016).

Figures: I am not familiar with the journal's policy in this respect, but it seems odd to me to use so many previously published figures. Also the quality and style of the figures is too diverse. For example, please use just one projections for all maps.

We have removed Figures 3 to 5. Figure 6 (now Figure 3) has been redrawn with projections similar to the other maps displayed in the paper.

There is a lot of inconsistent naming of the experiments throughout the text. Please use either “midHolocene” or “MH”. “LM” or “past1000” and so on.

We agree this could be confusing. We use different names for the periods and the experiments. This is now clearly explained in Section 1.3. We have been careful in updating the manuscript accordingly.

Specific comments

Page3, Line 13: Missing comma after However. Or are you using “however = to whatever extent” ?

A comma has been added.

Page3, Lines 14-15: used twice “well outside”

This repetition was made on purpose so that the two facts could be linked.

Page 5, lin34: include years for “the last interglacial”.

done.

Page 6, line 1: : : of participating MODELLING groups.

done

Page 6, line5: in this section maybe include the CMIP6 questions?

We did include the CMIP6 questions in the first paragraph, but do not feel it is necessary to lengthen the title of the section since we also discuss the link with the CMIP6 key questions in Section 4 (plan of analyses).

Page6, line 7: is “How does the Earth System respond to forcing” question 1? How many questions are there? Please introduce a bit better.

This is the exact formulation of the CMIP6 first key question, this is why we give it between quotes. The reference to Eyring et al (2016) has been added to indicate that this formulation does not depend on us. Examples of analyses of PMIP4 results to answer these questions are further given in the analysis plan.

Page 6, line35: define DECK

done, with a reference to Eyring et al, 2016.

Page 7, line 20: change “interesting” for “valuable”

done

Page 7, lines 41-42: please be consistent between title and text about MH and LGM.

As explained above, we use “MH” and “LGM” for the periods, and *midHolocene* and *lgm* for the experiments themselves. We hope that it is clearer now.

Page 10, line 24: question: is there a separate paper with specifics?

Yes, this is indicated in Section 3.

Page10, line 34: needs a reference.

A reference to Capron et al (2014) has been added

Page 11, line 36: there is a typo.

The faulty reference has been removed. The following sentence has been reformulated.

Page 13, line 27: delete “web sites”. Its repeated.

The sentence has been fixed (and has no occurrence of “web sites” now).

Page 17: line 22: delete “the”

done

Figure 1: needs more information of the different panels. Very hard to understand at this point

We have modified the figure – cf. response to the second reviewer.

Response to the CMIP Panel

Dear PMIP authors,

The CMIP Panel is undertaking a review of the CMIP6 GMD special issue papers to ensure a level of consistency in answering the key questions that were outlined in our request to submit a paper to all co-chairs of CMIP6-Endorsed MIPs. These questions are outline in the overview paper (Eyring et al, GMD, 2016) and the relevant section is summarised below:

‘Each of the 21 CMIP6-Endorsed MIPs is described in a separate invited contribution to this Special Issue. These contributions will detail the goal of the MIP and the major scientific gaps the MIP is addressing, and will specify what is new compared to CMIP5 and previous CMIP phases. The contributions will include a description of the experimental design and scientific justification of each of the experiments for Tier 1 (and possibly beyond), and will link the experiments and analysis to the DECK and CMIP6 historical simulations. They will additionally include an analysis plan to fully justify the resources used to produce the various requested variables, and if the analysis plan is to compare model results to observations, the contribution will highlight possible model diagnostics and performance metrics specifying whether the comparison entails any particular requirement for the simulations or outputs (e.g. the use of observational simulators). In addition, possible observations and reanalysis products for model evaluation are discussed and the MIPs are encouraged to help facilitate their use by contributing them to the obs4MIPs/ana4MIPs archives at the ESGF (see Section 3.3). In some MIPs additional forcings beyond those used in the DECK and CMIP6 historical simulations are required, and these are described in the respective contribution as well.’

We very much welcome the PMIP contribution and the detailing of the experimental design, analysis plan and diagnostic output that you currently cover in sections 3 and 4. We also welcome the strong links that PMIP has clearly forged with other CMIP6 MIPs and look forward to the joint analysis that you describe.

We thank the CMIP Panel for these positive comments

Additionally, we would like to see some more detail on some of the issues raised above, notably;

a. More discussion on the specific goals of PMIP4 in CMIP6 and what science gaps it is attempting to fill. You describe the 3 CMIP6 science questions and PMIP links to them in Section 1.3 and the links to the WCRP GCs in section 4.3, but it would be good to see some discussion on what PMIP4 is hoping to achieve that is new since PMIP3.

We have largely re-written section 4 with this goal in mind.

b. The description of the experimental design for each experiment is comprehensive and very useful. There are however, a worrying large number of papers ‘to be submitted’.

Is it clear that once this paper is published modelling groups will be able to rely on it to provide a comprehensive start point for setting up their experiments?

This is right, and we have clarified the situation in the revised manuscript. All necessary information to set up the experiments is now given in this manuscript. The accompanying manuscripts (now

submitted except for the LGM paper) are giving additional justifications of this set-up, the design of complementary (sensitivity) experiments and associated plan of analyses.

c. A lot of focus in the analysis plan is given to the multi-time period analysis, but not all modelling centres will be contributing to all (or indeed in some cases more than 1) of the entry card/tier 1 experiments. Could more be said about analysis of the specific experiments and what new we will learn from these experiments since PMIP3-CMIP5 (higher resolution, better data, more ES components)?

We have expanded section 4, which is dedicated to the analysis plan, and given examples of single period analyses. However, more complete analysis plans are given in the companion papers on single periods, which are already accepted (mid-Pliocene, Haywood et al, 2016), submitted (for the interglacials, Otto-Bliesner et al, 2016, and the last millennium, Jungclauss et al, 2016) or soon to be submitted (for the LGM, Kageyama et al, 2016) and we did not want to lengthen the paper too much by repeating this information.

d. You make the point that the comparison of these time periods to palaeodata is one of the key drivers but say very little about the observational data sources or whether these products will be made available to the community to facilitate comparison. In section 4.4 you describe the new metrics and forward modelling you request the models output. It would be good to document how these will be evaluated.

We have dedicated a new section to the available paleodata, pointing to available data sets (Section 4.1). This is by no means exhaustive but demonstrates the existence of reconstructions for each PMIP4 period. This is a very active area of research and there will be new syntheses, which we have indicated too.

We hope you agree that some level of consistency across the MIP papers in this special issue is valuable and that the above suggestions can be accommodated in your paper.

Other comments:

e. There is a lack of consistency in the naming of the experiments e.g. notably the use of LM and past1000 somewhat interchangeably. Please clarify

We use different names for the periods (LM: last millennium, MH: mid Holocene, etc) and the associated experiments (past1000, midHolocene, etc). This is now clarified as early as possible in the manuscript, in section 1.3.

f. In section 3.2, the implementation of the ice-sheets needs to be a bit clearer. For example do all points 2-5 refer to both midplioceneEoi400 and lgm?

This has been clarified:

Steps 2 and 3 are compulsory for both experiments,

With many thanks for your ongoing efforts in the CMIP6 process.

The CMIP Panel

Response to the Editor's comments

[21 July 2016]

I think most things have been covered by the reviewers and the CMIP panel's comment, so please enjoy responding to their comments. In common with one of the reviewers, I'm not enchanted by the history lesson. I understand that you want to draw people into considering paleoclimate, but I think the resulting length of the paper is more likely to turn people away.

We have shortened the history lesson and re-focussed the manuscript on the protocol and analysis plan.

However, the real problem for publication of this paper in GMD is the possibly incomplete protocols.

From Section 3: "The modified forcings and boundary conditions for each PMIP4-CMIP6 palaeoclimate simulation are summarised in Table 2. The complete details of the experimental protocols are given in a series of companion papers: Otto-Bliesner et al for the midHolocene and lig127ka experiments, Kageyama et al for the lgm, Jungclaus et al for the past1000 and Haywood et al (2016) for the midPliocene-eoi400 experiment. These papers also explain how the boundary conditions for each period have been built and constitute key references for the experimental protocol for each of the PMIP4-CMIP6 simulations."

The problem, as highlighted by the CMIP panel, is that most of these papers are not published. I can't control what is going to be in those other papers - all I can do is make sure that this paper meets the peer review requirements. Therefore, for all experiments please include in this paper, "the complete details of the experimental protocols" for the PMIP4-CMIP6 experiments. You can leave the details on "how the boundary conditions for each period have been built" to the still to be submitted papers. You can also leave all alternate experiments that are within PMIP4 but outside CMIP6 to those other papers. Please make sure that Table 2 is edited so that it does not appear that essential details required for setting up the experiments are included in these unpublished papers. Basically, a modeller should be able to set up the PMIP4-CMIP6 runs using the information contained in this paper.

The alternative is that we put this paper hold until the other papers have passed through peer review. This could be workable as I understand that you intend to submit the other papers also to GMD. Even in that case, I would like to see the complete protocols for PMIP4-CMIP6 detailed here (citing the other papers as required), as trying to extract the CMIP6 protocols from the much more elaborate single-interval papers is likely to be a trying process.

The accompanying papers have been or will soon be submitted. We agree that the present manuscript is held until the companion papers are accepted, and to update the protocol accordingly, if necessary, to guarantee consistency between the manuscripts.

[6 October 2016]

I'm also editing the DeepMIP paper, and they happen to have a rather prominently positioned section about data for comparison with the model output, which caused me to pay attention to it, and then criticise it as inadequate! I think that more or less the same criticism can be levelled at this PMIP4 manuscript. Section 4.2 touches on ways in which the models may be compared to data, but this is

less important than outlining the datasets that are available and including specifics about any new datasets that are planned to emerge within the timeframe of the project. Please add this information for all the PMIP4 periods in the revised manuscript. It doesn't have to be a huge amount of material - the point is a practical one - to lead users of PMIP model output in the right direction so they can discover the relevant datasets. I expect you would include citations in the revised section 4.2, but you might also wish to include some additional information (DOIs/weblinks etc) in the Data Availability section.

We have added section 4.1 and one table to give an overview on the available data sets for each period.

[27 October 2016]

In response to my previous comment which highlighted the problem of citing un-submitted works, the authors elected to put this manuscript on hold until those manuscripts were submitted to GMD. Those manuscripts are now almost complete, and the authors have enabled me to see two out of the three of them for approval before submitting to GMD.

*I find there is a real problem, which is that these two draft manuscripts both contain substantial discussion of the background and rationale behind the *CMIP6* PMIP4 protocols. These discussions are too entwined with the non-CMIP6 experiments within PMIP4 to be removed from those draft papers, and including the content within this overview manuscript under consideration would be entirely impractical.*

In terms of the peer review at GMD I find it unacceptable that we should in this overview manuscript be approving the protocols for the CMIP6 runs without first reading the rationale behind them!

All this suggests that the complete protocols should be peer reviewed within those other papers. Since those papers describe the rationale behind the CMIP6 protocol they must also be included in the CMIP6 special issue.

As for the present paper under consideration, a purely descriptive paper about PMIP does not fit into the peer review criteria and I have great difficulty accepting it as a standalone paper. However, if it were presented as the introductory part of a multi-part paper, I think it will be a useful contribution to the whole. This has led me to come up with a solution that solves all these problems, and I think it also produces a nice final product. I suggest that the papers be submitted as 4 parts of a multi-part paper. The titles should be made consistent with each other and include the Part number in the title. They do not have to be exactly this, but something like this would work...

PMIP4-CMIP6, the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6, Part 1: Introduction and(/or?) Overview

PMIP4-CMIP6, the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6, Part 2: Eemian and midHolocene

PMIP4-CMIP6, the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6, Part 3: The Last Millennium

PMIP4-CMIP6, the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6, Part 3: The Last Glacial Maximum

From the point of view of this paper, the authors are welcome to submit a revised version, but if outline protocols for the experiments are included then the final publication will need to wait until all the other three papers are accepted.

I appreciate the difficulties the authors have had with trying to write a single coherent paper as a contribution to the GMD CMIP6 special issue, but I think it was an intractable problem, because the resulting paper could not pass the GMD peer review criteria of including both the rationale and the protocols. In that context, I'd like to contrast this MIP with one of the others I edited. CFMIP have a huge number of experiments fully described within their paper, including tier 1 and tier 2 experiments. I think it is an elegant paper. However, CFMIP experiments are all highly idealised, and thus there is no great debate to be had in defining the protocols and they can be very simply described. PMIP, on the other hand is trying to model real and disparate intervals in the earth's climate history. This is an order of magnitude more complex, involving several different communities of scientists, and it so it is not appropriate to squeeze it all into a single paper. The multi-part paper will enable these communities to each take the responsibility for the defence of their own experiment protocols.

As stated above, we have accepted to publish this manuscript only after the other three, on each PMIP experiments, are accepted. Nonetheless, we have prepared this revision and entitled it "Part 1" to make explicit the changes we have already implemented following the comments from the reviewers and the editor and the fact that this manuscript is linked to three other manuscripts + the manuscript by Haywood et al, 2016, already published in CP. For the moment, we have kept the complete protocols in this manuscript as recommended by the CMIP Panel. All other companion papers have now been submitted to GMD, except the LGM one which will be submitted during the second week of January 2017.

The PMIP4 contribution to CMIP6 – Part 1: Overview and over-arching analyses plan*

Supprimé: -CMIP6: the

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* This paper is the first of a series of 4 GMD papers on the PMIP4-CMIP6 experiments. Part 2 (Otto-Bliesner et al. 2016) gives the details about the two PMIP4-CMIP6 interglacial experiments, Part 3 (Jungclauss et al., 2016) about the last millennium experiment, and Part 4 (Kageyama et al., 2017) about the Last Glacial Maximum experiment. The mid Pliocene Warm Period experiment is part of the Pliocene Model Intercomparison Project (PlioMIP) - Phase 2, detailed in Haywood et al. (2016).

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Abstract.

The goal of the Paleoclimate Modelling Intercomparison Project (PMIP) is to understand the response of the climate system to different climate forcings and feedbacks. Through comparison with observations of the environmental impact of these climate changes, or with climate reconstructions based on physical, chemical or biological records, PMIP also addresses the issue of how well state-of-the-art numerical models simulate climate change. Paleoclimate states can be radically different from those of the recent past documented by the instrumental record, and thus provide an out-of-sample test of the models used for future climate projections and a way to assess whether they have the correct sensitivity to forcings and feedbacks. Five different periods have been designed to contribute to the objectives of the sixth phase of the Coupled Model Intercomparison Project (CMIP6): the millennium prior to the industrial epoch (past1000), the mid-Holocene, 6,000 years ago (midHolocene); the Last Glacial Maximum, 21,000 years ago (lgm); the Last Interglacial, 127,000 years ago (lig127k) and mPWP, the mid-Pliocene Warm Period, 3.2 million years ago (midPliocene-eoi400). These climatic periods are well documented by paleoclimatic and paleoenvironmental records, with climate and environmental changes relevant for the study and projections of future climate changes. This manuscript describes the motivation for the choice of these periods and the design of the numerical experiments, with a focus on their novel features compared to the experiments performed in previous phases of PMIP and CMIP. Analyses of the individual periods, across all the periods and comparisons with other CMIP6 simulations, will allow examination of relationships between forcings of different nature and amplitude and climate responses, and comparison of the processes involved in these responses. The evolution of interannual variability in the past is also expected to provide some clues on the linkages between mean climate and climate variability. This manuscript also describes the information needed to document each experiment, the experimental protocols, and the model outputs required for analysis and benchmarking.

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1 Introduction

1.1 Why model paleoclimates?

Instrumental meteorological and oceanographic data, available for the period extending from the middle of the 19th century, describe the manner in which Earth's surface climate has evolved since the beginning of the industrial revolution. These data show a global warming of ~0.85°C has occurred since this time, a warming that is more intense over land than over the oceans, and more intense at high latitudes compared to the tropics (Hartmann et al., 2013; Sutton et al., 2007). This recent climate change has been largely induced by the increase of atmospheric greenhouse gases due to human activities, amplified by the action of feedbacks such as those associated with atmospheric water vapor and clouds (e.g. Dufresne and Bony, 2008) and the albedo of snow and ice. Changes in the land cover or in ocean properties and circulation (Cubasch et al., 2013) are other feedbacks on climate. Aerosol forcing related to human activities has also had an impact on climate, although at regional level. This process-based understanding of the climate system is embedded in the climate models used to project changes in future climates. The skill of these climate models is most commonly evaluated in comparison to the present climate and climate change since the pre-industrial age (1850 CE). However, concentrations of atmospheric greenhouse gases are projected to increase significantly during the 21st century, reaching levels well outside the range of recent millennia. Thus, in making future projections, models are operating well outside the conditions for which they have been calibrated. Current climate conditions do not provide a full understanding of how climate responds to various external factors. The credibility of climate projections needs to be assessed using information about longer-term paleoclimate changes, particularly for intervals when the climate change compared to present was as large as the anticipated future change.

We have to look back several million years to find a period of Earth's history when atmospheric CO₂ concentrations were similar to the present day (the mid-Pliocene warm period, ca. 3.3 to 3 million years ago) and several tens of million years (e.g. the early Eocene, ~55 to 50 million years ago) to find concentrations similar to those possible by the end of this century under current emissions trajectories. During these ancient periods, land surface topography, ocean bathymetry, land-ocean distributions and/or the geometry of the ice sheets were different from today, and the mechanisms which led to high atmospheric CO₂ concentrations likely acted on timescales much slower than anthropogenic fossil fuel emissions. Although these periods are not perfectly analogous to the future, they can offer key insights into climate processes that operate in a higher CO₂, warmer world (e.g. Lunt et al., 2010, 2012; Caballero and Huber, 2010). During the Quaternary (2.58 million years ago to present), the Earth's geography was very similar to today and the main external factors driving climatic changes are the astronomical parameters, which determine the seasonal and latitudinal distribution of incoming solar energy. Changes in greenhouse gas concentrations and in ice sheets effectively acted as additional forcing factors on the dynamics of the atmosphere and the ocean. Rapid climate transitions, on human-relevant timescales (decades to centuries), have been documented for this most recent period (e.g. Marcott et al., 2014; Steffensen et al., 2008). By combining several past periods, we can provide a broad picture of the climate response to external forcings, and benefit from the rich resource of paleoclimates and paleoenvironments. There are numerous paleoclimate records documenting the evolution of Earth's climate before instrumental records (e.g., Harrison and Bartlein, 2012; Masson-Delmotte et al., 2013). These show large variations in the Earth's climate prior to the industrial era, commensurate with the magnitude of projected changes in the future.

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Supprimé: Some of these records are based on physical and chemical properties of the atmosphere, vegetation and ocean; such as oxygen and carbon isotopes, which have been preserved in various geological archives such as ice, speleothems or microscopic plankton shells (e.g. Caley et al., 2014, for a model-isotopic data

Replicating the totality of those climate changes with state-of-the-art climate models, driven by appropriate forcings (e.g. insolation, atmospheric composition) and boundary conditions (e.g. ice sheets), is a challenge (Braconnot et al., 2012; Harrison et al., 2015). It is challenging, for example, to represent the correct amplitude of past climate changes such as glacial-interglacial temperature differences (e.g. the temperatures at the Last Glacial Maximum, ~21,000 years ago, vs. the pre-industrial temperatures, cf. Harrison et al., 2014) or the correct spatial patterns such as the northward extension of the African monsoon during the mid-Holocene, ~ 6,000 years ago (Perez-Sanz et al., 2014). Interpreting paleoenvironmental data can also be challenging, in particular if one wants to disentangle the relationships between changes in large-scale atmospheric or oceanic circulation, broad-scale regional climates and local environmental responses to these changes. This challenge is paralleled by concerns about future local or regional climate changes and their impact on the environment. Modelling paleoclimates is therefore a means to understand past climate and environmental changes better, using physically based tools, as well as a means to evaluate model skill in forecasting the responses to major drivers.

1.2 The Paleoclimate Modelling Intercomparison Project (PMIP)

The Paleoclimate Modelling Intercomparison Project (PMIP) was established in the 1990s (Joussaume and Taylor, 1995) in order to understand the mechanisms of past climate changes, in particular the role of the different climate feedbacks, and to evaluate how well the climate models used for climate projections simulate well-documented climate states outside the range of present and recent climate variability. To achieve these goals, PMIP has actively fostered paleoclimatic data syntheses, model-data comparisons and multi-model analyses. PMIP also provides a forum for discussion of experimental design and appropriate techniques for comparing model results with paleoclimatic reconstructions.

Since its beginning, PMIP has closely followed developments in climate modelling, in parallel to the Atmospheric Model Intercomparison Project (AMIP) and the Coupled Model Intercomparison project (CMIP). Each new phase of PMIP has therefore included the study of additional processes and/or feedbacks of the climate system and new possibilities for model-data comparisons (Braconnot et al., 2007; Braconnot et al., 2012). Two climatic periods have been a major focus throughout PMIP's history: the mid-Holocene (MH, ~6,000 years ago) and the Last Glacial Maximum (LGM, ~21,000 years ago). These two periods are considered as reference points for assessing the sensitivity of the climate system to changes in atmospheric CO₂ concentration and orbitally-induced changes in tropical circulation and the monsoons (Braconnot et al., 2012; Harrison et al., 2015). Evaluations of the MH and LGM simulations made in successive phases of PMIP provide a unique overview of the evolution of the ability of climate models to reproduce large changes compared to today (Harrison et al. 2013; Flato et al., 2013).

Paleoclimate experiments were included for the first time in the ensemble of simulations made during the fifth phase of CMIP (CMIP5, Taylor et al., 2012), equivalent to the third phase of PMIP (PMIP3). In addition to the MH and LGM simulations described above, transient simulations of the millennium prior to the industrial epoch (LM, 850-1849 CE, Schmidt et al., 2011, 2012) were also included in CMIP5 to study the mechanisms of decadal to centennial climate variability (natural variability vs. impact of solar, volcanic and anthropogenic

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forcings). Thanks to this formal inclusion of paleoclimate simulations in the CMIP5 exercise, it was possible to compare the mechanisms causing past and future climate changes in a rigorous way and evaluate the models used for projections under climate states very different from the present one (e.g. Harrison et al., 2014, Harrison et al., 2015), providing out-of-sample validation.

A number of other time periods were included in PMIP3, in particular the mid-Pliocene Warm Period (mPWP, ca. 3.3 to 3 million years ago) via the PlioMIP project (Haywood et al., 2010, 2011) and the last interglacial period (130,000 to 115,000 years before present, Lunt et al., 2013) to examine whether climate models could produce a rate of ice-sheet melting in agreement with a global sea level at least 5m higher than now (Masson-Delmotte et al., 2013; Dutton et al., 2015). Discussions on transient simulations of climate behaviour, focusing on the last interglacial period and the last deglaciation (Ivanovic et al., 2016) were also initiated, as were simulations of deeper time, in particular the early Eocene, ~50 million years ago (Lunt et al., 2012; Lunt et al., 2016).

A measure of the success of PMIP3 is provided by the number of participating modelling groups (more than 20) and the prominent role of PMIP results in the fifth IPCC assessment report (Masson-Delmotte et al., 2013; Flato et al., 2013). Moreover, PMIP3 also identified significant knowledge gaps and areas where progress is needed. PMIP4 has been designed to address these issues.

1.3 PMIP4 experiments in CMIP6

The design of the PMIP4 simulations included in CMIP6 was built on the recognition that PMIP simulations naturally address the key CMIP6 question “How does the Earth System respond to forcing?” (Eyring et al., 2016), for multiple forcings and in climates states very different from the current or historical climates. Comparisons with environmental observations and climate reconstructions enable us to determine whether the modelled responses are realistic. PMIP also addresses key question 2 “What are the origins and consequences of systematic model biases?” PMIP simulations and data-model comparisons will show whether the biases in the present-day simulations are found in other climate states. More importantly, analyses of PMIP simulations will show whether present-day biases have an impact on the magnitude of simulated climate changes. Finally, PMIP is also relevant to question 3 “How can we assess future climate changes given climate variability, predictability and uncertainties in scenarios?” through examination of these questions for documented past climate states and via the use of the last millennium simulations as reference state for natural variability.

The choice of time periods for paleoclimate experiments in CMIP6 is based on previous experience in PMIP. For each target period, there is a quantified understanding of the relevant climate drivers and an extensive network and/or synthesis of environmental observations (cf. Sections 2 and 4). The five periods proposed for PMIP4-CMIP6 represent climate states with different greenhouse gas concentrations, astronomical parameters, ice sheet extents, and volcanic and solar activities (Figure 1), consistent with the need to provide a large sample of the climate response to different forcings. While the five periods represent very different climate states, all of them cover aspects of the climate system that are relevant to future climate change (Table 1). The periods are,

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(abbreviated name is provided before the full name, name of corresponding PMIP4-CMIP6 experiment is given in italics within parentheses at the end of each line):

- LM, the millennium before the start of the industrial revolution, from 850 to 1849 CE (*past1000*)
- MH, the mid-Holocene, 6,000 years ago (*midHolocene*)
- LGM, the Last Glacial Maximum, 21,000 years ago (*lgm*)
- LIG, the Last Interglacial, 127,000 years ago (*lig127k*)
- mPWP, the mid-Pliocene Warm Period, 3.2 million years ago (*midPliocene-eoi400*)

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All experiments except *past1000* are equilibrium experiments, in which the imposed forcings are constant. All the experiments have been run by several modelling groups, and all except *lig127k* have been run as formal intercomparisons with a standardized protocol. We have kept the PMIP3-CMIP5 (Taylor et al. 2012) names for simulations which were already part of this project, i.e. *past1000*, *midHolocene* and *lgm*, and the name of the mPWP experiment, *midPliocene-eoi400*, is consistent with the PlioMIP phase 2 naming convention for the mid-Pliocene Warm Period (Haywood et al, 2016). The mPWP experiment focuses on a specific interglacial, dated at ~3.2 Ma before present, during the wider mid-Pliocene Warm Period (3.3 to 3 Ma before present). All the experiments can be run independently and have value for comparison to the CMIP6 DECK (Diagnostic, Evaluation and Characterization of Klima) and historical experiments (Eyring et al., 2016). They are therefore all considered as Tier 1, within CMIP6 (Table 1). It is not mandatory for groups wishing to take part in PMIP4-CMIP6 to run all five PMIP4-CMIP6 experiments. It is however mandatory to run at least one of the experiments that were run in previous phases of PMIP, i.e. the *midHolocene* or the *lgm*. These are considered as “entry cards” for participation in PMIP4-CMIP6.

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Figure 1: Context of the PMIP4 experiments (from left to right: mPWP, mid-Pliocene Warm Period; LIG, last interglacial; LGM, last glacial maximum; MH, mid-Holocene; LM, last millennium; H, CMIP6 historical simulation); (a)-(d) insolation anomalies (differences from 1950 CE), for July at 65°N, calculated using the programs of Laskar et al. (2004, panel (a)) and Berger (1978, panels (b)-(d)); (e) $\delta^{18}\text{O}$ (magenta, Lisiecki and Raymo, 2005, scale at left), and sea level (blue line, Rohling et al., 2014; blue shading, a density plot of eleven mid-Pliocene sea level estimates (Dowsett and Cronin 1990; Wardlaw and Quinn, 1991; Krantz, 1991; Raymo et al., 2009; Dwyer and Chandler, 2009; Naish and Wilson, 2009; Masson-Delmotte et al., 2013; Rohling et al., 2014; Dowsett et al., 2016) scale at right); (f) and (g) $\delta^{18}\text{O}$ (magenta, Lisiecki and Raymo, 2005, $\delta^{18}\text{O}$ scale at left), and sea level (blue dots, with light-blue 2.5, 25, 75 and 97.5 percentile bootstrap confidence intervals, Spratt and Lisiecki, 2015; blue rectangle, LIG high-stand range, Dutton et al., 2015; dark blue lines, Lambeck et al., 2014, sea-level scale at right on panel (g)), (h) sea level (Kopp, et al., 2016, scale at right); (i) CO_2 for the interval 3.0-3.3 Ma shown as a density plot of eight mid-Pliocene estimates (Raymo et al., 1996; Stap et al., 2016; Pagani et al., 2010; Seki et al., 2010; Tripathi et al., 2009; Bartoli et al., 2011; Seki et al., 2010; Kurschner et al., 1996); (j) and (k) CO_2 measurements (Bereiter et al., 2015, scale at left); (l) CO_2 measurements (Schmidt et al, 2011, scale at right); (m) and (n) CH_4 measurements (Louergue et al., 2008, scale at left); (o) CH_4 measurements (Schmidt et al, 2011, scale at right); (p) volcanic radiative forcing (Schmidt et al., 2012, scale at right); (q) total solar irradiance (Schmidt et al., 2012, scale at right).

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Table 1: Characteristics, purpose and CMIP6 priority of the five PMIP4-CMIP6 experiments

Intercomparisons of the simulated responses to specific drivers across different models are valuable as sensitivity experiments are a key aspect of the contribution of PMIP to answer the first of the CMIP6 key questions. However, the true power of PMIP is the connection to the environmental observations and climate reconstructions, which allows an assessment of model skill. As model-data comparisons are as essential to PMIP as comparisons between models, it is important to assess all the issues that might make those comparisons difficult. Uncertainties in the paleoenvironmental observations, or perhaps more broadly, in the climate

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inferences made from those observations, are a key part of PMIP analyses, as is the structural uncertainty across the model responses. Both of these factors have been part of the PMIP approach from the beginning. Improved reconstructions, increased complexity and realism of climate simulations require putting more emphasis on understanding of impacts of the uncertainties in the driver themselves. This encompasses time-uncertainty in the reconstructions (e.g. are all data synchronous? what date should be used to compute the astronomical parameters to compare with available data?) as well as structural uncertainty in the boundary conditions applied (e.g. in the continental reconstructions, ice sheet height and extent, vegetation cover), and in the transient forcings (for instance in the last millennium simulations for solar, volcanic aerosol or land use/land cover change). Differences between plausible reconstructions of boundary conditions and forcings can impact the assessment of model skill. In these cases, we have included alternative forcings and boundary conditions for the PMIP4-CMIP6 experiment or, to be used in PMIP4 sensitivity experiments, (Jungclauss et al., 2016; Otto-Bliesner et al., 2016; Kageyama et al., 2017; Haywood et al., 2016).

In section 2, we give more background on the periods chosen for the CMIP6 experiments and the associated forcings and boundary conditions. The experimental set-up of the experiments is described in section 3. The analysis plan is outlined in Section 4. A short conclusion is given in section 5.

2. The PMIP4-CMIP6 simulations

2.1 PMIP4-CMIP6 entry cards: the mid-Holocene (*midHolocene*) and last glacial maximum (*lgm*)

The MH and LGM periods provide examples of strongly contrasting climate states (Figure 1, Table 1). There are extensive syntheses of marine and terrestrial data for both intervals, documenting environmental responses to changing climate (cf. Section 4). The MH provides an opportunity to examine the response to orbitally-induced changes in the seasonal and latitudinal distribution of insolation. It is a period during which the northern hemisphere was characterised by enhanced northern hemisphere summer monsoons, extra-tropical continental aridity and much warmer summers. The LGM provides an opportunity to examine the impact of changes in ice sheets and continental extent (which increases due to the drop in sea level) and of the decrease in atmospheric greenhouse gases on climate. The LGM is particularly relevant because the forcing and temperature response from the LGM to the Holocene was as large as to that projected from present to the end of the 21st century (Braconnot et al., 2012). Because these periods have been studied in earlier phases of PMIP, they provide the opportunity to evaluate whether increased model resolution and complexity, as well as the increased realism of the experimental set up, leads to improvement in model performance.

Evaluation of the PMIP3-CMIP5 MH and LGM experiments has demonstrated that climate models simulate changes in large-scale features of climate that are governed by the energy and water balance reasonably well, including changes in land-sea contrast (Figure 2a) and high-latitude amplification of temperature changes (Izumi et al., 2013; Izumi et al., 2015). They also simulate the scaling of precipitation changes with respect to temperature changes at the hemispheric scale realistically (Li et al., 2013). The evaluation of the PMIP3-CMIP5 MH and LGM simulations confirms that the simulated relationships between large-scale patterns of temperature and precipitation change in future projections are credible (Harrison et al., 2015). However, the PMIP3-CMIP5

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	simulations of MH and LGM climates show only moderate skill in predicting reconstructed patterns of climate change overall (Hargreaves et al., 2013; Hargreaves and Annan, 2014; Harrison et al., 2014; Harrison et al., 2015). This arises because of persistent problems in simulating regional climates (e.g. Mauri et al., 2014; Perez-Sanz et al., 2014; Harrison et al., 2015). State-of-the-art models cannot reproduce the northward penetration of the African monsoon in response to MH orbital forcing (Figure 2b, Perez-Sanz et al., 2014; Pausata et al., 2016), for example. This discrepancy was already noted in PMIP1 (Joussaume et al., 1999) and Figure 2b shows that there has been no improvement from PMIP1 to PMIP3; nor are models that include additional feedbacks (such as vegetation or carbon cycle) any better. While this could reflect inadequate representation of feedbacks, model biases could also contribute to this mismatch (e.g. Zheng and Braconnot, 2013).	Supprimé: observed
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15	Although the benchmarking of the PMIP3-CMIP5 MH and LGM experiments shows that some models consistently perform better than others (Harrison et al., 2014), better performance in paleoclimate simulations is not consistently related to better performance under modern conditions (Harrison et al., 2015). Hence the ability to simulate modern climate regimes and processes does not guarantee that a model will be good at simulating climate changes, emphasizing the importance of testing models against the paleoclimate record to increase confidence in projections of future climate (Braconnot et al., 2012; Hargreaves and Annan, 2014; Schmidt et al., 2014).	Supprimé: Systematic biases in the simulation of regional climates means that state-of-the-art models are generally better at simulating mean values of any climate variable than at simulating the spatial variability or the geographical patterning in that variable (Harrison et al., 2014).
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20	Figure 2: Data-model comparisons in PMIP2 and PMIP3-CMIP5 : (a) Land-ocean contrast in past, present and projected future climates. The black dots are the simulated long-term mean differences (experiment – piControl) in the relative warming/cooling over global land and global ocean. The red crosses show simulated changes where the model output has been sampled only at the locations for which there are temperature reconstructions for the lgm, midHolocene and historical (post-1850 CE) CMIP5 simulations. Area averages of paleoclimate data are shown by bold blue crosses, with reconstruction uncertainties indicated by the finer lines. The regression line (magenta) shows that land-ocean contrasts are maintained across different climate states and are also consistent with paleoclimatic data. (b) Boxplots of reconstructions based on fossil-pollen data (gray, Bartlein et al. 2011) and simulations (at the locations of the data) for the difference in mean annual precipitation (MAP) for the mid-Holocene (relative to present) in northern Africa (20°W-30°E; 5-30°N). The comparison shows that although all models simulated wetter- than -present conditions in northern Africa for the mid-Holocene, they systematically underestimated the magnitude of the precipitation difference.	Supprimé: :
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35	For PMIP4-CMIP6, we have modified the experimental design of the midHolocene and lgm experiments with the aim of obtaining more realistic representations of these climates. One of these modifications is the inclusion of changes in atmospheric dust loading, which can have a large effect on regional climate changes. Dust has now been implemented in many CMIP6 models, either by using models with an interactive representation of dust or by prescribing atmospheric dust content. In PMIP3, the midHolocene CO ₂ concentration was prescribed to be the same as in the pre-industrial control simulation, because the focus was on testing the impact of the insolation forcing on meridional climate gradients and seasonality. Realistic values of the CO ₂ concentration and other trace gases will be used in PMIP4-CMIP6 (Table 2). This will allow the midHolocene experiment to be used as the initial state for transient simulations of the late Holocene planned as part of PMIP4, and ensure consistency of forcing between the midHolocene PMIP4-CMIP6 snapshot experiment and the transient simulations (Otto-Bliesner et al., 2016). The PMIP3 LGM experiments considered a single ice sheet reconstruction (Abe-Ouchi et al., 2015). However, there is uncertainty about the geometry of the ice sheets at the Last Glacial Maximum. Thus the protocol for the PMIP4-CMIP6 lgm simulations includes a choice between the old PMIP3 ice sheet (Abe-	Supprimé: There are small differences in the boundary conditions to be used for
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Ouchi et al., 2015) or one of two new 21ky BP reconstructions based on somewhat different approaches: ICE-6G_C (Argus et al., 2014; Peltier et al., 2015) and GLAC-1D (Tarasov et al., 2012; Briggs et al., 2014, Ivanovic et al., 2016). Groups wishing to use the *lgm* equilibrium experiment to initialise PMIP4 transient simulations of the last deglaciation (Ivanovic et al., 2016) must use either ICE-6G_C or GLAC-1D because these are consistent with the ice sheet and meltwater forcings provided for the transient experiments. The impact of these different ice-sheet forcings will be a focus for sensitivity experiments in PMIP4 (Kageyama et al., 2017). There are uncertainties regarding other boundary conditions for the *midHolocene* and *lgm* experiments, including dust and vegetation (section 3.5), and these will also be investigated as part of the analysis of the entry-card simulations.

2.2 The last millennium (*past1000*)

The millennium prior to the industrial era, 850-1849 CE, provides a well-documented (e.g. PAGES2k-PMIP3 group, 2015) period of multi-decadal to multi-centennial changes in climate, with contrasting periods such as the Medieval Climate Anomaly and the Little Ice Age. This interval was characterised by variations in solar, volcanic and orbital forcings (Figure 1), which acted under climatic background conditions not too different from today. This interval provides a context for earlier anthropogenic impacts (e.g. land-use changes) and the current warming due to increased atmospheric greenhouse gas concentrations. It also helps constrain the uncertainty in the future climate response to a sustained anthropogenic forcing.

The PMIP3-CMIP5 *past1000* simulation provided an assessment of climate variability on decadal and longer scales and information on predictability under forced and unforced conditions. The importance of forced variability on multi-decadal to centennial time scales was highlighted by comparing spectra from *past1000* simulations with those from control experiments (Fernández-Donado et al., 2013). Other studies focused on the temperature difference between the warmest and coldest centennial or multi-centennial periods and the relation to changes in external forcing, in particular variations in solar irradiance (Fernández-Donado et al., 2013; Hind and Moberg, 2013). Single-model ensembles have provided improved understanding of the importance of internal versus forced variability and the individual forcings when compared to reconstructions at both global and regional scales (Man et al., 2012; Phipps et al., 2013; Schurer et al., 2014; Man et al., 2014; Man and Zhou, 2014; Otto-Bliesner et al., 2016). The *past1000* simulations show relatively good agreement with regional climate reconstructions for the northern hemisphere, but less agreement with southern hemisphere records. The simulations exhibit more regional coherence than shown by southern hemisphere records, though it is not clear whether this is due to deficiencies in the southern hemisphere records, poor representation of internal variability and/or an overestimation of the forced response in the simulations.

The PMIP4-CMIP6 *past1000* simulations build on the DECK experiments, in particular the pre-industrial control (*piControl*) simulation as an unforced reference, and the *historical* simulations (Eyring et al., 2015). Moreover, the *past1000* simulations provide initial conditions for *historical* simulations starting in the 19th century that are considered superior to the *piControl* state, as they include integrated information from the forcing history (e.g. large volcanic eruptions in the early 19th century). It is therefore mandatory to continue the *past1000* simulation into the historical period (Jungclauss et al., 2016). The PMIP4-CMIP6 *past1000* simulation will use a new, more comprehensive reconstruction of volcanic forcing (Sigl et al., 2015) and an experimental

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¶ The PMIP4-CMIP6 *past1000* simulations will be based on experience gained in PMIP3-CMIP5, in which more than a dozen modelling groups participated and a total of 15 *past1000* experiments were stored in the ESGF database.

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protocol that ensures a more continuous transition from the pre-industrial past to the future. The final choices result from strong interactions with the groups producing the different forcing fields for the historical simulations (Jungclauss et al., 2016). Higher-resolution simulations will allow the analysis of a greater range of regional processes, such as the role of storm-tracks and blocking on regional precipitation.

2.3 The last interglacial (*lig127k*)

The Last Interglacial (ca 130-115 kyr BP) was characterised by a northern hemisphere insolation seasonal cycle even larger than for the mid-Holocene (Figure 1, Table 1). This resulted in a strong amplification of high-latitude temperatures and reduced Arctic sea ice. Global sea level was at least 5 m higher than now for at least several thousand years (Masson-Delmotte et al., 2013; Dutton et al., 2015). Both the Greenland and Antarctic ice sheets contributed to this sea level rise, making it an important period for testing our knowledge of climate-ice sheet interactions in warm climates. The availability of quantitative climate reconstructions for the Last Interglacial (e.g. Capron et al., 2014) makes it feasible to evaluate these simulations and assess regional climate changes.

Climate model simulations of the Last Interglacial, reviewed and assessed in the AR5 (Masson-Delmotte et al., 2013), varied in their forcings and were not necessarily made with the same model or at the same resolution as the CMIP5 future projections. There are large differences between simulated and reconstructed mean annual surface temperature anomalies compared to present, particularly for Greenland and the Southern Ocean, and in the temperature trends in transient experiments run for the whole interglacial (Bakker et al., 2013; Lunt et al., 2013). Part of this discrepancy stems from the fact that the climate reconstructions were of the local maximum interglacial warming, and this was not globally synchronous, an issue which is addressed in the PMIP4-CMIP6 protocol.

The PMIP4-CMIP6 *lig127k* experiment will help to determine the interplay of warmer atmospheric and oceanic temperatures, changed precipitation, and changed surface mass and energy balance on ice sheet thermodynamics and dynamics (Table 1). The major changes in the experimental protocol for *lig127k*, compared to the pre-industrial DECK experiment, are changes in the astronomical parameters and greenhouse gas concentrations (Table 2; Otto-Bliesner et al., 2016). Meaningful analyses of these simulations are now possible because of the concerted effort to synchronise the chronologies of individual records and thus provide a spatial-temporal picture of last interglacial temperature change (Capron et al., 2014, 2016), and also to document the timing of the contributions of Greenland and Antarctica to the global sea level (Winsor et al., 2012; Steig et al., 2015). Regional responses of tropical hydroclimate and of polar sea ice can be assessed and compared to the *mid-Holocene*. Outputs from the *lig127k* experiment will be used by ISMIP6 to force stand-alone ice sheet experiments (*lastInterglacialforcedism*) in order to quantify the potential sea level change associated with this climate. The *lig127k* experiment will also be the starting point for a transient experiment covering the interglacial to be run within PMIP4.

2.4 The mid-Pliocene Warm Period (*midPliocene-eoi400*)

The mid-Pliocene Warm Period (mPWP, ca. 3.3 to 3 million years ago) was the last time in Earth history when atmospheric CO₂ concentrations approached current values (~400 ppmv) with a continental configuration similar

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to today (Figure 1, Table 1). Vegetation reconstructions (Salzmann et al., 2008) indicate that the area of deserts was smaller than today and boreal forests were present in high northern latitude regions which are covered by tundra today. Climate model simulations produce global mean surface air temperature anomalies ranging from +1.9 °C to +3.6 °C (relative to each model's pre-industrial control) and an enhanced hydrological cycle (Haywood et al., 2013) with strengthened monsoons (Zhang et al., 2013). These simulations also show that meridional temperature gradients were reduced (due to high latitude warming), which has significant implications for the stability of polar ice sheets and sea level in the future (e.g. Miller et al., 2012). Model-data comparisons provide high confidence that mean surface temperature was warmer than pre-industrial (Dowsett et al., 2012; Haywood et al., 2013; Masson-Delmotte et al., 2013). However, as is the case for the Last Interglacial, the mid-Pliocene simulations were not always derived from the same model at the same resolution as the CMIP5 future projections.

The PMIP4-CMIP6 midPliocene-eoi400 experiment is designed to understand the long-term response of the climate system to a near modern concentration of atmospheric CO₂ (longer term climate sensitivity or Earth System Sensitivity). It will also be used to address the response of ocean circulation, Arctic sea-ice, and modes of climate variability (e.g. El Niño Southern Oscillation), as well as the global response in the hydrological cycle and regional changes in monsoon systems (Table 1). The simulation has the potential to be informative about required emission reduction scenarios designed to prevent an increase in global annual mean temperatures by more than 2 °C after 2100 CE. Boundary conditions include modifications to global ice distributions, topography/bathymetry, vegetation and CO₂ (Table 2, Section 3) and are provided by the US Geological Survey Pliocene Research and Synoptic Mapping Project (PRISM4; Dowsett et al., 2016).

3. Experimental set up and model configuration

The forcings and boundary conditions for each PMIP4-CMIP6 paleoclimate simulation are summarised in Table 2. The complete justification of the experimental protocols and analysis plans are given in a series of companion papers: Otto-Bliesner et al. (2016) for the midHolocene and lig127ka experiments, Kageyama et al. (2017) for the lgm, Jungclauss et al. (2016) for the past1000 and Haywood et al. (2016) for the midPliocene-eoi400 experiment. These papers also explain how the boundary conditions for each period have been designed and constitute key references for the experimental protocol for each of the PMIP4-CMIP6 simulations. Here we provide guidelines that are common to all of the experiments, focusing on the implementation of the boundary conditions where there is a need to ensure consistency between CMIP6 and PMIP4 experiments.

3.1 Model version and set-up

The climate models taking part in CMIP6 are very diverse: some represent solely the physics of the climate system, some include the carbon cycle and other biogeochemical cycles, and some include interactive natural vegetation and/or interactive dust cycle/aerosols. It is mandatory that the model version used for the PMIP4-CMIP6 experiments is exactly the same as for the other CMIP6 experiments, in particular the DECK and historical simulations. Except for the past1000 simulation, all the other PMIP4-CMIP6 simulations are equilibrium experiments, in which the boundary conditions and forcings are constant from one year to another. The experimental set-up for each simulation is based on the DECK pre-industrial experiment (Eyring et al.,

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Supprimé: Figure 5: Figure 1 in Box 5.1 from Chapter 5 of the IPCC AR5 WGI report (Masson-Delmotte et al., 2013, page 397). Comparison of data and multi-model mean (MMM) simulations, for four periods of time, showing (a) sea surface temperature (SST) anomalies, (b) zonally averaged SST anomalies, (c) zonally averaged global (green) and land (grey) surface air temperature (SAT) anomalies and (d) land SAT anomalies. The time periods are 2081–2100 for the Representative Concentration Pathway (RCP) 8.5 (top row), Last Glacial Maximum (LGM, second row), mid-Pliocene Warm Period (MPWP, third row) and Early Eocene Climatic Optimum (EECO, bottom row). Model temperature anomalies are calculated relative to the pre-industrial value of each model in the ensemble prior to calculating the MMM anomaly (a, d; colour shading). Zonal MMM gradients (b, c) are plotted with a shaded band indicating ...

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2015); the forcings and boundary conditions for the DECK pre-industrial experiments are modified to obtain the forcings and boundary conditions necessary for each PMIP4-CMIP6 paleoclimate experiment (Table 2). No additional interactive component (such as vegetation or dust) should be included in the model unless it is already included in the DECK version. Such changes would affect the global energetics (Braconnot and Kageyama, 2015) and therefore prevent rigorous analyses integrating across multiple time periods or between MIPs (sections 4.2 and 4.3).

Table 2: summary of changes in boundary conditions w.r.t. *piControl* for each PMIP4-CMIP6 experiment

For each experiment, the greenhouse gases and astronomical parameters should be modified from the DECK *piControl* experiment according to Table 2. In the following sections, we give more detail on the implementation of the boundary conditions that require specific attention to ensure consistency within CMIP6 and PMIP4.

3.2 Implementation of ice sheets

The midPliocene-eoi400 and lgm experiments require changes in ice sheets. This implies changes in topography, land surface type, (adding or removing land ice), sea level and hence land-sea mask, and ocean bathymetry (Figure 3). These changes in boundary conditions should be implemented as follows:

1. The land-sea distribution should be implemented in the ocean and atmosphere/land surface models. This step is optional for the midPliocene-eoi400 experiment, but mandatory for the lgm. It is important to check the newly glaciated areas in the lgm experiment, to ensure that grid cells under the grounded ice sheets (e.g. in the Hudson Bay area and over present-day Barents-Kara Seas) are not specified as ocean cells.
2. The ice sheet extent should be implemented in the atmosphere/land surface model.
3. Changes in topography should be implemented by adding the topographic anomaly provided by PMIP4 web site (<http://pmip4.lscce.ipsl.fr>) for the LGM or the PliomIP web site (http://geology.er.usgs.gov/egpsc/prism/7_pliomip2.html) for the mPWP to the topography used for the *piControl* simulation. This may require re-computing parameters based on topography, such as those used in gravity wave drag parameterisations, because of the difference in surface roughness between ice sheets and non-glaciated terrain.

Steps 2 and 3 are compulsory for both the *lgm* and *midPliocene-eoi400* experiments.

4. If feasible, changes in ocean bathymetry should be implemented, by using the more detailed bathymetry provided with the ice-sheet reconstructions. For the midPliocene-eoi400 experiment the alternative is to leave bathymetry unchanged (i.e. the same as in the *piControl*). The alternative for the lgm experiment is to lower mean sea level by the amount consistent with the ice-sheet reconstruction used. If the ocean model includes a parameterization of the impact of tides on ocean circulation, re-computation of the parameters as a function of the new bathymetry and ocean boundaries is recommended.

Figure 3: Changes in boundary conditions related to changes in ice sheets for the *midPliocene-eoi400* (top) and *lgm* (middle: ICE-6G_C and bottom: GLAC-1D) experiments. Coastlines for paleo-period shown as brown contours. Ice

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Supprimé: <#>River pathways and basins should be adjusted so that fresh water is conserved at the Earth's surface and rivers reach the ocean. This is particularly important given the large lowering of sea level in the *lgm* experiment. River routing files will be provided for the *lgm* on the PMIP web site (<http://pmip4.lscce.ipsl.fr>), and these indicate how to change the course of rivers in regions covered by ice sheets. For the *midPlioceneEoi400* experiment, rivers pathways remain unchanged from modern except where there are new land grid cells when rivers should be routed to the nearest ocean grid box or most appropriate river outflow point.¶

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sheet boundaries for each period shown as red contour. Bright shading: changes in altitude over regions covered by ice sheets during the considered ~~paleo~~-period. Faded shading: changes in altitude over ice-free regions.

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Some ice-sheet related changes must ~~also~~ be implemented in the initial conditions:

- ~~The~~ atmospheric mass must be the same as today. For some models, this means that the initial surface pressure field has to be adjusted to the change in surface elevation.
- ~~At the beginning of the lgm simulation, the~~ mean ocean salinity has to be increased by +1 PSU everywhere, to account for the lowering of sea level. Alkalinity also needs to be adjusted ~~accordingly~~ if an ocean biogeochemistry model is used.

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3.3 ~~River run-off~~

~~When the land-sea distribution is modified, river pathways and basins must also be adjusted so that fresh water is conserved at the Earth's surface and rivers reach the ocean. This is particularly important for the lgm run, given the large lowering of sea level (cf. Alkama et al., 2008). River routing files will be provided for the lgm on the PMIP web site (<http://pmip4.lsce.ipsl.fr>), which indicate how to change the course of rivers in regions covered by ice sheets. For the midPliocene-eoi400 experiment, rivers pathways remain unchanged from modern except on new land grid cells, when rivers should be routed to the nearest ocean grid box or most appropriate river outflow point. For all other periods, river pathways should be kept unchanged. This is a conservative choice due to the lack of global paleo data set describing these changes.~~

3.4 Vegetation and land use

~~Paleoenvironmental~~ records show that natural vegetation patterns during each of the PMIP4-CMIP6 period were different from today. However, in order to ensure comparability between past, present and future climate simulations, the PMIP4-CMIP6 ~~paleoclimate~~ simulations should follow the same protocol as the DECK and historical simulations. If the DECK and historical simulations use dynamic vegetation, then the PMIP4-CMIP6 ~~paleoclimate~~ simulations should also. If the DECK and historical simulations use prescribed vegetation, then ~~the same~~ vegetation should be prescribed in the PMIP4-CMIP6 ~~paleoclimate~~ simulations. ~~One~~ exception to this is the ~~midPliocene-eoi400~~ experiment, where models which ~~prescribe~~ vegetation in the DECK and historical simulations should ~~prescribe the~~ mid-Pliocene vegetation (Haywood et al., 2016). ~~The other exception is for models including interactive dust cycle for the LGM, which should impose vegetation which allows dust emissions over LGM dust emission regions.~~ Simulations to examine the impact of vegetation changes during other periods ~~are~~ of interest and could be evaluated using ~~paleoclimate data~~. These could be made using prescribed vegetation changes, by running a model ~~such as BIOME4 (<https://pmip2.lsce.ipsl.fr/>)~~ off line to compute vegetation patterns compatible with a past climate state, or by running additional simulations with a non-standard version of the model with dynamic vegetation. Sensitivity experiments such as these will ~~be encouraged~~ within PMIP4 but are not part of the PMIP4-CMIP6 experiments.

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	Jungclaus et al., 2016). This data set is derived from the HYDE3.2 (Klein Goldewijk, 2016) estimates of the area of cropland, managed pasture, rangeland, urban, and irrigated land. Different crop types are treated separately and estimates of wood harvest are also provided.	Supprimé: in prep.). Supprimé: et al., in prep.) Mis en forme : Couleur de police : Noir Mis en forme : Police :Times Supprimé: 4 Supprimé: 4
5	<h3>3.5 Natural aerosols</h3> <h4>3.5.1 Mineral Dust</h4> <p>Natural aerosols show large variations on glacial-interglacial time scales, with glacial climates having higher dust loadings than interglacial climates (Kohfeld and Harrison, 2001; Maher et al., 2010). Dust emissions from northern Africa were significantly reduced during the MH (McGee et al., 2013). As is the case with vegetation, the treatment of dust in the <i>midHolocene</i>, <i>lig127k</i> and <i>lgm</i> simulations should parallel the treatment in the <i>piControl</i>. However, some of the models in CMIP6 include representations of interactive dust. For those models, maps of soil erodibility that account for changes in the extension of possible dust sources, will be provided for the <i>midHolocene</i>, <i>lig127k</i> and <i>lgm</i> experiments. The maps are the same for the interglacial experiments. Dust anomalies/ratios compared to the pre-industrial background should be used, for consistency with the DECK <i>piControl</i> simulation. As there have been instances of runaway climate-vegetation-dust feedback, leading to unrealistically cold LGM climates (Hopcroft and Valdes, 2015a), it is advisable to test model behaviour with an atmosphere-only model before running the entire <i>lgm</i> simulation.</p> <p>To allow experiments with prescribed dust changes, three-dimensional monthly climatologies of dust atmospheric mass concentrations will be provided for the <i>piControl</i>, <i>midHolocene</i>, and <i>lgm</i>. These are based on two different models (Albani et al., 2014, 2015, 2016; Hopcroft et al., 2015, Figure 4) and modelling groups are free to choose between these data sets. Additional dust-related fields (dust emission flux, dust load, dust aerosol optical thickness, short- and long-wave, surface and top of the atmosphere dust radiative forcing) are also available from these simulations. Implementation should follow the same procedure as for the historical run. The implementation for <i>lig127k</i> experiment should use the same data set as for the <i>midHolocene</i> one. Since dust plays an important role in ocean biogeochemistry (e.g. Kohfeld et al, 2005), three dust maps are provided for the <i>lgm</i> run. Two of these are consistent with the climatologies of dust atmospheric mass concentrations; the other is primarily derived from paleoenvironmental observations (Lambert et al., 2015, Figure 4). The modelling groups should use consistent data sets for the atmosphere and the ocean biogeochemistry. The Lambert et al. (2015) data set can therefore be used for models which cannot include the changes in atmospheric dust according to the other two data sets.</p>	Supprimé: , Supprimé: , accounting Supprimé: from recent simulations (Albani et al, 2014, 2015; Hopcroft et al, 2015) Supprimé: pre-industrial, mid-Holocene Supprimé: LGM periods Supprimé: 2015
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35	<p>Figure 4: Maps of dust deposition (g m-2 a-1) simulated with the Community Earth System Model for a. PI (Albani et al., 2016), b. MH (Albani et al., 2015), and c. LGM (Albani et al., 2014). Maps of dust deposition (g m-2 a-1) for the LGM d. simulated with the Hadley Centre Global Environment Model 2-Atmosphere (Hopcroft et al, 2015), and reconstructed from a global interpolation of paleodust data (Lambert et al., 2015).</p>	Mis en forme : Paragraphes solidaires Supprimé: the Supprimé: Mid-Holocene Mis en forme : Français (France) Supprimé: 4 Supprimé: , although these Supprimé: . The estimates Supprimé: are Supprimé: which provides Supprimé: .
40	<h4>3.5.2 Volcanoes and stratospheric aerosols</h4> <p>The <i>past1000</i> experiment includes changes in volcanic aerosols. Changes in volcanic aerosols are not included in the other PMIP4-CMIP6 experiments, where the pre-industrial forcings (if any) should be used. Estimates of sulphur injections, derived from a recent compilation of synchronized Antarctic and Arctic ice core records, provide an improved history of the timing and magnitude of eruptions over the last 2500 years (Sigl et al., 2013).</p>	

Ice core sulphate fluxes are translated into a time series of stratospheric sulphur injection via linear scaling (following Gao et al., 2008) and by matching the ice-core signals to historically confirmed eruptions. Unidentified eruptions are assigned as tropical when there are matching northern and southern hemisphere signals. Eruptions with signals only registered in the northern or southern hemisphere are considered to be extratropical in origin. Modelling groups using interactive aerosol modules and sulphur injections in their historical simulations should follow the same method for the *past1000* experiment and use sulphur injection estimates directly. For the other models, estimates of aerosol radiative properties as a function of latitude, height, and wavelength should be calculated using the Easy Volcanic Aerosol (EVA) module (Toohey et al., 2016). This parameterized three-box model of stratospheric transport uses simple scaling relationships to derive mid-visible aerosol optical depth (AOD) and aerosol effective radius (r_{eff}) from stratospheric sulphate mass. EVA uses model-specific information (grid, wave-length distribution) to produce annual volcanic aerosol forcing files for wavelength dependent aerosol extinction (EXT), single scattering albedo (SSA) and scattering asymmetry factor (ASY) as function of time, latitude, height and wave length. There are uncertainties associated with this approach. Additional sensitivity studies allowing the assessment of the impacts of these uncertainties on the *past1000* simulations will be made as part of the PMIP4 *past1000* Tier 2 experiments (see Jungclaus et al., 2016). The sulphur injection time series and the EVA software package are provided via the PMIP4 web page (https://pmip4.lsce.ipsl.fr/doku.php/exp_design:lm).

3.6 Solar irradiance

For the *past1000* experiment, new reconstructions of TSI and SSI are provided that are based on recent estimates of cosmogenic isotopes and improved irradiance models (see Jungclaus et al., 2016 for details). The forcing prescribed for the Tier 1 *past1000* experiment (https://pmip4.lsce.ipsl.fr/doku.php/exp_design:lm) is constructed using a ^{14}C based reconstruction (Usoskin et al., 2016) of yearly sunspot numbers and an updated version of the Viera et al. (2011) irradiance model. To achieve a smooth transition to the industrial period for historical experiments (1850 – 2015 CE) that start from the end of the *past1000* simulations, the forcing is scaled to match the CMIP6 historical forcing (Matthes et al., 2016). Alternative forcing reconstructions, reflecting uncertainty in the cosmogenic isotopes and the methods used in solar irradiance models, are provided as a basis for additional Tier 2 experiments (Jungclaus et al., 2016).

3.6 Spin-up and duration of experiments

The data stored in the CMIP6 database should be representative of the equilibrium climates of the MH, LGM, LIG and mPWP periods, and of the transient evolution of climate between 850–1849 CE for the *past1000* simulations. Spin-up procedures will differ according to the model and type of simulation, but the spin up should be long enough to avoid significant drift in the analysed data. We recommend that the spin-up should be run until the trend in global mean sea-surface temperature is <0.05 K per century and the Atlantic Meridional Overturning Circulation (AMOC) is stable. A parallel requirement for carbon-cycle models and/or models with dynamic vegetation is that the 100-year average global carbon uptake or release by the biosphere is <0.01 Pg C a^{-1} . Initial conditions for the spin-up can be taken from an existing simulation. A minimum of 100 years output is required for the equilibrium simulations but, given the increasing interest in analysing multi-decadal

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variability (e.g. Wittenberg, 2009), modelling groups are encouraged to provide outputs for 500 years or more if possible.

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3.7 Documentation

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Detailed documentation of the PMIP4-CMIP6 simulations is required. This should include:

- a description of the model and its components;
- information about the boundary conditions used, particularly when alternatives are allowed (Table 2);
- information on the implementation of boundary conditions and forcings. Figures showing the land-sea mask, land-ice mask, and topography as implemented in a given model are useful for the *lgm* and *midPliocene-eoi400* experiments, while figures showing insolation are particularly important for the *midHolocene* and *lig127k* experiments. Check lists for the implementation of simulations are provided in the PMIP4 papers which give detailed information for each experiment (*midHolocene*: Otto-Bliesner et al., 2016; *lgm*: Kageyama et al., 2017; *past1000*: Jungclauss et al., 2016; *lig127k*: Otto-Bliesner et al., 2016; *midPliocene-eoi400*: Haywood et al., 2016);
- information about the initial conditions and spin-up technique used. A measure of the changes in key variables (e.g. globally averaged 2 m surface air temperatures, sea-surface temperatures, bottom ocean temperatures, top-of-the-atmosphere radiative fluxes, soil carbon storage) should be provided in order to assess remaining drift.

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Documentation should be provided via the ESDOC website and tools provided by CMIP6 (<http://es-doc.org/>) to facilitate communication with other CMIP6 MIPs. This documentation should also be provided on the PMIP4 website to facilitate linkages with non-CMIP6 simulations to be carried out in PMIP4. A PMIP4 special issue, shared between *Geoscientific Model Development* and *Climate of the Past*, will provide a further opportunity for modelling groups to document specific aspects of their simulations.

4. Plan of Analyses

The community using PMIP simulations is very broad, from climate modellers and palaeoclimatologists to biologists studying recent changes in biodiversity and archaeologists studying potential impacts of past climate changes on human populations. Because of this, we do not aim to give a comprehensive plan of PMIP analyses, but focus instead on topics closely related to the CMIP6 key questions. We first present examples of paleoclimate reconstructions available for comparison to the PMIP4-CMIP6 simulations (Section 4.1). We then outline topics of analysis for specific periods and the full PMIP4-CMIP6 ensemble of simulations (Section 4.2). Links established with other CMIP6 MIPs (Section 4.3) will make it possible to capitalise on their analyses to improve understanding of specific aspects of past climates and vice versa. Finally, in Section 4.4, we discuss the implications of this analysis plan in terms of requested output.

4.1 Paleoclimatic and paleoenvironmental reconstructions, model-data comparisons.

Past environmental and climatic changes are typically documented at specific sites, whether on land, in ocean sediments or in corals, or from ice cores. The evaluation of climate simulations requires these paleoclimatic and paleoenvironmental data to be synthesised for specific time periods. A major challenge in building such

syntheses is to synchronise the chronologies of the different records. There are many syntheses of information on past climates and environments and, although Table 3 lists some of the sources of quantitative reconstructions for the PMIP4-CMIP6 time periods, it is not our goal here to provide an extensive review of these resources. Much of this information stems from the impact of climatic changes on the environment, such as on fires, dust, marine microfauna and vegetation (which can be documented by pollen records, or for the recent period, by tree rings). Past climatic information is also contained in isotopic ratios of oxygen and carbon, which can be found in ice sheets, speleothems, or in the shells of marine organisms. Furthermore, the ocean circulation can also be documented by geochemical tracers in marine sediments from the sea floor (e.g. $\Delta^{14}\text{C}$, $\delta^{13}\text{C}$, $^{231}\text{Pa}/^{230}\text{Th}$, ϵ_{Nd}). The fact that these physical, chemical or biological indicators are indirect records of the state of the climate system and can also be sensitive to other factors (such as atmospheric CO_2 concentrations for vegetation) has to be taken into account in model-data comparisons. Comparisons with climate model output can therefore be performed from different points of view: either the climate model output can be directly compared to reconstructions of past climate variables, or the response of the climatic indicator itself can be simulated from climate model output and compared to the climate indicator. Such “forward” models include dynamical vegetation models, tree ring models, or models computing the growth of foraminifera (cf. Section 4.4). Some paleoclimatic indicators such as meteoric water isotopes have to be computed as the climate model is running, but are also examples of this forward modelling approach. Modelling the impacts of past climate changes on the environment is key to understand how climatic signals are transmitted to past climate records. It also provides an opportunity to test the types of models that are used in the assessment of the impacts of future climate changes on the environment.

Table 3: examples of data syntheses for the PMIP4-CMIP6 periods

Reconstructing paleoclimates and paleoenvironments, as well as building new syntheses of these reconstructions, are very active areas of research. We expect new data sets to become available, which will increase the number of possible model-data comparisons for the PMIP4-CMIP6 periods.

4.2 Overview of analysis plan

Each PMIP4-CMIP6 period has been selected for specific reasons (Table 1). The initial analyses for each period will focus on these purposes. Sensitivity experiments have also been designed, as part of the PMIP4 project, to analyse the PMIP4-CMIP6 simulations in more detail (see Jungclaus et al., 2016; Otto-Bliesner et al., 2016; Kageyama et al., 2017; Haywood et al., 2016 for more details about these). Here, we list several topics of analyses which are important for single periods as well as for the full PMIP4-CMIP6 ensemble.

4.2.1 Role of forcings and feedbacks

Quantifying the role of forcings and feedbacks in creating climates different from today has been a focus of PMIP for many years. Compared to the PMIP3-CMIP5 models, many CMIP6 models will include new processes, such as dust, or improved representations of major radiative feedback processes, such as clouds. Improvements to the design of the *past1000*, *midHolocene* and *Igm* experiments, such as realistic atmospheric greenhouse gas concentration for the *midHolocene* experiment, improved volcanic and solar forcings for *past1000*, and the inclusion of dust forcing or feedback for the *Igm* should have a noticeable impact on regional

climates. We will evaluate the impact of these changes on the PMIP4-CMIP6 climates at global, large-scale (e.g. polar amplification, land-sea contrast) as well as regional scales, together with the mechanisms explaining these impacts.

All the PMIP4-CMIP6 experiments will be made with the same model version, facilitating analyses across the five time periods to examine potential relationships between forcings of different nature and amplitude and the climate responses, and compare the processes involved in these responses (e.g. Izumi et al., 2013). Multi-period analyses are useful to understand the relationship between background climate state and the nature and strength of specific feedbacks. For example, there are temperature thresholds that determine whether snow and ice can be present, and temperature thresholds also play a part in determining the distribution of specific vegetation types. Thus, a given change in climate could have different effects on snow/ice or vegetation feedback depending on the base climate state. Density thresholds also play a part in controlling the oceanic overturning circulation, again leading to the possibility that ocean changes may be modulated by background state. Multi-period analyses provide a way of determining whether systematic model biases affect the overall response and the strength of feedbacks independent of climate state. They will allow us to determine, for example, whether the persistent failure to reproduce the observed magnitude of change in monsoon precipitation and the relatively small impact of vegetation feedback during the MH is related to biases or base climate. Similarly, they will help to quantify whether simulated changes in ocean circulation at the LGM are affected by systematic model biases or threshold behavior. Model-data comparisons (cf. Section 4.2.2) will be used to assess the realism of the simulated climate change and to detect key mechanisms affecting model behaviour independently of the base climate state. One challenge will be to develop new approaches to analyse the PMIP4-CMIP6 ensemble so as to separate the impacts of model resolution, content, or complexity on the simulated climate.

Ice sheets represent strong changes in radiative forcing, as well as a direct forcing on atmosphere circulation. The PMIP4-CMIP6 ensemble will allow new analyses of the impact of smaller (mPWP) or larger (LGM) ice sheets. The ocean and sea-ice feedbacks will also be analysed. The representation of sea ice and Southern Ocean proved to be problematic in previous simulations of colder (LGM, Roche et al., 2012) and warmer climates (LIG, Bakker et al., 2013, Lunt et al., 2013). For the LGM, there is evidence of a shallower, and yet active overturning circulation in the North Atlantic (e.g. Lynch-Stieglitz et al., 2007, Böhm et al., 2015). Understanding this oceanic circulation as well as its links to surface climate is a topic of high importance since the Atlantic Meridional Overturning Circulation could modulate future climate changes at least in regions around the North Atlantic (IPCC 2013).

The PMIP4-CMIP6 protocol seeks to address uncertainties in the forcings by providing alternative data sets. This approach was already used in the PMIP3 *past1000* experiments (Schmidt et al., 2010, 2011) but will now be applied to the *lgm* experiment, for which we provide significantly different ice sheet reconstructions and dust forcings (for models which do not include interactive dust). Both the ice sheets and dust could result in climate differences at the regional scale at least, and at larger scales if, for instance, they have an impact on the ocean circulation. Overall, allowing for different forcings for PMIP4-CMIP6 experiments is a new topic in PMIP and should lead to an improved sampling of modelled climates through better sampling of the possible forcings.

4.2.2 Benchmarking the PMIP4-CMIP6 simulations

The compatibility of past, historical and future climate simulations, through the use of seamless forcings and identical model versions, will allow benchmarking based on syntheses of paleoenvironmental data and paleoclimate reconstructions (Section 4.1) to be applied to models used for future projections. We will make full use of the fact that modelling groups must also run the *piControl* and *historical* experiments. Indeed, the *piControl* and *historical* simulations provide two alternative reference states for paleoclimate simulations. Existing paleoclimate reconstructions have used different modern reference states, and this has been shown to have an impact on the magnitude of reconstructed changes (e.g. Hessler et al., 2014). Comparisons of the simulated *piControl* and the *historical* climates will provide a way of quantifying this source of reconstruction uncertainty, as will comparisons with present-day observations and reanalysis data sets (Obs4MIPS, Ferraro et al. 2015).

Systematic benchmarking of each of the PMIP4-CMIP6 simulations will be a major aspect of the planned multi-period approach. This will benefit from the existing paleoclimatic data sets (Section 4.1, Table 3) and from the development of new data syntheses, assessments of the regional-scale consistency of different sources of information, as well as the use of forward modelling to quantify uncertainties in the climate reconstructions. Large-scale features, such as polar amplification, land-sea contrast, and precipitation changes scaling to temperature changes, as well as more regional features such as the monsoons and mid-continental climates, and climate responses over specific data-rich areas (such as Europe or North America) are a prime target for this benchmarking. The ensemble of metrics developed for the PMIP3-CMIP5 *midHolocene* and *lgm* simulations (e.g. Harrison et al. 2014) will be expanded to include more process-oriented metrics. Benchmarking results from the PMIP4- *midHolocene* and *lgm* “entry card” simulations will be compared to benchmark metrics from previous generations of PMIP to provide a rigorous assessment of model improvements since the last phase of CMIP.

There are many aspects of the climate system which are difficult to measure directly, and which are therefore difficult to evaluate using traditional methods. The “emergent constraint” (e.g. Sherwood et al., 2014) concept, which is based on identifying a relationship to a more easily measurable variable, has been successfully used by the carbon-cycle and modern climate communities and holds great potential for the analysis of paleoclimate simulations. This could be particularly valuable to examine the realism of e.g. cloud feedbacks in the simulations or the contribution of seasonal climate changes to hydrological budgets. Using multiple time periods to examine emergent constraints ensures that they are robust across climate states.

4.2.3 Relating past and future climate changes

Attempts to constrain climate sensitivity using information about the LGM period have been hampered by the fact that there were too few *lgm* experiments to draw statistically-robust conclusions (Hargreaves et al., 2012; Harrison et al., 2014; Hopcroft and Valdes, 2015b). These attempts also ignored uncertainties in forcings and boundary conditions. PMIP4-CMIP6 is expected to result in a much larger ensemble of *lgm* experiments, including simulations examining the impact of forcing and boundary condition uncertainties, and thus to allow advances to be made towards constraining climate sensitivity.

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¶ 4.2 Making use of PMIP4-CMIP6 multi time period

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The issue of climate sensitivity (*sensu stricto*) and earth-system sensitivity (PALEOSENS Project Members, 2012) will also be examined through joint analysis of multiple paleoclimate simulations and climate reconstructions from different archives. Our analyses will capitalise on the DECK *piControl* and *abrupt4xCO2* experiments. The relationship between radiative forcing and global temperature is not straightforward (Crucifix, 2006; Yoshimori et al., 2011), partly because the nature of the forcing that drives the Earth into different climate states preferentially triggers short wave or long wave radiative responses, that have different impacts on the energy or water exchanges, on the feedbacks between different climate system components, or have different large- or regional- scale patterns. Nevertheless, estimates of climate sensitivity based on past climate states provide a starting point in establishing the bounds of the sensitivity of the climate system to a doubling of the CO₂ concentration (Hargreaves, 2012). Furthermore, analyses of land-sea contrast or polar amplification have highlighted many similarities between past climate and future climate projections (Izumi et al., 2013; Masson et al., 2013; Izumi et al., 2015). Also, similar feedbacks occur in different climates for particular seasons, for which it is possible to isolate specific model behaviours (Braconnot and Kageyama, 2015) and thereby assess model credibility. The multi-period approach will thus bring new constraints to this analysis, by providing further insight on possible analogies between climate feedbacks operating under different external forcings, better understanding on the relationship between patterns and time scales of external forcings and patterns and timing of the climate responses, as well as improved model-data comparisons to link regional climate reconstructions to the Earth's global energetic and climate sensitivity. Additional constraints can be obtained by using perturbed-physics experiments, in which different versions of the same model are run using different values of key parameters (Annan et al., 2005; Yoshimori et al., 2011). The 'perturbed forcing' approach (Bounceur et al., 2015; Araya-Melo, 2015), using sensitivity experiments carried out in PMIP4, could provide a way to chart the sensitivity of the climate system in a multi-dimensional space of forcing conditions.

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4.2.4 Changes in mean climate vs. changes in climate variability

Multi-period analyses will also be useful for understanding the relationship between mean climate state and modes of natural variability (e.g. Liu et al., 2014; Saint-Lu et al., 2015). Future changes in modes of climate variability, such as El Niño Southern Oscillation (ENSO), are poorly constrained (Christiansen et al., 2013) because model projections are insufficiently long to provide robust statistics for low frequency (multi-decadal and centennial) variations. Robust statistics of ENSO changes have been derived through analysis of high-resolution paleo-records (Emile-Geay et al., 2016). The equilibrium paleoclimate experiments in PMIP4-CMIP6 will provide an opportunity to sample simulations for long enough to obtain robust estimates of ENSO changes (Stevenson et al., 2010) and analyses of multiple long simulations with different forcings should provide a better understanding of changes in ENSO behaviour (Zheng et al., 2008; An et al., 2014) and help determine whether state-of-the-art climate models underestimate low frequency noise (Laepple and Huybers, 2014). The PMIP Paleovariability Working Group will develop diagnostics for climate variability (Philips et al., 2014) to be applied to all the PMIP4-CMIP6 simulations. Analyses will focus on how models reproduce the relationship between changes in seasonality and interannual variability (Emile-Geay et al., 2016), the diversity of El-Niño events (Capotondi et al., 2015; Karamperidou et al., 2015; Luan et al., 2015), and the stability of teleconnections within the climate system (e.g. Gallant et al., 2013; Batehup et al., 2015).

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For shorter time scales, the *past1000* simulations and corresponding high temporal resolution data are one of the only means to examine the mechanisms and realism of the relationships between events at the daily scale (e.g. weather extremes) and longer-term climatic changes.

4.3 Interactions with other CMIP6 MIPs and the WCRP Grand Challenges

Interactions between PMIP and other CMIP6 MIPs have mutual benefits: PMIP provides simulations of large climate changes that have occurred in the past and evaluation tools which capitalise on extensive data syntheses, while other MIPs will employ diagnostics and analyses which will be useful for analyzing the PMIP4 experiments. This is the case for AerChemMIP (Collins et al., 2016) for the aerosol forcings, SIMIP (Notz et al., 2016) and OMIP (Griffies et al., 2016) for the sea-ice and ocean components, LS3MIP (van den Hurk et al., 2016) for the land surface, C4MIP (Jones et al., 2016) for the carbon cycle, ISMIP (Nowicki et al., 2016) for ice sheets, and CFMIP (Webb et al., 2016) for the cloud forcing and feedback analyses. The analytical tools developed in RFMIP (Pincus et al., 2016) will be useful for assessing the LGM GHG radiative forcing and those developed in VolMIP (Zanchettin et al., 2016) and LUMIP (Lawrence et al., 2016) will be relevant for the analyses of the impacts of volcanic and land use forcings in the *past1000* simulation. The *past1000* experiment also offers a long time series perturbed by natural forcings and reconstructed land use changes for detection and attribution exercises and it is therefore relevant for DAMIP (Gillett et al., 2016). We have ensured that all the outputs necessary for the application of common diagnostics across PMIP and other CMIP6 MIPs will be available (see section 4.5).

PMIP has already developed strong links with several other CMIP6 MIPs (Table 4). CFMIP includes an idealized experiment mimicking the *Igm* simulation: *AMIPminus4K* is an atmosphere-only experiment in which the sea-surface temperatures are uniformly lowered by 4K (a mirror of the *AMIP4K* experiment in which sea-surface temperatures are increased by 4K). These experiments allow investigations of cloud feedbacks and associated circulation changes in a colder versus a warmer world and this will assist in disentangling the processes at work in the *Igm* climate. Some MIPs have designed experiments based on PMIP data, including VolMIP for the study of the impact of large past volcanic eruptions and ISMIP6 for the impact of the last interglacial climate on the Greenland ice sheet. Links with CFMIP and ISMIP6 mean that PMIP will also contribute to the World Climate Research Programme (WCRP) Grand Challenges “Clouds, Circulation and Climate Sensitivity” and “Cryosphere and Sea Level” respectively. Furthermore, PMIP will provide input to the WCRP Grand Challenge on “Regional Climate Information”, through a focus on evaluating the mechanisms of regional climate change in the past, for example in the Arctic.

Table 4: interactions of PMIP with other CMIP6 MIPs

4.4 Implications: required model output for the PMIP4-CMIP6 database

The list of variables required to analyse the PMIP4-CMIP6 paleoclimate experiments (https://wiki.lsc.ipsl.fr/pmip3/doku.php/pmip3:wg:db:cmip6request) reflects plans for multi-time period analyses and for interactions with other CMIP6 MIPs. We have included pertinent variables from the data requests of other MIPs, including the CFMIP-specific diagnostics on cloud forcing, as well as land surface, snow, ocean, sea ice, aerosol, carbon cycle and ice sheet variables from LS3MIP, OMIP, SIMIP, AerChemMIP,

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C4MIP, and ISMIP6 respectively. Some of these variables are also required to diagnose how climate signals are recorded by paleoclimatic sensors via models of e.g. tree growth (Li et al., 2014), vegetation dynamics (Prentice et al., 2011) or marine planktonic foraminifera (e.g. Lombard et al., 2011; Kageyama et al., 2013). The only set of variables defined specifically for PMIP are those describing oxygen isotopes in the climate system. Isotopes are widely used for paleoclimatic reconstruction and are explicitly simulated in several models. We have asked that average annual cycles of key variables are included in the PMIP4-CMIP6 data request for equilibrium simulations, as these proved exceptionally useful for analyses in PMIP3-CMIP5.

Variations in the shape of the Earth's orbit govern the latitudinal and seasonal distribution of insolation, and also produce variations in the lengths of individual "months" (where months are defined alternatively as either (a) the duration in days for the Earth to complete one-twelfth of its orbit (the "celestial" or "angular" calendar), or (b) a specific number of days, e.g. 31 days in January, 30 days in June (the "conventional" or "modern" calendar). When eccentricity is high, then the months around the time of year of perihelion are shorter, and those near aphelion are longer (Joussaume and Braconnot, 1997). For example, at 6 ka, perihelion occurs in August, aphelion in February and those months were approximately 1.5 days shorter and longer than at present, respectively (Fig. 5a). Variations in the lengths of months (or seasons) must therefore be taken into consideration when examining experiment minus control long-term mean differences, because the effect of the changing calendar on the calculation of long-term means can be as large as the potential differences among the means themselves (Joussaume and Braconnot, 1997; Pollard and Reusch, 2002; Timm et al., 2008; Chen et al., 2011). The size of the potential calendar effect or bias is illustrated in Fig. 5 (b-d), which shows the difference between present-day long-term means for October temperature and precipitation, and those calculated using the appropriate month lengths for 6 and 127 ka. Modifications to month length have not usually been taken into account in the model output post-treatment procedures (but see Harrison et al., 2014). The most straightforward way for dealing with the calendar effect is to save and use daily data for the calculation of monthly or seasonal means, and so we include those in the PMIP4-CMIP6 data request for some key variables. A second approach, less desirable, but probably adequate for our purposes, is to use a bias-correction approach, in particular, like that of Pollard and Reusch (2002), with the mean-preserving daily interpolation approach of Epstein (1991).

Daily values are also useful for running regional models. It is important to test the use of regional models for climate model projections at the regional scale. Regional models are also used to produce fine-scale palaeoclimate scenarios for use by the impact community, for example to study past climate impacts on biodiversity via ecological niche modelling. Paleoclimate indicators often respond to climate features not adequately captured with monthly data alone (such as growing season length). Daily weather variables are therefore required for some forward models, as well as to compute bioclimatic variables which are reconstructed e.g. based on pollen data (e.g. Bartlein et al., 2011).

5. Conclusions

PMIP4-CMIP6 simulations provide a framework to compare current and future anthropogenic climate change with past natural variations of the Earth's climate. PMIP4-CMIP6 is a unique opportunity to simulate past climates with exactly the same models as used for simulations of the future. This approach is only valid if the

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model versions and implementation of boundary conditions are consistent for all periods, and if these boundary conditions are seamless for overlapping periods.

PMIP4-CMIP6 simulations are important in terms of model evaluation for climate states significantly different from the present and historical climates. We have chosen climatic periods well documented by paleoclimatic and paleoenvironmental records, with climate and environmental changes relevant for the study and projections of future climate changes: the millennium prior to the industrial epoch (past1000), 6,000 years ago (midHolocene), the last glacial maximum (lgm), the last interglacial (lig127k) and the mid-Pliocene (midPliocene-eoi400)...

The PMIP4-CMIP6 experiments will also constitute reference simulations for projects developed in the broader PMIP4. The corresponding sensitivity experiments, or additional experiments, are embedded in the PMIP4 project and are described in the companion papers to this overview (Haywood et al., 2016, Otto-Bliesner et al., 2016, Jungclaus et al., 2016, Kageyama et al., 2017). They are essential for a deeper understanding of the drivers of past climate changes for the PMIP4-CMIP6 climates or as initial conditions for transient simulations (e.g. Ivanovic et al., 2016, for the last deglaciation, Otto-Bliesner et al., 2016 for the last interglacial and the Holocene), or for examining time periods from deeper with high atmospheric CO₂ concentrations (Lunt et al., 2016). Figure 6 summarises the position of the PMIP4-CMIP6 experiments with respect to the other PMIP4 experiments and projects on the right-hand-side. The left-hand-side shows how the PMIP4-CMIP6 experiments relate to the CMIP6 DECK and some other CMIP6 MIPs. PMIP4-CMIP6 experiments have been designed to be analyzed by both communities.

Figure 6: the PMIP4-CMIP6 experiments in the framework of CMIP6, with associated MIPs, and in the framework of PMIP4, with its working groups.

The PMIP community anticipates major benefits from analysis techniques developed by the other CMIP6 MIPs, in particular in terms of learning about the processes of past climate changes in response to forcings (e.g. greenhouse gases, astronomical parameters, ice sheet and sea level changes) as well as the role of feedbacks (e.g. clouds, ocean, sea-ice). Collaborations have already been developed with e.g. CFMIP, ISMIP6 and VolMIP, and the hope is to build additional collaborations with other CMIP6 MIPs. PMIP4-CMIP6 has the potential to be mutually beneficial for the paleoclimate and present/future climate scientists to learn about natural large climate changes and the mechanisms at work in the climate system for climates states as different from today as future climate is projected to be.

Data availability

All data mentioned in the present manuscript can be found on the following web sites:

- <http://pmip4.lscce.ipsl.fr>,
- http://geology.er.usgs.gov/egpsc/prism/7_pliomip2.html,
along with the web sites cited in Table 3, from which climatic reconstructions are available.

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They will also be provided via the ESGF system, along with forcing files for other CMIP6 experiments, when the boundary conditions are approved.

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TABLES

Period	Purpose	CMIP6 Priority
Last millennium <i>(past1000)</i> 850- 1849 CE	a) Evaluate the ability of models to capture reconstructed variability on multi-decadal and longer time-scales. b) Determine what fraction of the variability is attributable to “external” forcing and what fraction reflects purely internal variability. c) Provide a longer-term perspective for detection and attribution studies.	Tier 1*
Mid-Holocene <i>(midHolocene)</i> 6 kyr ago	a) Compare the model response to known orbital forcing changes and changes in greenhouse gas concentrations to paleodata, describing major temperature and hydrological changes. b) Relationships between changes in mean state and variability	Tier 1* PMIP4-CMIP6 entry card
Last Maximum Glacial <i>(lgm)</i> 21 kyr ago	a) Compare the model response to ice-age boundary conditions with paleodata. b) Attempt to provide empirical constraints on global climate sensitivity.	Tier 1* PMIP4-CMIP6 entry card
Last Interglacial <i>(lig127k)</i> 127 kyr ago	a) Evaluate climate model for warm period in northern hemisphere and high sea-level stand. b) Impacts of this climate on sea ice and ice sheets.	Tier 1*
Mid-Pliocene Warm Period <i>(midPlioceneEoi400)</i> 3.2 Ma ago	a) Earth System response to a long term to CO ₂ forcing analogous to that of the modern. b) Significance of CO ₂ -induced polar amplification for the stability of the ice sheets, sea-ice and sea-level.	Tier 1*

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Table 1: Characteristics, purpose and CMIP6 priority of the five PMIP4-CMIP6 experiments. * All experiments can be run independently. It is not mandatory to perform all Tier 1 experiments to take part in PMIP4-CMIP6, but it is mandatory to run at least one of the PMIP4-CMIP6 entry cards.

Period	GHG	Astronomical parameters	Ice-sheets	Tropospheric aerosols *	Land surface**	Volcanoes	Solar activity	Reference to be cited
PMIP4-CMIP6 entry cards								
Mid-Holocene (<i>midHolocene</i>) 6 ky ago	CO ₂ : 264.4 ppm CH ₄ : 597 ppb N ₂ O: 262 ppb CFC : 0 O ₃ : pre-industrial	6 kyr BP	as in PI	modified (if possible)	Interactive vegetation OR Interactive carbon cycle OR fixed to present day (depending on model complexity)	as in PI	as in PI	Otto-Bliesner et al, 2016
Last Glacial Maximum (<i>lgm</i>) 21 ky ago	CO ₂ : 190 ppm CH ₄ : 375 ppm N ₂ O: 200 ppb CFC 0 O ₃ : pre-industrial	21 kyr BP	modified (larger)	modified (if possible)	Interactive vegetation OR Interactive carbon cycle OR fixed to present day (depending on model complexity)	as in PI	as in PI	Kageyama et al, in prep, 2016
Tier 1 PMIP4-CMIP6 experiments								
Last millennium (<i>past1000</i>) 850-1849 CE	Time varying (Meinshausen et al., this issue)	time varying (Berger 1978, Schmidt et al., 2011)	as in PI	as in PI	time varying (land use)	time varying radiative forcing due to stratospheric aerosols	time varying	Jungclaus et al, to 2016
Last Interglacial (<i>lig127k</i>) 127 ky ago	CO ₂ : 275 ppm CH ₄ : 685 ppb N ₂ O: 255 ppb CFC 0 O ₃ : pre-industrial	127 ky BP	as in PI	modified (if possible)	Interactive vegetation OR Interactive carbon cycle OR fixed to present day (depending on model complexity)	as in PI	as in PI	Otto-Bliesner et al, 2016
Mid-Pliocene Warm Period (<i>midPlioceneEoi400</i>) 3.2 My ago	CO ₂ : 400 ppm	as in PI	modified (smaller)	as in PI	Interactive vegetation OR Modified to mid-Pliocene OR fixed to present day (depending on model complexity)	as in PI	as in PI	Haywood et al, 2016

5 Table 2: summary of change in boundary conditions with respect to *piControl* (abbreviated as “PI”) for each PMIP4-CMIP6 experiment * Only for models without fully interactive dust (see section 3.3). ** interactive carbon cycle, with computation of some characteristics of the vegetation such as the leaf area index (LAI), but without full vegetation dynamics.

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Reference	Variables	Time period	Comments	Data available from
Mann et al. (2009)	MAT	500-2006 CE	Gridded data set (5°)	http://science.sciencemag.org/content/suppl/2009/11/25/321.DC1
PAGES 2k Consortium (2013)	MAT	past 2000 years	Individual sites; Arctic data updated 2014	https://www.ncdc.noaa.gov/p519:1:::P1_STUD
Bartlein et al. (2011)	MAT, MAP, α , MTCO, MTWA	6000±500 yr BP; 21000±1000 yr BP	Gridded data set (2°)	https://www.ncdc.noaa.gov/study/9897
MARGO Project Members (2009)	Mean annual, winter, summer SST	21000±2000 yr BP	Gridded data set (5°)	http://www.ncdc.noaa.gov/12034 http://doi.pangaea.de/10.1017/EA.733406
Turney and Jones (2010)	MAT, SST	Maximum warmth during LIG	Individual sites (100 terrestrial; 162 marine)	http://onlinelibrary.wiley.com/doi/10.1002/jqs.1423/asset/suppl/SM_SupplInfo.pdf?v=1&4b8762e15aaf17514fc07
Capron et al. (2014); Capron et al. (subm.)	MAT, summer SST	114-116ka, 119-121ka, 124-126ka, 126-128ka, 129-131ka	47 high latitude sites	doi.pangaea.de/10.1594/pangaea.1672
Dowsett et al. (2012)	SST	3.264-3.025 Ma	Further information available in Dowsett et al. (2016)	http://www.nature.com/nclimate/v2/n5/full/nclimate1455 http://www.nature.com/nclimate/v3/n11/extref/nclimate2
Salzmann et al., 2013	MAT	3.3-3.0 Ma		http://www.nature.com/nclimate/v3/n11/extref/nclimate2

Table 3: Examples of data syntheses for the PMIP4-CMIP6 periods. MAT: Mean Annual Temperature, MAP: Mean Annual Precipitation, α : ratio of the actual evaporation over potential evaporation, MTCO: Mean Temperature of the Coldest Month, MTWA: Mean Temperature of the Warmest Month, SST: Sea Surface Temperature.

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<u>MIP abbreviaton</u>	<u>MIP full name</u>	<u>Themes of interactions</u>
<u>CF-MIP</u>	<u>Cloud Feedback Model Intercomparison Project</u>	<u>dedicated common idealized sensitivity experiment to be run in aquaplanet set up, <i>AMIPminus4K</i>, to be co-analysed in CF-MIP and PMIP.</u>
<u>ISMIP6</u>	<u>Ice Sheet Model Intercomparison Project for CMIP6</u>	<u>Assessment of the climate and cryosphere interactions and the sea level changes associated with large ice sheets. In particular, the <i>lig127k</i> simulation will be used to force ice sheet models in ISMIP6. Additional experiments co-designed by the PMIP and ISMIP groups are foreseen outside the CMIP6 exercise: transient interglacial experiments, with climate model output forcing an ice sheet model, and coupled climate-ice sheet experiments.</u>
<u>OMIP</u>	<u>Ocean Model Intercomparison Project</u>	<u>Mutual assessment of the role of the ocean in low-frequency variability, e.g. multi-decadal changes in ocean heat content or heat transport. Provide initial conditions for the ocean including long-term forcing history.</u>
<u>SIMIP</u>	<u>Sea Ice Model Intercomparison Project</u>	<u>Assessment of role of sea-ice in climate changes</u>
<u>AerChemMIP</u>	<u>Aerosols and Chemistry Model Intercomparison Project</u>	<u>Assessment of role of aerosols in climate changes, very helpful since this is a new aspect in PMIP experiments for the midHolocene, last interglacial and LGM</u>
<u>LS3MIP</u>	<u>Land Surface, Snow and Soil Moisture Model Intercomparison Project</u>	<u>Assessment of role of land surface processes in climate changes.</u>
<u>C4MIP</u>	<u>Coupled Climate Carbon Cycle Model Intercomparison Project</u>	<u>Assessment of carbon-cycle evolution and feedbacks between sub-components of the Earth System. Evaluation of paleo reconstructions of carbon storage.</u>
<u>LUMIP</u>	<u>Land-Use Model Intercomparison Project</u>	<u>Analysis of climate changes associated with Land Use changes (<i>past1000</i> experiment)</u>
<u>VolMIP</u>	<u>Volcanic Forcings Model Intercomparison Project</u>	<u>Analysis of specific volcanic events very useful for critical analysis of <i>past1000</i> simulations. VolMIP would systematically assess uncertainties in the climate response to volcanic forcing, whereas <i>past1000</i> simulations describe the climate response to volcanic forcing in long transient simulations where related uncertainties are due to chosen input data for volcanic forcing: mutual assessment of forced response.</u>
<u>DAMIP</u>	<u>Detection and Attribution Model Intercomparison Project</u>	<u><i>past1000</i> simulations provide long-term reference background including natural climate variability for detection and attribution.</u>
<u>RFMIP</u>	<u>Radiative Forcing Model Intercomparison Project</u>	<u>Compare radiative forcing from LGM GHG as computed by climate models and by off-line fine-scale radiative transfer codes.</u>

Table 4:interactions of PMIP with other CMIP6 MIPs

5 FIGURE CAPTIONS

Figure 1: Context of the PMIP4 experiments (from left to right: mPWP, Mid-Pliocene Warm Period; LIG, last interglacial; LGM, last glacial maximum; MH, mid-Holocene; LM, last millennium; H, CMIP6 historical simulation): (a)-(d) insolation anomalies (differences from 1950 CE), for July at 65°N, calculated using the programs of Laskar et al. (2004, panel (a)) and Berger (1978, panels (b)-(d)); (e) $\delta^{18}\text{O}$ (magenta, Lisiecki and Raymo, 2005, scale at left), and sea level (blue line, Rohling et al., 2014; blue shading, a density plot of eleven Mid-Pliocene sea level estimates (Dowsett and Cronin 1990; Wardlaw and Quinn, 1991; Krantz, 1991; Raymo et al., 2009; Dwyer and Chandler, 2009; Naish and Wilson, 2009; Masson-Delmotte et al., 2013; Rohling et al., 2014; Dowsett et al., 2016) scale at right); (f) and (g) $\delta^{18}\text{O}$ (magenta, Lisiecki and Raymo, 2005, $\delta^{18}\text{O}$ scale at left), and sea level (blue dots, with light-blue 2.5, 25, 75 and 97.5 percentile bootstrap confidence intervals, Spratt and Lisiecki, 2015; blue rectangle, LIG high-stand range, Dutton et al., 2015; dark blue lines, Lambeck et al., 2014, sea-level scale at right on panel (g)), (h) sea level (Kopp, et al., 2016, scale at right); (i) CO_2 for the interval 3.0-3.3 Ma shown as a density plot of eight Mid-Pliocene estimates (Raymo et al., 1996; Stap et al., 2016; Pagani et al., 2010; Seki et al., 2010; Tripathi et al., 2009; Bartoli et al., 2011; Seki et al., 2010; Kurschner et al., 1996); (j) and (k) CO_2 measurements (Bereiter et al., 2015, scale at left); (l) CO_2 measurements (Schmidt et al, 2011, scale at right); (m) and (n) CH_4 measurements (Loulergue et al., 2008, scale at left); (o) CH_4 measurements (Schmidt et al, 2011, scale at right); (p) volcanic radiative forcing (Schmidt et al., 2012, scale at right); (q) total solar irradiance (Schmidt et al., 2012, scale at right).

Figure 2. Data-model comparisons in PMIP2 and CMIP5/PMIP3: (a) Land-ocean contrast in past, present and projected future climates. The black dots are the simulated long-term mean differences (*experiment – piControl*) in the relative warming/cooling over global land and global ocean. The red crosses show simulated changes where the model output has been sampled only at the locations for which there are temperature reconstructions for the *lgm*, *midHolocene* and *historical* (post-1850 CE) CMIP5 simulations. The red crosses overlap the black dots for the midHolocene and historical experiments. Area averages of palaeoclimate data are shown by bold blue crosses, with reconstruction uncertainties indicated by the finer lines. The regression line (magenta) shows that land-ocean contrasts are maintained across different climate states and are also consistent with palaeoclimatic data. (b) Boxplots of reconstructions based on fossil-pollen data (gray, Bartlein et al. 2011) and simulations (at the locations of the data) for the difference in mean annual precipitation (MAP, in mm/year) for the mid-Holocene (relative to present) in northern Africa (20°W-30°E; 5-30°N). OA: ocean-atmosphere coupled models; OAV: ocean-atmosphere-vegetation coupled models; OAC: ocean-atmosphere-carbon-cycle models. The comparison shows that although all models simulated wetter-than-present conditions in northern Africa for the mid-Holocene, they systematically underestimated the magnitude of the precipitation difference.

Figure 3: Changes in boundary conditions related to changes in ice sheets for the midPliocene-eoi400 (top) and lgm (middle: ICE-6G_C and bottom: GLAC-1D) experiments. Coastlines for palaeo-period shown as brown contours. Ice sheet boundaries for each period shown as red contour. Bright shading: changes in altitude over regions covered by ice sheets during the considered palaeo-period. Faded shading: changes in altitude over ice-free regions.

Figure 4: Maps of dust deposition ($\text{g m}^{-2} \text{ a}^{-1}$) simulated with the Community Earth System Model for the a. PI (pre-industrial) (Albani et al., 2016), b. MH (Mid-Holocene) (Albani et al., 2015), and c. LGM (Albani et al., 2014). Maps of dust deposition ($\text{g m}^{-2} \text{ a}^{-1}$) for the LGM d. simulated with the Hadley Centre Global Environment Model 2-Atmosphere (Hopcroft et al, 2015), and reconstructed from a global interpolation of paleodust data (Lambert et al., 2015).

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¶ Figure 4: Figure 5.6 from Chapter 5 of the IPCC AR5 WGI report (Masson-Delmotte et al., 2013, page 408). Changes in surface temperature for the Last Interglacial (LIG) as reconstructed from data and simulated by an ensemble of climate model experiments in response to orbital and well-mixed greenhouse gas (WMGHG) forcings. (a) Proxy data syntheses of annual surface temperature anomalies as published by Turney and Jones (2010) and McKay et al. (2011). McKay et al., (2011) calculated an annual anomaly for each record as the average sea surface temperature (SST) of the 5-kyr period centred on the warmest temperature between 135 ka and 118 ka and then subtracting the average SST of the late Holocene (last 5 kyr). Turney and Jones (2010) calculated the annual temperature anomalies relative to 1961–1990 by averaging the LIG temperature estimates across the isotopic plateau in the marine and ice records and the period of maximum warmth in the terrestrial records (assuming globally synchronous terrestrial warmth). (b) Multi-model average of annual surface air temperature anomalies simulated for the LIG computed with respect to preindustrial. The results for the LIG are obtained from 16 simulations for 128 to 125 ka conducted by 13 modelling groups (Lunt et al., 2013). (c) Seasonal SST anomalies. Multi-model zonal averages are shown as solid line with shaded bands indicating 2 standard deviations. Plotted values are the respective seasonal multi-mean global average. Symbols are individual proxy records of seasonal SST anomalies from McKay et al. (...)

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Figure 6

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5 | Figure. 5: The calendar effect: (a) month-length anomalies, 140 ka to present, with the PMIP4 experiment times
indicated by vertical lines. The month-length anomalies were calculated using the formulation in Kutzbach and
Gallimore (1988). (b and c) The calendar effect on October temperature at 6 and 127 ka, calculated using
Climate Forecast System Reanalysis near-surface air temperature ([https://www.earthsystemcog.org/](https://www.earthsystemcog.org/projects/obs4mips/)
projects/obs4mips/), 1981-2010 long-term means, and assuming the long-term mean differences in temperature
10 | are zero everywhere. (e and f) The calendar effect on October precipitation at 6 and 127 ka, calculated using the
CPC Merged Analysis of Precipitation (CMAP) enhanced precipitation ([http://www.esrl.noaa.gov/](http://www.esrl.noaa.gov/psd/data/gridded/data.cmap.html)
psd/data/gridded/data.cmap.html), 1981-2010 long-term means, and again assuming that the long-term mean
differences in temperature are zero everywhere. Calendar effects were calculated by interpolating present-day
15 | monthly temperature or precipitation to a daily time step as in Pollard and Reusch, 2002 (but using a mean-
preserving algorithm for pseudo-daily interpolation for monthly values; Epstein, 1991), and then recalculating
the monthly means using the appropriate paleo calendar (Bartlein and Shafer, 2016). Note that the 6 and 127 ka
map patterns for both variables, while broadly similar, are not simply rescaled versions of one another.

20 | Figure 6: the PMIP4-CMIP6 experiments in the framework of CMIP6, with associated MIPs, and in the
framework of PMIP4, with its working groups.