



1 A 1/16° eddying simulation of the global NEMOv3.4 sea ice-ocean system

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7 8 9 Abstract

10 Analysis of a global eddy-resolving simulation using the NEMO (version 3.4) general
11 circulation model is presented. The model has 1/16° horizontal spacing at the equator, employs
12 two displaced poles in the Northern Hemisphere, and uses 98 vertical levels. The simulation
13 was spun up from rest and integrated for 11 model years, using ERA-Interim reanalysis as
14 surface forcing. Primary intent of this hindcast is to test how the model represents upper ocean
15 characteristics and sea ice properties.

16 Numerical results show that, overall, the general circulation is well reproduced, with realistic
17 values for overturning mass and heat transports. Analysis of the zonal averaged temperature
18 and salinity, and the mixed layer depth indicate that the model average state is in good
19 agreement with observed fields. Comparisons against observational estimates of mass
20 transports through key straits indicate that most aspects of the model circulation are realistic.
21 As expected, the simulation exhibits turbulent behaviour. The spatial distribution of the sea
22 surface height variability from the model is close to the observed pattern. Despite the increase
23 in resolution, the variability amplitude is still weak, in particular in the Southern Ocean. The
24 distribution and volume of the sea ice are, to a large extent, comparable to observed values.

25 Compared with a corresponding coarse-resolution configuration, the performance of the model
26 is significantly improved, although relatively minor weaknesses still exist. We conclude that
27 the model output is suitable for broader analysis to better understand upper ocean dynamics
28 and ocean variability at global scales. This simulation represents a major step forward in the
29 CMCC global ocean modelling, and constitutes the groundwork for future applications to
30 short-range ocean forecasting.

31 32 33 1. INTRODUCTION

34 The global ocean is a highly turbulent system over a wide range of space and time scales. Both
35 satellite and in situ data show that mesoscale eddies pervade the ocean at all latitude bands.
36 Eddies usually account for the peak in the kinetic energy spectrum and most of their energy is
37 generated and maintained by baroclinic instabilities of large-scale flows. Those processes play
38 a substantial role in the dynamics of the global ocean, e.g., transporting and mixing
39 temperature and salinity, exchanging energy and momentum with the mean flow, controlling
40 the mechanisms of deep water spreading and deep convection preconditioning, and modulating
41 air-sea interactions (see e.g. Morrow and Le Traon 2012). The dominant length scale of these
42 eddies varies greatly with latitude, stratification and ocean depth. Mesoscale eddies typically
43 have horizontal scales of the order of the first baroclinic Rossby radius of deformation, varying



44 roughly from 200 km in the tropics to 10-20 km at 50-60° (Chelton et al. 1998), vertical scales
45 ranging from the pycnocline depth to the full ocean depth, and time scales of weeks and
46 months.

47 Global numerical ocean models, with spatial resolutions ranging from hundred down to a few
48 kilometres, often include both regions where the dominant eddy scales are well resolved and
49 regions where the model resolution is too coarse for eddies to form and hence eddy effects
50 have to be parameterized. In the context of ocean modelling, a model will be eddy rich as long
51 as it uses a horizontal grid mesh whose resolution is fine enough to explicitly (albeit partially)
52 resolve baroclinic and barotropic instability processes, i.e. the grid spacing is finer than the first
53 baroclinic Rossby radius of deformation. Since the milestone paper by Smith et al. (2000),
54 eddy effects are considered explicitly modelled when the horizontal grids are refined to at least
55 1/10° (ca. 12 km); however such resolution compares to the Rossby radius and adequately
56 describes both mesoscale variability and western boundary currents only for latitudes lower
57 than 50°. Resolving mesoscale eddy variability remains elusive at higher latitudes (Hallberg
58 2013). For example, in the Arctic Ocean where the first Rossby radius decreases down to few
59 kilometres, typical eddy-resolving resolution does only permit eddies at best (Nurser and
60 Bacon 2014).

61 A key weakness of nearly all global ocean models used to study climate is the absence of an
62 explicit representation of ocean mesoscale eddies, since their spatial scale is smaller than the
63 scale typically resolved by model horizontal grid meshes. Furthermore, operational
64 oceanography for a variety of different applications such as search-and-rescue, fisheries, and
65 oil spill requires global ocean forecasting systems to reach kilometric scales in coastal areas.
66 This demand is also fostered by the continuous increase of resolution in numerical weather
67 prediction models (Le Traon et al. 2015) and the design of next-generation satellite altimetry
68 missions that will aim to better capture the ocean mesoscale variability.

69 These considerations motivate the push toward fully mesoscale eddying ocean models, where
70 the full dynamics and life cycle of baroclinic eddies can be realistically represented over the
71 entire global domain. Thanks to progress in ocean modelling and the advances in high
72 performance computing resources over the last decade, oceanic mesoscale eddying numerical
73 simulations are now a realistic choice to bring new insights into the physical processes
74 operating in the ocean and to find application in Earth system modelling and forecasting.
75 During the last decade, an extensive effort has been made to simulate eddying ocean, different
76 models have been implemented in regional, near-global and fully global domains (e.g. Maltrud
77 and McClean 2005, Chassignet et al. 2009, Oke et al. 2013, Drakkar Group 2014, Metzger et
78 al. 2014, Dupont et al. 2015). In this context, we developed a global eddying configuration,
79 where eddying means that the numerical simulation is eddy-resolving over all (most of) the
80 domain. This manuscript seeks to present the general characteristics of an 11-year spin-up
81 simulation, hereunder called GLOB16, at 1/16° (ca. 6.9 km) equatorial resolution, which is
82 performed using the state-of-the-art modelling framework NEMO (Nucleus for European
83 Modelling of the Ocean). The numerical model is a coupled ocean/sea ice model, including a
84 three-dimensional, primitive equation ocean general circulation model and a dynamic-



85 thermodynamic sea ice model. So far, GLOB16 represents the NEMO global configuration
86 having the highest horizontal resolution, and is the first step in the development of a new,
87 operational short- term ocean forecast system meant to serve as the backbone for downscaling
88 coastal and regional applications to develop services for the global coastal ocean.

89 The paper is organized as follows. Section 2 describes the model setup, while model analysis is
90 found in Section 3. We rely on comparisons with observations, as well as with a twin eddy-
91 permitting experiment, called GLOB4, as a means of assessing the quality of GLOB16
92 solution. Conclusions follow in Section 4.

93

94 **2. MODEL CONFIGURATION**

95 GLOB16 is a global, eddying configuration of the ocean and sea ice system based on version
96 3.4 of the NEMO ocean model (Madec et al. 2012). The ocean component OPA is a finite
97 difference, hydrostatic, primitive equation ocean general circulation model, with a free sea
98 surface. The ocean component is coupled to the Louvain-la-Neuve sea Ice Model (LIM2)
99 (Fichefet and Maqueda 1997). The ice dynamics are calculated according to external forcing
100 from wind stress, ocean stress and sea surface tilt and internal ice stresses using C grid
101 formulation (Bouillon et al. 2009). The elastic-viscous-plastic (EVP) formulation by Hunke
102 and Dukowicz (1997) is used. The key features of the configuration follow in this section,
103 while a comprehensive technical description of GLOB16 is given in Iovino et al. (2014).

104

105

106 **2.1 Mesh**

107 GLOB16 makes use of a non-uniform tripolar grid, computed at CMCC following the semi-
108 analytical method of Madec and Imbard (1996). The horizontal grid has $1/16^\circ$ resolution at the
109 equator, corresponding to 6.9 km, that increases poleward as cosine of latitude, leading to 5762
110 $\times 3963$ grid points horizontally. The grid consists of an isotropic Mercator grid from 60°S to
111 20°N . The meridional scale factor is maintained constant at 3 km south of 60°S . The location
112 of the geographical South Pole is conserved and the domain extends southwards to 78°S ,
113 including the ice shelf edge in the Weddell and Ross Seas. North of 20°N , the grid consists of a
114 non-geographic quasi-isotropic grid. To avoid singularities associated with the convergence of
115 meridians at the North Pole, two distinct poles are introduced, whose locations are such that the
116 minimum horizontal resolution is ~ 2 km around Victoria Island. Ocean and sea ice are on the
117 same horizontal grid. The vertical coordinate system is based on fixed depth levels and consists
118 of 98 vertical levels with a grid spacing increasing from approximately 1 m near the surface to
119 160 m in the deep ocean.

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122 **2.2 Bathymetry**

123 The GLOB16 bathymetry is generated from three distinct topographic products: ETOPO2
124 (U.S. Department of Commerce 2006) is used for the deep ocean, GEBCO (IOC, IHO and
125 BODC 2003) for the continental shelves shallower than 300 m, and Bedmap2 (Fretwell et al.



126 2013) for the Antarctic region, from 60°S. The result is modified by two passes of a uniform
127 Shapiro filter, and finally hand editing is performed in key areas. The Black Sea is connected
128 to the Marmara Sea through a 1-grid-point wide channel. The Caspian Sea is all derived from
129 ETOPO2. The maximum depth allowed in the model is 6000 m, the minimum depth is set to
130 10 m. Bottom topography is represented as partial steps (Barnier et al. 2006).

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132

133 **2.3 Parameterisations**

134 In our simulation, a linearized free surface formulation is used (Roullet and Madec 2000) and a
135 free-slip lateral friction condition is applied at the lateral boundaries. Biharmonic viscosity and
136 diffusivity schemes are used in the horizontal directions in the equations of momentums and
137 tracers, respectively. The values decrease poleward as the cube of the grid cell size. Tracer
138 advection uses a total variance dissipation (TVD) scheme (Zalesak 1979). Vertical mixing is
139 achieved using the TKE turbulent closure scheme (Blanke and Delecluse 1993). Background
140 coefficients of vertical diffusion and viscosity represent the vertical mixing induced by
141 unresolved processes in the model. Vertical eddy mixing of both momentum and tracers is
142 enhanced in case of static instability. The turbulent closure model does not apply any specific
143 modification in ice-covered regions. A diffusion bottom boundary layer parameterization is
144 used for tracers.

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147 **2.4 Initialisation**

148 The simulations is started from a state of rest in January 2003, with initial conditions for
149 temperature and salinity derived from the 1995-2004 decade of the World Ocean Atlas 2013
150 set of climatologies (WOA13; Locarnini et al. 2013, Zweng et al. 2013). The initial conditions
151 for the sea ice (ice concentration, ice thickness) correspond to mean January 2003 produced by
152 a global ocean reanalysis run at 1/4° horizontal resolution (Storto et al. 2015).

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154

155 **2.5 Forcing**

156 Forcing fields are provided from ERA-Interim global atmospheric reanalysis (Dee et al. 2011),
157 released by European Centre for Medium Range Weather Forecasts (ECMWF), with 0.75°
158 spatial resolution. The turbulent variables are 3 hourly and radiative and freshwater fluxes are
159 daily. The surface boundary conditions are prescribed to the model using the bulk formulae
160 proposed by Large and Yeager (2004). The forcing routine and the ice model are called every 4
161 time-steps (ca. every 13 minutes). A monthly climatology of coastal runoff is derived from Dai
162 and Trenberth (2002) and Dai et al. (2009), with a global annual discharge of ~1.32 Sv (1 Sv =
163 10⁶ m³ s⁻¹), and is applied along the land mask. The fresh water is added to the surface,
164 assumed to be fresh and at local sea surface temperature. As the thickness of the uppermost
165 level is 0.4 m, diurnal cycle is imposed on solar flux: the daily averaged short wave flux is
166 spread over the day according to time and geographical position (Bernie et al. 2007). The mean



167 sea level is free to drift. Shortwave penetration is applied through the RGB (Red Green Blue)
168 formulation that splits the visible light into three wavebands. The penetration is modulated by a
169 constant chlorophyll value.

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172 **2.6 Restoring and spin-up**

173 To avoid drifts in salinity and eventual impacts on the overturning circulation, the sea surface
174 salinity (SSS) is restored toward the monthly objective analyses from the EN4 data set of the
175 Met Office Hadley Centre (Good et al. 2013), with a time scale of 300 days for the upper 50 m.
176 The sea surface temperature (SST) is restored towards the NOAA Optimum Interpolation 1/4°
177 Daily Sea Surface Temperature Analysis (Reynolds et al. 2007) with a constant damping term
178 of $200 \text{ W m}^{-2} \text{ K}^{-1}$, which corresponds to a restoring time of 12 days. The restoring is identical
179 for the open sea and ice-covered areas.

180 The time step was set to 20 sec for the first 3 days of the simulation, and then increased
181 progressively to reach 200 sec at the 60th day. The model run for 11 years through the end of
182 2013, which appears to be a sufficient amount of time for the near-surface velocity field to
183 adjust to the initial density field and for mesoscale processes in the upper ocean to have
184 reached a quasi-equilibrium, while the deep ocean takes much longer to reach steady state.
185 This simulation may be therefore appropriate for studying the dynamics of the ocean
186 circulation on short time scales, but may not for studying the long-term evolution of deep-
187 water masses or climate variability. GLOB16 experiment was performed using 4080 CPU
188 cores on an IBM System x iDataPlex supercomputer. Per simulated year, it required 112000
189 CPU hours and generated ~3 Tb of output files.

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192 **2.7 Output and analysis strategy**

193 For comparison purposes, we performed a twin experiment, GLOB4, at eddy-permitting
194 resolution (1/4° at the equator), which is detailed in the Appendix. It employs same numerical
195 schemes and parameterizations as GLOB16, except for the resolution-dependent parameters,
196 such as the horizontal viscosity and diffusivity, sea-ice viscosity, and the time-step length.

197 Model outputs are archived as successive 5-day means throughout the whole integration and
198 post-processed to monthly and annual means. The first simulated year, 2003, is disregarded
199 because of the initial model adjustment; variability in time is analysed over the period 2004-
200 2013, while mean values are computed over the last five years of integrations, from 2009 to
201 2013, unless otherwise indicated.

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203

204 **2.8 Eddy-permitting configuration**

205 The eddy-permitting GLOB4 is based on version 3.4 of NEMO (Madec et al. 2012). The
206 configuration is a global implementation on an ORCA-like tri-polar grid (Barnier et al. 2006).
207 The horizontal grid, known as ORCA025, has 0.25° resolution (1442 grid points × 1021 grid



208 points) at global scale decreasing poleward. The effective resolution is ~ 27.75 km at the
209 equator, and increases as the cosine of latitude with minima of 3.1 km (5.6 km) in the
210 meridional (zonal) direction. The model has 75 vertical levels where the level spacing
211 increases from 1 m near the surface to 200 m at 6000 m. The bathymetry used in GLOB4 is
212 based on the combination of GEBCO in coastal regions and ETOPO2 in open-ocean areas. A
213 uniform Shapiro filter is applied twice, and hand editing is performed in a few key areas.
214 Bottom topography is represented as partial steps.

215 The model uses a linear free surface and does conserve total energy for general flow and
216 potential enstrophy for horizontally non-divergent flow. The horizontal viscosity is bi-
217 Laplacian with a value of $-1.8 \times 10^{11} \text{ m}^4 \text{ s}^{-1}$ at the equator, reducing polewards as the cube of
218 the maximum grid cell dimension. Tracers are advected using a total variance dissipation
219 (TVD) formulation. Lateral diffusivity for tracers is parameterized by a Laplacian operator
220 with an eddy diffusivity coefficient of $300 \text{ m}^2 \text{ s}^{-1}$ at the equator, decreasing polewards
221 proportionally to the grid size. Vertical diffusion is parameterized by the turbulent kinetic
222 energy (TKE) scheme. Unresolved vertical mixing processes are represented by a background
223 vertical eddy diffusivity of $1.2 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$, and a globally constant background viscosity of 1.2
224 $\times 10^{-4} \text{ m}^2 \text{ s}^{-1}$. Bottom friction is quadratic. A diffusive bottom boundary layer scheme is
225 included. GLOB4 has sea ice component, atmospheric forcing, bulk formulation and tracer
226 restoring in common with GLOB16.

227

228

229 3. MODEL VALIDATION

230 The main objective of this section is to present an overview of the characteristics of the
231 GLOB16 simulation, evaluate its quality against recent observations and highlight the effect of
232 eddying resolution against the eddy-permitting run.

233 The spin-up of the circulation, as measured by the total kinetic energy (TKE, defined as $0.5(u^2$
234 $+ v^2)$ where u and v are the 5-day averages of the horizontal velocity components), potential
235 temperature and salinity averaged over the whole domain, is shown in Fig. 1, and demonstrates
236 the extent to which a quasi-steady state has been reached at the end of the simulation. The TKE
237 of the system increases rapidly during the first simulated year (2003, not shown) and
238 approaches $\sim 12 \text{ cm}^2 \text{ s}^{-2}$ at the beginning of 2004, indicating a baroclinic adjustment of the
239 velocity field to the initial density field. Then, the kinetic energy fluctuates between 11.5 and
240 $12.5 \text{ cm}^2 \text{ s}^{-2}$ for the rest of the simulation, with the highest contribution given by the Southern
241 Ocean (Fig. 1a). Most of the kinetic energy is in the eddy field: the mean GLOB16 eddy
242 kinetic energy (EKE, computed from the 5-day velocity fields using the equation $0.5(u'^2 + v'^2)$,
243 where primes denote deviations from the annual-mean velocities, $(u', v') = (u, v) - (\langle u \rangle, \langle v \rangle)$)
244 contributes by $\sim 56\%$ to the total basin-averaged budget (Fig. 1b). As a result of the increased
245 resolution, the time mean of the TKE does not change much over the whole basin (being $\sim 10\%$
246 larger than in the twin GLOB4 run), while the eddy contribution is boosted by 40% by the
247 eddying resolution.

248 As expected, in the spin-up stage of the integration, the model adjusts from the WOA13 initial



249 conditions towards the new state imposed by the forcing fields and parameter choices. Both
250 basin-mean potential temperature and salinity show a drift with a clear annual cycle (Fig. 1c,d):
251 temperature decreases by ~ 0.01 °C, while salinity presents a small increase of 0.0013 psu over
252 the 10-year period.

253

254

255 **3.1 Mean temperature and salinity**

256 The mean fields of modelled potential temperature and salinity are here validated against
257 observations. Figure 2 (a, b) show the SST and SSS biases, relative to the EN3 (the UK Met
258 Office Hadley Centre observational dataset, Ingleby and Huddleston 2007) climatology, both
259 averaged over the same period 2009-2013. The global mean biases are negative and small ($-$
260 0.06 for SST and -0.04 for SSS). There are weak cold biases in the tropics, extending over
261 much of the subtropical band. The largest SST biases are warm (over 1 °C), and are collocated
262 with the positive SSS error (0.5–1.5 psu) over the western boundary currents in the Atlantic
263 and North Pacific oceans. In the Arctic, probably related to biases of air temperature and
264 radiations in the atmospheric forcing (Barnier et al. 2006), there is a positive (negative) surface
265 salinity error of up to 2 psu, where there is an excessive sea ice formation (melting).

266 The surface biases of models forced by prescribed surface boundary conditions are, to a large
267 degree, constrained by the forcing fields, but the analysis of subsurface fields allow for a
268 stronger test of the model, revealing discrepancies in diapycnal mixing and advection
269 pathways. The time- and zonal-average of modelled potential temperature and salinity are
270 shown in Fig. 2 (c, d), along with their differences from EN3 (Fig. 2e,f). GLOB16 temperature
271 field reproduces the expected large-scale features (Fig. 2c), with cold waters over all depths at
272 high latitudes and warm water at shallow, low latitudes. GLOB16 salinity also follows
273 expectation (Fig. 2d): the low salinity tongue (34.6 psu) of Antarctic Intermediate Water
274 (AIW), which sinks to ~ 1500 m depth between 60° - 50° S and propagates toward the equator; an
275 high salinity (up to 35.2 psu) cell centred around 25° S over the upper 300 m layer; a surface
276 salinity minimum of 34.2 psu at 5° - 10° N connected to the strong precipitation in the inter-
277 tropical convergence zone; high-salinity tongue associated with the Mediterranean Sea at about
278 35° N; low-salinity water over the top 200 m north of 45° N related to the Arctic melt water; and
279 high-salinity (35.2 psu) water below 300 m depth north of 60° N associated with the formation
280 of cold, dense waters in the North Atlantic. All of these features are clearly present in the
281 observation-based climatology (not shown).

282 The difference field for temperature (Fig. 2e) indicates that the modelled ocean is generally too
283 warm at intermediate depth (100-300 m), with the exception of the AIW, colder by 0.4 °C. The
284 largest differences, propagating down to 1000 m, are located in the northern hemisphere from
285 $\sim 40^{\circ}$ N (likely due to the Mediterranean Sea) poleward. The locations of the convective site set
286 the positive and negative biases within the band 60 - 75° N. Compared to EN3 temperature, the
287 upper Arctic Ocean in GLOB16 is too warm (up to ~ 1.4 °C at ~ 300 m), mainly due to a
288 warmer Barents Sea inflow. The salinity field reproduced by GLOB16 differs from
289 observations by ~ 0.15 psu at the most (Fig. 2f). Modelled and observed salinities agree well off



290 Antarctica. The model is saltier by 0.1 psu at about 50° S in the upper 400 m of the water
291 column, and by 0.15 psu at the Equator at ~150 m. The model is too saline (up to 0.1 psu)
292 between 200 and 600 m within the 45-55°N latitude band, again likely related to the
293 propagation of the Mediterranean overflow in the Atlantic Ocean. Conversely, it is 0.75 psu
294 fresher in the top layer north of 60°N. The differences between GLOB16 and climatologies for
295 both fields are small below 1500 m depth.

296

297

298 3.2 Volume and heat transports

299 Transports, in particular the meridional overturning circulation (MOC), are frequently used to
300 evaluate the model performance. To provide an overview of the large-scale general circulation
301 of the GLOB16 model, we present the time-mean meridional overturning stream function of
302 the flow for a zonally averaged view. The MOC, displayed in depth space, is shown in Fig. 3
303 for the Atlantic and the Indo-Pacific basins as well as for the global domain. In GLOB16, the
304 Atlantic overturning (AMOC, Fig. 3a) reproduces the two overturning cells linked to the
305 formation of North Atlantic Deep Water (NADW) and Antarctic Bottom Water (AABW). It
306 consists of northward surface flow in the top 1000 m, sinking north of 45° (with ~6 Sv sinking
307 north of the Greenland Scotland Ridge), and a southward return flow mainly occurring
308 between depths of ~1000 and 3000 m. It reaches its maximum strength of ~20 Sv at a depth of
309 1000 m around 35°N. The AABW cell fills the deep ocean below 3000 m, and reaches ~6 Sv.
310 The cross-equatorial transport is ~16.5 Sv.

311 Relevant measurements with respect to the mass transport in the Atlantic Ocean and the
312 associated heat transport are provided by the RAPID/MOCHA program (e.g., Cunningham et
313 al. 2007) that makes the net transport across 26.5°N available since spring 2004. Both models
314 are in very good agreement with the RAPID observations at 26.5°N. The GLOB16 overturning
315 strength and variability, computed at that latitude for the simulated decade, is 20.1 ± 2.9 Sv,
316 which is stronger than, but reasonably consistent with the RAPID estimates of 17.0 ± 3.6 Sv
317 observed between April 2004 to December 2013 (McCarthy et al. 2015) (Table 2). The
318 GLOB16 and RAPID mean values for the 2009-2013 period are 19.3 ± 3.1 and 15.6 ± 3.2 ,
319 respectively (Table 1). In Fig. 3b, we compare the time series of the strength of the AMOC at
320 26.5°N from the eddy model integration and the RAPID estimates. At that latitude,
321 GLOB16 simulation realistically reproduces the AMOC temporal variability on seasonal and
322 inter-annual time scales, although the simulated variability is lower than the observed. The
323 high-resolution model misrepresents the two events of low AMOC observed in 2009 and 2010,
324 when GLOB16 transport exhibits a clear, but much weaker than RAPID, decline. Time series
325 from the twin 1/4° simulation is also shown. The Atlantic overturning transport is generally
326 weaker in GLOB4, having a mean magnitude of 14.9 ± 2.6 Sv over the 10 simulated year,
327 ~25% lower than the eddy model. GLOB4 underestimates RAPID values in the first
328 simulated years, closely follows RAPID from 2008, and does better capture the interannual
329 variability and the 2009-10 AMOC reductions. Stepanov et al. (under review) suggested that
330 the source of discrepancy between the two models in simulating the AMOC minima at 26.5°N



331 might be related to the RAPID methodology used for the calculation, which does not fully take
332 into account the impact of the recirculation of the subtropical gyre on the mid-ocean transport.
333 Coarser resolution models, which cannot resolve processes near the western boundary, produce
334 weaker recirculation cell (e.g., Getzlaff et al. 2005, Roussenov et al. 2008, Zhang 2010).
335 Therefore, in GLOB4, a smaller impact of recirculation and eddies leads to a closer
336 correspondence between the model output and RAPID data. Table 1 shows that the good
337 agreement between GLOB16 and RAPID is true not only for the total AMOC transports, but
338 also for its components (the Florida Current, Ekman and the mid-ocean transports). Details on
339 the decomposition of the AMOC reproduced at 26.5°N are given in Stepanov et al. (under
340 review).

341 The Indo-Pacific stream function with its intense equatorial upwelling is shown in Fig. 3c.
342 Apart from the uppermost layers, where Ekman transports dominate, the Indo-Pacific is filled
343 by the AABW cell that reaches its maximum values of ~18 Sv between 3000 and 4000 m
344 depth. As expected, the southward flow outcrops in the Northern Hemisphere consistently with
345 intermediate water formation and penetration of water from the circumpolar area near surface
346 and bottom, sandwiching a southward return flow at intermediate depths. The global MOC
347 (Fig. 3d) shows the northward flow in the upper ocean, ultimately reaching the North Atlantic,
348 the deep waters formed in the north (NADW and the diffusively formed Indian Deep Water
349 and Pacific Deep Water) that moves toward the Southern Ocean, where the directly wind-
350 driven circulation is represented by a strong Deacon cell that peaks to ~27 Sv at ~200 m depth
351 around 45°S.

352 In the North Atlantic, the modelled overturning transport is associated with about 1 PW (1 PW
353 = 10^{15} W) of northward heat flux. The 5-year mean meridional heat transport (MHT) for the
354 Atlantic Ocean simulated by GLOB16 is presented in Fig. 4a; transports from GLOB4 and
355 observational estimates are shown for comparison. It is worth noting that the heat transport
356 magnitude and the location of its maximum are data dependent, although the latitudinal
357 variation is comparable among them. The variation with latitude of the GLOB16 transport
358 realistically follows observed profiles; its magnitude is positive at all latitudes, consistent with
359 heat being carried northward in both hemispheres of the Atlantic Ocean, and larger than
360 GLOB4 in most of the basin. GLOB16 generally underestimates the heat transport relative to
361 in situ measurements, as also seen in the COREII coarse-resolution models analysed by
362 Danabasoglu et al. (2014) and in the 1/10° climate model by Griffies et al. (2015). However,
363 our eddying-model MHT lies between implied transport estimates: in particular, it is generally
364 below the transport derived from Large and Yeager (2009), but it is always larger than
365 estimates by Trenberth and Fasullo (2008). The MHT maximum is found at ~22°N by Large
366 and Yeager (2009), and is more widely distributed between 20° and 30° N in the estimates of
367 Trenberth and Fasullo (2008). In GLOB16, the MHT reaches 1.1 PW at ~24°, where
368 observations by Lumpkin and Speer (2007) and Ganachaud and Wunsch (2003) are 1.24 ± 0.25
369 PW and 1.27 ± 0.15 PW, respectively. The distinct contributions from the overturning and the
370 gyre circulations to ocean heat transport are also computed (according to Johns et al. 2011) and
371 included in Fig. 4a. The overturning contribution dominates over a large latitude range. This is



372 particularly the case between the Equator and 25°N where the overturning component is within
373 one standard deviation of the mean total heat transport. Poleward, the MOC component drops,
374 while the gyre component increases explaining the large GLOB16 MHT north of 40°N (in
375 agreement to the eddying climate model results by Griffies et al. 2015). The gyre transport
376 becomes comparable to the overturning contribution at ~45°N, and dominating the Atlantic
377 heat transport from 60°N. Apart from the North Atlantic subpolar gyre, the gyre contribution is
378 relevant between 10°S and the equator, where the gyre and overturning components contribute
379 about equally to the total heat transport. In GLOB4, the positive MHT slope between 45°N and
380 55°N indicated a large gain of heat. It is worth noting that this feature, present in many coarse
381 and eddy-permitting models (e.g. Danabasoglu et al. 2014, Grist et al. 2010), is absent in
382 GLOB16, likely due to a correct path of the simulated North Atlantic Current (Danabasoglu et
383 al. 2014, Treguier et al. 2012), as described in Sect. 3.6.

384 At 26.5°N, despite a stronger-than-observed AMOC magnitude, GLOB16 underestimates the
385 Atlantic heat transport estimates all through the 10-year RAPID record (2004-2013). Similar
386 behaviour can be seen in many model studies covering a large range of horizontal resolution
387 (e.g., Maltrud and McClean 2005, Mo and Yu 2012, Haines et al. 2013, Danabasoglu et al.
388 2014). The simulated MHT is lower by ~10% than mean RAPID value that equals 1.24 PW
389 (McCarthy et al. 2015), but the model output agrees, to a greater extent, with the most recent
390 RAPID estimates, which show a decrease of MHT since 2009: the 5-year means of 1.31 ± 0.27
391 PW for the pentad 2004-2008 drops by 15% to 1.14 ± 0.08 PW for the pentad 2009-2013. The
392 variation in time of the modelled and observed MHT at 26.5°N is presented in Fig. 4b. Both
393 runs misrepresent the large summer fluxes in the first 2 years of integration. Afterwards,
394 GLOB16 matches very closely the RAPID magnitude and its variability from 2006 on. The
395 eddy-permitting GLOB4, instead, underestimates both the eddying configuration and the
396 RAPID record with a mean value and variability of 0.87 ± 0.21 PW.

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399 3.3 Volume transports through critical sections

400 Although the two models do generally reproduce similar large-scale ocean circulation,
401 performing high-resolution simulations alters strength, shape and position of the main gyres
402 (Lévy et al. 2010), but especially results in a more accurate representation of narrow boundary
403 currents. To judge the level of agreement between the model velocity fields and the
404 observational data, we list, in Table 2, the time-mean volume transports through well-defined
405 critical straits and passages, evaluated from GLOB16 velocities averaged over the 10 years of
406 integrations, together with GLOB4 values, observation-based estimates and their sources for
407 each region. It is worth noting that the observational products are based on numbers of
408 assumptions and do not always cover the simulated decade.

409 The strengths of the GLOB16 transports agree well with observations, and are generally within
410 or very close to the limits of observed uncertainty. First, we consider the Drake Passage
411 transport as representative of the large-scale features of the Antarctic Circumpolar Current
412 (ACC). The zonal circumpolar transport ranges between about 112 Sv and 137 Sv, with a mean



413 value of 122.6 Sv, comparable to the recent observational estimate over the period 2007-2011
414 by Chidichimo et al. (2014) and close to the lower bound of the canonical ACC transport from
415 Cunningham et al. (2003). The time-series of the monthly averaged transport, in Fig. 5a, shows
416 a decline of ~10 Sv in the first 3 simulated years, then the drift becomes negligible. As shown
417 by Farneti et al. (2015), at coarser resolution, the mean transport is generally larger than
418 observational estimates. The increase in resolution largely improves the mean ACC transport,
419 which is ~20% stronger in GLOB4.

420 The total Indonesian throughflow (ITF) volume transport estimates from the 3-year INSTANT
421 Program corresponds to 15.0 Sv, varying from 10.7 to 18.7 Sv (Sprintall et al. 2009). The
422 mean ITF transport from GLOB16 (computed at 114°E, between Indonesia and Australia) falls
423 within this range, but slightly overestimates the observed mean value. The GLOB16
424 contributions to the Pacific-to-Indian Ocean flow across Lombok, Ombai and Timor straits
425 follow within the range of minimum and maximum values from INSTANT (Sprintall et al.
426 2009, Gordon et al. 2010). Beside a weak decrease in the first years of simulation, the ITF has
427 no evident drift over time (Fig. 5b). In GLOB4, the total mean value is closer to observations,
428 but its decomposition is not: the Lombok Strait is closed and is likely compensated by a too
429 strong transport through the Ombai strait.

430 The flux across the Mozambique Channel simulated by both models follows within the broad
431 range of observed estimates. GLOB16 time series, in Fig. 5c, is characterized by a large
432 seasonal cycle and is free from any significant drift.

433 Comparing the strength of the modelled and observation-based volume transports through the
434 main Arctic Ocean gateways shows that GLOB16 calculations lie within the observed mean
435 values and within the uncertainty range of observations in these areas. The simulated Pacific
436 inflow across the Bering Strait of 1.1 Sv is consistent with observed values in both models,
437 overestimating the recent estimates by Woodgate et al. (2012) to a small degree. The large
438 transport at Bering Strait is common to other NEMO simulations, also at high-resolution (e.g.
439 Marzocchi et al. 2015). For the average outflow from the Arctic Ocean (computed across Fram
440 and Davis straits), the simulated 4.6 Sv are indistinguishable from observations, reproducing a
441 correct partitioning of the exports west and east of Greenland. 2.4 Sv flow southward across
442 the Fram Strait, compared with an observational estimates of 2 ± 2.7 Sv (Schauer et al. 2008),
443 and 2.2 Sv in the Davis Strait against estimates of 2.6 ± 1 Sv (Cuny et al 2005) and more recent
444 1.6 ± 0.5 Sv (Curry et al 2014). The seasonal cycles of the two transports are out of phase,
445 indicating that the fluxes out of the Arctic Ocean across those strait partially balance each other
446 (Fig. 5d). In contrast, GLOB4 reproduces a stronger transport through the Canadian
447 Archipelago, and underestimates the Fram Strait component.

448 The dense and cold overflows from the Nordic Seas supply the densest waters to NADW (e.g.
449 Eldevik et al. 2009) and have a fundamental impact on the circulation in the Irminger and
450 Labrador Seas, which are active sites of deep-water formation (e.g. Dickson et al. 2008). To
451 assess whether GLOB16 is capable to reproduce the strength of the overflow (here defined as
452 $\sigma_\theta > 27.8 \text{ kg m}^{-3}$), the corresponding volume transport has been calculated both in the Denmark
453 Strait and in the Faroe Bank Channel. The mean transport appears to be consistent with



454 observations in the Denmark Strait, with a mean overflow transport of 2.7 Sv across the
455 Denmark Strait, which slightly underestimates the long-term observed transport of ~3 Sv
456 (Macrandar et al. 2007, Jochumsen et al. 2012). There is no clear seasonal cycle, and no
457 discernible trend is detected for the whole period (Fig. 5e), as observed by Dickson et al.
458 (2008). The mean transport of dense water across the Faroe Bank Channel is 1.7 Sv with
459 absent trend (Fig. 5e), in well accordance with the observed values of ~2 Sv (Hansen and
460 Østerhus 2007). This consistency builds confidence that the dense water transport processes are
461 realistically simulated in GLOB16. At lower resolution, water-masses at the sill depth in the
462 Denmark Strait are too light compared with observations, resulting in a weak overflow in the
463 considered density class; while the Faroe Bank Channel overflow is too dense, with a
464 consequent large transport.

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467 **3.4 Mixed layer depth**

468 Here we evaluate the winter mixed layer depth (MLD) in both hemispheres. MLDs are
469 computed using a density threshold of 0.03 kg m^{-3} from the near-surface value. The two
470 models represent the mixed layer quite realistically, across the global domain, with similar
471 spatial distribution. Fig. 6 shows the GLOB16 MLD for March (September) in the Northern
472 (Southern) Hemisphere calculated for years 2009-2013, alongside the reconstructed
473 climatology of de Boyer Montégut et al. (2004) for the 1994-2002 period. In general, GLOB16
474 realistically reproduces the expected spatial patterns of the winter surface mixing, with good
475 correspondence between regions of shallow and deep mixed layers. The model reproduces
476 regions of shallow MLDs in the tropics. Locations of maxima are realistic both in the northern
477 and the southern hemispheres. In the North Atlantic, the sites of winter dense-water formation
478 are realistically located in the subpolar gyre, with the deepest mixing occurring in the Labrador
479 Sea, where it reaches over 2000 m (Fig. 7). In the Nordic Seas, the winter mixing is strong
480 along the path of transformation of Atlantic water in the Norwegian Sea and convective site are
481 reproduced south of Svalbard and in the Iceland Sea with MLDs down to 400 and 1000 m
482 depth, respectively. In the Northern Hemisphere, both runs reproduce mixed layer maxima
483 deeper than observed estimates, as generally seen in NEMO calculations at different
484 resolutions (e.g. Megann et al. 2014, Marzocchi et al. 2015). In GLOB4, the winter mixing in
485 the Nordic Seas is comparable to GLOB16 results, while in the Labrador Sea is shallower than
486 GLOB16 (Fig. 7), but covering a much wider area (not shown). In the austral hemisphere, the
487 deepest winter mixed layer corresponds to the near-zonal bands of deep turbulent mixing along
488 the path of the ACC, where the mixed layer deepens in many instances (Sallée et al. 2010).
489 Maximum values of ~800 m are found in the Pacific basin, not exactly collocated with the
490 observed one (Fig. 6). Both models have a significant deeper mixed layer in regions of AABW
491 formation, associated with densification of the water masses over the Antarctic continental
492 shelf, a result similarly shown in a recent COREII study assessing 15 ocean-sea ice models
493 (Downes et al. 2015). The mixed layer reaches depths of 500 m and 400 m over the Ross Sea
494 and the Weddell Sea continental shelves, respectively. GLOB4 mixed layer is deeper in the



495 Southern ocean, reaching to over 4000 m in many instances in the first years of integration
496 (Fig. 7).

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499 3.5 Sea ice

500 Formation and melting of sea ice strongly affect the ocean dynamics both locally in polar
501 regions and in the global ocean, through the contribution of high-latitude processes in deep
502 water production. Here we present sea ice properties and their variability for both hemispheres
503 as simulated by the numerical experiments in comparison with satellite observations. The mean
504 fields are computed over the period 2009-2013, excluding the first 5 years of integration in
505 which the sea ice model is far from the equilibrium. Sea ice extent is defined as the area of the
506 ocean with an ice concentration of at least 10%.

507 In Fig. 8a, the mean seasonal cycle of sea ice extent reproduced by GLOB16 is compared with
508 products from passive microwave satellites SSM/I processed at the National Snow and Ice
509 Data Center (NSIDC, Cavalieri et al. 1996) for both the north and south polar regions. In the
510 Arctic Ocean, the simulated mean extent of $9.5 \times 10^6 \text{ km}^2$ and the amplitude of the seasonal
511 cycle of $10.3 \times 10^6 \text{ km}^2$ are, to a great extent, in good agreement with the observations
512 ($10.8 \times 10^6 \text{ km}^2$ and $10.7 \times 10^6 \text{ km}^2$, respectively). Although the mean sea ice extent is smaller
513 than the satellite estimates by $\sim 10\%$ year-round, the GLOB16 results are largely improved in
514 the end of the run, when the sea ice extent seasonal cycle approaches closely the satellite
515 estimates for both minima and maxima. These results suggest that GLOB16 is able to well
516 represent the sea ice thermodynamics processes after 10 years of integrations.

517 Figure 8b presents the seasonal cycle of Arctic sea ice volume as simulated in GLOB16 and
518 estimated by the data-assimilative model PIOMAS (Pan-Arctic Ice Ocean Modeling and
519 Assimilation System), which compares well with ICESat and CryoSat2 estimates and can be
520 reasonably considered a proxy for reality (Schweiger et al. 2011). From 2009 on, the GLOB16
521 sea ice volume ($14.4 \times 10^3 \text{ km}^3$) matches very closely PIOMAS values ($14.5 \times 10^3 \text{ km}^3$), even if
522 the modelled Arctic sea ice is slightly too thick (thin) during the melting (growing) season. The
523 maximum sea ice volume in GLOB16 is anyway overestimated in winter 2011 and 2012 (not
524 shown), following an increase of thickness due to sea ice drift and then mechanical processes.
525 Overall, the sea ice drift in the Arctic Ocean is similar to what is expected. The transpolar drift
526 and the Beaufort gyre circulation patterns are realistically simulated, but ice velocities are
527 generally too high. Nevertheless, the ice area flux of $74.9 \times 10^3 \text{ km}^2 \text{ month}^{-1}$ across Fram Strait
528 in the simulated decade matches very well to estimates of 75.8 based on using Advanced
529 Synthetic Aperture Radar (ASAR) images and passive microwave measurements (Kloster and
530 Sandven 2011), probably compensated by lower thickness (Fig 8c). The Arctic sea ice extent
531 and volume and their variability in time simulated by GLOB4 almost coincide with GLOB16
532 output, having mean sea ice extent of 9.3 ($10.9 \times 10^6 \text{ km}^2$) and mean volume of 14.3 (7.1×10^3
533 km^3) in the northern (southern) hemisphere. GLOB4 underestimates the observed ice area
534 export out of the Arctic Ocean through the Fram strait by $\sim 13\%$, with a mean value of 66.1
535 $\times 10^3 \text{ km}^2 \text{ month}^{-1}$.



536 In the Southern Hemisphere, sea ice extent simulated by the two models is again consistent
537 with observations, but GLOB16 (GLOB4) undervalues the total sea ice extent by 1.6 (1.8)
538 $\times 10^6$ km². The low maximum in September accelerates the melting process and results in a
539 larger minimum in February (Fig. 8a). At present, no published long-term record of sea ice
540 volume are available for the Southern Hemisphere, making a formal validation of the model
541 skills in simulating sea ice volumes in that region unachievable. We consider recent ICESat
542 laser altimeter observations covering the period 2003-2008 (Kurts and Markus 2012) for a
543 qualitative comparison with model outputs, although uncertainties are still high (Kern and
544 Spreen 2015). Due to the lower minimum sea ice concentration, both models also likely
545 underestimate sea ice thickness and volume in the austral summer, with a possible feedback on
546 the winter sea ice properties. GLOB16 total volume of ice varies substantially over the annual
547 cycle, with a growth of ~ 14000 km³ in fall larger than the ~ 8800 km³ by ICESat (Fig. 8b).

548 The sea ice edge and the ice geographical distribution are generally well simulated in
549 GLOB16, particularly in winter. Comparison between the simulated fields of sea ice
550 concentration and the satellite-based estimates averaged over 2009-2013 shows that the
551 GLOB16 sea ice distribution in the end of the growing seasons is realistic in both hemispheres
552 (Fig. 9a,b and 10c,d), although the model simulates a much uniform sea ice concentration
553 around Antarctica (Fig. 10c,d). Summer minima are well reproduced in terms of ice edge, but
554 the regional concentration shows differences from the observations (Fig. 9c,d, and 10a,b). In
555 the Arctic Ocean, the GLOB16 reproduces the maximum ice concentration close to the
556 Canadian archipelago, but the spatial structure is misrepresented over a large area, with too low
557 sea ice concentration in the eastern-central sector. This is likely to be caused by the SST
558 restoring and to a generally too warm Atlantic Water inflow.

559 The spatial distribution of the sea ice in March is correctly reproduced in the Southern Ocean,
560 with the highest value in the Ross Sea and close to the Antarctic Peninsula in the Weddell Sea,
561 where the area of maximum concentration is anyway smaller than the observed one. The too
562 low ice concentration in the austral summer is constantly simulated from the beginning of the
563 run, and might be related to a too small sea ice concentration used to initialise the simulation.

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566 **3.6 Mesoscale variability**

567 To assess the dynamical capacities of the GLOB16 configuration and to evaluate the gain in
568 representing mesoscale variability due to the higher resolution, Fig. 11 show maps of the sea
569 surface height (SSH) variability, represented by the standard deviation plots, from the eddying
570 ocean compared with the eddy-permitting one and altimetry estimates from AVISO product.
571 The spatial structure and intensity of the SSH variability can be used as indicator of strengths
572 and deficiencies of the mean flow. Both models reproduce the major circulation features
573 estimated from satellite measurements. Large values are collocated with the major current
574 systems associated with the Kuroshio Current, the Gulf Stream, the Loop Current in the Gulf
575 of Mexico, the strong equatorial current system and, in the southern ocean, the Eastern
576 Australian and the Leeuwin currents, the Brazil and Malvinas current system, the Agulhas



577 Current and the Antarctic Circumpolar Current. Although GLOB4 does a credible work of
578 reproducing the general observed spatial pattern, it simulates vast areas of low SSH variability
579 in the ocean interior, which indicates weaker flow instabilities and fewer meanders. GLOB16
580 shows additional instabilities in the upper ocean with a spatial structure richer in mesoscale
581 features that cover most of the ocean surface, and is more consistent to the observational
582 estimates.

583 Examination of individual regions can highlight the improvements in GLOB16. In the
584 Northern Hemisphere, the western boundary currents and their extensions are more sharply
585 reproduced at higher resolution. For example, even if the separation point of the Gulf Stream is
586 not largely modified (at $\sim 37^\circ\text{N}$), its path and areal extent differ largely between configurations.
587 The GLOB16 current turns northwestward around the Grand Banks, instead continuing
588 eastward across the Atlantic (as in GLOB4). Further offshore, the current separates into a
589 southern branch heading toward the Azores Islands and a second branch flowing towards
590 Newfoundland. This feature is not correctly reproduced in the eddy-permitting case, as in many
591 coarser resolution models, leading to a cold and fresh bias in the northwestern subpolar gyre.
592 The separation of the Kuroshio Current occurs at about the same latitude ($\sim 36^\circ\text{N}$) in both
593 models, but the high variability region of the Kuroshio extension extends out to 180°E in
594 GLOB16 in close agreement with data, while only reaches to 160°E in GLOB4.

595 Some characteristic aspects of the global current systems are still misrepresented, also in the
596 eddying run. The performance of GLOB16 in reproducing the observed magnitude of the SSH
597 variability is a clear weakness. In many locations in the Southern Ocean, the GLOB16 map
598 shows a wider and more homogeneous distribution of oceanic eddies, but mesoscale turbulence
599 tends to be organized into a large numbers of small and relatively weak patches. The local
600 variability in the $1/16^\circ$ simulation becomes comparable to or lower than that in the $1/4^\circ$
601 simulation and the altimeter map. This is pronounced within the main body of the ACC where
602 local maxima have not substantially and positively increased with resolution. In the Agulhas
603 region, the model shows a band of high variability along the paths of the Mozambique Current,
604 the East Madagascar Current, and the Agulhas retroflexion, but the modelled SSH variability
605 is again much less than the observed one. In the Brazil Malvinas convergence region the SSH
606 variability presents a local minimum at about 55°W , 42°S but does only partially resemble the
607 observed C-shape. Modelled magnitude departs significantly from observations also in the East
608 Australian Current.

609 SSH variance distribution shows strong qualitative similarities to the EKE for the near surface
610 (not shown). In Fig. 12a, we shows the surface EKE, zonally averaged, as calculated from the
611 two simulations and derived from the OSCAR data set (Ocean Surface Current Analyses Real-
612 time, Bonjean and Lagerloef 2002). OSCAR provides estimates of near-surface ocean currents
613 on a $1/3^\circ$ grid with a 5 day resolution, combining scatterometer and altimeter data.
614 Quantitatively the models differ significantly from the observations, GLOB16 being the
615 closest. However, both models reproduce higher levels of EKE concentrated at the latitude of
616 the major current system, at the Equator, about 40°N in the Northern Hemisphere and linked
617 to the ACC and the main western boundary currents in the Southern Ocean. The zonal-



618 averaged EKE profiles emphasize that, despite the local defects, the GLOB16 surface levels of
619 energy exceeds GLOB4 everywhere, except in the equatorial band where the westward
620 extension of the Pacific currents is less pronounced. For the higher resolution model, the
621 surface EKE increases by ~20% relative to GLOB4. Since the two models are forced by
622 identical atmospheric fields, the increase in EKE with resolution arises primarily from
623 increased baroclinic and barotropic instability of the mean flow in the high-resolution model,
624 which tends to generate more meanders and eddies. It has been shown that higher level of near
625 surface EKE closer to the one derived from OSCAR can be obtained by assimilating in-situ
626 and altimeter data in a set of eddy-permitting ORCA025 configurations (Masina et al., 2015).
627 In particular, the assimilation of sea level anomaly has been proven to be effective in
628 introducing mesoscale variability (Storto et al., 2015) underestimated by an eddy-permitting
629 configuration similar to the one used in this work. Our results suggest that the increased
630 resolution of GLOB16 is also able to partially recover part of the observed variability.
631 However, GLOB16 value represents only ~60% of the surface EKE estimated from OSCAR.
632 The kinetic energy of the mean flow (MKE) at surface is similar between the models. It
633 increases by 5% in the 1/16° simulation, reaching 94% of the observed MKE (Fig. 12b).

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636 4. CONCLUSIONS

637 We have introduced a new global eddying-ocean model configuration, GLOB16, developed at
638 CMCC, and presented an overview from an 11-year simulation. GLOB16 is an implementation
639 of version 3.4 of the NEMO model, with horizontal resolution of at least 1/16° everywhere and
640 98 vertical levels, together with the LIM2 sea ice model on the same grid.

641 Overall, the model results are quite satisfactory when compared to observations and the gain
642 due to increased resolution is evident when compared to a coarser-resolution version of the
643 model. Analysis of the model zonally-averaged temperature and salinity, MLD, overturning
644 circulation and associated northward heat transport, lead us to conclude that the model average
645 state is realistic, and that the model realistically represents the variability in the upper ocean
646 and at intermediate depths. GLOB16 model configuration showed good skill in simulating
647 exchanges of mass between ocean basins and through key passages. The contributions from the
648 individual straits in the exports from the Arctic Ocean are within the uncertainties of the
649 observational estimates. The seasonal cycles of total ice area and volume are close to satellite
650 observations and the sea ice extent distribution is very well reproduced in both hemispheres,
651 although sea ice concentration and thickness can be further improved together with sea ice
652 drift. The model is able to hindcast the position and strength of the surface circulation.
653 Comparisons between the SSH variability from the model and from gridded observations
654 indicate that the model variability is acceptable, with local maxima and minima in the same
655 locations as observations. Extension and separation of western boundary currents are better
656 resolved compared to the eddy-permitting run. However, a clear weakness of the GLOB16
657 model is its ability in reaching the observed magnitude of the SSH variability, especially in the
658 Southern Ocean. This behaviour is most likely related to the coefficients chosen for vertical



659 and lateral eddy diffusivity and viscosity, and detailed numerical studies are planned to improve
660 these aspects. It is also possible that the relatively coarse resolution ($\sim 0.75^\circ$) of the ERA-
661 Interim wind forcing may play a partial role on this underestimation, and whether higher-
662 resolution atmospheric products can overcome this feature is to be investigated.

663 In spite of its shortcomings, we think that GLOB16 represents a significant modelling
664 improvement over the previous configurations of the CMCC global ocean/sea ice models at
665 coarser resolutions. As our first step in exploring the behaviour and fidelity of eddying global
666 models, this simulation sets the necessary groundwork for further, more detailed studies. To
667 potentially ameliorate the model realism, we plan, in the near future, to improve physical
668 parameterizations and include physics upgrades either available or under development in
669 NEMO, such as the full non-linear free surface physics, Langmuir turbulence scheme, vertical
670 mixing parameterizations. We expect that these developments will help address some of the
671 shortcomings identified in this study.

672 The next phase will be to couple GLOB16 to an ocean/sea ice data assimilation system, similar
673 to that described by Storto et al. (2015). Subsequent to that activity, GLOB16 will constitute
674 the base of a global eddying analysis and short-term forecast system, intended to provide
675 boundary conditions for downscaling and forecasting nested models in the world oceans.

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677

678 **Code availability**

679 The NEMO model is freely available under the CeCILL public licence. After registration on
680 the NEMO website (<http://www.nemo-ocean.eu/>), users can access the code (via Subversion,
681 <http://subversion.apache.org/>) and run the model, following the procedure described in the
682 “NEMO Quick Start Guide”. The revision number of the code used for this study is 4510. The
683 CMCC NEMOv3.4 code includes some additional modifications, applied to the base code. In
684 particular, we modified the North Pole folding condition, introducing a more sophisticated
685 optimization of the north fold algorithm (Epicoco et al. 2014), which leads to an extra increase
686 in model performances (up to 20% time-reduction on the used architecture) without altering
687 any physical process. The algorithm is now available in NEMO version 3.6. Interested readers
688 can contact the authors for more information on the CMCC NEMOv3.4 code.

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697 following web pages: <http://www.rapid.ac.uk/rapidmoc> and <http://www.rsmas.miami.edu/users/mocha>. The EN3
698 subsurface ocean temperature and salinity data were collected, quality-controlled and distributed by the U.K. Met
699 Office Hadley Centre. The Aviso altimeter products were produced by Ssalto/Duacs and distributed by Aviso,
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1018 Table 1. AMOC and its constituents with standard deviations, averaged within the 2009-2013 period as obtained
1019 from RAPID observations and the two models at 26.5°N. The modelled Gulf Stream transports include both the
1020 Florida current and Western boundary current contributions.

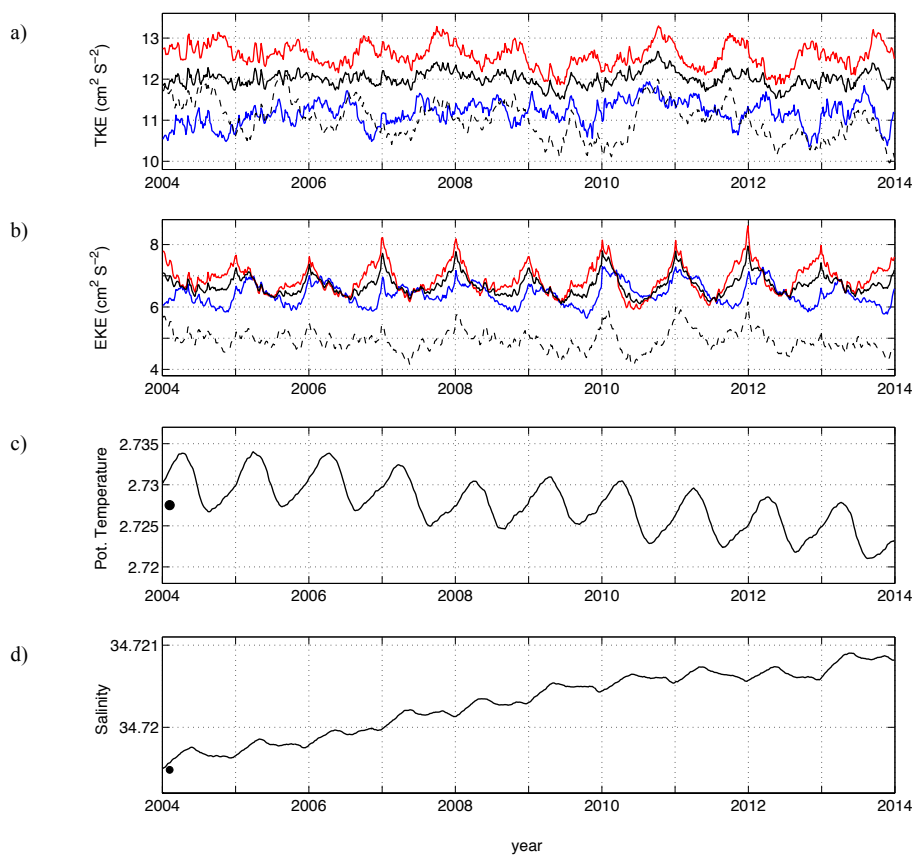
	RAPID	GLOB16	GLOB4
AMOC	15.6 ± 3.2	19.3 ± 3.1	14.3 ± 2.7
Ekman	3.3 ± 2.3	2.7 ± 2.4	2.7 ± 2.3
Gulf stream	31.2 ± 2.3	34.9 ± 2.7	32.2 ± 2.1
Upper Mid-Ocean	-18.9 ± 2.8	-19.8 ± 2.0	-21.3 ± 1.6
Throughflow	0	-1.6 ± 0.5	-0.8 ± 0.5

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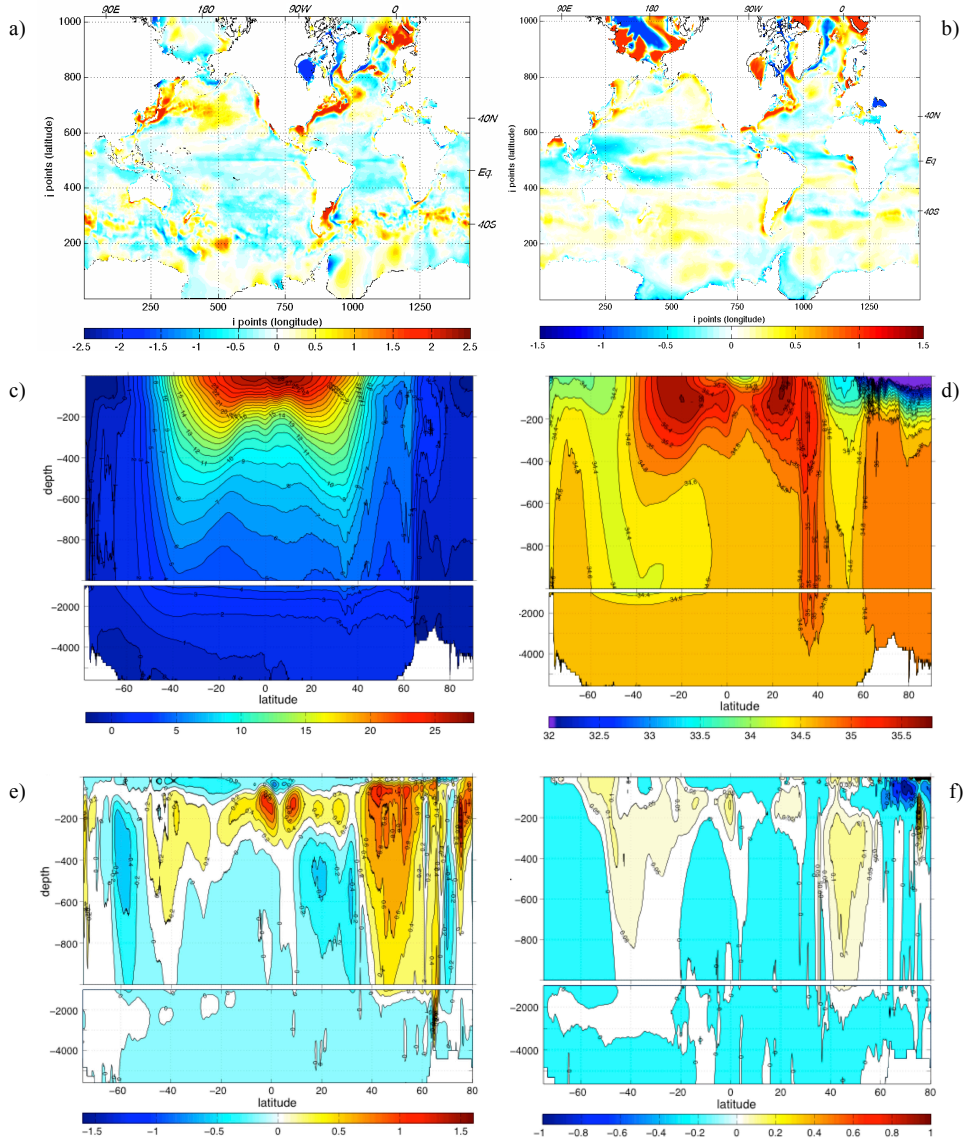
Table 2. Volume transports (in Sv) through key sections, simulated values averaged in the 2004-2013 period and observed mean values with their standard deviations (when available). Positive values correspond to northward and eastward flows.

	GLOB16		OBSERVED	GLOB4
max AMOC at 26.5°N	20.1 ± 2.9	17 ± 3.6	McCarthy et al. 2015	14.9 ± 2.6
Drake Passage	122.6 ± 5.7	136.7 ± 6.9 127.7 ± 8.1	Cunningham et al. 2003 Chidichimo et al. 2014	149.5 ± 9.5
ITF (total at 114°E)	-18.1 ± 2.5	-15 ± 4	Sprintall et al. 2009	-16.1 ± 2.8
Lombok Strait	-2.2 ± 1.9	-1.8 to -3.2 -2.6	Sprintall et al. 2009 Gordon et al. 2010	-----
Ombai Strait	-4.7 ± 2.2	-2.7 to -5.0 -4.9	Sprintall et al. 2009 Gordon et al. 2010	-5.7 ± 1.4
Timor Passage	-6.8 ± 1.8	-6.2 to -10.5 -7.5	Sprintall et al. 2009 Gordon et al. 2010	-7.2 ± 1.6
Mozambique Channel	-23.4 ± 5.4	-29.1 -16.7	DiMarco et al. 2002 van der Werf et al. 2010	-20.8 ± 5.8
Bering Strait	1.1 ± 0.5	0.8 ± 0.2	Woodgate et al. 2012	1.1 ± 0.5
Fram Strait	-2.4 ± 1.0	-2.0 ± 2.7 -2.3 ± 4.3	Schauer et al. 2008 Curry et al. 2011	-1.5 ± 1.2
Davis Strait	-2.2 ± 0.5	-2.6 ± 1.0 -1.6 ± 0.5	Cuny et al. 2005 Curry et al. 2014	-3.4 ± 0.9
Denmark Strait overflow	-2.7 ± 0.4	-3.4 ± 1.4	Jochumsen et al. 2012	-1.4 ± 0.3
FBC overflow	-1.7 ± 0.2	-1.9 ± 0.3	Hansen and Østerhus 2007	-2.5 ± 0.3

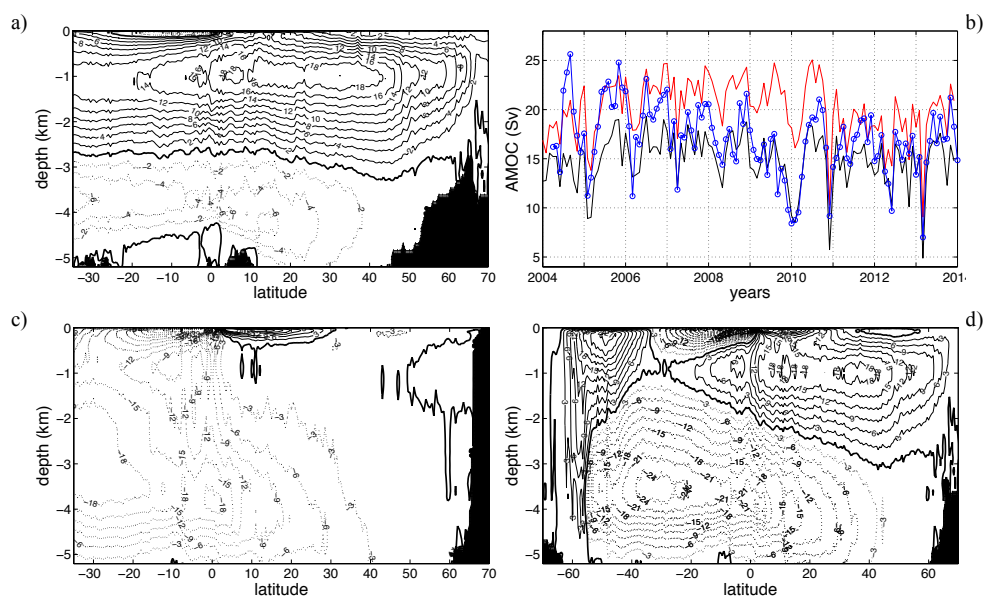
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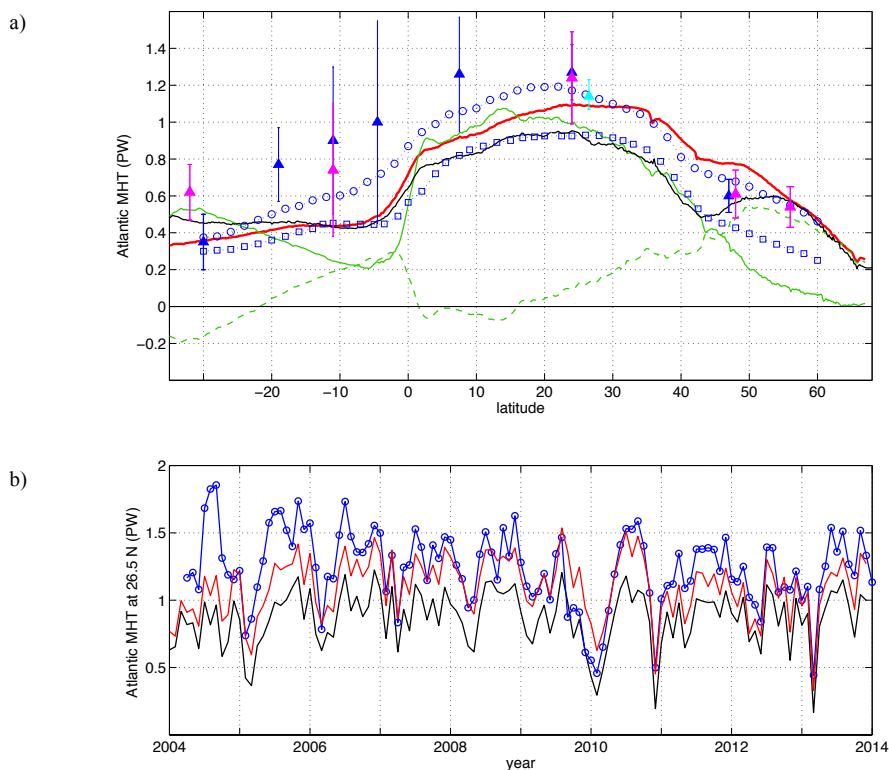
1030 Fig. 1. Time variations of volume-averaged (a) TKE (in $\text{cm}^2 \text{s}^{-2}$), where the black line represents the global basin-
1031 mean value and the red (blue) the contribution of the Southern (Northern) Hemisphere in GLOB16. Thin-dashed
1032 line represents the basin-mean TKE in GLOB4. (b) As (a) but for EKE (in $\text{cm}^2 \text{s}^{-2}$). (c) Potential temperature in
1033 $^{\circ}\text{C}$, and (d) salinity in psu. Black circles indicate temperature and salinity initial values.



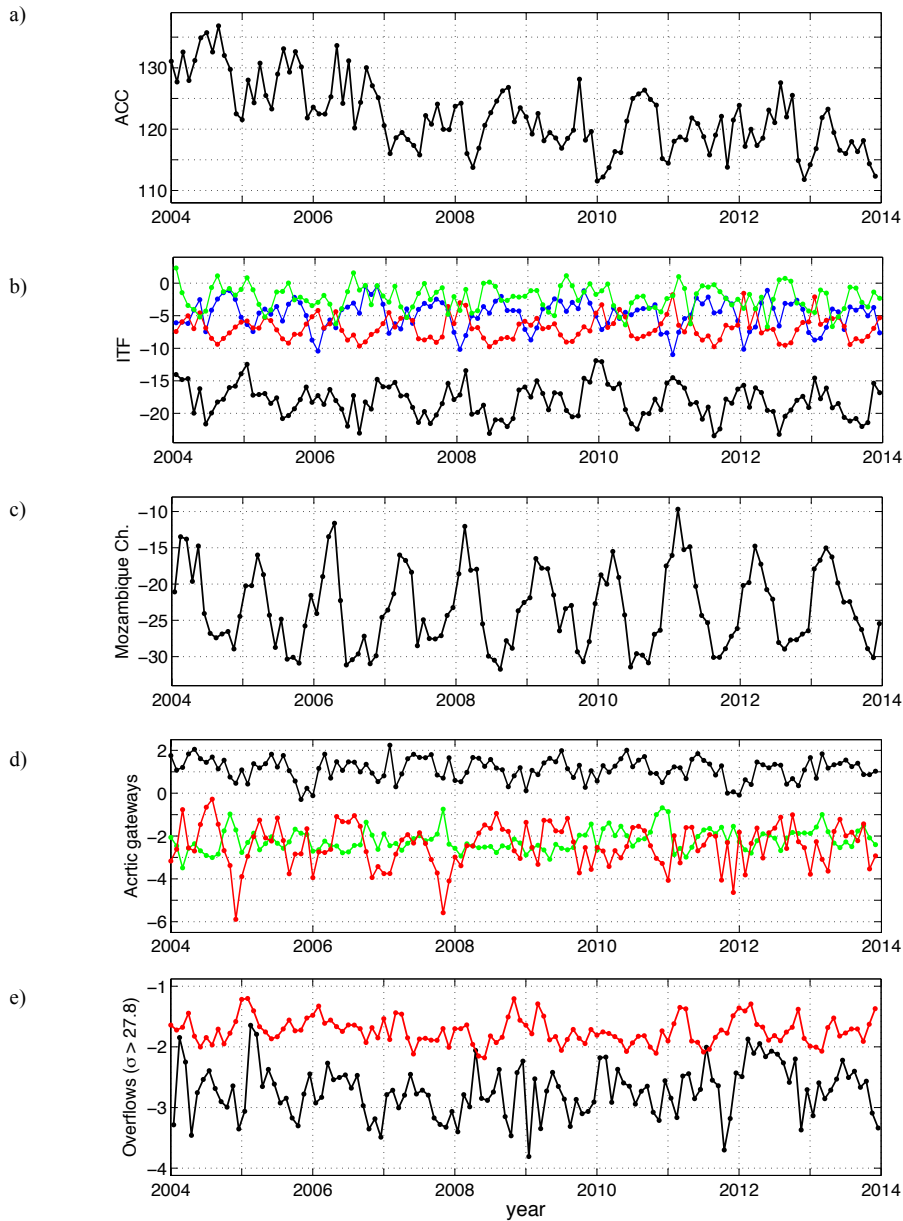
1034 Fig. 2. (a, b) GLOB16 surface biases in years 2009-2013 for temperature and salinity. (c, d) Modelled zonal mean
 1035 temperature and salinity and (e, f) differences with EN3. Black and Caspian Sea are not considered in the zonal
 1036 mean. Temperature (salinity) is in the left (right) column. The contour interval is 0.2 °C in (a,e), 1 °C in (b), 0.05
 1037 psu in (b,f), 0.2 psu in (d). In (a,b) model output and observations are shown on the eddy-permitting ORCA grid.
 1038 Numbers of grid points are indicated on the axis, along with indications of latitudes and longitudes.



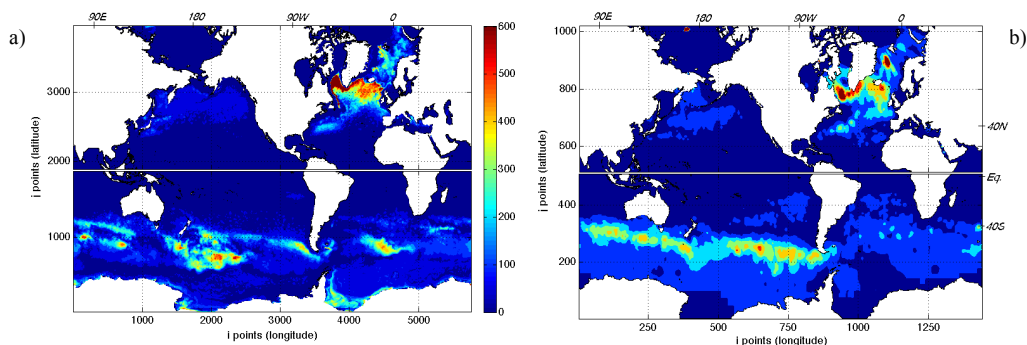
1039 Fig. 3. Meridional overturning stream function (in Sv) averaged over the period 2009–2013 for (a) the Atlantic,
1040 (c) the Indo-Pacific basins, and (d) the global ocean. The contour interval is 3 Sv. Thin solid lines represent
1041 positive (clockwise) contours; thick solid lines represent zero contours. The stream functions were calculated with
1042 0.5° latitudinal spacing to smooth out small-scale variations. (b) Time series of the AMOC at 26.5° N from
1043 RAPID observational estimates (blue), GLOB16 (red) and GLOB4 (black) numerical simulations.



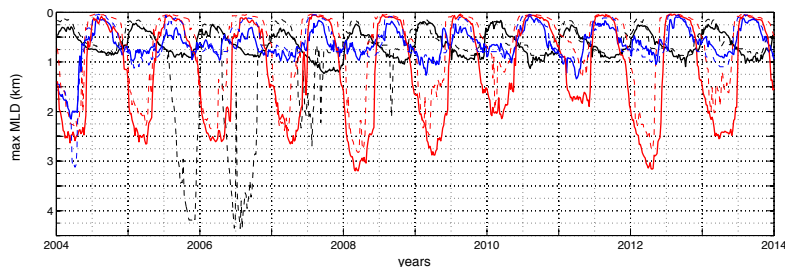
1044 Fig. 4. (a) Time-mean Atlantic MHT (in PW) as a function of latitude. Red line is the total GLOB16 transport
1045 with its overturning (green) and gyre (dashed green) and components. Black line represents the total GLOB4
1046 transport. Blue circles (squares) represent implied time-mean transport calculated by Large and Yeager 2009
1047 (Trenberth and Fasullo 2008). Triangles indicate direct estimates with their uncertainty ranges from the 2009-
1048 2013 RAPID data (cyan), from Ganachaud and Wunsch 2003 (blue) and Lumpkin and Speer 2007 (magenta). (b)
1049 Times series of the total Atlantic MHT across 26.5° N as estimated by RAPID (blue), from GLOB16 (red) and
1050 GLOB4 (black).



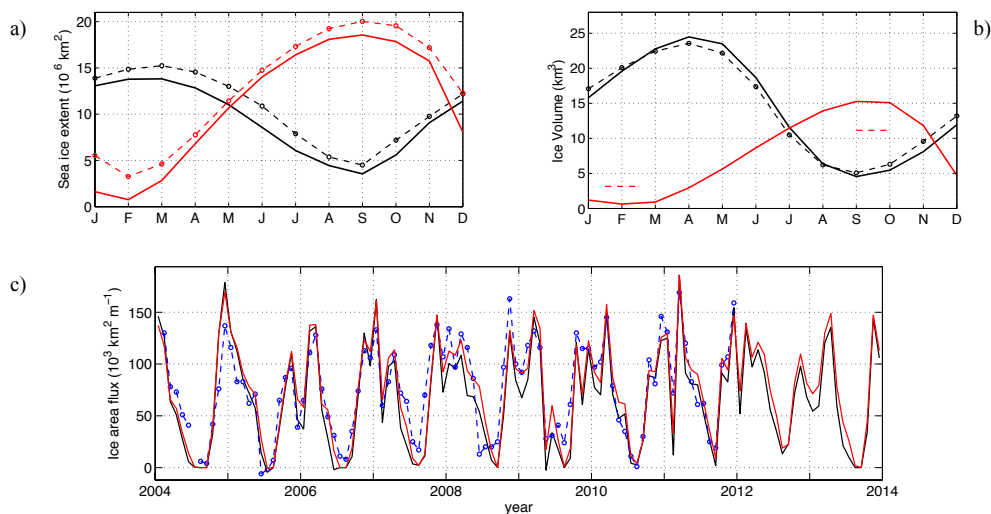
1051 Fig. 5. Time series of the monthly averaged volume transport (in Sv) of the (a) ACC, (b) ITF (decomposed in
1052 Timor passage (red), Ombai strait (blue) and Lombok strait (green)), through (c) the Mozambique Channel, (d)
1053 Bering Strait (black), Fram Strait (red) and Davis Strait (green), and (e) for dense overflow through Denmark
1054 Strait (black), Faroe Bank Channel (red).



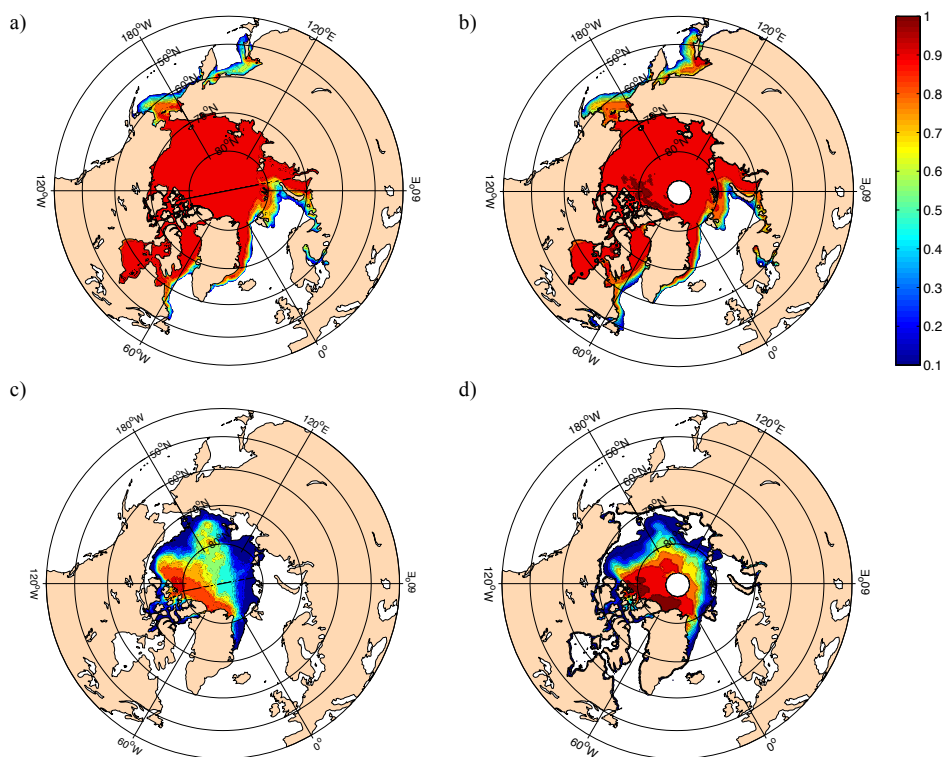
1055 Fig. 6. (a) MLD (in m) averaged over March (in the Northern hemisphere) and September (in the Southern
 1056 hemisphere) 2009-2013 from (a) GLOB16, and (b) the de Boyer Montégut et al. (2004) climatology, based on
 1057 a 0.03 threshold on density profiles. Model output is shown on the GLOB16 grid; observations are interpolated on
 1058 the eddy-permitting ORCA grid. Numbers of grid points are indicated on the axis, along with indications of
 1059 latitudes and longitudes.
 1060
 1061



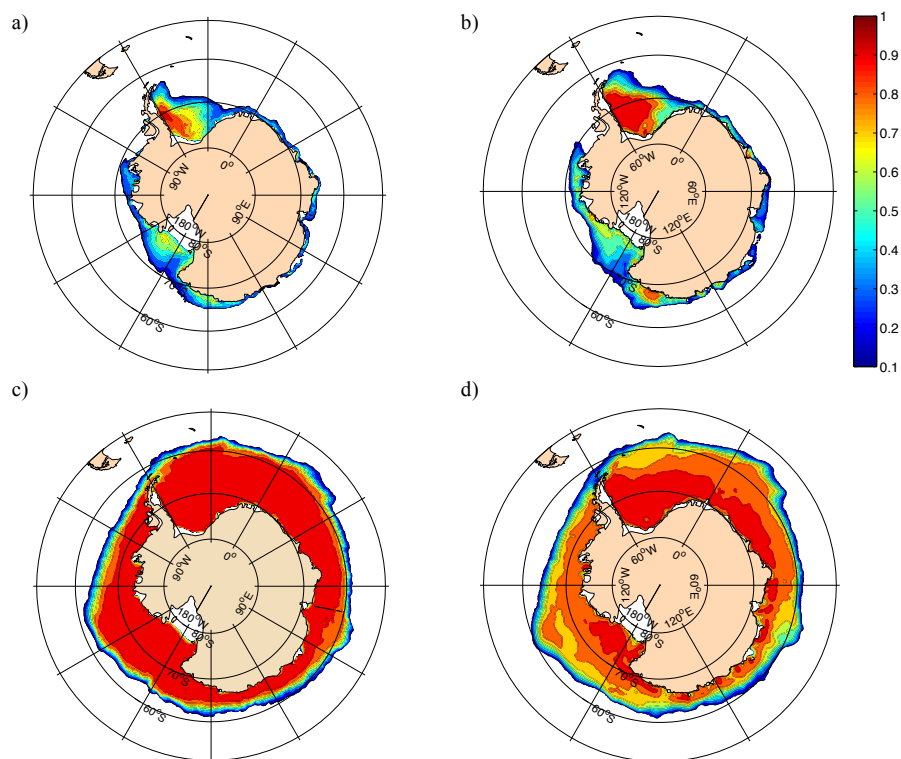
1062 Fig. 7. Time series of modelled MLD maxima (in km) in the North Atlantic Ocean (red), the Nordic Seas (blue)
 1063 and the Southern Ocean (black) from GLOB16 (solid lines) and GLOB4 (dashed).
 1064



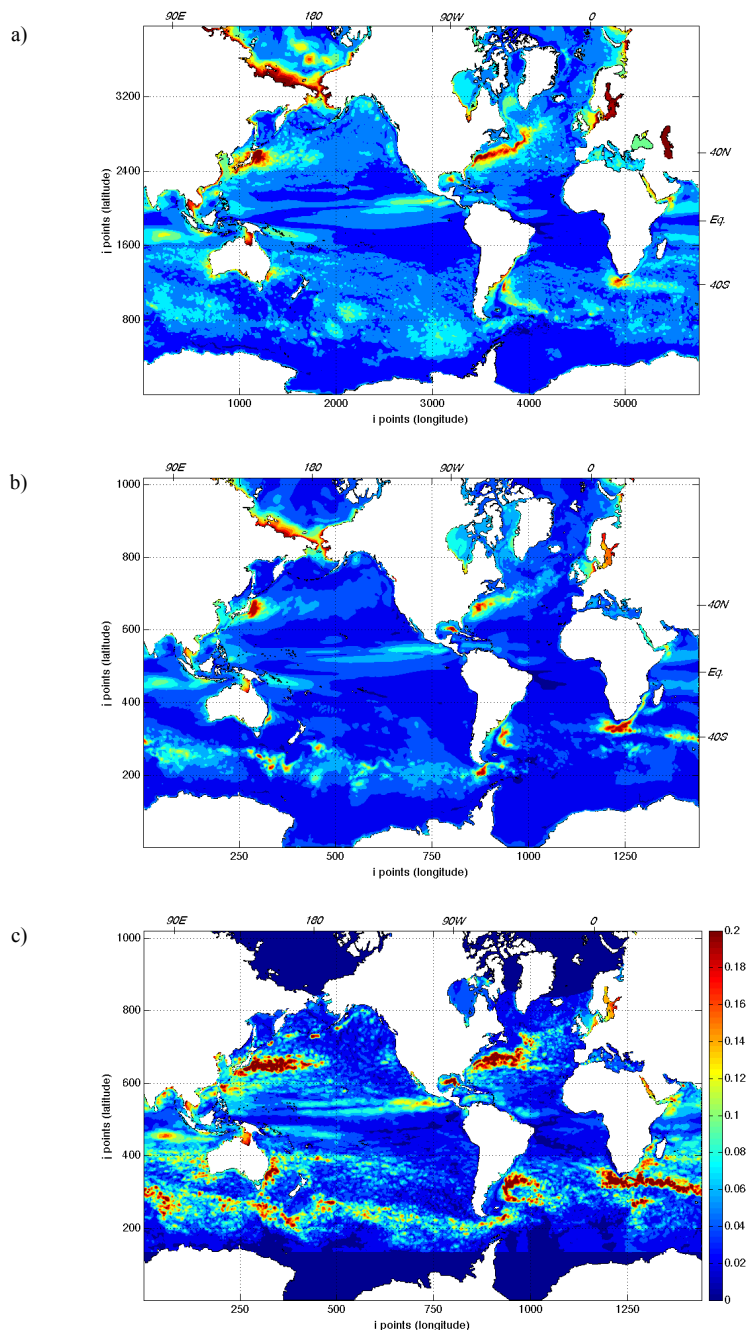
1065 Fig. 8. (a) Mean GLOB16 seasonal cycles of sea ice extent (10^6 km^2) for the Arctic (black) and Antarctic (red)
 1066 oceans compared to satellite observations (dashed line) provided by NSIDC. Sea ice extent is defined as the area
 1067 enclosed in the 10% sea ice concentration contour. (b) Mean seasonal cycles of sea ice volume (10^3 km^3) for the
 1068 Arctic Ocean (black) compared to PIOMAS reanalysis, and for the Antarctica (red) compared to minimum and
 1069 maximum values from ICESat. (c) Sea ice area export ($10^3 \text{ km}^2 \text{ month}^{-1}$) across Fram Strait for GLOB16 (red),
 1070 GLOB4 (black) and observations (blue).



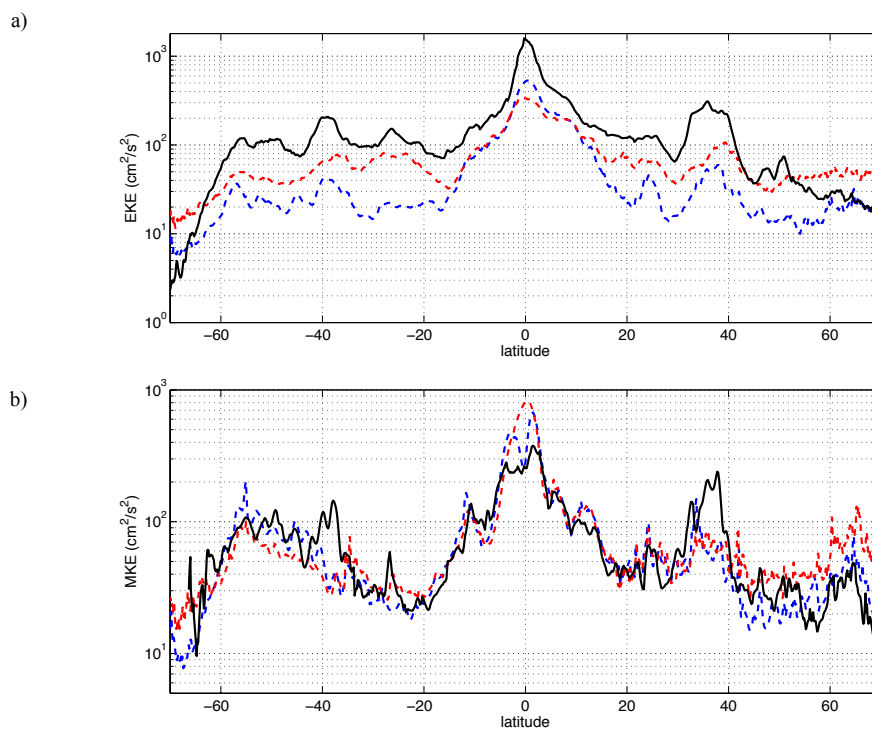
1071 Fig. 9. Maximum (a, b) and minimum (c, d) Arctic sea ice concentration for the period 2009-2013 in GLOB16
1072 (left) and observational data set (right).



1073 Fig. 10. Maximum (a, b) and minimum (c, d) Antarctic sea ice concentration for the period 2009-2013 in
1074 GLOB16 (left) and observational data set (right).



1075 Fig. 11. Sea surface height variability (in m) from (a) the GLOB16 model, (b) the GLOB4 model and (c) AVISO.
1076 Modelled fields are shown on the own model grid; observations are interpolated on the eddy-permitting ORCA
1077 grid. Numbers of grid points are indicated on the axis, along with indications of latitudes and longitudes.



1078 Fig. 12. (a) Latitudinal profiles of the global zonal-mean EKE (in $\text{cm}^2 \text{s}^{-2}$) of the surface flow for 2013 from
1079 GLOB16 (red), GLOB4 (blue) and OSCAR (black). Scale is logarithmic. (b) As (a), but for the MKE of the
1080 surface flow (in $\text{cm}^2 \text{s}^{-2}$).