



1 **FABM-PCLake – linking aquatic ecology with**  
2 **hydrodynamics**

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22



## 1 **Abstract**

2 This study presents FABM-PCLake, a complete redesign of the PCLake aquatic ecosystem  
3 model, which we implemented into the Framework for Aquatic Biogeochemical Models  
4 (FABM). In contrast to the original model, which was designed for temperate, fully mixed  
5 freshwater lakes, the new FABM-PCLake represents an integrated aquatic ecosystem model  
6 that enables simulations of hydrodynamics and biogeochemical processes for zero-  
7 dimensional, one-dimensional as well as three-dimensional heterogeneous environments.  
8 FABM-PCLake describes interactions between multiple trophic levels, including piscivorous,  
9 zooplanktivorous and benthivorous fish, zooplankton, zoobenthos, three groups of  
10 phytoplankton and rooted macrophytes. The model also accounts for oxygen dynamics and  
11 nutrient cycling for nitrogen, phosphorus and silicon, both within the pelagic and benthic  
12 domains. FABM-PCLake includes a two-way communication between the biogeochemical  
13 processes and the physics, where some biogeochemical state variables (e.g., phytoplankton)  
14 influence light attenuation and thereby the spatial and temporal distributions of light and heat.  
15 At the same time, the physical environment, including water currents, light and temperature  
16 influence a wide range of biogeochemical processes. The model enables studies on ecosystem  
17 dynamics in physically heterogeneous environments (e.g., stratifying water bodies, and water  
18 bodies with horizontal gradient in physical and biogeochemical properties), and through  
19 FABM also enables data assimilation and multi-model ensemble simulations. Examples of  
20 relevant model applications include climate change impact studies and environmental impact  
21 assessment scenarios for lakes and reservoirs worldwide.

22

## 23 **1 Introduction**

24 The field of aquatic ecosystem modelling has undergone waves of development during the  
25 past decades, and models have grown in complexity in terms of ecosystem components and  
26 processes included (Robson, 2014). However, even though hundreds of models have been  
27 formulated for research or management purposes, only a handful has found frequent use and  
28 ongoing development (Trolle et al., 2012). This reflects that many models are being built with  
29 the same or similar properties, and thus that model development for the past decades has been  
30 subject to some degree of “re-inventing the wheel” as discussed by Mooij et al (2010).  
31 Another drawback of many aquatic ecosystem models is the typical discrepancy in  
32 complexity between the ecosystem representation and the physical environment. Hence, few



1 studies have attempted to couple aquatic ecosystem dynamics including higher trophic levels  
2 (e.g., fish) and explicit physical dynamics (one example is the study by Makler-Pick et al.  
3 (2011)), which, however, is not readily available for further developments). High complexity  
4 in ecosystem conceptualizations therefore generally comes at the expense of simple or no  
5 hydrodynamic representation (e.g., PCLake (Janse and van Lieere, 1995; Janse, 2005; Janse et  
6 al., 2008) and EcoPath (Christensen and Pauly, 1992)). By contrast, physically resolved  
7 hydrodynamic models often include no or only simple ecosystem representations, and  
8 disregard higher trophic levels. To avoid “re-inventing the wheel”, and to overcome this  
9 discrepancy in complexity between the ecological and physical representation, a way forward  
10 is to enable an easy coupling between existing ecosystem models and hydrodynamic models.  
11 Thus, the complexity of the conceptual biogeochemical model and the physical representation  
12 may readily be adapted to best suit the needs and purposes of a given study. To this end, we  
13 implemented and modified a well-developed and widely applied ecosystem model, PCLake,  
14 within FABM, the Framework for Aquatic Biogeochemical Models by Bruggeman and  
15 Bolding (2014). FABM enables a flexible coupling of ecosystem processes in PCLake with a  
16 selection of hydrodynamic models representing zero- to three-dimensional hydrodynamics.

17

## 18 **2 Implementation of PCLake in FABM**

19 PCLake is originally a zero-dimensional ecological model for shallow lakes developed by  
20 Janse and van Lieere (1995) and it has been widely applied (for example, Stonevičius and  
21 Taminskas, 2007; Mooij et al., 2009; Nielsen et al., 2014; further references in Mooij et al.,  
22 2010). The model describes the dynamics of phytoplankton, macrophytes and a simplified  
23 food web, and accounts for mass balances, represented by dry weight, nitrogen, phosphorus  
24 and silicon cycling between the various components of the ecosystem. The original PCLake  
25 model (documented in detail in Janse (2005)) contains detailed biological processes within the  
26 water column and also a relatively advanced biogeochemical sediment module (describing  
27 nutrient dynamics in the sediment top layer and exchanges with the water column), while  
28 thermo- and hydrodynamics are not explicitly accounted for. The original model also includes  
29 a marsh module describing (helophytic) marsh vegetation in a zone around a lake, which  
30 attempts to account for interactions between open waters and a more highly vegetated marsh  
31 area that may be present close to the shoreline in some lakes. The main purpose of the model  
32 is to predict critical nutrient loadings, i.e. the loading where a shallow lake may switch



1 between a clear and a turbid state, related to a non-linear ecosystem response to nutrient  
2 loading as a result of self-enhancing feedback mechanisms within the ecosystem.

3 FABM, in which we have now implemented PCLake, is a framework for biogeochemical  
4 models of marine and freshwater systems (Bruggeman and Bolding, 2014). FABM enables  
5 complex biogeochemical models to be developed as sets of stand-alone, process-specific  
6 modules. These can be combined at runtime to create custom-tailored models. As outlined in  
7 detail by Bruggeman and Bolding (2014), FABM divides the coupled advection-diffusion-  
8 reaction equation that governs the dynamics of biogeochemical variables into two parts: a  
9 reaction part (i.e., sink and source terms) provided by the biogeochemical models, and a  
10 transport part handled by the hydrodynamic models. The transport part includes advection,  
11 diffusion and potential vertical movements (sinking, floating and potentially active  
12 movement), and also eddy-mixing, dilution and concentration processes. Therefore based on  
13 local variables (including, for example, local light conditions, temperature and concentrations  
14 of state variables) calculated by transport part, the biogeochemical models calculate sink and  
15 source terms at current time and space and return the value to physical models via FABM.  
16 Afterwards, FABM passes the information from biogeochemical modes to physical models  
17 which will receive the sink and source term values and process next step of calculation taking  
18 into consideration of feedback from biogeochemical models. FABM thereby enables model  
19 applications of different physical representations (ranging 0D to 3D) without the need to  
20 change the biogeochemical source code. Most of the pelagic state variables in a  
21 biogeochemical model implemented in FABM will typically be transported by the  
22 hydrodynamics. However, some pelagic variables, particularly relevant for higher trophic  
23 levels such as fish (that may exhibit active movement based, for example, on the food source  
24 availability), can be set as exempt from hydrodynamic transport or even include their own  
25 custom time and space varying movement. On the other hand, all benthic state variables, such  
26 as macrophytes (that need to be attached to a “benthic” grid cell), are always exempt from  
27 hydrodynamic transport. Further detail on the concept of FABM is provided in Bruggeman  
28 and Bolding (2014).

29 Besides PCLake, a series of large ecosystem models has been implemented in FABM. These  
30 include representations of the European Regional Seas Ecosystem Model (ERSEM) and the  
31 lake model Aquatic EcoDynamics (AED). But in contrast to PCLake, none of these include  
32 higher trophic levels such as fish. FABM is written in Fortran2003 and therefore FABM-



1 PCLake is also implemented in Fortran2003. The key difference between the new FABM-  
2 PCLake (Fig. 1) and the original PCLake conceptual model (e.g., Janse et al. 2010) is that  
3 FABM-PCLake enables physical processes. Hence, a major advantage of FABM-PCLake is  
4 that the detailed biogeochemical processes provided by PCLake can now be used to study  
5 deep (i.e. stratifying) and spatially complex aquatic ecosystems. While the core of the overall  
6 conceptual model of the PCLake “lake part” remains intact, the underlying mechanisms of  
7 processes that relate to transport have changed. For example, while the resuspension of  
8 detritus is derived from an empirical relation to lake fetch in the original PCLake (represented  
9 by an arrow going from the bottom sediments to the water column in Fig. 1), resuspension in  
10 FABM-PCLake can now be derived from the actual bottom shear stress simulated by the  
11 physical model. When implementing PCLake into FABM, a series of modifications relative to  
12 the original PCLake model were made. This was done because some of the processes  
13 parameterized in the original PCLake model can now be resolved explicitly by the  
14 hydrodynamic models and the functionalities of FABM.

15 The main modifications are:

- 16 1) excluding the marsh module (as any two- or three-dimensional exchanges of solutes  
17 can now be resolved by an explicit physical domain);
- 18 2) excluding the original loading, dilution and water level burial correction processes (as  
19 this will now instead be resolved by the physical model and its boundary conditions);
- 20 3) excluding the original (and optional) forcing for dredging processes and fish  
21 harvesting (as similar functionality is now provided through the state variable time  
22 series forcing enabled by FABM);
- 23 4) adding the option to make resuspension directly dependent on bottom shear stress  
24 provided by the hydrodynamic model (in contrast to the original empirical  
25 resuspension function, which was related only to the average lake fetch);
- 26 5) extending the available options for describing light limitation functions for  
27 phytoplankton.

28 To maintain the integrity of the original PCLake model, in terms of process rates that are  
29 formulated on bases of daily average incoming light, we used the ability of FABM to provide  
30 daily averages of photosynthetically active radiation (PAR) for the centre point in any given  
31 water column cell. In total, the FABM-PCLake implementation comprises 57 state variables.  
32 These include representations of oxygen dynamics, organic and inorganic forms of nitrogen,



1 phosphorus and silicon, three phytoplankton groups, one zooplankton and one zoobenthos  
2 group, zooplanktivorous and zoobenthivorous fish (representing juveniles and adult fish,  
3 respectively), piscivorous fish and submerged macrophytes (Fig. 1). A complete record of the  
4 partial differential equations for each state variable can be found in the Supplementary  
5 Material.

6 The code implementation involved a complete redesign and rewrite of the PCLake code into a  
7 FABM compliant modular structure (see Fig. 2 and Supplementary material, supplementary  
8 table S1), thus allowing FABM to acquire sink and source terms for each state variable  
9 differential equation, and pass these for numerical solution and transportation by a physical  
10 host model. By implementing the model in FABM, one can now combine different ecosystem  
11 modules from different biogeochemical models available in FABM to suit the study purpose  
12 (such as running the phytoplankton module from the AED model together with the  
13 zooplankton module from the PCLake model to simulate the ecosystem for a particular case  
14 study). Another important FABM feature is the ability to undertake data assimilation at  
15 runtime, where simulated state variables can be “relaxed” to values of observations that are  
16 read-in during a simulation. Hereby, one can enforce certain components of the ecosystem  
17 (e.g., macrophyte seasonality), while simulating other parts of the ecosystem dynamically.  
18 The model code was divided into modules of abiotic, phytoplankton, macrophytes and food  
19 web dynamics. These modules were further sub-divided into water column (pelagic) and  
20 sediment (benthic) domains. Concurrently, we developed an auxiliary module for FABM-  
21 PCLake to handle the overall system processes. The system processes will typically influence  
22 several other modules, and include resuspension, sedimentation and burial. In PCLake, burial  
23 is included as a process that can prevent a net increase of sediment material by burial of a  
24 small layer of sediment, equally thick as the layer that had been added to it. This material is  
25 considered as buried in the deeper sediment and lost from the system.

26

### 27 **3 Model verification**

28 To ensure that all biogeochemical processes have been implemented correctly through the  
29 equations in FABM-PCLake, we verified the model by running a benchmark test case against  
30 the original PCLake model. Hence, we compared output from the original PCLake model  
31 (zero-dimensional, using the OSIRIS version, i.e. a C++ executable called from a Microsoft  
32 Excel shell) with that from FABM-PCLake model executed with a zero-dimensional driver.



1 The models were applied with identical model initialization and parameterization, and the  
2 same forcing and boundary conditions in terms of inflow, water temperature, light and  
3 nutrient loads for a 5 year period. The initial values for state variables and model  
4 parameterization were taken from the original PCLake version, which has been calibrated  
5 using data from 43 European lakes (Janse et al., 2010), most of which were Dutch lakes, but  
6 also included a few lakes from Belgium, Poland and Ireland. To ensure comparability, we left  
7 the Marsh module in the original PCLake model turned off, and used the simple empirical  
8 resuspension function (this function remains as an optional function in the FABM-PCLake  
9 model, while we also implemented a bottom stress driven resuspension process) in the  
10 FABM-PCLake version. Moreover, for the purpose of the benchmark test, processes that are  
11 not included in the new FABM-PCLake, such as water column burial correction, dredging  
12 and fish harvesting, were turned off in the original PCLake model. We found that there were  
13 only marginal differences between the outputs of the two model versions, which could be  
14 attributed to small differences in the numerical solvers of the models (Fig. 3). We therefore  
15 conclude that the new FABM-PCLake implementation provides corresponding  
16 representations of ecosystem dynamics, relative to the original PCLake model.

17

#### 18 **4 Model features and perspectives**

19 The FABM-PCLake model is now able to run with a selection of hydrodynamic models  
20 (which can be simply selected by the user), covering zero-dimensional (included with the  
21 FABM source code), one-dimensional (e.g., the General Ocean Turbulence Model, GOTM –  
22 <http://www.gotm.net>, and the General Lake Model, GLM –  
23 <http://aed.see.uwa.edu.au/research/models/GLM>) as well as three-dimensional (e.g., the  
24 General Estuary Transport Model, GETM – [www.getm.eu](http://www.getm.eu), Modular Ocean Model, MOM -  
25 <http://mom-ocean.org> and work in progress - Nucleus for European Modelling of the Ocean,  
26 NEMO <http://www.nemo-ocean.eu>, and The Unstructured Grid Finite Volume Community  
27 Ocean Model, FVCOM - <http://fvcom.smast.umassd.edu/fvcom> ) hydrodynamic models. A  
28 major advantage of this development is that the detailed ecological processes provided by  
29 PCLake can now be used to study deep and spatially complex aquatic ecosystems. In addition,  
30 it becomes possible to study the concept of critical nutrient loading for spatial heterogeneous  
31 aquatic systems. This is important because the concept of regime shifts in ecosystems is  
32 widely acknowledged in science and ecosystem management, while the effect of spatial



- 1 heterogeneity on the occurrence of regime shifts is poorly understood (Janssen et al., 2014).  
2 Other key features enabled by FABM are:
- 3 1) the ability to replace one or several of the PCLake modules (e.g., phytoplankton) with  
4 that from another ecosystem model available through FABM (e.g., ERGOM, ERSEM  
5 or AED);
  - 6 2) the ability to force time series for some state variables (i.e., data assimilation) while  
7 others are left fully dynamic (e.g., one could force time series of macrophyte biomass,  
8 and look at the response of fish, zooplankton, phytoplankton etc.);
  - 9 3) the ability to run multiple models in an ensemble (e.g., for inter-model comparisons).

10 Whether run as a zero-, one- or three-dimensional model application, the model executable  
11 will generate an output file of NetCDF format (\*.nc), which can be opened and manipulated  
12 by a range of software packages (e.g, Matlab, IDL) and a range of free NetCDF viewers, such  
13 as PyNcView (<http://sourceforge.net/projects/pyncview>). The latter provides an easy-to-use  
14 graphical user interface (GUI) for creation of animations and publication-quality figures (a  
15 screenshot of visualization of FABM-PCLake state variables is demonstrated in Fig. 4).

16

### 17 **Code availability**

18 The model can be compiled and executed on Windows, Linux, and Mac OS machines, and is  
19 open source and freely available under the GNU General Public License (GPL) version 2.  
20 Source code, executables, and test cases can be downloaded directly from <http://fabm.net>, or  
21 as git repositories (updated information on how to download the code from git repositories as  
22 well as compiling the code for different platforms is available from the FABM wiki at  
23 <http://fabm.net/wiki>). Contact persons for FABM-PCLake model: Fenjuan Hu  
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26 H. Janse ([jan.janse@pbl.nl](mailto:jan.janse@pbl.nl)), Wolf. M. Mooij ([w.mooij@nioo.knaw.nl](mailto:w.mooij@nioo.knaw.nl)).

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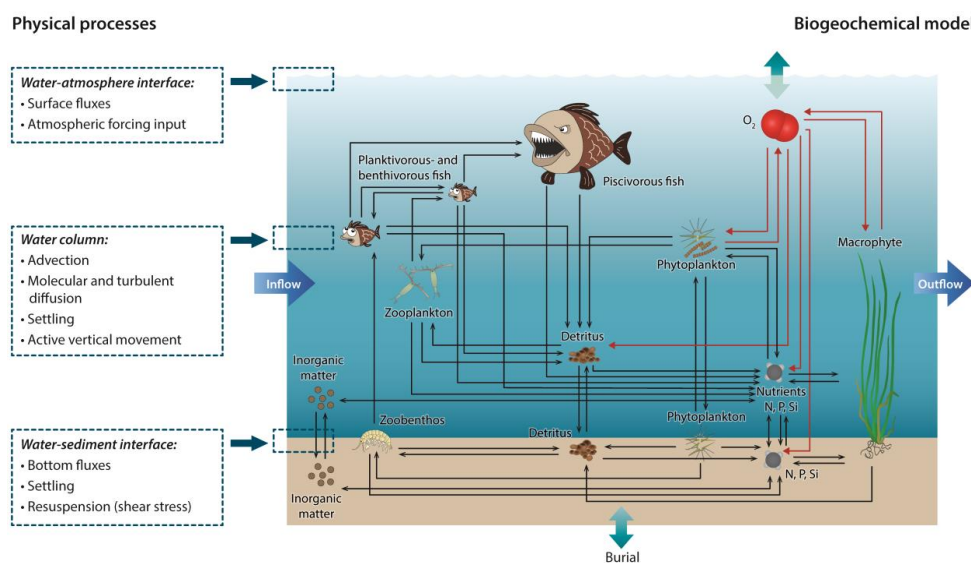


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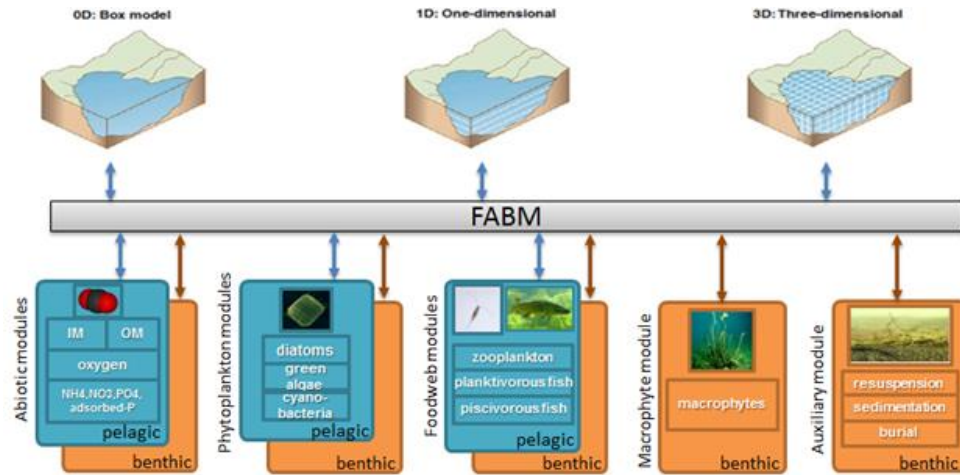


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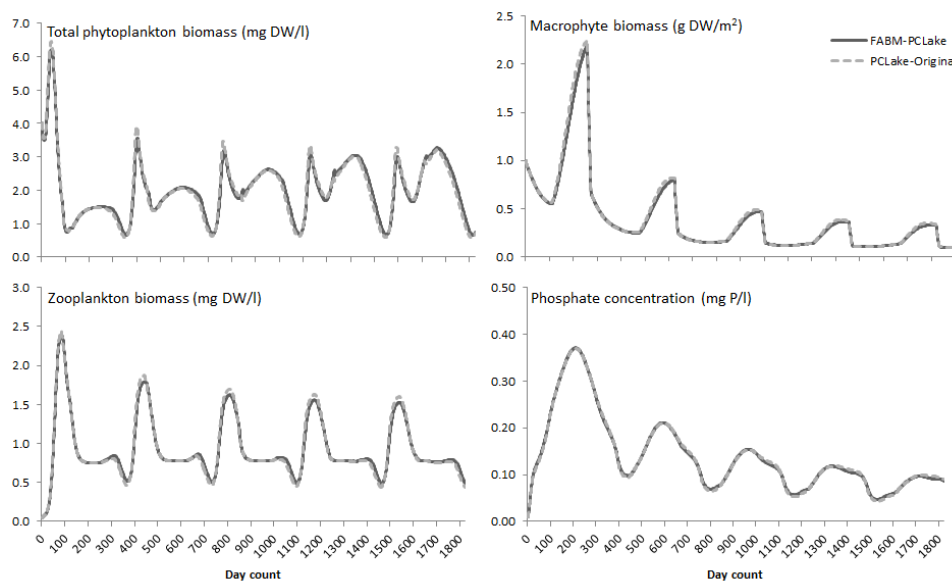
3 Fig. 1. Conceptual model of FABM-PCLake (FABM, Framework of Aquatic biogeochemical  
 4 Models; PCLake, the implemented aquatic ecosystem model). Key state variables of the  
 5 FABM-PCLake biogeochemical model and the interactions between these (represented by  
 6 arrows); and an illustration of how a physical model may now transport biogeochemical state  
 7 variables through explicit physical processes.

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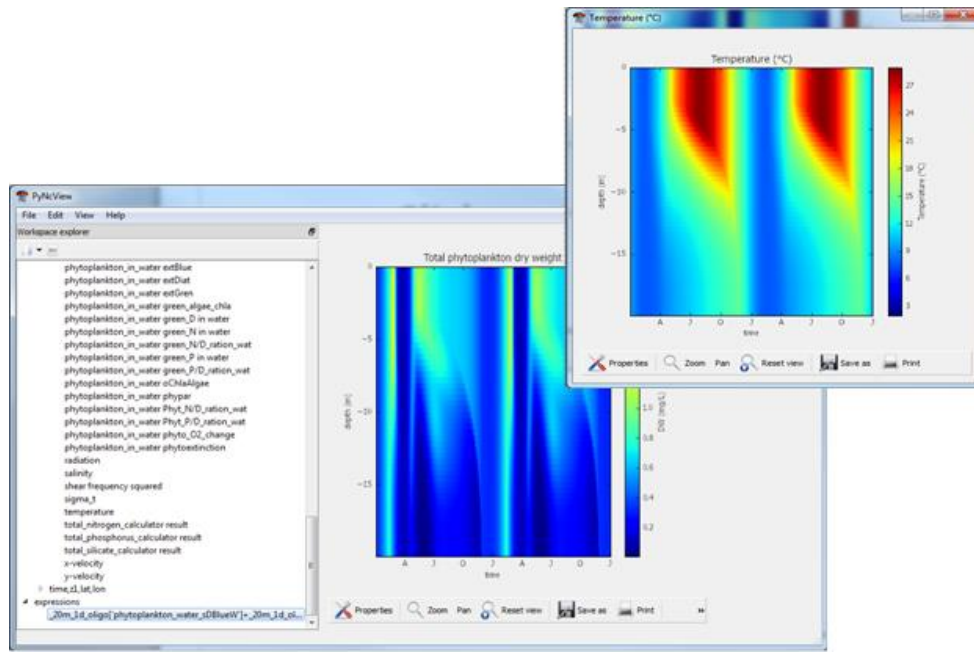
Fig. 2. The modular structure of the FABM-PCLake code. Each square box represents a FORTRAN module of FABM-PCLake (and these modules are interacting/communicating through FABM to simulate the processes illustrated by arrows in Fig.1). The brown coloured boxes are related to the sediment domain and the blue boxes to the water column domain. Note that all modules may be applied for 0-D to 3-D spatial domains. A detailed description of the contents of each module is provided in the Supplementary Material.



1

2 Fig. 3. Key time series outputs from a five-year simulation by the original PCLake model  
3 (PCLake-Original), and the new FABM-PCLake model (FABM-PCLake), represented by dry  
4 weight of total phytoplankton biomass, dry weight of zooplankton biomass, dry weight of  
5 macrophytes biomass, and the concentration of phosphate in the water column.

6



1  
2 Fig. 4. Visualization of FABM-PCLake state variables in PyNcView, exemplified by a two  
3 year period simulated by a one-dimensional FABM-PCLake application of a 20 m deep water  
4 column. State variables to be viewed are simply selected in the left panel, and figures can be  
5 viewed, manipulated and saved in the right panel and as detached figures (a detached figure is  
6 exemplified by the temperature plot).