

Author response regarding the manuscript 'Coupling aerosol optics to the chemical transport model MATCH (v5.5.0) and aerosol dynamics module SALSA (v1)', to the reviewer 2

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Thank you for taking your time to review our paper. Your comments and feedback has helped us improving our paper. Below you will find answers to each of the comments we received, where the your comments are marked with blue and our answers below in black.

1 General comments

1. I had the general impression that the authors were crediting substantially the author's work, while a larger relevant literature exists. I recommend the authors to cite more independent work from other research scientists in the field, as it would strength the manuscript itself and the external perception.

Since this manuscript is not a review paper, it was not our ambition to provide a complete list of references on black-carbon optics. Rather, this manuscript is a model-development paper, so it is both natural and necessary that we cite those publications that have been relevant to the validation and development of our aerosol-optics model. The present manuscript is, indeed, only the tip-of-the-iceberg of a long-term research project on black carbon, which involved a substantial amount of development work. The citations of our own work in the manuscript reflect this fact. For instance, we have performed exactly the kind of validation studies that the reviewer mentions under specific comments 1 (dependency of MAC and SSA on aggregate size, monomer size and number), and more (dependency of MAC and SSA on refractive index, which is even more important for MAC than the monomer size). However, we agree, of course, that it may be helpful for the reader if we include a more complete reference list, so we added additional citations (see specific comments 1 below).

2. Many of the plots are not easy to read, neither in the screen or in a printed version. I would recommend to make labels of the axis with a larger font size. I would also suggest to add a description of the plots in the caption, so a reader does not need to go back a forward in the text to find relevant details. The manuscript does not provide any code to perform calculations, which seems strongly suggested by the journal.

We agree that the quality of the plots was in need of improvements. The plots have been revised along the reviewer's suggestions. We also added a section on code-availability, see page 34 and section 5.

2 Specific comments

1. Page 10745 Please, state T-matrix estimated mass absorption coefficients (MAC) values and how close are to Bond and Bergstrom (2006) recommended values, in addition to SSA values.

The choose of monomers radius of 25nm might be legitimate, nerveless I would recommend a statement addressing the variability in the monomers radius 15-25 nm. Different monomer radii exist due to differences in sources, which mainly depend on burning materials (i.e. Weztner et al., 2003, China et al., 2014., Chakrabarty et al., 2014)

Optical properties of BC aggregates may vary depending on assumptions of BC monomers radius. One key manuscript addressing the issue at one wavelength is Liu et al., 2008, other papers based on observational driven constrains of BC particle aggregates may be worth to be cited, i.e., Scarnato et al., 2013, 2015. The choose of a Df=1.8 can be considered consistent with semi aged BC aggregates, i.e. China et al., 2015, China et al., 2014.

A good fitting of observed values of MAC and SSA with T-matrix simulations reside on the choice of aggregate physical constrains (aggregate size, monomer size and number, other than fractal dimension).

The MAC values of our aggregate model have been validated in detail in Kahnert and Devasthale (2011), which we have cited.

We added, as suggested, that the monomer radius can vary between 10–25 nm with a citation of Bond and Bergstrom (2006) (see page 16 line number 12-13). However, we emphasise that our choice of $a=25$ nm has been validated in the AST-paper by Kahnert (2010), as explained in the text; it gives the best agreement with measurements for the SSA. This is actually not surprising. It is much more surprising that in the literature authors often seem to tacitly assume that the best choice of the monomer radius a would be some mean value, $\int n(a)ada$, over a normalised monomer-size distribution $n(a)$. We cannot think of any good arguments to support this conjecture. Rather, the monomers are tiny compared to the wavelength, so if anything they should behave similarly to Rayleigh scatterers, for which the absorption cross section scales as a^3 . Thus, in our own humble opinion a meaningful first-guess for an effective radius would be $a_{\text{eff}} = \int n(a)a^4da / \int n(a)a^3da$, the ratio of the fourth to the third moment of the size-distribution. I.e., one should weigh $n(a) \cdot a$ with the absorption cross section, which is proportional to a^3 . If doing so, we would expect that the effective monomer radius that gives the most realistic estimates of the optical properties should lie close to the upper end of the size scale 10–25 nm, which is just what we assume.

We also added some of the citations suggested by the reviewer (China et al. (2014) at p. 10 l. 294, Chakrabarty et al. (2014) at p. 10 l. 293, Scarnato et al. (2013) and Scarnato et al. (2015) at p. 1 l. 320). However, we would like to point out that the manuscript by Liu et al. (2008), although highly cited, covered an enormous parameter space that far exceeds the range relevant for atmospheric black carbon (e.g. Df as low as 1.25 and as high as 3; as well as quite unrealistic refractive indices, $2+i$ and $1.75+0.5i$). The AST-paper by Kahnert (2010) considered a considerably more constrained and realistic range of parameters and was much more relevant for the validation of our aerosol-optics model.

We agree that $D_f=1.8$ is typical for rather fresh or "semi-aged" aggregates. Older aggregates may have higher fractal dimensions. However, exactly this question has been discussed in the ACP-paper by Kahnert and Devasthale (2011); this study showed that, despite being rather low, the value of $D_f=1.8$ gives a better agreement of modelled and measured MAC values than D_f -values that may be more representative for aged black carbon.

2. The paper mainly focuses on the impact of various treatments of optical modules to chemical transport models. Secondly, the paper discusses how various optical treatments can impact estimates of backscattering coefficients and Angstrom exponent. The authors should consider, at least for a site, to make comparison with observational data (i.e., A comparison between space born lidar backscattering values with those predicted).

In principle we very much agree with the reviewer that comparison with observations are extremely valuable. However, doing comparison with observations is an all-or-nothing business. It would not be very illuminating to simply show a plot of model results and observations. Chemical transport models are notoriously plagued by a large number of errors and biases, such as the emission estimates, deposition velocities, chemical reaction rates, meteorological input data (wind fields, precipitation rates, boundary-layer processes, etc), land use data, parameterisations of physical processes, such as nucleation, condensation, coagulation, and water-uptake, to name just a few examples. All of these sources of error would effect a comparison of modelled optical properties with measurements. A meaningful comparison would not be possible without performing a comprehensive analysis of *all* involved sources of error. This would completely change the focus of the paper. Our intention with this paper is to educate the chemical-transport modelling community, and raise some awareness for the importance of aerosol optics modelling. This message would have become completely buried if we had set up this study as a CTM validation study.

3. Page 10756: Can you please provide an explanation for the statement: "Over the Mediterranean (Fig.6), the EXT and CGS model have almost identical AOD profiles in the green part of the spectrum. However, at longer wavelengths (not shown) EXT predicts substantially higher AOD values than CGS"

This statement refers to a difference in the AOD at different wavelengths. In the paper, we only show AOD for the green spectrum, i.e. 500(EXT)/532(CGS) nm, but in order to explain certain behaviours, we had to look closer at some of the other wavelengths as well. In the revised edition, we have now added an appendix (Appendix E) where we include figures with optical properties for the other wavelengths. We also added in the text a reference to the appropriate figure that shows ADO at longer wavelengths; this should help to make this statement clearer.

4. Can you please provide an order of magnitude for the statement? "TOA net flux in EXT as compared to the CGS model. Note that the differences in SSA between EXT and CGS are fairly small, while the differences in g are rather large".

The reviewer has a good point; this statement needs to be more precise and quantitative. We have changed the text accordingly and provided concrete numbers for the differences in the asymmetry parameter and SSA between the two models. See page 24 line number 703-706.

5. It might be useful to strength the perception of the paper, to add when possible in the abstract and conclusion a percentage (or order of magnitude) of the impact of different optical modules.

An excellent point. We have taken some of the most important results from the new table 4 (which shows spatio-temporally averaged model differences) and cited them in the revised abstract and conclusions. See the abstract on page 2 line number 24-27 and the Conclusion at page 33 line number 823-827.

Coupling aerosol optics to the chemical transport model MATCH (v5.5.0) and aerosol **dynamics** microphysics module SALSA (v1)

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Abstract. Modelling aerosol optical properties is a notoriously difficult task due to the particles' complex morphologies and compositions. Yet ~~aerosols~~ aerosol particles and their optical properties are important for ~~Earth-system-chemistry-climate~~ modelling and remote sensing applications. Operational optics models often make drastic and ~~non-realistic~~ non-realistic approximations regarding morphological properties, which can introduce errors. In this study a new ~~aerosol-optics~~ aerosol-optics model is implemented, in which more realistic morphologies and mixing states are assumed, especially for black carbon ~~aerosols~~ particles. The model includes both external and internal mixing of all chemical species, it treats ~~externally-mixed~~ externally-mixed black carbon as fractal aggregates, and it accounts for inhomogeneous internal mixing of black carbon by use of a novel “~~core-grey~~ shellcore-grey-shell” model. Simulated results of ~~radiative fluxes,~~ aerosol optical properties, such as aerosol optical depth, backscattering coefficients and ~~the~~ Ångström exponent ~~from,~~ as well as radiative fluxes computed with the new optics model are compared with results from ~~another model simulating an older optics-model version that treats all~~ particles as externally mixed homogeneous spheres. ~~To gauge the impact on the optical properties from the new optics model, the known and important effects from using aerosol dynamics serves~~ For comparison, we perform computations with two different model-versions, one that accounts for aerosol-microphysical processes, and another one that entirely neglects these processes. Since it is well understood that aerosol microphysics has a profound impact on aerosol mass- and number-concentrations, their size-distribution, and their size-dependent chemical composition (which, in turn, strongly impact their optical properties), these additional model-runs can serve as a reference against which we can gauge the significance of the morphological assumptions in the optics model. The results show that using a more detailed description of particle morphology and mixing states influences the optical properties to ~~the same~~

~~degree as aerosol dynamics.~~ a degree that is on the same order of magnitude as the corresponding effects of aerosol-microphysical processes. For instance, the aerosol optical depth computed with the two optics models differs over the optical spectrum by $-25-18\%$, while corresponding differences caused by the inclusion or omission of aerosol microphysics range between $-50-37\%$. The corresponding differences in the backscattering coefficient are $-8-99\%$ and $-47-28\%$, respectively. This is an important finding suggesting that ~~over-simplified-simple~~ optics models coupled to a chemical transport model can introduce considerable errors; this can strongly ~~effect-affect~~ simulations of radiative fluxes in ~~Earth-system-chemistry-climate~~ models, and it can compromise the use of remote sensing observations of ~~aerosols-aerosol particles~~ in model evaluations and chemical data assimilation.

1 Introduction

~~Aerosol-optics~~ Aerosol-optics models are employed in large-scale chemical transport models (CTMs) in mainly two contexts, namely, in ~~Earth-system-climate-modelling-chemistry-climate-modelling~~ (CCM), and in conjunction with remote sensing observations. In ~~Earth-system-modelling-a-CCM~~ one couples a CTM to an atmosphere-ocean general circulation model (GCM). One purpose is to account for the dynamic effects of ~~aerosols-aerosol particles~~ on cloud microphysics. Another is to obtain a better description of the direct effect of ~~aerosols-aerosol particles~~ and radiatively active trace gases on the radiative balance. The ~~aerosol-optics-aerosol-optics~~ model provides a link that converts the aerosol fields delivered by the CTM to the aerosol optical properties that are required as input to the radiative transfer model, with which one computes the radiative energy budget. In remote sensing applications one is faced with the obstacle that the aerosol concentration fields computed with a CTM are not directly comparable to the radiometric quantities that are observed with remote sensing instruments. The ~~aerosol-optics-aerosol-optics~~ model provides the observation operator that maps the CTM output to radiometric variables that can be compared to satellite observations or satellite retrieval products. This allows us to either employ satellite observations for evaluating CTM model results, or to assimilate satellite data into a CTM-based air-quality forecasting system. It is clear that the ~~aerosol-optics-aerosol-optics~~ model has a pivotal role in these kinds of applications. It may constitute an additional source of error that could compromise the reliability of ~~Earth-system~~ climate-models CCMs, impair the reliability of CTM evaluations, or degrade chemical data assimilation results. It is, therefore, important to better understand this potential source of error, quantify its possible impact on model predictions of aerosol radiometric quantities, and assess the level of morphological detail that ~~is-required-in-aerosol-optics-might-be-required-in-aerosol-optics~~ models coupled to CTMs.

A main difficulty is that ~~aerosols-aerosol particles~~ in nature can have a high degree of morphological complexity. For instance, mineral dust particles can have irregular shape, small-scale surface roughness, and inhomogeneous mineralogical composition (e.g. Nousiainen, 2009). Black carbon

~~aerosols are fractal aggregates~~ particles suspended in air have fractal-aggregate shapes (e.g. Jones, 2006) that can be coated by weakly absorbing liquid-phase components that condense onto the aggregates as they age in the atmosphere (e.g. Adachi and Buseck, 2008). Volcanic ash particles are composed of crustal material in which multiple air vesicles may have been trapped during the generation of the particles. In ~~aerosol-optics~~ aerosol-optics models one has to make a choice what level of morphological detail is necessary and affordable. A detailed discussion of this question can be found in Kahnert et al. (2014).

65 In environmental modelling practical and computational constraints often force us to invoke drastically simplifying assumptions about aerosol morphology. For instance, one frequently computes aerosol optical properties based on the assumption that all chemical aerosol components are contained in separate particles (externally mixed), and that each such particle can be approximated as a homogeneous sphere. As pointed out in Kahnert (2008); Benedetti et al. (2009), this approach is 70 highly attractive from a practical point of view, because the aerosol optical observation operators, which map mixing ratios to radiometric properties, become linear functions of the mixing ratios of the different chemical species. A linearisation of the observation operator is a prerequisite for most of the commonly used ~~data-assimilation~~ data-assimilation methodologies, such as the variational method (e.g. Kahnert, 2008; Benedetti et al., 2009). However, such approximations can also intro- 75 duce substantial errors. In the ~~remote-sensing~~ remote-sensing community awareness for this problem has been growing over the past 1–2 decades. As a result, one has developed retrieval methods for desert dust ~~aerosols~~ aerosol particles that are based on spheroidal model particles (e.g. Dubovik et al., 2006), which can mimic the optical properties of mineral dust particles better than homogeneous spheres ~~Kahnert (2004); Nousiainen et al. (2006)~~ (Kahnert, 2004; Nousiainen et al., 2006). In 80 chemical data assimilation, the problem is still treated rather negligently. A few assimilation studies account for internal mixing (where several aerosol components can be contained within one particle) of different chemical components (e.g. Saide et al., 2013). But the particles are still assumed to be perfectly homogeneous spheres. To the best of our knowledge there are currently no aerosol optical observation operators in chemical transport models that take complex morphological properties of 85 ~~aerosols such as nonsphericity~~ aerosol particles such as non-sphericity or inhomogeneous internal structure into account.

This study describes the coupling of two different ~~aerosol-optics~~ aerosol-optics models to a regional CTM. One optics model is based on the simple external-mixture and homogeneous-sphere approximations. The second model takes both external and internal mixing of aerosol components into 90 account. Also, it employs morphologically more realistic models for black carbon ~~aerosols~~ particles. Although black carbon contributes, on average, only some 5 % to the mass mixing ratio of particulate matter over Europe, it can have a significant global radiative warming effect. Previous theoretical studies on the optical properties of ~~black-carbon aerosols~~ black-carbon particles suggest that the use of homogeneous sphere models can introduce substantial errors in the absorption cross section

95 and single scattering albedo of such particles (e.g. Kahnert, 2010a; Kahnert et al., 2013). Also, the largest mixing-state sensitivity in both regional and global radiative fluxes comes from black carbon according to Klingmüller et al. (2014).

The main goal of this study is to assess the impact of aerosol morphology and mixing state on radiometric quantities and radiative forcing ~~rates~~ simulated with a chemical transport model. To
100 this end we compare the two optics models, and we gauge the significance of morphology by comparing the differences in the optics ~~models~~ model output to other sources of error. As a gauge we use the impact of including or omitting aerosol ~~dynamic~~ microphysical processes; this provides us with a reference which is generally agreed to have a significant effect on aerosol transport models (Andersson et al., 2015; Kokkola et al., 2008).

105 The CTM, its aerosol ~~dynamics~~ microphysic and mass transport ~~modules~~ set-ups, and the ~~aerosol optics model~~ aerosol-optics models are described in Sect. 2. There we also explain the methodology we employ for ~~evaluation~~ comparison of the optics ~~model~~ models. In Sect. 3 we present and discuss computational results for selected cases and for several radiative and optical parameters. Concluding remarks are given in Sect. 4.

110 2 Model description and methods

2.1 General considerations and terminology

Aerosol particles typically originate from different emission sources, such as ~~seasalt~~ sea-salt particles coming from marine sources, wind-blown dust from dry land surfaces, volcanic ash from magmatic or phreatomagmatic eruptions, or black carbon produced during combustion of fossil fuel, biofuel,
115 or biomass. During atmospheric transport particles from different sources can be mixed, resulting in heterogeneous aerosol populations consisting of particles of different morphologies, sizes, and chemical composition. A mixture in which different chemical species are contained in separate particles is referred to as an *external mixture*. On the other hand, aerosol dynamic processes, such as nucleation, condensation, and coagulation, give rise to the formation and growth of secondary particles from precursor gases, as well as to the condensation of precursor gases onto existing primary
120 particles. These processes result in particles in which several chemical species are mixed with each other in one and the same particle. Such a population is referred to as an *internal mixture*. There are two types of internal mixtures. If, e.g., ~~hydrophillic~~ hydrophilic liquid-phase components mix with each other, one can obtain a *homogeneous internal mixture* of different chemical species. On
125 the other hand, condensation of gas-phase species onto non-soluble primary particles, or cloud processing of ~~aerosols~~ aerosol particles can result in liquid-phase material coating a solid core of, e.g., mineral dust or black carbon. We refer to the latter as an *inhomogeneous internal mixture*. Aerosol populations in nature are often both externally and internally mixed, i.e., they contain particles that

are composed of a single chemical species as well as other particles that are composed of different
130 chemical species, which can be homogeneously or inhomogeneously internally mixed.

Aerosol optical properties are strongly dependent on not only the size and chemical composition, but also on the mixing state, shape, and internal structure of particles. Therefore, before explaining the ~~aerosol-optics~~aerosol-optics model, we first need to briefly describe the kind of information that can be provided by the aerosol transport model. In particular, we need to understand the level of
135 detail with which the size distribution, size-dependent chemical composition, and the mixing state of the ~~aerosols~~aerosol particles can be computed in a large-scale model.

2.2 Aerosol transport modelling with MATCH

As a regional model we employ the Multiple-scale Atmospheric Transport and CHemistry modelling system (MATCH)~~Andersson et al. (2007)~~, an offline Eulerian model developed by the Swedish
140 Meteorological and Hydrological Institute (Andersson et al., 2007). For this study we have set up the MATCH model over the European domain with a $0.4 \times 0.4^\circ$ horizontal resolution and a rotated latitude-longitude grid, covering about 34° longitude and 42° latitude. The model has 40 vertical η layers with varying thickness depending on the topography, and it extends up to about 13 hPa. The meteorological input comes from the numerical weather-prediction model HIRLAM (High-Resolution
145 Limited Area Model) (Unden et al., 2002).

The MATCH model allows us to choose between two aerosol model versions, a simpler ~~mass transport~~mass-transport model, and a more sophisticated aerosol dynamic transport model.

2.2.1 Mass transport model

A simple version of the CTM MATCH, which we refer to as the “~~mass-transport~~mass-transport
150 model”, neglects all aerosol dynamic processes. It contains a photochemistry model that computes mass concentrations of secondary inorganic aerosols (SIA), which are formed from precursor gases. The SIA fraction of aerosol particles consists of ammonium sulphate ($(\text{NH}_4)_2\text{SO}_4$), ammonium nitrate (NH_4NO_3), other particulate sulphates (PSO_x), and other particulate nitrates (PNO_x). The mass transport model further contains a ~~seasalt~~sea-salt module that computes NaCl emissions based on the
155 parametrisations described in Mårtensson et al. (2003); Monahan et al. (1986). ~~It~~More details on the MATCH photochemistry model can be found in Robertson et al. (1999); Andersson et al. (2007); the MATCH sea-salt model is described in Foltescu et al. (2005). The mass transport model also contains a simple wind-blown dust model and a module for transport of primary particulate matter (PPM), i.e., ~~aerosols other than seasalt and windblown~~aerosol particles other than sea-salt and
160 wind-blown dust that are emitted as particles, rather than being formed from gas precursors. The size bins in the PPM model are flexible. In the current model set-up the ~~sea-salt~~sea-salt and PPM models were run for four size bins as shown in Table ~~??~~1. We used gridded EMEP PPM emission data for the year 2007 ~~, and, based on those and on Kupiainen and Klimont (2004, 2007), we~~

Table 1. Size bins (characterised by the radius r) and chemical species in the MATCH mass transport model (Andersson et al., 2007). The labels “p” and “s” refer to primary emitted particles and secondary particles generated from gas precursors.

size bin	r (nm)	OC	BC	wind-blown-Dust	other PPM	NaCl	(NH ₄) ₂ SO ₄	NH ₄ NO ₃	other PSO _x	other PNO _x
1	10–50	p	p		p	p	s	s	s	s
2	50–500	p	p		p	p	s	s	s	s
3	500–1250					p	s	s	s	s
4	1250–5000	p	p	p	p	p	s	s	s	s

generated gridded emission data for black carbon in conjunction with black-carbon (BC), organic carbon and organic-carbon (OC), and all other PPM. (Here, OC refers to the mass of all organic matter, not just the mass of carbon atoms in organic compounds.) The primary particle emission data by Kupiainen and Klimont (2004, 2007). The latter provide BC and OC emissions per country and emission sector. We distributed these among the grid cells in the model domain according to the EMEP PPM gridded emissions. Thus, the BC and OC emissions vary among grid cells in accordance with the EMEP PPM emissions, while the sum of all BC and OC emissions over all grid cells per country and emission sector agrees with the corresponding BC and OC emissions, respectively, reported in Kupiainen and Klimont (2004, 2007). The remaining emissions (PPM-BC-OC) in each grid cell are interpreted as dust particles. The primary-particle emissions are distributed among the four size classes size bins; during atmospheric transport they remain chemically and dynamically inert in the model. Thus no chemical transformation, mixing processes with other compounds, or other size-transformation size-transformation processes are included in the model. The SIA components are given as total mass concentrations without any information about their size distribution. In the optics model a fixed size distribution is assumed to assign the total SIA mass to the four size bins. Water adsorption by particles is computed in the optics model as described in Sect. 2.3.1. More details on the MATCH photochemistry model can be found in Robertson et al. (1999); Andersson et al. (2007). The MATCH seasalt model is described in Foltescu et al. (2005).

2.2.2 Aerosol dynamics model microphysics module - SALSA

A more realistic description of particles can be achieved by accounting for aerosol dynamic-microphysical processes. To this end the Sectional Aerosol module for Large Scale Applications (SALSA) (Kokkola et al., 2008) has recently been coupled to the MATCH photochemistry model (Andersson et al., 2015). This model tracks mass concentrations of different species per size bin, and particle number concentrations. Thus, it provides size-dependent composition and mixing state of aerosol particles. The description of PNO_x, wind-blown dust, and secondary organic aerosols (SOA) is still under development in an early development stage in MATCH-SALSA. A simplified In the current version,

190 ~~PNO_x description has been included in the model version employed here, while wind-blown is~~
~~simply computed according to the same photochemistry-scheme as in the mass-transport model, and~~
~~the PNO_x mass is assigned to size bin 15 (see Tab. 2). Wind-blown dust and SOA are absent.~~ ~~The~~
~~number and range of size bins is flexible in SALSA. Table ?? in the present model version. The size~~
~~distributions for the emitted particles can be found in table 4 and figure 6 of Andersson et al. (2013).~~

195

Table 2 shows the current model set-up ~~:-~~

with the number and range of the size bins. As is evident from ~~the~~ this table, MATCH-SALSA
accounts for both internally and externally mixed ~~aerosols~~ aerosol particles. In total, there are
20 different size bins in MATCH-SALSA, each one of them representing a particle size range
200 (volume-equivalent radius, r), mixing state, and composition. Some size bins have the same size
range, but different mixing states and/or compositions. For instance, size bins 12, 15, and 18 de-
scribe the same size range (~~350-873~~ 350-873 nm), but different internal mixtures of various species.
Similarly, bins 4 and 8 have the same size range (25–49 nm), but one describes an internal mixture,
the other an external mixture of aerosol species.

205 ~~Note that water is not directly calculated as a prognostic variable in MATCH-SALSA. Rather,~~
~~it is a diagnostic variable computed in the MATCH-optics model as explained in Sect. 2.3.2. The~~
~~table merely indicates which size bins are assumed in the optics model to be internally mixed~~
~~with adsorbed water. A more detailed description of the MATCH-SALSA model can be found in~~
~~Andersson et al. (2015).~~

210 **2.3 Aerosol optics modelling**

As in the mass-transport model, "other PPM", i.e. primary particles other than BC and OC, are
interpreted as dust particles. Note that water is not directly calculated as a prognostic variable
in MATCH-SALSA. Rather, it is a diagnostic variable computed in the MATCH-optics model as
explained in Sect. 2.3.2. The table merely indicates which size bins are assumed in the optics model
215 to be internally mixed with adsorbed water. A more detailed description of the MATCH-SALSA
model can be found in Andersson et al. (2015).

~~Aerosol optics~~

2.3 Aerosol optics modelling

Aerosol-optics models coupled to a CTM have to make consistent use of the information provided
220 by the CTM, while invoking assumptions on optically relevant parameters that are not provided by
the CTM. The parameters that influence the particles' optical properties are

- the aerosol size distribution;
- the refractive index of the materials of which the ~~aerosols~~ aerosol particles are composed;

Table 2. Size bins and chemical species in the MATCH-SALSA aerosol dynamic-microphysical transport model. An “x” marks that the species is present in a particular size bin.

size bin	r (nm)	mixing state	other							
			OC	BC	PPM	NaCl	PSO _x	PNO _x	PNH _x	
1	1.5–3.8	internal	x					x		x
2	3.8–9.8	internal	x					x		x
3	9.8–25	internal	x					x		x
4	25–49	internal+H ₂ O	x	x	x	x	x	x		x
5	49–96	internal+H ₂ O	x	x	x	x	x	x		x
6	96–187	internal+H ₂ O	x	x	x	x	x	x		x
7	187–350	internal+H ₂ O	x	x	x	x	x	x		x
8	25–49	external	x	x				x		x
9	49–96	external	x	x				x		x
10	96–187	external	x	x				x		x
11	187–350	external	x	x	x			x		x
12	350–873	NaCl+H ₂ O					x			
13	873–2090	NaCl+H ₂ O					x			
14	2090–5000	NaCl+H ₂ O					x			
15	350–873	internal+H ₂ O	x	x	x			x	x	x
16	873–2090	internal+H ₂ O	x		x			x		x
17	2090–5000	internal+H ₂ O	x		x			x		x
18	350–873	internal+H ₂ O			x			x		x
19	873–2090	internal+H ₂ O			x			x		x
20	2090–5000	internal+H ₂ O			x			x		x

– the morphology of the particles.

225 *Morphology* refers to both the overall shape of the particle, and, in case of inhomogeneously mixed particles, the variation of the refractive index inside the particle.

The information provided by the CTM depends on the level of detail in the process descriptions. In the MATCH mass transport model, we have size information for the primary particles, but only the total mass for secondary inorganic aerosols. Thus we have to invoke assumptions about the size
230 distribution of these particles. The MATCH optics models in conjunction with the MATCH mass transport model assume that 10 % of the SIA aerosol mass are in the smallest size class-bin (see Table [??1](#)), 60 % in the second, 20 % in the third, and 10 % in the fourth size classbin. Also, the mass transport model lacks any information about the mixing state of the particles. We therefore
235 have to invoke appropriate assumptions on whether the aerosols-aerosol particles are externally or internally mixed. Both the mass transport model and MATCH-SALSA lack information on whether the internally mixed particles are homogeneous or inhomogeneous. Also, neither model provides any information on the shape of the particles. The refractive index-of-the-different-chemical-components indices of each chemical component in the aerosol phase and their spectral variation is-given-in-are

listed in Appendix D. They can also be found in Fig. 4 of in Kahnert (2010a). That reference also
240 contains detailed information about the different literature sources from which the refractive indices
are taken.

2.3.1 Optics model for externally mixed aerosols aerosol particles

The simplest conceivable optics model assumes that all particles are homogeneous spheres, and
that all chemical species are each in separate particles, i.e., externally mixed. As explained in
245 Kahnert (2008) (Kahnert, 2008), the external-mixture assumption results in a linear relation between
the mass mixing ratios and the optical properties. Owing to the linearity, this model is particularly
attractive for data assimilation applications (e.g. Benedetti et al., 2009), which require linearised
observation operators. However, this is also the crudest possible optics model, as it neglects both the
effect of internal mixing and of particle morphology on optical properties.

250 The external-mixture model is implemented in the MATCH mass transport model, where it is pri-
marily being used in the MATCH 3DVAR data assimilation system Kahnert (2008) (Kahnert, 2008).
Optical properties are pre-computed for twelve wavelength bands ranging from the UV-C to the mid-
IR. Dust and black carbon are assumed to be hydrophobic, while sea salt, OC, and SIA components
can each mix internally with water. The water volume fraction depends on temperature and humidity;
255 it is computed by use of the parametrisation given in Gerber (1985), which computes the particle's
wet-radius as a function of dry-radius, relative humidity, and temperature. The aerosol/water mix-
ture is assumed to be homogeneous. The dielectric properties of a homogeneous mixture of two
or more components are described by a complex effective refractive index m_{eff} , which is usually
computed by effective medium theory (EMT) (although chemical transport modellers often use sim-
260 ple volume mixing rules, most likely because EMTs are not commonly known in that field). We
use Bruggemann's EMT (Bruggemann, 1935). More information of EMT is given in Appendix C.
Optical properties are pre-computed for eleven water volume fractions between 0 and 0.98; for in-
termediate volume fractions the optical properties are linearly interpolated. The optical properties
contained in the database are the extinction cross section C_{ext} , the scattering cross section C_{sca} , the
265 value of the phase function in the exact backscattering direction $p(180^\circ)$, and the asymmetry param-
eter g .

As explained in Kahnert (2008), size-averaged optical properties are pre-computed by averaging
over a log-normal size distribution $n_i(r) = N_i / (\sqrt{2\pi} r \ln \sigma_i) \exp[-\ln^2(r/r_i) / (2 \ln^2 \sigma_i)]$
 $n_i(r) = N_i^0 / (\sqrt{2\pi} r \ln \sigma_i) \exp[-\ln^2(r/R_i) / (2 \ln^2 \sigma_i)]$ for each size class-bin i , where N_i represents
270 N_i^0 relates to the number density of particles in size bin i , r denotes the particle radius, $r_1 = 0.022$
 $R_1 = 0.022 \mu\text{m}$, $r_2 = 0.158 R_2 = 0.158 \mu\text{m}$, $r_3 = 0.791 R_3 = 0.791 \mu\text{m}$, $r_4 = 2.5 R_4 = 2.5 \mu\text{m}$ are the
geometric mean radii in each size mode, and the variances geometric standard deviation $\sigma_1 = \sigma_3 =$
 $\sigma_4 = 1.8$, $\sigma_2 = 1.5$ are based on measurements in Neusüß et al. (2002). The volume per size bin
can be obtained by integrating $(4/3)\pi r^3 n_i(r)$ over the i th size bin interval; this can be used for

275 ~~converting~~ Appendix A provides detailed explanations of how to convert mass mixing ratios into
number densities in each size bin, which, in turn, allows us to compute radiative properties computed
in the model into particle number densities, how these are used in computing size-averaged optical
properties, and how to obtain radiometric properties of the atmosphere, such as aerosol optical depth
(AOD), ~~single-scattering albedo (SSA), asymmetry parameter g , and or~~ backscattering coefficient
280 β_{bak} in each atmospheric grid cell in MATCH—see Kahnert (2008) for details, from the particles’
optical properties and from the MATCH aerosol fields.

2.3.2 Optics model for ~~aerosols~~ aerosol particles of different mixing states

The new MATCH-optics model accounts for both internally and externally mixed ~~aerosols~~ aerosol
particles, and it contains both homogeneously and inhomogeneously mixed ~~aerosols~~ aerosol particles.
285 Different shapes and morphologies are assumed for different types of particles.

1. Pure, externally mixed black carbon ~~aerosols~~ particles are assumed to have a fractal aggregate
morphology as shown in Fig. 1. The fractal morphology can be described by the statistical
scaling law $N_s = k_0(R_g/a)^{D_f}$, where N_s denotes the number of spherical monomers in the
aggregate, D_f and k_0 are the fractal dimension and fractal prefactor, a is the monomer radius,
290 and $R_g = \sqrt{\sum_{n=1}^{N_s} r_n^2 / N_s}$ is the radius of gyration, where r_n describes the distance of the n th
monomer from the aggregate’s centre of mass. We use $D_f = 1.8$, $k_0 = 1.3$, which is based on
the review in Bond and Bergstrom (2006). Although in the atmosphere black carbon aggre-
gates may also have higher fractal dimensions (e.g. Adachi et al., 2007; [Chakrabarty et al., 2014](#);
[China et al., 2014](#)), assuming a ~~lower~~ fractal dimension around 1.8 yields ~~mass-absorption~~
295 ~~cross-sections~~ mass-absorption cross sections at 550 nm wavelength that lie closer to ex-
perimental data, as was shown in Kahnert and Devasthale (2011). The monomer radius ~~was~~
~~can vary within a range of 10-25 nm (Bond and Bergstrom, 2006). Here it is~~ assumed to be
 $a = 25$ nm. This is consistent with field observations (Adachi and Buseck, 2008); also, it was
shown (Kahnert, 2010b) that this choice of monomer radius in light scattering computations
300 yields results for the single-scattering albedo of black carbon aggregates consistent with ob-
servations ([Bond and Bergstrom, 2006](#)).

The ~~calculation~~ calculations in Kahnert and Devasthale (2011) were limited to aggregates up
to $N_s = 600$. In order to cover the size range of externally mixed black carbon in SALSA
we had to extend these calculations to aggregate sizes up to $N_s = 2744$, which corresponds to
305 a volume-equivalent radius of $R_V = 350$ nm (compare with Table [???](#)). We used the multiple-
sphere T-matrix code (Mackowski and Mishchenko, 2011), which is based on the numerically
exact superposition T-matrix method for solving Maxwell’s equations. Figure 2 shows some of
the computed black carbon optical properties as a function of ~~partiele-size~~ volume-equivalent
particle radius and wavelength. All optical properties are averaged over particle orientations,
310 ~~where the orientation-averaging is performed analytically (Khlebstov, 1992)~~. The absorption

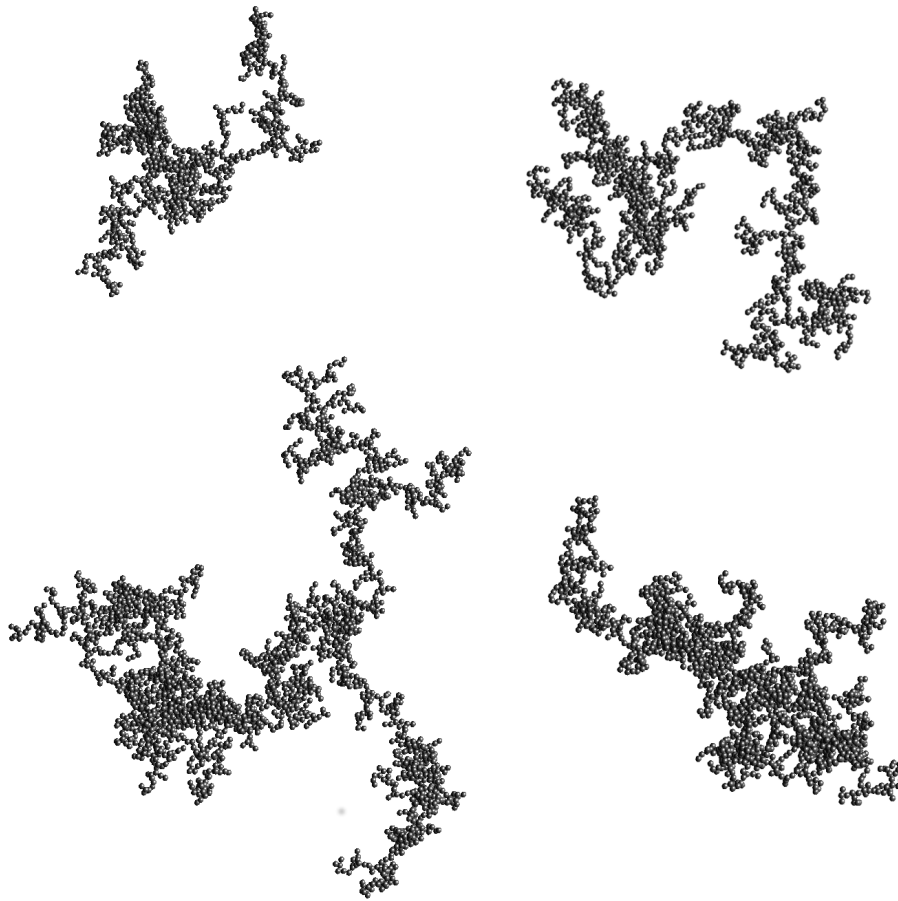


Figure 1. Examples of fractal aggregate model particles for computing optical properties of externally mixed black carbon. The aggregates consist of 1000, 1500, 2000, and 2744 monomers (in clockwise order, starting from upper left).

cross section C_{abs} shows the characteristic decline $\sim 1/\lambda$ at long wavelengths, where the refractive index of black carbon is changing only slowly (Chang and Charalampopoulos, 1990). Also, C_{abs} increases with particle size. For small particle sizes this increase goes as $\sim R_V^3$, which is typical for the Rayleigh scattering regime (Mishchenko et al., 2002).

- 315 2. Black carbon ~~aerosols~~ particles that are internally mixed with other aerosol components are morphologically very complex. It is technically beyond the reach of our present capabilities to build an ~~aerosol-optics~~ aerosol-optics database with the use of morphologically realistic model particles. However, it is possible to employ realistic model particles in reference computations for some selected cases. This has recently been done in ~~Kahnert et al. (2013)~~. In that study ~~different studies~~ Kahnert et al. (2013); Scarnato et al. (2013, 2015). In the study by ~~Kahnert et al. (2013)~~, optical properties of encapsulated aggregate model particles, such as the one shown in Fig. 3 (left), were computed in the ~~size range~~ from range of 100–500 nm
- 320

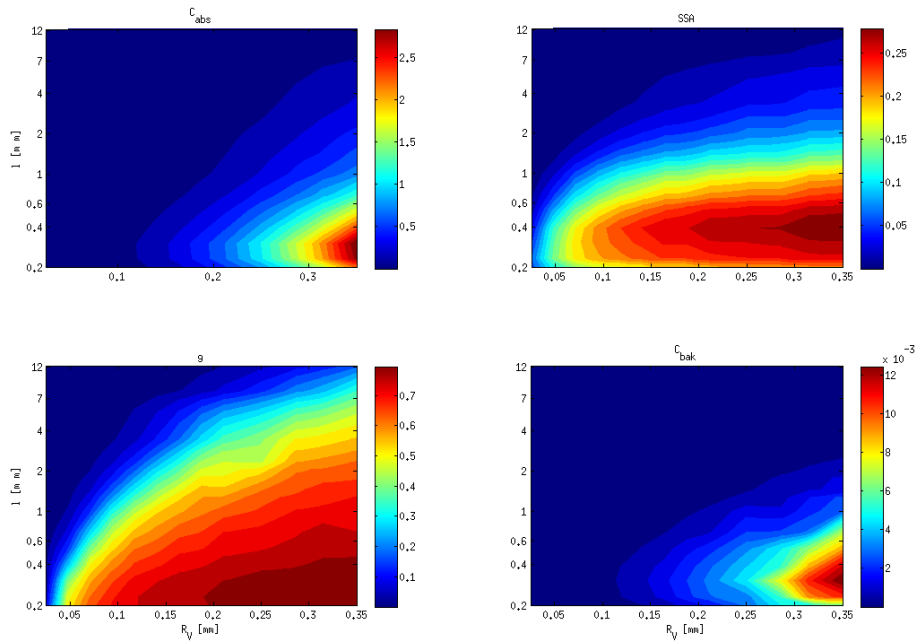


Figure 2. Absorption cross section C_{abs} (upper left), single-scattering albedo SSA (upper right), asymmetry parameter g (bottom left), and backscattering cross section C_{bak} (bottom right) of black carbon aggregates as a function of volume-equivalent radius R_V and wavelength λ .

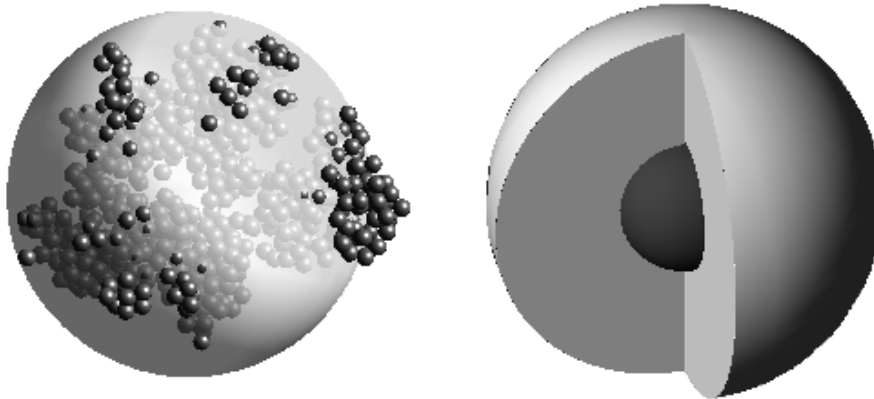


Figure 3. Morphologically realistic encapsulated aggregate model for internally mixed black carbon (left), and core-grey-shell model (right).

([volume-equivalent radius](#)), for different black carbon volume fractions, and for wavelengths from the UV-C to the mid-IR. The morphological parameters characterising these model particles were based on field observations (Adachi and Buseck, 2008); the coating material was sulphate. The computations were performed with the discrete dipole approximation (Yurkin and Hoekstra, 2007).

In Kahnert et al. (2013) the computational results were compared to those obtained with simple model particles, such as ~~externally-mixed~~externally-mixed homogeneous spheres, ~~internally~~internally
330 ~~mixed~~internally-mixed homogeneous spheres, and concentric core-shell particles with a carbon core and a sulphate shell. The analysis revealed which morphological properties of the encapsulated aggregate particles had the dominant impact on the optical properties. There are two important properties: (1) the amount of carbon mass that interacts with the electromagnetic field has a major impact on the absorption cross section C_{abs} . In a core-shell model as
335 well as in a model based on externally mixed homogeneous spheres, all of the black carbon is concentrated in a single sphere. Owing to absorption of the electromagnetic field does not penetrate deeply into this sphere. Hence much of the carbon mass is shielded from interacting with the field, resulting in an underestimation of C_{abs} compared to the encapsulated aggregates, in which a much larger fraction of the carbon mass can contribute to the absorption of electro-
340 magnetic energy. By contrast, in a homogeneous ~~internal-mixture~~internal-mixture model the black carbon is distributed evenly throughout the sulphate host, which allows too much of the carbon mass to interact with the field. This results in an overestimation of C_{abs} . (2) Compared to a ~~bare-black~~bare-black carbon aggregate, a coated aggregate has a larger geometric cross section. Hence more light is intercepted by an ~~internally-mixed~~internally-mixed particle and focused onto the black carbon inclusion, thus enhancing C_{abs} . This effect is neglected in the
345 ~~external-mixture~~external-mixture model, resulting in an underestimation of C_{abs} .

~~One~~Note that in earlier studies (e.g. Jacobson (2000)) it was often tacitly assumed that a core-shell model would give the most accurate estimates of the aerosol optical properties, owing to its morphological similarity to encapsulated black-carbon particles. However, the
350 results in Kahnert et al. (2013) have clearly shown the shortcomings of the conventional core-shell model. But once we understand which morphological properties are most essential, and which ones make a minor contribution to the optical properties, we can devise model particles that account for the most important morphological effects, yet are sufficiently simple for computing a look-up table for large-scale modelling. It was proposed in Kahnert et al. (2013) to use
355 a core-shell model (hence accounting for the coating effect) in which only part of the carbon mass is contained in the core, and the remaining part is homogeneously mixed with the shell. The model particle is illustrated in Fig. 3 (right). The ~~core-shell-partitioning-fraction~~ f_c of the carbon mass located in the core is a free parameter, with which one can interpolate between the two extreme models of the homogeneous mixture ($f_c = 0$, all carbon mass mixed with the shell) and the regular core-shell model ($f_c = 1$, all carbon mass in the core). This
360 model has been referred to as the concentric core-grey-shell (CGS) model. The tuning of the free parameter f_c in the model was done to fit the reference model of encapsulated aggregates as described in Kahnert et al. (2013). It was found that f_c is independent of particle size,

Table 3. Core fraction f_c in the core-grey-shell model as a function of wavelength λ .

λ [μm]	<u>0.2000</u>	<u>0.2316</u>	<u>0.3040</u>	<u>0.3400</u>	<u>0.3550</u>	<u>0.3800</u>	<u>0.3932</u>
f_c	<u>0.7</u>	<u>0.7</u>	<u>0.7</u>	<u>0.7</u>	<u>0.7</u>	<u>0.6</u>	<u>0.6</u>
λ [μm]	<u>0.4400</u>	<u>0.5000</u>	<u>0.5320</u>	<u>0.5332</u>	<u>0.6750</u>	<u>0.7016</u>	<u>0.8700</u>
f_c	<u>0.6</u>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>	<u>0.2</u>
λ [μm]	<u>1.0101</u>	<u>1.0200</u>	<u>1.064</u>	<u>1.2705</u>	<u>1.4625</u>	<u>1.7840</u>	<u>2.0460</u>
f_c	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>
λ [μm]	<u>2.3250</u>	<u>2.7885</u>	<u>3.4615</u>	<u>3.5000</u>	<u>8.0205</u>	<u>10.600</u>	<u>12.195</u>
f_c	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>

black-carbon volume fraction, and of the optical property one wants to fit. However, f_c does depend on the wavelength of light.

The CGS model has been employed in generating the new MATCH-optics look-up table. The shell material can be any mixture of water-soluble components. We use the same values of f_c as those determined in Kahnert et al. (2013). Its dependence on wavelength is given in Table 3.

- In the mass transport model, we assume that all SIA components and all sea salt is internally mixed. We further assume that in size classes-bins 1–4, 0, 70, 70, and 100 %, respectively, of the black carbon, 0, 70, 70, and 70 % of the organic carbon, and 0, 1.3, 1.3, and 1.3 % of the dust are internally mixed; the remaining BC, OC, and dust mass is externally mixed. In SALSA, the mixing state depends on the size bin (see Table ??), and the mixing proportions are provided by the model results. In both the mass transport model and in MATCH-SALSA, the contribution to the effective refractive index of dust and black carbon is computed by the Maxwell–Garnett EMT Maxwell-Garnett (1904)(Maxwell Garnett, 1904), while for all other components we use the Bruggemann EMT (Bruggemann, 1935).
- All other externally mixed particles not containing black carbon are assumed to be homogeneous spheres in the present version of the look-up table.

The look-up tables contain results for C_{ext} , C_{sca} , g , and C_{bak} in 28 wavelength bands from the UV-C to the mid-IR. Computations with the CGS model were performed for 37 discrete BC volume fractions, namely, $f_{\text{BC}} = 0.00, 0.01, \dots, 0.20, 0.25, \dots, 1.00$. For the shell material, as well as for non-carbon containing particles, the table contains (depending on the wavelength band) up to 40 discrete values of the real part and up to 18 discrete values of the imaginary part of the refractive index. The range of the refractive indices varies with wavelength; it is determined by those chemical components that, at each given wavelength, have the most extreme values of the refractive index. The optical properties are pre-averaged over particle sizes for each size bin. Thus we generated one

look-up table each for the mass transport model with its four size bins, and for SALSA with its 20
390 size bins. ~~In Salsa it~~ is assumed that the number density is constant in each size bin.

The MATCH-optics model computes in each grid cell and for each size bin the effective refractive
index of the internally mixed material by use of EMT. The corresponding optical properties are ob-
tained by linearly interpolating the closest pre-computed results in the look-up table. Size-averaging
is performed by weighing the optical cross sections as well as $g \cdot C_{\text{sca}}$ in each size bin with the num-
395 ber density per bin and adding over all bins. The integrated quantities are then divided by the total
particle number density; the integral over $g \cdot C_{\text{sca}}$ is also divided by the size-averaged scattering cross
section.

2.4 ~~Evaluation of Methodology for comparing~~ the optics models

The new internal-mixture optics model with its BC fractal aggregate and core-grey-shell model par-
400 ticles accounts for significant morphological details in ~~aerosols~~aerosol particles. The main question
we want to address is whether or not this high level of detail is really necessary, i.e., if it has any
significant impact on optical properties modelled with a CTM. By *significant* we mean an impact
that is comparable to other effects whose importance is well understood. Thus to make such an
assessment we need to pick a well-understood effect that can serve as a gauge, i.e., to which we
405 can compare the impact of particle morphology on optical properties. We take the effect of aerosol
~~dynamics-microphysics~~ as a gauge. As aerosol microphysics is well-known to have a substantial
impact on aerosol concentrations and size distributions (Andersson et al., 2015; Matsui et al., 2013),
this effect will provide us with a reference to which we can compare the impact of the morphological
assumptions made in the aerosol-optics model. Thus we compute aerosol optical properties

- 410 1. with the MATCH mass-transport model (i.e., with aerosol ~~dynamics-microphysics~~ switched
off), in conjunction with the old optics model (abbreviated by MT-EXT, “mass-transport ex-
ternal mixture”);
2. with the MATCH mass-transport model in conjunction with the new optics model (MT-CGS,
“mass-transport core-grey-shell”);
- 415 3. with the MATCH-SALSA model (i.e., with aerosol ~~dynamics-microphysics~~ switched on), in
conjunction with the old optics model (abbreviated SALSA-EXT, "MATCH-SALSA external
mixture");
4. with the MATCH-SALSA model, in conjunction with the new optics model (SALSA-CGS,
“MATCH-SALSA core-grey-shell”).

420 ~~Comparison of 1. and 2. will allow~~ We first perform a comparison of monthly and geographically
averaged differences in aerosol optical properties. More specifically, comparison of model set-ups
MT-EXT with MT-CGS, or SALSA-EXT with SALSA-CGS allows us to assess the impact of the

morphological assumptions in the aerosol-optics model. Comparison of ~~2. and 3. MT-EXT with SALSA-EXT, or MT-CGS with SALSA-CGS~~ will give us an estimate of how much the inclusion
425 or omission of ~~aerosol-dynamic-microphysical~~ processes impacts modelling results of aerosol radiometric properties. ~~As aerosol dynamics is well-known to have a substantial impact on aerosol concentrations and size distributions, this effect will provide us with a reference to which we can compare the impact of the morphological assumptions made in the aerosol optics model.~~

~~As an example, Fig. 4 shows the extinction aerosol optical depth (AOD) over the European model domain computed on 22 December 2007 at 12:00 with MT-EXT (left), MT-CGS (centre), and SALSA-CGS (right). The general spatial patterns are similar, as they should, since all three runs used the same EMEP emissions and HIRLAM meteorological data. However, the magnitude of the AOD results can differ significantly among the three model runs (note the semi-logarithmic scale!). Interestingly, differences~~ While statistical analyses can uncover general trends, it is difficult
435 to understand the underlying physical reasons for model differences from an analysis of temporally and geographically averaged model results. Therefore, we also consider a few case studies in some more detail. We take the optical properties modelled with different MATCH-versions as input to a radiative transfer model and analyse the total aerosol radiative forcing and the black-carbon radiative forcing. The main goal is to understand how differences in single-scattering optical properties be-
440 tween the two optics models (left and centre) are roughly on the same order as those between the mass-transport and aerosol dynamic models (centre and right).

~~Aerosol optical depth over Europe on 22 December 2007, 12:00 (noon). Results are shown for the mass-transport model in conjunction with the old external-mixture optics model (left), and with the new internal-mixture/core-grey-shell/fractal BC aggregate model (center), as well as for the~~ MATCH-SALSA model in conjunction with the new optics model (right). The circles indicate the four locations used for radiative transfer studies. Note the semi-logarithmic colour scale!
445

~~Thus a first inspection of computed fields of aerosol optical properties suggests that the level of detail in the morphological assumptions of the aerosol optics model may be significant for the modelling results. In a next step we quantify differences in modelled aerosol radiative forcing~~ among the three model versions. To this end we pick impact the outcome of the radiative transfer simulations. To keep the case studies within manageable bounds, we restrict ourselves to four geographic locations that are indicated by circles in Fig. 4; (two over land, two over the ocean), two instances (one representing low-BC summer concentrations, one representing high-BC winter conditions), and we limit ourselves to comparing the model set-ups MT-EXT, MT-CGS, and SALSA-CGS.
455 More specifically, we consider one site over Northern Italy (45.0° N, 8.5° E), one over the Mediterranean Sea (37.5° N, 5.5° E), one over Poland (52.6° N, 21.0° E), and one over the North Sea (52.0° N, 2.7° E). ~~We further pick two instances representing low-BC summer and high-BC winter conditions, namely,~~ For the two instances, we pick 22 June 2007 12:00 UTC, and 22 December 2007 12:00 UTC. Radiative transfer calculations are performed for each of these four sites and for

460 both instances. Vertical profiles of the aerosol optical depth per layer, the single-scattering albedo, and the asymmetry parameter are used as input to the libRadtran radiative transfer package (Kylling et al., 1998), assuming a plane-parallel atmosphere. For the surface albedo of the ocean we assume a spectrally constant value of 0.065, while for the spectrally varying surface albedo of the two land locations we used MODIS observations for each of the two instances. The results were spectrally
465 integrated to obtain the broadband radiative fluxes. The radiative transfer simulations were repeated for corresponding profiles of optical properties (with a 1 km resolution) in the absence of black carbon, as well as for clear-sky conditions. This allows us to compute differences in broadband radiative fluxes, i.e., the radiative effect of black carbon, and the radiative effect of all ~~aerosols~~aerosol components. The results of this radiative transfer study are discussed in Sect. 3.2.

470 To further investigate the significance of the optics model on radiometric properties, we ~~look at remote sensing related optical properties~~ also look at optical properties relevant for remote sensing, namely, backscattering coefficient and Ångström exponent. These results are discussed in Sects. 3.3 and 3.4, respectively.

3 Results

475 3.1 Aerosol optical properties in MATCH

Figure 4 gives us a first impression of the differences among the four model configurations. The extinction AOD is shown for MT-EXT (1st from the left), MT-GCS (2nd), Salsa-CGS (3rd) and Salsa-EXT (4th). The overall spatial patterns are similar. This is expected, since all model configurations used the same EMEP emissions and HIRLAM meteorological data. However, the magnitude of the
480 AOD results can differ significantly among the four model runs (note the semi-logarithmic scale!). It is also remarkable that the differences between the two optics models depend on whether we make this comparison within the mass-transport model (compare MT-EXT to MT-CGS), or within Salsa (compare SALSA-CGS and Salsa-EXT). It can also vary geographically. This merely confirms the complexity of aerosol-optics modelling. Replacing one optics model by another will not simply
485 offset the resulting optical properties by some common factor; it will introduce a significant change in modelled optical properties, of which the magnitude and even the sign can be dependent on local conditions, such as the size-distribution and the chemical composition of the aerosol particles.

This is also evident from a comparative analysis of geographically and temporally averaged aerosol optical properties. Table 4 shows aerosol optical depth (AOD), backscattering coefficient
490 (BSCA), single scattering albedo (SSA) and asymmetry parameter (g), each at three different wavelengths (355, 532, and 1064 nm), and each averaged over the whole model domain and over one month (December 2007). The columns show relative differences; for instance, $MT(EXT,CGS)=(MT-EXT - MT-CGS)/(MT-CGS)$ is the relative difference of MT-model results obtained with the EXT and CGS optics models.

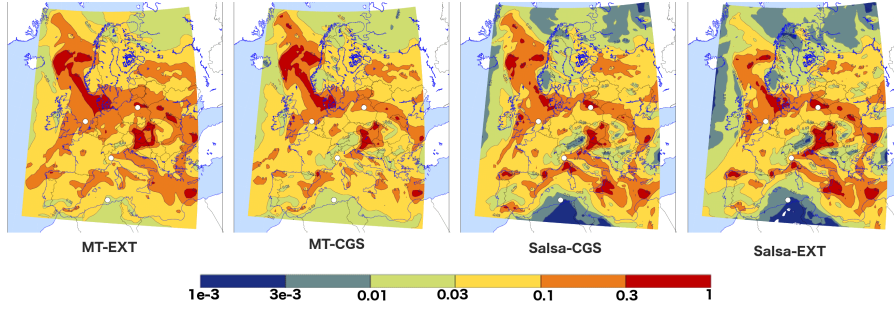


Figure 4. Aerosol optical depth over Europe on 22 December 2007, 12:00 UTC (noon). Results are shown for the mass transport model in conjunction with the old external-mixture optics model (1st to the left), and with the new internal-mixture/core-grey-shell/fractal BC aggregate model (2nd to the left), as well as for the MATCH-SALSA model in conjunction with the new optics model (3rd to the left) and old optics model (4th to the left). The circles indicate the four locations used for radiative transfer studies. Note the semi-logarithmic colour scale!

Table 4. Averaged relative difference in aerosol optical depth (AOD), backscattering coefficient (BSCA), single scattering albedo (SSA) and asymmetry parameter (g), among the different model set-ups for December 2007. The average has been performed over a whole month and over all grid-cells (horizontally for AOD, horizontally and vertically for all other optical properties). Each number corresponds to a relative difference between two model set-ups. For instance, $MT(EXT,CGS) = (MT-EXT - MT-CGS)/(MT-CGS)$ compares results obtained with the mass transport model (MT) by using the two different optics models (EXT and CGS).

$X_{\lambda, [nm]}$	MT(EXT,CGS)	Salsa(EXT,CGS)	CGS(MT,Salsa)	EXT(MT,Salsa)
AOD_{355}	0.16	-0.28	-0.50	-0.19
AOD_{532}	0.08	-0.14	0.00	0.25
AOD_{1064}	0.18	-0.03	0.14	0.37
$BSCA_{355}$	0.44	-0.01	-0.47	-0.23
$BSCA_{532}$	0.26	-0.08	-0.19	0.11
$BSCA_{1064}$	0.99	-0.01	-0.36	0.28
SSA_{355}	-0.02	0.04	0.03	-0.03
SSA_{532}	-0.02	0.05	0.04	-0.02
SSA_{1064}	-0.07	0.08	0.08	-0.07
g_{355}	0.06	-0.01	-0.10	-0.03
g_{532}	0.10	-0.00	-0.06	0.04
g_{1064}	0.17	-0.02	-0.11	0.06

495 Comparison of the columns "MT(EXT,CGS)" and "Salsa(EXT,CGS)" illustrates the differences
between the optics models in the absence and presence of aerosol-microphysical processes. As
we already suspected from inspection of Fig. 4, differences in the optics models defy simplistic
explanations; both the magnitude and sign of these difference can be strongly dependent on the
size-dependent chemical composition and mixing state of the aerosols, hence on the model version
500 with which the optics models are being compared. In our case, we see that compared to the CGS
model, the EXT-optics model predicts higher values of AOD, BSCA, and g in the MT model, and
lower values of SSA. In Salsa the roles of the CGS and EXT model are reversed.

The column "CGS(MT,Salsa)" shows differences between optical properties computed in the
absence and presence of aerosol-microphysical processes, where the optics-computations have been
505 performed with the CGS model. The column "EXT(MT,Salsa)" shows an analogous comparison,
where the optics-computations have been performed with the EXT model. If we compare the magnitudes
of the entries in the columns "MT(EXT,CGS)" and "Salsa(EXT,CGS)" with the corresponding magnitudes
of the entries in the columns "CGS(MT,Salsa)" and "EXT(MT,Salsa)", then we see that the differences
between the two optics models (EXT,CGS) are roughly on the same order as the differences between
510 the two aerosol models (MT,Salsa). Thus, the main observation is that the choice of aerosol-optics
model can have an effect on modelled optical properties that is of comparable magnitude as the level
of detail in the description of aerosol-microphysical processes.

While spatio-temporally averaged model results allows us to draw some general conclusions, it
is difficult to understand the reasons for the observed differences from such an analysis. We will,
515 therefore, complement this investigation in the following sections with a more detailed analysis of
some selected case studies.

3.2 Optical properties and radiative forcing

In Sec. 2.3.2 we have discussed how morphological properties of aerosol particles can impact their
optical properties. We now take this one step further and discuss how the optical properties of
520 particles can impact the radiative properties of an aerosol-laden atmosphere. We will show results
for a single wavelength near the maximum of the solar spectrum. The comparison will focus on
three model set-ups, MT-EXT, MT-CGS, and Salsa-CGS. We will use MT-CGS as a reference and
compare it first to Salsa-CGS in order to investigate the impact of aerosol-microphysics (MT versus
Salsa). Next, we compare MT-CGS to MT-EXT in order to investigate the impact of the optics model
525 (CGS versus EXT).

The result for the optical properties obtained with the three model versions (AOD per layer,
SSA and g) at the wavelength 532(CGS)/500(EXT) nm, together with the radiative forcing for
aerosols all aerosol component and black carbon, respectively, can be seen in Figs. 5–10 for North-
ern Italy and the Mediterranean on 22 June 2007. Each figure shows the differences in direct solar
530 flux ΔF_s (top left), diffuse downwelling flux ΔF_d (top right), diffuse upwelling flux ΔF_u (centre

left), and net radiative flux $\Delta F_{\text{net}} = \Delta F_s + \Delta F_d - \Delta F_u$ (centre right), where either the difference between aerosol-laden and clear sky conditions are considered (Figs. 5 and 6), or the difference between fluxes in the presence and absence of black carbon (Figs. 9 and 10). ~~Top-of-atmosphere~~ Here, downwelling fluxes are obtained by integrating the radiance over all azimuthal angles, and over polar angles from 90° to 180° , where the positive z-axis is directed from the ground to the top-of-atmosphere (TOA). Similarly, the upwelling flux is obtained by integrating the radiance over all azimuthal angles, and over polar angles from 0 to 90° . TOA results for the other geographical locations are summarized in Table 5 in terms of aerosol forcing ~~in Table 5 and black carbon forcing~~ ($\Delta F_{\text{net}} = F_{\text{net}}(\text{with aerosol particles}) - F_{\text{net}}(\text{no aerosol particles})$), and in Table 6 in terms of black-carbon forcing ($\Delta F_{\text{net}} = F_{\text{net}}(\text{with black carbon}) - F_{\text{net}}(\text{no black carbon})$). The wavelengths 532(CGS)/500(EXT) nm are near the maximum of the solar spectrum. ~~At other wavelengths (not shown) the optical properties behave similarly.~~ Each figure has a vertical span of 65 km, which comprises that part of the troposphere where ~~almost all aerosols~~ most aerosol particles are concentrated in the cases we picked.

~~Before starting our analysis, we note that the magnitude of the radiative fluxes generally depends on the concentration of aerosols. As we cannot claim that the test cases we happened to pick are in any way representative for typical aerosol and black carbon loads, we are not focusing on the magnitude of the radiative fluxes here. Rather, we want to compare the differences in radiative fluxes among the three model versions.~~

550 3.2.1 Comparison of ~~aerosol dynamics~~ aerosol microphysics and mass-transport model

We start by comparing radiative fluxes in the presence and absence of ~~aerosols~~ all aerosol components, which we refer to as the “aerosol radiative effects”. Figures 5 and 6 show the aerosol radiative effects modelled over Northern Italy and over the Mediterranean north of ~~Algier~~ Algiers, respectively. The general patterns in both plots can be understood as follows. In the presence of ~~aerosols~~ all aerosol components, optical extinction is stronger than in clean air. Thus the presence of ~~aerosols~~ all aerosol components gives a reduction ΔF_s of the direct solar flux (upper left). As the aerosol optical depth per atmospheric layer strongly increases near the ground, the magnitude of ΔF_s increases sharply with decreasing altitude. Further, ~~aerosol extinction~~ extinction in the form of scattering results in the generation of diffuse flux; the downwelling diffuse flux accumulates downward, resulting in an increasing excess of downwelling flux ΔF_d in the presence of ~~aerosols~~ aerosol components as one approaches the surface. The upwelling flux F_u is generated by scattering in the atmosphere and reflection at the surface. Since aerosol extinction reduces the ~~total-net radiative~~ flux as one approaches the surface, less upwelling diffuse flux is generated at low altitudes; hence the difference in upwelling flux ΔF_u between an aerosol-laden and a clear sky atmosphere is negative near the surface. However, it increases with altitude, because at higher altitudes the magnitude of the difference (aerosol –

clear sky) in the ~~total net radiative~~ flux that can be converted into upwelling diffuse flux decreases at higher altitudes.

If we focus now on differences in the radiative net flux ΔF_{net} at high altitudes, i.e., the radiative forcing effect of ~~aerosols~~ aerosol particles, then we see significant differences between the mass transport model (MT, red) and SALSA (green) at both geographic locations. It is evident that the
 570 main cause for these are corresponding differences in the diffuse upwelling flux ΔF_{u} .

At both locations the diffuse upwelling flux is smaller for SALSA than for MT, but for different reasons. Over the Mediterranean (Fig. 6), the AOD is significantly smaller for SALSA than for MT, resulting in less extinction of the direct flux, hence less generation of diffuse flux, and a smaller
 575 radiative cooling effect for SALSA.

Over Northern Italy (Fig. 5), there is almost no difference in AOD between the two models. It can be seen from the AOD profile that the majority of ~~aerosols~~ aerosol particles reside in the lowest 1 km near the surface. However, above 1 km the results of ΔF_{u} obtained with SALSA and MT diverge with increasing altitude. This is a result of the reflection by the near-surface aerosol layer, which
 580 is ~~slightly~~ different in the two models. In MT the SSA is higher than in SALSA, resulting in more scattering, thus in more diffuse radiation. The asymmetry parameter is ~~slightly~~ larger in MT than in SALSA; correspondingly, the partitioning in MT between downwelling and upwelling radiation is somewhat shifted in favour of the former. However, this only partially counteracts the generation of a higher amount of diffuse upwelling radiation in MT due to the higher SSA. The net effect is
 585 a higher value of ΔF_{u} in MT, hence a larger radiative cooling effect at higher altitudes.

To further analyse the difference in optical properties between MT and SALSA, we look at the aerosol masses and the relative sizes of the particles. Figure 7 shows vertical profiles of the effective radius ~~of the size distributions~~ r_{eff} defined according to Eq. 1, in SALSA (green) and the MT model (black) over Northern Italy (left) and over the Mediterranean (right).

$$590 \quad r_{\text{eff}} = \frac{\int_0^{\infty} n(r) \pi r^2 \cdot r dr}{\int_0^{\infty} n(r) \cdot \pi r^2 dr} \quad (1)$$

Figure 8 shows profiles for the total aerosol mass (1st row), BC (black carbon) (2nd row), sulphate (3rd row), and nitrate (4th row) for both Northern Italy (1st column) and Mediterranean (2nd column). We focus on the total aerosol mass, which is expected to impact the aerosol optical depth. The aerosol optical depth is dependent on the number density (which, in turn, increases with the mass
 595 mixing ratio), as well as on the extinction cross section (which generally increases with the effective radius of the particles). Over Northern Italy, the SALSA model predicts a larger mass mixing ratio than the MT model (Fig. 8, upper left) ~~,but also and~~ a smaller particle size (Fig. 7, left). This results in a higher number density but a smaller extinction cross section. These two effects cancel almost exactly, resulting in nearly identical aerosol optical depths predicted with the two models (Fig. 5,
 600 bottom left). By contrast, over the Mediterranean the two models predict similar mass mixing ratios (Fig. 8, upper right), while SALSA predicts a much lower effective radius than the MT model

(Fig. 7, right). As a consequence, the optical depth is significantly lower in SALSA than in MT (Fig. 6, bottom left). The SSA is lower in SALSA than in MT. This is mainly caused by the fact that the effective radius is smaller in SALSA than in MT, since SSA is usually increasing with size.

605 ~~The radiative impacts~~ For the other two geographical locations and the second time event, the TOA results are summarised in Table 5 ~~show the same behaviour as Figs. 5 and 6 at three of the four geographical locations. The Polish site deviates, since SALSA produces a much larger radiative cooling than the MT model.~~ Over the North Sea, Northern Italy, and the Mediterranean the TOA forcing is strongest in the MT-EXT model (mass transport with old optics model), it is smaller
610 in the MT-CGS model (mass transport with new optics model), and weakest in the SALSA-CGS model (aerosol-microphysics with new optics model). However, over Poland the negative forcing in SALSA-CGS is strongest among the three models in the summer, and second strongest in the winter. This can be explained by SALSA having a larger amount of ~~aerosols~~ aerosol particles throughout the column at that site, especially more sulphate, which, when externally mixed, contributes to a larger
615 amount of scattering and therefore a higher SSA and a larger diffuse upwelling radiative flux.

We now compare radiative fluxes in the presence and absence of black carbon, which we refer to as the “black carbon radiative effect”. Figures 9 and 10 show the radiative effect of black carbon together with the optical properties with and without black carbon. Again, ~~the dominant feature of differences in~~ ΔF_{net} at TOA ~~comes from~~ are mainly caused by corresponding differences in the
620 upwelling diffuse radiative flux ΔF_{u} . In these figures, we have to focus on the difference in the optical properties when analysing the radiative fluxes. The general pattern can be seen in Fig. 9, which shows the differences in radiative fluxes and in the optical properties over Northern Italy. The direct solar flux (upper left) decreases with decreasing altitude owing to extinction. The magnitude of the decrease mainly reflects the differences in optical depth in the presence and absence of black carbon
625 (bottom left), which is ~~slightly~~ larger in SALSA than in MT. The decrease in solar flux does not automatically result in an increase in the downwelling diffuse flux with decreasing altitude (upper right), as it was in the comparison of fluxes in the presence and absence of ~~all aerosols~~ aerosol components. The situation is more complex now. Near the surface, where the optical depth is largest, the difference in SSA in the presence and absence of black carbon is ~~quite~~ large in the MT model (bottom
630 centre, red lines), and ~~slightly~~ smaller in SALSA (green lines). As a result, absorption contributes more to the total extinction in the MT model than in SALSA (at least near the surface). Hence, the portion of the downwelling flux that is absorbed on its way downward is larger in the MT model than in SALSA, resulting in a decrease of the diffuse downwelling flux with decreasing altitude (upper right, red line). The differences between the two models in the diffuse upwelling flux are very small
635 (centre left, red and green lines). This is the result of cancelling effects; for instance, there is less direct solar flux, but more diffuse downwelling flux in SALSA that can be converted into diffuse upwelling flux through scattering. As a result, the differences between both models in the net flux (centre right, red and green lines) are almost negligible.

Figure 10 shows the radiative effect of black carbon over the Mediterranean. Again, ~~the dominant~~
640 ~~feature of differences in ΔF_{net} at TOA comes from are mainly caused by~~ corresponding differ-
ences in the upwelling diffuse radiative flux ΔF_{u} . ~~The difference between MT and SALSA are not~~
~~as prominent here, mainly due to the fact that the differences in the optical properties are similar,~~
~~especially in the SSA. There is still a small difference for the Mediterranean, where SALSA has~~
~~a larger radiative cooling than MT. This difference is very small, but it may come from the slight~~
645 ~~difference in the AOD combined with the difference in SSA from above 1, where SALSA has~~
~~a marginally larger difference than MT, creating the difference in the net radiative flux above 1.~~
~~Another possibility is that these small differences are caused by multiple scattering effects, which~~
~~are notoriously difficult to understand by an intuitive approach. If studying the differences in AOD~~
~~and SSA for the twelve wavelengths used in this study (not shown), the differences for SALSA~~
650 ~~AOD and SSA are smaller for the long-wave (LW) part of~~ only differ by a few mW/m^2 . The main
reason is that the optical properties, especially the spectrum (533.2–3461.5). ~~This results in less LW~~
~~extinction and scattering and slightly more radiative cooling for SALSA~~ SSA, differ in the presence
and absence of BC by almost the same amount in both models.

Table 6 shows the black carbon forcing for all the four geographical locations and both months.
655 ~~In June the difference at the TOA is very small between MT and SALSA for all the locations,~~
~~but larger for the Mediterranean as noted in Fig. 10. The month of December shows a pattern~~
~~where SALSA has a higher radiative heating over land, and smaller over the ocean compared~~
~~to MT. This is strongly coupled to the larger difference in BC amounts over land than over the~~
~~oceans, where the two models have similar values all through the column, see Fig. 8 as a general trend.~~
660 large differences in BC concentrations are visible as corresponding differences in BC forcing. For
instance, near-surface BC winter- concentrations in Northern Italy are about a factor of 10 higher
than in summer; summer-concentrations over the Mediterranean are more than a factor of 2 higher
than in winter; in Northern Italy in winter, Salsa predicts more than 2 times higher BC-concentrations
than the mass-transport model, while over the Mediterranean in winter the role of the two models
665 is reversed (not shown). All of this corresponds with the respective BC-forcing rates in Table 6.
However, when the differences in BC-concentrations are small, then there is no clear relation between
the concentration-differences and the corresponding differences in BC-forcing rates. For instance,
as we see in Fig. 8, there is almost no difference between the BC-concentrations computed with
the two models over the Mediterranean in summer. But the table shows that the mass-transport
670 model predicts a slightly higher forcing rate than SALSA. A possible cause is the difference in the
size-distributions in SALSA and the mass-transport model. ~~for Northern Italy and Mediterranean-~~
~~Poland and North Sea are not shown here, but show similar behaviour.~~

3.2.2 Comparison of the two optics models

The comparison between SALSA and the MT model in the previous section served two purposes.

675 First, it helped us to develop a basic understanding for the effects of ~~aerosols~~ aerosol particles and black carbon on radiative fluxes. Second, it provided us with a gauge for assessing the importance of the ~~aerosol-optics~~ aerosol-optics model, which will be the subject of this section.

~~Aerosol forcing and optical properties at 532(CGS)/500(EXT) nm for Northern Italy in June.~~

~~Aerosol forcing and optical properties at 532(CGS)/500(EXT) nm for the Mediterranean in June.~~

680 ~~The aerosol forcing at the top of the atmosphere (TOA), $\Delta F_{\text{net,TOA}} - W$, for the four different geographical locations, one summer and one winter event, and three model versions: MT-EXT MT-CGS SALSA-CGS SummerPoland -0.21 -0.21 -0.77 North Sea -0.34 -0.29 -0.24 Northern Italy -0.06 -0.05 0.01 Mediterranean -1.20 -0.99 -0.30 WinterPolen -4.41 -2.00 -2.18 North Sea -2.85 -1.21 -0.86 Northern Italy -1.15 -0.53 -0.57 Mediterranean -0.09 -0.04 -0.03~~

685 We compare the old EXT (blue line) and the new CGS (red line) optics models in Figs. 5 and 6, each used in conjunction with the MT-version of MATCH. The net radiative flux ΔF_{net} in the CGS model shows a weaker TOA cooling effect than the EXT model, both over Northern Italy and over the Mediterranean. Again, the upwelling flux has the dominant impact on the behaviour of the TOA net radiative flux. Over Northern Italy (Fig. 5) the diffuse upwelling flux is larger for the EXT model
690 above 1 km, whereas it is smaller below 1 km and at the bottom of the atmosphere (BOA). The AOD profile reveals that in the EXT model extinction is stronger than in the CGS model throughout the tropospheric column. As a result, there is more diffuse downwelling flux being generated in EXT than in CGS. At the bottom of the atmosphere (BOA) extinction of the direct flux is stronger than generation of diffuse downwelling flux; hence less downwelling flux is reflected by the surface,
695 resulting in less BOA upwelling diffuse flux in EXT than in CGS. Higher up in the troposphere, the upwelling diffuse flux is mostly generated by atmospheric scattering rather than reflection from the surface. As the SSA in EXT is higher than in CGS, more diffuse flux is generated, resulting in a stronger radiative cooling effect in EXT than in CGS.

Over the Mediterranean (Fig. 6), the EXT and CGS model have almost identical AOD profiles in
700 the green part of the spectrum. However, at longer wavelengths (~~not shown~~) EXT predicts substantially higher AOD values than CGS (see (see Appendix E, Fig. 16). For instance, at $\lambda = 1020$ nm the near-surface AOD per layer computed with the EXT-model is about twice as high as that computed with the CGS-model.) This explains the larger amount of diffuse broadband radiation generated in the EXT model, which results in a stronger negative TOA net flux in EXT as compared to the CGS
705 model. Note that the differences in SSA between EXT and CGS are ~~fairly small~~ less than 0.03, while the differences in g are ~~rather large~~ as much as 0.07. The higher values of g in EXT may contribute to the large amount of diffuse ~~downwelling~~ downwelling radiation in that model; however, the dominant effect is likely to be the high optical depth at red and IR wavelengths (see Appendix E).

Table 5. The aerosol forcing at the top of the atmosphere (TOA), $\Delta F_{\text{net,TOA}}$ [W m^{-2}], for the four different geographical locations, one summer (2007-06-22, 12:00) and one winter (2007-12-22, 12:00) event, and three model versions, MT-EXT, MT-CGS and Salsa-CGS.

		<u>MT-EXT</u>	<u>MT-CGS</u>	<u>SALSA-CGS</u>
<u>Summer</u>	<u>Poland</u>	<u>-0.21</u>	<u>-0.21</u>	<u>-0.77</u>
	<u>North Sea</u>	<u>-0.34</u>	<u>-0.29</u>	<u>-0.24</u>
	<u>Northern Italy</u>	<u>-0.06</u>	<u>-0.05</u>	<u>0.01</u>
	<u>Mediterranean</u>	<u>-1.20</u>	<u>-0.99</u>	<u>-0.30</u>
<u>Winter</u>	<u>Poland</u>	<u>-4.41</u>	<u>-2.00</u>	<u>-2.18</u>
	<u>North Sea</u>	<u>-2.85</u>	<u>-1.21</u>	<u>-0.86</u>
	<u>Northern Italy</u>	<u>-1.15</u>	<u>-0.53</u>	<u>-0.57</u>
	<u>Mediterranean</u>	<u>-0.09</u>	<u>-0.04</u>	<u>-0.03</u>

Table 5 summarises the TOA net radiative flux at all four geographical locations for both June and
 710 December. The largest differences among the models are seen in December at the two northernmost
 locations, i.e., Poland and the North Sea. At these two places, the total aerosol amount (not shown)
 is significantly higher than at the other two locations farther south, giving rise to a larger absolute
 changes in the aerosol forcing.

The black carbon forcing ~~looks rather different at the two geographical locations~~ in Fig. 9 (Northern
 715 Italy) and 10 (Mediterranean) display different behaviours in radiative fluxes, comparing the EXT
 (blue) and CGS (red) model results. Over Northern Italy, the net black carbon forcing is more sig-
 nificant than over the Mediterranean in Fig. 10 due to higher levels of BC, see Fig. 8. As can be seen
 in Fig. 9, the differences in optical properties computed with and without black carbon are larger in
 the CGS model than in the EXT model, particularly for the SSA. This means that in the CGS model
 720 the presence of black carbon causes more absorption than in the EXT model, thus generating less
 diffuse down- and upwelling flux by scattering. As a result, the CGS model predicts more radiative
 warming, i.e., a higher TOA radiative net flux than the EXT model. The reason for this is that (i)
 the CGS model treats externally mixed soot as aggregates, which have a lower SSA than the mas-
 sive black carbon spheres in the EXT model; and (ii) the CGS model treats internally mixed soot as
 725 a coated core-grey-shell model, which accounts for focusing of electromagnetic radiation onto the
 carbon core, thus enhancing absorption, i.e., lowering the SSA, while the EXT model treats all black
 carbon as externally mixed.

~~Effective radius for the two chemical transport model versions MT and SALSA over Northern
 Italy and Mediterranean in June.~~

730 ~~Vertical distribution of aerosols at Northern Italy and Mediterranean in June.~~

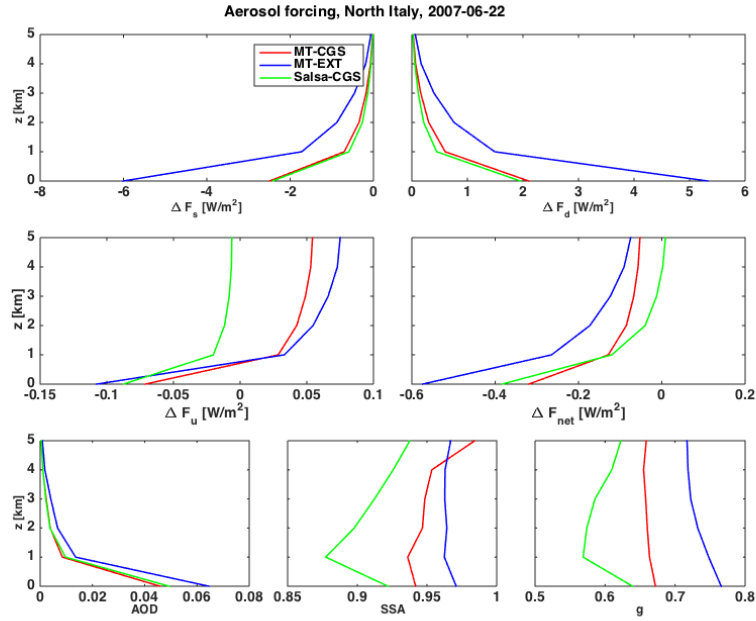


Figure 5. Aerosol forcing and optical properties at 532(CGS)/500(EXT) nm over Northern Italy in June. Results are shown for the three model versions MT-EXT (blue), MT-CGS (red) and Salsa-CGS (green). The aerosol forcing is derived by taking the difference in radiative fluxes between an aerosol laden and a clear sky. The difference in direct (ΔF_s) and diffuse (ΔF_d) downwelling, as well as the diffuse upwelling (ΔF_u) and the net radiative flux (aerosol forcing) ($\Delta F_{\text{net}} = \Delta F_s + \Delta F_d - \Delta F_u$) are shown in the first four figures (1st and 2nd row of plots). The optical properties aerosol optical depth (AOD), single scattering albedo (SSA) and asymmetry parameter (g) are shown in the 3rd row of plots.

Table 6. The Same as table 5, but for black carbon forcing at the top of the atmosphere (TOA), $\Delta F_{\text{net,TOA}}$ W^{-1} , for the four different geographical locations, one summer and one winter event, and three model versions.

		MT-EXT	MT-CGS	SALSA-CGS
Summer	Poland	1.02×10^{-2}	1.16×10^{-2}	1.20×10^{-2}
	North Sea	1.71×10^{-2}	1.54×10^{-2}	1.49×10^{-2}
	Northern Italy	4.61×10^{-2}	7.77×10^{-2}	7.86×10^{-2}
	Mediterranean	8.54×10^{-3}	6.45×10^{-3}	2.41×10^{-3}
Winter	Poland	4.03×10^{-2}	3.56×10^{-2}	6.83×10^{-2}
	North Sea	1.95×10^{-2}	2.28×10^{-2}	4.97×10^{-3}
	Northern Italy	6.73×10^{-2}	1.08×10^{-1}	1.46×10^{-1}
	Mediterranean	6.03×10^{-4}	1.34×10^{-3}	3.13×10^{-4}

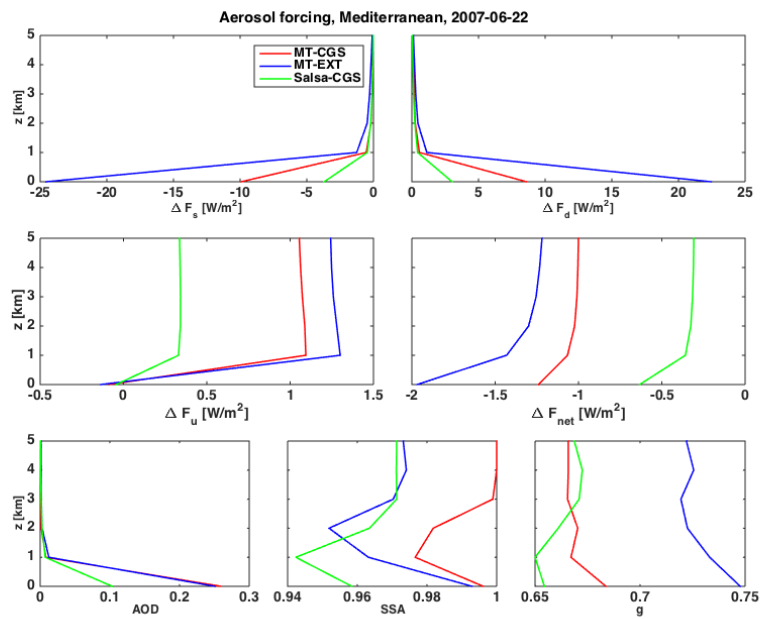


Figure 6. Same as figure 5 but over the Mediterranean.

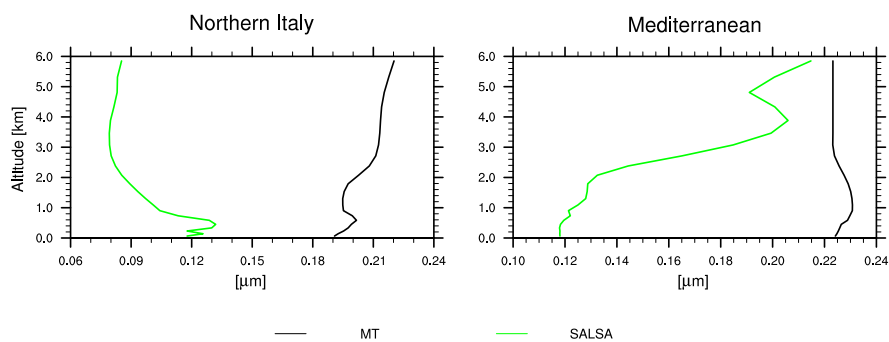


Figure 7. Black carbon forcing and optical properties at 532(CGS)/500(EXT) nm Effective radius, r_{eff} , for the two chemical transport model versions MT and SALSA over Northern Italy in June and Mediterranean the 2007-06-22 at 12:00.

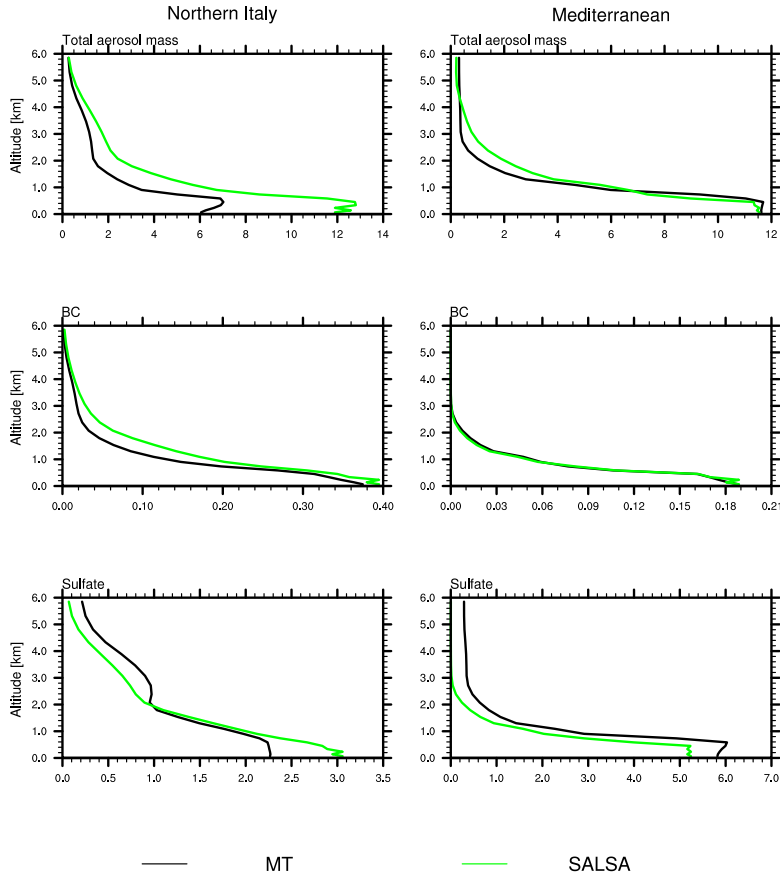


Figure 8. Vertical distribution of aerosol particles in Northern Italy and over the Mediterranean on 2007-06-22 at 12:00.

3.3 Gauging the significance of aerosol optics modelling

Now that we have understood the impact of the aerosol optical properties on radiative fluxes, we finally turn Let us now return to the main question of this study. We ask if, namely, whether or not the level of detail in aerosol optics modelling has a significant impact on observable radiometric properties. As a gauge we consider the changes in radiometric properties We already saw in Table 4 that, on average, the effect of including aerosol microphysics on optical properties is of comparable magnitude as the effect caused by the inclusion or omission of aerosol dynamic processes. Thus we morphological assumptions in the aerosol optics model. However, we also saw that the magnitude and sign of these impacts can be quite variable and depending on several factors.

740 We find this confirmed in the analysis of our radiative-transfer study. More specifically, we can compare in Figs. 5–10 the differences in radiative forcing between the MT-CGS and Salsa-CGS (red and green lines) to the corresponding differences in the between MT-CGS and MT-EXT (red and blue

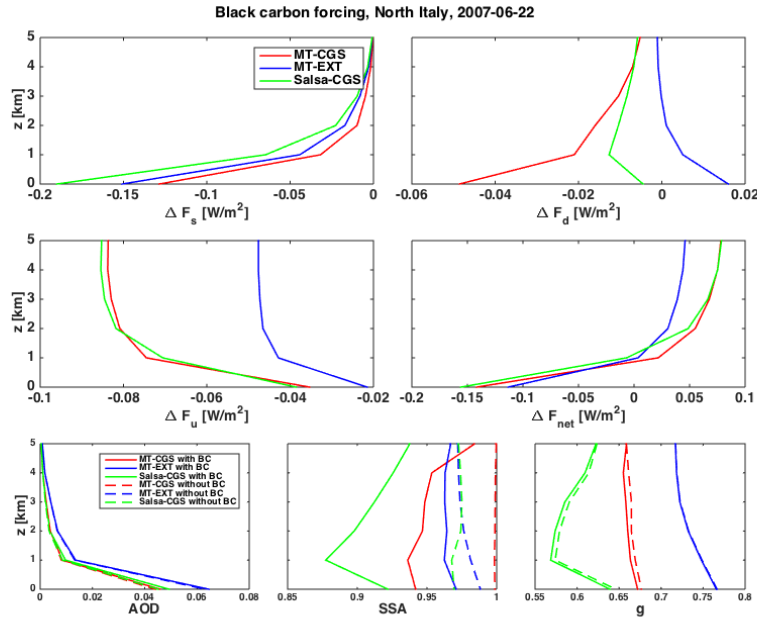


Figure 9. Black carbon forcing and optical properties at 532(CGS)/500(EXT) nm over Northern Italy in June. Results are shown for the three model versions MT-EXT (blue), MT-CGS (red) and Salsa-CGS (green). The black carbon forcing is derived by taking the difference in radiative fluxes between an aerosol laden including black carbon and an aerosol laden sky omitting black carbon. The difference in direct (ΔF_s) and diffuse (ΔF_d) downwelling, as well as the diffuse upwelling (ΔF_u) and the net radiative flux (aerosol forcing) ($\Delta F_{net} = \Delta F_s + \Delta F_d - \Delta F_u$) are shown in the first four figures (1st and 2nd row of plots). The optical properties aerosol optical depth (AOD), single scattering albedo (SSA) and asymmetry parameter (g) are shown in the 3rd row of plots.

lines). We see that in some cases the choice of optics model has a stronger effect than the inclusion of aerosol dynamics-microphysics (e.g. Fig. 9), while in other cases it is the other way round (e.g. 745 Fig. 6). We can also inspect Tables 5 and 6 and arrive at the same result. **On average, the effect of including aerosol dynamics on the TOA radiative forcing is of comparable magnitude as the effect caused by employing a more realistic aerosol optics model.**

In the following two subsections, we will focus on the selected case-studies and discuss the significance of the optics model for radiometric quantities that are relevant for remote sensing applications.

750 3.3 Backscattering coefficient

From ground-based and space-borne lidars-lidar measurements one can obtain the aerosol backscattering coefficient β , which is proportional to the backscattering cross section C_{bak} of the particles and the aerosol number density. Figure 11 shows vertical profiles of β computed at two locations

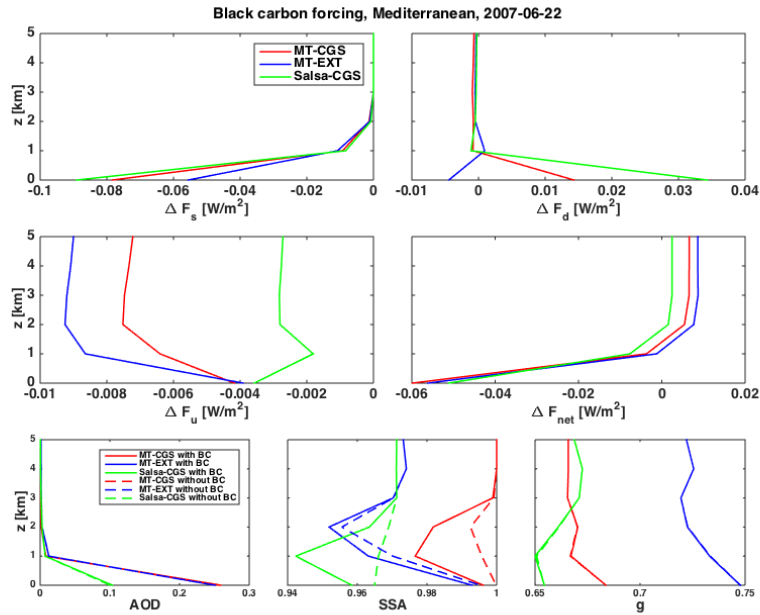


Figure 10. Black carbon forcing and optical properties at 532(CGS)/500(EXT) nm for ~~Same as Figure 9, but~~ over the Mediterranean in June.

and at two instances, as indicated in the figure headings. Each panel shows computational results
 755 obtained with the three different model versions. The figure shows results for the second Nd:YAG
 harmonic of 532 nm. Corresponding results computed for wavelengths of 355 and 1064 nm lead to
 similar conclusions.

We saw in Fig. 8 for June over Northern Italy (upper left) that SALSA predicts an aerosol mass
 mixing ratio, hence a particle number density, that is higher than that in the MT model. But we also
 760 saw in Fig. 7 (left) that SALSA predicts lower values of r_{eff} . This results in lower values of C_{bak} . We
 see in Fig. 11 (upper left) that the effect on β of the higher number density dominates over the effect
 of the lower r_{eff} , resulting in values of β that are about 30 % higher in SALSA (green line) than in the
 MT model (red line). Over the Mediterranean, both SALSA and the MT model predict similar mass
~~densities mixing ratios~~ (Fig. 8, upper right); but SALSA still predicts substantially lower values of
 765 r_{eff} (Fig. 7, right). The result is that β computed with the MT model (red line) is almost twice as
 high as the corresponding results obtained with SALSA (green line) (Fig. 11, upper right).

A similar comparison of the two optics models (red and blue lines in Fig. 11) shows that the
 new CGS optics model consistently predicts substantially lower values of β than the old EXT optics
 model. This agrees with the comparison shown in Kahnert et al. (2013) between encapsulated black
 770 carbon aggregates and externally mixed homogeneous spheres. (In a retrieval algorithm, an optics
 model that overestimates the backscattering cross section would result in underestimated retrieval

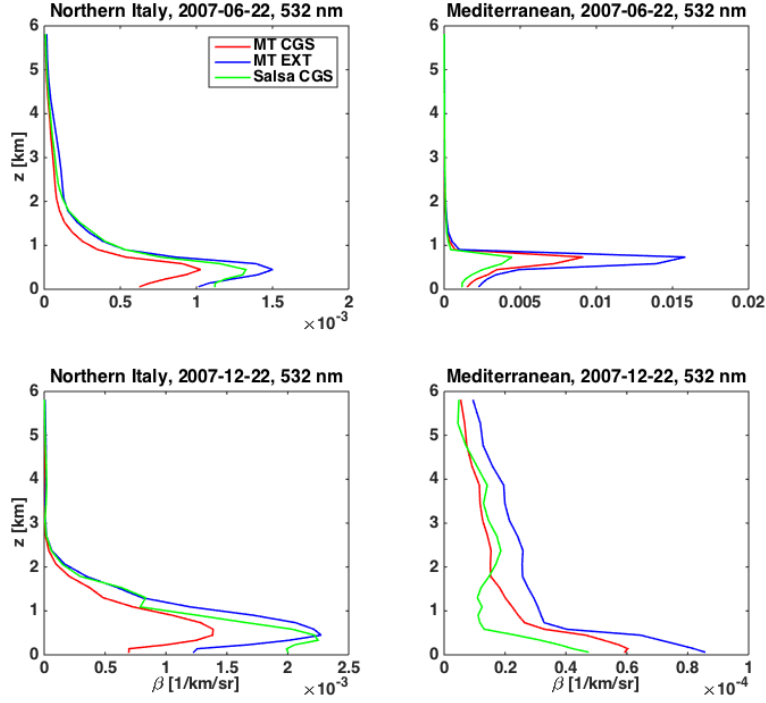


Figure 11. Backscattering coefficient at a wavelength of 532 nm at two different locations Northern Italy and Mediterranean and at two different instances time events (22/6 and 22/12-2007 at 12.00), computed with the three model versions, MT-EXT (blue), MT-CGS (red) and Salsa-CGS (green).

results for the particle number density.) The differences between the two optics models are on the same order of magnitude (and often even **slightly** larger) than the corresponding differences between the SALSA and the MT versions of the aerosol transport model.

775 3.4 Ångström exponent

The Ångström exponent α in a wavelength interval $[\lambda_1, \lambda_2]$ is defined as

$$\alpha = -\frac{\log(\tau(\lambda_1)/\tau(\lambda_2))}{\log(\lambda_1/\lambda_2)}, \quad (2)$$

where τ denotes the extinction optical depth. This quantity is often used for obtaining particle size information (usually, the smaller the particle size, the larger α). Table 7 shows values of α for our different test cases computed with the three model versions in the wavelength interval 532–1064 nm. If we compare the columns labelled MT-CGS and SALSA-CGS, then we see that the mass-transport model consistently gives lower values of α . This is related to the high values of r_{eff} in that model, which we noted earlier. On the other hand, if we compare the columns labelled MT-EXT and MT-CGS, then we see that the new optics model (CGS) predicts higher values of α than the

Table 7. Ångström exponent in the wavelength region 532–1064 nm for the four different geographical locations, one summer (2007-06-22, 12:00) and one winter (2007-12-22, 12:00) event, and three model versions, MT-EXT, MT-CGS and Salsa-CGS.

		MT-EXT	MT-CGS	SALSA-CGS
Summer	Poland	0.32×10^0	0.12×10^1	0.28×10^1
	North Sea	0.80×10^0	0.12×10^1	0.21×10^1
	Northern Italy	0.11×10^1	0.11×10^1	0.15×10^1
	Mediterranean	0.36×10^0	0.12×10^1	0.21×10^1
Winter	Poland	0.80×10^0	0.12×10^1	0.22×10^1
	North Sea	0.79×10^0	0.11×10^1	0.14×10^1
	Northern Italy	0.13×10^1	0.10×10^1	0.12×10^1
	Mediterranean	0.13×10^1	0.98×10^0	0.14×10^1

785 old model (EXT) in ~~the first six rows, and lower values in the last two rows~~ June (summer) for all
four geographical locations and in December (winter) for the locations Poland and North Sea. This
indicates that the errors introduced by the simple external-mixture model in computing α are quite
unpredictable, even the sign of the error. When used in a size retrieval algorithm the retrieval errors
caused by the EXT model would be equally hard to predict. The difference between the MT and
790 SALSA model is somewhat larger, but not much larger, than the differences between the old and
new optics models. Note that the performance of the MT model could be improved in comparison to
SALSA by modifying the assumed size distribution in the MT model. By contrast, the differences
between the two optics models ~~is~~ are rather fundamental; it is caused by the ~~over-simplified-simple~~
treatment of aerosol morphology in the EXT model.

795 4 Conclusions

We have implemented a new ~~aerosol-optics~~ aerosol-optics model in a regional chemical transport
model. The new model differs from an earlier optics model described in Kahnert (2008) in three
essential points. (i) While the old model treats all chemical components as externally mixed, the
new model accommodates both external and internal mixtures of aerosol species. (ii) The old model
800 treats black carbon ~~aerosols~~ particles as homogeneous spheres; the new model assumes a fractal ag-
gregate morphology with fractal parameters based on observations. Mass absorption cross sections
and single scattering albedos computed with this model have previously been evaluated by com-
parison with measurements (Kahnert, 2010b). (iii) The new model describes internally mixed black
carbon ~~aerosols~~ particles by a recently developed “core-grey-shell” model (Kahnert et al., 2013).
805 This model accounts for the inhomogeneous internal mixing state of black carbon aggregates en-

capsulated in a shell of liquid-phase material. The model has been evaluated by comparison with reference computations based on observation-derived realistic models for encapsulated fractal aggregates (Kahnert et al., 2013). Item (i) has been incorporated in other CTMs earlier (e.g. Saide et al., 2013); however, to the best of our knowledge, items (ii) and (iii) go significantly beyond the
810 current state-of-the-art of ~~aerosol-optics~~aerosol-optics models employed in CTMs. The main question of the present study is whether or not such a substantial level of detail in the description of aerosol morphology and optical properties is needed in a CTM.

~~To this end we compare radiative fluxes, backscattering coefficients, and Ångström exponents modelled with the old and new optics models~~We first performed a comparison of optical properties
815 averaged over the entire model domain and over one month. To gauge the differences ~~we observe between~~the new and the old optics model, we further compare two model versions of the CTM with different levels of detail in the aerosol process descriptions, namely, one version that includes ~~aerosol dynamic~~aerosol-dynamic processes, and ~~one a~~a simpler mass-transport model, in which aerosol ~~dynamics-microphysics~~is switched off. The importance of aerosol ~~dynamics-microphysics~~is well
820 understood and can therefore serve as a reference. ~~The comparison showed that both for radiative fluxes, and for backscattering coefficients, and for Ångström exponents the differences~~We found that the differences in optical properties between the two optics models are on the same order as those between the versions that include and exclude microphysical processes. For example, the aerosol optical depth computed with the two optics models differs by -25-18 %; differences obtained by
825 inclusion or omission of aerosol microphysics are between -50-37 %. Corresponding differences in the backscattering coefficient are -8-99 % and -47-28 %, respectively. Analogous observations can be made for other radiometric properties.

We further wanted to understand how the differences in optical properties impact radiative transfer processes in an aerosol-laden atmosphere. To this end we compare radiative fluxes modelled with the
830 old and new optics models. The comparison showed that the differences in radiative net-fluxes between the two ~~different~~optics models are of similar magnitude as corresponding differences between
an aerosol dynamics and a the aerosol-microphysics and the mass-transport ~~model. This strongly suggests that over-simplified aerosol optics models are likely to~~models.

These results strongly suggest that simplifications in the assumptions on aerosol morphology in
835 the optics model can introduce substantial errors in modelled radiative fluxes and ~~remote-sensing-relevant observables.~~In Earth-system-observables relevant to remote sensing. In chemistry-climate models such errors would enter into the simulation of the direct aerosol radiative forcing effect and add to all other sources of error in the model. In model evaluations that make use of remote sensing observations these errors would complicate the comparison between model results and observations.

840 The modifications to the ~~optics model studied here~~morphology-assumptions in the optics model were limited to ~~black carbon aerosols~~black-carbon particles. There are many other ~~aerosols~~aerosol particles with complex morphological properties, such as mineral dust, which our optics model

still treats by ~~an over-simplified homogeneous sphere~~ a simple homogeneous sphere model. The findings of our study should be an incentive for improving the description of dust and ~~volcanic ash~~ volcanic ash optical modelling in CTMs. A recent review of our current ~~state of knowledge~~ state of knowledge on aerosol morphology and aerosol optics for a variety of different ~~aerosols has recently been reviewed~~ aerosol particles can be found in Kahnert et al. (2014).

The findings of this study are likely to have implications for chemical data assimilation. In data assimilation one employs an *observation operator* that maps the model results to observable quantities. In case of satellite-based observations of aerosol optical properties, the observation operator is just our ~~aerosol optics~~ aerosol optics model, possibly coupled to a radiative transfer model. Many ~~data assimilation~~ data assimilation methodologies, such as the variational method, require a linear (or, at least, linearised) observation operator. In the old optics model, which assumes ~~externally mixed aerosols~~ externally-mixed aerosol particles, the observation operator is, indeed, linear (Kahnert, 2008). This largely explains why external-mixture optics models are widely used in chemical data assimilation systems (e.g. Kahnert, 2008; Benedetti et al., 2009; Liu et al., 2011). However, the new optics model we introduced here does not provide us with a linear map from the aerosol concentrations to the optical parameters. To what extent one could linearise this model and make use of its Jacobian in a data assimilation system mainly depends on the degree of nonlinearity, which would need to be investigated thoroughly.

~~All datasets used in this study, the MATCH model data and the aerosol optics data~~

5 Code availability

The aerosol microphysics code SALSA is distributed under the Apache 2.0 license, while the chemistry transport model MATCH and the aerosol-optics data base are available upon request ~~contacting the second author.~~ from SMHI.

Appendix A: Size-averaged optical properties in the external-mixture optics model

The external-mixture optics model is based on using four size bins that cover the dry-radius intervals $[r^{\min}, r^{\max}] = [0.01, 0.05] \mu\text{m}$, $[0.05, 0.5] \mu\text{m}$, $[0.5, 1.25] \mu\text{m}$, and $[1.25, 5] \mu\text{m}$. The geometric mean radius $R = \sqrt{r^{\min} r^{\max}} = 0.5(\log r^{\min} + \log r^{\max})$ is given in each of these intervals by $R_1 = 0.022 \mu\text{m}$, $R_2 = 0.158 \mu\text{m}$, $R_3 = 0.791 \mu\text{m}$, and $R_4 = 2.5 \mu\text{m}$. In each size bin it is assumed that the particle number density is given by a log-normal distribution

$$n_i(r) = N_i^0 / (\sqrt{2\pi} r \ln \sigma_i) \exp[-\ln^2(r/R_i)/(2\ln^2 \sigma_i)], \quad (\text{A1})$$

where $\sigma_1 = \sigma_3 = \sigma_4 = 1.8$, $\sigma_2 = 1.5$ are based on measurements in Neusüß et al. (2002). Here, N_i^0 would be the total number density per mode if each size-mode extended from $r = 0$ to $r = \infty$. However, since each mode is truncated at the bin-boundaries r^{\min} and r^{\max} , the number density N_i

of particles per size bin is obtained from integration over this finite interval, i.e.

$$N_i = \int_{r_i^{\min}}^{r_i^{\max}} n_i(r) dr = N_i^0 \frac{1}{2} [\operatorname{erf}(x_i^{\max}) - \operatorname{erf}(x_i^{\min})], \quad (\text{A2})$$

where $x_i^{\max} = \ln(r_i^{\max}/R_i)/(\sqrt{2}\ln\sigma_i)$, and similarly for x_i^{\min} . Analogously, one obtains the particle-mass density M_i in each size bin by integrating over the truncated log-normal mode, which yields

$$\begin{aligned} 880 \quad M_i &\equiv \frac{4}{3}\pi\rho_i \int_{r_i^{\min}}^{r_i^{\max}} n_i(r)r^3 dr \\ &\equiv \frac{4}{3}\pi R_i^3 \rho_i N_i^0 \exp\left(\frac{9}{2}\ln^2\sigma_i\right) \frac{1}{2} [\operatorname{erf}(y_i^{\max}) - \operatorname{erf}(y_i^{\min})], \end{aligned} \quad (\text{A3})$$

where $y_i^{\max} = x_i^{\max} - 3\ln\sigma_i/\sqrt{2}$, and similarly for y_i^{\min} , and where ρ_i is the density of the aerosol particles in the i th size bin. From this we obtain the desired relation for converting the mass-density M_i into the number-density N_i :

$$885 \quad N_i = \frac{M_i}{\frac{4}{3}\pi R_i^3 \rho_i} \cdot \frac{\operatorname{erf}(x_i^{\max}) - \operatorname{erf}(x_i^{\min})}{\exp\left(\frac{9}{2}\ln^2\sigma_i\right) [\operatorname{erf}(y_i^{\max}) - \operatorname{erf}(y_i^{\min})]}. \quad (\text{A4})$$

Mass-mixing ratios X_i are simply converted into mass densities M_i according to $M_i = X_i \rho_{\text{air}}$, where ρ_{air} denotes the density of air.

In the external-mixture optics-database, optical properties are pre-computed by integrating optical properties at discrete sizes over the truncated log-normal size distribution. This integration is done numerically with a high size-resolution. The computation is performed for different refractive indices m , optical wavelengths λ , and for each size bin i . Thus, one obtains, e.g., extinction cross sections $C_{\text{ext}}(\lambda, m, i)$, which can be saved in a look-up table.

Secondary inorganic aerosols as well as organic aerosols and sea salt are assumed to be hydrophilic. We use the parameterisation by Gerber (1985) to compute the wet-radius r_w from the aerosol dry radius R , from which we obtain the volume-fraction of water $f_w = (r_w^3 - R^3)/R^3$. The effective refractive index m of the aerosol-water mixture is computed from that of the dry aerosol, m_a , and of water, m_w by use of effective-medium theory. In each grid cell, we obtain from the MATCH model, for each size bin i and for each aerosol component k , the number density $N_i(k)$. From that we compute the ensemble-averaged extinction cross section

$$900 \quad \bar{C}_{\text{ext}}(\lambda) = \frac{1}{N} \sum_k \sum_{i=1}^4 N_i(k) C_{\text{ext}}(\lambda, m(k), i), \quad (\text{A5})$$

where the total number density is given by

$$N = \sum_k \sum_{i=1}^4 N_i(k). \quad (\text{A6})$$

Note that the ensemble-average involves an average over both size and chemical composition. The ensemble-averaged scattering cross section $\bar{C}_{\text{sca}}(\lambda)$ is computed analogously. From this we obtain

905 the averaged single-scattering albedo

$$\bar{\omega}(\lambda) = \frac{\bar{C}_{\text{sca}}(\lambda)}{\bar{C}_{\text{ext}}(\lambda)}. \quad (\text{A7})$$

The phase function $p(\Theta)$, hence its first Legendre-moment, known as the asymmetry parameter g are normalised quantities. Here Θ denotes the scattering angle. To average these quantities, one first needs to "de-normalise" by multiplying them with the scattering cross section. Thus

$$910 \quad \bar{p}(\Theta; \lambda) \equiv \frac{1}{N\bar{C}_{\text{sca}}(\lambda)} \sum_k \sum_{i=1}^4 N_i(k) C_{\text{sca}}(\lambda, m(k), i) p(\Theta, m(k), i; \lambda) \quad (\text{A8})$$

$$\bar{g}(\lambda) \equiv \frac{1}{N\bar{C}_{\text{sca}}(\lambda)} \sum_k \sum_{i=1}^4 N_i(k) C_{\text{sca}}(\lambda, m(k), i) g(m(k), i; \lambda). \quad (\text{A9})$$

Once the ensemble-averaged optical properties in each grid cell of the model domain have been computed, one can compute radiometric observables, such as the extinction aerosol optical depth

$$\tau_{\text{ext}}(\lambda) = \sum_z N(z) \bar{C}_{\text{ext}}(\lambda, z) \Delta z, \quad (\text{A10})$$

915 or the backscattering coefficient

$$\beta_{\text{bak}}(\lambda, z) = \frac{1}{4\pi} N(z) \bar{C}_{\text{sca}}(\lambda, z) \bar{p}(180^\circ; \lambda, z), \quad (\text{A11})$$

where z labels grid cells in the vertical column, and Δz denotes the layer-thickness.

Appendix B: Size-averaged optical properties in the internal-mixture model

In SALSA the number-density as a function of particle radius, $n(r)$, is given by a step-function with
 920 $n_i(r) = \text{const}_i$ in each size bin i . This makes the pre-integration of optical properties over each size bin rather simple. On the other hand, we no longer assume that all aerosol components are externally mixed. Thus the ensemble-average over different chemical components k is no longer given by a simple summation $\sum_k \dots$, as it was in the external-mixture model. Rather, for each size bin in which
 925 several aerosol components are internally mixed one computes an effective refractive index, m_{eff} , by use of effective-medium theory. One then reads the optical properties for that refractive index from the look-up table. Finally, one computes ensemble-averaged optical properties by summing over all size bins, $\sum_i \dots$.

Appendix C: Effective-medium theory

930 In effective-medium theory (EMT) one considers a composite material consisting of two materials
 with refractive indices m_1 and m_2 and volume fractions f_1 and $f_2 = 1 - f_1$. One then invokes
 assumptions about the topology of the mixture and derives a formula for the effective refractive
 index, m_{eff} , of the composite material. For instance, it is often the case that $f_1 \gg f_2$. In this case
 one can regard the first material as a host matrix that contains inclusions of the second material.
 This is the basis of the Maxwell-Garnett EMT (Maxwell Garnett, 1904). The resulting expression
 935 for m_{eff} is

$$m_{\text{eff}} = \sqrt{m_1^2 \frac{m_1^2(2 - 2f_2) + m_2^2(1 + 2f_2)}{m_1^2(2 + f_2) + m_2^2(1 - f_2)}}. \quad (\text{C1})$$

In the Bruggemann EMT (Bruggemann, 1935) one treats both materials more symmetrically; both
 components are assumed to be embedded in a host matrix with an effective refractive index given by

$$940 \quad m_{\text{eff}} = \sqrt{\frac{1}{4}m_1^2(2 - 3f_2) + m_2^2(3f_2 - 1) + \sqrt{\frac{1}{16}[m_1^2(2 - 3f_2) + m_2^2(3f_2 - 1)]^2 + \frac{1}{2}m_1^2m_2^2}}. \quad (\text{C2})$$

Although not immediately manifest, this equation is symmetric under exchange of the two materials.

The volume-fraction is obtained from the mass concentrations M_1 and M_2 computed in the
 transport model, i.e. $f_2 = M_2/(M_1 + M_2)$. In SALSA, we apply the Maxwell-Garnett rule for an
 945 internal mixtures of mineral-dust inclusions in a host matrix of soluble compounds. Also, in the
 core-grey-shell model the effective refractive index of the grey shell, i.e., the homogeneous mixture
 of black carbon with soluble compounds, is computed with Maxwell-Garnett EMT. For mixtures of
 soluble compounds (sulphate, nitrate, ammonium, sea salt, organic compounds, and water) we use
 the Bruggemann EMT. If more than two components are mixed with each other, then the mixing rule
 950 is applied iteratively.

Appendix D: Refractive indices

The refractive indices that are used in the new optics model (and in the effective-medium calculations)
 are listed in Table 8.

Appendix E: Optical properties at different wavelengths in the considered case-studies

955 Figures 12–35 show vertical profiles of AOD, single-scattering albedo, and asymmetry parameter
 at the four geographic location, for the summer and winter incident, and for 12 different optical
 wavelengths.

Table 8. Refractive index m for each wavelength in the aerosol-optics look-up table and for various aerosol components.

λ [μm]	0.2000	0.2316	0.3040	0.3400
$m(\text{SO}_4)$	1.4840+0.1000E-07 i	1.4840+0.1000E-07 i	1.4676+0.1000E-07 i	1.4554+0.1000E-07 i
$m(\text{BC})$	0.9400+0.3500E+00 i	1.0717+0.5817E+00 i	1.3314+0.7523E+00 i	1.4471+0.7214E+00 i
$m(\text{OC})$	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i
$m(\text{NaCl})$	1.5100+0.5000E-05 i	1.5100+0.5000E-05 i	1.5100+0.1866E-05 i	1.5100+0.6592E-06 i
$m(\text{Dust})$	1.5190+0.2070E-01 i	1.5190+0.2070E-01 i	1.5240+0.1947E-01 i	1.5272+0.1683E-01 i
$m(\text{H}_2\text{O})$	1.4517+0.1101E-06 i	1.4094+0.1092E-07 i	1.3701+0.3879E-08 i	1.3604+0.2758E-08 i
λ [μm]	0.3550	0.3800	0.3932	0.4400
$m(\text{SO}_4)$	1.4508+0.1000E-07 i	1.4448+0.1000E-07 i	1.4416+0.1000E-07 i	1.4336+0.1000E-07 i
$m(\text{BC})$	1.4954+0.7086E+00 i	1.5757+0.6871E+00 i	1.6181+0.6758E+00 i	1.6771+0.6586E+00 i
$m(\text{OC})$	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i
$m(\text{NaCl})$	1.5090+0.2946E-06 i	1.5040+0.1476E-06 i	1.5014+0.6998E-07 i	1.5000+0.2544E-07 i
$m(\text{Dust})$	1.5239+0.1250E-01 i	1.5160+0.2500E-02 i	1.5147+0.2170E-02 i	1.5135+0.1400E-02 i
$m(\text{H}_2\text{O})$	1.3572+0.2416E-08 i	1.3528+0.1944E-08 i	1.3508+0.1702E-08 i	1.3449+0.9324E-09 i
λ [μm]	0.5000	0.5320	0.5332	0.6750
$m(\text{SO}_4)$	1.4310+0.1000E-07 i	1.4304+0.1000E-07 i	1.4303+0.1000E-07 i	1.4285+0.1860E-07 i
$m(\text{BC})$	1.7329+0.6414E+00 i	1.7626+0.6323E+00 i	1.7637+0.6319E+00 i	1.8097+0.5824E+00 i
$m(\text{OC})$	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.5000E-02 i	1.5300+0.7091E-02 i
$m(\text{NaCl})$	1.5000+0.1550E-07 i	1.5000+0.1198E-07 i	1.5000+0.1185E-07 i	1.4900+0.1212E-06 i
$m(\text{Dust})$	1.5160+0.1200E-02 i	1.5167+0.1129E-02 i	1.5167+0.1126E-02 i	1.5170+0.9818E-03 i
$m(\text{H}_2\text{O})$	1.3394+0.9243E-09 i	1.3371+0.1818E-08 i	1.3370+0.1850E-08 i	1.3297+0.2187E-07 i
λ [μm]	0.7016	0.8700	1.0101	1.0200
$m(\text{SO}_4)$	1.4280+0.2214E-07 i	1.4253+0.2044E-06 i	1.4216+0.1749E-05 i	1.4213+0.1963E-05 i
$m(\text{BC})$	1.8175+0.5730E+00 i	1.8752+0.5645E+00 i	1.9210+0.5622E+00 i	1.9219+0.5643E+00 i
$m(\text{OC})$	1.5300+0.7333E-02 i	1.5300+0.9409E-02 i	1.5300+0.1327E-01 i	1.5300+0.1370E-01 i
$m(\text{NaCl})$	1.4900+0.2282E-06 i	1.4800+0.3027E-04 i	1.4700+0.1498E-03 i	1.4700+0.1584E-03 i
$m(\text{Dust})$	1.5170+0.9335E-03 i	1.5184+0.8000E-03 i	1.5190+0.7347E-03 i	1.5190+0.7261E-03 i
$m(\text{H}_2\text{O})$	1.3287+0.3624E-07 i	1.3243+0.3714E-06 i	1.3215+0.2657E-05 i	1.3213+0.2380E-05 i
λ [μm]	1.0640	1.2705	1.4625	1.7840
$m(\text{SO}_4)$	1.4197+0.2915E-05 i	1.4122+0.1621E-04 i	1.4045+0.1030E-03 i	1.3926+0.5308E-03 i
$m(\text{BC})$	1.9261+0.5738E+00 i	1.9457+0.6183E+00 i	1.9639+0.6597E+00 i	1.9943+0.7290E+00 i
$m(\text{OC})$	1.5285+0.1515E-01 i	1.5179+0.1721E-01 i	1.5068+0.1864E-01 i	1.4801+0.1337E-01 i
$m(\text{NaCl})$	1.4700+0.1966E-03 i	1.4692+0.3754E-03 i	1.4615+0.5382E-03 i	1.4500+0.7944E-03 i
$m(\text{Dust})$	1.5190+0.6853E-03 i	1.5188+0.6418E-03 i	1.5180+0.8000E-03 i	1.5180+0.9990E-03 i
$m(\text{H}_2\text{O})$	1.3205+0.1279E-05 i	1.3167+0.1090E-04 i	1.3128+0.3528E-03 i	1.3040+0.1270E-03 i
λ [μm]	2.0460	2.3250	2.7885	3.4615
$m(\text{SO}_4)$	1.3803+0.1490E-02 i	1.3580+0.2885E-02 i	1.3146+0.5669E-01 i	1.3669+0.1579E+00 i
$m(\text{BC})$	2.0192+0.7854E+00 i	2.0510+0.8453E+00 i	2.1099+0.9444E+00 i	2.1955+0.1088E+01 i
$m(\text{OC})$	1.4613+0.1000E-01 i	1.4554+0.9641E-02 i	1.4800+0.7724E-02 i	1.4800+0.7000E-02 i
$m(\text{NaCl})$	1.4482+0.1276E-02 i	1.4370+0.2950E-02 i	1.5339+0.7462E-02 i	1.4800+0.1757E-02 i
$m(\text{Dust})$	1.5180+0.1492E-02 i	1.5180+0.2610E-02 i	1.5180+0.8077E-02 i	1.5180+0.2805E-01 i
$m(\text{H}_2\text{O})$	1.2947+0.7103E-03 i	1.2756+0.5344E-03 i	1.1278+0.1055E+00 i	1.3913+0.1237E-01 i
λ [μm]	3.5000	8.0205	10.6000	12.1950
$m(\text{SO}_4)$	1.3760+0.1580E+00 i	1.1641+0.5511E+00 i	1.7200+0.3400E+00 i	1.7858+0.2517E+00 i
$m(\text{BC})$	2.2004+0.1097E+01 i	2.6572+0.1742E+01 i	2.9285+0.2063E+01 i	3.0719+0.2210E+01 i
$m(\text{OC})$	1.4800+0.7000E-02 i	1.1237+0.7906E-01 i	1.7600+0.7000E-01 i	1.6352+0.5117E-01 i
$m(\text{NaCl})$	1.4800+0.1600E-02 i	1.4080+0.1581E-01 i	1.5000+0.1400E-01 i	1.4383+0.1539E-01 i
$m(\text{Dust})$	1.5180+0.2973E-01 i	1.1798+0.1015E+00 i	1.9100+0.2500E+00 i	1.7614+0.4543E+00 i
$m(\text{H}_2\text{O})$	1.3840+0.9340E-02 i	1.2676+0.3436E-01 i	1.1531+0.7145E-01 i	1.0874+0.2243E+00 i

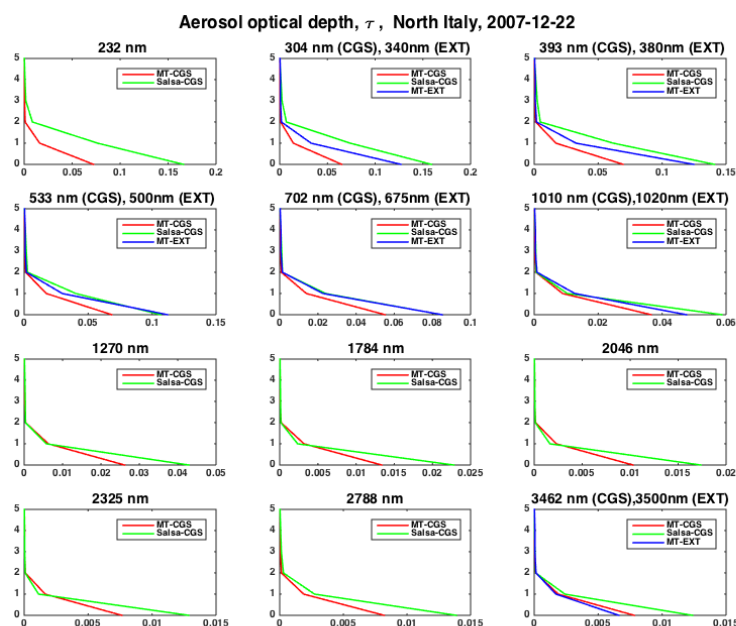


Figure 12. Aerosol optical depth over North Italy at 22-12-2007 for the 12 wavelengths in the CGS optics model and 5 of the 7 wavelengths in the EXT model. The wavelengths do not exactly overlap, but the EXT wavelengths that lies within 40 nm of the CGS wavelength are plotted in the same graph.

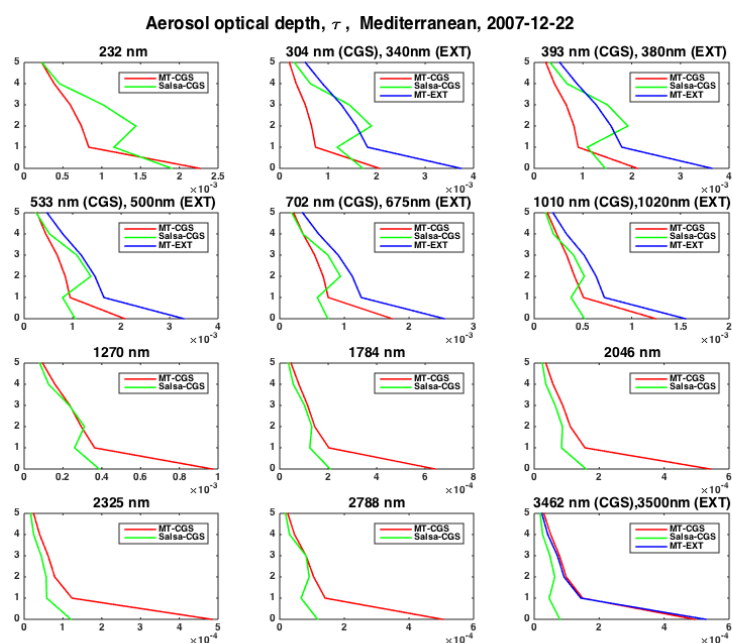


Figure 13. Same as Fig. 12, but over the Mediterranean.

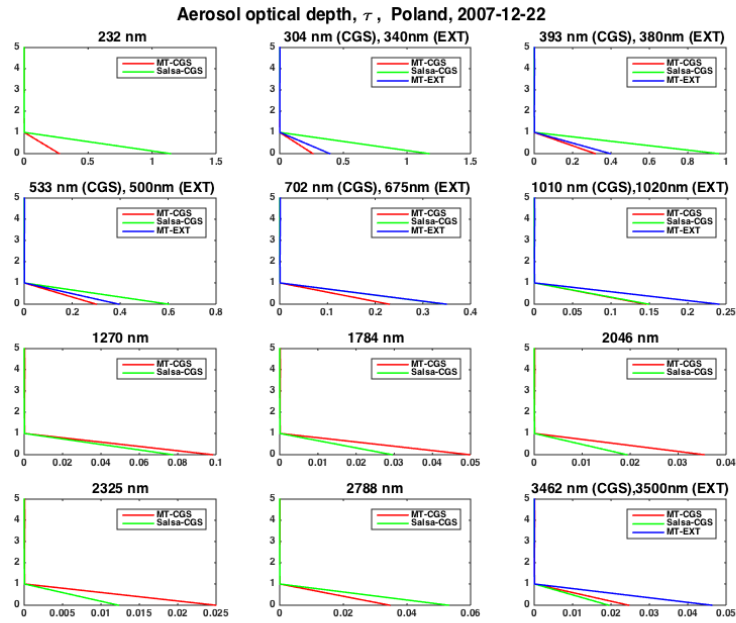


Figure 14. Same as Fig. 12, but over Poland.

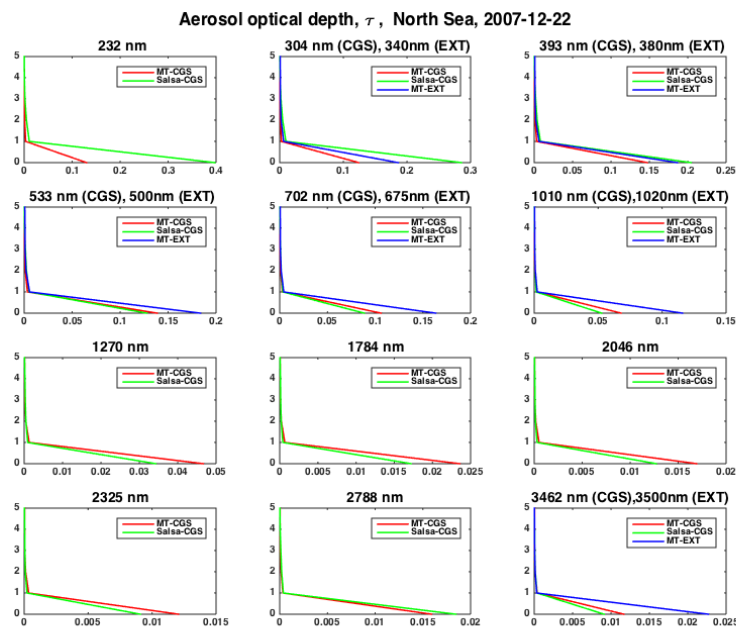


Figure 15. Same as Fig. 12, but over the North Sea

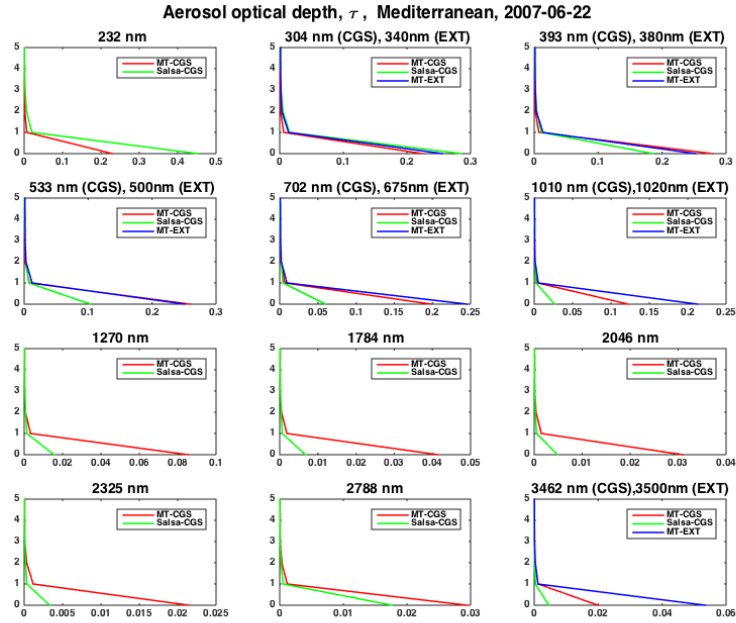


Figure 16. Same as Fig. 12, but 2007-06-22.

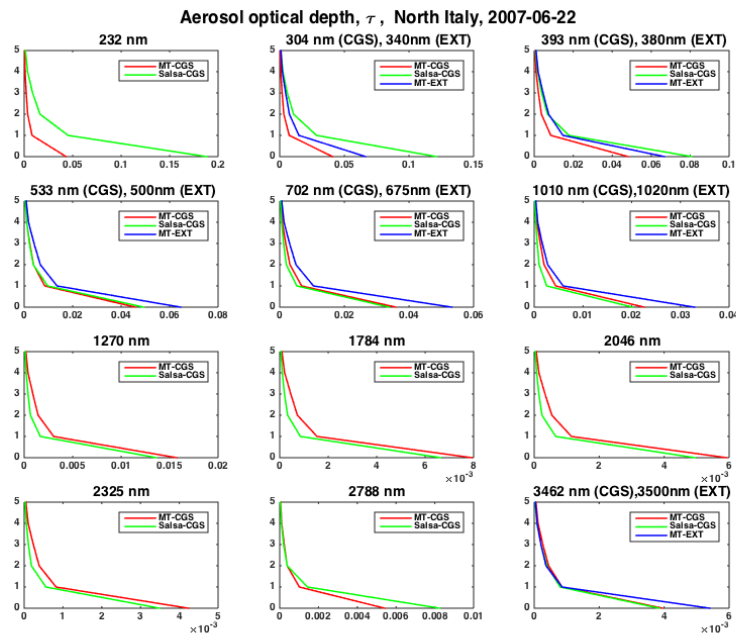


Figure 17. Same as Fig. 12, but 2007-06-22 and over the Mediterranean.

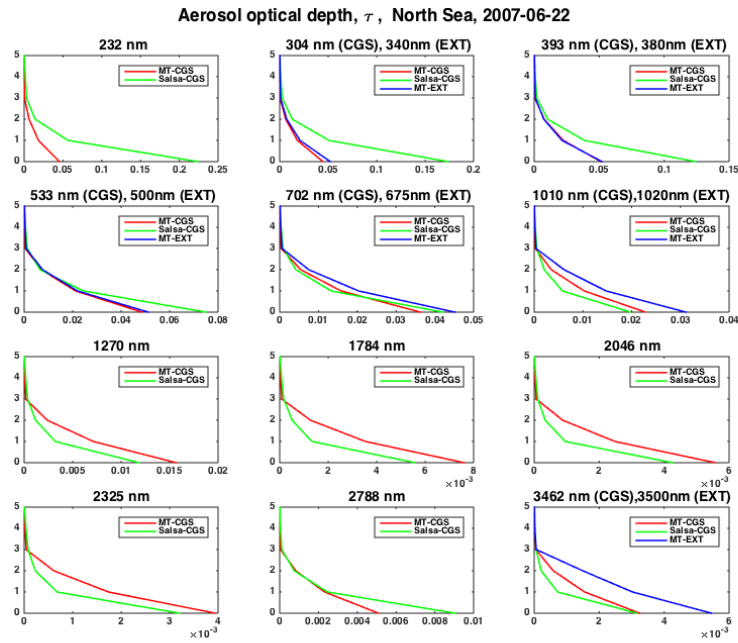


Figure 18. Same as Fig. 12, but 2007-06-22 and over Poland.

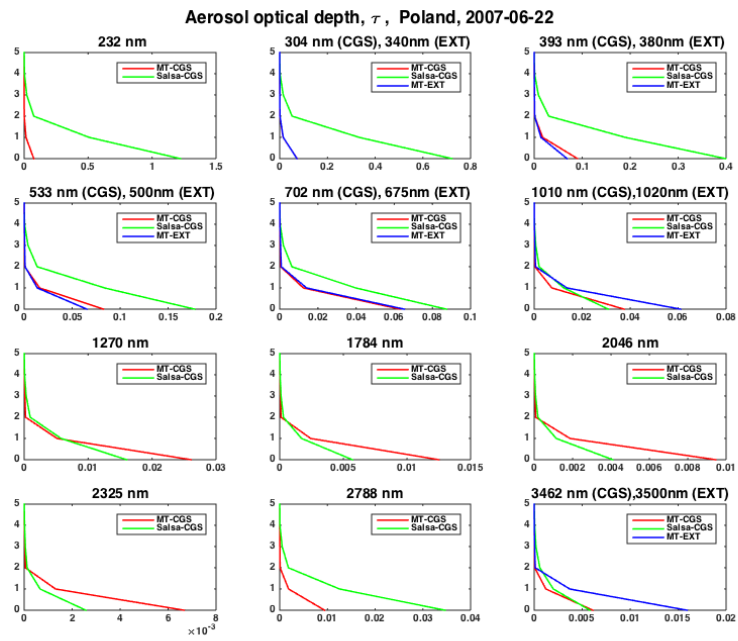


Figure 19. Same as Fig. 12, but 2007-06-22 and over the North sea.

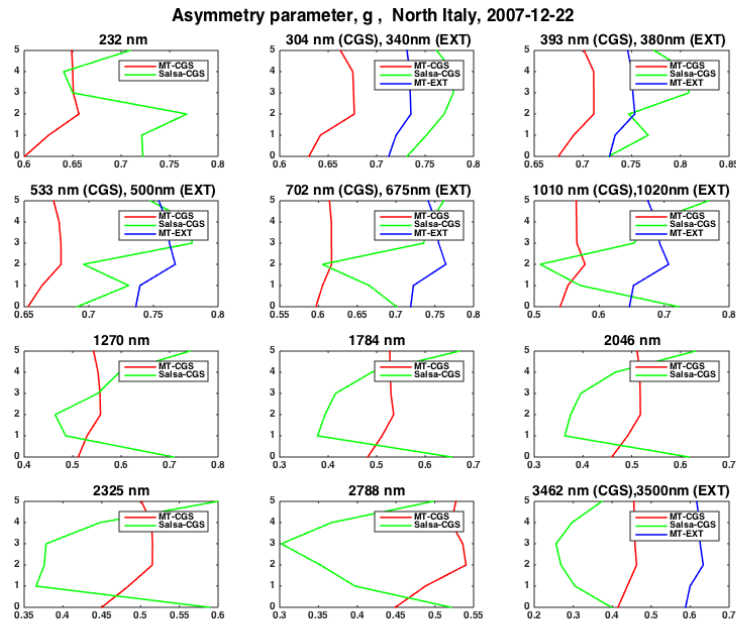


Figure 20. Asymmetry parameter over North Italy at 22-12-2007 for the 12 wavelengths in the CGS optics model and 5 of the 7 wavelengths in the EXT model. The wavelengths do not exactly overlap, but the EXT wavelengths that lies within 40 nm of the CGS wavelength are plotted in the same graph.

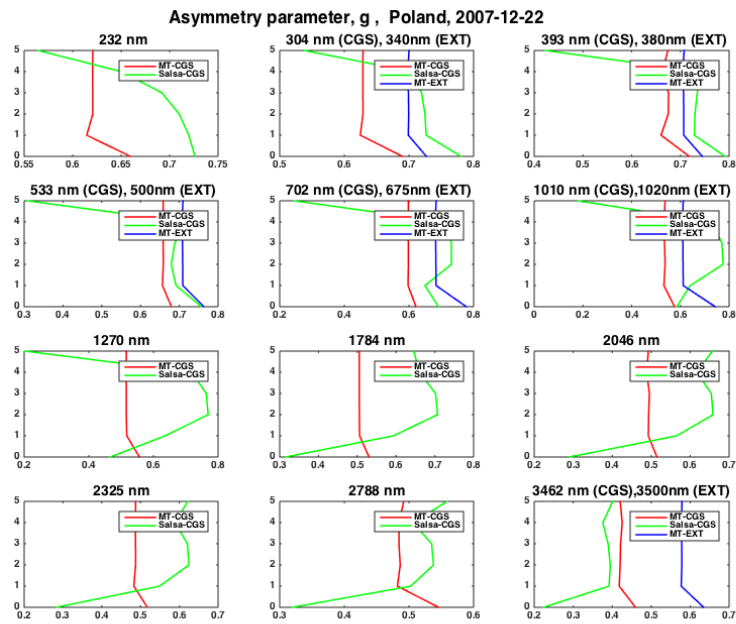


Figure 21. Same as Fig. 20, but over the Mediterranean.

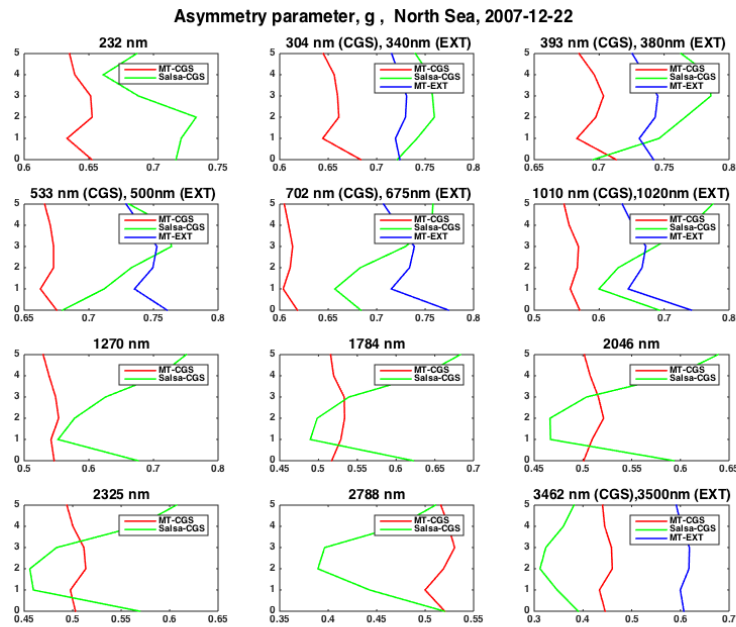


Figure 22. Same as Fig. 20, but over Poland.

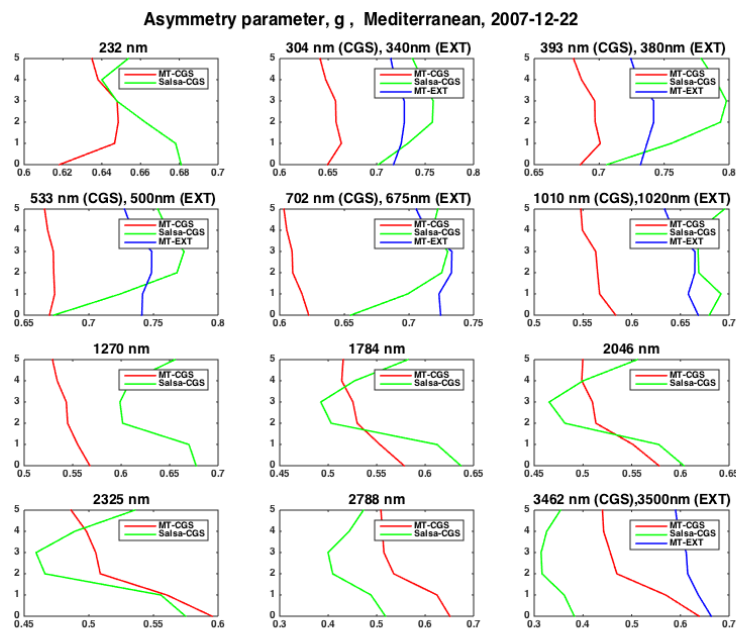


Figure 23. Same as Fig. 20, but over the North sea.

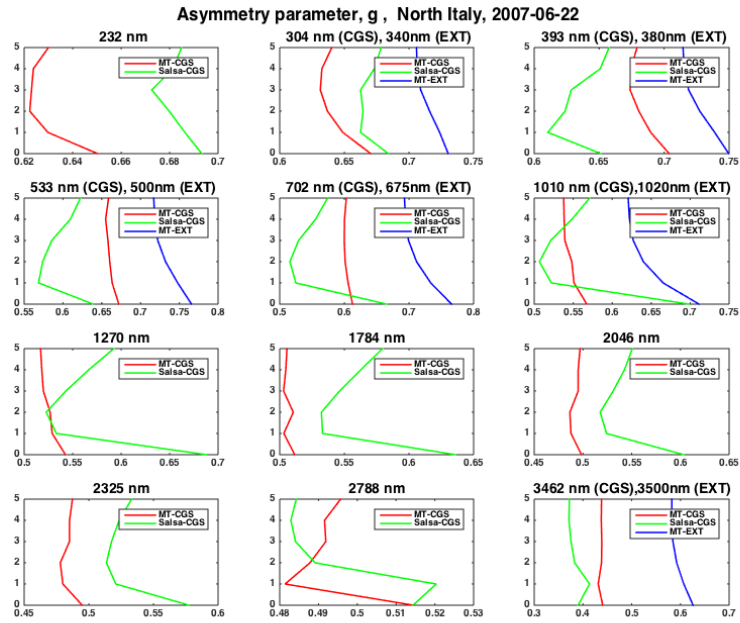


Figure 24. Same as Fig. 20, but 2007-06-22.

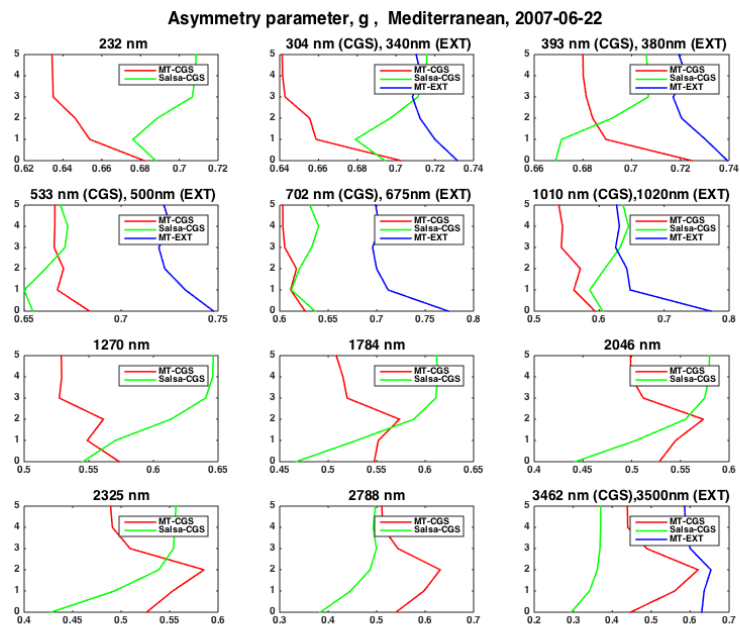


Figure 25. Same as Fig. 20, but 2007-06-22 and over the Mediterranean.

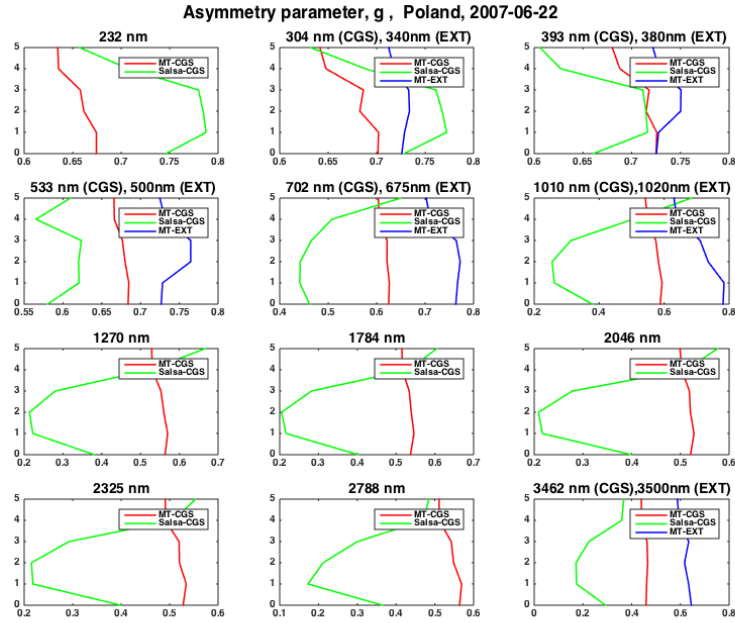


Figure 26. Same as Fig. 20, but 2007-06-22 and over Poland.

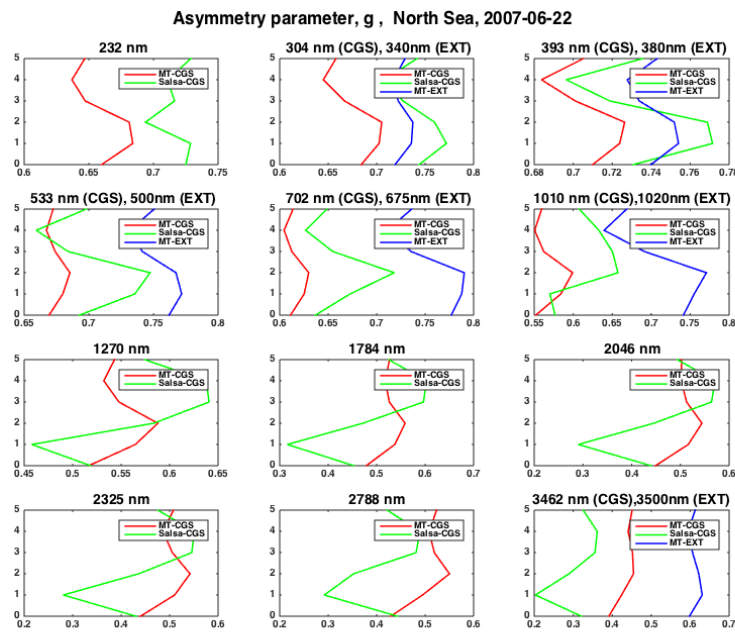


Figure 27. Same as Fig. 20, but 2007-06-22 and over the North sea.

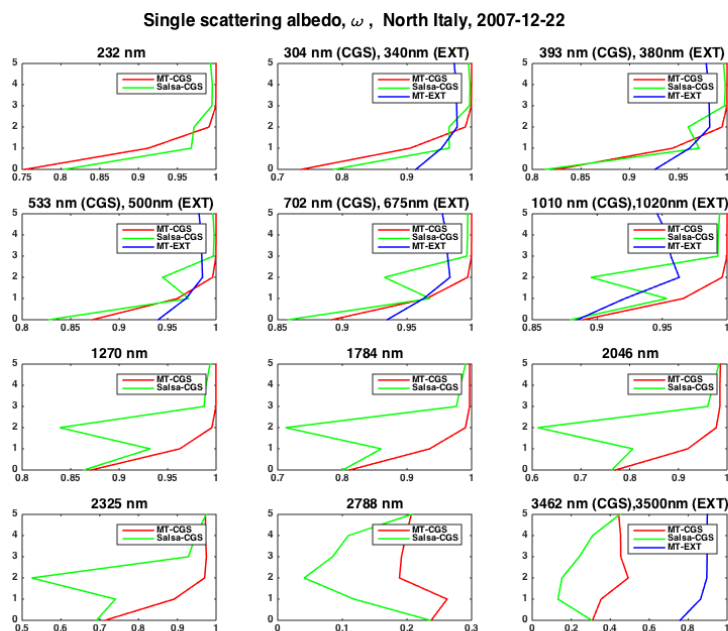


Figure 28. Single scattering albedo over North Italy at 22-12-2007 for the 12 wavelengths in the CGS optics model and 5 of the 7 wavelengths in the EXT model. The wavelengths do not exactly overlap, but the EXT wavelengths that lies within 40 nm of the CGS wavelength are plotted in the same graph.

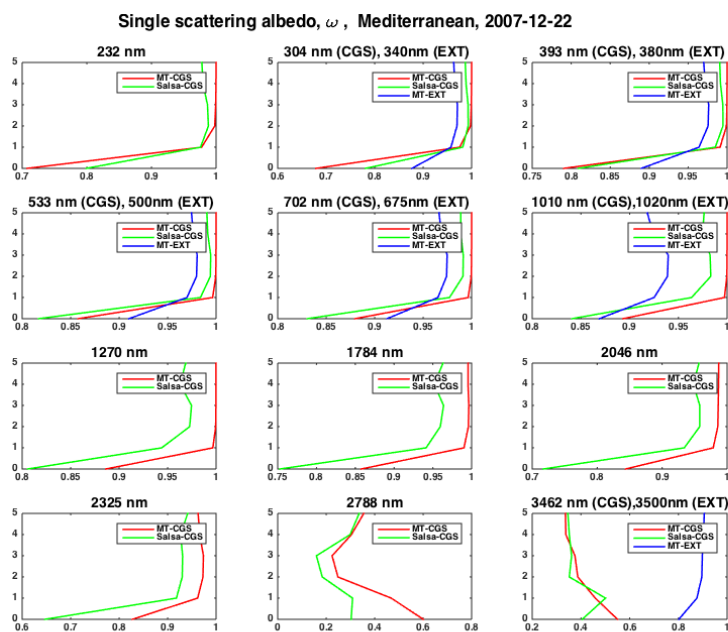


Figure 29. Same as Fig. 28, but over the Mediterranean.

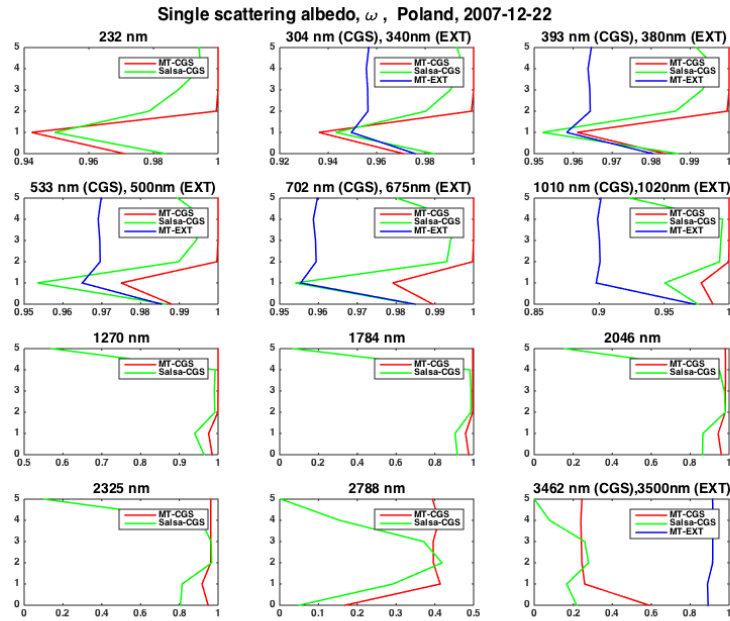


Figure 30. Same as Fig. 28, but over Poland.

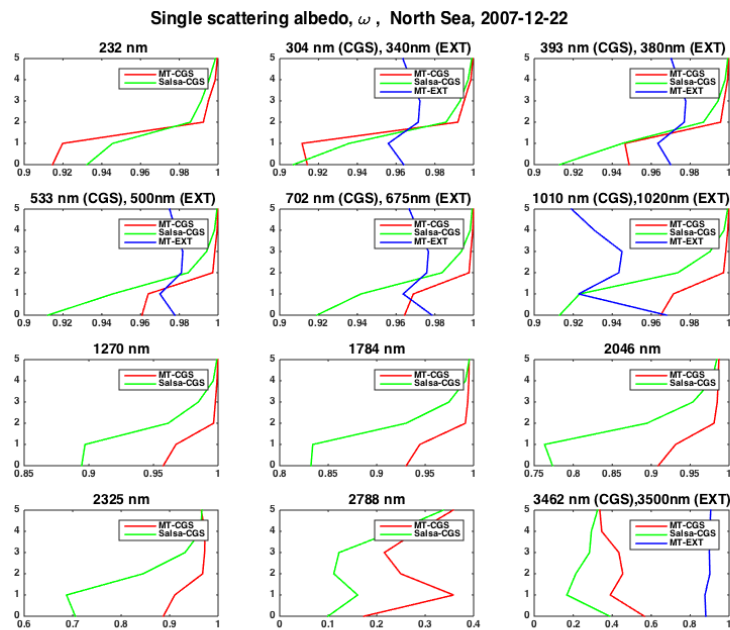


Figure 31. Same as Fig. 28, but over the North sea.

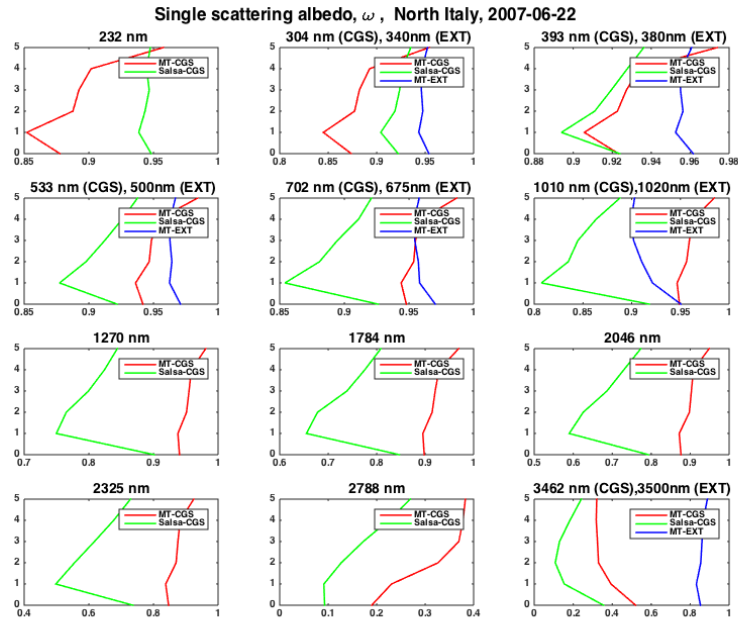


Figure 32. [Same as Fig. 28, but 2007-06-22.](#)

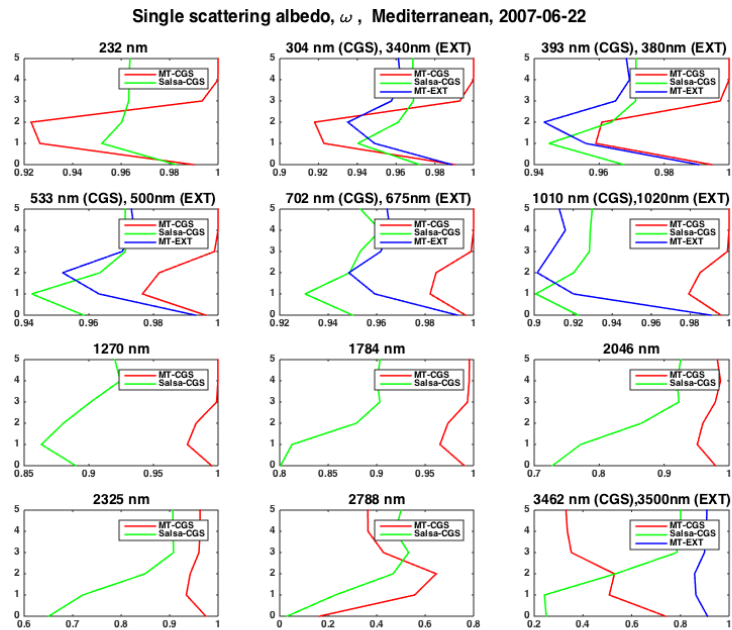


Figure 33. [Same as Fig. 28, but 2007-06-22 and over the Mediterranean.](#)

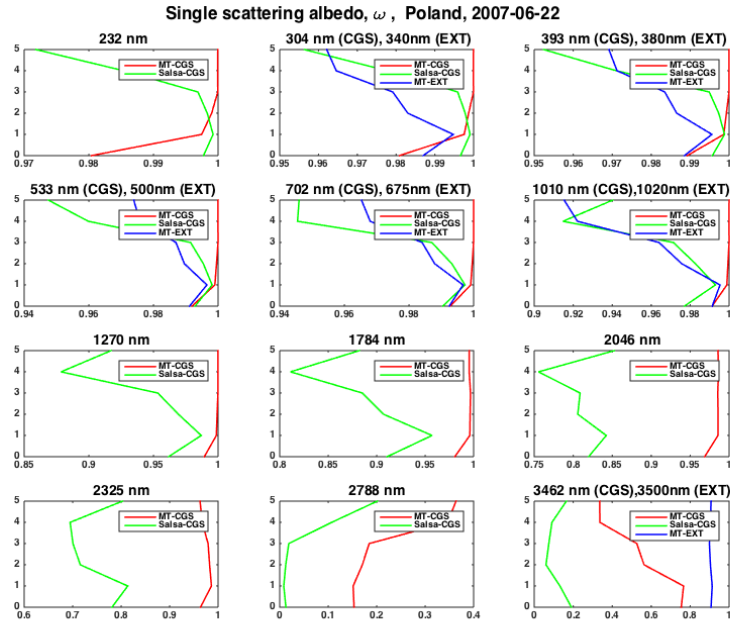


Figure 34. Same as Fig. 28, but 2007-06-22 and over Poland.

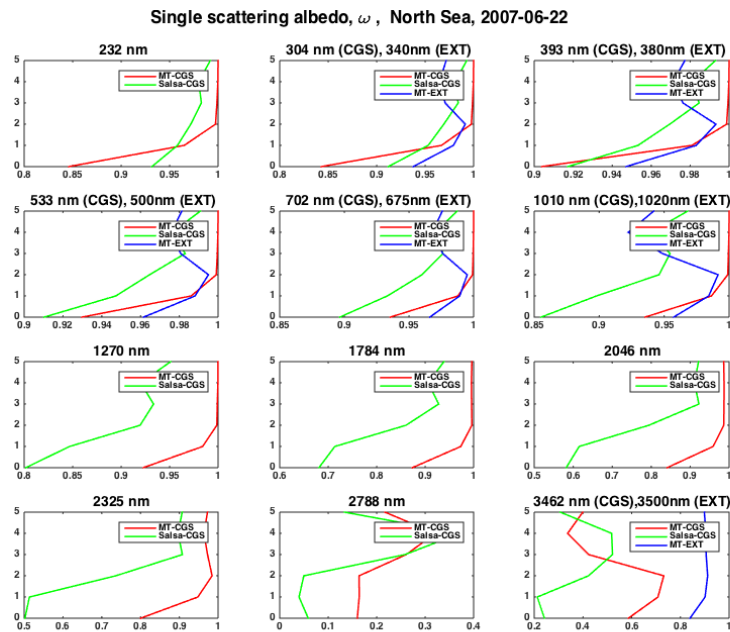


Figure 35. Same as Fig. 28, but 2007-06-22 and over the North sea.

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