

## Author reply to Anonymous Referee #1

The format of this reply is as follows. We provide a point-by-point response to the comments by the referee. The referee comments are cited in grey italic font. Our replies to the individual comments are given in regular, black font. At the end of this reply, we attach a latexdiff version of the revised manuscript, displaying the changes we have made. All line numbers given in the reply below refer to this latexdiff version.

*This manuscript describes the application of the Met.3D software package to planning aircraft campaigns to study warm conveyor belts. The paper is well written and for the most part clear. The paper would otherwise be ready for publication except for two crucial problems:*

- 1. A severe Eurocentric perspective that affects the balance of the manuscript. Why this perspective is so highly skewed is unclear. Is it author bias against other countries or author ignorance of the history of their discipline?*
- 2. Poor quality video, figures and figure captions. Much improvement is needed to explain the figures, as well as make them more readable and more understandable.*

We would like to thank Referee #1 for acknowledging the overall clarity and quality of our manuscript and for providing helpful comments on improving text and figures. However, we are surprised that the referee interprets a “severe Eurocentric perspective” that in the referee's opinion affects the balance of our manuscript. In no way the authors are biased against other countries nor are they ignorant of the history of the discipline. We refuse to tolerate such an allegation. To put our original citation practice in a more rational perspective, we note that, when disregarding the 5 citations to our own related work, we count 8 US and 18 European (only 10 continental-European) authors. However, a distinction into countries of origin is strange to us and we want to emphasise that historical key publications in the field of European and non-European origin were cited in the original version of the manuscript (Browning, 1986; Browning and Roberts, 1994; Carlson, 1980; Harrold, 1973). Our intention was that readers mainly interested in the core technical and visualization aspects of the paper (and potentially not familiar with WCBs) should be able to get a quick overview of the investigated weather system. It was not our intention to provide a full review of WCB literature. We note that the other two referees did not see our citation practice critical. Referee #3 explicitly states: “*The usage of references is adequate and the authors give a proper credit to related works.*” The short literature survey in the original Sect. 2.1 aimed at investigating previous approaches using objective criteria to select Lagrangian particle trajectories in order to investigate WCBs. This is noted in the original manuscript on p. 2166, ll. 27ff. However, we agree that parts of our wording, as well as the original title of Sect. 2.1 (“Review of trajectory-based WCB detection”) have been misleading, potentially provoking a misunderstanding. We apologise for that and have rewritten the criticised text passages to give a historically more balanced picture.

Regarding figures and video, we have taken great effort to create images that are high-quality while still compliant with the technical (size) restrictions imposed by GMD. For example, the supplement is restricted to 50 MB, requiring the video to be highly compressed. We solve this issue in the final version by also providing the video in its original screen resolution outside the GMD website. We also note that most of the figures were optimised for the final GMD layout and appeared much smaller in the discussions layout. In the revised manuscript attached at the end of this reply, we have scaled the figures to their final intended size. We have revised a number of the employed colour schemes to optimise for clarity in printed versions.

Detailed answers to all referee comments are given below.

*Crucial Problems:*

*A. Poor citation practice*

- 1. The paper is written as if Wernli and Davies (1997) is the earliest reference for applying the calculation of air-parcel trajectories to airstreams in extratropical cyclones. On p. 2166, line 23, the paper refers to “more recent studies” that calculate trajectories, but none are cited. On p. 2167, the paper reads, “Wernli and Davies (1997) have introduced the usage of Lagrangian particle trajectories to analyse the dynamics of extratropical cyclones.” In fact, at least ten different articles were published before 1997 that “introduced” trajectories to the study of extratropical cyclones. In fact, trajectories were already being used in an operational setting within the National Weather Service by 1989 (Phillips, 1989). The rest of section 2.1 reads as if no one else other than continental Europeans have been calculating trajectories of WCBs, when in fact the work was done elsewhere first. Such statements in the paper misrepresent the actual history of meteorology and need to be corrected.*

- 65 *Ying-Hwa Kuo, Marina Skumanich, Philip L. Haagenson, and Julius S. Chang, 1985: The Accuracy of Trajectory Models as Revealed by the Observing System Simulation Experiments. Mon. Wea. Rev., 113, 1852–1867.*  
*Jeffrey S. Whitaker, Louis W. Uccellini, and Keith F. Brill, 1988: A Model-Based Diagnostic Study of the Rapid Development Phase of the Presidents's Day Cyclone. Mon.*
- 70 *Wea. Rev., 116, 2337–2365.*  
*W. Hibbard, D. Santek, L. Uccellini, and K. Brill, 1989: Application of the 4-D McIDAS to a Model Diagnostic Study of the Presidents' Day Cyclone. Bull. Amer. Meteor. Soc.,*  
*70, 1394–1403.*  
*Norman A. Phillips, 1989: Routine Forecast of Trajectories. Mon. Wea. Rev., 117,*
- 75 *1351–1354.*  
*Richard J. Reed, Mark T. Stoelinga, and Ying-Hwa Kuo, 1992: A Model-aided Study of the Origin and Evolution of the Anomalously High Potential vorticity in the Inner Region of a Rapidly Deepening Marine Cyclone. Mon. Wea. Rev., 120, 893–913.*  
*Ying-Hwa Kuo, Richard J. Reed, and Simon Low-Nam, 1992: Thermal Structure and*
- 80 *Airflow in a Model Simulation of an Occluded Marine Cyclone. Mon. Wea. Rev., 120,*  
*2280–2297.*  
*Christopher A. Davis, Mark T. Stoelinga, and Ying-Hwa Kuo, 1993: The Integrated Effect of Condensation in Numerical Simulations of Extratropical Cyclogenesis. Mon.*  
*Wea. Rev., 121, 2309–2330.*
- 85 *Schultz, D. M., and C. F. Mass, 1993: The occlusion process in a midlatitude cyclone over land. Mon. Wea. Rev., 121, 918–940.*  
*Mass, C. F., and D. M. Schultz, 1993: The structure and evolution of a simulated mid-latitude cyclone over land. Mon. Wea. Rev., 121, 889–917.*  
*Kevin G. Doty and Donald J. Perkey, 1993: Sensitivity of Trajectory Calculations to the*
- 90 *Temporal Frequency of Wind Data. Mon. Wea. Rev., 121, 387–401.*  
*Richard J. Reed, Ying-Hwa Kuo, and Simon Low-Nam, 1994: An Adiabatic Simulation of the ERICA IOP 4 Storm: An Example of Quasi-Ideal Frontal Cyclone Development. Mon. Wea. Rev., 122, 2688–2708.*
- 95 As noted above, we agree that parts of our wording and the original caption of Sect. 2.1 were misleading. Our aim was to briefly review how objective criteria have been used to automatically select trajectories in order to detect WCB structures from a large number of computed trajectories. To make this issue more clear, we have renamed Sect. 2.1 to “WCB detection based on objectively selected Lagrangian particle trajectories” and rewritten the end of the introduction to Sect. 2 and the beginning of Sect. 2.1 (ll. 210ff. in the revised
- 100 manuscript). Sect. 2.1 now starts with a more comprehensive introduction of the use of trajectories for studying extratropical cyclones, citing a number of the suggested references. Based on the references now provided in Sect. 2.1 we assume that an interested reader can easily get access to additional relevant publications. We have clarified that “more recent studies” (now ll. 230ff.) refer to the works discussed in the remainder of the section that employ objective criteria. We apologise that our wording sounded as if Wernli and
- 105 Davis (1997) introduced the usage of trajectories for the analysis of extratropical cyclones. We have rewritten the passage to reflect the reference to the objective criteria they have introduced and how these criteria allow to detect the strongest WCB cores (ll. 243ff.).
- 110 *2. Another example of this Euro-centric skewed perspective comes about with the citation of references to the conveyor belt model. Although the warm conveyor belt was named by Harrold and popularized by Browning, it was Carlson (1980) who first integrated the warm conveyor belt into a holistic three-airstream model of airflow through extratropical cyclones. Citations that omit his crucial contribution include the following: p. 2163, line 12; p. 2166, lines 3-8.*
- 115 We already cited the fundamental contributions by Browning, Carlson, and Harrold in the original manuscript. However, we have put them in a different order and broadened the historical context, to give an overall more balanced introduction of the warm conveyor belt and the airstream model. We have added the Carlson reference at the positions suggested by the referee. The changed parts can be found in the revised
- 120 manuscript at ll. 51ff., l. 181, ll. 224ff.
- 125 *3. DIAMET (Vaughan et al. 2015) also featured study of WCBs and included ensembles as part of its forecasting and part of its scientific purpose. Therefore, that study should be cited in the following locations: p. 2163, line 15; p. 2164, lines 1-6.*  
*Vaughan, G., and Coauthors 2015: Cloud banding and winds in intense European cyclones: Results from the DIAMET project. Bull. Amer. Meteor. Soc., doi:*

130 Thank you for pointing out this reference. We have added it at the suggested locations (in the revised manuscript l. 62 and l. 94). We also apologise that our original wording that “ensemble predictions have [...] not been used extensively..” (original manuscript p. 2164 l. 1) was not justified given that some other studies in addition to Vaughan et al. (2015) have also reported on some ensemble usage. We have cited those studies (l. 94 in the revised manuscript) and changed our wording to emphasise that ensemble have not been used to create specific (in particular 3D) forecast products for research flight planning. We have also  
135 changed the corresponding lines in Part 1 of our study (ll. 111-116 in the revised version of Part 1, see our reply to Referee #1 of Part 1).

140 *4. p. 2163, line 27: 3D visualization techniques have been around much longer than implied by the authors and go well beyond “the few reports” cited by the authors. Consider the following references, which represent just a handful of the available citations. See also Robert Wilhelmson’s pioneering work on supercell thunderstorm visualization from the 1980s and 1990s, work that continues with more powerful visualization tools. Richard Grotjahn and Robert M. Chervin, 1984: Animated Graphics in Meteorological Research and Presentations. Bull. Amer. Meteor. Soc., 65, 1201–1208.*  
145 *W. Hibbard, D. Santek, L. Uccellini, and K. Brill, 1989: Application of the 4-D McIDAS to a Model Diagnostic Study of the Presidents’ Day Cyclone. Bull. Amer. Meteor. Soc., 70, 1394–1403.*  
*James A. Schiavone and Thomas V. Papathomas, 1990: Visualizing Meteorological Data. Bull. Amer. Meteor. Soc., 71, 1012–1020.*  
150 *William A. Gallus Jr, Douglas N. Yarger, Carolina Cruz-Neira, and Rex Heer, 2003: An Example of a Virtual Reality Learning Environment. Bull. Amer. Meteor. Soc., 84, 18–20.*  
*William A. Gallus Jr., Cinzia Cervato, Carolina Cruz-Neira, Galen Faidley, and Rex Heer, 2005: Learning Storm Dynamics with a Virtual Thunderstorm. Bull. Amer. Meteor. Soc., 86, 162–163.*  
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It is true that 3D visualization techniques have been used in research settings earlier than the three references that we had listed in the original manuscript. However, there are only very few reports on projects that used 3D visualization of NWP output in operational forecast settings (to which the originally listed  
160 references were referring). In our original manuscripts, we have reviewed much more literature on visualization in Part 1 of the study. However, we agree that we did not put an obvious reference to Part 1 into Part 2, and that the sentence criticised by the referee is misleading.  
We have clarified the part in the revised manuscript in ll. 80-90 by briefly stating the distinction between research and forecast settings and by referencing to the corresponding section in Part 1. We have also cited  
165 some the of the papers suggested by the referee. To make the reference list in Part 2 more balanced, we have also added some references used in Part 1 to Part 2 (ll. 83-85).  
We have also modified parts of Part 1 to better reflect the history of 3D visualization in meteorology. We had originally considered such information to be outside the scope of the paper, however, agree that they give a more complete picture. We have hence extended Sect. 2 of Part 1 to discuss the references suggested by  
170 the referee and have also added some more references that we felt are important to provide a balanced view. The modified parts in the revised version of Part 1 are ll. 305-329 and ll. 354-356 (see our reply to Referee #1 of Part 1).

175 *5. p. 2166, line 10: Pfahl et al. (2014) was not the first or even most important study to identify latent heat release in the WCB. I’m not sure a reference is needed for this statement, but if citations are going to be included, provide a more balanced citation list.*

We do not use the recent study of Pfahl et al. (2014) to underpin the relevance of latent heat release in  
180 WCBs, but we state that WCBs are important for heavy precipitation events, a systematic link that has been investigated first in the cited study. We have clarified the sentence in the revised manuscript in ll. 190-192 by splitting it into two parts and also citing the study by Browning (1986).

#### B. Figure problems

185 *1. Many figures are too small to read the graphics or the labels. For example, Figures 9, 10, 12, 13, 15, 16, 18.*

It is true that the figures have been quite small in the “Discussions” layout of GMDD. Prior to the original

190 submission, we had checked that all figures are readable in the final GMD layout, however, had missed that they appear smaller in GMDD. In the attached revised version, we have scaled the figures to the size we intend them to be in the final layout. Also, we have rearranged the layout of Figs. 5 and 6 to make the images larger, as their size has also been criticised by Referee #3.

195 *2. The colour scheme in many of the figures is difficult to separate the volume from the background map. The colours in each are nearly the same, such as in Figure 14. (In fact, I don't even see that the colour scheme presents any yellows, oranges or reds. Why not?) These need to be fixed. This applies to the figures and the video.*

200 We agree that in particular in a printed version of the manuscript, the white isosurfaces used in a number of the figures were difficult to distinguish from the background. We have revised a number of figures. In particular, we have changed the colour of the white isosurfaces in Figs. 3, 5, 12, 14 and 19 to a light purple in order to create a better contrast between the isosurfaces and the background map. The colour scheme in Fig. 14 (and also Fig. 12) refers to the normal curves inside the isosurface (which is the reason we chose a white isosurface at first). We have changed the colour map to use more intense colours that are also visible through the new purple isosurfaces. Concerning the video, we do not agree that the employed colour schemes are as difficult to see as in a printed version of the manuscript. We think that the most limiting factor for the video is the strong compression used to make the video fit into the 50 MB size limit of GMD. In the final version of the paper, we also provide the video at full screen resolution outside the GMD homepage and provide a corresponding link in the Supplement.

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*3. The video is a nice addition to the manuscript, but it could be much improved. The white panels introducing the figures could be more descriptive. There could be more annotation and/or a voice-over to explain what the user is doing with the clicks and what the viewer is seeing in the animations. Often times, it wasn't clear to me what I should be seeing or what feature of the software was being displayed.*

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220 The white panels are meant to simply reference the corresponding figures in the manuscript. Our intention is that the video is not viewed on its own but side-by-side with the case study in Sect. 5. We have made this point more clear in the revised manuscript (ll. 786-788). Also, the revised figure captions in the manuscript (see below) largely describe the figures and their counterparts in the video. We have intentionally not used a voice-over for the video as we do not want readers to require loudspeakers at their workplace to understand the video. However, as the referee suggested, we have added further annotations to the video.

225 *4. Figure 14: What are the branching patterns in this and other figures? It is unclear and not stated in the figure caption.*

230 The branching patterns are the normal curves introduced in Part 1. We agree that this was not very clearly mentioned in the original manuscript. We have made the issue clearer in the revised captions for Figs. 12 and 14. Also, we have added a statement at ll. 890-893 in the revised manuscript that references to the corresponding section in Part 1.

235 *5. Interpreting Figure 8 is difficult. It is unclear what is being referred to by all the different coloured lines, and the gray and red shading of the boxes. More clarity is needed.*

We agree that the figure caption was incomplete. We have revised the caption to better describe the figure.

240 *6. All figure captions should be complete. Many figure captions do not fully describe all the lines within the figures. As just one example of many, Figure 18 needs a description of what the purple contours represent. Fix all figure captions throughout the manuscript so that a complete description of the figure is enclosed.*

245 We have fixed all captions to clearly describe all contents of the figures. This applies in particular to Figs. 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 17, 18, 19.

*7. The white lettering in some of the figures (e.g., Figure 12, left side panels) needs to be more obvious to the reader.*

250 We have changed the colour in Fig. 12 to black, as we have also changed the time format (see below) and colour scheme (see above) of the figure. We have checked the readability of the letters in all figures in a printed version of the revised manuscript.

255 8. *Figure 4: There is no statement about what the yellow versus green boxes represent in the caption. Also, it is unclear what the lines extending from memory manager and task scheduler represent. They connect to nothing.*

Thank you for pointing this out. We agree that the figure was difficult to understand without reading the corresponding section in Part 1. We have revised the figure caption to be more descriptive. Also, we have revised parts of the corresponding Sect. 2.3 to better link to the corresponding parts in Part 1 (ll. 453-455 and ll. 462-473 in the revised manuscript).

260 9. *The color scheme in Figure 7f makes interpreting the figure difficult. What is this feature supposed to be? It is unclear from viewing it. The caption adds no further insight: "All 51 members visualized in a single image, distinguished by colour." What does the colour represent?*

The colour scheme distinguishes the individual ensemble members. We have revised the figure caption to make this more clear.

270 10. *Figure 17: I don't see the white contours. Can these be made more clear?*

We have changed the colour of the contours to green. They are now well visible in our printed version of the revised manuscript.

275 11. *Figure 10, right column: This colour scheme is difficult to interpret from the base maps.*

We have changed to the colour scheme of the background map to grey. The important features of the figure are the coloured clouds, which we think are well legible.

285 12. *Figure 9d: It is difficult to interpret this figure. Ensemble member means nothing to the readers. Should the bars be ranked from highest value to lowest value, or simply be replaced by statistics of the distribution?*

We have revised the figure caption to better describe the figure.

#### *Major Comments:*

290 1. *Why is equivalent potential temperature (theta-e) used to identify the cold front in cross section? Because theta-e has a strong component of moisture, theta-e is notably unreliable for looking at fronts, especially in cross sections where strong moisture gradients may show false frontal zones. Regions of potential instability (theta-e decreasing with height) will indicate forward-tilting cold fronts, which are not observed in reality. Thus, frontal zones should be properly identified using potential temperature.*

The literature contains several examples where moist temperature measures are used to diagnose fronts (e.g. Kašpar, 2003, Hewson, 1998). In Hewson (1998) advantages and disadvantages for both moist and dry temperature measures are explained. As moist temperatures consider modifications of fronts by diabatic effects in warm conveyor belts (Jenkner et al., 2010) we decided to stick with theta-e for our purpose of giving an example of visualizations relevant for the mission planning during T-NAWDEX-Falcon. This campaign focused on studying the impact of latent heat release within WCBs on the dynamics. Of course, it is easy for Met.3D users to switch between theta and theta-e visualizations both for all displayed forecast products. This allows a thorough analysis especially in critical regions of, for example, potential instability.

305 Kašpar, M., 2003: Analyses of gust fronts by means of limited area NWP model outputs. Atmospheric Research, 67–68, 333–351, doi:10.1016/S0169-8095(03)00066-8.

Hewson, T. D. (1998). Objective fronts. Met. Apps 5 (1), 37-65.

310 Jenkner, J., M. Sprenger, I. Schwenk, C. Schwierz, S. Dierer, and D. Leuenberger (2010). Detection and climatology of fronts in a high-resolution model reanalysis over the alps. Met. Apps 17 (1), 1-18.

315 2. *The paper has numerous abbreviations that are difficult to remember, not representative of what they stand for, and not used often enough to be worth it. Please*

320 *reduce the number of acronyms within the manuscript to make it more clear for the readers. FQ-A (necessary?), ENS (should be EPS), TNF (T-NAWDEX would be easier to remember), ABL (PBL is more commonly used), etc. Perhaps the worst example of an unnecessary and unclear acronym is "R15P1", which has the look of a specific numerical experiment. Why not just refer to the paper as "Part 1", which is much more intuitive?*

325 We have removed "FQ-A" etc. in both Part 1 and Part 2 of the study and replaced it by "forecast question A" etc. "ENS" is the official abbreviation used by ECMWF, they request other authors to make use of this abbreviation as well. We do not agree that "TNF" is difficult to remember and have not changed this abbreviation. "ABL" is just as frequently used as "PBL", there are entire textbooks that use this wording (e.g. Garratt, "The Atmospheric Boundary Layer", 1994; a search on Amazon for the words "atmospheric boundary layer" reveals further titles). We have changed "R15P1" to "Part 1" and "R15P2" to "Part 2" in both revised manuscripts.

330 *3. Resolution is not the same as grid spacing. Do not refer to "1° by 1° resolution" (e.g., Figure 6 caption; p. 2187, lines 17-18). Fix throughout the manuscript.*

335 Thank you for pointing this out. We have changed the wording to "grid spacing" throughout both Part 1 and Part 2.

*Minor Comments:*

340 *1. Use standard scientific date/time format: 0000 UTC 15 October. No colons, no "on", no "IT15/00Z", etc. Fix throughout the manuscript, the video, the figures, and the figure captions.*

345 We have changed the format to the suggested format throughout both Part 1 and Part 2 of the revised manuscript. However, for the case study, we feel that using an abbreviation makes the text much more readable. Nevertheless, to be consistent with the fully spelled-out format we have changed to format of the abbreviations to, for example, "12Z/19" (see ll. 794ff. in the revised manuscript).

350 *2. p. 2163, Line 20: "despite" is incorrect in this context. One way to fix the sentence would be to rewrite the sentence to start with "Although".*

We have fixed the sentence (ll. 71-72 in the revised manuscript).

*3. The video has several misspellings such as propositon, asses.*

355 Thank you for pointing out these typos. We have fixed the misspellings.

*4. p. 2163, line 11: Semicolon should be a colon. Check for accuracy in punctuation throughout the rest of the manuscript.*

360 We have fixed the colon and checked the remainder of the manuscript.

## 3-D visualization of ensemble weather forecasts – Part 2: Forecasting warm conveyor belt situations for aircraft-based field campaigns

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**Abstract.** We present the application of interactive 3-D visualization of ensemble weather predictions to forecasting warm conveyor belt situations during aircraft-based atmospheric research campaigns. Motivated by forecast requirements of the T-NAWDEX-Falcon 2012 campaign, a method to predict 3-D probabilities of the spatial occurrence of warm conveyor belts has been developed. Probabilities are derived from Lagrangian particle trajectories computed on the forecast wind fields of the ECMWF ensemble prediction system. Integration of the method into the 3-D ensemble visualization tool Met.3D, introduced in the first part of this study, facilitates interactive visualization of WCB features and derived probabilities in the context of the ECMWF ensemble forecast. We investigate the sensitivity of the method with respect to trajectory seeding and [grid spacing of the forecast wind field resolution](#). Furthermore, we propose a visual analysis method to quantitatively analyse the contribution of ensemble members to a probability region and, thus, to assist the forecaster in interpreting the obtained probabilities. A case study, revisiting a forecast case from T-NAWDEX-Falcon, illustrates the practical application of Met.3D and demonstrates the use of 3-D and uncertainty visualization for weather forecasting and for planning flight routes in the medium forecast range (three to seven days before take-off).

### 1 Introduction

Weather forecasting during aircraft-based field campaigns requires the meteorologist to explore large amounts of numerical weather prediction (NWP) data in a short period of time. Atmospheric features relevant to a research flight have to be identified quickly, and findings have to be communicated to colleagues. Furthermore, assessing the forecast's uncertainty

has become indispensable as flights frequently have to be planned several days before take-off.

A challenging element in forecasting methodology is to create clear and intuitive visualizations that allow the meteorologist to perform these tasks in a timely manner. To advance forecasting techniques for research flight planning, this work presents a new approach using interactive three-dimensional (3-D) visualization of ensemble weather predictions (the latter a major source of information on forecast uncertainty, Gneiting and Raftery, 2005; Leutbecher and Palmer, 2008) to forecast warm conveyor belt (WCB) situations.

The article is the second part of a two-paper study. The first part (Rautenhaus et al., 2015, hereafter “Part 1”) introduces *Met.3D*, a tool providing interactive ~~3-D~~ 3-D techniques for the visual exploration of ensemble weather prediction data. This article focuses on the specific application case of forecasting WCBs; strong, ascending, and often rain producing airstreams associated with ~~mid-latitude weather systems~~ WCBs ~~extratropical cyclones~~. The term “WCB” was introduced by Harrold (1973) and Browning (1971) and consolidated in a conceptual airstream model for extratropical cyclones (also including the cold conveyor belt and the dry airstream) by Carlson (1980). Example references for WCBs include Browning (1990) for an overview, Eckhardt et al. (2004) and Madonna et al. (2014) for a climatology, and Browning (1986) and Pfahl et al. (2014) for relevance in large-scale precipitation. WCBs are an atmospheric feature that has been in the focus of several aircraft-based campaigns (e.g. Pomroy and Thorpe, 2000; Vaughan et al., 2003; Schäfler et al., 2014; Vaughan et al., 2015).

A recent campaign that targeted WCBs is T-NAWDEX-Falcon 2012 (THORPEX – North Atlantic Waveguide and

Downstream Impact Experiment, hereafter TNF), which took place in October 2012 in southern Germany. Schäfler et al. (2014) describe the TNF flight planning process. WCBs (as well as other atmospheric features targeted by research flights) are of an inherently three-dimensional nature. However, ~~despite the 3-D nature of the atmosphere although the atmosphere is three-dimensional~~, the forecasting and flight-planning tools employed during TNF relied on two-dimensional (2-D) visualization methods. This is a common property not only of campaign tools (Flatøy et al., 2000; Blakeslee et al., 2007; He et al., 2010; Rautenhaus et al., 2012) but also of meteorological workstations in general (e.g. Heizenrieder and Haucke, 2009; Russell et al., 2010). 3-D visualization methods are not commonly used in forecasting. ~~While 3-D techniques have been used in research settings as early as in the 1980s~~ (e.g. Grotjahn and Chervin, 1984; Hibbard, 1986; Hibbard et al., 1989; Wilhelmson et al., 1990) ~~and continue to be used in recent visualization tools~~ (e.g. Hibbard, 2005; Norton and Clyne, 2012; Dyer and Amburn, 2010; Murray and McWhirter, 2007), only few reports on approaches using 3-D techniques ~~for forecasting~~ have been published in the past two decades ~~–~~ (Treinish and Rothfusz, 1997; Koppert et al., 1998; McCaslin et al., 2000). ~~Part 1, Sect. 2, provides further details on the listed references and on 3-D visualization in meteorology.~~

Similarly, ~~ensemble predictions while the use of ensemble predictions has been reported for recent field campaigns~~ (e.g. Wulfmeyer et al., 2008; Elsberry and Harr, 2008; Ducrocq et al., 2014; Vaughan et al., 2015), ~~they~~ have, to the best of our knowledge, not been used ~~extensively during aircraft-based campaigns to create specific 3-D forecast products for flight planning~~. However, in particular the possibility to use ensembles to compute 3-D probability fields of the occurrence of features or events is valuable for flight planning. For the WCB case, a probability of WCB occurrence can be used to plan flight routes in regions in which the probability to encounter a WCB is at a maximum.

The work presented in this article is motivated by the questions of (1) how interactive 3-D visualization can be used to improve the exploration of 3-D features of interest to a flight campaign, and (2) how ensemble forecasts (in particular derived probabilities) can be used to improve research flight planning in the medium forecast range (that is, three to seven days before take-off). Our developments have been guided by a number of forecast questions that reflect the TNF requirements. They are repeated here from ~~R15P1-Part 1~~ for completeness:

- ~~FQ-A: how~~ A: How will the large scale weather situation develop over the next week, and will conditions occur that favour WCB formation?
- ~~FQ-B: how reliable~~ B: How uncertain are the weather predictions?

- ~~FQ-C: where~~ C: Where and when, in the medium forecast range and within the spatial range of the aircraft, is a WCB most likely to occur?
- ~~FQ-D: how reliable~~ D: How meaningful is the forecast of WCB occurrence?
- ~~FQ-E: where~~ E: Where will the WCB be located relative to cyclonic and dynamic features?

The technical basis for ~~(FQ-A) and (FQ-B)~~ questions A and B is laid in ~~R15P1-Part 1~~. This article addresses ~~(FQ-C) to (FQ-E)~~ questions C to E and presents a case study that demonstrates how the methods developed in both papers are applied to forecasting.

The paper is structured as follows. In Sect. 2, we propose a technique to compute 3-D probabilities of WCB occurrence. Our approach is put into relation to previous work in the field, and its integration into the Met.3D architecture is described. During TNF, we followed the approach of Wernli and Davies (1997) and used Lagrangian particle trajectories computed on the forecast wind field to objectively detect WCB airstreams. Using wind forecasts from the European Centre for Medium Range Weather Forecasts (ECMWF) Ensemble Prediction System (~~ENS~~)(ENS; comprising 50 perturbed forecast runs and an unperturbed control run; e.g. Buizza et al., 2006), trajectories were started from the atmospheric boundary layer (ABL) for each ensemble member. Those trajectories fulfilling a WCB criterion were gridded into 2-D grids and displayed as probability maps showing the occurrence of either or all of WCB inflow, ascent, and outflow. However, generalising this approach to three dimensions poses challenges, as discussed in Sect. 2. We present an adapted approach using domain-filling trajectories, which is more accurate, albeit computationally more expensive. In order to find the best method that is still computationally tractable in a forecast setting, both approaches are compared in Sect. 3. We analyse their sensitivity to the spatial-resolution-grid spacing of the forecast wind fields and to the number and locations of the trajectory seeding points.

To facilitate quantitative interpretation of the obtained probabilities, we further propose a visual analysis method for cases in which only low probabilities of the occurrence of WCBs are encountered (Sect. 4). In such cases a flight often might not be planned due to the interpreted high uncertainty. However, low probability can have two causes. Either indeed only a small percentage of the ensemble members predict a WCB feature, or large spatial variation of the features in the individual ensemble members causes only marginal overlap and thus low probabilities. In the latter case the probability that a WCB will occur is actually much larger than suggested by the visualized probabilities. However, there is a large uncertainty in where it will occur. To help the user distinguish between these causes, we propose a method that identifies the contribution of individual members to a probability region.



After the introduction of all methods that are required to explore a forecast to answer ~~questions (FQ-A) to (FQ-E)~~ forecast questions A to E, Sect. 5 revisits the TNF forecast case of 19 October 2012. The case study shows how the proposed 3-D ensemble visualization workflow is applied to campaign forecasting, and illustrates the use and added value of the presented methods.

The paper is concluded with a summary and discussion in Sect. 6.

## 2 Probability of warm conveyor belt occurrence

WCBs are Lagrangian airstreams in extratropical cyclones (e.g. Harrold, 1973; Carlson, 1980; Browning, 1990). They transport warm and moist air from the ABL in a cyclone's warm sector upward and poleward towards the tropopause. The inflow region in the lower troposphere typically extends over several hundred kilometres in diameter. WCB airmasses commonly ascend by about 500 to 600 hPa in 48 h, thereby covering horizontal distances of up to 2000 km (e.g. Wernli and Davies, 1997; Eckhardt et al., 2004). Due to the strong ascent, condensation leads to strong latent heat release and the formation of clouds and precipitation, making WCBs (e.g. Browning, 1986). Therefore, WCBs are highly relevant for precipitation extremes in the extratropics (e.g. Pfahl et al., 2014). Once the airmasses reach jet level, an outflow region forms near the tropopause. This region is characterised by cirrus clouds that extend over several thousand kilometres along the jet stream. Readers interested in further detail are referred to Madonna et al. (2014), who give a comprehensive introduction to the field.

To plan a flight that allows aircraft measurements within a WCB, we are interested in the spatial and temporal distribution of WCB features in the ensemble forecast. As a summary measure of the uncertainty information, the probability of WCB occurrence,  $p(\text{WCB})$ , is of particular interest. It provides for a given location in 3-D space at a given time the probability of encountering a WCB airmass. To compute  $p(\text{WCB})$  from an ensemble weather forecast, we first need to detect WCB features in the individual ensemble members.

### 2.1 WCB detection based on objectively selected Lagrangian particle trajectories

In early studies of, for instance, Harrold (1973), Carlson (1980) and Browning (1986), conveyor belt airstreams have been identified by manual inspection of satellite imagery or by isentropic analysis. In more recent studies, WCBs are frequently detected from numerical weather and climate simulation output by using Lagrangian particle trajectories. This approach allows for an objective identification of the WCB structures. Subsequent studies have used Lagrangian particle trajectories computed with wind fields from numerical model output to investigate case

studies of extratropical cyclones. For example, Whitaker et al. (1988) and Hibbard et al. (1989) show the existence of three distinct airstreams in a modelling case study of the 1979 “President’s Day storm” and relate the airstreams to the conceptual model by Carlson (1980). Further case studies, including Kuo et al. (1992), Schultz and Mass (1993), Mass and Schultz (1993), and Reed et al. (1994), also interpret computed trajectories in consideration of the Carlson (1980) model, however, note that they are able to identify rather a continuum of flow paths than discrete airstreams.

In more recent studies (see discussion below), Lagrangian particle trajectories are frequently used to objectively detect WCB structures in numerical model output. For our work, we are interested in the specific ways trajectories are used in the literature to detect WCBs. In particular, this includes the employed objective detection criteria and the spatial and temporal resolution spacing of the trajectories as well as the employed wind fields. In Sect. 2.1, we review literature on trajectory-based WCB detection. Our approach to derive 3-D fields of  $p(\text{WCB})$  from the detected features is described in Sect. 2.2, and the integration of the method into Met.3D is topic of Sect. 2.3.

### 2.2 Review of trajectory-based WCB detection

Wernli and Davies (1997) have introduced the usage of Lagrangian particle trajectories to analyse the dynamics of extratropical cyclones introduce objective criteria to extract what they call “coherent ensembles of trajectories” (CET, a bundle of trajectories started at different locations; not to be confused with the meaning of “ensemble” in “ensemble forecasts”) from a set of trajectories covering the entire domain of interest. They use wind fields from the ECMWF global atmospheric model, interpolated (from a spherical spectral truncation of T213) to a regular latitude/longitude grid of  $0.75^\circ \times 0.75^\circ$  with 31 levels in the vertical and 6h time resolution a six-hour time interval. Trajectories are started on every model grid point below 800 hPa (approx. 7 levels). A number of criteria are used to extract what they call “coherent ensembles of trajectories” (CET, a bundle of trajectories started at different locations; not to be confused with the meaning of “ensemble” in “ensemble forecasts”).

Wernli and Davies show that nearly identical CETs are obtained by selecting trajectories that experience either a moisture decrease of  $12 \text{ g kg}^{-1}$  in 48 h or an ascent of more than 620 hPa in 48 h. The approach allows to focus on the dynamically most relevant cores of an extratropical cyclone's airstreams. In a subsequent article, Wernli (1997) applies the suggested method to the case study of Browning and Roberts (1994) and relates the obtained CETs to the WCB model. Unlike the analysis of a continuum of airstreams in a cyclone this method selects the strongest ascending airmasses within the WCB.

Stohl (2001) and Eckhardt et al. (2004) compute climatologies of WCBs. Stohl (2001) seeds the trajectories on

a  $1^\circ \times 1^\circ$  grid in the horizontal and on two vertical levels at 500 and 1500 m a.s.l. (above sea level). He notes that the results of his climatology are sensitive to the WCB selection criterion, and settles for the – as he writes – “somewhat arbitrary” criterion of 8000 m in 48 h (the approximate time scale at which air flows through a single synoptic system). Similarly, Eckhardt et al. (2004) start trajectories on a  $1^\circ \times 1^\circ$  grid at 500 m a.s.l. They note that “any criterion used for an automatic classification of WCBs is necessarily subjective”. In their work, trajectories travelling more than  $10^\circ$  eastward and  $5^\circ$  northward and ascending more than 60 % of the average tropopause height within 48 h are classified as WCB trajectories.

A number of studies use the trajectory model LAGRANTO (Sprenger and Wernli, 2015), originally introduced by Wernli and Davies (1997). Spichtinger et al. (2005) analyse ice supersaturation in the vicinity of a WCB’s outflow region, Grams et al. (2011) present a case study of an extratropical transition. Schäfler et al. (2011) analyse aircraft measurements and Madonna et al. (2014) present a climatology of WCBs. All four studies settle for a criterion of an ascent of more than 600 hPa in 48 h to select WCB trajectories. In terms of seeding, Schäfler et al. (2011) start their trajectories on every model grid point between the surface and 850 hPa of the deterministic ECMWF T799L91 forecast (spectral truncation of T799, with 91 vertical levels), interpolated to a regular latitude/longitude grid of  $0.25^\circ \times 0.25^\circ$ , and using the approximately 17 lowest levels. Madonna et al. (2014) seed their trajectories at 80 km distance in the horizontal and at 20 hPa vertical distance on levels between 1050 and 790 hPa. Their wind field is available at  $1^\circ \times 1^\circ$  resolution grid spacing.

During TNF (Schäfler et al., 2014), LAGRANTO has been used with wind fields from the ECMWF ensemble forecast covering the North Atlantic and Europe. To keep the computational demand tractable for the operational forecast setting, the available ENS spherical resolution of T639L62 spectral resolution of T639 was interpolated to  $1^\circ \times 1^\circ$  in latitude and longitude, with, in the vertical, all available 62 levels in the vertical were used. A six-hour time step was used. Trajectories were started for each member at  $1^\circ$  horizontal spacing at five levels constant in pressure between 1000 and 800 hPa. The selection criterion was set to an ascent of 500 hPa in 48 h.

In summary, the reviewed studies have all restricted trajectory seeding to lower atmospheric levels. The horizontal distance between start points mostly corresponds to the resolution grid spacing of the driving wind fields. While the exact selection criterion for WCB trajectories varies, all studies use a criterion that filters trajectories according to a given ascent in a two day period.

## 2.2 Computation of $p(\text{WCB})$

We follow the approach of Wernli and Davies (1997) and detect WCB features by selecting Lagrangian particle trajectories according to a given ascent  $\Delta p$  in a given time period  $\Delta t$ . Trajectories are computed with LAGRANTO. We use the same ECMWF ENS wind fields as in R15P1; that is, horizontally interpolated from the available spherical described in detail in Part 1, Sect. 4.1. From the available spectral truncation of T639, the wind forecasts are horizontally interpolated by the ECMWF Meteorological Archive and Retrieval System (MARS) to a regular latitude–longitude–latitude/longitude grid of  $1^\circ \times 1^\circ$  (the same data used during TNF) and (additionally)  $0.25^\circ \times 0.25^\circ$ . Vertically, all in the vertical, the ECMWF model uses hybrid sigma–pressure coordinates (Untch and Hortal, 2004, also cf. Fig. 9 in Part 1), of which all available 62 terrain-following model levels are used. The data are described in more detail in Sect. 4.1 of R15P1.

Once trajectories have been computed and selected, a gridded field of  $p(\text{WCB})$  can be derived by relating each ensemble member’s trajectories to a binary grid, and by computing for each grid point the relative number of members that predict a WCB feature at that grid point. In a more formal way, the method to compute  $p(\text{WCB})$  at time  $t$  can be summarised as follows:

1. For every ensemble member  $m$  and every available forecast time step  $t_0 \in (t - 48\text{h} \dots t)$ , integrate 3-D Lagrangian particle trajectories, started at a fixed set of seeding points, from  $t_0$  forward in time for  $\Delta t = 48$  h.
2. Select those trajectories that fulfil a specified WCB criterion (e.g. an ascent of  $\Delta p = 600$  hPa in  $\Delta t = 48$  h).
3. For each member  $m$ , create a 3-D binary grid  $B^m$  that for every grid point with indices  $k, j, i$ ,  $B^m_{kji}$ , contains a set bit ( $B^m_{kji} = 1$ ) if the grid point is located “inside” a WCB airmass at time  $t$ , where “inside” needs to be determined from the trajectory positions at  $t$ .
4. For each grid point compute the probability of WCB occurrence by counting the number of members with a set bit for the point:  $p(\text{WCB})_{kji} = 1/M \sum_m B^m_{kji}$ , where  $M$  denotes the number of ensemble members.

For trajectories seeded approximately in the atmospheric boundary layer, we call this method an *ABL-T method*. Note that the grid topology of  $B$  needs to be identical for each member in order to avoid errors due to variations in grid point positions, as is the case for probabilities derived from ECMWF NWP output (cf. Sect. 5 in R15P1 Part 1).

The method poses several challenges. With respect to step (1.), trajectory seeding needs to be sufficiently dense to spatially sample the WCB features. The literature reviewed in Sect. 2.1 indicates that grid spacings of  $1^\circ$  or less should

be sufficient. For step (2.), the WCB criterion must be carefully chosen, as the ascent that a trajectory experiences may depend on factors including seasonal variability or the horizontal and vertical ~~resolution-grid spacing~~ of the employed wind forecasts. Also, interactivity must be considered to enable a user to change  $\Delta p$  and  $\Delta t$  during forecasting to judge the sensitivity of  $p(\text{WCB})$  on these parameters. Third, we need to find a suitable gridding strategy that determines in step (3.) whether a grid point is located inside a WCB air-mass. The simplest approach is to extract, for each member, the particle positions of all WCB trajectories at time  $t$ , and to compute for each particle the grid cell  $B_{kji}^m$  in which it is contained.

During TNF, this simple approach was applied in 2-D to compute  $p(\text{WCB})$  for total grid columns, as well as for three vertical intervals to distinguish inflow, ascent, and outflow. In the horizontal, a regular grid with a ~~resolution-spacing~~ of  $1^\circ \times 1^\circ$  in latitude and longitude was used. However, with this approach no physical assumptions are made about the air volume represented by each particle. The result is sensitive to both trajectory seeding points and grid topology of  $B$ , and the positions of the WCB particles are only captured with an accuracy on the order of the grid ~~resolution-spacing~~ of  $B$ . Also, due to the changing area of the grid cells with latitude, the result is biased towards lower probabilities close to the poles. Examples of the resulting total column  $p(\text{WCB})$  field are shown in Fig. 1 and can also be found in Schäfler et al. (2014, their Fig. 3). Due to the described issues, the results should only be interpreted in a qualitative manner.

In 3-D, more complexity is added as the vertical extent of the grid cells also has to be taken into account. To eliminate bias and sensitivity, one possibility is to assume an air parcel mass and geometry for the trajectory particles, as illustrated in Fig. 2a. In the example, the particle is associated with a spherical air parcel. Given the required thermodynamic variables at the particle position at start time  $t_0$  and gridding time  $t$ , the volume and thus radius of the parcel at  $t$  can be computed and the overlapping grid points found. However, due to the large difference in vertical and horizontal scale of our grids (on the order of 100 km in the horizontal and 100 m in the vertical), the usage of spherical geometry requires the computation of a very large number of trajectories. Yet, geometry that reflects the different scales (for example ellipses, cylinders or simple rectangular boxes) is difficult to motivate physically. Also, usage of large air parcels neglects potential deformation of the parcels by the wind field.

An approach not requiring any such assumptions is to use ~~domain-filling trajectories~~ (in the following referred to as *DF-T method*). Here, we first specify the grid topology for  $B$ . Next, as illustrated in Figs. 2b, c and 3, for every member and each grid point  $B_{kji}^m$ , a trajectory starting on  $B_{kji}^m$  is computed. This way, we can be certain that each  $B_{kji}^m$  is placed exactly on a trajectory and no assumptions about the shape of the particle volume need to be made. After apply-

ing a WCB selection criterion to the trajectories, the bits of the grid points from which WCB trajectories were started are set. However, the approach requires increased computational resources. Seeding points are now required on all tropospheric layers and hence a larger number of trajectories is required. Also, trajectories additionally have to be computed backward in time to also capture those situations in which a WCB trajectory passes its seeding point in the ascent or outflow phase. Step (1.) in the method description above is hence extended to also integrate the trajectories backward in time for  $\Delta t$  hours from time  $t$ .

As an example, Fig. 3 shows results of selecting domain-filling trajectories that ascend more than 500 hPa in 48 h (Fig. 3a–c) and more than 600 hPa in 48 h (Fig. 3d–f). Note how the 30% isosurface of  $p(\text{WCB})$  over the English Channel almost vanishes with 600 hPa filtering (Fig. 3f).

In Sect. 3, we compare four DF-T and ABL-T setups with varying grid topology with respect to obtained  $p(\text{WCB})$  and to computational demand. The comparison allows to find a setup well suited for usage in campaign forecasting.

### 2.3 Implementation

Trajectories computed with LAGRANTO are stored in NetCDF files. Trajectory selection and the computation of  $p(\text{WCB})$  take place in Met.3D and have been implemented in a number of modules in the Met.3D data processing pipeline (described in Part 1, Sect. 4.2 of R15P1). Analogous to Fig. 10 in Part 1, Figure 4 shows an example setup. Separate pipeline modules are responsible for reading trajectory data from disk, filtering the data according to the selection criterion, gridding and probability computation. This architecture allows modules to be exchanged when, for example, data from a different trajectory model should be read or a different selection criterion should be applied.

Hardware permitting, parts of the pipeline (for example, trajectory selection) can be executed in parallel. Intermediate results in the pipeline are cached by a memory manager (R15P1). This increases. Both parallel execution and caching increase the interactivity of the system with respect to changing the selection parameters  $\Delta p$  and  $\Delta t$ . As an example, Fig. 3 shows results of selecting domain-filling trajectories that ascend more than 500 in 48h (a–c) and more than 600 in 48h (d–f). Note how the 30% isosurface of  $p(\text{WCB})$  over the English Channel almost vanishes with 600 filtering (Fig. 3f). For further details on the Met.3D pipeline architecture, we refer the reader to Part 1, Sect. 4.2.

To select trajectories according to the ascent criterion, the maximum pressure change occurring within a trajectory over the time interval  $\Delta t$  is required. For the grid ~~resolution spacings~~ used here, the data volume of the trajectories of all members amounts to multiple GB per timestep if stored in binary NetCDF format (approximately 2.4 GB for  $1^\circ$  horizontal ~~resolution with 62 levels in the vertical grid spacing if a vertical region of interest of 52 levels is selected~~, and ap-

proximately 38 GB if the horizontal ~~resolution is increased~~ grid spacing is decreased to  $0.25^\circ$ ). Reading the data from disk and performing the selection can hence be slow. We thus make use of the fact that the only information required to compute the probabilities is whether the trajectory started from a grid point fulfils the selection criterion. The data volume that needs to be loaded can be largely reduced by pre-computing the maximum pressure change  $\Delta p$  for a range of time intervals  $\Delta t$ . Now, for a given  $\Delta t$ , only the maximum  $\Delta p$  for each trajectory (= grid point) needs to be read. The selection process is reduced to comparing each trajectory's  $\Delta p$  to the given threshold value. This way, we are able to provide an interactively adjustable selection criterion to the user.

### 3 Choice of $p(\text{WCB})$ method and grid resolution spacing for forecasting

To use a  $p(\text{WCB})$  method for forecasting during a campaign, a number of criteria need to be fulfilled:

- The trajectories need to be computed in a short period of time (for our application this is preferably less than one hour), so that results are available soon after the forecast wind fields become available from ECMWF ~~(with “available” we mean that the retrieval of the forecast data from MARS, yielding the interpolated wind fields described in Part 1, Sect. 4.1, has finished).~~
- the amount of trajectory data needs to be small enough to be handled interactively in Met.3D,
- the ~~resolution-grid spacing~~ needs to be fine enough to capture the important features that are present in a “best possible” forecast.

#### 3.1 Evaluated setups

We evaluate four different setups with respect to the given criteria:

S1. As the “best possible”  $p(\text{WCB})$  forecast, we use a DF-T setup with trajectories computed on the ECMWF ENS wind fields at the highest available ~~resolution-grid spacing~~ (T639L62 spectral resolution, horizontally interpolated by MARS to a regular grid of  $0.25^\circ \times 0.25^\circ$  in latitude and longitude, with 62 ~~terrain-following hybrid sigma-pressure~~ levels in the vertical). Care must be taken with respect to the choice of the  $B^m$  and  $p(\text{WCB})$  grids. A straightforward choice is to use the ECMWF grid on which the wind fields are available. However, the vertical position of the grid points on ~~ECMWF model levels all but the uppermost hybrid sigma-pressure levels~~ depends on the surface pressure field (Untch and Hortal, 2004, also cf. Part 1, Sect. 4.1), which varies between ensemble members and time

steps. Hence, if for a given time step the individual members' wind grids are used for the  $B^m$ , the problem described in ~~R1SP1~~ Part 1, Sect. 5, arises: the grid points are located at different vertical positions across the ensemble, and hence an error is introduced when computing the probability. To avoid this problem while staying as close as possible to the ECMWF grid, we use the grid defined by the time step's ensemble minimum surface pressure for the  $B^m$  of all members. The minimum surface pressure is chosen to ensure that all grid points are located above the surface (if the mean surface pressure is used, grid points in the lowest levels can be located below the surface in some members). We focus on a vertical region of interest of up to approximately 100 hPa and for the  $B^m$  and  $p(\text{WCB})$  grids discard the model levels above this elevation (retaining the lower 52 levels).

- The same setup as (S1.), but with horizontal wind field,  $B$ , and  $p(\text{WCB})$  ~~resolution-grid spacing~~ reduced to  $1^\circ \times 1^\circ$ . In the vertical all 62 As in (S1.), the lower 52 vertical levels are used for  $B$  and  $p(\text{WCB})$ .
- The same setup as (S2.), but with  $B$  and  $p(\text{WCB})$  grids defined by a constant surface pressure of 1000 hPa, not by the ensemble minimum surface pressure. The ~~advantage~~ wind forecast data remain as in (S2.). The advantage of this setup is that the  $p(\text{WCB})$  grid can be interpreted as a structured pressure level grid and thus be visualized much more efficiently (~~R1SP1~~ Part 1, Sect. 4.3). This way, the interactivity in Met.3D can be improved. The drawback, however, is that some of the lower-level grid points are now located below the surface and become invalid. This reduces the vertical ~~resolution-grid spacing~~ in the lower troposphere above mountainous terrain.
- An ABL-T setup using a grid  $B$  that is regular in the horizontal with a ~~resolution-grid spacing~~ of  $1^\circ \times 1^\circ$  as in (S2.) and (S3.). In the vertical, the grid is regular in pressure with a grid spacing of 10 hPa. This spacing is on the order of the average spacing of the model level grids used in (S2.) and (S3.), and results in a comparable number of vertical levels in the region of interest (90 levels between 1000 and 100 hPa). Usage of a regular pressure level grid can be motivated physically: from hydrostatic balance (e.g. Wallace and Hobbs, 2006, Sect. 3.2), we know that for a column of air with constant mass  $m$  the difference in pressure  $\delta p$  between top and bottom boundary of the column stays constant with height:  $-\delta p = g\rho\delta z = mgA$ , where  $g$  is the acceleration due to gravity (assumed to be constant),  $\rho$  the density of the air,  $\delta z$  the geometric height of the column and  $A$  the cross-sectional area of the column. We start the trajectories on those grid points of  $B$  that are located below 700 hPa and classify a grid point as

belonging to a WCB if a particle is positioned in the corresponding grid cell. This way, while we implicitly assume a particle geometry that is rectangular in longitude, latitude and pressure, the mass represented by the particle remains constant when rising at constant latitude. The artefact of decreasing grid cell area  $A$  towards the poles remains, though. For trajectory integration, the same forecast data as in (S2.) and (S3.) are used.

For all trajectory computations, LAGRANTO is driven with ECMWF ENS forecast data at six-hour timesteps. The model internally uses a 30 min timestep for the integration, trajectory positions are output at six-hour intervals.

### 3.2 Setup comparison

In terms of computational resources, setup (S1.) is the most demanding configuration. On our test system (six-core Intel Xeon running at 2.67 GHz; 24 GB RAM; 512 GB solid state drive), the computation of the trajectories of a single timestep takes about 50 CPU minutes per member. The data output for a timestep of all members, stored in binary NetCDF format, amounts to approximately 38 GB. While such simulations are feasible for research settings, they are not suited for forecasting. For setups (S2.) and (S3.), the number of trajectories decrease by a factor of 16. The time required to compute the trajectories reduces to about three CPU minutes per timestep and member, about 2.4 GB of trajectory data are produced per timestep for the entire ensemble. With the current ENS size of 50–51 members, this setting is feasible for forecasting if a small compute cluster is available. For setup (S4.), the time further reduces to about one CPU minute and data volume reduces to approximately one GB.

In [Figs. 5](#), ~~the three DF-T~~ and [6](#), the four setups are compared by means of four typical visualizations of the Met.3D workflow: (a) the volume rendering of  $p(\text{WCB})$  isosurfaces already used in [Fig. 3c](#), (b) a volume rendering of WCB features in ~~the the control forecast forecast member 12~~ (as captured by the binary grid  $B^{12}$ ), (c) a horizontal section at 410 hPa through the ascent region associated with precipitation, and (d) a horizontal section through the inflow region at 950 hPa. The TNF forecast case of 19 October 2012 that already served for the examples in [R15P1 Part 1](#) is used. The main features (cf. [Fig. 1](#) in [R15P1 Part 1](#): inflow over the Mediterranean Sea, ascent over the English Channel and Southern England, outflow over Scandinavia and Russia, as well as a strong ascent associated with former Hurricane Rafael over the North Atlantic) are well represented by all setups. However, in the regions of maximum  $p(\text{WCB})$ , setups (S2.) and (S3.) predict probabilities that are decreased by about 10 % compared to the “reference” setup (S1.). This is visible in the smaller extent of the 30 % isosurface in [Fig. 5a](#) as well as in the horizontal sections ([Fig. 56c](#), d). Also, single member WCB structures are more solid in setup (S1.), as illustrated in the 3-D view of the binary vol-

ume of member 12 ([Fig. 5b](#)). The decrease is caused by the lower horizontal ~~resolution-grid spacing~~ of the driving wind fields, in which fewer trajectories experience strong ascent – potentially due to smoothed vertical velocities. Nevertheless, setups (S2.) and (S3.) capture the shape and location of the  $p(\text{WCB})$  features equally well as (S1.).

The differences between setups (S2.) and (S3.) are negligible. While virtually no differences can be found in the visualizations of the WCB ascent at 410 hPa ([Fig. 56c](#)), the differences become more pronounced in the lower atmospheric layers ([Fig. 56d](#)). This can be explained with the grid topology: at higher altitudes, the elevation of the model levels becomes increasingly independent of surface pressure (cf. [R15P1 Part 1](#), Sect. 4.1) and hence the difference in the  $p(\text{WCB})$  grids vanishes. However, even at low altitudes the observed differences in  $p(\text{WCB})$  remain within a few percent.

~~Figure 6 shows~~ [The bottom rows of Figs. 5 and 6 show](#) the results for the ABL-T setup (S4.). Despite the crude assumption with respect to air parcel geometry, the major  $p(\text{WCB})$  features are captured well. However, this setup tends to predict slightly higher probabilities compared to (S2.) and (S3.) in the atmospheric boundary layer, and slightly lower probabilities at higher altitudes.

Results for other time steps are similar (not shown). We conclude that from the presented candidates, setups (S3.) and (S4.) are best suited to be used in a forecast setting. While showing small differences with respect to the absolute predicted values, both capture the shape and locations of regions of elevated  $p(\text{WCB})$ . Also, both are feasible to compute in less than an hour and the results can, due to the structured vertical grid layout, be visualized more efficiently than the results computed by the setups based on ~~terrain-following hybrid sigma-pressure~~ vertical coordinates ([R15P1](#) cf. [Part 1, Table 2](#))<sup>1</sup>.

## 4 Probability region contribution

The methods introduced so far allow to visualize the computed  $p(\text{WCB})$  fields and to find regions in which the occurrence of a WCB is most likely. However, it remains an open question how the magnitudes of the displayed probabilities should be interpreted. A distinct property of the examples presented in Sect. 3 are relatively low probabilities. For instance, in [Fig. 3c](#) maximum values only reach about 30 %. As mentioned in the introduction, such low magnitudes can have two causes: either indeed only 30 % of all ensemble members

<sup>1</sup>To provide an order of magnitude of the rendering times: using the same hardware setup as in [R15P1 Part 1](#) Table 1 (Nvidia GeForce GTX 560Ti graphics card with 2 GB of video memory on a six-core Intel Xeon running at 2.67 GHz) and a sampling step size of 0.1, the ~~top row~~ isosurface ~~visualization-visualizations~~ in [Figs. 5 and 6 a](#) require on average 361 ms for setup (S2.) and 102 ms for (S3.).

680 predict the WCB event, or large spatial variation of the fea- 735  
 tures in the individual members causes only marginal overlap  
 and thus low probabilities. Also, noise in the individual  
 binary volumes can cause empty grid cells in the features and  
 decrease probability values. Interpreting the data correctly  
 685 and being able to distinguish between these causes is very 740  
 important for making decisions on potential flight routes.

The issue can be approached by looking at the individual  
 ensemble members, as illustrated in Fig. 7. While due  
 to limited print space Fig. 7 only shows a small selection  
 690 of members, we indeed find that much more than 30 % of the 745  
 members predict a WCB feature. However, it is difficult for  
 a human user to remember how many of the 51 members  
 showed a WCB feature. Visualizing the WCB features of all  
 members in a single view (Fig. 7f) results in massive clutter  
 695 and, thus, does not reveal insight. 750

We are interested in the following information: given a re-  
 gion bounded by a probability isosurface, how many individ-  
 ual ensemble members predict a WCB feature that overlaps  
 with this region and that, thus, contributes to the probability  
 700 value at any of the grid points inside the isosurface? To de- 755  
 termine this number of members, we propose a method that  
 applies region growing to identify the grid points inside the  
 isosurface, then uses the members' binary grids  $B^m$  to deter-  
 mine which members have contributed. To efficiently make  
 705 use of the  $B^m$ , we condense the binary grids into bitfields 760  
 that are stored together with the probability volume. For the  
 current example and for the 51 members of the ECMWF en-  
 semble, each grid point  $p(\text{WCB})_{kji}$  is augmented by a bit-  
 field stored in a 64-bit integer variable (one bit for each  
 710 member). The bitfields are generated during evaluation of the 765  
 probability criterion (in this case, step (3.) in Sect. 2).

Figure 8 illustrates the approach. In a hypothetical ensem-  
 ble of ten members, nine members predict a WCB feature  
 (coloured bars). However, the maximum probability value  
 715 that occurs is 30 % (red region). To determine the contribu- 770  
 tion to the region, the algorithm scans the volume for grid  
 points exceeding the 30 % value. Starting from the first iden-  
 tified point, a region growing algorithm determines all grid  
 points belonging to the red region. Combining the bitfields  
 720 of the identified points with a bitwise “or”-operation reveals 775  
 that in total, members 1, 2, 3, 4, 5, 6, 8 and 9, thus 80 % of  
 the ensemble, contribute to the region. We hence know that  
 much more than 30 % of all members predict a WCB. The  
 information is stored for each of the identified grid points in  
 725 a separate data field, the *contribution volume*. It needs to be  
 recomputed every time the probability isovalue changes. For  
 example, applying the algorithm to the white 10 % region in  
 Fig. 8 yields a contribution of 90 %. 780

The contribution volume can be used in visualizations of  
 730  $p(\text{WCB})$  to colour a probability isosurface according to the 785  
 number of members that contribute. Figure 9 shows the ap-  
 plication of the method to the WCB forecast from Fig. 3c,  
 setup (S3). Whenever an isosurface point is identified and  
 visualized (cf. [R15P1Part 1](#), Sect. 4.3, for the employed ray-

casting algorithm), the eight data points that enclose the iso-  
 surface position are sampled. Since the isosurface value is  
 interpolated from these eight points, at least the point with  
 the maximum probability value is located inside the isosur-  
 face, and the point with the lowest value is located outside the  
 isosurface (otherwise no crossing could be found between  
 the points). Thus, by sampling the contribution volume at  
 the grid point with the maximum value (and exploiting the  
 fact that all grid points of a contiguous structure in the con-  
 tribution volume carry the same value) the number (or per-  
 centage) of contributing members can be obtained. Indeed,  
 Fig. 9c shows that about 85 % of the example's ensemble  
 members contributed to the 30 % isosurface – an immediate  
 hint to the forecaster to have a closer look at the predicted  
 structure.

In addition, region growing can be applied to yield infor-  
 mation on how many disjoint WCB features contribute from  
 a particular member, and how the sizes of these features com-  
 pare to the size of the region bound by the probability isosur-  
 face. The diagram in Fig. 9d is displayed by Met.3D when  
 the user selects an isosurface with the mouse pointer. It shows  
 the sizes of the WCB features in the individual members in  
 a stacked box plot. The size of the probability isosurface is  
 displayed by the red line. Single features are divided into  
 solid bars, depicting the fraction of the feature that overlaps  
 with the probability isosurface, and a transparent bar, depict-  
 ing the full size of the feature. If more than one feature con-  
 tributes from a given member, each disjoint feature is shown  
 in a different colour. For the example in Fig. 9, this informa-  
 tion reveals further insight: first, most members contribute  
 exactly one contiguous feature; second, these features are for  
 the most part substantially larger than the isosurface region  
 (also compare the size of the probability isosurface to the  
 WCB features in Fig. 7). We infer that most members' fea-  
 tures indeed represent WCB events. A WCB is hence very  
 likely to occur.

Of course, the method can also be applied to probability  
 fields other than  $p(\text{WCB})$ ; similarly low probabilities can also  
 occur for features derived from other NWP fields.

## 5 Case study

At this point, all visualization and analysis methods are  
 available that are required to use Met.3D to answer the  
 forecast questions listed in the introduction. This section  
 demonstrates how Met.3D can be used in practice. The pre-  
 sented case study revisits the TNF forecast case for 19 Oc-  
 tober 2012, a case that has already been used in the pre-  
 vious sections and in [R15P1Part 1](#) and that is also dis-  
 cussed in Schäfler et al. (2014). We supplement the case  
 study with a video accompanying this paper, as it helps  
 convey the full value of Met.3D's interactive 3-D visual-  
 izations. The video contains this section's static figures, as  
 well as additional content, in animated form. [It is intended](#)

to be used side-by-side with the paper. Start times for the video are provided throughout the following text. To compute  $p(\text{WCB})$ , setup (S3.) from Sect. 3 is used.

Assume the forecast activities to take place on Monday, 15 October 2012. The ensemble and deterministic predictions initialised at 00:00 UTC on that day, as well as the preceding model runs, are available to the forecaster (in the following, we abbreviate forecasts initialisation and forecast valid times as “~~IT00Z/12Z, etc.~~” for 12:00 UTC 19 October 2012, and forecast initialisation (or base, or run) times as “IT00Z/12Z, etc.” for 00:00 UTC 15 October 2012). We are interested in areas that favour WCB development in Central Europe, being reachable with the DLR Deutsches Zentrum für Luft- und Raumfahrt (DLR) Falcon aircraft from the campaign base in Oberpfaffenhofen, Southern Germany. Due to requirements from air traffic authorities, potential flight routes need to be announced at least three days in advance of a flight. Hence, our aim is to explore the atmospheric situation in order to evaluate suitable flight conditions towards the end of the week.

## 5.1 Weather situation

Our first step is to study the large scale weather situation in the deterministic high-resolution forecast to analyse whether a promising synoptic situation will develop (FQ-Aforecast question A). The upper level flow is of particular interest. WCBs frequently occur on the leading edge (i.e. downstream) of troughs (where low pressure systems develop), and WCB outflow is often associated with jet streaks. We start with a Met.3D configuration featuring three views: a horizontal section of wind speed and geopotential height (initially placed at jet stream level at 250 hPa), 3-D isosurfaces of wind speed, and 3-D isosurfaces of cloud cover. We explore the time period from Wednesday, 17 October, to Sunday, 21 October. Figure 10 shows screenshots of the individual views at three selected timesteps. To capture the 3-D spatial structure of the jet, the isosurfaces of wind speed are visualized at 30 and 50  $\text{ms}^{-1}$ . Cloud cover is visualized by isosurfaces at 0.2 and 0.7, the latter coloured by elevation. Both 3-D views contain contour lines at surface level showing the mean sea level pressure. The video shows the Met.3D window with the full time animation.

A number of events of interest to our objectives can be observed: a distinct trough over the Atlantic moves eastward and narrows over time. At the same time, high pressure over Central and Eastern Europe intensifies. At upper levels, a pronounced jet stream extends from Spain over Southern England to Scandinavia, causing strong winds over Western Europe blowing from a southerly direction. On the leading edge of the trough, upper level cirrus clouds are embedded in the jet, whereas upstream, i.e. on the rear side of the trough, only scattered low level clouds are present. Further upstream (south of Greenland in Fig. 10), the large-scale flow and cloud field are perturbed by the extratropical transition of

former Hurricane Rafael (cf. Fig. 1 in R15P1Part 1; cloud field visible in the video). It approaches from the south and transforms into an extratropical cyclone. The leading edge of the trough, covering France and Southern England, would be well reachable with the Falcon.

Before we explore further forecast data, we obtain information about the reliability-uncertainty of the forecast (FQ-Bforecast question B). First, we check the consistency of the deterministic forecast by comparing the currently used forecast (IT00Z/00Z-15) to the two previous runs from IT14IT12Z/12Z and IT1414 and IT00Z/00Z-14. The video (at 00:36 min) shows how the forecast runs are toggled for the forecast valid on VT19 at 18Z. While the IT15/00Z and IT1419. While the IT00Z/12Z-15 and IT12Z/14 runs show a fairly consistent situation, the trough is much broader in the IT14IT00Z/00Z-14 forecast. Also, the strong jet on its leading edge has a different shape and is located further east and further north. For specifying a flight route, this spatial uncertainty is an important factor.

To get a more comprehensive picture, we explore the ensemble forecast of IT15IT00Z/00Z-15 for occurrence, location and intensity of trough and jet in the individual members. Figure 11 shows selected ensemble members and the ensemble mean of the jet stream visualization for the forecast valid on VT19 at 18Z/19 (the animation over the members is contained in the video at 01:14 min). The jet over Europe is present in all members with similar intensity. However, we observe variation in shape and location that is in part stronger than the difference between the IT14IT00Z/00Z and IT1514 and IT00Z/00Z-15 deterministic forecasts. Nevertheless, the majority of the members predict a comparable jet structure over Europe. This also becomes apparent in the ensemble mean, which despite averaging features a jet core of over 50  $\text{ms}^{-1}$ . In contrast, the variation observed in the jet structure further upstream over the central North Atlantic is larger, indicating that the predicted evolution of the extratropical transition of Hurricane Rafael is very uncertain. Here the 50  $\text{ms}^{-1}$  signal is smoothed out in the mean.

In summary, we conclude that at least parts of the region approximately covering France, Southern England and the Benelux will be located on the downstream side of the trough.

## 5.2 Warm conveyor belt occurrence

Next, we examine the  $p(\text{WCB})$  data to determine whether a suitable WCB event is likely to occur in our region of interest (FQ-Cforecast question C). Figure 12 shows selected timesteps from the IT15IT00Z/00Z-15 forecast; the corresponding animation is shown in the video at 01:55 min. We choose an initial selection criterion of  $\Delta p = 500 \text{ hPa}$  in  $\Delta t = 48 \text{ h}$  and visualize the predicted fields with a 3-D isosurface of a low probability (10%) and the. To track the temporal evolution of the  $p(\text{WCB})$  field inside the isosurface

(in particular the evolution of the maxima), the 3-D normal curves proposed in R15P1<sup>2</sup>-Part 1, Sect. 3.4, are used<sup>2</sup>.

Indeed, we find that on both 18 October and 19 October, 935 WCB airmasses are likely to ascend on the leading edge of the trough over France and Southern England. These airmasses are potentially of interest to a research flight. Since the normal curves reveal larger probabilities on 19 October, we focus on this day. On-VT19/At 12Z/19 (Fig. 12b) 940 and VT19/18Z/19 (Fig. 12c), the ascent signal is most apparent in the prediction. On-VT19/At 00Z and VT19/19 and 06Z/19 (Fig. 12a), the airmass is still close to the surface and too far south to be reached by a single Falcon flight. On-VT20/At 00Z/20 (Fig. 12d) and VT20/06Z/20, the airmass 945 has reached upper levels and WCB activity is dominated by outflow. For the campaign objectives, the time around VT19/12Z and VT19/19 and 18Z/19 is most interesting to us: the air is ascending and hence meteorologically active (precipitation is associated with the ascending phase of a WCB), 950 and it is located in an area that can be well reached by the Falcon. The 3-D visualization allows to judge the vertical extent, shape, and elevation of the region of high probability. The normal curves, coloured by probability, reveal that the maximum values of  $p(\text{WCB})$  on 19 October are on the 955 order of 20 to 30 %. By moving the camera and using vertical poles, we see that the region enclosed by the 10 % isosurface is tilted westwards (left column of Fig. 12, video at 02:29 min). On-VT19/At 18Z/19, the maximum is located at around 400 hPa. 960

Due to the low magnitudes of  $p(\text{WCB})$ , we next intend to clarify (a) whether indeed only a few ensemble members predict the WCB, and (b) how the predicted probability changes with a changing selection criterion (FQ-D forecast question D). Figure 13a shows a screenshot of Met.3D with the region 925 contribution analysis (Sect. 4) applied to the VT19 forecast valid at 18Z/18Z-forecast-19 (video at 02:42 min). A 20 % isosurface is used to capture the regions of maximum predicted  $p(\text{WCB})$ . Indeed, for both VT19/12Z/19 (not shown) and VT19/18Z/19 the analysis confirms that over 85 % of the 930 ensemble members have contributed to the 20 % probability region over the English Channel. The difference between 20 and 85 % indicates large spatial variation in the ensemble. 970

<sup>2</sup>Normal curves are well suited in this case to obtain an overview of the situation, as the magnitudes of maximum  $p(\text{WCB})$  values and their variation between timesteps are not known beforehand (hence it is difficult to choose a suitable value for an inner opaque isosurface as done for the jet visualization; see R15P1, Sect. 3.4). Normal curves converge at local extrema and hence at a glance highlight maxima, regardless of their magnitude. 975

<sup>2</sup>Normal curves are well suited in this case to obtain an overview of the situation, as the magnitudes of maximum  $p(\text{WCB})$  values and their variation between timesteps are not known beforehand (hence it is difficult to choose a suitable value for an inner opaque isosurface as done for the jet visualization; see Part 1, Sect. 3.4). Normal curves converge at local extrema and hence at a glance highlight maxima, regardless of their magnitude. 980

Also, the histogram (on the right side of Fig. 13a) shows that the majority of individual WCB features that overlap with the 20 % isosurface cover a larger volume than the resulting probability region itself. This implies that the regions that experience ascent in the individual members are larger than the region enclosed by the isosurface. To validate these findings, we animate over the individual members (Fig. 13b, c, and d; video at 03:16 min). Indeed, almost all members predict a WCB feature on the leading edge of the trough. However, as expected, the variability in shape and location of the predicted features is very large. In addition to members in which the WCB air ascends on-VT19/at 18Z/19, members in which the air is already in the outflow stage (elongated features at jet stream level) or still in the inflow stage (close to the surface) are equally present. This indicates additional temporal uncertainty. Hence, while there seems to be a good chance to sample WCB air on 19 October in the region covering Western France to Southern England, the location in space and time of the WCB ascent is still uncertain in the FT15/T00Z/00Z 15 forecast.

To judge the strength of the predicted ascent, we modify the trajectory selection criterion. Figure 14 (video at 03:38 min) shows how the predicted  $p(\text{WCB})$  changes with  $\Delta p$ . By decreasing  $\Delta p$  (Fig. 14a), we can confirm a high likelihood of ascending airmasses in the region of interest<sup>3</sup>; the probability increases with decreasing  $\Delta p$ . Increasing  $\Delta p$  (Fig. 14b) reduces the predicted probabilities. However, the location of the maximum remains at the same position. The region in which high probabilities for ascending airmasses are forecast is hence also the region in which the strongest updrafts occur.

### 5.3 WCB characteristics

The next goal is to characterise the predicted ascent with respect to related atmospheric processes (FQ-E forecast question E). We take a closer look at the WCB trajectories of the ensemble control run and visualize the trajectory particle positions at single timesteps. Animation over the timesteps of the trajectories computed forward and backward from VT19/18Z/19 reveals that the air that on-VT19/at 18Z/19 has ascended to the region over the Channel originates from the ABL over the Western Mediterranean Sea and Northwestern Africa around VT18/18Z/18 (Fig. 15a, video at 04:10 min). It is lifted over Spain in the early hours of 19 October and over the course of the day continues its ascent over Western France, the Channel and Southern England (Fig. 15b, c). By vertically shifting a horizontal section of geopotential height and equivalent potential temperature of the deterministic forecast on-VT18/at 18Z/18 (similar to the ensemble control but chosen here for its added detail), we discover a cyclone over the Northern British Isles, and

<sup>3</sup>Note that the normal curves are again advantageous for this interaction as they allow to visually track the location and magnitude of maximum probabilities despite the changing magnitudes.



a weaker surface low located on the west coast of France (Fig. 15d, video at 04:28 min). South of Spain, warm and moist air (high equivalent potential temperature) is advected northward. This airmass represents the WCB inflow region; it is subsequently lifted by the WCB. In contrast, on the rear side of the trough, colder and drier airmasses over the East Atlantic are transported southward to Spain. Over the following 24 h, the cyclone over the British Isles remains stationary, the weaker surface low moves towards Norway (Fig. 15e, f, video at 04:52 min). Animation over the ensemble members reveals that most other members predict similar ascents originating from the Western Mediterranean Sea and Northwestern Africa. Figure 16 reproduces the visualization of Fig. 15c for the members shown in Fig. 13b, c, and d. The trajectory particles that represent the WCB airmasses are lifted along similar paths. However, the temporal evolution of the WCBs differs in the members. On ~~VT19/At~~ 18Z/19, the airmasses are at different stages of their ascent.

Figure 17 shows vertical sections of potential vorticity (PV) and cloud cover of the ~~VT19~~ deterministic forecast valid at 18Z/18Z-deterministic-forecast-19 (animated in the video at 05:19 min). The dynamic tropopause, as indicated by the 2-PVU-surface, folds along the trough (Fig. 17a). On the rear side of the trough, dry stratospheric air is transported downward. On its leading edge, the tropopause is elevated where it transitions into the anticyclonic region over Central Europe. Between 700 and 500 hPa, increased values of PV indicate regions of diabatic PV production. They coincide with the cold front that can be identified from the strong gradient in equivalent potential temperature (dense contour lines below the clouds in Fig. 17b). The cold front tilts westward with height, matching the tilted structure of the  $p(\text{WCB})$  isosurface described in the previous section. Ahead (east) of the front, predicted cloud cover largely coincides with the location of the WCB. Overall, the situation resembles the classic conceptual WCB model (Browning, 1986). The WCB outflow predicted over the North Sea ~~on VT20/at~~ 00Z/20 is related to lower PV values aloft. This is consistent with predicted ice water and cloud cover in this region (not shown).

#### 5.4 Potential flight segments

Given the findings from the previous subsections, we interpret the  $p(\text{WCB})$  maximum as the most likely location for the predicted WCB event and draft potential flight segments. Figure 18 shows the corresponding Met.3D configuration. For ~~VT19/12Z and VT19/19 and~~ 18Z/19, we slide a horizontal section through the  $p(\text{WCB})$  volume to determine precise locations of the maxima (video at 05:42 min). On ~~VT19/At~~ 12Z/19, maximum probabilities are located above the Pyrenees at low levels, in the Bordeaux area between 700 and 600 hPa, and south of Brittany around 400 hPa. Six hours later, the maximum is most prominent above Southern England at altitudes around 400 hPa. A vertical section is used to explore potential flight segments. It allows to estimate at

which elevation a flight should take place, and, by moving the section, to quickly assess how spatially relocating the leg will impact the expected measurements. In the given case, the 2-D sections suggest flight legs ~~on VT19/at~~ 12Z/19 over France at elevations between 800 and 600 hPa (WCB ascent) and ~~on VT19/at~~ 18Z/19 over Southern England at elevations around 400 hPa (WCB outflow)<sup>4</sup>.

However, given the uncertainty in the temporal evolution of the WCB (previous section), we need to carefully monitor developments in subsequent forecast runs. Figure 19 (video at 07:38 min) shows the predictions for ~~VT19/18Z/19~~ for forecast runs subsequent to the ~~FT15IT00Z/00Z-15~~ run. Over the next two days, the ensemble predictions converge toward higher  $p(\text{WCB})$  over the English Channel and Southern England. The elevation of the predicted maximum in  $p(\text{WCB})$  remains approximately constant. Indeed, the research flights conducted during TNF showed that the targeted WCB occurred as predicted (Schäfler et al., 2014).

## 6 Conclusions

Motivated by the forecast requirements of the T-NAWDEX-Falcon 2012 campaign, we have demonstrated the feasibility of applying interactive 3-D ensemble visualization to forecasting warm conveyor belt situations during aircraft-based field campaigns. The article extends our work presented in ~~R15P1~~ Part 1, in which we have introduced the new open-source 3-D ensemble visualization tool Met.3D. In the present paper, we have proposed methods to compute and to visually analyse 3-D probabilities of WCB occurrence. The techniques have been integrated into Met.3D and are part of the released version 1.0 (see ~~R15P1~~ Part 1, Sect. 6, for information on code availability). A case study, revisiting a forecast case that occurred during T-NAWDEX-Falcon, has demonstrated how the methods introduced in the two papers can be used for practical forecasting.

Following the literature, our methods detect WCBs by means of Lagrangian particle trajectories. By computing trajectories for each member of the ECMWF ensemble forecast, a distribution of WCB features is obtained from which probabilities of occurrence can be derived. We have discussed different approaches to trajectory seeding and gridding, and have shown that probabilities derived from trajectories computed at a horizontal ~~resolution-grid spacing~~ of  $1^\circ$  in latitude and longitude capture the same WCB structures as trajectories computed at a higher ~~resolution-grid spacing~~ of  $0.25^\circ$ . A proposed visual analysis method supports the interpre-

<sup>4</sup>During TNF, we did not have the vertical  $p(\text{WCB})$  information available. We placed the flight along a horizontally pre-defined flight leg over France which appeared to fit well with the 2-D  $p(\text{WCB})$  product. We were only able to guess at which altitudes we should fly. In fact, from the 3-D  $p(\text{WCB})$  data we find that the flight should rather have been planned south of the pre-defined flight leg.

tation of the probability fields. The method facilitates fast visual estimation of the number of ensemble members that forecast a WCB feature in a region of interest bounded by a probability isosurface. In particular for situations in which the magnitude of observed probabilities is low, the method helps to distinguish the case in which only few members predict a WCB but at approximately the same location, from the case in which many members predict a WCB but the spatial variation is high. The method can be applied to probabilities of other features as well.

With Met.3D and the proposed WCB methods, we are now able to analyse ensemble prediction data in a way previously impossible. Three of us (M. Rautenhaus, C. M. Grams, A. Schäfler) have actively been involved in forecasting during aircraft-based field campaigns. With respect to the case study and our experience in research flight planning, we note a few conclusions from our work, reflecting the authors' opinions.

1. Combination of 2-D and 3-D visualization methods gives a more complete picture of the forecast atmosphere. 3-D elements can depict different aspects of the data than horizontal and vertical 2-D sections alone. For example, usage of isosurfaces and normal curves allows for very fast initial judgement of the predicted WCB situation. However, we would not want to abandon the familiar 2-D sections; for many tasks (obtaining quantitative information, visualizing multiple forecast parameters in the same plot, analysing the vertical structure of the atmosphere along a flight segment) they are superior to 3-D methods. If 3-D visualization is used, achieving good spatial perception is important, as we have discussed in [R15P1, Part 1](#).

Furthermore, while we think that 3-D visualization helps to understand the atmospheric situation in many cases, it does not work equally well for all forecast variables. For the isosurfaces of wind speed and WCB probability used in the case study, 3-D visualization is well suited. For variables that highly fluctuate in space (as is often the case for variables depending on moisture, such as relative humidity), isosurfaces are problematic. For these cases, additional methods that help the user focus on the regions and features of interest will need to be developed.

2. One of the primary advantages of Met.3D is the high pace at which a forecast can be explored. Interactivity, the possibility for the user to change a parameter that affects the visualization and to receive immediate visual feedback, is key to this property. It facilitates the very fast analysis of static scenes (moving the camera to explore spatial structure of a feature, moving a vertical axis), of dynamic processes (animation over time), of uncertainty (animation over the ensemble, comparison of different forecast base times), and of sensitivity

(changing a parameter that affects a displayed statistical quantity).

However, we find that while interactivity enables the user to quickly visualize a large amount of data, the user is also confronted with many more images than he would be if he were restricted to, for example, a limited number of horizontal sections. Here, as Trafton and Hoffman (2007) suggest, a virtual “sketchpad” that captures elements discovered by the forecaster and that allows him to represent his “mental model” of the atmosphere would be useful. The sketchpad could also be used to communicate the findings to colleagues, a common challenge during campaigns.

3. Our methodology to predict probabilities of WCB occurrence illustrates challenges of feature-based approaches to analyse ensemble data. Our region contribution approach helps to interpret the derived probabilities, however, further work will be useful. For example, we would like to automatically obtain information about how features in different members correspond to each other: do other members predict the same situation but shifted in space or in time? Such information would allow to identify different scenarios forecast by the ensemble, and uncertainty could be differentiated with respect to space and time. Detection and visualization of further 3-D cyclonic features would also be very useful. For a single member we could see, for example, at a glance which WCB transports which air mass along which route, driven by which cyclone and in relation to which front and jet stream. How to meaningfully visualize such features for an entire ensemble to depict their uncertainty is an open research question.
4. A drawback of the Met.3D visualization approach is that since it uses the complete ensemble dataset, interactive usage requires the forecast data to be available on the local hard drive. For field campaigns based at remote locations, this is not feasible. In these cases, web based approaches such as DLR's Mission Support System (Rautenhaus et al., 2012) might be the better choice. Alternatively, dedicated ensemble compression schemes might enable more efficient remote handling, or remote visualization solutions such as VirtualGL<sup>5</sup> could be used to locate data and visualization system at the same site while allowing users to explore the data remotely using a modest internet connection.

We will actively use and further evaluate our developments during upcoming field campaigns, including a future NAWDEX campaign scheduled for 2016. It will again target WCBs. We also intend to continue our work on trajectory-based ensemble analysis. For example, trajectories can be applied to detect further Lagrangian features as

<sup>5</sup><http://www.virtualgl.org>

well. Different selection criteria can, for instance, reveal air-masses that have undergone specific physical processes, or chemical processes, or that originate in specific geographic regions. Also, ensemble trajectories can be used to track, for instance, the dispersion of pollutants or volcanic ash. Modified versions of our proposed methods can be used to derive probabilities that reveal forecast uncertainty in regions in which a pollutant or volcanic ash concentrations exceed a critical threshold. In this respect, more complex selection algorithms and the visualization of combined probabilities of multiple features will be challenging.

Considering the ever increasing data volume generated by ensemble weather prediction systems, effective and intuitive visualization methods are and will be important to weather forecasting. The atmosphere is three-dimensional, and while we need to conduct user studies to formally prove the added value through 3-D visualization, in our opinion forecast analysis can be made much more intuitive by using interactive 3-D methods, thus decreasing the time a meteorologist needs to analyse a forecast dataset.

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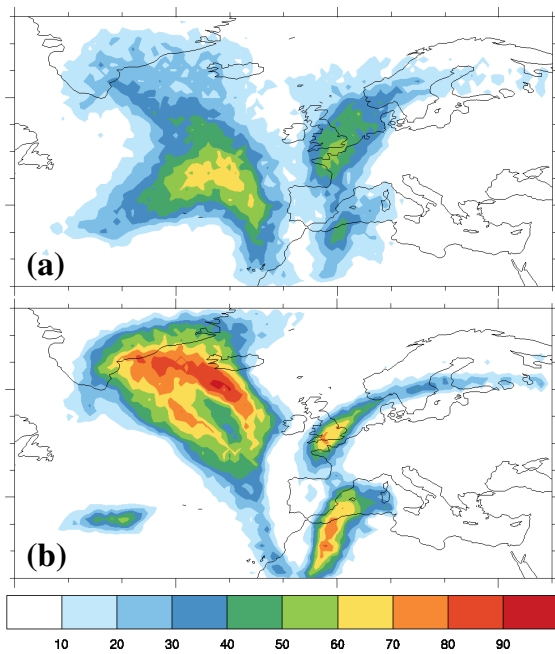
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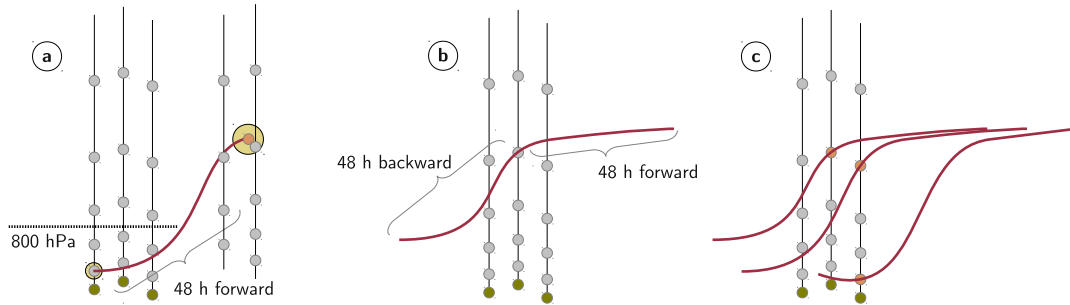
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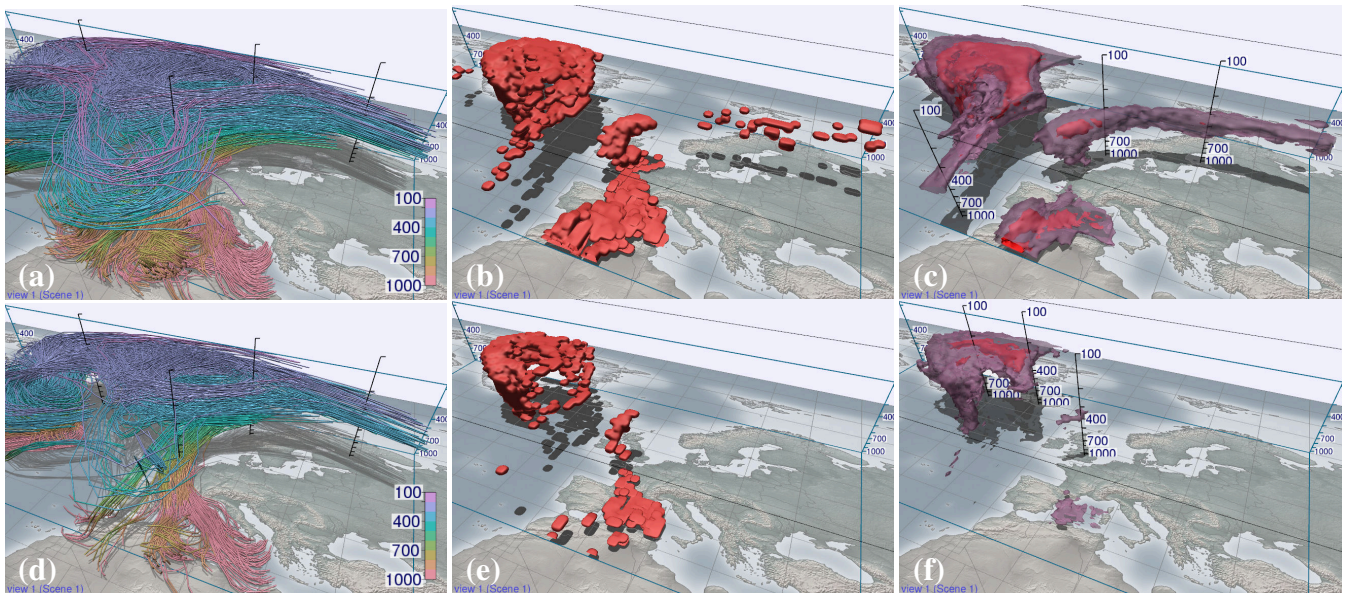
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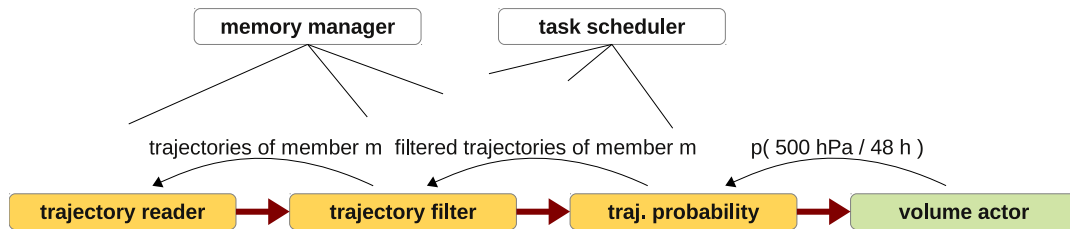
**Figure 1.** Total column probability of WCB occurrence (%), as available during TNF. Probabilities are computed from ABL-started trajectories filtered for an ascent of 500 hPa in 48 h. Forecasts from (a) 00:00 UTC on 15 October 2012 and from (b) 00:00 UTC on 17 October 2012, both valid at 18:00 UTC on 19 October 2012. Compare to Fig. 3 in Schäfler et al. (2014).



**Figure 2.** Methods to compute  $p(\text{WCB})$ . (a) ABL-T method using trajectories started in the atmospheric boundary layer and integrated 48 h forward in time. To get 3-D gridded information on WCB location, an air parcel volume needs to be assumed for each particle so that grid points overlapping with the volume can be determined. (b) DF-T method using domain-filling trajectories started from every grid point of the  $p(\text{WCB})$  grid and integrated both 48 h forward and backward in time. No volume has to be assumed as the selected WCB trajectories are located exactly on grid points (c).

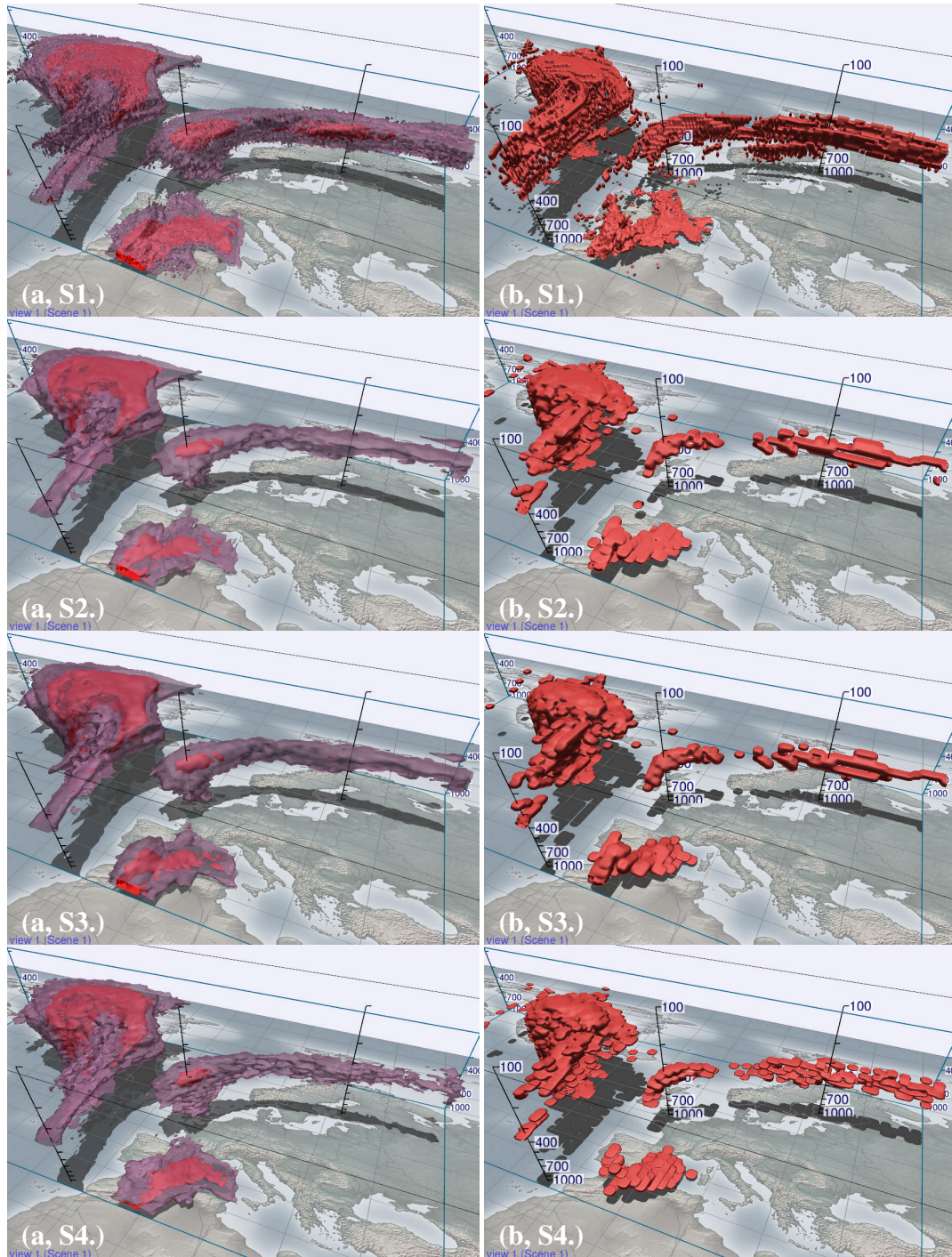


**Figure 3.** Derivation of  $p(\text{WCB})$  with DF-T setup (S3). (a, d) Trajectories of the control forecast started at 18:00 UTC on 19 October 2012 (forecast of 17 October, computed with wind fields from the ensemble control forecast from 00:00 UTC 17 October 2012), integrated forward and backward in time for 48 h each. Trajectories are filtered selected according to an ascent of 500 (a–c) and 600 (d–f) hPa in 48 h. Colour encodes altitude (hPa). (b, e) Gridded Volume rendering of the binary grid  $B$ , representing the start positions of the selected trajectories. (c, f) Probability of WCB occurrence derived from all 51 members of the ensemble. The red opaque isosurface shows 30% probability, the white purple transparent isosurface 10% probability. Vertical axes are labelled with pressure altitude (hPa).

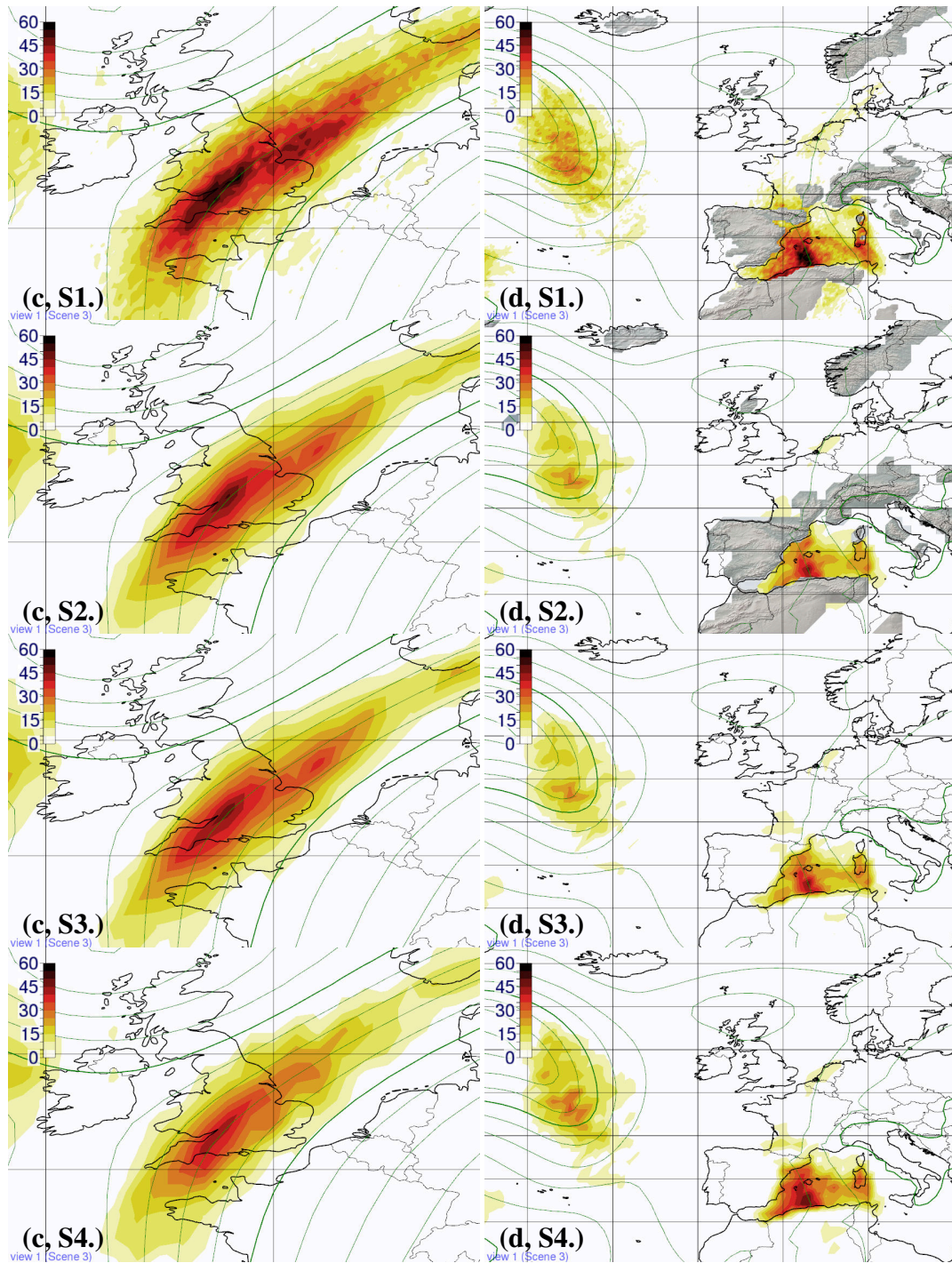


**Figure 4.** Sample Met.3D data processing pipeline to visualize WCB probability  $p(\text{WCB})$ , depicted analogous to Fig. 10 in Part 1. Different pipeline modules (yellow) are responsible for reading and selecting trajectories, and for computing the  $p(\text{WCB})$  field. In the example, a volume actor (green; for details see Part 1, Sect. 3) visualizes the resulting  $p(\text{WCB})$  data. A request for the probability of occurrence of trajectories, emitted by the volume actor, triggers further requests up the pipeline. Intermediate results are cached by the memory manager, connected to each pipeline module (indicated by the black lines). Compare Pipeline execution can be parallel and is controlled by a task scheduler, also connected to all pipeline modules (for details see Part 1, Sect. 10 in R15P14.2).

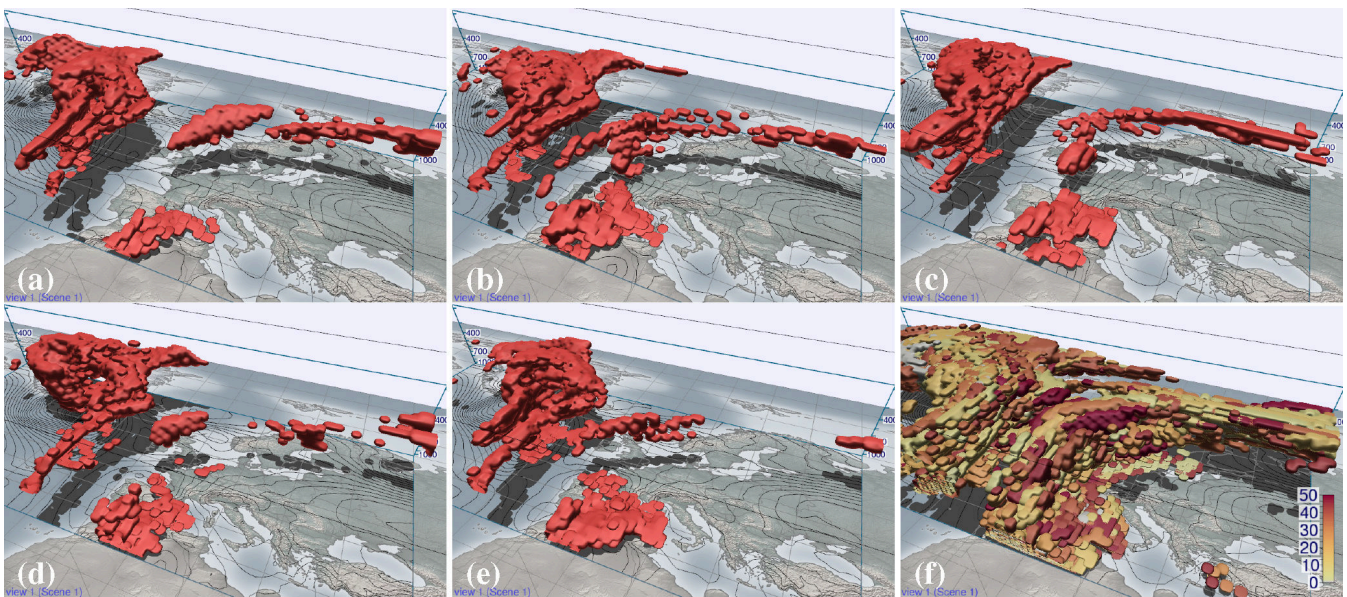




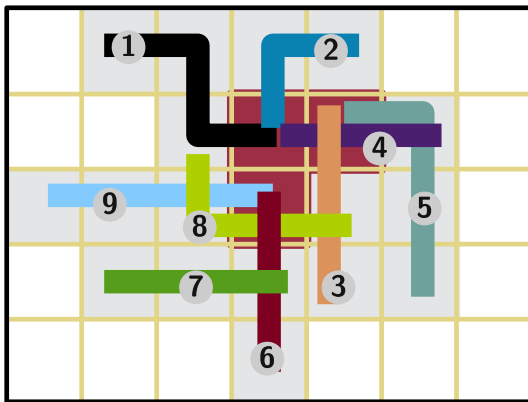
**Figure 5.** Comparison of DF-T setups to compute  $p(\text{WCB})$ . Same forecast as in Fig. 3. The selection criterion is set to 500 hPa in 48 h. **(a)** Volume rendering of  $p(\text{WCB})$  (S1: red opaque isosurface shows 30% probability, purple transparent isosurface 10% probability)  $0.25^\circ \times 0.25^\circ$ . **(b)** Volume rendering of the binary grid  $B$  of a single member (member 12), representing the WCB features for this member. (S1) DF-T setup with 62 a horizontal grid spacing of  $0.25^\circ \times 0.25^\circ$ , in the vertical the lower 52 (of 62) hybrid sigma-pressure levels (up to approximately 100 hPa) defined by the ensemble minimum surface pressure; (S2) are used as (S2.) As (S1.) but with  $1^\circ \times 1^\circ$  horizontal resolution; (S3) grid spacing; (S3) as (S3.) As (S2.) but with vertical levels defined by a constant surface pressure of 1000 hPa. (a) Volume rendering (S4.) ABL-T setup with  $1^\circ \times 1^\circ$  horizontal grid spacing and a regular vertical grid with a grid spacing of  $p(\text{WCB})$  (red isosurface shows 30% probability, white isosurface 10% probability); (b) volume rendering of a hPa.



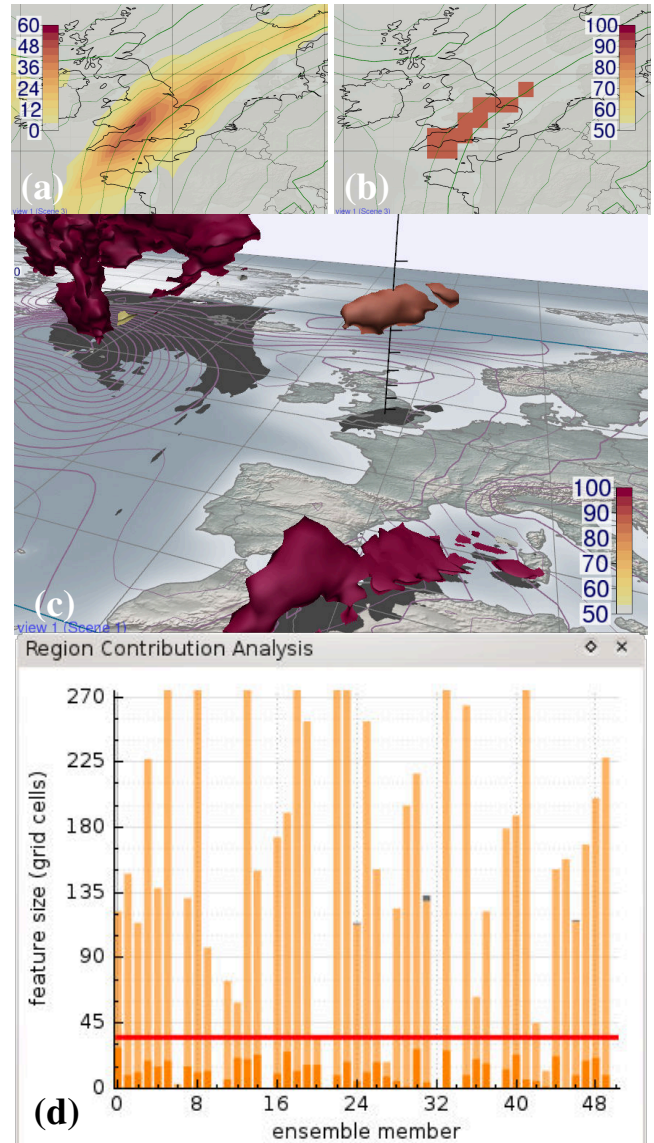
**Figure 6.** Same as Fig. single member (member 12); 5, but showing: (c) horizontal section of  $p(\text{WCB})$  at 410 hPa; (d) horizontal section of  $p(\text{WCB})$  at 950 hPa. Colour coding in %. Green contour lines show ensemble mean geopotential height.



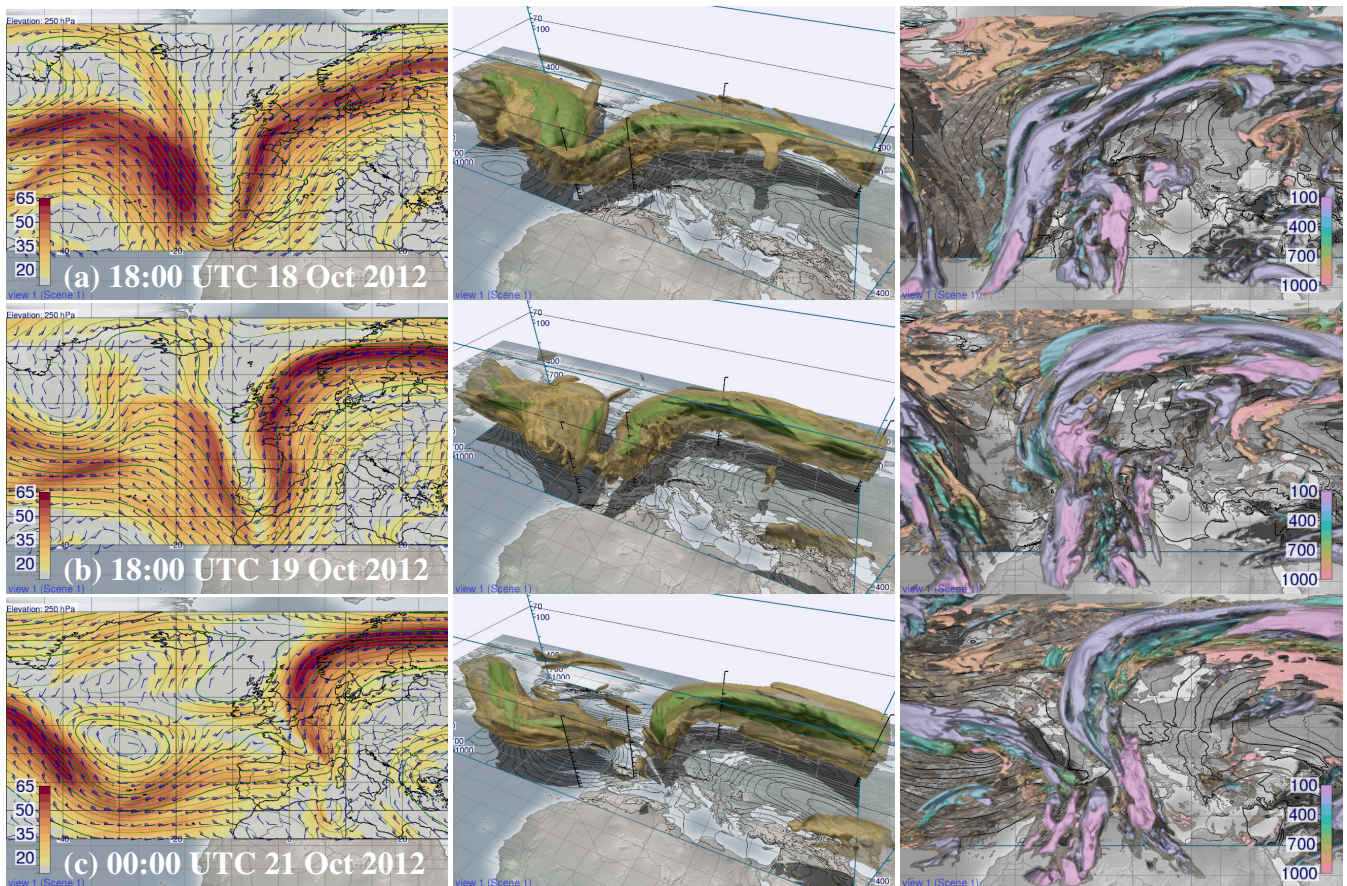
**Figure 7.** Same as Fig. 5, but for the ABL-T setup WCB features (S4 binary grids  $B^m$ ) with  $1^\circ \times 1^\circ$  horizontal resolution and a regular vertical grid with a grid spacing of 10. Individual WCB further members  $m$  of the forecast ease shown in Fig. 3b. Members (a) 2, (b) 4, (c) 34, (d) 36 and (e) 42. The Note that location and shape of the WCB events features vary strongly. (f) All WCB features of all 51 members of the ensemble, visualized in a single image and distinguished by colour (colour coding denotes member number). Black contour lines in all images show sea level pressure of the corresponding member (of the ensemble mean in (f)).



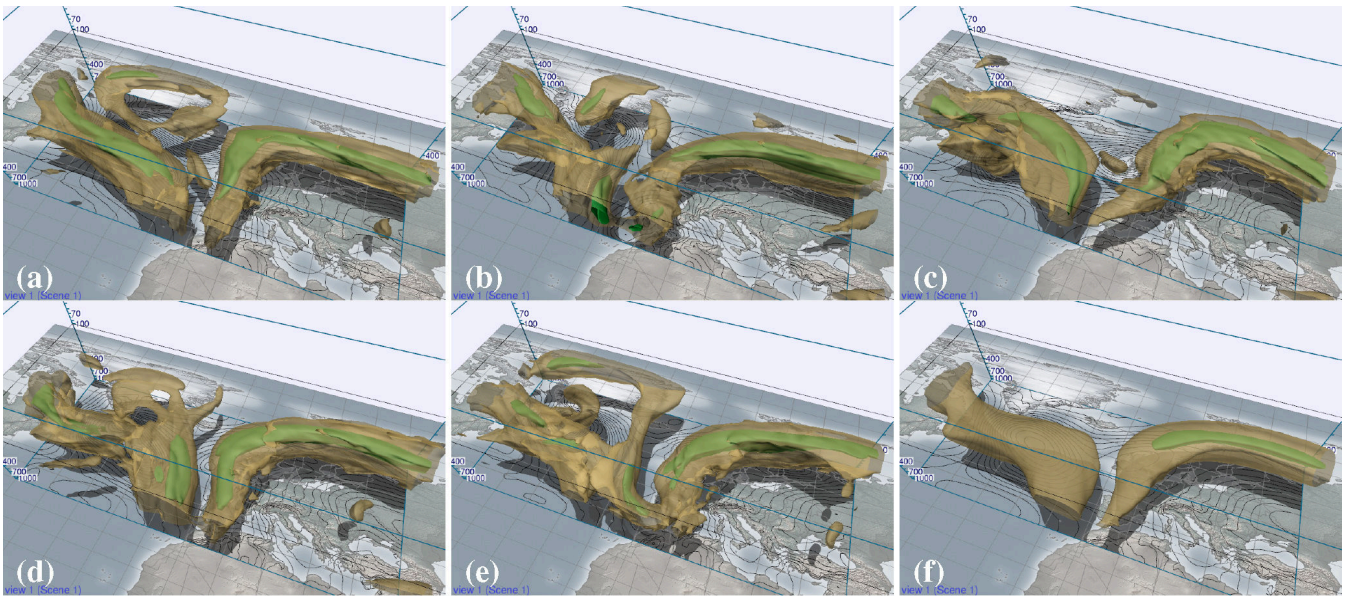
**Figure 8.** Schematic 2-D example of a ~~region of case~~ in which many ensemble members predict a WCB feature but spatial variation causes low probability values ~~caused by many members~~. ~~Of~~ Consider an ensemble of ten members, of which nine members ~~that~~ predict the a WCB feature (depicted by the different coloured and numbered lines). In the example, only a maximum of three features overlap in any grid cell (resulting in a maximum probability of 30%; red grid cells). By storing the indices of all members that contribute to a given grid cell, our method is able to determine the members that contribute to a probability region. In the example, 8 members (that is, 80%) contribute to the red region. The grey grid cells illustrate the 10% region.



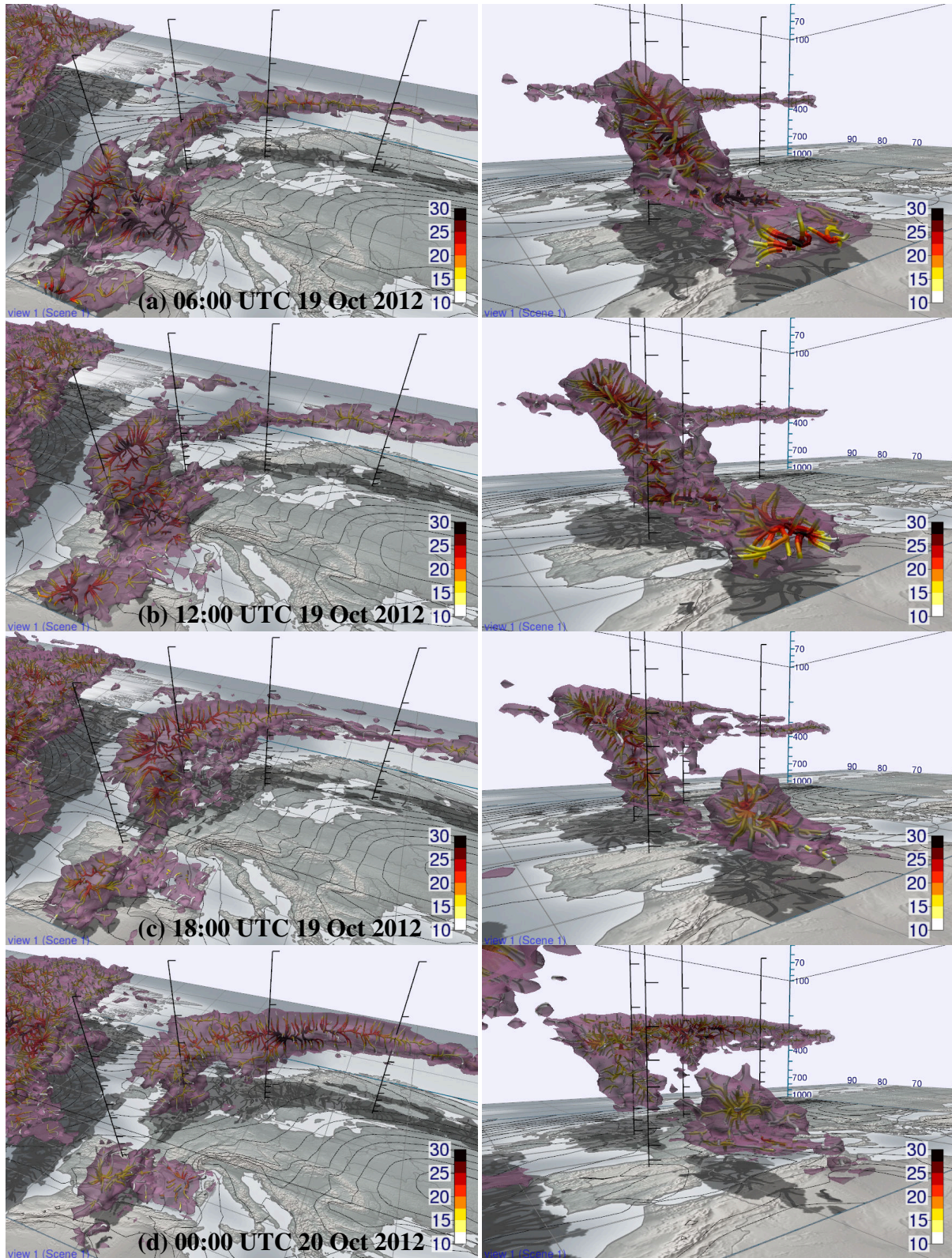
**Figure 9.** Application of the region contribution algorithm to the WCB forecast from Fig. 3c. (a) Horizontal section of  $p(\text{WCB})$  at 415 hPa over Southern England (colour scale from 0 to 100 coding in %). (b) The grid boxes exceeding that intersect with the 415 hPa surface and that exceed the isosurface threshold of 30% (the red isosurfaces in Fig. 3c), coloured by the percentage of contributing members as identified by the region growing algorithm (colour scale from 50 to 100 coding in %). Green contour lines in (a) and (b) show ensemble mean geopotential height. (c) The 30% isosurfaces of Fig. 3c coloured by the percentage of contributing members. Purple contour lines show ensemble mean sea level pressure. (d) Size (in grid cells) of the WCB features in the members contributing to the 30% isosurface above Southern England. If multiple features contribute from a given member, they are stacked using different colours (in the example, small secondary features exist in members 24, 31, and 46). The bar of each feature is divided into total feature size (light colour) and the fraction of the feature that overlaps with the 30% isosurface (solid colour). The red horizontal line marks the size of the 30% isosurface.



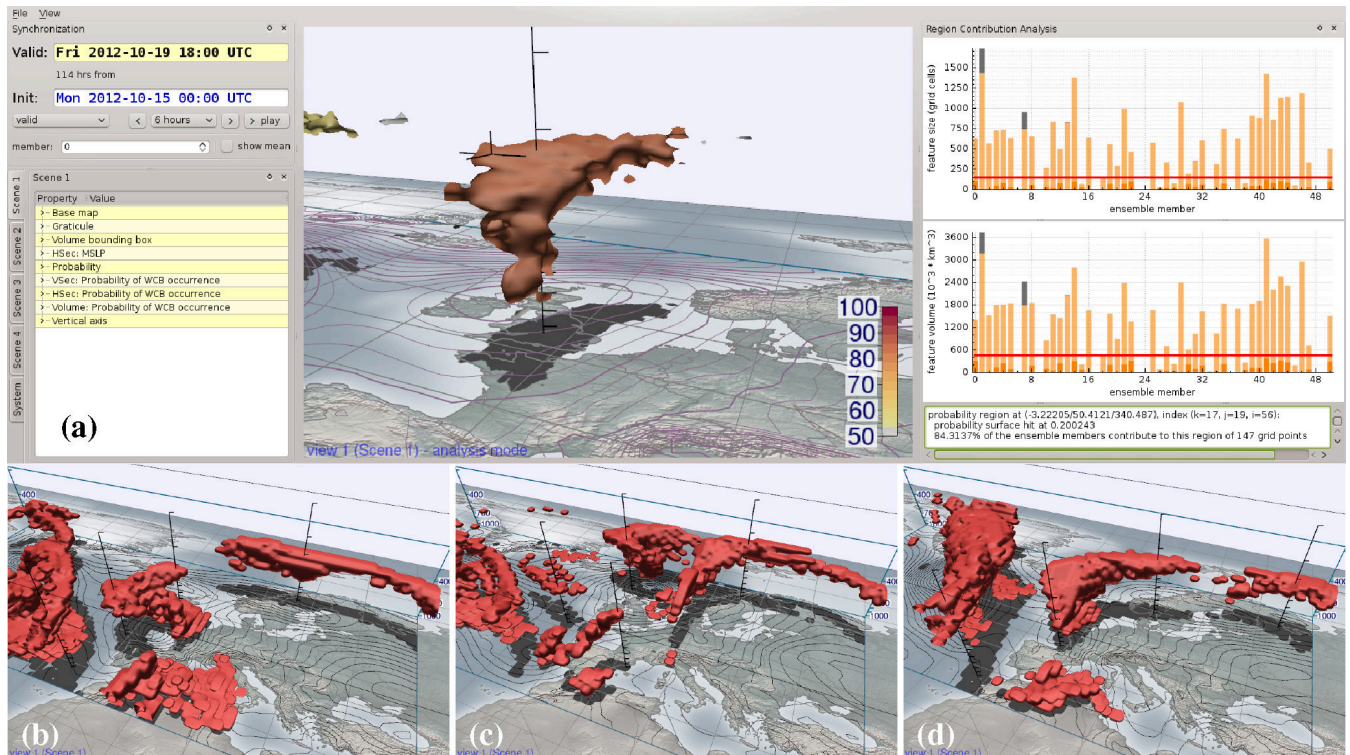
**Figure 10.** Time sequence of (left) horizontal section with contour lines of geopotential height and filled contours of wind speed ( $\text{ms}^{-1}$ ) at 250 hPa, (middle) jet stream (opaque isosurface  $50 \text{ ms}^{-1}$  and transparent isosurface  $30 \text{ ms}^{-1}$ , and black contour lines of sea level pressure) and (right) clouds (opaque isosurface cloud cover fraction of 0.7 and transparent isosurface cloud cover fraction of 0.2, and black contour lines of sea level pressure). Colour coding in the right panel denotes cloud elevation in hPa. Deterministic forecast from **Monday, 15 October 2012, 00:00 UTC**, valid on **(a) Thursday, 18–15 October 2012, valid at (a) 18:00 UTC**, **(b) Friday, 19–18 October 2012, (b) 18:00 UTC**, and **(c) Sunday, 21–19 October 2012, and (c) 00:00 UTC, 21 October 2012.**



**Figure 11.** Navigation through the ensemble. Members (a) 27, (b) 33, (c) 37, (d) 43, (e) 45 and (f) the ensemble mean of horizontal wind speed (forecast from 00:00 UTC on 15 October valid at 18:00 UTC on 19 October 2012). Shown are the  $50 \text{ ms}^{-1}$  (green opaque) and  $30 \text{ ms}^{-1}$  (yellow transparent) isosurfaces. Black contour lines show sea level pressure.

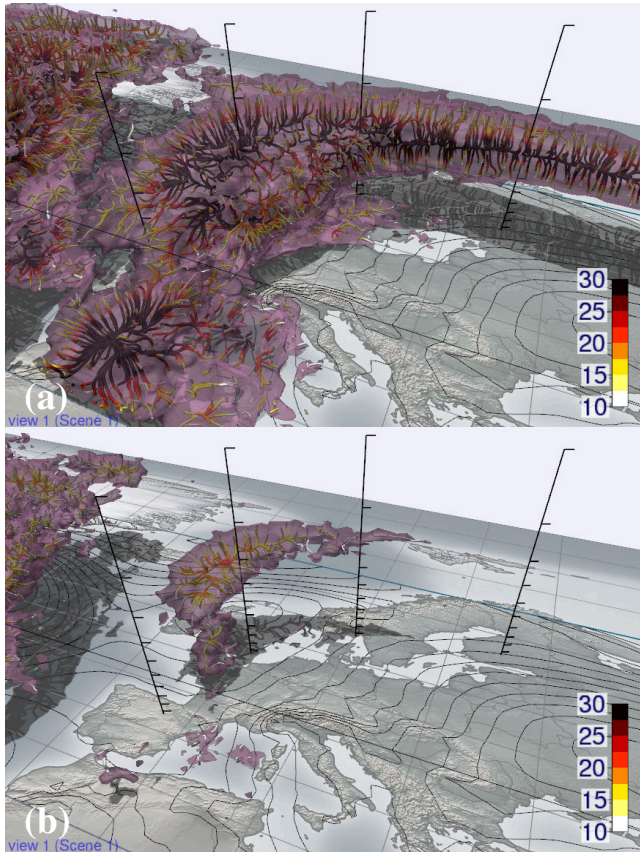


**Figure 12.** Subsequent time steps of  $p(\text{WCB})$  (computed with DF-T setup (S3.)), rendered from different viewpoints. Forecast from 00:00 UTC on 15 October 2012, valid at (a) 06:00 UTC, (b) 12:00 UTC, (c) 18:00 UTC on 19 October and at (d) 00:00 UTC on 20 October 2012. Trajectory filtering is set to 500 hPa in 48 h. ~~White isosurface shows~~ The purple transparent isosurfaces show a probability of 10%. ~~Normal~~The interior structure of the isosurfaces is visualized using the 3-D normal curves approach proposed in Part 1, Sect. 3.4. The normal curves follow the gradient of the  $p(\text{WCB})$  field and converge at local maxima. They are coloured by probability (%) to allow to visually track probability maxima (red to black convergence zones). Black surface contour lines show ensemble mean sea level pressure.

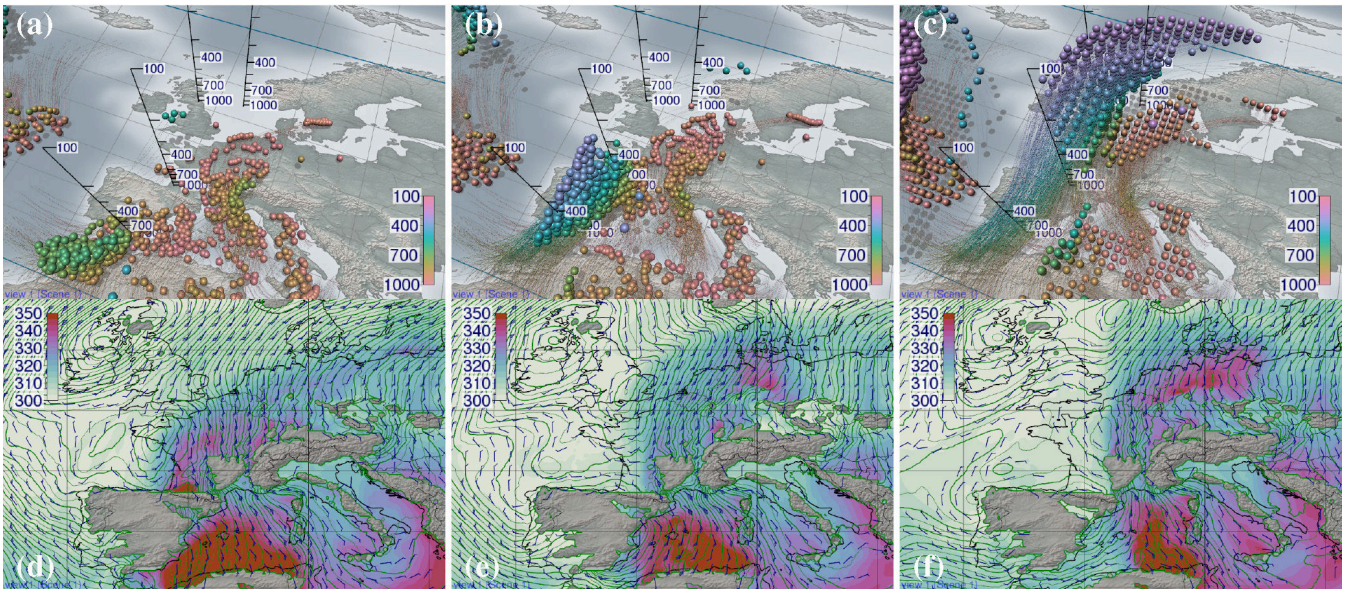


**Figure 13.** Region contribution analysis applied to the ensemble forecast ease, from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012. (a) Screenshot of the Met.3D configuration. The 20% isosurface isosurfaces of  $p(\text{WCB})$  is are coloured by the percentage of contributing members. The contribution distribution of the feature over Southern England is shown in the histograms on the right side of the window (feature size in (top) grid cells and (bottom)  $10^3 \text{ km}^3$ ; see Fig. 9 for details on the diagram). (b–d) WCB airmasses for 18:00 UTC on 19 October 2012, features (binary grids  $B$ ) as predicted by the individual ensemble members (b) 2, (c) 9 and (d) 19. Purple surface contours in (a) and black surface contours in (b–d) show sea level pressure.

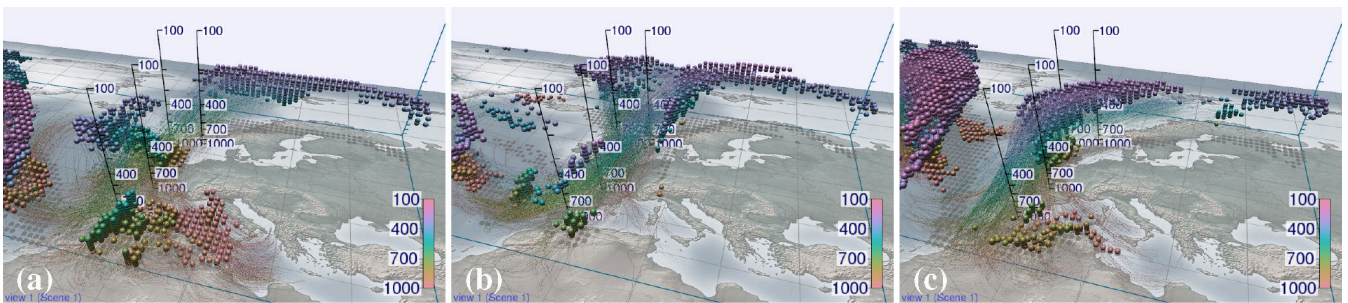




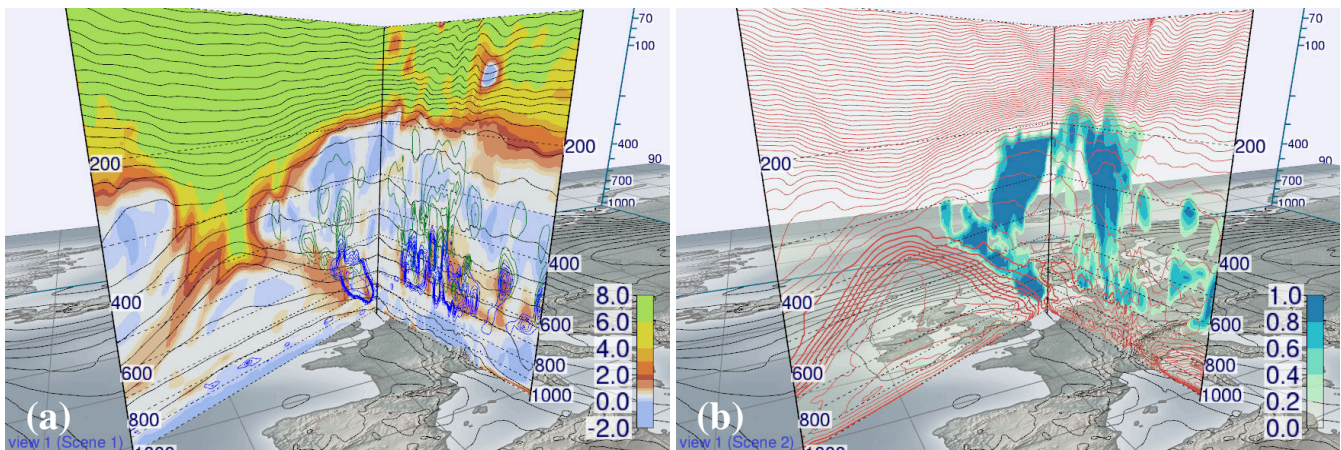
**Figure 14.** Adjusting the filter criterion for the probability of WCB occurrence  $p(\text{WCB})$  forecast shown in Fig. Forecast\_12c (forecast from 00:00 UTC on 15 October 2012, valid at 18:00 UTC on 19 October 2012). Filter criterion of (a) 400 hPa and (b) 550 hPa in 48 h. Compare to (in Fig. 12, in which a criterion of 500 hPa in 48 h is used). The purple transparent isosurfaces show a probability of 10%. Normal curves inside the isosurfaces are coloured by probability (%). Black contour lines show ensemble mean sea level pressure.



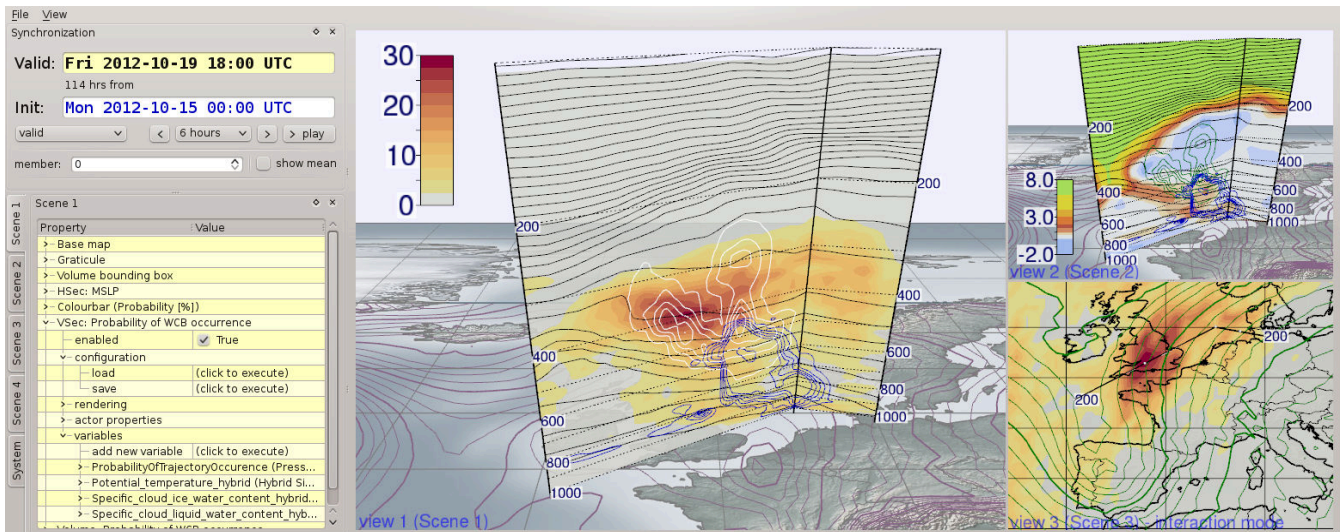
**Figure 15.** (a–c) Particle positions of the (backward) WCB trajectories of the ensemble control forecast, started on Friday, 19 October 2012, at 18:00 UTC 19 October 2012 and computed on the forecast initialised at Monday, 15 October 2012, 00:00 UTC 15 October 2012. Colour codes pressure elevation in hPa. (d–f) Horizontal sections of geopotential height (contour lines), wind barbs and equivalent potential temperature (colour coded in K) of the deterministic forecast from Monday, 15 October 2012, 00:00 UTC 15 October 2012 at 950 hPa. Forecasts are valid on Thursday, at (a, d) 18 October 2012, 18:00 UTC (a, d) and Friday, 19–18 October 2012, (b, e) 06:00 UTC (b, e) 19 October 2012, and (c, f) 18:00 UTC (c, f) 19 October 2012.



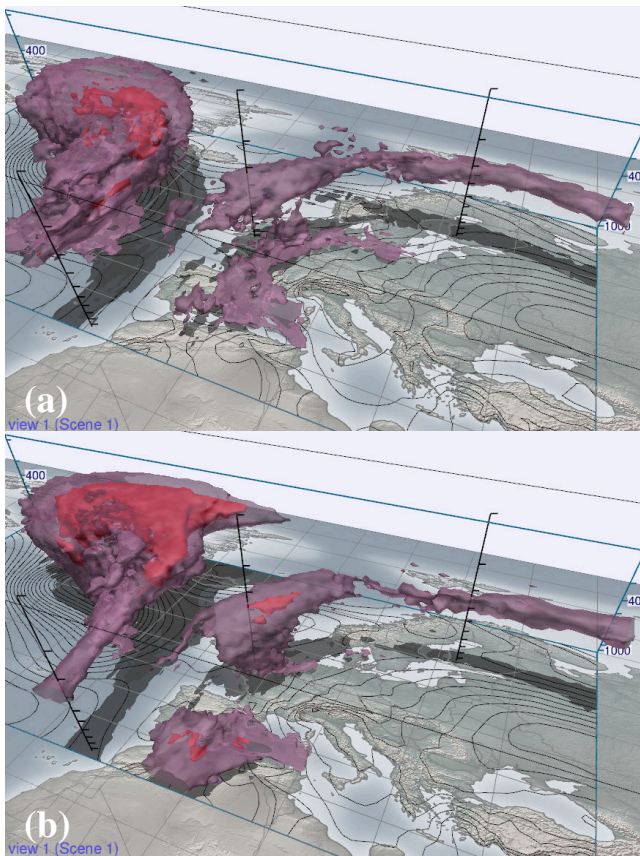
**Figure 16.** The same as Fig. 15c, but for the ensemble members (a) 2, (b) 9 and (c) 19. Also compare to the visualizations of the corresponding binary grids  $B$  shown in Fig. 13b–d.



**Figure 17.** (a) Vertical section of potential vorticity (colour coding in PVU; red colours in the left plot mark the 2-PVU surface and thus the dynamic tropopause), potential temperature (grey contour lines), liquid and ice water content (blue and white-green contour lines). (b) Vertical section of cloud cover fraction (colour coding) and equivalent potential temperature (red contour lines). Black surface contour lines in both (a) and (b) show sea level pressure. Deterministic forecast from 00:00 UTC on Monday, 15 October 2012, valid at 18:00 UTC on Friday, 19 October 2012.



**Figure 18.** Planning potential flight legs with Met.3D (ensemble forecast from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012). Large-The large view in the middle shows a vertical section shows of  $p(\text{WCB})$  (colour scale in %), potential temperature (black contour lines), liquid and ice water content (blue and white contour lines). Purple surface contours show ensemble mean sea level pressure. The small view on the upper right shows a vertical section of potential vorticity (same colour scale PVU coding and contour lines as in Fig. 17a). The small view on the lower right shows a horizontal section is located at 390 hPa and also shows, showing  $p(\text{WCB})$  (same colour scale as in the large view) and contour lines of ensemble mean geopotential height. The maximum  $p(\text{WCB})$  along the proposed leg can be found over southern England at around 400 hPa. The linked-views show vertical section of PV shows how a flight at that altitude, going westward, would penetrate the tropopause shortly after sampling the WCB.



**Figure 19.** Convergence of ~~the probability of WCB occurrence~~  $p(\text{WCB})$  with decreasing forecast lead time. Forecasts from (a) 12:00 UTC ~~on~~-15 October 2012 and (b) 12:00 UTC ~~on~~-16 October 2012, valid at 18:00 UTC ~~on~~-19 October 2012. Filter criterion is 500 hPa in 48 h. Isosurfaces show 30 % (red opaque isosurface) and 10 % (white-purple transparent isosurface). Black surface contours show ensemble mean sea level pressure. The forecast from 00:00 UTC ~~on~~-17 October 2012 is shown in Fig. 3c.