

1 Analysis of the Impact of Inhomogeneous Emissions in the 2 Operational Street Pollution Model (OSPM)

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14 15 Abstract

16 Semi-parameterized street canyon models, as e.g. the Operational Street Pollution Model
17 (OSPM®), have been frequently applied for the last two decades to analyse levels and
18 consequences of air pollution in streets. These models are popular due to their speed and low
19 input requirements. One often used simplification is the assumption that emissions are
20 homogeneously distributed in the entire length and width of the street canyon. It is thus the
21 aim of the present study to analyse the impact of this assumption by implementing an
22 inhomogeneous emission geometry scheme in OSPM. The homogeneous and the
23 inhomogeneous emission geometry schemes are validated against two real-world cases:
24 Hornsgatan, Stockholm, a sloping street canyon; and Jagtvej, Copenhagen; where the morning
25 rush hour has more traffic on one lane compared to the other. The two cases are supplemented
26 with a theoretical calculation of the impact of street aspect (height/width) ratio and emission
27 inhomogeneity on the concentrations resulting from inhomogeneous emissions. The results
28 show an improved performance for the inhomogeneous emission geometry over the

1 homogeneous emission geometry. Moreover, it is shown that the impact of inhomogeneous
2 emissions is largest for near-parallel wind directions and for high aspect ratio canyons. The
3 results from the real-world cases are however confounded by challenges estimating the
4 emissions accurately.

5 **1 Introduction**

6 Semi-parameterized models as e.g. the Operational Street Pollution Model (OSPM®;
7 Berkowicz et al. (1997)) have been frequently applied in cities around the globe over the last
8 20 years (Assael et al., 2008; Berkowicz et al., 1996; Berkowicz et al., 2006; Ghenu et al.,
9 2008; Gokhale et al., 2005; Hertel et al., 2008; Kakosimos et al., 2010; Ketzel et al., 2012;
10 Kukkonen et al., 2000; Vardoulakis et al., 2005). This type of model has the advantages of
11 low input requirements and short execution times. This means that the model can cover many
12 streets over long time periods due to its low computational demand.

13 In order to retain the low calculation time of these models, a number of simplifying
14 assumptions have to be made. One assumption, present in e.g. OSPM, is that the emissions
15 are distributed homogeneously over the street canyon in the full length and width of the
16 canyon. However, real streets have traffic lanes with finite width and varying traffic loads,
17 either permanently or as a function of time as e.g. rush hours. Moreover, they might have
18 sidewalks or cycle lanes with no emissions or wide central reserves likewise without
19 emissions. Modelling these situations as homogeneous emission will potentially overestimate
20 one side of the street and underestimate the other side of the street. This has an influence on
21 e.g. limit values, where one side of the street can exceed the limit value while the other
22 doesn't.

23 Sloping streets represent a natural case of inhomogeneous emissions in that vehicles driving
24 uphill have a higher emission due to the increased engine load compared to vehicles driving
25 downhill. Gidhagen et al. (2004) examined the measured NO_x concentrations from a
26 measurement campaign in Hornsgatan in Stockholm, Sweden; which has a slope of 2.3%,
27 using a Computational Fluid Dynamics (CFD) model. It was shown that the model
28 representation of the wind direction dependence of the concentrations compared to the wind
29 direction dependence of the measurements improved by assuming an emission relationship of
30 3:1 between the uphill and downhill side of the road. This followed along a marginal
31 improvement in the correlation between the model and the measurements. In Gidhagen et al.

1 (2004), Kean et al. (2003) is also quoted for reporting markedly higher emissions for vehicles
2 going uphill compared to vehicles going downhill, a feature also implemented in emission
3 models like the Handbook Emission Factors for Road Transport - HBEFA (www.hbefa.net).

4 Moreover, (Kakosimos et al., 2010) and Vardoulakis et al. (2007) suggested that an
5 improvement in the applicability of semi-empirical street level air quality models could be
6 achieved by implementation of an inhomogeneous emission geometry scheme.

7 The present study is therefore based on the following research question:

8 **To what extent do the performance of street pollution models like OSPM**
9 **improve as a result of moving from homogeneous emissions to inhomogeneous**
10 **emissions, and how is this change influenced by the aspect ratio of the street and**
11 **the inhomogeneity of the emissions?**

12 The methods applied in the present study are explained in Sect. 2. This is followed by a
13 description of how the concentrations are calculated based on respectively the homogeneous
14 and the inhomogeneous emissions in Sect. 3. The results and discussion are placed in Sect. 4
15 and the conclusions are presented in Sect. 5.

16 **2 Methods**

17 To analyse the impact of inhomogeneous emissions in OSPM two real-world cases were
18 selected as being representative for inhomogeneous emission geometry streets as found in
19 urban areas. The two real-world cases were supplemented by a set of theoretical calculations
20 to analyse the impact of inhomogeneity and aspect ratio on the results.

21 The two street canyons chosen to analyse the impact of inhomogeneous emissions were
22 respectively Hornsgatan in Stockholm, Sweden and Jagtvej in Copenhagen, Denmark. The
23 main characteristics of the two street canyons are summed up in Table 1. Hornsgatan is an
24 example of a sloping street canyon with the average slope being 2.3% (Gidhagen et al., 2004),
25 and Jagtvej is diurnally inhomogeneous in that, depending on the time of day, there is more
26 traffic in the northeast direction compared to the southwest direction. Both streets have two
27 driving lanes in each direction (four lanes in total) plus non-emitting areas at the sides. The
28 non-emitting areas are however not modelled explicitly in the present analysis, since
29 including this would require the implementation of horizontal diffusion in the model cf. the
30 discussion in sect. 3.2. This task remains for future work.

1 In the analysis, the NO_x concentrations were used since in OSPM the concentration of NO₂ is
2 calculated based on the concentration of NO_x and O₃. Thus in order not to add the
3 uncertainties from the chemistry in the analysis, the primary emitted tracer (NO_x) is used.
4 Moreover, previous studies (Ketznel et al. (2011); (Ketznel et al., 2012)) have shown that the
5 emission and dispersion module implemented in OSPM have an acceptable performance for
6 this species.

7 The years 2007-2009 were chosen for Hornsgatan, since a ban on the use of studded tires was
8 implemented in this street from 2010 and onwards, which probably effected the vehicle
9 distribution. Modelling the influence of this was assessed to be complicated and outside the
10 scope of the present study. For Jagtvej the two years 2003 and 2013 were chosen since traffic
11 counts were performed next to the measurement station in these years. In order to assess the
12 influence of inhomogeneous emissions, accurate traffic input is very important.

13 Both streets are part of routine air quality control monitoring programs and have been studied
14 extensively in the past. One year of data from Hornsgatan were included in the Street
15 Emission Ceiling Exercise (Larssen et al., 2007; Moussiopoulos et al., 2005; Moussiopoulos
16 et al., 2004) and has thus been subject of a number of modelling studies (e.g. Denby et al.
17 (2013a); Denby et al. (2013b); Johansson et al. (2009); Ketznel et al. (2007); Olivares et al.
18 (2007)). The Jagtvej measurement station is part of the Danish air quality monitoring
19 programme (Ellermann et al., 2013) and has likewise been the subject of extensive analysis
20 (e.g. Ketznel et al. (2011); Ketznel et al. (2012); Silver et al. (2013)).

21 **2.1 Emission modelling and measurements from Hornsgatan**

22 The emission modelling for Hornsgatan uses the hourly automatic vehicle counts for the two
23 driving directions on Hornsgatan. The vehicle counts were made using an inductive loop
24 technology (Marksman 660 Traffic counter and Classifier, Golden River Traffic Ltd., UK). It
25 provides hourly mean total traffic counts, classification of vehicles based on the length of the
26 vehicle, plus mean speed on a lane by lane basis. The automatic counts in the east inner lane
27 were multiplied by 4.2 to compensate for a bias in the counting based on a manual counting
28 check. The exact technical reason for this factor is not known. However, comparison between
29 the Marksman counter and manual counts and between the Marksman counter and automatic
30 camera recordings (Burman and Johansson, 2010) have confirmed the validity of this factor.

1 The vehicle distribution was modelled as the average weekly vehicle distribution based on
2 vehicle classifications obtained by video number plate recognition in the fall of 2009 (Burman
3 and Johansson, 2010). This ensured that the emission factors reflected the average weekly
4 variation in vehicle distribution. All vehicle categories were modelled using HBEFA 3.2
5 (www.hbefa.net) except ethanol buses, which do not appear as vehicle category in HBEFA.
6 These were instead modelled using the ARTEMIS emission model (Boulter and McCrae,
7 2007). The emission factors from ARTEMIS were scaled to a different set of velocities
8 compared to HBEFA. In order to scale the two emission models, the emissions from
9 ARTEMIS were linearly interpolated to match the travel speeds from HBEFA.

10 The emission factors from HBEFA version 3.2, were used for the emission modelling since
11 this emission model includes the effect of slope on the emissions. The emissions were
12 exported from this model for slopes of +/- 2% and +/- 4% and a linear interpolation to the
13 slope of +/-2.3%, as given by Gidhagen et al. (2004), was performed. In Gidhagen et al.
14 (2004) "Tehran Emission Reduction Project" is cited for reporting uphill emissions being 3-4
15 times larger than downhill emissions. A significant emission difference between the North
16 and South side of the street can therefore be expected.

17 The traffic flow situation (called "level of service" in HBEFA) was modelled as a set of
18 discrete categories. This was done by categorizing the individual hour based on the total
19 number of vehicles in the hour. The categorization was performed based on the scheme from
20 the ARTEMIS model reprinted in Table 2.

21 In setting up OSPM, the street was divided into two emission segments of equal width,
22 although the inhomogeneous emission scheme described in Sect. 3.2 allows for any number of
23 segments, thus each segment covering two traffic lanes. The emissions were distributed over
24 both the lanes and the sidewalk since the modelling of sidewalks is not yet a feature of the
25 model, cf. the discussion in Sect. 3.2. The vehicle speed, used for the calculation of traffic-
26 produced turbulence, was assumed equal to the mean speed between the two lanes comprising
27 the segment.

28 The emission modelling for Hornsgatan was performed based on two approaches:

- 29 • An approach based on the hypothesis that the traffic on the individual lane can be
30 modelled as half the total traffic, subsequently referred to as the "proportional"
31 approach. The inhomogeneity thus only arises from the slope of the street. This

1 approach is useful if directional- or lane divided traffic counts don't exist for the street
2 in question.

- 3 • An approach based on the modelling of inhomogeneous emissions based on traffic
4 counts from the individual lane as described above. This approach is subsequently
5 referred to as the “exact” approach.

6 The two approaches to emission modelling were subsequently compared.

7 NO_x was simultaneously monitored on the northern and southern sides of the road with a
8 commercial NO_x chemiluminescence analyser (model 31 M LCD, Environment SA, France).
9 Urban background concentrations were taken from an identical instrument at a monitoring
10 station located on the roof of a building approx. 500 m east of the Hornsgatan street station.
11 The roof level station is representative of the urban background and is not influenced by the
12 emissions in any nearby street canyon.

13 To analyse if the emission distribution between the north side and the south side of the street
14 can be modelled as a constant ratio, an analysis of measurements for near-parallel (+/- 30 °)
15 wind directions for the conditions of a minimum wind speed of $2\frac{m}{s}$ was performed. It was
16 hypothesized that the ratio between the measured concentrations corresponds to the
17 proportions between the emissions. This assumption is of course violated as a result of
18 horizontal dispersion in the street canyon, but this effect was disregarded.

19 As seen from Fig. 1, the distribution of concentration ratios between the northern and
20 southern side of the street is skewed with the mode being around 1.2 and the mean value
21 being 3.2. This result is not too far from the result presented by Gidhagen et al. (2004), that
22 the emissions at the north side were three times as large as on the south side. Moreover, the
23 distribution is unimodal and has a relatively low standard deviation, which supports the
24 assumption of an even traffic distribution between the north- and the south side of the street.

25 The hypothesis of a constant ratio distribution will be fortified if the ratio is not changing
26 systematically with time.

27 The diurnal and weekly variation of the ratio is shown in Fig. 2. As can be seen the values
28 show no clear diurnal or weekly variation and thus the assumption of an even distribution of
29 traffic, but inhomogeneous emissions due to the slope in the two directions, between the two
30 segments seems valid.

1 **2.2 Emission modelling and measurements from Jagtvej**

2 Manual traffic counts next to the measurement station at Jagtvej were performed
3 respectively in 2003 and in 2013. The traffic was counted in two directions on a weekday for
4 24 hours in 2003 and between 0700-1900 in 2013. The number of vehicles was split into a
5 number of vehicle classes to provide the vehicle distribution. The emissions were modelled
6 using the COPERT 4 model (EEA, 2009).

7 The diurnal vehicle speed profile for Jagtvej was based on a national study aiming to establish
8 typical diurnal speed profiles for different types of urban streets (TetraPlan A/S, 2001) where
9 the most representative for Jagtvej was chosen. Furthermore, average travel speed data were
10 obtained from a recent national data set (<http://speedmap.dk/portal>) managed by the Danish
11 Road Directorate. SpeedMap is based on GPS readings from vehicle fleets and provides
12 travel speeds on all major roads in Denmark in a high spatial and temporal resolution. The
13 average vehicle speed from 2011 was used to scale the diurnal profiles from the original
14 study, and the velocity profile was assumed valid for both 2003 and 2013, since no
15 information on the temporal development in vehicle speeds were available within the limits of
16 the present study.

17 The emissions were subsequently distributed in two segments each covering half of the street
18 width thus both covering the traffic lanes and the sidewalks. The choice of two segments was
19 made since the traffic counts were only distributed into driving directions and not on the
20 individual lane.

21 The NO_x measurements at the east side of Jagtvej were performed continuously by
22 chemiluminescence using NO_x Aerodyne API instruments. The urban background
23 measurements were measured from a roof level measurement station approximately 500m
24 from the street using similar instrumentation as the street level measurements.

25 **2.3 Theoretical calculations**

26 The resulting concentrations of inhomogeneous emissions as a function of street aspect ratio
27 and emission inhomogeneity were calculated for 360 wind directions with wind speed and
28 total emission approximately similar to the average conditions for Hornsgatan in order to
29 generate comparable results. The calculations were performed on a hypothetical street canyon

1 with two emission segments each covering half the width of the street. Subsequently the
2 aspect ratio and the emission inhomogeneity were varied over a reasonable interval.

3 **3 Model description**

4 In the following sections the currently applied homogeneous and the tested inhomogeneous
5 emission dispersion schemes will be described. This section does not contain a complete
6 description of the OSPM model, for this the reader is referred to e.g. Berkowicz et al. (1997).
7 However, sufficient details will be provided to understand the modifications in the model
8 regarding handling the emission geometry.

9 **3.1 The homogeneous emission dispersion scheme**

10 To illustrate the modelling principles of OSPM, a typical street canyon situation is illustrated
11 in Fig. 3. OSPM calculates the concentrations (C) at the wall side of the street canyon as a
12 contribution from the street canyon (C_{street}) plus a contribution from urban background
13 concentrations (C_{bg}). The contribution from the street canyon is subsequently a sum of a direct
14 contribution (C_{dir}) plus a recirculating contribution (C_{rec}) (Berkowicz et al., 1997):

$$15 \quad C = C_{\text{street}} + C_{\text{bg}} \quad (1)$$

$$16 \quad C_{\text{street}} = C_{\text{dir}} + C_{\text{rec}} \quad (2)$$

17 It is a fundamental assumption of the model that when the wind blows over a rooftop in a
18 street canyon an hourly averaged recirculation vortex is always formed inside the canyon as
19 illustrated in Fig. 3.

20 It is assumed that the ground level wind direction inside the recirculation zone is mirrored
21 compared with the roof level wind direction, whereas outside the recirculation zone the wind
22 direction follows the roof level wind direction as illustrated in Fig. 4.

23 The receptor at the leeward (1) side of the canyon is thus exposed both to a direct contribution
24 from emissions inside the recirculation zone (unless the wind direction is close to parallel as
25 described in Sect. 3.1.1) and a recirculating contribution, and the windward receptor (2) is
26 exposed to a direct contribution from emissions outside the recirculation zone (Berkowicz et
27 al., 1997) and to a diluted recirculating emissions from inside the recirculation zone (Ketzel et
28 al., 2014). In the case where the recirculation zone occupies the whole street canyon, the
29 leeward (marked with “1” in Fig. 5) side of the canyon will be exposed to both a direct and a

1 recirculating contribution, whereas the windward receptor (marked with “2” in Fig. 5) will
 2 only be influenced by the recirculating contribution.

3 3.1.1 The direct contribution:

4 The direct contribution can be written on integral form as (Hertel and Berkowicz, 1989):

$$5 \int_{x_{start}}^{x_{end}} \frac{dC_{dir}}{dx} dx = \sqrt{\frac{2}{\pi}} \frac{Q}{W\sigma_w} \int_{x_{start}}^{x_{end}} \frac{1}{x + \frac{u_{street}h_0}{\sigma_w}} dx \quad (3)$$

6 Where C_{dir} is the direct contribution, x_{start} is the distance from the receptor where the plume
 7 has the same height as the receptor, which can also be zero in case $h_r \leq h_0$, and x_{end} is the
 8 upper integration limit as defined in Table 3, h_0 is the height of the plume in the wake of a car
 9 (usually termed the “initial dispersion”), h_r is the height of the receptor (the height of the
 10 calculated concentration), Q is the emission flux (in $g\ m^{-1}\ s^{-1}$), W is the width of the street,
 11 u_{street} is the street level wind speed, and σ_w is the vertical turbulence flux calculated as a
 12 function of the street level wind speed and the traffic produced turbulence.

13 The integration is performed along a straight line path against the wind direction as illustrated
 14 in Fig. 5. Equation (3) is used for calculating the direct contribution on both the leeward side
 15 and the windward side; however, the length of the integration paths can differ likewise as
 16 illustrated in Fig. 5 .

17 In Fig. 5 it is assumed that $x_{end} = L_{rec}$, the length of the recirculation zone, however, as
 18 shown in Table 3 this needs not be the case. The calculation of L_{rec} as a function of the
 19 upwind building height (H_u) and the shortening function (f_{red}) is defined in Table 4.

20 For very long street canyons the plume will start dispersing out of the canyon at the top. In
 21 OSPM, this is assumed to happen when the plume height (σ_z) equals the general building
 22 height (H_g) (Ketznel et al., 2014) of the canyon. This point is called x_{esc} and is defined as
 23 (Hertel and Berkowicz, 1989):

$$24 x_{esc} = \frac{u_{street}(H_g - h_0)}{\sigma_w} \quad (4)$$

25 Beyond the point x_{esc} the contribution to the concentration at the receptor is assumed to decay
 26 exponentially with distance according to (Hertel and Berkowicz, 1989):

$$27 \int_{x_{esc}}^{x'_{end}} \frac{dC_{dir}}{dx} dx = \sqrt{\frac{2}{\pi}} \int_{x_{esc}}^{x'_{end}} \frac{Q}{u_{street}WH_g} e^{-\frac{\sigma_w t}{H_g u_{street}}(x - x_{esc})} dx \quad (5)$$

1 Where σ_{wt} is the roof level turbulence, and x'_{end} is the upper limit of the integral as defined in
 2 Table 3. The calculations and definitions of the critical lengths x_{start} , x_{esc} , L_{rec} , and L_{max} are
 3 summed up in Table 4.

4 For close to parallel wind directions the integration length (x_{end}) for the leeward side receptor
 5 (1) is extended from L_{rec} to L_{max} to account for concentration resulting from emissions
 6 outside the recirculation zone. The calculation of L_{max} as a function of the street width (W),
 7 the wind direction with respect to the street axis (θ_{street}), and the length to the end of the
 8 canyon is defined in Table 4. The integration is extended when θ_{street} is smaller than 45° ,
 9 and the contribution to the concentrations from the path outside the recirculation zone is then
 10 multiplied by f_{ext} (Hertel and Berkowicz, 1989)¹ :

$$f_{ext} = \cos(2f_{red}\theta_{street}) \quad f_{red} = \begin{cases} 1 & ; \quad u_{street} > 2\frac{m}{s} \\ \sqrt{0.5u_{street}} & ; \quad u_{street} < 2\frac{m}{s} \end{cases}$$

11 (6) Where θ_{street} is the angle between the street and the street level wind direction.

12 3.1.2 The recirculating contribution

13 The recirculating contribution is parameterized as a box model, where it is assumed that the
 14 inflow of pollutants equals the outflow of pollutants as illustrated in Fig. 6.

15 The inflow of pollutants is the emission density in the street multiplied by the integration
 16 length L_{base} (Berkowicz et al., 1997):

$$17 \quad Q_{in} = \frac{Q}{W} L_{base} \quad (7)$$

18 Where $L_{base} = \min(L_{rec}, L_{max})$. The recirculation zone is modelled as a trapezium with the
 19 upper length being half of the baseline length. The outflow from the box model is thus the
 20 ventilation at the top of the recirculation trapezium ($\sigma_{wt}L_{top}$) plus the ventilation at the
 21 hypotenuse of the trapezium ($\sigma_{hyp}L_{hyp}$) as illustrated in Fig. 6 (Berkowicz et al., 1997):

$$22 \quad Q_{out} = C_{rec}(\sigma_{wt}L_{top} + \sigma_{hyp}L_{hyp}) \quad (8)$$

¹ In Hertel, O. and Berkowicz, R.: Modelling Pollution from Traffic in a Street Canyon. Evaluation of Data and Model Development., National Environmental Research Institute, 1989. f_{red} is defined as $f_{red} = 0.5u_{street}$ for $u_{street} < 2\frac{m}{s}$. This has subsequently been changed to $f_{red} = \sqrt{0.5u_{street}}$ for $u_{street} < 2\frac{m}{s}$.

1 Where C_{rec} is the recirculating concentration contribution and σ_{hyp} is the average turbulence
 2 at the hypotenuse. Equations (7) and (8) can now be solved for the recirculating concentration
 3 by setting the inflow equal to the outflow:

$$4 \quad C_{rec} = \frac{\frac{Q}{W}L_{base}}{\sigma_{wt}L_{top} + \sigma_{hyp}L_{hyp}} \quad (9)$$

5 **3.1.3 Summarizing the dispersion module in OSPM**

6 For regular street canyons (height to width ratio close to one) the recirculation zone will
 7 occupy the majority of the canyon. This means that, for a large wind direction interval, the
 8 integration length for the leeward receptor will be significantly longer than the integration
 9 length for the windward receptor. Furthermore the leeward receptor will be exposed to the full
 10 recirculating contribution, while the windward receptor only receives a further diluted
 11 recirculating contribution. These two effects mean that the leeward receptor will experience
 12 significantly higher concentrations than the windward receptor for a large wind direction
 13 interval.

14 **3.2 The inhomogeneous emission dispersion scheme**

15 In order to facilitate the modelling of streets with inhomogeneous emission distributions, the
 16 street was divided into a number of parallel segments as illustrated in Fig. 7. The model user
 17 will define the width and the emission strength of each segment. At run-time the model
 18 calculates several distances (L_{rec} , x_{esc} etc.) that depend on wind flow conditions. The user-
 19 defined emission segments are subsequently split into one or more segments with constant
 20 emission at these distances. To calculate the concentration from the user-defined and flow
 21 generated segments the above presented integrals become divided into a number of integrals
 22 and subsequently summed to yield the final concentration. The direct contribution thus
 23 becomes:

$$24 \quad \int_{x_{start}}^{x_{end}} \frac{dc_{dir}}{dx} dx = \sqrt{\frac{2}{\pi}} \frac{1}{\sigma_w} \sum_{i=n_{start}}^{n_{end}} \frac{Q_i}{W_i - W_{i-1}} \int_{W_{i-1}'}^{W_i'} \frac{1}{x + \frac{u_{street} h_0}{\sigma_w}} dx \quad (10)$$

25 Where n_{end} is the segment number of the last segment influencing the receptor, n_{start} is the
 26 first segment to influence the concentration at the receptor, W_i is the accumulated width of the
 27 segment calculated from the receptor, and W_i' is the accumulated width of the segment

1 calculated along the integration path from the receptor. The segments defined by W_i and W'_i
 2 can be either user-defined or dynamically generated.

3 The exponentially decaying concentration contribution from segments further away than x_{esc}
 4 from the receptor becomes:

$$5 \int_{x_{esc}}^{x'_{end}} \frac{dc_{dir}}{dx} dx = \sqrt{\frac{2}{\pi}} \sum_{i=n_{start}}^{n_{end}} \frac{Q_i}{u_{street}(W_i - W_{i-1})H} \int_{W'_{i-1}}^{W'_i} e^{-\frac{\sigma_{wt}}{Hu_{street}}(x-x_{esc})} dx \quad (11)$$

6 The recirculating contribution becomes:

$$7 C_{rec} = \frac{1}{\sigma_{wt}L_{top} + \sigma_{hyp}L_{hyp}} \sum_{i=n_{start}}^{n_{end}} \frac{Q_i}{W_i - W_{i-1}} (W'_i - W'_{i-1}) \quad (12)$$

8 In the homogeneous emission scheme the limits of the integrals are determined by the street
 9 geometry and the recirculation zone geometry. In the inhomogeneous scheme the limits of the
 10 integrals are always W'_{i-1} and W'_i . Instead the limits of the sum determine which segments
 11 contribute to the concentration at the receptor.

12 As seen from the lack of y -dependence in Eq. (3) and (10), the model does not contain
 13 expressions for horizontal dispersion. In the original model this was unnecessary since the
 14 emissions were homogeneous in the entire canyon. In order to model sidewalks or similar
 15 segments with zero emission, horizontal dispersion has to be implemented in the model. This
 16 is the case due to the geometry of a canyon with zero emission segments on the sides,
 17 meaning that as the wind direction approaches parallel, the integration length quickly
 18 approaches zero thus leading to zero concentration as illustrated in Fig. 7. Introducing
 19 horizontal dispersion in OSPM was however deemed outside the scope of the present study.
 20 In the following cases the streets are therefore divided into segments covering both the traffic
 21 lanes and the sidewalks. It would be possible to divide the street into more segments to model
 22 the individual traffic lanes. However, either the emission of the inner lane had to be
 23 distributed over the sidewalk as well, leading to a too low emission density, or the two lanes
 24 would have to be of equal width meaning that the segment division would not correspond to
 25 the traffic lane division. To avoid these methodological difficulties, it was decided to model
 26 the streets as two segments.

1 **4 Results and discussion**

2 **4.1 Hornsgatan**

3 The correlation coefficient (R^2), the Fractional Bias (FB), and the Normalized Mean Square
4 Error (NMSE) for the homogeneous and the exact- and proportional inhomogeneous schemes
5 at Hornsgatan for the years 2007-2009 are shown for the North side receptor in Table 5 and
6 for the South side receptor in Table 6.

7 As can be seen from Table 5 and Table 6, there is a noticeable change in the performance of
8 the model when moving from homogeneous emissions to inhomogeneous emissions, but only
9 very little between the two approaches for modelling inhomogeneous emissions. This
10 confirms the assumption made in Sect. 2.1 that the emission distribution at Hornsgatan is not,
11 to any significant extend, influenced by diurnal variations. It is also noticeable that the
12 increase in performance is especially pronounced for the North side receptor where the FB is
13 markedly improved and the NMSE is improved as well. For the South side receptor a smaller
14 improvement is seen in FB. Conversely, moving from homogeneous emissions to
15 inhomogeneous emissions has almost zero impact on the correlation coefficient on both sides
16 and only a smaller effect on the NMSE on the north side.

17 The results are, however, confounded by the modelled street level contributions to the
18 concentrations decline whereas the measured concentrations are almost stable. This effect is
19 especially seen on the North side receptor and to a smaller extend on the South side receptor.
20 This effect can most likely be ascribed to the emission model performance, since the effect is
21 time dependent, and no interannual change in wind speed or direction is found (data not
22 shown). Most likely the emission model is predicting too optimistic reductions for the modern
23 EURO 5/6 vehicles that are not abstained under real-world driving conditions as reported in
24 literature (Carslaw et al., 2011) This is also underlined by the fact that the traffic counts from
25 the inductive loop technology matches fairly well with the camera recordings from 2009. The
26 camera recordings were done over three months where individual cars were identified and
27 compared with register data (Burman and Johansson, 2010). This means that the total traffic
28 counts must be considered reasonably accurate. Since the vehicle distribution for the year
29 2009 is known very accurately from the camera recordings, this is probably not the
30 explanation either. This leaves a change in traffic flow situation (levels of service) or a
31 difference between the actual and modelled vehicle fleet; in terms of age composition,

1 emissions as a function of slope, or other factors; over time as possible explanations for this
2 discrepancy.

3 The wind direction dependency of the concentrations is shown in Fig. 8. As can be seen, the
4 impact of moving from homogeneous emissions to inhomogeneous emissions is largest for
5 parallel wind directions, where each receptor is only exposed to one emission segment. For
6 perpendicular wind directions there is a small difference when the uphill emissions are close
7 to the North side receptor and no difference when it is further away. A similar pattern is seen
8 for the South side receptor with 180° displacement. The wind direction plot shows a
9 noticeable discrepancy between the model and the measurements around 200° for both
10 receptors. Gidhagen et al. (2004) states that horizontal dispersion is underestimated in the
11 applied κ - ε CFD model, and that this is the cause of this discrepancy. If this is the case the
12 underestimation will also appear in the present wind direction plots due to the lack of
13 horizontal dispersion in OSPM.

14 The weekly variation in concentrations is shown in Fig. 9. The general diurnal variation plus
15 the difference between weekdays and weekends are reproduced well by the model. As can be
16 seen, the two approaches to inhomogeneous emission modelling are almost indistinguishable.
17 It can also be seen from the figure that the impact of inhomogeneous emissions is largest
18 during day time where the concentrations are largest. Figure 9 shows as well that the diurnal
19 variation is not reproduced in detail. On the north side, the morning rush hours and the
20 evening hours are still underestimated, whereas the night time concentrations are
21 underestimated. Moreover, the figure indicates a faster diurnal change in the modelled
22 concentrations as compared to the measured concentrations. This probably has to do with the
23 way the traffic flow situation is modelled as four discrete categories, whereas real traffic will
24 behave like a continuum. This is a potential area of improvement for a future study.

25 Certain times of the week are also clearly wrong most noticeably Saturday afternoon on the
26 north side receptor and Saturday morning on the south side receptor. This is likewise a
27 potential area of improvement in a future study.

28 **4.2 Jagtvej**

29 The diurnal variation in personal cars and emissions for the two driving directions is shown in
30 Fig. 10. As can be seen the emissions follow the variation in personal cars fairly close. The

1 deviations between the variations in emissions and number of cars can be explained by the
2 diurnal variation in heavy duty vehicles. The data show the largest inhomogeneity between
3 North and South direction in the morning rush hour. Moreover, the plots show that the traffic
4 and the corresponding emissions have declined substantially from 2003 to 2013.

5 The diurnal variations in measured and modelled concentrations for weekdays for the two
6 years are shown in Fig. 11. As expected, the change from homogeneous to inhomogeneous
7 emissions only has an influence on the concentrations around rush hour from 8-9 am, where
8 also traffic is inhomogeneous. However the difference between the homogeneous and the
9 inhomogeneous emissions is relatively small, approximately 6 ppb. As also seen from the
10 graph, the model tends to overestimate the emissions in 2003, whereas the 2013 emissions
11 seem fairly correct. The poor model performance for 2003 has to do with the way the model
12 has previously been calibrated to match the measurements. This means that the emissions
13 used in the present study are markedly different from the emissions used when the model was
14 designed. Adapting the model to the new emissions was deemed outside the scope of the
15 present study and an area of improvement for a future study.

16 The average concentration as a function of wind direction for the morning rush hour for the
17 two years is shown in Fig. 12. As can be seen, the difference between the homogeneous and
18 the inhomogeneous emission is approximately homogeneously distributed among the different
19 wind directions with difference up to 7 ppb. When averaging over the two years, the emission
20 biases equilibrate each other, and give a clearer picture of the wind direction dependency.
21 When looking carefully at the graph it can be seen that the difference in concentration
22 between homogeneous and inhomogeneous emissions is slightly larger for parallel compared
23 to perpendicular directions. The spike in the measurements around 100 degrees is likely a
24 result of a random error, since this spike is not seen in the data for the full diurnal cycle (data
25 not shown). Both the homogeneous and the inhomogeneous emission model have difficulties
26 capturing the measurements from approximately 260° degrees to 360° degrees. From 290 to
27 345 there is an opening in the street canyon and the difficulties of the model to capture this
28 phenomenon was reported in an earlier study (Ottosen et al., 2015). It was thus deemed
29 outside the scope of the present study to develop a solution to this issue as well.

1 **4.3 Theoretical calculations**

2 A set of theoretical calculations were performed to clearer illuminate the impact of
3 inhomogeneous emissions without the confounding variables influencing the results of the
4 real street canyons. The calculations are performed with a wind speed of $3.5 \frac{m}{s}$, total
5 emissions of $250 \frac{\mu g}{ms}$, and no urban background concentration. These conditions are
6 corresponding approximately to the average conditions at Hornsgatan. The results of the
7 theoretical analysis of the concentration dependency of the emission inhomogeneity are
8 shown in Fig. 13. As can be seen, a larger emission difference between the two segments also
9 results in a larger difference in concentration. As earlier shown for Hornsgatan, the largest
10 difference is seen for near-parallel wind directions. However, bearing in mind the scale of the
11 y-axis, the differences are small. The inhomogeneity at Jagtvej corresponds to approximately
12 10 ppb and for Hornsgatan to approximately 20 ppb, orders of magnitude also confirmed by
13 Fig. 8 and Fig. 12. The comparison with measurement will however give a smaller difference,
14 since the real world data are averages of many different wind speeds and emissions.

15 The impact of the street canyon aspect ratio on the concentrations resulting from
16 inhomogeneous emissions is shown in Fig. 14. The impact is largest for high aspect ratio
17 (building heights larger than street width) canyons. This is expected, since “the street canyon
18 effect”, where the impact of the recirculation zone means larger concentrations for the
19 leeward side compared to the windward side, is larger for high aspect ratio canyons. As such,
20 the impact of inhomogeneous emissions will also be larger for high aspect ratio canyons.

21 **5 Conclusions**

22 The present study presented an approach to, and analysed the impact of, implementation of
23 inhomogeneous emissions in a semi-parameterized street canyon model (OSPM). The results
24 were validated against two real world data-sets: One being inhomogeneous as a result of the
25 slope of the street and the other as a result of inhomogeneous directional traffic during rush
26 hours. Moreover, the impact of emission inhomogeneity and street aspect ratio was analysed
27 theoretically.

28 The results showed that the model including inhomogeneous emissions were better able to
29 reproduce the measured values on the two real-world streets. The impact of the
30 inhomogeneous emissions was largest for the sloping street and the largest effect was seen for

1 near-parallel wind directions. The results for both streets were however influenced by other
2 factors as well, most likely uncertainties in the emissions, which led to less clarity in the
3 results. Overall the adoption of inhomogeneous emissions leads to a performance increase of
4 up to 15% in fractional bias at the north side receptor of Hornsgatan and a difference in street
5 level contribution of up to 8 ppb. For Jagtvej the difference was shown to be up to 7 ppb in
6 the morning rush hour.

7 **6 Future work**

8 The present study showed a potential for obtaining an improvement in model performance by
9 introducing inhomogeneous emissions in models like OSPM. Two model elements are of
10 immediate interest in relation to the present work:

- 11 • At present the receptor is located at the wall of the street. In reality measurement
12 stations are often located several meters from the wall leading to a shorter dilution of
13 the emissions and thereby a higher concentration. Being able to move the receptor
14 freely in the cross-canyon direction could potentially lead to a model performance
15 improvement.
- 16 • At present the model does not facilitate the inclusion of zero emission segments such
17 as pedestrian areas. As described in Sect. 3.2, this means that an accurate description
18 of a road like Hornsgatan, where traffic counts exist for all four lanes, is not yet
19 possible. Introducing horizontal dispersion in the model will thus potentially make it
20 possible to describe streets like Hornsgatan more accurately.

21 **Author contribution**

22 T.-B. O., M.K, K. K., C. J., R.B., O. H., and J. B. participated in setting up the study concept
23 and the study design was done by T.-B. O., M.K, K. K., C. J., and R.B. T. -B. O. did the
24 implementation of inhomogeneous emissions in OSPM with input from M. K. and K. K. T.-B.
25 O conducted the data analysis with contributions to analysis and interpretation from M. K., K.
26 K., and C. J. C. J. furthermore provided access to data from Hornsgatan and T. E. provided
27 access to data for Jagtvej. S. S. J. provided input on the traffic profile for Jagtvej. H. S. and K.
28 K. obtained funding for the study. T.-B. O. wrote the article manuscript. All the co-authors
29 participated in the interpretation of the results, provided critical comments to the manuscript,
30 and read and approved the final manuscript.

1 **Code availability**

2 Name of the Software: WinOSPM (Windows version of the Operational Street Pollution
3 Model, OSPM)

4 Developer: Department of Environmental Science (ENVS), Aarhus University, Denmark

5 Contact address: Aarhus University, Department of Environmental Science
6 Frederiksborgvej 399, 4000 Roskilde, Denmark.

7 e-mail: ospm@au.dk

8 Operational System: Microsoft Windows 7 or later

9 Software requirements: None

10 Hardware requirements: At least 100 Mb free hard drive space and 1 Gb RAM

11 Programming language: Visual Basic 6 combined with linked libraries written in Fortran 77

12 Availability and cost: WinOSPM is a commercial software requiring licensing. Information
13 on the actual licensing conditions is given on *www.au.dk/OSPM*. A fully functioning 100 days
14 evaluation version can freely be downloaded from this site.

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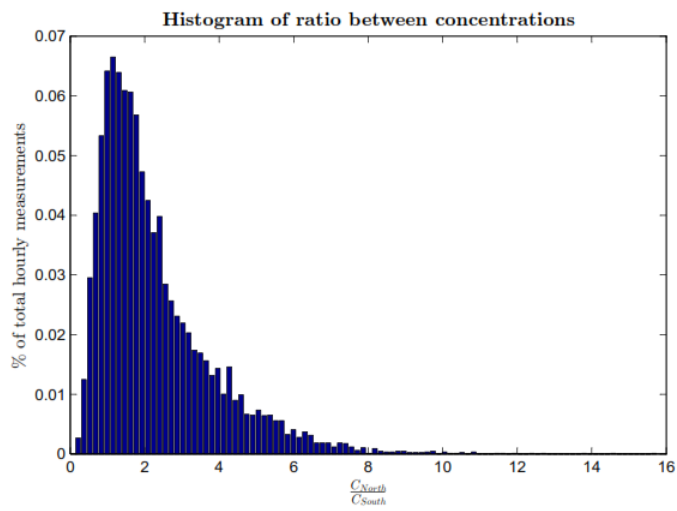
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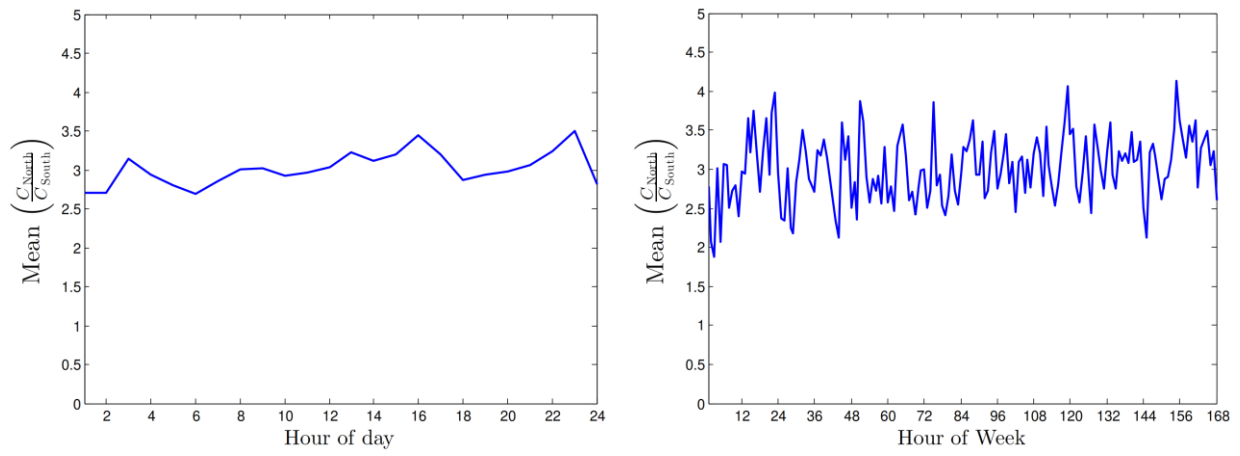
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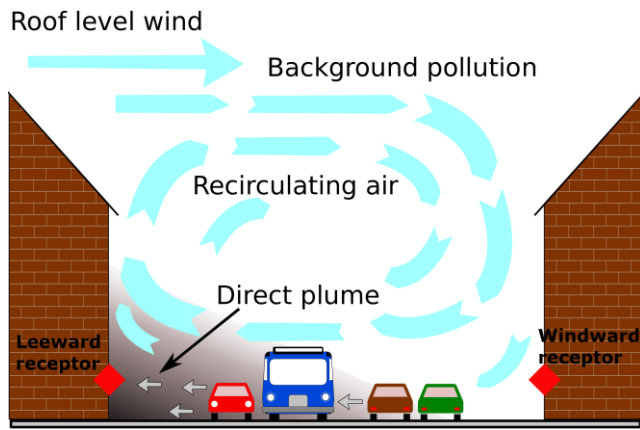
Figure 1 Histogram of ratio between North- and South side receptor for near-parallel wind directions for Hornsgatan, Stockholm.



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2 Figure 2 Diurnal and weekly variation in the mean ratio between the concentrations for the
 3 north- and south side receptor for near-parallel wind directions with wind speeds above $2 \frac{m}{s}$
 4 for Hornsgatan, Stockholm.

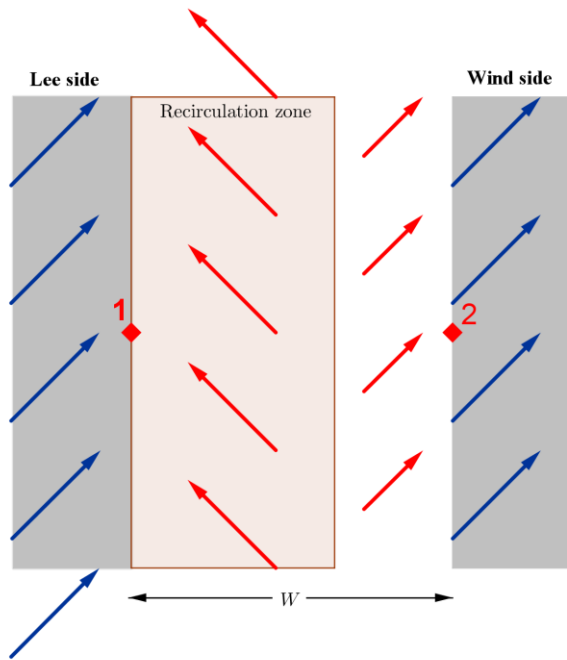
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2 Figure 3 Cross-section of a street canyon. The figure illustrates the governing flow patterns as
 3 modelled in OSPM. The two receptors are marked with red diamonds. In the figure the
 4 recirculation zone occupies the whole canyon although this need not be the case as e.g. shown
 5 in the following figures. Figure modified from Silver et al. (2013).

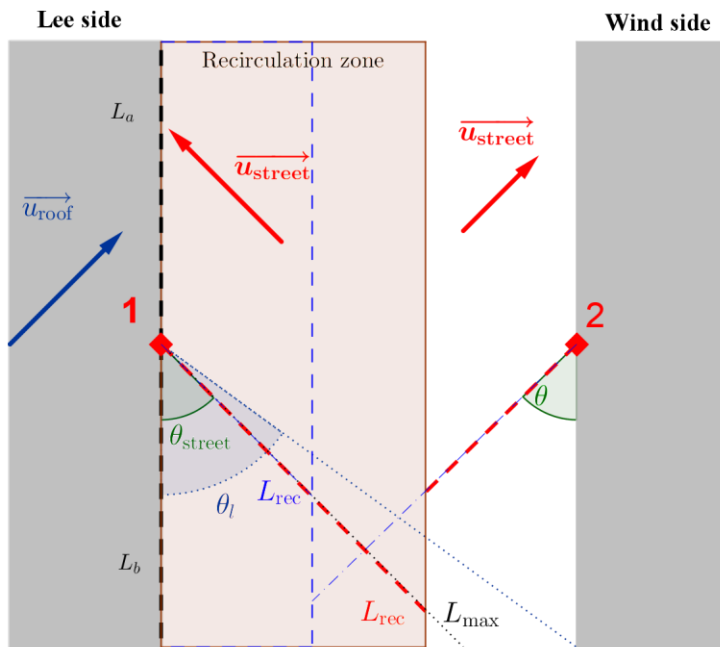
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2 Figure 4 Schematic view of a street canyon seen from the top. The arrows represent the wind
 3 directions as modelled in OSPM. The length of the arrows are not proportional to the wind
 4 speed. The blue arrows are rooftop wind directions and the red arrows are street level wind
 5 directions. The receptors are marked with red diamonds.

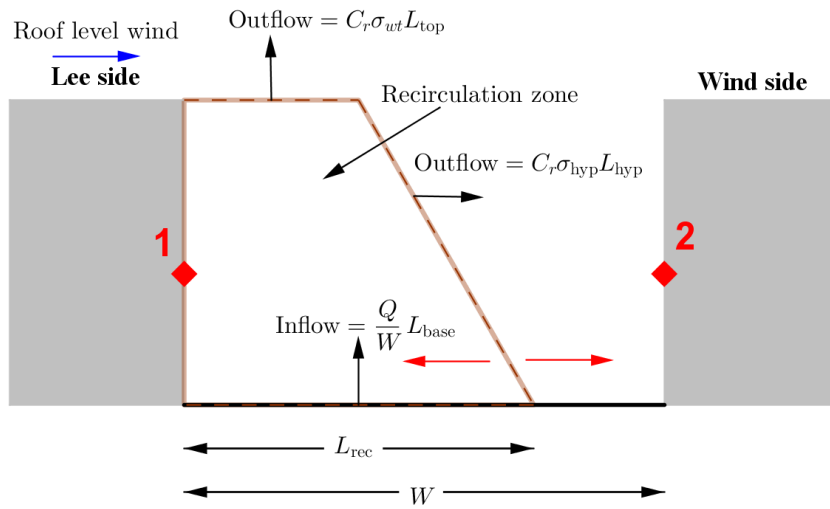
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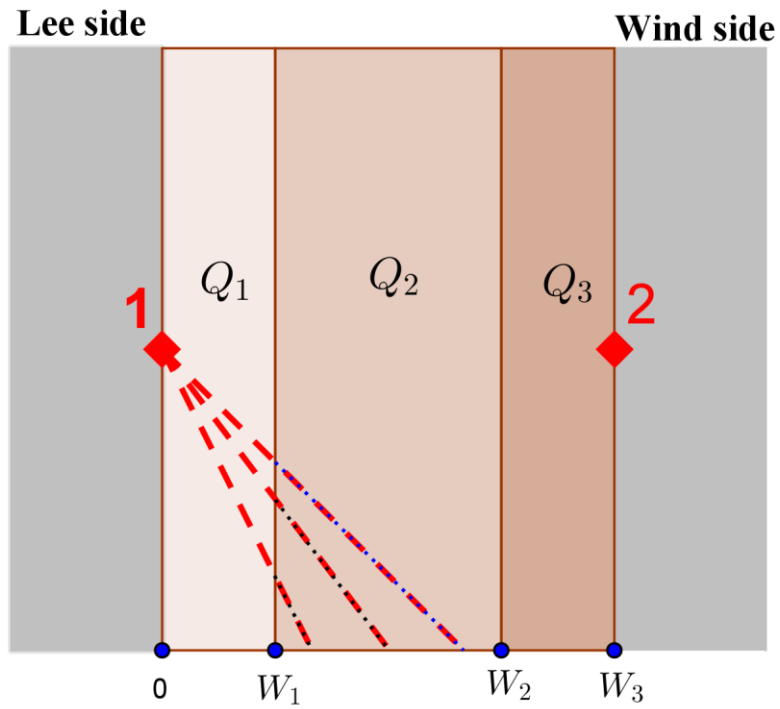
2 Figure 5 Illustration of the integration paths (red dotted lines) for an arbitrary wind direction
 3 for the two receptors in the canyon. The upper blue dotted line marks a critical wind direction
 4 (θ_l) which affects the calculation of the integration path length, and L_b is the length to the end
 5 of the canyon used to calculate the maximum integration length (L_{max}). L_{rec} is the length of
 6 the recirculation zone. A second recirculation zone is illustrated in blue with the new
 7 integration lengths likewise plotted with dotted blue lines.

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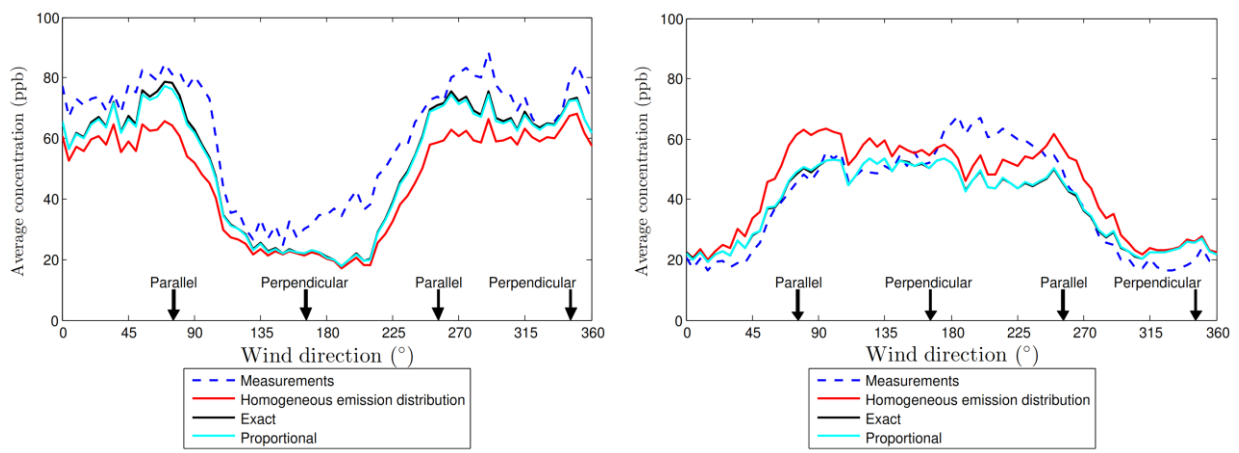
Figure 6 Cross-section of a street canyon with the dimensions of the recirculation zone illustrated. The red arrows represent the street level wind direction. Based on (Hertel and Berkowicz, 1989) p. 69



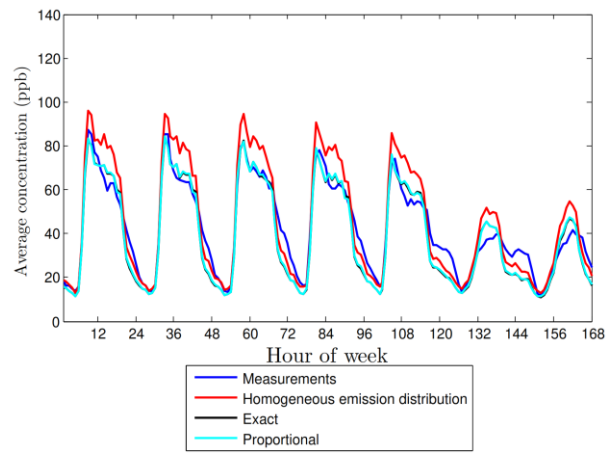
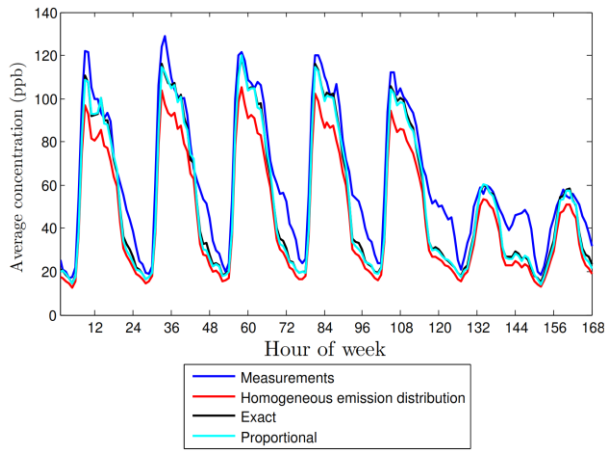
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2 Figure 7 Illustration of the division of the street canyon into a number of segments with
 3 accumulated widths W_1, W_2, W_3, \dots and emission strengths Q_1, Q_2, Q_3, \dots . The red dotted
 4 lines represent the integration path for receptor 1 for different wind directions. The blue
 5 dotted lines represent the contribution from segment Q_2 .

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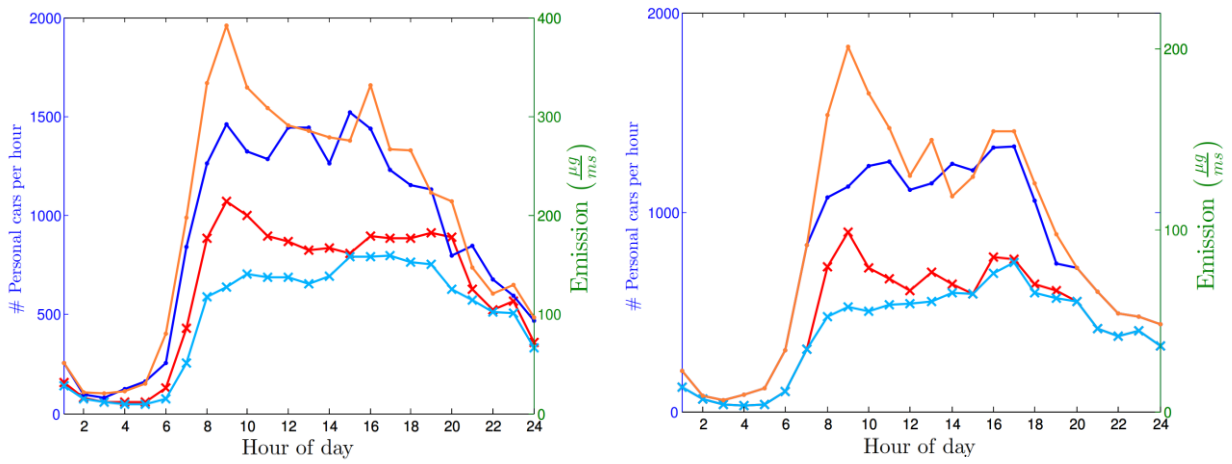
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 2 Figure 8 Mean NO_x concentrations as a function of wind direction for the period 2007-2009
 3 for the North side receptor (left side) and the South side receptor (right side). Where the black
 4 curve is hardly visible it is identical to the cyan curve.
 5



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2 Figure 9 Weekly variation in NO_x concentrations for the period 2007-2009 for the North side
 3 receptor (left) and the South side receptor (right). Where the black curve is not visible it is
 4 below the cyan curve.

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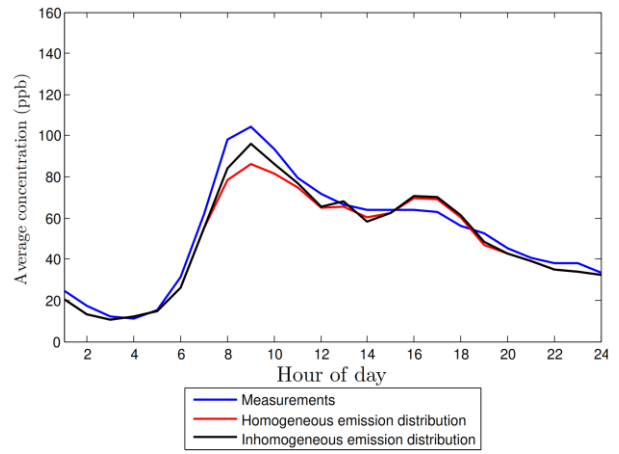
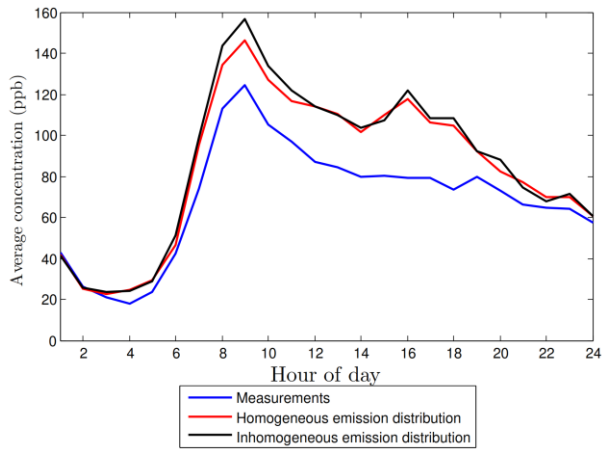


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2 Figure 10 Diurnal variation for weekdays in personal cars per hour and total NO_x emissions
 3 for for all vehicles for 2003 (left) and 2013 (right). The red and orange graphs are for the
 4 northeast direction and the blue graphs are for the southeast direction. The curves marked
 5 with dots are the emissions and the curves marked with crosses are the number of personal
 6 cars per hour.

7

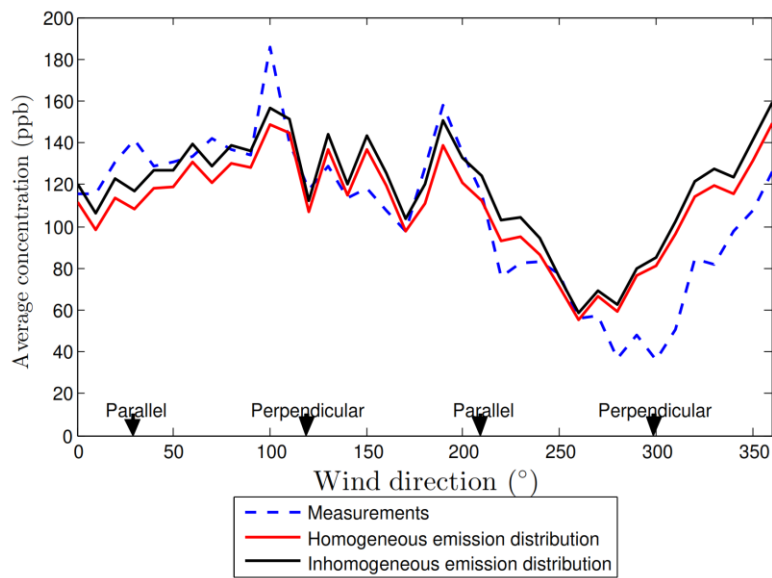
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2 Figure 11 Diurnal variation in NO_x concentrations on weekdays for 2003 (left) and 2013
 3 (right).

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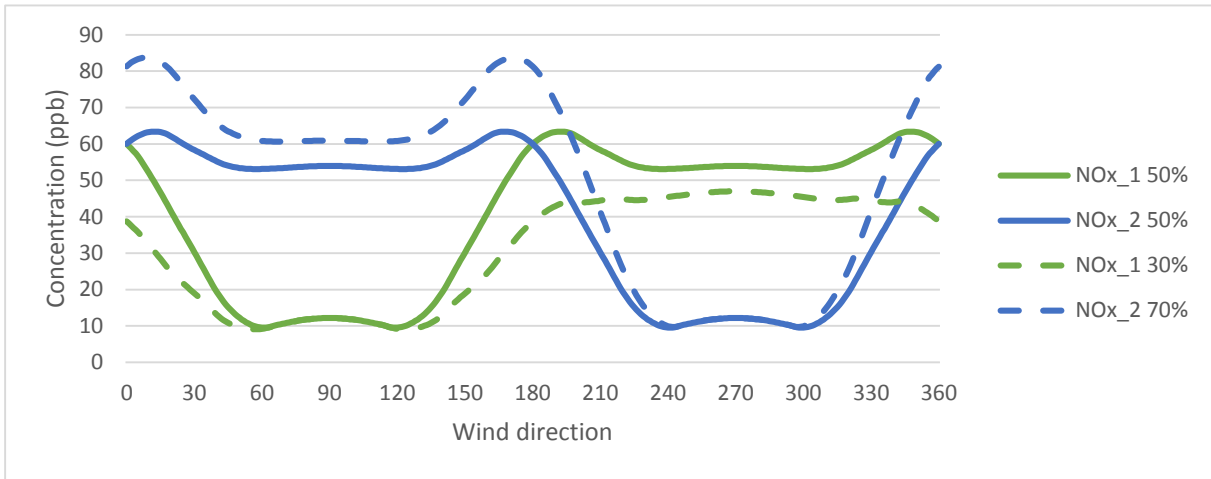


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2 Figure 12 Average NO_x concentrations as a function of wind direction for the morning rush
 3 hour 7am-9am for both 2003 and 2013.

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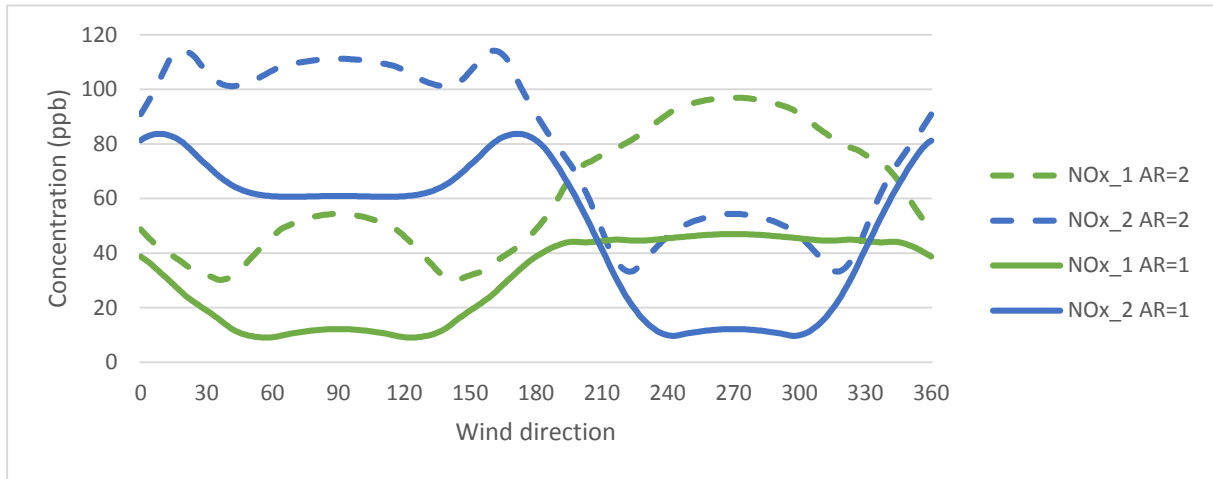


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3 Figure 13 Theoretical calculation of the concentration for the two receptors for a street canyon
4 with two emission segments each covering half the street width and an aspect ratio of one as a
5 function of the emission inhomogeneity and wind direction. Receptor 1 is marked with green
6 colour and receptor 2 is marked with blue colour. The inhomogeneity is given as percentages
7 of the total emission for the two segments and the inhomogeneous case is marked with dotted
8 lines.

9

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3 Figure 14 Theoretical calculation of the concentration for the two receptors for a street canyon
4 with an emission inhomogeneity of 70% (north going) / 30% (south going) as a function of
5 aspect ratio (AR) and wind direction. Receptor 1 is marked with green colour and receptor 2
6 is marked with blue colour. The case with high aspect ratio is marked with dotted lines.

7

1 Table 1 Overview of the properties of the two street canyons used for validation of the
 2 dispersion schemes in the study. There is a measurement station (receptor) at each side of the
 3 street in Hornsgatan, but only one measurement station on the East side of Jagtvej.

| Name: | Hornsgatan | Jagtvej |
|---------------------------|-------------------------|-------------|
| City | Stockholm | Copenhagen |
| Country | Sweden | Denmark |
| Latitude | 55 ° N | 59 ° N |
| Width | 24m | 25m |
| Height | 24m | 22m |
| Years in analysis | 07, 08, 09 | 03, 13 |
| Street orientation | 76 ° | 30 ° |
| Average daily traffic | 35.500 | 20.000 |
| Mean vehicle speed (km/h) | 45 | 29 |
| Heavy duty share | 4% | 3% |
| Receptor height | 3.0m(North) 3.3m(South) | 3.6m (East) |

4

5

1 Table 2 Level of service as a function of total number of vehicles per hour based on
2 (Vägverket and SMHI, 2007)

| Level of service | Total number of vehicles per hour |
|------------------|--------------------------------------|
| Freeflow | <601 |
| Heavy | 601 – 899 |
| Saturated | 900 – 1399 |
| Stop + Go | >1400 |

3

4

1 Table 3 Table of upper integration limits for respectively Eq. (3) (x_{end}) and Eq. (5) (x'_{end}). The
 2 definition and calculation of the lengths can be found in Table 4.

| Magnitude: | x_{end} | x'_{end} |
|-------------------------------|-----------|------------|
| $L_{rec} > x_{esc} > L_{max}$ | L_{max} | - |
| $L_{rec} > L_{max} > x_{esc}$ | x_{esc} | L_{max} |
| $x_{esc} > L_{rec} > L_{max}$ | L_{max} | - |
| $x_{esc} > L_{max} > L_{rec}$ | L_{rec} | - |
| $L_{max} > x_{esc} > L_{rec}$ | L_{rec} | - |
| $L_{max} > L_{rec} > x_{esc}$ | x_{esc} | L_{rec} |

3

4

1 Table 4 Table of the critical lengths along the integration path. These lengths determine the
 2 upper and lower limit of the integrals in the homogeneous emission dispersion scheme and of
 3 the sums in the inhomogeneous emission dispersion scheme. Moreover, they determine if the
 4 dispersion should be calculated according to Eq. (3) or Eq. (5) plus whether the concentration
 5 should be multiplied with f_{ext} as defined in Eq. (6). f_{red} is the shortening function as defined
 6 in Eq. (6), H_u is the upwind building height, θ_{street} is the wind direction compared to the
 7 street direction, θ_l is the critical wind direction as illustrated in Figure 5, W is the street width,
 8 L_b is the length from the receptor to the end of the street as illustrated in Figure 5, and h_r is
 9 the height of the inlet of the receptor above street level.

| Name: | Expression: | | | Description: |
|-------------|--|---|------------------------------|--|
| L_{rec} | $2 \cdot f_{red} \cdot H_u$ | | | Length of the recirculation zone |
| x_{esc} | $\frac{u_{street}(H_g - h_0)}{\sigma_w}$ | | | Length where the plume starts to disperse vertically out of the canyon. |
| x_{start} | $\frac{u_{street}(h_r - h_0)}{\sigma_w}$ | ; | $h_r \geq h_0$ | Length where the vertical dispersion of the plume equals the height of the receptor. |
| | 0 | ; | $h_r < h_0$ | |
| L_{max} | $\frac{W}{\sin(\theta_{street})}$ | ; | $\theta_{street} > \theta_l$ | Maximum integration path length. |
| | $\frac{L_b}{\cos(\theta_{street})}$ | ; | $\theta_{street} < \theta_l$ | |

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1 Table 5 Correlation coefficient, Fractional Bias, and Normalised Mean Square Error for the
 2 years 2007-2009 for the North side receptor. “Exact” and “Proportional” refer to the emission
 3 modelling approaches described in section 2.1. Moreover, the measured and modelled annual
 4 mean NO_x concentrations for the individual years are also shown. These are calculated as
 5 local street contribution only i.e. the background concentration subtracted from the
 6 measured/modelled street concentration to reflect the street contribution.

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| | Measured | Homogeneous emissions | Inhomogeneous emissions | |
|---------------------------------------|----------|-----------------------|-------------------------|--------------|
| | | | Exact | Proportional |
| Correlation coefficient (R^2) | | 0.85 | 0.85 | 0.85 |
| Fractional Bias (FB) | | -0.30 | -0.16 | -0.17 |
| Normalised Mean Square Error (NMSE) | | 0.36 | 0.26 | 0.26 |
| Annual mean 2007 (ppb) (ΔC) | 56.8 | 44.3 | 53.0 | 51.3 |
| Annual mean 2008 (ppb) (ΔC) | 53.9 | 37.7 | 44.2 | 44.2 |
| Annual mean 2009 (ppb) (ΔC) | 53.9 | 35.0 | 40.5 | 40.2 |

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1 Table 6 Statistical quantities for the South side receptor. Same definitions as in Table 5.

| | Measured | Homogeneous emissions | Inhomogeneous emissions | |
|---------------------------------------|----------|-----------------------|-------------------------|--------------|
| | | | Exact | Proportional |
| Correlation coefficient (R^2) | | 0.83 | 0.84 | 0.84 |
| Fractional Bias (FB) | | 0.08 | -0.08 | -0.07 |
| Normalised Mean Square Error (NMSE) | | 0.27 | 0.28 | 0.28 |
| Annual mean 2007 (ppb) (ΔC) | 32.7 | 41.2 | 33.1 | 33.6 |
| Annual mean 2008 (ppb) (ΔC) | 34.5 | 37.2 | 31.0 | 31.0 |
| Annual mean 2009 (ppb) (ΔC) | 34.6 | 34.5 | 29.1 | 29.2 |

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