Response to Reviewer 1:

1. Title: in the title of a GMD paper, the name and version of the model should be indicated

To accommodate the reviewer's request, we have changed the title to: Modeling the diurnal cycle of conserved and reactive species in the convective boundary layer using SOMCRUS

2. A section on the availability of the model code is missing

We added the sentence: SOMCRUS can be obtained in the currently-reported O3-NO-NO2 configuration by requesting a copy from lenschow@ucar.edu.

3. p. 9336, line 6: is there a specific reason why a value of 0.993h was chosen?

We added the sentence: Using h = 1.0 causes numerical difficulties because of the discontinuity in the concentration profile.

Technical comments:

4. p. 9325, line 4: the acronym 'CBL' is already explained in the abstract

We can easily remove the CBL definition in the text if the editor so advises; it was our understanding that the abstract was supposed to stand alone.

5. p. 9329, line 11: I think ' $S_i(z)$ ' should read ' $S_i(z,t)$ '

Yes, changed to  $S_i(z,t)$ .

6. p. 9333, line 4: here the acronym 'PBL' is used without further description. Since all PBLs discussed in this manuscript are CBLs, I would suggest using the latter term consistently throughout the manuscript.

Yes, changed PBL to CBL everywhere.

7. p. 9337, line 13: pls change 'In' into 'in'

changed.

8. p. 9340, line 7: add a comma after 'case B'

# changed

9. p. 9341, line 16-17: remove one of the two occurrences of 'directly' from this sentence

# changed

10. p. 9342, line 4: change 'whose the reactive' into 'whose reactive'

# changed

11. p. 9342, line 16: remove 'that'

# changed

12. p. 9343, line 2: remove 'for O3,'

# removed

13. p. 9349, line 9: add 'it is' between 'and' and 'easy', and 'to; between 'and' and 'quickly' to make the sentence grammatically correct

# changed

14. p. 9349, line 11: remove 'adding'

# removed

15. p. 9349, line 12: change 'incorporating' in 'to incorporate'

# changed

p. 9350, line 7: do you mean 'numerically', instead of 'numerally'?

# changed.

16. figure 10, caption: the figure shows the species variances at 10:00, 12:00 and 14:00, while the caption only mentions 12:00 LT. Further, the last sentence of the caption does not seem to apply to the figure.

# changed.

17. A list of abbreviations in the appendix would be a great help for the reader

Here again, we defer to the editor, as to whether this would be a desirable addition.

# Response to Reviewer 2:

1. A previous attempt to describe these processes with second order closure models,

though for nightime conditions, is Galmarini et al. (1997) that the authors may want to consider in their literature overview (http://journals.ametsoc.org/doi/pdf/ 10.1175/1520-0450(1997)036.

Yes, an egregious omission on our part. We added to p. 9326, I. 10 the following:

...Vilà-Guerau de Arellano et al. (1995) and Galmarini et al. (1997b). Galmarini et al. (1997a) also used a one-dimensional second-order closure model to study nitrogen oxide chemistry in the nocturnal boundary layer.

- Galmarini, S., Duynkerke, P. G., and Vilà-Guerau de Arellano, J.: 'Evolution of Nitrogen Oxide Chemistry in the Nocturnal Boundary Layer', J. Appl. Meteorol., 36, 943–957, 1997a.
- Galmarini, S., Vilà-Guerau de Arellano, J., and Duynkerke, P. G.: 'Scaling the Turbulent Transport of Chemical Compunds in the Surface Layer Under Neutral and Stratified Conditions', Quart. J. Roy. Meteorol. Soc., 123, 223–242, 1997b.

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# Modeling the Diurnal Cycle of Conserved and Reactive Species in the Convective Boundary Layer using SOMCRUS

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**Abstract.** We have developed a one-dimensional second-order closure numerical model to study the vertical turbulent transport of trace reactive species in the convective (daytime) planetary boundary layer (CBL), which we call the Second-Order Model for Conserved and Reactive Unsteady Scalars (SOMCRUS). The temporal variation of the CBL depth is calculated using a simple mixed-

- 5 layer model with a constant entrainment coefficient and zero-order discontinuity at the CBL top. We then calculate time-varying continuous profiles of mean concentrations and vertical turbulent fluxes, variances, and covariances of both conserved and chemically-reactive scalars in a diurnally-varying CBL. The set of reactive species is the O<sub>3</sub>–NO–NO<sub>2</sub> triad. The results for both conserved and reactive species are compared with large-eddy simulations (LES) for the same free-convection case using
- 10 the same boundary and initial conditions. For the conserved species, we compare three cases with different combinations of surface fluxes, and CBL and free-troposphere concentrations. We find good agreement of SOMCRUS with LES for the mean concentrations and fluxes of both conserved and reactive species except near the CBL top, where SOMCRUS predicts a somewhat shallower depth, and has sharp transitions in both the mean and turbulence variables, in contrast to more smeared
- 15 out variations in the LES due to horizontal averaging. Furthermore, SOMCRUS generally underestimates the variances and species-species covariances, and overestimate the temperature-species covariances. SOMCRUS predicts temperature-species covariances similar to LES near the surface, but much smaller magnitude peak values near the CBL top, and a change in sign of the covariances very near the CBL top, while the LES predicts a change in sign of the covariances in the lower half
- 20 of the CBL. SOMCRUS is also able to estimate the segregation intensity of segregation (the ratio of the species-species covariance to the product of their means), which can alter the rates of second-order chemical reactions; however, for the case considered here, this effect is small. The simplicity and extensibility of SOMCRUS means that it can be utilized for a broad range of turbulence mixing scenarios and sets of chemical reactions in the planetary boundary layer; it therefore holds great
- 25 promise as a tool to incorporate these processes within air quality and climate models.

#### 1 Introduction

The behavior of trace reactive species in the convective boundary layer (CBL) is of considerable interest for determining the fate of substances emitted by biogenic and anthropogenic sources or entrained into the CBL from the overlying free troposphere (FT). These species may react photo-

30 chemically or with other species and may be aerosol precursors. If their reaction time constants are between about 0.1 and 10 times the mixing time of the CBL, which we estimate as  $\tau(t) = h/w_*$ , where h(t) is the CBL depth and  $w_*(t)$  is the convective velocity scale,

$$w_* = \left(\frac{g}{T} \langle w\theta \rangle_0 h\right)^{1/3},\tag{1}$$

the species mean and flux profiles may be significantly modified from conserved species profiles.

35 In Eq. (1), g is gravity, T is the mean CBL temperature, and  $\langle w\theta \rangle_0$  is the surface virtual potential temperature flux. Typical mid-day CBL values are  $h \approx 1$  km and  $w_* \approx 1$  m s<sup>-1</sup>; thus  $\tau \approx 1000$  s.

In order to model their behavior the behavior of reactive species correctly, it is important to model both their vertical transport and effective reaction rates . Most CBL models ignore since the coupling between the ehemistry and the turbulence in their parameterizations of the transport and reaction

- 40 rates. Yet, this turbulence-chemistry coupling turbulence and the chemistry can have significant impacts on the effective reaction rates and thus on the profiles of these trace species and their products, many of which are important for air quality and climate considerations. One example is the fate of  $O_3$  in the CBL in the presence of other reactive species such as NO and NO<sub>2</sub>. Another is volatile organic compounds emitted by vegetation that reacts react with OH and other oxidants. The inter-
- 45 actions among these species are affected by the turbulence in the CBL so that, for example, their flux-gradient relationships are different than for conserved species. Yet, regional air quality and global climate models currently do not take into account these effects even though they may affect the predicted species concentrations.

The effects of chemical reactivity on mean and turbulence statistics of species in the CBL have

- 50 been investigated previously both with models and observations. An early effort by Lenschow (1982) showed the potential importance of chemical reactivity for the O<sub>3</sub>–NO–NO<sub>2</sub> triad in the surface layer of the CBL. This was followed by a more quantitative analysis of this triad in the surface layer by Fitzjarrald and Lenschow (1983) and an analytical study by Lenschow and Delany (1986). More detailed numerical studies in the surface layer were carried out by Gao et al. (1991), Vilà-Guerau de
- 55 Arellano and Duynkerke (1992), and Vilà-Guerau de Arellano et al. (1995). Vilà-Guerau de Arellano et al. (1995), and Galmarini et al. (1997b). Galmarini et al. (1997a) also used a one-dimensional second-order closure model to study nitrogen oxide chemistry in the nocturnal boundary layer.

Donaldson and Hilst (1972) pointed out that locally inhomogeneous mixing of species involved in second-order reactions, as measured by the intensity of segregation (the ratio of the species-species

60 covariance to the product of their means), can change (generally decrease) their reaction rates. Schumann (1989) extended consideration of chemical reactivity to the effects for two reacting species—one emitted at the surface and the other entrained across the CBL top—to the entire CBL using large-eddy simulation (LES) as a tool - and quantified the relationship between the effective reaction rate and intensity of segregation. Sykes et al. (1994) used LES to further study the effects

- 65 of turbulent mixing on the effective reaction rate between two species, and also compared LES results with a second-order turbulence model using several closures for the triple correlation terms. Krol et al. (2000) used LES with a more detailed chemical scheme that included OH, HO<sub>2</sub>, and a generic hydrocarbon RH in addition to the O<sub>3</sub>–NO–NO<sub>2</sub> triad and obtained a significant reduction in the RH reaction rate in the CBL due to segregation effects, and also showed that nonuniform
- 50 surface fluxes of RH further slowed its reaction rate. Kim et al. (2012) showed, via LES, that both fair-weather cumulus and the concentration of NO + NO<sub>2</sub> can further modify the reaction rate of isoprene and the O<sub>3</sub> concentration. Vinuesa and Vilà-Guerau de Arellano (2003) used LES to elicit more details on terms in the covariance budgets of chemically reactive species and proposed a parameterization for the intensity of segregation of reactive species.
- 75 Here we report on continued development of a second-order closure model of the CBL. The immediate origins of the model—which we call the Second-Order Model for Conserved and Reactive Unsteady Scalars (SOMCRUS)—go back to Verver et al. (1997, 2000), who developed a second-order closure model to investigate reactive species in the CBL. This work by Verver et al. (1997, 2000) was subsequently used by Kristensen et al. (2010) as a basis for a simple, one-dimensional
- second-order closure model to obtain continuous equilibrium profiles of turbulent fluxes and mean concentrations of non-conserved scalars (the  $O_3$ -NO-NO<sub>2</sub> triad) in a steady-state convective boundary layer without shear. The development here combines a simple mixed-layer model (Tennekes, 1973) of the diurnally-varying CBL from which we obtain the depth h(t), the mean virtual potential temperature  $\Theta$ , and the virtual potential temperature difference across the assumed infinitesimally
- 85 thin CBL top  $\Delta\Theta$  with a second-order model of the turbulence and mean CBL structure for both conserved and reactive species with surface sources and sinks, and turbulent entrainment of FT air across the top of the CBL. SOMCRUS differs from Verver et al. (1997, 2000) in that it: 1) explicitly calculates h(t) rather than using a prescribed h(t), and 2) does not include parameterized diagnostic equations for the third-moments that appear in the second-moment equations. We found that not in-
- 90 cluding the third-moment equations significantly simplified setting up and running the model while not greatly impacting the results.

Here we model a shear-free CBL and use free-convection surface-layer scaling, but our scheme can easily be modified to run other parameterized boundary layers (e.g. incorporating shear and canopy structure). We then apply SOMCRUS first to a conserved species with differing surface and

95 entrainment fluxes, and second to the  $O_3$ -NO-NO<sub>2</sub> triad, and compare the results with LES.

### 2 Description of models

### 2.1 SOMCRUS

#### 2.1.1 Basic equations

SOMCRUS is a further development of the model of Kristensen et al. (2010) who carried out similar studies using a second-order closure model to calculate profiles of mean and turbulence statistics, but they considered only steady-state solutions (dh/dt = 0), with the entrainment rate of FT air into the CBL balanced by a mean subsidence velocity.

Here we extend the model of Kristensen et al. (2010) by considering a diurnally-varying h(t), which typically varies greatly throughout the day, starting near the surface early in the morning and

105 increasing to a typical depth of a kilometer or more by mid-afternoon. We first solve for h(t), the mean mixed-layer virtual potential temperature  $\Theta(t)$ , and the virtual potential temperature across the inversion at the top of the CBL  $\Delta\Theta(t) = \Theta_h(t) - \Theta(t)$  simultaneously using the mixed-layer approach developed by Tennekes (1973),

$$\gamma \frac{dh}{dt} - \frac{d\Delta\Theta}{dt} + \gamma \frac{\partial w}{\partial z}h = (1+A)\frac{\langle w\theta \rangle_0}{h},\tag{2}$$

110

115

$$\frac{dh}{dt} + \frac{\partial W}{\partial z}h = A\frac{\langle w\theta \rangle_0}{\Delta\Theta},\tag{3}$$

$$\frac{d\Theta}{dt} = (1+A)\frac{\langle w\theta \rangle_0}{h},\tag{4}$$

where  $\gamma = \partial \Theta / \partial z$  is the FT lapse rate,  $\theta$  denotes fluctuations in virtual potential temperature,  $\partial W / \partial z$  is the large-scale CBL subsidence, and

$$A = -\frac{\langle w\theta \rangle_h}{\langle w\theta \rangle_0} \tag{5}$$

is the negative ratio of the virtual potential temperature flux at h to the surface temperature flux. We use the computed h(t) as an input into SOMCRUS.

- SOMCRUS is a coupled second-order moment system for mean concentrations  $S_i(z,t)$ , fluxes 120  $\langle ws_i \rangle(z,t)$ , species-temperature covariances  $\langle \theta s_i \rangle(z,t)$ , and species-species covariances  $\langle s_i s_j \rangle(z,t)$ where angle brackets  $\langle \cdots \rangle$  indicate ensemble averaging, which here can be interpreted as averaging over a large enough horizontal domain to obtain stable statistics. The moment equations have the general form of time change + vertical transport + mixing = chemical reaction moments. The relevant equations for this analysis follow Kristensen et al. (2010) and Verver et al. (1997).
- 125 The first equation is the mass conservation equation for the concentration of scalars  $\tilde{s}_i$ , where  $\tilde{s}_i$  $\tilde{s}_i(z,t)$ , where  $\tilde{s}_i(z,t)$  is decomposed into a mean and fluctuation,  $\tilde{s}_i = S_i(z) + s_i(x,t) = S_i(z,t) + s_i(z,t)$ ,

where for simplicity for single variables we use the notation  $S_i = \langle \tilde{s}_i \rangle$ . The mean profiles  $S_i(z,t)$  obey a system of differential equations,

$$\frac{\partial S_i}{\partial t} + \frac{\partial \langle w s_i \rangle}{\partial z} = \mathcal{R}_{i_2}.$$
(6)

130 Similarly,  $\widetilde{\mathcal{R}}_i(\mathbf{x},t)\widetilde{\mathcal{R}}_i(z,t)$ , which is the rate of concentration change due to reactions with all other species and to photochemistry, is decomposed as

$$\widetilde{\mathcal{R}}_{i}(\underline{\mathbf{x}}\underline{z},t) = \mathcal{R}_{i}(z,t) + r_{i}(\underline{\mathbf{x}}\underline{z},t), \qquad i = 1, 2, \dots, N,$$
(7)

where

$$\mathcal{R}_i = \left\langle \widetilde{\mathcal{R}}_i \right\rangle. \tag{8}$$

135 The first- and second-order chemical reaction rates are given by  $b_j^i$  and  $k_{jm}^i$ , respectively, where the left side contains the reactants and the right side the products:

$$s_j \stackrel{b_j^i}{\to} s_i, \tag{9}$$

$$s_j + s_m \stackrel{k_{jm}^i}{\to} s_i. \tag{10}$$

This notation can be extended to higher-order chemical reactions if needed. The reaction rates for a 140 species i, then are are then given by

$$\mathcal{R}_i = \sum_{j,m} k^i_{jm} \left( S_j S_m + \langle s_j s_m \rangle \right) + \sum_j b^i_j S_j, \tag{11}$$

$$r_{i} = \sum_{j,m} k^{i}_{jm} \left( S_{j} s_{m} + s_{j} S_{m} \right) + \sum_{j} b^{i}_{j} s_{j}.$$
(12)

As described in detail by Kristensen et al. (2010), Eq. (12) is combined with the three secondmoment equations for the flux, temperature–scalar covariance, and scalar–scalar covariance,

145 
$$\frac{\partial}{\partial t} \langle ws_i \rangle + \langle w^2 \rangle \frac{\partial S_i}{\partial z} + \frac{\langle ws_i \rangle}{\tau_1} - (1 - B) \frac{g}{T} \langle \theta s_i \rangle = \langle wr_i \rangle, \tag{13}$$

$$\frac{\partial}{\partial t} \langle \theta s_i \rangle + \langle w \theta \rangle \frac{\partial S_i}{\partial z} + \frac{\langle \theta s_i \rangle}{\tau_4} = \langle r_i \theta \rangle, \tag{14}$$

and

$$\frac{\partial}{\partial t} \langle s_i s_j \rangle + \langle w s_i \rangle \frac{\partial S_j}{\partial z} + \langle w s_j \rangle \frac{\partial S_i}{\partial z} + \frac{\langle s_i s_j \rangle}{\tau_3} = \langle r_i r_j \rangle, \tag{15}$$

150 to obtain a set of equations that can be solved for the mean and second-order moments. Here we have neglected moments higher than two since Kristensen et al. (2010) found them to be relatively unimportant. Comparing the two systems with and without <u>parameterized</u> third-order moment terms, mathematically the latter is first-order in time and space variables while the former

contains second-order derivative terms and requires an additional set of boundary conditions - and

155 empirically-determined constants. We did find, however, that adding the third-moment diagnostic expressions given by Verver et al. (1997) to the second-moment equations reduces the gradients in the mean concentration profiles and improves somewhat the comparison with LES.

The chemical moments are on the right side of Eqs. (13) - (15) are

$$\langle wr_i \rangle = \sum_{k,m} k^i_{km} (S_k \langle ws_m \rangle + S_m \langle ws_k \rangle) + \sum_k b^i_k \langle ws_k \rangle$$
(16)

$$160 \quad \langle \theta r_i \rangle = \sum_{k,m} k_{km}^i (S_k \langle \theta s_m \rangle + S_m \langle \theta s_k \rangle) + \sum_k b_k^i \langle \theta s_k \rangle$$
(17)

$$\langle r_i r_j \rangle = \sum_{k,m} [k_{km}^i (S_k \langle s_m s_j \rangle + S_m \langle s_k s_j \rangle + \langle s_j s_k s_m \rangle) + k_{km}^j (S_k \langle s_m s_i \rangle + S_m \langle s_i s_k \rangle) + \langle s_i s_k s_m \rangle)] + \sum_k (b_k^i \langle s_k s_j \rangle + b_k^j \langle s_k s_i \rangle).$$

$$(18)$$

Following Kristensen et al. (2010), we assume that the mean virtual potential temperature gradient term in Eq. (14) is negligible in the CBL. The constants in Eqs. (13)–(15) are obtained as follows: For

165 the pressure-scalar covariance term in Eq. (13) we follow André et al. (1976), Moeng and Wyngaard (1986), Moeng and Wyngaard (1989), and Verver et al. (1997) and use the parameterization

$$\frac{1}{\rho} \left\langle s_i \frac{\partial p}{\partial z} \right\rangle = \frac{\langle w s_i \rangle}{\tau_1} + B \frac{g}{T} \langle \theta s_i \rangle, \tag{19}$$

where  $B \simeq 0.4$  is a dimensionless constant and  $\tau_1 = \tau_1(z)$  the "return to isotropy" time scale. This parameterization is based on large-eddy simulation of the CBL, and is widely used in second-order models of the CBL. Likewise, the viscous terms in Eqs. (14) and (15) have been parameterized by

models of the CBL. Likewise, the viscous terms in Eqs. (14) and (15) have been parameterized b "return to isotropy" time scales  $\tau_4(z)$  and  $\tau_3(z)$ , respectively:

$$(\nu_{\theta} + \nu_s) \langle \nabla \theta \cdot \nabla s_i \rangle = \frac{\langle \theta s_i \rangle}{\tau_4(z)}$$
<sup>(20)</sup>

$$2\nu_s \langle \nabla s_i \cdot \nabla s_j \rangle = \frac{\langle s_i s_j \rangle}{\tau_3(z)}.$$
(21)

We also use the following parameterized second-order moments: 1) the empirical formulation of 175 Lenschow et al. (1980) for  $\langle w^2 \rangle$ 

$$\langle w^2 \rangle = 1.8 w_*^2 z_*^{2/3} \left( 1 - 0.8 z_* \right)^2, \tag{22}$$

where  $z_* = z/h$ , and 2) the commonly-accepted empirical formulation e.g. (e.g., Tennekes, 1973) for  $\langle w\theta \rangle(z)$ ,

$$\langle w\theta \rangle = \langle w\theta \rangle_0 (1 - 1.2z_*). \tag{23}$$

180 These expressions result from a combination of both observations and laboratory experiments.

The time constants in Eqs. (13) to (15) and Eqs. (19) to (21) are parameterized as

$$\tau_i = \tau_{TKE} / a_i = \frac{18}{a_i} \frac{\kappa z (1 - z_*)}{\langle w^2 \rangle^{1/2}}, \quad i = 1, 3, 4,$$
(24)

where  $a_i$  are dimensionless constants,  $\kappa = 0.4$  is the von Kármán constant, and  $\tau_{TKE}$  is the turbulent kinetic energy time scale in mid-CBL. This is similar to Verver et al. (1997), except that we use 18

185 instead of 10 as the constant in Eq. (24). We do this so that  $\tau_{TKE} \approx 2.8h/w_*$  in mid-CBL, as suggested by the LES results of Moeng and Wyngaard (1989). This differs from Verver et al. (1997), who assumed that  $\tau_{TKE} \approx h/w_*$ . Another difference from Verver et al. (1997) is that, as pointed out by Kristensen et al. (2010), the predicted free-convection surface-layer relationship (Holtslag and Moeng, 1991) for the normalized eddy diffusivity given by

$$190 \quad \frac{K_{\theta}}{w_*h} = -\frac{1}{w_*} \frac{\langle ws_i \rangle_0}{\partial S_i / \partial z_*}$$
(25)

$$= z_*^{4/3}, \quad \text{as } z_* \to 0,$$
 (26)

leads to the relation

$$\frac{3}{a_1}\left(1.8 + \frac{3}{a_4}\right) = 1.$$
(27)

In order to fulfill this condition, we modify the values of  $\{a_1, a_4\} = \{4.85, 2.5\}$  given by Verver 195 et al. (1997) to  $\{7.67, 3.96\}$  so as to both maintain the same ratio  $a_1/a_4$  as Verver et al. (1997) and fulfill Eq. (26). The other two constants used here,  $\{a_3, B\} = \{2.5, 0.4\}$ , are the same as Verver et al. (1997).

#### 2.2 Description of LES model

- Due to the enormous complexities associated with real-world observations, we turn to turbulenceresolving atmospheric LES as a tool to evaluate the ability of SOMCRUS to simulate the time evolution of passive and reactive scalars in the <u>PBLCBL</u>. The National Center for Atmospheric Research's (NCAR) LES was first described in Moeng (1984) and Moeng and Wyngaard (1988), and was subsequently modified by Sullivan et al. (1994, 1996); Patton et al. (2005); Vilà-Guerau De Arellano et al. (2005); Sullivan and Patton (2011); Kim et al. (2012). Over the years, the NCAR LES has proven
- its ability to simulate observed atmospheric statistics across a wide variety of atmospheric situations and surface characteristics (e.g. Moeng, 1984; Moeng and Wyngaard, 1988; Sullivan et al., 1996; Patton et al., 2003; Vilà-Guerau De Arellano et al., 2005; Beare et al., 2006; Finnigan et al., 2009; Sullivan and Patton, 2011; Lenschow et al., 2012) and has therefore become a close counterpart to field campaigns. Since most of the LES code has been previously described, we present here only a
  limited discussion of the current code.
  - The NCAR LES code integrates a set of three-dimensional, wave-cutoff-filtered Boussinesq equations, where a Poisson equation solves for the pressure. In the work described here, a thermodynamic energy equation as well as a conservation equation for each of three passive scalars and three reactive scalars are solved. Unresolved, or subfilter-scale (SFS) processes, are accounted for by using
- 215 Deardorff's (1980) 1.5-order TKE model. Reactive scalars are presumed to mix like passive scalars at scales smaller than the filter width.

Horizontal derivatives are estimated using pseudospectral methods (Fox and Orzag, 1973), and vertical derivatives use a second-order centered-in-space finite difference scheme for velocity fields and Koren's (1993) method for all scalar fields. A third-order Runge-Kutta scheme advances the solutions in time (Spalart et al., 1991; Sullivan et al., 1996).

The simulations use  $256 \times 256 \times 256$  grid points to resolve a  $5.12 \times 5.12 \times 2.56$  km<sup>3</sup> domain. Therefore, the grid resolution is (20, 20, 10) m in the (*x*, *y*, *z*) directions, respectively. Periodic boundary conditions are imposed in the horizontal directions. Klemp and Durran's (1983) radiation boundary condition handles the upper boundary conditions. No-slip conditions are enforced at the ground sur-

225 face, where the surface stress is calculated following Monin-Obukhov Similarity Theory (MOST) from a prescribed surface roughness length and the velocity or scalar mixing ratio at one-half grid point above the surface, where no modification to MOST is imposed for reactive scalars.

Turbulent fluctuations from the LES are calculated as deviations from the horizontal mean. Turbulence moments are then determined as horizontally-averaged fluctuation products which are then

230 time-averaged using a time-evolving vertical coordinate system according to the time-evolving PBL CBL depth. The PBL-CBL depth h is estimated using the LES fields as the height of the minimum buoyancy flux. The LES results presented in Figs. 2-11 represent one-hour averages centered at the depicted times.

#### 2.3 Implementation of SOMCRUS

220

- 235 The SOMCRUS Eq. (6) and Eqs. (13)–(15) contains 3n + n(n + 1)/2 partial differential equations for the following variables: mean concentrations, S<sub>i</sub>(z,t); vertical eddy fluxes, ⟨ws<sub>i</sub>⟩; temperature-species covariances, ⟨θs<sub>i</sub>⟩; and species-species variances and covariances, ⟨s<sub>i</sub>s<sub>j</sub>⟩, where 1 ≤ i ≤ j ≤ n, and n is the total number of species. The combined PDE system is configured so that it can be solved in a space-time region consisting of a full or partial diurnal cycle, t<sub>0</sub> < t < t<sub>1</sub>, where t<sub>0</sub> is the
- initial time (e.g. sunrise, or earlier), and  $t_1$  is the final time (e.g. sunset) with time-dependent spatial boundaries given by the CBL height: 0 < z < h(t), using the mixed-layer Eqs. (2) – (4).

We need to impose 3n+n(n+1)/2 boundary conditions (BCs), where n is the number of species. We impose an entrainment relationship for species fluxes across the CBL top,

$$\langle ws_i \rangle_h = -w_e \left[ S_i(h^+) - S_i(h^-) \right], \tag{28}$$

where  $w_e$  is the entrainment velocity,  $S_i(h^+)$  is the concentration just above the CBL top, and  $S_i(h^-)$  the concentration just below the top. We also specify surface values for the temperature and species fluxes as well as for the species variances and temperature–species covariances.

In general, systems like SOMCRUS with top and bottom BCs are well-posed mathematically, so we would expect a unique well-defined solution throughout the domain  $\{0 < z < h(t)\}$  for the 250 species concentrations and second-order moments. There are, however, some serious mathematical and numerical problems that can have significant impact on the CBL structure and need to be addressed in the time-dependent CBL due to the singular nature of the parameterized functions; namely, at the lower boundary ( $z_* = 0$ ) the parameterized moment  $\langle w^2 \rangle(z_*)$ , the time scales  $\tau_i(z_*)$ , and many coefficients (e.g. the eddy diffusivity) vanish. This is a well-established feature of surface-

255 layer dynamics (e.g. Stull, 1988) and has important implications for analysis and solutions of CBL systems that attempt to simulate surface-layer structure, namely: (1) proper choice and setup of BCs, (2) structure of the solutions, and (3) mathematical and numerical techniques for solving such systems.

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Verver et al. (1997) did not attempt to deal with this problem and thus did not resolve surface-layer structure in a time-varying (diurnal) model as we do here, which may have significant impact on the overlying CBL structure. In the Appendix we lay out our technique for solving the set of Eqs. (13) to (15) in a way that allows us to resolve the surface-layer structure and gives an efficient way to solve the moment equations throughout the CBL.

Our boundary conditions (BCs) are similar to those used by Verver et al. (2000). We specify 265 the surface species fluxes  $\langle ws_i \rangle_0(t)$ ; the surface variances and covariances are specified based on relations obtained by Wyngaard et al. (1971) from observations in the free convection regime:

$$\langle \theta s_i \rangle_0 = 1.66 \frac{\langle w \theta \rangle_0 \langle w s_i \rangle_0}{w_*^2} z_*^{-2/3}$$
<sup>(29)</sup>

$$\langle s_i s_j \rangle_0 = 1.66 \frac{\langle w s_i \rangle_0 \langle w s_j \rangle_0}{w_*^2} z_*^{-2/3}$$
(30)

At the lower boundary  $z = z_0 (z_0/h \text{ is set equal to } 10^{-3} \text{ for numerical calculations; note that } z_0$ is not the roughness length but a lower boundary condition for solving the differential equation set Eqs. (A9) – (A12), as we assume a free convection boundary layer). Similarly, because of the discontinuity at z = h, the top boundary we actually set in SOMCRUSas 0.993h(z = h), which causes numerical difficulties, we actually use z = 0.993h in SOMCRUS; henceforth for simplicity, we redefine h as the height used in SOMCRUS.

- We use *Mathematica* (Wolfram Research, Inc., 2015) at all stages of the model development, implementation, and simulations. The mixed-layer Eqs. (2) – (4), are first solved using the *Mathematica* differential equation solver, and the calculated values for h(t) and  $\Theta(t)$  are used in SOMCRUS, Eqs. (A9) – (A12). SOMCRUS is designed to cleanly separate the turbulent mixing terms in the moment equations from the chemical reaction terms in the system of Eqs. (A9) – (A12). *Mathematica* al-
- lows us to generate the entire SOMCRUS system in two steps: (1) using symbolic algebra tools we generate from the basic chemical suite of species and reactions the complete moment chemistry;
  (2) parameterized CBL mixing along with the mixed-layer solution for {h(t), Θ(t)} allows us to generate the turbulent mixing part of the system in regularized form Eqs. (A9) (A12).

The next step is to solve Eqs. (A9) – (A12) with the given boundary conditions. The *Mathematica* solver does this by a proper spatial discretization scheme whose inputs (resolution, difference order, etc.) can be controlled. Thereby a system of partial differential equations is converted into a large (coupled) set of ordinary differential equations solved by time-adaptive numeric codes. The output of the Mathematica solver is a set of interpolating functions over a prescribed space-time range. A single run for a conserved species with a spatial resolution of 100 points in x takes about 30

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s of desktop computing time. A system of three reactive species; i.e. the  $O_3$ -NO-NO<sub>2</sub> triad (15 equations) at the same resolution takes 100-200 s of desktop computing time, depending on the spatial and temporal resolution used in solving the equations. The system size increases with the number of reactive species; e.g. for 10 reactive species, 85 equations must be solved.

#### SOMCRUS Evaluation and Results 3

#### 295 3.1 Case Description

In order to demonstrate the performance of SOMCRUS, we compare SOMCRUS results with those from LES using the same meteorological case as Vilà-Guerau de Arellano et al. (2011); namely, fifteen-day averaged observations from the Tropical Forest and Fire Emission Experiment (TROF-FEE, Karl et al., 2007). The initial and boundary conditions in the numerical experiments are pre-

- sented in Tables 1 and 2. The geostrophic wind is 0 m s<sup>-1</sup> (*i.e.* local free convective conditions). 300 No large-scale forcings (i.e., no horizontal heat and moisture advection, subsidence, nor radiative tendencies) are prescribed. In the LES, turbulence is initiated Turbulence is initiated in the LES by imposing a divergence-free random perturbation field on the velocity and temperature fields in the lowest 200 m. The LES results presented in Figs. 2-11 represent one-hour averages centered at
- the depicted times. The simulation begins at 0500 local time (LT) and lasts 13 hours (sunrise is at 305 0600 LT and sunset at 1800 LT). The depth of the CBL calculated by SOMCRUS and the surface temperature flux are shown in Fig. 1.

#### 3.2 Conserved Species Means and Moments

We first compare the mean and moment profiles for three cases of a conserved scalar using both SOMCRUS and LES at 1000 LT, 1200 LT, and 1400 LT (see Table 1 for the meteorological initial 310 and boundary conditions of the variables). Each scalar case (labeled "case A", "case B" and "case C") has different initial conditions (IC) and boundary conditions (BC) as specified in Table 2. We present these three conserved scalar cases to demonstrate the ability of SOMCRUS to reproduce vertical mixing in the CBL and the influence of surface or entrainment fluxes in the absence of

315 reactivity.

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Profiles for case A, which has a surface flux and an initial CBL concentration, but zero concentration in the FT are compared in Fig. 2. This case illustrates the effects of both a surface source and entrainment on the evolving CBL, but since the FT concentration is zero, the total mass of species within the CBL (i.e. the area under the curve) is not affected by entrainment and is the same for both SOMCRUS and LES. We see that particularly at 1000 LT the concentration distribution around the

CBL top is more spread out vertically in the LES than for SOMCRUS, which has a step change in

concentration at the CBL top. This smearing out is because the LES resolves horizontal variations in the CBL structure—in particular, horizontal variations in the CBL top. The LES also predicts a CBL depth about 150 m higher than SOMCRUS, which is consistent with the results of Vilà-Guerau

- 325 de Arellano et al. (2011), who used a similar mixed-layer model and made similar comparisons of *h* with LES for the same case as here. These two features result in a SOMCRUS CBL concentration that is larger than the LES concentration. Furthermore, the LES predicts a smaller gradient throughout the CBL, which increases the difference between the two concentration profiles near the surface as compared to the upper part of the CBL. The maximum difference of about 12% occurs at 1000
- 330 <u>LT at  $z_* \approx 0.06$ </u>. Later, at 1200 LT and 1400 LT these differences, although still present, are less pronounced and thus the agreement between SOMCRUS and LES is improved.

Comparing the vertical flux profiles in Fig. 2 for case A at the same three times, we see that the 1000 LT LES flux is more spread out vertically, analogous to the concentration, and extends to a higher level than the SOMCRUS flux, with the difference increasing with height up to h. This results

- 335 in about a 12% larger flux maximum for SOMCRUS than for the LES. At later times, the LES and SOMCRUS fluxes are in very good agreement, except near the top where the LES flux is again more spread out. This affects The right column of Fig. 2 shows a comparison of SOMCRUS variances with LES variances for case A. We see that the LES predicts the height of the variance maximum near the CBL top to be about 150 m higher than SOMCRUS, consistent with the predicted higher
- 340 LES mixed-layer depth. The LES maximum variance is slightly larger than SOMCRUS at 1000 LT and subsequently decreases more rapidly than the LES slowly than SOMCRUS so that by 1400 LT the SOMCRUS variance is only about 17% of the LES variance. This is likely occurring because the SOMCRUS variance depends explicitly on the CBL growth rate and the jump in concentration across the CBL top, while the LES variance, being a horizontal average, also incorporates contributions
- 345 from the horizontal variations in CBL height, which are not included in the SOMCRUS results. The SOMCRUS variance is also strongly dependent on the value of  $a_3$ , but adjusting  $a_3$  does not address the more rapid decrease in SOMCRUS variance with time compared with LES; furthermore, decreasing  $a_3$  to obtain a better match to the LES variance near the CBL top also increases the SOMCRUS variance near the surface, which then worsens the comparison of SOMCRUS variance
- 350 with the LES variance.

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Figure 3 shows the variance of the same case A of Fig. 2 at 1000 LT for the lowest 100 m of the CBL. Here we compare the variance with both the LES and with the local free convection prediction originally presented by Wyngaard et al. (1971) using dimensional analysis and observational results for temperature variance; later Lenschow et al. (1980) found that this relation, given below, also worked well for humidity variance observations:

$$\frac{\langle s^2 \rangle}{s_*^2} = 1.8z_*^{-2/3},\tag{31}$$

where  $s_* = \langle ws \rangle_0 / w_*$ . Note that the dependency on h cancels out, and we have

$$\langle s^2 \rangle = 1.8 \langle ws \rangle_0^2 \left(\frac{g}{T} \langle w\theta \rangle_0 z\right)^{-2/3}.$$
(32)

We see that the SOMCRUS variance agrees well with the LES prediction to within about 40 m of
the surface, while the LES does not capture the z<sup>-2/3</sup> dependency close to the surface. We note that
Sullivan and Patton (2011) have pointed out that it may be possible for the LES to reproduce this additional near-surface scalar variance if an additional equation for subfilter-scale scalar variance were
incorporated akin to that used by Schmidt and Schumann (1989)—a feature not yet implemented in
the NCAR LES. The SOMCRUS variance profile has a shape similar to that of the free convection
prediction, but is systematically less-larger by about 0.2 units<sup>2</sup>.

Figure 4 shows the same set of profiles for case B, which has no initial CBL concentration, 6 units FT concentration, and 1 unit m s<sup>-1</sup> surface flux. The results are very similar to case A; the combination of surface flux and entrainment results in a CBL concentration remarkably close to case A. Again at 1000 LT the SOMCRUS concentration is larger than the LES concentration throughout

- 370 the CBL, with the difference decreasing towards the CBL top, and the LES concentration exceeding the SOMCRUS concentration in the entrainment region near the CBL top. At 1200 LT and 1400 LT, the concentrations are in very good agreement, with the SOMCRUS concentrations slightly exceeding the LES concentrations near the surface because of a smaller vertical gradient in the LES concentrations.
- 375 Comparisons for nonreactive scalar case C at 1000 LT, 1200 LT, and 1400 LT are presented in Fig. 5. This case has no surface flux nor CBL concentration, but an initial FT concentration of 10 units, so it illustrates the effects solely of entrainment on the CBL vertical structure. Here we see almost perfect agreement between the LES and SOMCRUS concentrations, except near the top where the LES variables are again more spread out. The comparison of SOMCRUS variances with
- 380 LES variances shows that the variance near the CBL top is similar to case A in that the SOMCRUS variance decreases more rapidly with time than the LES variance. In the lowest 200 m of the CBL the SOMCRUS variance becomes negligible since it depends on the surface flux, while the LES variance, particularly at 1000 LT, is still about 10% of the maximum variance near the CBL top. Thus, for the LES, variance generated by the entrainment flux is transported all the way down to the surface.

Overall we see from this comparison that the SOMCRUS and LES are in generally good agreement for concentrations and fluxes, especially at the later times when the differences in the entrainment process, which are most apparent at 1000 LT have less effect on the overall vertical structure because of the increased CBL depth. Furthermore, SOMCRUS However, SOMCRUS

390 significantly underestimates the variances near the CBL top—especially at later times. We also note that SOMCRUS can reproduce the Wyngaard et al. (1971) free-convection prediction for the  $z^{-2/3}$  dependency of scalar variance down to very near the surface. This demonstrates the ability of SOMCRUS to reproduce the vertical transport of surface-emitted and entrained conserved species.

#### 3.3 O<sub>3</sub>-NO-NO<sub>2</sub> Means and Moments

- We now consider the effects of chemical reactivity on the mean and moment profiles for the  $O_3$ -NO-NO<sub>2</sub> triad. The reaction rates are given in Table 3 and the initial conditions in Table 2. These reactions are fast enough (on the order of a hundred seconds around mid-day, increasing at low sun angles) that the reaction time is comparable to the turbulence time scale,  $h/w_*$  early in the day. The LES surface  $O_3$  flux is specified as a deposition velocity (0.0025 m s<sup>-1</sup>) times the resolved  $O_3$  concentration at
- the lowest grid level, which for scalars is 5 m above the surface. It is not straightforward to directly apply this boundary condition directly in SOMCRUS, although it can be done by extrapolating the 5 m O<sub>3</sub> SOMCRUS concentration down to the lowest level used in the SOMCRUS formulation (z<sub>0</sub>/h = 10<sup>-3</sup>). Therefore, to ensure as direct a comparison as possible with the LES, we impose a boundary condition for O<sub>3</sub> flux in SOMCRUS that arises via a 30th-order polynomial fit to the time
  evolution of the horizontally averaged O<sub>3</sub> surface flux predicted by the LES, as shown in Fig. 6.
- The mean concentrations for all three species at 1000, 1200 and 1400 LT are shown in Fig. 7. We see that the agreement between SOMCRUS and LES is very good for  $O_3$ , again subject to the effects of a smaller CBL depth h for SOMCRUS compared to that predicted by LES, but for NO + NO<sub>2</sub>, i.e. for the total odd nitrogen which is conserved, the LES predicts a higher concentration than
- 410 SOMCRUS. This is because the LES imposes a rough-wall stability-corrected boundary condition that treats reactive scalars as passive; that is, no reactivity is permitted between the surface and the first grid point in the domain. As a result, for reactive species such as NO, NO<sub>2</sub>, and O<sub>3</sub> during daytime whose the reactive time scale is on the order of a minute or two, the LES domain produces a surface flux, in this case an NO surface flux, that appears slightly larger than that imposed. The
- 415 LES also predicts a larger vertical gradient for NO than SOMCRUS for 1200 and 1400 LT. This is somewhat puzzling since NO should be in approximate chemical equilibrium throughout most of the mixed layer, but with positive surface and entrainment fluxes.

Figure 8 shows a comparison of SOMCRUS species flux profiles in the PBL\_CBL (blue lines) with LES predictions (red lines) for the  $O_3$ -NO-NO<sub>2</sub> triad. The SOMCRUS produces the non-linearity in

- 420 the vertical flux profiles resulting from the chemical reactions, similar to the LES. We also note the effects of the greater vertical spread over which the entrainment processes occur in the LES similar to what was observed for the conserved scalar cases. Both models produce about the same curvature in the lower half of the CBL, and that, because  $NO + NO_2$  is conserved, the sum of the NO and  $NO_2$  fluxes is a straight line.
- 425 A comparison of the  $\langle \theta s_i \rangle$  covariance profiles at 1200 LT in Fig. 9 shows that near the surface, the LES and SOMCRUS profiles are very similar. Since the surface flux of ozone is negative and the temperature flux positive,  $\langle \theta s_i \rangle$  is negative; the NO flux is positive at the surface and the NO<sub>2</sub> flux is positive just above the surface (due to chemical reaction), thus  $\langle \theta NO \rangle^1$  and  $\langle \theta NO_2 \rangle$  are both

<sup>&</sup>lt;sup>1</sup>In order to maintain the convention of using capital letters for chemical species, we change the notation for mean/fluctuation of chemical species so that roman type represents a mean value and italic type represents a fluctuation.

positive near the surface. The SOMCRUS covariances decrease in magnitude throughout the mixed

430 layer and change sign near the CBL top, while the LES covariances change sign about midway up, with a large positive  $\langle \theta_3 \rangle$  peak at the CBL top because of the positive jumps in both  $\Theta$  and  $O_3$ across the top, and large negative peaks in both  $\langle \theta NO \rangle$  and  $\langle \theta NO_2 \rangle$  because of the negative jumps in NO and NO<sub>2</sub> across the top. The SOMCRUS peaks behave similarly, but with much smaller peak magnitudes. We note that for O<sub>3</sub> in the  $\langle \theta_{Si} \rangle$  covariance equations, the generation term

$$435 \quad \langle w\theta \rangle \frac{\partial S_i}{\partial z} \tag{33}$$

is a sink for  $\langle \theta O_3 \rangle^2$  and a source for  $\langle \theta NO \rangle$  and  $\langle \theta NO_2 \rangle$  throughout most of the CBL. On the other hand, the result of the SOMCRUS assumption of a zero gradient in virtual potential temperature means that the term

$$\langle ws_i \rangle \frac{\partial \Theta}{\partial z}$$
 (34)

- 440 is neglected in SOMCRUS, while in the LES, for  $\partial \Theta / \partial z > 0$ , this is a source for  $\langle \theta O_3 \rangle$ , and a sink for  $\langle \theta NO \rangle$  and  $\langle \theta NO_2 \rangle$ . Thus we conclude that SOMCRUS may have some shortcomings in realistically modeling this process compared to the LES; one possibility to address this may be to incorporate a modeled virtual potential temperature gradient in SOMCRUS.
- The species variances are compared in Fig. 10, and we see that the LES variances are consistently larger than the SOMCRUS variances throughout the CBL. Near the surface, the SOMCRUS species variances are negligible, as in the conserved case C (Fig. 5) with no surface flux, because the surface flux for NO<sub>2</sub> is zero, and the O<sub>3</sub> and NO surface fluxes are not large enough to generate variances comparable to those generated by entrainment near the CBL top. On the other hand, the LES is able to transport this entrainment-generated variance down to the surface, particularly at 1000 LT.
- 450 A comparison of the  $\langle s_i s_j \rangle$  covariances in Fig. 11 shows that SOMCRUS generates generally smaller species peak covariances in the entrainment region than the LES, and a more rapid decrease with time as the entrainment rate decreases. As with the variance and the  $\langle \theta s_i \rangle$  covariances, throughout most of the CBL the SOMCRUS  $\langle s_i s_j \rangle$  covariances are considerably smaller than the LES. In the entrainment region, SOMCRUS second moments are generated by the entrainment flux and do
- not include contributions from the undulating capping inversion that are present in the LES because of horizontal averaging. Covariances of two species involved in a second-order chemical reaction can alter the effective reaction rate since the rate is proportional to the concentration of both species. For  $\langle O_3 NO \rangle$ , however, the covariance may be significant near the surface, but is not large enough to significantly impact the chemical reaction rate throughout the bulk of the mixed layer. This is
- 460 because the reaction rate chemical reaction time scale (of order 100 s) is much less than the mixing time scale  $h/w_*$ ; but for second-order reactions that may occur on time scales comparable to  $h/w_*$ , the covariances can significantly affect the reaction rates throughout the CBL (e.g. Schumann, 1989).

<sup>&</sup>lt;sup>2</sup>In order to maintain the convention of using capital letters for chemical species, we change the notation for mean/fluctuation of chemical species so that roman type represents a mean value and italic type represents a fluctuation.

#### 3.4 Intensity of Segregation

Intensity of segregation, defined as

$$465 \quad I_{ij} = \frac{\langle s_i s_j \rangle}{S_i S_j},\tag{35}$$

quantifies the change in effective reaction rate resulting from the covariance of two species involved in a second-order chemical reaction. Therefore, for the triad, the covariance  $\langle O_3 NO \rangle$  can change the effective reaction rate for these two species, according to the relationship given by (e.g. Ouwersloot et al., 2011)e.g. Sykes et al. (1994),

470 
$$k_{km}^{i}(\text{effective}) = k_{km}^{i}(1+I_{km}^{i}).$$
 (36)

Reaction (R2) in Table 3 is first-order, and therefore the other two species-species covariances do not affect the reaction rates.

For the triad case modeled here, ⟨O<sub>3</sub>NO⟩ is relatively small near the surface (Fig. 12), because the surface fluxes of both O<sub>3</sub> and NO are relatively small. Therefore, the turbulence makes little
change to the reaction rate near the surface in both the SOMCRUS and LES results, although for SOMCRUS the ⟨O<sub>3</sub>NO⟩ intensity of segregation increases negatively very near the surface, as it should for species with surface fluxes of opposite sign. Similarly, the ⟨O<sub>3</sub>NO<sub>2</sub>⟩ intensity of segregation also shows a negative increase approaching the surface. This results from the negative O<sub>3</sub> flux producing negative fluctuations in NO<sub>2</sub> via chemical reactivity. Similarly, the positive NO flux
produces positive NO<sub>2</sub> flux, which produces positive ⟨NONO<sub>2</sub>⟩ intensity of segregation near the

surface.

The entrainment flux also generates species-species covariances that are transported down to the surface, and here the covariances are relatively large in magnitude so the intensity of segregation also becomes large in magnitude. The Fig. 12 plots are cut off at the top of the SOMCRUS-predicted h,

485 i.e. about 150 m below the LES top, since above about this level, the LES intensities of segregation become ill-defined because the mean concentrations of NO and NO<sub>2</sub> are zero in the FT. For this case, at 1000 LT  $\langle O_3 NO \rangle$  reduces the reaction rate in both the SOMCRUS and the LES results by as much as 5% near the entrainment zone.

The effects of the intensity of segregation on the effective chemical reaction rates are not included in e.g. the boundary-layer parameterizations of the Weather Research and Forecasting model coupled with Chemistry (WRF-Chem, Grell et al., 2005), which is used to simulate the emission, transport, mixing, and chemical transformation of trace gases and aerosols simultaneously with meteorology for investigation of regional-scale air quality, field program analyses, and cloud-scale interactions between clouds and chemistry; nor in the mixed-layer model described by Vilà-Guerau de Arellano

495 et al. (2009) which examines the evolution of isoprene in the CBL. We also note that if we were to use a more complete chemical mechanism such as Model for Ozone and Related chemical Tracers,

version 4 (MOZART-4, Emmons et al., 2010), the influence of the intensities of segregation might be enhanced/reduced as a result of in situ species production via alternate chemical production.

### 3.5 Eddy Diffusivity

500 The concept of an eddy diffusivity is often used in simplified models involving diffusion in the CBL to parameterize turbulent mixing. We therefore examine one obvious approach to this by applying the equations implemented in SOMCRUS to derive an explicit formula for the eddy diffusivity function

$$K(z,t) = -\langle ws \rangle / (\partial S / \partial z). \tag{37}$$

505 For a conserved scalar, using Eqs. (13) and (14) we have:

$$\frac{\partial}{\partial t} \langle ws \rangle + \langle w^2 \rangle \frac{\partial S}{\partial z} + \frac{\langle ws \rangle}{\tau_1} - \frac{g}{T} (1 - B) \langle \theta s \rangle = 0$$
(38)

$$\frac{\partial}{\partial t} \langle \theta s \rangle + \langle w \theta \rangle \frac{\partial S}{\partial z} + \frac{\langle \theta s \rangle}{\tau_4} = 0.$$
(39)

For steady-state conditions,  $\frac{\partial}{\partial t} \langle ws \rangle = \frac{\partial}{\partial t} \langle \theta s \rangle = 0$ , and Eqs. (38) and (39) can be solved for  $\langle ws \rangle$ 510 and  $\langle \theta s \rangle$ :

$$\langle ws \rangle = -\tau_1 [\langle w^2 \rangle + \frac{g}{T} (1 - B) \tau_4 \langle w\theta \rangle] \frac{\partial S}{\partial z}$$
(40)

$$\langle \theta s \rangle = -\tau_4 \langle w \theta \rangle \frac{\partial S}{\partial z}.$$
(41)

Then the eddy diffusivity is

515 
$$K = \tau_1 [\langle w^2 \rangle + \frac{g}{T} (1 - B) \tau_4 \langle w \theta \rangle].$$
(42)

Kristensen et al. (2010) considered the stationary case where the CBL depth did not change with time because the buoyancy-driven entrainment rate was balanced by the mean subsidence. In that case, Eqs. (40) and (41) are exact. Here, however, the time changes are not zero, so there is no reason to expect a priori that the stationary relation Eq. (42) correctly describes the dynamic case under con-

- sideration. Interestingly, the "quasi-stationary" flux-gradient relation Eq. (37) holds consistently at all times t. To demonstrate this, we use as an example a case with the same meteorological conditions as the previous case, but with the following differences in the scalar variable: no initial concentration and a surface flux of  $\langle ws \rangle_0 = 0.05$  units m s<sup>-1</sup>. We still use the same *Mathematica* implementation scheme, including the changes in variables. Figure 13 shows that there is little difference between
- 525 two sets of profiles.

We might expect, therefore, that we could use Eq. (42) to calculate the S(z,t) profiles for the dynamic case considered here by solving the eddy-diffusion equation

$$\frac{\partial S}{\partial t} = \frac{\partial}{\partial z} \left[ K(z,t) \frac{\partial S}{\partial z} \right]. \tag{43}$$

However, unlike SOMCRUS, whose solutions are almost completely independent of  $z_0$ , the eddydiffusion approach is very sensitive to  $z_0$  because of the singular surface boundary condition,

$$K(z,t)\left(\frac{\partial S}{\partial z}\right)_{z_0} = \langle ws \rangle_0,\tag{44}$$

with  $K(z,t) \sim O(z^{4/3})$ . In Fig. 14 we see that the eddy diffusion approximation can capture the behavior of the concentration and flux profiles for this test case, but it requires a high-resolution calculation in *Mathematica* because this singular surface boundary condition creates a large gradient in the concentration near the surface. Figure 14 shows that 100 point numerical resolution significantly underestimates both the surface flux and concentration, but that both can be adequately resolved

with 1000 point resolution. SOMCRUS, however, is very stable to boundary conditions at the surface because the flux and concentration equations are separate and the flux equation is regular at z = 0, while in the explicit diffusivity formulation, the two equations are linked. Another advantage

540 of SOMCRUS, of course, is that it generates second-order moments and intensity of segregation. Although it may seem more straightforward to use an eddy diffusivity, we point out that this does not save computational time compared to SOMCRUS.

### 4 Conclusions

535

We have extended the model of Kristensen et al. (2010) to treat the behavior of conserved and reactive species in the diurnally-varying CBL by using: 1) the Tennekes (1973) mixed-layer model to calculate mixed-layer height, mean virtual potential temperature, and virtual potential temperature jump across the CBL top, and 2) a second-order moment closure model to calculate mean and turbulence statistics of reactive species throughout the daytime. Comparing SOMCRUS with a turbulence-resolving LES for a free-convection case, we note that SOMCRUS has a discontinuous

- 550 jump across the CBL top, while horizontal averaging of the LES output smears out the variables across the top. We also found: 1) generally good agreement for concentrations and fluxes of both conserved and reactive species throughout most of the mixed layer, including the curvature in the flux profiles throughout the CBL due to chemical reactions; and 2) SOMCRUS mostly under predicts the variances and covariances compared to LES, indicating that the time constants used in the
- 555 second-moment equations in SOMCRUS for parameterizing the rates of dissipation and return-toisotropy terms may not be optimal. SOMCRUS is able to model the rapid changes in concentrations, variances, and covariances in the surface layer to within a few meters of the surface, as predicted by free-convection similarity theory. We also show that using an eddy diffusivity formulation for

vertical transport is problematical for a time-varying CBL because of the inherent singularity as the

560 diffusivity goes to zero approaching the surface, which is not an issue for SOMCRUS because the flux and concentration equations are separate and the flux equation is regular at z = 0.

Because SOMCRUS includes equations for species-species covariances, it can be used to calculate intensities of segregation which can modify the reaction rates for second-order chemical reactions. Although not very important throughout most of the mixed layer for the case considered here

565 (because of the disparity between the turbulence mixing time scale and the chemical reaction time scale for the  $O_3$ -NO-NO<sub>2</sub> triad), this effect can be significant for other reactive species in the CBL (e.g., Schumann, 1989)(e.g., Krol et al., 2000).

We have shown that SOMCRUS provides a simple and robust tool for predicting concentration, variance, and flux profiles of trace reactive species in the CBL. SOMCRUS is intermediate in ease

- 570 of use between simple mixed-layer models (e.g., Vilà-Guerau de Arellano et al., 2009) and largeeddy simulation models. SOMCRUS also provides considerably more detail of the vertical variation of first- and second-order species statistics than a mixed-layer model. Furthermore, it is portable, requires little time to run on a PC or laptop using *Mathematica*, and it is easy to change and to quickly make runs with different scenarios.
- 575 SOMCRUS can easily be extended to include adding more complicated chemistry, such as schemes involving isoprene and related reactions, and incorporating to incorporate parameterizations for different surface boundary conditions and meteorological regimes. Examples of this include a parameterized canopy layer and surface stress. We believe that this tool has possibilities for use in air quality models to more accurately simulate behavior of reactive species in the CBL. We note that software
- 580 tools exist to convert *Mathematica* code to Fortran and C++ (e.g. https://store.wolfram.com/view/app/mathcodef90) and that the SOMCRUS code contains separate turbulent mixing and chemistry modules that could in principle be independently incorporated into a larger-scale numerical model. SOMCRUS can be obtained in the currently-reported O<sub>3</sub>–NO–NO<sub>2</sub> *Mathematica* notebook configuration by requesting a copy from lenschow@ucar.edu.

#### 585 Appendix A: Numeric Implementation and SOMCRUS Solutions in Mathematica

The standard technique for solving singular boundary-value problems known as matched asymptotic expansions (Nayfeh, 2008) calls for approximate "inner" (surface layer) and "outer" solutions, as series expansions whose coefficients are matched in the intervening transitional layer. Our approach here is simpler and more efficient than the matched asymptotic expansion. In the context of free

590 convection in the CBL we use the known asymptotic behavior of the following variables as  $z \rightarrow 0$  to

write them as products of scaling factors and regular functions of z:

$$S_i(z,t) \cong z^{-1/3} \widehat{S_i(z,t)} \tag{A1}$$

$$\langle \theta s_i \rangle \cong z^{-2/3} \widehat{\langle \theta s_i \rangle} \tag{A2}$$

$$\langle s_i s_j \rangle(z,t) \cong z^{-2/3} \widehat{\langle s_i s_j \rangle}$$
 (A3)

where  $\widehat{S_i(z,t)}$ ,  $\langle \widehat{\langle \theta s_i \rangle}, \langle \widehat{\langle s_i s_j \rangle} \rangle$ , are all now regular functions of z at 0, and fluxes  $\langle w s_i \rangle$  are already reg-595 ular functions. This singular behavior makes it difficult to implement and run SOMCRUSnumerally, even when the singular boundary condition at z = 0 is replaced with a positive value that is regular at  $z_0 > 0$ .

Here we propose a regularization scheme for SOMCRUS that allows us to compute solutions more efficiently than was the case for Kristensen et al. (2010), using the standard built-in numeric 600 differential equation solvers of *Mathematica*. The idea is to change variables (independent z and dependent  $S_i$ ,  $\langle ws_i \rangle$ ,  $\langle \theta s_i \rangle$ ,  $\langle s_i s_j \rangle$ ) to make the system "regular" (or less singular) using a technique similar to the Method of Strained Coordinates (e.g., Nayfeh, 2008, ch. 3), as an alternative to matched asymptotic expansion. Indeed, the asymptotic form in Eqs. (A1) to (A3) suggests a proper change of variables, as well as the choice of surface boundary conditions for  $(\langle ws_i \rangle, \langle \theta s_i \rangle)$ , 605

 $\langle s_i s_j \rangle$ ); specifically, we replace z by the dimensionless variable  $x = [z/h(t)]^{2/3}$  (0 < x < 1), and  $\{S, \langle ws_i \rangle, \langle \theta s_i \rangle, \langle s_i s_j \rangle\}$  by the regularized variables

$$\hat{S}_i(x,t) = \sqrt{x} \cdot S(\hat{z},t) \tag{A4}$$

$$\widehat{\langle ws_i \rangle}(x,t) = \langle ws_i \rangle(\hat{z},t) \tag{A5}$$

610 
$$\langle \theta s_i \rangle(x,t) = x \cdot \langle \theta s_i \rangle(\hat{z},t)$$
 (A6)

$$\langle s_i s_j \rangle(x,t) = x \cdot \langle s_i s_j \rangle(\hat{z},t)$$
 (A7)

Having a fixed range 0 < x < 1 is also an important feature in the standard *Mathematica* solvers. The regularized system of variables Eqs. (A4) - (A7) requires replacement of the standard partial derivatives  $(\partial_t, \partial_z)$  in SOMCRUS with differential operators

615 
$$D_t = \frac{\partial}{\partial t} - \frac{2h'(t)}{3h(t)}x\frac{\partial}{\partial x}; \quad D_z = \frac{2}{3h(t)\sqrt{x}}\frac{\partial}{\partial x}.$$
 (A8)

For a conserved scalar, the resulting system of equations takes the form

$$D_t\left(\frac{\hat{S}}{\sqrt{x}}\right) + D_z \widehat{\langle ws_i \rangle} = 0 \tag{A9}$$

$$D_t(\widehat{\langle ws_i \rangle}) + \langle \widehat{w^2} \rangle D_z\left(\frac{\hat{S}}{\sqrt{x}}\right) + \frac{\widehat{\langle ws_i \rangle}}{\tau_1} \frac{g}{T} (1-B) \frac{\widehat{\langle \theta s_i \rangle}}{x} = 0$$
(A10)

$$D_t\left(\frac{\widehat{\langle\theta s_i\rangle}}{x}\right) + \langle\widehat{w\theta}\rangle D_z\left(\frac{\hat{S}}{\sqrt{x}}\right) + \frac{1}{\tau_4}\frac{\widehat{\langle\theta s_i\rangle}}{x} = 0 \tag{A11}$$

S20 
$$D_t\left(\frac{\widehat{\langle s_i s_j \rangle}}{x}\right) + 2\widehat{\langle w s_i \rangle} D_z\left(\frac{\hat{S}}{\sqrt{x}}\right) + \frac{1}{\tau_3}\frac{\widehat{\langle s_i s_j \rangle}}{x} = 0.$$
 (A12)

6

Here  $\langle \widehat{w^2} \rangle(x,t) = \langle w^2(z,t) \rangle$ ,  $\langle \widehat{w\theta} \rangle(x,t) = \langle w\theta \rangle(z,t)$ , and  $\langle \widehat{ws_i} \rangle(x,t) = \langle ws_i \rangle(z,t)$ ; furthermore  $\{\tau_3, \tau_4\}$  are now expressed as functions of x instead of z/h. Suites of reactive species have similar sets of equations for each component triad  $\{\widehat{S}_i, \langle \widehat{ws_i} \rangle, \langle \widehat{\thetas_i} \rangle, \langle \widehat{s_is_j} \rangle\}$ . The regularized system is obtained by multiplying Eqs. (A9) – (A12) with factors  $\{\sqrt{x}, 1, x, x\}$  respectively. Indeed, the solutions

 $\{\hat{S}_i(x,t), \langle ws_i \rangle(x,t), \langle w\theta \rangle(x,t), \langle s_is_j \rangle\}$  are regular functions of x, but for computational purposes we shift the top and bottom boundaries slightly away from their limiting values  $x_0 < x < x_i$ ,  $\{x_0 > 0; x_i < 1\}$ .

625

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**Table 1.** Initial and prescribed values used for SOMCRUS and the LES numerical experiments. The temperature and humidity surface fluxes, and mean profiles are obtained from a simple curve fit to observations from the Tropical Forest and Fire Emission Experiment (TROFFEE), which is the same meteorological case used by Vilà-Guerau de Arellano et al. (2011); see also Karl et al. (2007). All initial conditions are imposed at 0500 LT, and *t* is time in s. The subscripts ()<sub>0</sub> and ()<sub>h</sub> refer to the surface and CBL top, respectively.

| Property  | Value   |
|---|---|
| Initial CBL height, h (m)   | 200   |
| Surface virtual potential temperature flux (K m $s^{-1}$ )                              | $\langle w\theta \rangle_0 = 0.19 \sin\left(\frac{\pi (t - 8100)}{28,800}\right)$ |
| (from 0725 to 1525 LT)  | · · · · · · · · · · · · · · · · · · ·   |
| SOMCRUS Ratio of entrainment to   | $\langle w\theta_v \rangle_h / \langle w\theta_v \rangle_0 = -0.2$                |
| surface virtual temperature flux  |   |
| Virtual potential temperature profile (K):  |   |
| z < 200.0  m  | 299.0   |
| 200  m < z < 212.5  m   | 300.0   |
| z > 212.5  m  | $300.0 + 6 \times 10^{-3}z$   |
| Surface moisture flux (g kg <sup><math>-1</math></sup> m s <sup><math>-1</math></sup> ) | $\langle wq \rangle_0 = 0.13 \sin\left(\frac{\pi (t - 3600)}{37,800}\right)$      |
| (from 0600 to 1650 LT)  | · · · · · ·   |
| Mixing ratio profile (gm $kg^{-1}$ ):   |   |
| z < 200.0  m  | 15.0  |
| 200.0 < z < 212.5  m  | 15.0  |
| z > 212.5  m  | 10.0  |

**Table 2.** Specifications for the conserved tracers and the  $O_3$ –NO–NO<sub>2</sub> triad in the numerical experiments with SOMCRUS and LES. The free troposphere (FT) concentration is constant in time; the convective boundary layer (CBL) concentration and the height *h* vary with time.

| Scalar | Surface Flux   | FT concentration | CBL Initial Concentration             |
|--------|--|------------------|---------------------------------------|
| case A | $1 \text{ unit m s}^{-1}$  | 0                | 1 unit                                |
| case B | $1 \text{ unit m s}^{-1}$  | 6 units          | 0                                     |
| case C | 0  | 10 units         | 0                                     |
| $O_3$  | $-2.5 \times 10^{-3} \ {\rm O}_3(5 \ {\rm m}) \ {\rm ppbv} \ {\rm m \ s}^{-1}$ | 20 ppbv          | 2 ppbv                                |
| NO     | $5\times 10^{-4}~{\rm ppbv}~{\rm m~s}^{-1}$                                    | 0                | 0.01 ppbv <del>m s<sup>-1</sup></del> |
| $NO_2$ | 0  | 0                | 0.1 ppbv                              |
|        |  |                  |                                       |

Table 3. The chemical reaction scheme used for the  $O_3$ -NO-NO<sub>2</sub> triad in the numerical experiments with SOMCRUS and LES.  $\chi$  is the zenith angle.

| Number          | Reaction  | Reaction Rate   |
|-----------------|---|---|
| R1 $(b_j^i)$    | $NO_2 + h\nu \rightarrow NO + O_3$                                      | $1.67 \times 10^{-2} \times \exp[-0.575/\cos\chi] \ \mathrm{s}^{-1}$                          |
| R2 $(k_{jm}^i)$ | $\mathrm{NO} + \mathrm{O}_3 \rightarrow \mathrm{NO}_2 + (\mathrm{O}_2)$ | $3.00 \times 10^{-12} \times \exp[-1500/T] \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ |

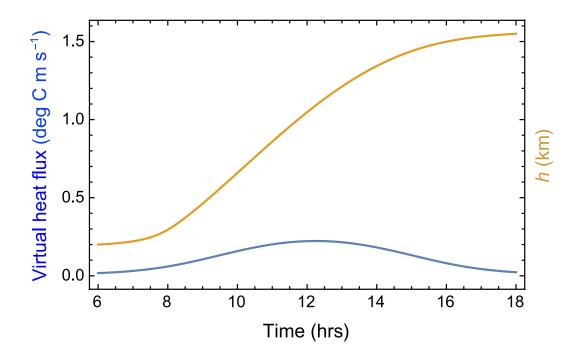
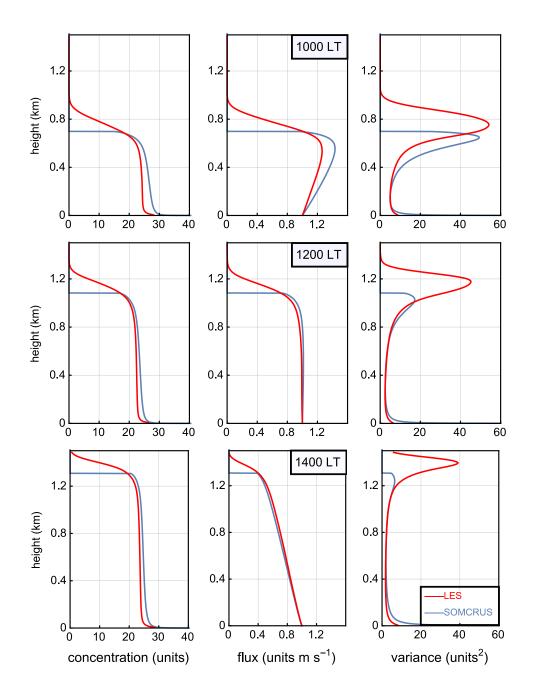
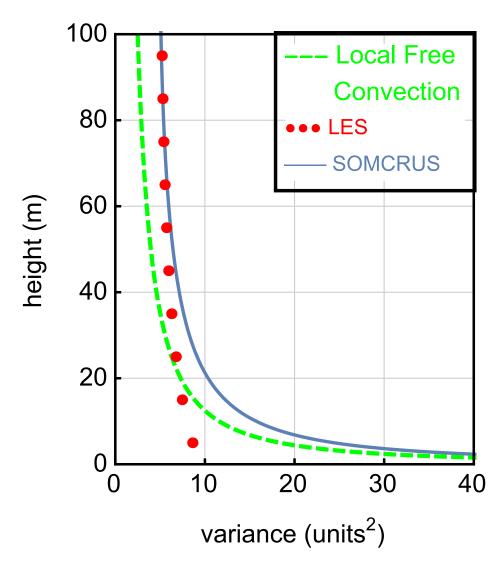


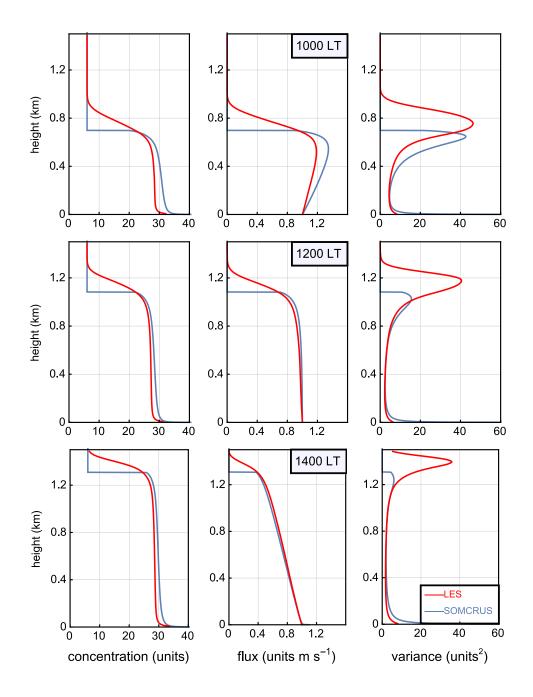
Figure 1. Diurnal cycles of virtual heat flux (blue) and boundary-layer height (orange).



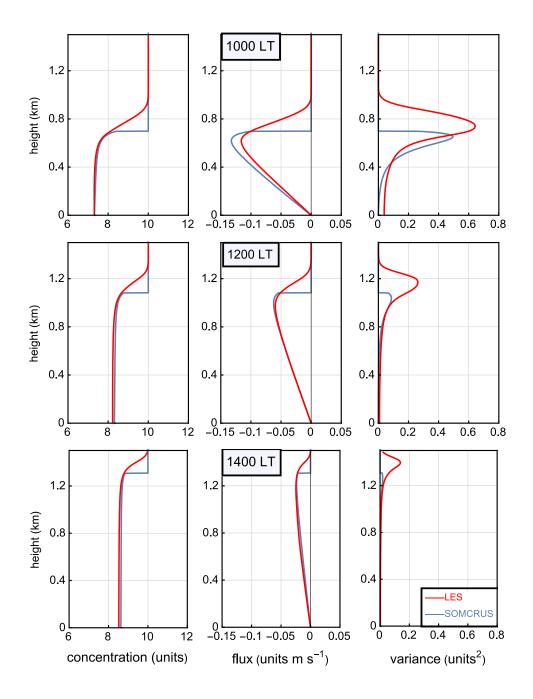
**Figure 2.** Comparisons of concentration, flux, and variance between SOMCRUS (blue curves) and LES (red curves) for a nonreactive scalar having 1 unit initial CBL concentration, 1 unit m s<sup>-1</sup> initial surface flux, and zero FT concentration (Case A) at 1000, 1200, and 1400 LT.



**Figure 3.** Comparison of SOMCRUS (blue curve) with the local free convection prediction of Lenschow et al. (1980) (green dashed curve) and with LES (red dots) for conserved scalar case A at 1000 LT. Each dot denotes a layer-averaged LES value.



**Figure 4.** Comparisons of concentration, flux, and variance between SOMCRUS (blue curves) and LES (red curves) for a nonreactive scalar having no initial CBL concentration, 6 units FT concentration, and 1 unit m s<sup>-1</sup> surface flux (Case B) at 1000, 1200, and 1400 LT.



**Figure 5.** Comparison of SOMCRUS concentrations (blue line) with large-eddy simulation (LES) (red line) of concentration, flux, and variance of a nonreactive scalar having zero initial CBL concentration and surface flux, and 10 ppbv FT concentration (Case C) at 1000, 1200, and 1400 LT.

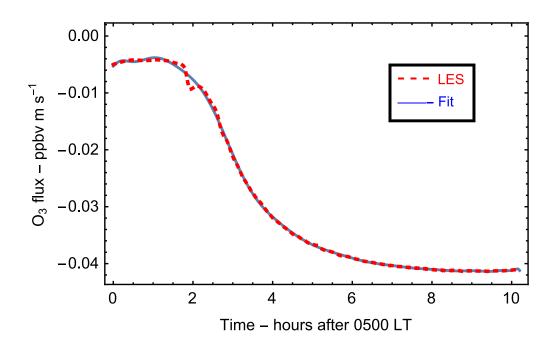
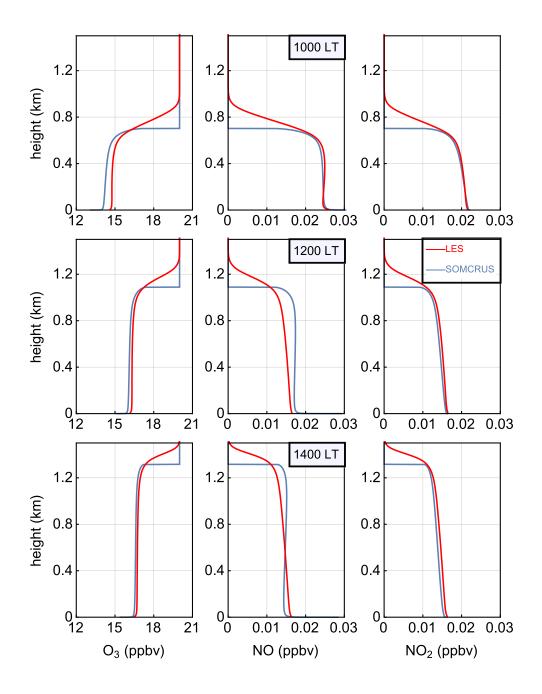
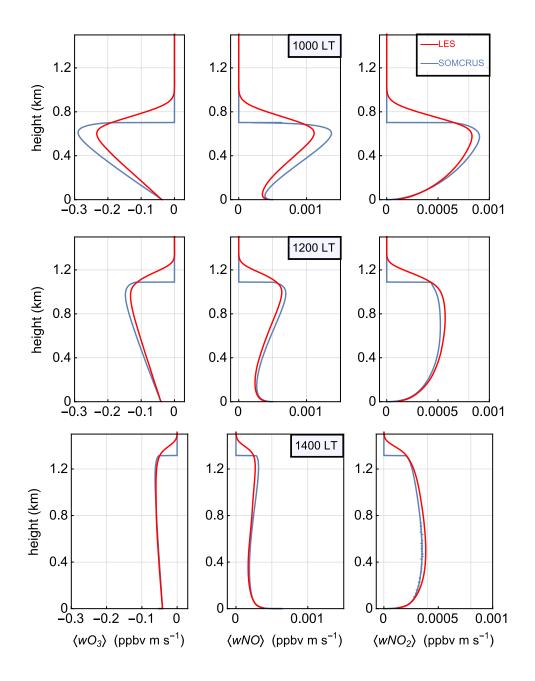


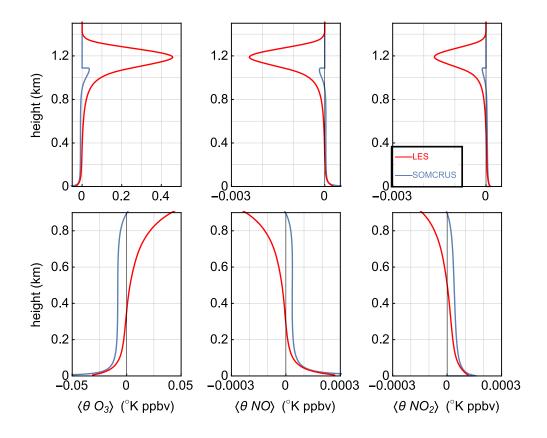
Figure 6. 30th order least squares polynomial fit to the LES surface flux of  $O_3$ .



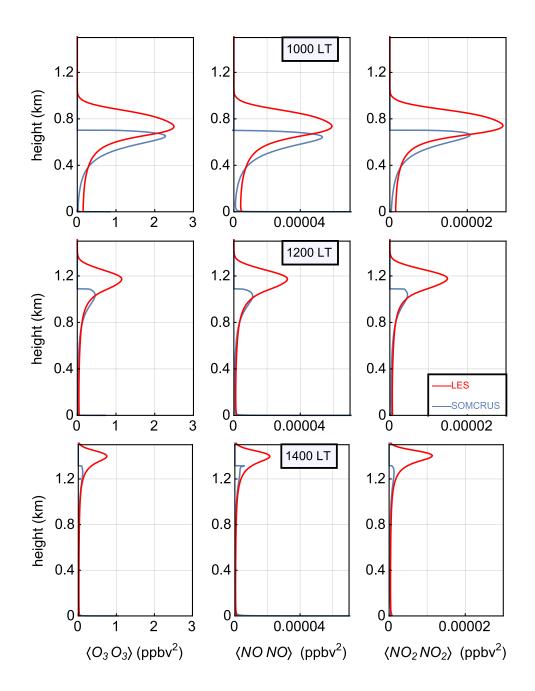
**Figure 7.** Comparison of SOMCRUS mean concentrations (blue lines) with LES concentrations (red lines) of O<sub>3</sub>, NO, and NO<sub>2</sub>. Initial and boundary conditions are given in Table 2. Top panel is at 1000, the middle panel at 1200 and the bottom panel at 1400 LT.



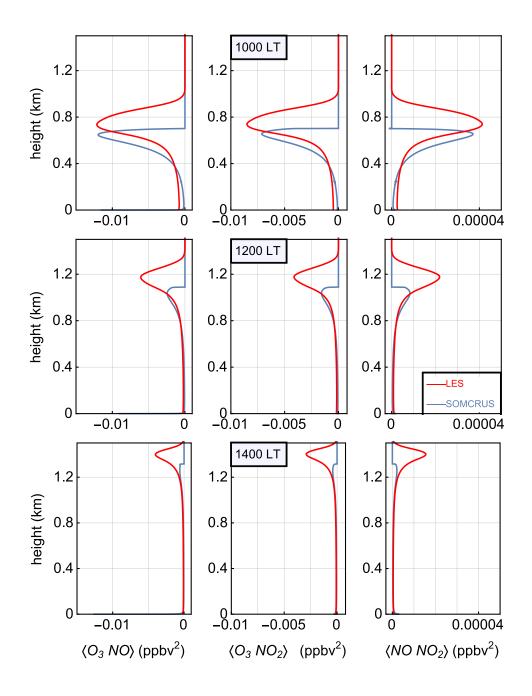
**Figure 8.** Comparison of SOMCRUS fluxes (blue lines) with LES concentrations (red lines) of  $O_3$ , NO, and NO<sub>2</sub>. Initial and boundary conditions are given in Table 2. Top panel is at 1000 LT, the middle panel at 1200 LT, and the bottom panel at 1400 LT.



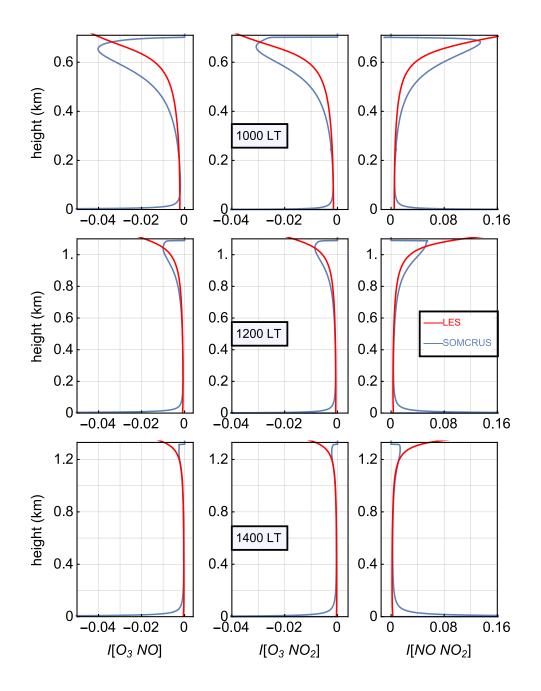
**Figure 9.** Comparison of SOMCRUS  $\theta$ -species covariances (blue lines) with LES (red lines) of O<sub>3</sub>, NO, and NO<sub>2</sub> at 1200 LT. Initial and boundary conditions are given in Table 2. Top panel covers the entire CBL, while the bottom panel is up to 1 km to accentuate the region below the CBL top.



**Figure 10.** Comparison of SOMCRUS species variances (blue lines) with LES (red lines) of  $O_3$ , NO, and NO<sub>2</sub> at 1000LT, 1200 LT, and 1400 LT. Initial and boundary conditions are given in Table 2. Top panel covers is at 1000 LT, the entire CBL middle panel at 1200 LT, while and the bottom panel is up to 0.8 km to accentuate the region below the CBL topat 1400 LT.



**Figure 11.** Comparison of SOMCRUS species-species covariances (blue lines) with LES (red lines) of  $O_3$ , NO, and NO<sub>2</sub>. Initial and boundary conditions are given in Table 2. Top panel is at 1000 LT, the middle panel at 1200 LT, and the bottom panel at 1400 LT.



**Figure 12.** Intensities of segregation for the three combinations of  $O_3$  NO, and  $NO_2$  at 1000 LT, 1200 LT, and 1400 LT.

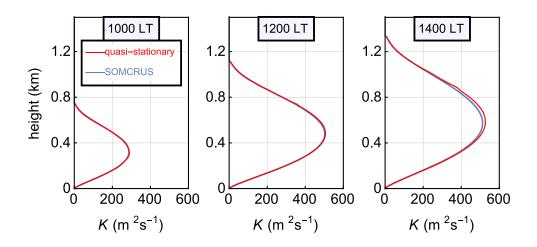


Figure 13. A comparison of the flux-gradient profiles for the dynamic SOMCRUS case considered here (red lines) versus the quasi-stationary diffusivity K(z,t) derived from the SOMCRUS parameterizations (blue lines).

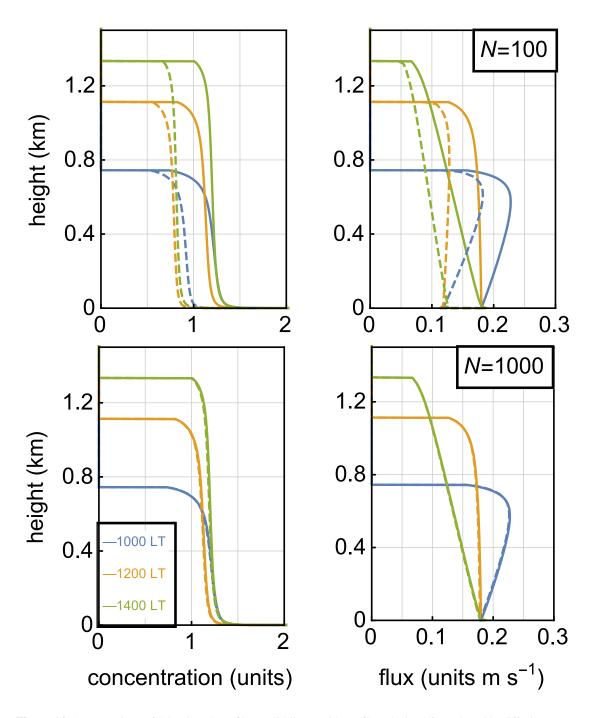


Figure 14. A comparison of SOMCRUS profiles (solid lines) with profiles obtained from the eddy-diffusion approximation Eq. (43) (dashed lines) for concentration (left) and flux (right) of a conserved species for three times: 1000 LT (blue lines), 1200 LT (orange lines), and 1400 LT (olive lines); and for two numerical resolutions: N = 100 points (top) and N = 1000 points (bottom).