Dear Fiona,

thank you very much for guiding the editorial process of our manuscript and for your helpful comments at the first stage.

Please find attached the comments of the referees and our replies (available also on-line in the open discussion) together with the revised manuscript with highlighted modifications. Please note:

- Table 1 has also been modified (according to the suggestions by referee #1), but it is not highlighted due to some technical issues with latexdiff.
- Updates in the bibliography are also not highlighted due to technical issues with latexdiff.
- Sections 3.8 and (the end of) 3.10.4 appear largely modified in the "diff", only because Section 3.10 has been moved after Section 3.7 (according to referee #1).

We are looking forward to your response.

Yours,

Patrick Jöckel (on behalf of all co-authors)

We thank referee #1 for the very helpful and encouraging comments. Here are our replies:

• The paper P. Joeckel et al. gives an overview of the CCMI experiments using the ECHAM/MESSy model. Altogether, the authors have done a great job in summarizing the configurations and setup of the experiments. There is a lot of detail that will be very useful to various readers. Besides summarizing technical aspects of the model, physical parameters, and capabilities of the model are summarized. Comparisons to observations are performed to demonstrate the general performance of the different configurations of the model. This paper is an important paper for the community and should be published in this journal. A few aspects of the paper could be improved to make it easier for the reader get the required information.

Reply: We thank the referee #1 for these positive comments.

• This paper currently addresses at least two different types of readers, those who want to run the ECHAM/MESSy model themselves and need to understand how to do this, and others, that are interested in performing multi-model comparison studies based on the results of this model. Section 2 is mostly of interest to the first group of readers. It is very technical and is mostly concerned with the model structure and less with the science. An overview of the physics and other details are described in Section 3. My feeling is that the second group of readers is not interested in the details in Section 2, and readers that want to just know how the model works would be less interested the remaining part of the paper. I would suggest moving Section 2 to the supplement, or to a separate technical report.

Reply: The referee is right that different types of readers are addressed. Nevertheless, we are hesitating to move Section 2 away, for several reasons: (1) The described updates are important for the correct interpretation of the results in view of earlier results with previous versions of the model (the short section only lists the modifications), (2) this short technical section on model documentation is well suited for GMD(D) and would be too short for an own technical report, (3) it is important to document (repeatedly) the specific, modular structure of our system, which we believe is unique, (4) moving this part into the supplement (implying the shift of the corresponding citations) will deny those authors the proper credits.

Nevertheless, in the revised version, we rephrase in the introduction to "In this manuscript Section 2 documents **briefly (mainly for the users of it)** the updates of the Modular Earth Submodel System (MESSy) and EMAC since ...", so that readers not interested in the details could skip Section 2.

• The discussions on different experiments and comparisons to observations are very comprehensive, however, sometimes difficult to follow. Less detail and figures and focusing on important results could improve the paper. The main problem to me was the naming of the different experiments that are not intuitive, and even reading the whole paper, I always had to go back and recall the specifics of the experiments. I would recommend changing the names of the experiments to make this more obvious, or improve Table 1 that summarizes the specifics of the experiments. Instead of little footnotes, it may be easier to have a row for each experiment and have the columns covering different categories, like vertical resolution, nudging, etc. To further guide the reader, it would be helpful in the text to point more often to the colors that are used to represent the different experiments so one easily identify differences in the plots. Sometimes it seems like difference between observations and models are discussed that may not be significant. It would be also helpful to give more explanations for the deviations between models and observations.

Reply: Indeed, given the large amount of results we obtained, the comparisons and discussions are comprehensive. Unfortunately, we need to refrain from renaming the different simulations, for several reasons:

- The used labels are part of the output data file names and stored in the netCDF meta information (global attributes). Using different names here would require another table referencing the new names to the simulation data. This would be even more confusing for data users.
- We have already additional manuscripts submitted (one has already been accepted), which refer to the present manuscript using the current simulation names.
- A renaming would require a recreation of all figures!

As it is now, the complete information is contained in Tables 1 and 2, although condensed to the minimum required information. We accept, however, that Table 1 could be improved as suggested by the referee and we will do so in the revised manuscript. The revised Table 1 will also include the line colours used in the figures.

The suggestion to point to the colours from within the text is well taken. We will do so for the revision.

Further, we will recheck for insignificant results.

And last, but not least, we will check again, if we can easily give more explanations for deviations between model results and observations, although we want to point out that our model would be perfect, if we had those explanations.

• Finally, many different experiments have been performed. If all of those get submitted to the archive, the readers are left with making their own choices on what simulations to use for their analysis. Therefore for the conclusions, it would be very helpful if recommendations would be made on what experiment should be used in a multi-model comparison study for

each reference experiment. Those conclusions can be made based on the comparisons to observations. For example, would be helpful to point out, if the 90L vs. 47L version should be used, or for what purposes the one or the other is preferable.

Reply: This is indeed a very good point, which we completely overlooked. We will add a small paragraph to the conclusions:

"For inter-comparison with observations we recommend to use the results of the nudged simulation with all corrections, i.e., RC1SD-base-10a. The simulations results of RC1SD-base-07 and RC1SD-base-08 should be used with caution, due to the large impact of the global mean temperature nudging, for which no specific parameter re-optimisation for the radiation balance has been undertaken yet. Such an optimisation will certainly alter the hydrological cycle, i.e., clouds and convection, and with it also the lightning  $NO_x$  production. Studies for which the specified dynamics (nudging) is not desired, e.g., on trends and frequency distributions, are best based on the results of the free running simulations with 90 level discretisation. Nevertheless, any inter-comparison to those with 47 levels is also desirable, in particular since the simulation with coupled ocean model was performed with 47 levels in the atmosphere. "

• Introduction: Line 15: What about the chemical mechanism, are there more details later, please point to later sections.

Reply: Unfortunately, it is unclear, how this information would fit into "*Introduction: Line 15:*". It is unclear what you are referring to here? Note that the complete chemical mechanism is part of the supplement.

• Page 8644, Line 6: How long was the spin-up of the ocean, maybe refer to section 3.5.5?

Reply: Again, this statement is unclear. We refer already to Section 3.5.5 here, which is, however, about the "Initial conditions of trace gases". For the spin-up procedure of the simulation with coupled ocean, we refer to 3.11 in line 25 of page 8644.

In any case, we rephrase to "All simulations (except for those with specified dynamics, SD) start in January 1950 to have a 10 year long spin-up period (1950–1959, initialised from already spun-up states of previous simulations, see Section 3.5.5). The simulation with coupled interactive ocean (RC2-oce-01) was spun-up in a two-stage procedure over 500 years in total (see Sect. 3.11 for details)."

• Page 8645: Line 22. What TOA balance are you aiming for? Are these tests done for present day? How much do you think, will the non-interactive chemistry change those tuned parameters?

Reply: The test simulations are performed to achieve a global, annual average equality of the net incoming SW radiation with the outgoing LW radiation at the uppermost model level (i.e., top of the atmosphere, TOA). The test simulation was performed under conditions for the year 2000 for the GHGs, ODSs, and SSTs, SICs (10 year average of the HADISST monthly SSTs and SICs between 1995 and 2004). Comparing the TOA balance of the L47 simulations with interactive chemistry, reveals an annual, global average from 1995-2004 of -0.26 W m<sup>-2</sup> and 0.41 W m<sup>-2</sup> for RC1-base-08 and RC2-base-05, respectively.

Comparison to the test simulation without interactive chemistry  $(0.1 \text{ W m}^{-2})$  shows that these values are still in the range of  $\pm 0.5 \text{ W m}^{-2}$ , only slightly larger than the uncertainty range from observations. Stephens et al.  $(2012)^1$  give an estimate for the TOA radiation balance of 0.6 ( $\pm$  0.4) W m<sup>-2</sup> for the decade 2000-2010 derived from satellite observations.

We will include this information in the revised manuscript.

• Section 3.5.1. How many reactive species are in the mechanism? How many reaction rates?

Reply: This is documented in detail in ESCiMo\_MECCA\_mechanism.pdf, which is part of the Supplement. Nevertheless, we will add the numbers to the revised text: on page 8650, line 21 ("In total, the mechanism is described by 310 reactions of 155 species.") and same page, line 24 ("...contains additional sulphur reactions (5 additional species and 11 additional reactions).").

• Page 8654: Line 14: Where are the observed mixing ratios taken from? Line 22: Are the calculated mixing ratios based on observed values, or on the recommended values from CCMI, or are you using the seasonal cycle and latitudinal gradient from observed values, but the mean values follow the CCMI recommendations?

Reply: The observations are taken from AGAGE and NOAA/ESRL as stated in lines 3-7 of the same page. To clarify, we will add "... are calculated from the observed mixing ratios (see above) and applied ...".

The calculated mixing ratios are those recommended by CCMI, however, we superpose a seasonal cycle and latitudinal gradient from observed values. As we state, the CCMI values differ (in the past!) from observations. To clarify, we replace "(from literature)" by "(from CCMI)" in line 21 and "calculated" by "recommended" in line 22/23.

• Page 8655: Line 5: what aerosol scheme is used, bulk, modal, sectional?

<sup>&</sup>lt;sup>1</sup>Graeme L. Stephens, Juilin Li, Martin Wild, Carol Anne Clayson, Norman Loeb, Seiji Kato, Tristan L'Ecuyer, Paul W. Stackhouse Jr, Matthew Lebsock, and Timothy Andrews, An update on Earth's energy balance in light of the latest global observations, Nature Geoscience, 5, 691-696 (2012), doi:10.1038/ngeo1580.

Reply: Modal. We add "... is calculated with a modal scheme with four lognormal modes (separated into hydrophilic internally mixed and hydrophobic externally mixed particles. Furthermore, in RC1-aecl..."

• Line 20, Does that mean, aerosols in the TTL (reaching up to 150hPa) are described with the stratospheric data set? Will this have an impact on the results of the simulation?

Reply: Yes.

This setup is chosen, since in the current model configuration the size distribution of stratospheric aerosol particles is not represented properly. However, a configuration suitable for stratospheric aerosol particles, as e.g. used by Brühl et al. (2015)<sup>2</sup>, leads to substantial deviations compared to the AEROCOM median distribution in the lower troposphere. As the stratospheric heating is simulated according to the CCMI recommendations, upper tropospheric aerosol, which usually has only minor impact on the radiation budget, is in agreement with the prescribed boundary conditions. Note, that for the standard simulations anyhow only climatological aerosol particles are used, e.g. from the Tanre climatology, such that in the upper troposphere a merge between the CCMI and Tanre climatologies is used and in the upper most troposphere and the stratosphere the CCMI values are applied.

• It would be helpful to move Section 3.10. after section 3.7, to continue describing aerosols.

Reply: OK, we will move the section.

- Page 8654, Line 26: Please define RC1SD-base-10a, or point to Table 1. Reply: We will point to Table 1 and Section 3.12.2.
- Figure 1 caption, change "in comparison with" to "and" Reply: Will be done.
- Section 3.9.1 and 3.9.2: It is hard to understand the differences between experiments that have not been defined up to this point in the text. Maybe add an overview of the setup of different experiments? I guess, looking at Table 1, one can infer what experiments were performed, but the naming of the experiments is not intuitive, so it is difficult to follow in what way experiments differ.

Reply: This will be clarified with a revised Table 1 and an additional hint to the sensitivity studies in Section 3.1.

<sup>&</sup>lt;sup>2</sup>Brühl, C., Lelieveld, J., Tost, H., Höpfner, M., & Glatthor, N.: Stratospheric sulfur and its implications for radiative forcing simulated by the chemistry climate model EMAC, Journal of Geophysical Research: Atmospheres, pp. 2103-2118, doi: 10.1002/2014JD022430, URL http://dx.doi.org/10.1002/2014JD022430 (2015)

• In general in the results section, pointing to colors of the lines of different experiments would help to identify them on the plot, since the labels are often very small in the Figures. Often, there is a discussion that differences occur due to vertical resolution, but there is no explanation why vertical resolution would cause the differences.

Reply: We will add the line colour information to the revised Table 1 and to the text, where appropriate. Labels in the plot are indeed to small, however, mainly due to the GMDD layout. In the revised version (full page view) they will be better readable.

A detailed analysis of the processes causing the differences in the results obtained with different vertical resolutions is unfortunately beyond the scope of the present study, which should be seen more as an inventory. Indeed, we hope that the upcoming analyses within the CCMI activity will shed light on – at least – some of these issues.

• Page 8663: Dust emissions depend on the wind velocity. Why do the aero and the aecl experiments result in so different dust emissions? Are interactions with clouds changing the meteorology? What are you using for the prescribed simulations for dust?

Reply: Dust emissions are sensible to wind speed, but also to surface dryness as a consequence of precipitation. The aerosol-cloud interactions modify the wind speed via boundary layer processes, which are induced by the differential heating caused by aerosol impacts on clouds. Additionally, the circulation is slightly altered, such that higher mean wind speed close to the surface is obtained. Additionally, precipitation (see Fig. 14) is slightly different in the RC1-aecl-01/02 simulation compared to the -basecase. For instance in Central Africa RC1-aecl is slightly too wet compared to GPCP, whereas the -base- case is underestimating precipitation slightly.

The simulations with prescribed aerosol use the Tanre climatology (Section 3.7.1), which explicitly accounts for mineral dust as one of the main components. Therefore, only the spectral climatological distribution of dust particles is used instead of emission fluxes.

It will be added to the revised text.

- Page 8669 Line: 17: change to present tense: We compare ... Reply: Will be done.
- Page 8670: Section 4.1 is somewhat difficult to follow. The authors jump in the discussion between SD RC1 and RC2 experiments. It would be

helpful to summarize what the differences between the experiments are and why there are these differences, instead of pointing out all the details.

Reply: We agree. For the revised manuscript we will rewrite this paragraph and discuss three simulation categories: (1) nudged simulations including nudged global mean temperature, (2) the nudged simulations (without global mean temperature nudging), and (3) the free-running simulations.

• Line 5: Are there implications for the large temperature bias around the tropopause or high latitudes? How does this this impact water vapor in the stratosphere?

Reply: It does impact stratospheric water vapour. A detailed analysis is, however, beyond scope and under investigation elsewhere<sup>3</sup>.

• Figure 12: is too small to read what experiments are displayed.

Reply: We completely agree. But this is only due to the GMDD layout. The figure is made for an entire page.

• Precipitation. To me, all the simulations are representing mean precipitation rather similar. The authors described differences of different experiments in great detail. However, the figure does not allow seeing those very well, other then the RC2 simulation outlier. Maybe pointing out line colors, like RC2 are purple, RC1 are redish etc. would help. I don't think, there is a need to go into all the details, unless there is a good reason why different experiments perform differently, as the RC2 simulation. There, are the differences in precipitation compared to the data may be caused by a shift in ITCZ? Even though the paper was not intended to discuss uncertainties, if variability in the experiments is smaller than the uncertainty of the data set, what is the point in discussion those differences in much detail?

Reply: The referee is in principle right, stating that the shown differences (between different model setups) are small compared to uncertainties of observations and (as stated on page 8672, lines 16ff) parameterisation formulations – in line with results of Dai et al. (2006). Nevertheless, this was a-priori not clear. Therefore, we think it is important to show how robust the model results (w.r.t. the nudging setup, the vertical resolution and the role of aerosols) are, but still quantify the differences. In addition, the section was expanded in the very first (quick access) editorial phase, because the editor requested more quantitative results here. Nevertheless, we reformulate parts (referring also to Dai et al., (2006)), simplify the reading by pointing to the line colours and mention the role of the double ITCZ in the revised manuscript.

<sup>&</sup>lt;sup>3</sup>Brinkop, S., Dameris, M., Jöckel, P., Garny, H., Lossow, S., & Stiller, G.: The millennium water vapour drop in chemistry-climate model simulations, Atmospheric Chemistry and Physics Discussions, 15, 24 909-24 953, doi: 10.5194/acpd-15-24909-2015, URL http://www.atmos-chem-phys-discuss.net/15/24909/2015/ (2015)

• Page 8673: First paragraph: Why do these two SD simulations produce much less ozone deposition? How is tropospheric ozone behaving in those simulations? Is it largely underestimated, or what changes the dry deposition in these runs?

Reply: "They produce much lower ozone dry deposition fluxes , which is a direct effect of the, compared to other simulations, largely reduced ozone mixing ratio (about 28 to 32 nmol mol<sup>-1</sup> on average) in the RC1SD-base-07/08 simulations. The lower ozone mixing ratio, in turn, is caused mainly by the reduced lightning NOx and corresponding ozone production (see Figure 4 and Section 4.7)."

• Page 8675, Equation 1: What is "t"? Is methane lifetime calculated for each year? How much does the difference in CH4 lifetime depend on the amount of ozone in the tropical troposphere besides temperature. O3 is the largest source of OH in that region.

Reply: "t" is time. We will add "Here, we present the simulated OHlifetime of atmospheric  $CH_4$  at time t as a measure ...". The lifetime is first calculated for every output time step (i.e., 10-hourly), then averaged monthly, and then annually.

A detailed discussion of the variations in OH and possible dependencies on ozone would be interesting, but quite comprehensive. Therefore, we think that - for this overview paper - it is beyond the scope, since it deserves a more in-depth analysis. Nevertheless, we will add some general remarks at the end of the section.

• Figure 19, 20, 21, 22: It would be helpful to show a plot with the standard deviation of the aircraft data, if available, to get some idea how significant the differences are.

Reply: The figures 19 - 22, showing relative differences of simulation RC1SD-base-10 minus RC1SD-base-10a, will be replaced by those showing the absolute values of model minus measurements divided by the sum of the standard deviation of measurements and model. This provides an indication about the significance of the relative differences. The relative differences of RC1SD-base-10 minus RC1SD-base-10a will still be described in the text and shown as part of the revised supplement.

• Page 8678: Line 5ff, What figure or plot are you referring to, please point this out. The description was confusing to me, until I realized that you are plotting observations minus model results. Plotting model results minus observations would make it easier to follow the text. Deviations from the observations seem to be larger than 20%. Also, the model overestimates ozone (negative values in the plot) in 0-3km below the tropopause, I would not call this "low tropospheric" values.

Reply: Figure 19 is referred to in the sentence before. We will modify the figures to show "model results minus observations". We will also precise

the numbers in the text. With "low tropospheric values" we meant "lower values in the troposphere", this will be corrected.

• Page 8679: Can the 5% difference between models and observations explained by the difference between prescribed fields and observations? How large do the surface values differ between model and observations? And further, are other differences at all significant? Again, at least stating the standard deviation of the measurements would be helpful.

Reply: As explained in Sect. 3.6, methane is prescribed by Newtonian relaxation at the lower boundary based on observations (Fig. E1 in the Supplement). The deviation of 5% is therefore rather indicating deficiencies in simulating the correct methane lifetime and / or vertical tracer transport in the troposphere. This requires further investigations. In the revised Figure 21, the ratio between the (absolute) differences (model minus observations) and the standard deviations (model plus observations) will be shown to provide information about the significance of the deviations.

• Page 8686: Line 13,14. The lines in the discussed figure are difficult to distinguish, however, it looks like, if normalizing all the experiments to the same 1980 value, the recovery date between the 90 and 47 layer simulation is very similar, but maybe I am looking at the wrong lines?

Reply: Indeed, this is not apparent from the figure. However, we calculated the anomalies to the 1979-1982 mean and found a clear difference in the return date between the 90 and 47 layer simulation. We will add this Figure as S35 to the supplement and refer to it from the text.

• Page 8687: Line 4: another important effect could be transport and mixing changes if the modeled meteorology has been nudged towards analysis. Convection changes alter ozone by itself, not only through the lack of lightning NOx production. Mixing processes and stratosphere and troposphere exchange may also play an important role.

Reply: Yes indeed. We tried to use the diagnostic tracers ST80\_25 and O3s (see Appendix and Table A1) to disentangle the potential STE effect from chemical effects. We found that O3s cannot be used, because the modified chemistry (basically its loss in the troposphere) also alters the cross-tropopause gradient and therefore its own STE flux. Likewise, the STE flux changes of ST80\_25 cannot be simply used to "scale" the STE flux of O3 for the same reason, i.e. because the vertical gradients across the tropopause are different between O3 and ST80\_25. Nevertheless, to point out this issue, at the end of the paragraph we add "Additional effects, which are however more difficult to quantify, are direct effects on ozone by altered convection, by altered mixing, or by modified stratosphere - troposphere exchange."

• Page 8690: Line 1: ". . .where the coupled ocean model has the largest impact" I am not sure what is meant here? Impact on what?

Reply: We reformulate to ". . . and the coupled atmosphere - ocean model shows the largest deviations from observations."

We thank referee #2 for the very helpful and encouraging comments. Here are our replies:

• The manuscript presents recent updates to the ECHAM/MESSy Atmospheric Chemistry (EMAC) model, describing the version that was used for a large set of simulations to be submitted to the Chemistry-Climate Model Initiative (CCMI) model intercomparison project. Selected results from a number of the simulations specified for CCMI are presented, including a comparison of different model configurations for many of these experiments. For example, results are compared between versions of the model with 47 and 90 vertical levels, including prognostic tropospheric aerosols versus specified aerosol fields and two different approaches to nudging the model dynamical fields to reanalysis for the Specified Dynamics simulations defined by CCMI. The effects of a number of problems with the CCMI simulations that were discovered after the simulations were quite advanced are also investigated by comparing these simulations with follow-on simulations with these errors corrected.

Reply: This provides a perfect summary, thank you very much.

• The manuscript presents a great deal of information that will serve as an important resource for people analyzing the CCMI simulations and provides several interesting insights into how different choices in setting up the CCMI simulations affect the final results. The impact of the number of vertical model levels on stratospheric age of air and the effects of nudging wavenumber zero for temperature on lightning are good examples of findings that will be interesting to the modelling community.

Reply: Thank you very much for this positive and encouraging evaluation of our work.

• My only significant concern with the manuscript in the current form is that I find the sheer volume of different simulations, including variations that test the effects of bugs, is overwhelming for the reader.

Reply: Yes, indeed. However, since the manuscript is intended to serve as a reference for further research with the data, rather than a study on a specific topic, the information density is naturally higher, since details might be important. Given the amount of data, close to 2 Peta-Byte, we think the extent of information is appropriate.

Obviously, the additional sensitivity simulations were not intended, but we think that the proper documentation of these is very important, in particular for further CCMI studies with the data, in order to avoid misinterpretations.

• None of the analyses presented here show any significant differences between the RC1-aero-06 and -07 or the RC1-aecl-01 and -02 simulations that were run to test the effects of problems with the black carbon and organic carbon emissions. Yet the presentation of these four separate simulations complicates the interpretation of the results by the reader. It would seem possible to present the important caveat about the aero and aecl simulations while simplifying the presentation of the results by reducing the number of individual simulations discussed.

Reply: This point is well taken. However, the resulting small impact on the results (except for the fine mode aerosols!) derived from the overlapping time spans of RC1-aero-06/07 and RC1-aecl-01/02, respectively, was a priori not clear. Thus, we think it is an important result and we motivate this better in the revised section 3.12.3:

"The fine mode aerosol distributions are, however, quite substantially impacted by the errors in OC/BC. Since the total budgets of many compounds are dominated by the larger size categories, they, except for OC/BC, are hardly affected. Furthermore, the impact on the aerosol optical properties of the small particles is also lower than for larger particles, such that the impact on radiation is also minor. For aerosol-cloud-interactions (*-aecl-*) the error is only in the very first phase of the simulation leading to an underestimation of cloud droplets. As the problem has been fixed before the dominant change in especially organic aerosol emissions, the effect of increased cloud droplets from the year 1970 onwards is included in the resulting time series of *RC1-aecl-02*. As a consequence, detailed analyses of OC/BC can safely be based on results from *RC1-aero-07* (from 1991 onwards) and *RC1-aecl-02* (from 1966 onwards), respectively."

In this context, the additional section in the revised conclusions on "data usage recommendations", as suggested by referee #1 certainly helps as well. We added "Last, but not least, for further analyses on aerosol and aerosol-cloud effects, only RC1-aero-07 (from 1991 onwards) and RC1-aecl-02 (from 1966 onwards) should be used, respectively."

• A similar argument could be made about the RC1-base-07a, 08a and 10a simulations.

Reply: Again, the results of the "unintended sensitivity simulations" have been a priori not clear and we need to prove the (small) impact of our "glitches". It is important to show that the base cases (i.e., the simulations without suffix "a") can be used, with only some limitations, for further analyses in the course of CCMI. Moreover, results from RC1SD-base-10a (so to say our best guess) are particularly suited for direct comparisons with observations. We hope, that the expanded conclusions on "data usage recommendations", as suggested by referee #1, clarifies this.

• A related concern, if the article is to serve as a reference for the set of EMAC CCMI simulations, is that it is not clear how the different simulations described in the manuscript correspond to simulations that will be available for analysis within CCMI. For example, the RC1-aecl-01 simulation stops at 1972 and the RC1-aecl-02 simulation covers 1965 - 2011.

Will the EMAC REF-C1 simulation with the model setup of aecl be constructed by combining these two simulations?

Reply: Thank you very much for pointing this out. This information is indeed missing. We do not intend to construct combined time series, however RC1-aero-07 can be used from 1991 onwards, and RC1-aecl-02 from 1966 onwards (e.g. from the CERA database at DKRZ). Only those simulations covering consistently the requested time periods will be uploaded to BADC for CCMI.

In the revised manuscript, we state this more precisely in the conclusions and "data availability" sections, respectively.

• Aside from difficulties dealing with the number of simulations, I have no significant concerns with the manuscript. A few minor suggestions are given below.

Reply: Thank you very much.

• Page 8640, Lines 15-21. It is mentioned here that the chemistry and reaction rates have been updated. From the wording, it is not clear if the reaction mechanism has been modified or if the updates were just to update the reaction rates. If there have been some modifications to the chemistry, it would be helpful to have them briefly described. The issue of the chemistry is discussed in more detail in section 3.5.1, but what updates have been made, if any, are not mentioned.

Reply: The differences between the mechanisms are small. Apart from updated rate coefficients, the product distributions were also updated for a few reactions (e.g.  $C_2H_4 + O_3$ ). In addition, previously neglected, chemically inert or ubiquitous products like  $CO_2$ ,  $H_2O$  and  $O_2$ , have now been added in order to fix the mass balance of some reactions. Since Hg chemistry is not considered in this study, all Hg reactions were switched off.

We add this additional information to section 3.5.1 of the revised manuscript.

• Page 8643, Lines 3-5. It is not clear to an outside reader what SCALC is designed to do. The use of the term 'channel objects' is also a mystery – to me, at least.

Reply: Yes, indeed. As it reads, it is only understood by MESSy insiders. Therefore, we add some clarification: "The term *channel object* was introduced as part of the MESSy terminology by Jöckel et al. (2010). In brief, it describes a specific Fortran95 structure comprising the data and corresponding meta-data of prognostic and diagnostic variables according to an object-oriented approach. The individual model components (i.e., what we call *submodels*) operate on these *channel objects*. SCALC, in particular, is used to provide, defined by namelist, new *channel objects* (e.g., the total loss rate of a reactive compound), consisting of the sum of (optionally scaled) individual objects (e.g., the process specific loss rates of that compound)."

• Page 8646, Lines 21-27. It is mentioned that the changes to the clouds produce a 3.4 W/m2 increase in the shortwave balance, that is designed to offset the -3.3 W/m2 net balance using the original set of parameters. Is there any impact on the longwave balance from the revised parameter values and what is the overall radiative balance with the revised parameters?

Reply: Both altered parameters mainly affect the shortwave radiation  $(4.3 \text{ W m}^{-2})$ , and the effect on the longwave radiation is only small (0.9 W m<sup>-2</sup>). The value of  $3.4 \text{ W m}^{-2}$ , given in the manuscript, is the combined change of the OLR and the TOA net shortwave radiation, unlike stated in the original manuscript. The text in the revised version is changed accordingly.

• Page 8648, Line 7. I believe 'divers' should be 'diverse', but both are valid English words with subtly different meanings.

Reply: Funny, indeed! Something that the spell-checker cannot find. It is corrected in the revised manuscript.

• Page 8659, Lines 14-17. Here it is stated that the isoprene emissions are reduced by a factor of 0.6 to give realistic isoprene mixing ratios in the boundary layer. Is there a physical reasoning behind the reduction, perhaps to account for reactions within the canopy, or is it a purely pragmatic choice?

Reply: As shown by Arneth et al.  $(2008)^1$  (and references therein) the global emission of isoprene in literature is estimated to be approx. 500 Tg(C)/year. And indeed, our emission algorithm calculates values close to this. However, those result in unrealistically high isoprene mixing ratios in the boundary layer. The reason for this discrepancy could be missing processes below the canopy or shortcomings in our simplified isoprene degradation scheme (MIM1). This is under investigation but beyond the scope of the present study.

Thus, in conclusion the selection of the scaling factor 0.6 is a purely pragmatic choice, as also stated by Jöckel et al.  $(2006)^2$ : "The additional scaling factors adapt the parameterisations in order to achieve realistic

<sup>&</sup>lt;sup>1</sup>Arneth, A., Monson, R. K., Schurgers, G., Niinemets, ., and Palmer, P. I.: Why are estimates of global terrestrial isoprene emissions so similar (and why is this not so for monoterpenes)?, Atmos. Chem. Phys., 8, 4605-4620, doi:10.5194/acp-8-4605-2008, 2008.

<sup>&</sup>lt;sup>2</sup>Jöckel, P., Tost, H., Pozzer, A., Brühl, C., Buchholz, J., Ganzeveld, L., Hoor, P., Kerkweg, A., Lawrence, M. G., Sander, R., Steil, B., Stiller, G., Tanarhte, M., Taraborrelli, D., van Aardenne, J., & Lelieveld, J.: The atmospheric chemistry general circulation model ECHAM5/MESSy1: consistent simulation of ozone from the surface to the mesosphere, Atmospheric Chemistry and Physics, 6, 5067-5104, doi: 10.5194/acp-6-5067-2006, URL http://www.atmos-chem-phys.net/6/5067/2006/ (2006)

mixing ratios of isoprene in the boundary layer". A more detailed discussion on the isoprene scaling factor is provided by Pozzer et al. $(2007)^3$ , who also compare with global emission values used in other models.

We add this information to the revised text.

• Page 8667, Line 18 – Page 8668, Line 3. The error with extinction is discussed here, section 3.12.1. Since most of these runs use prescribed SSTs, the impact of the volcanic eruptions will already be present in the tropospheric temperatures. Does the error also impact the infrared interaction of the aerosols, in which case the stratospheric temperature response will be significantly effected?

Reply: Both, solar and infrared radiative transfer, are affected. The underestimation of the solar effect leads to an overestimated radiative transmission into the troposphere and hence a too strong warming. This is partly compensated near the surface by the prescribed SSTs. In the infrared the volcanic aerosol is, however, of minor importance, especially due to its low water content. Consequently, even though the stratospheric temperature response is underestimated, the effect is weak and not statistically significant in most regions (see attached Figure).

In the revised manuscript, we modify/add: "But very important: the dynamical effects of large volcanic eruptions (e.g., Mt. Pinatubo 1991; El Chichón 1982) are essentially not represented in the simulations, except for the contribution to the tropospheric temperature signal induced by the prescribed SSTs. The effect of stratospheric volcanic aerosol on infrared radiative heating is weak, as shown by mostly insignificant differences between RC-base-07a and RC-base-07, and RC-base-08a and RC-base-08, respectively (not shown)."

• Page 8673, Lines 17-26. Figures 16 and 17 show values of the deposition flux from sedimentation, yet many of these simulations use specified aerosol fields. There is, apparently, a calculation of sedimentation for model runs using specified aerosols. In Section 3.5.4 there should be a mention that sedimentation is calculated for simulations with specified aerosols and, perhaps, a brief description of any important features of how this calculation is performed.

Reply: We add to the revised section 3.5.4 the missing information: "In the simulations without prognostic aerosol chemical and microphysical properties (i.e., all except for *-aero-* and *-aecl-*), sedimentation fluxes are calculated by SEDI for the residual aerosols originating from evaporation

<sup>&</sup>lt;sup>3</sup>Pozzer, A., Jöckel, P., Tost, H., Sander, R., Ganzeveld, L., Kerkweg, A., & Lelieveld, J.: Simulating organic species with the global atmospheric chemistry general circulation model ECHAM5/MESSy1: a comparison of model results with observations, Atmospheric Chemistry and Physics, 7, 2527-2550, doi: 10.5194/acp-7-2527-2007, URL http://www.atmos-chemphys.net/7/2527/2007/ (2007)

of clouds and precipitation leading to particles. In these cases, particle size distribution (mean radius =  $5 \times 10^{-07}$  m,  $\sigma = 2.0$ ) and particle density ( $\rho = 1841.0 \text{ kg/m}^3$ ) are prescribed."

• Page 8676, Lines 5-11. This section of text places the EMAC methane lifetime alongside the methane lifetime from other models. I have no objection to this discussion, but the text should also discuss the observationally based estimates of methane lifetime of closer to 11.2 +/-1.3 years from methyl chloroform (Prather et al., 2012).

Reply: Thank you very much for pointing this out. We add the reference.

• Page 8678, Lines 6 – 9. The text discusses how ozone in the summer decreases more rapidly in the model than in the observations, leading to an underestimate in the model above the tropopause that peaks during June-July-August. Figure 21 shows an overestimate of CH4, notable because the model underestimates CH4 in much of the upper troposphere. Could the underestimate of ozone and overestimate of CH4 be related to problems with cross-tropopause transport?

Reply: This could indeed be the cause for the two differences between the model and measurements. But an investigation in this direction is beyond the scope of the present study, as it only presents first results in the sense of a baseline study.

• Page 8679, Lines 24-26. Could the authors clarify what they mean by 'The seasonal cycle is, however, reproduced when taking more model data into account (not shown).' Is this referring to more data in the same region of the atmosphere, or sampled over different geographic locations?

Reply: The latter. To clarify this, we reformulate: "The seasonal cycle is, however, reproduced when taking more model data from the UTLS into account, including data from longitudes different to those where CARIBIC flies (not shown)."

 Page 8685, Lines 6 – 9. Total column ozone from the model is compared with the Bodeker Scientific dataset (BSTCO) for the years 1980-2011. Averaging over the 1980-2011 period mixes years from the early 1980s, when ozone depletion was more modest, with years in which it was more fully developed from the mid-1990s onward. Wouldn't a comparison of, say, 1995-2011 be a more straightforward averaging period? Do the trends over 1980-1995 contribute to the variance used in the test for statistical significance

Reply: To test the effect of the chosen period we repeated the analysis for the shorter period (1995-2011) as suggested (see Figure below). We find only small differences between the results for the two periods and the main conclusions that we draw from this plot are not changed. However, the regions of significant differences between the simulations are slightly larger for the shorter period. This may result from the fact that the trend is not removed and indeed contributes to the variance.

• Page 8738, Caption for Figure 19. There should be mention in the figure caption, as there is in the text, that the data used is restricted to the latitudes 35 - 60N.

Reply: We add this information to the caption: "Comparison of  $O_3$  climatologies (35° N - 60° N) based on data from the years 2005–2013."

• Page 8691, Line 6. The term 'sulphite' is used here, as it is in a few other places through the manuscript. Should that be 'sulphate'? I'm not sure myself, but I am more used to seeing sulphate.

Reply: SO<sub>2</sub> scavenged by clouds and precipitation is not completely converted to sulphate (depending on the pH). Therefore, the term sulphite corresponds to all species with sulphur in oxidation state +4 (S(IV)). On the other hand the oxidised sulphate corresponds to all compounds which could be summed as S(VI).

We add the definition to the first occurrence of "sulphite" in the text.



Figure 1: Differences of the long-term, annual mean for (a) temperature, (b) long wave heating rate, and (c) short wave heating rate. In hatched regions the differences are significant at the 95% level.

1

Figure 1:



Figure 2: Same as Figure 27 of the GMDD manuscript, however, for the period 1995 - 2011.

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### Earth System Chemistry Integrated integrated Modelling (ESCiMo) with the Modular Earth Submodel System (MESSy, version 2.51)

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Discussion Paper

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### Abstract

With version 2.51 of the ECHAM/MESSy Atmospheric Chemistry (EMAC) model three types of reference simulations as recommended by the Chemistry-Climate Model Initiative (CCMI) have been performed: hindcast simulations (1950–2011), hindcast simulations with specified dynamics (1978–2013)1979–2013), i.e., nudged towards ERA-Interim reanalysis data, and combined hindcast and projection simulations (1950–2100). The manuscript summarises the updates of the model system and details the different model setups used, including the on-line calculated diagnostics. Simulations have been performed with two different nudging setups, with and without interactive tropospheric aerosol, and with and without a coupled ocean model. Two different vertical resolutions have been applied. The on-line calculated sources and sinks of reactive species are quantified and a first evaluation of the simulation results from a global perspective is provided as a quality check of the data. The focus is on the inter-comparison intercomparison of the different model setups. The simulation data will become publicly available via CCMI and the CERA database of the German Climate Computing Centre (DKRZ). This manuscript is intended to serve as an extensive reference for further analyses of the ESCiMo simulations.

### 1 Introduction

The study of chemistry-climate interactions represents an important and, at the same time, difficult task of global change research. The emerging issues of climate change, ozone depletion and air quality, which are challenging from both, scientific and policy perspectives, are represented in Chemistry-Climate Models (CCMs). Understanding how the chemistry and composition of the atmosphere may change over the 21st century is essential in preparing adequate adaptive responses or establishing mitigation strategies. The distribution and development of aerosols and reactive greenhouse gases is controlled by primary emissions, atmospheric chemistry and physics including transport of air masses integrated over global scales. Projections of future climate change are coupled with changes in atmospheric com-

position whose impacts extend from air quality to stratospheric ozone. Furthermore, chemically active species in the troposphere are more amenable to short-term manipulations by changes in emissions and are therefore of major policy relevance to both air quality and climate. Provision of high-quality, policy-relevant information on the current state of the climate and its possible future states, as well as options for adaptation, are strongly dependent on progress in this area.

Increasingly, the chemistry and dynamics of the stratosphere and troposphere are being studied and modelled as a single entity in global models. The ECHAM/MESSy Atmospheric Chemistry (EMAC) model was one of the first community models with this capability (Jöckel et al., 2006). For the first time, some of the Earth system models (ESMs) with interactive oceans participating in the Coupled Model Intercomparison Project Phase 5 (CMIP5, Taylor et al., 2011) had interactive chemistry (Eyring et al., 2013a; Flato et al., 2013). The WMO/UNEP "Scientific Assessment of Ozone Depletion: 2010" (World Meteorological Organisation, 2011) also featured several stratospheric models that included tropospheric chemistry, and one model with a coupled ocean. It was also a main recommendation of the SPARC CCMVal report (SPARC, 2010), that stratosphere-resolving CCMs should continue to evolve towards more comprehensive, self-consistent stratosphere-troposphere CCMs. These developments provide a pathway for including better representation of stratosphere-troposphere, chemistry-climate, and atmosphere-ocean coupling in CCMs and ESMs used for more robust predictions of future stratospheric ozone, climate change, and mutual influences (Eyring et al., 2013b).

Within the "Earth System Chemistry Integrated integrated Modelling (ESCiMo)" initiative chemistry-climate simulations have been conducted by the MESSy (http://www. messy-interface.org) Consortium with the EMAC model for special topics related to the national project of the DFG-Forschergruppe SHARP (Stratospheric Change and its Role for Climate Prediction) and the international IGAC/SPARC Chemistry-Climate Model Initiative (CCMI, http://www.met.reading.ac.uk/ccmi/). These simulations have been carried out in support of upcoming WMO/UNEP ozone and IPCC climate assessments and will help to answer emerging science questions as well as to improve process understanding.

In this manuscript Sect. 2 documents the briefly (mainly for the users of it) the updates of the Modular Earth Submodel System (MESSy) and EMAC since Jöckel et al. (2010), Sect. 3 describes the model setups, and Sect. 4 highlights some first analyses. Section 5 provides a summary and some first conclusions, followed by a section providing information about the code and data availability. Last but not least, Appendix A lists the applied on-line diagnostics. Extensive supplementary information is available as Supplement.

### 2 New model developments

MESSy is a software package providing a framework for a standardised, bottom-up implementation of Earth System Models with flexible complexity. "Bottom-up" means, the MESSy software provides an infrastructure with generalised interfaces for the standardised control and interconnection (coupling) of low-level ESM components (i.e., dynamic cores, physical parameterisations, chemistry packages, diagnostics, etc.), which are called submodels. MESSy comprises currently about 60 submodels (i.e., coded according to the MESSy standards) in different categories: infrastructure (i.e., framework) submodels, atmospheric chemistry related submodels, physics related submodels, and diagnostic submodels. The ECHAM/MESSy Atmospheric Chemistry (EMAC) model uses the Modular Earth Submodel System to link multi-institutional computer codes to the core atmospheric model, i.e., the 5th generation European Centre Hamburg general circulation model (Roeckner et al., 2006). Since the publication of Jöckel et al. (2010), MESSy – including the EMAC model – has undergone several updates.

### 2.1 Updates of the model infrastructure

 The ECHAM5 "nudging" routines for simulations with specified dynamics have been updated to enable the usage of nudging data in netCDF (http://www.unidata.ucar.edu/ software/netcdf/) input format. The corresponding preprocessing is now performed with cdo (climate data operators, https://code.zmaw.de/projects/cdo). - New infrastructure submodels for the unified import of external data (IM-PORT), and grid definitions and transformations (GRID) have been implemented (?) (Kerkweg and Jöckel, 2015). The re-discretisation via NCREGRID, as described by Jöckel (2006), is now part of those. Based on this advanced infrastructure, the submodels OFFLEM, ONLEM (both Kerkweg et al., 2006b), and DRYDEP for off-line, on-line calculated emissions, and dry deposition, respectively (Kerkweg et al., 2006a), have been revised (keeping their functionality) and renamed into OFFEMIS, ONEMIS, and DDEP, respectively.

### 2.2 Updates of atmospheric chemistry related submodels

- The submodel MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere), used to simulate the chemical kinetics, has been updated (Sander et al., 2011a) and further revised. The rate coefficients were updated to the latest recommendations of the Jet Propulsion Laboratory (JPL, Sander et al., 2011b) and other recent publications (see Sect. 3.5.1).
- The submodel JVAL, used to calculate photolysis rate coefficients, has been updated to the most recent version (Sander et al., 2014, see Sect. 3.5.1).

### 2.3 Updates of physics related submodels

The ocean subsystem as described by Pozzer et al. (2011), consisting of the submodels MPIOM (based on the General (ocean) Circulation Model MPIOM, Marsland et al., 2003, version 1.3.0), HD (Hydrological Discharge, based on the work of Hagemann and Gates, 1998; Hagemann et al., 2006), and A2O (Atmosphere to Ocean, Pozzer et al., 2011) are now included in the new MESSy release 2.51 (see Sect. 3.11). The submodel A2O is responsible for the exchange of information between ocean and atmosphere (and vice versa), while HD (hydrological discharge) simulates the riverine fresh water input into the ocean for balancing the water mass.

- The radiation submodel RAD4ALL of development cycle 1 of MESSy (Jöckel et al., 2006), which was a first modularised version of the ECHAM5 radiation scheme, has been completely refined, further modularised, and split into the MESSy submodels RAD (with sub-submodel FUBRAD, see next item) for radiation calculations, AEROPT for the calculation of aerosol optical properties (see Sect. 3.7.1), CLOUDOPT for the calculation of cloud optical properties, and ORBIT for Earth orbit calculations. The technical documentation with application examples will be published elsewhere (Dietmüller et al., 2015).
- To increase the spectral resolution of the ultraviolet/visible (UV/Vis) region of the solar spectrum, the single UV/Vis band of the submodel RAD can be substituted by the sub-submodel RAD-FUBRAD at pressures lower than 70 hPa (Nissen et al., 2007; Kunze et al., 2014). The updates of FUBRAD were motivated by the need for a consistent flux profile over the complete vertical model domain, that is necessary when an interactive ocean is coupled to EMAC. The updates comprise
  - an increase of the spectral resolution of the Chappuis-band from one in the original version to 6 or 57 in the updated version, increasing the spectral resolution from 49 to 55 or 106 bands,
  - a consistent flux profile by using non-scaled fluxes in the Chappuis-band and also the usage of integrated fluxes in the non-absorbing band.
- The surface processes of the ECHAM5 basemodel have been restructured as MESSy submodel SURFACE. The restructuring comprises the calculation of heat and water budgets of the surface (surf.f90)<sup>1</sup>, and lake temperatures and ice thicknesses (lake.f90). The ground temperature evolution and the temperature profile within the soil are estimated from the thermal diffusion equation (soiltemp.f90). Lake-ice temperature (licetemp.f90) and sea-ice temperature (sicetemp.f90) are calculated prognostically, prognostic calculation of the ice temperature (icetemp.f90) is optional and

<sup>&</sup>lt;sup>1</sup>Names in parentheses refer to the original ECHAM5 subroutine names.

has been applied for all simulations presented here. The concepts and physics of the submodel SURFACE are described in detail by Roeckner et al. (2003).

In summary, the physics related submodels (so to say the "E" in EMAC) have been mostly derived from the physics routines of the ECHAM5 basemodel (Roeckner et al., 2003, 2006), some have been further developed and include advanced or alternative parameterisations. Thus, ECHAM5 physics in EMAC is currently represented by the submodels AEROPT, CLOUDOPT, ORBIT, RAD, SURFACE (see above), GWAVE (non-orographic gravity waves, Baumgaertner et al., 2013), CONVECT (convection Tost et al., 2006b), and CLOUD. Convective tracer transport is simulated by the submodel CVTRANS (Tost, 2006). Vertical diffusion, orographic gravity waves, and large-scale advection (flux-form semi-Lagrangian, Lin and Rood, 1996) are treated in EMAC by ECHAM5. The feedback of atmospheric chemistry to the hydrological cycle is controlled by the submodel H<sub>2</sub>O (Jöckel et al., 2006).

### 2.4 New diagnostic submodels

New diagnostic submodels have been added:

- SCALC (Kern, 2013, see also Appendix A1 for an example usage) is used for Simple CALCulations with channel objects channel objects (Jöckel et al., 2010) via namelist. In the current MESSy release 2.51 summation and scaling of channel objects is implemented. The term channel object was introduced as part of the MESSy terminology by Jöckel et al. (2010). In brief, it describes a specific Fortran95 structure comprising the data and corresponding meta-data of prognostic and diagnostic variables according to an object-oriented approach. The individual model components (i.e., what we call submodels) operate on these channel objects (e.g., the total loss rate of a reactive compound), consisting of the sum of (optionally scaled) individual objects (e.g., the process specific loss rates of that compound).
- The submodel TBUDGET (see Appendix A1) is used to calculate budgets of reactive compounds.
- The submodel CONTRAIL (see Appendix A3) is used to calculate the potential contrail coverage and the potential contrail cirrus coverage.

### 3 Simulation configurations and setups

### 3.1 General aspects of the model setups

Three different sets of reference simulations have been suggested by CCMI (Eyring et al., 2013b), namely free running hindcast simulations from 1960 to 2010 (REF-C1), hindcast simulations with specified dynamics from 1980 to 2010 (REF-C1SD), and combined free running hindcast and projection simulations from 1960 to 2100 (REF-C2). With EMAC we conducted these simulations in a T42 (triangular) spectral resolution of the ECHAM5

basemodel (corresponding to a quadratic Gaussian grid of  $2.8^{\circ} \times 2.8^{\circ}$  in latitude and longitude) in different flavours as listed in Tables 1 and 2. We simulated with two different vertical resolutions, once with 90 (L90MA) model levels and once with 47 (L47MA) model levels, both reaching up to 0.01 hPa (mid of uppermost layer) into the middle atmosphere (MA, approximately 80 km). The vertical layer structures of the hybrid pressure levels ( $p(i, j, k, t) = h_a(k) + h_b(k) \cdot p_s(i, j, t)$ , where p is pressure, i, j the horizonal and k the vertical grid indices, t time,  $p_s$  the surface pressure, and  $h_a$  and  $h_b$  the hybrid coefficients) are visualised in Fig. S4<sup>2</sup>. The time step length was 720 s in the T42L90MA and 600 s in the T42L47MA simulations, respectively. For the T42L47MA resolution, we had to optimise several model parameters as described in further detail in Sect. 3.2.

All simulations (except for those with specified dynamics, SD) start in January 1950 to have a 10 year long spin-up period (1950–1959, initialised from already spun-up states of previous simulations, see SectsSect. 3.5.5and 3.11. The simulation with coupled interactive ocean (*RC2-oce-01*) was spun-up in a two-stage procedure over 500 years in total (see Sect. 3.11 for details).

The hindcast simulations with specified dynamics (SD) have been branched off from restart files (1 January 1979) of the corresponding free running hindcast simulations and "nudged" by Newtonian relaxation towards ERA-Interim reanalysis data (Dee et al., 2011), which are available with a 6-hourly time resolution from the year 1979 onwards. The Newtonian relaxation (nudging) of the ECHAM5 basemodel is applied in spectral space for the prognostic variables divergence, vorticity, temperature, and the (logarithm of the) surface pressure. The corresponding relaxation times applied were 48, 6, 24 and 24 h, respectively. However, the nudging strengths are not applied homogeneously in the vertical: the boundary layer and the stratosphere/middle atmosphere above 10 hPa are not nudged with transition layers of intermediate strengths in between. The vertical profiles of the relative nudging strengths for both vertical resolutions are displayed in Fig. S5. The nudging further

<sup>&</sup>lt;sup>2</sup>Figures and tables named Sn (with n = 1, 2, 3, ...) referred to in the text are in the document ESCiMo\_supplement.pdf. Those named En (with n = 1, 2, 3, ...) are in the document ES-CiMo\_emissions.pdf. Both documents are part of the Supplement.

implies that the sea surface temperatures (SSTs) and the sea ice concentrations (SICs) are used from ERA-Interim reanalysis data, whereas the free running simulations (*RC1* and *RC2*) are forced by other external SSTs and SICs (see Sect. 3.3). In addition to the *RC2base* simulations (free running hindcast and projection), which are forced by prescribed SSTs/SICs (see Sect. 3.3), we conducted a simulation with an interactively coupled ocean model (Pozzer et al., 2011). The details are described in Sect. 3.11.

As listed in Table 1, the SD simulations have been performed twice (in both vertical resolutions) with two different settings: In two cases the "wave zero" (i.e., the global mean) temperature ( $\overline{T}$ ) was included for the Newtonian relaxation (*RC1SD-base-07* in T42L90MA and *RC1SD-base-08* in T42L47MA), in two other cases (*RC1SD-base-09RC1SD-base-10* in T42L90MA and *RC1SD-base-10RC1SD-base-09* in T42L47MA) it was omitted. The idea was to correct (or not) potential temperature biases and to investigate the response of the model, in particular the chemical state of the model atmosphere.

For investigations about the role of tropospheric aerosol for chemistry, we additionally performed free running hindcast simulations with interactively calculated aerosol replacing the prescribed aerosol of the *RC1-base* simulations, once without (*RC1-aero-06/07*) and once with effect on the clouds (*RC1-aecl-01/02*). Further details are given in Sects. 3.7 and 3.8. Last, but not least, we performed additional sensitivity simulations (those appended by letter "a" in Table 1) for reasons outlined in Section 3.12.

Data from the model were mostly output as 10 hourly global snapshots or averages. All simulation setups were equipped with extended on-line diagnostics as detailed in Appendix A. The high complexity of the applied model setups and the total number of simulation years required a considerable amount of computational resources and led to almost 2 PetaByte of primary model output (Table 2).

### 3.2 Parameter optimisation for T42L47MA

In preparation of the simulations with interactive chemistry a number of 10 to 20 year test simulations have been performed with EMAC at a resolution of T42L47MA to determine optimal parameter settings. In these simulations the interactive chemistry has been

switched off, whereas the same setup as for the chemistry simulations has been used for convection (submodel CONVECT, Tiedtke, 1989; Nordeng, 1994), cloud cover (submodel CLOUD, Sundqvist et al., 1989), and non-orographic gravity waves (submodel GWAVE, Hines, 1997). Optimisation of parameters is necessary for the convection parameterisation to get a balanced radiation budget at the top of the atmosphere (TOA), for the QBO nudging to get a realistic QBO amplitude for the L47MA resolution, and for the gravity wave parameterisation, where the choice of the parameter *rmscon* (the root-mean-square of the gravity wave induced horizontal wind speed at the launch level in m s<sup>-1</sup>) has an impact on the polar vortex strength.

The test simulations are performed to achieve a global, annual average equality of the net incoming SW radiation with the outgoing LW radiation at the uppermost model level (i.e., top of the atmosphere, TOA). The test simulation was performed under conditions for the year 2000 for the green house gases (GHGs), ozone depleting substances (ODSs), SSTs and SICs (10 year average of the HADISST monthly SSTs and SICs between 1995 and 2004). Comparing the TOA balance of the L47 simulations with interactive chemistry, reveals an annual, global average from 1995-2004 of -0.26 Wm<sup>-2</sup> and 0.41 Wm<sup>-2</sup> for *RC1-base-08* and *RC2-base-05*, respectively.

Comparison to the test simulation without interactive chemistry (0.1 Wm<sup>-2</sup>) shows that these values are still in the range of  $\pm 0.5$  Wm<sup>-2</sup>, only slightly larger than the uncertainty range from observations. Stephens et al. (2012) give an estimate for the TOA radiation balance of 0.6 ( $\pm$  0.4) Wm<sup>-2</sup> for the decade 2000-2010 derived from satellite observations.

### 3.2.1 Balancing the radiation budget

To achieve a balanced radiation budget at the TOA, the tuning of cloud parameters is commonly applied (e.g., Mauritsen et al., 2012). The default settings for the T42L47MA version setup of EMAC result in a TOA radiation imbalance of  $-3.3 W m^{-2}$ , i.e. , the outgoing longwave (LW) radiation (OLR) is larger than the net short-wave shortwave (SW) radiation. The prescribed SSTs limit the ability of the model to adapt to a ra-

diation imbalance with increasing/decreasing SSTs, which would also influence convection and clouds, and thereby possibly balance the radiation budget by increasing/decreasing the outgoing LW radiation. With this kind of model setup the negative imbalance can be reduced by increasing the net SW radiation through changes of the parameters that affect cloud properties, thus reducing the planetary albedo. There are a number of parameters in the Tiedtke convection parameterisation, which have an influence on the net SW radiation, as summarised by Mauritsen et al. (2012). In a series of test simulations optimised values of the following parameters have been identified that lead to an almost balanced radiation budget at the TOA: the relative convective cloud mass flux above level of nonbuoyancy (*cmfctop* = 0.35, default: 0.30, possible range: 0.10-0.38) and the entrainment rate for deep convection (*entrpen* =  $0.5 \times 10^{-4}$  m<sup>-1</sup>, default:  $1.0 \times 10^{-4}$  m<sup>-1</sup>, possible range:  $0.3-3.0 \times 10^{-4}$  m<sup>-1</sup>). The values for the possible ranges of these two parameters have been tested by Mauritsen et al. (2012). Both changes, increasing the parameter *cmfctop* and decreasing the parameter *entrpen*, increase increase the net SW radiation at TOA, as the low level clouds tend to be less frequent and thinner. Applying both altered parameters in combination leads to an 3.44.3 W m<sup>-2</sup> increase of the net SW radiationat TOA., and an 0.9 W m<sup>-2</sup> increase of the OLR at TOA, which result in an almost balanced TOA radiation budget of  $0.1 \,\mathrm{W \, m^{-2}}$ . For the T42L90MA simulations, the default values have been used (see Table S2). For the simulation with coupled ocean model, cloud parameters have also been modified (see Sect. 3.11 and Table S2).

### 3.2.2 QBO nudging

The vertical resolution of L47MA is not sufficient to generate the quasi biennial oscillation (QBO) of the zonal winds in the lower equatorial stratosphere internally. Therefore the zonal winds near the equator are relaxed (with submodel QBO) towards a zonal mean field with a Gaussian profile in the latitudinal direction, which has been derived from the observed zonal mean zonal winds near the equator (i.e., nudged), to get the correct amplitude and phase of the observed QBO (see Fig. S7). The nudging is applied in the altitude range between 10–90 hPa, with full nudging weights (i.e., 1.0) from 20–50 hPa, levelling off to 0.3

(0.2) at the upper (lower) edge of the nudging region (Giorgetta and Bengtsson, 1999). The latitudinal range is confined to  $12.6^{\circ}$  S– $12.6^{\circ}$  N, with full nudging at latitudes from  $7^{\circ}$  S– $7^{\circ}$  N. The relaxation time scale is set to 10 days. Although the internal generation of a QBO is a feature of the L90MA setup of EMAC (Giorgetta et al., 2002), the zonal winds near the equator are slightly nudged with a relaxation time scale of 58 days in all EMAC simulations at T42L90MA resolution, to get the correct phasing of the observed QBO.

### 3.2.3 Tuning of gravity waves

The strength of the momentum deposition in the stratosphere and mesosphere by nonorographic gravity waves, thought to be released at the launch level, which is chosen to be near a pressure of 643 hPa, is controlled in submodel GWAVE by the parameter *rmscon*. The parameter is used in the calculation of the cutoff wavenumber in each model level, controlling the wavenumber at which the wave breakdown begins (Manzini et al., 1997). Decreasing *rmscon* leads to a larger cutoff wavenumber and wave breaking occurs less often, which has the effect of reducing the disturbance of the polar vortex by deposited momentum flux in the stratosphere and mesosphere. In earlier EMAC simulations at T42L90MA resolution this parameter was chosen to be 0.96, which often has led to a relatively weak Antarctic polar vortex with a warm bias in the stratosphere. The setting of *rmscon* to 0.92, for both T42L47MA and T42L90MA resolutions, was found to be optimal for the strength of the Antarctic polar vortex and was determined by a series of test simulations with rmscon set to 0.90, 0.92, 0.96, and 0.98. As shown for the daily mean climatology of the zonal wind at 60° S and the temperatures for a polar cap average from 71.2–87.9° S (Fig. 1), this choice of the parameter leads to a strengthened Antarctic polar vortex and a colder stratosphere, especially during August and September.

The effects on the polar vortex strength of the Northern Hemisphere are more diversdiverse, with alternating periods of an intensified and attenuated polar vortex. This is also reflected in the temperature changes of the polar cap average from 71.2–87.9° N. Most of these differences are, however, not statistically significant and therefore not shown.

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### 3.3 Sea surface temperatures and sea ice concentration

Sea surface temperatures (SSTs) and sea ice concentrations (SICs) for the *RC1* simulations are prescribed following the global data set HadISST provided by the UK Met Office Hadley Centre (Rayner et al., 2003, available via http://www.metoffice.gov.uk/hadobs/hadisst/). The data set is based on merged satellite and in-situ observations. For the *RC1SD* simulations, SSTs and SICs as given by ERA-Interim were used for consistency with the nudging (see Sect. 3.1). In the global mean, the HadISST and ERA-Interim SSTs and SICs are almost identical (Fig. 11). The distribution of SSTs is as well almost identical in ERA-Interim and HadISST (Figs. S8 and S9), and SICs differ slightly in the pattern by up to  $\pm 20\%$  (Fig. S12).

SSTs and SICs for simulations extending into the future (i.e., *RC2*) are taken from simulations with the global climate model HadGEM2-ES (Collins et al., 2011; Martin et al., 2011). These simulations were performed for the CMIP5 multi-model datasets, and have been made available via the CMIP5 data archive at PCMDI (Program for Climate Model Diagnosis and Intercomparison, available at: http://www-pcmdi.llnl.gov). For years up to 2005, the "Historical" simulation with HadGEM2-ES is used. Afterwards, the "RCP6.0" simulation, which is initialised with the "Historical" simulation, is employed. Details of those simulations are described by Jones et al. (2011). The simulation of SSTs in HadGEM2 has been significantly improved over the predecessor model HadGEM1. Namely the prominent cold bias in HadGEM1 has been reduced in HadGEM2, and the representation of ENSO has been improved (Martin et al., 2011, see also Figs. 11 and S10). The sea ice extent has been well reproduced, remaining within 20 % of observed values during most of the year (Martin et al., 2011, see also Figs. 11 and S13), even though we find deviations of up to 80 % locally.

It would have been desirable to take future SSTs and SICs from simulations with a climate model based on the same atmospheric base model as EMAC. However, the suitable simulations following RCP6.0 were not performed with the corresponding model (Max-Planck-Institute Earth System Model, MPI-ESM).

### 3.4 Transient spectrally resolved irradiances

To account for the solar variability, daily spectrally resolved irradiances (SSI) from the NRLSSI model and the daily total solar irradiance (TSI, Lean et al., 2005) have been used. Data have been prepared as input for the radiation (RAD) sub-submodel FUBRAD (Kunze et al., 2014), here applied with 55 spectral bands, and for the photolysis calculations in the submodel JVAL (see Sect. 3.5.1). Corresponding time series are shown in Figs. S14 and S15. Note that the temporal evolution of the photon fluxes derived for JVAL (not shown) follow directly the shown spectral irradiances.

The future solar forcing, to be used for the projections, has been prepared according to the solar forcing used for CMIP5 simulation of HadGEM2-ES, where the SSTs and SICs are taken from Jones et al. (2011, see Sect. 3.3). It consists of repetitions of an idealised solar cycle connected to the observed time series in July 2008. This has been applied consistently for all projections with prescribed SSTs (*RC2-base*) and for the simulations with interactively coupled ocean model (*RC2-oce-01*). Here, we deviate from the CCMI recommendations consisting of a sequence of the last four solar cycles (20–23).

### 3.5 Atmospheric chemistry setups

### 3.5.1 Gas phase chemistry and photolysis

For the chemical kinetics, we have used the submodel MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere). Based on the code described by Sander et al. (2011a) and Jöckel et al. (2010), a revised version was used for the simulations. All rate coefficients were updated to the latest recommendations by JPL (Sander et al., 2011b) and other recent publications. Apart from these updated rate coefficients, the product distributions were also updated for a few reactions (e.g.  $C_2H_4 + O_3$ ). In addition, previously neglected, chemically inert or ubiquitous products like  $CO_2$ ,  $H_2O$  and  $O_2$ , have now been added in order to fix the mass balance of some reactions. The most recent version of the submodel JVAL was used to calculate photolysis rate coefficients ("*J* values"), as described
by Sander et al. (2014). Spectrally resolved time series of photon fluxes have been used consistently with the transient spectrally resolved irradiances used for the radiation calculation (see Sect. 3.4).

For the base simulations (RC1-base, RC1SD-base and RC2-base, see Sect. 3 and Table 1), the chemical mechanism was selected with the batch file CCMI-base-02.bat (see Figs. S1 and S2). Briefly, the mechanism considers the basic gas-phase chemistry of ozone, methane, and odd nitrogen. Alkanes and alkenes are included up to C<sub>4</sub>. Alkynes and aromatics are not considered in our mechanism. Halogen chemistry includes bromine and chlorine species. For the chemistry of isoprene plus a few selected non-methane hydrocarbons (NMHCs), we used version 1 of the Mainz Isoprene Mechanism (MIM1) based on Pöschl et al. (2000). Heterogeneous reactions of dinitrogen pentoxide ( $N_2O_5$ ), halogen nitrates (CINO<sub>3</sub>, BrNO<sub>3</sub>) and hypohalous acids (HOCI, HOBr) are also included. Since Hg chemistry is not considered in this study, all Hg reactions were switched off. In total, the mechanism is described by 310 reactions of 155 species. For the simulations with interactive tropospheric aerosol (RC1-aero and RC1-aecl, see Sect. 3.8 and Table 1) the mechanism selected with the batch file CCMI-aero-02.bat (see Fig. S3) contains additional sulphur reactions (5 additional species and 11 additional reactions). A complete list of chemical reactions, rate coefficients, and references can be found in the file ES-CiMo MECCA mechanism.pdf in the Supplement.

#### 3.5.2 Aqueous phase chemistry and wet deposition

Aqueous phase chemistry in clouds and wet deposition are simulated with the help of the combined explicit scavenging submodel SCAV (Tost et al., 2006a, 2007a, 2010), which calculates the uptake/release to/from the gas and aqueous phase and subsequent wet deposition. In contrast to more simplified schemes, dissociation and aqueous phase redox reactions are also explicitly calculated, e.g., the sulphur(IV) to sulphur(VI) oxidation, such that the effective exchange between gas and liquid phase is taken into account. The scheme also includes nitric acid (HNO<sub>3</sub>) uptake on ice particles (except for polar stratospheric cloud (PSC) particles) according to a Langmuir uptake and subsequent denitrifi-

cation by sedimenting ice particles. Wet deposition is calculated from the in-cloud (and subsequent conversion of in-cloud to in-precipitation) and in-precipitation chemical concentrations for both large-scale and convective clouds. The chemical species and reactions which comprise the liquid phase chemical mechanism can be found in the document ES-CiMo\_SCAV\_mechanism.pdf, which is part of the Supplement.

#### 3.5.3 Stratospheric heterogeneous chemistry and PSCs

The submodel MSBM (Multi-phase Stratospheric Box Model) simulates the number densities, mean radii, and surface areas of the sulphuric acid aerosols and the different polar stratospheric cloud (PSC) particles (Supersaturated Ternary Solution (STS), Nitric Acid Trihydrate (NAT), and ice). Further, the rate coefficients of all heterogeneous reactions (in the stratosphere) are calculated and used by the submodel MECCA (merged with the corresponding tropospheric values by its sub-submodel MECCA\_KHET, see also Sect. 3.7.2).

For the formation of NAT a kinetic growth NAT parameterisation (Kirner et al., 2011; van den Broek et al., 2004) is used with the assumption of a necessary super-cooling of 3 K (Schlager and Arnold, 1990). Hence, homogeneous NAT nucleation is also possible. The formation of STS is based on Carslaw et al. (1995), the formation of ice particles is based on the thermodynamic approach of Marti and Mauersberger (1993). For the ice nucleation 20% H<sub>2</sub>O supersaturation is assumed to be necessary. A trapezoid scheme (Buchholz, 2005; Kerkweg et al., 2006a) is used for the sedimentation of PSC particles.

Heterogeneous reaction rates and their temperature dependencies on NAT are calculated according to the parameterisation of Carslaw et al. (1997) based on the measurements of Hanson and Ravishankara (1993). The heterogeneous reaction rate coefficients on liquid particles are taken from Hanson and Ravishankara (1994) and Hanson et al. (1994). The uptake coefficients and reaction probabilities for ice particles are taken from Sander et al. (2011b).

Here, stratospheric  $H_2SO_4$  mixing ratios have been prescribed with a time series provided by the CCMI database (B. Luo, personal communication, 2013; ftp://iacftp.ethz.ch/pub\_read/luo/ccmi/) for the period 1960 to 2011, with some artificial spikes removed in

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the years 1979–1982 (C. Brühl, personal communication, 2014). The corresponding values have been determined from data of several satellite instruments (SAGE, SAGE II, CALIPSO, GOMOS). The resulting time series is available as monthly zonal averages,  $5^{\circ}$  in latitude and on 70 pressure levels between 530 and 3 hPa. For the spin-up period (1950 to 1959), data from the year 1960 were used; for the years after 2011, data from the year 2011 were used.

### 3.5.4 Dry deposition and sedimentation

Dry deposition is an important sink for gas and aerosol phase species. Additionally, sedimentation leads to a significant loss of aerosol particles from the atmosphere. Within the MESSy submodel DDEP (formerly named DRYDEP, Kerkweg et al., 2006a, see Sect. 2), dry deposition velocities are calculated following the big leaf approach as proposed by Wesely (1989).

The sedimentation of aerosol particles depends among others on the aerosol density and size. Aerosol particles are sedimented using the simple upwind scheme of the MESSy submodel SEDI (Kerkweg et al., 2006a).

In the simulations without prognostic aerosol chemical and microphysical properties (i.e., all except for *-aero-* and *-aecl-*), sedimentation fluxes are calculated by SEDI for the residual aerosols originating from evaporation of clouds and precipitation leading to particles. In these cases, particle size distribution (mean radius =  $5 \times 10^{-07}$  m,  $\sigma = 2.0$ ) and particle density ( $\rho = 1841.0$  kg m<sup>-3</sup>) are prescribed.

### 3.5.5 Initial conditions of traces gases

Initial conditions for January 1950 (start of the simulation spin-up phase) have been generated by scaling simulated atmospheric mixing ratios from end of December 2000 of a previous EMAC simulation (Jöckel et al., 2010). Short lived reactive species, e.g., nitrogen oxides, nitric acid ( $HNO_3$ ), and ozone, were initialised directly with the mixing ratios of the year 2000. During the spin-up phase (1950–1959) these species undergo processing and the mixing ratios adjust on time scales of the order of several months. Species with longer atmospheric life-time were initialised with scaled mixing ratios, as the adjustment during the simulation would require several years. Specifically, the greenhouse gases  $CO_2$ ,  $N_2O$ , and  $CH_4$ , but also more reactive species, like CO and the chlorofluorocarbons (CFCs) were initialised with mixing ratio distributions equivalent to reduced mixing ratios scaled from year 2000 conditions. Initial tracer fields have been generated through scaling with constant factors (see Table S1), which have been derived from the temporal evolution of the corresponding primary emissions in the period 1950 to 2000.

#### 3.6 Prescribed boundary conditions: gas phase species

For long-lived species, which are relevant to atmospheric chemistry and climate, pseudoemissions are calculated by the submodel TNUDGE (Kerkweg et al., 2006b). This approach is chosen, due to most models' inability to correctly simulate the corresponding trends, if direct emissions are prescribed. This issue is in part related to uncertainties in emission estimates themselves, but also in the difficulties to accurately simulate the species' lifetimes. Therefore, with TNUDGE the simulated mixing ratios in the lowest model layer are relaxed by Newtonian relaxation to observed or projected surface mixing ratios. Species that are prescribed with TNUDGE are the greenhouse gases (CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub>), ozone depleting substances (CFCs: CFCl<sub>3</sub>, CF<sub>2</sub>Cl<sub>2</sub>, CH<sub>3</sub>CCl<sub>3</sub>, CCl<sub>4</sub>; HCFCs: CH<sub>3</sub>Cl, CH<sub>3</sub>Br; Halons: CF<sub>2</sub>ClBr, CF<sub>3</sub>Br), H<sub>2</sub> and SF<sub>6</sub> (Figs. E1–E6). In the *RC1-aero* and *RC1-aecl* simulations COS has been prescribed as well (Brühl et al., 2012, see Fig. E7).

For all species except COS, surface mixing ratios from several globally distributed observation sites were taken from the Advanced Global Atmospheric Gases Experiment (AGAGE, http://agage.eas.gatech.edu) and the National Oceanic and Atmospheric Administration/Earth System Research Laboratory (NOAA/ESRL, http://www.esrl.noaa.gov). Where no observations were available, historical and projected mixing ratios of the greenhouse gases and SF<sub>6</sub> were taken from Meinshausen et al. (2011) and extended with the RCP6.0 scenario as proposed by Eyring et al. (2013b). Mixing ratios of ozone depleting

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substances were based on the halogen scenario A1 from Table 5-A3 of World Meteorological Organisation (2011).

As the mixing ratios recommended by CCMI are only available as global and annual averages, we conducted the following approach: a climatological annual cycle and the latitudinal distribution of each species are calculated from the observed mixing ratios (see above) and applied to the prescribed global and annually averaged mixing ratios. Thus, we compiled for each species a monthly and latitudinal varying time series from 1950 to 2100. For H<sub>2</sub> we extrapolated observed mixing ratios linearly with a typical seasonal cycle to get a time series from 1950 to 2100.

Initially, we planned to prescribe the recommended time series only during the periods, when no observed mixing ratios were available. However, the comparison of observed and recommended values from literature (from CCMI) show partly significant differences (compare Figs. E1–E6), so we decided to use for the *RC2* simulations only the calculated recommended mixing ratios in order to apply consistent time series.

In the *RC1* simulations, prescribed boundary conditions of the last available year (typically 2010 or 2011) have been used for later years as well, with one exception: in *RC1SD-base-10a* (see Table 1 and Sect. 3.12.2) we used the *RC2* setup for the years 2012 and later.

#### 3.7 Prescribed aerosol

In the standard simulations (*-base-*) the properties of the atmospheric aerosol (including volcanic aerosol) have been prescribed to take the interactions with radiation and heterogeneous chemistry into account. Only in the simulations *RC1-aero* and *RC1-aecl* (see Sect. 3.8) prognostic aerosol is calculated and only with a modal scheme with four lognormal modes separated into hydrophilic internally mixed and hydrophobic externally mixed particles. Furthermore, in *RC1-aecl* the direct feedback of the aerosol on both chemistry and the circulation is explicitly taken into account. The prescribed aerosol effects are separated into the aerosol surface area, representing chemical effects via heterogeneous chemistry, and the radiative properties influencing the radiation budget.

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#### 3.7.1 **Radiative properties**

In the *-base-* simulations as well as in *RC1-aero* the radiative properties of the aerosol, which are used in the radiation calculation scheme of the model to estimate scattering and absorption by aerosol particles, have been calculated based on climatologies, i.e., on offline prescribed data. The AEROPT submodel (for the calculation of aerosol optical properties) has been extended to allow, in addition to the individual calculations, also the merging of two datasets for the optical aerosol properties. In the model setups applied here, the values for the tropospheric aerosol were calculated on-line and the values for the stratosphere have been determined from satellite data (see below). These two datasets are merged using tropospheric values below 500 hPa, stratospheric values above 300 hPa, and linearly interpolated values between 500 and 300 hPa.

For the troposphere the Tanre climatology (Tanre et al., 1984), as used in the standard calculations for EMAC (and one option of the extended AEROPT submodel), is applied to determine the radiative effects of aerosol particles. This low resolution spectral aerosol climatology data uses the actual relative humidity to determine the total aerosol extinction, single scattering albedo, and asymmetry factor, which are fed into the radiation calculation.

For the stratosphere, the aerosol radiative properties, i.e., the extinction, the single scattering albedo, and the asymmetry factor, were provided by the CCMI database, consistently derived from satellite observations as the stratospheric H<sub>2</sub>SO<sub>4</sub> mixing ratio (monthly zonal averages, 5° latitude resolution, see Sect. 3.5.3). The corresponding values were inter-/extrapolated to the radiation bands of the EMAC model and provided with a 500 m grid spacing in the vertical. As for  $H_2SO_4$ , data from the year 1960 have been used for the spinup period 1950 to 1959, and data from the year 2011 have been used for years 2011–2100.

#### 3.7.2 Surface area for heterogeneous chemistry

The aerosol surface area is required for the calculation of heterogeneous chemical reactions on atmospheric particles. The most important reactions are the conversions of  $N_2O_5$  into HNO<sub>3</sub> or aerosol nitrate. The required field for the aerosol surface area is a merged dataset of values for the troposphere and the stratosphere.

The tropospheric aerosol surface-area concentration climatology (monthly averages repeated every year) for the *base* simulations has been derived from the LOW\_AIR simulation by Righi et al. (2013). This simulation was performed with EMAC (MESSy version 1.4) coupled to the aerosol submodel MADE. It covers a period of 10 years (1996–2005) in nudged mode, using the T42L19 resolution and a simplified chemical mechanism, including basic tropospheric reactions and the sulphur cycle. Emissions were based on the CMIP5 inventory for the year 2000 (Lamarque et al., 2010).

Based on these prescribed aerosol surface concentrations, the sub-submodel MECCA\_KHET calculates the reaction coefficients for heterogeneous reactions in the troposphere and merges the latter with the corresponding stratospheric values as calculated by the submodel MSBM (see Sect. 3.5.3).

#### 3.8 Simulations with tropospheric aerosol and implications for the radiation budget

In contrast to the *base* scenarios interactive prognostic aerosol calculations are performed in the *RC1-aero* and *RC1-aecl* simulations. To determine the physical and chemical properties of the atmospheric aerosol, the EMAC aerosol submodel GMXE (Pringle et al., 2010; Tost and Pringle, 2012) has been applied. GMXE calculates the microphysical properties of aerosols based on nucleation of sulphuric acid, condensation and phase partitioning of semi-volatile inorganic components according to ISORROPIA-2 (Fountoukis and Nenes, 2007), coagulation, and a dynamical shift between the size categories on 4 log-normal modes. Additionally, aerosol particles are distinguished by their solubility, resulting in seven size categories (3 externally mixed hydrophobic, and 4 internally mixed hydrophilic modes). The condensation routines and primary particle emissions also determine the overall chemical composition of the aerosol particles. Aerosol emissions as provided by the CCMI database are mapped on-line to the model grid using the algorithm of Jöckel (2006) in the new submodel IMPORT (Kerkweg and Jöckel, 2015), vertically redistributed via OFFEMIS (Kerkweg et al., 2006b), and assigned to the corresponding

aerosol species within GMXE. The physical loss processes sedimentation, dry deposition, wet scavenging, and aerosol activation are considered in the corresponding EMAC submodels (Tost et al., 2006a; Kerkweg et al., 2006a).

The *RC1-aero* and *RC1-aecl* simulations consider the effect of phase partitioning of  $H_2SO_4$ ,  $HNO_3$ , HCI, and  $NH_3$ , as well as the interaction of these compounds with primary aerosol species such as  $Na^+$ , OC, BC, and dust, resulting in overall feedbacks on the chemical composition of the atmosphere. Additionally, the interactively simulated aerosol also provides a consistent aerosol surface for the troposphere, which is used for the above mentioned heterogeneous chemistry on aerosol particles.

Note, that there is no feedback between the aerosol properties and the radiation in the *RC1-aero* scenario, such that a configuration identical to the *base* scenarios is applied. Nevertheless, the optical properties of the interactive aerosol are determined diagnostically, i.e., not used in the radiation calculation. Instead, this simulation focuses on the interactions of the atmospheric aerosol and the gas phase chemistry.

The *RC1-aecl* simulation, on the other hand, uses the interactively calculated aerosol also to determine the optical properties with the help of the AEROPT submodel (Pozzer et al., 2012; Klingmüller et al., 2014), replacing the climatology in the troposphere. Note that the extensions of Klingmüller et al. (2014) have not been applied here and that for the stratosphere, the CCMI dataset is still used. Furthermore, also interactive aerosol activation into cloud droplets is calculated following the procedure described in Chang et al. (2014). The activated aerosol number is subsequently used to determine prognostic cloud droplet number concentrations in the two moment cloud microphysics scheme of Lohmann and Ferrachat (2010). Consequently, the whole large-scale cloud and condensation scheme of EMAC has been replaced by this alternative calculation. This has implications for cloud optical properties (first indirect aerosol effect), cloud lifetime (second indirect aerosol effect), rain and snow production (other indirect aerosol effects), and scavenging, and hence feedback on the chemical composition of the atmosphere. Overall, this simulation provides a more physical representation of the processes in the lower atmosphere at the expense of increased computational costs (see Table 2).

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#### 3.9 Prescribed emissions

Anthropogenic emissions are incorporated as prescribed emission fluxes following the CCMI recommendations (Eyring et al., 2013b). Two data sets are considered: one data set is the MACCity (Granier et al., 2011; Diehl et al., 2012; Lamarque et al., 2010) emission inventory, which is applied for the *RC1* simulations covering the period from 1950 to 2010. The second data set consists of a combination of ACCMIP (Lamarque et al., 2010) and RCP 6.0 data (Fujino et al., 2006). This data set is utilised for the long-term (hindcast and projection) simulations (*RC2*). In the *RC1* simulations, emission data of the year 1960 have been repeatedly applied for the spin-up period (i.e., the simulated years 1950 to 1959), in *RC2* the ACCMIP data have been applied from 1950 on.

Apart from the temporal coverage, the characteristic difference between both data sets is that MACCity considers a seasonal (monthly resolved) cycle, whereas ACCMIP and RCP 6.0 prescribe monthly values, which have been linearly interpolated from annual emission fluxes. Seasonality is only provided for biomass burning and ship emissions.

Emission data sets prepared for the simulations carried out here combine the broad range of sectors provided by the original underlying emission inventories into six categories, namely land, road, agricultural waste burning, shipping, aviation, and biomass burning. Moreover, the ground based emission fluxes are distributed vertically to characteristic heights as described by Pozzer et al. (2009). For MACCity the values of total NMVOCs (non methane volatile organic compounds) for the anthropogenic sector were re-calculated from the corresponding species, since total NMVOCs were not provided by the original data set.

In addition to the anthropogenic emissions, some non-anthropogenic emissions such as NMHCs of biogenic origin, terrestrial DMS, volcanic SO<sub>2</sub>, NH<sub>3</sub>, halocarbons, CH<sub>3</sub>I, and OC from SOA (the latter only for the *-aero-* and *-aecl-*simulations) have been prescribed, mostly based on climatologies. Further details on the preprocessing steps for the emission data sets (e.g., speciation of total NMVOCs into individual species, description of the composition of the individual sectors and the non-anthropogenic emissions) can be found in the document ESCiMo\_emissions.pdf, which is part of the Supplement.

In the *RC1* simulations, prescribed emissions of the last available year (typically 2010 or 2011) have been used for later years as well, with one exception: in *RC1SD-base-10a* (see Table 1 and Sect. 3.12.2) we used the *RC2* setup for the years 2012 and later.

#### 3.10 On-line calculated emissions

#### 3.10.1 Biogenic emissions

The emissions of NO<sub>x</sub> from soil and isoprene (C<sub>5</sub>H<sub>8</sub>) from biogenic sources are calculated on-line using the submodel ONEMIS. Besides prescribed fields, like the distribution of cultivation and agriculture, the calculated NO<sub>x</sub> emissions depend mainly on the soil temperature and the soil wetness. The algorithm used is based on Yienger and Levy (1995) as described by Ganzeveld et al. (2002). Estimates for the soil biogenic emissions of NO are highly uncertain. Vinken et al. (2014) compared results of different models and satellite observation based estimates. Most of the annual totals are in the range of 4 to  $15 \text{ Tg}(N) \text{ a}^{-1}$ , not taking into account the uncertainties reported in the individual studies.

An overview of the annual totals for the different simulations is shown in Fig. 2. In all simulations the emission totals are between 5.4 and  $6.3 \text{ Tg a}^{-1}$  until the year 2010 and thus at the lower end of the estimated range from Vinken et al. (2014). While the simulations of the *RC2* series are at the lower limit of this range, the simulations *RC1SD-base-07* and *RC1SD-base-08* (i.e., with specified dynamics including global mean temperature nudging) are at the top of this range, as they show a slightly higher soil temperature compared to the *RC1SD-base-09/10*. Until 2100 the *RC2* simulations show an increase of the emissions up to about  $6.8 \text{ Tg}(\text{N}) \text{ a}^{-1}$ . This trend is due to the increasing soil temperature, as the soil wetness does not show a trend and is essentially in dynamic equilibrium two years after initialisation.

The simulated isoprene emissions depend on prescribed fields like the leaf area index and on-line calculated quantities from the basemodel (surface temperature and the net solar radiation). The algorithm is based on Guenther et al. (1995) and implemented according to Ganzeveld et al. (2002). For the biogenic isoprene emissions (see Fig. 3) we simulate a range between 430 and 550 Tg (C) a<sup>-1</sup>. Similar as for the biogenic NO<sub>x</sub> emissions the *RC2* series is at the lower end and the *RC1SD* simulations at the upper end of this range. As the isoprene emissions are also dependent on the surface temperature, we see a similar increase of the emissions for the *RC2* series up to about 650 Tg (C) a<sup>-1</sup> until 2100. However, Guenther et al. (1995) do not consider the CO<sub>2</sub> inhibition effect on isoprene emissions, implying that the future isoprene emissions (as projected in the *RC2* simulations) may be too strong.

Guenther et al. (2006) estimated the annual total emissions of isoprene from biogenic origin to be 440 to  $660 \text{ Tg}(\text{C}) \text{ a}^{-1}$  (see also Arneth et al., 2008, and references therein). All our simulations are within this range, however, these total emissions are further scaled with a factor of 0.6 to yield realistic mixing ratios of isoprene in the boundary layer (see Jöckel et al., 2006). A more detailed discussion on the isoprene scaling factor is provided by Pozzer et al. (2007).

#### 3.10.2 Lightning NO<sub>x</sub>

The NO<sub>x</sub> emissions from lightning activity are calculated on-line using the MESSy submodel LNOX (Tost et al., 2007b). Here, we apply the parameterisation by Grewe et al. (2001), which links the flash frequency to the updraft velocity. The flash frequency obtained by this parameterisation is scaled with 3.81459 for all simulations in L90MA and with 6.548 for all simulations at L47MA vertical resolution, respectively. As these scaling factors are applied identically for all simulation sets using the same vertical resolution, the total NO<sub>x</sub> emissions by lightning differ between the simulations.

Estimates for the annual total emissions of NO<sub>x</sub> from lightning are in the range of 2– $8 \text{ Tg}(N) a^{-1}$  (see Schumann and Huntrieser, 2007). In Fig. 4 we see that most of the simulations are within this range. The results cluster around values between 4 and 5 Tg (N) a<sup>-1</sup>. In the simulations with nudged global mean temperature (*RC1SD-base-07/08*), however, the total emissions are very low. The reason for this is a significantly reduced number of convective events due to a more stable temperature profile between the surface and the tropopause (see Sect. 4.1). Despite the large difference of the annual total lightning NO<sub>x</sub>

emissions between the *RC1SD-base-10* and the *RC1SD-base-07* simulations, the corresponding geographical distributions remain similar (see Figs. S25 and S26).

#### 3.10.3 Ocean-to-atmosphere fluxes

Ocean-to-atmosphere fluxes of dimethyl sulphide (DMS), isoprene ( $C_5H_8$ ), and methanol (CH<sub>3</sub>OH) are calculated by the AIRSEA submodel (Pozzer et al., 2006). The ocean-toatmosphere flux of a chemical species is calculated from their concentrations in the uppermost ocean layer and the lowermost atmosphere layer following the two-layer model by Liss and Slater (1974). We use the parameterisation of Wanninkhof (1992) for the water side exchange velocity in this study. The parameters needed for the simulated species in AIRSEA correspond to the values suggested by Pozzer et al. (2006). Ocean salinity is taken from the monthly climatology of the World Ocean Atlas 2001 (Boyer et al., 2002). Oceanic concentrations of DMS are a monthly climatology from Lana et al. (2011). Isoprene concentrations in the ocean are calculated using the parameterisation of Broadgate et al. (1997), relating isoprene and chlorophyll concentrations, here with chlorophyll prescribed as a monthly climatology from the World Ocean Atlas 2001 (Conkright et al., 2002). For methanol, the atmosphere-to-ocean flux is calculated assuming a constant under-saturation of surface water methanol with respect to lowermost atmospheric concentrations (Singh et al., 2003). The constant saturation coefficient for methanol in surface water is 0.94.

The annual global ocean-to-atmosphere fluxes of DMS are shown in Fig. 5, total oceanic emissions range between 25.5 and  $31.5 \text{ Tg}(\text{S}) a^{-1}$  until 2010, and up to  $32.5 \text{ Tg}(\text{S}) a^{-1}$  in the projection simulations. These values are within the range reported in the literature of 15 to  $54 \text{ Tg}(\text{S}) a^{-1}$  (Kettle and Andreae, 2000, and references therein). The trend in DMS emissions from the ocean follows the trend of SSTs (Fig. 11). Therefore, compared to the *-base-* simulations, the increase in emissions is lower in the coupled ocean simulation *RC2-oce-01*, as the latter shows a lower increase of SSTs.

Oceanic emissions of isoprene are considered low compared to biogenic emissions over land, mainly from tropical rainforests. Recent estimates for oceanic isoprene emissions range from 0.089 (Erickson and Hernandez, 2013) to  $0.11 \text{ Tg}(\text{C}) \text{ a}^{-1}$  (Palmer and Shaw,

2005), much lower than previous estimates (Bonsang et al., 1992). Besides their low contribution to global totals, oceanic isoprene emissions are the main local isoprene source, in particular in the remote ocean marine boundary layer. Calculated oceanic isoprene emissions range from 0.063 to  $0.074 \text{ Tg}(\text{C}) a^{-1}$  (Fig. 6), which is below the estimates found in literature, although still within the range of uncertainties. This underestimation is mostly due to the different chlorophyll distributions (Conkright et al., 2002) used to estimate the isoprene concentration in the surface water. The trend of isoprene emissions follows the trend of SSTs (Fig. 11).

For methanol, the net oceanic sink is uncertain, ranging from 0.1 to 21 Tg (C)  $a^{-1}$  (Heikes et al., 2002; Galbally and Kirstine, 2002; Jacob et al., 2005), with a recent study by Millet et al. (2008) resulting in an net oceanic methanol sink of 6 Tg (C)  $a^{-1}$ . The simulated oceanic uptake of methanol ranges from 1.2 to 1.85 Tg (C)  $a^{-1}$  (Fig. 7). These values are at the lower end of the range reported in earlier studies, which mostly assumed a fixed undersaturation of oceanic seawater of 90 % (Jacob et al., 2005), whereas we assumed 94 %. Furthermore, the net oceanic sink for methanol is still uncertain and only one publication reporting measurements of methanol concentrations in seawater exists (Williams et al., 2004).

Differences in the ocean-to-atmosphere fluxes between the free running experiments and the experiments conducted with specified dynamics are mainly caused by differences in wind. The parameterisation by Wanninkhof (1992) relates the water side exchange velocity to the squared 10 m wind speed. Further differences occur because of different atmospheric composition and different atmospheric states between the experiments. The differences in the ocean-to-atmosphere methanol flux between the *-aero-/-aecl-* experiments and the *-base-* simulations are mainly caused by different atmospheric methanol concentrations, due to the applied constant under-saturation of surface water methanol.

We also simulate the release of Br from sea salt with submodel ONEMIS by scaling the mass flux of sea salt (accumulation and coarse mode) with the fraction of bromide in sea salt. Following Yang et al. (2005), we assume that 50 % of the bromide is released to the gas phase (leading to an additional factor 0.5). The resulting time series of oceanic Br

emissions is shown in Fig. 8. The absence of a trend in sea-salt emissions indicates that the 10 m wind speed over the ocean does not change significantly on global and annual average. This is consistent with the study by Pryor et al. (2006), revealing that changes in wind speeds from GCM projections are small ( $\leq 15\%$ ).

Based on ocean organic carbon content (derived from SEAWIFS SeaWiFS satellite data, see Fig. E57) an emission flux of oceanic particulate organic carbon (POC) associated with sea salt emissions in the accumulation mode is calculated in the *-aero-* and *-aecl-* simulations. This calculation (in submodel ONEMIS) follows the parameterisation described by Burrows et al. (2013). The result is shown in Fig. 9. The oceanic organic carbon source is highly uncertain, with recent estimates of  $8 \text{ Tg}(C) a^{-1}$  (Spracklen et al., 2008) and  $75 \text{ Tg}(C) a^{-1}$  (Roelofs, 2008). Our simulated annual total oceanic emissions of POC range between 17.9 and 19.5 Tg (C) a<sup>-1</sup>, which is close to the first estimate of  $14 \text{ Tg}(C) a^{-1}$  for oceanic POC emissions by Duce (1978).

#### 3.10.4 Dust emissions

Dust emissions are calculated on-line in the *RC1-aero* and *RC1-aecl* simulations. We use the dust emission scheme of Tegen et al. (2002, see Figs. E58 and E59 for input parameters), which was implemented in the submodel ONEMIS by Gläser et al. (2012). The wind stress threshold for dust emissions is corrected by the factor 0.86 in accordance with Tegen et al. (2004). The on-line calculated total global dust emissions are in the range between 600 and 1400 Tg a<sup>-1</sup> (Fig. 10), of which 1.5 to 2% of the mass is emitted into the Aitken mode and the rest into the coarse mode. Whereas the dust emissions in the *RC1-aero* simulations are at the lower limit of the suggested range of 800 to  $1700 \text{ Tg a}^{-1}$  (Tegen et al., 2004), dust emissions of the *RC1-aecl* simulations are within the suggested range.

### 3.11 Simulations with tropospheric aerosol and implications for the radiation budget

In contrast to the base scenarios interactive prognostic aerosol calculations are performed in the As shown in Figure 10, RC1-aero and RC1-aecl simulations. To determine the physical and chemical properties of the atmospheric aerosol, the EMAC aerosol submodel GMXE (Pringle et al., 2010; Tost and Pringle, 2012) has been applied. GMXE calculates the microphysical properties of aerosols based on nucleation of sulphuric acid, condensation and phase partitioning of semi-volatile inorganic components according to ISORROPIA-2 (Fountoukis and Nenes, 2007), coagulation, and a dynamical shift between the size categories on 4 log-normal modes. Additionally, aerosol particles are distinguished by their solubility, resulting in seven size categories (3 externally mixed hydrophobic, and 4 internally mixed hydrophilic modes). The condensation routines and primary particle emissionsalso determine the overall chemical composition of the aerosol particles. Aerosol emissions as provided by the CCMI database are mapped on-line to the model grid using the algorithm of Jöckel (2006) in the new submodel IMPORT (?), vertically redistributed via OFFEMIS (Kerkweg et al., 2006b), and assigned to the corresponding aerosol species within GMXE. The physical loss processessedimentation, dry deposition, wet scavenging, and aerosol activation are considered in the corresponding EMAC submodels (Tost et al., 2006a; Kerkweg et al., 2006a).

The *RC1-aero* and *RC1-aecl* simulations consider the effect of phase partitioning of result in different dust emissions, which are sensible to wind speed, but also to surface dryness as a consequence of precipitation. The aerosol-cloud interactions modify the wind speed via boundary layer processes, , , and , as well as the interaction of these compounds with primary aerosol species such as , OC, BC, and dust, resulting in overall feedbacks on the chemical composition of the atmospherewhich are induced by the differential heating caused by aerosol impacts on clouds. Additionally, the interactively simulated aerosol also provides a consistent aerosol surface for the troposphere, which is used for the above mentioned heterogeneous chemistry on aerosol particles.

Note, that there is no feedback between the aerosol properties and the radiation in the *RC1-aero* scenario, such that a configuration identical to the *base* scenarios is applied. Nevertheless, the optical properties of the interactive aerosol are determined diagnostically,

i.e., not used in the radiation calculation. Instead, this simulation focuses on the interactions of the atmospheric aerosol and the gas phase chemistry.

The circulation is slightly altered, such that higher mean wind speed close to the surface is obtained. Additionally, precipitation (see Figure 14) is slightly different in the RC1-aecl simulation compared to the -base- case. For instance in Central Africa RC1-aecl simulation, on the other hand, uses the interactively calculated aerosol also to determine the optical properties with the help of the AEROPT submodel (Pozzer et al., 2012; Klingmüller et al., 2014), replacing the climatology in the troposphere. Note that the extensions of Klingmüller et al. (2014) have not been applied here and that for the stratosphere, the CCMI dataset is still used. Furthermore, also interactive aerosol activation into cloud droplets is calculated following the procedure described in Chang et al. (2014). The activated aerosol number is subsequently used to determine prognostic cloud droplet number concentrations in the two moment cloud microphysics scheme of Lohmann and Ferrachat (2010). Consequently, the whole large-scale cloud and condensation scheme of EMAC has been replaced by this alternative calculation. This has implications for cloud optical properties (first indirect aerosol effect), cloud lifetime (second indirect aerosol effect), rain and snow production (other indirect aerosol effects), and scavenging, and hence feedback on the chemical composition of the atmosphere. Overall, this simulation provides a more physical representation of the processes in the lower atmosphere at the expense of increased computational costs (see Table 2). is too wet compared to GPCP, whereas the *-base-* case is underestimating precipitation slightly.

The simulations with prescribed aerosol use the Tanre climatology (Section 3.7.1), which explicitly accounts for mineral dust as one of the main components. Therefore, only the spectral climatological distribution of dust particles is used instead of emission fluxes.

#### 3.11 Simulation with coupled ocean model

Simulation *RC2-oce-01* with atmospheric chemistry and an interactively coupled ocean model covers the period 1950–2100. Based on the model setup of the *RC2-base-08* simulation, the submodel MPIOM was additionally switched on, together with the submodels A2O

and HD. The dynamical coupling between ocean and atmosphere via the A2O submodel was computed every two hours.

The simulation *RC2-oce-01* with the coupled atmosphere-ocean EMAC setup was performed at T42L47MA resolution for the atmosphere and at GR30L40 resolution for the ocean. This ocean model resolution corresponds to an average horizontal grid spacing of  $3^{\circ} \times 3^{\circ}$ , with 40 unevenly spaced vertical levels. The rotated ocean model grid is shown in Fig. S6.

For the *RC2-oce-01* simulation and the prior spin-up procedure (see below), we applied the parameter set as optimised by Kern (2013). In addition to the parameters described in Sect. 3.2, cloud optical property related parameters have been modified (see also Table S2): the asymmetry factor of ice clouds zasic = 0.91, the inhomogeneity factor of ice clouds zinhomi = 0.80, and the inhomogeneity factor of liquid clouds zinhoml = 0.70.

First, a sequence of two spin-up simulations has been conducted to provide internally consistent initial conditions of both, the ocean and the atmosphere component of the coupled system, representative for the year 1950. In these simulations only the dynamical components of EMAC-MPIOM were used, i.e., greenhouse gas (GHG) GHG mixing ratios (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, CFCs) were prescribed according to the CCMI recommendation, and no interactive atmospheric chemistry was calculated. The first spin-up simulation (SP-oce-01) has been integrated over 300 years to reach a thermal and radiative equilibrated state between ocean and atmosphere for pre-industrial conditions with a reasonable radiation budget at the top of the atmosphere (TOA). For simulation SP-oce-01, required to equilibrate the system for pre-industrial conditions, GHGs were prescribed representative for the year 1750, i.e., without any trend. The applied values are  $0.28 \times 10^{-3}$ ,  $0.72 \times 10^{-6}$ , and  $0.27 \times 10^{-6}$  mol mol<sup>-1</sup> for CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O, respectively. The mixing ratios of the CFCs were set to zero. The remaining radiation imbalance in SP-oce-01 averaged over the last 30 years is 1.375 W m<sup>-2</sup> with a global averaged surface temperature of 288.4 K. This imbalance seems to be quite high, however, the corresponding optimised parameter set is the result of a multitude of sensitivity studies (Kern, 2013), yielding the optimum results in terms of climate and hydrological cycle for both, pre-industrial and industrial conditions. At the end

of the spin-up period of *SP-oce-01*, no statistically significant trends (90 % confidence level) of the surface temperature over the last 60 years were detectable.

From this equilibrated simulation *SP-oce-01*, a second simulation *SP-oce-02* was integrated from the year 1750 to the year 1950 (i.e., 200 years), with increasing (annually resolved prescribed) GHGs as suggested by CCMI, which pass over seamlessly into those used from 1950 onwards (see Figs. E8–E10). For both spin-up simulations, *SP-oce-01* and *SP-oce-02*,  $O_3$  has been prescribed from a monthly climatology, which has been derived from the years 1962–1972 of a previous test simulation. Austin et al. (2012) showed with a middle atmosphere chemistry climate model that "there are only minor changes in simulated stratospheric temperature and ozone prior to the year 1960". Therefore, prescribing an ozone climatology for the simulated spin-up period might only introduce a small systematic error, as recently reported by Nowack et al. (2015).

Finally, simulation *RC2-oce-01* was started from the end of *SP-oce-02* (i.e., January 1950) with all additional submodels for atmospheric chemistry and diagnostics as in *RC2-base-08*.

Figure 11 shows the simulated global average SSTs and SICs of *RC2-oce-01* compared to the corresponding time series prescribed in the other simulations. The results from *RC2-oce-01* show an increase in temperature towards the end of the 21st century (see also Fig. S16) with respect to the 1980s, as is comparably projected by other numerical models (IPCC, 2013, Fig. AI.4), which show an ensemble median (average) increase of 1.7 (2.1) K for the same scenario (RCP6.0). Nevertheless, this increase (about 1.5 K, Fig. 11, upper panel) is below what has been projected by the HadGEM-ES model (about 2.5 K), which also implies a slower decrease of the global sea-ice coverage (Fig. 11, lower panel). Despite this smaller increase in temperature, the results are still in line with the CMIP5 simulations (Collins et al., 2013; IPCC, 2013), where the projected increase is between 1.3 and 2.7 K. Moreover, the HadGEM model is at the high end of the CMIP5 models in terms of climate sensitivity (Andrews et al., 2012).

#### 3.12 Glitches and unintended sensitivity studies

Due to the complexity of the model and the various model setups, gremlins have had a large zone of attack to creep in (Pipitone and Easterbrook, 2012). Four issues have been detected during the course of the simulations or right after they had been finished. Given the large demand of resources (see Table 2) and the advanced stage of the project, we were not able to repeat all simulations. Moreover, we had to ponder between achieving time series, which are as consistent as possible between the different simulations (despite their shortcomings), or to end up with "broken" time series. Except for the OC/BC emission issue detected in the *RC1-aero* and *RC1-aecl* simulations (Sect. 3.12.3), we decided for consistent time series, but performed additional sensitivity simulations (indicated by suffix *a*, see Table 1) to estimate the effects. These issues, described in more detail below, need to be taken into account in future analyses of the data.

#### 3.12.1 Stratospheric aerosol optical properties

Due to a unit conversion error at data import, the extinction of stratospheric aerosols (Sect. 3.7.1) was too low, by a factor of approximately 500. Since the contribution of stratospheric background aerosol to the radiative heating rate is minor, this is not a big issue. It has been tested by calculating the multi-annual monthly average radiative heating rates (1990–2010) from simulations *RC1-base-08*, *RC1-base-08a*, *RC1-base-07* and *RC1-base-07a*. Above 100 hPa the range of differences between corresponding pairs is smaller than the inter-annual standard deviation. But very important: the dynamical effects of large volcanic eruptions (e.g., Mt. Pinatubo 1991; El Chichón 1982) are essentially not represented in the simulations..., except for the contribution to the tropospheric temperature signal induced by the prescribed SSTs. The effect of stratospheric volcanic aerosol on infrared radiative heating is weak, as shown by mostly insignificant differences between *RC-base-07a* and *RC-base-07*, and *RC-base-08a* and *RC-base-08*, respectively (not shown).

The chemical effects (through heterogeneous chemistry, Sect. 3.5.3), however, are included, since the prescribed aerosol surface areas were treated correctly. This gives rise to a very specific sensitivity study, which will be analysed elsewhere.

#### 3.12.2 Road traffic emissions

Due to a wrong namelist entry, the timing of the road traffic emissions was unfortunately wrong: instead of updating the monthly input fields every month, they have been updated only every year, thus in 1950 emissions of January 1950 have been used, in 1951 the emissions of February 1950, etc. The resulting wrong emission time series are displayed in Figs. E19–E25 and E48–E54. All simulations are affected, except for *RC1SD-base-10a*, which constitutes a sensitivity simulation with both, this and the stratospheric aerosol optical properties issue (Sect. 3.12.1) corrected. The corrections cause an increase of the total ozone column of up to 2 DU, mostly in the troposphere (see Fig. S17). It is expected that the effect on ozone is largest close to the road traffic emission regions. This has to be taken into account in future analyses.

#### 3.12.3 Emissions of black carbon and organic carbon

Due to an incorrect unit conversion, the aerosol emissions for black carbon (BC) and organic carbon (OC) in *RC1-aero-06* and *RC1-aecl-01* are substantially underestimated in terms of mass. However, particle numbers are correctly emitted in the corresponding number tracers. This results in a substantial underestimation of the aerosol radius, especially in the Aitken mode, and hence aerosol extinction, absorption, and activation are also too small in these simulations. As the feedbacks on chemically reactive compounds (both, gas phase and secondary inorganic aerosol species) are only via the diffusion limitation, the consequences on other chemical species are minor. However, aerosol microphysical properties, OC and BCconcentrations, and the feedbacks of aerosol compounds from these simulations should not be used for detailed analyses , only The fine mode aerosol distributions are, however, quite substantially impacted by the errors in OC/BC. Since the total budgets of many compounds are dominated by the larger size categories, they, except for OC/BC, are hardly affected. Furthermore, the impact on the aerosol optical properties of the small particles is also lower than for larger particles, such that the impact on radiation is also minor. For aerosol-cloud-interactions (*-aecl-*) the error is only in the very first phase of the simulation leading to an underestimation of cloud droplets. As the problem has been fixed before the dominant change in especially organic aerosol emissions, the effect of increased cloud droplets from the year 1970 onwards is included in the resulting time series of *RC1-aecl-02*. As a consequence, detailed analyses of OC/BC can safely be based on results from *RC1-aero-07* (from 1991 onwards) and *RC1-aecl-02*, in which this issue has been fixed (from 1966 onwards), respectively.

#### 3.12.4 Heterogeneous ice nucleation

*RC1-aecl-01* and *RC1-aecl-02* overestimate the heterogeneous ice nucleation, due to an error in the calculation of ice nucleus numbers. Even though this has implications for the radiation budget influenced by cirrus clouds, the effect is minor (as shown by sensitivity tests after correcting the error).

### 4 Selected results

This section presents some first analyses, intended only to serve as a first overall evaluation and consistency check of the simulation results. Note that we apply in our analysis observational data without considering uncertainties in measurements, retrievals or uncertainties arising from representativity (e.g., Grewe et al., 2012) and without a detailed analysis of statistical implications on the evaluation of the simulation data (e.g., Grewe and Sausen, 2009). As often as possible, we added information on inter-annual variability or sampled data exactly as it is done for the observational data in order to reduce some of the uncertainties in the evaluation. More in-depth analyses of specific topics will follow and will be published elsewhere.

#### 4.1 Temperature profiles

For the assessment of the simulated air temperatures, we compared compare the simulation results with ERA-Interim data (Dee et al., 2011). We choose the period 2000–2010 for the evaluation, as it is covered by most of the simulations. Multi-annual climatologies of zonally averaged temperature profiles for the period 2000–2010 are shown in Fig. 12. Figures 12 and S18 were produced with the ESMValTool (Righi et al., 2015; Eyring et al., 2015). The data were first monthly then annually averaged and the values of ERA-Interim were subtracted from the simulation results. The nudged (including For the discussion, the simulations with basically identical characteristics concerning the temperature, can be put into three categories: First, the nudged simulations including nudged global mean temperature) simulations (without global mean temperature nudging): *RC1SD-base-09* and *RC1SD-base-10*. And third, the free-running simulations: *RC1SD-base-08RC1-base-07*, *RC1-aecl-02*, *RC2-oce-01*, and *RC2-base-04*. Here, we only discuss the temperature biases, the effect on stratospheric water vapour is investigated elsewhere (Brinkop et al., 2015).

The simulations in the first category perform best, as expected, compared to ERA-Interim with minor differences of mostly less than  $\pm 1$  K. The other two nudged simulations *RC1SD-base-09* and *RC1SD-base-10*, without global average temperature nudging, have a cold bias, which moreover has an obvious vertical structure. The free running simulations *RC1-base-07*, *RC1-aecl-02*, *RC2-oce-01*, and *RC2-base-04* have a cold bias (of up to 4.5) around the tropopause and show a warm bias (of up to 4) in the Southern Hemisphere above 100, as also analysed from previous EMAC simulations by Righi et al. (2015) . In this region the bias is smallest in the *RC2-oce-01* simulation, but the data shows a larger cold biasbelow the tropical (30S–30N) tropopause at around 250. Only minor differences are visible comparing the two different vertical resolutions of the mean temperature nudged simulations *RC1SD-base-07* and *RC1SD-base-08* Furthermore, there are only minor differences visible comparing the two different vertical resolutions of the simulations. For this category we only found a small seasonal variation (Figure S18). Solely, in the Northern Hemisphere (NH) winter the simulations show a particular warm bias in the north polar region.

The simulations in the second category show a cold bias, which moreover has an obvious vertical structure. However, the vertical patterns of the nudged simulations RC1SD-base-09 and RC1SD-base-10 simulations in this category differ significantly. The maximum of the cold bias in the *RC1SD-base-09* simulation is at around 70 hPa with over -4 more than  $\pm 4$ K. Between 100 and 150 hPa this simulation shows a -small bias of  $-1 \text{ to } -1.5 \pm 1$  to  $\pm 1.5$ K. In the RC1SD-base-10 simulation, with a -higher vertical resolution, the maximum of the cold bias is located lower, at about 200 hPa. These differences of the patterns are an effect of the vertical resolution, but - although significant - the nudging of the model has a -much larger impact on the temperature distribution (cf. RC1SD-base-10 with RC1-base-07 in Fig.Figure 12). For the global mean temperature nudged simulations RC1SD-base-07 and RC1SD-base-08, a small seasonal variation was found (Fig. S18). In the Northern Hemisphere (NH) winter the simulations show a warm bias in the north polar region. The simulations RC1SD-base-09 and RC1SD-base-10 show a similar behaviourConcerning the seasonal variations, all simulations in this category show a similar behaviour, as the temperature bias in December, January, and February is the smallest in the Arctic, while it is overall mostly negative.

The simulations in the third category have a cold bias (of up to 4.5 K) around the tropopause and show a warm bias (of up to 4 K) in the Southern Hemisphere (SH) above 100 hPa, as also analysed from previous EMAC simulations by Righi et al. (2015).

In this region the bias is smallest in the *RC2-oce-01* simulation, but the data shows a larger cold bias below the tropical ( $30^{\circ}$  S- $30^{\circ}$  N) tropopause at around 250 hPa. The free running simulations show a more obvious more pronounced seasonal cycle of the bias than the other categories. The warm bias above the tropopause around  $60-3060^{\circ}$ S S -  $30^{\circ}$ S, which is also seen in the annual climatology, is strongest in the Southern Hemisphere (SH ) SH winter (more than 4 K). Around this time there is also a -strong cold bias (of up to -4 K) in the tropics above the tropopause.

#### 4.2 Hydrological cycle

To evaluate the results of the simulations with respect to the representation of the hydrological cycle, we compare them with global precipitation data from the Global Precipitation Climatology Data Project (GPCP). Additionally, we include total precipitation from ERA-Interim reanalysis (Dee et al., 2011, http://apps.ecmwf.int/datasets/data/interim-full-mnth/) to bridge the gap between models and observations and to have a reference for the specified dynamics simulations. GPCP version 2.2 (Adler et al., 2003, http://www.esrl.noaa.gov/psd/data/gridded/data.gpcp.html) data are available as monthly means for each month since January 1979 at  $2.5^{\circ} \times 2.5^{\circ}$  horizontal resolution. This precipitation data set combines satellite data with rain gauge measurements, resulting in a data set with global coverage. As Dee et al. (2011), we have used cumulated precipitation at step 0 and step 12 of the reanalysis' total precipitation. For the simulations we used the "snapshots" of the precipitation fluxes (large scale and convective rain and snow fall) to calculate the flux of total precipitation. The period 1990–2009 was chosen in order to be able to analyse as many of the simulations as possible. First, we look at zonally averaged precipitation in the years 1990–2009 as shown in Fig. 13.

All simulations, as well as ERA-Interim, overestimate precipitation in the tropics by 0.14 up to 0.84 mm day<sup>-1</sup>, depending on the simulation (see Fig. 13). However, simulations and reanalysis show a double-peak structure (with varying intensity) near the equator with a stronger peak (6 to 7.5 compared to 4 to  $5 \text{ mm day}^{-1}$ ) in the NH and minima (about  $2 \text{ mm day}^{-1}$ ) of precipitation at approximately  $25^{\circ}$  N and  $25^{\circ}$  S as is also apparent in the GPCP-data. ERA-Interim and the nudged simulations reproduce the maximum (of-The maxima in the SH and NH storm-tracks as shown by GPCP (about 3 mm day<sup>-1</sup>) in the SH storm-tracks farther south than the free running simulations , which predict a larger maximum (up and 2.75 mm day<sup>-1</sup>) are reproduced by ERA-Interim and all EMAC simulations (about 3 to  $3.75 \text{ mm day}^{-1}$ ) and 2.25 to  $2.75 \text{ mm day}^{-1}$ ). Apart from the tropics, the largest deviations can be found in the SH subtropics. In this region GPCP shows a double peak structure with maxima collocated with the maxima of the nudged/ERA results

and the free running simulations, respectively. Horizontal distributions of average precipitation deviations (in mm day<sup>-1</sup>) throughout the years 1990–2009 are shown in Fig. 14.

We show deviations as model results minus GPCP exemplarily for six simulations (*SD* with global mean temperature nudging, *SD* without mean temperature nudging, free running, free running with coupled aerosol, transient, and transient with coupled ocean). Simulation pairs which differ only in vertical resolution show very similar precipitation patterns. Moreover, precipitation patterns and zonal distribution of precipitation from the simulations with corrected stratospheric aerosol (*RC1-base-07a/08a*, brown lines in Figure 13) and the simulation with tropospheric aerosol (*RC1-base-07a/08a*, brown line) are very similar to the respective base cases (*RC1-base-07aRC1-base-07/08* and *RC1-base-08a* vs. *RC1-base-07aRC1-base-07/08* and *RC1-base-07a*, red line).

Corresponding results of all other simulations and the GPCP data are shown in Figs. S19 and S20. Nudged simulations (*RC1SD-base*, see also cadet blue and blue lines in Figure 13) show slightly lower deviations from observations than free running simulations, as is also visible in Fig. 13. In general, the free running and the nudged simulations show the same large scale deviation patterns from the observations. However, they differ in strength and also regional differences can be found. The largest absolute deviations (of more than  $\pm 3 \text{ mm day}^{-1}$ ) are found in the tropics, where rainfall is strongest.

Overall the magnitude of deviations is comparable to uncertainties that arise when changing convection parameterisations within ECHAM5 (Tost et al., 2006b) and have also been found in the analysis of the ECHAM5 model (Hagemann et al., 2006). The simulation which differs the most is *RC2-oce-01* (dashed purple line), i.e., the simulation with coupled ocean. Here it seems that the simulation produces the tropical maxima farther away from the equator than found in observations. Similar results have been shown by Dai (2006). In particular in the tropics the simulation produces a double ITCZ, a typical feature of coupled models (Dai, 2006).

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#### Deposition fluxes 4.3

In EMAC three processes establish the sink of trace gases and aerosols to the surface: dry and wet deposition and (for aerosols only) sedimentation. As example for gas phase species, Fig. 15 displays the dry deposition flux of ozone. Generally, all simulations show the same temporal evolution. Starting 1950 with deposition fluxes between approximately 700 to 730 Tg  $a^{-1}$  the deposition fluxes increase up to a maximum of 850 to 880 Tg  $a^{-1}$ around the year 2000. This is well within the range of other chemistry climate model results. For instance, Young et al. (2013) report ozone deposition fluxes in the range between 687 and 1360 Tg a<sup>-1</sup> for six models taking part in the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP). The dry deposition fluxes of the RC1base and RC1SD-base simulations stay in this range until the end of the simulations. In the projection simulations (RC2) the ozone dry deposition fluxes decrease from the year 2060 onward. The temporal evolution of the deposition fluxes mirrors the temporal evolution of the tropospheric ozone mixing ratio (not shown). The ozone dry deposition fluxes are higher in the simulations with lower vertical resolution (L47MA) compared to L90MA. Striking are the two RC1SD simulations with "wave zero" temperature nudging. They produce much lower ozone dry deposition fluxes, which is a direct effect of the, compared to other simulations, largely reduced ozone mixing ratio (about 28 to 32 nmol mol<sup>-1</sup> on average) in the RC1SD-base-07/08 simulations. The lower ozone mixing ratio, in turn, is caused mainly by the reduced lightning NOx and corresponding ozone production (see Figure 4 and Section 4.7). The wet deposition fluxes of ozone exhibit the same features, but are six to seven orders of magnitude smaller (see Fig. S21).

For the NO<sub>x</sub> wet deposition fluxes the difference between L47MA and L90MA amounts to 20% (see Fig. S22). In contrast to ozone, the NO<sub>x</sub> dry deposition fluxes peak earlier (around 1990) and decrease slowly afterwards (see Fig. S22).

The deposition fluxes for nitrate  $(HNO_3 + N_2O_5 + NO_3^-)$  and sulphate  $(H_2SO_4 + HSO_4^-)$ +  $SO_4^{2-}$ ) are shown in Figs. 16 and 17, respectively. Fluxes of ammonium and sulphite (species with sulphur in oxidation state +4, S(IV)) are shown in Figs. S23 and S24, respectively. All *RC1-base* and *RC1SD-base* simulations behave similarly. For nitrate all deposition fluxes increase between 1950 and 2010, while the sulphate deposition fluxes peak somewhere between 1970 and 2000. The deposition fluxes of the projection simulations are lower in the 50s. For the dry deposition and the sedimentation fluxes the vertical resolution seems to make a noticeable difference, while for the wet deposition the presence of an interactive ocean has a larger impact on this sink. However, the dominant sink for nitrate and non-sea salt (nss) sulphate is wet deposition.

The largest differences can be found for the simulations including aerosol chemistry. The nitrate dry deposition fluxes and the sedimentation fluxes are larger, if aerosol chemistry is included. This results from the size of the aerosol particles. While the radii are prognostically calculated in the simulations with aerosol chemistry, they are simply prescribed in the other simulations. As the simulated coarse mode radii are greater than the prescribed ones (globally averaged coarse model aerosol radii:  $0.5 \,\mu$ m (prescribed); simulated:  $0.759 \,\mu$ m (RC1-aero-06),  $0.751 \,\mu$ m (RC1-aero-07),  $0.793 \,\mu$ m (RC1-aecl-01),  $0.782 \,\mu$ m (RC1-aecl-02)), the dry deposition and sedimentation velocities are larger. Nevertheless, the wet deposition fluxes are smaller if aerosol chemistry is taken into account, yielding – summed over all deposition processes – the same amount of deposited nitrate.

Although the radii in the simulations with additional consideration of aerosol cloud interactions (*-aecl-*) are largest, the deposition fluxes for all three deposition processes are slightly smaller. The largest effect is found on aerosol sedimentation as the sedimentation velocity depends strongest on the particle radius (Kerkweg et al., 2006a).

For the sulphate deposition fluxes the differences between the *-base-* and the *-aero-/ -aecl-* simulations are much larger than for nitrate. This originates in a different treatment of sulphate emissions in the simulations with aerosol chemistry. In these simulations sea salt sulphate is taken into account as additional sulphate source. To keep the sulphate depositions comparable, only nss-sulphate is depicted in Fig. 17 and discussed below. The additional emissions, of course, influence the properties of the aerosol particles and thus differences had to be expected. Again, the nss-sulphate sedimentation fluxes (Fig. 17, lower panel) noticeably depend on the vertical resolution. The dry deposition of all *-base-* simula-

(1)

tions are very similar except for the first ten years, when the projection simulations are distinctively lower than the other simulations. The largest differences occur for the simulations regarding aerosol chemistry. The dry deposition fluxes are up to 50 % smaller, the wet deposition fluxes up to 15 % smaller in *-aero-* and *-aecl-* simulations, while the sedimentation flux is up to two-times larger. The overall sink of nss-sulphate due to the three deposition processes is approximately 10 % smaller in the simulations with aerosol chemistry.

The deposition fluxes for sulphur, oxidised and reduced nitrogen approximately balance the emissions for present day values. Compared to the ACCMIP evaluation (Lamarque et al., 2013) for the year 2000 sulphur emissions (especially DMS) are slightly enhanced, whereas  $NO_x$  and  $NH_3$  emissions are a bit lower. The ratio of dry deposition to wet deposition is slightly lower in the present simulations compared to the model mean determined by Lamarque et al. (2013), with e.g. 0.64 for oxidised sulphur compared to 0.72. Similar differences are found for  $NH_x$  and  $NO_{x,y}$ , with ratios of 0.5 compared to 0.66 and 0.63 to 0.72, respectively. This is most likely due to the more explicit treatment of wet deposition and cloud and precipitation chemistry in the EMAC model. Previous model versions have shown a reasonable agreement with surface deposition in North America, Europe, East Asia and Africa (Tost et al., 2007a).

#### 4.4 Tropospheric oxidation capacity

The hydroxyl radical (OH) is the most important cleansing oxidant in the atmosphere. However, variations in OH concentration are still difficult to quantify (Manning et al., 2005; Patra et al., 2014; Ghosh et al., 2015). Among others, it reacts with methane (CH<sub>4</sub>) largely determining its atmospheric lifetime. Here, we present the simulated OH-lifetime of atmospheric CH<sub>4</sub> at time *t* as a measure for the oxidising power of the atmosphere, calculated according to Jöckel et al. (2006) as

$$\tau_{\mathsf{CH}_4+\mathsf{OH}}(t) = \frac{\sum\limits_{b\in B} M^b_{\mathsf{CH}_4}(t)}{\sum\limits_{b\in B} k^b_{\mathsf{CH}_4+\mathsf{OH}}(t) \cdot c^b_{\mathsf{air}}(t) \cdot \mathsf{OH}^b(t) \cdot M^b_{\mathsf{CH}_4}(t)}$$

with  $M^b_{CH_4}$  being the mass of methane,  $k^b_{CH_4+OH}$  the reaction rate of the reaction  $CH_4 + OH$ ,  $c_{air}^b(t)$  the concentration of air and  $OH^b(t)$  the mole fraction of OH in the grid box  $b \in B$ , with B being the set of all grid boxes, which are below the on-line calculated tropopause. The lifetime of atmospheric methane is determined on the one hand by the abundance of OH and on the other hand by temperature, since the reaction rate of  $CH_4 + OH$  depends on temperature. Figure 18 shows the simulated tropospheric methane lifetimes (towards OH). It is first calculated for every output time step (i.e., 10-hourly), then averaged monthly, and then annually. Overall the simulated lifetime increases between 1950 and 1975 and decreases until 2013. The RC2-base-04 and RC2-base-05 simulations predict a (orchid, solid and dashed lines, respectively) predict a similar decreasing behaviour for the future. The simulation with the interactively coupled ocean model <del>RC2-oce-01</del> RC2-oce-01 (purple) behaves similarly, but predicts at first a -small increase until 2070 before it decreases. The tropospheric methane lifetime of all simulations varies around an average value of  $8.0 \pm 0.6$  years in the period of 2000–2004 (mean and standard deviation for all simulations covering this period). In comparison to other lifetime methane lifetime (versus OH) estimates, as by Voulgarakis et al. (2013); Jöckel et al. (2006); von Kuhlmann (2001); Hein et al. (1997); Righi et al. (2015) ( $9.8 \pm 1.6$ , 8.02, 8.7, 8.3, 7.9-9.1 years, respectively) the results of the current study tend to be lower, but mostly stay within the uncertainty range. Observation based estimates derived from methyl chloroform abundance are generally longer than the model based estimates (e.g., Prather et al., 2012; Prinn et al., 2005, with  $11.2 \pm 1.3$  and  $10.2 (\pm 0.9 / -0.7)$  years, respectively The wide range of lifetime estimates is mainly caused by different methods of calculation and applied weighting (Lawrence et al., 2001), whereas varying included vertical layers due to different tropopause heights have a minor impact (see also O'Connor et al., 2014). The global mean temperature nudged RC1SD-base-08 simulation (royalblue dashed) predicts the shortest lifetime. The nudging leads to a -higher temperature in basically all vertical layers compared to the simulations without global mean temperature nudging (see Fig. Figure S18), which accelerates the oxidation of CH<sub>4</sub> by OH and also has a positive impact on the OH production by tropospheric ozone. This, however, does not hold for

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*RC1SD-base-07* (royalblue solid) compared to *RC1SD-base-09* (cadet blue dashed). The lifetime in *RC1SD-base-07* is longer than in *RC1SD-base-09*, although average temperatures are higher. This results from a lower OH mixing ratio in *RC1SD-base-07*, mainly in the tropical upper tropopause, caused by a likewise smaller  $NO_x$  production from lightning activity (see Fig. 4). The longest lifetime is simulated in *RC1-aecl-02* (burlywood), which shows the largest cold biases of all simulations.

Moreover, the variations in production of OH by tropospheric ozone depend on various factors (Hofzumahaus et al., 1992). However, a detailed discussion of these variations and the impact on the methane lifetime is beyond the scope of this study.

#### 4.5 Chemistry in the UTLS: comparison with CARIBIC data

In this section, we present results comparing model output of the five nudged simulations (*RC1SD-base*) with measurements of the project IAGOS-CARIBIC (In-service Aircraft for a Global Observing System – Civil Aircraft for Regular Investigation of the Atmosphere Based on an Instrument Container). As described by Brenninkmeijer et al. (2007), an air freight container equipped with a number of instruments is deployed on a civil aircraft on three to four intercontinental flights per month. The project has been ongoing in its second phase since the year 2005 and the nudged simulations cover the period until the end of 2013, so measurement data of 348 flights are available for comparison with the model results. The 10-hourly model output was interpolated linearly in latitude, longitude, logarithm of pressure and time to the location of the aircraft by post-processing in order to work with comparable datasets of measurement and model data.

We have chosen to compare the model results and measurements in the form of seasonal climatologies, using data of ozone, methane, carbon monoxide, and acetone ((CH<sub>3</sub>)<sub>2</sub>CO). CARIBIC flies to destinations worldwide, thus in order to obtain meaningful climatologies with good data coverage, latitude was limited to 35° N < latitude < 60° N. Data were also limited by including only values where pressure p < 280 hPa to exclude ascents and descents of the aircraft.

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Climatologies are presented with a vertical scale relative to the tropopause in kilometres. This information is provided with the measurement data, and derived by linear interpolation to the aircraft position from ECMWF operational analysis data (with a 1° grid resolution) as distance to the 3.5 PVU potential vorticity iso-surface (description available under http://www.knmi.nl/samenw/campaign\_support/CARIBIC/). For comparability, i.e., to have the same tropopause definition, a similar calculation was applied to the model data. It is essential to reference data to this height relative to the tropopause (HreITP), as stratospheric and tropospheric values then become separable when using the data from an aircraft that flies at constant pressure.

Results of the data prepared in this way are presented in Fig. 19 for O<sub>3</sub>, Fig. 20 for CO, Fig. 21 for CH<sub>4</sub>, and Fig. 22 for acetone. The figures show the climatologies of measurements, one model simulation, and relative differences of four types: measurements minus RC1SD-base-10a. RC1SD-base-10a minus RC1SD-base-10. using different road emissions, RC1SD-base-10a and their relative differences. In order to judge upon the magnitude of the relative differences, a plot of the absolute values of model minus measurements over the sum of the standard deviation of measurements and model is also displayed. To investigate the different model simulations, two more climatologies are presented for each species: RC1SD-base-07 minus RC1SD-base-08, differing in vertical resolution, and RC1SD-base-07 minus RC1SD-base-10, differing in nudging of the global mean temperature. As the model simulations do not differ much in their results, not all figures are presented here, but are included. The results of RC1SD-base-10 minus RC1SD-base-10a, using different road emissions, are discussed, but shown only in the Supplement (Figs. S27–S34). In addition, the Supplement includes the climatologies and relevant relative differences of all nudged simulations (RC1SD-base). The subsequent paragraphs present the results separately for each species.

In the case of  $O_3$  (Fig. 19), the model results compare well with measurements (see Zahn et al., 2012 for instrument specifics). The seasonal cycle is reproduced, but the low tropospheric values are overestimated by 20 lower values in the troposphere are generally overestimated by up to 40% by the model. In the stratosphere, differences are smaller, as

the model underestimates measurements by 5%, reaching 2030% only in summer (June to through September), where the elevated ozone levels drop faster in the model than indicated by measurements. This earlier drop is especially noteworthy since it is larger in magnitude than the sum of the standard deviation of model and measurements. Correcting the road traffic emissions has only a minor influence on the distribution of  $O_3$  (shown in Supplement). When nudging global mean temperature, upper tropospheric  $O_3$  levels increase by about 5%, while stratospheric levels stay constant. Increasing the vertical resolution on the other hand seems to decrease the differences between the seasons, reducing  $O_3$  levels in spring and summer by about 10% in the simulations with 90 levels and increasing them by up to 5% in the second half of the year. When comparing results of this section with those of Sect. 4.7, it is important to keep in mind that the vertical scale is different and the selection of years is also not the same.

For CO (Fig. 20, see Scharffe et al. (2012) for instrument specifics), results mostly mirror those of  $O_3$ . The seasonal cycle is again reproduced by the model, showing a faster drop in the troposphere in spring, which leads to an underestimation of about 3035%, while stratospheric values i.e., the model values deviate more than one standard deviation from the measurements. Stratospheric values are overestimated by about 10% throughout the year. Correcting the road traffic emissions has little influence on the results, values increase only by about 5% in the winter stratosphere . Not nudging (shown in Supplement). Nudging global mean temperature has a small effect on CO: for the simulations with 90 levels (*RC1SD-base-07* and *10*), stratospheric spring time values (March to June) increase by about 8%. Contrary to  $O_3$ , it is the increasing vertical resolution that produces differences between stratosphere and troposphere for CO, tropospheric values increasing by 5% for the simulations with 90 levels and being reduced by about up to 10% in the stratosphere.

Results for CH<sub>4</sub> (Fig. 21, see Dyroff et al., 2014, for instrument specifics) are similar. Relative differences in general are very small for CH<sub>4</sub>, reaching only 5 %, if model results are compared to measurements, and less than 1 % among the different model simulations. The model results are in general too low compared to measurements, with a relative difference of 0.5-0.5% in the troposphere. The seasonal cycle is correctly reproduced, with slightly overestimated early summer stratospheric values (May to July) by about 2% and an underestimation of about 4 % during the rest of the year., i.e., these differences are almost all larger than the sum of model and measurement standard deviation. As CH<sub>4</sub> is prescribed by Newtonian relaxation at the surface (see Sect. 3.6), the values at the surface always correspond to measurements. This explains why the differences to measurements, even in the UTLS, are so small. Differences between the model simulations indicate how they differ in vertical transport or in methane lifetime (see Sect. 4.4). CH<sub>4</sub> is noticeably affected by the road traffic emissions, decreasing the mean values by about 1 % randomly, not showing any seasonality or stratification (shown in Supplement). This is consistent with a decreased CH<sub>4</sub> OH lifetime (Fig. 18). In contrast, a vertical dependence becomes visible when taking the relative difference of simulations with different vertical resolution, where values are up to 0.2% in the troposphere and down to -0.5% in the stratosphere for model simulations with an increased vertical resolution (see Sect. 4.4). Nudging of global mean temperature has an interesting effect on the results. Values are practically identical for all seasons, except for late winter (January to March) tropospheric and summer (March to October) stratospheric values, where not nudging global mean temperature leads to a relative decrease of up to <del>80.8</del>%.

Acetone (Fig. 22) shows the largest deviations, when comparing model results with measurements (Neumaier et al., 2014) (for instrument specifics, see Neumaier et al., 2014). Tropospheric values are underestimated by about 60 to 100 % and the seasonal cycle of the measurement data is not visible. The seasonal cycle is, however, reproduced when taking more model data into account from the UTLS into account, including data from longitudes different to those where CARIBIC flies (not shown), the range of values remaining too low. . Again, the difference to measurements in the troposphere is larger than one standard deviation of model and measurements. The effect of different model simulations is small compared to this difference. Correcting the road traffic emissions has no influence on the results. Nudging global mean temperature decreases increases mean values by about 15% in the stratosphere during late winter and spring (January to May). Increasing the vertical resolution also increases mean acetone values by 5% in all seasons and at all heights, except for summer and autumn (May to November) stratospheric values, which are reduced by up to 10 %. These results are in line with the previous evaluation of Pozzer et al. (2007, Table 8) who observed an underestimation of  $\sim 50-35$ % for the same biogenic emissions as used here (55 Tg a^{-1}), which account for  $\sim 85$ % of the total emissions. These results indicate the need for considering oceanic emissions and photochemical production of CH<sub>3</sub>COCH<sub>3</sub> from monoterpenes, methylbutenol and higher iso-alkanes (Jacob et al., 2002) (Jacob et al., 2002; Khan et al., 2015), which were not included. For example Pozzer et al. (2010) showed that i-butane and i-pentane are responsible for the photochemical production of 4.3 and 5.8 Tg a^{-1} of CH<sub>3</sub>COCH<sub>3</sub>, respectively.

By looking at the climatologies and their respective relative differences, it has become clear that there exist small but systematic differences between the results of the different model setups. The corrected road emissions have only a minor influence. Increasing the vertical resolution has effects that change mean values by up to 10 %. Different strengths of this influence can be noted for most species between the stratosphere and the troposphere, for  $O_3$  also between different seasons. The effect of nudging global mean temperature is weaker, but it also shifts the seasonal cycle for all species but  $O_3$ , leading to relative differences of up to 10 % for selected months. Overall, the model produces realistic values for  $O_3$ , but underestimates CH<sub>4</sub>, CO, and acetone, especially in the troposphere.

#### 4.6 Stratospheric dynamics

This section deals with the stratospheric dynamics, i.e., how well the Brewer–Dobson circulation (BDC) is represented in the simulations. The BDC is the large scale stratospheric and mesospheric transport circulation and determines amongst others the distribution of chemical trace gases. It refers to the large scale residual circulation (RC) with upwelling in the tropics and sinking motion at mid and high latitudes in the stratosphere and winter mesosphere. Moreover, quasi-horizontal mixing processes contribute to the stratospheric transport (Plumb, 2002). The RC is often expressed in terms of the tropical upward mass flux ( $F_{trop}$ ), which corresponds to the upward directed mass transport in the tropics and is balanced by the downward mass fluxes in the extratropics of both hemispheres (Holton, 1990). The travel time of an air parcel from the entry region at the tropical tropopause to any region in the stratosphere is referred to as age of stratospheric air (AoA, Hall and Plumb, 1994). It is obtained from linearly increasing surface emissions of an inert tracer, e.g., sulphur hexafluoride ( $SF_6$ ), which is mostly used from observations. The AoA contains both components of the BDC, RC and mixing processes.

Results on  $F_{trop}$  and mean AoA are shown in the Sects. 4.6.1 and 4.6.2. For evaluation of the model results on  $F_{trop}$ , the RC is calculated from ERA-Interim reanalysis. Moreover, data of atmospheric SF<sub>6</sub> concentrations from July 2002 to April 2012 are available from the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS, Fischer et al., 2008) instrument for the calculation of mean AoA. Here, we use mean AoA from the MIPAS-ENVISAT level 1b spectra version 5 (Haenel et al., 2015), which is an update of Stiller et al. (2012).

#### 4.6.1 The tropical upward mass flux

Figure 23 shows the time evolution of the annual mean tropical upward mass flux from 1960 to 2100 from the simulations in the lower (around 70 hPa, top), middle (around 10 hPa, middle), and upper (around 1 hPa, bottom) stratosphere. ERA-Interim data are included from 1979 to 2013. All simulations show an increase in  $F_{trop}$  with rising atmospheric mixing ratios of greenhouse gases (GHGs), which is well-known from earlier CCM simulations (e.g., Butchart et al., 2010; Oberländer et al., 2013). In the lower and middle stratosphere, the model simulations are grouped with respect to their underlying SSTs, whereas the vertical resolution has a minor influence on the performance of the RC. The *RC2*-simulations are in better agreement with ERA-Interim data than the simulations *RC1-base-07/08* for the 1980s and 1990s. After 1995 the ERA-Interim data show a negative trend in the tropical upward mass flux (at 70/74 hPa), which is not captured by the model simulations. As shown by Seviour et al. (2012), this negative trend contains large uncertainties, and does not occur in other reanalysis systems or when using different estimates of upwelling in ERA-Interim (Abalos et al., 2015). The nudged simulations, especially the simulations *RC1SD-base-09/10* without global mean temperature nudging, are closer to ERA-Interim data than the

*RC1*-simulations without nudging. The strong influence of the SSTs in contrast to the relatively small influence of the vertical resolution on the tropical upward mass flux shows the strong SST effect on the stratospheric mass transport, known from earlier studies (e.g., Garny et al., 2011; Oberländer et al., 2013). The simulation *RC2-oce-01* with interactively coupled ocean (Sect. 3.11) shows a smaller mass flux than the other simulations and therefore fits best to ERA-Interim observations in the lower and middle stratosphere.

In the upper stratosphere the vertical resolution plays an increasing role.  $F_{trop}$  is smaller in the simulations with 90 levels, which is in better agreement with ERA-Interim data. As the nudging does not extend up to 1 hPa (Sect. 3.1) the *RC1SD*-simulations do not perform better than the other simulations.

#### 4.6.2 Mean age of stratospheric air

Figure 24 shows the mean age of stratospheric air from the simulations (colours) and MI-PAS data (black) averaged from 2002 to 2011 at around 50 hPa (20 km for MIPAS). The simulation results group according to their vertical resolution: the simulations with L47 show a lower mean AoA at all latitudes compared to the simulations with 90 levels, i.e., a stronger stratospheric transport. Results of the vertically higher resolved setups are in better agreement with the MIPAS observations, the L90 results fit well with a mean AoA of around 3 years at mid latitudes from MIPAS. Moreover, the nudged simulations perform slightly better compared to the MIPAS observations than the free running simulations. In the tropics and at higher latitudes mean AoA from MIPAS is higher by about one half and up to two years, respectively. However, note that AoA derived from SF<sub>6</sub> measurements by MIPAS is affected by the mesospheric sink of  $SF_{6}$ , which is not represented in the model. In particular in high latitudes, this effect can lead to an overestimation of AoA as derived from SF<sub>6</sub> of up to 2 years (Haenel et al., 2015). A lower mean AoA and therefore a faster mass transport from model simulations compared to observations is well known from other CCMs (SPARC, 2010). The simulation with coupled ocean lies in between the vertically lower and higher resolved simulations and thereby fits better with observations from MIPAS than the L47 simulations with prescribed – observed or simulated – SSTs.
The time evolution of mean AoA from the model simulations at different stratospheric heights and latitudinal bands is depicted in Fig. 25. As MIPAS data are available for ten years only, it is included as mean over the years 2002 to 2011 with the corresponding standard deviation. Consistent with the rising trend in  $F_{trop}$  (Sect. 4.6.1), all simulations show a decrease in the mean AoA in the entire stratosphere. The implied BDC increase is strongest in the lower stratosphere at mid and high latitudes.

The simulations with 90 levels show higher mean AoA at all stratospheric layers and latitudinal bands and therefore fit better with MIPAS observations. In the lower stratosphere (Fig. 25, top) the nudged simulations *RC1SD-base-07* and *RC1SD-base-10* are in best agreement with the observations, especially at mid latitudes. The simulation *RC2-oce-01* lies in between the L90 simulations and the vertically lower resolved simulations. In contrast to the findings for the RC (Sect. 4.6.1), the vertical resolution is much more important for the mean AoA than different SST datasets.

In the middle and upper stratosphere (Fig. 25, middle and bottom) the differences between the simulations become smaller and the mean AoA from observations gets considerably larger than from the simulations. One reason is the different derivation of the mean AoA: For EMAC an inert synthetic tracer is used, compared to the SF<sub>6</sub> tracer for the observations. SF<sub>6</sub> is inert in most parts of the stratosphere, but photochemically destroyed in the mesosphere. The decent of SF<sub>6</sub>-poor air from the mesosphere into the stratosphere raises the calculated mean AoA (Stiller et al., 2012). This might explain some of the deviations between EMAC and MIPAS data in the upper stratosphere.

In summary, EMAC is able to simulate the Brewer–Dobson circulation in terms of the tropical upward mass flux and the mean age of stratospheric air in reasonable agreement with ERA-Interim reanalysis data and MIPAS observations, respectively. The simulations with 90 levels show a slower transport in the lower stratosphere than the simulations with the lower vertical resolution and are therefore in better agreement with observations. The simulation *RC2-oce-01* with coupled ocean performs best concerning the lower and middle stratospheric RC. Mean AoA from this simulation lies in between the resolutions L47 and L90.

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#### 4.7 Tropospheric and stratospheric ozone

In this section we evaluate the ozone distributions of the simulations by comparing with observations. Data used as references are BSTCO (Bodeker Scientific combined total column ozone database, Bodeker et al., 2005) for total column ozone (TCO), AURA MLS/OMI (Ziemke et al., 2011) for tropospheric and stratospheric partial column ozone (TPCO, SPCO), and the ozone sonde data set described by Tilmes et al. (2012) for ozone profiles. Since the data sets and simulations cover different time periods, the analyses are performed for the periods 1980–2011 and 2005–2011 for TCO and the partial columns, respectively, except for the *RC1-aero-07* simulation which starts in 1990. The comparison with the ozone sonde data is based on the period 1995 to 2011. Time series are shown for all years that are available. Simulation data and observations are regridded to the coarsest common grid and represented on the same time axis for each comparison. To test the statistical significance we applied the paired t test, based on observation-simulation pairs of values from each step of the respective time axes (diagnostic-specific). The portrait diagrams for overall mean bias metrics were adapted from the corresponding analyses of Righi et al. (2015), and also share some routines with the ESMValTool (Eyring et al., 2015).

Figure 26 provides an overview of the TCO bias in the different simulations with respect to the BSTCO database, globally and for different latitude bands. Bias and *t* test calculations are based on annual data, spatially averaged for the corresponding latitude bands. Weighting considers grid cell area and the number of days per month. The null hypothesis of a zero bias can be rejected with a significance level of at least 90 % for each tile (not shown). TCO is overestimated in all simulations, and the bias generally increases from north to south. Nudging reduces the bias, particularly in the SH high latitudes. We also note that the simulations with global mean temperature nudging (*RC1SD-base-07, RC1SD-base-08*) agree better with BSTCO reference data than the corresponding simulations without nudging wave zero of the temperature field (*RC1SD-base-10, RC1SD-base-09*).

Figure 27 shows the climatological annual cycle of TCO for the BSTCO observations (Fig. 27a) and the high resolution (L90MA) *RC1* (Fig. 27b), *RC2* (Fig. 27c) and *RC1SD* 

(Fig. 27d) simulations as well as the simulation with coupled ocean (*RC2-oce-01*, Fig. 27e). In general the seasonal cycle and the spatial distribution are well reproduced in the simulations with low TCO in the tropics and maximum values in the NH high latitudes in winter and spring. The minimum TCO values occur in SH spring in the SH polar region, which is referred to as the ozone hole. However, the temporal evolution of the ozone hole is not fully captured and the minimum TCO values are mostly underestimated, i.e., too much ozone is simulated. The differences (Fig. 27f–i) show that in SH spring the positive bias related to the underestimation of the ozone hole is largest in the free running *RC1-base-07* (Fig. 27f) and *RC2-oce-01* (Fig. 27i) simulations, whereas the smallest bias is found in the nudged simulations (Fig. 27h). Further analysis showed that the underestimation of the ozone hole in the free-running model simulation results from a too weak polar vortex, also apparent as too high temperatures in SH high latitudes in Fig. 12. We found that (planetary scale) wave fluxes in SH mid-latitudes are overestimated, and thus the polar vortex is too disturbed.

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in the free-running model simulation results from a too weak polar vortex, also apparent as too high temperatures in SH high latitudes in Fig. 12. We found that (planetary scale) wave fluxes in SH mid-latitudes are overestimated, and thus the polar vortex is too disturbed. The overestimation of SH spring TCO in the free running simulations is smallest in the *RC2* simulations with prescribed (simulated) SSTs/SICs (Fig. 27g). This is caused by a reduced (wave number 1) heat flux in the SH mid latitudes for simulated compared to observed SSTs, which leads to a stronger polar vortex from August to October and lower temperatures inside the vortex (not shown). This favours the formation of polar stratospheric clouds and hence the heterogeneous ozone destruction.

The best agreement is found in the NH polar region in winter and spring in the free running L90MA simulations (Fig. 27f, g). Comparing the L90MA and L47MA simulations (Fig. 27j–I), we find higher TCO values (and thus a larger bias) in the extra-tropics in the L47MA free running simulations, but smaller values (and thus a smaller bias) in the nudged simulations.

The evolution of TCO between 1960 and 2100 is analysed for different latitude bands (Fig. 28). Related to the increase of ozone depleting substances (ODSs ) ODSs during the 20th century and their regulation since the 1990s (e.g., World Meteorological Organisation, 2014), TCO shows a negative trend until the 2000s and a positive trend in the 21st century in the extra-tropical regions. The decrease in the nudged simulations is compara-

ble to the observations, whereas it is slower in the free running simulations. In the future, all simulations project an increase of extra-tropical TCO with a return to 1980 levels until 2100. This positive trend is consistent with earlier CCM studies (e.g., SPARC, 2010; World Meteorological Organisation, 2014). At SH mid and high latitudes the return to 1980 levels is delayed in the L90MA simulations compared to the L47MA simulations, which is linked to the larger ozone loss in the 1990s (see Figure S35). In the tropics, TCO slightly increases in the first half of the 21st century in all RC2 simulations, and decreases afterwards. This is related to a strengthening of the BDC (see Sect. 4.6.1) during the 21st century which leads to an enhanced export of lower stratospheric ozone and thus counteracts the chemically induced ozone increase. To better understand the differences between the simulations and the observations, the partial column ozone for the troposphere and the stratosphere is analysed (Fig. 29). Regarding the free running L90MA RC1 simulation, a significant positive TCO bias to the observations is found in the tropics and the SH. The main contribution to the overestimation of TCO in the tropics results from tropospheric ozone. while in the SH the stratospheric ozone bias is larger. Including global mean temperature in the nudging leads to a better agreement in the tropical tropospheric ozone column and the SH stratospheric ozone column, but enlarges the positive bias of stratospheric ozone at NH mid latitudes. For all simulations the mean bias of tropospheric column ozone to the observation is shown in Fig. 30. It is positive in all regions and simulations (see also Righi et al., 2015). Taking into account the corrected road traffic emissions (RC1SD-base-10a), the tropospheric partial column ozone increases by up to 3% in the tropics and thus also the bias is increased (not shown). Furthermore, the comparison of the two nudging methods shows again that the mean bias is mostly reduced, if nudging of the global mean temperature is included. For this, at least three effects are potentially responsible: (1) As the temperature mean nudging increases overall the tropospheric temperature by up to 4 K (see Sect. 4.1), the temperature dependent reaction kinetics is altered, pushing the chemistry into a new equilibrium state (e.g., Rasmussen et al., 2013). (2) The TPCO is reduced due to a decreased tropopause height, if mean temperature is nudged. Indeed, the lower tropopause height (higher tropopause pressure by up to +10 hPa) of RC1SD- base-07 (with  $\overline{T}$  nudging) compared to RC1SD-base-10 (without  $\overline{T}$  nudging) reduces the TPCO polewards of 40° latitude by up to -0.4 DU (Fig. S37S38). Around 30° N/S, the corresponding shift is up to -5 hPa increasing the TPCO by up to 1.5 DU. The resulting global effect of this geometrical tropopause shift (analysed for the 11 year period 2000 to 2010) is -0.1 DU. This effect is smaller, however, than the influence of the tropopause definition: using the on-line diagnosed tropopause (WMO definition equatorward of 30° latitude; isosurface of 3.5 PVU potential vorticity poleward of 30° latitude) of the submodel TROPOP instead of the WMO tropopause partially compensates the high bias in EMAC (poleward of  $30^{\circ}$  latitude: up to -4 DU; global average (2000–2010): -0.4 DU; see Fig. S38S39) when comparing to MLS/OMI, where TPCO is based on the WMO tropopause (but another temperature field). (3) The vertical temperature gradient is reduced by the global mean temperature nudging, thus reducing the convective activity and with it the production of  $NO_x$ from lightning. Indeed, this is the case (see Sect. 3.10.2 and Fig. 4): global mean temperature nudging reduces the lightning NO<sub>x</sub> production from 4.5 Tg (N)  $a^{-1}$  (RC1SD-base-10) to 1.8 Tg (N)  $a^{-1}$  (*RC1SD-base-07*), i.e., by 2.7 Tg (N)  $a^{-1}$ . Using the results of Dahlmann et al. (2011, their Fig. 3b) this explains a reduction of the ozone column by about 5.4 DU (i.e., approx.  $2 DU(Tg(N)a^{-1})^{-1}$ ). This is based on their finding that the average ozone production efficiency by NO<sub>x</sub> from lightning is 5 times higher compared to other NO<sub>x</sub> sources (except for aviation), and that  $70 \text{ Tg}(\text{N}) \text{ a}^{-1}$  explain on average about 26.5 DU ozone (i.e.,  $0.4 \text{ DU}(\text{Tg}(\text{N}) \text{ a}^{-1})^{-1}$  on average for all sources). This dominating effect of the modified lightning  $NO_x$  emissions is further corroborated by the changed ozone production and loss rates, which are altered by the global mean temperature nudging mostly in the tropics, where the lightning NO<sub>x</sub> production peaks (Fig.  $\frac{339S40}{39S40}$ , Appendix A5).

Additional effects, which are however more difficult to quantify, are direct effects on ozone by altered convection, by altered mixing, or by modified stratosphere - troposphere exchange.

In contrast to TCO, TPCO increases from 1960 to the second half of the 21st century and slightly decreases afterwards (Fig. <u>\$355336</u>). This development is linked to the pre-

scribed emissions of tropospheric ozone precursors, in particular methane, according to the RCP6.0 scenario (Meinshausen et al., 2011).

Vertically resolved ozone is compared to ozone sonde data (Tilmes et al., 2012). This analysis has been adapted from Righi et al. (2015), also sharing some routines with the ESMValTool (Eyring et al., 2015). Simulations were sampled at the ozone sonde locations, binned according to latitude ranges, and averaged with equal weights. All simulation output time steps (every 10 h) from 1995 to 2011 contributed to the calculation of annual cycle data. Ozone sonde data are from the same period, but the annual cycle is based on less samples. Thus data are co-located in space, but not necessarily in time. Most tiles are statistically significant (90 % level), but each t test is based on 12 data pairs only. Figure 31 shows the mean bias and corresponding significance for different pressure levels and latitude bands. The comparisons to ozone sonde profile data generally confirm the findings for total and partial column ozone discussed above: There is mostly a high bias, increasing from north to south, with nudged simulations performing best. The annual cycle of ozone volume mixing ratio is generally well reproduced in the stratosphere (except in the SH polar region) as well as in the troposphere at mid latitudes (Fig. S36S37). Some differences occur, however, in the upper troposphere in the tropics and at high latitudes. At the 250 hPa level the bias is stronaly negative in northern and southern high latitudes, reversing to positive towards the tropics. The contrast of the bias in 250 hPa and neighbouring levels is largest in the free running simulations, indicating a dynamical problem in the tropopause region. We also note that the positive bias in the lower stratosphere (100 and 50 hPa) is considerably larger in the SH, which is linked to the underestimated ozone hole.

#### 5 Summary and conclusions

With the chemistry-climate model EMAC (version 2.51) we performed a set of reference simulations as recommended by the Chemistry-Climate Model Initiative (CCMI): hindcast simulations (1950–2011) without and with specified dynamics and combined hindcast and projection simulations (1950–2100) based on the RCP6.0 scenario. We performed simula-

tions at T42 spectral resolution with two different vertical resolutions, L90MA and L47MA with 90 and 47 hybrid model layers between the surface and approx. 80 km altitude (in the middle atmosphere, MA), respectively. One simulation (1950–2100) in T42L47MA was performed with an interactively coupled ocean model and set up based on an extensive spin-up procedure. For the simulation with specified dynamics, two different Newtonian relaxation (nudging) setups, both using ERA-Interim reanalysis data, have been applied: either excluding or including the global mean temperature. Additional hindcast simulations have been performed with additional on-line calculated tropospheric aerosol, with and without coupling to the cloud processes. All simulations have been equipped with comprehensive on-line diagnostics.

The manuscript describes briefly the EMAC model updates and in detail the different model setups, including some unintended deviations from the CCMI recommendations and corresponding sensitivity simulations. The description also includes the applied on-line diagnostics and an analysis of the on-line calculated source (primary emissions) and sink (dry and wet deposition, aerosol sedimentation) terms, and is meant as data set description and reference for further analysis of the close to 2 PetaByte comprising data set.

First analyses presented here focus mainly on an inter-comparison intercomparison between the different simulations from a global perspective. The precipitation patterns of all simulations are within the range of results of comparable models, where the coupled ocean model has the largest impact and the coupled atmosphere - ocean model shows the largest deviations from observations. The temperature distributions in the nudged mode (without global mean temperature nudging) show a tropospheric and stratospheric cold bias of up to 4 K. In free running mode with prescribed SSTs and independent of the vertical resolution, the cold bias is largest in the UTLS and the tropical stratosphere, whereas a pronounced warm bias appears in the SH extratropics-to-polar transition region (50–70° S) above 140 hPa and in the SH polar region between 140 and 30 hPa. This warm bias is significantly reduced in the simulation with coupled ocean model.

For the stratospheric mean age of air, the vertical resolution has the largest impact: the mean age of air is on average by about one year younger in L47MA compared to L90MA

in the lower stratosphere at mid and high latitudes. Best agreement with observations show the nudged simulations with 90 layers. The simulation *RC2-oce-01* performs best concerning the lower and middle stratospheric RCresidual circulation. For the tropical upward mass flux the impact of the SST is larger compared to the vertical resolution.

Independent of the model setup and the model resolution, all free running simulations show a positive bias of the total ozone column of up to 40 DU increasing from about 5 DU over the north pole to the maximum values in the SH polar region. Nudging reduces this bias, in particular in the SH polar region. Independent of the setup, the ozone bias in the tropics is mainly caused by overestimated tropospheric ozone, whereas in the extratropics and polar regions the contribution of stratospheric ozone increases with latitude.

Including the global mean temperature in the nudging procedure increases the tropospheric temperature by up to 4 K throughout the year and weakens the vertical gradient between the surface and the tropopause (which is also shifted). This alters the convective activity with a large impact on the production of NO<sub>x</sub> by lightning, at least in the applied updraft velocity based scheme. With this, we confirm an earlier rule of thumb estimate deriving an increase of about 2 DU in tropospheric total ozone column per Tg (N)  $a^{-1}$  lightning NO<sub>x</sub>. production. The reduced lightning  $NO_x$  production with global mean temperature nudging is partly compensated by an increased  $NO_x$  release from soil, due to increased soil temperatures. Whereas  $NO_x$  emissions from soil increase with the global mean temperature nudging, the effect on isoprene emissions from the biosphere is less pronounced. Emissions from the ocean (DMS,  $C_5H_8$ , Br), in contrast, are reduced by nudging, and even more reduced, if global mean temperature is nudged. The oceanic uptake of CH<sub>3</sub>OH is likewise reduced with (global mean temperature) nudging. Deposition fluxes through scavenging and dry deposition of ozone, nitrate,  $NO_x$  and sulphite are reduced by the global mean temperature nudging at both vertical resolutions, other deposition fluxes are less affected. At least for ozone, nitrate and NO<sub>x</sub> this is consistent to the likewise reduced lightning NO<sub>x</sub> production and the reduced O<sub>3</sub> burden.

The increased tropospheric temperature through the global mean temperature nudging slightly reduces the tropospheric methane lifetime towards OH, this effect is larger in simu-

lations with the coarser vertical resolution (L47MA). Overall, i.e., averaged over all simulations, the simulated OH lifetime of methane is  $8.0 \pm 0.6$  years in the period 2000–2004. This is potentially too short, indicated by the consistently underestimated CH<sub>4</sub> mixing ratios in the NH UTLS, i.e., compared to observations at CARIBIC flight levels. Note that CH<sub>4</sub> has been prescribed (based on observations) by Newtonian relaxation at the lower boundary.

The simulation results will be made publicly available (see next section) for further indepth analyses.

For intercomparison with observations we recommend to use the results of the nudged simulation with all corrections, i.e., *RC1SD-base-10a*. The results of *RC1SD-base-07* and *RC1SD-base-08* should be used with caution, due to the large impact of the global mean temperature nudging, for which no specific parameter re-optimisation for the radiation balance has been undertaken yet. Such an optimisation will certainly alter the hydrological cycle, i.e., clouds and convection, and with it also the lightning NO<sub>x</sub> production. Studies for which the specified dynamics (nudging) is not desired, e.g., on trends and frequency distributions, are best based on the results of the free running simulations with 90 level discretisation. Nevertheless, any intercomparison to those with 47 levels is also desirable, in particular since the simulation with coupled ocean model was performed with 47 levels in the atmosphere. Last, but not least, for further analyses on aerosol and aerosol-cloud effects, only *RC1-aero-07* (from 1991 onwards) and *RC1-aecl-02* (from 1966 onwards) should be used, respectively.

### Code and data availability

The Modular Earth Submodel System (MESSy) is continuously further developed and applied by a consortium of institutions. The usage of MESSy and access to the source code is licenced to all affiliates of institutions, which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Web-site (http://www.messy-interface.org).

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The data of the simulations described above will be made available in the CERA database at the German Climate Computing Centre (DKRZ, http://cera-www.dkrz.de/WDCC/ui/Index. jsp). The corresponding digital object identifiers (doi) will be published on the MESSy consortium web-page (http://www.messy-interface.org). A –subset of the data of those simulations covering consistently the requested time periods (1960 – 2010 for RC1, and 1960 – 2099 for RC2, respectively) will be submitted to the BADC database for the CCMI project.

#### Appendix A: Additional on-line diagnostics

### A1 TBUDGET

This submodel analyses the contribution of different production cycles (e.g., associated with different source gases) to selected tracers (type-2 family, see Jöckel et al., 2008). This is done by defining additional diagnostic tracers to store corresponding production and loss rates. These are specified by namelist entries together with the total tracer and the loss of the total tracer. For a tracer C, a component-tracer  $C_i$  is calculated by

$$\frac{\mathsf{d}}{\mathsf{d}t}(C_i) = P_i + L \times \left(\frac{C_i}{C}\right) \quad , \tag{A1}$$

where *L* is the destruction rate of *C* and  $P_i$  the production of tracer  $C_i$ . A numerical correction (scaling) of  $C_i$  to ensure that the sum (over *i*) of all  $C_i$  equals the total tracer (family) *C* is applied automatically. In the simulations this has been applied to distinguish short- from long-lived halogenated species to assess the influence of VSLS (very short lived species) on the ozone budget, see Figs. S40–S43: Type-2 tracer families  $Br_y$  and  $Cl_y$  are defined in the CTRL\_FAMILY namelist of submodel TRACER, submodel *SCALC* (Kern, 2013) is used to sum the loss rates LossBr and LossCl from their individual tendencies calculated by *SCAV*, and in the CPL namelist of TBUDGET the corresponding diagnostic tracers BrS, BrL, CIS and CIL for short (S) and long (L) lived halogen compounds, respectively, are

defined for integration according to Eq. (A1). In addition, tracers for reactive halogen production from long (L) and short (S) lived halogenated compounds, respectively, have been defined within the *MECCA* chemical mechanism (see ESCiMo\_MECCA\_mechanism.pdf in the Supplement): ProdLBr, ProdSBr, ProdLCI, ProdLBr. Note that these diagnostic tracers contain the accumulated (over time) rates.

# A2 O3ORIG

The ozone origin diagnostics of Grewe (2006) has been applied to define 14 diagnostic ozone tracers (O3ONHTS, O3OTRTS, O3OSHTS, O3ONPLS, O3ONMLS, O3OTRLS, O3OTRMS, O3OSMLS, O3OSPLS, O3ONPUS, O3ONMUS, O3OTRUS, O3OSMUS, O3OSPUS). Each ozone tracer field is produced only in one specific region: NHTS (Northern Hemisphere troposphere), TRTS (tropical troposphere), SHTS (Southern Hemisphere troposphere), NPLS (northern polar lower stratosphere), NMLS (northern mid-latitudes lower stratosphere), TRLS (tropical lower stratosphere), TRMS (tropical middle stratosphere), SMLS (southern mid-latitudes lower stratosphere), SPLS (southern polar lower stratosphere), NPUS (northern polar upper stratosphere), SMUS (northern mid-latitudes upper stratosphere), TRUS (tropical upper stratosphere), SMUS (southern mid-latitudes upper stratosphere), SPUS (southern polar upper stratosphere), SMUS (southern mid-latitudes upper stratosphere), SPUS (southern polar upper stratosphere). The sum of all 14 ozone origin tracers is the full ozone field. The definition of the regions in terms of height and latitude is illustrated in Fig. \$44\$.

# A3 CONTRAIL

The submodel CONTRAIL diagnoses the potential contrail coverage (variable potcov), describing the fractional area in which contrails can form and persist according to the Schmidt-Appleman criterion (Schumann, 1996). In addition, the potential contrail cirrus coverage (variable  $b_{cc}$ ) is diagnosed, taking into account regions where contrails can persist once they have been formed. Both variables were calculated according to Burkhardt et al. (2008) and were output (channel "contrail\_gp") as 10 hourly global snapshots.

## A4 Specific sampling of model data

With the submodel *SCOUT* (Selectable Column OUTput, Jöckel et al., 2010, Sect. 5.2) hourly output of vertical profiles (i.e., the model colmn) has been sampled during all simulations at 49 stations of the NOAA/ESRL network, 16 stations of the SHADOZ network and 11 stations of the WOUDC. The complete namelist for reference is shown in Fig. <u>\$46\$47</u>.

With the submodel *SORBIT* (Sampling along ORBITs, Jöckel et al., 2010, Sect. 5.4) data along sun-synchronous orbits of 8 different satellites have been output during all simulations. The complete namelist for reference is shown in Fig. <u>S47S48</u>.

With the submodel *VISO* (iso-surfaces and maps, Jöckel et al., 2010, Sect. 5.1) isentropes of 340, 380 and 420 K and iso-surfaces of 2, 3, and 4 PVU potential vorticity (PV) are defined. On the PV iso-surfaces, pressure, potential temperature and temperature are mapped; pressure and PV are mapped on the isentropes. Further temperature, geopotential and potential temperature are mapped on the tropopause (TP), and temperature and pressure on the planetary boundary layer height (PBLH). See Fig. **S45**, **S46** for the complete namelist. PBLH and TP are calculated by the submodel *TROPOP*, TP is defined according to the WMO equatorward of 30° latitude, and as 3.5 PVU iso-surface poleward. All corresponding surfaces and maps are output as 10 hourly snapshots.

With the submodel *S4D* (Sampling in 4 Dimensions, Jöckel et al., 2010, Sect. 5.3) data along (available) tracks of several research platforms have been sampled during the simulations with specified dynamics (*RC1SD-base*). The complete namelist for reference is shown in Fig. S48.

In addition to the 10 hourly global model output, *6 hourly* global snapshots have been written (channel "6h") for the horizontal wind velocity components (um1, vm1), temperature (tm1), specific humidity (qm1), the vertical velocity (etadot, vervel) and the geopotential (geopot).

The submodel *SATSIMS* was used for the on-line calculation of cloud optical properties comparable to the ISCCP data set (Rossow and Schiffer, 1999) with the ISCCP cloud sim-

ulator (Klein and Jakob, 1999; Webb et al., 2001). This uses cloud optical thickness and cloud top pressure to derive cloud types according to the ISCCP classification.

*Monthly mean* values (on-line calculated, channel "mm") and corresponding standard deviations have been output (in addition) for the tracers  $CO_2$ ,  $O_3$ ,  $CH_4$ ,  $N_2O$ ,  $H_2O$ ,  $CF_2CI_2$ ,  $CFCI_3$ ,  $HNO_3$ ,  $HNO_3$ \_nat\*, OH,  $O^1D$ , CI, and the photolysis rate  $J_{CH4}$ . Note that this additional output can directly be used to setup subsequent EMAC simulations in quasi chemistry-transport model (QCTM) mode (Deckert et al., 2011).

#### A5 Additional diagnostic tracers

The submodel *DRADON* (diagnostic Radon, Jöckel et al., 2010, Sect. 6.1) has been used to simulate <sup>222</sup>Rn and <sup>210</sup>Pb with a constant <sup>222</sup>Rn source of 10 000 atoms m<sup>-2</sup> s<sup>-1</sup> over ice free land (zero elsewhere). Aerosol properties (sigma = 2, radius =  $5 \times 10^{-7}$  m) have been assigned to <sup>210</sup>Pb by the submodel *PTRAC* (via a dummy AEROSOL tracer), so that the loss processes (submodels SCAV, SEDI and DDEP) are simulated realistically.

For additional analyses of the ozone budget, accumulated ozone production and loss rates (diagnostic tracers) have been defined within the *MECCA* chemical mechanism (ProdO3, LossO3, redirected for output to channel "o3orig"), as well as contributions by individual reactants or reactant families: LossO3Br, LossO3Cl, LossO3H, LossO3N, LossO3N2, LossO3O, LossO3R, LossO3Hn, LossO3Nn, LossO3Cln (channel "tr\_o3\_bud"); LossHO2, LossO1D, LossOH (channel "tr\_o3\_tbud"). All were output as 10 hourly global snapshots. Note that these diagnostic tracers contain the accumulated (over time) rates.

An additional set of tracers,  $(CFCI_3)_c$ ,  $(CF_2CI_2)_c$ ,  $(CH_3CCI_3)_c$ ,  $(N_2O)_c$ ,  $(CF_2CIBr)_c$  and  $(CF_3Br)_c$ , have been included in the *MECCA* chemical mechanism: they were relaxed (with submodel TNUDGE, relaxation time constant  $\tau = 3$  h) towards a constant mixing ratio of 100 pmol mol<sup>-1</sup> at the surface and reacted in the same way as the corresponding species without index <sub>c</sub> (see ESCiMo\_MECCA\_mechanism.pdf in the Supplement).

As proposed by CCMI (Eyring et al., 2013b), several additional diagnostic tracers were included as listed in Table A1.

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**Table 1.** Overview of ESCiMo **R**eference simulations. The CCMI notation Ref-C1/2 is abbreviated with RC1/2, respectively, SD denotes specified dynamics. Different model configurations are indicated by *-base-*, *-aero-*, *-aecl-* and *-oce-*. The two digit numbers indicate the specific simulations (e.g., to distinguish the vertical resolution and specific SD setup). An appended letter "a" indicates a sensitivity study (see remarks and Section 3.12). For detailed explanations, see text. The line colours refer to the figures below.

simulation	resolution	line colour	remarks				
C1: hindcast 1950–1960–2011 with observed SSTs/SICs							
RC1-base-07	T42L90MA	firebrick					
RC1-base-07a	T42L90MA	sienna	with corrected stratospheric aerosol optical properties				
RC1-base-08	T42L47MA	firebrick dashed					
RC1-base-08a	T42L47MA	sienna dashed	with corrected stratospheric aerosol optical properties				
RC1-aero-06	T42L90MA	orange dashed	with interactive tropospheric aerosol				
RC1-aero-07	T42L90MA	orange	with corrected OC/BC emissions				
RC1-aecl-01	T42L90MA	burlywood dashed	as -aero-, but with aerosol-cloud coupling				
RC1-aecl-02	T42L90MA	burlywood	with corrected OC/BC emissions				
C1SD: hindcast 1979–1980–2013 with Specified Dynamics, ERA-Interim SSTs/SICs							
RC1SD-base-07	T42L90MA	royal blue					
RC1SD-base-08	T42L47MA	royal blue dashed					
RC1SD-base-09	T42L47MA	cadet blue dashed	mean temperature (wave 0) not nudged				
RC1SD-base-10	T42L90MA	cadet blue	mean temperature (wave 0) not nudged				
RC1SD-base-10a	T42L90MA	deepsky blue	mean temperature (wave 0) not nudged;				
			with corrected road traffic emissions and				
			stratospheric aerosol optical properties				
C2: hindcast & projection 1950–1960–2100 with simulated SSTs/SICs							
RC2-base-04	T42L90MA	medium orchid					
RC2-base-05	T42L47MA	medium orchid dashed					
RC2-oce-01	T42L47MA/	medium purple dashed	with interactively coupled ocean model				
	GR30L40						
simulation	resolution	simulated years		WALL-clock $[days year^{-1}]$	data size <sup>1</sup> [TByte year <sup>-1</sup> ]	CPU-h <sup>2</sup>	data size <sup>1</sup> [TByte]
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RC1-base-07	T42L90MA	1950–2011	(62)	2.58	2.54	491 444	157.79
RC1-base-07a	T42L90MA	1990–2010	(21)	2.58	2.55	166 597	53.52
RC1-base-08	T42L47MA	1950–2011	(62)	1.81	1.35	344 194	83.68
RC1-base-08a	T42L47MA	1990–2010	(21)	1.82	1.35	117 448	28.37
RC1-aero-06 <sup>3</sup>	T42L90MA	1950–1998	(48)	3.84	3.74	573 680	182.62
RC1-aero-07 <sup>3</sup>	T42L90MA	1990–2011	(22)	3.84	3.74	259 666	82.56
RC1-aecl-01 <sup>4</sup>	T42L90MA	1958–1972	(14)	3.88	3.87	175 125	57.63
RC1-aecl-024	T42L90MA	1965–2011	(47)	3.88	3.87	561 085	182.62
RC1SD-base-07	T42L90MA	1979–2013	(35)	2.74	2.60	295 070	90.86
RC1SD-base-08	T42L47MA	1979–2013	(35)	1.96	1.34	210639	48.24
RC1SD-base-09	T42L47MA	1979–2013	(35)	1.96	1.38	210792	48.26
RC1SD-base-10	T42L90MA	1979–2013	(35)	2.70	2.60	290 347	90.97
RC1SD-base-10a	T42L90MA	2000–2014	(15)	2.76	2.61	127 347	39.11
RC2-base-04	T42L90MA	1950–2099	(150)	2.57	2.55	1 183 680	382.23
RC2-base-05	T42L47MA	1950–2099	(150)	1.81	1.35	833 640	202.72
RC2-oce-01	T42L47MA/	1950–2100	(151)	1.86	1.35	861 610	204.40
	GR30L40		-				
SUM						6702364	1935.58

## Table 2. Used computational resources and size of simulated data

<sup>1</sup> including restart files (every three months and QTIMER triggered at the end of the scheduler wall-clock limit),

<sup>2</sup> on 4 nodes of an IBM Power6 in SMTP mode (i.e., with 64 tasks/node),
 <sup>3</sup> temporal overlap of 06 with 07: Jan 1990–Aug 1998,
 <sup>4</sup> temporal overlap of 01 with 02: Jan 1965–Oct 1972.

**Table A1.** Additional diagnostic tracers. Acronyms in parentheses denote the submodels simulating the indicated processes. Corresponding data files are listed in Table E1.

tracer	defining submodel	description
SF6 AOA	PTRAC <sup>1</sup> PTRAC	Newtonian relaxation (TNUDGE) <sup>3</sup> towards time series based on observations (see Fig. E6) age of air tracer; Newtonian relaxation (TNUDGE) <sup>3</sup> at lowest model layer towards linearly in time increasing mixing ratio
SF6_AOA	PTRAC	age of air tracer; Newtonian relaxation (TNUDGE) <sup>3</sup> at lowest model layer towards a latitude dependent, linearly in time increasing mixing ratio
SF6_AOAc	PTRAC	age of air tracer; Newtonian relaxation (TNUDGE) <sup>3</sup> at lowest model layer towards a linearly in time increasing mixing ratio
SF6_CCMI <sup>5</sup>	PTRAC	emissions (OFFEMIS) according to EDGAR v4.2 database (see Fig. E55)
SO <sub>2</sub> t <sup>6,7</sup>	PTRAC	anthropogenic emissions (OFFEMIS) as SO <sub>2</sub> , wet removal (SCAV) as SO <sub>2</sub>
NH_05 <sup>4</sup>	TREXP <sup>2</sup>	Newtonian relaxation (TNUDGE) <sup>10</sup> towards surface layer mixing ratio (100 nmol mol <sup>-1</sup> ) over 30– 50° N, 5 day exponential decay (e-folding time $\tau = 4.32 \times 10^{-5}$ s)
NH_50 <sup>4</sup>	TREXP	as NH_05, but 50 day exponential decay
NH50W <sup>4,7</sup>	TREXP	as NH_50, but additional wet removal (SCAV) as HNO3
AOA_NH <sup>4</sup>	TREXP	Newtonian relaxation (TNUDGE) <sup>10</sup> towards zero mixing ratio at surface level between 30 and $50^{\circ}$ N; uniform fixed source (OFFEMIS) of $3.171 \times 10^{-08}$ (= years s <sup>-1</sup> ) molecules m <sup>-3</sup> s <sup>-1</sup>
ST80_25 <sup>4</sup>	TREXP	Newtonian relaxation (TNUDGE) <sup>10</sup> towards 200 nmol mol <sup>-1</sup> above approx. 80 hPa (level 61 in L90MA, level 20 in L47MA); 25 day exponential decay
CO 25 <sup>8</sup>	TREXP	emitted (OFFEMIS) as anthropogenic CO; 25 day exponential decay
CO 50 <sup>8</sup>	TREXP	emitted (OFFEMIS) as anthropogenic CO: 50 day exponential decay
AOA_CCMI⁴	TREXP	Newtonian relaxation (TNUDGE) <sup>10</sup> towards zero mixing ratio at surface layer; uniform fixed source (OFFEMIS) as AOA NH
O <sub>3</sub> (s) <sup>4,9</sup>	MECCA	stratospheric ozone tracer; Newtonian relaxation (TNUDGE) <sup>10</sup> towards O <sub>3</sub> in the stratosphere; destroyed in the troposphere as O <sub>3</sub> ; the corresponding loss rate tracer LO3(s) is a qualitative measure for the troposphere to stratosphere exchange of ozone (Roelofs and Lelieveld, 1997; Jöckel et al., 2006)

1 Jöckel et al. (2008),

<sup>3</sup> relaxation time constant  $\tau = 3$  h,

<sup>&</sup>lt;sup>2</sup> Jöckel et al. (Tracer Release EXPeriment, 2010, Sect. 6.3),

<sup>&</sup>lt;sup>4</sup> according to CCMI Eyring et al. (2013b, Sect. 4.2),

<sup>&</sup>lt;sup>5</sup> dissenting from <sup>4</sup> without interpolation of emission time series to monthly values,

<sup>&</sup>lt;sup>6</sup> dissenting from <sup>4</sup> with transient anthropogenic emissions,

<sup>7</sup> see ESCiMo\_SCAV\_mechanism.pdf in the Supplement,

<sup>&</sup>lt;sup>8</sup> dissenting from <sup>4</sup> with seasonal cycle of emission,

<sup>9</sup> see ESCiMo\_MECCA\_mechanism.pdf in the Supplement,

<sup>&</sup>lt;sup>10</sup> relaxation time constant = model time step length.



**Figure 1.** Differences of the daily mean climatology (19 years) between a T42L47MA EMAC simulation with the gravity wave parameter *rmscon* set to 0.92 in comparison with and a simulation where it is set to 0.96; for the zonal mean zonal winds at 60° S in  $m s^{-1}$  (top); for the zonal mean temperatures averaged from 71.2–87.9° S in K (bottom). The shading indicates the differences that are significant at the 95% level, estimated with a Student's *t* test.



**Figure 2.** Annual total emissions of on-line calculated biogenic/soil NO<sub>x</sub> emissions in Tg (N)  $a^{-1}$ .



Figure 3. Annual total emissions of on-line calculated biogenic isoprene emissions in  $Tg(C)a^{-1}$ .







Figure 5. Simulated annual total emissions of DMS (in Tg (S)  $a^{-1}$ ) from the ocean.



**Figure 6.** Simulated annual total emissions of isoprene (in  $Tg(C)a^{-1}$ ) from the ocean.



**Figure 7.** Simulated annual total flux of  $CH_3OH$  (in  $Tg(C)a^{-1}$ ) between atmosphere and ocean. The negative sign indicates a net uptake by the ocean.



Figure 8. Simulated annual total emissions of Br from sea salt (in Tg (Br)  $a^{-1}$ ).



**Figure 9.** Simulated annual total emissions of POC (in  $Tg(C)a^{-1}$ ) from the ocean.



**Figure 10.** Annual total emissions of dust (in  $Tga^{-1}$ ) as calculated in the *RC1-aero* and *RC1-aecl* simulations.



**Figure 11.** Global annual average (ice free) SSTs (in K, upper panel) and SIC (in  $10^{12}m^2$ , lower panel) of simulation *RC2-oce-01* (1950–2099, black) compared to prescribed SSTs/SICs of simulations *RC1-base* (HadISST, blue), *RC2-base* (HadGEM2-ES, red) as described in Sect. 3.3, and of ERA-Interim (green) as applied in the nudged simulations *RC1SD-base*.



**Figure 12.** Upper left panel: climatology of annual average total dry air temperature of ERA-Interim in K. The data were monthly and zonally averaged for the period 2000–2010. Other panels: dry air temperature differences (in K) of the simulations compared to ERA-Interim data. The differences, unless grey shaded, are significant on a 95% confidence level according to a two-sided Welch's test.



**Figure 13. (a)** Zonal distribution of mean precipitation  $(mm day^{-1})$  for the time period 1990–2009 for all simulations covering this period plus GPCP and ERA-Interim. **(b)** Deviation of the simulated zonal distribution of precipitation  $(mm day^{-1})$  shown as simulation results minus GPCP and ERA-Interim minus GPCP. Color-coding as in panel **(a)**. Shading in both panels **(a)** and **(b)** is  $\pm$  one  $\sigma$  of the annual variation in GPCP data (1990–2009). The numbers in panel **(b)** list the corresponding global and tropical (30° S–30° N) average precipitation (in mm day<sup>-1</sup>), respectively.



**Figure 14.** (a–f) Mean precipitation differences  $(mm day^{-1})$  for the 20 year period 1990–2009. The differences show the simulation results minus GPCP data.



Figure 15. Globally integrated, annual dry deposition flux of ozone in Tg  $a^{-1}$ .



**Figure 16.** Globally integrated annual nitrate dry deposition (upper), wet deposition (middle) and sedimentation (lower) fluxes in  $Tg(N)a^{-1}$ .



**Figure 17.** Globally integrated annual non-sea salt sulphate dry deposition (upper), wet deposition (middle) and sedimentation (lower) fluxes in  $Tg(S)a^{-1}$ .

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**Figure 18.** Annually averaged methane lifetime with respect to OH of the different simulations calculated with Eq. (1).





**Figure 19.** Comparison of  $O_3$  climatologies (( $35^\circ N - 60^\circ N$ )) based on data from the years 2005–2013. The first row shows climatologies of CARIBIC measurements (left) and *RC1SD-base-10a* model data (right). The second row shows the relative differences of measurements and model data and measurements (left), and the relative differences between *RC1SD-base-10a* absolute values of model minus measurements over the sum of the standard deviation of measurements and *RC1SD-base-10*, which differ in road traffic emissions model (right). The bottom row shows the relative differences of *RC1SD-base-07* and *RC1SD-base-10*, differing in nudging of global mean temperatures (left), and the relative differences of *RC1SD-base-07* and *RC1SD-base-07* and *RC1SD-base-07* and *RC1SD-base-07*, with different vertical resolutions (right). The vertical axis shows the distance (in km) relative to the tropopause.

Discussion Paper

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Figure 20. As Fig. 19, but for CO.



Figure 21. As Fig. 19, but for CH<sub>4</sub>.







**Figure 23.** Annual mean tropical upward mass flux  $(10^8 \text{ kg s}^{-1})$  at 70/74 hPa (top), 9/10 hPa (middle) and 1 hPa (bottom). The simulation results (colours) are compared to ERA-Interim data (black).



**Figure 24.** Mean age of stratospheric air (years) at 47 hPa/48 hPa/20 km,  $90^{\circ} \text{ N}-90^{\circ} \text{ S}$ , averaged for the years 2002 to 2011. The simulation results (colours) are compared to MIPAS data (black).



**Figure 25.** Time series of mean age of stratospheric air (years) at 74 h Pa/18 km (top), 9 h Pa/10 h Pa/30 km (middle), and 1 h Pa/50 km (bottom), averaged over the tropics ( $30^{\circ} S-30^{\circ} N$ , left column), mid latitudes ( $30-60^{\circ} N/S$ , middle column) and high latitudes ( $60-90^{\circ} N/S$ , right column) in the annual mean. The simulation results (colours) are compared to MIPAS data (black), which is averaged for the years 2002 to 2011 with the corresponding standard deviation.



**Figure 26.** Mean total column ozone bias (in %) between the simulations and the reference data set BSTCO for different latitude bands. The analyses are based on annual means of the years 1980 to 2011. The only exception is *RC1-aero-07*, which starts 1990.



**Figure 27.** Left column (**a**–**e**): climatological annual cycle of total column ozone (1980–2011, in DU) from BSTCO observations (**a**) and selected simulations (*RC1-base-07*, **b**, *RC2-base-04*, **c**, *RC1SD-base-07*, **d**, *RC2-oce-01*, **e**). Middle column (**f**–**i**): difference between the simulations and the BSTCO observations (in DU). Right column (**j**–**l**): difference (in DU) between the corresponding simulations with high (**b**–**e**) and low vertical resolution (*RC1-base-08*, **j**, *RC2-base-05*, **k**, *RC1SD-base-08*, **I**). Statistical significance is tested with the paired *t* test. Statistically significant changes on the 95% confidence level are coloured.



**Figure 28.** Time series of annual mean total column ozone (in DU) averaged over different latitude bands. BSTCO observations are shown in black. Note the different scales for the different regions.



**Figure 29.** Left column: climatological annual mean of observed total column ozone (BSTCO, top) as well as stratospheric (middle) and tropospheric (bottom) partial column ozone (AURA MLS/OMI), all in DU. The tropospheric and stratospheric partial columns are integrated from the surface to the (on-line diagnosed, see text) tropopause and above the tropopause, respectively. The analyses cover the years 2005 to 2011. Middle column: differences between the *RC1-base-07* simulation and the observations. Right column: same as middle column, but for the *RC1SD-base-07* simulation. Statistically significant changes on the 95 % confidence level are coloured.



**Figure 30.** Same as Fig. 26, but for the tropospheric partial column ozone. The reference dataset is AURA MLS/OMI. All tiles are based on annual mean values of the years 2005 to 2011 and statistically significant on the 90 % confidence level. Here, the WMO tropopause definition has been used to be as consistent as possible with the reference dataset.



**Figure 31.** Same as Fig. 26, but for the ozone volume mixing ratio at 6 selected pressure levels (700, 500, 250, 100, 50, 10 hPa). The ozone sonde data set (Tilmes et al., 2012) is used as reference. All tiles are based on the annual cycle, calculated from monthly data of the years 1995 to 2011. Results of a paired t test for the probability to reject the null hypothesis of equal means is shown on the right.