1	OMI NO ₂ column densities over North American urban cities: The effect of
2	satellite footprint resolution
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10	Abstract

12 Nitrogen dioxide vertical column density (NO₂ VCD) measurements via satellite are compared with a fine-scale 13 regional chemistry transport model, using a new approach that considers varying satellite footprint sizes. Space-14 borne NO₂ VCD measurement has been used as a proxy for surface nitrogen oxide (NO_x) emission, especially for 15 anthropogenic urban emission, so accurate comparison of satellite and modeled NO₂ VCD is important in 16 determining the future direction of NO_x emission policy. The National Aeronautics and Space Administration Ozone 17 Monitoring Instrument (OMI) NO₂ VCD measurements, retrieved by the Royal Netherlands Meteorological Institute 18 (KNMI), are compared with a 12-km Community Multi-scale Air Quality (CMAQ) simulation from the National 19 Oceanic and Atmospheric Administration. We found that OMI footprint pixel sizes are too coarse to resolve urban 20 NO₂ plumes, resulting in a possible underestimation in the urban core and overestimation outside. In order to 21 quantify this effect of resolution geometry, we have made two estimates. First, we constructed pseudo-OMI data 22 using fine-scale outputs of the model simulation. Assuming the fine-scale model output is a true measurement, we 23 then collected real OMI footprint coverages and performed conservative spatial regridding to generate a set of fake 24 OMI pixels out of fine-scale model outputs. When compared to the original data, the pseudo-OMI data clearly 25 showed smoothed signals over urban locations, resulting in roughly 20-30 % underestimation over major cities. 26 Second, we further conducted conservative downscaling of OMI NO₂ VCD using spatial information from the fine-27 scale model to adjust the spatial distribution, and also applied Averaging Kernel (AK) information to adjust the 28 vertical structure. Four-way comparisons were conducted between OMI with and without downscaling and CMAQ. 29 with and without AK information. Results show that OMI and CMAQ NO₂ VCDs show the best agreement when 30 both downscaling and AK methods are applied, with correlation coefficient R = 0.89. This study suggests that 31 satellite footprint sizes might have a considerable effect on the measurement of fine-scale urban NO_2 plumes. The 32 impact of satellite footprint resolution should be considered when using satellite observations in emission policy 33 making, and the new downscaling approach can provide a reference uncertainty for the use of satellite NO₂ 34 measurements over most cities.

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36 1. Introduction

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38 Tropospheric nitrogen dioxide, NO₂, is an important component of urban atmospheric chemistry. It is one of the 39 major pollutants affecting humans and the biosphere (Chauhan et al., 2003; Kampa and Castanas, 2008), and works 40 as an important precursor in tropospheric ozone chemistry and aerosol formation. Continuous monitoring of 41 tropospheric NO_2 is important to understand urban air quality and changes in anthropogenic emissions. NO_2 is also 42 used as an important indicator for traffic and urbanization (Rijnders et al., 2001; Ross et al., 2006; Studinicka et al., 43 1997).

44 Tropospheric NO_2 has been measured from space since the mid-1990s; the Global Ozone Monitoring Experiment 45 (GOME, 1996–2003, onboard the European Remote Sensing-2), Scanning Imaging Absorption SpectroMeter for 2004–present, onboard Aura), and GOME-2 (2007–present, onboard MetOp-A and 2013–present on MetOp-B)
have all been used for the detection of NOx emission from natural and anthropogenic sources (Beirle et al., 2004;
Boersma et al., 2007; Kim et al., 2006, 2009; Konovalov et al., 2006; Lamsal et al., 2008; Martin et al., 2003;
Napelenok et al., 2008; Richter et al., 2005; van der A et al., 2006, 2008)

51 NO₂ plumes from urban anthropogenic sources, especially from point and mobile sources, usually have a fine 52 structure, as small as a few hundred meters and as large as 10-20 km, as reported in comparisons of column NO₂ 53 based on in situ observations and modeled calculations (Heue et al., 2008; Valin et al., 2011; Ryerson et al., 2001). 54 Heue et al., (2008) used an airborne instrument based on imaging Differential Optical Absorption Spectroscopy 55 (iDOAS) to build a two-dimensional distribution model of urban plumes. By comparing NO₂ column densities over 56 the industrialized South African Highveld with OMI and SCIAMACHY measurements, they demonstrated that iDOAS 57 shows strong enhancements close to industrial areas, 4-9 times higher than measurements from OMI and 58 SCIAMACHY. Previous studies have demonstrated that modeled ozone production depends strongly on the spatial 59 scale of the modeling grid due to the nonlinear dependence of ozone production on NOx concentration (e.g., 60 Cohan et al., 2006; Gillani and Pleim, 1996; Liang and Jacobson, 2000; Sillman et al., 1990), so an accurate 61 comparison of urban NO₂ plumes in fine scale is crucial for understanding surface ozone chemistry and air 62 pollution over urban cities. Using 1-D and 2-D models, Valin et al., (2011) computed the resolution-dependent bias 63 in the predicted NO₂ column, demonstrating large negative biases over large sources and positive biases over small 64 sources at coarse model resolution.

65 The inhomogeneity of urban NO₂ plumes within the scale of satellite footprint pixels is of rising interest as satellite-66 based measurements are being compared with fine-scale modeling (Beirle et al., 2004; Beirle et al., 2011; Hilboll et 67 al., 2013). Richter et al. (2005) showed that there are considerable differences between GOME and SCIAMACHY 68 observations for locations with steep gradients in the tropospheric NO₂ columns, while these observations agree 69 very well over large areas of relatively homogeneous NO₂ signals. Hilboll et al. (2013) argued that these effects 70 result from spatial smoothing that differs depending on the ground resolution of the instruments, so the inherent 71 spatial heterogeneity of the NO_x fields must be considered when studying them over small, localized areas. Hilboll 72 et al. (2013) also presented approaches to account for instrumental differences while preserving individual 73 instruments' spatial resolutions. In comparing GOME and SCIAMACHY, they used an explicit climatological 74 correction factor to convolve GOME pixels (40×320 km²) with better-resolution SCIAMACHY (30×60 km²) data, 75 producing a combined data set for studying long-term trends.

76 In this study, we try to investigate and to quantify the uncertainty resulting from the geometry of OMI satellite-77 based NO₂ VCD measurements by comparing these data to a fine-scale regional quality model. First, a pseudo-OMI 78 data set is built from the outputs of fine-scale model simulations, and then these results are compared to model 79 data in order to quantify the impact from pure differences in geometry. Second, we extend the basic concept of 80 Hilboll et al. (2013) to apply spatial-distribution information from the fine-scale model to the OMI measurements, 81 and demonstrate how the new approach adjusts the original OMI measurements. Satellite and model data are 82 described in Section 2. Construction of pseudo-OMI data and the quantification of the impact of pixel geometry are 83 discussed in Section 3. In Section 4, the downscaling approach is discussed; Section 5 concludes and discusses the 84 implications of findings for emission policy decision-making.

86 **2. Data**

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88 **OMI**: We utilized OMI tropospheric NO₂ VCD data, retrieved by the Royal Netherlands Meteorological Institute 89 (KNMI). The OMI instrument, onboard NASA's Earth Observing System Aura satellite, is a nadir-viewing imaging 90 spectrograph measuring backscattered solar radiation with a measuring wavelength ranging from 270 to 500 nm 91 and with a spectral resolution of about 0.5 nm. Its telescope has a 114° viewing angle, which corresponds to a 92 2600 km-wide swath on the surface. In its normal global operation mode, its pixel size is 13 km (along) × 24 km 93 (across) at nadir, which can be reduced to 13 km × 12 km in zoom mode (Levelt et al., 2006). Data were 94 downloaded from the European Space Agency's (ESA) Tropospheric Emission Monitoring Internet Service (TEMIS; 95 http://www.temis.nl/airpollution/no2.html). DOMINO version 2.0 retrieval based on the Differential Optical

96 Absorption Spectroscopy (DOAS) technique was used for the study. We disregarded data pixels with cloud fractions

over 40% or other contaminated pixels using quality flags. Details on the NO₂ column retrieval algorithms and error
 analysis are described in Boersma et al. (2004, 2007).

99 NAQFC: The U.S. National Air Quality Forecast Capability (NAQFC) provides daily, ground-level ozone predictions 100 using the Weather Forecasting and Research non-hydrostatic mesoscale model (WRF-NMM) and CMAQ framework 101 across the CONUS with a 12-km resolution domain (Chai et al., 2013; Eder et al., 2009). In our analysis, we used the 102 experimental version of NAQFC, which uses WRF-NMM with B-grid (NMMB) as a meteorological driver and the 103 CB05 chemical mechanism. Meteorological data is processed using the PREMAQ, which is a special version of the 104 Meteorology-Chemistry Interface Processor (MCIP) designed for the NAQFC system. Emissions are projected to 105 2012 level using Department of Energy Annual Energy Outlook and EPA Cross-State Air Pollution Rule (CSAPR) from 106 the 2005 National Emission Inventory. Detailed information on the emission is available from Pan et al., (2014) and 107 references within.

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109 3. Construction of pseudo-OMI data

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OMI footprint pixel size increases as the viewing angle deviates from the nadir direction to the edge of swaths. Figure 1 shows the actual size distributions of OMI pixels collected during September 2013. The blue line indicates size distribution counts for each 50 km² bin, while the red line indicates the cumulative distribution of the OMI pixel sizes. The size distribution has high occurrences near 300 km², as expected from the OMI's resolution at the nadir (that is, 13x24 = 312). However, many pixels still have larger sizes; around half of total pixels are larger than 500 km^2 , and 20% of total pixels are larger even than 1000 km². Geographical coverage rapidly increases with pixel size, so deciding a threshold for footprint pixel sizes and available coverage may present a serious dilemma.

118 Figure 2 shows the relationship between OMI footprint pixel size and actual geographical coverage over the 119 Contiguous United States (CONUS). With 1 July 2011 data, 25% of OMI pixel sizes are less than 342 km², and they 120 cover 1.4% of the CONUS domain. CONUS coverage changes to 11.5%, 24.0%, and 58.8% when 50%, 75%, and 100% 121 of OMI pixels are used, respectively. Using only finer data may provide detailed information, but they represent 122 only a small part of all the data. If we also use coarser-resolution data, they provide more coverage but tend to be 123 biased over areas with spatial gradient, as discussed in the previously mentioned studies (Hilboll et al., 2013). We 124 therefore estimated the theoretical range of biases deriving from this geometric effect by constructing a pseudo-125 OMI data set out of a fine-scale model. Using the fine-scale regional CMAQ simulations and assuming this model 126 represents a true world, we constructed a dataset to mimic OMI instrument measurement of this modeled world.

127 In order to construct the pseudo-OMI data, we utilized a conservative spatial regridding technique to perform a 128 lossless conversion of gridded modeling outputs into actual OMI footprint pixels. Figure 3 demonstrates the 129 concepts of conservative regridding. The gray grid cells are 12-km grid cells for modeling-zoomed on the Houston 130 region as an example—and the blue lines are actual OMI pixel coverage. The blue, shaped pixel is an example of an 131 actual OMI pixel, while the pink boxes are model grid cells overlaid by the example OMI pixel. The numbers in the 132 grid cells are calculations of the fractional area overlaid by the OMI pixel for each cell using the Sutherland-133 Hodman polygon-clipping algorithms available from the IDL-based Geospatial Data Processor (Kim et al., 2013); 134 0.74 means the OMI pixel covers 74% of the corresponding grid cell. The pseudo-OMI value for the blue OMI pixel 135 area in Figure 3 can be estimated as:

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$$P_j = \frac{\sum (p_i \cdot f_{i,j})}{\sum f_{i,j}} \tag{1}$$

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where *i* and *j* are indices for the model grid cell and OMI pixel, respectively. $f_{i,j}$ indicates the fractional area of cell *i* overlaid by OMI pixel *j*.

140 Figure 4 compares the spatial distributions of CMAQ NO₂ VCD (assumed to be a true world) and pseudo-OMI (pOMI)

141 NO_2 VCD, along with the difference and percentage difference, (pOMI-CMAQ)/CMAQ x 100, over the northeastern

United States. It is evident that there are prominent differences between the original fine-scale modeled NO_2 VCD

143 and reconstructed pseudo-OMI distribution, especially over and near urban locations. As expected from the

144 smoothing effects of larger pixel sizes, pOMI shows a slightly smoothed transition from urban cores to suburban, 145 and most of the sharp peaks near small cities are gone in the pOMI distribution. As already mentioned, this is 146 purely a result of geometry. We can see that, for all the major cities, pOMI underestimates the actual NO₂ VCD 147 values while overestimating at the boundaries of major cities, as clearly seen in the New York, Pittsburgh, 148 Philadelphia, Baltimore, and Washington D.C. areas. This effect is also prominent in locations with small but strong 149 NOx emission sources, such as power plants or small cities such as Norfolk, VA. It should be noted that these 150 discrepancies result from purely geometric effects deriving from OMI's designed pixel sizes and are around +- 5–10x 151 10^15 #/cm2, with 20–30 % underestimation or overestimation biases for major cities and more than 100% under-152 or overestimation for local cities like Norfolk and Richmond, VA. In the next section, we introduce a new 153 approach—the conservative downscaling method—to reduce this effect of resolution due to varying OMI footprint 154 pixel sizes.

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156 4. OMI NO₂ VCD downscaling

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158 As described in the previous section, urban NO₂ plumes usually have too fine of a spatial structure compared to 159 OMI's measuring footprints. In this section, we introduce a new approach for adjusting those geometric effects. 160 Downscaling is a common concept in meteorological simulations, used especially in global circulation models to 161 provide initial and boundary conditions for regional models. We use a similar concept, describing a downscaling 162 method in data processing as a special case of spatial regridding that provides further details through the 163 incorporation of additional information into a set of coarse-resolution data. This approach differs from simply 164 increasing the resolution, as the raw, coarse data are restructured using a set of logics, analogous to a regional 165 meteorological model that downscales global meteorology using its own set of physical and thermal field balances. 166 Conceptually, we use a calculation process reversed from that used to construct the pseudo-OMI data set.

167 Figure 5 graphically depicts the steps of conservative downscaling from OMI pixels. Figure 5a shows actual OMI NO₂ 168 VCD measurements over Los Angeles on May 4, 2010, and Figure 5b shows the corresponding CMAQ NO₂ VCD 169 calculated from NAQFC modeling outputs at the same time and location. As readers can easily see, OMI footprint 170 pixels are much bigger (~650 km²) than are CMAQ grid cells (12x12 = 144 km²). As a result, an OMI pixel can overlay 171 more than 10 CMAQ grid cells, as demonstrated in Figure 5b (black box representing the OMI pixel). We collected 172 those CMAQ pixel values and then normalized them so that the total value of each grid cell sums to one. We call 173 this a spatial-weighting kernel (Figure 5c), and we apply this weighting kernel to the original OMI measurement. As 174 a result, we generate a reconstructed OMI pixel with finer structure but without any loss of original quantity. 175 Summing the reconstructed pixels gives the original OMI pixel measurement. It should be noted that we strictly 176 apply this method conservatively; theoretically, if there are no missing or duplicated pixels, the quantity of the 177 original data is numerically preserved. This method can be summarized as fusing a satellite-measured "quantity" 178 with modeled "spatial information"; the strength of the modeled NO₂ field does not at all affect the result.

179 As expected, the accuracy of this method indeed depends on the model's performance, especially regarding its 180 wind-field simulation and inputs of emission source locations, so this method clearly has its own limitation. 181 Considering the uncertainties resulting from emission source locations, the air-quality community has had an 182 excellent archive of geographical information about the geophysical locations of emission sources thanks to the 183 efforts of U.S. EPA, although the strengths of these sources are somewhat highly uncertain. As just described, 184 however, the downscaling method is not affected by emission strength, so we do not think that the uncertainty 185 associated with known emission source is very high. On the other hand, the use of downscaling method can be 186 limited when there are uncertainties in emission inventory information such as unknown emission sources or 187 removal or known sources. Wind field is important for simulating NO₂ plume transport. With the short lifetime of 188 NO_2 , especially during summer, the spatial distribution of NO_2 plumes is strongly determined by the location of 189 emission sources. Improving information about emission-source locations would somewhat improve the model, 190 but it is more important to note that the downscaling method tends to convert the error characteristics. Near 191 urban cores, OMI's coarse footprint resolution always causes unidirectional, systematic biases, with 192 underestimation near urban cores and overestimation at the urban boundary. Using the downscaling method, 193 these systematic biases from resolution are converted to random bias from wind-field error. Since these biases are

194 random, they may be corrected by averaging over a certain time period, unlike the systematic bias resulting from 195 resolution.

196 **4.1. 2010 CalNex campaign case**

197 We applied the downscaling technique to compare OMI and downscaled OMI with aircraft-borne measurements 198 from the California Research at the Nexus of Air Quality and Climate (CalNex) campaign. The CalNex field study was 199 conducted in California from May to July 2010 and focused on atmospheric-pollution and climate-change issues, 200 including an emission inventory, atmospheric transport and dispersion, atmospheric chemical processing, cloud-201 aerosol interaction, and aerosol radiative effects (Ryerson et al., 2013). Here, we compared NO₂ VCD observations 202 from the campaign's P3 flight with corresponding OMI measurements using both the standard and downscaling 203 methods. More detailed descriptions regarding data preparation and a discussion of the influence of 204 environmental inhomogeneity and urban NO2 plumes are provided by Judd et al. (2015)

205 Figure 6 shows scatter-plot comparisons between the P3 measurements and OMI NASA standard product (Figure 206 6a), OMI KNMI product (Figure 6b), and OMI KNMI downscaled (Figure 6c) for three days: 4, 7, and 16 May 2010. 207 As reported, the OMI NO₂ VCD tends to underestimate near the Los Angeles urban area. The KNMI retrieval 208 showed a slightly better comparison with slope = 0.73 and R = 0.85, while the downscaled product clearly showed 209 the best agreement with the P3 measurements, R = 0.88 and slope = 1.0. Deviations still remain from a true one-to-210 one line even with the downscaling method; these are possibly caused by errors in wind field simulation. We 211 expect these random errors to average out as the amount of available data increases. The downscaling method 212 seems to work even with daily time-scale data sets.

213 Figure 7 compares OMI NO₂ VCD spatial distributions for the original KNMI products with downscaled products for 214 4 May 2010, the day when the downscaling method gave the most dramatic changes in the spatial distribution. In 215 the original retrieval, OMI pixels were coarse and mostly smoothed out over Los Angeles. However, by applying the 216 downscaling technique, the adjusted OMI data show a shape much closer to the urban boundary and enhanced 217 NO₂ VCD values at the center of Los Angeles, agreeing very well with the P3 aircraft measurements. On 7 May, the 218 downscaling method reproduced several peak values very well but failed to generate a clean spot at the edge of 219 Los Angeles. On 16 May, the changes from downscaling are not dramatic due to generally low NO₂ concentrations 220 due to less urban traffic on Sunday (e.g. the weekend effect), but the downscaling method still showed slight 221 enhancement (shown in supplementary plots).

4.2. Comparison with NAQFC

223 Comparing modeled NO₂ VCD to satellite-observed NO₂ VCD has been a popular way to evaluate the NO_x emission 224 inventory. Since modeled NO₂ VCD and satellite NO₂ VCD have different optical and vertical properties, some 225 researchers have used additional processing to fairly compare satellite and modeled column densities. In this 226 section, we performed vertical and spatial adjustment by applying Averaging Kernel (AK) information in conjunction 227 with the downscaling technique. First, we compared NAQFC NO₂ VCD with and without AK to OMI NO₂ VCD with 228 and without downscaling processing.

229 The sensitivity of the instrument to tropospheric tracer density is highly height-dependent. Since the measured 230 tracer profile may have large systematic errors as a result, the retrieved tracer columns should be interpreted with 231 proper additional information (Eskes and Boersma, 2003). An AK stores an instrument's relative sensitivity to the 232 abundance of the target species for each layer throughout the atmospheric column (Bucsela et al., 2008) and can 233 be applied to a modeled atmospheric column for a fair comparison with satellite retrievals. For each OMI DOMINO 234 product pixel, 34 layers of AKs are provided. We first converted total AK to tropospheric AK, AK_{trop}, by applying the 235 total air mass factor (AMF) and tropospheric AMF, and we then applied AK_{trop} to model layers before vertically 236 integrating, as described by Herron-Thorpe et al. (2010). When multiple OMI pixels overlaid a model grid cell, we 237 conducted the conservative spatial remapping method explained above.

Figure 8 compares the monthly averaged NO₂ VCD distributions for CMAQ without and with AK (Figure 8a & Figure 8b, respectively) and for OMI NO₂ VCD without and with downscaling (Figures 8c & 8d, respectively). In general, AK-applied CMAQ NO₂ VCD tends to be slightly lower than CMAQ NO₂ VCD without AK information. On the other hand, while OMI NO₂ VCD without DS shows a much smoother pattern, the DS-applied OMI reconstructs the sharp spatial structures near urban areas. DS-applied OMI NO₂ VCD is evidently able to construct sharp gradients near cities, and especially near middle-size cities.

244 Figure 9 compares CMAQ and OMI NO₂ VCDs using AK and DS methods together. Figure 9a shows a scatter-plot 245 comparison between CMAQ and OMI NO₂ VCDs at U.S. Environmental Protection Agency Air Quality System (AQS) 246 surface-monitoring site locations during September 2013. In this comparison, CMAQ NO₂ VCDs are much higher 247 compared to OMI NO₂ VCDs, implying that the CMAQ simulation possibly overestimates NO_x emissions. Figure 9c 248 compares OMI and CMAQ NO₂ VCD with AK information applied; estimated CMAQ NO₂ VCD is reduced, showing 249 better agreement with OMI NO₂ VCD. Readers may notice that high CMAQ pixels are shifted to the left. On the 250 other hand, applying the DS method to OMI shifts OMI pixels vertically (Figure 9b). Finally, in Figure 9d, both AK 251 and DS methods are applied; this comparison shows the best agreement between OMI and CMAQ NO₂ VCD pixels. 252 Its correlation coefficient R = 0.89 and the slope of line fit is 0.59. Clearly, the application of the AK and DS methods 253 not only improved the satellite-model comparison in the high NO₂ concentration range but also significantly improved the comparison in the low NO₂ range (i.e., $0-10 \times 10^{15}$ molecules/cm²), implying that this method can help 254 255 interpret NO_x emission in major and mid-size cities. We have conducted same analyses for all summer months in 256 2013 & 2014, and results are consistent.

257 The differences in spatial distributions between monthly averaged OMI and CMAQ NO₂ VCDs during September 258 2013 are shown in Figure 10. Positive values indicate that CMAQ NO₂ VCD is higher than OMI VCD, which should 259 likely be interpreted as an overestimation of the NOx emission inventory used in the CMAQ modeling. The 260 difference between the original OMI and CMAQ NO₂ VCDs show strong positive values over most urban locations 261 (Figure 10a). Applying AK (Figure 10b) and DS (Figure 10c) reduce positive biases for major and middle-to-small 262 cities, showing the best agreement when both AK and DS are included. NO₂ VCD is still overestimated over major 263 cities—New York, Philadelphia, Detroit, and Chicago—as is expected from the continuous trend of NO_v emission 264 reduction, but they are much weaker than in the original comparison. Slight overestimations over Baltimore, 265 Washington D.C., Richmond, and Norfolk have almost disappeared We also notice broad underestimation of NO₂ 266 VCD over Pennsylvania and West Virginia, which might be related to recent changes in this region, but detailed 267 analysis is beyond the scope of this study. Another interesting feature is that there are spots of underestimation 268 over small cities or local power plants; we therefore suspect the DS method slightly overweights urban emissions 269 due to the lack of soil NOx emissions in the current modeling system.

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271 5. Conclusion

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273 This study reports that satellite footprint sizes might cause a considerable effect on the measurement of fine-scale 274 urban NO₂ plumes. Comparing OMI NO₂ VCDs over North American urban cities to a 12-km CMAQ simulation from 275 NOAA NAQFC, we found that OMI footprint-pixel sizes are too coarse to resolve urban plumes, resulting in possible 276 underestimation (and overestimation of model NO₂ VCD) over the urban core and overestimation outside. In order 277 to quantify this effect of resolution, we first conducted a perfect-model experiment. Pseudo-OMI data were 278 constructed using fine-scale outputs of a model simulation, assuming that the fine-scale model output is a true 279 measurement. To match the footprint coverage from real OMI pathways, we conducted conservative spatial 280 regridding with the corresponding fine-scale model outputs to generate a set of pseudo OMI pixels.

When compared to the original data, the pseudo-OMI data clearly showed smoothed signals over urban locations, with 20–30 % underestimation over major cities and up to 100% bias over smaller urban areas. We then introduced conservative downscaling of OMI NO₂ VCD using spatial information from the fine-scale model to adjust the spatial distribution, also applying Averaging Kernel (AK) information to adjust the vertical structure. Four-way comparisons were conducted between OMI with and without downscaling and CMAQ with and without AK information. Results show that OMI and CMAQ NO₂ VCDs show the best agreement when both downscaling and AK methods are applied, with correlation coefficient R = 0.89.

These results should be considered when using satellite data in the evaluation of emission inventories and translating these data into decision-making around emission policy. Table 1 shows a summary of the comparisons 290 between OMI and CMAQ NO₂ VCDs described in Figure 8 and Figure 9. When CMAQ without AK and OMI with DS 291 are compared, the percentage difference is (6.43-3.61)/3.61*100 = 78%, implying that the current emission 292 inventory likely overestimates NO₂ VCD. Comparing between OMI with DS and CMAQ without AK or between OMI 293 without DS and CMAQ with AK still implies that the current emission inventory is possibly overestimating. However, 294 when both vertical and spatial profiles are adjusted using the AK and DS methods, a slight underestimation is found, 295 -7%, in modeled NO₂ VCD over AQS monitoring locations, implying that the current inventory possibly 296 underestimates emissions. This may represent an important implication for how spatial information should be 297 considered when investigating fine-scale phenomena such as urban NO₂ plumes.

Without question, satellite observations are very useful with their large coverage supplementing sparse surfacemonitoring sites. Interpretation of satellite-based measurement, however, should be performed cautiously with consideration of the instrument's characteristics, especially when translating results into policy-making. We expect our current study to provide a reference for the uncertainty of satellite-based information regarding local or regional pollutants, especially until we have the measurement data at more enhanced resolution that will be provided by future satellites, such as Tropospheric Emissions: Monitoring of Pollution (TEMPO), Tropospheric Monitoring Instrument (TROPOMI), and Geostationary Environmental Monitoring Spectrometer (GEMS).

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306 Appendix A: Conservative spatial regridding method

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308 For the spatial regridding of satellite data, the IDL-based Geospatial Data Processor (IGDP) performs 309 'conservative spatial regridding' based the exact calculation of overlapped areas using the polygon 310 clipping algorithm. This method differs from traditional interpolation method since it handles the 311 geospatial data (e.g. satellite data) as "polygon with area" instead of "(dimensionless) pixels". This 312 method reconstructs raw data pixels (e.g. satellite data) into target domain grid cells, by calculating 313 fractional weighting of each overlapping portions between data pixels and domain grid cells. If the raw 314 pixel data is in density units (e.g. concentration), the grid cell concentration can be calculated as a 315 weighted average of data pixels and fractions (Figure 11).

316

$$f_{i,j} = \frac{Area(P_i \cap C_j)}{Area(C_j)}$$
$$C_j = \frac{\sum P_i \cdot f_{i,j}}{\sum f_{i,j}}$$

where i and j are indices of data pixel, P, and grid cells, C. $f_{i,j}$ is the overlapping fractions, and $\sum f_{i,j}=1$ if no missing pixels are involved in grid cell C_j .

319 If the satellite pixel data is in mass units, equations for the conservative remapping are slightly different.

320 We need to calculate fractions of overlapped area to raw data pixel size, instead of grid cell size.

321

$$g_{i,j} = \frac{Area(P_i \cap C_j)}{Area(P_j)}$$
$$C_j = \sum P_i \cdot g_{i,j}$$

322 where $g_{i,j}$ is the fraction of overlapped area to the data pixel size.

- 323 Detailed information on the polygon clipping algorithms is described in Kim et al., (2013).
- 324

325 Appendix B: IDL routines for downscaling method

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Per the request of anonymous reviewer, we provide sample IDL routines of conservative spatial regridding and downscaling of OMI and CMAQ NO₂ VCDs in the supplementary materials with brief descriptions. Users will be able to download and test sample codes, and further modify the codes for their own interest.

331

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333

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	OMI/xDS (mean = 3.61)	OMI/DS (mean = 5.00)
CMAQ/xAK (mean = 6.43)	S = 0.28 R = 0.79 (6.43-3.61)/3.61*100 = 78.1 %	S = 0.45 R = 0.87 (6.43-5)/5*100 = 28.6 %
CMAQ/AK (mean = 4.65)	S = 0.39 R = 0.87 (4.65-3.61)/3.61*100 = 28.8%	S = 0.59 R = 0.89 (4.65-5)/5*100 = <u>-7.0 %</u>

Table 1. Comparison of OMI and CMAQ NO₂ VCD monthly averages (Sep. 2013) at AQS sites.



446
447 Figure 1. Size distribution of OMI pixel footprint (blue) and its cumulative percentile (red) during September
448 2013.



(A) Size < 342 km², Pixel=25%,Coverage= 1.4 %





(B) Size < 450 km², Pixel=50%, Coverage= 11.5 %



(C) Size < 721km², Pixel=75%, Coverage= 24.0 %

(D) Size < 1732 km², Pixel=100%, Coverage= 58.8 %

450 451 Figure 2. Comparison of OMI footprint-pixel size and actual coverage using (a) 25%, (b) 50%, (c) 75%, and (d) 100% 452 of available pixels on July 1, 2011.

453



456 Figure 3. Calculation of pseudo-OMI (pOMI) data. Blue boxes are actual OMI pixel footprints and the gray cells are 12-km grid cells. Fraction of cells overlapped by an OMI pixel are shown, and pOMI (sky blue) data are

estimated by a weighted average of the corresponding grid cells (pink).



461 Figure 4. Monthly mean distribution of (a) CMAQ, (b) pOMI NO₂, (c) difference (pOMI-CMAQ), and (d) percentage difference (pOMI-CMAQ)/CMAQ*100 during September 2013.



465 Figure 5. Example of downscaling method. (a) Original OMI NO₂ VCD, (b) 12-km CMAQ NO₂ VCD, (c) spatial weighting kernel, and (d) adjusted OMI NO₂ VCD using spatial weighting kernel.



469 Figure 6. Scatter plots of P3 and OMI NO₂ VCD for (a) OMI standard products, (b) OMI KNMI, and (c) OMI KNMI with downscaling for May 4, 7 & 16,2010.



472 473 Figure 7 Spatial distribution of P3 NO₂ VCDs (circles) and OMI NO₂ VCDs for original KNMI product (A), and 474 downscaled OMI (B) for 4 May 2010.



476

Figure 8. Spatial distributions of (a) CMAQ NO₂ VCD without AK and (b) with AK; (c) OMI NO₂ VCD without downscaling and (d) with downscaling during September 2013.



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Figure 9. Comparison of OMI and CMAQ NO₂ VCD for (a) OMI and CMAQ with AK, (b) downscaled OMI and CMAQ with AK, (c) OMI and CMAQ with AK, and (d) downscaled OMI and CMAQ with AK during September 2013.



485 Figure 10. Comparisons of OMI and CMAQ NO₂ VCD spatial distributions in the northeast U.S. region during September 2013.



490 Figure 11 Example of "Conservative spatial regridding" method using variable-pixel linear
 491 reconstruction algorithm.