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A moist aquaplanet variant of the Held–Suarez test for atmospheric model dynamical cores

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Abstract

A moist idealized test case (MITC) for atmospheric model dynamical cores is presented. The MITC is based on the Held–Suarez (HS) test that was developed for dry simulations on a flat Earth and replaces the full physical parameterization package with a Newtonian temperature relaxation and Rayleigh damping of the low-level winds. This new variant of the HS test includes moisture and thereby sheds light on the non-linear dynamics-physics moisture feedbacks without the complexity of full physics parameterization packages. In particular, it adds simplified moist processes to the HS forcing to model large-scale condensation, boundary layer mixing, and the exchange of latent and sensible heat between the atmospheric surface and an ocean-covered planet. Using a variety of dynamical cores of NCAR’s Community Atmosphere Model (CAM), this paper demonstrates that the inclusion of the moist idealized physics package leads to climatic states that closely resemble aquaplanet simulations with complex physical parameterizations. This establishes that the MITC approach generates reasonable atmospheric circulations and can be used for a broad range of scientific investigations. This paper provides examples of two application areas. First, the test case reveals the characteristics of the physics-dynamics coupling technique and reproduces coupling issues seen in full-physics simulations. In particular, it is shown that sudden adjustments of the prognostic fields due to moist physics tendencies can trigger undesirable large-scale gravity waves, which can be remedied by a more gradual application of the physical forcing. Second, the moist idealized test case can be used to intercompare dynamical cores. These examples demonstrate the versatility of the MITC approach and suggestions are made for further application areas. The new moist variant of the HS test can be considered a test case of intermediate complexity.

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1 Introduction

Atmospheric General Circulation Models (GCMs) are important tools for understanding the climate system. They consist of two main components: a dynamical core and a physical parameterization package. The dynamical core solves the resolved fluid flow equations on a computational grid. The physical parameterizations represent sub-grid processes that cannot be resolved, such as cloud microphysics and radiation. The interactions and feedbacks between the dynamical core and physical parameterizations make it difficult to diagnose sources of error or clearly distinguish between causes and effects. The large uncertainties associated with the physical parameterizations may contribute to, or even hide, biases originating within the dynamical core.

Differences in GCMs are apparent when comparing models with different parameterizations, computational grids, and numerical methods (e.g. Lauritzen et al., 2010; Blackburn et al., 2013). Systematic methods for evaluating and comparing GCMs are therefore paramount for model development. Traditionally, the modeling community distinguished between dry dynamical core and full-physics GCM tests. Only recently, Frierson et al. (2006); Frierson (2007b); O’Gorman and Schneider (2008) and Reed and Jablonowski (2012) introduced GCM configurations with highly simplified physical parameterizations that contain moisture. The paper falls into this category and describes a moist dynamical core test of intermediate complexity for climate-like studies.

In an ideal situation, dynamical core tests should evaluate the fluid flow by directly comparing the model results to a known analytic solution. However, analytical solutions are not available for complex simulations and can only be used to evaluate very idealized flow conditions, such as steady states (Jablonowski and Williamson, 2006), linear flow regimes (Baldauf et al., 2014) or the advection of passive tracers with prescribed wind fields (Kent et al., 2014). Dynamical core tests for more complex, non-linear flow scenarios without a known solution rely on the premises that models tend to converge toward a high-resolution reference solution and the results of multiple dynamical cores

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closely resemble each other within some uncertainty limit (Jablonowski and Williamson, 2006).

A well-established climate-focused evaluation method for dry 3-D dynamical cores is the Held and Suarez (HS) test with idealized physical parameterizations, namely a Newtonian temperature relaxation and Rayleigh damping of low-level winds (Held and Suarez, 1994). This test neither contains moisture nor a seasonal or diurnal cycle, and the surface geopotential is flat. Nevertheless, HS-driven simulations resemble the general circulation of the atmosphere. There is no analytic solution to the HS test. Therefore, model intercomparisons are generally used to check if the HS results are reasonable compared to other GCMs. The HS test has been shown to be sensitive to spatial resolution (Jablonowski, 1998; Wan et al., 2008) and has been useful for explaining differences in climate models without the need for complex physical parameterizations or surface boundary conditions (Chen et al., 1997; Zhang et al., 2013).

A variety of studies have utilized the HS test and variations thereof. The HS test is often used to validate the statistical behavior of new dynamical cores (Smolarkiewicz et al., 2001; Fournier et al., 2004; Tomita and Satoh, 2004; Richardson et al., 2007). In addition, Polvani and Kushner (2002) applied the HS forcing with a slightly modified equilibrium temperature profile and a high model top to explore the extratropical tropospheric response to imposed stratospheric temperature perturbations. The HS test was also used by Yao and Jablonowski (2013, 2015) to analyze a Quasi-Biennial Oscillation (QBO)-like circulation in the tropical stratosphere. Furthermore, Mitchell et al. (2002) used HS forcings with topography to understand the minimum ensemble size and error growth of a data assimilation algorithm. Galewsky et al. (2005) paired the HS test with passive moisture tracers, though the moist tracers did not release latent heat. The first variant of the HS test with simple moisture feedbacks was described by Grabowski and Smolarkiewicz (2002) and relaxed the water vapor towards 90% relative humidity. However, their method was not focused on mimicking the Earth's atmospheric flow conditions, as we do in this paper, but instead only demonstrated the use of an idealized test in understanding the characteristics of a new numerical method

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with moisture feedbacks. These HS application examples demonstrate the usefulness of idealized GCM assessments and a simple-to-use description of the test case. Furthermore, these HS examples go well beyond the initial intent of the HS publication, which solely focused on a proposal for dynamical core intercomparisons. We envision similar broad application areas for our suggested moist variant of the HS test.

As described in Held (2005), as climate simulations advance with the use of more complex climate models there is a profound need to understand the physical characteristics of the models in idealized setups. This evaluation hierarchy should include 2-D shallow water models, dry 3-D dynamical cores, moist idealized 3-D dynamical cores, full-physics aquaplanet configurations, GCMs with complex physics and prescribed surface conditions (Gates et al., 1999), Earth System Models of Intermediate Complexity (EMICS) (Claussen et al., 2002; Weber, 2010) and fully coupled atmosphere-ocean-ice-land Earth System Models (ESMs). Currently, there is a lack of intermediate-complexity atmospheric model configurations, such as the test case described in this paper, and no standard simplified-moist evaluation technique for dynamical cores has been established.

Here we propose a slightly modified variant of the well-established HS dry dynamical core test case and include moisture processes via very few simplified physical parameterizations similar to Reed and Jablonowski (2012) (herein referred to as RJ12). In particular, we add latent and sensible heat fluxes at the surface, simple boundary-layer mixing of temperature and moisture, and large-scale precipitation to the slightly modified HS forcing mechanisms. The test is more complex than the HS variant in Grabowski and Smolarkiewicz (2002), yet less complex than the simplified physics package in Frierson et al. (2006) or O’Gorman and Schneider (2008). The latter two suggest the use of radiative fluxes instead of the thermal HS relaxation, a slab ocean with constant depth instead of prescribed SSTs, and a more complicated Monin-Obukhov-type boundary layer parameterization. We show that our proposed moist variant of the HS test is capable of simulating a quasi-realistic climate and closely mimics the characteristics of full-physics aquaplanet “CONTROL” simulations as defined

in Neale and Hoskins (2000). The simplicity of the physics processes and the completeness of their description allows for straightforward inclusion in any GCM, therefore supporting idealized climate studies and analysis of the impact of different dynamical cores on the circulation.

Traditional aquaplanet setups utilize a complex physics package on an ocean-covered earth with analytically-prescribed SSTs and equinoctial radiation (Neale and Hoskins, 2000; Blackburn et al., 2013). The lack of topography and seasonal variations means that the long-term statistics of the Aqua-Planet Experiment (APE) are zonally symmetric. This setup is useful for model intercomparison and idealized process studies because the land-atmosphere interactions and mountain effects are removed, making it easier to discern causes and effects. Aquaplanet simulations are valuable tools for evaluating and comparing different combinations of dynamical cores, model designs, parameter settings, and physical parameterizations (e.g., Williamson and Olson, 2003; Medeiros et al., 2008; Williamson, 2008b; Mishra et al., 2011b; Rauscher et al., 2013). Williamson et al. (2012) provide a full catalog of such APE assessments. In our paper, we use complex aquaplanet simulations as a point of comparison for the moist idealized assessments in order to establish that the general circulations in both approaches resemble each other very closely.

This paper has three goals. First, we explain the design of the moist idealized test case (MITC), which is easy to use and implement (see also the provided Fortran routine associated with this paper). Second, we provide MITC example results that were generated with the Spectral Element (SE) dynamical core (Taylor and Fournier, 2010; Dennis et al., 2012) of the Community Atmosphere Model (CAM5) version 5.3 (Neale et al., 2010). This model is under development at the National Center for Atmospheric Research (NCAR) and various Department of Energy (DoE) laboratories. The climate patterns of the moist idealized circulation are discussed and directly compared to their CAM5-SE full-physics aquaplanet counterparts. This demonstrates that the general circulations in both approaches are comparable and that the test case leads to reasonable climatic conditions. Third, we present two example application areas for the moist

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idealized test case to shed light on its versatility. They include selected snapshots of a dynamical core intercomparison that involves the four CAM5 dynamical cores: SE, Finite Volume (FV), Eulerian (EUL) spectral transform, and semi-Lagrangian (SLD) spectral transform (Neale et al., 2010). Furthermore, we demonstrate that the MITC approach exposes intricacies of the physics-dynamics coupling strategy that cannot be revealed in dry HS experiments. The MITC is computationally efficient and easily ported to different dynamical cores, allowing other modeling groups to assess their own GCMs and test the characteristics of new dynamical cores. Thus the MITC can serve as a valuable tool for understanding and improving dynamical cores and their physics-dynamics interplay. Furthermore, the MITC can be employed for idealized climate studies, as mentioned above, that improve our theoretical understanding of the general circulation.

This paper is structured as follows. Section 2 contains the description of the moist idealized physics processes. The four CAM5 dynamical cores are briefly described in Sect. 3. Section 4 discusses the general circulation of the MITC CAM5-SE simulations compared to their aquaplanet counterparts. Section 5 provides insight into two example applications. In particular, the physics-dynamics coupling strategy in the SE dynamical core is analyzed, and snapshots of a MITC dynamical core intercomparison are presented. Section 6 makes further suggestions for other application areas and possible extensions of the MITC approach. Furthermore, this section calls for community participation to foster the moist dynamical core research. Section 7 summarizes all findings.

2 Description of the physical parameterizations of the moist idealized test case

The proposed MITC approach utilizes simplified moist physics parameterizations paired with slightly modified forcings from the HS test (Held and Suarez, 1994). The simplified moist physics parameterizations follow those from the short-term tropical cyclone test case by RJ12 with several modifications. In brief, the physical forcings in-

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corporate surface fluxes of latent and sensible heat as well as momentum, boundary-layer mixing, large-scale precipitation, and radiation. Here, we briefly describe the key equations and processes, and point to RJ12 and Held and Suarez (1994) for some of the details of the implementation. In particular, implicit time-stepping approaches are used to enhance the numerical stability of the surface flux and boundary layer calculations. These details are also shown in the supplementary Fortran routine, which allows a rapid inclusion of the MITC in other dynamical cores.

The MITC modifications of RJ12 and HS, which are detailed below, make the simplified moist physics package appropriate for long-term climate studies. Neither seasonal cycles nor topography are included, therefore the climate statistics should be identical in both hemispheres. Any hemispheric differences in the model results are due to sample size rather than dynamical processes. We recommend spinning the model up from an idealized moist initial state (see Appendix) for 6 months and analyzing the following 30 months (~ 2.5 years) of data. In our simulations, one month always contains 30 days and is independent of the actual calendar months.

2.1 Large-scale precipitation

Moisture is removed from the atmosphere using the large-scale condensation scheme described in RJ12, Eqs. (1) through (14) in that publication. Condensation occurs when the grid cell reaches saturation according to the Clausius–Clapeyron equation. The saturation specific humidity, q_{sat} , is

$$q_{\text{sat}}(T) = \frac{\varepsilon}{\rho} e_0^* \exp \left[-\frac{L}{R_v} \left(\frac{1}{T} - \frac{1}{T_0} \right) \right], \quad (1)$$

where ρ is the moist air pressure with units Pa and T is the temperature with units Kelvin. The constants are defined as $\varepsilon = 0.622$, $T_0 = 273.16$ K is the triple point of water, $e_0^* = 610.78$ Pa is the saturation vapor pressure at T_0 , $L = 2.5 \times 10^6$ J kg $^{-1}$ is the latent heat of vaporization at T_0 , and $R_v = 462.5$ J kg $^{-1}$ K $^{-1}$ is the gas constant for water vapor.

The condensation rate, C , is given by

$$C = \frac{dq_{\text{sat}}}{dt} = \frac{1}{\Delta t} \left(\frac{q - q_{\text{sat}}(T)}{1 + \frac{L}{c_p} \frac{Lq_{\text{sat}}}{R_v T^2}} \right), \quad (2)$$

where Δt denotes the physics time step, q is the specific humidity, and $c_p = 1004.6 \text{ J kg}^{-1} \text{ K}^{-1}$ is the specific heat at constant pressure. If a dynamical core uses a leapfrog time-stepping scheme Δt needs to be replaced with $2\Delta t$ as pointed out in RJ12.

If $q > q_{\text{sat}}$ (over 100% relative humidity), then latent heat is released and the condensate is immediately recorded as precipitation and removed from the system, thus re-evaporation does not occur and there are no clouds. The resulting temperature and specific humidity changes due to condensation are

$$\frac{\partial T}{\partial t} = \frac{L}{c_p} C \quad (3)$$

$$\frac{\partial q}{\partial t} = -C. \quad (4)$$

The condensation leads to the large-scale precipitation rate P_{ls} with units meters (of water column) per second

$$P_{\text{ls}} = \frac{1}{\rho_{\text{water}} g} \int_0^{p_s} C dp \approx \frac{1}{\rho_{\text{water}} g} \sum_{k=1}^{\text{nlev}} C_k (p_{k+1/2} - p_{k-1/2}), \quad (5)$$

where $\rho_{\text{water}} = 1000 \text{ kg m}^{-3}$ is the density of water, $g = 9.80616 \text{ m s}^{-2}$ is gravity, and p_s denotes the moist surface pressure in Pa. The precipitation is summed over all nlev vertical model levels where C_k is the condensation rate at model level k , and $p_{k\pm 1/2}$ is the moist pressure in Pa at the interface between two full model levels. In Eq. (5) it is

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assumed that the level index k increases downward (i.e. $k = 1$ is the top model level) such that the difference between the two interface levels, or pressure level thickness, is always positive.

2.2 Prescribed boundary conditions

5 The MITC approach is designed for a water-covered Earth without topography. Therefore, the surface geopotential, Φ_s , needs to be set to zero. The constant SST from RJ12 is replaced with a prescribed SST profile dependent on latitude. The SST profile, T_s , is defined by

$$T_s = \Delta T \exp\left(-\frac{\phi^2}{2(\Delta\phi)^2}\right) + T_{\min}, \quad (6)$$

10 where $\Delta T = 29\text{ K}$ is the SST difference between the equator and poles, $T_{\min} = 271\text{ K}$ is the SST at the poles, ϕ is the latitude in radians, and $\Delta\phi = 26\pi/180$ controls the latitudinal width of the Gaussian function. This SST profile was motivated by lowest-level temperature profiles from a dry HS experiment. It also resembles the prescribed SSTs from the APE CONTROL experiment (Neale and Hoskins, 2000), particularly
15 in the tropics and midlatitudes. The SST profile includes temperatures slightly below freezing polewards of 60° N/S . However, these temperatures do not drop below 271 K , the approximate freezing point of sea water. Thus the prescribed SST acts as a lower-boundary forcing on the atmosphere to facilitate reasonable latent and sensible heat fluxes.

20 2.3 Surface fluxes

The original HS Rayleigh friction of the zonal and meridional winds at the lowest model level, shown later in Eq. (14), acts as the surface momentum flux. Therefore, the RJ12 formulation for the zonal and meridional surface momentum forcings (Eqs. 33 and 34 in RJ12) is not used to avoid double-counting the surface friction. However, surface fluxes

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of sensible and latent heat are still needed. These temperature and moisture surface fluxes come from the RJ12 specification (see their Eqs. 22 and 23 in kinematic units with the implicit update Eqs. C3 and C6). They lead to the surface forcings,

$$\frac{\partial T_a}{\partial t} = \frac{C_H |\mathbf{v}_a| (T_s - T_a)}{z_a} \quad (7)$$

$$5 \quad \frac{\partial q_a}{\partial t} = \frac{C_E |\mathbf{v}_a| (q_{\text{sat},s} - q_a)}{z_a}, \quad (8)$$

at the lowest model level. If a model needs surface fluxes in energy units (W m^{-2}) the corresponding sensible (H) and latent (E) heat formulations at the surface are

$$H = \rho_a c_p C_H |\mathbf{v}_a| (T_s - T_a) \quad (9)$$

$$E = \rho_a L C_E |\mathbf{v}_a| (q_{\text{sat},s} - q_a). \quad (10)$$

10 In these equations T_a , q_a , and ρ_a are the temperature, specific humidity and moist air density at the lowest model level, respectively, and $q_{\text{sat},s}$ is the saturation specific humidity at the surface with temperature T_s . The unitless bulk transfer coefficients for sensible heat, $C_H = 0.0044$, and water vapor, $C_E = 0.0044$, are set to the same value. These values are four times higher than the values used for the tropical cyclone studies in RJ12 to enhance the planetary boundary layer mixing and surface fluxes. This is motivated by the fact that the typical HS lowest-level wind speed $|\mathbf{v}_a|$ is weak in comparison to the tropical cyclone wind speeds in RJ12. Note though that these C_H and C_E settings are bigger than theoretical values derived from observations. These typically range between 0.001–0.0025 (e.g. Pond et al., 1974; Smedman et al., 2007) dependent on the environmental conditions. Our enhanced values can therefore be viewed as a compensation mechanism for the missing complexity of the physical mixing and surface-exchange processes.

The lowest model level wind speed, $|\mathbf{v}_a|$, and height position, z_a , are

$$|\mathbf{v}_a| = \sqrt{u_a^2 + v_a^2} \quad (11)$$

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$$z_a = \frac{R_d T_{v,a}}{g} \frac{(\ln p_s - \ln p_-)}{2}, \quad (12)$$

where $R_d = 287.04 \text{ J kg}^{-1} \text{ K}^{-1}$ is the dry air gas constant, $T_{v,a} = T_a(1 + 0.608q_a)$ is the virtual temperature at the lowest model level, and p_- is the moist pressure in Pa at the edge (interface level) between the lowest and second lowest full model levels. The definition of z_a in Eq. (12) corrects a sign error in Eq. (28) of RJ12, where p_s and p_- were accidentally reversed. In our vertical grid configuration detailed later the position of the lowest model level, z_a , is located at a height of approximately 60–65 m.

The MITC temperature forcing can also be modified for different prognostic variables. For example, if a model solves the thermodynamic equation in terms of potential temperature then the sensible heat forcing at the surface (Eq. 7) can be reformulated to

$$\frac{\partial \Theta_a}{\partial t} = \frac{C_H |\mathbf{v}_a| (T_s - T_a)}{z_a} \left(\frac{\rho_{00}}{\rho_a} \right)^{\frac{R_d}{c_p}}, \quad (13)$$

where Θ_a and ρ_a are the potential temperature and moist pressure, respectively, at the lowest model level and $\rho_{00} = 10^5 \text{ Pa}$ is a reference pressure. The derivation of this equation implicitly assumes that the moist pressure stays constant in time in the physical parameterization package. This is a typical assumption in GCMs, as further explained in Sect. 2.6.

2.4 Boundary layer mixing

The original HS Rayleigh damping of low-level winds acts as the boundary layer mixing scheme for the horizontal velocity fields. The horizontal velocity vector, $\mathbf{v} = (u, v)^T$, with the zonal and meridional wind components u and v , respectively, is damped by the HS formulation

$$\frac{\partial \mathbf{v}}{\partial t} = -k_f \max \left(0, \frac{\sigma - \sigma_b}{1 - \sigma_b} \right) \mathbf{v} \quad (14)$$

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where $k_f = 1 \text{ day}^{-1}$, $\sigma_b = 0.7$, and $\sigma = p/p_s$ is the vertical sigma-coordinate where the pressures p and p_s have the same units. This Rayleigh damping affects the boundary layer below approximately 700 hPa. The velocity damping is strong at the lowest model level where it acts as surface friction as mentioned in Sect. 2.3. The HS Rayleigh friction is used instead of the boundary layer mixing of momentum described in RJ12 (their Eqs. 15, 16, 40, 41, and 46).

In practice, all of our example CAM5 dynamical cores utilize hybrid pressure-based vertical coordinates with $p(\eta, p_s) = a(\eta)p_{00} + b(\eta)p_s$ where η represents each vertical level (Simmons and Burridge, 1981). η is approximately equivalent to σ in the lower troposphere because the $a(\eta)$ coefficients are typically zero or small below 700 hPa (e.g. see Table B1 in RJ12 for the values of the CAM5 a and b hybrid coefficients at level interfaces). Therefore, we use η instead of σ in our implementation of Eq. (14). The findings in our paper do not depend on this choice because the corresponding climate statistics are indistinguishable.

The HS boundary layer momentum forcing, Eq. (14), provides sufficient damping for the u and v fields, but does not affect the temperature and specific humidity. RJ12 suggest a simple planetary boundary layer (PBL) turbulence parameterization in which the vertical turbulent flux of potential temperature, $\overline{w'\Theta'}$, and vertical turbulent flux of specific humidity, $\overline{w'q'}$, are

$$\overline{w'\Theta'} = -K_E \frac{\partial \Theta}{\partial z} \quad (15)$$

$$\overline{w'q'} = -K_E \frac{\partial q}{\partial z}, \quad (16)$$

where Θ is the potential temperature, w is the vertical velocity, and z is the height. The overbar indicates a time average and the prime denotes the deviation from the time average.

The eddy diffusivity coefficient, K_E , used in Eqs. (15) and (16) is set to

$$K_E = \begin{cases} C_E |\mathbf{v}_a| z_a & \text{for } p > p_{\text{top}}, \\ C_E |\mathbf{v}_a| z_a \exp\left(-\left[\frac{p_{\text{top}} - p}{p_{\text{strato}}}\right]^2\right) & \text{for } p \leq p_{\text{top}}, \end{cases} \quad (17)$$

where $p_{\text{top}} = 850$ hPa is the top of the boundary layer and $p_{\text{strato}} = 100$ hPa impacts the rate of decrease of the boundary layer mixing with height. RJ12 explains in detail how the PBL physics tendencies for temperature and specific humidity are applied (see their Eqs. 18, 38, 39 and their Appendix D, especially the semi-implicit update Eqs. D28, D29 and D31). These details are also included in the supplementary Fortran routine.

2.5 Radiation

Idealized radiation is based on the HS Newtonian temperature relaxation to a prescribed radiative equilibrium temperature, which is a function of latitude and pressure. The prescribed equilibrium temperature profile has been slightly modified from the original HS profile in order to facilitate a model solution that is similar to the zonally and temporally averaged climatologies of aquaplanet simulations. The original HS equilibrium temperature leads to a climatology that is too warm and energetic in comparison to aquaplanet simulations and observations. The modified profile for the equilibrium temperature, T_{eq} , is

$$T_{\text{eq}}(\phi, p) = \max \left\{ 200 \text{ K}, \left[T_{\text{equator}} - (\Delta T)_y \sin^2 \phi - (\Delta \theta)_z \log \left(\frac{p}{p_0} \right) \cos^2 \phi \right] \left(\frac{p}{p_0} \right)^\kappa \right\}, \quad (18)$$

where $(\Delta T)_y = 65$ K, $(\Delta \theta)_z = 10$ K, $p_0 = 1000$ hPa is a reference pressure, p is the moist pressure in hPa, and $\kappa = R_q/c_p$. The two differences in comparison to the original HS T_{eq} profile are the use of $T_{\text{equator}} = 294$ K in Eq. (18) instead of the original HS

setup. All physical forcings are implemented in a sequential (a.k.a. time-split) way. This means that each process uses updated state variables before the next physical forcing is computed. Therefore, the order of the physics processes matters and should not be modified.

5 The actual coupling between the dynamical core and the physics package is model-dependent (Williamson, 2002) and defaults should be used. For example, the dynamical cores SE and FV are designed with the time-split physics-dynamics coupling strategy, which means that the dynamical core has already updated the prognostic variables before the physical package is entered. In contrast, EUL and SLD employ a parallel
10 (a.k.a. process-split) coupling strategy. These two dynamical cores use the same state variables for both the computation of the dynamical and physical forcing tendencies, and apply these tendencies together at the end of the time step to update the prognostic variables.

15 The simplified physics package presented here assumes that the sequence of physical processes does not change the mass of the moist air, e.g. the moist air pressure is unchanged while going through the physics sequence. This is also assumed in the complex CAM5 physics package, and is a standard in other GCMs. However, once moisture is added or removed via the physical parameterizations the moist air pressure or the moist air density needs to change in models that use these in their dynamical core formulations. It is paramount to implement this pressure or density adjustment while conserving the dry air mass at the very end of each physics time step. An example implementation for CAM5-FV is shown in Neale et al. (2010) (their Sect. 3.1.8). However, the implementation algorithm is model-dependent and might also be represented by a global “mass fixing” algorithm in some models, such as EUL and SLD. In addition,
20 the geopotential needs to be recomputed in hydrostatic models with pressure-based vertical coordinates after the temperature and pressure adjustments from the physical parameterizations. This is typically done within the dynamical core via the integration of the hydrostatic equation and should be checked.

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In the four CAM5 example dynamical cores discussed below, the physical tendencies and the correction of the moist air pressure are applied as a sudden adjustment at every physics time step. This is an obvious choice for models with identical dynamics and physics time steps such as the CAM5 dynamical cores EUL and SLD. It is also the default CAM5-FV, which sub-cycles the dynamical core multiple times before the physical forcings abruptly adjust the prognostic variables. However, the sub-cycled CAM5-SE dynamical core provides two coupling options that are both explored in this paper. Besides the sudden adjustment of the state variables after each long physics time step (denoted as `se_ftype = 1` later), the alternative option adds a fraction of the physics forcing at each sub-cycled, and thereby short, dynamics time step (denoted as `se_ftype = 0` in Sect. 5.1). The latter leads to a gentler adjustment of the prognostic variables.

3 Brief description of the four CAM5 dynamical cores

We illustrate the characteristics of the moist idealized test case via four example dynamical cores. As mentioned before, these are the Spectral Element (SE), Finite-Volume (FV), Eulerian (EUL) spectral transform, and semi-Lagrangian (SLD) spectral transform dynamical cores of CAM5, which is the atmosphere component of the Community Earth System Model (CESM). All dynamical cores use 30 pressure-based vertical levels with the model top at about 2 hPa (see Appendix B in RJ12 for the exact level placement). In addition, all CAM5 dynamical cores are built upon the hydrostatic “primitive equation” set. Detailed descriptions of all four CAM5 dynamical cores can be found in Neale et al. (2010). Here we only present a brief description of them.

The SE dynamical core (Dennis et al., 2012) is the most recent dynamical core available in CAM and is considered the new default in future versions of CAM. SE is based upon on a cubed-sphere grid with a co-located Arakawa A-grid staggering of all prognostic variables. The cubed-sphere grid eliminates the “pole problem” caused by the converging meridians in latitude-longitude grids and increases scalability on massively

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parallel computer systems. The horizontal discretization uses a continuous Galerkin spectral finite element method, or spectral element method, and is fourth-order accurate in the horizontal direction (Taylor et al., 1997; Taylor and Fournier, 2010). The vertical discretization employs a floating Lagrangian coordinate in which the prognostic variables are periodically remapped to a vertical reference grid. Tracers are transported via the same spectral-element scheme. The time discretization uses an explicit Runge–Kutta time stepping method. The dynamical core is sub-cycled multiple times before the physical parameterizations are invoked. In our SE version (summer of 2013), the default physics-dynamics coupling was set to `se_ftype = 1`, which uses a sudden adjustment of the state variables after each long physics time step.

The FV dynamical core is the default dynamical core for CAM versions 4 and 5. FV is built on a regular latitude-longitude grid with Arakawa D-grid staggering. The horizontal discretization is based on a mass-conserving finite-volume transport scheme with semi-Lagrangian provisions for long time steps (Lin and Rood, 1996, 1997; Lin, 2004). As in SE, the vertical discretization is built upon a floating Lagrangian coordinate with periodic vertical remapping to a reference grid. The finite-volume tracer transport in FV is inherently conservative and less diffusive than transport in EUL and SLD (Rasch et al., 2006). FV employs limiters that introduce implicit numerical diffusion. In addition, a polar Fourier filter is applied in the zonal direction poleward of about 40° N/S. FV's time stepping method is fully explicit, and the dynamics are sub-cycled within each 2-D Lagrangian layer to guarantee the stability of the fastest waves.

The EUL spectral transform dynamical core was the default dynamical core in earlier versions of CAM and is currently available as an option within CAM5. EUL is formulated in vorticity-divergence form on an Arakawa A-grid. It uses a three-time-level spectral transform method on a quadratic Gaussian grid with a semi-implicit, leapfrog time integration scheme. The leapfrog scheme is stabilized via the Robert–Asselin filter with filter coefficient $\alpha = 0.06$ (e.g. Jablonowski and Williamson, 2011). The vertical discretization utilizes a finite-difference method. EUL's tracer advection algorithm is built upon a semi-Lagrangian scheme (Neale et al., 2010).

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The SLD dynamical core is an optional dynamical core in CAM5 and uses a two-time-level, semi-implicit, semi-Lagrangian spectral transform method as described by Williamson and Olson (1994). SLD utilizes a quadratic Gaussian transform grid with Arakawa A-grid staggering and a semi-Lagrangian advection algorithm for momentum, mass, and tracers with cubic interpolations. These interpolations act as numerical dissipation. In addition, SLD employs a decentering technique with the default coefficient $\epsilon = 0.2$ that damps the flow field and suppresses orographic resonance in real-world applications (e.g., see Jablonowski and Williamson, 2011).

Resolutions for all four dynamical cores are approximately the same, although slight differences exist due to the underlying grids. SE uses a cubed-sphere grid with resolution ne30np4 ($\sim 1^\circ$), denoting 30×30 elements across each cubed-sphere face where each element has third degree polynomials (np = 4 collocation points) for fourth-order accuracy. FV uses a fixed latitude-longitude grid with a resolution of $1^\circ \times 1^\circ$. SE and FV have an approximate 110 km grid spacing at the equator. The spectral transform models EUL and SLD apply a T85 triangular truncation and utilize a 256×128 quadratic Gaussian grid ($\sim 1.5^\circ$). This corresponds to a grid spacing of about 156 km at the equator. Williamson (2008b) demonstrated that these resolutions for FV and EUL are equivalent in the context of an aquaplanet simulation despite the slightly wider grid spacings in the spectral transform models. Table 1 lists the resolutions as well as the default physics and dynamics time steps for all four dynamical cores.

The CAM5 dynamical cores are paired with their default diffusion mechanisms and respective coefficients, which are also listed in Table 1¹. SE utilizes an explicitly-added fourth-order horizontal hyper-diffusion similar to EUL, as described by Dennis et al.

¹For reproducibility at the $\approx 1^\circ$ resolutions, the CAM5-SE time step settings in the input Fortran namelist were `tstep_type = 1` (5-stage Runge–Kutta time-stepping variant), `se_nsplrit = 2`, and `rsplit = 3`, leading to a 15 min remapping time interval and 5 min dynamics and tracer time step. The Fortran namelist input setting for FV's dynamics sub-cycling method was `nsplrit = 10` which sets the dynamics time step to the physics time step divided by `nsplrit` ($\Delta t_{\text{dyn}} = 3$ min here). The FV vertical remapping time step is equal to the physics time step.

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tion. In another simulation, CAM5's Zhang-McFarlane deep convection parameterization (Zhang and McFarlane, 1995) has been turned off, leaving only large-scale precipitation as implemented in CAM5's microphysics scheme and the precipitation from CAM5's shallow convection parameterization (Park and Bretherton, 2009). The latter setup provides a more direct comparison to the MITC approach, which only includes resolved-scale (large-scale) precipitation. Throughout this paper the term "aquaplanet simulation", or APS, will refer to the standard aquaplanet simulation with deep convection and the aquaplanet simulation with no deep convection, or APS (NDC), will be denoted as such.

The comparison presented here demonstrates that the moist idealized physics package can create a reasonable general circulation of the moist atmosphere without the complexity of the CAM5 physics suite. Aquaplanet simulations are a more suitable comparison than observations because the new test case does not have topography or seasons, two features that greatly affect observational data. All SE simulations have the same resolution, physics and dynamics time steps, and diffusion coefficients as listed in Table 1. Unless noted otherwise, all analyses represent 2.5 year time means. However, slight latitudinal asymmetries in the means are still possible with this sample size.

4.1 Dynamical fields and eddy components

Figure 1a–c highlight the close resemblance between the time-mean zonal-mean temperature profiles in both the MITC and aquaplanet simulations. This is also exemplified by the similar positions of the tropopause levels (red lines), as calculated via the World Meteorological Organization (WMO) static stability criterion (WMO, 1992). The temperature cross sections are somewhat different in the lower stratosphere above 100 hPa, where the aquaplanet temperatures increase more with height. This is expected because the two aquaplanet configurations capture the radiative heating in the lower stratosphere. The MITC temperature profile, on the other hand, is governed by the relaxation toward the equilibrium temperature profile, which is isothermal at 200 K in the

upper troposphere and lower stratosphere. In the following discussions we do not focus on these systematic stratospheric differences, which also appear in other diagnostics but are unimportant for the analysis here.

An interesting temperature difference between the MITC and aquaplanet simulations is the vertical extent of the warm tropical temperature dome (e.g. see the 280 K contour) in the lower atmosphere, which extends further up in the MITC. This is caused by different condensational heating characteristics. More specifically, the condensational heating in the MITC due to large-scale precipitation maximizes around 800 hPa near the equator, which is also displayed later in Sect. 4.2 (Fig. 3a). In contrast, the convection parameterizations in the complex-physics aquaplanet simulations shift the equatorial condensation peaks upward to a position near 525 hPa in APS (Fig. 3b). This leads to the slightly warmer temperatures in the lower-to-mid tropical MITC atmosphere. However, the time-mean global-mean temperatures are very comparable. They are 246.34 K in the SE MITC simulation and 246.93 K in SE APS. These global-mean temperatures also closely resemble the other three CAM5 dynamical cores that all lie in the range from 246.3–246.6 K for MITC and 246.6–247.2 K for APS.

The latitude-pressure cross sections of the time-mean zonal-mean zonal wind are shown in Fig. 1d–f. The zonal wind patterns are quite similar and feature westerly jets centered around 30° N/S with maximum wind speeds around 55–60 m s⁻¹ at ~ 200 hPa in the aquaplanet setups and around 65 m s⁻¹ at ~ 150 hPa in MITC. The slightly higher location and higher jet speed in MITC is closely related to the temperature differences in the upper troposphere and lower stratosphere that are linked to the zonal wind via the thermal wind relationship. All model configurations develop easterly flows in the tropics and at high latitudes in the lower atmosphere. In addition, there is an indication that MITC and APS (NDC) (Fig. 1d and e) compare more favorably as hypothesized earlier. This is at least true in the tropics where the absence of the deep convection parameterization in APS (NDC) impacts the zonal wind distribution most significantly.

Figure 1g–i shows the latitude-pressure cross sections of the time-mean zonal-mean meridional eddy heat flux $[\overline{v'T'}]$ where the primes indicate the variations from

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the time-means, and the bracket and overbar symbolize the zonal and time averages, respectively. The magnitudes and the overall shapes of all three profiles compare very well. There are large areas of poleward eddy heat transport in the midlatitudes below 400 hPa, and additional midlatitudinal poleward heat transport cells above 250 hPa. All cross sections show that the poleward heat transport maximizes around 40° N/S. The main difference between the MITC and the aquaplanet simulations is the vertical extent of the eddy heat transport. The MITC eddy heat transport is strongest near 850 hPa, whereas the aquaplanet peaks are located higher up near 700 hPa. This causes the aquaplanet heat transports to be more prevalent in the region between 700–400 hPa where the MITC heat transport diminishes more strongly in the upward direction.

Finally, the eddy kinetic energy profiles $\overline{0.5(u'u' + v'v')}$ are shown in Fig. 1j–l. As before, the shapes and strengths of the eddy kinetic energy patterns are very similar. The MITC simulation shows the upper-tropospheric midlatitudinal peaks at a slightly higher location, which is connected to the upward shifted zonal jet maxima seen in Fig. 1d.

As an aside, the MITC and aquaplanet eddy heat fluxes and kinetic energy values match the typical values from dry HS experiments quite well with extrema around $\pm 20 \text{ K m s}^{-1}$ and $400 \text{ m}^2 \text{ s}^{-2}$, respectively, as shown in Wan et al. (2008). However, the presence of moisture has a profound impact on the location of the eddy kinetic energy peaks, which are shifted equatorwards by about 10° in the moist simulations. Related differences are also apparent in the temperature and zonal wind distributions. The centers of the midlatitudinal zonal jets near the tropopause shift equatorward from about 45 to 30° N/S and the magnitudes of the zonal jets double from around 30 m s^{-1} to about 60 m s^{-1} in the moist simulations. These higher jet speeds are a result of the increased meridional temperature gradients throughout the troposphere (above the boundary layer) in the moist simulations. These enhanced meridional gradients are caused by the tropical heating and the mid-to-high-latitude cooling tendencies from the physical parameterizations, which are displayed in Fig. 3a and b. This necessitates higher vertical zonal-wind shears in accordance with the thermal wind relationship.

4.2 Vertical velocity and moisture distributions

Moist processes are an important aspect of any GCM. In the aquaplanet simulations, the complex physics parameterizations handle shallow and deep convection, cloud microphysics, cloud macrophysics, cloud-aerosol interactions, boundary layer mixing, and surface fluxes. These processes are highly simplified or largely missing in the MITC simulation. However, the moist circulation patterns are comparable. In particular, we demonstrate that MITC compares particularly well to APS (NDC).

The time-mean zonal-mean CAM5-SE vertical pressure velocities, ω , in the tropics are shown for (left) MITC, (middle) APS (NDC), and (right) APS in Fig. 2a–c. The overall shapes of the vertical pressure velocities are comparable. All simulations show a narrow updraft area, the upward branch of the Hadley circulation, close to the equator and sinking motion poleward of about 7° N/S. However, Fig. 2a and b displays that the absence of the deep convection parameterization in MITC and APS (NDC) enhances the updraft speeds, narrows the updraft areas, and anchors the peaks in the lower atmosphere near 800 hPa. This is consistent with the notion that the vertical transport of the moist air into the upper troposphere is less effective without deep convection. Therefore, saturation is predominantly reached at lower levels and mainly removed by resolved-scale precipitation, which releases latent heat in the lower atmosphere and enforces the low-lying updrafts.

Furthermore, ω shows two equatorial updraft peaks in the APS simulation, one in the lower atmosphere near 800 hPa and one in the upper atmosphere near 400 hPa. This suggests that the precipitation from the deep convection scheme provides enhanced heating at upper levels, which is also confirmed by the APS physics temperature forcing shown in Fig. 3b. The deep convection scheme thereby enhances the updrafts aloft and widens the updraft area in the tropics. Polewards of the tropical area the general circulation continues with a Ferrell and polar cell over the mid- and high latitudes, which leads to almost identical updrafts at around 60° N/S and sinking motion over the polar regions (not shown).

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(Fig. 2d), which is also apparent in the enhanced APS relative humidity values at the equator in Fig. 2i. We also note that the moist physics parameterizations in the aquaplanet simulations allow for re-evaporation of precipitation as it falls, which enhances the specific humidity contents below precipitating clouds. Re-evaporation is not included in the MITC physics package. However, we tested a version with re-evaporation and the resulting moisture distribution is almost identical to the results shown here. Therefore, we do not pursue the re-evaporation variant of the MITC design any further.

The time-mean zonal-mean relative humidity distributions are shown in Fig. 2g–i, combining the information about the specific humidity and the general circulation of the atmosphere. Overall, all relative humidity patterns and magnitudes are similar. Some differences are visible in the polar regions in the mid-troposphere where the aquaplanet simulations exhibit higher relative humidity values. In addition, the MITC distribution shows somewhat larger dry cells in the subtropical downward branch of the Hadley circulation (around 20° N/S) where precipitation is at a minimum as later shown in Fig. 4. The APS (NDC) and MITC configurations are less efficient at bringing moisture into the upper troposphere near the equator, and tend to have higher relative humidity values throughout the lower troposphere in comparison to APS. In all three simulations, the relative humidity shows dry areas above the tropopause.

The characteristics of the time-mean zonal-mean total temperature tendencies and total specific humidity tendencies (Fig. 3a–d) have largely been mentioned in the discussions above. Here, we briefly highlight the close resemblance between the net physical forcing patterns and their magnitudes in the MITC and APS simulations. This cannot be taken for granted and is a result of the MITC parameter tuning. Here, we do not display the results for the APS (NDC) configuration because they closely resemble APS. The main difference between APS and APS (NDC) is the position of the condensational heating maximum in Fig. 3b which moves downward in APS (NDC).

4.3 Precipitation rates

Figure 4 displays the CAM5-SE time-mean zonal-mean and hemispherically-averaged precipitation rates for MITC in comparison to the (a) APS (NDC) and (b) APS simulations. The aquaplanet total precipitation rates (PRECT) are divided into large-scale precipitation (PRECL) and convective precipitation (PRECC). This distinction is important because MITC does not have a convection parameterization. Therefore, all of its total precipitation occurs at the grid scale (PRECT=PRECL). The overall distributions of the precipitation rates match qualitatively. The maximum precipitation rates are recorded at the equator, the minima are located around 15–20° N/S, secondary midlatitudinal maxima are found between 35–40° N/S and the precipitation diminishes at higher latitudes. All CAM5-SE simulations exhibit a single precipitation peak in the tropics. MITC has less total equatorial precipitation than APS (NDC) (Fig. 4a), although the total moist idealized precipitation rate (in black) is nearly identical to the large-scale component of APS (NDC) denoted in blue. The additional precipitation (PRECC, in green) in APS (NDC) comes from the shallow convection scheme. Once deep convection is included (see Fig. 4b), the convective component of the equatorial precipitation PRECC increases and the large-scale component PRECL greatly decreases, while the equatorial peak widens to include a slightly larger range of latitudes. However, the total precipitation rate in APS is comparable to the precipitation rate in MITC, and their equatorial peaks overlay each other.

The precipitation rates in Fig. 4 are consistent with Table 2 that lists the global-mean time-mean precipitation rates and the time-mean zonal-mean peak precipitation rates at the equator. Note that the time-mean globally-averaged total APS precipitation rate in Table 2 is much larger (3.21 mm day^{-1}) than the values for MITC because it includes the convective precipitation. The globally averaged large-scale precipitation rate for APS (NDC), 2.33 mm day^{-1} , is quite comparable to MITC, 2.11 mm day^{-1} . As mentioned above, the equatorial peak rates in MITC are also quite comparable to the the large-scale PRECL rate in APS (NDC). Overall, MITC has less global-mean precipitation

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waves (ER) where n denotes the mode number. MITC features Kelvin waves for equivalent depth of over $h = 50$ m with periods ranging mainly from 3 to 30 days. APS also contains Kelvin waves, although they have an equivalent depth of about $h = 50$ m and are strongest at wavenumber 1 with a period of 20–30 days. The APS (NDC) contains similar, slightly more intense than APS, Kelvin waves, with dominant periods between 3 and 30 days.

As the convection parameterization simplifies, from shallow and deep convection in APS to no parameterizations in MITC, the strengths and the phase speeds of the Kelvin waves tend to increase. The increased phase speeds are equivalent to larger equivalent depths according to the dispersion relation quoted above. The Kelvin waves in MITC seem to be more prevalent at higher frequencies (shorter wave periods). These changes are linked to the varying complexity of the precipitation processes that act as the wave generator. In general, the precipitating systems in the tropics are more organized in APS whereas the precipitation regimes are “spottier” in APS (NDC) and MITC with more grid-point-like storms. The latter is an expected characteristic of models without a deep convection parameterization. This characteristic is also visible in Hovmöller diagrams of instantaneous tropical precipitation rates (not shown) and indirectly in the 850 hPa vertical pressure velocities in Fig. 8, which are discussed in the next section.

Both MITC and APS (NDC) also feature Kelvin waves with very high frequencies, greater than 0.5 cycles per day (2 day waves), and very large equivalent depth ($h \approx 200$ m). This 200 m equivalent depth is the theoretically determined depth associated with the peak projection response to deep convective heating, as described by Wheeler and Kiladis (1999). These “nonconvectively-coupled” waves have a half-wavelength of about 14 km, therefore extending over the depth of the tropical troposphere. These waves are very common in dynamical fields, but are typically missing in Wheeler–Kiladis diagrams based on OLR data. For comparison, some examples of the CAM-SE (also called “HOMME”) aquaplanet wavenumber-frequency spectra based on OLR data are presented in Mishra et al. (2011a) who highlighted the convectively-coupled waves with typical equivalent depths between 12–50 m.

5 MITC example applications

The analysis in Sect. 4 demonstrates that the overall climatic states in MITC and the aquaplanet simulations are comparable. Despite its simplicity, the moist idealized simulation generates convectively coupled equatorial waves and reasonable precipitation distributions. Furthermore, the characteristics of the general circulation and the precipitation-related processes in the moist idealized test case makes convincing arguments that the circulation is an adequate candidate for applications, such as the analysis of the physics-dynamics coupling or a dynamical core intercomparison. Snapshots of these example applications are presented in the next two subsections.

5.1 An analysis of the physics-dynamics coupling in CAM5-SE

We now demonstrate the strength of the MITC approach in revealing the intricacies of the physics-dynamics coupling strategy in CAM5-SE. This aspect cannot be investigated in dry dynamical core tests, and physics-dynamics coupling questions have recently received renewed interest as documented by Gross et al. (2015). Figure 8 shows instantaneous, randomly-selected latitude-longitude snapshots of the 850 hPa vertical pressure velocity from (a) dry HS, (b) MITC, (c) APS (NDC), and (d) APS simulations. These snapshots were taken after the simulations had fully spun up. The dry HS snapshot of SE in Fig. 8a exhibits the typical structure of updrafts along the equator, which mimic the Intertropical Convergence Zone (ITCZ). In addition, the updrafts and downdrafts in the midlatitudes are aligned with the frontal zones of the baroclinic systems. The contours in the dry HS simulation are very smooth with occasional spectral ringing signatures at higher latitudes. However, in the moist MITC simulation with SE (Fig. 8b) distinct, large-scale ringing patterns are visible. They originate from grid-point-scale areas of precipitation along the equator as shown in Fig. 9c and d. This gravity wave ringing is also visible in APS (NDC) in Fig. 8c and to a lesser degree in the APS experiment with SE (Fig. 8d) where the circular patterns transform into many broken

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and noisy contours. However, some broken gravity wave circles near the equator are still visible around 50° E in APS.

This suggests that the deep convection parameterization reduces the occurrences of the grid-scale precipitation events and thereby the large-scale gravity wave generation in APS. This is a desired and expected outcome because the deep convection parameterization removes moisture before the grid box reaches saturation and thereby prevents large amounts of condensed water from being removed in a single time step. However, the CAM5 deep convection parameterization cannot eliminate the overall very noisy and undesirable flow characteristics. We conclude that small areas with extreme precipitation enhance the gravity wave activity in CAM5-SE, but that they are not the sole cause of the noise. The noise must be linked to a different mechanism, and the MITC approach now serves as an idealized test bed to distinguish between causes and effects. Because the phenomenon has been isolated in MITC, only very few mechanisms and model variants need to be explored instead of the many choices in APS.

As mentioned above, Fig. 9c and d reveals that the centers of the gravity wave ringing patterns in MITC are co-located with intense grid-point storms, which are located along the equator near 60° E and 90° W in this random snapshot. In Fig. 8a it was also revealed that the ringing is absent if the dynamical core is coupled to the dry Held–Suarez physics. This strongly suggests that the source of the noise does not lie within the dynamical core, but either comes from the moist physics processes or originates at the coupling interface between the dynamical core and the physics. The latter aspect is indeed the source of the problem. As mentioned in Sects. 2.6 and 3, our version of CAM5-SE utilized the `se_fctype = 1` coupling strategy and therefore the state variables are suddenly adjusted at the end of the relatively long physics time step (30 min) before the dynamical core is called again. This also incorporates the sudden adjustment of the pressure field in the case that moisture was removed or added in a grid column by the physical parameterizations.

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tions in NCAR’s CESM modeling framework. Each dynamical core uses the identical implementation of the MITC physics package. In addition, selected snapshots, such as those comparing the kinetic energy spectra or precipitation distributions in MITC and APS, use the same CAM5 complex physics package with identical tuning coefficients for APS. Therefore, any differences in the results are due to the different numerical designs of the dynamical cores and their physics-dynamics coupling interfaces. This reveals the impact of the dynamical cores on the general circulation and is a contribution to the very few systematic intercomparisons of moist dynamical cores.

Besides the tropical cyclones studies by RJ12 and Reed et al. (2015), the only systematic long-term “climate” assessments were presented by Williamson (2008b). He conducted an aquaplanet comparison of FV and EUL with the predecessor CAM3 physics package and also investigated the impact of increasing horizontal resolutions on the climate statistics. Other intercomparisons, such as the international Aquaplanet Experiment (Williamson et al., 2012; Blackburn et al., 2013), the Atmospheric Model Intercomparison Project (AMIP, see Gates et al., 1999) or the Intergovernmental Panel for Climate Change (IPCC) assessments, are conducted with different dynamical cores and different physics packages, making it impossible to distinguish between causes and effects.

As described in Sect. 3, the CAM5 dynamical cores use different numerical methods and grid designs, and therefore represent a variety of choices commonly used in GCMs. No special dynamical core tuning is applied and each dynamical core uses its default settings, such as the time step length and diffusion coefficients for the approximate 1° resolutions (see Table 1). For brevity, we only present selected snapshots of the MITC intercomparison, including the assessment of numerical noise, the comparison of the diffusive properties via the kinetic energy spectra, as well as rainfall and tropical wave assessments.

5.2.1 An assessment of numerical noise

In order to connect our discussion to Sect. 5.1, we first shed light on the presence of gravity wave noise in the other three dynamical cores when coupled to the MITC physics package. Instantaneous, randomly selected snapshots of their 850 hPa vertical pressure velocities are shown in Fig. 10, which can readily be compared to the corresponding SE 850 hPa vertical pressure velocities in Fig. 8b and Fig. 9a and c. Again, all models were fully spun up before the snapshots were taken, and the snapshots are representative of the whole simulation.

The snapshots show that the circular gravity wave signatures of the SE dynamical core are not present in the other three dynamical cores. This is despite the fact that FV, EUL, and SLD are also implemented with the sudden physics adjustment strategy at the end of the physics time step, and that they occasionally exhibit grid-point-size storms. The physics time steps (30 min) are identical in SE, FV, and SLD while a shorter physics time step (10 min) is required in EUL for numerical stability reasons.

Despite the short EUL physics time step, which should have helped lessen the impact of the sudden physical adjustments as seen in SE (Fig. 9), the EUL simulation in Fig. 10b is the noisiest of the three. The spectral ringing, the so-called Gibbs phenomenon, of the spectral transform method breaks up the structured systems and creates many checkerboard-like updraft and downdraft patterns. The Gibbs phenomenon is caused by the need to represent fields with discontinuities or sharp gradients by smooth global basis functions. Such noise in the vertical velocity field can cause spurious noisy rainfall, which is sometimes called “spectral rain”. Increasing the strength of the explicitly-added diffusion lessens the Gibbs ringing in EUL, as demonstrated by Jablonowski and Williamson (2011) in dry simulations, but might generally be undesirable and can degrade other climate diagnostics. The magnitudes of the updrafts and downdrafts in EUL are the most extreme in comparison to the other three dynamical cores, and the updraft maxima (around -2.8 Pa s^{-1}) exceed the chosen color scale. These updraft peaks are fueled by intense grid-scale-size precipitation events

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(not shown here), which have the strongest effect on EUL's vertical pressure velocities in the midlatitudes.

The vertical pressure velocity patterns in the SLD simulation (Fig. 10c) are also very noisy, but their magnitudes are less intense. SLD, like EUL, is based on the spectral transform method and is impacted by the same Gibbs phenomenon. The SLD simulation is somewhat more diffusive than EUL (see also Fig. 11 discussed next) due to the built-in semi-Lagrangian interpolations in the numerical scheme and the Gibbs ringing is more damped. In contrast, the FV simulation (Fig. 10a) shows rather smooth contours in the tropics and midlatitudes, highlighting the presence of the ITCZ and baroclinic systems. However, at high latitudes the contours are less organized and broken. This may be caused by the converging meridians of the latitude-longitude grid near the poles and the polar filtering needed for numerical stability. The MITC approach thereby allows a systematic assessment of this aspect and the polar filter strength (not discussed here).

5.2.2 Kinetic energy spectra

The kinetic energy (KE) spectra provide insight into how atmospheric motions are distributed across spatial scales, and are used to assess the quality of the discretizations and their diffusive properties. Theory and observations suggest that the spectrum should have a slope of k^{-3} where k symbolizes the spherical wavenumber (Nastrom and Gage, 1985). Such a slope corresponds to the downscale cascade of enstrophy, while at small wavelengths (less than approximately 400 km) the slope transitions to $k^{-5/3}$, corresponding to the downscale cascade of energy (Skamarock, 2011). The $k^{-5/3}$ regime cannot be presented in 1° simulations, but is expected at higher horizontal resolutions (0.25° and finer) as shown by Jablonowski and Williamson (2011) (their Sect. 13.3.8) and Evans et al. (2013). In general, the downward curve in the kinetic energy at high wavenumbers near the truncation limit results as kinetic energy is removed via explicitly-added and numerically-implicit diffusion mechanisms.

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an aside, once precipitation is enhanced in SLD, the latent heating from precipitation is increased and further supports strong updrafts and lower-level convergence. This is a positive feedback loop that was also discussed for self-enforcing grid-point storms by Williamson (2013).

Figures 12b and 13 also confirm the earlier MITC finding that the two spectral transform models, SLD and EUL, exhibit a narrower ITCZ profile than the grid-point-based dynamical cores, FV and SE. This is displayed by the narrower convergence regions and the narrower low-level updraft patterns in SLD and EUL. Similarly, the SLD and EUL models exhibit enhanced low-level divergence in the off-equator regions between $\pm 10^\circ$ to $\pm 4^\circ$ (Fig. 12b). This causes the stronger low-level downdrafts between $\pm 12^\circ$ to $\pm 5^\circ$ in SLD and EUL, which reside in the descending branch of the Hadley circulation (Fig. 13c and d). In summary, Figs. 12 and 13 demonstrate that the Hadley circulation in MITC simulations is more vigorous in SLD and EUL with narrower and more intense updraft zones at the equator.

This raises the question whether the numerical designs of the dynamical cores contribute to the differing strengths of the Hadley circulations. The spectral transform method represents a global numerical discretization where the flow at each point depends on all other grid points. The grid-point-based models are built upon local numerical discretizations that only rely on nearest-neighbor information. However, the question whether these numerical design differences systematically impact the Hadley circulation cannot be determined from these four model examples and will require additional model intercomparisons. It is interesting though that the MITC precipitation and Hadley circulation characteristics are not fully replicated by their CAM5 aquaplanet counterparts (not shown in detail, but see Table 3). Once more complex moist interactions are included in the APS experiments the maximum precipitation and updraft strength are exhibited by FV with a mean equatorial peak precipitation rate (PRECT) of around 20 mm day^{-1} as listed in Table 3.

In addition, the APS PRECT distributions in EUL, SLD, and SE (shown earlier in Fig. 4b) almost overlay each other with slightly different mean equatorial peaks be-

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SLD, respectively, which is a result of the deep convection parameterization in the APS simulations. The SE distribution is closely tracked by the FV dynamical core with peak precipitation events around 380 mm day^{-1} . EUL's peak precipitation rates in the APS configuration reaches about 320 mm day^{-1} . While the APS and MITC frequency distributions are not a perfect match, as expected, they do reveal the general characteristics of the physics-dynamics coupling strategy and the likelihood of grid-scale-size storms.

5.2.4 Equatorial waves

The final analyses focus on the tropical wave activity in the four MITC simulations, which can also be compared to the CAM5-SE discussions in Sect. 4.4. The convectively coupled equatorial waves are again analyzed via the Wheeler and Kiladis (1999) wavenumber-frequency spectra. As before, the analysis is based on six months of 6 hourly instantaneous 100 hPa temperature data between 15° S and 15° N , using consecutive 96 day windows with 60 days of overlap.

Figure 16 shows the symmetric wavenumber-frequency spectra of all four dynamical cores in the MITC configuration. The solid lines are dispersion curves that indicate lines of constant equivalent depth with $h = 12, 25, \text{ and } 50 \text{ m}$. Furthermore, the thick dashed line denotes the $h = 200 \text{ m}$ dispersion curve. All dynamical cores have prominent, eastward propagating Kelvin waves that have, in general, similar characteristics. SE and FV (Fig. 16a and b) both feature Kelvin waves with equivalent depths of slightly larger than 50 m at a wide range of frequencies. EUL and SLD (Fig. 16c and d) both feature Kelvin waves with an equivalent depth below 50 m and predominantly shorter frequencies (longer periods) than SE and FV. It seems as if the overall Kelvin wave activity in SLD is least abundant. This could be related to the reduced occurrences of intense precipitation rates as displayed in Fig. 14b.

Convectively uncoupled Kelvin waves with equivalent depths of around 200 m are also present in all four dynamical cores as further described in Sect. 4.4. These high-speed Kelvin waves seem to be most abundant in the EUL simulation and occupy

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level heating in the tropical atmosphere (not shown), we found the simulations to be rather sensitive to the subjective choice of the Betts–Miller relative humidity threshold and relaxation time scale. These two convection parameters needed to vary considerably in the CAM5 dynamical cores for comparable results. This is consistent with the findings in Frierson (2007a) who demonstrated that the variation of the two parameters greatly impacted the Kelvin wave activity in his simplified-physics framework. It highlights the complex nonlinear interactions between the dynamical core and the moist processes.

6.2 Use of a Kessler-type warm-rain scheme

The large-scale condensation in MITC can also be replaced with a more complex warm-rain Kessler-type physical parameterization that was e.g. detailed in Klemp and Wilhelmson (1978). The Kessler-type parameterization is an example of a cloud micro-physics scheme. It adds cloud water and rain water to the list of prognostic variables, and incorporates new processes such as the autoconversion and accretion of cloud water to rain. A Kessler-type example Fortran routine has recently been provided by Klemp et al. (2015). Note though that this implementation implicitly assumes very short physics time steps (on the order of seconds) in order to obey a numerical stability constraint in the vertical direction. More specifically, the rain drop fall speed of order $5\text{--}6\text{ ms}^{-1}$ is only allowed to transport rain to the underlying grid box which might be located in close proximity depending on the vertical grid spacing (typically below 100 m at low levels). A more practicable approach for physics time steps of order 1800 s is therefore the inclusion of a rainfall sub-cycling scheme, which is present in the Kessler-type Fortran routine in the Weather Research and Forecasting Model (WRF) (Skamarock et al., 2008). We recently included the Kessler-type warm rain scheme with sub-cycling in MITC-CAM and will report on its performance in the future.

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6.3 Inclusion of water mountains

The current MITC configuration assumes a water-covered planet with zero surface elevation that forces the general circulation to be symmetric in the northern and Southern Hemisphere. However, idealized or even realistic water-covered mountains can be introduced and were denoted as “water mountains” by Schneider et al. (2015). They impact the general circulation, for example via the generation of topographic rain, and lead to hemispherically asymmetric circulations. However, such a variation needs a careful review of the lower boundary condition. Schneider et al. (2015) used a slab ocean configuration that self-adjusts its surface temperature based on the surface energy balance. In MITC, the SSTs are prescribed and will need to be height-adjusted to reasonably mimic the vertical temperature variations above topography.

6.4 Impact of land-sea masks

The current MITC configuration has no information about land-sea contrasts. All grid points are water-covered and allow the evaporation of water via the latent heat flux at the surface. A straightforward modification of the MITC approach is the introduction of a land-sea mask with dry or reduced-moisture land points without any surface elevation. This can easily be accomplished by suppressing or reducing the surface latent heat flux over land areas. It will lead to hemispherically asymmetric circulations and should have an impact on the wave activity.

6.5 Slab ocean configurations

As mentioned in the introduction, alternative simplified physics packages such as the ones by Frierson et al. (2006) and O’Gorman and Schneider (2008) include mixed-layer oceans with constant mixed-layer depths that close the energy budget at the lower boundary. This is imperative for idealized climate change studies in order to obtain energetically consistent changes in precipitation. A consequence of the slab ocean

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configuration is the use of a more complicated radiation parameterization that gives access to the net shortwave and incoming and outgoing longwave radiation at the lower boundary. The MITC approach can be converted to a slab ocean configuration without prescribed SSTs. However, careful adjustments of the radiation need to be considered and will likely require the replacement of the Newtonian temperature relaxation for this configuration.

6.6 Varying moisture conditions for tropical wave and stratospheric QBO studies

Recently, Yao and Jablonowski (2013, 2015) detected that dry Held–Suarez experiments support the generation of tropical stratospheric oscillations that closely resemble the stratospheric QBO. Because QBOs are wave-driven phenomena, the wave triggering and transport characteristics of the dynamical cores are of critical importance and determine the magnitude and period of the QBO-like oscillations. The MITC, as the moist variant of the HS configuration, therefore gives further access to an improved understanding of the wave-mean-flow interaction. Because resolved-scale convection and rain act as effective tropical wave generators, the QBO-like oscillations in the four CAM5 dynamical cores are greatly impacted. In particular, the enhanced wave forcing due to moisture processes lead to shorter QBO periods and enhanced magnitudes in some sample MITC studies (not shown here). The moisture content of the MITC atmospheres can also be easily varied, e.g. via the adjustment of the bulk transfer coefficients for latent heat C_E or the variation of the saturation vapor pressure e_0^* coefficient as done in Frierson et al. (2006). This allows systematic studies of the tropical wave activity, the wave-mean-flow interactions, and their dependence on the hydrological cycle.

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6.7 Grid imprinting

The MITC can also be used in its present form to further investigate grid imprinting issues. These might arise from the use of non-latitude-longitude computational grids in the dynamical cores, such as the CAM5-SE cubed-sphere configuration. Cubed-sphere grids have built-in wavenumber four irregularities in both hemispheres, therefore the question arises whether these mesh characteristics can be detected in the general circulation. An example of such grid imprinting assessments with the dry Held–Suarez test was provided by Harris and Lin (2013) who found cubed-sphere wavenumber four anomalies in the time-mean vertical pressure velocities at low and mid levels. They also found grid imprinting signatures in the variable-resolution configuration of their model. Such grid imprinting issues are likely to become more severe once moist interactions are included, and the MITC provides an idealized testbed for such investigations. Due to the simplistic nature of the large-scale condensation, the MITC is expected to exhibit some grid-size sensitivities. Therefore, this allows for more enhanced assessments, such as the grid-scale sensitivities of newly added convection schemes that promise to be more scale-aware (e.g., Grell and Freitas, 2014).

6.8 Physics-dynamics coupling interfaces

As shown in Sect. 5, the MITC serves as an idealized test bed for physics-dynamics coupling strategies because it replicates the coupling intricacies of complex-physics simulations. Additional application examples are suggested, such as the sensitivities of the coupling to the physics time step that was recently investigated by Wan et al. (2015). They conducted numerical convergence studies with respect to shrinking physics time steps in CAM5-SE and used the MITC/RJ12 large-scale condensation as a baseline example for the convergence behavior. Furthermore, parallel- vs. sequential-split coupling strategies can be investigated in the MITC simplified framework before complex physical parameterizations are assessed. In addition, MITC allows an in-depth analysis of other dynamics-physics interactions such as the impact of varying explicitly-added or

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implicit numerical diffusion on extreme precipitation statistics. As shown in Jablonowski and Williamson (2011) (their Sect. 13.4.1.2 and their Fig. 13.8) an increase in the horizontal divergence damping can have a profound impact on the frequency distribution of the tropical precipitation rate. In particular, an increase in the diffusion led to a sharp decrease in the likelihood of heavy precipitation events in CAM3.5-FV aquaplanet configurations at low resolutions.

6.9 Community-wide moist dynamical core intercomparisons

The snapshots of the CAM5 dynamical core intercomparison presented here provide a first glimpse of the potential model spread and model uncertainty in the presence of nonlinear moisture feedbacks. Other dynamical core configurations need to be tested, such as newly emerging non-hydrostatic dynamical cores, dynamical cores with deep-atmosphere configurations, and models with other numerical discretizations and computational grids. This will provide further insights into the model spread and might even serve as a debugging and learning tool for models in their early development stages. Therefore, we encourage the incorporation of the easy-to-use MITC approach into the routine testbeds of the dynamical cores and the documentation of the results in the literature. This will establish a broad base for model intercomparisons and help establish standards.

7 Conclusions

A moist idealized test case for atmospheric model dynamical cores has been presented. This test case was inspired by the dry Held–Suarez test and the simplified physics package of RJ12. This new variant of the HS test includes moisture and thereby sheds light on the non-linear dynamics-physics moisture feedbacks without the complexity of full physics parameterization packages. In particular, it adds simplified moist processes to the modified HS temperature relaxation and low-level HS Rayleigh friction

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stant zero surface geopotential, or no topography. The moist surface pressure is set to $p_s = p_0 = 1000$ hPa everywhere. This is slightly lower than the recommended moist p_s value for the APE simulations (1013.25 hPa). The initial state also includes a perturbation in the zonal wind field, which generates synoptic scale waves in the Northern Hemisphere mid-latitudes. This baroclinic wave test is designed for dry dynamical cores, therefore moisture has been added. The specific humidity profile is calculated by

$$q(\phi, \sigma) = \begin{cases} q_0 \exp \left[-\left(\frac{\phi}{\phi_{hw}}\right)^4 \right] \exp \left[-\left((\sigma - 1) \left(\frac{p_0}{p_{hw}}\right)\right)^2 \right] & p \geq 100 \text{ hPa}, \\ 0 & p < 100 \text{ hPa}, \end{cases} \quad (\text{A1})$$

where $q_0 = 18 \text{ g kg}^{-1}$ is the maximum specific humidity, $\phi_{hw} = 2\pi/9$ radians (40°) is the horizontal halfwidth of the specific humidity profile with latitude, and $p_{hw} = 300$ hPa is the vertical halfwidth of the specific humidity profile with pressure. In this moist environment the initial temperature profile (Eq. 20 in Ullrich et al., 2014) now represents the virtual temperature, T_v , and the temperature profile is calculated by

$$T = \frac{T_v}{1 + 0.608 q}. \quad (\text{A2})$$

The resulting initial conditions (see complete equation set in Ullrich et al., 2014) form a stable atmosphere that is suitable for initializing the moist idealized test. Other initial conditions may also be used. We advise against using a dry initial state because large physics tendencies could cause the model to become unstable at the beginning of the model run. An appropriate spin up time, such as 6 months in our simulations, should allow the atmosphere to stabilize before the results are used for analysis.

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Table 1. Horizontal grid resolutions with approximate grid spacings Δx near the equator, physics and dynamics time steps Δt , and explicitly-added diffusion mechanisms for all four dynamical cores.

Dynamical Core	Resolution	Δx (km)	Physics Δt (s)	Dynamics Δt (s)	Explicitly-Added Diffusion
SE	ne30np4	110	1800	300	∇^4 hyper-diffusion
FV	$1^\circ \times 1^\circ$	110	1800	180	∇^4 divergence damping
EUL	T85	156	600	600	∇^4 hyper-diffusion
SLD	T85	156	1800	1800	–

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Table 2. Time-mean precipitation statistics for CAM5-SE in units mm day^{-1} : global-mean and zonal-mean at the equator showing the total precipitation rates (PRECT) and the fraction of large-scale (PRECL) vs. convective (PRECC) precipitation rates for MITC, APS NDC and APS.

Model Configuration	Acronym	Precipitation Type	Global-Mean Precipitation	Equatorial Precipitation
Moist Idealized Aquaplanet (No Deep)	MITC	Total	2.10	19.68
		Large-scale	2.33	19.35
Aquaplanet	APS NDC	Total	3.24	27.68
		Large-scale	2.33	19.35
		Convective	0.91	8.33
Aquaplanet	APS	Total	3.21	17.97
		Large-scale	1.18	4.88
		Convective	2.03	13.09

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Table 3. Comparison of the time-mean global-mean total precipitation rates (PRECT) and the time-mean zonal-mean precipitation rates closest to the equator in units mm day^{-1} . All four CAM5 dynamical cores are listed in MITC and APS mode.

Model Configuration	Dynamical Core	Global-Mean Precipitation	Equatorial Precipitation
Moist Idealized (MITC)	SE	2.10	19.68
	FV	2.10	18.62
	EUL	2.18	19.54
	SLD	2.27	22.76
Aquaplanet (APS)	SE	3.21	17.97
	FV	3.23	20.03
	EUL	3.17	19.19
	SLD	3.17	17.30

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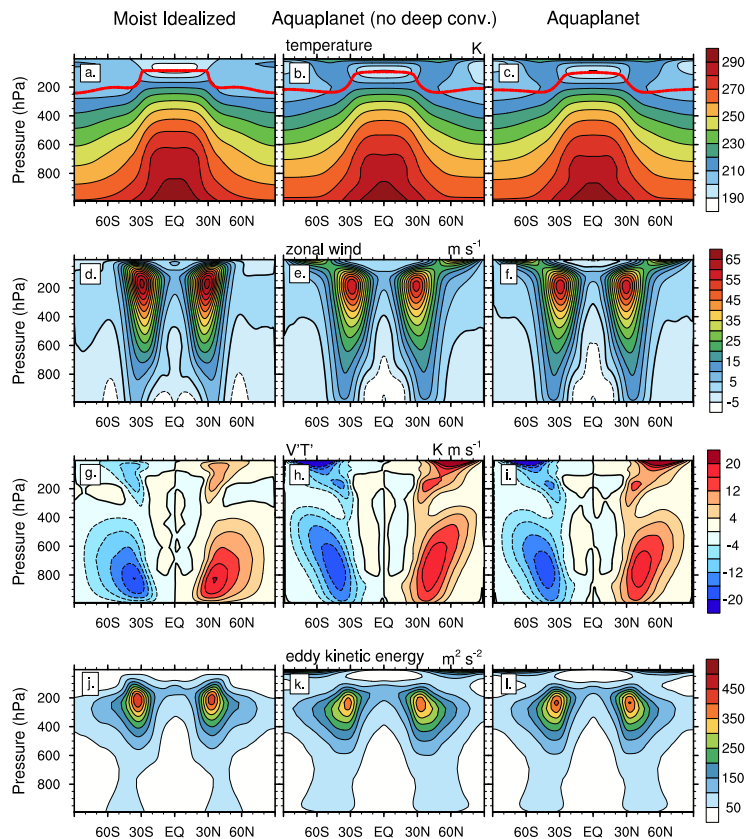
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Figure 1. Comparison of CAM5-SE latitude-pressure cross sections in MITC (left column), APS (NDC) (middle column), and APS (right column): Time-mean zonal-mean (**a**, **b**, **c**) temperature, (**d**, **e**, **f**) zonal wind, (**g**, **h**, **i**) meridional eddy heat flux $v'T'$, and (**j**, **k**, **l**) eddy kinetic energy. The red line in (**a**, **b**, **c**) indicates the position of the tropopause.

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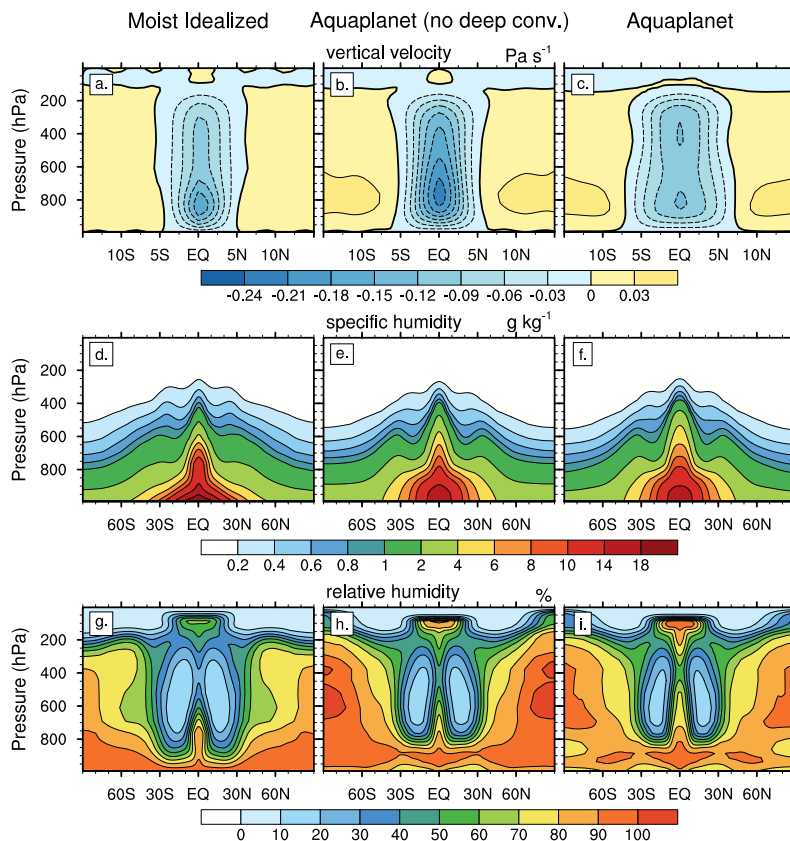
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Figure 2. Comparison of CAM5-SE latitude-pressure cross sections in MITC (left column), APS (NDC) (middle column), and APS (right column): Time-mean zonal-mean (**a, b, c**) vertical pressure velocity ω , (**d, e, f**) specific humidity, and (**g, h, i**) relative humidity. Note that the latitude range for ω is 15° S to 15° N and the color scale for specific humidity is non-linear.

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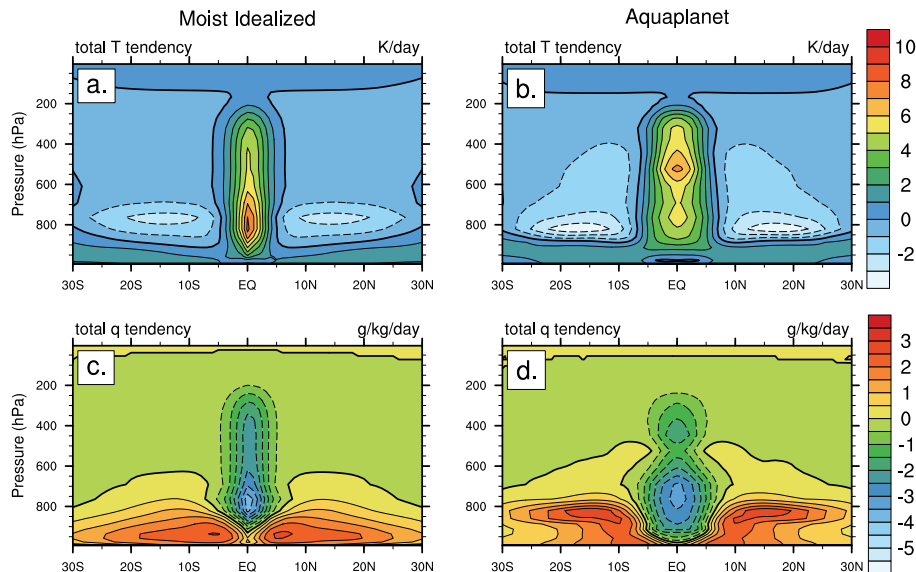
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Figure 3. Comparison of CAM5-SE latitude-pressure cross sections in MITC (left column) and APS (right column): Time-mean zonal-mean (a, b) total temperature tendency and (c, d) total specific humidity tendency from the physical parameterization packages. The latitude range is 30° S to 30° N.

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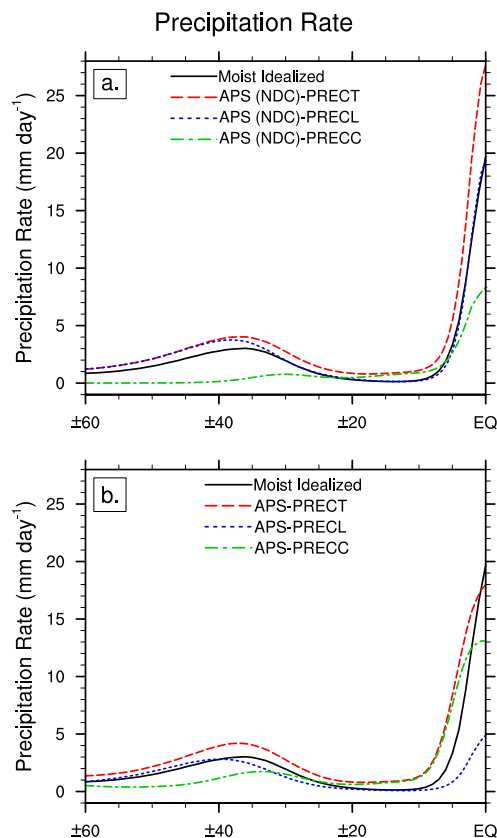


Figure 4. CAM5-SE time-mean zonal-mean precipitation rate (hemispherically averaged) for MITC (black) compared to **(a)** APS (NDC) and **(b)** APS. The total aquaplanet precipitation rate (PRECT, red dashed) is divided into the large-scale precipitation rate (PRECL, blue dotted) and convective precipitation rate (PRECC, green dash-dot).

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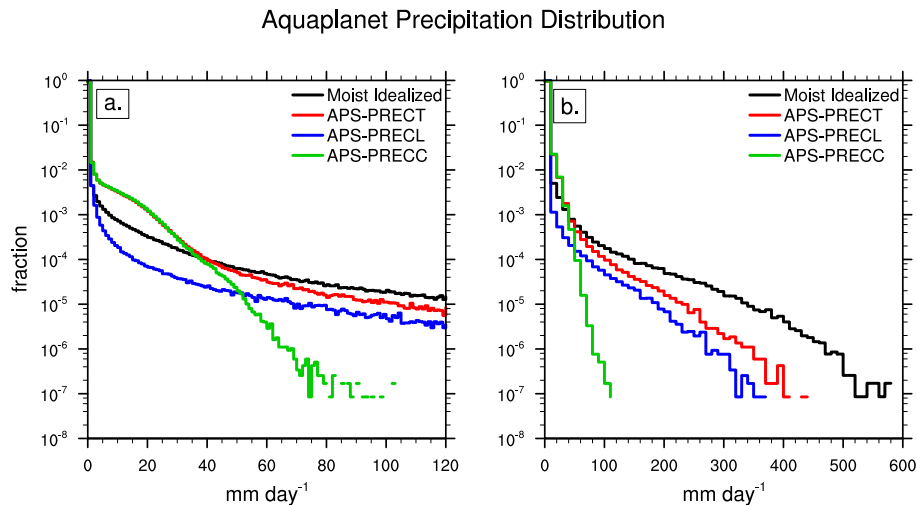
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Figure 5. Precipitation frequency distribution of the SE precipitation rates averaged between $\pm 10^\circ$ for MITC (black), aquaplanet total precipitation (PRECT, red), large-scale precipitation (PRECL, blue), and convective precipitation (PRECC, green) ranging from **(a)** 0 to 120 mm day^{-1} with 1 mm day^{-1} bins and **(b)** 0 to 600 mm day^{-1} with 10 mm day^{-1} bins.

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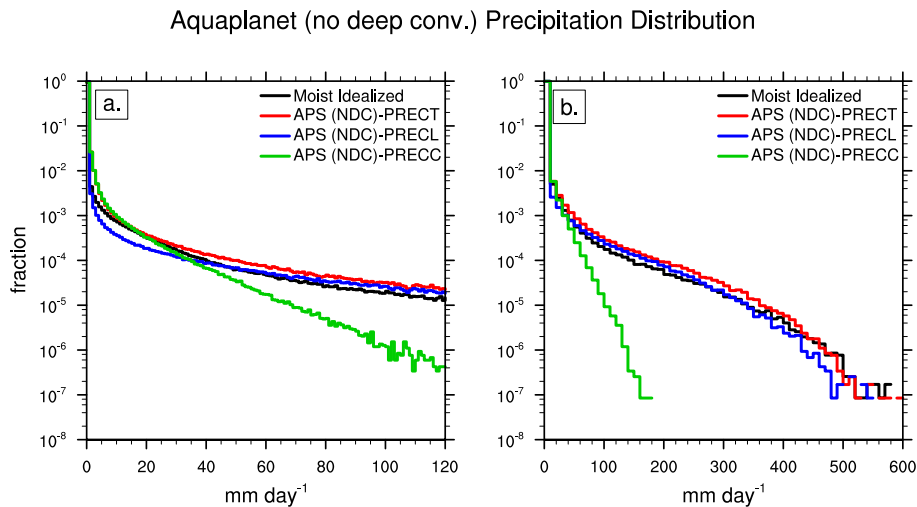
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Figure 6. Same as Fig. 5 except comparing to APS (NDC).

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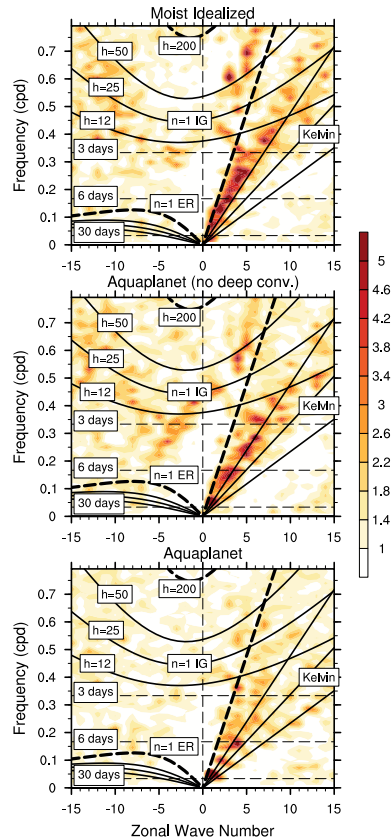
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Figure 7. Wavenumber-frequency diagrams for CAM5-SE showing spectral power for the symmetric component of the 100 hPa equatorial temperature averaged between $\pm 15^\circ$ in the (a) MITC, (b) APS (NDC), and (c) APS simulations. The solid lines show the theoretical shallow-water dispersion curves for the equivalent depths $h = 12, 25,$ and 50 m without a mean background velocity. The thick dashed line is the $h = 200$ m dispersion curve.

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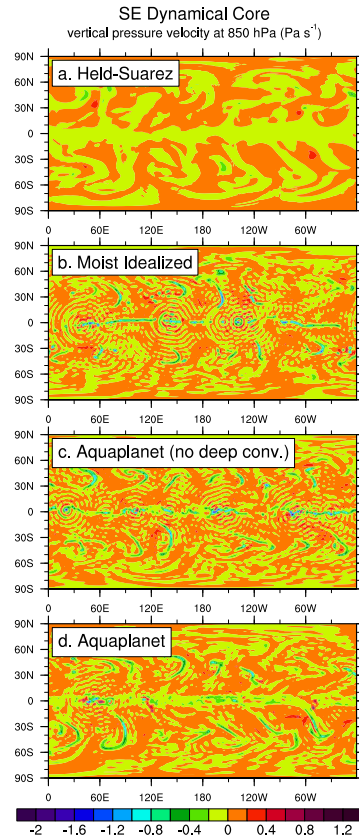


Figure 8. Instantaneous latitude-longitude snapshots of the 850 hPa vertical pressure velocity in CAM5-SE for the (a) dry Held–Suarez test case, (b) MITC, (c) APS (NDC), and (d) APS.

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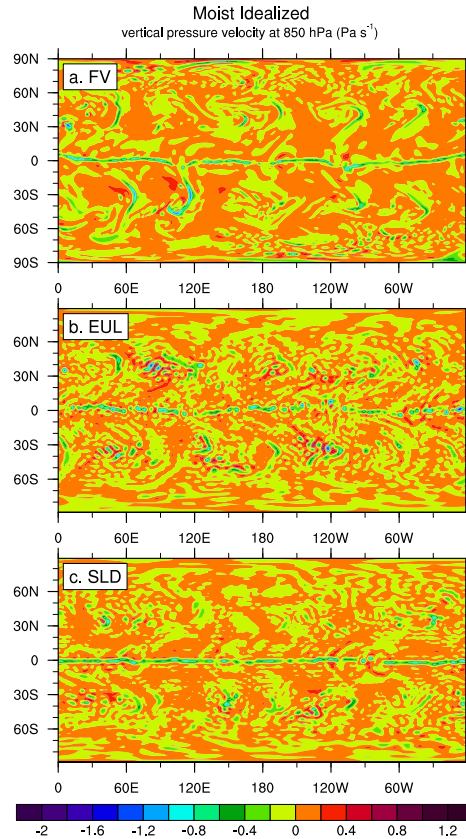


Figure 10. Instantaneous latitude-longitude snapshots of the 850 hPa vertical pressure velocity in MITC simulations with (a) FV, (b) EUL, and (c) SLD.

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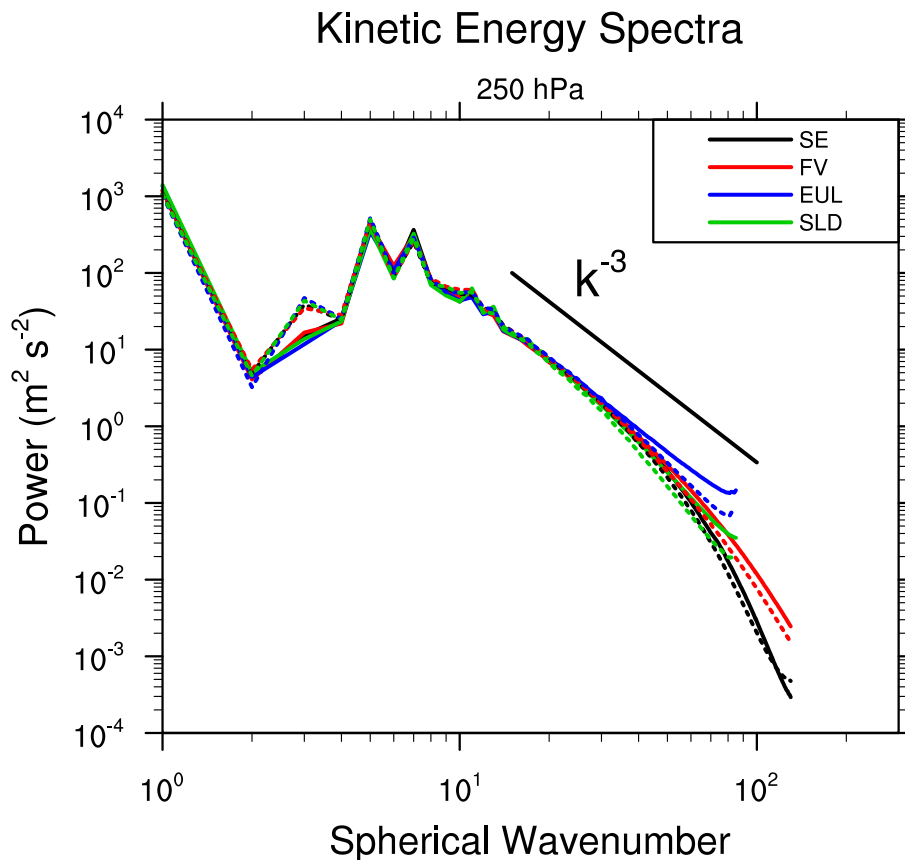
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Figure 11. 250 hPa kinetic energy spectra for MITC (solid lines) and APS (dashed lines) simulations with SE (black), FV (red), EUL (blue), and SLD (green). The slopes can be compared to the theoretical k^{-3} slope.

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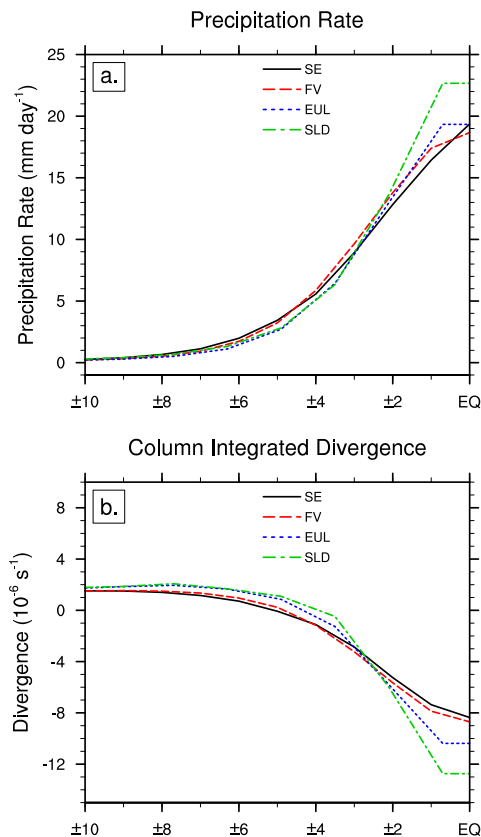
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Figure 12. MITC time-mean zonal-mean hemispherically-averaged (a) precipitation rates and (b) low-level column-integrated horizontal divergence (between the surface and 800 hPa) for SE (black), FV (red dashed), EUL (blue dotted), and SLD (green dash-dot).

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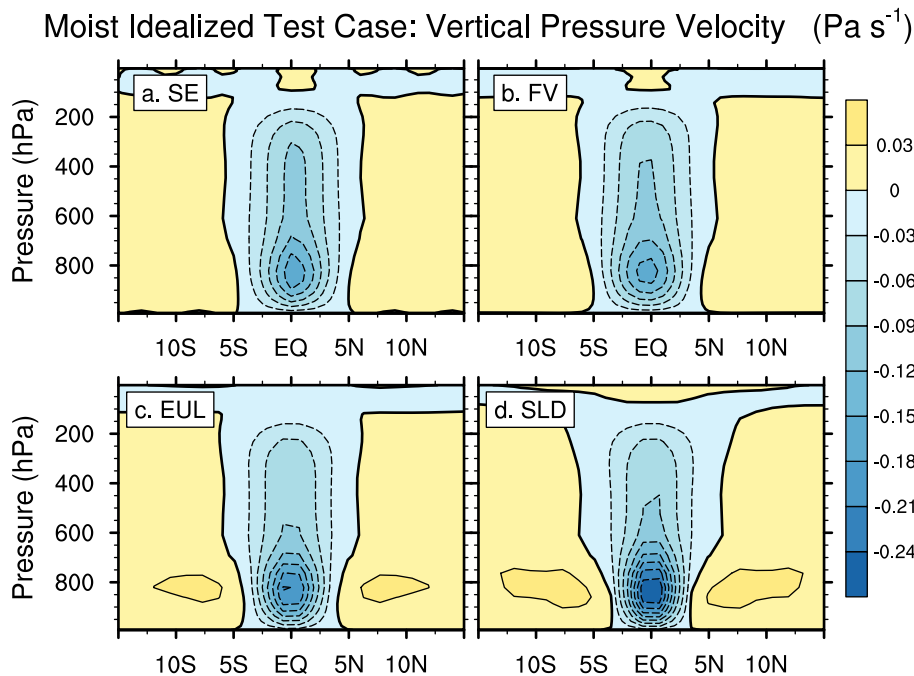


Figure 13. Latitude-pressure profiles of time-mean, zonal-mean vertical pressure velocity in MITC for (a) SE, (b) FV, (c) EUL, and (d) SLD.

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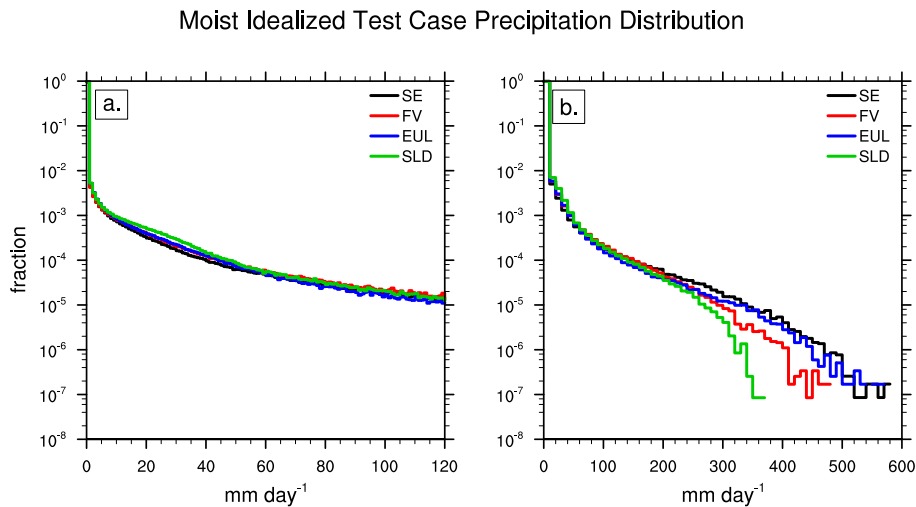
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Figure 14. Frequency distributions of the MITC precipitation rates averaged between $\pm 10^\circ$ for SE (black), FV (red), EUL (blue), and SLD (green). **(a)** Precipitation rates range from 0 to 120 mm day^{-1} with 1 mm day^{-1} bins, **(b)** precipitation rates range from 0 to 600 mm day^{-1} with 10 mm day^{-1} bins.

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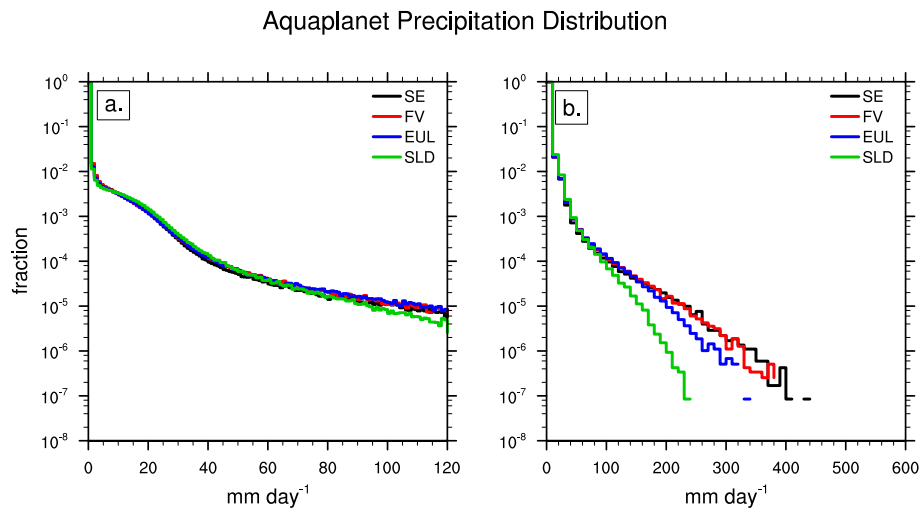
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Figure 15. Same as Fig. 14 but for the CAM5 APS configurations of SE (black), FV (red), EUL (blue), and SLD (green).

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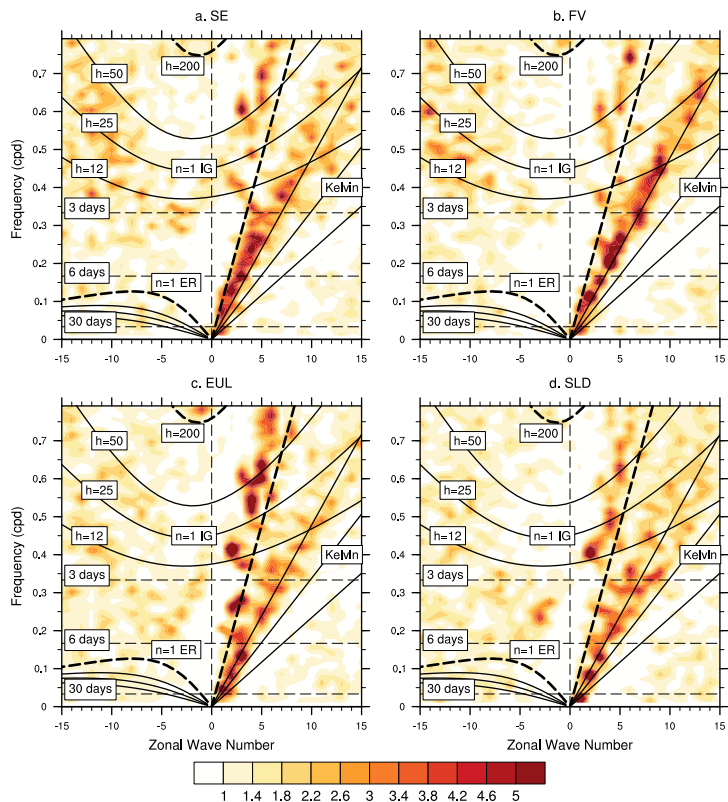


Figure 16. Wavenumber-frequency diagrams for the MITC simulations showing spectral power for the symmetric component of the 100 hPa equatorial temperature averaged between $\pm 15^\circ$ for (a) SE, (b) FV, (c) EUL, and (d) SLD. The solid lines show the theoretical shallow-water dispersion curves for the equivalent depths $h = 12, 25,$ and 50 m without a mean background velocity. The thick dashed line is the $h = 200$ m dispersion curve.