

1 Response to P.K. Misztal

2 The authors would like to thank P.K. Misztal for the comment on the work presented in this
3 manuscript. Dr. Misztal is concerned that the discussion of Misztal et al 2014 in the evaluation of
4 the MEGAN simulations misrepresents their MEGAN evaluation. The discrepancy between the
5 evaluation in this manuscript and in Misztal et al 2014 are discussed below.

6 The authors agree that measurements in Misztal et al. 2014 indeed showed an overall good
7 evaluation against MEGAN 2.1 emission factors when considering the entire spatial extent of the
8 observations. However, the MEGAN 2.1 emission factors were much larger than the aircraft
9 observations in Cool, CA to Blodgett Forest Research Station transect that was the focus of this
10 manuscript. This is apparent in Figure 7a in Misztal et al. 2014 where the MEGAN 2.1 emission
11 factors for much of Northern California appear to be higher than the observations. To better
12 describe the context for the discrepancies between MEGAN 2.1 and the measurements of Misztal
13 et al. (2014), the following text was added to section 3.4 “The airborne flux measurements of
14 Misztal et al. (2014) are lower than the MEGAN estimates for the Northern California modeling
15 domain evaluated here and the MEGAN canopy model behaved similarly to BEIS 3.61 (Figure
16 1) indicating that the MEGAN over estimate in isoprene is likely due to the MEGAN 2.1
17 emission factors in the modeling domain.”

18

Response to Anonymous Referee #1

We would like to thank the anonymous referee for his/her insightful and thoughtful recommendations. The quality and constancy of this manuscript has been improved due to the revisions in response to this review. The response to the referee's suggestions are in blue to better distinguish them from the referee's text.

1. In Section 2.2 the similarities and differences between MEGAN and BEIS should be discussed in greater detail. Explain the sentence "MEGAN and BEIS have similar governing equations but differ in vegetation characterization, emission factors, meteorological adjustments and canopy treatment." This becomes especially important to understand later in the paper when comparisons between CMAQ model predictions and observations are made.

The referee makes a good point here. Section 2.2 has been expanded to provide more information regarding the similarities and differences between MEGAN and BEIS. The following sentence "MEGAN and BEIS have similar governing equations but differ in vegetation characterization, emission factors, meteorological adjustments, and canopy treatment." was replaced with "MEGAN and BEIS both estimate BVOC emissions following the widely empirical algorithm initially developed by Guenther et al (2006). The Emission Factors between MEGAN and BEIS differ as MEGAN uses emission factors for 16 different global plant functional types (Guenther et al. 2012) while BEIS uses species or species group specific emission factors where available and MODIS plant function types where no species specific data is available, see section 2.1. The canopy models between BEIS and MEGAN also differ. MEGAN uses a five layer canopy model where leaf temperature is iteratively solved for each layer by adjusting the MEGAN modeled latent, sensible heat fluxes, and outgoing long wave radiation to minimize the incoming and outgoing energy balance for the modeled leaf (equation 1). BEIS approximates the leaf temperature for sun and shaded layers of the canopy from the surface energy and momentum balance in the meteorological model as detailed in section 2.3. " Additionally, the description of Equation 15 was updated to indicate that this was one of the similarities between BEIS and MEGAN.

2. Are there other important updates (e.g. emission factors, etc.) to BEIS 3.6.1 relative to BEIS 3.14 in addition to the canopy model of leaf temperature and use of BELD 3 versus BELD 4 data?

The emissions factors for different plant groups were not changed between BEIS 3.6.1 and 3.14. The BEIS model differences were entirely driven by the changes in the canopy model and the underlying land cover changes between BELD 3 and BELD 4. This is now explicitly stated at the end of section 2.2.

3. Table 2 is difficult to read because of the size and amount of text. Are these emission rates presented as relevant to the current study in California or as predominant types, in the United States? Have these been updated from the previous version of BEIS? How do they differ from those used in MEGAN?

The emission rates in Table 2 are the predominant types used by BEIS. Note that most of these emission rates presented in this table are aggregated by genus. BEIS supports a much more detailed representation of tree species and tree species types than MEGAN making a comparison with MEGAN plant functional types difficult. For example, MEGAN has a uniform emission factor for a deciduous forest while BEIS uses USDA Forest Inventory and Analysis data, in the US domain, to add more refined plant species information to the same plant functional type. The units in this table are the same as in Table 2 in Guenther et al. (2012). The following sentence was added to section 2.2 after the introduction of Table 2; “The variability in BEIS emission rates is greater than MEGAN 2.1 (Guenther et al. 2012) due to a more detailed representation of vegetation species.”

4. Please briefly explain how the estimates of forest biomass of Blackard et al. were made. Section 3.2 describes differences between the BELD4 and Blackard estimates, but does not sufficiently explain - beyond the use of different canopy data sets - their underlying reasons. Why was the Blackard data selected to evaluate BELD4?

The following sentence was added to section 3.2; “Blackard et al. (2008) created a spatially explicit live forest biomass dataset for the United States based on FIA observations mapped to MODIS, 250 meter aggregated NLCD, topographic and climatic data.” The Blackard et al (2008) data was chosen for an evaluation because we felt that it was important to evaluate the data that went into building the BELD 4 dataset and it is the only evaluated gridded forest biomass dataset for the continental US that the authors are aware of.

5. Little explanation is given to provide a context for the discrepancies between the BEIS and MEGAN performance against observations. What are the author’s hypotheses regarding factors that are driving these differences? How did MEGAN estimates compare with BEIS 3.14 predictions, i.e. do the updates to BEIS for version 3.6.1 result in more similar estimates between the two modeling frameworks?

To better describe the context for the discrepancies between MEGAN 2.1 and BEIS 3.61, the following text was added to section 3.4 “The airborne flux measurements of Misztal et al. (2014) are lower than the MEGAN estimates for the Northern California modeling domain evaluated here and the MEGAN canopy model behaved similarly to BEIS 3.61 (Figure 1) indicating that the MEGAN over estimate in isoprene is likely due to the MEGAN 2.1 emission factors in the modeling domain.” Table 3, and the paragraph beginning on line 16 page 8135 documents the BELD 3.14 performance and the impact that updating the canopy model and land use has on the results.

93
94 6. Consider switching the order of Sections 2.1 and 2.2 such that a context is provided for the
95 requisite input data first.
96
97 Sections 2.1 and 2.2 were not switched as the content of section 2.1 is needed to provide details of the
98 land use data and context as to how the input land use data in section 2.2 was changed.
99
100 7. Figure 2. Could you add a difference plot for clarity?
101
102 A difference plot was added to figure 2.
103
104 8. p.8136, line 10: The reference to Figure 6 for the MEGAN results does not appear to be correct.
105
106 The referee is correct. This sentence referred to an earlier draft of the manuscript and the reference to
107 figure 6 has been removed.
108
109 9. Some acronyms are not spelled out before the first use, please check all.
110
111 The acronyms in the manuscript have been checked and corrected.
112
113

114 Response to Anonymous Referee #2

115 We would like to thank anonymous referee #2 for his/her constructive and generally positive
116 recommendations. The quality and clarity of this manuscript has been improved due to the
117 referee's inputs. The response to the referee's suggestions are in blue to better distinguish them
118 from the referee's text.

119

120 1. (Section 2.2) It is not clear what underlying Leaf Area Index (LAI) data was used in the
121 BEIS simulations (2006 MODIS?) and how that data differs from the LAI data used in
122 the MEGAN simulations? LAI directly impacts biogenic emission estimates and can
123 change substantially from year-to-year (see
124 [http://acmg.seas.harvard.edu/presentations/aqast/nov2012/Cohan_tiger_team_biogenics_](http://acmg.seas.harvard.edu/presentations/aqast/nov2012/Cohan_tiger_team_biogenics_Nov_2012.pdf)
125 [Nov_2012.pdf](http://acmg.seas.harvard.edu/presentations/aqast/nov2012/Cohan_tiger_team_biogenics_Nov_2012.pdf) slide 10). If there are differences between the BEIS and MEGAN LAI
126 data, please discuss how those differences may influence the results. In addition,
127 assuming that year-specific LAI data was not used (e.g., the LAI data is not from the
128 same year as the field studies used to evaluate the biogenic emissions) please discuss how
129 using year-specific LAI data would influence the results.

130 Currently we are using the Kinnee, Geron and Pierce 1997 Ecological Applications 7(1), 46-58
131 where pant genus types are assigned a fixed summer and winter LAI like the earlier versions of
132 BEIS. LAI is also important in determining the meteorological surface energy balance and we
133 are working to connect the BVOC LAI with the values used by the meteorological model to be
134 consistent across models, and includes modeled (for future or scenario simulations) or satellite
135 (for retrospective analysis) derived LAI depending on the meteorological simulation. This
136 requires a restructuring the BEIS code and BELD data that could not be done in time for the 3.61
137 release but will likely be in the next revision of the model. The following text was added to
138 section 2.2 "Plant genus type LAIs for summer and winter are estimated following Kinnee et al.
139 (1997).".

140 2. (Section 2.4 and Section 2.5) CMAQ modeling was conducted from 3 June through 31
141 July 2009 and results were compared to measurements made during BEARPEX (which
142 coincides with the modeling time period) and CARES, which occurred during June 2010
143 (Figures 6 and 7). I find it problematic to compare modeling from 2009 with observations
144 from 2010 since meteorology has such a strong influence on biogenic emissions and can
145 lead to large variability in emission estimates from year-to-year. Please discuss what
146 implications differences in meteorology from 2009 to 2010 may have on the findings of
147 this work.

148 The authors agree that meteorology influences biogenic emissions and have included text
149 recognizing that relationship. Since the measurements made during 2010 do not correspond to
150 specific modeled days in 2009 only the distribution of observations from 2010 are compared to
151 the distributions of modeled mixing ratios from the 2009 simulation. An additional Figure has

been added to the supporting information that shows temperatures at Cool and Sacramento during the CARES 2010 field study were very similar to the temperatures during the 2009 BEARPEX simulation at those locations. This comparison further supports the adequacy of our comparison of 2010 measurements with 2009 modeled mixing ratios where matching is done in space but not in time.

3. (Section 3.1) Figure 1 shows that MEGAN predicts a higher leaf temperature than does BEIS at the higher end of the distribution (i.e., at higher temperatures). This is of critical importance since it's these peak temperatures that drive higher biogenic emissions (and is likely a major cause of the difference between the BEIS and MEGAN emissions presented in this study). Some discussion about the difference between the canopy models in BEIS and MEGAN would be useful to help to better interpret the results.

MEGAN did indeed predict higher leaf temperatures than BEIS for the Duke Forest grassland sight. It is not clear if that is the cause of the biases in the California simulations but it is possible. The following text has been added to section 3.4 "MEGAN 2.1 overestimated the peak midday leaf temperature observations from Duke Forest (Figure 1). This could be a potential factor in the model Isoprene bias if MEGAN behaved similarly during the BEARPEX simulations".

4. (Section 3.2) The authors state that there are currently no databases to quantitatively evaluate the fractional tree species data coverage. The California Gap Analysis Project (http://www.biogeog.ucsb.edu/projects/gap/gap_home.html) may provide the needed information. Although this data is also a bit outdated, it would be more up to date than the Critchfield and Little (1966) and Little Jr. (1971, 1976) data cited in the manuscript. Davis, F. W., D. M. Stoms, A. D. Hollander, K. A. Thomas, P. A. Stine, D. Odion, M. I. Borchert, J. H. Thorne, M. V. Gray, R. E. Walker, K. Warner, and J. Graae. 1998. The California Gap Analysis Project—Final Report. University of California, Santa Barbara, CA. [http://legacy.biogeog.ucsb.edu/projects/gap/gap_rep.html]

We agree that the California GAP Analysis Project does represent more up to date species data than cited in the manuscript. The current structure of the CA GAP data (polygons of dominant and subdominant species versus species range maps) makes it difficult to fit within our analysis without redeveloping the tools used in this paper for this analysis. We clearly state that the analysis against the current datasets is qualitative. The wording in section 3.2 has been changed to reflect the CA GAP data and the reference provided by the referee. We intend on using GAP Analysis results to further refine the BELD dataset.

5. (Section 3.4 and Table 3) It would be useful if the meteorological model evaluation was expanded to include additional monitors in the study areas covered by CARES and BEARPEX, with a particular emphasis on predicting peak temperatures. Average temperatures provide little useful information with regard to biogenic emission estimates since the magnitude of the emissions is driven by peak temperatures rather than average temperatures. In addition, CMAQ model output at any location is potentially impacted by

emissions throughout the entire region, not just by emissions at a single location. Therefore, it would be useful to know how well WRF is able to predict peak temperatures on a regional basis and not just at a few select monitors.

The authors agree that the presentation of additional temperature evaluation of WRF model estimates at monitors both at the field sites and nearby provide a more confidence in local to regional biogenic emissions estimates with respect to temperature influences. Additional time series plots have been added to the Supporting Information showing hourly temperature observations paired with WRF estimates for the CARES and BEARPEX locations. In addition, temperature evaluation has been included for sites near the BEARPEX field site to provide greater confidence in model estimates for that region. The WRF model compares very well against ambient measurements of daily maximum temperatures which increases confidence in biogenic emissions estimates.

6. Please also discuss the potential uncertainties associated with using photochemical model output to validate a biogenic inventory (e.g., errors in the WRF meteorological field – temperature, PBL heights, wind speed/direction – or uncertainties in the chemical mechanism could lead to what looks like an over/under-prediction compared to the ambient mixing ratios even if the emissions were perfect).

The evaluation of biogenic emission models and inventories is difficult. Models can be evaluated on a processes level against flux and meteorological measurements. However, these models are typically used to gain insight into regional photochemical processes involving secondary gaseous and aerosol species, e.g. ozone and secondary organic aerosols, and not for site specific applications. We did incorporate site specific modeling into this study to evaluate the canopy models. Additionally we evaluated the models on a regional scale using meteorological and photochemical models. This type of evaluation is influenced by biases in the modeled meteorology and the representation of atmospheric chemical processes in the chemical transport model. However, this is also how these models are typically applied for research and regulatory purposes. The potential impact of the meteorological model biases (Table 3) are discussed in the second paragraph in section 3.4. Additionally, we have added new text to the manuscript to the discussion of meteorological model performance that recognizes uncertainty in surface mixing layer and local to regional transport pattern representation can influence CMAQ model estimates of BVOC even if emission factors were perfect. The new text follows: “While mixing layer depth has been shown to be well represented by WRF for California using the configuration used here (Baker et al, 2013), mixing layer depth was not continuously measured at these field sites so could not be directly evaluated meaning that differences between modeled and actual surface layer mixing depth and also differences in local to regional scale transport could impact CMAQ estimates of biogenic VOC.”

- 229 7. I find it interesting that Table 3 shows significantly more isoprene in the two
230 CMAQ/MEGAN simulations compared to the three CMAQ/BEIS simulations, but that
231 the simulated ozone only shows minor differences. Is this due to the photochemical
232 regime in the area (i.e., NO_x limited), so that large changes in isoprene do not have an
233 appreciable effect on ozone or is it an artifact from only showing isoprene at the Blodgett
234 Forest site while showing ozone results for the entire region? To put these results into a
235 bit better context it would be useful to compare regional emission totals from the
236 different biogenic inventories to see if the differences seen at Blodgett are consistent with
237 regional differences in the inventories.

238 The reviewer is correct. The Blodgett Forest Research Station (BFRS) is in a relatively remote
239 area in the foothills of Sierra Nevada Mountains and ozone values here BFRS are mostly in a
240 NO_x limited regime. Additionally, Figures 5 and 6 illustrate the spatial heterogeneity and
241 magnitude of the isoprene emission changes due to the BEIS sensitivities.

- 242 8. P. 8122, lines 1-3: Please update the references to: “methods of Jenkins et al. (2003) and
243 Chojnacki et al. (2014). Plot level tree biomass estimates were corrected for sampled
244 bole biomass and scaled to a per hectare basis following O’Connell et al. (2012).” Also
245 note that “bases” was changed to “basis”.

246 The referee’s suggestions were incorporated into the manuscript.

- 247 9. P. 8132, lines 8-12: Please update the references to: “Figure 2 shows the BELD 4 and
248 Blackard et al. (2008) estimates of forest biomass for this model domain at 4 km
249 resolution. The Blackard et al. (2008) 250 m grid resolution data set was projected and
250 aggregated to the CMAQ 4 km grid resolution projection using rgdal and raster libraries
251 in R (Bivand et al., 2014). The BELD 4 estimates evaluated well against those of
252 Blackard et al. (2008) with a”.

253 The referee’s update has been incorporated into the manuscript.

256 Additional manuscript revisions

257 Several typos were corrected and acronyms were defined in the manuscript. Additionally, the authors
258 discussed the methods section and shared model code with David Simpson and Hannah Imhof from
259 Chalmers University where we identified a missing density term in equation 5, better defined the
260 variables in the equations and generally improved the clarity and consistency of the methods section as
261 can be seen in the marked up version of the manuscript below. The equations in the code were found to
262 be correct so there was no need to rerun the model simulations.

263

Evaluation of improved land use and canopy representation in BEIS v3.61 with biogenic VOC measurements in California

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Abstract

Biogenic volatile organic compounds (BVOC) participate in reactions that can lead to secondarily formed ozone and particulate matter (PM) impacting air quality and climate. BVOC emissions are important inputs to chemical transport models applied on local to global scales but considerable uncertainty remains in the parametrization of canopy parameterizations and emission algorithms from different vegetation species. The Biogenic Emission Inventory System (BEIS) has been used to support both scientific and regulatory model assessments for ozone and PM. Here we describe a new version of BEIS which includes updated input vegetation data and canopy model formulation for estimating leaf temperature and vegetation data on estimated BVOC. The Biogenic Emission Landuse Database (BELD) was revised to incorporate land use data from the Moderate Resolution Imaging Spectroradiometer (MODIS) land product and 2006 National Land Cover Database (NLCD) land coverage. Vegetation species data is based on the U.S. Forest Service (USFS) Forest Inventory and Analysis (FIA) version 5.1 for years from 2002 to 2013 and U.S. Department of Agriculture (USDA) 2007 census of agriculture data. This update results in generally higher BVOC emissions throughout California compared with the previous version of BEIS. Baseline and updated BVOC emissions estimates are used in Community Multiscale Air Quality Model (CMAQ) simulations with 4 km grid resolution and evaluated with measurements of isoprene and monoterpenes taken during multiple field campaigns in northern California. The updated canopy model coupled with improved land use

and vegetation representation resulted in better agreement between CMAQ isoprene and monoterpene estimates compared with these observations.

1 Introduction

Volatile organic compounds (VOC) are known to contribute to ozone (O₃) and particulate matter less than 2.5 microns in diameter (PM_{2.5}) formation in the troposphere. Elevated concentrations of O₃ and PM_{2.5} have known deleterious health effects (Bell et al., 2004;Pope and Dockery, 2006;Pope et al., 2006) and climate implications. Biogenic VOC (BVOC) are highly reactive and contribute to local and continental scale O₃ and PM_{2.5} (Carlton et al., 2009;Chameides et al., 1988;Wiedinmyer et al., 2005). Terrestrial biogenic emissions are an important input to photochemical transport models which are used to quantify the air quality benefits and climate impact of emission control plans. Despite the important role of BVOC in atmospheric chemistry, the spatial representation of vegetation species, their emission factors, and canopy parameterization remain highly uncertain.

Isoprene, a highly reactive BVOC, contributes to O₃ (Chameides et al., 1988) and influences secondary organic aerosol (SOA) formation (Carlton et al., 2009). Monoterpenes and sesquiterpenes are BVOCs known to react in the atmosphere to form SOA (Sakulyanontvittaya et al., 2008). BVOC emissions are important enough to be specifically quantified for impacts on O₃ and PM_{2.5} (Fann et al., 2013;Kwok et al., 2013;Lefohn et al., 2014). The Biogenic Emission Inventory System (BEIS) (Pierce and Waldruff, 1991;Schwede et al., 2005) estimates these and other BVOC species and has been used extensively to support scientific (Carlton and Baker, 2011;Fann et al., 2013;Kelly et al., 2014;Simon et al., 2013;Wiedinmyer et al., 2005) and regulatory (U.S. Environmental Protection Agency, 2010, 2011, 2012b, a) model applications.

BVOC emissions are highly variable among different types of vegetation, therefore the representation of vegetative coverage is critically important for accurate spatial distribution of emissions. Northern California has a large gradient in high isoprene emitting vegetation extending from the Sacramento valley eastward toward the Sierra Nevada (Dreyfus et al., 2002;Karl et al., 2013;Misztal et al., 2014). Many counties in California have been designated as non-attainment of both the 8-hr O₃ and PM_{2.5} National Ambient Air Quality Standards (NAAQS). Recent field studies measuring BVOC concentrations in this area provide a unique

opportunity to evaluate photochemical model estimated BVOC ambient concentrations using an existing (BEIS version 3.14) and updated version of BEIS (version 3.61) and input vegetation data. Ground measurements of BVOC concentrations were made during the Carbonaceous Aerosols and Radiative Effects Study (CARES) campaign in an urban area (Sacramento) and at a site downwind from Sacramento (Cool, CA) that is located near vegetation known for high isoprene emissions (Zaveri et al., 2012). The Biosphere Effects on Aerosols and Photochemistry Experiment (BEARPEX) 2009 campaign provides BVOC measurements at a remote location in the Sierra Nevada foothills to the east of Sacramento and Cool (Beaver et al., 2012), an area of high monoterpene emitting vegetation.

In this manuscript, BVOC emissions estimated with the existing, version 3.14 (Schwede et al., 2005), and updated version of BEIS, version 3.61, are input to the Community Multiscale Air Quality (CMAQ) photochemical transport model (Hutzell et al., 2012;Byun and Schere, 2006;Foley et al., 2010) and estimated BVOC ambient concentrations are compared to surface observations at these field campaigns in central and northern California. Canopy coverage and vegetation species data has been updated with the [United States Forest Service Forest Inventory and Analysis \(FIA\) version 5.1 database](#) and 2006 [United States Geological Survey National Land Cover Database \(NLCD\) data sets](#) using more spatially explicit techniques for tree species allocation. BEIS 3.61 has been updated with new a canopy model of leaf temperature for emissions estimation. Canopy leaf temperature estimates are also compared with infrared skin temperature measurements over a grass canopy made at Duke Forest. BVOC estimates from the Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2012) are also input to CMAQ and model predictions are compared with field study measurements to provide additional context for BEIS updates.

2 Methods

2.1 Land Cover & Vegetation Speciation

BEIS 3.14 used the BELD 3 landuse dataset relied on combined U.S. county level USDA-USFS Forest Inventory and Analysis (FIA) vegetation speciation circa 1992 information with the 1992 USGS landcover information (Kinnee et al., 1997). A new land cover dataset (BELD 4) integrating multiple data sources has been generated at 1 km resolution covering North America.

Landuse categories are based on the 2001 to 2011 National Land Cover Dataset (NLCD), 2002 and 2007 USDA census of agriculture county level cropping data, and Moderate Resolution Imaging Spectroradiometer (MODIS) satellite products where more detailed data was unavailable.

Fractional tree canopy coverage is based on the 30 m resolution 2001 NLCD canopy coverage (<http://nationalmap.gov/landcover.html>; Homer et al., 2004) and land cover is based on 30 m resolution 2006 NLCD Land Cover data. The 2001 canopy data was used because there was no canopy product developed for the 2006 NLCD. Land cover for areas outside the conterminous United States is based on 500 m MODIS land cover data for 2006 (https://lpdaac.usgs.gov/products/modis_products_table; MCD12Q1) using the International Geosphere Biosphere Programme classification.

Vegetation speciation is based on multiple data sources. Tree species are based on 2002 to 2013 Forest Inventory and Analysis (FIA) version 5.1 and crop species information is based on 2002 and 2007 USDA census of agriculture data. The FIA includes approximately 250,000 representative plots of species fraction data that are within approximately 75 km of one another in areas identified as forest by the NLCD tree canopy coverage. USDA census of agriculture data is available on a State and County level only and has been used to refine the agricultural classes to the NLCD agricultural land use categories.

FIA version 5.1 location data has been degraded to enhance landowner privacy in accordance with the Food Security Act of 1985 (O'Connell et al., 2012). The provided locations are accurate within approximately 1.6 km with most plots being within 0.8 km of the reported coordinates and have accurate State and County identification codes (O'Connell et al., 2012). BELD 3 FIA vegetation specie fractions were aggregated to county level based on national above ground biomass estimates for deciduous, pine, juniper, fir, and hemlock species. In the BELD 4 data set, FIA plot level forest biomass (kg/ha) and specific leaf area (g/m^2) were estimated using the allometric scaling methods of (Jenkins et al., 2003) and (Chojnacky et al., 2014). Plot level tree biomass estimates were corrected for sampled bole biomass and scaled to a per hectare bases following (O'Connell et al., 2012). The plot level total and foliage biomass estimates are then extrapolated to the continental United States by spatial kriging using the plots longitude, latitude and elevation as predictors and weighted by the NLCD canopy fraction. If elevation was not

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382 reported at the plot then elevation was supplied by a digital elevation model from WRF. Kriging
383 was done in 140 by 140 km windows with a 50% overlap to address regional differences in
384 spatial gradients. A buffer that extended beyond this window was determined by a
385 semivariogram. Similarly, tree species biomass information was kriged with the additional
386 constraint of the NLCD land use categories (deciduous, evergreen or mixed forest) applied as
387 weights.

388 The fractional species composition of the NLCD canopy coverage was then calculated and the
389 FIA 5.1.6 species were aggregated to the BELD 4 species (Table S1 and Figure S1). The NLCD
390 land cover defines trees as greater than 5 m tall, forest refers to greater than 20% canopy
391 coverage, with deciduous forests have more than 75% foliage shed in winter and evergreen
392 forests have more than 75% of foliage retained in winter (http://www.mrlc.gov/nlcd06_leg.php).
393 These tolerances were used constraining the kriging processes. Total kriged biomass estimates
394 were quantitatively evaluated against the independent estimates of (Blackard et al., 2008).
395 Species specific data in BELD 4 were qualitatively evaluated against the range maps of
396 (Critchfield and Little, 1966) and (Little Jr, 1971, 1976). This kriging approach provides an
397 estimate of vegetation speciation for land cover categories where information is not readily
398 available such as urban, grassland, and shrublands. While this kriging approach may provide
399 better spatial estimates of biomass and vegetation type for most areas of the continental United
400 States, it is possible that small areas with vegetation and biomass dramatically different than the
401 surrounding region (e.g. some urban areas) will likely need further refinement.

402

403 2.2 Biogenic Emissions

404 MEGAN and BEIS are both used to support regional to continental scale O₃ and PM_{2.5}
405 photochemical model applications (Carlton and Baker, 2011). Both modeling systems estimate
406 emissions based on vegetation type, meteorological variables, and canopy characteristics
407 (Carlton and Baker, 2011). MEGAN and BEIS both estimate BVOC emissions following the
408 empirical algorithm initially developed by Guenther et al (2006). The emission factors between
409 MEGAN and BEIS differ as MEGAN uses emission factors for 16 different global plant
410 functional types (Guenther et al. 2012) while BEIS uses species or species group specific
411 emission factors where available and MODIS plant function types where no species specific data

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is available, see section 2.1. The canopy models between BEIS and MEGAN also differ. MEGAN uses a five layer canopy model where leaf temperature is iteratively solved for each layer by adjusting the MEGAN modeled latent, sensible heat fluxes, and outgoing long wave radiation to minimize the incoming and outgoing energy balance for the modeled leaf (equation 1). BEIS approximates the leaf temperature for sun and shaded layers of the canopy from the surface energy and momentum balance in the meteorological model as detailed in section 2.3. MEGAN and BEIS have similar governing equations but differ in vegetation characterization, emission factors, meteorological adjustments, and canopy treatment. These models have been evaluated against BVOC measurements in the central United States (Carlton and Baker, 2011) and Texas (Warneke et al., 2010) but little evaluation of both models has been done for California. BEIS version 3.14 provides a baseline for comparison of BEIS version 3.61 that includes enhancements described here.

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BEIS version 3.61 estimates emissions for 33 volatile organic compounds, carbon monoxide, and nitric oxide. Table 1 shows the complete list of compounds estimated by BEIS with mapping to contemporary gas phase chemical mechanisms SAPRC07T and CB6. BEIS estimates isoprene, 14 unique monoterpene compounds, and total sesquiterpenes. In addition, emissions are estimated for 16 other volatile organic compounds and an aggregate group of other unspecified VOC. All biogenic VOC emissions are a function of leaf temperature while only isoprene, methanol, and MBO are a function of both leaf temperature and photosynthetically activated radiation (PAR). All species emissions have small indirect impacts from PAR via the canopy module.

Inputs to BEIS include normalized emissions for each vegetation species, gridded vegetation species, temperature, and PAR. Temperature and PAR can be provided from prognostic meteorological models such as WRF or other sources such as satellite products (Pinker and Laszlo, 1992;Pinker et al., 2002) or ambient measurements. The BELD 4 database contains vegetation specie information for 275 different vegetation categories (Table S1). Table 2 shows emission rates for each emitted compound by aggregated vegetation type to illustrate variability in emissions. The variability in BEIS emission rates is greater than MEGAN 2.1 (Guether et al. 2012) due to the more detailed representation of vegetation species. These vegetation types

include 20 MODIS and 21 NLCD land cover categories, and 20 different types of crops both irrigated and non-irrigated (40 total). The remaining categories include tree species, much of which are broadleaf (e.g. oak) and needle leaf (e.g. fir) species. A gridded file indicating leaf-on based on the 2009 modeled meteorology, bioseasons file, is also provided as input to BEIS. In the future leaf out and leaf fall dates will be matched with LAI data. [Plant genus type LAIs for summer and winter are estimated following Kinnee et al. \(1997\).](#) However, it is unlikely the current simple leaf-on parameterization will impact typical regulatory assessments since elevated O₃ and PM_{2.5} organic carbon events often happen outside the spring and fall seasons.

For various sensitivity studies presented here, BEIS 3.14 is applied with BELD 3 vegetation data, WRF temperature, and both WRF and satellite derived estimates of PAR. BEIS 3.61 is applied similarly but with BELD 3 and BELD 4 vegetation data to isolate the impact of the updates to the canopy model. [Note, that the BEIS BVOC emission factors were the same in these BEIS 3.14 and 3.61 simulations.](#) A gridded 0.5 by 0.5 degree resolution satellite estimate of PAR from 2009 was processed to match the model domain specifications and input to both BEIS and MEGAN. The satellite estimates are based on the GEWEX Continental Scale International Project and GEWEX Americas Prediction Project Surface Radiation Budget (www.atmos.umd.edu/~srb/gcip/cgi-bin/historic.cgi) (Pinker et al., 2002). MEGAN version 2.1 (Guenther et al., 2014; Guenther et al., 2012) with version 2011 North America Leaf Area Index and Plant Functional Type (Guenther et al., 2014) was applied with WRF estimated temperature and PAR and also with satellite derived PAR.

2.3 Canopy Model – Leaf temperature update

BEIS 3.61 includes a two layer canopy model. Layer structure varies with light intensity and solar zenith angle. Both layers of the canopy model include estimates of sunlit and shaded leaf area based on solar zenith angle and light intensity, direct and diffuse solar radiation, and leaf temperature. BEIS 3.14 previously used 2 m temperature to represent canopy temperature for emissions estimation even though BVOC emission factors are typically based on leaf temperature (Niinemets et al., 2010). The canopy model has been updated to use land surface physics from the Weather and Research Forecasting model and air-surface exchange algorithms from the CMAQ model to approximate leaf temperature using an energy balance for the sunlit

and shaded portion of each canopy layer. Emissions are estimated for sunlit and shaded fractions of the canopy and summed over the two layers for total canopy emissions.

A simple two big leaf (sun and shade) temperature model was developed based on a radiation balance. The leaf radiation balance is solved for both the sun (Eq. 1) and shaded (Eq. 2) leaf sides in each layer.

sun leaf

$$R_{\text{sunabs}} + IR_{\text{in}} - IR_{\text{out}} - H - \lambda E_{\text{sun}} + G = 0 \quad (1)$$

shade leaf

$$R_{\text{shade}} + IR_{\text{in}} - IR_{\text{out}} - H - \lambda E_{\text{shade}} + G = 0 \quad (2)$$

Where IR_{in} is the incoming infrared radiation, IR_{out} is the outgoing infrared radiation, λ is the latent heat of evaporation, E_{sun} and E_{shade} are the latent heat flux from sun and shade leaves respectively, H is the sensible heat flux, and G is the soil heat flux. To maintain the same energy balance as WRF it was assumed that E scales linearly with sunlit and shaded fractions of the canopy. Note, that conventionally G is positive when the soil is being heated and negative when the soil is cooling while the sign convention of the other variables are relevant to heating and cooling of the atmosphere. R_{sunabs} is the total incoming solar radiation from the meteorological model and R_{shade} is modeled using the attenuation, scattering and diffuse radiation from (Weiss and Norman, 1985).

The infrared budget is parameterized as

$$IR_{\text{in}} = \varepsilon_{\text{atm}} \sigma T_{\text{atm}}^4 \quad (3)$$

$$IR_{\text{out}} = \varepsilon_{\text{leaf}} \sigma T_{\text{leaf}}^4 \quad (4)$$

Where ε_{atm} and $\varepsilon_{\text{leaf}}$ are the emissivities of the atmosphere and leaf respectively, σ is the Stephan Boltzman constant and T_{atm} and T_{leaf} are the atmospheric and leaf temperatures respectively.

E is parameterized as

$$E = \rho_{\text{atm}} \frac{e_s(T_{\text{leaf}}) - e_a}{R_{\text{w,leaf}} P_{\text{atm}}} \quad (5)$$

Where ρ_{atm} is the atmospheric density, $e_s(T_{\text{leaf}})$ is the saturation vapor pressure at the leaf, e_a is the atmospheric vapor pressure, $R_{\text{w,leaf}}$ is the resistance to water vapor transport from the leaf to

atmosphere and P_{atm} is the atmospheric pressure at the surface.

The saturation vapor pressure of the leaf is defined as

$$e_s(T_{leaf}) = ae^{\frac{b(T_{leaf}-273.15)}{T_{leaf}-c}} \quad (6)$$

Where the empirical coefficients are $a= 611.0$ Pa, $b = 17.67$, and $c = 29.65$ °C.

H is parameterized following the WRF Pleim-Xiu (PX) land surface model (Skamarock et al., 2008) as

$$H = \frac{\rho_{atm} C_p \left(\frac{P_0}{P_{atm}} \right)^{R_{atm}/C_p} (T_{leaf} - T_{air})}{R_{h,leaf}} \quad (7)$$

Where ~~ρ_{atm} is the atmospheric density~~, C_p is the specific heat of air, P_0 is the STP pressure, R_{atm} is the gas constant for dry air, and $R_{h,leaf}$ is the resistance to heat advection between the atmosphere and leaf. Note, that $R_{h,leaf}$ must consider advection from both the upper, abaxial, and lower, adaxial, surfaces of the leaf.

The T_{leaf}^4 variable and equation 6 prevents an analytical solution. Thus the approximation from (Campbell and Norman, 1998) is used.

The T_{leaf}^4 term is simplified as follows:

$$\varepsilon_{leaf} \sigma T_{leaf}^4 \approx \varepsilon \sigma T_{atm}^4 + \frac{\rho_{atm} C_p \left(\frac{P_0}{P_{atm}} \right)^{R_{atm}/C_p} (T_{leaf} - T_{air})}{R_{r,leaf}} \quad (8)$$

Where $R_{r,leaf}$ is the atmospheric radiative resistance $\sim 230 \text{ s m}^{-1}$ (Monteith and Unsworth, 2013).

Equation 6 is then further simplified:

$$\lambda \rho_{atm} \frac{e_s(T_{leaf}) - e_a}{R_{w,leaf} P_{atm}} \approx \lambda S (T_{atm}) \frac{[T_{leaf} - T_{atm}]}{R_{w,leaf}} + \lambda \rho_{atm} \frac{e_s(T_{atm}) - e_a}{P_{atm} R_{w,leaf}} \quad (9)$$

where

$$S = \frac{de_s(T)}{dT} \quad (10)$$

Equations 1, 3, 5, 7, 8, and 9 are algebraically combined to estimate the sunlit leaf temperature assuming that $\varepsilon_{atm} = \varepsilon_{leaf}$.

$$T_{sun,leaf} \approx T_{atm} + \frac{R_{sun} + G - \lambda \rho_{atm} \frac{e_s(T_{atm}) - e_a}{p_{atm} R_{w,leaf}}}{\rho_{atm} \left[\left(\frac{p_0}{p_{atm}} \right)^{R_{atm} R / C_p} C_p \left(\frac{1}{R_{h,leaf}} + \frac{1}{R_{r,leaf}} \right) + \lambda S \left(\frac{1}{R_{w,leaf}} \right) \right]} \quad (11)$$

Equations 2, 3, 5, 7, 8, and 9 are combined to estimate the shaded leaf temperature:

$$T_{shade,leaf} \approx T_{atm} + \frac{R_{shade} + G - \lambda \rho_{atm} \frac{e_s(T_{atm}) - e_a}{p_{atm} R_{w,leaf}}}{\rho_{atm} \left[\left(\frac{p_0}{p_{atm}} \right)^{R_{atm} R / C_p} C_p \left(\frac{1}{R_{h,leaf}} + \frac{1}{R_{r,leaf}} \right) + \lambda S \left(\frac{1}{R_{w,leaf}} \right) \right]} \quad (12)$$

The sunlit leaf area index, LAI_{Sun} , is estimated following (Campbell and Norman, 1998)

$$LAI_{Sun} = \int_0^{LAI} e^{-k_{be}(\Psi)L} dL \quad (13)$$

where LAI is the total canopy leaf area index, k_{be} is the extinction coefficient for direct beam incoming solar radiation as a function of the solar zenith angle, Ψ following Campbell and Norman (1998). The shaded leaf area index, LAI_{Shade} , is then estimated as follows:

$$LAI_{Shade} = LAI - LAI_{Sun} \quad (14)$$

BVOC emission fluxes, F_i , are estimated similar to [MEGAN Guenther et al. \(Guenther et al. 2006\)](#) for sunlit and shaded fractions of the canopy

$$F_{i,j} = E_i \gamma_{PAR,i,j} \gamma_{T,i,j} LAI_j \quad (15)$$

where E_i is the emission factor or BVOC species i , γ_{PAR} is the emission activity factor for PAR (currently only applied to isoprene, methanol and MBO), γ_T is the emission activity factor for leaf temperature following Guenther et al. (1993), and j is the index for sunlit or shaded leaves. γ_{PAR} integrates the PAR emissions activity factor of Guenther et al. (1993) for sunlit and shaded layers following Niinemets et al., (2010).

$$\gamma_{PAR,i,Sunlit} = PAR C_L \int_0^{LAI_{Sun}} \frac{e^{-2k_{dd}L}}{\sqrt{1 + \alpha^2 PAR^2 e^{-2k_{dd}L}}} dL \quad (16)$$

$$\gamma_{PAR,i,Shaded} = PAR C_L \int_{LAI_{Sun}}^{LAI} \frac{e^{-2k_{dd}L}}{\sqrt{1 + \alpha^2 PAR^2 e^{-2k_{dd}L}}} dL \quad (17)$$

Where k_{dd} is the net attenuation coefficient for direct and diffuse PAR and α and C_L are empirical coefficient, 0.0027 and 1.066 respectively, defined in Guenther et al. (1993).

2.4 Photochemical Model Background, Inputs, and Application

Chemical species are estimated using the Community Multiscale Air-Quality Model (CMAQ) version 5.0.2 (www.cmaq-model.org) photochemical grid model. CMAQ was applied with SAPRC07TB gas phase chemistry (Hutzell et al., 2012), ISORROPIA II inorganic chemistry (Fountoukis and Nenes, 2007), secondary organic aerosol treatment (Carlton et al., 2010) and aqueous phase chemistry that oxidizes sulfur, glyoxal, and methyglyoxal (Carlton et al., 2008; Sarwar et al., 2013). The Weather Research and Forecasting (WRF) Advanced Research WRF core (ARW) version 3.3 (Skamarock et al., 2008) was used to generate gridded meteorological inputs for CMAQ and emissions models. While not coincident with this study, this WRF configuration compared well with mixing layer height and surface measurements of temperature and winds in central California during the summer of 2010 (Baker et al., 2013). For model performance evaluation presented here, model estimates are paired with measurements using the grid cell where the measurement was located. Measurements are paired in time with hourly model estimates with the closest model hour (Simon et al., 2012).

The model domain covers central and northern California with 4 km square sized grid cells. The surface to 50 mb is resolved with 34 layers. Layers nearest the surface are most finely resolved with an approximate height of 38 m for layer 1. The modeling period extends from June 3 through July 31, 2009 to be coincident with the BEARPEX field campaign and minimize the influence of initial conditions on model estimates. Initial conditions and boundary inflow are from a coarser CMAQ simulation covering the continental United States. Inflow to the coarser simulation is from a global 2009 application of the GEOS-CHEM (v8-03-02) model (<http://acmg.seas.harvard.edu/geos/>) (Henderson et al., 2014).

Stationary point sources are based on 2009 specific emissions where available and the 2008 National Emission Inventory (NEI) version 2 otherwise. Mobile emissions are interpolated between 2007 and 2011 estimates provided by the California Air Resources Board (CARB) and allocated spatially and temporally using the Sparse Matrix Operator Kernel Emissions (SMOKE) model (<http://www.cmascenter.org/smoke>). Other non-point and commercial marine emissions are based on the 2008 NEI version 2 (<http://www.epa.gov/ttn/chief/net/2008inventory.html>).

2.5 Field Study Measurements

574 Between June 15 and July 31 2009, the BEARPEX study was conducted to study photochemical
 575 reactions and products in areas downwind of urban areas with large biogenic influences. The
 576 study was located at a managed ponderosa pine plantation in the foothills of the Sierra Nevada
 577 (38.90°N, 120.63°W), located near the University of California's Blodgett Research Forest
 578 Station. The measurement site was near Georgetown, CA, approximately 75 km from
 579 Sacramento, CA. Two research towers housed meteorology and atmospheric composition
 580 measurements and inlets during BEARPEX 2009. Meteorological measurements were made on
 581 the south, 12.5 m tower, including photosynthetically active radiation (PAR) measured by a LI-
 582 COR LI190. The second tower (17.8 m) was located approximately 10 m north of the
 583 meteorological tower and housed most of the atmospheric composition measurements. The inlet
 584 used to sample BVOCs was located at the top of the north tower, approximately 9 m above the
 585 ponderosa pine canopy level. BVOCs including isoprene, monoterpenes, methyl vinyl ketone,
 586 and methacrolein were quantified using an online gas chromatograph with a flame ionization
 587 detector (GC-FID) (Park et al., 2010, 2011). BVOC samples were collected during the first 30
 588 minutes of every hour, then subsequently analyzed with the GC-FID.

589 During June 2010, the CARES study was conducted to study the formation of organic aerosols
 590 and the subsequent impacts on climate. The study was composed of two surface monitoring sites:
 591 T0 and T1. The T0 was located in Sacramento, CA at the American River College campus
 592 (38.65N, 121.35W), and the T1 site was in Cool, CA on the campus of Northside School
 593 (38.87N, 121.02W). The T0 site was approximately 14 km northeast of downtown Sacramento,
 594 and the T1 site was surrounded by the forested foothills of the Sierra Nevada. Isoprene and
 595 monoterpene measurements at the Sacramento (T0) and Cool (T1) CARES ground sites were
 596 made with GC-MS and PTRMS, respectively (Zaveri et al., 2012), and sampled via inlets at
 597 approximately 10 m above the surface. PTRMS data were reported as 1 second measurements
 598 approximately every 30 seconds. GC-MS data were 10 minute collections every 30 minutes. All
 599 observation data was averaged to hourly concentrations before comparison with model estimates.

600 The sunlight leaf temperature in MEGAN 2.1 and the revised canopy model in BEIS 3.61 were
 601 evaluated against observations taken in 2008 at the Blackwood Division of the Duke Forest in
 602 Orange County, North Carolina, USA (35.97° N, 79.09° W). Details regarding the site
 603 (FLUXNET, 2014), measurements, and species composition are available elsewhere (Almand-

Hunter et al., 2014). Leaf temperature measurements were taken using an infrared temperature sensor (IRTS-P, Apogee Instruments Inc, Logan, UT) mounted on the grassland tower.

3 Results

3.1 Leaf temperature algorithms compared to observations

The canopy model updates for leaf temperature estimation are evaluated by comparing canopy model output with infrared skin temperature measurements of a grass canopy at the Duke Forest field site in central North Carolina (Figure 1). BEIS 3.61 canopy model inputs are based on field measurements taken at this location coincident with the skin temperature data collection. The infrared skin temperature measurements do not represent a mean canopy leaf temperature but rather the temperature of the portion of the canopy exposed to the atmosphere. The infrared skin temperature measurement should be warmer than the mean leaf temperature during periods of solar irradiation and cooler during periods of radiative cooling due to the insulating effect of the unexposed portion of the canopy. Only the estimated exposed leaf temperature (Equation 12) was used in the evaluation to account for this discrepancy between measurements and canopy model output. Figure 1 shows observed and predicted estimates of leaf temperature and difference between leaf and ambient temperature. The average temperature estimated by the BEIS 3.61 canopy model for the top of the canopy compares well with observations (mean bias of 0.3 K and mean error 1.2 K). Top of the canopy leaf temperature estimated by MEGAN 2.1 are comparable to BEIS 3.61 and the observations at the Duke Forest site.

3.2 Evaluation of the BELD 4 land use data

BELD 4 total forest biomass estimates were evaluated against the independent estimates of (Blackard et al., 2008). [Blackard et al. \(2008\) created a spatially explicit live forest biomass dataset for the United States based on FIA observations mapped to MODIS, 250 meter aggregated NLCD, topographic and climatic data.](#) Figure 2 shows the BELD 4 and (Blackard et al., 2008) estimates of forest biomass for this model domain at 4 km resolution. The (Blackard et al., 2008) 250 m grid resolution data set was projected and aggregated to the CMAQ 4 km grid resolution projection using rgdal and raster libraries in R (Bivand et al., 2014). The BELD 4

estimates evaluated well against those of (Blackard et al., 2008) with a Pearson's correlation coefficient of 0.872 ($p < 0.001$) and a mean and median difference in tree biomass in areas where the NLCD data indicated canopy coverage was -13 kg/ha (-32%) and -0.004 kg/ha (0%) respectively. BELD 4 estimates of forest biomass were greater than those of (Blackard et al., 2008) in the densely forested areas in the high Sierras and lower in the lower elevation areas of the domain, primarily in the basin and range areas in the Sacramento valley. The prevalence of the lower elevation areas with lower biomass estimates drives the difference between the forest biomass estimates. The biomass estimates of (Blackard et al., 2008) under predicted the full range of the biomass variability with over predictions in areas with low biomass and under predictions in areas of high biomass compared to the FIA tree survey biomass observations. The total biomass estimates presented here have a larger range, 0-661 kg/ha versus 0-499 kg/ha with a median absolute deviation of 2.9 kg/ha versus 2.5 kg/ha for areas with NLCD canopy coverage. The lower biomass estimates here ~~compared to~~ those ~~estimated by~~ (Blackard et al., 2008) may be due to our use of 30 m grid NLCD canopy data rather than their use of 250 m grid MODIS canopy data or due to the general underestimation of 2001 NLCD canopy fraction product (Nowak and Greenfield, 2012).

There are currently no continental US or global databases to quantitatively evaluate the fractional tree species data coverage developed here. However the species range maps of (Critchfield and Little, 1966) and (Little Jr, 1971, 1976) can be used for a qualitative evaluation. The tree species that constituted the largest fraction of biomass observations in the FIA data base generally fell within the tree species range maps (Figure 3). Note that the maps represent a binary distribution of the tree species natural range and the BELD 4 estimates represent a gradient of species density. Species that did not constitute a large fraction in FIA observations typically had a much smaller estimated spatial range than indicated by the range maps. This could partially be due to the criteria, e.g. tree height greater than 5 m, etc., for trees carried over from the NLCD classification scheme or due to sparse sampling of these tree species in the FIA data base due to the species scarcity. However, these species likely represent a small fraction of the forest coverage in the domain and a small fraction of the domain wide BVOC emissions. Also, it is possible that tree coverage has changed in California since the 1970s when the trees were surveyed due to urban planning, plantations, fire, forest growth and climate change. Future iterations of the BELD dataset and the evaluation of the BELD dataset can likely be improved by

[incorporating land cover data with more plant species specific information such as the California Gap Analysis Project \(David et al. 1998\).](#)

3.3 Describing changes in modeled BVOC estimates in Northern California

Biogenic VOC emissions estimated with BEIS using the new canopy model (BEIS 3.61) and updated vegetation data (BELD 4) are shown for the northern California region in Figure 4. A similar Figure of spatial biogenic emissions estimated with BEIS 3.14 and BELD 3 are shown in Figure 5. In this model domain, isoprene emissions are highest in the foothills of the Sierra Nevada where high emitting isoprene vegetation (e.g. oak trees) are located. Monoterpene emissions are highest in the Sierra Nevada Mountains where high emitting needle leaf trees are located. Sesquiterpene emissions are highest in the Sacramento and San Joaquin valleys where grasses are common. Most other biogenic VOC emissions show similar spatial patterns as isoprene or monoterpenes (Figure 4).

The fractional coverage of oak (high isoprene emitting species) and needle leaf trees (high monoterpene emitting species) are shown using BELD 3 and BELD 4 in Figure S2. The BELD 4 representation shows a higher intensity of fractional coverage in much of the Sierra Nevada as county level information is allocated more spatially explicitly than in BELD 3. Smearing out vegetation coverage, as in BELD 3, will lead to lower emissions estimates where narrow features such as the band of oak trees in the western Sierra Nevada foothills exist and over predictions in areas that get allocated vegetation that does not exist in that area. Changes in oak and needle leaf fractional coverage between BELD 3 and BELD 4 are notable for both the Cool and Blodgett Forest sites meaning the observation data available at these locations is useful for evaluating the methodology used to generate BELD 4 (Figure S2).

The updated leaf canopy module increases biogenic VOC emissions throughout California (Figure 5). The changes to the vegetation input data show increases and decreases in isoprene and monoterpene emissions related to changing spatial allocation of high emitting vegetation species and changes to leaf area estimates. Sesquiterpene emissions generally decrease due to the changes in landuse and vegetation for this region (Figure 5). The new vegetation allocation approach employed here for BELD 4 provides more detailed sub-County level representation of

emitting species compared to BELD 3 and those changes are reflected in biogenic VOC emissions differences.

3.4 CMAQ estimates compared with CARES and BEARPEX measurements

The most recent publicly available version of BEIS (version 3.14) and BELD 3 vegetation input were used to provide biogenic emissions for a 4 km CMAQ simulation covering northern and central California for the period of time coincident with the 2009 BEARPEX field study. Additional simulations were done to illustrate the impact of updating the leaf canopy module in BEIS 3.61 and also how updating vegetation input data have on biogenic VOC model performance. Model runs were also done using satellite derived PAR as input to BEIS ~~in addition to~~ rather than WRF estimated solar radiation. The MEGAN 2.1 model was also run using WRF and satellite estimates of PAR for the same domain and period.

Temperature and solar radiation used for the biogenic emissions models were compared to measurements at these field sites (Sacramento, Cool, and Blodgett Forest) to determine how meteorological inputs may bias model estimated BVOC. WRF model evaluation against meteorological variables is summarized in Table 3. The WRF model does well at capturing daytime high temperatures at Blodgett Forest and slightly overestimates daily peak PAR. Daytime minimum temperatures at Blodgett Forest are largely overestimated by WRF (Figure S32). Temperature maximums and minimums are well characterized at Sacramento and Cool (Figure S42-52) and are similar at these sites during the 2009 and 2010 field study periods (Figure S32). The satellite estimated PAR underestimates the ground measurements at Blodgett Forest on certain days but does better at capturing daytime peaks than WRF. In general, meteorological model performance at Blodgett Forest and nearby areas in northern California (Figures S62-2) should result in overestimated emissions of isoprene and monoterpenes due to model overestimates in PAR and nighttime ambient temperature. While mixing layer depth has been shown to be well represented by WRF for California using the configuration used here (Baker et al, 2013), mixing layer depth was not continuously measured at these field sites so could not be directly evaluated meaning that differences between modeled and actual surface layer mixing depth and also differences in local to regional scale transport could impact CMAQ estimates of biogenic VOC.

Field study measurements of isoprene and monoterpenes taken in 2010 at Sacramento and Cool and 2009 at Blodgett Forest provide an opportunity to better understand if the changes to BEIS and BELD better reflect the biogenic VOC gradient seen over these sites. Figure 6 shows the observed distribution of isoprene concentrations at Sacramento and Cool from 2010, Blodgett Forest in 2009, and model estimates from 2009 for the baseline CMAQ/BEIS simulation (BEIS 3.14 and BELD 3), canopy model updates (BEIS 3.61), vegetation data updates (BELD 4), and using satellite PAR with all formulation and other input data updates. Measured isoprene concentrations are lowest in Sacramento and highest at Cool where a high density of Oak trees exist. The baseline simulation predicts the highest isoprene at Blodgett Forest rather than Cool, but when canopy parameterization updates and vegetation data inputs are used the modeling system captures the gradient in concentration well across these three sites and also the distribution in observations at each site (Figure 6).

Measured monoterpenes are highest at Blodgett Forest and lowest at Sacramento (Figure 7). The baseline model captured this gradient but notably overestimated monoterpenes at Cool. When BELD 4 is used as input the modeling system compares much closer to observations at Cool and begins to slightly underestimate at Blodgett Forest. The use of satellite PAR rather than solar radiation estimated by WRF does little to change model performance of isoprene. Monoterpenes are not directly sensitive to PAR input and change little due to indirect use of PAR in the canopy model.

The MEGAN 2.1 model generally captures the gradient in observations between sites for isoprene and monoterpenes, but predicts much higher isoprene concentrations at each site compared to observations (see Figure 6). This is consistent with other studies comparing MEGAN 2.1 isoprene flux with measurements in the Sierra Nevada of northern California (Misztal et al., 2014) and also with modeling systems using MEGAN 2.1 isoprene emissions compared with ambient isoprene concentrations in Texas (Kota et al., 2015) and southern Missouri (Carlton and Baker, 2011). The airborne flux measurements of Misztal et al. (2014) are lower than the MEGAN estimates for the Northern California modeling domain evaluated here and the MEGAN canopy model behaved similarly to BEIS 3.61 (Figure 1) indicating that the MEGAN over estimate in isoprene is likely due to the MEGAN 2.1 emission factors in the modeling domain. Using the MEGAN model estimates of monoterpenes resulted in overestimates at Cool and underestimates at Blodgett Forest ~~(Figure 7)~~. Estimates of isoprene

using MEGAN improved when using satellite PAR as input rather than WRF solar radiation. This is consistent with similar evaluation in other parts of the United States (Carlton and Baker, 2011). The use of satellite PAR with MEGAN exacerbated monoterpene overestimates at Cool and increased model estimates at Blodgett Forest reducing the model underestimate. First generation oxidation products of isoprene (methacrolein and methyl vinyl ketones) were also measured at Blodgett Forest in 2009. Model performance is similar to isoprene where BEIS estimates compare favorably with measurements and MEGAN 2.1 emissions result in notable overestimates (Figure S3) similar to previous studies (Kota et al., 2015). Methacrolein can further react in the atmosphere to form methacryloyl peroxyxynitrate (MPAN) which can form methacrylic acid epoxide (MAE) and subsequently secondary organic aerosol including aerosol methylglyceric acid, organic sulfates, and organic nitrates (Worton et al., 2013). CMAQ overestimates MPAN at Blodgett Forest using either biogenic emissions model, but overestimates are greater when using MEGAN. Model performance for isoprene propagates through secondary reactions and could lead to similar over or under estimates of SOA.

4 Future Direction

The updated biomass and tree species vegetation characterization in BELD would benefit from additional evaluation for other parts of the conterminous United States. It is critically important to evaluate biogenic emissions models with field experiments designed for biogenic model evaluation or those that provide robust measurements of key biogenic VOC species such as those used for this assessment. Future work is planned to evaluate BEIS against a larger field study in California designed for biogenic emissions model evaluation (2011 California Airborne BVOC Emission Research in Natural Ecosystem Transects; CABERNET) (Karl et al., 2013; Misztal et al., 2014) and also with a field study done in the southeast United States during the summer of 2013 (Southern Oxidant and Aerosol Study; SOAS). Evaluation of the model in urban areas would be useful although little field data exists for urban areas making this type of assessment difficult.

Code Availability

783 BEIS 3.61 code is available upon request prior to the public release of CMAQ v5.1 and available now in
784 SMOKE 3.6.5 (<https://www.cmascenter.org/smoke/>). Please contact Jesse Bash at Bash.Jesse@epa.gov
785 for more information.

786

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795

796 Supporting Information

797 Additional model output, comparison with measurements and formulas used for data pairing are
798 provided in the Supporting Information.

799

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 1007

1008 Table 1. Species emissions estimated by BEIS and mapping to the SAPRC07T and CB6r2 gas
 1009 phase chemical mechanism lumped species.

#	Emitted Specie	BEIS Abbrevia	SAPRC07 Species	CB6r2 Species
1	ethene	ETHE	ETHENE	ETH
2	ethane	ETHA	ALK1	ETHA
3	methanol	METH	MEOH	MEOH
4	ethanol	ETHO	ALK3	ETOH
5	formaldehyde	FORM	HCHO	FORM
6	acetaldehyde	ACTAL	CCHO	ALD2
7	formic acid	FORAC	HCOOH	FACD
8	acetic acid	ACTAC	CCOOH	AACD
9	propene	PROPE	OLE1	33.3 % PAR + 66.7% OLE
10	hexenol	HEXE	OLE1	33.3 % PAR + 66.7 % IOLE
11	hexenylacetate	HEXY	OLE1	37.5 % PAR + 50 % IOLE + 12.5 % NR
12	butenone	BUTO	OLE1	50 % PAR + 50 % OLE
13	MBO	MBO	OLE2	60 % PAR + 40 % OLE
14	butene	BUTE	OLE2	50 % PAR + 50 % OLE
15	acetone	ACET	ACETONE	ACET
16	hexanal	HEXA	RCHO	66.7 % PAR + 33.3 % ALDX
17	Other Reactive VOCs	ORVOC	10 % OLE2 + 85 % ALK2 + 5 % NR	80 % PAR + 20 % OLE
18	Isoprene	ISOP	ISOPRENE	ISOP
19	α -pinene	APIN	TRP1	TERP
20	β -pinene	BPIN	TRP1	TERP
21	δ -3-carene	D3CAR	TRP1	TERP
22	δ -limonene	DLIM	TRP1	TERP
23	camphene	CAMPH	TRP1	TERP
24	myrcene	MYRC	TRP1	TERP
25	α -terpinene	ATERP	TRP1	TERP
26	β -phellandrene	BPHE	TRP1	TERP
27	sabinene	SABI	TRP1	TERP
28	p -cymene	PCYM	TRP1	TERP
29	ocimene	OCIM	TRP1	TERP
30	α -thujene	ATHU	TRP1	TERP
31	terpinolene	TRPO	TRP1	TERP
32	γ -terpinene	GTERP	TRP1	TERP
33	Sesquiterpines	SESQ	SESQ	SESQ
34	CO	CO	CO	CO
35	NO	NO	NO	NO

1010

1011

1012 Table 2. Emissions (ug/m2/hr) for each specie estimated by BEIS. Median, minimum, and
1013 maximum emission rates for each aggregated land cover/vegetation group are shown. Emission
1014 rates are uniform for some vegetation categories resulting in the same value for median,
1015 minimum, and maximum.

	Pine			Fir			Spruce			Oak			Maple			Other Deciduous			Crops			Grass		
Number of species	40	40	40	12	12	12	9	9	9	44	44	44	13	13	13	684	684	684	42	42	42	2	2	2
Metric	Median	Min	Max	Median	Min	Max	Median	Min	Max	Median	Min	Max	Median	Min	Max	Median	Min	Max	Median	Min	Max	Median	Min	Max
Isoprene (ug/m2/hr)	79	79	79	170	170	170	11900	1700	11900	29750	29750	29750	43	43	43	43	29750	10	1	102	56	56	56	
Sesquiterpenes	70	70	210	150	150	150	150	150	150	37	37	37	37	37	37	37	37	150	29	29	29	29	29	
Nitric Oxide	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	160	0	774	58	58	58	
MBO	76	0	52675	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11	11	11	
α-pinene	840	28	2100	1038	239	1472	881	449	1176	26	26	26	127	127	127	15	0	1839	8	0	102	9	9	
β-pinene	420	0	1134	519	346	929	322	75	716	5	5	5	26	26	26	8	0	580	3	0	51	5	5	
Δ-carene	57	0	867	260	0	260	229	0	730	0	0	0	150	150	150	3	0	280	2	0	26	2	2	
Δ-limonene	48	0	1290	260	107	792	260	2	688	10	10	10	78	78	78	3	0	233	2	0	26	2	2	
camphene	7	0	406	260	62	260	260	57	748	6	6	6	31	31	31	3	0	210	2	0	26	2	2	
myrcene	37	0	611	260	39	260	218	54	1340	0	0	0	48	48	48	3	0	74	2	0	26	2	2	
α-terpinene	0	0	96	0	0	324	0	0	78	0	0	0	3	3	3	0	0	18	0	0	0	0	0	
β-phellandrene	0	0	221	0	0	779	78	0	488	0	0	0	0	0	0	0	0	35	0	0	0	0	0	
sabinene	0	0	263	0	0	260	0	0	86	0	0	0	129	129	129	0	0	61	0	0	0	0	0	
p-cymene	0	0	462	0	0	221	2	0	173	8	8	8	0	0	0	0	0	162	0	0	0	0	0	
ocimene	0	0	20	0	0	0	0	0	0	10	10	10	0	0	0	0	0	248	0	0	0	0	0	
α-thujene	0	0	82	0	0	26	0	0	0	0	0	0	5	5	5	0	0	91	0	0	0	0	0	
terpinolene	0	0	37	0	0	75	2	0	10	9	9	9	0	0	0	0	0	34	0	0	0	0	0	
γ-terpinene	0	0	7	0	0	70	2	0	8	0	0	0	5	5	5	0	0	28	0	0	0	0	0	
methanol	1120	1120	1120	2400	2400	2400	2400	2400	2400	600	600	600	600	600	600	600	600	2400	480	480	480	480	480	
ethene	74	74	74	158	158	158	158	158	158	40	40	40	40	40	40	40	40	158	32	32	32	32	32	
propene	74	74	74	158	158	158	158	158	158	40	40	40	40	40	40	40	40	158	32	32	32	32	32	
ethanol	121	121	121	259	259	259	259	259	259	65	65	65	65	65	65	65	65	259	52	52	52	52	52	
acetone	102	102	102	218	218	218	218	218	218	55	55	55	55	55	55	55	55	218	44	44	44	44	44	
hexanal	38	38	38	82	82	82	82	82	82	20	20	20	20	20	20	20	20	82	16	16	16	16	16	
hexenol	156	156	156	333	333	333	333	333	333	83	83	83	83	83	83	83	83	333	67	67	67	67	67	
hexoyleacetate	166	166	166	355	355	355	355	355	355	89	89	89	89	89	89	89	89	355	71	71	71	71	71	
formaldehyde	70	70	70	150	150	150	150	150	150	38	38	38	38	38	38	38	38	150	30	30	30	30	30	
acetaldehyde	51	51	51	110	110	110	110	110	110	28	28	28	28	28	28	28	28	110	22	22	22	22	22	
butene	33	33	33	70	70	70	70	70	70	18	18	18	18	18	18	18	18	70	14	14	14	14	14	
ethane	18	18	18	38	38	38	38	38	38	10	10	10	10	10	10	10	10	38	8	8	8	8	8	
formic acid	54	54	54	115	115	115	115	115	115	31	31	31	31	31	31	31	31	115	23	23	23	23	23	
acetic acid	35	35	35	75	75	75	75	75	75	20	20	20	20	20	20	20	20	75	15	15	15	15	15	
butenone	20	20	20	44	44	44	44	44	44	12	12	12	12	12	12	12	12	44	9	9	9	9	9	
Carbon monoxide	490	490	490	1050	1050	1050	1050	1050	1050	264	264	264	264	264	264	264	264	1050	210	210	210	210	210	
Other reactive VOC	57	0	57	122	122	122	122	122	122	31	31	31	31	31	31	31	31	122	25	25	25	25	25	

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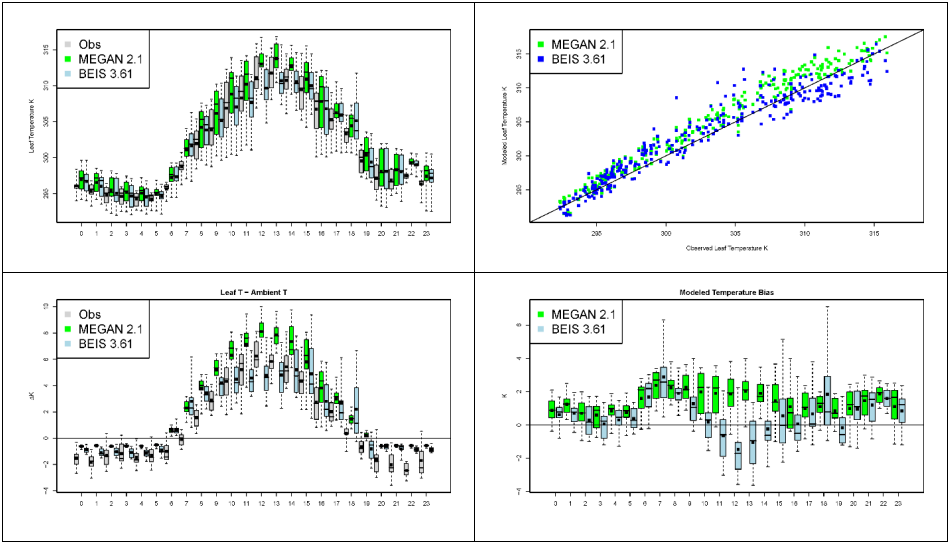
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1018 Table 3. Model evaluation against field campaigns and network observations.

	Scenario	Location	Units	N	Average	Average	Median	Median	Average	Average	Fractional	Fractional
					Observation	Prediction	Bias (%)	Error (%)	Bias	Error	Bias (%)	Error (%)
Isoprene	BEIS v3.14	Blodgett Forest	ppb	155	1.4	2	26.0	56.0	0.5	1.1	-0.4	73.9
Isoprene	BEIS v3.6 WRF par	Blodgett Forest	ppb	155	1.4	1.5	-6.0	49.0	0.1	0.8	-22.3	70.3
Isoprene	BEIS v3.61 SAT par	Blodgett Forest	ppb	155	1.4	1.4	-18.0	49.0	0.0	0.9	-34.4	76.3
Isoprene	MEGAN v2.1 WRF par	Blodgett Forest	ppb	153	1.4	4.6	203.0	203.0	3.2	3.5	60.3	108.6
Isoprene	MEGAN v2.1 SAT par	Blodgett Forest	ppb	153	1.4	3.4	97.0	110.0	2.0	2.5	26.3	101.5
Monoterpenes	BEIS v3.14	Blodgett Forest	ppb	855	0.7	0.8	-10.0	43.0	0.1	0.4	-13.8	58.0
Monoterpenes	BEIS v3.61 WRF par	Blodgett Forest	ppb	855	0.7	0.6	-20.0	40.0	-0.1	0.3	-31.2	57.2
Monoterpenes	BEIS v3.61 SAT par	Blodgett Forest	ppb	855	0.7	0.6	-21.0	41.0	-0.1	0.3	-33.2	58.6
Monoterpenes	MEGAN v2.1 WRF par	Blodgett Forest	ppb	855	0.7	0.4	-42.0	44.0	-0.3	0.4	-64.1	69.2
Monoterpenes	MEGAN v2.1 SAT par	Blodgett Forest	ppb	855	0.7	0.5	-32.0	39.0	-0.2	0.3	-45.8	58.5
MVK+MACR	BEIS v3.14	Blodgett Forest	ppb	157	1.3	0.9	-29.0	33.0	-0.4	0.5	-44.5	60.8
MVK+MACR	BEIS v3.61 WRF par	Blodgett Forest	ppb	157	1.3	1.4	-4.0	43.0	0.1	0.7	-21.9	65.2
MVK+MACR	BEIS v3.61 SAT par	Blodgett Forest	ppb	157	1.3	1.3	-9.0	47.0	0.0	0.7	-31.8	69.3
MVK+MACR	MEGAN v2.1 WRF par	Blodgett Forest	ppb	155	1.3	2.5	69.0	83.0	1.2	1.6	28.3	82.7
MVK+MACR	MEGAN v2.1 SAT par	Blodgett Forest	ppb	155	1.3	1.6	12.0	61.0	0.4	1.0	-11.4	77.7
Wind Speed	WRF	Cool	m/s	920	2.1	2.8	37.0	40.0	0.7	0.9	30.4	39.3
Wind Speed	WRF	Sacramento	m/s	1266	2.1	2.8	38.0	41.0	0.8	0.9	34.0	41.8
Wind Speed	WRF	Blodgett Forest	m/s	1035	1.5	2.9	104.0	104.0	1.3	1.4	63.9	66.9
Temperature	WRF	Cool	C	1786	22.2	23.1	5.0	7.0	0.9	1.6	5.3	8.1
Temperature	WRF	Sacramento	C	1721	22.2	22.5	2.0	5.0	0.2	1.4	1.6	6.4
Temperature	WRF	Blodgett Forest	C	1035	18.4	22.6	28.0	29.0	4.2	5.6	28.4	34.1
PAR	WRF	Blodgett Forest	watts/m2	1056	148.3	167.6	0.0	47.0	19.2	45.5	-11.3	52.3
PAR	Satellite estimate	Blodgett Forest	watts/m2	1056	148.3	131.5	0.0	30.0	-16.8	44.3	-39.5	58.0
PM2.5 organic carbon	BEIS v3.14	IMPROVE sites	ug/m3	141	1.7	1.1	-34.0	49.0	-0.6	1.0	-43.2	69.6
PM2.5 organic carbon	BEIS v3.61 WRF par	IMPROVE sites	ug/m3	141	1.7	1.1	-35.0	50.0	-0.6	1.0	-44.9	70.9
PM2.5 organic carbon	BEIS v3.61 SAT par	IMPROVE sites	ug/m3	141	1.7	1.1	-35.0	50.0	-0.6	1.0	-45.6	71.5
PM2.5 organic carbon	MEGAN v2.1 WRF par	IMPROVE sites	ug/m3	141	1.7	1.8	8.0	43.0	0.1	1.2	-0.8	57.9
PM2.5 organic carbon	MEGAN v2.1 SAT par	IMPROVE sites	ug/m3	141	1.7	2.2	11.0	47.0	0.5	1.4	9.1	62.5
O3 greater than 60	BEIS v3.14	AQS sites	ppb	7125	70.9	64.8	-8.0	13.0	-6.1	11.2	-10.1	16.9
O3 greater than 60	BEIS v3.61 WRF par	AQS sites	ppb	7125	70.9	64.7	-8.0	13.0	-6.2	11.0	-10.1	16.7
O3 greater than 60	BEIS v3.61 SAT par	AQS sites	ppb	7125	70.9	64.3	-9.0	13.0	-6.6	11.0	-10.8	16.8
O3 greater than 60	MEGAN v2.1 WRF par	AQS sites	ppb	7125	70.9	65.4	-9.0	14.0	-5.5	12.0	-9.5	17.8
O3 greater than 60	MEGAN v2.1 SAT par	AQS sites	ppb	7125	70.9	62.1	-12.0	14.0	-8.8	11.9	-14.1	18.3
O3 less than 60	BEIS v3.14	AQS sites	ppb	48939	32.0	41.0	29.0	33.0	8.9	11.2	30.2	36.6
O3 less than 60	BEIS v3.61 WRF par	AQS sites	ppb	48939	32.0	40.8	29.0	32.0	8.8	11.1	29.8	36.4
O3 less than 60	BEIS v3.61 SAT par	AQS sites	ppb	48939	32.0	40.7	29.0	32.0	8.7	11.0	29.4	36.2
O3 less than 60	MEGAN v2.1 WRF par	AQS sites	ppb	48939	32.0	41.7	32.0	34.0	9.7	11.8	31.9	37.9
O3 less than 60	MEGAN v2.1 SAT par	AQS sites	ppb	48939	32.0	40.7	29.0	32.0	8.7	11.0	30.0	36.4

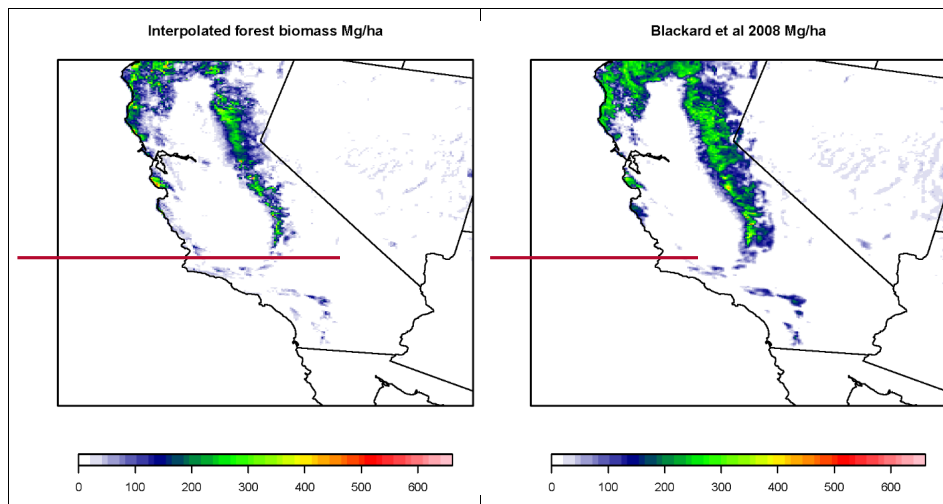
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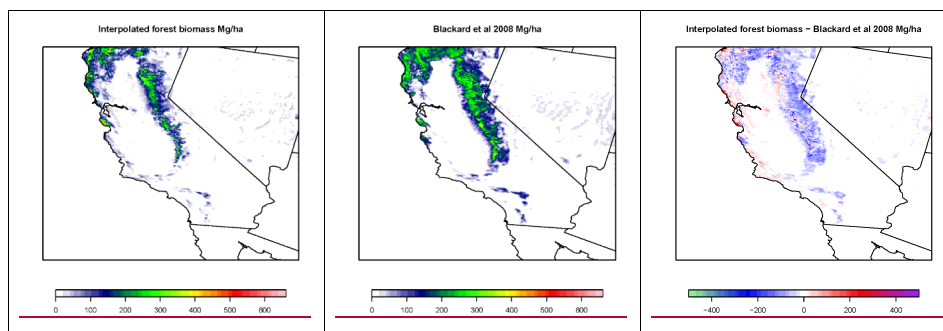


1021 Figure 1. Diurnal observed, MEGAN 2.1 and BEIS 3.61 estimated leaf temperatures (top left);
1022 MEGAN 2.1 and BEIS 3.61 leaf temperature estimates plotted against skin temperature
1023 observations (top right); observed, MEGAN 2.1, and BEIS 3.61 estimated gradient between leaf
1024 and ambient temperatures (bottom left); MEGAN 2.1 and BEIS 3.61 estimated leaf temperature
1025 biases (model-observed) (bottom right).

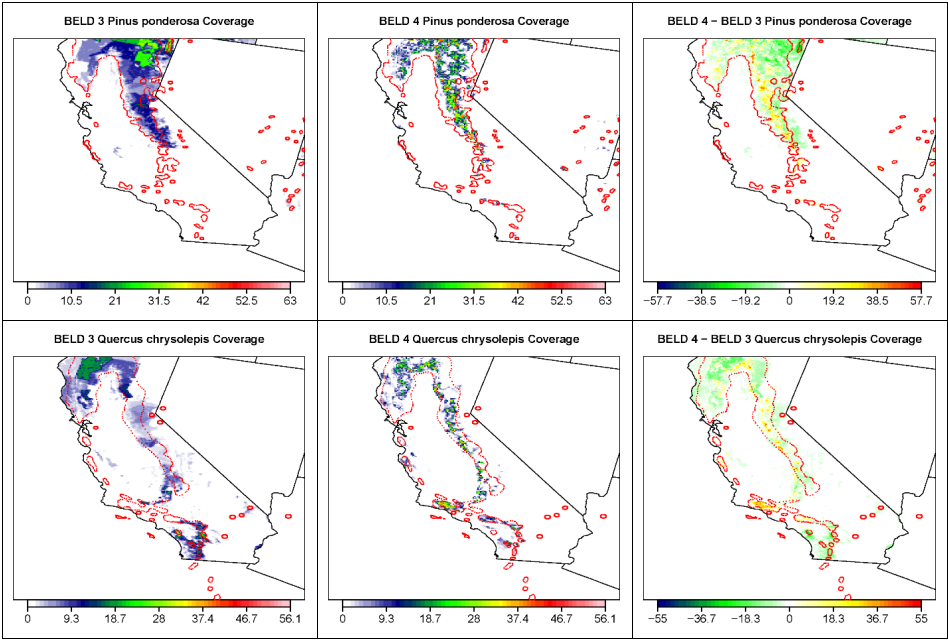
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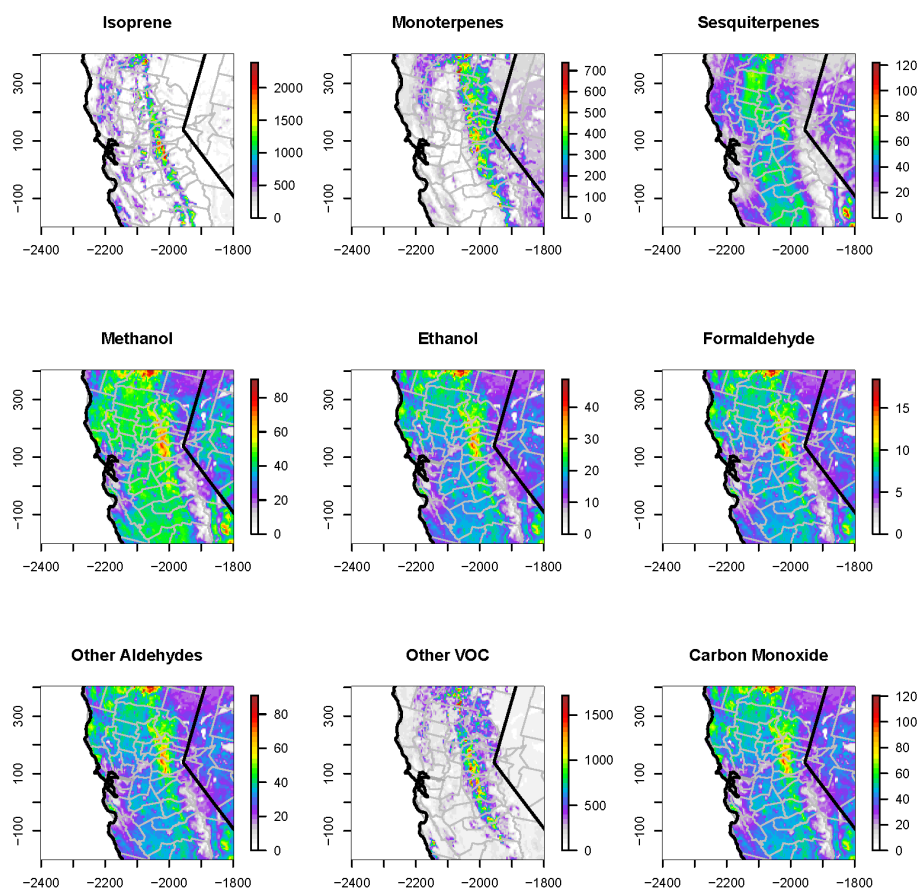
1027 Figure 2. Total above ground forest biomass (Mg/ha) estimates for BELD 4 (left) and Blackard
 1028 et al 2008 (right) projected onto the 4 km California model domain.



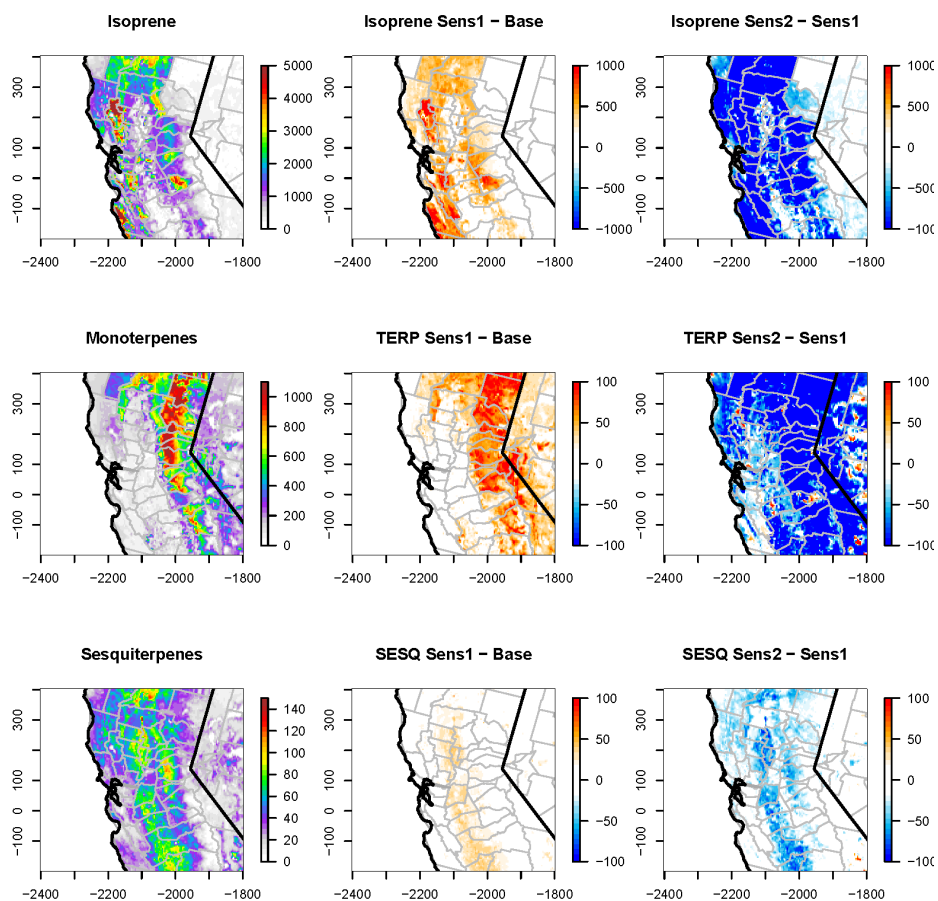
1029 Figure 2. Total above ground forest biomass (Mg/ha) estimates for BELD 4 (left) and Blackard
 1030 et al. 2008 (center) projected onto the 4km California model domain, and BELD 4 - the 4km
 1031 projected Blackard et al. 2008 (right).



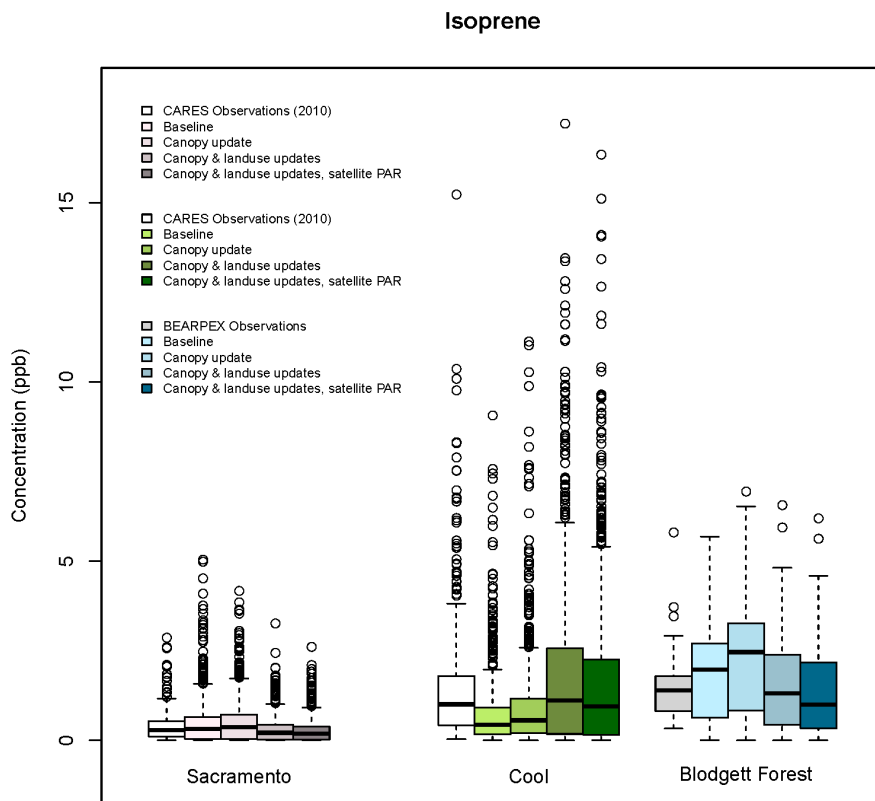
1035 Figure 3. BELD 3 spatial allocation of Ponderosa Pine (*Pinus ponderosa*, top left), BELD 4
1036 spatial allocation, (top center), and the absolute difference between the BELD 4 and BELD 3
1037 spatial allocation (top right). BELD 3 spatial allocation of Canyon Live Oaks (*Quercus*
1038 *chrysolepis*, top left), BELD 4 spatial allocation, (top center), and the absolute difference
1039 between the BELD 4 and BELD 3 spatial allocation (top right). The natural range maps of
1040 Critchfield and Little (1966) and Little (1971; 1976) are represented by the dashed red lines.



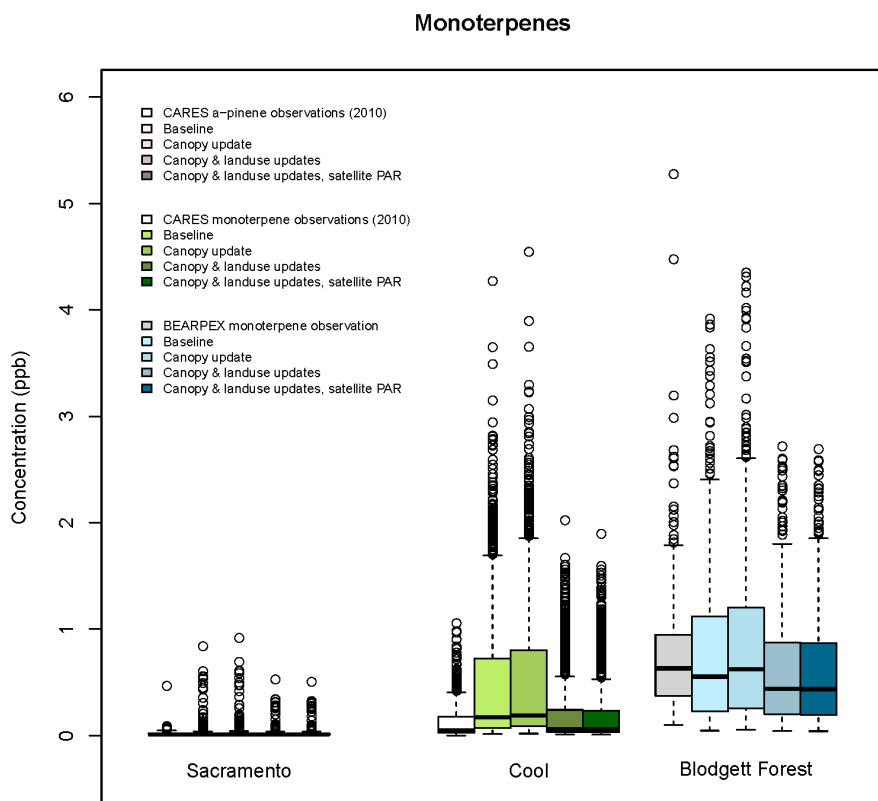
1041
 1042 Figure 4. BEIS 3.61 /BELD 4 estimated total emissions (tons) for the modeling period.
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1044
 1045 Figure 5. Baseline BEIS 3.14 /BELD 3 emissions (tons; left column) and difference between
 1046 canopy update and baseline BEIS 3.61 /BELD 3 (center column) and between the canopy update
 1047 and landuse/vegetation species updates BEIS 3.61 /BELD 4 (right column).



1048
 1049 Figure 6. Distribution of observed and modeled isoprene. Observations at Sacramento and Cool
 1050 represent June 2010. Observations at Blodgett Forest match the modeled period.



1051
 1052 Figure 7. Distribution of observed and modeled monoterpenes. Observations at Sacramento and
 1053 Cool represent June 2010. Observations at Blodgett Forest match the modeled period.

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