

# Response to Reviewers of GMDD-8-7983-2015, ‘Impact of ocean coupling strategy on extremes in high-resolution atmospheric simulations’

C. M. Zarzycki, K. A. Reed, J. Bacmeister, A. P. Craig, S. C. Bates, N. A. Rosenbloom

January 8, 2016

## Response to Reviewer #1

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*The aim of this paper is to emphasize the role of the model configuration in the computation of the atmosphere/ocean fluxes as well as their feedback on TCs. When the ocean grid is coarser than the atmospheric one, wind stresses computed on the coarser grid tend to be underestimated and cannot modulate the atmospheric winds, leading to overestimated TC strength. The authors demonstrate that fluxes should be calculated on the finest grid to allow better equilibrium between the two models.*

*The paper is clear and well written and the problematic is easy to understand for the reader. The experimental protocol has been well constructed to answer the question. Some deterministic simulations have been performed to illustrate the effect of the resolution on forecast of the real case of Leslie.*

*For the reasons explained in the next section, I do not think that the paper is ready for publication. Nevertheless, since the changes that I would suggest to do are not so large (addressing other characteristics of TCs in the first part and comparing deterministic simulations with observations of Leslie, deeper discussion on surface heat fluxes), I suggest that the paper may be accepted after minor revision.*

**Reply:** Thank you for your prompt review and insightful comments.

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*It would have been interesting to assess some other characteristics of TC activity, such as life duration, track density (are the tracks impacted by the way stress are calculated) as well as associated phenomena such as rainfall but it would result in a longer paper.*

**Reply:** We have added average storm duration to Table 1 since it was a trivial calculation given the post-processed tracking output. Mean storm lifetime only differs by approximately 2% between the simulations. This further underscores that the overall distribution of storms produced is similar; it is the tail of the intensity distribution (most extremes TCs) that is shifted due to the coupling feedback described in this manuscript. A cursory look at track density for all tracked TCs (Fig. 1) shows no fundamental shifts (spatially) that appear to be significant. ‘The same relationship holds true for mean storm lifetime. Spatial plots of TC track density also show no discernible difference (not shown).’ has been added to the manuscript to address both of these points.

Since precipitation is driven by dynamical processes within the core of the TC, we anticipate the differences in precipitation between configurations would be somewhat analogous to that seen in intensity, with most intense precipitation rates associated with TCs being higher in the configuration which produced stronger TCs (ne120\_gx1v6). Using precipitation data from 1980-1993 (this data was already regridded for analysis purposes), the mean integrated precipitation rate (within 2° of the sea level pressure minimum) for all TCs was 2% higher in the ne120\_gx1v6 configuration, although there is significant variability between individual storms, likely due to differing sizes. ‘This is further corroborated by the mean TC precipitation rate (integrated over a 2x2° domain over the TC center for all storms), which is approximately 2% higher using the ne120\_gx1v6 configuration’ has been added to the revised manuscript to note this.

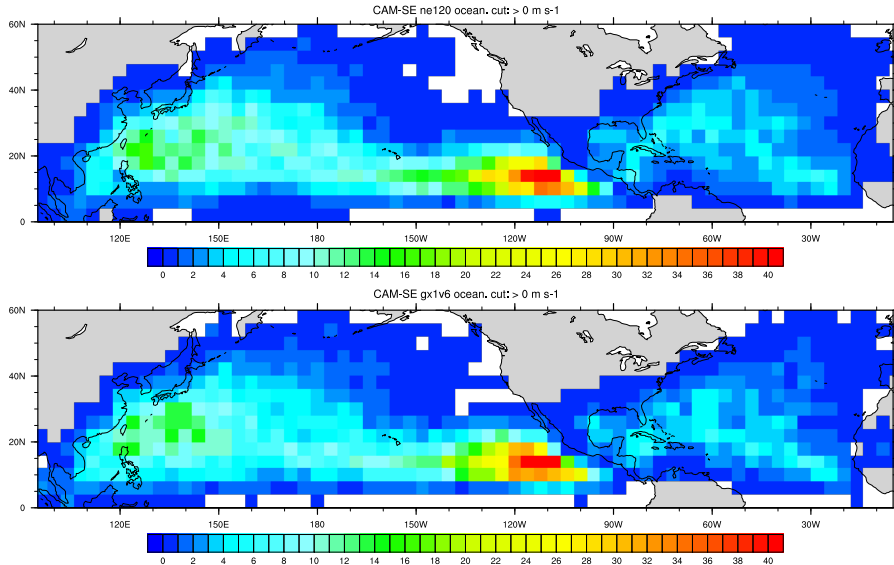


Figure 1: Mean annual track density for  $4 \times 4^\circ$  gridboxes for the ne120\_ne120 (top) and ne120\_gx1v6 simulations (bottom). A ‘hit’ occurs when a 6-hour tracked TC location occurs in a particular gridbox.

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*Deterministic simulations of hurricane Leslie are done to illustrate what have been showed in the climate simulations. If the effect of the grid resolution on wind stresses is clear in figure 5, the realism of the simulated hurricane has not been assessed, which should be, in my sense, the objective of such simulations. For example, it is not clear in figure 5 if resulting winds are different between the different simulations and which one is the nearest to real winds observed during Leslie. I do not really understand the usefulness of such simulations if comparison with observations is not undertaken. Climate simulations may be sufficient to demonstrate the impact of the resolution on wind stresses by a statistical approach.*

**Reply:** We have added ‘The simulated intensity of Hurricane Leslie at 120 hours (as measured by minimum sea level pressure) was 950 and 958 hPa for the ne240\_gx1v6 and ne240\_ne240 configurations, respectively. Both configurations predicted a TC stronger than the observed intensity at that forecast time (988 hPa), in broad agreement with previous work that has shown CAM5-SE produces TCs which are (on average) too intense in forecast frameworks at  $0.125^\circ$  resolution [Zarzycki and Jablonowski, 2015]. However, it should be emphasized that we are not concerned with forecast verification, but rather, the relative differences that arise due to coupling strategy despite identically-initialized cases to confirm differences suggested by the analysis of the decadal simulations. Highly similar results to those highlighted here would be expected when using different historical TCs or even more idealized frameworks.’ which addresses this point.

Essentially, the choice of simulation is not critical to the results of this study. The same results were seen with a different TC during the 2012 season (Hurricane Michael, not shown). The only criteria is that the simulations are initialized with identical initial conditions, use identical forcing, and are on identical grids except for the one used to calculate the air-sea fluxes and wind stresses.

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*Figure 5 d, e and f show some results on surface heat flux (SHF); I wonder whether these results and related comments are useful in the paper. Indeed, since the reader understands well in which wind stress feedback impact strength of TCs, he may not be aware on the effect of SHF differences on TC characteristics. I suggest to suppress this part or explicitly show in what way differences in SHF influence the TCs.*

**Reply:** To further show how heat flux patterns can influence TCs, we have added the following text: ‘The pattern of surface heat and moisture fluxes underneath TCs has been shown to be critically important in intensification processes [Peng et al., 1999, Chan et al., 2001, Wang and Wu, 2004]. Therefore, the choice of coupling grid may play an indirect role in storm energetics, with the  $1^\circ$  ocean grid providing a larger, more diffuse source of surface heating to the boundary layer within the TC core.’

While we understand that the impact of enthalpy (sensible and latent heat) fluxes on TC structure and intensity are highly complex, we feel that prior research (e.g., Peng et al. [1999], Chan et al. [2001], Wang and Wu [2004]) has indicated that the spatial patterns and subsequent phasing of these quantities with other dynamical aspects of the storm (e.g., moisture convergence) are critically important to TC representation. While the wind stress appears to play a much larger role in the mean climatology, it is worth noting that the aspects of coupling discussed in this manuscript may also play roles in other TC processes.

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**Title:** *You should mention explicitly ‘tropical cyclones’ instead of ‘extremes’ since it is the only phenomena assessed in the study.*

**Reply:** We have changed the title to ‘Impact of surface coupling grids on tropical cyclone extremes in high-resolution atmospheric simulations’ (see also Reviewer #2’s comments regarding the title).

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**Page 7987, Line 26:** *The expression ‘prescribed ocean/ice model’ seems to me as misleading. It would be better to mention the ocean grid instead. Indeed, what I understand is that observed SST and ice are prescribed via the coupler CLM as in a fully coupled model but no ocean/ice model is run. I suggest to reformulate the sentence.*

**Reply:** Agreed. To address this, we have changed the referred section to read ‘The first simulation uses prescribed ocean and sea ice conditions applied on a grid where the polar point is displaced over Greenland, which is at approximately 1° horizontal resolution (ne120\_gx1v6). This is coarser than both the atmosphere and land models. The second simulation is identical to the first, except the ocean/ice conditions are applied on the same 0.25° (ne120) grid as the atmosphere and land (ne120\_ne120).’

## Response to Reviewer #2

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*This paper is a short study that points out some spurious effects when the surface fluxes in an atmospheric GCM are computed on a coarser grid. In particular, this leads to wind stress vectors that are not always aligned with the surface wind, leading to a mis-representation of extreme events. The problem is demonstrated here with an atmosphere-only GCM, but it should remain present in coupled mode. This problem may not occur very frequently in practice : not all atmospheric models compute surface fluxes on the ocean grid, and it is probably relatively rare to have a coarser ocean resolution, especially now that surface datasets at 0.25° exist. Still, it is something to be aware of when designing the interface of a GCM (along with the converse issues for the ocean with a coarse atmospheric grid). The problem pointed out may not be immediately apparent as the mean state is not impacted, and the paper shows it in a clear and pedagogic way. It should therefore be a valuable addition to the literature on model development.*

**Reply:** Thank you for your positive feedback.

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*Title could be more specific (fluxes on coarse surface grid... rather than “coupling strategy” when imposed SSTs are used here).*

**Reply:** We have chosen to change the title to ‘Impact of surface coupling grids on tropical cyclone extremes in high-resolution atmospheric simulations’ in response to both this comment and one from Reviewer #1.

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*Maybe a comment could be made in the intro or model section on why the fluxes are computed on the surface model grid in the first place ? (History of higher-resolution surface grid presumably). This would fit with the conclusion that fluxes should always be computed on the finest grid.*

**Reply:** We agree. The first paragraph within the coupling section now reads ‘Historically, this has not been the case, with the surface model (land, ocean, ice) grids being finer than their (more computationally-intensive) atmospheric counterparts. As computing capabilities improve, and smaller atmospheric grid spacings become more common in simulations utilizing prescribed SSTs and ice data forcing, it’s no longer typical for the ocean resolution to be similar or finer in resolution in such setups. Therefore, having the atmospheric grid be the finest in the climate system is the default setup for many high-resolution configurations in CESM.’

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**Conclusion, first line:** "atmospheric extreme climatology" is a bit awkward: distribution of extremes in atmospheric circulation ? Or just "strength of tropical cyclones"?

**Reply:** To address this, we have changed the passage to read 'This manuscript describes biases in the distribution of atmospheric extremes which arise from choice of ocean grid and coupling strategy in CESM.'

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**Figure 5:** Legend does not explain panels (c,f) type of simulation. Note that there are few differences between the (e,f) panels only because the SST used has no smallscale structure; there would presumably be more impacts in the presence of oceanic front or eddies.

**Reply:** We have amended the caption to read '... Right panels (c,f) show version of 1° ocean grid where calculations are instead carried out on the finer atmospheric grid. ...' We have also added 'It should be noted that, as discussed earlier, the resolution of the SST forcing data set is 1°, which provides identical spatial forcing across all configurations. If SSTs were provided at the native resolution of each ocean grid, larger differences would be expected between the ne240\_ne240 and ne240\_gx1v6\_reverse configurations due to additional small-scale forcing (such as ocean fronts and eddies) in the ne240\_ne240 experiment.' to emphasize the second point.

## References

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# Impact of **ocean surface** coupling **strategy** **grids** on **tropical cyclone** extremes in high-resolution atmospheric simulations

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## Abstract

This paper discusses the sensitivity of tropical cyclone climatology to ocean coupling strategy in high-resolution configurations of the Community Earth System Model. Using two supported model setups, we demonstrate that the choice of grid on which the lowest model level wind stress and surface fluxes are computed may lead to differences in cyclone strength in multi-decadal climate simulations, particularly for the most intense cyclones. Using a deterministic framework, we show that when these surface quantities are calculated on an ocean grid that is coarser than the atmosphere, the computed frictional stress is misaligned with wind vectors in individual atmospheric grid cells. This reduces the effective surface drag, and results in more intense cyclones when compared to a model configuration where the ocean and atmosphere are of equivalent resolution. Our results demonstrate that the choice of computation grid for atmosphere/ocean interactions is non-negligible when considering climate extremes at high horizontal resolution, especially when model components are on highly disparate grids.

## 1 Introduction

The use of general circulation models (GCMs) to evaluate global tropical cyclone (TC) characteristics in current and future climate has grown considerably over the last decade. It has been shown that GCMs can model TCs at horizontal resolutions of approximately 100 km grid spacing, albeit with limitations (e.g., Bengtsson et al., 2007; Knutson et al., 2010; Strachan et al., 2013). As GCMs have advanced to even higher horizontal resolutions (i.e.,  $\leq 50$  km) the simulated climatology of tropical cyclones has improved greatly (e.g., Oouchi et al., 2006; Zhao et al., 2009; Murakami et al., 2012; Manganello et al., 2012; Satoh et al., 2012; Bacmeister et al., 2014; Wehner et al., 2014; Reed et al., 2015). Furthermore, the use of variable-resolution GCMs has shown to be useful for the study of regional TC climatologies at reduced computational cost compared to equivalent global high-resolution

simulations, providing further resources capable of pushing climate simulations to finer grid spacings (Zarzycki et al., 2014a; Zarzycki and Jablonowski, 2014).

Recently, intercomparisons have shown that the range of simulated TC climatology across different climate models can be large (Camargo, 2013; Walsh et al., 2015). It has also been shown that, within individual GCMs, TC characteristics can vary greatly depending on model design choices. Various studies have documented the large uncertainty in TC simulations due to the choice of individual subgrid parameterizations, such as cumulus parameterizations (e.g., Kim et al., 2012; Reed and Jablonowski, 2011a; Lim et al., 2014), while others have focused on differences due to changes in whole parameterization suites (Reed and Jablonowski, 2011b; Bacmeister et al., 2014). The dynamical core, the main fluid flow component of a GCM, has also been shown to be an important source of uncertainty for TC simulations, though less widely documented (Reed and Jablonowski, 2012; Zhao et al., 2012; Reed et al., 2015).

In this manuscript we describe another mechanism through which simulated TC properties are influenced by model design choices, in particular, the manner in which the ocean and atmosphere are coupled within the climate system. Specifically, we will utilize the Community Atmosphere Model version 5 (CAM5), within the Community Earth System Model (CESM), to explore the impact of two different strategies for coupling to a prescribed ocean. CAM5 has shown increasing ability to model tropical cyclones at high horizontal resolutions of  $0.25^\circ$  (Bacmeister et al., 2014; Zarzycki and Jablonowski, 2014; Wehner et al., 2014, 2015; Reed et al., 2015) and a similar model setup will be used for part of this study.

The remainder of the paper is organized as follows. Section 2 provides an introduction to the modeling system used in this study and how coupling between the atmosphere and ocean is treated. Section 3 investigates the impact on multi-year climate simulations while Sect. 4 details the sensitivity of TCs to the ocean grid using a deterministic forecast framework. Section 5 discusses the results and offers further insight into their implications.

## 2 Model description

### 2.1 Community Earth System Model

In this paper, we utilize CESM, which is a community climate model allowing for atmospheric simulations to be coupled to land, ocean, and ice models (Hurrell et al., 2013). The atmospheric component, CAM5 (Neale et al., 2012), is configured with the Spectral Element (SE) dynamical core. SE is the newest dynamical core available in CAM5 and is based upon continuous Galerkin spectral finite elements which are applied on a cubed-sphere grid (Taylor et al., 1997; Thomas and Loft, 2005; Taylor and Fournier, 2010). In addition to attractive conservation characteristics (Taylor, 2011), CAM-SE has shown appealing scaling properties since atmospheric primitive equations are solved locally on individual elements (Dennis et al., 2012; Evans et al., 2013). The land model is the Community Land Model (CLM) version 4.0 run in satellite phenology (SP) mode (Oleson et al., 2010). While CESM also allows for coupling to dynamic ocean and ice models, all of the simulations here utilize prescribed sea surface temperatures (SSTs) and ice cover concentrations. Using data forcing for the ocean and ice models is a commonly-used configuration to minimize the computational cost associated with high-resolution atmospheric modeling (Walsh et al., 2015). In the default CESM configuration, prescribed SSTs and ice are passed to the model on a  $1^\circ \times 1^\circ$  grid and internally interpolated to the particular ocean and ice grids.

### 2.2 Coupling within CESM

When all earth system model components operate on identical grids, vertical coupling (such as between the ocean surface and lowest level of the atmosphere) is straightforward. However, since components are generally not integrated on the same spatial grid, CPL7 is used to couple these components to one another within the CESM framework (Craig et al., 2012). The coupler utilizes remapping weights to regrid quantities which are needed across model components. Figure 1 provides a schematic of the standard coupling process when differences exist between, for example, the resolution of the atmosphere and ocean grids



in CESM. In this case, the atmospheric grid (red) is of finer resolution. As Historically, this has not been the case, with the surface model (land, ocean, ice) grids being finer than their (more computationally-intensive) atmospheric counterparts. As computing capabilities improve, and smaller atmospheric grid spacings become more common in simulations utilizing prescribed SSTs and ice data forcing, it's no longer typical for the ocean resolution to be similar or finer in resolution in such setups. Therefore, having the atmospheric grid be the finest in the climate system is the default setup for many high-resolution configurations in CESM.

Atmospheric variables, such as winds (black vectors; taken here to approximate the flow associated with a Northern Hemisphere tropical cyclone), are computed on the atmospheric grid (Fig. 1a). Generally, CESM has computed atmosphere/ocean fluxes on the ocean grid. Therefore, when coupling is required, these values are then conservatively remapped to the ocean grid (blue) (Fig. 1b). Surface momentum stress ( $\tau$ , gray vectors) and sensible and latent heat fluxes (not shown) are calculated on the ocean grid using these remapped values (Fig. 1c). The calculated quantities are then remapped back to the atmospheric grid using either conservative remapping or bilinear interpolation (Fig. 1d), where they are used by the atmospheric component of the model for integration (Fig. 1e). While the exact techniques that various GCMs use to couple model components are not identical, this general framework of mapping required quantities across grids is commonly used.

### 3 Climate simulations

We first compare TC statistics in two multi-decadal climate simulations using  $0.25^\circ$  ( $\sim 28$  km, denoted as ne120 on the spectral element cubed sphere grid) resolution for the atmosphere. Both simulations follow Atmospheric Model Intercomparison Project (AMIP) protocols (Gates, 1992) and are coupled to CLM on a standard latitude-longitude grid with an equivalent resolution of approximately  $0.25^\circ$ . The first simulation ~~is coupled to a prescribed ocean/ice model~~ uses prescribed ocean and sea ice conditions applied on a grid where the polar point is displaced over Greenland, which is at approximately  $1^\circ$  horizontal resolu-

tion (ne120\_gx1v6). This is coarser than both the atmosphere and land models. The second simulation is identical to the first, except the prescribed ocean/ice model operates conditions are applied on the same  $0.25^\circ$  (ne120) grid as the atmosphere and land (ne120\_ne120). For both simulations, all atmosphere/ocean coupling calculations are carried out on the ocean grid. We note that these are both supported, “out of the box”, grid configurations in CESM. SSTs and ice coverage are applied using the monthly  $1^\circ$  Hadley Centre Sea Ice and Sea Surface Temperature dataset (HadISST, Hurrell et al. (2008)). While the ocean/ice model may operate on the  $0.25^\circ$  grid, all data is interpolated from the same  $1^\circ$  dataset. Therefore, the higher resolution ocean grid does not provide more spatial structure in surface forcing, isolating the effect of solely the resolution of the coupling calculations.

Both simulations are integrated from 1980 to 2005. Taylor statistics for the 1980–2000 global-mean quantities for sea-level pressure (PSL), total precipitable water (TMQ), total precipitation rate (PRECT), 200 hPa zonal wind (U200), 850 hPa zonal wind (U850), 600 hPa relative humidity (RH600) and 500 hPa temperature (T500) are shown in Fig. 2. The two simulations are compared to observational datasets including NCEP (Kalnay et al., 1996) (PSL, U200, U850, RH600, T500), MERRA (Rienecker et al., 2011) (TMQ), and TRMM (Huffman et al., 2007) (PRECT). The absolute distance from the origin (lower left) represents the magnitude of the spatial variability within the domain (as measured by normalized standard deviation) while the spatial correlation is plotted as the radial angle between the model marker and the origin. A comprehensive discussion of Taylor diagram analysis can be found in Taylor (2001). Red dots highlight the climatology of the ne120\_ne120 simulation while blue dots show the same for the ne120\_gx1v6 simulation. This analysis is only concerned with the relative difference between the two simulations and, therefore, whether or not mean climatology is impacted by choice of coupling grid. A thorough analysis to understand why each parameter is modeled with their particular skill in CAM5 itself is beyond the scope of this paper. We do note, however, that the results are consistent with skill scores reported in previous CAM5 modeling studies, such as Bacmeister et al. (2014) (their Figs. 2 and 3) and Zarzycki et al. (2015) (their Fig. 9).

The most notable result from assessing this skill is the two simulations are highly similar in a global climatological sense. All markers representing the ne120\_gx1v6 simulation overlap with their corresponding variable from the ne120\_ne120 simulation. The occurrence of this overlap highlights that the mean climate state is not impacted by choice of coupling strategy in the climate simulations.

However, while the mean climatologies of the two simulations are essentially identical, notable differences arise when comparing TC statistics between the two simulations. TCs are objectively tracked in model output using the method first outlined in Vitart et al. (1997) and updated by Knutson et al. (2007). The version of the TC tracker applied in this study utilizes 3-hourly model output from the atmospheric component and is described in detail in Zhao et al. (2009). Previous work using this technique to find TCs in CAM/CESM output have produced a reasonable storm climatology both spatially and in terms of storm intensity (Reed et al., 2015). For the tracker, all data is regridded from the CAM-SE ne120 cubed sphere grid to a  $0.25^\circ$  latitude-longitude grid as in Reed et al. (2015). Surface winds (taken to be at a height of 10 m) are approximated from the lowermost model level winds ( $\approx 60$  m) and a logarithmic law as described in Zarzycki and Jablonowski (2014).

Table 1 displays storm counts for all TCs, storms that reach hurricane strength ( $> 33 \text{ m s}^{-1}$ ) and storms that reach major hurricane strength ( $> 59 \text{ m s}^{-1}$ ) on the Saffir-Simpson scale (Simpson, 1974) (major hurricane defined here as categories 4 and 5) for each simulation for 1980 through 2005. Observations from the International Best Track Archive for Climate Stewardship (IBTrACS, Knapp et al. (2010)) for the same time period are provided as a reference. Both simulations produce roughly the same frequency of total storms, with the ne120\_ne120 configuration only producing approximately 4% more TCs annually. [The same relationship holds true for mean storm lifetime. Spatial plots of TC track density also show no discernible difference \(not shown\).](#) However, despite this, the simulation coupled to the lower resolution ocean (ne120\_gx1v6) produces 10% more hurricanes and nearly three times the amount of major hurricanes when compared to the simulation with the higher resolution coupling. Given that the total number of storms is roughly equivalent between the two configurations, this signifies a shift in the overall intensity distribution towards stronger TCs

in the ne120\_gx1v6 setup. This is further corroborated by the mean TC precipitation rate (integrated over a  $2 \times 2^\circ$  domain over the TC center for all storms), which is approximately 2% higher using the ne120\_gx1v6 configuration.

To explore this further, Fig. 3 displays the minimum surface pressure versus maximum 10-m wind speed relationship for TCs in each simulation with a quadratic least squares fit shown as a solid line. IBTrACS observations are again included as a reference. To be consistent with the TC tracker, only storms that reach tropical storm strength in their lifetime are used. At low wind speeds (i.e.,  $< 40 \text{ m s}^{-1}$ ) the relationship between the minimum surface pressure and maximum wind speed for the two model simulations and observations compare well. However, at larger wind speeds the relationship between the two simulations diverges, consistent with the differences in TC counts in Table 1. In particular, the ne120\_gx1v6 simulation produces greater wind speeds at a given minimum pressure than the ne120\_ne120 simulation, suggesting the ocean coupling resolution impact on tropical cyclone intensity is non-negligible, especially with respect to intense TCs.

Figure 4 shows the number of annual 10-m TC wind exceedances in the 3-hourly model output for both category 3 and category 4 storm thresholds. These represent two of the most intense classifications of tropical cyclones, with maximum 10-m winds surpassing 50 and  $59 \text{ m s}^{-1}$ , respectively. The blue curve with open markers indicates the number of 3-hour samples within the TC trajectories which surpass each threshold in the simulation using the  $1^\circ$  ocean/ice grid (ne120\_gx1v6). The red curve with filled markers represents the same for the simulation with the  $0.25^\circ$  ocean/ice grid (ne120\_ne120). From the left panel, we see that for all years (except 1985 and 1988), the simulation coupled to the coarser ocean grid produces a significantly greater frequency of category 3 level winds, with the average number of annual instances being approximately 6 times higher than when using the high-resolution ocean grid. This behavior is even more pronounced in the right panel, where the ne120\_gx1v6 simulation averages approximately 10 instances of category 4 level winds per year. However, this threshold is not exceeded at any point during the 25-year sample in the ne120\_ne120 simulation.

## 4 Deterministic simulations

Since all aspects of the model configurations in Sect. 3 are identical except for the grid on which the prescribed SSTs and ice concentrations are passed to the other model components (and therefore the atmosphere/ocean exchange computation grid), we hypothesize that the marked difference in TC climatology is induced by the coupling strategy and difference in grid resolutions. To assess the differences in simulated TCs in a controlled, deterministic manner, we utilize two identical CAM setups to complete short-term forecast simulations of observed storms. These simulations utilize the new, variable-resolution capability of CAM-SE (Zarzycki et al., 2014b).

The setup is similar to that used in the previous section, but the model is configured with a variable-resolution atmospheric grid with  $0.125^\circ$  ( $\sim 14$  km, ne240) resolution over the Atlantic Ocean. Forecast simulations are initialized with a digitally-filtered atmospheric analysis from the National Centers for Environmental Predictions (NCEP) Global Data Assimilation System (GDAS). Observed SSTs on a  $1^\circ \times 1^\circ$  grid are derived from the NOAA High-resolution Blended Analysis (Reynolds et al., 2007). The land surface is modeled by CLM 4.0 and is initialized with a state nudged to be in balance with the atmospheric initial conditions. The model setup and initialization are both thoroughly detailed in Zarzycki and Jablonowski (2015).

As in the climate simulations, the only difference between the two setups is the grid used by the data ocean and ice models. The first set of simulations uses the aforementioned displaced pole grid with an equivalent resolution of  $1^\circ$  (ne240\_gx1v6) while the second uses an ocean grid identical to the atmospheric grid with an equivalent resolution of  $0.125^\circ$  (ne240\_ne240). Since the SST and ice cover data are provided at coarser scales than the model interpolates to, any differences in the results again arise due to the differences in calculating of surface fluxes and momentum drag on the corresponding ocean grids.

After initialization, each configuration is integrated for 8 days. Figure 5 shows the 120 h forecasts for Hurricane Leslie in the North Atlantic Ocean from the 2012 hurricane season. The simulation was initialized at 00Z on 31 August 2012, making Fig. 5 valid at 00Z on

5 September 2012. The forecast using the  $1^\circ$  ocean grid is on the left (Fig. 5a,d), with the  $0.125^\circ$  ocean grid in the center (Fig. 5b, e). In Fig. 5a, b, d, e all calculations are done on the ocean grid. All fields are extracted from the atmospheric model component. The simulated intensity of Hurricane Leslie at 120 hours (as measured by minimum sea level pressure) was 950 and 958 hPa for the ne240\_gx1v6 and ne240\_ne240 configurations, respectively. Both configurations predicted a TC stronger than the observed intensity at that forecast time (988 hPa), in broad agreement with previous work that has shown CAM5-SE produces TCs which are (on average) too intense in forecast frameworks at  $0.125^\circ$  resolution (Zarzycki and Jablonowski 2009). However, it should be emphasized that we are not concerned with forecast verification, but rather, the relative differences that arise due to coupling strategy despite identically-initialized cases to confirm differences suggested by the analysis of the decadal simulations. Highly similar results to those highlighted here would be expected when using different historical TCs or even more idealized frameworks.

The top panels depict instantaneous lowest model level wind (black vectors) as well as the surface frictional stress vector (red). In Fig. 5a, it is readily apparent that many instances exist where the vectors are not aligned. This results from the surface stress being calculated on the coarser ocean grid. This coarser information is then used to provide stress information at the higher resolution used by the atmospheric numerics (as in Fig. 1). In Fig. 5b, the wind and stress vectors are parallel ( $180^\circ$  difference), indicating that the frictional drag is acting in direct opposition to the wind within the atmospheric dynamical core, which is the expected behavior from theory. The higher resolution ocean grid preserves the resolution of the surface wind field during stress calculations. Because of this, not only are the stress vectors properly aligned with the high-resolution ocean grid, the maximum magnitudes of the stress vectors are larger at the storm's radius of maximum wind in Fig. 5b when compared against a.

This highlights that maxima in the stress field at the atmospheric grid cell scale are conserved with the higher resolution ocean grid, whereas these maxima are "smoothed" in the calculation where wind is first averaged to the coarser ocean grid (Fig. 5a). This is further evidenced by the fact that the integrated dot product (over a  $3^\circ \times 3^\circ$  domain centered over

the TC minimum surface pressure) of the two fields is approximately 20% smaller in the simulations using the 1° ocean grid. Therefore, the use of the coarser ocean grid results in a misaligned, and therefore universally weaker, frictional force fed back to the atmospheric dynamics, leading to enhanced extreme wind speeds.

The cumulative surface heat (sensible plus latent) flux is shown on the bottom of Fig. 5 for the two storms at the same forecast time. It is clear that the coarser ocean grid (Fig. 5d) provides information back to the atmosphere with significantly less spatial structure than the 0.125° ocean grid (Fig. 5e). While the difference in  $5^\circ \times 5^\circ$  integrated heat flux is relatively small (approximately 1%), it is clear that the spatial structure of the heat flux field is very different between the two model configurations. This may further play a role in storm dynamics energetics. The pattern of surface heat and moisture fluxes underneath TCs has been shown to be critically important in intensification processes (Peng et al., 1999; Chan et al., 2001; Wang and Wu, 2004). Therefore, the choice of coupling grid may play an indirect role in storm dynamics energetics, with the 1° ocean grid providing a larger, more diffuse source of surface heating to the TC core than the high-resolution grid boundary layer within the TC core.

To conclusively verify that this discrepancy arises from the choice of coupling, we complete a third simulation, which is identical to the ne240\_gx1v6 simulation, except coupling calculations are carried out on the higher-resolution atmospheric grid (ne240\_gx1v6\_reverse) instead of the ocean. If the TC behavior in the ne240\_gx1v6 configuration is due to errors arising from carrying out computations on the coarser grid in the coupling system, we expect this to be primarily alleviated by ensuring coupling is carried out on the higher-resolution grid. This should result in maximum spatial resolution of the computed fields and no “loss” of information due to interpolation from fine to coarse and back to fine resolutions. Figure 5c, f show the same analysis as with the previous configurations. It is obvious that inverting the grid to ensure calculations of surface stresses and fluxes are done on the higher resolution grid (in this case, the atmosphere) results in a solution that looks much more similar to the configuration where both atmosphere and ocean are of high resolution (Fig. 5b, e). We note that these two simulations (ne240\_ne240 and ne240\_gx1v6\_reverse) are not fully identical due to the fact that remapping is still required in the ne240\_gx1v6\_reverse calcula-

tions. Examples of prominent similarities include the fact that surface stresses are stronger and aligned parallel to lowest model level wind vectors and that there is additional fine scale structure in the total surface flux field. It should be noted that, as discussed earlier, the resolution of the SST forcing data set is  $1^\circ$ , which provides identical spatial forcing across all configurations. If SSTs were provided at the native resolution of each ocean grid, larger differences would be expected between the ne240\_ne240 and ne240\_gx1v6\_reverse configurations due to additional small-scale forcing (such as ocean fronts and eddies) in the ne240\_ne240 experiment.

## 5 Conclusions

This manuscript describes biases in ~~atmospheric extreme climatology~~ the distribution of atmospheric extremes which arise from choice of ocean grid and coupling strategy in CESM. Since surface stress and flux calculations are carried out on the ocean grid, running the model with a coarser ocean than atmosphere presents problems with respect to tropical cyclone (TC) climatology. In particular, surface stress vectors which are passed back to the atmospheric dynamical core following coupling are not aligned with the surface wind due to being computed on a coarser grid. This allows winds near the cores of TCs to become stronger than if the stresses were computed at the same resolution of the atmosphere. Additionally, when surface fluxes are calculated on a coarser grid, the influxes of heat and moisture to the lowest levels of the atmosphere underneath the TC are structurally different, with these quantities being more diffuse and misaligned with the maximum surface wind, in contradiction to bulk aerodynamic flux theory.

The issues outlined in the manuscript underscore that the choice of ocean grid when using prescribed SST and ice data to force a dynamic atmosphere is not trivial, even if the native resolution of this forcing data is relatively coarse. However, they are easy to correct for these configurations, particularly since applying coupled atmosphere-ocean calculations on the same grid is straightforward and computationally inexpensive.



More problematic adjustments may arise when coupling to a dynamical ocean model. The vast majority of coupled, dynamic simulations not only utilize differing resolutions between different model components, but also different numerical techniques and grids. Therefore, remapping between components is, in many cases, an absolute necessity. The obvious recommendation to alleviate coupling inconsistencies when it is not feasible to use identical grids is to calculate these quantities on the finest resolution grid of the coupled system. Performing coupling in this manner ensures that information passed back to a model component has not been interpolated to a resolution coarser than the component's native resolution during the coupling process. In addition, in integrated models which allow for multiple grid options, the choice of the model component defining the grid for these calculations should not be pre-configured for all cases, but rather, determined dynamically based on the various resolutions chosen for the particular model setup.

However, we emphasize that, even when applying this suggestion, it is not clear that it is fully appropriate for coupled dynamical models to be run at highly disparate resolutions from one another, where processes interacting between components may be sufficiently non-linear that essentially averaging from a higher resolution grid is not the most appropriate mechanism. Further work will be required to determine whether or not this is the case. Additionally, this strategy is not elementary when variable-resolution grids are coupled to uniform grids, particularly where the finest and coarsest scales of the multi-resolution grid may straddle the grid scales of the uniform-resolution component. In these cases, the choice of "finer" grid in the atmosphere-ocean coupling will be different depending on the region of interest, and may require even more flexible frameworks, such as exchange grids (Balaji et al., 2006; Valcke et al., 2012).

Our results demonstrate that the mean climatology of the simulations presented here are essentially identical regardless of coupling strategy, highlighting that this impact only becomes readily apparent in the tail of the distributions of interest. However, with climate models being used more and more frequently for direct analysis of extreme events, including TCs, both in present climate and under future scenarios, this sensitivity of model-derived extremes may become more prevalent. This is especially relevant as atmospheric models

continue to march forward with respect to horizontal resolution, and therefore, their ability to dynamically resolve phenomena at smaller and smaller spatial scales. Consideration of these impacts when utilizing high-resolution climate data for analysis is required and modifications to how the current generation of atmospheric models treats coupling between various earth system components in supported configurations may be necessary.

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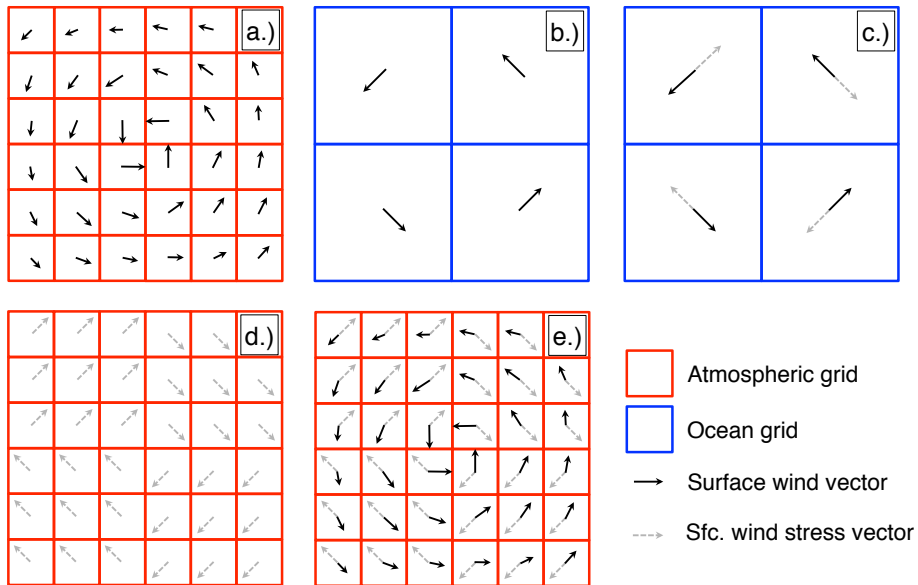
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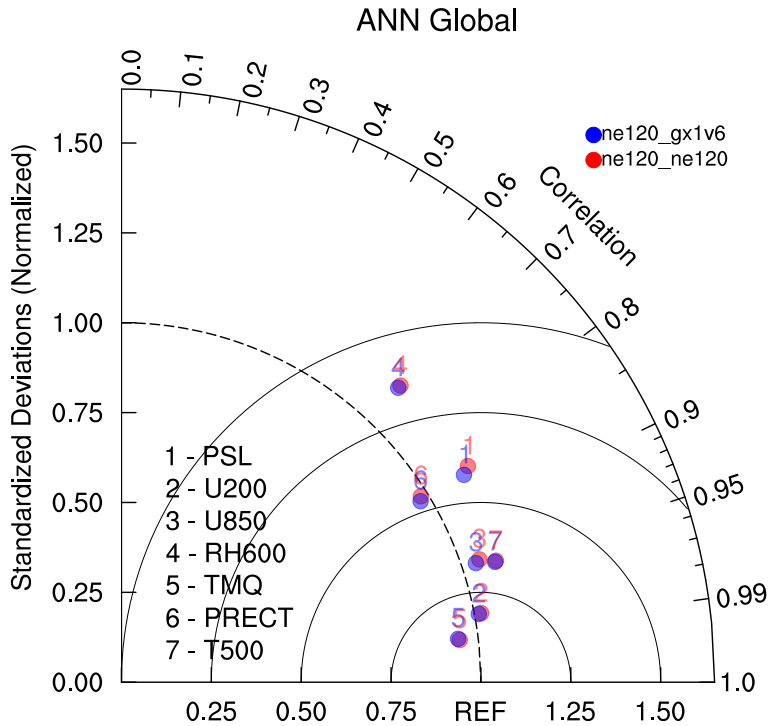


**Table 1.** Annual frequency of global tropical cyclones that reach tropical storm (cat. 0–5), hurricane (cat. 1–5) and major hurricane (cat. 4–5) strength the CAM5 simulations and IBTrACS observations for the time period of 1980 to 2005. The average storm lifetime is also included. The standard deviation for each variable over the sampling period is used as an estimate of uncertainty.

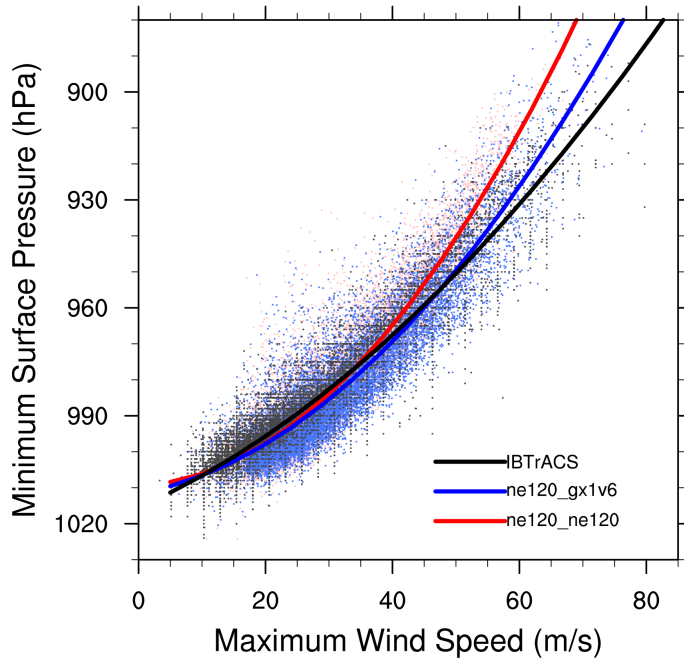
<del>Simulation</del> <u>Simulation</u>	<del>Total Storms</del> <u>Total Storms</u> (#/year)	<del>Hurricanes</del> <u>Avg. Storm Lifetime</u> (hrs.)	<del>Hurricanes</del> <u>Hurricanes</u> (#/year)
IBTrACS	91.6 ± 8.5	<u>170.2 ± 93.2</u>	47.1 ± 5.5
ne120_gx1v6	70.1 ± 9.0	<u>175.4 ± 97.3</u>	55.5 ± 7.7
ne120_ne120	73.2 ± 10.5	<u>179.7 ± 101.9</u>	50.3 ± 8.2



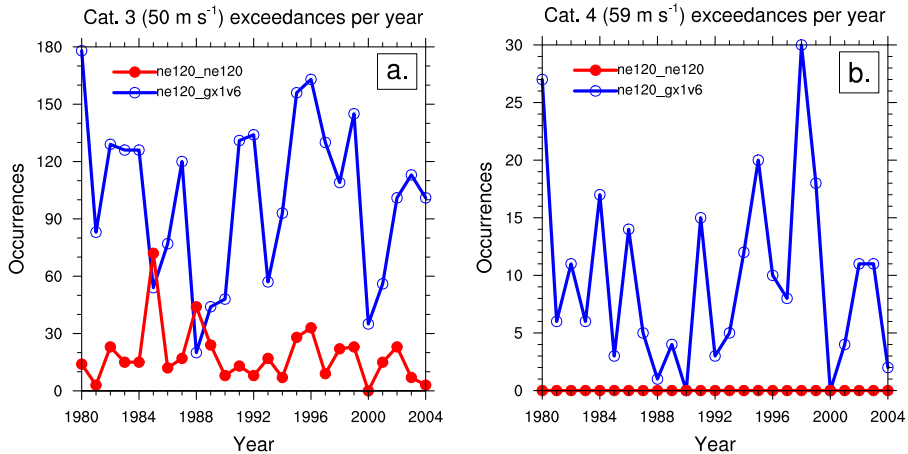
**Figure 1.** Coupling procedure in CESM. Red (blue) boxes indicate atmospheric (ocean) grid cells. Black (Gray) solid (dashed) vectors show surface wind (wind stress) vectors.



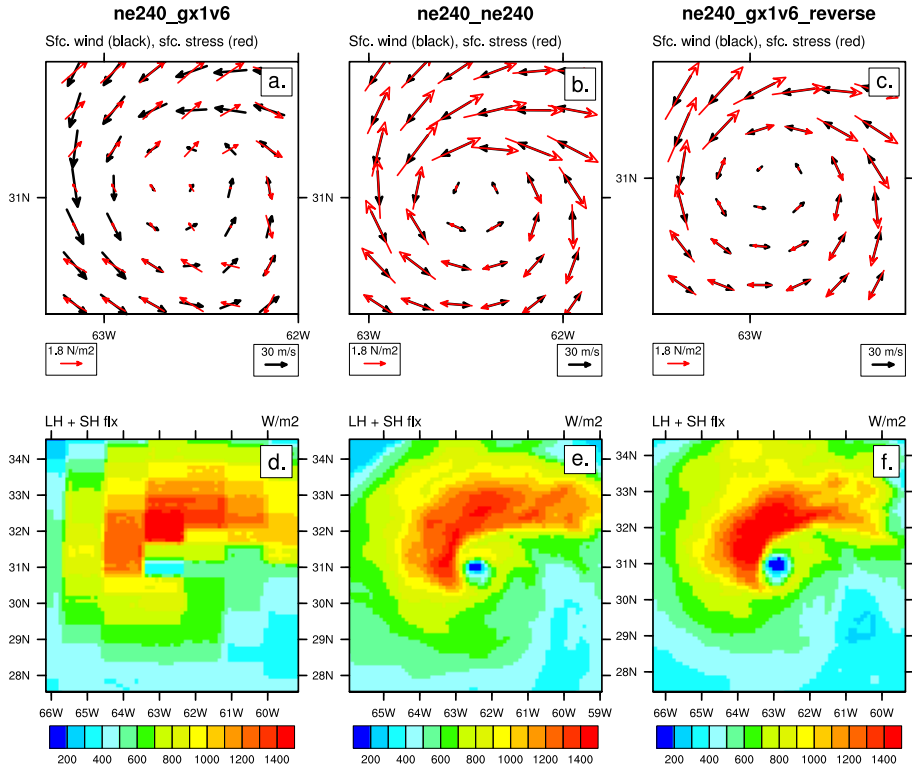
**Figure 2.** Taylor diagram for globally- and annually-averaged climate statistics. Blue circles represent the results from AMIP simulation coupled to  $1^\circ$  ocean grid (ne120\_gx1v6) and red circles represent the same for simulation using  $0.25^\circ$  ocean grid (ne120\_ne120). See text for description of the diagram and explanation of acronyms.



**Figure 3.** Storm minimum surface pressure vs. maximum 10-m wind speed relationship with quadratic least squares fit (solid lines) for the CAM5 simulations and IBTrACS observations from 1980 to 2005. Note that 3-hourly output is used for the model simulations, while the IBTrACS data is 6-hourly.



**Figure 4.** Number of TC surface wind instances exceeding category 3 and category 4 wind thresholds for AMIP simulation coupled to 1° ocean grid (ne120\_gx1v6) and 0.25° ocean grid (ne120\_ne120). Instances are calculated using 3-hourly TC trajectories.



**Figure 5.** 120-hour CAM-SE forecast for Hurricane Leslie, valid at 00Z on 5 September 2012. Left panels (a, d) are results from forecast using  $1^\circ$  ocean grid in the default configuration (calculations carried out on ocean grid). Center panels (b, e) show results using  $0.125^\circ$  ocean grid. Right panels (c, f) show version of  $1^\circ$  ocean grid where calculations are instead carried out on the finer atmospheric grid. Top panels (a–c) display instantaneous wind in the lowest model (black vectors) with corresponding lowest model level wind stress (red vectors). Lower panels (d–f) show total surface flux (latent plus sensible heat).