

1 **Validation of 3D-CMCC Forest Ecosystem Model (v.5.1)** 2 **against eddy covariance data for ten European forest sites**

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33 **Abstract**

34 This study evaluates the performances of the new version (v.5.1) of 3D-CMCC Forest
35 Ecosystem Model (FEM) in simulating gross primary productivity (GPP), against eddy
36 covariance GPP data for ten FLUXNET forest sites across Europe. A new carbon allocation
37 module, coupled with new both phenological and autotrophic respiration schemes, was
38 implemented in this new [daily](#) version. Model ability in reproducing timing and magnitude of
39 daily and monthly GPP fluctuations is validated at intra-annual and inter-annual scale,
40 including extreme anomalous seasons. With the purpose to test the 3D-CMCC FEM
41 applicability over Europe without a site-related calibration, the model has been deliberately
42 parameterized with a single set of species-specific parameterizations for each forest
43 ecosystem. The model consistently reproduces both in timing and in magnitude daily and
44 monthly GPP variability across all sites, with the exception of the two Mediterranean sites.
45 We find that 3D-CMCC FEM tends to better simulate the timing of interannual anomalies
46 than their magnitude within measurements uncertainty. In six of eight sites where data were
47 available the model well reproduces the 2003 summer drought event. Finally, for three sites
48 we evaluate if a more accurate representation of forest structural characteristics (i.e. cohorts,
49 forest layers) and species composition can improve model results. In two of the three sites
50 results reveal that model slightly increases its performances, although, statistically speaking,
51 not in a relevant way.

52

53 1 Introduction

54 Terrestrial ecosystems have a relevant role in the global carbon cycle, acting also as climate
55 regulators (Peters et al., 2007; Bonan, 2008; Huntingford et al., 2009). In fact terrestrial
56 ecosystems store large carbon stocks and cause most of the variance of carbon exchange
57 between the atmosphere and land surfaces (Batlle Bayer et al., 2012). Among terrestrial
58 ecosystems, forests are an essential component in the global carbon cycle because of their
59 high capacity to store carbon in the vegetation and soil pools (Kramer et al., 2002). Through
60 Gross Primary Production (GPP) plants fix atmospheric carbon dioxide (CO₂) as organic
61 compounds, enabling terrestrial ecosystems to offset part of the anthropogenic CO₂ emissions
62 (Janssens et al., 2003; Cox & Jones, 2008; Battin et al., 2009). Consequently, changes in GPP
63 could have relevant impacts on atmospheric CO₂ concentration. Thus, accurately simulating
64 terrestrial GPP is key to quantifying the global carbon cycle and predicting the future
65 trajectories of the atmospheric CO₂ concentration (Wu et al., 2015), and taking into account
66 the various spatial and temporal scales of the processes is a major challenge (Yuan et al.,
67 2007). Terrestrial ecosystem models, used to simulate carbon, water and energy fluxes, are
68 valuable tools for advancing the knowledge of the role of ecosystems in maintaining a
69 multitude of their fundamental services, like the provision of products and the regulation of
70 climate (Ibrom et al., 2006). Such numerical models are also useful to: 1) predict the impacts
71 of climate variability on terrestrial biosphere and related carbon fluxes (Ciais et al., 2005;
72 Brèda et al., 2006; Richardson et al., 2007), ranging from long term anomalies (Santini et al.,
73 2014) up to extreme events (Zscheischler et al., 2014); and 2) reproduce biophysical and
74 biogeochemical feedbacks of vegetation cover and change on climate, especially when
75 coupled to atmosphere-ocean climate models through land surface schemes (Bonan, 2008;
76 Arneth et al., 2012; Taylor et al., 2012).

77 At European level, terrestrial ecosystems have been reported to be a significant sink of CO₂
78 (Luyssaert et al., 2012), with forests playing a relevant role in absorbing anthropogenic
79 emissions for about 10% (Nabuurs et al., 2003; UNECE and FAO, 2011).

80 In the last decades some studies have identified systematic errors when modelling terrestrial
81 ecosystem sensitivity to climate variability at multiple time scales (Friedlingstein et al., 2006;
82 Piao et al., 2013; Dalmonech et al., 2015) while sometimes differences in model predictions
83 are ~~stubbornly~~ very large (Wang et al, 2014a).

84 To improve the models capacity in reproducing relevant processes related to the land carbon
85 cycle, detailed representation of missing processes should be increasingly developed (Sykes et
86 al., 2001; Campioli, et al., 2013; Nolè et al., 2013; Ciais et al., 2013; Prentice et al., 2014).
87 For instance, spatial and temporal environmental heterogeneity is known to play an
88 important role in the dynamics of populations and communities (Kobe, 1996; Chesson, 2000;
89 Clark et al., 2010, 2011). However, the implications of this heterogeneity for developing and
90 testing regional to global scale forest dynamics models that are also able to take into account
91 forest management are still largely ~~unexplored~~ to be explored (Zhang et al., 2014). As
92 reported by Wramneby et al. (2008), incorporating increased mechanistic details is expected
93 to improve the explanatory power of a model. Many models for example calculate leaf
94 photosynthesis through the Farquhar model (Farquhar et al., 1980; Farquhar & Sharkey,
95 1982), while few models take in proper consideration ~~the~~ canopy vertical stratification.
96 Increasing model complexity can sometimes mask a lack of understanding, although models
97 including a larger subset of important processes should be more realistic than a simpler
98 model. However, complex models are tuned to perform well at standard tests but produce
99 widely divergent results when projected beyond the domain of calibration (Prentice et al.,
100 2014). Since European forests are mostly managed and not homogeneous in terms of
101 structure, composition and cohorts, only a few models are able to represent this particular
102 ecosystem complexity and heterogeneity (Grote et al., 2011; Morales et al., 2005; Seidl et al.,
103 2012; Yin et al., 2014). For simulating the impact of forest management on the carbon cycle,
104 it is important to consider the vertical structure of forests and the age-related changes in
105 structure and physiology.

106 In this study we investigate the performance of the new version of the 3D-CMCC Forest
107 Ecosystem Model (FEM, Collalti et al. 2014) in quantifying GPP across different forest types
108 and climate conditions in Europe. In contrast to Dynamic Global Vegetation Models
109 (DGVMs), 3D-CMCC FEM incorporates accurate ~~processes~~ description focusing on the
110 effects of hierarchy in vertical forest structure and ages on productivity and growth at species
111 level. The model has been designed to maintain computational efficiency, as postulated for
112 the Light Use Efficiency (LUE) Models (Monteith, 1977), coupled to the accuracy of the
113 Process-Based Models (PBMs) (Makela, et al., 2000). As described by Wang et al. (2014a,b),
114 a model with both high accuracy and computation efficiency is highly desirable for the
115 purpose of simulating long time series of GPP at high spatial resolution.

116 Thanks to FLUXNET, a global network of flux tower sites, half hourly net CO₂, water and
117 energy eddy covariance (EC) flux measurements (Baldocchi, 2003) are now available for a
118 wide range of forest ecosystems. The network provides a continuously increasing set [of](#)
119 annual series of half-hourly data (Balzarolo et al., 2014). These data provide valuable
120 information to investigate seasonal phasing and amplitudes of carbon fluxes (Aubinet, et al.,
121 2000; Falge et al., 2002; Gielen et al., 2013; Slevin et al., 2015) and to test terrestrial models
122 at the ecosystem scale (e.g. Richardson et al., 2010; Blyth et al., 2011; Chang et al., 2013;
123 Wißkirchen et al., 2013; Bagnara et al., 2014; Balzarolo et al, 2014; Liu et al., 2014; Wang et
124 al., 2014a; Wu et al., 2015). In the present paper daily meteorological and GPP data are
125 provided by FLUXNET. GPP data are exploited as [an](#) independent dataset to compare, over
126 different time-scales, 3D-CMCC FEM simulations for ten European forest stands varying in
127 species composition, forest structure, cohorts and climates.

128 The objective of this work is to answer ~~to~~ the following questions:

- 129 1 Does the model reproduce the magnitude and the timing of seasonal fluctuations in GPP
130 [and their effects](#) across different forest types and forest canopy structures?
- 131 2 Does the model reproduce the observed inter-annual GPP variability?
- 132 3 Is the model generic enough so that a single set of species-specific parameterizations (i.e.
133 without a site-related calibration) allows reproducing GPP behaviour across different
134 biomes?
- 135 4 Do the model outputs improve when considering a complex heterogeneous three-
136 dimensional canopy structure compared to a simple “big leaf” model canopy
137 representation?

138 To investigate these issues, we introduced a 3D canopy representation into the 3D-CMCC
139 FEM, while otherwise maintaining its flexibility and the generic features to be applied to
140 different forest ecosystems. The new model can now run on a daily time step and includes ~~an~~
141 [as main changes an](#) improved allocation-phenology scheme (with [new carbon pools including](#)
142 the non-structural carbon pool, NSC), [an implemented water cycle \(including snow processes\)](#)
143 and ~~an improved~~[the](#) computation of autotrophic respiration.

144

145 2 Materials and Methods

146 2.1 Model ~~d~~Description

147 The three-dimensional Forest Ecosystem Model, 3D-CMCC FEM (Collalti, 2011; Collalti et
148 al., 2014) (~~source code and the executable~~—is available upon request at
149 ~~<http://www.cmcc.it/models/3d-cmcc-fem-three-dimension-forest-ecosystem-model>~~
150 ~~<http://dev.cmcc.it/git/3D-CMCC-FEM-git>~~) is hybrid between an empirical and a process-
151 based model relying on the concepts of the LUE approach at canopy level for carbon fixation
152 (~~see Appendix A for a detailed description of algorithms~~). The 3D-CMCC FEM is designed to
153 simulate ~~at hectare scale and on a daily time step~~ tree growth ~~at hectare scale and on a daily~~
154 ~~time step~~, as well as carbon and water fluxes, at species level, representing ecophysiological
155 processes in ~~heterogeneous forest ecosystems including~~ complex canopy structures. The 3D-
156 CMCC FEM ~~v.5.1~~ uses daily meteorological data, site-specific data and ecophysiological data
157 (e.g. maximum canopy conductance, specific leaf area, etc.; see ~~Table S3 and~~ Collalti et al.,
158 2014) to simulate forest processes. The model code architecture allows aggregating trees into
159 representative classes, ~~each characterized with its variables (e.g. carbon pools, leaf area index,~~
160 ~~tree height)~~ based on their ~~ages~~, species-specific and structural traits. ~~These variables—that~~ are
161 identified by the model through ~~four~~ indexes: i.e. species (x index), diameter class (~~Diameter~~
162 ~~at Breast Height-, DBH~~) (y index), height class (z index), and age cohort (k index); ~~such~~
163 ~~indexes represent the main state variables considered by the model in distinguishing~~
164 ~~ecosystems across sites~~). ~~To deal with forest heterogeneity within and across different~~
165 ~~ecosystems, 3D-CMCC FEM v.x.x (all model versions follow the same architecture) uses a~~
166 ~~species-specific parameterization for each species simulated. Moreover, based on the~~
167 ~~assumption made by Magnani et al. (2007) that the above-ground net primary production~~
168 ~~decreases with the ageing of a forest, the model explicitly takes into account all ages within~~
169 ~~the stand, reproducing a year by year reduction due to senescence (Landsberg & Waring,~~
170 ~~1997; Waring & McDowel, 2002). Height classes and the tree position within the forest~~
171 ~~vertical profile are explicitly treated by the model to estimate the light availability (version~~
172 ~~5.1 includes also the albedo effects) using the Monsi-Saeki formulation of exponential~~
173 ~~attenuation coupled with the “Big-leaf” approach developed for a multi-layered model~~
174 ~~(Collalti et al., 2014; Medlyn et al., 2003). DBH together with stand density control grid cell~~
175 ~~horizontal canopy coverage (and gaps) through the computation of the single tree crown~~

176 | [coverage and then upscale to grid-cell level \(Collalti et al., 2014\)](#). In this way, the model is
177 | able to reproduce different combinations of uneven-aged, multi-layered and multi-species
178 | forests, by optional simulation of e.g. light competition, age related decline and different
179 | species-specific traits. This aspect makes the model flexible to be theoretically used for a
180 | wide range of applications in forests and allows quantifying the effects of a particular
181 | simulation of forest structure on model performance. In this study, the 3D-CMCC FEM
182 | described in Collalti et al. (2014) has been advanced to version 5.1 to improve the
183 | representation of forests processes, like phenology, canopy photosynthesis, including
184 | autotrophic respiration ~~and~~, tree carbon ~~nitrogen~~ allocation and water flows. The improved
185 | phenology routine is based on a new C allocation scheme, that include [new carbon pools](#)
186 | [among which](#) the Non-Structural-Carbon (NSC) pool, related to five phenological transitions
187 | for deciduous species, and three phenological transitions for evergreen species, both updated
188 | once per day. Autotrophic respiration is [explicitly simulated and](#) separated into maintenance
189 | and growth respiration. Maintenance respiration is the function of the nitrogen content (a new
190 | added pools) in the living pools, while growth respiration is computed proportionally to the
191 | carbon allocated to the different tree compartments ~~(See Appendix A)~~.

192 | [2.2 Model implementations](#)

193 | [Photosynthesis and net primary production](#)

194 | [As in the Collalti et al. \(2014\) ~~in 3D-CMCC FEM~~ the carbon flux is still estimated in 3D-](#)
195 | [CMCC FEM through the Light Use Efficiency approach multiplying, for a particular species](#)
196 | [x, the absorbed photosynthetic active radiation \(APAR, i.e. the radiation intercepted by the](#)
197 | [canopy\) with the leaf area index \(LAI, m²m⁻²\) with either the prognostic potential radiation](#)
198 | [use efficiency \(\$\epsilon_x\$, grams of dry matter MJ⁻¹\) or the maximum canopy quantum use efficiency](#)
199 | [\(\$\alpha_x\$, \$\mu\text{mol CO}_2 \mu\text{mol}^{-1} \text{PAR}\$ \) \(for a full list of model parameters see Table S3\). Parameters \$\epsilon_x\$](#)
200 | [or \$\alpha_x\$ are controlled by the product of several environmental factors \(modifiers\) indicated as](#)
201 | [\$mod_{x,k}\$ \(dimensionless values varying between 0 and 1 and differing for each species x and age](#)
202 | [class k\) depending on: vapour pressure deficit, daily maximum and minimum air](#)
203 | [temperatures, soil water content and site nutrient status \(for a full modifiers description see](#)
204 | [Landsberg & Waring, 1997\). Gross primary production \(GPP; gCm⁻²day⁻¹\) is thus calculated](#)
205 | [using the following equation:](#)

$$206 | GPP_{x,y,z,k} = \epsilon_x * APAR_z * mod_{x,k} \quad (1)$$

207 where APAR is the absorbed radiation by the trees at the z^{th} layer (where z represents the
208 layer of representative height for each height class), while y represents the tree diameter class.
209 Conversely from the previous version where Autotrophic Respiration (AR) was set as a
210 constant fraction of GPP (Waring & Landsberg, 1998), in this version AR is explicitly
211 simulated. AR is treated distinguishing into Maintenance Respiration (MR), governed by a
212 Q_{10} type response function (Ryan, 1991; Bond-Lamberty et al., 2005) and Growth Respiration
213 (GR) assumed to be a constant proportion (30%) of all new tissues produced (Larcher, 2003).
214 Net Primary Production (NPP), is then calculated as follows:

$$215 \quad NPP_{x,y,z,k} = GPP_{x,y,z,k} - AR_{x,y,z,k} \quad (2)$$

216 NPP is then partitioned into biomass compartments and litter production following dynamic
217 allocation patterns that reflect environmental constraints (i.e. light and water competition) and
218 age.

219

220 Daily meteorological forcing and snow dynamics

221 The model implements a daily time step (previous version was at monthly time step)
222 thanks due to the temporal frequency of meteorological forcing input data; average maximum
223 (T_{max}) and minimum air temperature (T_{min}), soil temperature (T_{soil}), vapour pressure deficit,
224 global solar radiation and precipitation. In addition, the model uses the day-time (T_{day}) and
225 night-time (T_{night}) average temperature computed as follows (Running & Coughlan, 1988):

$$226 \quad T_{day} = 0.45 * (T_{max} - T_{avg}) + T_{avg} \quad (3)$$

$$227 \quad T_{night} = (T_{day} + T_{min})/2 \quad (4)$$

228 When the soil temperature T_{soil} is missing among in situ observed data, the model estimates it for
229 the upper 10 cm of the soil layer through an 11-day running weighted average of daily
230 average air temperature and further corrected by the presence of a snowpack as in Thornton
231 (2010), Kimball et al. (1997) and Zeng et al. (1993). The variable related to the snowpack
232 thickness was included as a water cycle component by reproducing the daily amount (mm
233 day⁻¹) of snow melt driven by average air temperature (T_{avg}) and incident net global
234 radiation (Rad_{soil}), while snow sublimation is only driven by average air temperature.

235 In case of snow presence, if the average air temperature is higher than 0°C, considered the
236 melting point as in Running & Coughlan (1988) and Marks et al. (1992), the rate of daily
237 snowmelt is estimated by:

$$238 \text{ Snow}_{melt} = (t_{coeff} * T_{avg}) + \left(\frac{Rad_{soil} * \epsilon_{snow}}{H_{fus}} \right) \text{-----} (5)$$

239 where t_{coeff} is the snowmelt coefficient ($0.65 \text{ Kg m}^{-2} \text{ }^\circ\text{C}^{-1}\text{day}^{-1}$), ϵ_{snow} is the absorptivity of
240 snow (0.6), H_{fus} is the latent heat of fusion (335 kJ kg^{-1}), Rad_{soil} is the incident net global
241 radiation at the soil surface ($\text{kJ m}^{-2} \text{ day}^{-1}$).

242 Otherwise, if the average air temperature is lower than 0°C snow sublimation is computed by:

$$243 \text{ Snow}_{subl} = \left(\frac{Rad_{soil} * \epsilon_{snow}}{H_{sub}} \right) \text{-----} (6)$$

244 where H_{sub} is the latent heat of sublimation (2845 kJ kg^{-1}).

245

246 **Phenology and Carbon allocation**

247 Phenology plays a fundamental role in regulating photosynthesis and other ecosystem
248 processes (e.g. carbon and nitrogen dynamics), as well as inter-individual and inter-species
249 competitive relations and feedbacks to the climate system (Richardson et al., 2012a). In the
250 updated model version phenology and carbon allocation depend on six different carbon and
251 nitrogen pools (in the previous version were three carbon pools). Five pools represent the
252 main tree organs: foliage, (fine and coarse) roots, stem, branch and bark fraction. One new
253 pool corresponds to non-structural carbon (starch and sugar) stored in the whole tree. Woody
254 pools are furthermore distinguished between live and dead wood. This is necessary to
255 represent NSC mobilization and consequently leaf phenology (e.g. leaf production during
256 spring for deciduous trees) and carbon allocation. In the new version of 3D-CMCC FEM LAI
257 values are predicted for sun and shaded leaves (De Pury & Farquhar, 1997; Thornton &
258 Zimmermann, 2007; Wu et al., 2015), minimizing the effects of the “Big-leaf” approach
259 (Monteith, 1965; Sellers et al., 1997), as a function of the amount of carbon allocated to the
260 leaf pool. It is noteworthy that each pool and each structural state variables is daily updated
261 according to the meteorological data, forest structure and simulated fluxes.

262 Following Arora & Boer (2005), for deciduous species the model considers five phenological
263 transitions (in the previous version these were four: bud burst, peak LAI, leaf fall period and

264 dormancy) that drive the seasonal progression of vegetation through phases of
265 dormancy/quiescence, budburst, maximum growth, active growth, and senescence as in the
266 following:

267 1. Leaf onset starts from quiescence when thermic sum (the sum of the T_{day} air
268 temperatures exceeding the threshold T_{base} value of 5°C) exceeds a species- and site-
269 specific temperature threshold value (Rötzer et al., 2004; Dufrene et al., 2005) and up
270 to $LAI = \max(LAI) * 0.5$. The costs of expanding buds during this period of high
271 carbon demand are supported by NSC (Landhausser, 2010; Dickmann & Kozłowski,
272 1970)

273 2. During the budburst phase, carbon and NSC are allocated to the foliage pool, as long
274 as the balance between GPP and AR is positive (Barbaroux & Bréda, 2002; Campioli
275 et al., 2013; Scartazza et al., 2013).

276 3. During the succeeding maximum growth phase and lasting up to peak LAI, carbon is
277 allocated into foliage and fine root pools (Sabatè et al., 2002), based on the pipe model
278 theory (Shinozaki et al., 1964 a, b), to optimize photosynthesis; otherwise, no growth
279 occurs and NSC is used.

280 4. Successively, the full growing phase lasts up to the day when day length (in hours) is
281 shorter than a species-specific threshold value. In this phase carbon is allocated into
282 stem, fine and coarse roots, branch and bark, and into non-structural carbon pools in
283 order to refill the reserves for the next years.

284 5. Finally, during the leaf fall (i.e. yellowing or senescence) phase, lasting until the leaf
285 fall (assumed linear) is complete, the total positive carbon balance is allocated to the
286 NSC pool.

287 Outside the growing season (dormancy) trees consume NSC for fuelling maintenance
288 respiration (Ogren, 2000).

289 For evergreen species the model follows a similar but simplified approach simulating a first
290 maximum growth phase, when the model allocates NSC to foliage and fine roots up to reach
291 peak LAI, and a second full growing phase, when the model allocates to the other pools. As in
292 Lawrence et al. (2011) for litterfall we assume and simplify that there are no distinct periods,
293 but rather a continuous shedding of foliage and fine roots of the previous years.

294 All tree pools are updated at a daily time step depending on NPP balance. Nitrogen
295 concentration for each pool is considered as a C/N ratio following Dufrene et al. (2005) and
296 Thornton (2010) and Dufrene et al. (2005). The C/N stoichiometry is constant and depends on
297 species; unfortunately, the model still lacks of an interactive C-N cycle. Forest stand
298 structural attributes, e.g. diameter at breast height (DBH), tree height, and crown competition
299 are also updated at a daily timestep based on species-specific biometric relationships.

300

301 Autotrophic respiration

302 Based on the approach of BIOME-BGC model (Thornton, 2010) 3D-CMCC FEM computes
303 the daily R_{AR} of all living tissues. MR is a modified Van't Hoff function (Davidson et al.,
304 2006; Mahecha et al., 2010) of temperature with the temperature sensitivity parameter Q_{10}
305 (see below) and a linear function of the nitrogen content ($N_{content} = 0.218 \text{ kgC kgN}^{-1} \text{ day}^{-1}$;
306 Ryan, 1991) in the living compartments. The Q_{10} function is an exponential function for
307 which a 10°C increase in temperature relates to a Q_{10} factor change in the rate of respiration.
308 MR is partitioned into day time and night time respiration using, in place of $temp$ in Eq.(7):
309 t_{day} and t_{night} for foliage, t_{soil} for fine and live coarse roots, and t_{avg} for live stem and branch.

$$310 \quad MR_{x,y,z,k} = 0.218 * Ncontent_{x,y,z,k} * Q_{10}^{(temp-20)/10} \quad (7)$$

311 $GR_{x,y,z,k}$ is considered as a fixed ratio (30%) of all newly grown (i.e. living) tissues as
312 proposed by Larcher (2003).

313

314 2.2.2.3 Data description

315 Model validation has been performed for ten different forest sites (Table 1) included in the
316 European EC fluxes database cluster (URL: <http://www.europe-fluxdata.eu>). For each site,
317 3D-CMCC FEM v.5.1 simulations were performed averagely for 10 years, forced with gap-
318 filled daily meteorological data, according to the available time series. The selected sites
319 cover a wide range of European forest ecosystems across different latitudes, landscapes and
320 three climatic zones: temperate, Mediterranean and subalpine.

321 For all ~~the~~ sites, daily time series of meteorological variables (maximum and minimum air
322 temperature, precipitation, vapour pressure deficit and incoming solar global radiation) were

323 used as drivers, while GPP was used for model output validation. The GPP derives from Net
324 Ecosystem Exchange (NEE) measurements that have been previously quality checked and
325 processed including storage correction, spike detection, and low turbulence condition (u^*)
326 filtering according to the method in Papale et al. (2006) and gapfilled using the Marginal
327 Distribution Sampling method (MDS; Reichstein et al., 2005). The GPP is not directly
328 measured by the eddy covariance technique but it is estimated using a partitioning technique
329 as described in Reichstein et al. (2005). In the rest of the paper, we will refer to these data as
330 “measured” or “observed” GPP for simplicity but it is important to highlight that they are
331 obtained using a modeling approach (although strongly based on direct measurements).

332 ~~2.3~~2.4 Model and experimental set-up

333 Site data needed for model initialization concerned information on forest structure (~~Diameter~~
334 ~~at Breast Height~~—DBH, tree height, age, and density), its species composition, and soil
335 characteristics (e.g. soil depth, texture and bulk density). These data were used for each site to
336 initialize the model, i.e. to describe soil characteristics and the initial forest conditions at
337 which the model starts to simulate forest processes. Initialization data were taken from the
338 BADM (Biological, Ancillary, Disturbance, Metadata) files, available at [http://www.europe-](http://www.europe-fluxdata.eu)
339 [fluxdata.eu](http://www.europe-fluxdata.eu), for each of the selected sites, and complemented by a literature review and
340 personal contacts with the sites Principal Investigators. Length of model simulations, basic
341 sites description and forest attributes used for model initialization are shown in Table 1. As a
342 whole, for all sites, the species-specific ecophysiology has been parameterized generically
343 (i.e. not related to the simulated site) using only data from the literature ~~data~~ (e.g. Breuer et
344 al., 2003; Mollicone et al., 2003; Pietsch et al., 2005; White et al., 2000) independently from
345 site-related measurements (for a ~~full~~ list of model ecophysiological and structural species-
346 related parameters see Table S3 ~~Collalti et al., 2014~~). As in Naudts et al. (2014) in case of
347 multiple values for a single parameter, the mean values were used. Using the mean parameter
348 estimates avoided hidden model-tuning and largely reduces the likelihood that simulation
349 results are biased by hidden calibration.

350 In addition, several studies (Bolstad et al., 1999; Griffin et al., 2001; Ibrom et al., 2006;
351 Misson et al., 2007; Cescatti et al., 2012; Guidolotti et al., 2013; Migliavacca et al., 2015)
352 claim that beside environmental variables, spatial heterogeneity (horizontal and vertical) of

353 the stand structure and composition (age, species) also plays an important role at the
354 ecosystem level.

355 To evaluate if a more detailed simulation of forest heterogeneity improves model
356 performances, a number of replicated simulations were performed for three heterogeneous
357 sites (BE-Bra, IT-Ren and DE-Tha), based on different model initializations in terms of forest
358 layers, species composition and/or ages (Table 1). These replicates start from a forest
359 representation very close to reality (e.g. cohorts, mixed species composition and different
360 canopy layers) to a more generalized one. For reasons of comparability, in these test sites the
361 model has been forced with the same meteorological input data, and eco-physiological
362 species-related parameterizations, i.e. only model initializations data, related to stand
363 attributes, differ. These data are based on different sources: site measurements and/or
364 literature data and/or experimental settings.

365 In the case of BE-Bra we initialized the model with near all possible combinations of
366 initialization datasets. The first simulation (BE-Bra P_Q-3L) has explicitly taken into account
367 the site heterogeneity (vertical and horizontal) (following Gielen et al., 2013, and ancillary
368 data sources) consisting in mixed species composition at a different canopy coverage rate of
369 *Quercus robur* (Q) and *Pinus sylvestris* (P) (20 and 80%, respectively), with two cohorts
370 (oaks and pines, 65 and 72 years old, respectively) and three forest layers. In the second
371 simulation (BE-Bra P), only a single-layer of Scots pines was considered (following Janssens
372 et al., 2002 and Verbeeck et al., 2007). In the third, fourth and fifth simulations (BE-Bra
373 Q_3L, BE-Bra Q_2L, BE-Bra Q_1L, respectively) only three, two and one layers of
374 pedunculate oaks (following Curiel Yuste et al., 2005 and experimental set up) were assumed.
375 Additionally, two more experimental set-ups combined two layers of oaks and one layer of
376 pine (BE-Bra P_Q-2L) and one layer of oak and pine (BE-Bra P_Q-1L).

377 For IT-Ren, in the first simulation, two layers and two cohorts were considered (IT-Ren
378 2L_2C) following Montagnani et al. (2009). In the second case, stand heterogeneity has been
379 grouped into one layer, i.e. minimizing forest structure, and one single averaged cohort (IT-
380 Ren 1L_1C; experimental set up).

381 For DE-Tha, two species (DE-Tha 2S) (spruce 80% and pine 20%, respectively) were
382 modelled in the first simulation (following Grünwald & Bernhofer, 2007), while in the second
383 experiment only the dominant species (spruce; DE-Tha 1S) was considered (BADM
384 source).

385 2.4.2.5 Validation approach

386 In order to analyse model performance, we used ~~time series of~~ daily (X_{daily}), monthly
387 (X_{monthly}) and annual (X_{annual}) time series for modelled and observed GPP values, which were
388 compared at the different time scales. At first, we conducted a comparison via appropriate
389 performance indices on long-term annual average (i.e. over the full series of all the available
390 years). Then we evaluated how the model performed in the different seasons aggregating
391 values for months of the same season.

392 In addition, to avoid misleading results in the daily and monthly signal comparisons due to the
393 strong seasonality for both daily and monthly signals, we followed the decomposition
394 technique proposed by Zhao et al. (2012). To partially remove the seasonal cycle signal, we
395 build a new daily (Y_{daily}) and a new monthly (Y_{monthly}) dataset for both observed and modelled
396 data, respectively. The Y_{daily} is created by subtracting the daily time series from the daily
397 mean of the month, and the Y_{monthly} by subtracting the monthly time series from the annual
398 mean (see Table S1-b).

399 For both X and Y datasets We firstly adopted the Pearson coefficient of correlation (r).

400 Then, we calculated the Normalized Root Mean Square Error (NRMSE) (Anav et al., 2010;
401 Keenan et al., 2012) as a standardized index of error. The NRMSE reports the mean
402 difference between observed and modelled GPP values (GPP_{EC} and GPP_{MD} , respectively)
403 normalized on the variability in the GPP_{EC} , in order to have an indication of the average
404 distance between GPP_{MD} and GPP_{EC} , comparable among the different sites. NRMSE was
405 quantified as:

$$406 \quad NRMSE_{GPP} = \frac{\sqrt{\sum_{i=1}^N (GPP_{EC_i} - GPP_{MD_i})^2}}{\sigma(GPP_{EC_i})} \quad (18)$$

407 where i represents the day (or month), and $\sigma(GPP_{EC})$ is the standard deviation of the full daily
408 (or monthly) series of observed GPP consisting of N records.

409 In addition, model performances were measured for the same series through the ‘Model
410 Efficiency’ index (MEF) following Reichstein et al. (2002) and Migliavacca et al. (2015):

$$411 \quad MEF = 1 - \frac{\sum_{i=1}^N (GPP_{EC_i} - GPP_{MD_i})^2}{\sum_{i=1}^N (GPP_{EC_i} - \text{avg}(GPP)_{EC})^2} \quad (29)$$

412 In contrast to correlation coefficient r , the MEF index (Bowman & Azzalini, 1997) measures
 413 not only the correlation between modelled and observed data (in other words, how well they
 414 reproduce the phase of observations), but also their ‘coincidence’, i.e. the deviation from the
 415 1:1 line, and it is sensitive to systematic deviations between model and observations
 416 (Reichstein et al. 2002).

417 Another index used in model evaluation is the standardized ‘Mean Absolute Bias’ (MABstd)
 418 (Li et al., 2010) instead of classical Bias index to avoid compensations for errors of opposite
 419 signs and standardized (as for NRMSE) to allow comparison across sites :

$$420 \quad MABstd = \frac{\sum |GPP_{MD_i} - GPP_{EC_i}|}{N} \times \frac{1}{stdGPP_{EC_i}} \quad (10)$$

421

422 ~~An additional index was the Bias (Bi):~~

$$423 \quad Bi = \frac{1}{N} \sum_{i=1}^N (GPP_{MD_i} - GPP_{EC_i}) \quad (3)$$

424 ~~calculated at both annual and seasonal level, positive biases indicate an overestimation and~~
 425 ~~negative values indicate an underestimation, respectively, by the simulation (see Balzarolo et~~
 426 ~~al., 2014).~~

427 To evaluate the model performances in terms of variability patterns, we adopted a procedure
 428 to compare each GPP_{MDEC} value to both its correspondent GPP_{ECMD} value and the GPP_{EC} -
 429 GPP_{MD} difference, at daily and monthly levels. Since the different sites have different ranges
 430 of GPP, we grouped time series values into 18 clusters, with a 5 percentile criteria, from the
 431 5th to the 95th (Vetter et al., 2008), and we calculated the median for each group.

432 In order to assess the Inter-Monthly and Inter-Annual Variability (IMV and IAV
 433 respectively), individual GPP values for each month and year considered were normalized
 434 following Vetter et al. (2008) and Keenan et al. (2012). Shortly, we subtracted the respective
 435 observed or modelled average from individual (monthly and yearly) observed and modelled
 436 value as follows:

$$437 \quad IMV_{(EC \text{ or } MD)_i} \text{ or } IAV_{(EC \text{ or } MD)_i} = GPP_{(EC \text{ or } MD)_i} - avg(GPP)_{(EC \text{ or } MD)} \quad (411)$$

438 where $avg(GPP)$ is the long-term (full series of all the available years) average of monthly
 439 (for IMV) or yearly (for IAV) GPP from observations (EC) and modeling (MD), respectively.

440 A kernel density estimation (*kde*) was performed to qualitatively observe probability

441 distribution functions (PDFs) respectively of the IMV and IAV values (Bowman & Azzalini,
442 1997).

443 To evaluate 3D-CMCC FEM ability in reproducing the observed IMV and IAV, we
444 calculated the NRMSE based on monthly and annual time series of IMV and IAV values,
445 respectively. The NRMSE, adopted as a normalized index of error allowing comparability
446 among different sites, was thus calculated as in Eq. 1-8 but using IMV and IAV instead of
447 GPP individual values, following the approach of Keenan et al. (2012).

448 **3 Results**

449 **3.1 GPP evaluation over long-term annual and seasonal scale**

450 Both monthly and daily simulated (MD) GPP show high correlations with EC data and these
451 results are consistent with MEF values as well as with ~~for~~ NRMSE and MABstd, ~~with low~~
452 ~~biases~~ (Table S1a, and Figure 1-a and 1-b). On average, deciduous forests reveal better
453 correlation between MD and EC data than evergreen forests, with a mean r of 0.86, while
454 evergreen and mixed stands show average r of 0.81 and 0.77, respectively. For all stations
455 $p < 0.0001$. These results are confirmed by Taylor diagrams (Taylor, 2001) (Figure 2a)
456 which show that the model performs satisfactorily for daily fluxes, in four (i.e. DE-Hai, DK-
457 Sor, DE-Tha, FI-Hyy) of ten sites falling within ± 0.5 normalized standard deviations from the
458 reference point (representing observed data) and having correlation of around 0.9. For six
459 sites (all the evergreen needleleaf plus deciduous except FR-Hes), the normalized standard
460 deviation of simulated data is ~~really~~ close to that of observed data (represented by reference
461 line with normalized standard deviation, i.e. radial distance from the axis origin, equal to 1).
462 Simulated data for IT-Cpz, FR-Hes and FR-Pue have, respectively, a normalized standard
463 deviation ~~around~~ of approximately +0.2, +0.3 and +0.4 (as difference from that of
464 observations) ~~;~~ consistently with the lower correlation values; BE-Bra shows the highest
465 negative difference, in terms of standard deviation, of around -0.3. On average, the ~~worst~~ least
466 performing result is for IT-Cpz that shows a correlation below 0.60 and, ~~and~~ falls outside ± 1
467 normalized standard deviation from the reference point. ~~For all stations $p < 0.0001$.~~

468 Considering the mean monthly cycle, the Taylor diagram (Figure 2b) shows the model's
469 capability to better simulate GPP at monthly scale. For seven sites (all deciduous and
470 evergreen needleleaf), the normalized standard deviations of modelled data are close to that of

471 observations (reference line), the correlation is above 0.90 and within ± 0.5 normalized
472 standard deviation from the reference point. IT-Cpz and BE-Bra show improved results with
473 respect to daily data: respectively, their correlation increases ~~of~~ by more than 0.1 units, they
474 fall within the +0.2 and -0.2 units of normalized standard deviation differences with respect to
475 that of observations, and they enter in the field of ± 1 and ± 0.5 normalized standard deviation
476 from the reference point, respectively, although for IT-Cpz the values for all statistical
477 indexes are consistently the lowest. Although less strongly, ~~also~~ FR-Pue monthly data also
478 have better performances than daily data results in terms of higher correlation (0.89) and
479 closer position in terms of normalized standard deviations units from the reference point even
480 if although the other indexes are a little bit far from the average values of the other sites.

481 To reduce the effects of seasonality, we also examine model performance using
482 decomposition method (section 2.5). In the daily time-step, the overall model performance is
483 much lower in Y dataset (Figure 1-c and Table S1b) than in X dataset, that is, $r = 0.51$, $MEF =$
484 -0.43 , $NRMSE = 1.18$ and $MABstd = 0.8$ in Y dataset vs $r = 0.82$, $MEF = 0.63$, $NRMSE =$
485 0.57 and $MABstd = 0.44$ in X dataset. The large model error at synoptic scale have been well
486 recognized by previous studies (Dietze et al., 2011; Zhao et al., 2012). The model shows to be
487 less predictive for DK-Sor and FR-Hes and a good predictor for DE-Tha and FR-Pue.
488 Accordingly, for FR-Pue comparisons between X and Y datasets show that this site is less
489 affected by seasonality while DK-Sor is the most affected one. As expected, in the monthly
490 time-step, the decomposition technique returns more similar results between X and Y
491 datasets. Worst results are for IT-Cpz while best results are for DE-Hai, DK Sor, DE-Tha and
492 IT-Ren (see Table S1b and Figure 1-d). Overall, flattening the seasonality model shows to be
493 slightly more predictive with average values among sites consistent with observed data ($r =$
494 0.94 , $MEF = 0.85$, $NRMSE = 0.36$ and $MABstd = 0.27$). Comparison between X and Y
495 datasets shows that DE-Hai is less affected by seasonality and IT-Cpz is the most affected
496 one. In brief, comparison between X and Y datasets shows similar skill in the monthly-step,
497 but very different in the daily-step because X dataset contains the feature of large seasonality.
498 Given one of the objects of this study focuses on seasonality fluctuation, we mainly show the
499 results based on X dataset hereafter without specification.

500 To summarize, although with similar inter-sites variability, monthly correlations across
501 different sites are higher than daily ones, with average correlations of 0.94 for deciduous,
502 0.89 for evergreen and 0.92 for mixed stand (Figure 1 and Table S1a).

503 Daily and monthly NRMSE are low, 0.63 and 0.41~~2~~ on average, respectively (Table S1a),
504 confirming that the model performs better at a monthly than at a daily time scale (Figure 1),
505 likely because of averaging effects of daily variability in GPP estimation.

506 The same consistency is shown ~~by-for~~ MEF index that is on average 0.79 (monthly) and 0.57~~8~~
507 (daily), with largely lower values for the two Mediterranean forests (IT-Cpz and FR-Pue) at
508 both the daily and monthly time scale (Table S1a and Figure 1).

509 Considering the annual mean in deciduous forests (Table S1a), the model slightly
510 underestimates the GPP by -2.4~~8~~% (average among DE-Hai, DK-Sor ~~and IT-Col~~), while it
511 ~~only in~~ FR-Hes and IT-Col it shows ing an overestimation of 5.26.4% on average. Concerning
512 evergreen forests, we find an overall model underestimation of 2.14.3%, with higher
513 variability compared to deciduous forests, and more divergent in the case of the two
514 Mediterranean ecosystems, ranging from underestimation of 18.4% (318 gC m⁻² year⁻¹; IT-
515 Cpz) to overestimation of 12.1% (158 gC m⁻² year⁻¹; FR-Pue).

516 Results for the mixed forest site of BE-Bra are reasonable, with an under~~over~~estimation of
517 about 4.4~~5~~%.

518 In terms of inter-annual variability of the yearly mean, GPP_{MD} falls well within the range of
519 GPP_{EC} standard deviations for all sites except at IT-Cpz (Figure 3). Deciduous broadleaved
520 ~~forests and the evergreen needleleaf~~ are the best reproduced ~~(average bias of about 70 gC m⁻²~~
521 ~~year⁻¹)~~.

522 Performance indices from daily and monthly observed and modelled GPP series analysed at
523 seasonal level are shown in Table S2 and Figures 4 and 5. Winter (DJF) and summer (JJA)
524 correlations were generally lower than those in autumn (SON) and spring (MAM).
525 Specifically, DJF and JJA showed a correlation of 0.45~~6~~ and 0.46~~8~~ respectively on a daily
526 scale and a value of 0.59~~4~~ and 0.50~~3~~ on a monthly scale; MAM and SON showed on a daily
527 scale an average correlation of 0.72 and 0.77 respectively, while on monthly scale a
528 correlation of 0.82 and 0.86 with two low values of 0.05 and 0.06 for monthly DJF and MAM
529 for IT-Cpz was shown.

530 Winter and summer monthly average NRMSE of 1.13~~9~~ and 1.00.9~~7~~, respectively, were not
531 significantly different to the 0.66~~7~~ and 0.57~~8~~ of spring and fall. MEF and MABstdBi~~Bi~~ indexes
532 values suggest similar findings than NRMSE.

533 Figure 6 shows overall modelled vs. observed fluxes over daily and monthly scales, and the
534 absolute difference (GPP_{MD} minus GPP_{EC}) vs. observed fluxes (GPP_{EC}) as calculated by the
535 difference matrix described in section 2.54. Overall, the aggregated data reveal high
536 correlation also due to a progressively reduced range of data, and then variability, at higher
537 GPP values (Figures 6a-b). Figures 6c-d show patterns of absolute difference between GPP_{MD}
538 and GPP_{EC} with increasing GPP_{EC} . These differences result in strong reduction of
539 discrepancies for GPP_{EC} greater than $8.5 \text{ gC m}^{-2} \text{ d}^{-1}$ for daily, or $7.3 \text{ gC m}^{-2} \text{ d}^{-1}$ for monthly
540 ~~temporal series (data extracted from Figure 6c-d).~~

541 The average intra-annual GPP variations are analysed by calculating the long-term average
542 and standard deviation values for each month of the year (Figure 7). In spring, the modelling
543 results from deciduous forests present a larger variability than the observed data, especially
544 during budburst and in late spring. The model generally matches the observed phenology
545 timing (budburst, peak LAI, leaf senescence and their fall, i.e. length of growing season, data
546 not shown). Consistent biases were observed in late summer.

547 3.2 Inter-monthly and inter-annual variability

548 The distribution of the IMV for the analysed sites reveals in general lower variance for
549 modelled than observed data (Figure 8 and Table 2). Regarding deciduous forests, both DK-
550 Sor and FR-Hes show IMV_{MD} distributions with a narrower interquartile range in comparison
551 with IMV_{EC} (p-value < 0.05). Conversely, for DE-Hai and IT-Col the IMV_{MD} variance is
552 statistically representative for the IMV_{EC} ; however IT-Col shows a significantly biased
553 median (p-value < 0.05). Less variability than IMV_{EC} is generally observed for IMV_{MD} of
554 conifers. While DE-Tha shows significant agreement for both variance and central tendency
555 (average/median) (p-value ≥ 0.05), at FI-Hyy the IMV_{MD} appears statistically in
556 disagreement with IMV_{EC} for both variance and central mean tendency (p-value < 0.05).
557 ~~Table 2~~. We find a small difference between IMV_{MD} and IMV_{EC} probability density modal values
558 in IT-Ren (Table 2). Concerning broadleaved evergreen vegetation, we observe very good
559 agreement between observed and modelled IMV central tendency measures in FR-Pue with
560 most of the frequencies between $\pm 2 \text{ gCm}^{-2}\text{d}^{-1}$. In FR-Pue, however, we notice that the
561 distributions are slightly shifted, especially around the median, with resulted variance from
562 modelled data in disagreement with ~~that from~~ observed data. We detect high IMV
563 distributions disagreement in IT-Cpz, where the PDF from observed IMV is normally

564 distributed, ~~while~~ and the one from modelled IMV is not (as resulted by a χ^2 goodness of fit
565 test). IMV_{MD} series in BE-Bra (mixed forest) are in low agreement with those from EC.
566 Modelled variance is low, and ~~especially~~ positive IMV values are especially scarcely
567 represented. Table 2 also shows the NRMSE for IAV and IMV series. There is no apparent
568 correlation ~~neither~~ between sites species and average error, ~~nor~~ between distributions
569 uniformity and NRMSE. In fact, the lowest NRMSE for IMV was found in BE-Bra and IT-
570 Col, ~~and~~ the highest in DE-Hai and DK-Sor. On average the model has a NRMSE for IMVs
571 of about 1.2.

572 Figure 9 shows the modelled and measured individual IAV values for each studied site. The
573 magnitude of IAV_{MD} was on average of the same order ~~than~~ as IAV_{EC} , showing the model's
574 ability to reproduce the inter-annual variability range, and capturing about 62% of the
575 anomalies signs (i.e. timing) for the total set of years. The model generally better captured
576 conifers' IAV sign (i.e. DE-Tha, FI-Hyy, and IT-Ren), with 66% of the times against about
577 59% for the deciduous forests (i.e. DE-Hai, DK-Sor, FR-Hes, IT-Col) and 55% for the
578 Mediterranean ones (i.e. FR-Pue and IT-Cpz). However, the IAV difference in magnitude was
579 better represented for deciduous forests rather than conifers, as inferred by the average
580 NRMSE of respectively 1.45 and 1.676 (calculated by averaging values reported in Table 2).
581 Although the model reproduced ~~well~~ the timing of anomalies satisfactory in more than half of
582 cases (a little bit more than in a random selection), the correlations had a wide spread across
583 sites. Quantitatively, modelled anomalies suggest better results for FR-Pue ($r = 0.765$) and
584 worse results for IT-Ren ($r = -0.543$).

585 In the case of the year 2003 with its summer heat and drought extreme (Ciais et al., 2005;
586 Vetter et al., 2008), the anomaly sign has been well captured by the model ~~on~~ for six of the
587 eight sites analyzed for that year (not enough observations were available for BE-Bra and IT-
588 Col, while 2003 was recognized as not anomalous at IT-Col) (Figure 9). At IT-Cpz and DK-
589 Sor, average IAV_{MD} has the opposite sign ~~than~~ to IAV_{EC} . Similarly, the model results matches
590 with what found by Delpierre et al. (2009) about the anomalous carbon uptake during the
591 warm spring of 2007 compared with the decadal mean for FR-Pue, FR-Hes, and DE-Tha.

592 3.3 Comparison within different forest structure simulations

593 Considering the presence of only one species (either pines or oaks) strongly limits the model
594 to simulate the daily and monthly GPP patterns in BE-Bra (Table 3). This site represents a

595 mixed stand of deciduous and evergreen tree species that assimilates CO₂ all year round,
596 although low temperatures in winter and spring reduce photosynthesis ~~also~~ for pines [also](#). The
597 observed GPP fluxes are then caused by the ‘mixture’, at a varying degree, of both oak and
598 pine trees. Considering BE-Bra as a pure oak forest with a variable number of layers
599 (simulation codes: BE-Bra Q_3L, BE-Bra Q_2L, BE-Bra Q_1L) the model results for annual
600 GPP deviate from -0.6 up to +6%; considering a pure pine forest (BE-Bra P) or a combination
601 of pines and one layer of oak (BE-Bra P_Q-1L) the model underestimates annually from -
602 9.8% to ~~-56%~~, respectively. It is noteworthy that the daily GPP values ~~markedly~~ show a
603 [markedly](#) different seasonal distribution on fluxes (data not shown). Conversely, there is no
604 clear evidence that in simulating pines coupled with one, two or three oak layers (BE-Bra
605 P_Q, BE-Bra P_Q-3L BE-Bra P_Q-2L) model results largely benefitted of this differentiation
606 both on a daily, monthly and annual scale. Similar results are obtained for DE-Tha site when
607 simulating one single species (DE-Tha 1S) or two (DE-Tha 2S), ~~with annual bias of +1.5%~~,
608 since the similar phenology behaviour of modelled species does not cause a marked
609 difference in the seasonal GPP cycle. ~~Differently~~[On the other hand](#), IT-Ren initialized as a
610 single layer and with one single cohort (IT-Ren 1L_1C) instead of two layers and two cohorts
611 (IT-Ren 2L_2C) [and](#) differs strongly from observed GPP values overestimating ~~for 43.2%~~ the
612 annual cumulated GPP [by 43.2%](#). However, for this site, the analysis of performance indices
613 based on daily and monthly series shows no evidence of improved model results.

614 **4 Discussions**

615 In this paper, we have analyzed the capability of the latest version of the 3D-CMCC FEM
616 [\(v.5.1\)](#) to simulate intra-annual to inter-annual GPP variability over ten [heterogeneous](#)
617 European forest sites representative of different ecosystems and bioclimatic regions by
618 comparing model results with observations based on [the](#) EC technique. Although the model
619 provides a reasonable reproduction of the observed values, we may evince some critical
620 issues. First, the observed GPP data are affected by high uncertainties (Kenan et al., 2002;
621 Papale et al., 2006; Richardson et al., 2012a, b). According to Luyssaert et al. (2007) these
622 uncertainties in the ten case studies ~~here~~ considered [here](#), although at the biome level, have a
623 very high spread, varying from ±557.9 (for FI-Hyy) to ±700 gC m⁻²yr⁻¹ (for IT-Cpz). Besides
624 uncertainty in [the](#) EC technique, model assumptions and parameterizations can increase
625 discrepancies compared to observed GPP data.

626 A potential further source of error in the model runs that may need to be considered or
627 accounted for is related to our choice of not making a site-specific parameterization. Since we
628 used general parameterizations, large uncertainties could be detected especially in the
629 variables that determine, for example, the length of the growing season (Richardson et al.,
630 2010), and the latitudinal differences (acclimation) of the maximum, minimum and optimum
631 temperatures for photosynthesis. Improvement could be achieved with a site-specific
632 parameterization, but this falls beyond our goal to make the model generally applicable. In
633 addition, to avoid a misleading model evaluation coming from strong seasonality (especially
634 for deciduous sites) we followed the decomposition technique proposed by Zhao et al. (2012).
635 On average, 10 years of simulations ~~for each site~~ have been conducted for each site. In
636 addition, in three sites different model initializations (i.e. considering different forest
637 structure, composition and cohorts) were used to quantify improvements in model results
638 when a more detailed heterogeneity forest structure representation and processes are
639 simulated. Modelled GPP results were compared against those from EC observations
640 collected for these sites encompassing three mono-specific (pure) stands of Beech, Holm oak
641 and Scots pine, and three uneven-aged, multi-layered and mixed stands.

642 Based on results, we can now provide answers to the four initial questions:

643 *1. Does the model reproduce the magnitude and timing of seasonal fluctuations in*
644 *GPP and their effects across different forest types, structures and compositions?*

645 Overall, as desirable, the model is skilful in reproducing the annual cumulated and intra-
646 annual (seasonal) cycle of GPP, calculated as both daily and monthly value averages, with the
647 monthly scale performing better across all statistical ~~indices~~ indexes considered for both
648 datasets. These results can be ~~anyway~~ considered as a “false positive” due to the strong
649 seasonality of GPP patterns that influences and causes higher values of correlation ~~more~~ er-than
650 the model's capabilities to reproduce GPP fluxes (Zhao, et al., 2012). This is clearly related to
651 the tendency to linearize the relationship ~~between~~ among CO₂ flux and PAR and/or
652 temperature, as also reported by Ruimy et al. (1995) and Wu et al. (2015). Overall, statistical
653 indexes of average annual daily and monthly for both X and Y datasets of modelled values
654 were highly consistent with EC data, except for the Mediterranean sites (where seasonality is
655 less pronounced) and where indexes are below the average value among all sites (see Table
656 S1a and b)~~(where seasonality is less pronounced)~~. HereIn these sites, summer drought stress
657 ~~showed~~ appeared to be the most limiting factor on photosynthesis at FR-Pue (Falge et al.,

658 2002; Reichstein et al., 2002; Sabatè et al., 2002) while the presence of shallow groundwater
659 table at IT-Cpz ~~seems-seemed to reducing-reduce~~ the severity of summer drought. This
660 reductions cause a flattening of seasonality well highlighted in the Y dataset (see Table S1-b)
661 where IT-Cpz showed to be unanimously one of the worst simulated site at both daily and
662 monthly timescale and FR-Pue and DE-Tha (evergreens) the less affected by seasonal
663 patterns. This behaviour is confirmed by the daily values of DK-Sor and IT-Col for monthly
664 data (both deciduous) that showed to be the most affected, in other words if we smooth over
665 the seasonal trends results get worse while the model indicated to be less sensitive for those
666 evergreen sites where seasonality is not marked with high values of correlation for DE-Tha,
667 FI-Hyy and Fr-Pue. These results confirm that seasonality has a remarkable effects on a
668 model evaluation.

669 However, ~~the model~~ all statistical indexes divided by seasons in Table S2 are consistent in
670 ~~showing-showed a~~ non-negligible uncertainties in representing GPP patterns, as well as
671 inferred by temporal mismatches in variance. The overall agreement despite temporal
672 mismatches suggested that errors compensated over the year, but are cumulated in specific
673 time windows (e.g. seasons). As reported for other models (Morales et al., 2005 and Naudts et
674 al., 2014), ~~T~~he model's performances are generally worse in winter (DJF) and summer (JJA).
675 Biases and differences in winter GPP variance may be related to the model algorithms used to
676 simulate LAI and to the algorithm used to calculate GPP from EC data (Reichstein et al.,
677 2005), since GPP variability should be low during DJF, ~~especially-as like as~~ for deciduous
678 forests. However, mismatches are also related to the way in which 3D-CMCC FEM
679 represents winter and early spring ecosystem processes. The model in fact does not consider
680 the influence of ground vegetation that appears to be not negligible in some cases (Kolari et
681 al., 2006).

682 High GPP variance for evergreen species could be strongly related to low temperatures during
683 winter (Del_Pierre et al., 2009). Systematic overestimation in winter and spring GPP could
684 then be associated with a lacks in representing conifers acclimation or to soil and atmosphere
685 thermal constraints. At high latitudes and altitudes, another source of uncertainty may be
686 related to freezing and thawing dynamics in soil water (Beer et al., 2007) which are not
687 considered by the model, as ~~like-aswith~~ snow sublimation and melting, which are still
688 simplistically represented.

689 GPP of deciduous forests in summer and autumn are also affected by uncertainties for
690 surface, which is represented by LAI in the model. In addition, GPP is linear with respect to
691 PAR (Monteith, 1977) over monthly or annual time scales, while the relation is strongly
692 nonlinear at [the](#) daily scale (Leuning et al., 1995; Gu et al., 2002; Turner et al., 2003; Wu et
693 al., 2015). The linear response of GPP to PAR led to the underestimation/overestimation of
694 GPP under conditions of low/high incident PAR (Propastin et al., 2012; He et al., 2013). In
695 [the](#) case of stress or photoinhibition, leaves reduce or stop ~~the~~ photosynthesis at too high
696 levels of radiation, while in normal conditions, photosynthesis is light-saturated at high PAR
697 (Mäkelä et al., 2008) which lets canopy photosynthesis saturated at relatively low PAR even
698 in dense tropical forests with high LAI (Ibrom et al., 2008). The model overestimation of
699 summer GPP may thus be partially related to the lack of representation of [the](#) canopy
700 photosynthesis saturation processes.

701 Although adopting a more complex phenology scheme, in the comparison between deciduous
702 and evergreen forests, our model showed better performances for deciduous compared to
703 evergreen forests. This behaviour is due to the strong seasonality patterns that the deciduous
704 species show, [which is consistent with the findings of Zhao et al. \(2012\) at the two french](#)
705 [sites](#), but contrasts to the results of Morales et al. (2005) who showed that it is generally easier
706 for models to simulate evergreen forests due to the simpler phenology. The present results for
707 evergreen forests are, however, highly affected by the low model performances for the two
708 evergreen Mediterranean forests. As ~~said~~[previously stated](#), overestimation during summer at
709 FR-Pue, and during winter and spring for IT-Cpz, are mostly related to neglecting species-
710 specific drought stress response functions. As in Landsberg & Waring (1997), the water
711 modifier is only based on soil physical characteristics and no consideration is given to the
712 stress tolerance or strategy of the species (Larcher, 2003), suggesting that further model
713 developments should focus on this aspect.

714 Other discrepancies affecting other sites could probably be reduced with a site-specific
715 parameterization.

716 **2. *Does the model reproduce the observed inter-annual GPP variability?***

717 Overall, the distribution of the modelled inter-monthly variability was sufficiently consistent
718 with the observed one. The model, however, showed reduced variability in the distribution for
719 both conifers and deciduous species. The model's ability in better representing higher rather
720 than lower anomalies suggests that it may still be less sensitive to some drivers of variability.

721 In this context, the phenological cycle may have an important role, since it influences canopy
722 cover and ~~it~~ is controlled by environmental drivers (Richardson et al., 2010). According to
723 [Sun et al. \(2003\)](#) and [Jeong et al \(2013\)](#), spring phenology largely affects the summertime
724 carbon budget. Hence, uncertainties in [the](#) growing season start~~ing~~ date may affect 3D-
725 CMCC-FEM's ability to reproduce IMV. In summer and autumn, petioles loss of turgor,
726 cavitation in xylem vessels and leaf yellowing may have an important role in [the](#) GPP
727 variability of temperate forests (Reichstein et al., 2007).

728 Even though evergreen forests do not experience complete dormancy in winter, changes in
729 'greenness' can be attributed to seasonal variation in canopy biochemistry, the production of
730 new foliage by canopy species and, particularly where the overstorey is sparse, the phenology
731 of understory vegetation (Richardson et al., 2010). Leaves of different ages have different
732 efficiency, sensitivity to solar radiation, temperature and water related stresses (Chabot &
733 Hicks, 1982). All these elements may have an important role in affecting GPP dynamics, but
734 are still scarcely or not represented by mechanistic ecosystem or forest models. As a
735 confirmation of these suspects, slight modifications in representing phenology and leaf
736 turnover resulted in general improvement of model consistency with EC data (Marconi,
737 2014).

738 Distribution of IMV values showed specific patterns attributable to the dominant species.
739 Beech forests IMV PDFs were concentrated around the average value and strongly influenced
740 by high biases. This pattern was probably due to the fact that half of the months in one year
741 have no or little photosynthesis (i.e. early spring, fall and winter) and most of the
742 photosynthetic activity occurs in late spring and summer, when carbon assimilation is
743 influenced by temperatures and solar radiation (Mercado, et al.; 2009). Conifers PDFs were
744 usually smoother, non-skewed, with reduced variability and fitted by a statistical normal
745 curve.

746 The model showed an average NRMSE for IMV of 1.22 but still captured about two thirds of
747 the annual anomalies sign.

748 The results for IAV (see Figure 9) are quite contrasting, and largely depend on [the](#) site and the
749 number of annual-by-annual comparisons. [The recent modelling studies, that we are aware,](#)
750 [show unanimously the difficulties of models to explain the large interannual variability in](#)
751 [cases where no obvious triggers like management or climatic extreme are at work \(e.g.](#)
752 [Keenan, et al., 2012; Wuet al., 2013\).](#) In 3D-CMCC FEM ~~B~~ better results have been obtained

753 for FI-Hyy and FR-Pue, so there is not apparent correlation with latitudes and forest species.
754 Interestingly, the performance of a DGVM for IAV in FR-Pue is also higher than other sites
755 (Zhao et al., 2012), indicating the main determinant factor for GPP simulation in this
756 Mediterranean site may not come from the treatment of canopy representation. However, the
757 advantage of a 3D canopy representation needs to be revalued in the future. Similarly, lower
758 results are reported for IT-Ren, IT-Cpz and BE-Bra where the number of annual correlations
759 are lower than the other sites. The magnitude of differences in the standard deviation
760 generally follows ~~generally~~ the same tendency, particularly for BE-Bra, IT-Ren and IT-Cpz.
761 These results confirm the model's limited ability to represent the inter-annual variability in
762 these specific sites rather than in these ecosystems. The comparison between modelled and
763 observed data at the inter-annual time scale shows the model to be sufficiently able to
764 reproduce the sign of variability through the years including the extreme events (heat wave
765 combined to drought) during the summer 2003 ~~summer~~ (Ciais et al., 2005; Vetter et al., 2008)
766 and, for some sites, the anomalous carbon uptake during the warm spring of 2007 described
767 by Del Pierre et al. (2009). Potentially negative effects from the anomalous 2003 were
768 modelled into negative GPP anomaly at DK-Sor and IT-Cpz due to model simulation of
769 summer drought stress, while such anomalies are not evident from measurements for DK-Sor
770 (Pilegaard et al., 2011). This could be due to the more maritime climate for DK-Sor and the
771 presence of shallow groundwater for IT-Cpz that weakened the effects in the first part of the
772 summer. In both sites, and included DE-Tha, the effects during July to September were
773 captured by the model (data not shown). As reported by Ciais et al. (2005), Mediterranean
774 sites showed a smaller degree in carbon fluxes, largely dominated by less respiration. It is
775 noteworthy that IT-Col, differently from other European beech stands, does not seem ~~having~~
776 to have suffered from this anomalous heat wave in 2003 (G. Matteucci, personal
777 communication). Both simulated and observed data showed a positive GPP anomaly,
778 demonstrating that this beech forest benefited by moderate higher temperature values and
779 consequently had "extra" days for assimilation and growth (see also Churkina et al., 2002;
780 Richardson et al., 2010). A similar behaviour was reported also by Jolly et al. (2005) for the
781 Swiss Alps, especially between ~~in the months from~~ March ~~to~~ and July. This pattern seems to
782 be mostly related to an untimely beginning of the growing season (see Piao et al., 2006), to a
783 reduction in plant transpiration that causes an increase in plant water use efficiency through
784 the partial closure of stomata (Warren et al., 2011) and to high fluxes related to forest floor
785 vegetation.

786 It is also noticeable that in FR-Hes during the summer of 2004 a negative anomaly occurred,
787 larger than in 2003, ~~occurred~~; and while its sign was captured by the model, its magnitude
788 was not. This can be explained by the modelled postponed effects of a low NSC allocation
789 during the year 2003 to the subsequent periods (Granier et al., 2007; Gough et al., 2009).
790 These results highlight that model has a sort of “memory” linked to short-term events (e.g.
791 drought stress) and that these events affect the long-term processes.

792 Quantitatively, modelled inter-annual anomalies show a very large spread across the sites.
793 Correlations vary widely, without any apparent relation with latitude and/or species. If
794 modelled ~~anomalies~~ anomaly signs are potentially agreeing with the observed ones most of
795 the ~~times~~ time, their magnitude was not. This behaviour seems to be related to several aspects,
796 mainly to an over/under estimation of the causes that reproduce anomalies, e.g. processes
797 simulated linked to the type of climate anomaly, mismatches in phenology or to a missed
798 representation of others processes (e.g. mast years, disturbances, shallow water). Keenan et al.
799 (2012) asserts that a ~~lacks~~ in phenological variability and in canopy and soil dynamics are the
800 main culprits of these mismatches but also that flux measurements are affected by random
801 errors especially when fluxes are higher. Poulter et al. (2009) ~~found~~ a similar magnitude of
802 errors ~~also~~ with models that were driven by remote-sensing data. Open questions remain as to
803 the proportion of interannual variability in land-atmosphere carbon exchange that is directly
804 explainable by variability in climate (Hui, et al., 2003; Richardson et al., 2007)

805 **3. *Is the model generic enough that a single set of species-specific parameterization***
806 ***allows reproducing GPP behaviour across different ecosystems without further need of a***
807 ***site-related calibration?***

808 Overall, the model showed good flexibility although the sites showed a pronounced spatial
809 and temporal heterogeneity (i.e. a variable number of forest layers, different cohorts and
810 species). ~~It~~ The model was able to reliably represent the ecophysiology of beech and spruce
811 species at different latitudes, without modifying or tuning the parameterization sets. However,
812 annual and seasonal performance indices, calculated exploiting daily and monthly series,
813 evidenced different performances between the two northern beech sites and the two southern
814 ones. Tables S1 and S2 show a systematic difference in all the statistics used, suggesting the
815 presence of a latitudinal gradient in 3D-CMCC FEM's ability to represent beech forest
816 processes. This gradient could be explained by how the model represents the different limiting
817 factors and their impacts on GPP. For example, we expect low temperatures to be the most

818 | important limiting factor at higher latitudes, ~~whereas~~ compared to soil water availability at
819 | lower latitudes (Chapin et al., 2002).

820 | We had similar results for the two spruce sites. The model showed better performance at
821 | higher latitudes. While phenotypic plasticity, and thus the parameter set, may influence the
822 | model results, it is noteworthy that the IT-Ren site has different topographic and climatic
823 | conditions. Lower average temperatures, higher slopes, and non-negligible encroachment of
824 | different species in a more complex canopy, may negatively influence the model performance
825 | in IT-Ren with respect to DE-Tha. Since the model showed unrealistic results for the two
826 | Mediterranean forests, we think it is not easy to determine if and how differences in
827 | performances are related to the generality of the model rather than to bad assumptions behind
828 | the simulated processes. From our findings, we conclude that for non-water limited conditions
829 | it is possible to yield satisfying results with general parameter sets.

830 | **4. Do the model's results improve when considering a complex 3D canopy structure?**

831 | We evaluated possible improvements that could be made if a more accurate model
832 | representation at a higher rate of heterogeneity of: forest structure, differences in ages and
833 | species composition and their linked structural-ecophysiological processes, are assumed.
834 | These analyses helped us to understand the importance of each process within the represented
835 | combination (i.e. light competition, age related decline and the specific differences in
836 | ecophysiology) on modelled GPP. Doubtless, a direct comparison between modelled and
837 | observed GPP data is not possible due to the lack of partitioned measurements of GPP across
838 | different layers, cohorts and species. However, in situations where the different
839 | ecophysiological behaviours express themselves in the species specific canopy responses
840 | during certain periods of the seasonal cycle, the test of a mixed forest tree model with flux
841 | measurements is possible, as the results by Oltchev et al. (2002) showed using the model
842 | MixFor-SVAT.

843 | This preliminary analysis can be considered as a sensitivity analysis in terms of processes
844 | explicitly simulated instead of lumped parameterisation. As a whole, model results using
845 | different initialization data are within the observed GPP uncertainties but a quantitative
846 | assessment for two sites, BE-Bra and IT-Ren showed ~~to~~ the potentially-potential to increase ~~of~~
847 | the model's ability in simulating fluxes, while for DE-Tha there is no evidence that model
848 | performances could benefit of these efforts. For BE-Bra, taking into account two species (that
849 | differ especially for their phenological traits) was beneficial in terms of model performances,

850 the same occurred for different layers (with the exception of BE-Bra P_Q-3L vs. BE-Bra
851 P_Q-2L whose results were similar) and different cohorts. Better performances, in terms of
852 seasonal GPP representations, were obtained when each of the above mentioned
853 characteristics was accounted for by the model. For IT-Ren, similar results were obtained,
854 although no differences were found in the simulation of phenological patterns in daily and
855 monthly results. Differently, for DE-Tha a differentiation between the two evergreen
856 coniferous species did not cause marked differences in model results, due to low differences
857 in species ecophysiological traits, justifying in these cases the use of a Plant Functional Type
858 (PFT) level of parameterization instead species level (Poulter et al., 2015).

859 5 Conclusions

860 This study aimed at evaluating the performances of the updated version of 3D-CMCC FEM
861 compared to nearly 10x10 sites x years GPP data across eddy-covariance European forest
862 sites. [Although the sites showed high spatial and temporal environmental heterogeneity](#) ~~T~~the
863 model appears able to reproduce trends in all of the ten sites. Different performance indexes
864 showed that daily and monthly level model results ~~match~~es well, both for [the](#) annual and
865 seasonal scale, against observed data, with some exceptions. Mediterranean sites (IT-Cpz and
866 FR-Pue) showed to be the most problematic in reproducing carbon fluxes. This is likely due
867 to their specific ecosystem peculiarity, e.g. shallow groundwater for IT-Cpz and for both sites,
868 ~~to~~a low pronounced seasonality. In these two sites, [the](#) model showed ~~to be of~~ less
869 generalisation unless ~~to include~~ additional processes [were included](#). Differently from other
870 models 3D-CMCC FEM both for daily and monthly simulations [and for both X and Y](#)
871 [datasets](#), performs better for deciduous species rather than for evergreen, although deciduous
872 [species](#) have a more complex phenology and a more pronounced seasonality. Some
873 mismatches in the simulation over the seasons and over the sites still remain, especially
874 during winter and summer. The first reason for these low agreements in winter can be
875 attributable to errors during the ~~estimate~~[estimation](#) of GPP from NEE and Ecosystem
876 Respiration values from measurements data. The second can be related to the model's lack or
877 simplicity in representation of snow pack dynamics as reported by Krishnan et al. (2008;
878 2009), especially for evergreen sites (Keenan et al., 2012). Disagreements in summer could be
879 related to model simplicity in simulating soil drought and, using the Monteith approach
880 (Monteith, 1977), to the strong nonlinearity at [the](#) daily scale of GPP and PAR, and to the lack
881 of representation of the light saturation processes. In addition, as reported by Keenan et al.

882 (2012), the apparent high variability in the data during the summer season could therefore be
883 | due to random errors in the flux measurements, generating larger variability and then lower
884 correlations against modelled data.

885 No marked differences were found in simulations across different latitudes, so model
886 | parameterizations for the different tree species could be useful over Europe with a quite a high
887 rate of confidence, with the exception of specific cases in Mediterranean forests.

888 As for other models, 3D-CMCC FEM showed to have the potential to correctly reproduce the
889 signs of interannual variability, like the 2003 heat wave and drought extreme and the
890 anomalous carbon uptake during the warm spring of 2007 and their instantaneous biological
891 | response to these events. Significant disagreements were, however, found in reproducing the
892 magnitude of these anomalies.

893 The consideration of stand heterogeneity, when possible or existing (i.e. layers, cohorts and
894 mixed composition), led the model to improve its results in two of the three sites compared to
895 generalized simulations of forest attributes. This plasticity makes the model able to be used in
896 a wider range of forest ecosystems.

897

898 **Author contribution**

899 A.C. conceived the paper, designed the experiments, co-developed the model code, performed
900 the simulations, , and wrote the manuscript with contribution from all co-authors

901 S.M. co-developed the model code, performed the simulations, and contributed to data
902 analysis

903 A.I. contributed to manuscript improvement

904 C.T. contributed to data analysis

905 A.A. contributed to data analysis and to the manuscript improvement

906 E.A. contributed to data analysis

907 G.M. contributed to manuscript improvement and data analysis

908 L.M. contributed to manuscript improvement and data analysis

909 B.G. contributed to manuscript improvement and data analysis

910 I.M. contributed to manuscript improvement and data analysis

911 T.G. contributed to manuscript improvement and data analysis

912 A.K. contributed to manuscript improvement and data analysis

913 [F.B. contributed to manuscript improvement and data analysis](#)

914 [Y.Z. contributed to data analysis and to the manuscript improvement](#)

915 R.V. contributed to manuscript

916 M.S. contributed to manuscript conceiving and data analysis improvements

917 **Acknowledgements**

918 As Euro-Mediterranean Center on Climate Change and University of Tuscia, we acknowledge
919 project funding by the Italian Ministry of Education, University and Research (MIUR)

920 through the projects GEMINA and CARBOTREES, respectively. Authors are indebted to

921 Dario Papale, [Julia McCarrol](#), ~~and~~ J. Curiel Yuste [and to the two anonymous reviewers](#) for

922 their useful comments and advises.

923

924

925 **Appendix A: Model description**

926 **A1 Photosynthesis**

927 As in the Collalti et al. (2014) 3D-CMCC-FEM version, the carbon flux is still estimated by
928 multiplying, for a particular species x , the absorbed photosynthetic active radiation (APAR,
929 i.e. the radiation intercepted by the canopy) with the leaf area index (LAI, m^2m^{-2}) with either
930 the prognostic potential radiation use efficiency (ϵ_x , grams of dry matter MJ^{-1}) or the
931 maximum canopy quantum use efficiency (α_x , $\mu\text{mol CO}_2 \mu\text{mol}^{-1} \text{PAR}$) (for a full list of model
932 parameters, algorithms, and indexes see Collalti et al., 2014). Parameters ϵ_x or α_x are
933 controlled by the product of several environmental factors (modifiers) indicated as $mod_{x,k}$
934 (dimensionless values varying between 0 and 1 and differing for each species x and age class
935 k) depending on: vapour pressure deficit, daily maximum and minimum air temperatures, soil
936 water content and site nutrient status (for a full modifiers description see Landsberg &
937 Waring, 1997). Gross primary production (GPP; $\text{gCm}^{-2}\text{day}^{-1}$) is thus calculated using the
938 following equation:

$$939 \quad GPP_{x,y,z,k} = \epsilon_x * APAR_z * mod_{x,k} \quad (A1)$$

940 where APAR is the absorbed radiation by the trees at the z^{th} layer (where z represents the
941 layer of representative height for each height class), while y represents the tree diameter class.

942 Autotrophic Respiration (AR) is treated distinguishing into Maintenance Respiration (MR),
943 governed by a Q_{10} type response function (see Sect. A4) (Ryan, 1991; Bond-Lamberty et al.,
944 2005) and Growth Respiration (GR) assumed to be a constant proportion (30%) of all new
945 tissues produced (Larcher, 2003). Net Primary Production (NPP), is calculated as follows:

$$946 \quad NPP_{x,y,z,k} = GPP_{x,y,z,k} - AR_{x,y,z,k} \quad (A1)$$

947 NPP is then partitioned into biomass compartments and litter production following dynamic
948 allocation patterns that reflect environmental constraints (i.e. light and water competition) and
949 age.

950 **A2 Daily meteorological forcing and snow dynamics**

951 The model implements a daily time step due to the temporal frequency of meteorological
952 forcing input data; average maximum (T_{max}) and minimum air temperature (T_{min}), soil

953 ~~temperature (T_{soil}), vapour pressure deficit, global solar radiation and precipitation. In~~
 954 ~~addition, the model uses the day time (T_{day}) and night time (T_{night}) average temperature~~
 955 ~~computed as follows (Running & Coughlan, 1988):~~

$$956 \quad T_{day} = 0.45 * (T_{max} - T_{avg}) + T_{avg} \quad (A3)$$

$$957 \quad T_{night} = (T_{day} + T_{min})/2 \quad (A4)$$

958 ~~When the soil temperature, is missing among in situ observed data, the model estimates it for~~
 959 ~~the upper 10 cm of the soil layer through an 11 day running weighted average of daily~~
 960 ~~average air temperature and further corrected by the presence of a snowpack as in Thornton~~
 961 ~~(2010), Kimball et al. (1997) and Zeng et al. (1993). The variable related to the snowpack~~
 962 ~~thickness was included as a water cycle component by reproducing the daily amount (mm~~
 963 ~~day⁻¹) of snow melt driven by average air temperature (T_{avg}) and incident net global~~
 964 ~~radiation (Rad_{soil}), while snow sublimation is only driven by average air temperature.~~

965 ~~In case of snow presence, if the average air temperature is higher than 0°C, considered the~~
 966 ~~melting point as in Running & Coughlan (1988) and Marks et al. (1992), the rate of daily~~
 967 ~~snowmelt is estimated by:~~

$$968 \quad Snow_{melt} = (t_{coeff} * T_{avg}) + \left(\frac{Rad_{soil} * c_{snow}}{H_{fus}} \right) \quad (A5)$$

969 ~~where t_{coeff} is the snowmelt coefficient ($0.65 \text{ Kg m}^{-2} \text{ } ^\circ\text{C}^{-1} \text{ day}^{-1}$), c_{snow} is the absorptivity of~~
 970 ~~snow (0.6), H_{fus} is the latent heat of fusion (335 kJ kg^{-1}), Rad_{soil} is the incident net global~~
 971 ~~radiation at the soil surface ($\text{kJ m}^{-2} \text{ day}^{-1}$).~~

972 ~~Otherwise, if the average air temperature is lower than 0°C snow sublimation is computed by:~~

$$973 \quad Snow_{subl} = \left(\frac{Rad_{soil} * c_{snow}}{H_{sub}} \right) \quad (A6)$$

974 ~~where H_{sub} is the latent heat of sublimation (2845 kJ kg^{-1}).~~

975 **A3 Phenology and Carbon/Nitrogen allocation**

976 ~~Phenology plays a fundamental role in regulating photosynthesis and other ecosystem~~
 977 ~~processes (e.g. carbon and nitrogen dynamics), as well as inter-individual and inter-species~~
 978 ~~competitive relations and feedbacks to the climate system (Richardson et al., 2012a). In the~~
 979 ~~updated model version phenology and carbon allocation depend on six different carbon and~~
 980 ~~nitrogen pools. Five pools represent the main tree organs: foliage, (fine and coarse) roots,~~

981 stem, branch and bark fraction. One pool corresponds to non-structural carbon (starch and
982 sugar) stored in the whole tree. Woody pools are furthermore distinguished between live and
983 dead wood. This is necessary to represent NSC mobilization and consequently leaf phenology
984 (e.g. leaf production during spring for deciduous trees) and carbon allocation. In the new
985 version of 3D-CMCC-FEM LAI values are predicted for sun and shaded leaves (De Pury &
986 Farquhar, 1997; Thornton & Zimmermann, 2007; Wu et al., 2015), minimizing the effects of
987 the “Big-leaf” approach (Monteith, 1965; Sellers et al., 1997), as a function of the amount of
988 carbon allocated to the leaf pool. It is noteworthy that each pool and each structural state
989 variables is daily updated according to the meteorological data, forest structure and simulated
990 fluxes. Following Arora & Boer (2005), for deciduous species the model considers five
991 phenological transitions that drive the seasonal progression of vegetation through phases of
992 dormancy/quiescence, budburst, maximum growth, active growth, and senescence as in the
993 following:

- 994 1. Leaf onset starts from quiescence when thermic sum (the sum of the T_{day} air
995 temperatures exceeding the threshold T_{base} value of 5°C) exceeds a species- and site-
996 specific temperature threshold value (Rötzer et al., 2004; Dufrene et al., 2005) and up
997 to $\text{LAI} = \max(\text{LAI}) * 0.5$. The costs of expanding buds during this period of high
998 carbon demand are supported by NSC (Landhausser, 2010; Dickmann & Kozłowski,
999 1970)
- 1000 2. During the budburst phase, carbon and NSC are allocated to the foliage pool, as long
1001 as the balance between GPP and AR is positive (Barbaroux & Bréda, 2002; Campioli
1002 et al., 2013; Scartazza et al., 2013).
- 1003 3. During the succeeding maximum growth phase and lasting up to peak LAI, carbon is
1004 allocated into foliage and fine root pools (Sabatè et al., 2002), based on the pipe model
1005 theory (Shinozaki et al., 1964 a, b), to optimize photosynthesis; otherwise, no growth
1006 occurs and NSC is used.
- 1007 4. Successively, the full growing phase lasts up to the day when day length (in hours) is
1008 shorter than a species-specific threshold value. In this phase carbon is allocated into
1009 stem, fine and coarse roots, branch and bark, and into non-structural carbon pools in
1010 order to refill the reserves for the next years.

1011 ~~5. Finally, during the leaf fall (i.e. yellowing or senescence) phase, lasting until the leaf~~
1012 ~~fall (assumed linear) is complete, the total positive carbon balance is allocated to the~~
1013 ~~NSC pool.~~

1014 ~~Outside the growing season (dormancy) trees consume NSC for fuelling maintenance~~
1015 ~~respiration (Ogren, 2000).~~

1016 ~~For evergreen species the model follows a similar but simplified approach simulating a first~~
1017 ~~maximum growth phase, when the model allocates NSC to foliage and fine roots up to reach~~
1018 ~~peak LAI, and a second full growing phase, when the model allocates to the other pools. As in~~
1019 ~~Lawrence et al. (2011) for litterfall we assume and simplify that there are no distinct periods,~~
1020 ~~but rather a continuous shedding of foliage and fine roots of the previous years.~~

1021 ~~All tree pools are updated at a daily time step depending on NPP. Nitrogen concentration for~~
1022 ~~each pool is considered as a C/N ratio following Thornton (2010) and Dufrene et al. (2005).~~
1023 ~~The C/N stoichiometry is constant and depends on species, unfortunately, the model still lacks~~
1024 ~~of an interactive C-N cycle. Forest stand structural attributes, e.g. diameter at breast height~~
1025 ~~(DBH), tree height, and crown competition are also updated at a daily timestep based on~~
1026 ~~species-specific biometric relationships.~~

1027 **A4 Autotrophic respiration**

1028 ~~Based on the approach of BIOME BGC model (Thornton, 2010) 3D CMCC FEM computes~~
1029 ~~the daily RA of all living tissues. MR is a modified Van't Hoff function (Davidson et al.,~~
1030 ~~2006; Mahecha et al., 2010) of temperature with the temperature sensitivity parameter Q_{10}~~
1031 ~~(see below) and a linear function of the nitrogen content ($N_{\text{content}} = 0.218 \text{ kgC kgN}^{-1} \text{ day}^{-1}$;~~
1032 ~~Ryan, 1991) in the living compartments. The Q_{10} function is an exponential function for~~
1033 ~~which a 10°C increase in temperature relates to a Q_{10} factor change in the rate of respiration.~~
1034 ~~MR is partitioned into day time and night time respiration using, in place of $temp$ in Eq.(A7):~~
1035 ~~t_{day} and t_{night} for foliage, t_{soil} for fine and live coarse roots, and t_{avg} for live stem and branch.~~

$$1036 \del{MR_{x,y,z,k} = 0.218 * Ncontent_{x,y,z,k} * Q_{10}^{(temp-20)/10}} \quad (A7)$$

1037 ~~$GR_{x,y,z,k}$ is considered as a fixed ratio (30%) of all newly grown (i.e. living) tissues as~~
1038 ~~proposed by Larcher (2003).~~

1039

1040

1041 **Table captions**

1042 **Table 1** Main characteristics of the study sites. IGBP (International Geosphere Biosphere
1043 Program) legend: MF=mixed forest; DBF=deciduous broadleaf forest; EBF=evergreen
1044 broadleaf forest; ENF=evergreen needle leaf forest. Year of simulation starting and ending
1045 depend on available time series of observed data.

1046 **Table 2** IMV and IAV NRMSE for the analyzed sites. Each specific IMV distribution was
1047 tested for normality goodness of fit (N = normal distribution, P = non normal distribution). A
1048 test for equivalence of central tendency was performed between IMV_{MD} and IMV_{EC} values.
1049 (na) refers to the case of sites with inconsistent distributions (one normal, one not normal
1050 distributed). (*) marks refer to the acceptance of the null hypothesis that the two distributions
1051 are equivalent for the specific statistic ($\alpha=0.05$). ECT stands for “Equivalence for Central
1052 Tendency”; EV for “Equivalence for Variance”.

1053 **Table 3** Performance statistics (r , NRMSE, MEF, [MABstdBi](#)) are reported as derived from
1054 daily and monthly series of GPP_{EC} and GPP_{MD} values over long-term annual scale, for the
1055 different forest structure simulations. The (*) refers to p -value < 0.0001 in correlation
1056 between GPP_{EC} and GPP_{MD} data. In addition, long term average of annual GPP_{MD} and GPP_{EC}
1057 values ($gC\ m^{-2}\ yr^{-1}$) for the different forest structures are shown.

1058

1059 **Figure captions**

1060 **Figure 1** 3D-CMCC FEM performance indices at different time scales; daily (~~on the~~
1061 ~~left~~ [Figure 1-a](#)) and daily aggregated to month ([Figure 1-b](#)) for X and Y dataset. (~~on the right~~).
1062 [Figure 1-c and 1-d refer to Y daily and Y monthly dataset following decomposition technique](#)
1063 [proposed in Zhao et al. \(2012\)](#). DE-Tha refers to the 1S simulation, IT-Ren to the 2L_2C
1064 simulation, BE-Bra to the P_Q-3L simulation (see text). ~~The red horizontal line refers to the~~
1065 ~~value calculated for the whole data aggregated per IGBP vegetation class.~~

1066 **Figure 2** Taylor diagrams for daily (a), daily aggregated to month (b) GPP evaluated by: the
1067 deviation of model results from observations (REF) in terms of normalized standard deviation
1068 of observations, represented by the distance from the site point to the point on the x-axis
1069 identified as reference (REF); the difference of model normalized standard deviation from
1070 that of observations, represented by the distance of the site point with respect to the quarter
1071 arc crossing REF; and the correlation, given by the azimuthal position of the site point to the
1072 x-axis. The sites are numbered in ascending order as follows: (1) DE-Hai, (2) DK-Sor, (3)
1073 FR-Hes, (4) IT-Col, (5) FR-Pue, (6) IT-Cpz, (7) DE-Tha, (8) FI-Hyy, (9) IT-Ren, (10) BE-
1074 Bra. Colors refer to different IGBPs: DBF (yellow), EBF (orange), ENF (light-blue), MF
1075 (green).

1076 **Figure 3** Distributions of annual GPP ($\text{gC m}^{-2} \text{ yr}^{-1}$). MD (red) are model results, EC (blue)
1077 measured by eddy covariance. The vertical bars represent ± 1 standard deviation. DE-Tha
1078 refers to the 1S simulation, IT-Ren to the 2L_2C simulation, BE-Bra to the P_Q-3L
1079 simulation (see text).

1080 **Figure 4** 3D-CMCC FEM performances indices of daily (~~(D)~~) GPP at different seasons. DE-
1081 Tha refers to the DE-Tha (1S) simulation, IT-Ren to the (2L-2C), BE-Bra to the (P_Q-3L).
1082 ~~The red horizontal line refers to the value calculated for the whole data aggregated per IGBP;~~
1083 ~~“a” refer to p-value < 0.001 . Strongly negative MEF are represented out of scale, but flanked~~
1084 ~~with their respective numerical value.~~

1085 **Figure 5** 3D-CMCC FEM performances indices of daily GPP aggregated to months (~~(M)~~) at
1086 different seasons. DE-Tha refers to the DE-Tha (1S) simulation, IT-Ren to the (2L-2C), BE-
1087 Bra to the (P_Q-3L). ~~The red horizontal line refers to the value calculated for the whole data~~
1088 ~~aggregated per IGBP; “a”, “b”, “c” refer to p-value < 0.001 , 0.01 and 0.05 respectively.~~
1089 ~~Strongly negative MEF are represented out of scale, but flanked with their respective~~
1090 ~~numerical value.~~

1091 **Figure 6** Comparison between GPP_{MD} and GPP_{EC} data. The top plots show the average
1092 GPP_{EC}:GPP_{MD} correlation for [\(a, left\) monthly \(gC m⁻² month⁻¹\) and \(b; right\) daily \(gC m⁻²d⁻¹\)](#)
1093 ~~and monthly (gC m⁻² month⁻¹)~~-data. The bottom plots show absolute difference range
1094 between GPP_{MD} and GPP_{EC} while increasing GPP_{EC} values. Negative values are excluded
1095 because of model assumptions. DE-Tha refers to the 1S simulation, IT-Ren to the 2L_2C
1096 simulation, BE-Bra to the P_Q-3L simulation [\(see text\)](#).

1097 **Figure 7** Seasonal (monthly) cycle of GPP across the ten sites. The grey line and margins of
1098 the grey area represent long-term average of monthly GPP_{EC} (gCm⁻²month⁻¹) and its ±1
1099 standard deviation, respectively. The green and red dashed lines represent the long-term
1100 average of monthly GPP_{MD} (gCm⁻²month⁻¹) and its ±1 standard deviation, respectively. DE-
1101 Tha refers to the 1S simulation, IT-Ren to the 2L_2C simulation, BE-Bra to the P_Q-3L
1102 simulation (see text).

1103 **Figure 8** Distribution of the magnitude for the inter-monthly variability values (IMVs, gC m⁻²
1104 d⁻¹) for each specific site, resulted by standard kernel density estimation. [The vertical red line](#)
1105 [is the media, the box plot limit the 25th and 75th percentiles, the dashed black bars represent](#)
1106 [the rest of the distribution range excluding outliers \(red crosses\)](#) DE-Tha refers to the 1S
1107 simulation, IT-Ren to the 2L_2C simulation, BE-Bra to the P_Q-3L simulation [\(see text\)](#).

1108 **Figure 9** Inter-Annual Variability (IAV) based on Keenan et al. (2012). Red and blue bars
1109 indicate the observed and modelled IAV values, respectively; *r* values refer to correlation
1110 between observed and modelled variations. DE-Tha refers to the 1S simulation, IT-Ren to the
1111 2L_2C simulation, BE-Bra to the P_Q-3L simulation [\(see text\)](#).

1 Table 1.

Site Name (Site code)	Lat (°) / Lon (°)	IGBP	Simulation year (Starting - Ending)	Mean Annual Temperature (°C)	Mean Annual Precipitation (mm yr ⁻¹)	Elevation (m a.s.l.)	Main species and forest description	Main References
Hainich (DE-Hai)	51.08 / 10.45	DBF	2000 – 2007	8.3	720	445	Uneven-aged, unmanaged multi-layered forest of beech (<i>Fagus sylvatica</i> , 250 years, mean DBH 30.8 cm, mean tree height 23.1 m, stand density 334 trees/ha)	Knohl et al., 2003 + BADM files
Sorø (DK-Sor)	55.49 / 11.64	DBF	2001 – 2009	8.2	660	40	Beech (<i>Fagus sylvatica</i> , averagely 80 yrs, mean DBH 36.13 cm, mean tree height 25 m, stand density 283 trees/ha)	Pilegaard et al. 2003 + BADM files
Hesse (FR-Hes)	48.67 / 7.07	DBF	2001 – 2007	9.2	820	300	Beech (<i>Fagus sylvatica</i> , averagely 35 yrs, mean DBH 8.19 cm, mean tree height 13 m, stand density 3384 trees/ha)	Granier et al., 2000 + BADM files
Collelongo (IT-Col)	41.85 / 13.59	DBF	1997 – 2012	6.3	1180	1550	Beech (<i>Fagus sylvatica</i> , averagely 100 yrs, mean DBH 20.2 cm, mean tree height 19.8 m, stand density 900 trees/ha)	Scartazza et al., 2013 + BADM files
Puechabon (FR-Pue)	43.74 / 3.60	EBF	2000 – 2011	13.5	883	270	Holm oak (<i>Quercus ilex</i> , averagely 59 yrs, mean DBH 7 cm, mean tree height 6 m, stand density 8500 trees/ha)	Loustau et al., 2005 + BADM files
Castelporziano (IT-Cpz)	41.71 / 12.38	EBF	2000 – 2008	15.6	780	3	Holm oak (<i>Quercus ilex</i> , averagely 45 yrs, mean DBH 16 cm, average tree height 12.5 m, stand density 458 trees/ha)	Vitale et al., 2003 + BADM files
Tharandt (DE-Tha)	50.96 / 13.57	ENF	2000 – 2010	7.7	820	380	Mixed Norway spruce (<i>Picea abies</i> , averagely 113 yrs, mean DBH 33 cm, tree height 26, density 396 trees/ha) and Scots Pine (<i>Pinus sylvestris</i> , averagely 113 yrs, mean DBH 33.1 cm, tree height 26.1 m, density 81 trees/ha)	Grünwald & Bernhofer, 2007 + BADM files
Hyytiälä (FI-Hyy)	61.85 / 24.29	ENF	2001 – 2011	3.8	709	170	Scots pine (<i>Pinus sylvestris</i> , 39 yrs, mean DBH 30.8 cm, mean tree height 23.1 m, stand density 334 trees/ha)	Suni, et al., 2003 + BADM files

Renon (IT-Ren)	46.59 / 11.43	ENF	2006 - 2010	4.7	809	1735	Uneven-aged multi-layered forest of Norway spruce (<i>Picea abies</i> averagely, 190 and 30 yrs, average DBH 30.8 cm , average tree height 23.1m , stand density 334 trees/ha)	Montagnani et. al.(2009)
Brasschaat (BE-Bra)	51.30/4.52	MF	2001 - 2010	9.8	750	16	Mixed, uneven-aged multi-layered forest of Scots pine (<i>Pinus sylvestris</i> , averagely 72 yrs) and Pedunculate oak (<i>Quercus robur</i> , averagely 65 yrs)	Gielen et al., 2013

1 *Table 2*

		DE-Hai	DK-Sor	FR-Hes	IT-Col	FR-Pue	IT-Cpz	DeDE- Tha (1S)	FI-Hyy	IT-Ren (2L-2C)	BE-Bra (P_Q-3L)
NRMSE	IAVs	2.4	1.8	1.3	0.3	0.6	1.1	1.0	2.7	1.3	0.9
NRMSE	IMVs	1.7	2.7	1.1	0.6	1.1	1.2	1.1	1.2	1.0	0.5
ECT	p-value	1.00* ^N	0.12* ^N	0.54* ^N	0.00 ^N	0.15* ^N	1.00* ^{na}	1.00* ^P	0.04 ^N	0.88* ^P	0.85* ^N
EV	p-value	0.53* ^N	0.00 ^N	0.00 ^N	0.46* ^N	0.00 ^N	0.02 ^{na}	0.78* ^P	0.00 ^N	0.27* ^P	0.01 ^N

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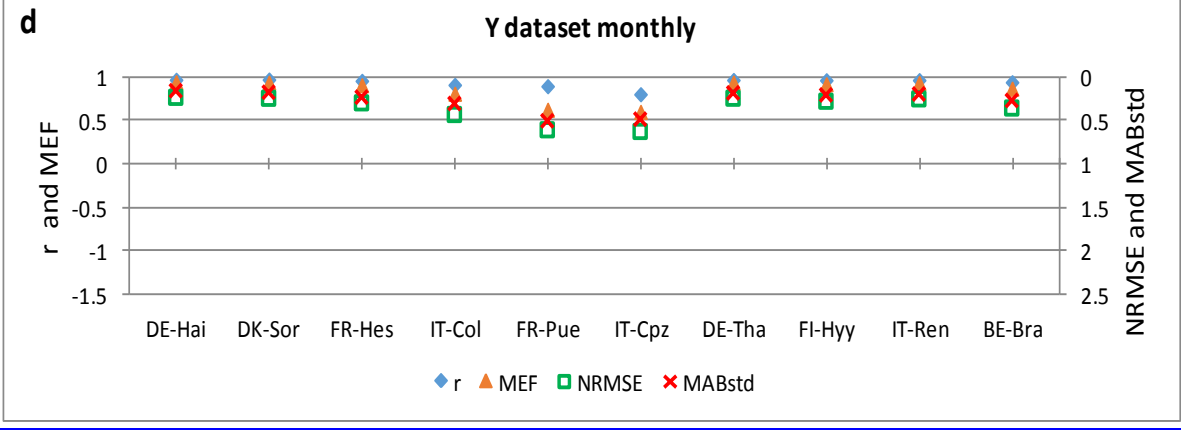
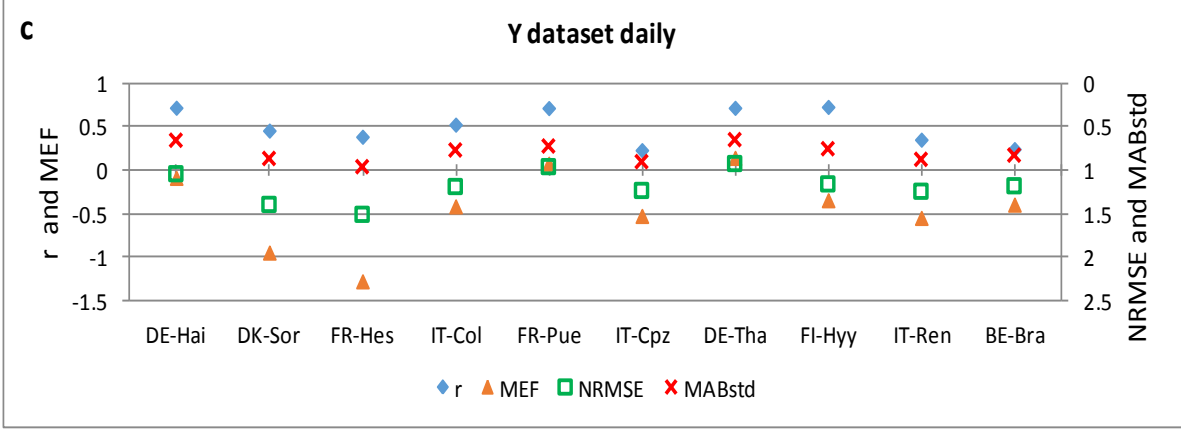
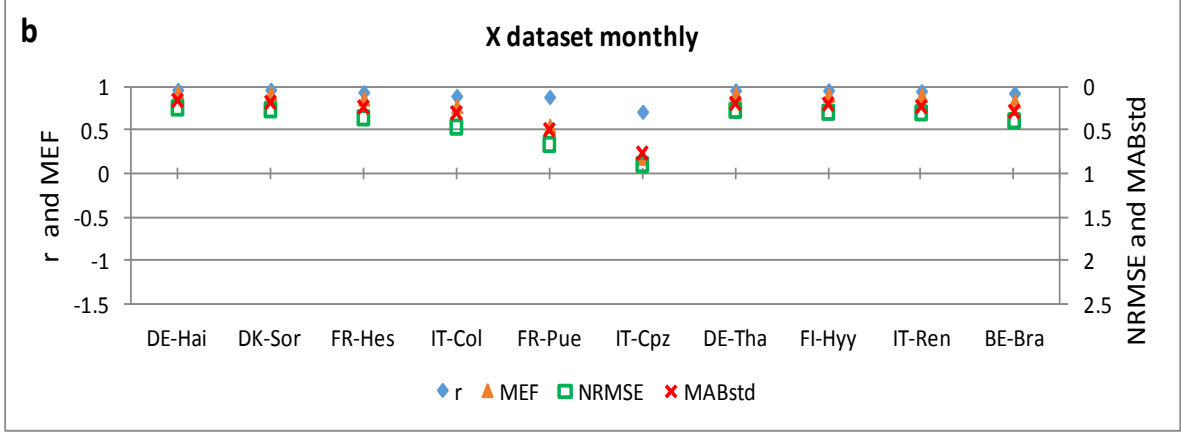
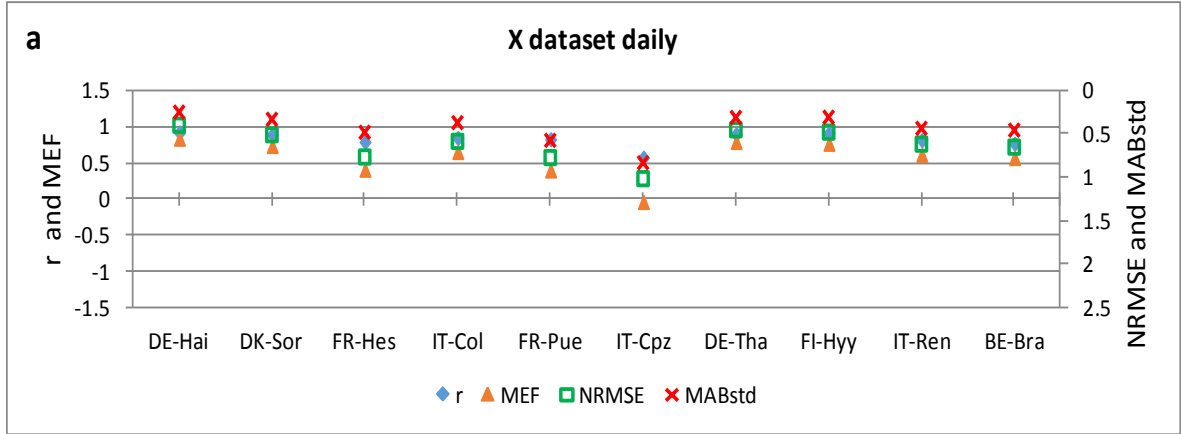
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1 *Table 3.*

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Site	Model set-up code	Daily				Monthly				Yearly	
		<i>r</i>	NRMSE	MEF	MABstd	<i>r</i>	NRMSE	MEF	MABstd	$\frac{GPP_{MD}}{gC\ m^{-2}\ yr^{-1}}$	$\frac{GPP_{EC}}{gC\ m^{-2}\ yr^{-1}}$
BE-Bra	P	<u>0.72*</u>	<u>0.73</u>	<u>0.47</u>	<u>0.51</u>	<u>0.86*</u>	<u>0.55</u>	<u>0.70</u>	<u>0.39</u>	<u>1003</u>	
	Q 3L	<u>0.76*</u>	<u>0.91</u>	<u>0.18</u>	<u>0.67</u>	<u>0.84*</u>	<u>0.71</u>	<u>0.49</u>	<u>0.52</u>	<u>1105</u>	
	Q 2L	<u>0.74*</u>	<u>0.89</u>	<u>0.21</u>	<u>0.66</u>	<u>0.86*</u>	<u>0.74</u>	<u>0.45</u>	<u>0.55</u>	<u>1179</u>	
	Q 1L	<u>0.75*</u>	<u>0.95</u>	<u>0.01</u>	<u>0.70</u>	<u>0.86*</u>	<u>0.68</u>	<u>0.53</u>	<u>0.50</u>	<u>1147</u>	<u>1112</u>
	P Q-3L	<u>0.77*</u>	<u>0.64</u>	<u>0.58</u>	<u>0.32</u>	<u>0.91*</u>	<u>0.42</u>	<u>0.82</u>	<u>0.28</u>	<u>1169</u>	
	P Q-2L	<u>0.75*</u>	<u>0.67</u>	<u>0.55</u>	<u>0.46</u>	<u>0.91*</u>	<u>0.44</u>	<u>0.81</u>	<u>0.30</u>	<u>1037</u>	
	P Q-1L	<u>0.75*</u>	<u>0.66</u>	<u>0.56</u>	<u>0.46</u>	<u>0.91*</u>	<u>0.68</u>	<u>0.53</u>	<u>0.50</u>	<u>1056</u>	
IT-Ren	2L 2C	<u>0.81*</u>	<u>0.62</u>	<u>0.61</u>	<u>0.44</u>	<u>0.95*</u>	<u>0.30</u>	<u>0.91</u>	<u>0.23</u>	<u>1348</u>	
	1L 1C	<u>0.83*</u>	<u>0.85</u>	<u>0.27</u>	<u>0.61</u>	<u>0.96*</u>	<u>0.61</u>	<u>0.62</u>	<u>0.45</u>	<u>1950</u>	<u>1362</u>
DE-Tha	1S	<u>0.89*</u>	<u>0.48</u>	<u>0.80</u>	<u>0.31</u>	<u>0.96*</u>	<u>0.29</u>	<u>0.91</u>	<u>0.19</u>	<u>1898</u>	
	2S	<u>0.89*</u>	<u>0.46</u>	<u>0.79</u>	<u>0.31</u>	<u>0.95*</u>	<u>0.27</u>	<u>0.93</u>	<u>0.19</u>	<u>1837</u>	<u>1869</u>

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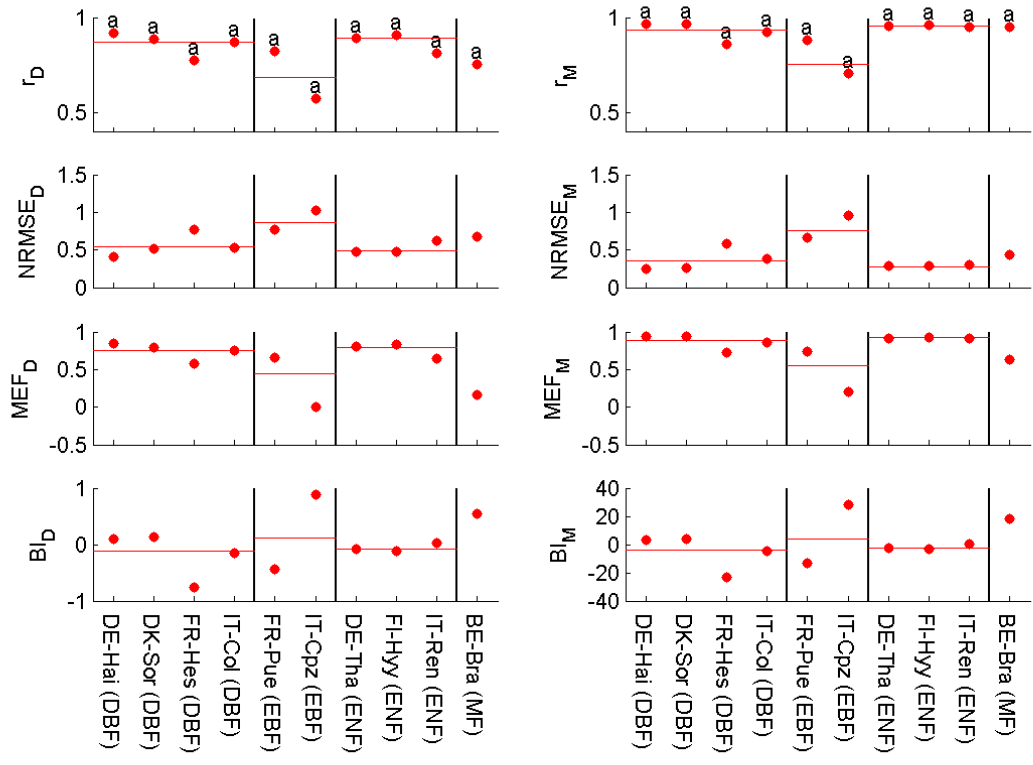
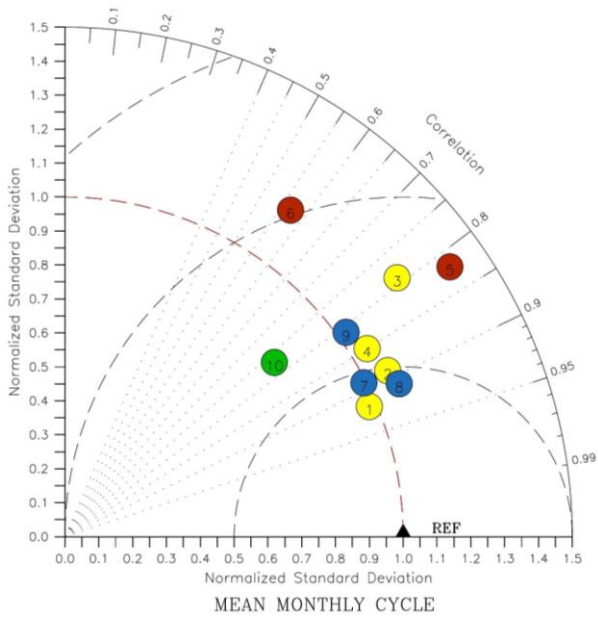


Figure 1

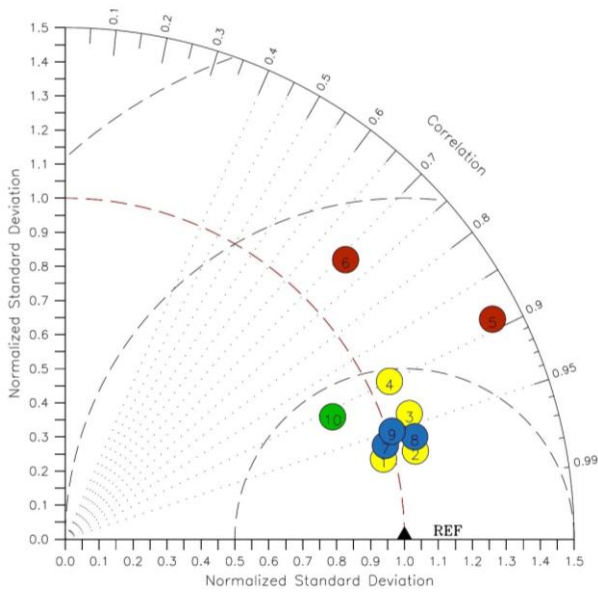
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MEAN DAILY CYCLE



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MEAN MONTHLY CYCLE



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6 | *Figure 2*

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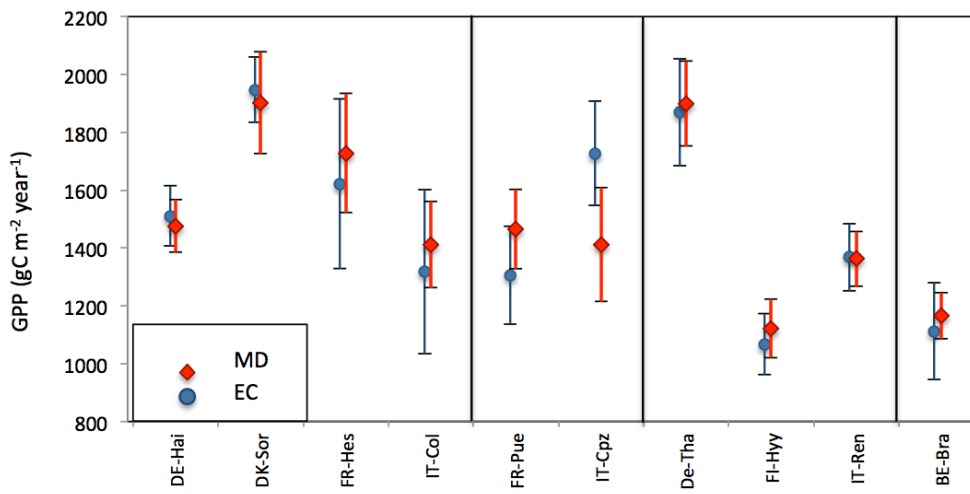
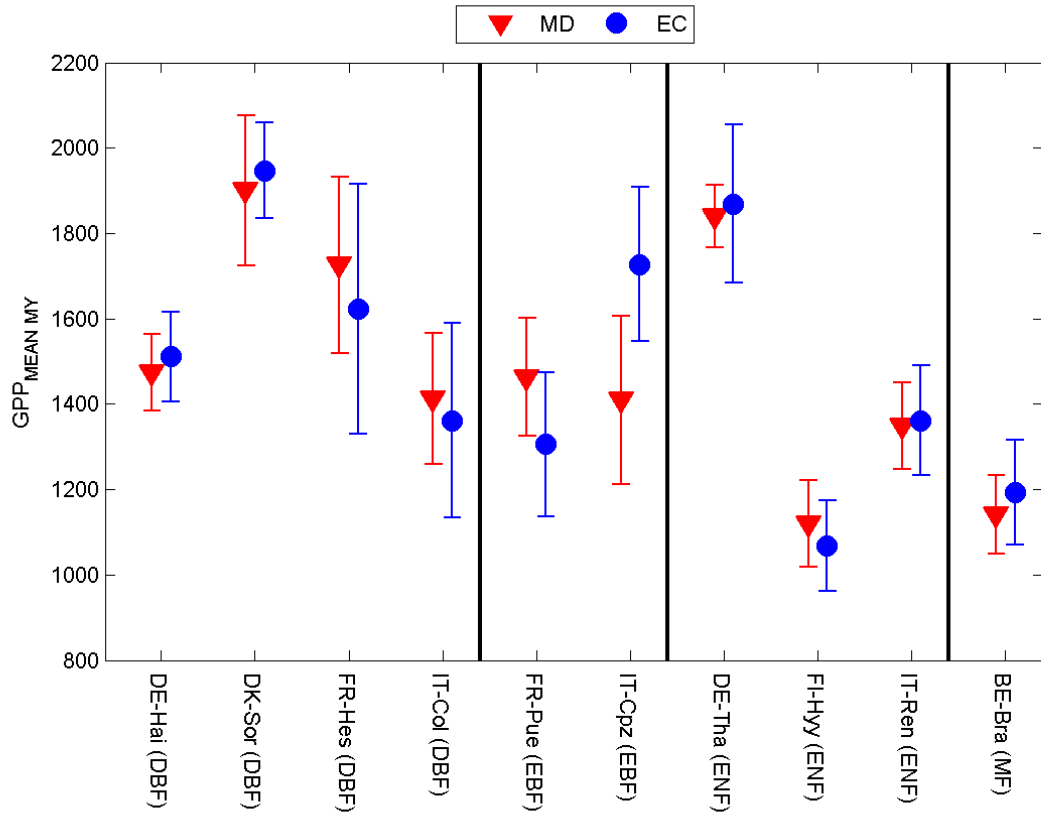
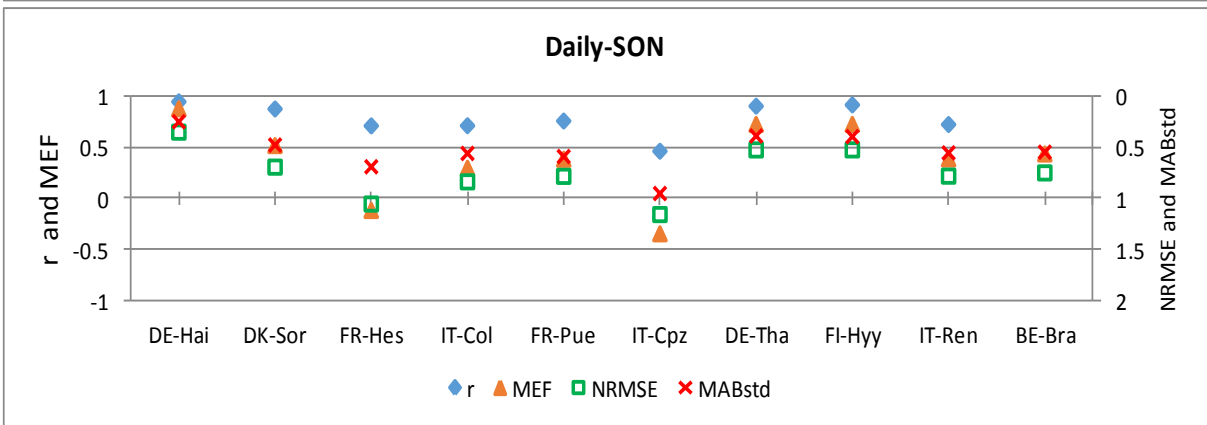
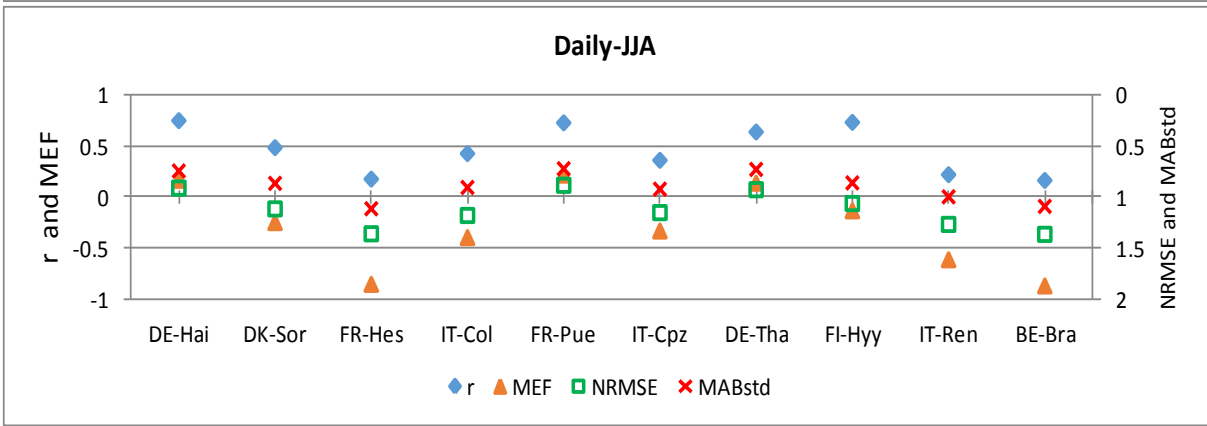
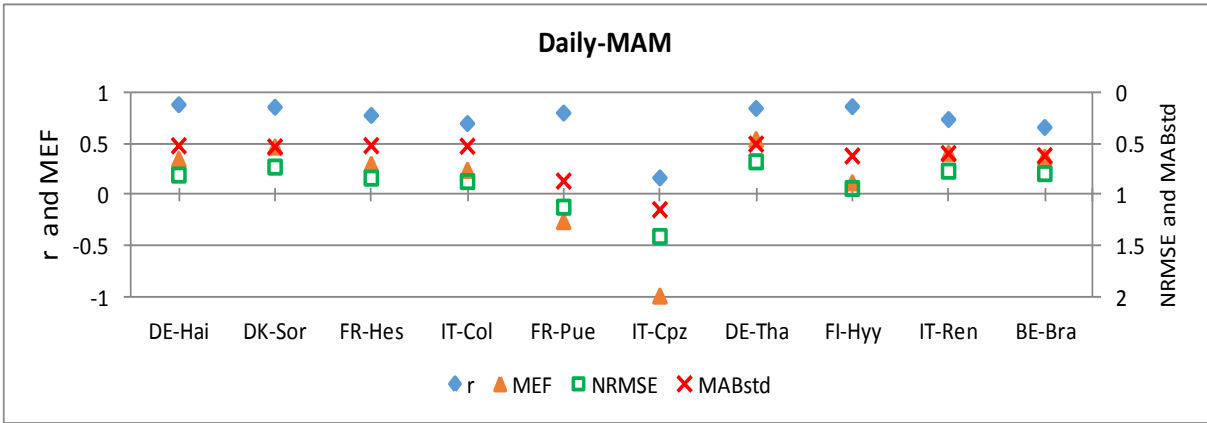
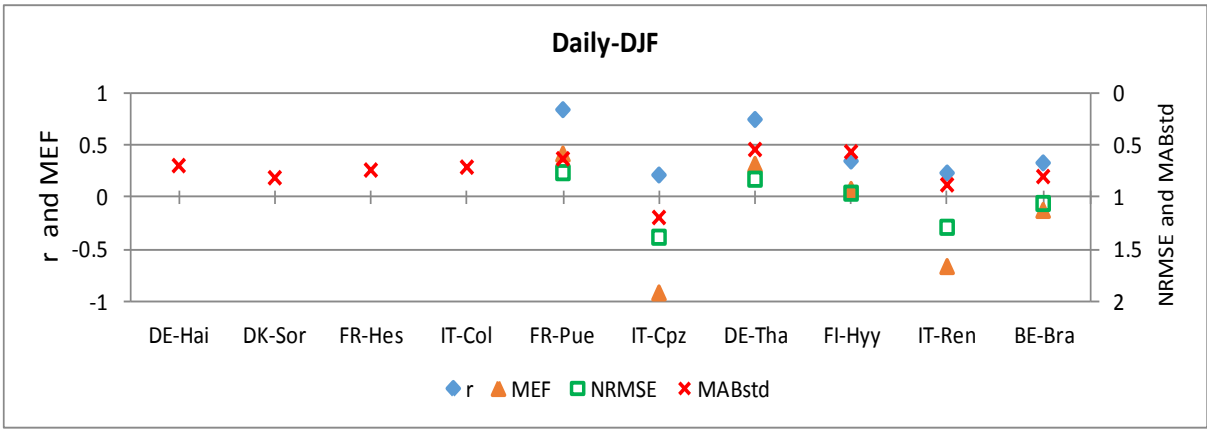


Figure 3

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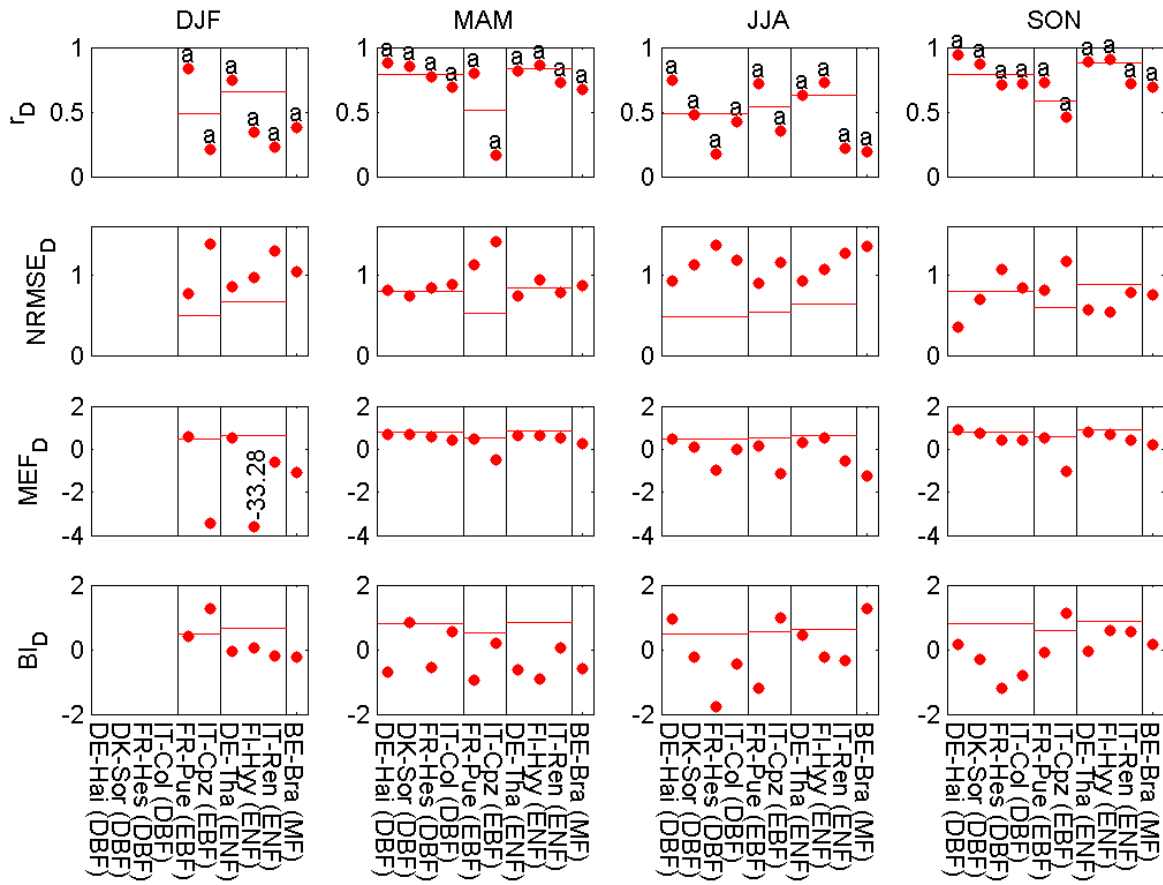
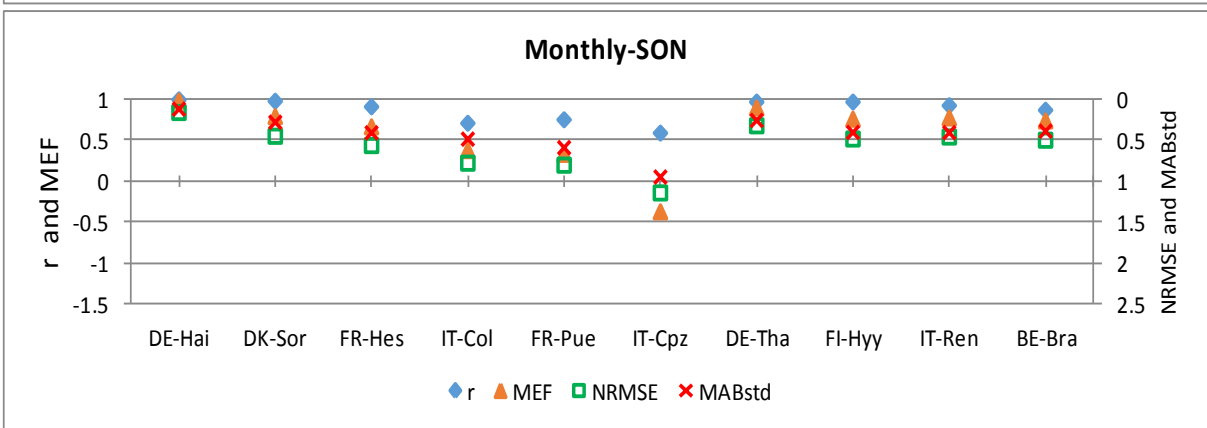
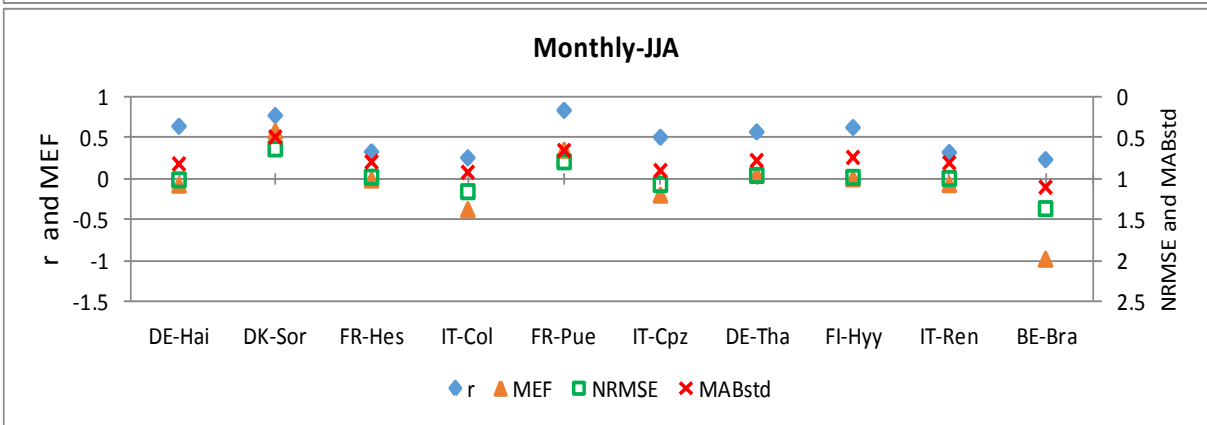
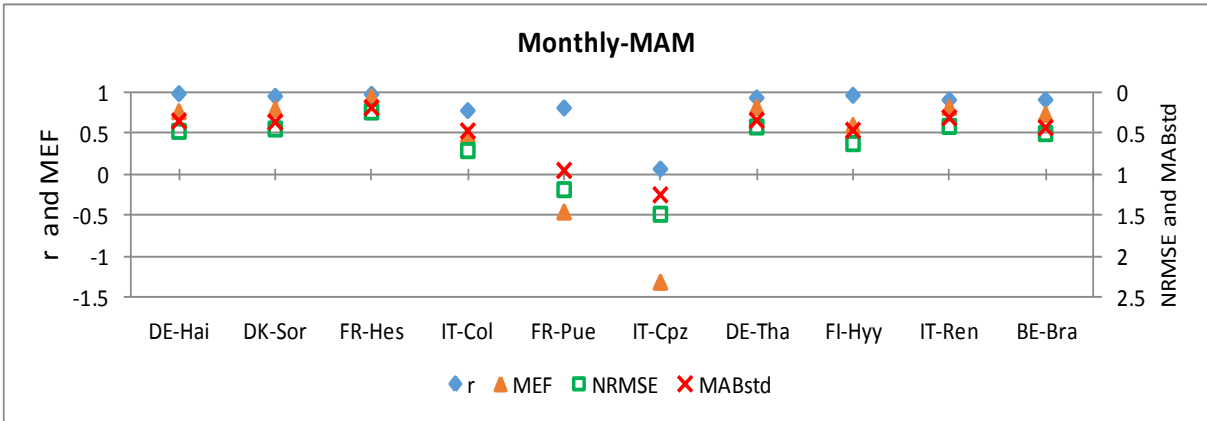
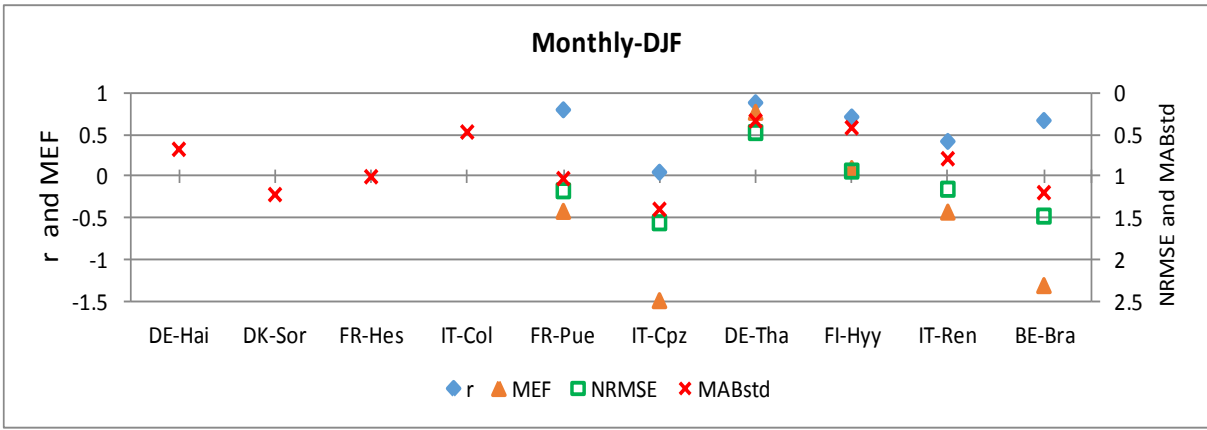


Figure 4



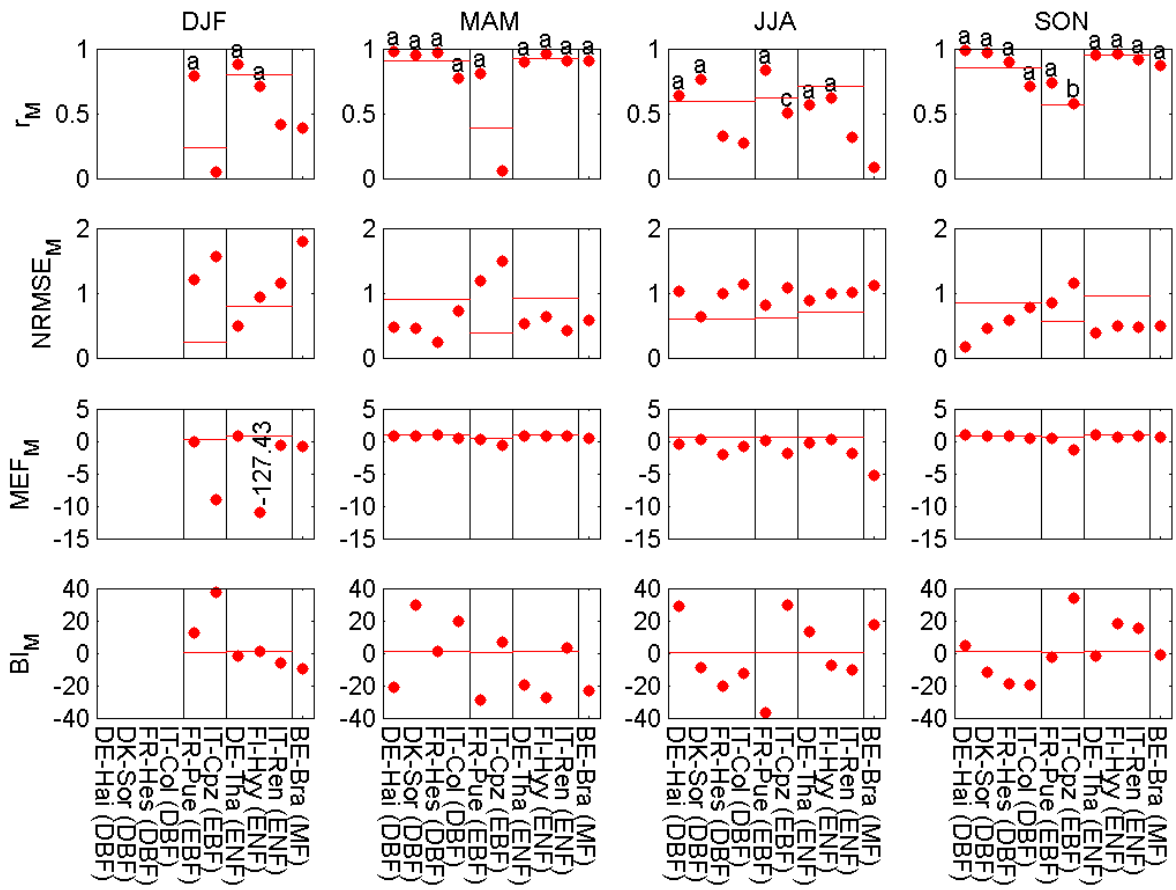
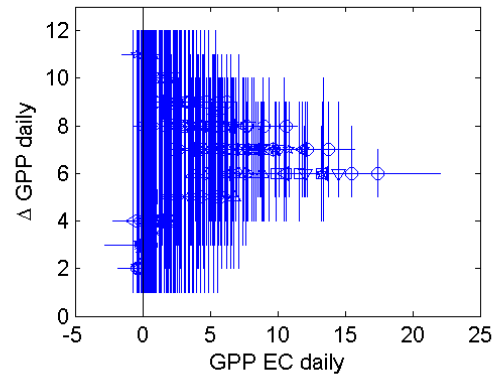
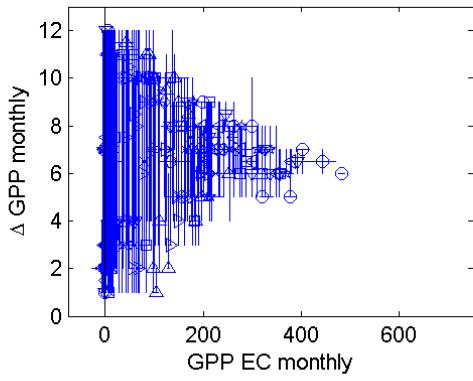
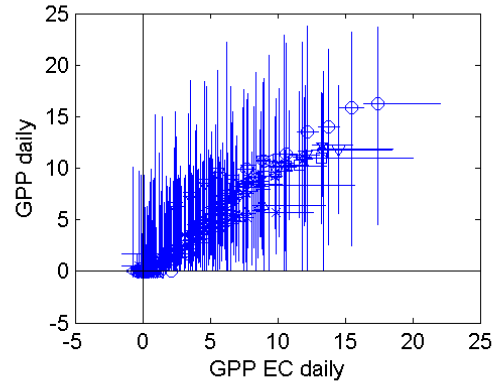
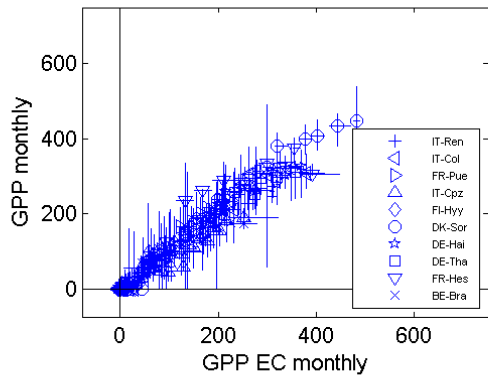
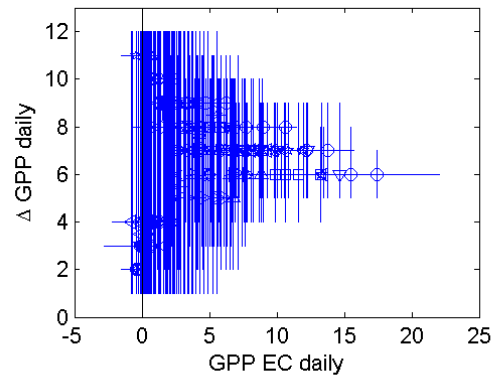
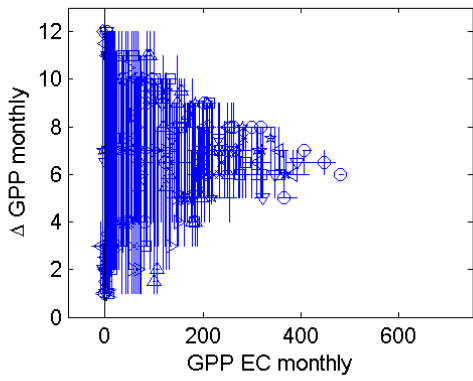
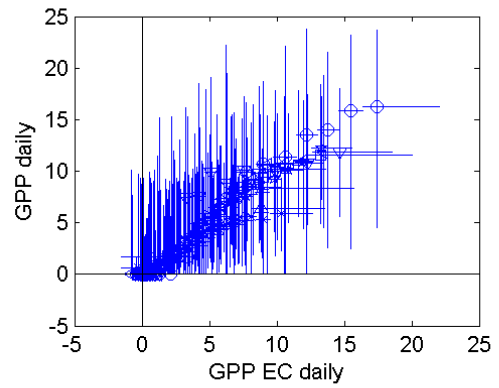
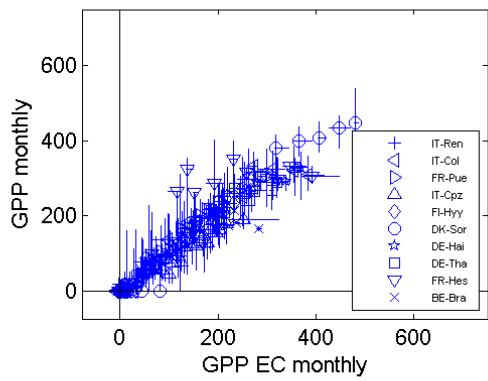


Figure 5



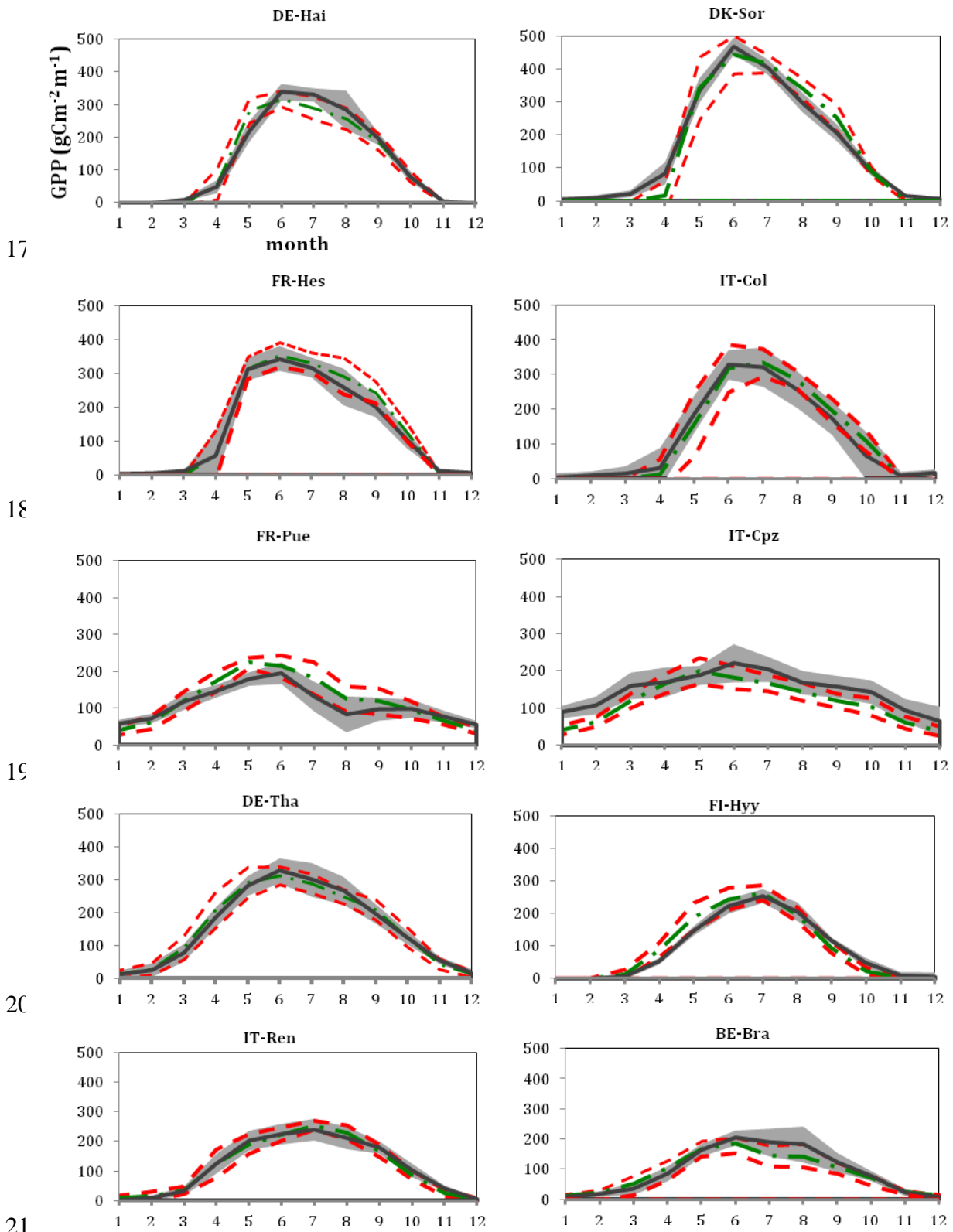
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15 *Figure 6*

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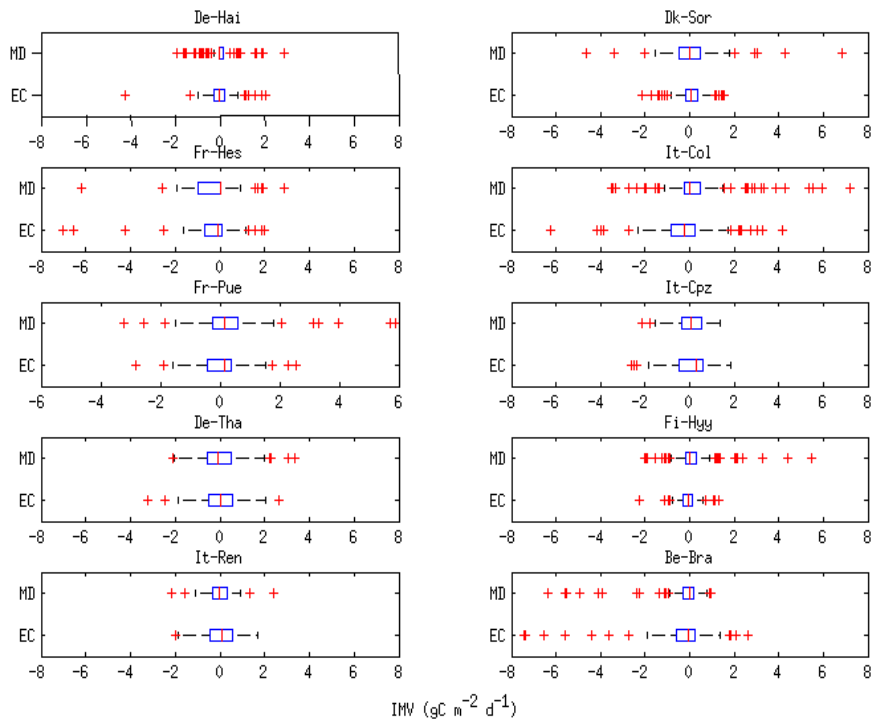
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22 *Figure 7*

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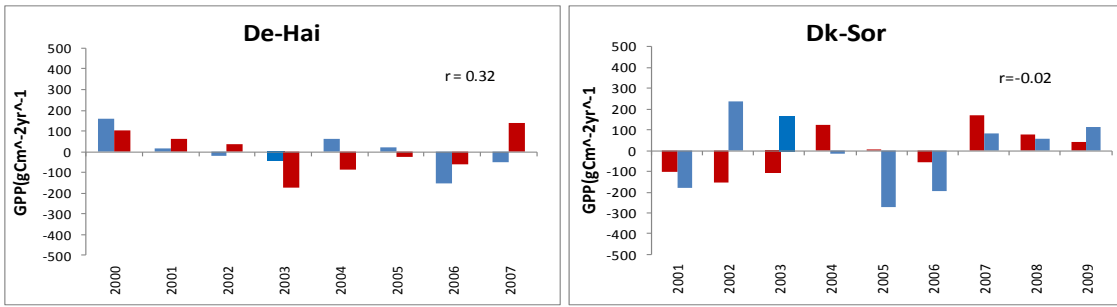


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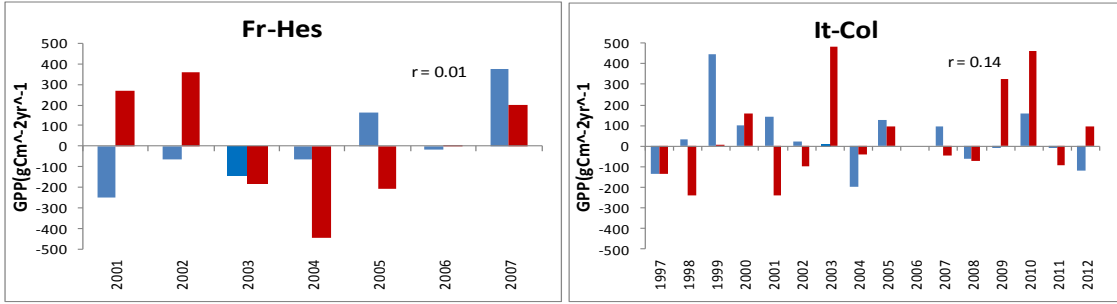
25 *Figure 8*

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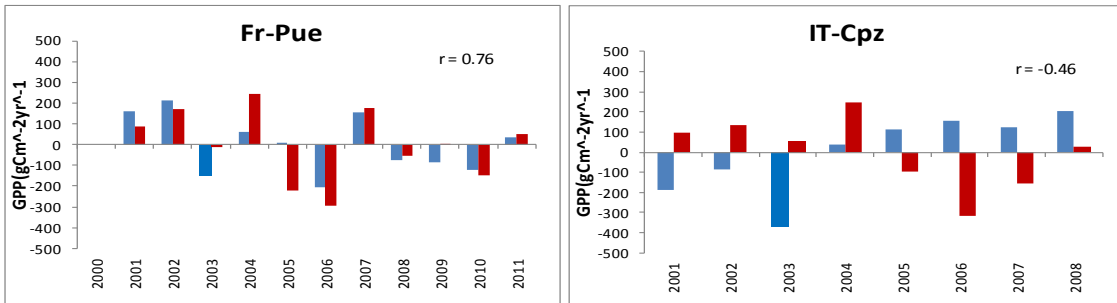
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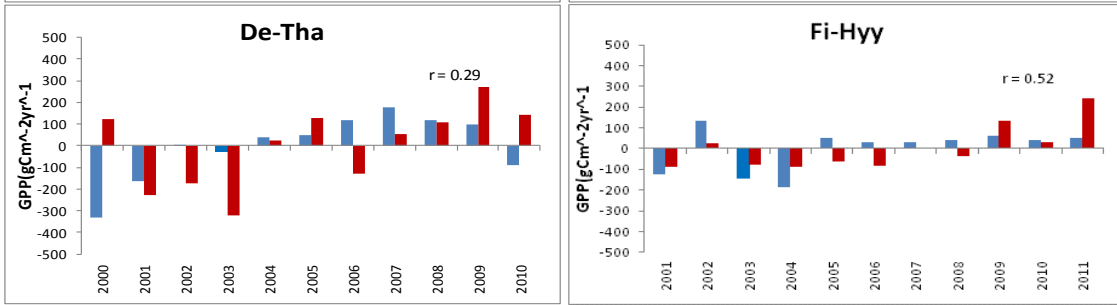
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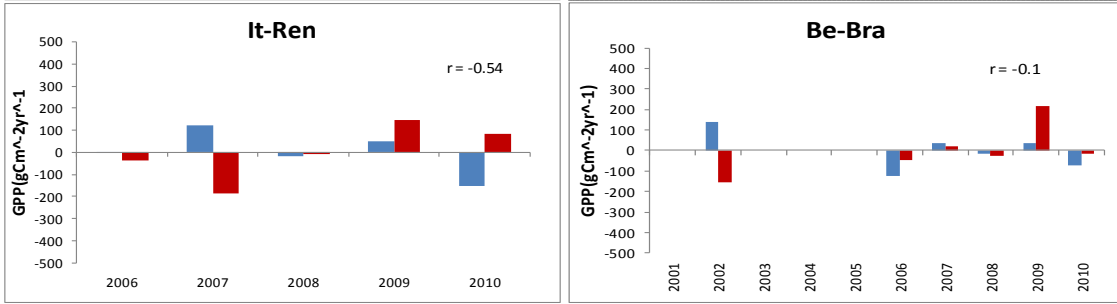
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32 Figure 9

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