

1 **The GEWEX LandFlux project: evaluation of model evaporation using tower-**  
2 **based and globally-gridded forcing data**

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14

15 **Abstract**

16 Determining the spatial distribution and temporal development of evaporation at regional and  
17 global scales is required to improve our understanding of the coupled water and energy cycles  
18 and to better monitor any changes in observed trends and variability of linked hydrological  
19 processes. With recent international efforts guiding the development of long-term and globally  
20 distributed flux estimates, continued product assessments are required to inform upon the  
21 selection of suitable model structures and also to establish the appropriateness of these multi-  
22 model simulations for global application. In support of the objectives of the GEWEX LandFlux  
23 project, four commonly used evaporation models are evaluated against data from tower-based  
24 eddy-covariance observations, distributed across a range of biomes and climate zones. The  
25 selected schemes include the Surface Energy Balance System (SEBS) approach, the Priestley-  
26 Taylor Jet Propulsion Laboratory (PT-JPL) model, the Penman-Monteith based Mu model (PM-

27 Mu) and the Global Land Evaporation Amsterdam Model (GLEAM). Here we seek to examine  
28 the fidelity of global evaporation simulations by examining the multi-model response to varying  
29 sources of forcing data. To do this, we perform parallel and collocated model simulations using  
30 tower-based data together with a global-scale grid-based forcing product. Through quantifying  
31 the multi-model response to high-quality tower data, a better understanding of the subsequent  
32 model response to the coarse-scale globally gridded data that underlies the LandFlux product  
33 can be obtained, while also providing a relative evaluation and assessment of model  
34 performance.

35 Using surface flux observations from forty-five globally distributed eddy-covariance stations as  
36 independent metrics of performance, the tower-based analysis indicated that PT-JPL provided  
37 the highest overall statistical performance ( $0.72$ ;  $61 \text{ W.m}^{-2}$ ;  $0.65$ ), followed closely by GLEAM  
38 ( $0.68$ ;  $64 \text{ W.m}^{-2}$ ;  $0.62$ ), with values in parenthesis representing the  $R^2$ ,  $RMSE$  and Nash-Sutcliffe  
39 Efficiency ( $NSE$ ), respectively. PM-Mu ( $0.51$ ;  $78 \text{ W.m}^{-2}$ ;  $0.45$ ) tended to underestimate fluxes,  
40 while SEBS ( $0.72$ ;  $101 \text{ W.m}^{-2}$ ;  $0.24$ ) overestimated values relative to observations. A focused  
41 analysis across specific biome types and climate zones showed considerable variability in the  
42 performance of all models, with no single model consistently able to outperform any other.  
43 Results also indicated that the global gridded data tended to reduce the performance for all of  
44 the studied models when compared to the tower data, likely a response to scale mismatch and  
45 issues related to forcing quality. Rather than relying on any single model simulation, the spatial  
46 and temporal variability at both the tower- and grid-scale highlighted the potential benefits of  
47 developing an ensemble or blended evaporation product for global scale LandFlux applications.  
48 Challenges related to the robust assessment of the LandFlux product are also discussed.

49

## 50 **1 Introduction**

51 Characterizing the exchange of water between the land surface and the atmosphere is a topic  
52 of multi-disciplinary interest, as the processes that comprise this dynamic cycling of water  
53 determine the spatial and temporal variability of hydrological responses across local and global  
54 scales. In recent years, there has been significant progress in the development of regional and

55 global datasets based largely on remote sensing retrievals. These data have provided a wealth  
56 of spatially and temporally varying information across a range of Earth system processes,  
57 including soil moisture (Liu et al., 2011a), vegetation change (Tucker et al., 2005; Liu et al.,  
58 2011b; Liu et al., 2013), groundwater (Famiglietti et al., 2011; Richey et al., 2015) and  
59 precipitation (Huffman et al., 1995; Nesbitt et al., 2004), enabling a capacity to enhance our  
60 understanding and description of regional- and global-scale water cycles and their spatial and  
61 temporal variability. While evaporation represents the key process returning the Earth's surface  
62 water to the overlying atmosphere and provides the linking mechanism between the water and  
63 energy cycles, it is only in relatively recent times that effort has been directed towards the  
64 development of global products (Mu et al., 2007; Fisher et al., 2008; Vinukollu et al., 2011a).

65 To address this observation limitation, a number of evaporation modelling approaches have  
66 been developed over the past few years to enable estimation at scales beyond the field, using  
67 satellite remote sensing (Sheffield et al., 2010; Miralles et al., 2011a) and other data sources  
68 (Douville et al., 2013). The models tend to differ in their level of empiricism and in the desired  
69 scale of application, with some exclusively developed for farm-scale operation and requiring  
70 local calibration (Bastiaanssen et al., 1998; Allen et al., 2007). Others have been developed for  
71 broader scale application and are built on physical relationships describing the water and  
72 energy transfer at the land surface (Norman et al., 1995; Su, 2002; Fisher et al., 2008; Miralles  
73 et al., 2011a). While traditional applications of evaporation estimates have been directed  
74 towards agricultural monitoring (Allen, 2000), catchment water budgets and basin-scale water  
75 management (Kustas et al., 1994; Granger, 2000), more recent applications of evaporation  
76 products have included detection and prediction of heatwaves (Hirschi et al., 2011; Miralles et  
77 al., 2014a), droughts (Mu et al., 2012; Otkin et al., 2014) and in resolving the likely contribution  
78 of human-induced climate change on such events (Greve et al., 2014).

79 Despite the importance of understanding the magnitude and spatial and temporal variability of  
80 evaporation, the availability of long-term products required to do this are rather limited.  
81 Characterizing the long-term trends and variability in independent observations of the Earth's  
82 coupled water and energy cycles is a key objective of the World Climate Research Programmes  
83 (WCRP) Global Energy and Water Cycle Exchanges (GEWEX) project. Towards this task, the

84 GEWEX Data and Assessments Panels (GDAP) LandFlux project has coordinated two interrelated  
85 research efforts that seek to: i) intercompare long-term gridded surface flux data sets and  
86 identify their skill and reliability (i.e. product-benchmarking), and ii) simulate and intercompare  
87 evaporation models to identify algorithms appropriate for developing a global flux product (i.e.  
88 model-benchmarking). In one of the first global-scale product assessments, Jiménez et al.  
89 (2011) examined twelve evaporation products obtained from satellite-based, reanalyses and  
90 off-line land surface model (LSM) simulations for a 3 year period (1993-1995), identifying large  
91 correlations between the products, similarity in their spatial distributions, as well as large  
92 absolute differences in the annual average evaporation. A complementary investigation of the  
93 inter-product differences was undertaken by Mueller et al. (2011), which included forty-one  
94 global evaporation data sets across a range of satellite-based simulations, LSMs, Global  
95 Circulation Models (GCMs), atmospheric reanalyses datasets, empirical up-scaling of eddy-  
96 covariance measurements, as well as atmospheric water budget data sets. In that study,  
97 Mueller et al. (2011) used seven years of monthly mean data for the period 1989-1995 and  
98 found strong similarity in the absolute magnitude and spatial distribution of evaporation  
99 amongst the products. More recently, Mueller et al. (2013) examined multi-annual trends and  
100 variations in evaporation products from a range of diagnostic data sets, LSMs and reanalysis  
101 products and showed consistency in inter-annual variations of evaporation products that  
102 corresponded well with previous investigations (Jung et al., 2010).

103 These benchmarking studies provided a thorough (and much needed) assessment of available  
104 global evaporation products and the varying approaches used to derive them. However,  
105 evaluation of the models for their predictive skill was challenging due to inconsistencies in the  
106 forcing data used to drive the models, as well as to the different parameterization schemes  
107 employed. That is, the analysis was performed on the published evaporation output, rather  
108 than re-running simulations from a common forcing dataset. In these benchmarking studies,  
109 the evaporation data sets were also aggregated to similar spatial and temporal resolutions for a  
110 common analysis period, to enable unbiased comparison. Uncertainties emerging from such  
111 aggregations can often reduce the confidence in any subsequent model performance ranking.  
112 One initial effort addressing this was the study of Vinukollu et al. (2011a), which used the

113 Surface Energy Balance System (SEBS) model (SEBS; Su, 2002), a two-source Penman-Monteith  
114 scheme by Mu et al. (2007) and a three-source model based on parameterizing the Priestley-  
115 Taylor model (PT-JPL) (Fisher et al., 2008) to estimate global evaporation for the period 2003-  
116 2004. The Vinukollu et al. (2011a) analysis revealed that the modelled instantaneous  
117 evaporation (coinciding with the time of satellite overpass) was in reasonable agreement with  
118 locally-observed evaporation at twelve eddy-covariance towers across the United States, with  
119 correlations ranging from 0.43 to 0.54. However, uncertainties resulting from scale mismatch  
120 between satellite data and the validation tower footprint reduced the confidence and skill  
121 ranking of the models. One of the unique aspects of the present study is that tower data are  
122 consistent across all model simulations: that is, tower-bias is minimized, by ensuring that all  
123 models are assessed against the same tower records. Further, even though sub-grid scale  
124 variability is not explored here (since none of the models explicitly account for this), the tower-  
125 to-grid scale analysis acts as a diagnostic of representativeness and point-to-pixel error.

126 Recently, Ershadi et al. (2014) examined a number of models including SEBS, PT-JPL, the  
127 Advection-Aridity model of Brutsaert and Stricker (1979) and a single-source Penman-Monteith  
128 (PM) model (Monteith, 1965), using a set of twenty flux towers distributed across a range of  
129 biome types and climate zones to force the models with tower-based data directly. Based on  
130 common forcing and considering overall results, the study found that PT-JPL was the best  
131 performing model, followed by SEBS, PM and Advection-Aridity. In a related contribution,  
132 Ershadi et al. (2015) provided a more focused analysis on the influence of model structure and  
133 resistance parameterization on single, two-layer and three-source Penman-Monteith models.  
134 The authors identified considerable variability in the performance of models due to their  
135 structure and parameterization choices. While establishing a baseline level of performance at  
136 the tower scale is important, understanding the impact of using the large-scale globally-gridded  
137 forcing that will ultimately drive the global products is key. Indeed, undertaking a parallel  
138 assessment between the tower and grid scales, while imposing consistency in the forcing data  
139 and sampling locations used, allows for a much greater understanding of model response than  
140 can be achieved through either assessment in isolation: an important extension upon recent  
141 tower-only analyses, such as Ershadi et al. (2014) and related contributions.

142 A parallel effort to the LandFlux project is the European Space Agency (ESA) funded WAter  
143 Cycle Multi-mission Observation Strategy for EvapoTranspiration (WACMOS-ET; see  
144 <http://wacmoset.estellus.eu/>). WACMOS-ET, which is focused on an analysis period covering  
145 2005-2007, seeks to better understand the impacts of model structure on flux estimation, with  
146 an additional focus on developing a consistent forcing dataset using predominantly European  
147 Space Agency developed products. A key result from these early works and the preliminary  
148 outcomes from WACMOS-ET support the finding that no single model or parameterization  
149 consistently outperformed any other across different biomes. Further details on these  
150 complimentary efforts can be found in Michel et al. (2015) and Miralles et al. (2015).

151 The focus of the current investigation is to build upon these recent efforts as well as to  
152 complement ongoing WACMOS-ET investigations, by simulating state-of-the-art evaporation  
153 models using a joint assessment of tower-based meteorology and gridded data, and comparing  
154 results with available eddy-covariance flux observations. Understanding how application of  
155 gridded forcing data might influence the performance of the different models, relative to their  
156 performance when forced with (presumably) higher-quality tower data, is a motivating  
157 rationale for this work. Such evaluations are important as they offer insight into the sensitivity  
158 of the models to input data uncertainties, provide a relative assessment of model quality and  
159 also inform upon issues of spatial scale and footprint mismatch (McCabe and Wood, 2006).  
160 Establishing model suitability for large-scale operational application as part of the GEWEX  
161 Landflux project is a further motivating goal for this work. As such, a major objective is to  
162 evaluate the individual model responses across a large range of biomes and climate zones. The  
163 models selected for assessment include SEBS, PT-JPL, the Penman-Monteith based Mu model  
164 (PM-Mu) (Mu et al., 2011) as well as the Global Land Evaporation Amsterdam Methodology  
165 (GLEAM) (Miralles et al., 2011a). These models satisfy a number of criteria that were  
166 considered important for global model selection, including reliance on a minimum number of  
167 forcing variables, capacity to use remote sensing based observations, as well as previous  
168 application at either the regional or global scale.

169

## 170 2 Data and Methodology

### 171 2.1 Data

172 For this analysis, model simulations cover the period from 1997 to 2007 and are performed at a  
173 3-hourly temporal resolution. To examine model response and inter-product variability, a  
174 parallel tower- and grid-based analysis was performed. Data for the tower-based analysis are  
175 derived from a set of forty-five eddy-covariance towers (see Table A1), while the gridded data  
176 are extracted from a compilation of available globally distributed satellite, meteorological and  
177 land surface characteristics products. Compared to the 0.5 degree and 3-hourly gridded data,  
178 the use of tower-based forcing is expected to minimize issues related to footprint uncertainties  
179 when evaluating simulations against the observed eddy-covariance based flux data. The  
180 primary purpose of the grid-based analysis is to better understand the effects of large-scale  
181 forcing data on the accuracy of global retrievals, relative to the tower-based evaluations.

#### 182 2.1.1 Description of tower-based forcing data

183 Data for the tower-based analyses are derived from forty-five eddy-covariance towers selected  
184 from within the FLUXNET database (Baldocchi et al., 2001). *Table A1* lists the key attributes of  
185 the selected towers and *Figure A1* describes the varying temporal lengths of the tower records  
186 used in this study. The requirement that towers only be used if they are able to provide the  
187 input data required by all models (see *Table 1*) was a strong limiting criterion that significantly  
188 reduced the number of available study sites. In particular, the availability of land surface  
189 temperature data, which is required for SEBS, drastically constrained the choice of towers.  
190 However, ensuring data consistency within the towers used for simulation and assessment was  
191 an important component of this work, as it removes the impact of tower bias in subsequent  
192 model assessment. Even with this reduced number, the selected towers represent a  
193 considerable spatial spread encompassing a variety of biome types and climate zones (see  
194 *Figure 1*).

195 In terms of forcing data requirements, tower-based variables that were used for model  
196 simulations include air temperature, relative humidity, wind speed, net radiation, ground heat

197 flux and precipitation. A summary of the forcing data requirements for each model is provided  
198 in Table 1. Land surface emissivity, leaf area index and fractional vegetation cover were  
199 estimated from Normalized Difference Vegetation Index (NDVI) data obtained from the Global  
200 Inventory Monitoring and Modelling Study (GIMMS) dataset (Tucker et al., 2005), at 8 km  
201 spatial and bi-monthly temporal resolutions. Here, the emissivity was calculated using the  
202 approach of Sobrino et al. (2004), leaf area index was estimated following Fisher et al. (2008)  
203 and the fractional vegetation cover was estimated using the technique described in Jiménez-  
204 Muñoz et al. (2009). Land surface temperature was calculated using tower-observed longwave  
205 upward radiation and by inverting the Stefan-Boltzmann equation (Brutsaert, 2005).  
206 Atmospheric pressure data, which are absent from many towers, were calculated based on  
207 ground elevation of tower locations using an equation presented in Bos et al. (2008). Canopy  
208 height ( $h_c$ ), which is needed for the SEBS model, was obtained from tower metadata and was  
209 assumed constant during the simulation period. Although  $h_c$  varies over many vegetation types,  
210 accounting for its within- and inter-annual variability is usually not possible, as observed data of  
211  $h_c$  variations are rarely recorded. Tower data were aggregated (i.e. summed for precipitation  
212 and averaged for other input variables) from their native resolution of half-hourly or hourly to  
213 3-hourly, to match the temporal resolution of the gridded data.

#### 214 **2.1.2 Description of grid-based forcing data (LandFlux Version 0 forcing dataset)**

215 Grid-based data were developed by Princeton University for the LandFlux Version 0 (V-0)  
216 dataset. The variables in the V-0 include air temperature, land surface temperature, wind  
217 speed, atmospheric pressure, specific humidity, precipitation, net radiation, NDVI and leaf area  
218 index. Net radiation data derive from the GEWEX Surface Radiation Budget (SRB) Version-3  
219 (Stackhouse et al., 2011), while land surface temperature is determined by employing a  
220 Bayesian post-processing procedure that merges High-Resolution Infrared Radiation Sounder  
221 (HIRS) retrievals with the land surface temperature data from the National Centers for  
222 Environment Prediction (NCEP) Climate Forecast System Reanalysis (CFSR) (Saha et al., 2010), as  
223 described in Coccia et al. (2015). Precipitation data are also from the NCEP CFSR product and  
224 have been bias-corrected to the Global Precipitation Climatology Project (GPCP) V2.2 dataset  
225 (Adler et al., 2003). Likewise, atmospheric pressure, specific humidity and wind speed data



226 were extracted from the CFSR reanalysis data. For vegetation based parameters, NDVI data  
227 were prepared by aggregating 8-km resolution GIMMS NDVI data to 0.5° resolution, while leaf  
228 area index data were developed by Zhu et al. (2013) through fitting GIMMS NDVI data to the  
229 Moderate Resolution Imaging Spectroradiometer (MODIS) MOD15A2 NDVI product, using a  
230 neural network technique.

231 The majority of variables in the global LandFlux V-0 forcing dataset are at 0.5° spatial and 3-  
232 hourly temporal resolution. Exceptions include the net radiation (1° and 3-hourly), NDVI (0.5°  
233 and bi-monthly) and leaf area index (0.5° and monthly). For net radiation, the 1° data were  
234 linearly interpolated to a 0.5° resolution. The bi-monthly NDVI data were assumed constant for  
235 all 3-hourly time steps during each 15-day interval, while the leaf area index data were assumed  
236 constant during each month. The canopy height over shrubland and forest biomes was  
237 assumed fixed and was estimated using a static canopy height product developed by Simard et  
238 al. (2011). For grassland and cropland biomes, where the dynamics of canopy height can be  
239 considerable, canopy height was calculated using Equation 1, derived from Chen et al. (2012):

$$240 \quad h_c = h_c^{min} + \frac{h_c^{max} - h_c^{min}}{NDVI_{max} - NDVI_{min}} \times (NDVI - NDVI_{min}) \quad (1)$$

241 where  $h_c^{min}$  and  $h_c^{max}$  are the minimum and maximum canopy height and were obtained from  
242 the static vegetation table of the North American Data Assimilation System (NLDAS) (available  
243 from <http://ldas.gsfc.nasa.gov/nldas/web/web.veg.table.html>).  $NDVI_{min}$  and  $NDVI_{max}$  are the  
244 minimum and maximum NDVI, respectively, and were calculated on a pixel-wise basis for each  
245 calendar year. The JPL static vegetation height was aggregated linearly from 1 km to 0.5°.  
246 Likewise, the NDVI derived canopy height was calculated at 8 km resolution and then  
247 aggregated to 0.5°. Similar to the tower-based data, the methodology of Jiménez-Muñoz et al.  
248 (2009) was used for the gridded forcing to estimate the fractional vegetation cover data from  
249 NDVI data. The ground heat flux at the grid-scale was calculated as a fraction of net radiation  
250 using fractional vegetation cover, following Su (2002).

### 251 **2.1.3 Model specific forcing data and data sources**

252 In addition to the data described above and shown in Table 1, both GLEAM and SEBS have some  
253 model specific forcing data requirements. For SEBS, information on land surface temperature,  
254 wind-speed and canopy height are required. At the tower-scale, these data are provided by  
255 available meteorological forcing or meta-data descriptions in the case of canopy height. At the  
256 grid-scale they are provided by a combination of the LandFlux V-O dataset and an adapted JPL  
257 static vegetation height, as described in Section 2.1.2. GLEAM based simulations require  
258 information on soil properties, vegetation optical depth (VOD), satellite soil moisture, snow  
259 water equivalent, lightning frequency and vegetation cover fraction. Soil properties data for  
260 GLEAM include field capacity, critical soil moisture and wilting point soil moisture thresholds.  
261 Data for these were obtained from the Global Gridded Surfaces of Selected Soil Characteristics  
262 dataset of the International Geosphere-Biosphere Programmes Data and Information System  
263 (IGBP-DIS), available from Oak Ridge National Laboratory Distributed Active Archive Center  
264 (<http://www.daac.ornl.gov>). Soil properties data were used in their native 5 arc-minute  
265 resolution for tower-based analysis, but were aggregated to 0.5° for grid-based assessment.  
266 Vegetation optical depth data was from Liu et al. (2011b) using a merged product from multiple  
267 microwave based satellite data. The 0.25° spatial and daily temporal resolutions VOD data were  
268 gap-filled as described by Miralles et al. (2011a). Soil moisture data assimilated in GLEAM  
269 comes from the CCI-WACMOS dataset (Liu et al., 2012) produced from both active and passive  
270 satellite microwave data at 0.25° and daily resolution. Snow water equivalent data are from the  
271 GlobSnow product version 1.0 (Luo et al., 2010); as GlobSnow covers the northern  
272 hemisphere only, Global Monthly Snow Water Equivalent Climatology data from the National  
273 Snow and Ice Data Center (NSIDC) (Armstrong et al., 2005) are used for the BW-Ma1 tower (see  
274 Table A1) located in the southern hemisphere. Both GlobSnow data and the NSIDC product are  
275 at approximately 0.25° spatial and daily temporal resolutions. Lightning frequency data is based  
276 on the Combined Global Lightning Flash Rate Density monthly climatology at 0.5° (Mach et al.,  
277 2007) and it is used to calculate a climatology of rainfall rates (Miralles et al., 2010). Finally,  
278 vegetation cover fractions are derived from the MODIS MOD44B product (Hansen et al., 2005).  
279 The MODIS continuous cover fractions describe every pixel as a combination of its fractions of

280 water, tall canopy, short vegetation and bare soil. The temporal average of fractions is used  
281 here for the MODIS period, providing only a static cover fraction for the GLEAM simulations.  
282 The MOD44B product is available at 250 m and 0.25° resolution. For tower-based analysis,  
283 cover fractions are at 250 m resolution, but for grid-based analysis the 0.25° MOD44B product  
284 was aggregated to 0.5°.

285 Table 1 summarizes the different sources and spatio-temporal scales of the data that were used  
286 for both the tower- and grid-based flux simulations. As noted earlier, the temporal analysis  
287 encompasses the period 1997-2007, although as defined in Figure A1, the individual tower  
288 records do not necessarily provide uninterrupted observations during this time range.

#### 289 **2.1.4 Definition of selected biome type and climate zones**

290 The specific biomes examined in this work include wetland (WET), grassland (GRA), cropland  
291 (CRO), shrubland (SHR), evergreen needleleaf forest (ENF), evergreen broadleaf forest (EBF) and  
292 deciduous broadleaf forest (DBF). Biome type was specified in Fluxnet metadata records for  
293 each of the individual tower sites and follows the International Geosphere-Biosphere  
294 Programme (IGBP) classification. For simplicity, the shrubland biome is comprised of closed  
295 shrubland, woody savannah and mixed forest biomes. The number of towers for each biome  
296 type varies, with fourteen for evergreen needleleaf forest, ten for grassland, seven for  
297 cropland, seven for deciduous broadleaf forest, four for shrubland, two for wetland and only  
298 one for evergreen broadleaf forest (see Table A1). The climate zones include boreal (BOR), sub-  
299 tropical (subTRO), temperate (TEMP), temperate-continental (TempCONT) and dry (DRY) for  
300 arid and semi arid regions. These zones were prescribed from the tower specific metadata,  
301 which were in turn derived from Rubel and Kottek (2010), based on a Köppen-Geiger climate  
302 classification. As with biome type, the towers are not evenly distributed across climate zones,  
303 with fifteen for temperate, eleven for sub-tropical, eight for temperate-continental, five for  
304 boreal and six for dry regions (see Table A1).

## 305 **2.2 LandFlux Model Descriptions**

306 Following are brief descriptions of the models employed in this analysis. For a more  
307 comprehensive explanation of the implementation of these different schemes, the reader is  
308 referred to the principal model references as well as the recent contributions of Ershadi et al.  
309 (2014) and Ershadi et al. (2015).

### 310 **2.2.1 SEBS**

311 SEBS is a widely employed process-based model used in the estimation of evaporation. The  
312 model uses a variety of land surface and atmospheric variables and parameters for simulating  
313 the transfer of heat and water vapor from the land surface to the atmosphere. To do so, the  
314 model first estimates the representative roughness of the land surface and then uses roughness  
315 parameters, temperature gradient and wind speed data to estimate sensible heat flux via a set  
316 of flux-gradient equations describing the transfer of heat from the land surface to the  
317 atmosphere. Depending on the atmospheric boundary layer height, the model uses either the  
318 Monin-Obukhov Similarity Theory or the Bulk Atmospheric Similarity Theory equations  
319 (Brutsaert, 2005). The model estimates the sensible heat flux of hypothetically wet and dry  
320 conditions and uses these extreme-cases to calculate the evaporative fraction. Evaporation is  
321 then calculated as a fraction of the available energy. The model requires accurate values of net  
322 radiation, land surface temperature, air temperature, humidity, wind speed and vegetation  
323 phenology to calculate surface fluxes. SEBS relaxes the need for parameterization of the surface  
324 resistance, but is sensitive to aerodynamic resistance parameterization (Ershadi et al., 2013).  
325 Further details on SEBS and its model formulation can be found in Su (2002).

### 326 **2.2.2 PT-JPL**

327 The PT-JPL model of evaporation uses a minimum of meteorological and remote sensing data  
328 and has been employed in a number of studies to estimate regional and global scales flux  
329 response (Fisher et al., 2008; Sahoo et al., 2011; Vinukollu et al., 2011b; Vinukollu et al., 2011a;  
330 Badgley et al., 2015). A key characteristic of the model is the use of bio-physiological properties  
331 of the land surface to reduce Priestley-Taylor potential evaporation to actual values. The PT-JPL

332 is a three source model in which the total evaporation is partitioned into soil evaporation ( $\lambda E_s$ ),  
333 canopy transpiration ( $\lambda E_t$ ), and wet canopy evaporation ( $\lambda E_i$ ), i.e.  $\lambda E = \lambda E_s + \lambda E_t + \lambda E_i$ . The  
334 model first partitions the total net radiation to soil and vegetation components and calculates  
335 potential evaporation for soil, for canopy and for the wet canopy. The model then determines a  
336 set of constraint multipliers to represent the impacts of green canopy fraction, relative wetness  
337 of the canopy, air temperature, plant water stress and soil water stress on the evaporative  
338 process. The model uses the constraint multipliers to reduce the potential evaporation to actual  
339 values for each component of the system. PT-JPL does not calibrate or tune parameter values  
340 and does not use wind speed data or parameterizations of the aerodynamic and surface  
341 resistances. However, the model does require accurate estimates of optimum temperature  
342 ( $T_{opt}$ ) (Potter et al., 1993) for canopy transpiration. The optimum temperature is the air  
343 temperature at the time of peak canopy activity, when the highest values of absorbed  
344 photosynthetically active radiation and minimum values of vapour pressure deficit occur.  
345 Further details of the PT-JPL model can be found in Fisher et al. (2008).

### 346 **2.2.3 PM-Mu**

347 The PM-Mu was expanded from a two-source Penman-Monteith implementation (Mu et al.,  
348 2007) to a three-source version (Mu et al., 2011), which forms the basis behind the near real-  
349 time estimation of global evaporation in the MOD16 product (Mu et al., 2013) (n.b. the PM-Mu  
350 nomenclature used herein reflects an identical description used in Michel et al. (2015) and  
351 Miralles et al. (2015), where it is referred to as PM-MOD). Evaporation in the PM-Mu model is  
352 the sum of soil evaporation, canopy transpiration and evaporation of the intercepted water in  
353 the canopy, i.e. ( $\lambda E = \lambda E_s + \lambda E_t + \lambda E_i$ ). Estimation of evaporation for interception and  
354 transpiration components is based on the Penman-Monteith equation (Monteith, 1965). Actual  
355 soil evaporation is calculated using potential soil evaporation and a soil moisture constraint  
356 function from the Fisher et al. (2008) ET model. This function is based on the complementary  
357 hypothesis (Bouchet, 1963), which defines land-atmosphere interactions from air vapour  
358 pressure deficit and relative humidity. Evaporation components are weighted based on the  
359 fractional vegetation cover, relative surface wetness and available energy. Parameterization of

360 aerodynamic and surface resistances for each source is based on extending biome specific  
361 conductance parameters from the stomata to the canopy scale, using vegetation phenology  
362 and meteorological data. In contrast to the majority of Penman-Monteith type of models, the  
363 PM-Mu does not require wind speed and soil moisture data for parameterization of resistances.  
364 However, global application of the model requires consideration of the fact that resistance  
365 parameters were calibrated against data from a set of eddy-covariance towers. One  
366 consideration that may influence model simulations is that this parameterization approach was  
367 developed at the daily-scale. However, both the present and also a recent related study  
368 (Miralles et al. 2015) suggest no obvious impact for sub-daily application. Further details on  
369 PM-Mu can be found in Mu et al. (2011) and Mu et al. (2013).

#### 370 **2.2.4 GLEAM**

371 GLEAM (Miralles et al., 2011a) has been used not only in estimating global evaporation  
372 (Miralles et al., 2011b) but also in detection and evaluation of heatwaves (Miralles et al.,  
373 2014a), climate variability (Miralles et al., 2014b) and land-atmospheric feedbacks (Guillod et  
374 al., 2015). Designed as a satellite data based model, GLEAM first estimates interception loss  
375 using the analytical method of Gash (1979) and then applies the Priestley-Taylor equation to  
376 calculate potential evaporation for soil and vegetation. Like PT-JPL, the model constrains the  
377 potential evaporation values to actual values by applying a stress factor, although GLEAM is  
378 based on different assumptions and encompasses both moisture availability in a multi-layered  
379 soil system and vegetation water content inferred from vegetation optical depth data (Liu et al.,  
380 2011b). In contrast to SEBS, PT-JPL and PM-Mu, the GLEAM model is equipped with routines to  
381 quantify sublimation of snow-covered regions, to estimate open-water evaporation and to  
382 assimilate remote sensing soil moisture data. Routine application of GLEAM is usually  
383 performed in time-series mode, in which the model tracks the changes of soil moisture state  
384 across time steps. Here, to allow application of the model at the tower-scale, gaps in the tower  
385 data were filled by establishing correlation between the variables in tower- and grid-based  
386 data. Simulated evaporation values were filtered from the analysis for these gap-filled periods.  
387 Further details on GLEAM can be found in Miralles et al. (2011a;b).

### 388 2.3 Model Simulation and Analysis

389 The four selected models were forced with both tower- and grid-based data. The results were  
390 then filtered for daytime-only periods, defined as when the shortwave downward radiation  
391 exceeds  $20 \text{ W.m}^{-2}$ , to avoid issues associated with negative net radiation and night-time  
392 condensation. The data were also filtered for rain events, for negative sensible and latent heat  
393 flux observations, for low quality or gap-filled tower records, for frozen land surfaces and for  
394 times in which air temperature was less than or equal to  $0 \text{ }^{\circ}\text{C}$ . The performance of the models  
395 was evaluated for individual towers, for the collection of data from all towers, for towers  
396 classified across biome types and for towers classified across climate zones.

397 To evaluate the skill of the models, we used traditional scatterplots and common statistical  
398 metrics including the coefficient of determination ( $R^2$ ), slope ( $m$ ) and y-intercept ( $b$ ) of the  
399 linear regression, the root-mean-square difference ( $RMSD$ ), relative error [ $RE =$   
400  $RMSD/\text{mean}(\lambda E_{obs})$ ] and the Nash-Sutcliffe Efficiency ( $NSE$ ) (Nash and Sutcliffe, 1970). In  
401 developing these performance metrics, simulated evaporation was compared with tower-  
402 observed evaporation ( $\lambda E_{obs}$ ) that were corrected for non-closure using the energy residual  
403 technique, as described in Ershadi et al. (2014). Scatterplots of matching percentiles (referred  
404 to hereafter as percentile plots) of observed evaporation versus simulated values from the 1<sup>st</sup>  
405 to 99<sup>th</sup> percentile increment were also used (Section 3.1). The 25<sup>th</sup> percentile ( $Q_{25}$ ), median  
406 ( $Q_{50}$ ) and 75<sup>th</sup> percentile ( $Q_{75}$ ) were used for further model assessment. To establish the  
407 response of the models to water availability at individual tower sites, we calculated an aridity  
408 index as  $AI = P/E_p$ , with  $P$  the annual precipitation ( $\text{mm.yr}^{-1}$ ) and  $E_p$  the annual potential  
409 evaporation ( $\text{mm.yr}^{-1}$ ), calculated using a Priestley-Taylor equation and assuming an alpha-  
410 coefficient of 1.26. LandFlux V-0 data (Section 2.1.2) at 3-hourly resolution were used to  
411 calculate aridity index values and an average value was calculated to represent the state of  
412 water availability at specific tower locations.

413

## 414 3 Results

### 415 3.1 Relative performance of the models when using tower-based and gridded data

416 Figure 2 and Figure 3 show scatterplots, percentile plots and relevant statistical metrics of the  
417 modelled evaporation for all of the available 3-hourly data records from across the forty-five  
418 towers (representing 115,148 records in total). For the tower-based analysis (see Figure 2), PT-  
419 JPL presents the best overall performance with lower model spread and an  $RMSD = 61 \text{ W.m}^{-2}$ ,  
420  $RE = 0.41$ ,  $R^2 = 0.71$  and an  $NSE = 0.65$ . The model slightly underestimates evaporation, with a  
421 slope of linear regression equal to 0.91 and with the majority of the percentile plot (up to  $Q_{75}$ )  
422 located just under the 1:1 line. When considering results across all towers, GLEAM presents  
423 comparable statistical performance to PT-JPL, with an  $RMSD = 64 \text{ W.m}^{-2}$ ,  $RE = 0.43$  and an  $NSE =$   
424  $0.62$ . GLEAM tends to slightly underestimate evaporation, with the slope of linear regression  
425 equal to 0.84 and with the percentile plot being located under the 1:1 line. SEBS generally  
426 overestimates evaporation and has the lowest overall performance, with an  $RMSD = 101 \text{ W.m}^{-2}$ ,  
427  $RE = 0.68$  and  $NSE = 0.24$ , even though it has one of the highest  $R^2$  values at 0.72. For PM-Mu,  
428 the model tends to underestimate evaporation, resulting in an  $RMSD = 78 \text{ W.m}^{-2}$ ,  $RE = 0.52$   
429 and an  $NSE = 0.45$ . Overall, the PT-JPL and GLEAM seem to present as more robust candidate  
430 models for estimation of evaporation, at least in terms of their statistical response at the tower  
431 scale. All models show a large spread around the fitted linear regression line. While the  
432 summary statistics are useful metrics of performance, the inter-tower variability of the models  
433 is an important element of this work and will be discussed further in the following sections.

434 The effect of using globally-gridded forcing data on the evaporation models is presented in  
435 Figure 3. Apart from providing a direct evaluation on the accuracy of the global LandFlux  
436 product, assessing flux response to a change in forcing aids in diagnosing the model sensitivity  
437 to data uncertainties (which are inherent in any data product). Likewise, an indirect assessment  
438 of the issue of footprint mismatch between the gridded data ( $0.5^\circ$ ) and the eddy-covariance  
439 tower (hundreds of meters) can also be inferred. Figure 3 clearly shows that use of the grid-  
440 based data reduces the performance of all models relative to the tower-based runs, with all  
441 statistics degrading with a change in forcing resolution. SEBS displayed the largest sensitivity to



442 forcing data, with a 0.4 decrease in *NSE* and a 28  $\text{W.m}^{-2}$  increase in *RMSD*. The sensitivity of PT-  
443 JPL and GLEAM to the use of gridded data was lower, with both showing an approximately 0.3  
444 decrease in *NSE* and around 22  $\text{W.m}^{-2}$  increase in *RMSD* when assessing the grid-based analysis.  
445 Overall, PM-Mu shows the lowest sensitivity to forcing, with a 0.26 decrease in *NSE* and 18  
446  $\text{W.m}^{-2}$  increase in *RMSD*, albeit presenting the lowest correlation and slope of linear regression  
447 for all model responses.

448 Overall, these results confirm that all models display a relatively high sensitivity to changes in  
449 the type and quality of input forcing data. While gridded forcing data are expected to have a  
450 mismatch with the tower-based forcing due to their larger pixel (and footprint) sizes, this  
451 spatial mismatch will impact all of the applied models, albeit to a lesser or greater extent,  
452 depending on forcing data requirements. While spatial scale no doubt plays a major role in  
453 decreasing model efficiencies at grid-scales, a key reason for the differences in tower- versus  
454 grid-based results relates to internal inconsistencies within the gridded forcing data. For  
455 instance, SEBS is known to be particularly sensitive to the temperature gradient between the  
456 land surface and the atmosphere (van der Kwast et al., 2009; Ershadi et al., 2013). While the  
457 temperature gradient at the tower scale is more reliable due to application of the tower-based  
458 sensors for air temperature and land surface temperature, obtaining such consistency is harder  
459 when different sources of forcing data are employed (see Section 2.1). Not surprisingly, results  
460 also indicate that those models that use fewer inputs show lower sensitivity to changes in the  
461 forcing. As such, any inconsistency between the tower and gridded data is likely to have less  
462 influence on the PT-JPL, GLEAM and PM-Mu models than it will on SEBS, which in addition to  
463 vegetation height, requires both land surface temperature and wind speed data: two variables  
464 with considerable spatial variability. Disentangling the varying influence of model structural and  
465 forcing data uncertainty requires focused attention and is examined further in the Discussion  
466 section.

467 The large spread of data in the scatterplots indicates that there is considerable variability in the  
468 performance of the models at individual towers, irrespective of whether tower or gridded data  
469 are used. Of course, it may also be indicative of systematic biases in the in-situ data, which vary  
470 from one tower to another and subsequently impact on model spread: however, this is non-

471 trivial to determine. To investigate the nature of this variability, we extend the analysis by  
472 developing time series of  $R^2$ ,  $RE$  and  $NSE$  at 3-hourly resolution for individual tower locations, as  
473 shown in Figure 4. To examine performance as a function of hydrological condition, the towers  
474 are arranged by degree of increasing aridity, as determined by calculation of an aridity index  
475 (see Section 2.3), with left-to-right representing the transition from wet-to-dry and describing  
476 an aridity index varying between approximately 2 and 0.

477 From Figure 4 it can be observed that there is a general downward trend in both  $R^2$  and  $NSE$  as  
478 aridity increases, with a slight upward trend reflected in  $RE$ . In terms of  $R^2$ , most of the models  
479 (except for PM-Mu) show some consistency in performance until an aridity index of around 0.7,  
480 wherein models start to diverge. Similar agreement is seen in the relative error plot, although  
481 the outlier here is SEBS, which shows variable performance unrelated to aridity changes.  
482 Examining the Nash-Sutcliffe efficiency allows for a clearer evaluation of model response to be  
483 obtained. For this metric, PT-JPL and GLEAM display relatively good correspondence for most of  
484 the towers, but start to diverge more regularly for aridity indices below 0.8. Overall, PT-JPL  
485 presents a marginally better response than GLEAM, with higher values of  $NSE$  and  $R^2$  and lowest  
486 values of  $RE$  produced across the majority of towers. Similar results are expressed in Figure A2,  
487 which presents the same tower based inter-comparison as in Figure 4, but for the grid-scale  
488 model simulations.

489 From Figure 2 it was observed that SEBS presented the lowest values of  $NSE$  and highest values  
490 of  $RE$ , while PM-Mu had the lowest values of  $R^2$ . Highlighting the importance of examining a  
491 range of statistical metrics, the  $R^2$  values for SEBS are actually comparable to those of PT-JPL  
492 and GLEAM, or even higher for a majority of towers that have an aridity index less than 0.7.  
493 Inspection of individual tower-based scatterplots for each of the models (not shown) illustrated  
494 that while the SEBS evaporation has a strong linear relationship with observed values for a  
495 majority of towers, the linear regression line exhibits a large slope, indicating an overestimation  
496 in SEBS predictions. Those towers that exhibit drops in  $NSE$  (and rise in  $RE$ ) for the SEBS model  
497 (e.g. DE-Tha, NL-Loo, US-Wrc, FR-Pue; see Table A1) are located mainly in shrubland and forest  
498 biomes, suggesting a dependency of SEBS model performance that is tied to land surface  
499 vegetation characteristics. Although statistical variations are evident in all models, the greater

500 response variability in SEBS is likely due to problems in simulating heat transfer within the  
501 roughness sub-layer (RSL), which often forms over tall and heterogonous land surfaces  
502 (Harman, 2012). We explore the issue of skill dependency of certain models to biome type and  
503 climate zone in Sections 3.2 and 3.3.

504 As noted, Figure 4 shows a general decrease in the predictive skill in all models where towers  
505 have an aridity index less than 0.7, but particularly so for PM-Mu and SEBS. These reductions  
506 may in part be due to data uncertainties in tower observations that originate from the  
507 advection of dry air into the tower footprint, or to a reduced capacity of the models to  
508 reproduce the evaporative response when evaporation represents a small fraction of the total  
509 available energy. Two towers at which all models display poor performance are IT-Noe and IL-  
510 Yat (see Figure 1). It seems likely that IT-Noe is influenced by strong advection of moist air from  
511 the Mediterranean Sea, while IL-Yat is influenced by advection of hot and dry air from  
512 surrounding desert regions. None of the models in this study are able to specifically account for  
513 advection and are thus prone to misrepresenting the observed evaporative response.

### 514 **3.2 Performance of the models across biomes**

515 The variability in model performance across the tower sites observed in Figure 4 and Figure A2,  
516 indicates that a biome-specific assessment could be useful to determine whether the  
517 performance of the models is also correlated to the underlying land cover, in addition to any  
518 aridity influence. Figure 5 presents the  $R^2$ ,  $RE$  and  $NSE$  for each of the models for the seven  
519 different biome classes. The analysis was conducted using the higher quality tower-based  
520 simulations for all available 3-hourly data. One immediate highlight from Figure 5 is the  
521 relatively poor performance of all models over shrubland sites, where low values of  $NSE$  (i.e.  
522  $NSE \leq 0.05$ ) and reduced  $R^2$  can be observed. Ershadi et al. (2014) observed a similarly poor  
523 response over shrublands in a separate tower-based analysis that employed some of the same  
524 models examined here. They attributed the result to difficulties in the parameterization of the  
525 models over such landscapes due to the strong heterogeneities present in these environments,  
526 as well as inherent water limitations. For instance, the capacity of the GIMMS NDVI data with 8

527 km spatial resolution is clearly insufficient in effectively parameterizing the roughness for SEBS,  
528 resistances for PM-Mu and constraint functions for the PT-JPL.

529 Excluding shrublands from the analysis, the PT-JPL is one of the best performing models across  
530 the remaining biomes, having the highest values of  $NSE$  and  $R^2$  and lowest relative errors.  
531 Consistency in the performance of PT-JPL across biome types has been reported in earlier  
532 studies (Vinukollu et al., 2011a; Ershadi et al., 2014) and was variously ascribed to the  
533 formulation of its constraint functions (see Section 2.2.2) and the minimal forcing data  
534 requirements, which reduce its sensitivity to uncertainties in input data. GLEAM closely follows  
535 PT-JPL for evergreen needleleaf forest and grassland biomes, but shows marginally lower  $NSE$   
536 values for other biomes. Figure 5 also indicates that while SEBS has relatively high values of  $R^2$   
537 over the majority of biome types, it fails to provide sufficient predictive skill for the estimation  
538 of evaporation over shrublands and forest biomes. These biome types are characterized by tall  
539 and heterogeneous canopies, within which the roughness sub-layer forms. The reduced  
540 capacity of the SEBS flux gradient functions in simulating heat transfer within the roughness  
541 sub-layer has been highlighted previously (Weligepolage et al., 2012; Ershadi et al., 2014).  
542 Although performing poorly in shrubland and forest biomes, the SEBS model exhibits a  
543 comparatively good performance across wetlands, grasslands and croplands, where shorter  
544 canopies dominate. PM-Mu presents the lowest values of  $R^2$  across all biomes, although the  
545 model presents reasonable  $NSE$  values over cropland (0.64) and broadleaf forest (>0.54)  
546 biomes. Improved performance of the PM-Mu model over croplands has been observed in a  
547 recent study (Ershadi et al., 2015), but the key reasons for low  $R^2$  values of the model across  
548 other biomes is not immediately apparent and requires further investigation.

549 Percentile plots of the 3-hourly tower-based results were used to identify whether a model  
550 under- or over-estimates evaporation across its distribution function. From Figure 6 it can be  
551 seen that SEBS clearly overestimates while PM-Mu underestimates evaporation across all  
552 biome types, reflecting those results presented in Figure 2. The percentile plots for SEBS are  
553 close to the 1:1 line for grassland and cropland biomes that have short canopy height,  
554 confirming the observations made for Figure 4 and Figure 5. PT-JPL shows good model  
555 reproduction of observed values over grassland and deciduous broadleaf forest biomes, with

556 the percentile plots close to the 1:1 line. However, the model slightly underestimated  
557 evaporation for croplands and overestimated evaporation for wetlands, with the tails  
558 (percentiles greater than  $Q_{75}$ ) reflecting greater divergence than the bulk of the distribution.  
559 The rate of overestimation was higher for evergreen needleleaf forest, evergreen broadleaf  
560 forest and for shrubland biomes. Figure 6 also shows that GLEAM presents strong performance  
561 over grasslands, croplands and evergreen needleleaf forest sites, underestimated evaporation  
562 across deciduous broadleaf forest sites and tended to overestimate evaporation across the  
563 remaining biomes (wetlands, shrublands and evergreen broadleaf forests).

564 Overall, all models show a tendency towards reduced performance when applied over forest  
565 biomes, but improved performance over shorter canopies. These results may be reflecting the  
566 fundamental physical basis behind approaches such as the base Penman-Monteith (Penman,  
567 1948), Priestley-Taylor (Priestley and Taylor, 1972) and Monin-Obukhov flux gradient functions,  
568 which were developed for such surface types (Brutsaert, 1982), highlighting the challenges  
569 inherent in global scale application of such models, especially over diverse land cover types.

570 To further evaluate the influence of biome type on evaporation estimation and to discriminate  
571 the role of individual forcing variables in impacting model efficiencies, the  $NSE$  and  $R^2$  values  
572 between tower- and grid-based data were calculated for the flux response, as well as for key  
573 forcing variables such as net radiation, land surface temperature, air temperature, wind speed,  
574 specific humidity, fractional vegetation cover and leaf area index. As can be seen in Figure 7,  
575 agreement between tower-based and grid-based net radiation data is relatively high across all  
576 biomes, but especially so over forest biomes ( $NSE \geq 0.67$ ). Grid-based wind speed data have the  
577 most variable agreement with tower data, with  $R^2$  and  $NSE$  values generally lower than other  
578 selected variables across all of the examined biomes. Air temperature shows good agreement,  
579 with both high  $NSE$  values ( $NSE \geq 0.7$ ) and high  $R^2$  values ( $R^2 \geq 0.84$ ). Specific humidity data are  
580 also well reproduced ( $NSE \geq 0.72$ ), as is land surface temperature with an  $NSE \geq 0.80$  for all  
581 biomes. In sharing a common GIMMS-NDVI based derivation, the agreement for fractional  
582 vegetation cover and leaf area index data is reasonable over the majority of biomes, except  
583 over evergreen broadleaf forest, where both the  $R^2$  and  $NSE$  are low.

584 The lower panel of Figure 7 show  $R^2$  and  $NSE$  values for both the tower- and grid-based  
585 simulations against eddy-covariance observations for each of the models, discriminated by  
586 biome type. As can be seen, the performance of all models is reduced across all biomes when  
587 grid-based forcing data is used, a result reflected in all cases by relatively lower  $NSE$  and  $R^2$   
588 values. PM-Mu had the smallest and SEBS had the largest decrease in performance over a  
589 majority of the biomes, in accordance with the findings of Section 3.1. PT-JPL and PM-Mu had a  
590 relatively constant decrease in  $NSE$  and  $R^2$  for the grid-based simulations. Decreased modelling  
591 performance was also maintained for GLEAM, except over the single evergreen broadleaf forest  
592 tower, where a more significant departure (relative to the other biome types), was observed.  
593 SEBS showed a much larger variability in performance reduction, with smaller variations due to  
594 forcing over forest biomes and larger reductions over biomes with shorter canopies. The  
595 significant decrease in  $NSE$  for SEBS over grassland, cropland and to some extent the wetland  
596 biome, cannot be immediately associated with  $NSE$  or  $R^2$  changes in any of the forcing variables.  
597 It is interesting that the agreement over grassland and cropland biomes between tower- and  
598 grid-based variables is amongst the highest (especially for wind speed, fractional vegetation  
599 cover and for leaf area index data), yet the subsequent model performance is among the worst.  
600 The use of global statistics to evaluate model response makes discriminating the cause of this  
601 variability difficult. It is possible that the statistics are biased low due to the influence of one or  
602 a few individual towers, by errors in the forcing fields driving model parameterizations (i.e.  
603 vegetation height) or in response to model sensitivities to particular forcing variables. Either  
604 way, these results highlight the difficulties in diagnosing the cause of performance response  
605 and related sensitivity to forcing data variables in complex process-based models, which often  
606 display a high degree of interactions between the variables. Indeed, diagnosing the forcing  
607 variables responsible for reducing the efficiency of particular models is not feasible with a  
608 simple correlation analysis of the input data fields, but requires a separate and focused  
609 sensitivity analysis.

### 610 3.3 Performance of the Models over Climate Zones

611 Similar to the biome-wise analyses, an evaluation of the models was conducted across a  
612 number of distinct climate zones, with  $R^2$ ,  $RE$  and  $NSE$  values for tower-based 3-hourly  
613 evaporation estimations shown in Figure 8. Yet again, the results highlight the importance of  
614 considering a range of evaluation metrics, as the models display some variability relative to the  
615 statistical measure being employed. Overall, both PT-JPL and GLEAM maintain a consistently  
616 good performance over the majority of climate zones, with PT-JPL expressing a slightly  
617 improved response over all zones except temperate, where GLEAM shows an improved  
618 simulation. In terms of  $R^2$ , PM-Mu presents the lowest values overall, while SEBS exhibits high  
619 values over the majority of climate zones, similar to the biome based analysis. However, SEBS  
620 generally fails to reproduce the observed evaporation response, with high  $RE$  and low  $NSE$ . All  
621 models have their best performance over the temperate-continental climate zone, with high  
622  $NSE$  and  $R^2$  and low  $RE$ , which was followed closely by the temperate climate zone. The lowest  
623 overall performance for all models corresponded to the dry climate zone, again reflecting the  
624 aridity based results in Figure 4. As discussed in Section 3.1, data uncertainties due to the role  
625 of advection in dry regions and difficulties in the accurate estimation when confronted with low  
626 evaporative fractions are likely reasons behind such performance reductions in dry regions.

627 Figure 9 displays the corresponding percentile plots of model performance over the five  
628 different climate zones. As can be seen, PT-JPL and GLEAM provide generally good performance  
629 over all climate zones, although GLEAM slightly underestimates evaporation for temperate-  
630 continental and boreal climate zones. SEBS overestimates relative to tower-based evaporation  
631 across all biomes, while PM-Mu generally underestimates, except over temperate and  
632 temperate-continental climate zones, for which the percentile plot of PM-Mu are relatively  
633 close to the 1:1 line.

634 Similar to Figure 7, Figure 10 outlines the model response differentiated for the different  
635 climate zones when using grid-based forcing data. As can be seen from the lower panel, the  
636 simulation performance is reduced across all climate zones, relative to the tower data. In  
637 particular, SEBS is significantly impacted across the majority of climate zones, with both a  
638 reduction in  $NSE$  and  $R^2$ , except over boreal forests. One possible reason for this smaller

639 variation over boreal forests could be due to lower surface-to-air temperature gradients over  
640 forests, which contributes to smaller sensible heat fluxes and consequently larger evaporative  
641 fraction values (in contrast to model performance over dry climates, where the temperature  
642 gradient is large). Nevertheless, the relationship between uncertainty in individual variables and  
643 the reduction of modelling performances is not able to be determined here. Further analysis  
644 examining the sensitivity of individual models to their forcing is required.

645

#### 646 **4 Discussion**

647 Understanding the role of model forcing in influencing simulation results, as well as examining  
648 the impacts of biome type and climate zone on flux response, are important elements in the  
649 development of robust globally-distributed evaporation products. The focus of this study was  
650 on evaluating a set of process-based models, to support the development of globally  
651 distributed and long term observations of surface fluxes as part of the GEWEX LandFlux project.  
652 Overall, the PT-JPL and GLEAM models provided the most consistent performance, while PM-  
653 Mu tended to underestimate and SEBS overestimate evaporation relative to the forty-five eddy-  
654 covariance tower observations examined here. However, while statistical analysis allows a  
655 pseudo-ranking of model performance, more detailed evaluation across towers, and biome and  
656 climate types highlighted the considerable within-model variability in performance. Results also  
657 demonstrated that changing the scale of input forcing data from tower- to grid-based reduced  
658 the quality of model estimates in all cases, but especially for SEBS, where a sensitivity to  
659 surface-air temperature gradients plays a strong role. In the following, we examine these  
660 results and interpret any implications for large-scale global applications.

661 With its relatively simple modelling structure, PT-JPL performed consistently well relative to the  
662 other models that have more complex structures and parameterization configurations. One  
663 possible reason for this response may relate to the constraint functions of PT-JPL serving a wide  
664 range of hydro-meteorological conditions, encompassing energy-limited (e.g. boreal climate) to  
665 water-limited (e.g. dry climate) conditions. The good performance of PT-JPL was also observed  
666 in a recent multi-model evaluation study, with a summary of the strengths and limitations of



667 the model presented in Ershadi et al. (2014). GLEAM also performed well, both at the tower  
668 and at the grid-scale (see Figure 4 and Figure A2). Previous studies have shown that the model  
669 is sensitive to the accuracy of precipitation data (Miralles et al., 2011b), as this determines the  
670 partitioning of intercepted evaporation in the model and the root-zone soil moisture.  
671 Unfortunately, testing for such sensitivities was not possible here, as both tower- and grid-  
672 based records were filtered for rainfall events in post-processing steps, in response to the  
673 limitation of eddy-covariance observations during such events.

674 In terms of the *NSE*,  $R^2$  and *RE*, PM-Mu followed PT-JPL and GLEAM, with the model tending to  
675 underestimate evaporation when applied to most of the tower- and grid-based records. While  
676 reasons for this underestimation are not immediately clear, a recent study examining the  
677 structure and parameterization of Penman-Monteith type models (Ershadi et al., 2015) showed  
678 that the PM-Mu, which has a three-source structure, underperformed relative to a single-  
679 source (Monteith, 1965) and a two-layer approach (Shuttleworth and Wallace, 1985) across all  
680 studied biome types except croplands. An interesting aspect of Ershadi et al. (2015) was that  
681 application of the canopy transpiration resistance scheme of the PM-Mu in those simpler  
682 models improved their prediction skills. As such, the reduced performance of the PM-Mu  
683 predictions might relate to underlying structural and parameterization issues in the model. As  
684 the operational model behind the generation of the current MOD16 global evaporation product  
685 (Mu et al., 2013), further studies to diagnose the cause of these responses are required.

686 Regarding assessment against the tower-based eddy-covariance observations, SEBS performed  
687 relatively poorly in most statistical metrics when compared to the other models, as it  
688 overestimated evaporation across a majority of studied biomes and climate zones, except over  
689 grasslands and cropland sites with short canopies (e.g. less than 3 m). Interestingly, even  
690 though generally over-predicting results, it had one of the highest  $R^2$  values, indicating good  
691 correlation with the eddy-covariance observations. Findings from Ershadi et al. (2014) confirm  
692 the good performance of the model over short canopies and its lack of performance over  
693 shrublands and forests. In terms of performance against underlying biome type, it was  
694 observed that any performance reduction was observed mainly across shrublands and forest  
695 biomes, where the roughness sub-layer forms above the canopy (Harman, 2012). Importantly,

696 the flux-gradient functions of the SEBS model are not parameterized to effectively simulate the  
697 heat transfer process in the roughness sub-layer, and hence the model fails to perform well  
698 (Weligepolage et al., 2012). The reliance of SEBS on an accurate representation of the surface-  
699 air temperature gradient also limits the effectiveness of the model for global application,  
700 demanding improvements in characterizing the spatial and temporal representativeness of such  
701 variables.

702 It is apparent from Sections 3.2 and 3.3 that the application of gridded data for modelling  
703 evaporation inevitably reduces the predictive performance of all models, regardless of their  
704 complexity in the evaporation process or their economy in forcing data requirements. In fact,  
705 the footprint mismatch between the tower- and grid-based simulations is likely to increase  
706 uncertainties in the forcing data and cause discrepancies between the simulated and tower-  
707 based evaporation values. Importantly, comparing the models for their relative performance  
708 (see Figure 7 and Figure 10) reveals that the performance decrease for grid-based analysis was  
709 not equal amongst all of the models. For instance, SEBS was observed to be more sensitive to  
710 the use of gridded forcing data, most likely as a result of inconsistencies in temperature  
711 gradient fields: an aspect that has been noted previously (van der Kwast et al., 2009; Ershadi et  
712 al., 2013). Although input uncertainty also impacts the performance of PT-JPL, PM-Mu and  
713 GLEAM, the *NSE* and  $R^2$  of gridded simulations for those models are closer to their tower-based  
714 counterparts. Apart from indicating a robust model structure, the reduced impact seen in these  
715 schemes may also be a consequence of avoiding the use of forcing data such as land surface  
716 temperature and wind speed data, which are known to be uncertain at both the grid and  
717 tower-scale. Regardless of the culprit behind the observed performance discrepancy between  
718 tower and grid-based simulations, it is clear that some models are better suited to global  
719 application than others – at least given the quality of currently available global forcing datasets.

720 Importantly, the results presented in Sections 3.2 and 3.3 showed that evaluating tower or grid-  
721 based statistical responses alone is not enough to identify those forcing variables most  
722 impacting model performance. Diagnosing forcing sensitivity is not trivial given non-linearities  
723 in the models and the high level of interaction within model variables and parameters. Indeed,  
724 caution is warranted in any approaches seeking to evaluate evaporation models using gridded

725 data in isolation, as this is likely to yield unreliable performance metrics of the models. It is  
726 important to perform a parallel tower-based data assessment to increase confidence in any  
727 single models performance (Su et al., 2005) in any evaluation approach, particularly those  
728 occurring at global scales.

729 Although the largest possible set of eddy-covariance towers and a common set of forcing data  
730 was used to evaluate the different model simulations, there are still inevitable limitations in the  
731 evaluations. Identifying such limitations is important not only for the current evaluations, but  
732 also in guiding future contributions. One such example relates to the period of tower data used  
733 for evaluation in this study (see Figure A1), as the data record length varies amongst the towers  
734 and the data are not uniformly distributed across seasons. Moreover, the towers are not evenly  
735 distributed across the studied biomes and climate zones (see Figure 1, Table A1), with only one  
736 tower covering the entire evergreen broadleaf forest biome and two towers covering the  
737 wetland biome. Further, no towers were available for use in arctic and tropical climate zones.  
738 Although the tropical climate zone, especially Amazonian forests, is accounted as a critical  
739 component in studies of the global water and energy cycles (Chahine, 1992; Wohl et al., 2012),  
740 relatively few towers in this zone provide land surface temperature and longwave upward  
741 radiation data needed for the SEBS model. An additional limitation is the coarse (8 km) spatial  
742 resolution of the GIMMS NDVI data used in the models for the tower-based analysis, as this  
743 resolution certainly does not correspond with the footprint of eddy-covariance sensors at any  
744 of the towers. Developments towards improving the availability and access to long-term high-  
745 resolution Landsat images (e.g. via Google Earth Engine; <https://earthengine.google.org>) might  
746 be one way to improve model forcing and evaluation exercises, especially with the  
747 development of high-resolution vegetation products (Houborg et al. 2016).

748 While the accuracy of individual variables in the LandFlux dataset were enhanced by bias  
749 correction against independent data sources (see Section 2.1), diagnosing the internal  
750 consistency of the data fields (McCabe et al., 2008), especially for air temperature, land surface  
751 temperature, wind speed and humidity, is a concept that has not received much attention to  
752 date and demands more considered investigations and analysis. Internal consistency is an  
753 extremely challenging objective, but is critically important for flux estimation, where so many

754 different forcing data are required. Essentially it demands that all required model data are  
755 derived from a common set of forcing variables, rather than by the standard approach of  
756 compilation based on availability and accessibility. The most illustrative example would be in  
757 the development of radiation data, derived here from NASA-GEWEX SRB sources (Stackhouse et  
758 al., 2011). Calculation of radiation components requires air temperature, surface temperature,  
759 land surface and vegetation features, as well as numerous other elements. However, these  
760 underlying variables are rarely if ever retained to provide a consistent overall forcing data set  
761 (i.e. the meteorological variables used in producing the SRB data are not subsequently used to  
762 drive the models). Interdependencies in forcing affect many variables in the estimation of  
763 evaporation, yet products are not developed with this simple consistency principle in mind.  
764 Apart from introducing further biases and uncertainties into model simulations, until such  
765 consistency is attained, discriminating between the impact of forcing versus the model  
766 sensitivity to that forcing will remain extremely challenging.

767 From one perspective, the performance of the evaporation models examined here seems  
768 relatively poor, even when they are forced with high-quality tower-based data. PT-JPL, which  
769 was identified as one of the most consistent and best performing models, still presented a  
770 relative error of 41%, with errors for GLEAM, PM-Mu and SEBS of 43%, 52% and 72%,  
771 respectively. However, it is important to recognise that tower-based evaluation represents one  
772 of the strictest measure of model performance and comes with its own caveats. One question  
773 that remains unanswered is whether it is even appropriate to expect models run with large-  
774 scale gridded forcing to replicate the small-scale response observed by eddy-covariance towers.  
775 The alternative perspective, given inherent uncertainties in forcing, observations and  
776 specification of model parameters, is that these results are encouraging. Broader scale metrics  
777 such as hydrological consistency (McCabe et al., 2008), catchment based assessments or water  
778 budget closure approaches would provide a better guide (Sheffield et al., 2009) and indeed,  
779 such evaluations will need to be performed. These questions highlight the difficulties in not just  
780 producing global estimates, but perhaps more importantly, in evaluating their quality.

781 The observed variability of modelling performance across the studied biomes and climate zones  
782 implies that caution is required in advocating any single model for large-scale or global

783 application. These results are consistent with previous findings undertaken across a smaller  
784 number of towers and biome and climate types, that any one modelling approach is incapable  
785 of accurately reflecting the range of flux responses occurring across diverse landscapes (Ershadi  
786 et al., 2014; Ershadi et al., 2015). One possible solution to address this inherent model  
787 limitation is to assemble a mosaicked product based on the predictive skill of the model(s) over  
788 particular biomes or climate zones. Another approach might be to develop an ensemble  
789 product using a suitable multi-model blending technique, such as a Bayesian Model Averaging  
790 approach (Hoeting et al., 1999; Yao et al., 2014). Either way, it is clear that further multi-model  
791 assessments are required for progressing global scale flux characterisation and to ensure a  
792 robust and representative product is developed.

793

## 794 **5 Conclusions**

795 It is something of a contradiction that the global-scale estimation of surface fluxes is both  
796 straightforward and extremely challenging at the same time. It is more straightforward than  
797 ever due to the availability of needed forcing data from various sources, such as numerical  
798 weather prediction or other operational products, as well as the increased development of  
799 global satellite based datasets. However, the comparative ease with which products can be  
800 developed belies the difficulties in actually developing robust and coherent simulations.  
801 Uncertainties in the use of internally inconsistent forcing data, the influence of untested model  
802 parameterizations over different land surface and climate types, violation of model  
803 assumptions in their graduation from the local scale to global scale and the perennial question  
804 on how to best evaluate model output all seek to confound global flux efforts.

805 The evaluation of four process-based evaporation models as part of the GEWEX LandFlux  
806 project undertaken here over a range of biome types and climate zones, highlighted the  
807 variable performance and verified the sentiment that no single model is able to consistently  
808 outperform any other. While individual model results at the tower scale allowed for a relative  
809 performance ranking, the overall model errors when considered globally were high. Of those  
810 models assessed here and being considered as potential candidates for a GEWEX LandFlux

811 product, PT-JPL and GLEAM represent the most likely schemes for providing consistent  
812 simulation response over a range of biome and climate types. In a challenge for the  
813 development of more accurate global flux products, application of gridded data reduces the  
814 performance of all models, even if the overall performance ranking does not change between  
815 simulation runs. Such a response has obvious implications when model simulations at the  
816 continental and global scales are increasingly required in many applications and where not only  
817 the forcing data have large uncertainties, but also the underlying assumptions of the models  
818 themselves are likely to be questioned. Further investigations on the reasons for such variable  
819 performance and ways to offset the inherent uncertainties in global forcing are required.  
820 Additional research is also needed to improve the structure and parameterization of some of  
821 these candidate models, to understand model sensitivities to forcing (by conducting a thorough  
822 sensitivity analysis) and to develop and implement an appropriate ensemble modelling and  
823 merging technique that takes advantage of individual model performance over defined regions.  
824 Further detailed comparisons against estimates from more complex modelling systems, such as  
825 reanalysis and numerical weather prediction models, are needed to provide greater context  
826 and additional benchmarking metrics to guide future investigations.

827

828 **Appendix A: Description of Tower Locations**

829 Table A1: Selected eddy-covariance and their attributes. Further details and information on  
 830 individual tower sites can be found via the Fluxnet data portal (<http://fluxnet.fluxdata.org/>)

Site-ID	Country	Lat.	Lon.	Ground Elev. (masl)	Tower height (m)	IGBP	Climate Class	Climate Zone	Reference
BW-Ma1	Botswana	-19.9	23.6	947	12.6	WSA	BSh	Dry	(Veenendaal et al., 2004)
CA-Ca1	Canada	49.9	-125.3	324	43	ENF	Cfb	Temperate	(Humphreys et al., 2006)
CA-Mer	Canada	45.4	-75.5	68	3	WET	Dfb	Temperate-Continental	(Kross et al., 2013)
CA-Oas	Canada	53.6	-106.2	594	39	DBF	Dfc	Boreal	(Fu et al., 2014)
CA-Obs	Canada	54.0	-105.1	593	25	ENF	Dfc	Boreal	(Fu et al., 2014)
CA-Ojp	Canada	53.9	-104.7	517	28	ENF	Dfc	Boreal	(Hilton et al., 2014)
CA-Qfo	Canada	49.7	-74.3	389	25	ENF	Dfc	Boreal	(Flanagan et al., 2012)
CN-Do2	China	31.6	121.9	4	5	WET	Cfa	Sub-Tropical	(Yan et al., 2008)
DE-Geb	Germany	51.1	10.9	159	6	CRO	Cfb	Temperate	(Smith et al., 2010)
DE-Hai	Germany	51.1	10.5	458	43.5	DBF	Cfb	Temperate	(Rebmann et al., 2005)
DE-Kli	Germany	50.9	13.5	480	3.5	CRO	Cfb	Temperate	(Smith et al., 2010)
DE-Meh	Germany	51.3	10.7	289	3	GRA	Cfb	Temperate	(Don et al., 2009)
DE-Tha	Germany	51.0	13.6	387	42	ENF	Cfb	Temperate	(Delpierre et al., 2009)
DE-Wet	Germany	50.5	11.5	789	27	ENF	Cfb	Temperate	(Richardson et al., 2010)
FR-LBr	France	44.7	-0.8	71	41	ENF	Cfb	Temperate	(Göckede et al., 2008)
FR-Lam	France	43.5	1.2	182	3.65	CRO	Cfb	Temperate	(Merlin et al., 2011)
FR-Pue	France	43.7	3.6	271	13	EBF	Csa	Sub-Tropical	(Soudani et al., 2014)
IL-Yat	Israel	31.3	35.1	654	18	ENF	BSh	Dry	(Sprintsin et al., 2011)
IT-BCi	Italy	40.5	15.0	9	2	CRO	Csa	Sub-Tropical	(Reichstein et al., 2003)
IT-Col	Italy	41.8	13.6	1534	25	DBF	Cfa	Sub-Tropical	(Chiti et al., 2010)
IT-Lav	Italy	46.0	11.3	1367	33	ENF	Cfb	Temperate	(Stoy et al., 2013)
IT-MBo	Italy	46.0	11.0	1563	2.5	GRA	Cfb	Temperate	(Gamon et al., 2010)
IT-Noe	Italy	40.6	8.2	27	3.6	CSH	Csa	Sub-Tropical	(Carvalhais et al., 2010)
IT-Ro1	Italy	42.4	11.9	174	20	DBF	Csa	Sub-Tropical	(Chiti et al., 2010)
JP-Tom	Japan	42.7	141.5	133	42	MF	Dfb	Temperate-Continental	(Saigusa et al., 2010)
NL-Ca1	Netherlands	52.0	4.9	-1	5	GRA	Cfb	Temperate	(Gioli et al., 2004)
NL-Loo	Netherlands	52.2	5.7	34	27	ENF	Cfb	Temperate	(Sulkava et al., 2011)
PT-Mi2	Portugal	38.5	-8.0	191	2.5	GRA	Csa	Sub-Tropical	(Gilmanov et al., 2007)
RU-Fyo	Russia	56.5	32.9	274	29	ENF	Dfb	Temperate-Continental	(Smith et al., 2010)
SE-Nor	Sweden	60.1	17.5	35	103	ENF	Dfb	Temperate-Continental	(Zierl et al., 2007)

Site-ID	Country	Lat.	Lon.	Ground Elev. (masl)	Tower height (m)	IGBP	Climate Class	Climate Zone	Reference
US-ARM	USA	36.6	-97.5	318	60	CRO	Cfa	Sub-Tropical	(Lokupitiya et al., 2009)
US-Aud	USA	31.6	-110.5	1474	4	GRA	BSk	Dry	(Horn and Schulz, 2011)
US-Bkg	USA	44.3	-96.8	496	4	GRA	Dfa	Temperate-Continental	(Hollinger et al., 2010)
US-Bo1	USA	40.0	-88.3	218	10	CRO	Dfa	Temperate-Continental	(Hollinger et al., 2010)
US-Bo2	USA	40.0	-88.3	220	10	CRO	Dfa	Temperate-Continental	(Hollinger et al., 2010)
US-CaV	USA	39.1	-79.4	993	4	GRA	Cfb	Temperate	(Hollinger et al., 2010)
US-FPe	USA	48.3	-105.1	632	3.5	GRA	BSk	Dry	(Horn and Schulz, 2011)
US-Goo	USA	34.3	-89.9	94	4	GRA	Cfa	Sub-Tropical	(Hollinger et al., 2010)
US-MMS	USA	39.3	-86.4	290	48	DBF	Cfa	Sub-Tropical	(Dragoni et al., 2011)
US-MOz	USA	38.7	-92.2	238	30	DBF	Cfa	Sub-Tropical	(Hollinger et al., 2010)
US-NR1	USA	40.0	-105.5	3053	26	ENF	Dfc	Boreal	(Hilton et al., 2014)
US-SRM	USA	31.8	-110.9	1120	6.4	WSA	BSk	Dry	(Cavanaugh et al., 2011)
US-WCr	USA	45.8	-90.1	524	30	DBF	Dfb	Temperate-Continental	(Curtis et al., 2002)
US-Wkg	USA	31.7	-109.9	1522	6.4	GRA	BSk	Dry	(Scott, 2010)
US-Wrc	USA	45.8	-122.0	391	85	ENF	Csb	Temperate	(Wharton et al., 2009)

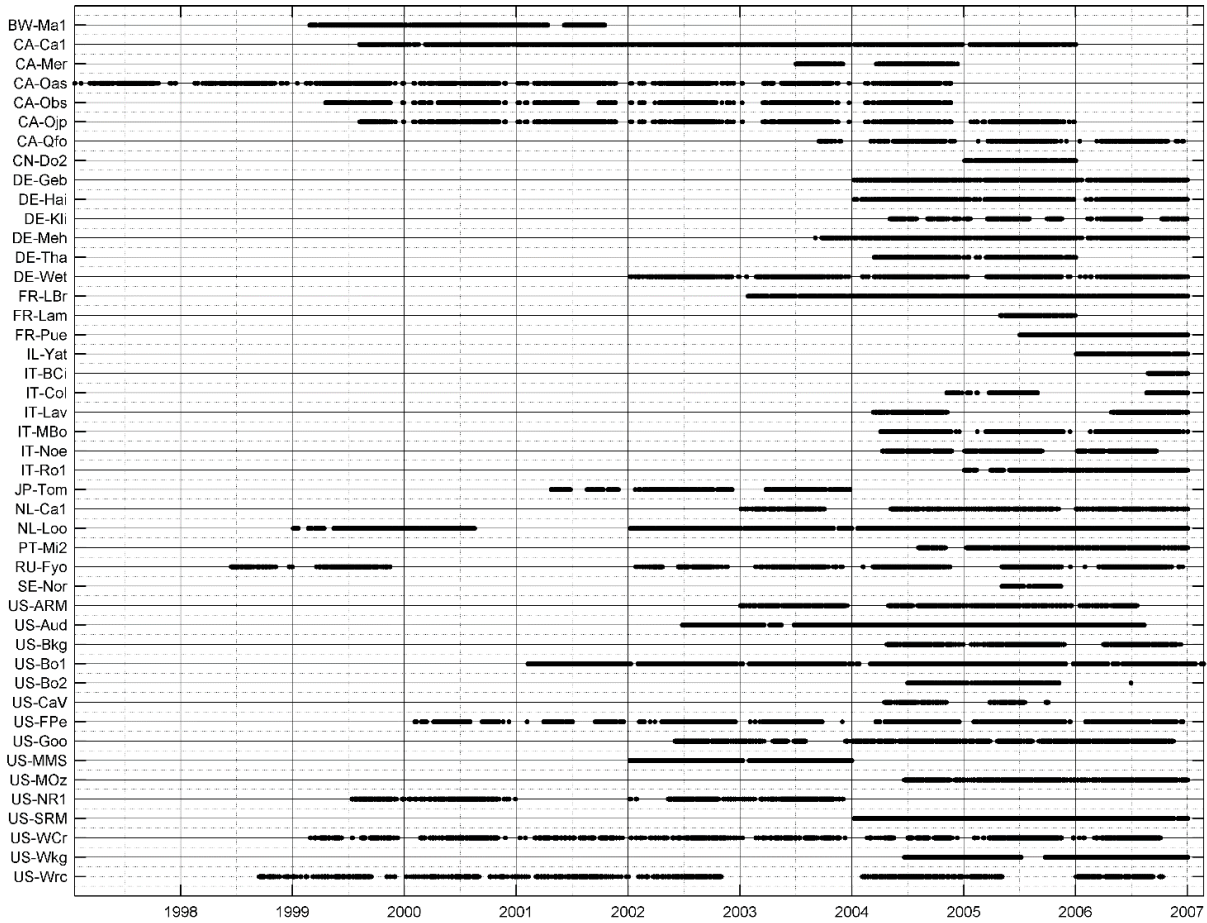
831

832



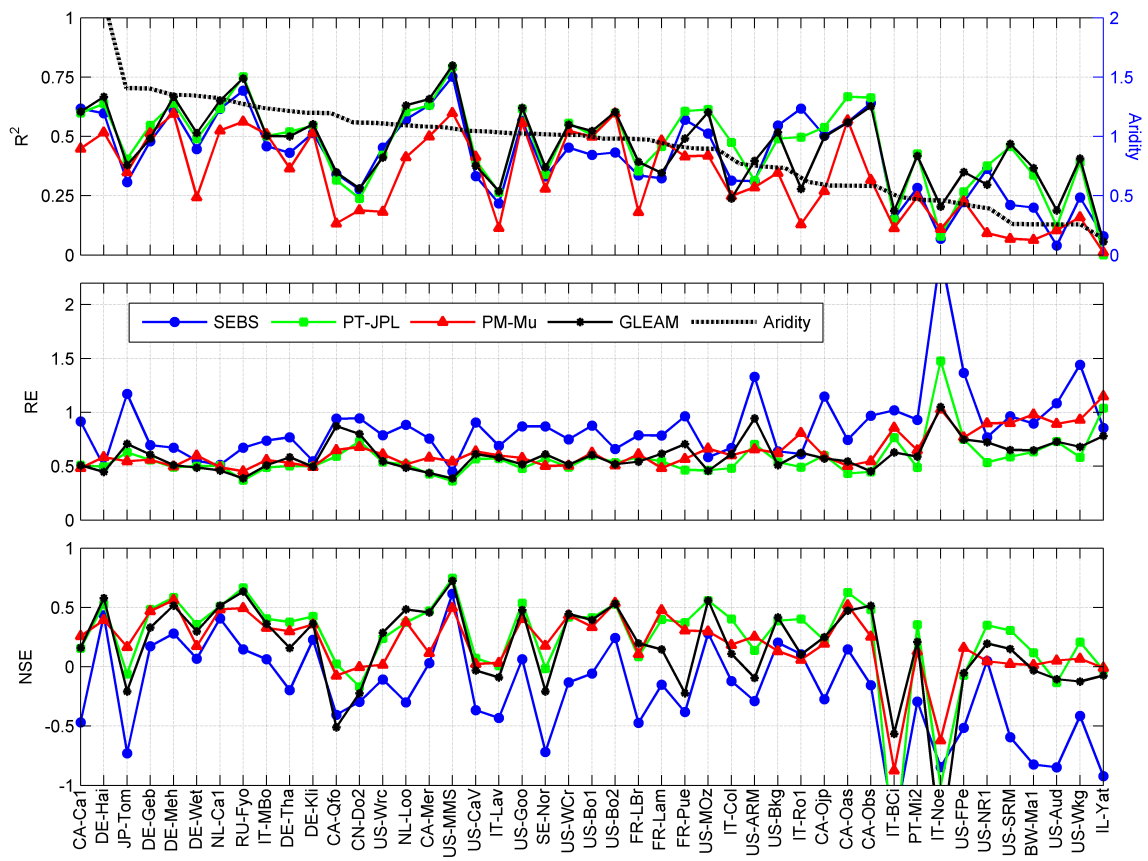
833 Figure A1: Temporal duration of the eddy-covariance based flux and tower meteorological  
834 observations for each of the 45 sites used in this study

835



840 Figure A2: Comparison of the performance skill of the models in reproducing evaporation for  
841 the grid-based analyses.  $R^2$  is the coefficient of determination, RE is relative error (lower is  
842 better) and NSE is the Nash-Sutcliffe Efficiency (higher is better). Towers are arranged from left  
843 to right based on an aridity index (secondary y-axis).

844



845

846

847 **Code Availability**

848 The PM-Mu, SEBS and PT-JPL models were coded in MATLAB as part of the GEWEX LandFlux and  
849 WACMOS-ET projects, in discussion with (but independent of) the principal model authors, as  
850 referenced in the relevant publications. The GLEAM model was developed in MATLAB by Diego Miralles  
851 and Brecht Martens. All model code can be made available upon an emailed request to  
852 [hydrology@kaust.edu.sa](mailto:hydrology@kaust.edu.sa), including a brief description of the intended purpose and application.

853 **Data Availability**

854 Evaporation model output presented here for both the gridded and tower based analyses can be  
855 provided upon an emailed request to [hydrology@kaust.edu.sa](mailto:hydrology@kaust.edu.sa). The request should include a brief  
856 description of the intended purpose and application of the model data. Further details can be found at  
857 <http://hydrology.kaust.edu.sa/landflux>

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1226

1227 Table 1: Summary of data sources for tower-based and grid-based analysis and their spatial and  
 1228 temporal resolutions.

Variable	Tower-based	Grid-based	Model
Air temperature	Tower data aggregated to 3-hourly	LandFlux data at 0.5° and 3-hourly	All models
Humidity	Tower-based relative humidity converted to specific humidity and aggregated to 3-hourly	Specific humidity from LandFlux data at 0.5° and 3-hourly	All except GLEAM
Pressure	Calculated as a function of ground elevation	LandFlux data at 0.5° and 3-hourly	All models
Net radiation	Tower data aggregated to 3-hourly	LandFlux data from SRB v3 at 1° and 3-hourly	All models
Ground heat flux	Tower data aggregated to 3-hourly	Calculated from net radiation and fractional vegetation cover data, 0.5° and 3-hourly	All models
Land surface temperature	Calculated from tower-based longwave upward radiation and aggregated to 3-hourly	LandFlux data at 0.5° and 3-hourly	SEBS only
Wind speed	Tower data aggregated to 3-hourly	LandFlux data at 0.5° and 3-hourly	SEBS only
Canopy height	Tower meta data	JPL product and Equation 1	SEBS only
NDVI	GIMMS NDVI at 8km and bi-monthly	GIMMS NDVI at 0.5° and bi-monthly	All except GLEAM
Leaf area index	Calculated from NDVI	LandFlux data at 0.5° and monthly	SEBS and PM-Mu
Fractional vegetation cover	Not used as ground heat flux is available.	Calculated from NDVI	All except GLEAM
Precipitation	Tower data aggregated to 3-hourly	LandFlux data at 0.5° and 3-hourly	GLEAM only
Soil properties	IGBP-DIS at 5 arc-minutes	IGBP-DIS data aggregated to 0.5°	GLEAM only
Soil moisture	CCI-WACMOS data at 0.25° and daily	Same as tower-based	GLEAM only
Soil depth	GlobSnow (daily and 25 km)	Same as tower-based	GLEAM only
Vegetation optical depth	From Liu et al. (2011b) at 0.25° and daily	Same as tower-based	GLEAM only
Snow water equivalent	GlobSnow and NSIDC at 0.25° and daily	Same as tower-based	GLEAM only
Lightning frequency	Monthly climatology at 0.5°	Same as tower-based	GLEAM only
Cover fractions	MOD44B data at 250 m	MOD44B data at 0.5°	GLEAM only

1230 Figure 1: Location of the selected towers and their distributions for various biomes

1231 Figure 2: Scatterplots of observed versus simulated latent heat flux for tower-based data.  
1232 Colors show the frequency of values from high (red) to low (yellow). The thick black line  
1233 represents the linear regression, while the thin line is the 1:1 line. The series of small circles  
1234 show the percentile increments of data from the 1<sup>st</sup> to 99<sup>th</sup>, with large circles denoting the 25<sup>th</sup>,  
1235 50<sup>th</sup> and 75<sup>th</sup> percentiles. The statistics shown on each figure provide coefficient of  
1236 determination ( $R^2$ ), slope (m), y-intercept (b), number of data records (n), the root-mean-  
1237 squared difference (RMSD), relative error (RE) and the Nash-Sutcliffe Efficiency (NSE).

1238 Figure 3: Scatterplots of observed versus simulated evaporation for grid-based data. Colors  
1239 show the frequency of values from high (red) to low (yellow). The thick black line is the linear  
1240 regression and the thin line is the 1:1 line. The series of small circles show the percentile  
1241 increments of data from the 1<sup>st</sup> to 99<sup>th</sup>, with large circles denoting the 25<sup>th</sup>, 50<sup>th</sup> and 75<sup>th</sup>  
1242 percentiles. The statistics shown on the graphs are coefficient of determination ( $R^2$ ), slope (m),  
1243 y-intercept (b), number of data records (n), the root-mean-squared difference (RMSD), relative  
1244 error (RE) and the Nash-Sutcliffe Efficiency (NSE).

1245 Figure 4: Comparison of the performance skill of the models in reproducing evaporation for the  
1246 tower-based analyses.  $R^2$  is the coefficient of determination, RE is relative error (lower is better)  
1247 and NSE is the Nash-Sutcliffe Efficiency (higher is better). Towers are arranged from left to right  
1248 based on an aridity index (secondary y-axis).

1249 Figure 5: Coefficient of determination ( $R^2$ ), relative error (RE) and Nash-Sutcliffe Efficiency (NSE)  
1250 for models across different biome types. Each point represents the collection of all available 3-  
1251 hourly records of towers located within the selected biome, with the number of towers shown  
1252 on the secondary y-axis of the  $R^2$  plot in red. NSE for the shrubland response of SEBS is printed.

1253

1254 Figure 6: Percentile plots of observed (x-axis) versus estimated latent heat flux (y-axis) at 3-  
1255 hourly resolution for the tower-based analysis across the seven studied biomes. Percentiles  
1256 encompass the 1<sup>st</sup> to 99<sup>th</sup> range in 1 percent increments, with  $Q_{25}$ ,  $Q_{50}$  and  $Q_{75}$  denoted by large  
1257 coloured circles.

1258 Figure 7: The upper panel presents Nash-Sutcliffe Efficiency (NSE; x-axis) and  $R^2$  (color tone)  
1259 between tower- and grid-based values for net radiation, land surface temperature, air  
1260 temperature, wind speed, specific humidity, fractional vegetation cover and leaf area index,  
1261 across the seven studied biome types. The lower panel presents the NSE (x-axis) and  $R^2$  of  
1262 model simulated evaporation against closure-corrected observed values. The number of towers  
1263 for each biome type used in the analysis are shown in red font on the secondary (right) axis in  
1264 each of the plots. Statistics for those results beyond the range of the x-axis are printed  
1265 separately on the plot.

1266 Figure 8: Coefficient of determination ( $R^2$ ), relative error (RE) and Nash-Sutcliffe Efficiency (NSE)  
1267 for model simulated results across the five different climate zones (y-axis). The zones are  
1268 represented by dryland (DRY), temperate continental (TempCONT), temperate (TEMP), sub-  
1269 tropical (subTRO) and boreal (BOR). Each point represents the collection of all towers located  
1270 within the selected climate zone, with the number of towers shown on the secondary y-axis of  
1271 the  $R^2$  panel in red.

1272 Figure 9: Percentile plots of observed (x-axis) versus estimated latent heat flux (y-axis) at 3-  
1273 hourly resolution for tower-based analysis and across the different climate zones. Percentiles  
1274 encompass the 1<sup>st</sup> to 99<sup>th</sup> range in 1 percent increments.  $Q_{25}$ ,  $Q_{50}$  and  $Q_{75}$  are denoted by large  
1275 circles.

1276

1277

1278 Figure 10: The upper panel shows Nash-Sutcliffe Efficiency (NSE; x-axis) and  $R^2$  (color tone)  
1279 between tower-based and grid-based values for net radiation, land surface temperature, air  
1280 temperature, wind speed, specific humidity, fractional vegetation cover and leaf area index  
1281 across the five different climate zones. The lower panel shows NSE (x-axis) and  $R^2$  of model  
1282 simulated evaporation against closure-corrected observed values across climate zones. The  
1283 number of towers for each biome are shown in red font on the secondary (right) axis of the  
1284 plots. Statistics for the grid-based SEBS result over dry climate zone are printed.

1285

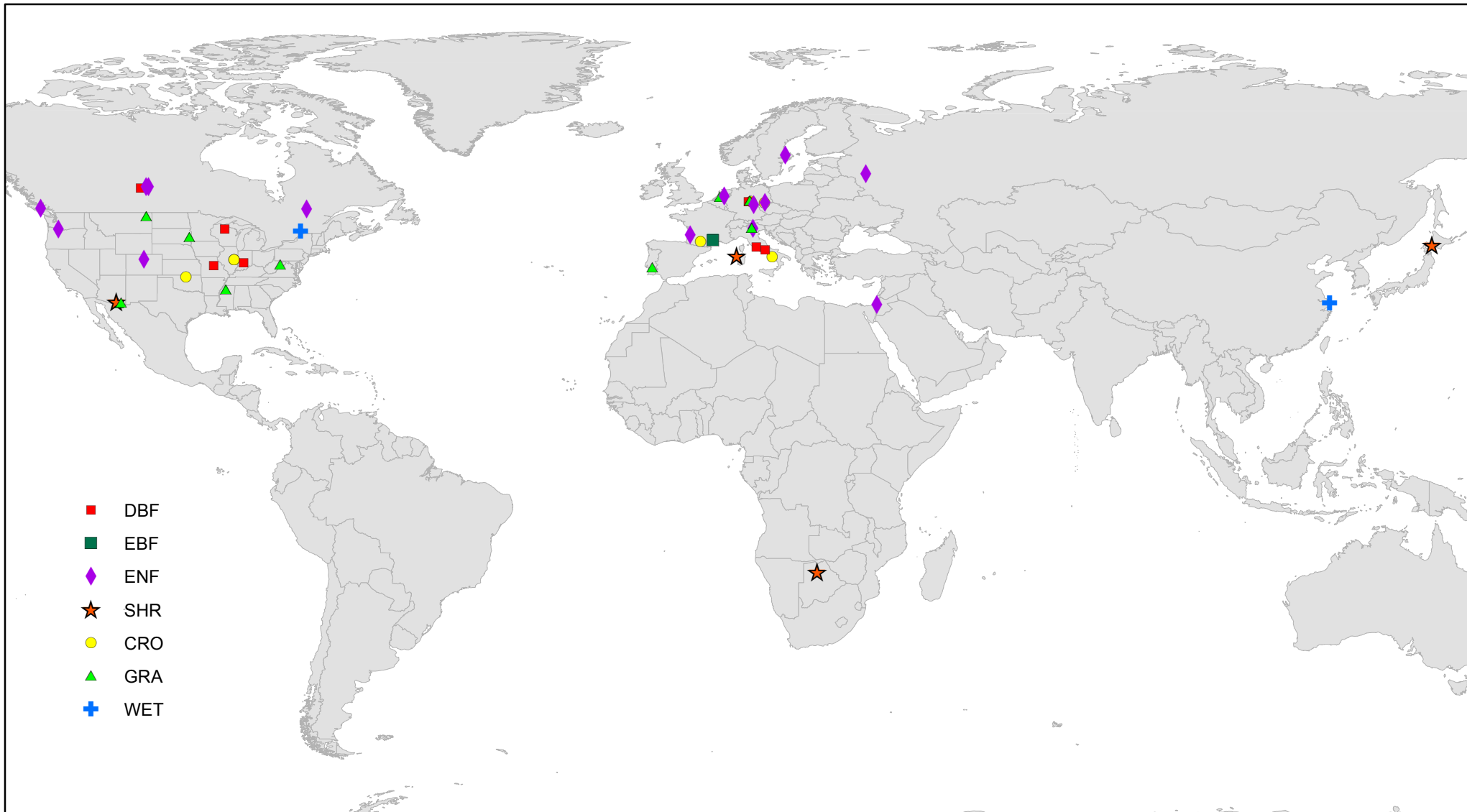


Figure 1

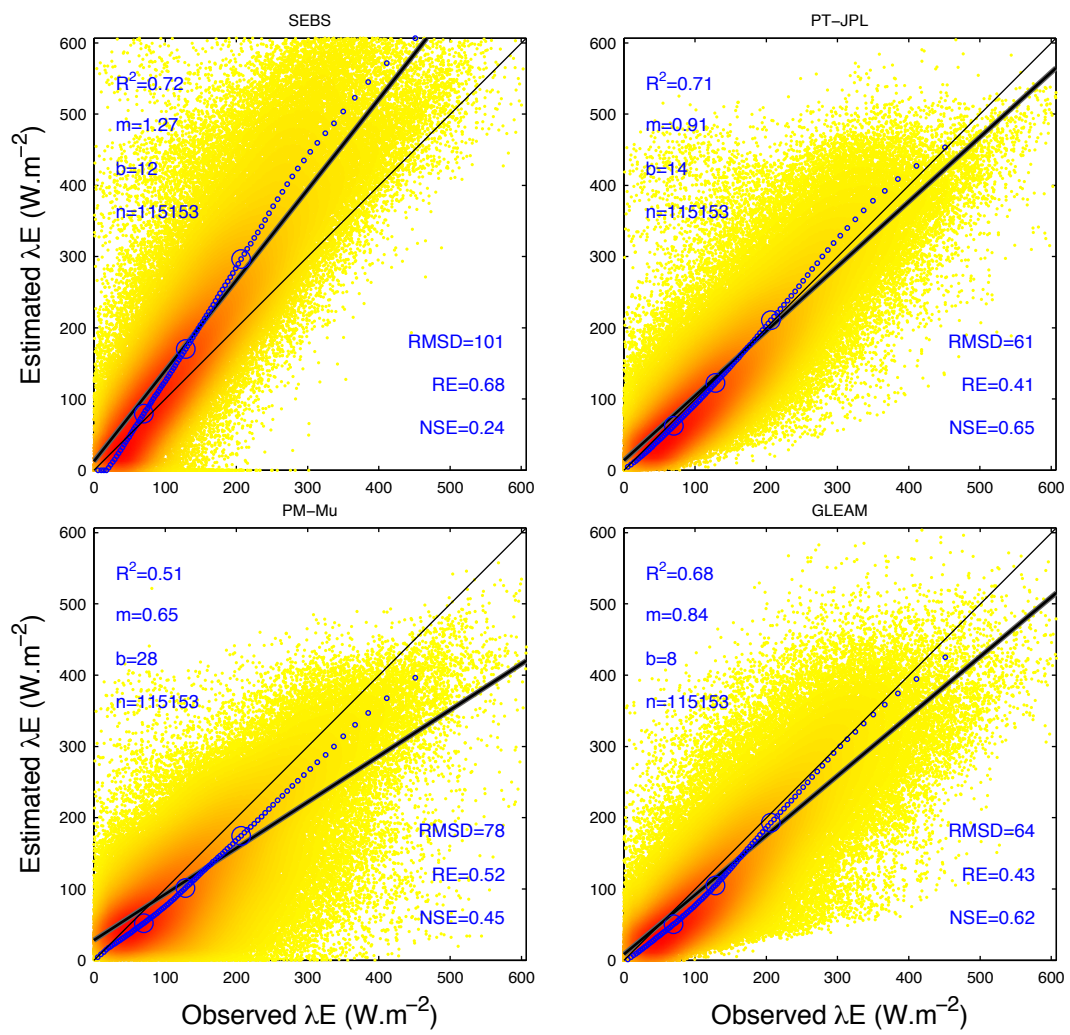


Figure 2



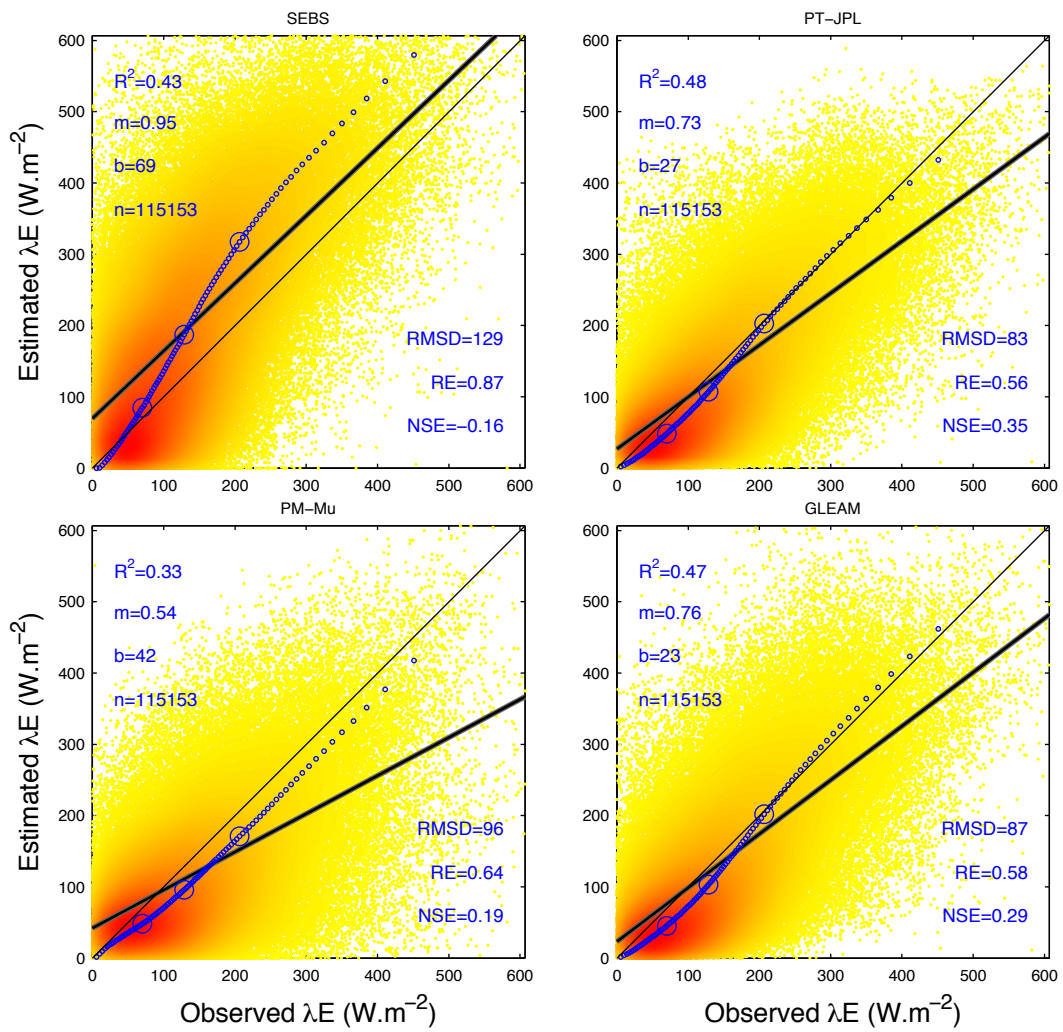


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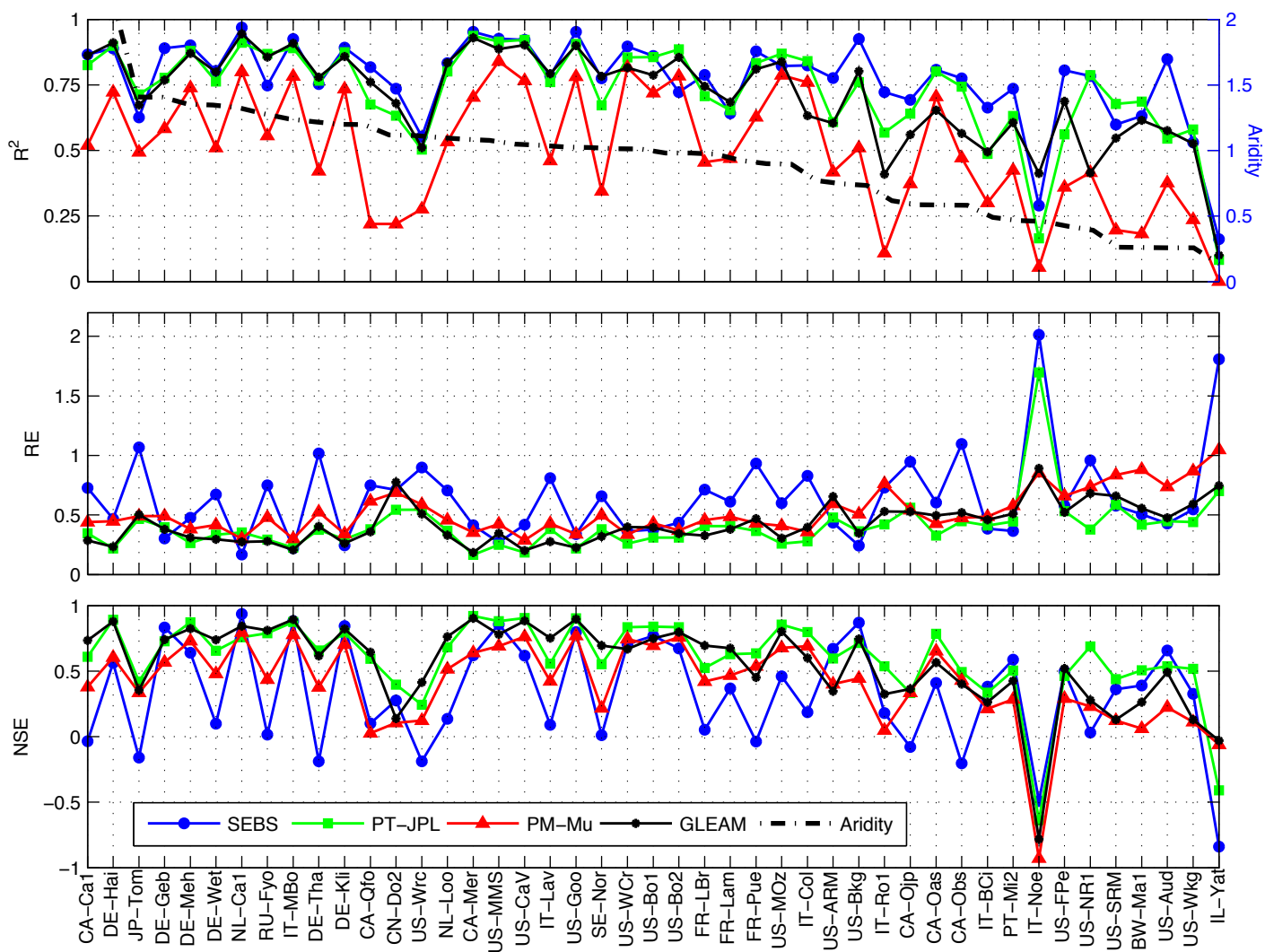


Figure 4

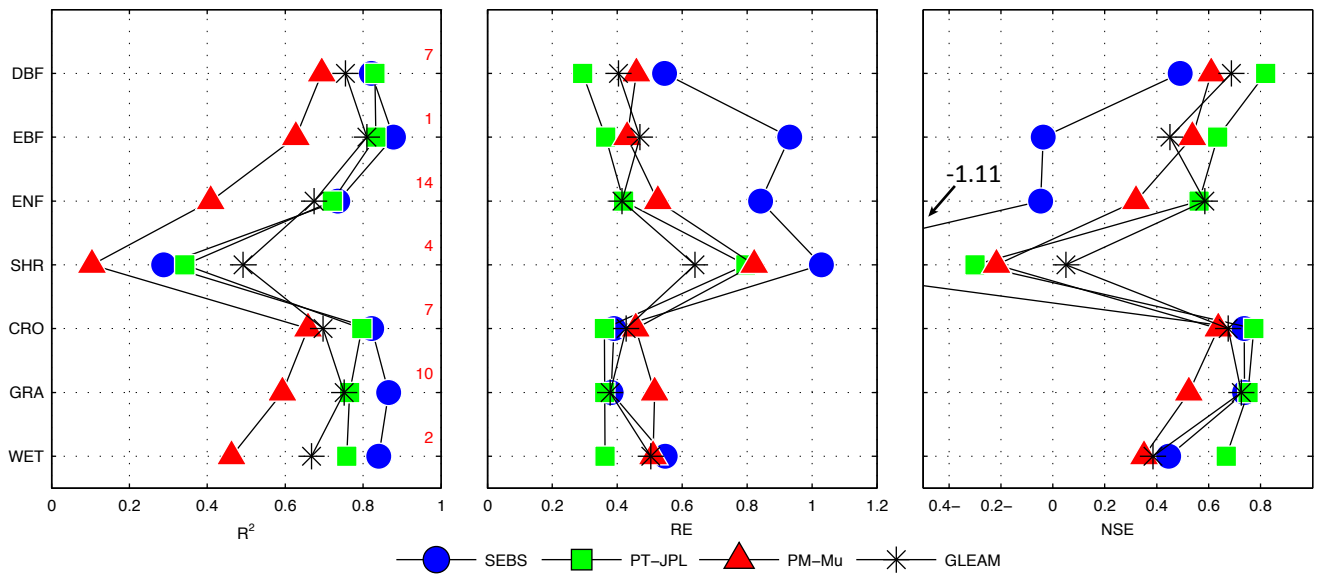


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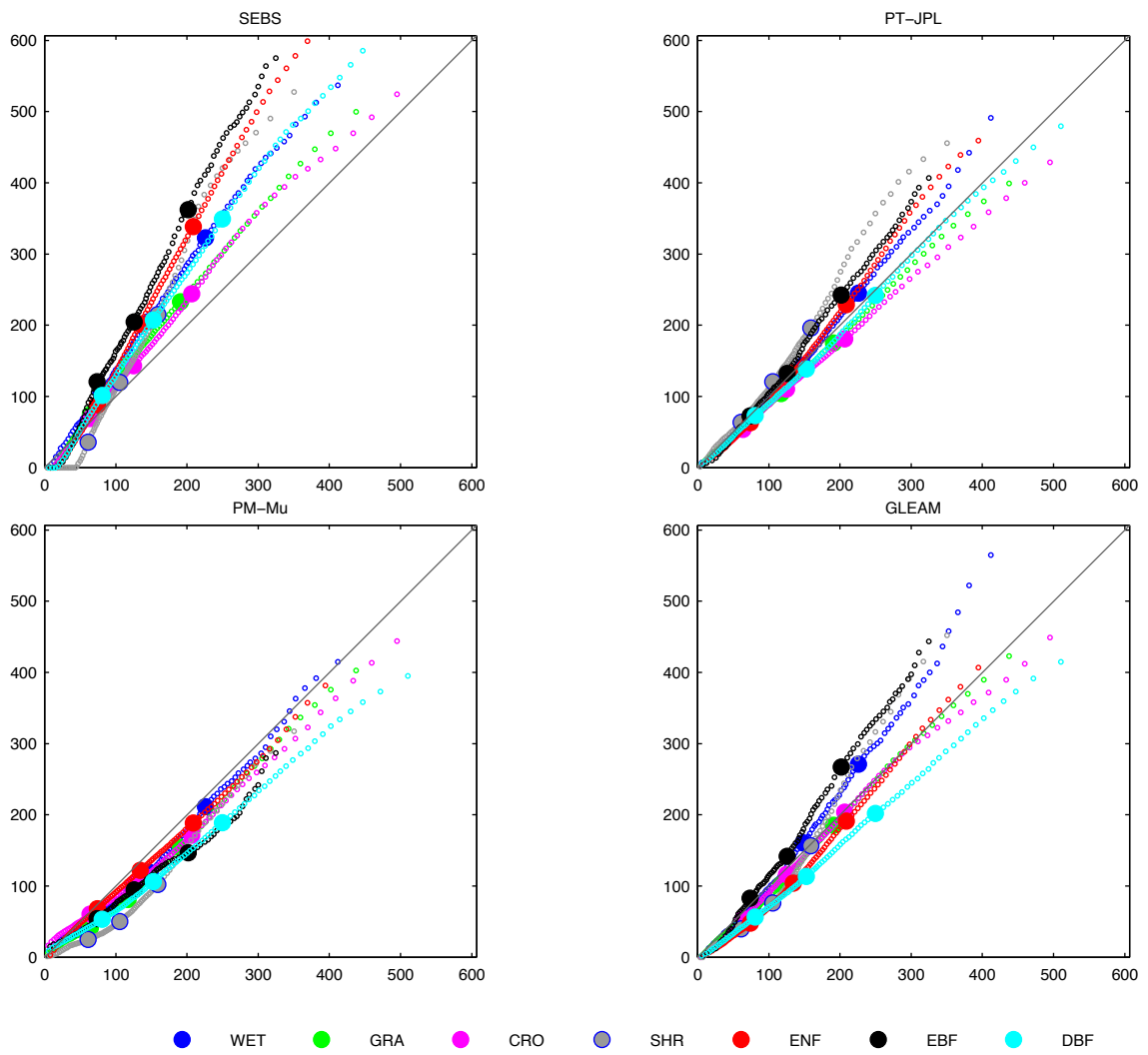


Figure 6

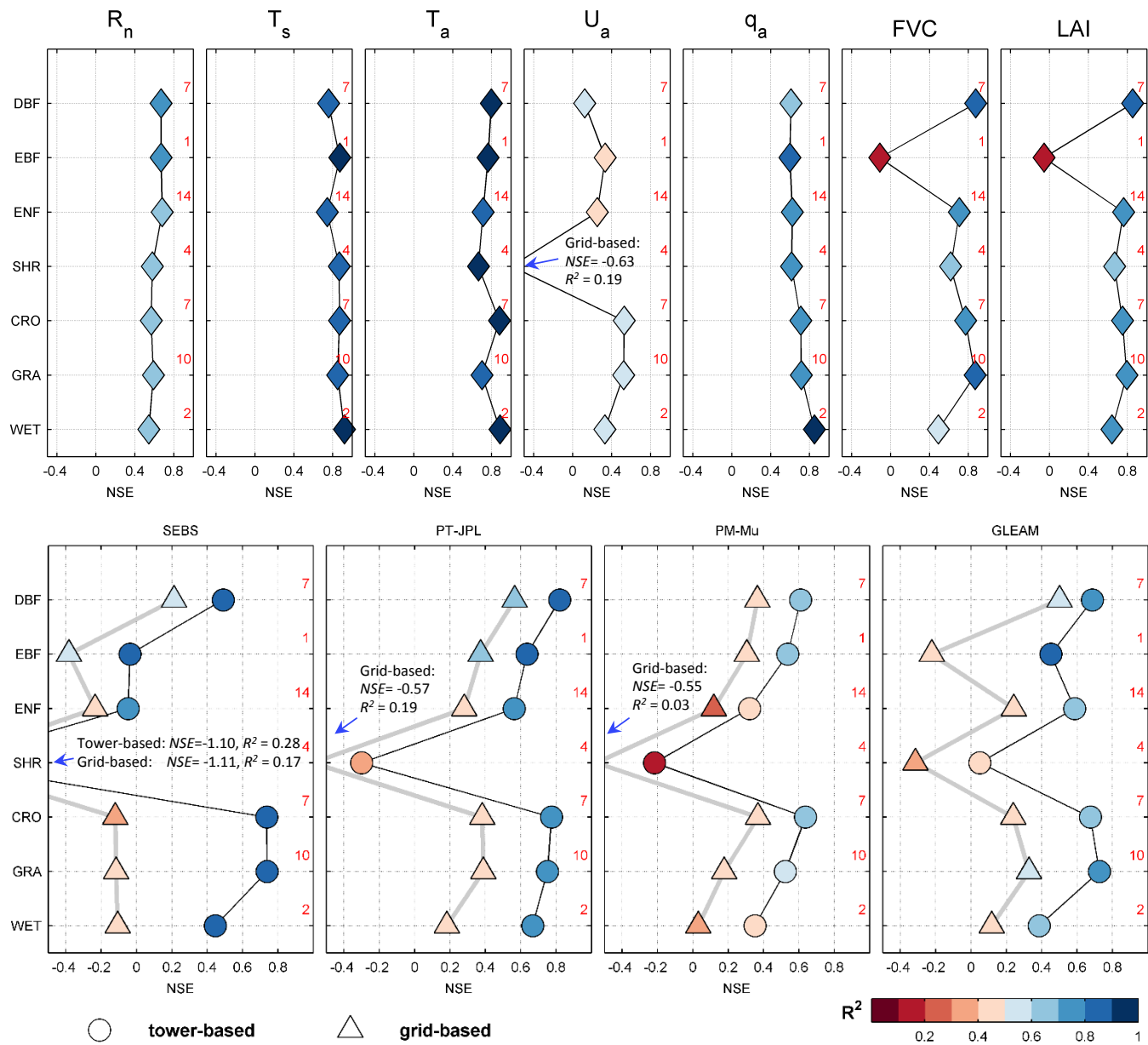


Figure 7

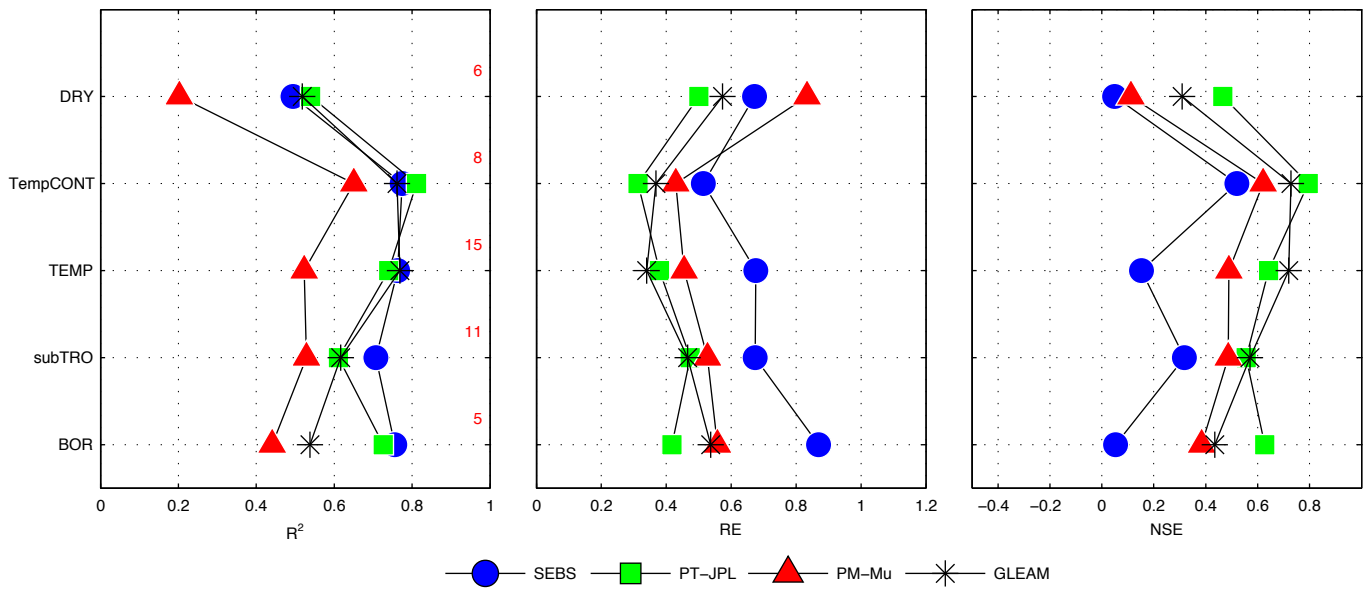


Figure 8

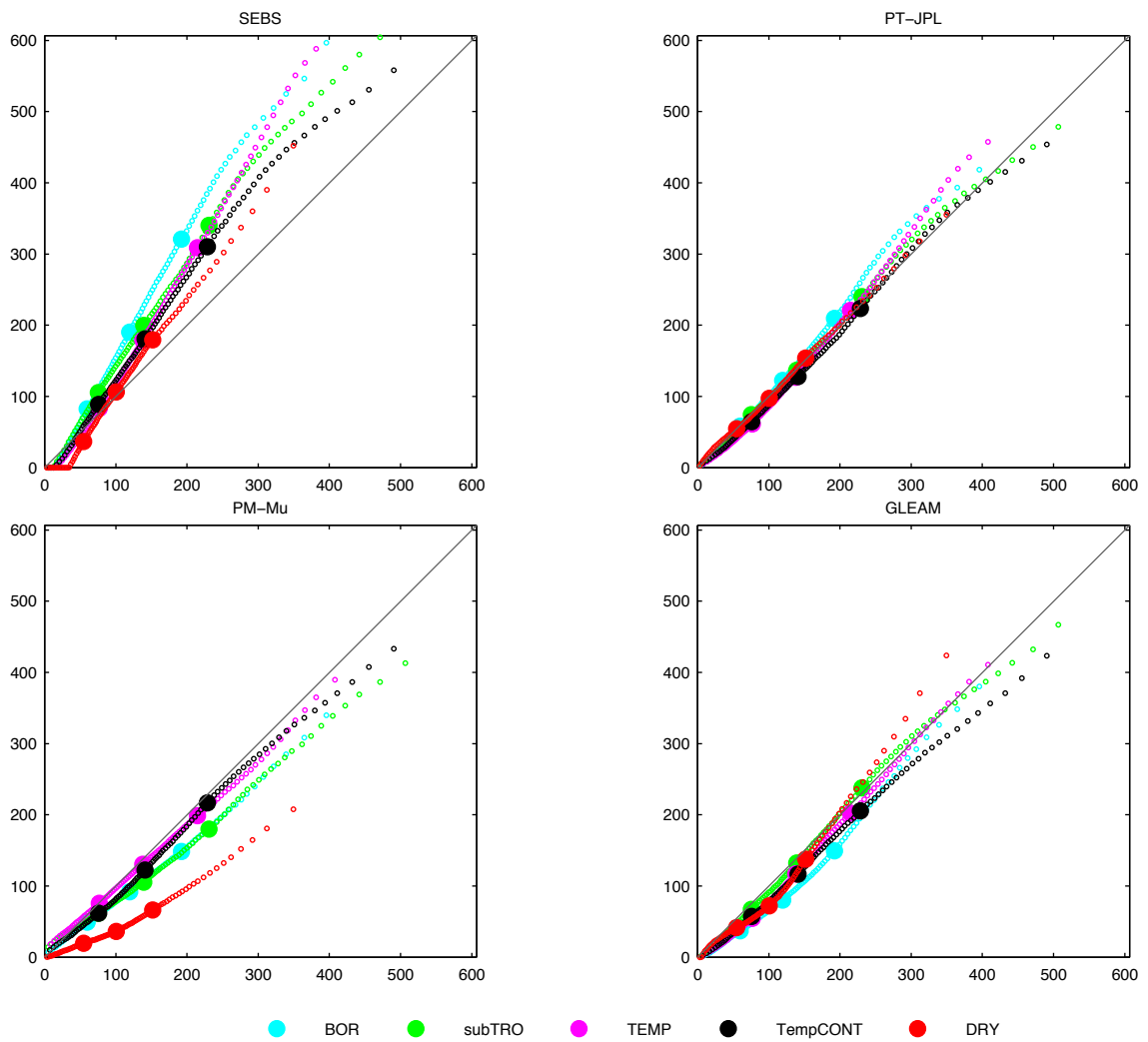


Figure 9

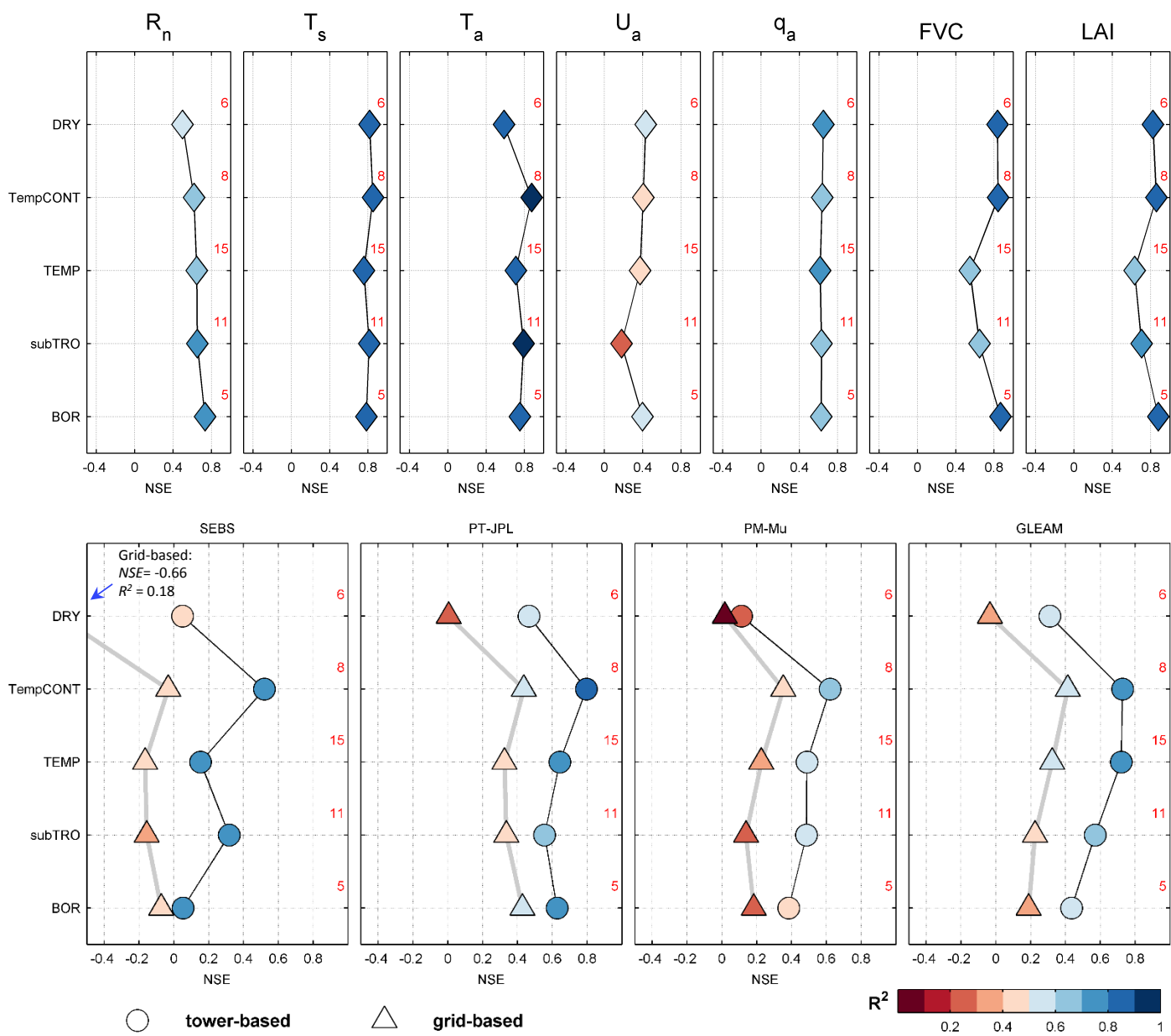


Figure 10