

## Reply to Editor

Comments to the Author:

Dear authors,

Thanks for the changes. However, the main issue has not been resolved. Please have a look at <http://planetmath.org/probabilitydistributionfunction> for the definition of PDFs.

Please change the units on the y axis to:

3a) %/°C

3b) %/%

3c) %/(ms<sup>-1</sup>)

3d) %/mm

and Figure 5 accordingly.

Please also change the numbers on the y-axis so that the integral over the whole range is 1. E.g. in Figure 3a) the integral can be approximated by a triangular with the points (-5°C,0%); (12°C,14%); (30°C,0%). The integral equals the area and hence the integral equals =  $0.5 * 35 * 14 \% = 245 \%$ , but should be 100%. (That is that the temperature is between -infinity and +infinity is 100%)

Best regards,

V. Grewe

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**Reply:**

**We thank the editor for the explanation and reference of PDF. We apologize for the oversight in the main issue with regards to the Figures. As the NCL function we used did not conserve the area of the integral to 1, we have plotted new figures using Matlab. The y-axis now reads as "Probability" instead of the original "%" as we think that this might be a more suitable y-axis for PDF. Changes have also been made in the main script to reflect changes in the PDFs.**



24 an NMB of -8.8%. PM<sub>2.5</sub> concentrations are moderately overpredicted with an NMB of 23.3% at  
25 rural sites, but slightly underpredicted with an NMB of -10.8% at urban/suburban sites. In general,  
26 the model performs relatively well for chemical and meteorological variables, and not as well for  
27 aerosol-cloud-radiation variables. Cloud-aerosol variables including aerosol optical depth, cloud  
28 water path, cloud optical thickness, and cloud droplet number concentration are generally  
29 underpredicted on average across the continental U.S. Overpredictions of several cloud variables  
30 over eastern U.S. result in underpredictions of radiation variables (such as GSW with an MB of -  
31 5.7 W m<sup>-2</sup>) and overpredictions of shortwave and longwave cloud forcing (MBs of ~7 to 8 W m<sup>-2</sup>)  
32 which are important climate variables. While the current performance is deemed to be acceptable,  
33 improvements to the bias-correction method for CESM downscaling and the model  
34 parameterizations of cloud dynamics and thermodynamics, as well as aerosol-cloud interactions  
35 can potentially improve model performance for long-term climate simulations.

36 **KEYWORDS:** Online-Coupled WRF/Chem; Climate, Air Quality, the Representative  
37 Concentration Pathway Scenarios, Climatological Evaluation; Chemistry-Climate Interactions

## 38 **1. Introduction**

39 Regional atmospheric models have been developed and applied for high resolution climate,  
40 meteorology, and air quality modeling in the past few decades. Comparing to global models with  
41 a coarser domain resolution (Leung et al., 2003) those regional models have advantages over  
42 global models because they can more accurately represent mesoscale variability (Feser et al.,  
43 2011), and also better predict the local variability of concentrations of specific species such as  
44 black carbon and sulfate (Petikainen et al., 2012). General circulation models (GCMs) and global  
45 chemical transport models (GCTMs) are usually downscaled to regional meteorological models  
46 such as the Weather Research and Forecasting model (WRF) (Caldwell et al., 2009; Gao et al.,

47 2012), regional climate models such as REMO-HAM (Petikainen et al., 2012), the regional  
48 modeling system known as Providing Regional Climates for Impacts Studies (PRECIS) (Jones et  
49 al., 2004; Fan et al., 2014), and a number of European models described in Jacob et al. (2007), as  
50 well as regional CTMs such as the Community Multiscale Air Quality Model (CMAQ) (Penrod et  
51 al., 2014; Xing et al., 2015). These regional models are used for climate/meteorology or air quality  
52 simulations. Some are applied for more than ten years (Caldwell et al., 2009; Warrach-Sagi et al.,  
53 2013; Xing et al., 2015). However these regional models either lack the detailed treatment of  
54 chemistry (e.g., in WRF), or use prescribed chemical concentrations (e.g., REMO-HAM uses  
55 monthly mean oxidant fields for several chemical species), or do not have online-coupled  
56 meteorology and chemistry (e.g., in CMAQ). In addition, the past regional model simulations and  
57 analyses have mainly focused on meteorological parameters such as surface temperature and  
58 precipitation, cloud variables such as net radiative cloud forcing, and chemical constituents such  
59 as ozone. Regional climate model simulations tend to focus on significant climatic events such as  
60 extreme temperatures (very cold or very hot) (Dasari et al., 2014), heat waves, heavy precipitation,  
61 drought, and storms (Beniston et al., 2007), rather than the important air quality and climate  
62 interactions. In addition, the impacts of complex chemistry-aerosol-cloud-radiation-climate  
63 feedbacks on future climate change remain uncertain, and these feedbacks are most accurately  
64 represented using online-coupled meteorology and chemistry models (Zhang, 2010; IPCC, 2013).  
65 An online-coupled meteorology and chemistry model, however, is more computationally  
66 expensive compared to an offline-coupled model (Grell et al., 2004), and thus requires significant  
67 computing resources for their long-term (a decade or longer) applications. With rapid increases in  
68 the availability of high performance computing resources on the petaflop scale, however, long  
69 term simulations using online-coupled models have become possible in recent years. For example,

70 recently, the WRF model has been coupled online to the CMAQ model with the inclusion of  
71 aerosol indirect effects to study chemistry and climate interactions (Yu et al., 2014).

72 The online-coupled WRF model with Chemistry (WRF/Chem) has been updated with a  
73 suite of physical parameterizations from the Community Atmosphere Model version 5 (CAM5)  
74 (Neale et al., 2010) so that the physics in the global CAM5 model is consistent with the regional  
75 model for downscaling purposes (Ma et al., 2014). There are also limited applications of dynamical  
76 downscaling (Gao et al., 2013) under the new Intergovernmental Panel on Climate Change (IPCC)  
77 Fifth Assessment Report's Representative Concentration Pathway (RCP) scenarios (van Vuuren  
78 et al., 2011). Gao et al. (2013) applied dynamic downscaling to link the global-climate-chemistry  
79 model CAM-Chem with WRF and CMAQ using RCP 8.5 and RCP 4.5 emissions to study the  
80 impacts of climate change and emissions on ozone (O<sub>3</sub>). Molders et al. (2014) downscaled the  
81 Community Earth System Model (CESM) (Hurrell et al., 2013) to drive the online-coupled  
82 WRF/Chem model over Southeast Alaska using RCP 4.5 emissions; however, their study did not  
83 address the feedback processes between chemistry and meteorology. This study evaluates the  
84 online-coupled regional WRF/Chem model, which takes into account gas and aerosol-phase  
85 chemistry, as well as aerosol direct and indirect effects. WRF/Chem is used to simulate the  
86 "current" climate scenario for 10 years, from 2001 to 2010 using the RCP 8.5 emissions and  
87 boundary conditions from an updated version of CESM with advanced chemistry and aerosol  
88 treatments over continental U.S. (CONUS) (He et al., 2015; Glotfelty et al., 2015) with a focus on  
89 air-quality and climate interactions. Both CESM and WRF/Chem include similar gas-phase  
90 chemistry and aerosol treatments. To our best knowledge, this study is the first to report the  
91 WRF/Chem simulation, evaluation, and analyses over a period of 10 years (i.e., 2001-2010) to  
92 assess if the model is able to accurately simulate decadal long air quality and climatology by taking

93 into account feedback processes between chemistry and meteorology. This study also assesses  
94 whether the RCP8.5 emissions for the 10-year period are robust enough to produce satisfactory  
95 performance against observations with WRF/Chem.

## 96 **2. Model Set-up and Evaluation Protocol**

### 97 **2.1 Model Configurations and Simulation Design**

98 The model used is the modified WRF/Chem v3.6.1 with updates similar to those  
99 implemented into WRF/Chem v3.4.1 as documented in Wang et al. (2014). The main updates  
100 include the implementation of an extended version of Carbon Bond 2005 (CB05) (Yarwood et al.,  
101 2005) gas-phase mechanism with the chlorine chemistry (Sarwar et al., 2007) and its coupling with  
102 the Modal for Aerosol Dynamics in Europe/Volatility Basis Set (MADE/VBS) (Ahmadov et al.,  
103 2012). MADE/VBS incorporates a modal aerosol size distribution, and includes an advanced  
104 secondary organic aerosol (SOA) treatment based on gas-particle partitioning and gas-phase  
105 oxidation in volatility bins. The CB05-MADE/VBS option has also been coupled to existing model  
106 treatments of various feedback processes such as the aerosol semi-direct effect on photolysis rates  
107 of major gases, and the aerosol indirect effect on cloud droplet number concentration (CDNC) and  
108 resulting impacts on shortwave radiation. The main physics and chemistry options used in this  
109 study as well as their corresponding references can be found in Table 1. The simulations are  
110 performed at a horizontal resolution of 36-km with  $148 \times 112$  horizontal grid cells over the  
111 CONUS domain and parts of Canada and Mexico, and a vertical resolution of 34 layers from the  
112 surface to 100-hPa. Considering the decadal applications of WRF/Chem in this work which is  
113 much longer than many past WRF/Chem applications, the simulations are reinitialized monthly  
114 (rather than 1-4 days used in most past WRF/Chem applications to short-term episodes that are on  
115 an order of months up to 1-year, e.g., Zhang et al., 2012a, b; Yahya et al., 2014, 2015b) to constrain

116 meteorological fields toward National Centers for Environmental Prediction (NCEP) reanalysis  
117 data while allowing chemistry-meteorology feedbacks within the system. As discussed in Sections  
118 3.1 and 3.3, the reinitialization frequency of 1-month may be too large to constrain some of the  
119 meteorological fields such as moistures, which in turn affect other parameters, and a more frequent  
120 reinitialization may be needed to improve the model performance. The impact of the frequency of  
121 the reinitialization on simulated meteorological and cloud parameters will be further discussed in  
122 Sections 3.1 and 3.2. A list of acronyms used in this paper can be found in Table S1.

## 123 **2.2 Processing of Emissions and Initial Conditions (ICs)/Boundary Conditions (BCs)**

124 Global RCP emissions are available as monthly-average emissions for 2000, 2005, and for  
125 every 10 years between 2010 and 2100, at a grid resolution of  $0.5^{\circ} \times 0.5^{\circ}$  (Moss et al., 2010; van  
126 Vuuren et al., 2011). The RCP emissions in 2000, 2005, and 2010 are used to cover the 10-year  
127 emissions needed for WRF/Chem simulations, i.e., the periods of 2001 – 2003, 2004 – 2006, and  
128 2007 – 2010, respectively. Processing global RCP emissions in 2000, 2005, and 2010 into regional,  
129 hourly emissions needed for the 10-year WRF/Chem simulations requires essentially three main  
130 tasks. These include 1) mapping the RCP species to CB05 speciation used in WRF/Chem; 2) re-  
131 gridding the RCP emissions from  $0.5 \times 0.5^{\circ}$  grid resolution to the  $36 \times 36$  km grid resolution used  
132 for regional simulation over North America; and 3) applying species and location dependent  
133 temporal allocations (i.e., emissions variation over time) to the re-gridded RCP emissions. Table  
134 S2 shows the species mapping between RCP species and CB05 species. To map the RCP species  
135 to CB05 speciation, some assumptions are made due the relatively detailed speciation required by  
136 CB05. Some of the CB05 species are directly available in RCP; however, others are lumped into  
137 RCP groups, for example, the “other alkanals” and “hexanes and higher alkanes” in the RCP  
138 groups can be considered to approximately represent the acetaldehyde and higher aldehydes

139 emissions required by CB05, respectively (Table S2). For the CB05 species such as ethanol,  
140 methanol, internal and terminal olefin carbon bonds in the gas-phase, and elemental and organic  
141 carbon in the accumulation mode of the aerosol particles, other RCP groups are used to  
142 approximate these emissions (Table S2). For the remaining CB05 species that are not available in  
143 RCP (i.e. chlorine, HCl, HONO,  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , PAR, unspciated  $\text{PM}_{2.5}$ ,  $\text{H}_2\text{SO}_4$ , and  $\text{SO}_4^{2-}$ ), their  
144 2000 emissions are based on the 2002 National Emission Inventory (NEI) (version 3,  
145 <http://www.epa.gov/ttn/chief/emch/>), while their 2005 and 2010 emissions are based on the 2008  
146 NEI-derived emissions (version 2) from the Air Quality Modelling Evaluation International  
147 Initiative (AQMEII) project as described in Pouliot et al. (2015), which include year-specific  
148 updates for on/off road transport, wildfires and prescribed fires, and Continuous Emission  
149 Monitoring-equipped point sources. To re-grid the RCP emissions, the RCP rectilinear grid is first  
150 interpolated to a WRF/Chem curvilinear grid using a simple inverse distance weighting (NCAR  
151 Command Language Function – rgrid2rcm), and a subset of the RCP grid that covers the  
152 WRF/Chem CONUS domain is then extracted. To derive a temporal allocation for monthly-  
153 averaged RCP emissions, hourly emission profiles are taken from those used in-house WRF/Chem  
154 simulations over CONUS during 2001 (Yahya et al., 2015a), and 2006 and 2010 as part of the  
155 AQMEII project (Yahya et al., 2014, 2015b). The emissions for those existing in-house  
156 simulations were generated based on the 2002 NEI, the emissions were generated with the Sparse  
157 Matrix Operator Kernel Emissions (SMOKE) model version 2.3. The emissions for the existing  
158 in-house 2006 and 2010 simulations were generated based on the pre-merged emissions provided  
159 by the U.S. EPA, which were derived from the 2008 NEI with year-specific section emissions for  
160 2006 and 2010 as part of the AQMEII. SMOKE version 3.4 was used to prepare the spatially,  
161 temporally, and chemically speciated “model-ready” emissions for the existing in-house 2006 and



162 2010 WRF/Chem simulations. Since NEI is updated and released every three years, the temporal  
 163 profiles of emissions used in SMOKE for 2002, 2006 and 2010 are assumed to be valid for 3-4  
 164 years around the NEI years, i.e., 2001-2003, 2004-2006, and 2007-2010, respectively. The  
 165 temporal allocations applied to the RCP emissions are therefore based on the SMOKE model's  
 166 profiles for each species and source location, and include non-steady-state emissions rates (i.e.,  
 167 seasonal, weekday or weekend, and diurnal variability) that are valid for the entire simulation  
 168 periods of 2001-2010. Specifically, the hourly re-gridded RCP emission rates for each species  $E$ ,  
 169 or  $E_{hr}^{RCP}$  are calculated by

$$170 \quad E_{hr}^{RCP}(t, z, lat, lon) = E_{mon}^{RCP}(z, lat, lon) * \left[ \frac{E_{hr}^{WRF}(t, z, lat, lon)}{E_{mon}^{WRF}(z, lat, lon)} \right] \quad (1)$$

171 where  $E_{mon}^{RCP}$ ,  $E_{mon}^{WRF}$ , and  $E_{hr}^{WRF}$  represent the original monthly-averaged RCP emissions rates, the  
 172 monthly-averaged WRF/Chem emissions rates, and the hourly WRF/Chem emission rates,  
 173 respectively, which are valid at each model time  $t$ , layer  $z$ , and  $lat$  and  $lon$  grid points. The RCP  
 174 elevated source emissions for sulfur dioxide (SO<sub>2</sub>), sulfate (SO<sub>4</sub><sup>2-</sup>), elemental carbon (EC) and  
 175 organic carbon (OC) were also incorporated into the model-ready emissions for WRF/Chem using  
 176 steps 1) – 3) and Eq. (1) above. Lastly, RCP aircraft source emissions for EC, nitric oxide (NO),  
 177 and nitrogen dioxide (NO<sub>2</sub>) are directly injected into the closest model layers. No temporal  
 178 allocations are applied to the RCP aircraft source emissions.

179 Biogenic emissions are calculated online using the Model of Emissions of Gases and  
 180 Aerosols from Nature version 2 (MEGAN2) (Guenther et al., 2006). Emissions from dust are based  
 181 on the online Atmospheric and Environmental Research Inc. and Air Force Weather Agency  
 182 (AER/AFWA) scheme (Jones and Creighton, 2011). Emissions from sea salt are generated based  
 183 on the scheme of Gong et al. (1997).

184           The chemical and meteorological ICs/BCs come from the modified CESM/CAM5 version  
185 1.2.2 with updates by He et al. (2014) and Glotfelty et al. (2015) developed at the North Carolina  
186 State University (CESM\_NCSU). WRF/Chem and CESM both use the CB05 gas-phase  
187 mechanism (Yarwood et al., 2005), however, WRF/Chem includes additional chlorine chemistry  
188 from Sarwar et al. (2007), whereas CESM\_NCSU uses a modified version of CB05, the CB05  
189 Global Extension (CB05GE) by Karamchandani et al. (2012). In addition to original reactions in  
190 CB05 and chlorine chemistry of Sarwar et al. (2007), CB05GE includes chemistry on the lower  
191 stratosphere, reactions involving mercury species, and additional heterogeneous reactions on  
192 aerosol particles, cloud droplets and on polar stratospheric clouds (PSCs). Both WRF/Chem and  
193 CESM\_NCSU use a modal aerosol size representation, rather than a sectional size representation.  
194 While WRF/Chem includes MADE/VBS with 3 prognostic modes (Ahmadov et al.,  
195 2012),CESM\_NCSU includes the Modal Aerosol Model with 7 prognostic modes (Liu et al., 2012)  
196 is used in CESM\_NCSU. In addition to similar gas-phase chemistry and aerosol treatments,  
197 CESM\_NCSU and WRF/Chem use the same shortwave and longwave radiation schemes (i.e., the  
198 Rapid and accurate Radiative Transfer Model for GCM (RRTMG)), though they use different  
199 cloud microphysics parameterizations, PBL, and convection schemes. As GCMs generally contain  
200 systematic biases which can influence the downscaled simulation, the meteorological ICs/BCs  
201 predicted by CESM\_NCSU are bias corrected before they are used by WRF/Chem using the  
202 simple bias correction technique based on Xu and Yang (2012). Temperature, water vapor,  
203 geopotential height, wind, and soil moisture variables available every 6 hours from the NCEP Final  
204 Reanalyses (NCEP FNL) dataset are used to correct the ICs and BCs derived based on results from  
205 CESM\_NCSU for WRF/Chem simulations. In this bias-correction approach, monthly  
206 climatological averages for ICs and BCs are first derived from both NCEP and CESM\_NCSU

207 cases. The differences between the ICs and BCs from the NCEP and CESM\_NCSU climatological  
 208 averages are then added onto the CESM\_NCSU ICs and BCs to generate bias-corrected  
 209 CESM\_NCSU ICs/BCs. Assuming that the causes for the biases remain the same in future, this  
 210 bias correction technique can also be applied to future year simulations for which NCEP FNL data  
 211 is not available.

### 212 **2.3 Model Evaluation Protocol**

213 The focus of the model evaluation is mainly to assess whether the model is able to  
 214 adequately reproduce the spatial and temporal distributions of key meteorological and chemical  
 215 variables as compared to observations on a climatological time scale. A scientific question to be  
 216 addressed in this work is, is WRF/Chem sufficiently good for regional climate and air quality  
 217 simulations on a decadal scale? A climatological month refers to the average of the month for all  
 218 the 10 years. For example, January refers to the average for all the months of January from 2001  
 219 to 2010. Statistical evaluations such as mean bias (MB), Pearson’s correlation coefficient (R),  
 220 normalized mean bias (NMB), normalized mean error (NME) (The definition of those measures  
 221 can be found in Yu et al. (2006) and Zhang et al. (2006)) and Index of Agreement (IOA) ranging  
 222 from 0 to 1 (Willmott et al., 1981) for major chemical and meteorological variables are included.  
 223 IOA can be calculated as,

$$224 \quad IOA = 1 - \frac{\sum_i^N (O_i - S_i)^2}{\sum_i^N (|O_i - \bar{O}| + |S_i - \bar{S}|)^2} \quad (2)$$

225 where  $O_i$  and  $S_i$  denote time-dependent observations and predictions at time and location  $i$ ,  
 226 respectively,  $N$  is the number of samples (by time and/or location),  $\bar{O}$  denotes mean observation  
 227 and  $\bar{S}$  denotes mean predictions over all time and locations, they can be calculated as:

228 
$$\bar{O} = (1/N) \sum_{i=1}^N O_i, \bar{S} = (1/N) \sum_{i=1}^N S_i,$$

229 IOA values range from 0-1, with a value of 1 indicating a perfect agreement.

230 For surface networks with hourly data, e.g., National Climatic Data Center (NCDC), the  
231 observational data are paired up with the simulated data on an hourly basis for each site. The  
232 observational data and simulated data are averaged out for each site. The statistics are then  
233 calculated based on the site-specific data pairs. The satellite-derived data are usually available on  
234 a monthly basis, and the simulated data are also averaged out on a monthly basis. The satellite-  
235 derived data are regridded to the same domain and number of grid cells similar to the simulated  
236 data. The time dimension is removed for the climatological evaluation, the statistics are based on  
237 a site-specific average or a grid cell average. The statistics are then calculated based on the paired  
238 satellite-derived vs. simulated grid cell values. The spatial and temporal analyses include spatial  
239 plots of MB over CONUS, spatial overlay plots of averaged simulated and observational data,  
240 monthly climatologically-averaged time series of major meteorological and chemical variables,  
241 annual average time series; probability ~~distributions~~ distribution functions of major meteorological  
242 and chemical variables, and -spatial plots of major aerosol and cloud variables compared with  
243 satellite data. A summary of the observational data from surface networks and satellite retrievals  
244 can be found in Table S3. The variables that are analyzed in this study include O<sub>3</sub>, particulate  
245 matter with diameter less than and equal to 2.5 and 10 μm (PM<sub>2.5</sub> and PM<sub>10</sub>, respectively), and  
246 PM<sub>2.5</sub> species including sulfate (SO<sub>4</sub><sup>2-</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), EC, OC, and total  
247 carbon (TC = EC + OC), temperature at 2-m (T2), relative humidity at 2-m (RH2), and wind speed  
248 at 10-m (WS10), wind direction at 10-m (WD10), precipitation, aerosol optical depth (AOD),  
249 cloud fraction (CLDFRA), cloud water path (CWP), cloud optical thickness (COT), CDNC, cloud  
250 condensation nuclei (CCN), downward shortwave radiation (SWDOWN), net shortwave radiation

251 (GSW), downward longwave radiation (GLW), outgoing longwave radiation at the top of  
252 atmosphere (OLR), and shortwave and longwave cloud forcing (SWCF and LWCF). While  
253 uncertainties exist in all the observational data used, systematic uncertainty analysis/quantification  
254 is beyond the scope of this work. In this work, all observational data are considered to be the true  
255 values in calculating the performance statistics. The information on the accuracy of most data used  
256 in the model evaluation has been provided in Table 2 of Zhang et al. (2012a). Uncertainties  
257 associated with some of the observational data are discussed in Section 3.

### 258 **3. Model Performance Evaluation**

#### 259 **3.1 Meteorological Predictions**

260 Table 2 summarizes the statistics for T2, RH2, WS10, WD10, and precipitation. The model  
261 performs very well for a 10-year average T2 with a slight underprediction (an MB of -0.3 °C).  
262 This is better or consistent with other studies which tend to report underpredictions in simulated  
263 T2. Brunner et al. (2014) reported a range of monthly MBs for T2 of -2 to 1 °C for simulations  
264 using a number of CTMs over individual years for 2006 and 2010 with reanalysis meteorological  
265 ICs/BCs. Seasonal temperature biases of -1.8 to -2.3 °C were reported from an ensemble of  
266 regional climate models (RCMs) for a simulation period of 1971 to 2000 over northeastern U.S.  
267 (Rawlins et al., 2012). He et al. (2015) also showed biases of -3 to 0 °C over CONUS when  
268 compared against NCEP reanalysis data. Kim et al. (2013) compared the results of a number of  
269 RCMs over CONUS over a climatological period of 1980 to 2003 against Climatic Research Unit  
270 (CRU) surface analysis data at a 0.5° resolution and reported T2 biases of -5 to 5 °C. Figure 9.2  
271 from Flato et al. (2013) shows that the Coupled Model Intercomparison Project Phase 5 (CMIP5)  
272 models tend to underpredict T2 for the period of 1980 to 2005 over western U.S. by up to -3 °C.  
273 The slight bias in T2 can be attributed to errors in soil temperature and soil moisture (Pleim and

274 Gilliam, 2009) or errors in the green vegetation fraction in the National Center for Environmental  
275 Prediction, Oregon State University, Air Force and Hydrologic Research Lab (NOAH) Land  
276 Surface Model (LSM) (Refslund et al., 2013). RH2 and WS10 are slightly overpredicted.  
277 Precipitation is largely overpredicted, consistent with overpredictions in precipitation from WRF  
278 and WRF/Chem simulations reported in literatures. For example, Caldwell et al. (2009) attributed  
279 the overprediction in precipitation to overprediction in precipitation intensity but underprediction  
280 in precipitation frequency. Otte et al. (2012) also reported that the precipitation predicted by WRF  
281 is too high compared to the North American Regional Reanalyses (NARR) data throughout the  
282 whole CONUS domain over a period of 1988 – 2007. Nudging and reinitialization have been most  
283 commonly used methods to control such errors. . Three sensitivity simulations are conducted for  
284 a summer month (July 2005) to pinpoint likely causes of the precipitation biases. The baseline  
285 simulation (**Base**) uses a monthly reinitialization frequency, CESM\_NCSU ICs/BCs, and the Grell  
286 3D cumulus parameterization. The sensitivity simulations include (1) **Sen1**, which is similar to the  
287 Base case except with a 5-day reinitialization period; (2) **Sen2**, which is similar to Base except  
288 using NCEP for the meteorological ICs/BCs; and (3) **Sen3**, which is similar to Base except using  
289 WRF/Chem v3.7 with the Multi-Scale Kain Fritsch (MSKF) cumulus parameterization, instead  
290 of Grell 3D. The differences in configuration setup in those sensitivity simulations are given in  
291 Table S4. The evaluation and comparison of the baseline and sensitivity results in July 2005 are  
292 summarized in Tables S5 and S6, and Figure S1 in the supplementary material. As shown in Tables  
293 S5-S6 and Figure S1, the precipitation bias can be attributed to several factors including the use of  
294 Grell 3D cumulus parameterization scheme, the use of bias-corrected CESM\_NCSU data (instead  
295 of NCEP reanalysis data), and the use of an reinitialization frequency of 1-month, among which  
296 the first factor dominates the biases in precipitation predictions. The simulated precipitation is

297 very sensitivity to different cumulus parameterizations. Compared to scale-aware  
298 parameterizations such as the multi-scale Kain-Fritsch (MSKF) cumulus scheme, the Grell 3D  
299 parameterization has a tendency to overpredict precipitation, particularly over ocean.

300 Figure 1 shows the spatial distributions of MB for 10-year average predictions of T2, RH2,  
301 WS10, and precipitation. Figure 2 shows the time series of 10-year average monthly and annual  
302 average T2, WS10, RH2, precipitation, O<sub>3</sub>, and PM<sub>2.5</sub> against observational data and IOA statistics.  
303 T2 (Figure 1a) tends to be underpredicted over eastern and western U.S. and overpredicted over  
304 the central U.S. The bias correction method itself may also contribute to the slight biases in T2. A  
305 single temporally averaged (2001 – 2010) NCEP reanalysis file is applied to the 6-hourly BCs for  
306 each individual year, which would in some cases contribute to the biases in the climatological 10-  
307 year evaluation. T2 also tends to be overpredicted during the cooler months but underpredicted  
308 during the warmer months (Figure 2a). While the bar charts in Figure 2 show domain- average  
309 mean observed and mean simulated T2, IOA performance takes into account the proportion of  
310 differences between mean observed and mean simulated values at different sites.

311 The model performance in terms of IOA for T2 is slightly worse during the warmer months  
312 as compared to the cooler months; however, IOA values for all months are  $\geq 0.9$ . The poorer IOA  
313 statistics for the warmer months are possibly influenced to a certain extent by the fact that the IOA  
314 tends to be more sensitive towards extreme values (when temperatures are maximum) due to the  
315 squared differences used in calculating IOA (Legates and McCabe, 1999). As shown in Figures 1b  
316 and 2b, the spatial distributions of MBs for RH2 follow closely the spatial distributions of MBs  
317 for T2, where T2 is underpredicted, RH2 is overpredicted and vice versa. Unlike T2, the IOA for  
318 RH2 is the highest during the warmer months and the lowest during the winter months, but IOA  
319 for RH2 is generally high ( $> 0.7$ ) for all months. WS10 is also generally overpredicted along the

320 coast, over eastern U.S. and some portions over the western U.S. (Figure 1c), consistent with  
321 overpredictions of T2 over the coast, and partially due to unresolved topographical features. In this  
322 case the topographic correction for surface winds used to represent extra drag from sub-grid  
323 topography (Jimenez and Dudhia, 2012) is used as an option in the 10-yr WRF/Chem simulations;  
324 however, WS10 is still overpredicted except for the areas of flat undulating land in the central U.S.  
325 Jimenez and Dudhia (2012) also suggested that the grid points nearest to the observational data  
326 might not be the most appropriate or most representative, and that the selection of nearby grid  
327 points can help to reduce errors in surface wind speed estimations. In this study, as the evaluation  
328 is conducted over the whole CONUS, the nearest grid points are used for evaluation, which could  
329 also result in errors in wind speed evaluation. The positive T2 and WS10 bias along the coast could  
330 be due to the fact that the model grids for temperatures and wind speeds are located over the ocean,  
331 however, the observation points are located slightly inland. As shown in Figure 2, WS10 performs  
332 well on average for the months of April, May, and June, and is overpredicted for the other months.  
333 Nonetheless the climatological NMB for WS10 overall is low at 7.7% (Table 2). WS10 has higher  
334 IOA values during the spring months and the lowest IOA during the summer months and in  
335 November. The model performs relatively well in predicting WD10 variability with a Corr of 0.6,  
336 indicating overall a more southerly direction domain-wide predicted by the model compared to  
337 observations. Precipitation is overpredicted for all months except for June, especially during the  
338 summer months of July to August. Even with the inclusion of radiative feedback effects from the  
339 subgrid-scale clouds in the radiation calculations, precipitation is still overpredicted with the Grell  
340 3D scheme, which is consistent with the results shown by Alapaty et al. (2012). Precipitation  
341 mainly has lower IOAs during the summer compared to other months, except in June which  
342 actually exhibits the largest IOA of all months. Even though June is considered a summer month,



343 it does not show overprediction in precipitation compared to the other summer months. It is  
344 possible that in June, the overall atmospheric moisture content is low. This is consistent with  
345 simulated RH2 as June is the only month where RH2 is underpredicted compared to observations.

346 In general the model is able to reproduce the monthly trends in meteorological variables;  
347 for example, the predicted trend in T2 closely follows the observed trends by NCDC. The observed  
348 RH2 decreases from January to a minimum in April, and then increases from April to December.  
349 Although the model predicts a similar pattern in RH2, there is a lag in the RH2 minimum occurring  
350 two months later in June (Figure 2b). For WS10, the observation peaks in April, as compared to  
351 the simulated peak in March. The model correctly predicts the observed WS10 minimum occurring  
352 in August. The model trend in precipitation is similar to observations, except during the summer  
353 months of July through September, where a large overprediction leads to a sharp increase in July,  
354 followed by a gradual decrease through December.

355 Figures 2e – 2h show the annual time series trends for T2, RH2, WS10, and precipitation.  
356 The model performs relatively well in predicting the annual mean T2 for most years (with MBs of  
357  $< 0.5$  °C; Figure 2e). T2 also does not show an obvious decreasing or increasing T2 trend between  
358 2001 and 2010. The IOA for annual T2 for all years are  $> 0.95$ . However for 2002, mean simulated  
359 T2 is  $\sim 0.7$  °C higher than the observational data. IOA is still high for 2002 which indicates  
360 probably good performance of T2 at most sites, however with large overpredictions at a few sites  
361 which could skew the mean observed and mean simulated value but not influence IOA  
362 significantly. RH2 is consistently overpredicted by the model with the largest overprediction in  
363 2009. With the exception of 2009, observed RH2 is rather steady (65 – 70 %) from 2001 to 2010.  
364 IOA is also steady for RH2, except for 2009. As mentioned earlier, WRF tends to overpredict  
365 WS10 in general. Figure 2g shows that observations indicate weaker wind speeds from 2001 to

366 2007. Model performance is better from 2007 to 2010 with higher IOAs compared to previous  
367 years. WRF has worse performance especially at weaker wind speeds as is the case from 2001 to  
368 2007. Model performance for precipitation is more variable year-to-year, with IOAs ranging from  
369 0.4 to 0.7; however, there is a systematic positive bias during the 10 year period.

370 Figure 3 shows the probability ~~distributions~~distribution functions (PDFs) of T2, RH2,  
371 WS10, and precipitation against NCDC and NADP for 10 years. The observed and simulated  
372 variables are averaged at each site for the 10-year period, and the pairs are then distributed into a  
373 ~~PDF~~probability distribution over 30 bins of observed and simulated values of T2. For T2, the  
374 simulated and observed ~~PDFs~~probability distributions are very similar (Figure 3a), consistent with  
375 the statistics for T2 which shows only a small cold bias. The model overpredicts T2 at sites where  
376 temperatures are very low. The ~~probability distribution curve~~PDF for simulated RH2 is also shifted  
377 to the right of the observed RH2 (Figure 3b), with an observed and modeled peak 74% and 78%  
378 respectively. The ~~probability distribution~~PDF of the bulk of the simulated WS10 is narrower  
379 (between 2 and 6 m s<sup>-1</sup>) compared to that of observed WS10 (between 1 and 7 m s<sup>-1</sup>). The model  
380 thus overpredicts when near-surface wind speeds are low, but underpredicts when wind speeds are  
381 very high. This suggests that the surface drag parameterization is still insufficient to help predict  
382 low wind speeds; however, it might have contributed to the reduction in the simulated moderately  
383 high wind speeds (Mass, 2012) (In this case, between 4 to 6 m s<sup>-1</sup>). There are also instances where  
384 the model predicts extremely high wind speeds (> 8 m s<sup>-1</sup>), which are also not seenfound in the  
385 observed data. The ~~probability distribution~~PDF for simulated precipitation against NADP also  
386 shows a shift to the right (which extends beyond 60 mm), consistent with the statistics for  
387 overpredicted precipitation and also with the ~~probability curve~~PDF of RH2. Nasrollahi et al. (2012)  
388 examined 20 combinations of microphysics and cumulus parameterization schemes available in

389 WRF and found that most parameterization schemes overestimate the amount of rainfall and the  
390 extent of high rainfall values. In this study, while Grell 3D Ensemble cumulus parameterization  
391 contributes in part to the overpredictions of precipitation, most overpredictions occur at high  
392 thresholds as shown in Figure 3 (d) and they are attributed to possible errors in the Morrison two  
393 moment scheme because the overpredictions of non-convective precipitation dominate the  
394 overpredictions of total precipitation.

## 395 **3.2 Chemical Predictions**

### 396 **3.2.1 Ozone**

397 Table 2 summarizes the statistics for major chemical species. The model overpredicts  
398 hourly O<sub>3</sub> mixing ratios on average against the Aerometric Information Retrieval System (AIRS)  
399 – Air Quality System (AQS) with an NMB of 9.7% and an NME of 22.4%, but underpredicts O<sub>3</sub>  
400 mixing ratios against the Clean Air Status and Trends Network (CASTNET) with an NMB of -  
401 8.8% and an NME of 19.8%. The O<sub>3</sub> mixing ratios are overpredicted at AIRS-AQS sites for all  
402 climatological months except for April and May (Figure 4a) but underpredicted at CASTNET sites  
403 for all months except for October with the largest underpredictions occurring in April and May  
404 where IOA statistics are the lowest (Figure 4b). IOA statistics for all climatological months range  
405 from 0.5 to 0.6 for AIRS-AQS and from 0.4 to 0.9 for CASTNET. In general, IOA values tend to  
406 be higher for CASTNET compared to AIRS-AQS during the fall and winter months of October to  
407 March. The IOA values for AIRS-AQS are rather steady on average over the 12 months compared  
408 to CASTNET. This can be attributed to the larger dataset of AIRS-AQS (> 1000 stations)  
409 compared to CASTNET (< 100 stations), the high and low undulations in O<sub>3</sub> averages at the  
410 CASTNET sites tend to be smoothed or averaged out in O<sub>3</sub> averages at the AIRS-AQS sites given  
411 larger AIRS-AQS dataset. The observed data from AIRS-AQS and CASTNET also show the

412 highest monthly O<sub>3</sub> mixing ratios over April and May. This result is consistent with the findings  
413 of Cooper et al. (2014), who reported the highest mass of tropospheric O<sub>3</sub> for the northern  
414 hemisphere in April and May based on the Ozone Monitoring Instrument (OMI) measurements in  
415 2004, which suggested that the column mass of O<sub>3</sub> is not necessarily proportional to nitrogen oxide  
416 (NO<sub>x</sub>) emissions that peak during the summer. In addition, Cooper et al. (2014) attributed a shift  
417 in the seasonal O<sub>3</sub> cycle observed at many rural mid-latitude monitoring sites to emissions  
418 reductions in the U.S. The same study also reported that the summertime O<sub>3</sub> mixing ratios were  
419 lower in eastern U.S. between 2005 and 2010 when compared to previous years, while remaining  
420 relatively constant in spring. Thus the summer O<sub>3</sub> maximum during 2001- 2004 was replaced by  
421 a broad spring/summer peak in 2005 - 2010. Both the observed and simulated O<sub>3</sub> mixing ratios do  
422 not decrease for AIRS-AQS and CASTNET from 2001 to 2010 (Figures 4e and 4f). This is  
423 somewhat consistent with Cooper et al. (2014) which showed that surface and lower tropospheric  
424 O<sub>3</sub> has a decreasing trend over eastern U.S. but an increasing trend over the western U.S. from  
425 1990-1999 to 2010. The predicted annual average O<sub>3</sub> mixing ratios are consistent from 2001 to  
426 2010, with overpredictions and IOAs of ~0.6 at the AIRS-AQS sites, and underpredictions and  
427 IOAs of ~0.6 to 0.8 at the CASTNET sites.

428 Figure 5 shows the ~~probability distributions~~PDFs of maximum 1-hour and 8-hour O<sub>3</sub>  
429 mixing ratios against CASTNET and AIRS-AQS. The ~~probability distributions~~PDF of the  
430 observed and simulated O<sub>3</sub> mixing ratios are very similar. The model is able to simulate the range  
431 and probabilities of O<sub>3</sub> mixing ratios relatively well at both CASTNET and AIRS-AQS sites. At  
432 the CASTNET sites as shown in Figures 5a and b, the model accurately predicts the peak  
433 maximum 1-hour O<sub>3</sub> mixing ratio centered at ~~~60-45 to 50~~ ppb, ~~however, slightly underpredicts and~~  
434 the peak maximum 8-hour O<sub>3</sub> mixing ratio ~~by a few ppb~~ at ~42.5 ppb. At the AIRS-AQS sites as

435 shown in Figures 5c and d, the predicted ~~probability distribution curve~~PDF is slightly shifted to  
436 the right of the observations for both maximum 1-hour and 8-hour O<sub>3</sub> mixing ratios. It is also  
437 interesting to note that the ~~probability distribution~~PDFs for CASTNET and AIRS-AQS are quite  
438 different. CASTNET has a more uniform and normal distribution compared to AIRS-AQS. The  
439 distribution for CASTNET data is also shifted towards lower O<sub>3</sub> mixing ratios. O<sub>3</sub> at the AIRS-  
440 AQS sites has a unimodal normal distribution, while O<sub>3</sub> at the CASTNET sites has a bi-modal  
441 distribution, with a tail of the distribution extending toward lower O<sub>3</sub> mixing ratios (0—20 ppb).  
442 The peak distribution occurs at around 10 ppb, because the O<sub>3</sub> mixing ratios are low at most  
443 CASTNET sites. The second peak at ~60 ppb for CASTNET occurs mainly around the summer  
444 months during which O<sub>3</sub> is produced through photochemistry involving its precursors. These  
445 distributions- differences are attributed to the nature of the sites' locations, where the AIRS-AQS  
446 network includes a mixture of urban, suburban and rural sites, leading to a less-uniform normal  
447 distribution of O<sub>3</sub> mixing ratios centered at relatively higher O<sub>3</sub> mixing ratios, while the CASTNET  
448 network includes mostly rural sites that exhibit a low maximum 1-hour and 8-hour O<sub>3</sub> mixing  
449 ratios, thus leading to a more uniform normal distribution that is heavier with a tail skewed towards  
450 the lower O<sub>3</sub> mixing ratios.

451 Figure 6 shows the diurnal variation of O<sub>3</sub> concentrations and IOA statistics for the four  
452 climatological seasons against CASTNET (Figures a to d) and AIRS-AQS (Figures e to h) (Winter  
453 - January, February and December (JFD); Spring - March, April, and May (MAM); Summer -  
454 June, July, and August (JJA); Fall - September, October, and November (SON). Figure 6a shows  
455 that in more rural sites (CASTNET) in winter O<sub>3</sub> tends to be underpredicted during the morning  
456 (01:00 – 09:00 local standard time (LST)) and evening hours (18:00 – 24:00 LST). However,  
457 Figure 6b shows that in general for all AIRS-AQS sites including urban sites, O<sub>3</sub> is systematically

458 overpredicted for all hours of the day. The diurnal trends for CASTNET and AIRS-AQS are  
459 completely opposite for winter. As CASTNET sites are located in areas where urban influences  
460 are minimal, most of these sites are likely to be NO<sub>x</sub>-limited sites (Campbell et al., 2014).  
461 Underpredicted NO<sub>x</sub> emissions in rural areas can lead to underpredictions in O<sub>3</sub> concentrations in  
462 NO<sub>x</sub>-limited areas. As shown in Figure 2a), T2 is generally overpredicted during the winter  
463 months, which explains the overpredictions in O<sub>3</sub> for most sites against AIRS-AQS. As shown in  
464 Figures 6a, b and c, for CASTNET, the diurnal variations of O<sub>3</sub> in MAM and JJA are similar to  
465 that in JFD. As shown in Figure 6d, slight overpredictions during the daylight hours of 10:00 to  
466 17:00 LST occur in SON at the CASTNET sites, however the trends are similar for morning and  
467 evening hours as compared to the other seasons. Similar to SON at the CASTNET sites, for AIRS-  
468 AQS sites, overpredictions during daylight hours occur in JJA and SON (Figures 6 g and h), and  
469 also to a much lesser extent in MAM (Figure 6f). This is probably due to the overpredictions of  
470 T2, which are the smallest during MAM compared to other months as shown in Figure 2a.

471 Figure 7 compares the spatial distributions of 10-year average of the predicted and  
472 observed hourly O<sub>3</sub> mixing ratios. The O<sub>3</sub> mixing ratios tend to be underpredicted in eastern and  
473 northeastern U.S., where most of the CASTNET sites are located (Figure 7a). This is consistent  
474 with the diurnal trends from Figures 6a to d which also show underpredictions for CASTNET sites.  
475 From Figure 1a, T2 is underpredicted on average over northeastern U.S., which results in  
476 underpredictions in biogenic emissions in the rural areas from MEGAN2. This would in turn  
477 reduce O<sub>3</sub> mixing ratios in VOC-limited areas. O<sub>3</sub> photochemical reactivities would also be  
478 reduced due to reduced T2. O<sub>3</sub> mixing ratios are, however, overpredicted over northwestern U.S.,  
479 and also near the coastline of western U.S. The overprediction of O<sub>3</sub> mixing ratios in northwestern

480 U.S. can be attributed to an overprediction in the chemical BCs from CESM, as indicated by the  
481 high O<sub>3</sub> mixing ratios near the northwestern region of the domain boundary.

### 482 **3.2.2 Particulate Matter**

483 The 10-year average PM<sub>2.5</sub> concentrations are overpredicted with an NMB of 23.3 %  
484 against IMPROVE, and underpredicted with an NMB of -10.8 % against the Speciated Trends  
485 Network (STN) (Table 2). In addition, the IOA trend in Figure 4c shows very good performance  
486 for PM<sub>2.5</sub> against the Interagency Monitoring of Protected Visual Environments (IMPROVE) with  
487 IOA values > 0.8. IOA values for PM<sub>2.5</sub> against STN are high (~ 0.6 – 0.8) during the spring and  
488 summer months, but lower (~ 0.4) during the winter months (Figure 4d). The IMPROVE surface  
489 network covers generally rural areas and national parks while the STN surface network covers  
490 urban sites. The horizontal resolution of 36×36 km<sup>2</sup> used in this study may be too coarse to resolve  
491 the locally high PM<sub>2.5</sub> concentrations at urban sites in STN which are in proximity of significant  
492 point sources, especially during the fall and winter. During these colder seasons, PM<sub>2.5</sub>  
493 concentrations over the U.S. in general tend to be higher due to an extensive use of woodstove and  
494 cold temperature inversions, which trap particulates near the ground (EPA, 2011). As shown in  
495 Table 2, the concentrations of PM<sub>2.5</sub> species such as SO<sub>4</sub><sup>2-</sup>, OC, and TC are overpredicted at the  
496 IMPROVE sites, while the concentrations of the other main PM<sub>2.5</sub> species NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and EC are  
497 underpredicted at both IMPROVE and STN sites. TC concentrations, which are the sum of OC  
498 and EC, are overpredicted due to larger overpredictions of OC compared to the underpredictions  
499 of EC. The model also simulates both primary organic aerosol (POA) and secondary organic  
500 aerosol (SOA). OC is calculated as the sum of POA and SOA divided by the ratio of OA/OC,  
501 which is assumed to be a constant of 1.4 (Aitken et al., 2008). This calculation of OC using a  
502 constant of 1.4 is an approximation, which is subject to uncertainties when comparing simulated

503 OC against observational data, as the ratio of OA/OC can be different in different environments  
504 (Aitken et al., 2008).

505 As shown in Table 2, at the STN sites, the model slightly overpredicts the concentrations  
506 of  $\text{SO}_4^{2-}$ , while underpredicting those of  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , and EC. The overpredictions of  $\text{SO}_4^{2-}$  are  
507 likely due to the uncertainties that arise from processing of the RCP  $\text{SO}_2$  emissions. The RCP  $\text{SO}_2$   
508 emissions are only available as a total emission flux, and they are not vertically distributed to the  
509 important point sources such as furnaces and stacks. In this work, two steps are taken to resolve  
510 the RCP elevated  $\text{SO}_2$  emissions in each emission layer. First, a set of factors are derived from the  
511 fraction of the elevated emissions in each layer to the vertical sum of emissions for NEI used by  
512 default in the SMOKE model with the NEI data. Second, these factors are applied to the total RCP  
513 emissions to obtain  $\text{SO}_2$  emissions in each emission layer. The total RCP  $\text{SO}_2$  emissions were  
514 higher than the total NEI emissions, resulting in higher surface and elevated  $\text{SO}_2$  emissions.  
515 Figures 4g and 4h compare the modeled annual average time series for  $\text{PM}_{2.5}$  against IMPROVE  
516 and STN observations, respectively. In general, the model performs well for  $\text{PM}_{2.5}$  at the  
517 IMPROVE (IOA > 0.8) and STN (IOA ~ 0.5 – 0.7) sites. A declining trend in  $\text{PM}_{2.5}$  observed and  
518 simulated concentrations are also observed over the years. For the later years (2007 to 2010), the  
519 model performs significantly better against IMPROVE compared to STN. As 2010 NEI emissions  
520 are used for the years 2007 to 2010, there are not many variations in the simulated  $\text{PM}_{2.5}$   
521 concentrations over these 4 years.

522 Figures 7 and 8 show the spatial plots of 10-yr average of simulated 24-hour average ,  
523  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$ , and  $\text{PM}_{2.5}$  species concentrations, overlaid with observations from both STN and  
524 IMPROVE. The underpredictions of  $\text{PM}_{10}$  are dominated by an underprediction in the wind-blown  
525 dust emissions, especially in western U.S. (Figure 7b). This is confirmed in Table 2, which shows



526 an MB of  $-11.5 \mu\text{g m}^{-3}$  and an NMB of  $-51.2\%$  against  $\text{PM}_{10}$  observations at AIRS-AQS sites. The  
527 observational data indicate the elevated concentrations of dust over portions of Arizona and  
528 California ( $> 50 \mu\text{g m}^{-3}$ ), which are not reproduced by the simulations (the simulated  
529 concentrations are much lower,  $< 20 \mu\text{g m}^{-3}$ ). The AER/AFWA dust module (Table 1) does not  
530 produce sufficient dust in this case, even though WS10 is overpredicted and is proportional to the  
531 dust emissions. The sea-salt emission module by Gong et al. (1997), however, seems to produce a  
532 reasonable amount of sea-salt as shown by the similar concentrations between simulated and  
533 observational data for  $\text{PM}_{10}$  near the coastlines. In addition, the MADE/VBS module in  
534 WRF/Chem does not explicitly simulate the formation/volatilization of coarse inorganic species.  
535 The coarse inorganic species are available, however, in the emissions and are transported and  
536 deposited in a manner that is similar to non-reactive tracers.

537 The model performs well for  $\text{PM}_{2.5}$  over eastern U.S. (Figure 7c), where modeled  
538 concentrations are close to the observations; however, over the western U.S. there are  
539 underpredictions in  $\text{PM}_{2.5}$ , especially in central to southern California. Even though Table 2 shows  
540 in general an overprediction of  $\text{SO}_4^{2-}$  against STN sites, the model underpredicts  $\text{SO}_4^{2-}$  in regions  
541 of elevated  $\text{SO}_4^{2-}$  concentrations, in particular, where concentrations are above  $10 \mu\text{g m}^{-3}$  in the  
542 vicinity of significant point sources of  $\text{SO}_2$  and  $\text{SO}_4^{2-}$  over eastern U.S. (Figure 7d). This is likely  
543 due to the coarse resolution ( $0.5^\circ \times 0.5^\circ$ ) of RCP emissions, which probably results in a general  
544 overprediction of  $\text{SO}_2$  emissions over a grid but cannot resolve point sources smaller than the grid  
545 resolution. A similar pattern is found for  $\text{NH}_4^+$  over eastern U.S. due to underpredictions of high  
546 concentrations of  $\text{SO}_4^{2-}$  (Figure 8a). There are also large underpredictions in  $\text{NH}_4^+$  over the western  
547 U.S. The underpredictions in  $\text{NH}_4^+$  are likely due to underpredictions of  $\text{NH}_3$  emissions from RCP.  
548 The  $\text{NH}_3$  emissions from RCP are much lower than those of NEI emissions over western U.S., by

549 more than a factor of 5, especially over portions of California. Large underpredictions occur over  
550 both eastern and western U.S. for  $\text{NO}_3^-$ , EC, and TC (Figures 8b, c, and d). The underpredictions  
551 in  $\text{NO}_3^-$  are more likely influenced by the underpredictions of  $\text{NH}_4^+$  rather than  $\text{NO}_x$  emissions.  
552  $\text{NO}_x$  emissions for NEI are higher than those of RCP for a number of point sources, however, in  
553 general RCP has higher  $\text{NO}_x$  emissions. Other possible reasons for the underpredictions of  $\text{NO}_3^-$   
554 concentrations include both prediction and measurement errors associated with  $\text{SO}_4^{2-}$  and  $\text{TNH}_4$   
555 that can greatly affect the performance of  $\text{NO}_3^-$ , inaccuracies in the assumptions used in the  
556 thermodynamic model (e.g., the assumption that inorganic ions are internally mixed and the  
557 equilibrium assumption might not be representative, especially for particles with larger diameters),  
558 as well as inaccuracies in T2 and RH predictions (Yu et al., 2005). The statistics for IMPROVE  
559 TC indicate overpredictions; however the statistics for STN TC indicate larger underpredictions  
560 with an MB of  $-2.0 \mu\text{g m}^{-3}$ , which would explain the large underpredictions in  $\text{PM}_{2.5}$  concentrations  
561 over western U.S. The large underpredictions are in part impacted by uncertainties in emissions as  
562 well as due to uncertainties in the precursor gas emissions for these species, especially for TC. The  
563 RCP emissions of EC and POA are lower when compared to those of NEI. NEI emissions have a  
564 higher spatial resolution, and thus more adequately represent the emissions from point sources  
565 compared to RCP. The underpredictions of TC are also more likely due to underpredictions in EC  
566 as compared to OC, as shown in underpredictions of EC by Figure 8c. As T2 is slightly  
567 underpredicted, these could have resulted in underpredictions in isoprene and terpene, which are  
568 major gas precursors of biogenic SOA, resulting in lower SOA and OC concentrations. In addition,  
569 the emissions of anthropogenic VOC species from RCP which are also of a lower spatial resolution  
570 compared to their emissions in the NEI tend to also be lower than NEI levels especially at point  
571 sources. The underpredictions for these particulate species, especially for water-soluble species

572 including  $\text{NH}_4^+$  and  $\text{NO}_3^-$  are also likely impacted by overpredictions in precipitation (Figure 2d),  
573 which leads to an overprediction in their wet deposition rates and thus a reduction of their ambient  
574 concentrations. The overpredictions in WS10 also help contribute to the deposition of  $\text{PM}_{2.5}$  and  
575  $\text{PM}_{2.5}$  species onto the ground (Sievering et al., 1987).

### 576 **3.3 Aerosol, Cloud, and Radiation Predictions**

577 There are uncertainties in the satellite retrievals of various aerosol-cloud-radiation  
578 variables from the Clouds and the Earth's Radiant Energy System (CERES) and the Moderate  
579 Resolution Imaging Spectroradiometer (MODIS). Loeb et al. (2009) reported that the major  
580 uncertainties of the top of atmosphere radiative fluxes from CERES are derived from instrument  
581 calibration (with a net error of  $4.2 \text{ W m}^{-2}$ ), and the assumed value of  $1 \text{ W m}^{-2}$  for total solar  
582 irradiance. However, there is good correlation ( $R > 0.8$ ) between the model and CERES for the  
583 radiation variables SWDOWN, GSW, and GLW, which are all measured at the surface (Table 2).  
584 Modeled OLR at the top of the atmosphere also has relatively good correlation ( $R \sim 0.6$ ).  
585 SWDOWN and GLW are both slightly overpredicted due to influences from biases in PM  
586 concentrations and clouds, but GSW and OLR are slightly underpredicted.

587 The overpredictions of the surface radiation variables are also impacted by the  
588 underpredictions in AOD and COT. AOD is underpredicted with an NMB of -24.0%, and COT is  
589 underpredicted with an NMB of -44.3%. These underpredictions indicate that less radiation is  
590 attenuated (i.e., absorbed or scattered) or reflected while traversing through the atmospheric  
591 column and clouds, thus allowing more radiation to reach the ground. Using the CESM model, He  
592 et al. (2015) also showed underpredictions in AOD and COT over CONUS against MODIS  
593 satellite retrievals. Figure 9 compares the spatial distributions of the 10-year average predictions  
594 of AOD (a and b) against the satellite retrieval data from MODIS. The simulated AODs show

595 relatively large values over eastern U.S., due to the relatively higher PM concentrations in this  
596 region of the U.S. The MODIS AOD, however, shows slightly elevated values over eastern U.S.,  
597 but the magnitudes are not as high as the simulated AOD over eastern U.S. MODIS-derived AOD  
598 is also higher over western U.S. compared to eastern U.S., and this trend is not found in the  
599 simulated AOD. The differences between the MODIS AOD and the simulated AOD are likely due  
600 to the differences in the algorithms used to retrieve AOD based on MODIS measurements and  
601 calculate AOD in WRF/Chem. For MODIS, AOD is calculated by matching the spectral  
602 reflectance observations with a lookup table based on a set of aerosol parameters including the  
603 aerosol size distributions from a variety of aerosol models, which differ based on seasons and  
604 locations (Levy et al., 2007). There are also different algorithms for dark land, bright land, and  
605 over oceans (Levy et al., 2013). The MODIS data are aggregated into a global 1° gridded (Level-  
606 3) dataset with monthly (MOD08\_M3) temporal resolution  
607 ([https://www.earthsystemcog.org/site\\_media/projects/obs4mips/TechNote\\_MODIS\\_L3\\_C5\\_Aer](https://www.earthsystemcog.org/site_media/projects/obs4mips/TechNote_MODIS_L3_C5_Aerosols.pdf)  
608 [osols.pdf](https://www.earthsystemcog.org/site_media/projects/obs4mips/TechNote_MODIS_L3_C5_Aerosols.pdf)). The inaccuracies for the calculation of AOD in WRF/Chem include biases in aerosol  
609 size distribution, aerosol composition, aerosol water content, and reflectances. They can also arise  
610 from parameterizations in the calculations including the assumption of an internally-mixed aerosol  
611 composition. Therefore, caution should also be taken when comparing simulated AOD with the  
612 satellite-derived AOD products. Toth et al. (2013) compared Aqua MODIS AOD products over  
613 the mid to high latitude Southern Ocean where a band of enhanced AOD is observed, to cloud and  
614 aerosol products produced by the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP)  
615 project; and AOD data from the Aerosol Robotic Network (AERONET) and the Maritime Aerosol  
616 Network (MAN). They concluded that the band of enhanced AOD is not detected in the CALIOP,  
617 AERONET, or MAN products. The enhanced AOD band is attributed to stratocumulus and low

618 broken cumulus cloud contamination, as well as the misidentification of relatively warm cloud  
619 tops compared with surrounding open seas.

620 Figure 9 also shows spatial distributions of the 10-year average predictions of CDNC (c  
621 and d), CWP (e and f), and COT (g and h), compared against the satellite retrieval data from  
622 MODIS. The cloud variables CDNC, CWP, and COT tend to be underpredicted for most of the  
623 regions over the U.S. However, CWP is largely overpredicted over the Atlantic ocean. This is also  
624 likely due to the build-up of moisture over the Atlantic ocean, also influencing precipitation as  
625 mentioned previously. CDNC is overpredicted over some regions in eastern U.S., but there are  
626 also relatively large areas of underpredictions over both the land and ocean. This leads to an  
627 average domain-wide underprediction for CDNC (Table 2). This is likely due to the differences in  
628 deriving CDNC in the model and in the satellite retrievals. CDNC in the model is calculated based  
629 on the activation parameterization by Abdul Razzak and Ghan (2000) based on the aerosol size  
630 distribution, aerosol composition, and the updraft velocity. The MODIS-derived CDNC from  
631 Bennartz (2007) is calculated based on cloud effective radius and COT, which would explain the  
632 differences in spatial patterns between model and observed data. As indicated by Bennartz (2007),  
633 the errors in CDNC can be up to 260%, especially for regions with low CF ( $< 0.1$ ). The model and  
634 MODIS spatial patterns are similar for CWP and COT over land, although the model values are  
635 underpredicted. King et al. (2013) reported that the MODIS retrieval of cloud effective radius  
636 when compared to in-situ observations is overestimated by 13% on average. Combined with  
637 overestimations in COT, this leads to overestimation of liquid water path. In addition, there can  
638 also be differences in satellite-derived cloud products from different satellites. For example, Shan  
639 et al. (2011) showed that the derived CLDFRA from MODIS and another satellite, the Polarization  
640 and Directionality of Earth Reflectances (POLDER) can differ with a global average of 10%.

641 Figure 10 shows similar spatial plots for modeled versus CERES derived SWDOWN,  
642 OLR, SWCF, and LWCF. We note that modeled SWCF is calculated based on the differences  
643 between the net cloudy sky and net clear sky shortwave radiation at the top of atmosphere, which  
644 in turn are dependent on cloud properties including the CLDFRA, COT, cloud asymmetry  
645 parameter, and cloud albedo. It is possible that due to the overprediction of CLDFRA, the  
646 magnitudes of the simulated SWCF are greater than those from CERES (Figures 10c and 10g),  
647 even though the other cloud variables are underpredicted. LWCF is calculated based on the  
648 differences in clear-sky OLR and cloudy-sky OLR, which in turn are dependent on CLDFRA,  
649 COT, and absorbance and radiance due to atmospheric gases. The underprediction of total-sky  
650 OLR (Table 2 and Figures 10b and 10f) leads to an overprediction in LWCF. SWCF is largely  
651 overpredicted over eastern U.S. and especially over the Atlantic ocean (Figures 10c and 10g).  
652 LWCF is also overpredicted by the model in similar locations as SWCF, such as in southeastern  
653 U.S., and over the ocean in the eastern portion of the domain (Figures 10d and 10h). This is further  
654 confirmed by the underpredictions in SWDOWN over the Atlantic ocean and in general over the  
655 eastern portion of the domain, as increased clouds (as a consequence of overpredicted AOD, CWP  
656 and COT) and SWCF lead to less SWDOWN reaching the ground (Figures 10a and 10e) which  
657 also eventually leads to a reduction in the OLR also over the eastern portion of the domain. The  
658 larger negative SWCF and positive LWCF in the model compared to CERES, however, lead to an  
659 overall good agreement with CERES for the net cloud forcing (SWCF + LWCF; not shown). The  
660 mean bias for SWCF against CERES of  $7.8 \text{ W m}^{-2}$  and that for LWCF against CERES of  $6.9 \text{ W}$   
661  $\text{m}^{-2}$  are comparable to the results from the CMIP5 models of  $-10$  to  $10 \text{ W m}^{-2}$  over CONUS region  
662 (Figure 9.5 in Flato et al., 2013). The evaluation of 10-year averaged predictions of aerosol-cloud-  
663 radiation variables is similar to the results from the WRF/Chem simulations in 2006 and 2010 by

664 Yahya et al. (2014 and 2015). For example WRF/Chem generally performs well for cloud fraction  
665 but AOD, CDNC, CWP and COT are underpredicted in both studies, which possibly indicate  
666 consistent biases for every year contributing to climatological biases.

#### 667 **4. Summary and Conclusions**

668 Overall, the model slightly underpredicts T2 with a mean bias of  $\sim -0.3$  °C, which is  
669 consistent or better than other studies based on chemical transport models and regional climate  
670 models. The underpredictions in T2 correlate to the overpredictions in RH2. WS10 biases are  
671 likely due to issues with unresolved topography or due to inaccuracies in the selection of  
672 representative grid points. There are seasonal biases in precipitation, where overpredictions tend  
673 to occur largely over the summer months; however, precipitation is overpredicted every year  
674 between 2001 and 2010 likely due mainly to uncertainties in WRF cumulus and microphysics  
675 parameterizations. In particular, the use of a different cumulus parameterization scheme, e.g.,  
676 based on the MSKF available in WRF/Chem version 3.7 or newer has been shown in the sensitivity  
677 study to significantly reduce precipitation biases. Other factors contributing to the precipitation  
678 bias include the use of bias-corrected CESM\_NCSU data (instead of NCEP reanalysis data), and  
679 the use of an reinitialization frequency of 1-month. A satisfactory model performance for  
680 meteorological variables is important and necessary when simulating future years, as data  
681 evaluation is not possible. Meteorological variables such as temperature, humidity, wind speed  
682 and direction, PBL height, and radiation have a strong impact on chemical predictions, and thus  
683 are critical to the satisfactory model performance when predicting chemical variables such as O<sub>3</sub>  
684 and PM<sub>2.5</sub>. Biases in O<sub>3</sub> and PM<sub>2.5</sub> concentrations can be attributed to biases in any of the  
685 meteorological and chemical variables. The model performs generally well for radiation variables,  
686 as well as for the main chemical species such as O<sub>3</sub> and PM<sub>2.5</sub>, which indicates that the processed

687 RCP 8.5 emissions are reasonably accurate to produce acceptable results for the concentrations of  
688 chemical species.

689 Modeled O<sub>3</sub> mixing ratios at the CASTNET sites are slightly underpredicted, but are  
690 slightly overpredicted at AIRS-AQS sites, in part due to the fact that the CASTNET sites are  
691 classified as rural, while the AIRS-AQS sites are classified as both urban and rural. O<sub>3</sub> mixing  
692 ratios at the AIRS-AQS sites tend to be overpredicted during the colder fall and winter seasons,  
693 and annually, O<sub>3</sub> mixing ratios are overpredicted every year from 2001 to 2010. O<sub>3</sub> mixing ratios  
694 at the CASTNET sites are underpredicted for all climatological months, while the largest  
695 underpredictions are observed from January to May. However, on a decadal time scale,  
696 WRF/Chem adequately represents the different O<sub>3</sub> ~~probability distribution~~PDFs at the AIRS-AQS  
697 and CASTNET sites. This study also showed that peak O<sub>3</sub> mixing ratios are observed over April  
698 and May rather than June to August, which is consistent with Cooper et al. (2014) who attributed  
699 this to emission reductions and opposite trends in O<sub>3</sub> mixing ratios over eastern and western U.S.  
700 over the last 20 years. Modeled PM<sub>2.5</sub> concentrations tend to be overpredicted at the IMPROVE  
701 sites but underpredicted at the STN sites. PM<sub>2.5</sub> at the IMPROVE sites tend to be underpredicted  
702 in spring and summer but overpredicted in fall and winter, while PM<sub>2.5</sub> concentrations against STN  
703 are persistently underpredicted for all climatological months. The IMPROVE and STN sites are  
704 classified as rural and urban, respectively. Due to the relatively coarse horizontal resolution of the  
705 model (36 × 36 km), the model is unable to capture the locally higher PM<sub>2.5</sub> concentrations at the  
706 STN sites. In general, however, the model performs relatively well for total PM<sub>2.5</sub> concentrations  
707 at the IMPROVE and STN sites with NMBs of within ±25%, although larger biases exist for PM<sub>2.5</sub>  
708 species. Model performance for PM<sub>10</sub> should be improved, as PM<sub>10</sub> also has important impacts on  
709 climate through influencing the radiative budget both directly and indirectly due to its larger size



710 and higher concentrations. The choice of observational networks for model evaluation are  
711 therefore important as both networks can show positive and negative biases depending on the type  
712 and location of the sites (e.g., O<sub>3</sub> against AIRS-AQS and CASTNET, and PM<sub>2.5</sub> against STN and  
713 IMPROVE). The major uncertainties lie in the predictions of cloud-aerosol variables. As  
714 demonstrated in this study, large biases and error in simulating cloud variables even in the most  
715 advanced models such as WRF/Chem, indicating a need for future improvement in relevant model  
716 treatments such as cloud dynamics and thermodynamics, as well as aerosol-cloud interactions. In  
717 addition, there are large uncertainties in satellite retrievals of cloud variables for evaluation. In this  
718 study, most of the cloud-aerosol variables including AOD, COT, CWP, and CDNC are on average  
719 underpredicted across the domain; however, the overpredictions of cloud variables including COT  
720 and CWP over the Atlantic ocean and eastern U.S. lead to underpredictions in radiation and  
721 overpredictions in cloud forcing, which are important parameters when simulating future climate  
722 change.

723 In summary, the model is able to predict O<sub>3</sub> mixing ratios and PM<sub>2.5</sub> concentrations  
724 relatively well with regards to decadal scale air quality and climate applications. The model is able  
725 to predict meteorological variables satisfactorily and with results comparable to RCM and GCM  
726 applications from literatures. Possible reasons behind the chemical and meteorological biases  
727 identified through this work should be taken into account when simulating longer climatological  
728 periods and/or future years. Aerosol-cloud-radiation variables are important for climate  
729 simulations, the performance of these variables are not as good as that of the chemical and  
730 meteorological variables. They contain consistent biases in single-year evaluations of WRF/Chem.  
731 However, magnitudes of biases for SWCF and LWCF are comparable to those from literature,  
732 which suggests that model improvements should be made in terms of bias correction of

733 downscaled ICs/BCs as well as aerosol-cloud-radiation parameterizations in the model. In  
734 addition, having consistent physical and chemical mechanisms between the GCM and RCMs could  
735 help to reduce uncertainties in the results (Ma et al., 2014). Although CESM and WRF/Chem use  
736 similar chemistry and aerosol treatments in this work, they use somewhat different physics  
737 schemes which may contribute to such uncertainties. The development of scale-aware  
738 parameterizations that can be applied at both global and regional scales would help reduce  
739 uncertainties associated with the use of different schemes for global simulations and downscaled  
740 regional simulations.

741

#### 742 **Code and Data Availability**

743 The WRF/Chem v3.6.1 code used in this paper will be available upon request. However,  
744 we highly encourage users to download the latest available version of the WRF/Chem code from  
745 NOAA's web site at [http://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](http://www2.mmm.ucar.edu/wrf/users/download/get_source.html). The  
746 updates in our in-house version of WRF/Chem v3.6.1 has been implemented into WRF/Chem  
747 v3.7 and WRF/Chem v3.7.1 for scientific community release. The WRF/Chem v3.7 and  
748 WRF/Chem v3.7.1 codes are now publicly available at  
749 [http://www2.mmm.ucar.edu/wrf/users/download/get\\_source.html](http://www2.mmm.ucar.edu/wrf/users/download/get_source.html). These latest versions of the  
750 source codes contain all major changes in the standard version of WRF/Chem v3.6.1 used in for  
751 this study. In addition, they have been rigorously tested for compatibility and compiling issues  
752 on various platforms. The inputs including the meteorological files, meteorological initial and  
753 boundary conditions, chemical initial and boundary conditions, model set-up and configuration,  
754 and the namelist set-up, and instructions on how to run the simulations for a 1-day test case, as  
755 well as a sample output for 1-day test can be provided upon request.

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