

Reply to the second round comments from the Reviewer 2

Reviewer Comments:

The authors reacted to all the points raised in the first review report and changed the manuscript accordingly. I am satisfied with their changes. Nevertheless, reading the revised manuscript I would like the authors to add / change some more things:

Reply:

We thank the reviewer for careful review of our revised manuscript and valuable comments to improve the quality of manuscript.

We have carefully addressed all the comments raised by the reviewer to further improve the presentation quality and organization of our paper. Please see below our point-by-point replies. All line numbers correspond to those in the revised version in the track mode.

1) Please add the update frequency of meteorological and chemical boundary conditions. From your replies to the first referee report I understood that the chemical boundary conditions are monthly averages. Nevertheless, I did not find any information about the update frequencies in the paper.

Reply:

The update frequency of meteorological and chemical boundary conditions. This information has actually already been included in the paper in Page 5, lines 112 – 113 as follows: “Considering the decadal applications of WRF/Chem in this work which is much longer than many past WRF/Chem applications, the simulations are reinitialized monthly...”

2) line 202/203: Note: this statement presumes that the cause for the biases stays the same in future.

Reply:

Yes, this is correct. This is an assumption that is made for future year simulations. To address the reviewer’s comment, we’ve explicitly indicated this assumption, see lines 210-212, page 10.

3) The abbreviation NCDC is used in line 215, but introduced in line 339, please explain abbreviations at their first occurrence (By the way NMB is used in the abstract without introduction)

Reply:

The introduction for NCDC has been moved to line 231 when it is first mentioned in the main text. NMB in the abstract is introduced in Page 1, line 23.

4) l. 250: NorathEast of what?

Reply:

This has been changed to northeastern U.S., se line 267-268, page 12.

5) I suggest to move the introduction of IOA (currently line 293-301) to Sect. 2.3 where IOA is named for the first time and the rest of evaluation procedure is explained (around line287). As it is, the explanation interrupts the interpretation of T2 behaviour.

Reply:

The introduction of IOA has been moved to Section 2.3, see lines 224-230, pages 10-11

6) l 291/292: I think it is the other way round. The red bars are longer in winter, thus T2 is overpredicted in cooler month.

Reply:

This sentence has been fixed, see lines 308-309, page 14.

7) According to my knowledge the "Code Availability Section" belongs to the main paper, thus the Acknowledgements should be placed behind the Code Availability section.

Reply:

The Acknowledgement section has been placed behind the Code Availability section.

1 **Decadal Evaluation of Regional Climate, Air Quality, and Their Interactions over the**
2 **Continental U.S. using WRF/Chem Version 3.6.1**

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7
8 **ABSTRACT**

9 The Weather Research and Forecasting model with Chemistry (WRF/Chem) v3.6.1 with
10 the Carbon Bond 2005 (CB05) gas-phase mechanism is evaluated for its first decadal application
11 during 2001 - 2010 using the Representative Concentration Pathway (RCP 8.5) emissions to assess
12 its capability and appropriateness for long-term climatological simulations. The initial and
13 boundary conditions are downscaled from the modified Community Earth System
14 Model/Community Atmosphere Model (CESM/CAM5) v1.2.2. The meteorological initial and
15 boundary conditions are bias-corrected using the National Center for Environmental Protection's
16 Final (FNL) Operational Global Analysis data. Climatological evaluations are carried out for
17 meteorological, chemical, and aerosol-cloud-radiation variables against data from surface
18 networks and satellite retrievals. The model performs very well for the 2-m temperature (T2) for
19 the 10-year period with only a small cold bias of -0.3 °C. Biases in other meteorological variables
20 including relative humidity at 2-m, wind speed at 10-m, and precipitation tend to be site- and
21 season-specific; however, with the exception of T2, consistent annual biases exist for most of the
22 years from 2001 to 2010. Ozone mixing ratios are slightly overpredicted at both urban and rural
23 locations with an [Normalized Mean Bias \(NMB\)](#) of 9.7% but underpredicted at rural locations

24 with an NMB of -8.8%. $PM_{2.5}$ concentrations are moderately overpredicted with an NMB of 23.3%
25 at rural sites, but slightly underpredicted with an NMB of -10.8% at urban/suburban sites. In
26 general, the model performs relatively well for chemical and meteorological variables, and not as
27 well for aerosol-cloud-radiation variables. Cloud-aerosol variables including aerosol optical depth,
28 cloud water path, cloud optical thickness, and cloud droplet number concentration are generally
29 underpredicted on average across the continental U.S. Overpredictions of several cloud variables
30 over eastern U.S. result in underpredictions of radiation variables (such as GSW with an MB of -
31 5.7 W m^{-2}) and overpredictions of shortwave and longwave cloud forcing (MBs of ~ 7 to 8 W m^{-2})
32 which are important climate variables. While the current performance is deemed to be acceptable,
33 improvements to the bias-correction method for CESM downscaling and the model
34 parameterizations of cloud dynamics and thermodynamics, as well as aerosol-cloud interactions
35 can potentially improve model performance for long-term climate simulations.

36 **KEYWORDS:** Online-Coupled WRF/Chem; Climate, Air Quality, the Representative
37 Concentration Pathway Scenarios, Climatological Evaluation; Chemistry-Climate Interactions

38 **1. Introduction**

39 Regional atmospheric models have been developed and applied for high resolution climate,
40 meteorology, and air quality modeling in the past few decades. Comparing to global models with
41 a coarser domain resolution (Leung et al., 2003) those regional models have advantages over
42 global models because they can more accurately represent mesoscale variability (Feser et al.,
43 2011), and also better predict the local variability of concentrations of specific species such as
44 black carbon and sulfate (Petikainen et al., 2012). General circulation models (GCMs) and global
45 chemical transport models (GCTMs) are usually downscaled to regional meteorological models
46 such as the Weather Research and Forecasting model (WRF) (Caldwell et al., 2009; Gao et al.,

47 2012), regional climate models such as REMO-HAM (Petikainen et al., 2012), the regional
48 modeling system known as Providing Regional Climates for Impacts Studies (PRECIS) (Jones et
49 al., 2004; Fan et al., 2014), and a number of European models described in Jacob et al. (2007), as
50 well as regional CTMs such as the Community Multiscale Air Quality Model (CMAQ) (Penrod et
51 al., 2014; Xing et al., 2015). These regional models are used for climate/meteorology or air quality
52 simulations. Some are applied for more than ten years (Caldwell et al., 2009; Warrach-Sagi et al.,
53 2013; Xing et al., 2015). However these regional models either lack the detailed treatment of
54 chemistry (e.g., in WRF), or use prescribed chemical concentrations (e.g., REMO-HAM uses
55 monthly mean oxidant fields for several chemical species), or do not have online-coupled
56 meteorology and chemistry (e.g., in CMAQ). In addition, the past regional model simulations and
57 analyses have mainly focused on meteorological parameters such as surface temperature and
58 precipitation, cloud variables such as net radiative cloud forcing, and chemical constituents such
59 as ozone. Regional climate model simulations tend to focus on significant climatic events such as
60 extreme temperatures (very cold or very hot) (Dasari et al., 2014), heat waves, heavy precipitation,
61 drought, and storms (Beniston et al., 2007), rather than the important air quality and climate
62 interactions. In addition, the impacts of complex chemistry-aerosol-cloud-radiation-climate
63 feedbacks on future climate change remain uncertain, and these feedbacks are most accurately
64 represented using online-coupled meteorology and chemistry models (Zhang, 2010; IPCC, 2013).
65 An online-coupled meteorology and chemistry model, however, is more computationally
66 expensive compared to an offline-coupled model (Grell et al., 2004), and thus requires significant
67 computing resources for their long-term (a decade or longer) applications. With rapid increases in
68 the availability of high performance computing resources on the petaflop scale, however, long
69 term simulations using online-coupled models have become possible in recent years. For example,

70 recently, the WRF model has been coupled online to the CMAQ model with the inclusion of
71 aerosol indirect effects to study chemistry and climate interactions (Yu et al., 2014).

72 The online-coupled WRF model with Chemistry (WRF/Chem) has been updated with a
73 suite of physical parameterizations from the Community Atmosphere Model version 5 (CAM5)
74 (Neale et al., 2010) so that the physics in the global CAM5 model is consistent with the regional
75 model for downscaling purposes (Ma et al., 2014). There are also limited applications of dynamical
76 downscaling (Gao et al., 2013) under the new Intergovernmental Panel on Climate Change (IPCC)
77 Fifth Assessment Report's Representative Concentration Pathway (RCP) scenarios (van Vuuren
78 et al., 2011). Gao et al. (2013) applied dynamic downscaling to link the global-climate-chemistry
79 model CAM-Chem with WRF and CMAQ using RCP 8.5 and RCP 4.5 emissions to study the
80 impacts of climate change and emissions on ozone (O₃). Molders et al. (2014) downscaled the
81 Community Earth System Model (CESM) (Hurrell et al., 2013) to drive the online-coupled
82 WRF/Chem model over Southeast Alaska using RCP 4.5 emissions; however, their study did not
83 address the feedback processes between chemistry and meteorology. This study evaluates the
84 online-coupled regional WRF/Chem model, which takes into account gas and aerosol-phase
85 chemistry, as well as aerosol direct and indirect effects. WRF/Chem is used to simulate the
86 "current" climate scenario for 10 years, from 2001 to 2010 using the RCP 8.5 emissions and
87 boundary conditions from an updated version of CESM with advanced chemistry and aerosol
88 treatments over continental U.S. (CONUS) (He et al., 2015; Glotfelty et al., 2015) with a focus on
89 air-quality and climate interactions. Both CESM and WRF/Chem include similar gas-phase
90 chemistry and aerosol treatments. To our best knowledge, this study is the first to report the
91 WRF/Chem simulation, evaluation, and analyses over a period of 10 years (i.e., 2001-2010) to
92 assess if the model is able to accurately simulate decadal long air quality and climatology by taking

93 into account feedback processes between chemistry and meteorology. This study also assesses
94 whether the RCP8.5 emissions for the 10-year period are robust enough to produce satisfactory
95 performance against observations with WRF/Chem.

96 **2. Model Set-up and Evaluation Protocol**

97 **2.1 Model Configurations and Simulation Design**

98 The model used is the modified WRF/Chem v3.6.1 with updates similar to those
99 implemented into WRF/Chem v3.4.1 as documented in Wang et al. (2014). The main updates
100 include the implementation of an extended version of Carbon Bond 2005 (CB05) (Yarwood et al.,
101 2005) gas-phase mechanism with the chlorine chemistry (Sarwar et al., 2007) and its coupling with
102 the Modal for Aerosol Dynamics in Europe/Volatility Basis Set (MADE/VBS) (Ahmadov et al.,
103 2012). MADE/VBS incorporates a modal aerosol size distribution, and includes an advanced
104 secondary organic aerosol (SOA) treatment based on gas-particle partitioning and gas-phase
105 oxidation in volatility bins. The CB05-MADE/VBS option has also been coupled to existing model
106 treatments of various feedback processes such as the aerosol semi-direct effect on photolysis rates
107 of major gases, and the aerosol indirect effect on cloud droplet number concentration (CDNC) and
108 resulting impacts on shortwave radiation. The main physics and chemistry options used in this
109 study as well as their corresponding references can be found in Table 1. The simulations are
110 performed at a horizontal resolution of 36-km with 148×112 horizontal grid cells over the
111 CONUS domain and parts of Canada and Mexico, and a vertical resolution of 34 layers from the
112 surface to 100-hPa. Considering the decadal applications of WRF/Chem in this work which is
113 much longer than many past WRF/Chem applications, the simulations are reinitialized monthly
114 (rather than 1-4 days used in most past WRF/Chem applications to short-term episodes that are on
115 an order of months up to 1-year, e.g., Zhang et al., 2012a, b; Yahya et al., 2014, 2015b) to constrain

116 meteorological fields toward National Centers for Environmental Prediction (NCEP) reanalysis
117 data while allowing chemistry-meteorology feedbacks within the system. As discussed in Sections
118 3.1 and 3.3, the reinitialization frequency of 1-month may be too large to constrain some of the
119 meteorological fields such as moistures, which in turn affect other parameters, and a more frequent
120 reinitialization may be needed to improve the model performance. The impact of the frequency of
121 the reinitialization on simulated meteorological and cloud parameters will be further discussed in
122 Sections 3.1 and 3.2. A list of acronyms used in this paper can be found in Table S1.

123 **2.2 Processing of Emissions and Initial Conditions (ICs)/Boundary Conditions (BCs)**

124 Global RCP emissions are available as monthly-average emissions for 2000, 2005, and for
125 every 10 years between 2010 and 2100, at a grid resolution of $0.5^{\circ} \times 0.5^{\circ}$ (Moss et al., 2010; van
126 Vuuren et al., 2011). The RCP emissions in 2000, 2005, and 2010 are used to cover the 10-year
127 emissions needed for WRF/Chem simulations, i.e., the periods of 2001 – 2003, 2004 – 2006, and
128 2007 – 2010, respectively. Processing global RCP emissions in 2000, 2005, and 2010 into regional,
129 hourly emissions needed for the 10-year WRF/Chem simulations requires essentially three main
130 tasks. These include 1) mapping the RCP species to CB05 speciation used in WRF/Chem; 2) re-
131 gridding the RCP emissions from $0.5 \times 0.5^{\circ}$ grid resolution to the 36×36 km grid resolution used
132 for regional simulation over North America; and 3) applying species and location dependent
133 temporal allocations (i.e., emissions variation over time) to the re-gridded RCP emissions. Table
134 S2 shows the species mapping between RCP species and CB05 species. To map the RCP species
135 to CB05 speciation, some assumptions are made due the relatively detailed speciation required by
136 CB05. Some of the CB05 species are directly available in RCP; however, others are lumped into
137 RCP groups, for example, the “other alkanals” and “hexanes and higher alkanes” in the RCP
138 groups can be considered to approximately represent the acetaldehyde and higher aldehydes

139 emissions required by CB05, respectively (Table S2). For the CB05 species such as ethanol,
140 methanol, internal and terminal olefin carbon bonds in the gas-phase, and elemental and organic
141 carbon in the accumulation mode of the aerosol particles, other RCP groups are used to
142 approximate these emissions (Table S2). For the remaining CB05 species that are not available in
143 RCP (i.e. chlorine, HCl, HONO, NH₄⁺, NO₃⁻, PAR, unspciated PM_{2.5}, H₂SO₄, and SO₄²⁻), their
144 2000 emissions are based on the 2002 National Emission Inventory (NEI) (version 3,
145 <http://www.epa.gov/ttn/chief/emch/>), while the ir 2005 and 2010 emissions are based on the 2008
146 NEI-derived emissions 2008-NEI (version 2) from the Air Quality Modelling Evaluation
147 International Initiative (AQMEII) project as described in Pouliot et al. (2015), with-which include
148 year-specific updates for on/off road transport, wildfires and prescribed fires, and Continuous
149 Emission Monitoring-equipped point sources (~~Pouliot et al., 2014~~). To re-grid the RCP emissions,
150 the RCP rectilinear grid is first interpolated to a WRF/Chem curvilinear grid using a simple inverse
151 distance weighting (NCAR Command Language Function – rgrid2rcm), and a subset of the RCP
152 grid that covers the WRF/Chem CONUS domain is then extracted. To derive a temporal allocation
153 for monthly-averaged RCP emissions, hourly emissions profiles are taken from those used in-
154 house WRF/Chem simulations over CONUS during 2001 (Yahya et al., 2015a), and 2006 and
155 2010 as part of the AQMEII project (Yahya et al., 2014, 2015b). ~~For~~ The emissions for those
156 existing in-house simulations were generated based on the 2002 NEI, the emissions were generated
157 with the Sparse Matrix Operator Kernel Emissions (SMOKE) model version 2.3. The emissions
158 for the existing in-house 2006 and 2010 simulations were generated based on the pre-merged
159 emissions provided by the U.S. EPA, which were derived from the 2008 NEI with year-specific
160 section emissions for 2006 and 2010 as part of the AQMEII. for 2002-NEI and SMOKE version
161 3.4 ~~for 2008 NEI with year-specific sector emissions for 2006 and 2010, which was used to~~ prepare

162 the spatially, temporally, and chemically speciated “model-ready” emissions [for the existing in-](#)
 163 [house 2006 and 2010 WRF/Chem simulations](#). Since NEI is updated and released every three
 164 years, the temporal profiles of emissions used in SMOKE for 2002, 2006 and 2010 are assumed
 165 to be valid for 3-4 years around the NEI years, i.e., 2001-2003, 2004-2006, and 2007-2010,
 166 respectively. The temporal allocations applied to the RCP emissions are therefore based on the
 167 SMOKE model’s profiles for each species and source location, and include non-steady-state
 168 emissions rates (i.e., seasonal, weekday or weekend, and diurnal variability) that are valid for the
 169 entire simulation periods of 2001-2010. Specifically, the hourly re-gridded RCP emission rates
 170 for each species E , or E_{hr}^{RCP} are calculated by

$$171 \quad E_{hr}^{RCP}(t, z, lat, lon) = E_{mon}^{RCP}(z, lat, lon) * \left[\frac{E_{hr}^{WRF}(t, z, lat, lon)}{E_{mon}^{WRF}(z, lat, lon)} \right] \quad (1)$$

172 where E_{mon}^{RCP} , E_{mon}^{WRF} , and E_{hr}^{WRF} represent the original monthly-averaged RCP emissions rates, the
 173 monthly-averaged WRF/Chem emissions rates, and the hourly WRF/Chem emission rates,
 174 respectively, which are valid at each model time t , layer z , and lat and lon grid points. The RCP
 175 elevated source emissions for sulfur dioxide (SO_2), sulfate (SO_4^{2-}), elemental carbon (EC) and
 176 organic carbon (OC) were also incorporated into the model-ready emissions for WRF/Chem using
 177 steps 1) – 3) and Eq. (1) above. Lastly, RCP aircraft source emissions for EC, nitric oxide (NO),
 178 and nitrogen dioxide (NO_2) are directly injected into the closest model layers. No temporal
 179 allocations are applied to the RCP aircraft source emissions.

180 Biogenic emissions are calculated online using the Model of Emissions of Gases and
 181 Aerosols from Nature version 2 (MEGAN2) (Guenther et al., 2006). Emissions from dust are based
 182 on the online Atmospheric and Environmental Research Inc. and Air Force Weather Agency

183 (AER/AFWA) scheme (Jones and Creighton, 2011). Emissions from sea salt are generated based
184 on the scheme of Gong et al. (1997).

185 The chemical and meteorological ICs/BCs come from the modified CESM/CAM5 version
186 1.2.2 with updates by He et al. (2014) and Glotfelty et al. (2015) developed at the North Carolina
187 State University (CESM_NCSU). WRF/Chem and CESM both use the CB05 gas-phase
188 mechanism (Yarwood et al., 2005), however, WRF/Chem includes additional chlorine chemistry
189 from Sarwar et al. (2007), whereas CESM_NCSU uses a modified version of CB05, the CB05
190 Global Extension (CB05GE) by Karamchandani et al. (2012). In addition to original reactions in
191 CB05 and chlorine chemistry of Sarwar et al. (2007), CB05GE includes chemistry on the lower
192 stratosphere, reactions involving mercury species, and additional heterogeneous reactions on
193 aerosol particles, cloud droplets and on polar stratospheric clouds (PSCs). Both WRF/Chem and
194 CESM_NCSU use a modal aerosol size representation, rather than a sectional size representation.
195 While WRF/Chem includes MADE/VBS with 3 prognostic modes (Ahmadov et al.,
196 2012),CESM_NCSU includes the Modal Aerosol Model with 7 prognostic modes (Liu et al., 2012)
197 is used in CESM_NCSU. In addition to similar gas-phase chemistry and aerosol treatments,
198 CESM_NCSU and WRF/Chem use the same shortwave and longwave radiation schemes (i.e., the
199 Rapid and accurate Radiative Transfer Model for GCM (RRTMG)), though they use different
200 cloud microphysics parameterizations, PBL, and convection schemes. As GCMs generally contain
201 systematic biases which can influence the downscaled simulation, the meteorological ICs/BCs
202 predicted by CESM_NCSU are bias corrected before they are used by WRF/Chem using the
203 simple bias correction technique based on Xu and Yang (2012). Temperature, water vapor,
204 geopotential height, wind, and soil moisture variables available every 6 hours from the NCEP Final
205 Reanalyses (NCEP FNL) dataset are used to correct the ICs and BCs derived based on results from

206 CESM_NCSU for WRF/Chem simulations. In this bias-correction approach, monthly
 207 climatological averages for ICs and BCs are first derived from both NCEP and CESM_NCSU
 208 cases. The differences between the ICs and BCs from the NCEP and CESM_NCSU climatological
 209 averages are then added onto the CESM_NCSU ICs and BCs to generate bias-corrected
 210 CESM_NCSU ICs/BCs. Assuming that the causes for the biases remain the same in future, This
 211 this bias correction technique can also be applied to future year simulations where-for which NCEP
 212 FNL data is not available.

213 **2.3 Model Evaluation Protocol**

214 The focus of the model evaluation is mainly to assess whether the model is able to
 215 adequately reproduce the spatial and temporal distributions of key meteorological and chemical
 216 variables as compared to observations on a climatological time scale. A scientific question to be
 217 addressed in this work is, is WRF/Chem sufficiently good for regional climate and air quality
 218 simulations on a decadal scale? A climatological month refers to the average of the month for all
 219 the 10 years. For example, January refers to the average for all the months of January from 2001
 220 to 2010. Statistical evaluations such as mean bias (MB), Pearson’s correlation coefficient (R),
 221 normalized mean bias (NMB), normalized mean error (NME) (The definition of those measures
 222 can be found in Yu et al. (2006) and Zhang et al. (2006)) and Index of Agreement (IOA) ranging
 223 from 0 to 1 (Willmott et al., 1981) for major chemical and meteorological variables are included.

224 IOA can be calculated as,

$$225 \quad IOA = 1 - \frac{\sum_i^N (O_i - S_i)^2}{\sum_i^N (|O_i - \bar{O}| + |S_i - \bar{S}|)^2} \quad (2)$$

226 where O_i and S_i denote time-dependent observations and predictions at time and location i ,
227 respectively, N is the number of samples (by time and/or location), \bar{O} denotes mean observation
228 and \bar{S} denotes mean predictions over all time and locations, they can be calculated as:

$$229 \quad \underline{\bar{O}} = (1/N) \sum_{i=1}^N O_i \quad \underline{\bar{S}} = (1/N) \sum_{i=1}^N S_i$$

230 IOA values range from 0-1, with a value of 1 indicating a perfect agreement.

231 For surface networks with hourly data, e.g., National Climatic Data Center (NCDC), the
232 observational data are paired up with the simulated data on an hourly basis for each site. The
233 observational data and simulated data are averaged out for each site. The statistics are then
234 calculated based on the site-specific data pairs. The satellite-derived data are usually available on
235 a monthly basis, and the simulated data are also averaged out on a monthly basis. The satellite-
236 derived data are regridded to the same domain and number of grid cells similar to the simulated
237 data. The time dimension is removed for the climatological evaluation, the statistics are based on
238 a site-specific average or a grid cell average. The statistics are then calculated based on the paired
239 satellite-derived vs. simulated grid cell values. The spatial and temporal analyses include spatial
240 plots of MB over CONUS, spatial overlay plots of averaged simulated and observational data,
241 monthly climatologically-averaged time series of major meteorological and chemical variables,
242 annual average time series; probability distributions of major meteorological and chemical
243 variables, and spatial plots of major aerosol and cloud variables compared with satellite data. A
244 summary of the observational data from surface networks and satellite retrievals can be found in
245 Table S3. The variables that are analyzed in this study include O₃, particulate matter with diameter
246 less than and equal to 2.5 and 10 μm (PM_{2.5} and PM₁₀, respectively), and PM_{2.5} species including
247 sulfate (SO₄²⁻), ammonium (NH₄⁺), nitrate (NO₃⁻), EC, OC, and total carbon (TC = EC + OC),
248 temperature at 2-m (T2), relative humidity at 2-m (RH2), and wind speed at 10-m (WS10), wind

249 direction at 10-m (WD10), precipitation, aerosol optical depth (AOD), cloud fraction (CLDFRA),
250 cloud water path (CWP), cloud optical thickness (COT), CDNC, cloud condensation nuclei
251 (CCN), downward shortwave radiation (SWDOWN), net shortwave radiation (GSW), downward
252 longwave radiation (GLW), outgoing longwave radiation at the top of atmosphere (OLR), and
253 shortwave and longwave cloud forcing (SWCF and LWCF). While uncertainties exist in all the
254 observational data used, systematic uncertainty analysis/quantification is beyond the scope of this
255 work. In this work, all observational data are considered to be the true values in calculating the
256 performance statistics. The information on the accuracy of most data used in the model evaluation
257 has been provided in Table 2 of Zhang et al. (2012a). Uncertainties associated with some of the
258 observational data are discussed in Section 3.

259 **3. Model Performance Evaluation**

260 **3.1 Meteorological Predictions**

261 Table 2 summarizes the statistics for T2, RH2, WS10, WD10, and precipitation. The model
262 performs very well for a 10-year average T2 with a slight underprediction (an MB of -0.3 °C).
263 This is better or consistent with other studies which tend to report underpredictions in simulated
264 T2. Brunner et al. (2014) reported a range of monthly MBs for T2 of -2 to 1 °C for simulations
265 using a number of CTMs over individual years for 2006 and 2010 with reanalysis meteorological
266 ICs/BCs. Seasonal temperature biases of -1.8 to -2.3 °C were reported from an ensemble of
267 regional climate models (RCMs) for a simulation period of 1971 to 2000 over ~~the N~~northeastern
268 U.S. (Rawlins et al., 2012). He et al. (2015) also showed biases of -3 to 0 °C over CONUS when
269 compared against NCEP reanalysis data. Kim et al. (2013) compared the results of a number of
270 RCMs over CONUS over a climatological period of 1980 to 2003 against Climatic Research Unit
271 (CRU) surface analysis data at a 0.5° resolution and reported T2 biases of -5 to 5 °C. Figure 9.2

272 from Flato et al. (2013) shows that the Coupled Model Intercomparison Project Phase 5 (CMIP5)
273 models tend to underpredict T2 for the period of 1980 to 2005 over western U.S. by up to -3 °C.
274 The slight bias in T2 can be attributed to errors in soil temperature and soil moisture (Pleim and
275 Gilliam, 2009) or errors in the green vegetation fraction in the National Center for Environmental
276 Prediction, Oregon State University, Air Force and Hydrologic Research Lab (NOAH) Land
277 Surface Model (LSM) (Refslund et al., 2013). RH2 and WS10 are slightly overpredicted.
278 Precipitation is largely overpredicted, consistent with overpredictions in precipitation from WRF
279 and WRF/Chem simulations reported in literatures. For example, Caldwell et al. (2009) attributed
280 the overprediction in precipitation to overprediction in precipitation intensity but underprediction
281 in precipitation frequency. Otte et al. (2012) also reported that the precipitation predicted by WRF
282 is too high compared to the North American Regional Reanalyses (NARR) data throughout the
283 whole CONUS domain over a period of 1988 – 2007. Nudging and reinitialization have been most
284 commonly used methods to control such errors. . Three sensitivity simulations are conducted for
285 a summer month (July 2005) to pinpoint likely causes of the precipitation biases. The baseline
286 simulation (**Base**) uses a monthly reinitialization frequency, CESM_NCSU ICs/BCs, and the Grell
287 3D cumulus parameterization. The sensitivity simulations include (1) **Sen1**, which is similar to the
288 Base case except with a 5-day reinitialization period; (2) **Sen2**, which is similar to Base except
289 using NCEP for the meteorological ICs/BCs; and (3) **Sen3**, which is similar to Base except using
290 WRF/Chem v3.7 with the Multi-Scale Kain Fritsch (MSKF) cumulus parameterization, instead
291 of Grell 3D. The differences in configuration setup in those sensitivity simulations are given in
292 Table S4. The evaluation and comparison of the baseline and sensitivity results in July 2005 are
293 summarized in Tables S5 and S6, and Figure S1 in the supplementary material. As shown in Tables
294 S5-S6 and Figure S1, the precipitation bias can be attributed to several factors including the use of

295 Grell 3D cumulus parameterization scheme, the use of bias-corrected CESM_NCSU data (instead
 296 of NCEP reanalysis data), and the use of an reinitialization frequency of 1-month, among which
 297 the first factor dominates the biases in precipitation predictions. The simulated precipitation is
 298 very sensitivity to different cumulus parameterizations. Compared to scale-aware
 299 parameterizations such as the multi-scale Kain-Fritsch (MSKF) cumulus scheme, the Grell 3D
 300 parameterization has a tendency to overpredict precipitation, particularly over ocean.

301 Figure 1 shows the spatial distributions of MB for 10-year average predictions of T2, RH2,
 302 WS10, and precipitation. Figure 2 shows the time series of 10-year average monthly and annual
 303 average T2, WS10, RH2, precipitation, O₃, and PM_{2.5} against observational data and IOA statistics.
 304 T2 (Figure 1a) tends to be underpredicted over eastern and western U.S. and overpredicted over
 305 the central U.S. The bias correction method itself may also contribute to the slight biases in T2. A
 306 single temporally averaged (2001 – 2010) NCEP reanalysis file is applied to the 6-hourly BCs for
 307 each individual year, which would in some cases contribute to the biases in the climatological 10-
 308 year evaluation. T2 also tends to be ~~underpredicted-overpredicted~~ during the cooler months but
 309 ~~overpredicted-underpredicted~~ during the warmer months (Figure 2a). While the bar charts in Figure
 310 2 show domain- average mean observed and mean simulated T2, IOA performance takes into
 311 account the proportion of differences between mean observed and mean simulated values at

312 different sites. ~~IOA can be calculated as,~~

$$313 \quad IOA = 1 - \frac{\sum_i^N (O_i - S_i)^2}{\sum_i^N (|O_i - \bar{O}| + |S_i - \bar{S}|)^2} \quad (2)$$

314 ~~where O_i and S_i denote time-dependent observations and predictions at time and location~~
315 ~~i , respectively, N is the number of samples (by time and/or location), \bar{O} denotes mean observation~~
316 ~~and \bar{S} denotes mean predictions over all time and locations, they can be calculated as:~~

$$317 \quad \bar{O} = (1/N) \sum_{i=1}^N O_i, \quad \bar{S} = (1/N) \sum_{i=1}^N S_i,$$

318 ~~IOA values range from 0-1, with a value of 1 indicating a perfect agreement.~~

319 The model performance in terms of IOA for T2 is slightly worse during the warmer months
320 as compared to the cooler months; however, IOA values for all months are ≥ 0.9 . The poorer IOA
321 statistics for the warmer months are possibly influenced to a certain extent by the fact that the IOA
322 tends to be more sensitive towards extreme values (when temperatures are maximum) due to the
323 squared differences used in calculating IOA (Legates and McCabe, 1999). As shown in Figures 1b
324 and 2b, the spatial distributions of MBs for RH2 follow closely the spatial distributions of MBs
325 for T2, where T2 is underpredicted, RH2 is overpredicted and vice versa. Unlike T2, the IOA for
326 RH2 is the highest during the warmer months and the lowest during the winter months, but IOA
327 for RH2 is generally high (> 0.7) for all months. WS10 is also generally overpredicted along the
328 coast, over eastern U.S. and some portions over the western U.S. (Figure 1c), consistent with
329 overpredictions of T2 over the coast, and partially due to unresolved topographical features. In this
330 case the topographic correction for surface winds used to represent extra drag from sub-grid
331 topography (Jimenez and Dudhia, 2012) is used as an option in the 10-yr WRF/Chem simulations;
332 however, WS10 is still overpredicted except for the areas of flat undulating land in the central U.S.
333 Jimenez and Dudhia (2012) also suggested that the grid points nearest to the observational data
334 might not be the most appropriate or most representative, and that the selection of nearby grid
335 points can help to reduce errors in surface wind speed estimations. In this study, as the evaluation

336 is conducted over the whole CONUS, the nearest grid points are used for evaluation, which could
337 also result in errors in wind speed evaluation. The positive T2 and WS10 bias along the coast could
338 be due to the fact that the model grids for temperatures and wind speeds are located over the ocean,
339 however, the observation points are located slightly inland. As shown in Figure 2, WS10 performs
340 well on average for the months of April, May, and June, and is overpredicted for the other months.
341 Nonetheless the climatological NMB for WS10 overall is low at 7.7% (Table 2). WS10 has higher
342 IOA values during the spring months and the lowest IOA during the summer months and in
343 November. The model performs relatively well in predicting WD10 variability with a Corr of 0.6,
344 indicating overall a more southerly direction domain-wide predicted by the model compared to
345 observations. Precipitation is overpredicted for all months except for June, especially during the
346 summer months of July to August. Even with the inclusion of radiative feedback effects from the
347 subgrid-scale clouds in the radiation calculations, precipitation is still overpredicted with the Grell
348 3D scheme, which is consistent with the results shown by Alapaty et al. (2012). Precipitation
349 mainly has lower IOAs during the summer compared to other months, except in June which
350 actually exhibits the largest IOA of all months. Even though June is considered a summer month,
351 it does not show overprediction in precipitation compared to the other summer months. It is
352 possible that in June, the overall atmospheric moisture content is low. This is consistent with
353 simulated RH2 as June is the only month where RH2 is underpredicted compared to observations.

354 In general the model is able to reproduce the monthly trends in meteorological variables;
355 for example, the predicted trend in T2 closely follows the observed trends by NCDC. The observed
356 RH2 decreases from January to a minimum in April, and then increases from April to December.
357 Although the model predicts a similar pattern in RH2, there is a lag in the RH2 minimum occurring
358 two months later in June (Figure 2b). For WS10, the observation peaks in April, as compared to

359 the simulated peak in March. The model correctly predicts the observed WS10 minimum occurring
360 in August. The model trend in precipitation is similar to observations, except during the summer
361 months of July through September, where a large overprediction leads to a sharp increase in July,
362 followed by a gradual decrease through December.

363 Figures 2e – 2h show the annual time series trends for T2, RH2, WS10, and precipitation.
364 The model performs relatively well in predicting the annual mean T2 for most years (with MBs of
365 < 0.5 °C; Figure 2e). T2 also does not show an obvious decreasing or increasing T2 trend between
366 2001 and 2010. The IOA for annual T2 for all years are > 0.95 . However for 2002, mean simulated
367 T2 is ~ 0.7 °C higher than the observational data. IOA is still high for 2002 which indicates
368 probably good performance of T2 at most sites, however with large overpredictions at a few sites
369 which could skew the mean observed and mean simulated value but not influence IOA
370 significantly. RH2 is consistently overpredicted by the model with the largest overprediction in
371 2009. With the exception of 2009, observed RH2 is rather steady (65 – 70 %) from 2001 to 2010.
372 IOA is also steady for RH2, except for 2009. As mentioned earlier, WRF tends to overpredict
373 WS10 in general. Figure 2g shows that observations indicate weaker wind speeds from 2001 to
374 2007. Model performance is better from 2007 to 2010 with higher IOAs compared to previous
375 years. WRF has worse performance especially at weaker wind speeds as is the case from 2001 to
376 2007. Model performance for precipitation is more variable year-to-year, with IOAs ranging from
377 0.4 to 0.7; however, there is a systematic positive bias during the 10 year period.

378 Figure 3 shows the probability distributions of T2, RH2, WS10, and precipitation against
379 NCDC and NADP for 10 years. The observed and simulated variables are averaged at each site
380 for the 10-year period, and the pairs are then distributed into a probability distribution over 30 bins
381 of observed and simulated values of T2. For T2, the simulated and observed probability

382 distributions are very similar (Figure 3a), consistent with the statistics for T2 which shows only a
383 small cold bias. The model overpredicts T2 at sites where temperatures are very low. The
384 probability distribution curve for simulated RH2 is also shifted to the right of the observed RH2
385 (Figure 3b), with an observed and modeled peak 74% and 78% respectively. The probability
386 distribution of simulated WS10 is narrower (between 2 and 6 m s⁻¹) compared to that of observed
387 WS10 (between 1 and 7 m s⁻¹). The model thus overpredicts when near-surface wind speeds are
388 low, but underpredicts when wind speeds are very high. This suggests that the surface drag
389 parameterization is still insufficient to help predict low wind speeds; however, it might have
390 contributed to the reduction in the simulated high wind speeds (Mass, 2012). The probability
391 distribution for simulated precipitation against NADP also shows a shift to the right, consistent
392 with the statistics for overpredicted precipitation and also with the probability curve of RH2.
393 Nasrollahi et al. (2012) examined 20 combinations of microphysics and cumulus parameterization
394 schemes available in WRF and found that most parameterization schemes overestimate the amount
395 of rainfall and the extent of high rainfall values. In this study, while Grell 3D Ensemble cumulus
396 parameterization contributes in part to the overpredictions of precipitation, most overpredictions
397 occur at high thresholds as shown in Figure 3 (d) and they are attributed to possible errors in the
398 Morrison two moment scheme because the overpredictions of non-convective precipitation
399 dominate the overpredictions of total precipitation.

400 **3.2 Chemical Predictions**

401 **3.2.1 Ozone**

402 Table 2 summarizes the statistics for major chemical species. The model overpredicts
403 hourly O₃ mixing ratios on average against the Aerometric Information Retrieval System (AIRS)
404 – Air Quality System (AQS) with an NMB of 9.7% and an NME of 22.4%, but underpredicts O₃

405 mixing ratios against the Clean Air Status and Trends Network (CASTNET) with an NMB of -
406 8.8% and an NME of 19.8%. The O₃ mixing ratios are overpredicted at AIRS-AQS sites for all
407 climatological months except for April and May (Figure 4a) but underpredicted at CASTNET sites
408 for all months except for October with the largest underpredictions occurring in April and May
409 where IOA statistics are the lowest (Figure 4b). IOA statistics for all climatological months range
410 from 0.5 to 0.6 for AIRS-AQS and from 0.4 to 0.9 for CASTNET. In general, IOA values tend to
411 be higher for CASTNET compared to AIRS-AQS during the fall and winter months of October to
412 March. The IOA values for AIRS-AQS are rather steady on average over the 12 months compared
413 to CASTNET. This can be attributed to the larger dataset of AIRS-AQS (> 1000 stations)
414 compared to CASTNET (< 100 stations), the high and low undulations in O₃ averages at the
415 CASTNET sites tend to be smoothed or averaged out in O₃ averages at the AIRS-AQS sites given
416 larger AIRS-AQS dataset. The observed data from AIRS-AQS and CASTNET also show the
417 highest monthly O₃ mixing ratios over April and May. This result is consistent with the findings
418 of Cooper et al. (2014), who reported the highest mass of tropospheric O₃ for the northern
419 hemisphere in April and May based on the Ozone Monitoring Instrument (OMI) measurements in
420 2004, which suggested that the column mass of O₃ is not necessarily proportional to nitrogen oxide
421 (NO_x) emissions that peak during the summer. In addition, Cooper et al. (2014) attributed a shift
422 in the seasonal O₃ cycle observed at many rural mid-latitude monitoring sites to emissions
423 reductions in the U.S. The same study also reported that the summertime O₃ mixing ratios were
424 lower in eastern U.S. between 2005 and 2010 when compared to previous years, while remaining
425 relatively constant in spring. Thus the summer O₃ maximum during 2001- 2004 was replaced by
426 a broad spring/summer peak in 2005 - 2010. Both the observed and simulated O₃ mixing ratios do
427 not decrease for AIRS-AQS and CASTNET from 2001 to 2010 (Figures 4e and 4f). This is

428 somewhat consistent with Cooper et al. (2014) which showed that surface and lower tropospheric
429 O₃ has a decreasing trend over eastern U.S. but an increasing trend over the western U.S. from
430 1990-1999 to 2010. The predicted annual average O₃ mixing ratios are consistent from 2001 to
431 2010, with overpredictions and IOAs of ~0.6 at the AIRS-AQS sites, and underpredictions and
432 IOAs of ~0.6 to 0.8 at the CASTNET sites.

433 Figure 5 shows the probability distributions of maximum 1-hour and 8-hour O₃ mixing
434 ratios against CASTNET and AIRS-AQS. The probability distributions of the observed and
435 simulated O₃ mixing ratios are very similar. The model is able to simulate the range and
436 probabilities of O₃ mixing ratios relatively well at both CASTNET and AIRS-AQS sites. At the
437 CASTNET sites as shown in Figures 5a and b, the model accurately predicts the peak maximum
438 1-hour O₃ mixing ratio centered at ~60 ppb, however, slightly underpredicts the peak maximum
439 8-hour O₃ mixing ratio by a few ppb. At the AIRS-AQS sites as shown in Figures 5c and d, the
440 predicted probability distribution curve is slightly shifted to the right of the observations for both
441 maximum 1-hour and 8-hour O₃ mixing ratios. It is also interesting to note that the probability
442 distributions for CASTNET and AIRS-AQS are quite different. O₃ at the AIRS-AQS sites has a
443 unimodal normal distribution, while O₃ at the CASTNET sites has a bi-modal distribution, with a
444 tail of the distribution extending toward lower O₃ mixing ratios (0 – 20 ppb). The peak distribution
445 occurs at around 10 ppb, because the O₃ mixing ratios are low at most CASTNET sites. The
446 second peak at ~60 ppb for CASTNET occurs mainly around the summer months during which
447 O₃ is produced through photochemistry involving its precursors. These distributions are attributed
448 to the nature of the sites' locations, where the AIRS-AQS network includes a mixture of urban,
449 suburban and rural sites, leading to a normal distribution of O₃ mixing ratios centered at relatively
450 higher O₃ mixing ratios, while the CASTNET network includes mostly rural sites that exhibit a

451 low maximum 1-hour and 8-hour O₃ mixing ratios, thus leading to a distribution with a tail skewed
452 towards the lower O₃ mixing ratios.

453 Figure 6 shows the diurnal variation of O₃ concentrations and IOA statistics for the four
454 climatological seasons against CASTNET (Figures a to d) and AIRS-AQS (Figures e to h) (Winter
455 - January, February and December (JFD); Spring - March, April, and May (MAM); Summer -
456 June, July, and August (JJA); Fall - September, October, and November (SON). Figure 6a shows
457 that in more rural sites (CASTNET) in winter O₃ tends to be underpredicted during the morning
458 (01:00 – 09:00 local standard time (LST)) and evening hours (18:00 – 24:00 LST). However,
459 Figure 6b shows that in general for all AIRS-AQS sites including urban sites, O₃ is systematically
460 overpredicted for all hours of the day. The diurnal trends for CASTNET and AIRS-AQS are
461 completely opposite for winter. As CASTNET sites are located in areas where urban influences
462 are minimal, most of these sites are likely to be NO_x-limited sites (Campbell et al., 2014).
463 Underpredicted NO_x emissions in rural areas can lead to underpredictions in O₃ concentrations in
464 NO_x-limited areas. As shown in Figure 2a), T₂ is generally overpredicted during the winter
465 months, which explains the overpredictions in O₃ for most sites against AIRS-AQS. As shown in
466 Figures 6a, b and c, for CASTNET, the diurnal variations of O₃ in MAM and JJA are similar to
467 that in JFD. As shown in Figure 6d, slight overpredictions during the daylight hours of 10:00 to
468 17:00 LST occur in SON at the CASTNET sites, however the trends are similar for morning and
469 evening hours as compared to the other seasons. Similar to SON at the CASTNET sites, for AIRS-
470 AQS sites, overpredictions during daylight hours occur in JJA and SON (Figures 6 g and h), and
471 also to a much lesser extent in MAM (Figure 6f). This is probably due to the overpredictions of
472 T₂, which are the smallest during MAM compared to other months as shown in Figure 2a.

473 Figure 7 compares the spatial distributions of 10-year average of the predicted and
474 observed hourly O₃ mixing ratios. The O₃ mixing ratios tend to be underpredicted in eastern and
475 northeastern U.S., where most of the CASTNET sites are located (Figure 7a). This is consistent
476 with the diurnal trends from Figures 6a to d which also show underpredictions for CASTNET sites.
477 From Figure 1a, T₂ is underpredicted on average over northeastern U.S., which results in
478 underpredictions in biogenic emissions in the rural areas from MEGAN2. This would in turn
479 reduce O₃ mixing ratios in VOC-limited areas. O₃ photochemical reactivities would also be
480 reduced due to reduced T₂. O₃ mixing ratios are, however, overpredicted over northwestern U.S.,
481 and also near the coastline of western U.S. The overprediction of O₃ mixing ratios in northwestern
482 U.S. can be attributed to an overprediction in the chemical BCs from CESM, as indicated by the
483 high O₃ mixing ratios near the northwestern region of the domain boundary.

484 **3.2.2 Particulate Matter**

485 The 10-year average PM_{2.5} concentrations are overpredicted with an NMB of 23.3 %
486 against IMPROVE, and underpredicted with an NMB of -10.8 % against the Speciated Trends
487 Network (STN) (Table 2). In addition, the IOA trend in Figure 4c shows very good performance
488 for PM_{2.5} against the Interagency Monitoring of Protected Visual Environments (IMPROVE) with
489 IOA values > 0.8. IOA values for PM_{2.5} against STN are high (~ 0.6 – 0.8) during the spring and
490 summer months, but lower (~ 0.4) during the winter months (Figure 4d). The IMPROVE surface
491 network covers generally rural areas and national parks while the STN surface network covers
492 urban sites. The horizontal resolution of 36×36 km² used in this study may be too coarse to resolve
493 the locally high PM_{2.5} concentrations at urban sites in STN which are in proximity of significant
494 point sources, especially during the fall and winter. During these colder seasons, PM_{2.5}
495 concentrations over the U.S. in general tend to be higher due to an extensive use of woodstove and

496 cold temperature inversions, which trap particulates near the ground (EPA, 2011). As shown in
497 Table 2, the concentrations of PM_{2.5} species such as SO₄²⁻, OC, and TC are overpredicted at the
498 IMPROVE sites, while the concentrations of the other main PM_{2.5} species NO₃⁻, NH₄⁺, and EC are
499 underpredicted at both IMPROVE and STN sites. TC concentrations, which are the sum of OC
500 and EC, are overpredicted due to larger overpredictions of OC compared to the underpredictions
501 of EC. The model also simulates both primary organic aerosol (POA) and secondary organic
502 aerosol (SOA). OC is calculated as the sum of POA and SOA divided by the ratio of OA/OC,
503 which is assumed to be a constant of 1.4 (Aitken et al., 2008). This calculation of OC using a
504 constant of 1.4 is an approximation, which is subject to uncertainties when comparing simulated
505 OC against observational data, as the ratio of OA/OC can be different in different environments
506 (Aitken et al., 2008).

507 As shown in Table 2, at the STN sites, the model slightly overpredicts the concentrations
508 of SO₄²⁻, while underpredicting those of NO₃⁻, NH₄⁺, and EC. The overpredictions of SO₄²⁻ are
509 likely due to the uncertainties that arise from processing of the RCP SO₂ emissions. The RCP SO₂
510 emissions are only available as a total emission flux, and they are not vertically distributed to the
511 important point sources such as furnaces and stacks. In this work, two steps are taken to resolve
512 the RCP elevated SO₂ emissions in each emission layer. First, a set of factors are derived from the
513 fraction of the elevated emissions in each layer to the vertical sum of emissions for NEI used by
514 default in the SMOKE model with the NEI data. Second, these factors are applied to the total RCP
515 emissions to obtain SO₂ emissions in each emission layer. The total RCP SO₂ emissions were
516 higher than the total NEI emissions, resulting in higher surface and elevated SO₂ emissions.
517 Figures 4g and 4h compare the modeled annual average time series for PM_{2.5} against IMPROVE
518 and STN observations, respectively. In general, the model performs well for PM_{2.5} at the

519 IMPROVE (IOA > 0.8) and STN (IOA ~ 0.5 – 0.7) sites. A declining trend in PM_{2.5} observed and
520 simulated concentrations are also observed over the years. For the later years (2007 to 2010), the
521 model performs significantly better against IMPROVE compared to STN. As 2010 NEI emissions
522 are used for the years 2007 to 2010, there are not many variations in the simulated PM_{2.5}
523 concentrations over these 4 years.

524 Figures 7 and 8 show the spatial plots of 10-yr average of simulated 24-hour average ,
525 PM₁₀, PM_{2.5}, and PM_{2.5} species concentrations, overlaid with observations from both STN and
526 IMPROVE. The underpredictions of PM₁₀ are dominated by an underprediction in the wind-blown
527 dust emissions, especially in western U.S. (Figure 7b). This is confirmed in Table 2, which shows
528 an MB of -11.5 µg m⁻³ and an NMB of -51.2% against PM₁₀ observations at AIRS-AQS sites. The
529 observational data indicate the elevated concentrations of dust over portions of Arizona and
530 California (> 50 µg m⁻³), which are not reproduced by the simulations (the simulated
531 concentrations are much lower, < 20 µg m⁻³). The AER/AFWA dust module (Table 1) does not
532 produce sufficient dust in this case, even though WS10 is overpredicted and is proportional to the
533 dust emissions. The sea-salt emission module by Gong et al. (1997), however, seems to produce a
534 reasonable amount of sea-salt as shown by the similar concentrations between simulated and
535 observational data for PM₁₀ near the coastlines. In addition, the MADE/VBS module in
536 WRF/Chem does not explicitly simulate the formation/volatilization of coarse inorganic species.
537 The coarse inorganic species are available, however, in the emissions and are transported and
538 deposited in a manner that is similar to non-reactive tracers.

539 The model performs well for PM_{2.5} over eastern U.S. (Figure 7c), where modeled
540 concentrations are close to the observations; however, over the western U.S. there are
541 underpredictions in PM_{2.5}, especially in central to southern California. Even though Table 2 shows

542 in general an overprediction of SO_4^{2-} against STN sites, the model underpredicts SO_4^{2-} in regions
543 of elevated SO_4^{2-} concentrations, in particular, where concentrations are above $10 \mu\text{g m}^{-3}$ in the
544 vicinity of significant point sources of SO_2 and SO_4^{2-} over eastern U.S. (Figure 7d). This is likely
545 due to the coarse resolution ($0.5^\circ \times 0.5^\circ$) of RCP emissions, which probably results in a general
546 overprediction of SO_2 emissions over a grid but cannot resolve point sources smaller than the grid
547 resolution. A similar pattern is found for NH_4^+ over eastern U.S. due to underpredictions of high
548 concentrations of SO_4^{2-} (Figure 8a). There are also large underpredictions in NH_4^+ over the western
549 U.S. The underpredictions in NH_4^+ are likely due to underpredictions of NH_3 emissions from RCP.
550 The NH_3 emissions from RCP are much lower than those of NEI emissions over western U.S., by
551 more than a factor of 5, especially over portions of California. Large underpredictions occur over
552 both eastern and western U.S. for NO_3^- , EC, and TC (Figures 8b, c, and d). The underpredictions
553 in NO_3^- are more likely influenced by the underpredictions of NH_4^+ rather than NO_x emissions.
554 NO_x emissions for NEI are higher than those of RCP for a number of point sources, however, in
555 general RCP has higher NO_x emissions. Other possible reasons for the underpredictions of NO_3^-
556 concentrations include both prediction and measurement errors associated with SO_4^{2-} and TNH_4
557 that can greatly affect the performance of NO_3^- , inaccuracies in the assumptions used in the
558 thermodynamic model (e.g., the assumption that inorganic ions are internally mixed and the
559 equilibrium assumption might not be representative, especially for particles with larger diameters),
560 as well as inaccuracies in T2 and RH predictions (Yu et al., 2005). The statistics for IMPROVE
561 TC indicate overpredictions; however the statistics for STN TC indicate larger underpredictions
562 with an MB of $-2.0 \mu\text{g m}^{-3}$, which would explain the large underpredictions in $\text{PM}_{2.5}$ concentrations
563 over western U.S. The large underpredictions are in part impacted by uncertainties in emissions as
564 well as due to uncertainties in the precursor gas emissions for these species, especially for TC. The

565 RCP emissions of EC and POA are lower when compared to those of NEI. NEI emissions have a
566 higher spatial resolution, and thus more adequately represent the emissions from point sources
567 compared to RCP. The underpredictions of TC are also more likely due to underpredictions in EC
568 as compared to OC, as shown in underpredictions of EC by Figure 8c. As T2 is slightly
569 underpredicted, these could have resulted in underpredictions in isoprene and terpene, which are
570 major gas precursors of biogenic SOA, resulting in lower SOA and OC concentrations. In addition,
571 the emissions of anthropogenic VOC species from RCP which are also of a lower spatial resolution
572 compared to their emissions in the NEI tend to also be lower than NEI levels especially at point
573 sources. The underpredictions for these particulate species, especially for water-soluble species
574 including NH_4^+ and NO_3^- are also likely impacted by overpredictions in precipitation (Figure 2d),
575 which leads to an overprediction in their wet deposition rates and thus a reduction of their ambient
576 concentrations. The overpredictions in WS10 also help contribute to the deposition of $\text{PM}_{2.5}$ and
577 $\text{PM}_{2.5}$ species onto the ground (Sievering et al., 1987).

578 **3.3 Aerosol, Cloud, and Radiation Predictions**

579 There are uncertainties in the satellite retrievals of various aerosol-cloud-radiation
580 variables from the Clouds and the Earth's Radiant Energy System (CERES) and the Moderate
581 Resolution Imaging Spectroradiometer (MODIS). Loeb et al. (2009) reported that the major
582 uncertainties of the top of atmosphere radiative fluxes from CERES are derived from instrument
583 calibration (with a net error of 4.2 W m^{-2}), and the assumed value of 1 W m^{-2} for total solar
584 irradiance. However, there is good correlation ($R > 0.8$) between the model and CERES for the
585 radiation variables SWDOWN, GSW, and GLW, which are all measured at the surface (Table 2).
586 Modeled OLR at the top of the atmosphere also has relatively good correlation ($R \sim 0.6$).

587 SWDOWN and GLW are both slightly overpredicted due to influences from biases in PM
588 concentrations and clouds, but GSW and OLR are slightly underpredicted.

589 The overpredictions of the surface radiation variables are also impacted by the
590 underpredictions in AOD and COT. AOD is underpredicted with an NMB of -24.0%, and COT is
591 underpredicted with an NMB of -44.3%. These underpredictions indicate that less radiation is
592 attenuated (i.e., absorbed or scattered) or reflected while traversing through the atmospheric
593 column and clouds, thus allowing more radiation to reach the ground. Using the CESM model, He
594 et al. (2015) also showed underpredictions in AOD and COT over CONUS against MODIS
595 satellite retrievals. Figure 9 compares the spatial distributions of the 10-year average predictions
596 of AOD (a and b) against the satellite retrieval data from MODIS. The simulated AODs show
597 relatively large values over eastern U.S., due to the relatively higher PM concentrations in this
598 region of the U.S. The MODIS AOD, however, shows slightly elevated values over eastern U.S.,
599 but the magnitudes are not as high as the simulated AOD over eastern U.S. MODIS-derived AOD
600 is also higher over western U.S. compared to eastern U.S., and this trend is not found in the
601 simulated AOD. The differences between the MODIS AOD and the simulated AOD are likely due
602 to the differences in the algorithms used to retrieve AOD based on MODIS measurements and
603 calculate AOD in WRF/Chem. For MODIS, AOD is calculated by matching the spectral
604 reflectance observations with a lookup table based on a set of aerosol parameters including the
605 aerosol size distributions from a variety of aerosol models, which differ based on seasons and
606 locations (Levy et al., 2007). There are also different algorithms for dark land, bright land, and
607 over oceans (Levy et al., 2013). The MODIS data are aggregated into a global 1° gridded (Level-
608 3) dataset with monthly (MOD08_M3) temporal resolution
609 (https://www.earthsystemcog.org/site_media/projects/obs4mips/TechNote_MODIS_L3_C5_Aer

610 [osols.pdf](#)). The inaccuracies for the calculation of AOD in WRF/Chem include biases in aerosol
611 size distribution, aerosol composition, aerosol water content, and reflectances. They can also arise
612 from parameterizations in the calculations including the assumption of an internally-mixed aerosol
613 composition. Therefore, caution should also be taken when comparing simulated AOD with the
614 satellite-derived AOD products. Toth et al. (2013) compared Aqua MODIS AOD products over
615 the mid to high latitude Southern Ocean where a band of enhanced AOD is observed, to cloud and
616 aerosol products produced by the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP)
617 project; and AOD data from the Aerosol Robotic Network (AERONET) and the Maritime Aerosol
618 Network (MAN). They concluded that the band of enhanced AOD is not detected in the CALIOP,
619 AERONET, or MAN products. The enhanced AOD band is attributed to stratocumulus and low
620 broken cumulus cloud contamination, as well as the misidentification of relatively warm cloud
621 tops compared with surrounding open seas.

622 Figure 9 also shows spatial distributions of the 10-year average predictions of CDNC (c
623 and d), CWP (e and f), and COT (g and h), compared against the satellite retrieval data from
624 MODIS. The cloud variables CDNC, CWP, and COT tend to be underpredicted for most of the
625 regions over the U.S. However, CWP is largely overpredicted over the Atlantic ocean. This is also
626 likely due to the build-up of moisture over the Atlantic ocean, also influencing precipitation as
627 mentioned previously. CDNC is overpredicted over some regions in eastern U.S., but there are
628 also relatively large areas of underpredictions over both the land and ocean. This leads to an
629 average domain-wide underprediction for CDNC (Table 2). This is likely due to the differences in
630 deriving CDNC in the model and in the satellite retrievals. CDNC in the model is calculated based
631 on the activation parameterization by Abdul Razzak and Ghan (2000) based on the aerosol size
632 distribution, aerosol composition, and the updraft velocity. The MODIS-derived CDNC from

633 Bennartz (2007) is calculated based on cloud effective radius and COT, which would explain the
634 differences in spatial patterns between model and observed data. As indicated by Bennartz (2007),
635 the errors in CDNC can be up to 260%, especially for regions with low CF (< 0.1). The model and
636 MODIS spatial patterns are similar for CWP and COT over land, although the model values are
637 underpredicted. King et al. (2013) reported that the MODIS retrieval of cloud effective radius
638 when compared to in-situ observations is overestimated by 13% on average. Combined with
639 overestimations in COT, this leads to overestimation of liquid water path. In addition, there can
640 also be differences in satellite-derived cloud products from different satellites. For example, Shan
641 et al. (2011) showed that the derived CLDFRA from MODIS and another satellite, the Polarization
642 and Directionality of Earth Reflectances (POLDER) can differ with a global average of 10%.

643 Figure 10 shows similar spatial plots for modeled versus CERES derived SWDOWN,
644 OLR, SWCF, and LWCF. We note that modeled SWCF is calculated based on the differences
645 between the net cloudy sky and net clear sky shortwave radiation at the top of atmosphere, which
646 in turn are dependent on cloud properties including the CLDFRA, COT, cloud asymmetry
647 parameter, and cloud albedo. It is possible that due to the overprediction of CLDFRA, the
648 magnitudes of the simulated SWCF are greater than those from CERES (Figures 10c and 10g),
649 even though the other cloud variables are underpredicted. LWCF is calculated based on the
650 differences in clear-sky OLR and cloudy-sky OLR, which in turn are dependent on CLDFRA,
651 COT, and absorbance and radiance due to atmospheric gases. The underprediction of total-sky
652 OLR (Table 2 and Figures 10b and 10f) leads to an overprediction in LWCF. SWCF is largely
653 overpredicted over eastern U.S. and especially over the Atlantic ocean (Figures 10c and 10g).
654 LWCF is also overpredicted by the model in similar locations as SWCF, such as in southeastern
655 U.S., and over the ocean in the eastern portion of the domain (Figures 10d and 10h). This is further

656 confirmed by the underpredictions in SWDOWN over the Atlantic ocean and in general over the
657 eastern portion of the domain, as increased clouds (as a consequence of overpredicted AOD, CWP
658 and COT) and SWCF lead to less SWDOWN reaching the ground (Figures 10a and 10e) which
659 also eventually leads to a reduction in the OLR also over the eastern portion of the domain. The
660 larger negative SWCF and positive LWCF in the model compared to CERES, however, lead to an
661 overall good agreement with CERES for the net cloud forcing (SWCF + LWCF; not shown). The
662 mean bias for SWCF against CERES of 7.8 W m^{-2} and that for LWCF against CERES of 6.9 W
663 m^{-2} are comparable to the results from the CMIP5 models of -10 to 10 W m^{-2} over CONUS region
664 (Figure 9.5 in Flato et al., 2013). The evaluation of 10-year averaged predictions of aerosol-cloud-
665 radiation variables is similar to the results from the WRF/Chem simulations in 2006 and 2010 by
666 Yahya et al. (2014 and 2015). For example WRF/Chem generally performs well for cloud fraction
667 but AOD, CDNC, CWP and COT are underpredicted in both studies, which possibly indicate
668 consistent biases for every year contributing to climatological biases.

669 **4. Summary and Conclusions**

670 Overall, the model slightly underpredicts T2 with a mean bias of $\sim -0.3 \text{ }^\circ\text{C}$, which is
671 consistent or better than other studies based on chemical transport models and regional climate
672 models. The underpredictions in T2 correlate to the overpredictions in RH2. WS10 biases are
673 likely due to issues with unresolved topography or due to inaccuracies in the selection of
674 representative grid points. There are seasonal biases in precipitation, where overpredictions tend
675 to occur largely over the summer months; however, precipitation is overpredicted every year
676 between 2001 and 2010 likely due mainly to uncertainties in WRF cumulus and microphysics
677 parameterizations. In particular, the use of a different cumulus parameterization scheme, e.g.,
678 based on the MSKF available in WRF/Chem version 3.7 or newer has been shown in the sensitivity

679 study to significantly reduce precipitation biases. Other factors contributing to the precipitation
680 bias include the use of bias-corrected CESM_NCSU data (instead of NCEP reanalysis data), and
681 the use of an reinitialization frequency of 1-month. A satisfactory model performance for
682 meteorological variables is important and necessary when simulating future years, as data
683 evaluation is not possible. Meteorological variables such as temperature, humidity, wind speed
684 and direction, PBL height, and radiation have a strong impact on chemical predictions, and thus
685 are critical to the satisfactory model performance when predicting chemical variables such as O₃
686 and PM_{2.5}. Biases in O₃ and PM_{2.5} concentrations can be attributed to biases in any of the
687 meteorological and chemical variables. The model performs generally well for radiation variables,
688 as well as for the main chemical species such as O₃ and PM_{2.5}, which indicates that the processed
689 RCP 8.5 emissions are reasonably accurate to produce acceptable results for the concentrations of
690 chemical species.

691 Modeled O₃ mixing ratios at the CASTNET sites are slightly underpredicted, but are
692 slightly overpredicted at AIRS-AQS sites, in part due to the fact that the CASTNET sites are
693 classified as rural, while the AIRS-AQS sites are classified as both urban and rural. O₃ mixing
694 ratios at the AIRS-AQS sites tend to be overpredicted during the colder fall and winter seasons,
695 and annually, O₃ mixing ratios are overpredicted every year from 2001 to 2010. O₃ mixing ratios
696 at the CASTNET sites are underpredicted for all climatological months, while the largest
697 underpredictions are observed from January to May. However, on a decadal time scale,
698 WRF/Chem adequately represents the different O₃ probability distributions at the AIRS-AQS and
699 CASTNET sites. This study also showed that peak O₃ mixing ratios are observed over April and
700 May rather than June to August, which is consistent with Cooper et al. (2014) who attributed this
701 to emission reductions and opposite trends in O₃ mixing ratios over eastern and western U.S. over

702 the last 20 years. Modeled $PM_{2.5}$ concentrations tend to be overpredicted at the IMPROVE sites
703 but underpredicted at the STN sites. $PM_{2.5}$ at the IMPROVE sites tend to be underpredicted in
704 spring and summer but overpredicted in fall and winter, while $PM_{2.5}$ concentrations against STN
705 are persistently underpredicted for all climatological months. The IMPROVE and STN sites are
706 classified as rural and urban, respectively. Due to the relatively coarse horizontal resolution of the
707 model (36×36 km), the model is unable to capture the locally higher $PM_{2.5}$ concentrations at the
708 STN sites. In general, however, the model performs relatively well for total $PM_{2.5}$ concentrations
709 at the IMPROVE and STN sites with NMBs of within $\pm 25\%$, although larger biases exist for $PM_{2.5}$
710 species. Model performance for PM_{10} should be improved, as PM_{10} also has important impacts on
711 climate through influencing the radiative budget both directly and indirectly due to its larger size
712 and higher concentrations. The choice of observational networks for model evaluation are
713 therefore important as both networks can show positive and negative biases depending on the type
714 and location of the sites (e.g., O_3 against AIRS-AQS and CASTNET, and $PM_{2.5}$ against STN and
715 IMPROVE). The major uncertainties lie in the predictions of cloud-aerosol variables. As
716 demonstrated in this study, large biases and error in simulating cloud variables even in the most
717 advanced models such as WRF/Chem, indicating a need for future improvement in relevant model
718 treatments such as cloud dynamics and thermodynamics, as well as aerosol-cloud interactions. In
719 addition, there are large uncertainties in satellite retrievals of cloud variables for evaluation. In this
720 study, most of the cloud-aerosol variables including AOD, COT, CWP, and CDNC are on average
721 underpredicted across the domain; however, the overpredictions of cloud variables including COT
722 and CWP over the Atlantic ocean and eastern U.S. lead to underpredictions in radiation and
723 overpredictions in cloud forcing, which are important parameters when simulating future climate
724 change.

725 In summary, the model is able to predict O₃ mixing ratios and PM_{2.5} concentrations
726 relatively well with regards to decadal scale air quality and climate applications. The model is able
727 to predict meteorological variables satisfactorily and with results comparable to RCM and GCM
728 applications from literatures. Possible reasons behind the chemical and meteorological biases
729 identified through this work should be taken into account when simulating longer climatological
730 periods and/or future years. Aerosol-cloud-radiation variables are important for climate
731 simulations, the performance of these variables are not as good as that of the chemical and
732 meteorological variables. They contain consistent biases in single-year evaluations of WRF/Chem.
733 However, magnitudes of biases for SWCF and LWCF are comparable to those from literature,
734 which suggests that model improvements should be made in terms of bias correction of
735 downscaled ICs/BCs as well as aerosol-cloud-radiation parameterizations in the model. In
736 addition, having consistent physical and chemical mechanisms between the GCM and RCMs could
737 help to reduce uncertainties in the results (Ma et al., 2014). Although CESM and WRF/Chem use
738 similar chemistry and aerosol treatments in this work, they use somewhat different physics
739 schemes which may contribute to such uncertainties. The development of scale-aware
740 parameterizations that can be applied at both global and regional scales would help reduce
741 uncertainties associated with the use of different schemes for global simulations and downscaled
742 regional simulations.

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749

750 **Code and Data Availability**

751 The WRF/Chem v3.6.1 code used in this paper will be available upon request. However,
752 we highly encourage users to download the latest available version of the WRF/Chem code from
753 NOAA's web site at http://www2.mmm.ucar.edu/wrf/users/download/get_source.html. The
754 updates in our in-house version of WRF/Chem v3.6.1 has been implemented into WRF/Chem
755 v3.7 and WRF/Chem v3.7.1 for scientific community release. The WRF/Chem v3.7 and
756 WRF/Chem v3.7.1 codes are now publicly available at
757 http://www2.mmm.ucar.edu/wrf/users/download/get_source.html. These latest versions of the
758 source codes contain all major changes in the standard version of WRF/Chem v3.6.1 used in for
759 this study. In addition, they have been rigorously tested for compatibility and compiling issues
760 on various platforms. The inputs including the meteorological files, meteorological initial and
761 boundary conditions, chemical initial and boundary conditions, model set-up and configuration,
762 and the namelist set-up, and instructions on how to run the simulations for a 1-day test case, as
763 well as a sample output for 1-day test can be provided upon request.

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768 Environment Canada, and Mexican Secretariat of the Environment and Natural Resources
769 (Secretaría de Medio Ambiente y Recursos Naturales-SEMARNAT) and National Institute of

770 [Ecology \(Instituto Nacional de Ecología-INE\) as part of the Air Quality Model Evaluation](#)
771 [International Initiative \(AQMEII\). The hourly temporal profiles of 2001, 2005, and 2010 RCP](#)
772 [emissions are based on the 2002NEI and the AQMEII 2006 and 2010 emissions derived based on](#)
773 [the 2008 NEI. The authors acknowledge use of the WRF-Chem preprocessor tool mozbc provided](#)
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776 [Leung, PNNL. For WRF/Chem simulations, we would like to acknowledge high-performance](#)
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