Development and evaluation of CNRM Earth-System model - CNRM-ESM1

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13 Abstract:

14 We document the first version of the Centre National de Recherches Météorologiques 15 Earth system model (CNRM-ESM1). This model is based on the physical core of the 16 CNRM-CM5 model and employs the Interactions between Soil, Biosphere and 17 Atmosphere (ISBA) and the Pelagic Interaction Scheme for Carbon and Ecosystem 18 Studies (PISCES) as terrestrial and oceanic components of the global carbon cycle. 19 We describe a preindustrial and 20th century climate simulation following the CMIP5 20 protocol. We detail how the various carbon reservoirs were initialized and analyze the 21 behavior of the carbon cycle and its prominent physical drivers. Over the 1986-2005 22 period, CNRM-ESM1 reproduces satisfactorily several aspects of the modern carbon 23 cycle. On land, the model captures the carbon cycling through vegetation and soil, 24 resulting in a net terrestrial carbon sink of 2.2 Pg C y⁻¹. In the ocean, the large-scale 25 distribution of hydrodynamical and biogeochemical tracers agrees with a modern 26 climatology from the World Ocean Atlas. The combination of biological and physical processes induces a net CO_2 uptake of 1.7 Pg C y⁻¹ that falls within the range of recent 27

estimates. Our analysis shows that the atmospheric climate of CNRM-ESM1 compares well with that of CNRM-CM5. Biases in precipitation and shortwave radiation over the Tropics generate errors in gross primary productivity and ecosystem respiration. Compared to CNRM-CM5, the revised ocean-sea ice coupling has modified the sea-ice cover and ocean ventilation, unrealistically strengthening the flow of North Atlantic deep water (26.1 ± 2 Sv). It results in an accumulation of anthropogenic carbon in the deep ocean.

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37 1. Introduction

38 Earth system models (ESMs) are now recognized as the current state-of-the-art 39 models (IPCC, 2013), expanding the numerical representation of the climate system of the 4th Assessment Report (IPCC, 2007). They enable the representation of subtle 40 41 non-linear interactions and feedbacks of different magnitude and signs of various 42 biogeochemical and biophysical processes with the climate system. The latter 43 contribute, in addition to the atmospheric radiative properties and global climate 44 dynamics, to determine the Earth's climate variability (Arora et al., 2013; Cox et al., 45 2000; Friedlingstein and Prentice, 2010; Schwinger et al., 2014; Wetzel et al., 2006).

Although there is no uniformly accepted definition, ESMs generally bring together a global physical climate model and land and ocean biogeochemical modules (Bretherton, 1985; Flato, 2011). As such, they enable the representation of the global carbon cycle. The models of this class have played a larger role in the 5th IPCC report than in previous reports, primarily through their contribution to the concentration- and emission-driven experiments that compose CMIP5.

Even if the concept of Earth system modeling is being extended to include further processes and reservoirs (e.g., nitrogen cycle, aerosols) (Hajima et al., 2014), there are still large uncertainties in the representation of the carbon cycle and its interactions with climate (Anav et al., 2013a; Friedlingstein et al., 2013; Piao et al., 2013). To reduce them, there is a need for improvements of both physical and ecophysiological parameterizations (Dalmonech et al., 2014), and for the development of observationbased methods to constrain model projections (Wenzel et al., 2014). But the reduction of carbon cycle-climate uncertainties also requires a greater number and diversity of ESMs. This path is promoted and followed by various international initiatives like the Global Carbon Budget (<u>http://www.globalcarbonproject.org/</u>) that sequentially incorporate more and more models into their analyses (Le Quéré et al., 2013; 2015).

63 This manuscript documents the first IPCC-class ESM developed at Centre National de 64 Recherches Météorologiques and provides a basic evaluation of the model's skill. 65 This model is based on the CNRM-CM5.1 climate model jointly developed by 66 CNRM and Cerfacs (Centre Européen de Recherche et de Formation Avancée en 67 Calcul Scientifique), which has contributed to the fifth phase of the Coupled Model 68 Inter- comparison Project (CMIP5) (Voldoire et al., 2013). CNRM-CM5.1 did not 69 include a representation of the global carbon cycle but accounted for chemical-climate 70 interactions with an interactive stratospheric chemistry module (Cariolle and 71 Teyssedre, 2007). While this configuration of CNRM-CM5 contributed to the CMIP5 72 results publicly released, a first intermediate version of the CNRM ESM was 73 developed with the inclusion of the marine biogeochemistry model PISCES (Aumont 74 and Bopp, 2006). This model version was evaluated against modern oceanic 75 observations (Séférian et al., 2013) and employed in various studies (Frölicher et al., 76 2014; Laufkötter et al., 2015; Schwinger et al., 2014; Séférian et al., 2014).

77 A terrestrial carbon cycle module is being developed at CNRM since the 2000s 78 (Calvet and Soussana, 2001; Calvet et al., 2008; 2004; Gibelin et al., 2008; 2006), but 79 it has never been coupled to an atmosphere-ocean model. This carbon cycle module 80 evolved from the physically-based ISBA model (Noilhan and Mahfouf, 1996; Noilhan 81 and Planton, 1989) and is able to simulate the surface carbon fluxes and the terrestrial 82 carbon pools. The carbon fluxes module was extensively tested over France and 83 Europe (Sarrat et al., 2007; Szczypta et al., 2012), and the carbon cycle module was 84 tested for temperate and high latitude regions (Gibelin et al., 2006; 2008) and was 85 used more recently in studies of carbon cycling over the Amazon basin (Joetzjer et al., 86 2015; 2014), permafrost regions (Rawlins et al., 2015) and at global scale (Carrer et 87 al., 2013b). In this work, this terrestrial carbon cycle module is coupled to a global 88 climate model for the first time.

Here, we present a first evaluation of the CNRM-ESM1. In section 2, we describe the model, focusing on the Earth system's components and aspects of the climate model that are particularly relevant to the global carbon cycle. We describe in section 3 the pre-industrial control and 20th century experiments that we conducted, together with the forcings used and how the experiments were initialized. In section 4, we present and discuss the results of these experiments. We summarize the results in section 5 and present conclusions.

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97 2- CNRM-ESM components

98 2-1 The physical core

99 CNRM-ESM1 is based on the physical core of the CNRM-CM5.1 Atmosphere-Ocean 100 General Circulation Model extensively described in Voldoire et al. (2013), which 101 accounts for the physical and dynamical interactions occurring between atmosphere, 102 land, ocean and sea-ice.

103 The atmospheric component is based on version 6.1 of the global spectral model 104 ARPEGE-Climat which corresponds to an updated version of the atmospheric code 105 used in CNRM-CM5.1. This updated version of the atmospheric code derives from 106 cycle 37 of the ARPEGE-IFS (Integrated Forecast System) numerical weather 107 prediction model developed jointly by Météo-France and the European Center for 108 Medium-range Weather Forecast. In CNRM-ESM1, the geometry, parameterizations 109 and dynamics have been chosen to match the choices made for CNRM-CM5.1. Thus 110 differences are mainly due to debugging and recoding. The atmospheric physics and 111 dynamics are solved on a T127 triangular truncation that offers a spatial resolution of 112 about 1.4° in both longitude and latitude. Consistently to CNRM-CM5.1, CNRM-113 ESM1 employs a "low-top" configuration with 31 vertical levels that extend from 114 the surface to 10 hPa in the stratosphere. The layers are unevenly distributed with 6 115 layers below 850 hPa except in regions of high orography, nine layers above 200 hPa 116 and four layers above 100 hPa. The dynamical core of the model, the radiative scheme 117 for longwave and shortwave as well as the physical parameterization for deep and 118 shallow convection are identical to those employed in CNRM-CM5.1. The reader is

referred to Voldoire et al. (2013) for the original description of the atmospheric modelparameterizations.

121 The land-surface component is an updated version of the SURFface EXternalisée 122 modeling platform (SURFEXv7.3) (Masson et al., 2013b) associated with the Total 123 Runoff Integrating Pathways (TRIP) river routing model (Oki and Sud, 1997). 124 SURFEX was designed so that the same code could be run offline or coupled to a 125 GCM, to allow easy transfer from offline improvements to the coupled model and to 126 be able to compare online and offline runs.

127 This model prognostically computes the exchange of energy, water and carbon 128 between the atmosphere and three types of natural surfaces: Land, free water bodies, 129 oceans or seas. The energy, water and carbon balances are calculated separately for 130 each surface type and area-averaged over each atmospheric grid cell. The natural land 131 surfaces are represented by the module originally developed by Noilhan and Planton 132 (1989). This module solves the surface energy and soil water budgets using the force-133 restore method and a composite soil-vegetation-snow approach. The version used here 134 is the same as for CNRM-CM5.1; e.g. the soil hydrology uses 3 vertical layers (Boone 135 et al., 1999) while soil temperature is solved using 4 vertical layers. In CNRM-ESM1, 136 land surface albedo benefits from an improved spatial representation derived from 137 MODIS satellite measurements (Carrer et al., 2013a) except for the area covered by 138 snow for which the albedo is prognostically computed following Douville et al. 139 (1995). Over water bodies and oceans, we use the CNRM-CM5.1 parameterization for 140 momentum and energy fluxes except for the sea-to-air turbulent fluxes that are 141 computed from the COARE scheme (Fairall et al., 2003). Interactions between the 142 land surface energy and water budgets and the terrestrial carbon cycle module are 143 detailed in section 2.3.1.

The ocean component uses version 3.2 of the NEMO model (Madec, 2008) in the ORCA1L42 configuration. This configuration offers a horizontal resolution from 1° to 1/3° near the equator and 42 levels in depth. The vertical discretization uses a partial-step formulation (Barnier et al., 2006), which ensures a better representation of bottom bathymetry and thus stream flow and friction at the bottom of the ocean. Ocean dynamics and physics is solved using a timestep of 1 hour. Vertical physics

150 relies on the parameterization chosen for the CNRM-CM5.1 climate model. The 151 mixed layer dynamics is parameterized using a double diffusion process (Merryfield 152 et al., 1999), Langmuir cell (Axell, 2002) and account for the contribution of surface 153 wave breaking (Mellor and Blumberg, 2004). A parameterization of bottom 154 intensified tidal-driven mixing similar to Simmons et al. (2004) is used in 155 combination with a specific tidal mixing parameterization in the Indonesian area 156 (Koch-Larrouy et al., 2010; 2007). Finally, CNRM-ESM1 benefits from an improved 157 Turbulent Kinetic Energy (TKE) closure scheme (Madec, 2008), based on the Blanke 158 and Delecluse (1993) TKE. This parameterization allows a fraction of surface wind 159 energy to penetrate below the base of the mixed layer ensuring a better coupling 160 between surface wind and subsurface mixing. The main difference from the CNRM-161 CM5.1 ocean model is the explicit modulation of the radiative shortwave penetration 162 into the ocean by marine biota (Lengaigne et al., 2009; Mignot et al., 2013), which is 163 further detailed in section 2.3.2.

164 The sea-ice model used in CNRM-ESM1 is GELATO6. This model employs the 165 same horizontal grid as NEMO and solves sea-ice dynamics and thermodynamics 166 every 6 hours. This model represents an updated version of the former sea-ice model 167 used in CNRM-CM5.1 (Voldoire et al., 2013). In GELATO6, sea-ice dynamics is 168 computed using the Elastic-Viscous-Plastic scheme proposed by Hunke and 169 Dukowicz (1997) formulated on an Arakawa C-grid (Bouillon et al., 2009). To 170 simulate the response of sea ice to convergence-divergence movements, GELATO6 171 employs a redistribution scheme derived from Thorndike et al. (1975). This scheme 172 ensures the representation of the rafting phenomenon for the slab of sea ice thinner 173 than 0.25 m and of ridging for the slab thicker than 0.25 m. GELATO6 includes a 174 thermodynamic scheme that resolves the evolution of four ice thickness categories (0-175 0.3, 0.3–0.8, 0.8–3 and over 3 m). These four slabs of sea ice are modeled with 10 176 vertical layers unevenly distributed across the slab thickness. An enhanced resolution 177 at the top of the slab is used to better represent the evolution of sea ice in response to 178 the high frequency variability of the atmospheric thermal forcing. Besides, all sea-ice 179 slabs may be covered with one snow layer. In GELATO6, the snow layer is 180 considered to occult the transfer of light across the snow-sea ice-ocean continuum. 181 This snow layer can age or form ice using the formulation described in Salas y Mélia 182 (2002). Since CNRM-CM5.1, the coupling between NEMO and GELATO has been

183 revised in order to improve the conservation of water and salt. In the previous model 184 version, CNRM-CM5.1, there was a large drift in salinity (-0.011psu/century) and in 185 sea level (-21 cm/century). These were caused by (1) the melting of land glaciers 186 (other than Antarctic and Greenland) that was not routed to the ocean and (2) an 187 erroneous coupling between sea-ice and ocean models. The coupling did not take into 188 account the fact that sea ice is levitating over the ocean in this version of NEMO. 189 Although not severe, it resulted in a loss of water in the model. These errors have 190 been fixed in CNRM-CM5-2 and CNRM-ESM1 and hence reducing the residual 191 drifts in salinity to +0.001psu/century and in sea level to +1.2cm/century.

192 In CNRM-ESM1, exchanges of momentum, water and energy between the 193 atmosphere and the surface models occurs every atmospheric timestep (i.e., 30 194 minutes) because SURFEX is a submodel of the atmospheric code. The coupling 195 between the atmosphere and the ocean models is handled by the OASIS coupler 196 (Valcke, 2013) and occurs every 6 hours. In CNRM-ESM1, the frequency of coupling 197 between the ocean and atmosphere models has been increased compared to CNRM-198 CM5 in order to better resolve the dynamics of the sea-ice, which is resolved at this 199 timestep (i.e., 6 hours).

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201 **2-2** Atmospheric chemistry

The atmospheric chemistry scheme in CNRM-ESM1 consists of an interactive linear ozone chemistry model MOBIDIC (Cariolle and Teyssedre, 2007) including a representation of the three-dimensional atmospheric CO_2 mixing ratio.

205 As in CNRM-CM5, the ozone mixing ratio is treated as a prognostic variable with 206 photochemical production and loss rates climatology computed by a full chemistry 207 scheme. That is, the net photochemical production in the ozone continuity equation is 208 solved using a first-order Taylor series around the local value of the ozone mixing 209 ratio, air temperature, and the overhead ozone column. Ozone destruction terms are 210 used to parameterize the heterogeneous chemistry as a function of the equivalent 211 chlorine content prescribed for the actual year. All Taylor coefficients of this 212 linearized scheme were determined using a two-dimensional chemistry scheme with 213 56 constituents, 175 chemical reactions, and 51 photoreactions (Cariolle and Brard, 214 1985). Photochemical production and loss rates of ozone rely on the main gas-phase 215 reactions driving the NOx, HOx, ClOx, BrOx catalytic cycles. In this version, the 216 gas-phase chemical rates were upgraded according to the recommendations of Sander 217 et al. (2006). While the ozone mixing ratio is fully described across the atmospheric 218 column, the linear ozone scheme was especially designed to resolve its evolution in 219 the stratosphere for the sake of radiative transfer calculation. Therefore, some 220 tropospheric chemical reactions are not taken into account in this scheme. The reader 221 is referred to a manuscript by Eyring et al. (2013) for an extensive evaluation of the 222 linear scheme versus TOMS satellite measurements and intercomparison with other 223 CMIP5 models.

224 In CNRM-ESM1, the atmospheric CO₂ mixing ratio can be treated as a prognostic 225 tracer. It responds interactively to natural CO₂ exchange from land and ocean every 30 226 min and 6 h, respectively, while anthropogenic carbon emissions are prescribed in this 227 model version. The CO₂ mixing ratio can affect the physical climate by impacting the 228 atmospheric radiative transfer computations and both terrestrial and marine carbon 229 uptake. In the concentration-driven experiments presented here, the CO₂ mixing ratio 230 is however prescribed to the global yearly average atmospheric concentrations 231 according to the CMIP5 protocol.

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233 **2-3** The biogeochemical components

234 2-3-1 Land biogeochemical model

235 In CNRM-ESM1, the interactions between climate and vegetation are handled by the 236 ISBA scheme embedded in the SURFEX surface model. The land biogeochemical 237 module in ISBA represents land surface physics, plant physiology, carbon allocation 238 and turnover, and carbon cycling through litter and soil (Calvet and Soussana, 2001; 239 Calvet et al., 1998; Gibelin et al., 2006; 2008). The land cover is represented by 9 240 plant functional types (PFT, given in Figure 1) and 3 non-vegetated surface types that 241 are determined spatially by the ECOCLIMAP physiographic database (Masson et al., 242 2013a).

243 ISBA uses a semi-mechanistic treatment of canopy photosynthesis and mesophyll 244 conductance following the Jacobs et al. (1996) and Goudriaan et al. (1985) 245 photosynthesis model. Mesophyll conductance in this framework corresponds to the 246 rate of photosynthesis under light-saturated conditions (Jacobs et al., 1996). As such, 247 this scheme does not explicitly account for Michealis-Menten kinetics of the Rubisco 248 enzyme found in Farquhar et al. (1980) and Collatz et al. (1992) models. ISBA 249 includes a representation of the soil water stress. Key parameters of the 250 photosynthesis model respond to the soil water stress, permitting the representation of 251 drought-avoiding and drought-tolerant responses to drought. For low vegetation and 252 for trees, the response to drought is based on the meta-analyses of Calvet (2000) and 253 Calvet et al. (2004) respectively.

254 The model simulates a ratio of intercellular CO₂ to atmospheric CO₂ that depends on 255 leaf-to-air saturation deficit, leaf temperature, and soil moisture. Assimilation is 256 calculated from this ratio, air CO₂ concentration, leaf temperature, and solar radiation 257 considering plant photosynthetic pathways: C_3 or C_4 (Calvet et al., 1998; Gibelin et al., 258 2006). Stomatal conductance, which represents the vegetation control on gas transfer 259 (here, CO_2 and water vapor) between the leaves and the atmosphere, is finally 260 deduced from the assimilation rate. Leaf dark respiration is taken as a fraction of 261 maximum CO₂ limited rate of assimilation. Standard Q₁₀ response functions determine 262 the temperature dependencies of mesophyll conductance, CO₂ compensation point, 263 maximum photosynthetic rate and hence photosynthesis and respiration.

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265 ISBA simulates the evolution of 6 reservoirs of biomass including leaf, wood, and 266 roots, and assumes the existence of metabolic/structural reservoirs of biomass 267 (Gibelin et al., 2008). Vegetation biomass is simulated interactively based on the 268 carbon assimilated by photosynthesis, and decreased by turnover and respiration. The 269 autotrophic respiration combines the respiration from all these reservoirs except the 270 woody reservoir that is supposed not to respire (Gibelin et al., 2008). In this model, 271 the vegetation phenology results directly from the carbon balance of the leaves. 272 Therefore, phenology is completely driven by photosynthesis and no growing degree-273 day model is used. A key advantage of this approach is that most of the soil and 274 atmospheric drivers (the abiotic drivers) of phenology are accounted for without any 275 additional parameters (Szczypta et al., 2014). Leaf area index (LAI) is determined 276 from the leaf biomass and the specific leaf area index, which varies as a function of 277 leaf nitrogen concentration and plant functional type (Gibelin et al., 2006). ISBA uses 278 an implicit nitrogen limitation parameterization which is based on the meta-analysis 279 of leaf nitrogen measurement under CO₂ enrichment condition (Yin et al., 2002). This 280 simple implicit nitrogen limitation is based on the nitrogen dilution hypothesis, which 281 assumes that internal nitrogen content of a plant decrease under rising CO₂ due to the 282 accumulation of non-structural carbohydrates. It results that nitrogen dilution occurs 283 as soon as the increase in total biomass of a plant under rising CO₂ relative to growth 284 under ambient CO₂ is greater than the corresponding increase in total nitrogen. In 285 current version of ISBA, a linear decrease between specific leaf area index and 286 nitrogen to carbon ratio in leaves is used to mimic this mechanism (Calvet et al., 287 2008), and hence to limit the net assimilation of atmospheric CO_2 .

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289 The soil organic matter and litter module in ISBA follows the soil carbon part of the 290 CENTURY model (Parton et al., 1988). Four pools of litter are represented. They are 291 differentiated by their location above- or below-ground and their content of lignin. 292 The litter pools are supplied by the fluxes of dead biomass from each biomass 293 reservoir (turnover) as described in Gibelin et al. (2008). The 3 soil organic matter 294 reservoirs (active, slow and passive) are characterized by their resistance to 295 decomposition with turnover times spanning from a few months for the active pool to 296 240 years for the passive pool. Heterotrophic respiration and hence the flux of CO₂ 297 released to the atmosphere is the sum of respiration from the litter and soil organic 298 matter reservoirs. The rate of decomposition of organic matter is determined 299 essentially by soil moisture and temperature using a Q₁₀ dependence following the 300 formulation of Krinner et al. (2005). The rate of decomposition (by respiration) 301 depends also on the lignin fraction and the soil texture following Parton et al. (1988).

302 Changes in the carbon balance of the vegetation affect the energy and water balance, 303 and hence the climate, through changes in stomatal conductance and LAI. Through its 304 control on leaf transpiration, stomatal conductance affects latent heat flux and the 305 surface energy balance. LAI on the other hand affects evapotranspiration because it is 306 used to scale leaf-level to canopy level transpiration and evaporation from the 307 interception reservoir (water intercepted by leaves). 308 In CNRM-ESM1, except for crops, changes in LAI don't affect the albedo of the 309 land-surface, as it is the case in some other models. As mentioned earlier, albedo is 310 derived from satellite observations corrected in the presence of snow, but does not 311 depend on the changes in LAI calculated by the model. This limits the biophysical 312 feedback from vegetation change to the atmosphere.

313

314 2-3-2 Ocean biogeochemical model

315 The ocean biogeochemical model of CNRM-ESM1 is PISCES (Aumont and Bopp, 316 2006). This model simulates the biogeochemical cycles of oxygen, carbon and the 317 main nutrients with 24 state variables. Macronutrients (i.e., nitrate and ammonium, 318 phosphate, silicate) and micronutrient (i.e., iron) ensure a better representation of the 319 phytoplankton dynamics, because these 5 nutrients contribute to the nutrient limitation 320 process (Aumont et al., 2003). PISCES represents two size-classes of phytoplankton 321 (i.e., nanophytoplankton and diatoms) Dependence of growth on temperature is 322 parameterized according to Eppley et al. (1969). Growth rate is also limited by the 323 external availability in nutrients using Michaelis-Menten relationships. Diatoms 324 differ from nanophytoplankton by their need in silicon, by higher requirements in iron 325 (Sunda and Huntsman, 1997) and by higher half-saturation constants because of their 326 larger mean surface-to-volume aspect ratio. Zooplankton is represented by two size-327 classes: microzooplankton and mesozooplankton.

PISCES can be considered as a Monod model (Monod, 1942) since it does not represent the internal concentration of nutrients in the cells. The ratios between carbon, nitrate and phosphate are kept constant to the values proposed by Takahashi et al. (1985) in all living and nonliving pools of organic matter. However, internal concentrations of iron in both phytoplankton and of silicon in diatoms are prognostically simulated. They depend on the external concentration of these nutrients, on the potential limitation by the other nutrients and on light availability.

Phytoplankton chlorophyll concentration is prognostically simulated following Geider
et al. (1998). PISCES simulates semilabile dissolved organic matter, small and big
sinking particles, which differ by their sinking speeds (i.e., 3 m d⁻¹ and 50 to 200 m d⁻¹

338 ¹, respectively). Only the internal concentrations of iron, silicon and calcite inside the 339 sinking particles are prognostically simulated. In addition to exchange with organic 340 carbon, dissolved inorganic carbon is also altered by the production and dissolution of 341 calcite. Carbon chemistry in seawater is computed from the distribution of dissolved 342 inorganic carbon and alkalinity. Calcite is prognostically simulated following Maier-343 Reimer, (1993) and Moore et al. (2002). Alkalinity includes the contribution of 344 carbonate, bicarbonate, borate and water ions. Oxygen is prognostically simulated 345 using two different oxygen-to-carbon ratios, one accounting when ammonium is 346 converted to or mineralized from organic matter, the other when oxygen is consumed 347 during nitrification. For carbon and oxygen pools, air-sea exchange follows the 348 Wanninkhof (1992) formulation. Importantly, to ensure conservation of nitrogen in 349 the ocean, annual total nitrogen fixation is adjusted to balance losses from 350 denitrification following Lipschultz et al. (1990), Middelburg et al. (1996) and 351 Soetaert et al. (2000). For the other macronutrients, alkalinity and organic carbon, the 352 conservation is ensured by tuning the sedimental loss to the total external input from 353 rivers and dust. Therefore, carbon and nitrogen cycles are decoupled to a certain 354 degree.

355 The boundary conditions account for nutrient supply from three different sources: 356 atmospheric dust deposition for iron and silicon (Jickells and Spokes, 2001; Moore et 357 al., 2004; Tegen and Fung, 1995), rivers for carbon (Ludwig et al., 1996) and 358 sediment mobilization for sedimentary iron (de Baar and de Jong, 2001; Johnson et 359 al., 1999). In CNRM-ESM1, riverine input of carbon has been revised from Ludwig et 360 al. (1996) in accounting for the interannual variability of runoff estimated with an 361 offline SURFEX simulation over the 1948-2010 period using the global atmospheric 362 forcing from Princeton University (PGF, Sheffield et al., 2006).

In CNRM-ESM1, the marine biophysical feedback is induced by changes in the penetration of downward irradiance in response to marine biota chlorophyll concentration. This feedback mimics the fact that light absorption in the ocean indeed depends on particle concentration and is spectrally selective (Morel, 1988). The implementation of this mechanism is fully described in Lengaigne et al. (2006) and Lengaigne et al. (2009) for an ocean forced configuration and Mignot et al. (2013) for a current ocean coupled configuration. It is derived from an accurate 61 spectral bands 370 formulation proposed by Morel (1988) using three large wavebands: blue (400-500 371 nm), green (500-600 nm) and red (600-700 nm). These three bands correspond to the 372 spectral domain of maximum absorption for chlorophyll. The chlorophyll-dependent 373 attenuation coefficients depend on the three-dimensional chlorophyll field predicted 374 by PISCES. They are computed at each time step from a power-law relationship 375 fitting to the coefficients computed from the full spectral model of Morel et al. (1988). 376 This biophysical feedback represents a major evolution from the ocean component 377 used in Voldoire et al. (2013) and Séférian et al. (2013).

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379 **3- Experimental set-up**

380 **3-1 Spin-up strategy**

The CMIP5 specification requires each model to reach its equilibrium state before kicking off formal simulations, especially for long-term control experiments. To obtain the initial conditions for CNRM-ESM1 preindustrial steady state at year 1850, we first initialize the various physical and biogeochemical components of the model as described below and perform a 400-year-long spin-up simulation using CNRM-ESM1 with all 1850 external forcings (Taylor et al., 2009).

Initialization of the physical components of CNRM-ESM1 relies on previous model
outputs from CNRM-CM5.1. This latter model was first initialized from World Ocean
Atlas 2005 observations for salinity and temperature (Antonov et al., 2006; Locarnini
et al., 2006) and spun-up for 200 years. The 801th year of the centennial long CMIP5
preindustrial run from CNRM-CM5.1 was employed as initial condition for CNRMESM1 preindustrial state.

Marine biogeochemical reservoirs were initialized from fields of a previous
preindustrial simulation of CNRM-CM5.1 coupled to PISCES. In this previous
simulation, PISCES state variables were initialized from World Ocean Atlas 1993
observations for nitrate, phosphate, silicate, and oxygen (Levitus et al., 1993) and the
Global Ocean Data Analysis Project (Key et al., 2004) for alkalinity and preindustrial

dissolved inorganic carbon (DIC). From this initialization, this intermediate version ofthe ESM was integrated online for 1100 years.

Land biogeochemical reservoirs were initialized from zero and spun-up using an acceleration approach for soil carbon and wood during the first century of the spin-up simulation. This approach consists in updating the wood growth, the litter and soil biogeochemistry modules several times per time step with constant incoming carbon fluxes and physical conditions allowing to fill up much faster the various reservoirs of carbon. As a result of this approach, soil carbon and wood reservoirs were respectively spun-up for 21800 and 1200 years.

Finally, both physical and carbon cycle components of CNRM-ESM1 benefit from an
physical adjustment under 1850 preindustrial control conditions for 400 years. Section

409 4.1 describes the residual drifts of the model at quasi-equilibrium state.

410

411 **3-2 CMIP5** preindustrial control and historical simulations

Following CMIP5 specifications (Taylor et al., 2009), CNRM-ESM1 has performed
several CMIP5 long-term core experiments and part of the tier-1 experiments.

414 The preindustrial control simulation, *piControl*, is integrated for 250 years using 415 constant external forcing prescribed at 1850 conditions and starting from the last year 416 of the on-line adjustment simulation. That is, atmospheric concentrations of 417 greenhouse gases are set to 284.7 ppmv, 790.9 ppbv, and 275.4 ppbv for CO₂, CH₄ 418 and N₂O, respectively. Those of CFC-11, CFC-12 are set to zero. Influence of natural 419 aerosols is prescribed using the optical depths of five types of tropospheric aerosols 420 (black carbon, sea salt, sulfate, dust and particle organic matter) from an LMDZ-421 INCA simulation forced with CMIP5 prescribed emissions (Szopa et al., 2013). 422 Stratospheric volcanic aerosols are prescribed similarly but using a long-term average 423 climatology from a last millennium simulation performed with the NCAR Community 424 Climate System Model (Ammann et al., 2007).

425 The 20th century experiment, *historical*, is performed from year 1850 to 2005. This 426 simulation starts from the CNRM-ESM1 states of the last year of the on-line 427 adjustment simulation. The modern evolution of the external forcings of both 428 atmospheric greenhouse gases and incoming solar irradiance follows the 429 recommended yearly average observations (Taylor et al., 2009). The monthly 430 temporal and spatial variability of the five tropospheric aerosols also rely on a LMDZ-431 INCA simulation (Szopa et al., 2013) while those of stratospheric sulphate aerosol 432 concentrations from explosive volcanoes are derived from a 20th century 433 reconstruction of the NCAR Community Climate System Model (Ammann et al., 434 2007).

435 Note there is no land-cover change related to anthropogenic land use in the above-436 mentioned simulations. The fraction of vegetal cover is set to the present-day state 437 using the in-house ECOCLIMAP database (Masson et al., 2013a). Therefore, changes 438 in physical and biogeochemical properties of the vegetation due to actual land-cover 439 changes are excluded by design.

440

441 4- Results

442 **4-1** Model equilibrium in the preindustrial control simulation

To illustrate the stability of CNRM-ESM1 at the end of the spin-up simulation, we show the global average values of a few variables during the 250 years of the piControl simulation (Figure 2) and their drifts (Table 1).

446 In terms of energy balance, the global mean top-of-atmosphere (TOA) net radiative 447 balance is about 3.57 ± 0.23 W m⁻², while the global mean net surface radiation flux (NSF) is 0.87 ± 0.24 W m⁻² (Figure 2a). The imbalance in the energy budget between 448 449 the surface and TOA (about 2.7 Wm-2) is predominantly due to the non-conservation 450 of energy of the spectral atmospheric model and, to a lesser extent, its coupling with 451 the ocean model. Taking apart this non-conservation offset in TOA net radiation flux, 452 there is no discernible deviation between year-to-year fluctuation between the TOA 453 and NSF net radiation fluxes.

454 In terms of global-scale climate indices, the global mean surface temperature (T_{2m}) 455 and sea surface temperature (SST) over the piControl period are 12.52±0.15 and 456 $17.76\pm0.1^{\circ}$ C respectively (Figure 2b). They both display almost no drift over the 457 duration of the piControl simulation (Table 1). We use soil wetness index (SWI) and 458 sea surface salinity (SSS) to evaluate the stability of the simulated water cycle (Figure 459 2c). These both have almost no drift (Table 1), confirming that the water cycle is 460 closed. Also, there is no drift in both Northern Hemisphere and Southern Hemisphere 461 sea-ice volume (NIV, SIV, respectively) for which long-term means are respectively 462 20.88 and 6.25 10^3 km³ (Figure 2d).

463 Regarding the simulated global carbon cycle, Figure 2e shows that the natural carbon 464 cycle is stable over the piControl simulation with terrestrial and oceanic carbon fluxes of 0.75±0.57 and -0.94±0.13 Pg C y⁻¹, respectively. Both terrestrial and oceanic 465 components of the simulated carbon cycle exhibit drifts smaller than 10^{-3} Pg C y⁻¹ 466 467 demonstrating that soil and deep ocean carbon stocks have reached a steady state. 468 Deviation from zero in the terrestrial carbon flux is essentially explained by missing 469 perturbations or processes in ISBA such as fire-induced CO2 emissions or riverine-470 induced carbon transport from land to oceans (Battin et al., 2009; Regnier et al., 471 2013). Natural ocean carbon outgasing falls within the upper range of ocean inverse 472 estimates (Jacobson et al., 2007; Mikaloff Fletcher et al., 2007).

473

474 **4-2 Late 20th century climatology**

475 4-2-1 Land physical drivers

476 In the following, we focus on the physical drivers of the global carbon cycle. From a 477 land perspective, surface temperature (T_{2m}) , precipitation (PR) and photosynthetically 478 active radiation (PAR) are the prominent factors controlling the rate of photosynthetic 479 activity as well as the rate of autotrophic and heterotrophic respiration, and hence the 480 net land-air exchange of carbon.

481 Compared to the CRUTV4 dataset (Harris et al., 2013) over the period 1986-2005,

482 CNRM-ESM1 displays a global annual averaged bias of -3° C in T_{2m} over continents.

483 In Northern Hemisphere winter (DJFM, Figure 3a) simulated T_{2m} is generally lower

484 than the observations except for some regions (e.g., North East Siberia, South of

485 Australia and part of Argentina). The mean bias over continents in boreal winter is 486 about -4°C and can reach up to -6°C over mountain regions. Figure 3b shows that 487 simulated summer (i.e., JJAS) T_{2m} is also generally colder than the observations (-488 0.8°C in global average) over a large fraction of the continents. Only the most 489 Northern domains of the Northern Hemisphere display a warm bias that can reach up 490 to 3°C in the North of Canada. The geographical structure of the T_{2m} bias compares 491 well with those detailed in Voldoire et al. (2013). Such an agreement in the bias 492 structure for T_{2m} was expected since both models rely on the same physical 493 parameterizations for both the atmosphere and land surface physics. Small deviations 494 between CNRM-CM5 and CNRM-ESM1 mean state can be essentially attributed to 495 the land carbon cycle, which appears to amplify the global average annual cold bias of 496 0.8°C (with seasonal differences between CNRM-ESM1 and CNRM-CM5 of -0.7°C 497 and -1°C in boreal winter and summer, respectively). This cooling is due to the 498 enhanced evapotranspiration by the interactive terrestrial biosphere compared to the 499 fixed one in CNRM-CM5.

500 Figure 4 shows the regional structure of the precipitation (PR) bias of CNRM-ESM1 501 with respect to the Global Precipitation Climatology Project (GPCP) observations 502 (Adler et al., 2003). Over continents, CNRM-ESM1 slightly underestimates the 503 amount of the seasonal PR except over Asia, the Western coast of America and 504 Australia. The major regional bias in seasonal PR is found over Amazonia, where PR 505 is underestimated by 2 and 5 mm day⁻¹ in boreal summer and winter, respectively. 506 Similar to state-of-the-art Earth system models, CNRM-ESM1 displays an excess of 507 precipitation over the oceans. This excess is especially strong in the Southern part of 508 the tropical oceans and is associated with the overestimated seasonal latitudinal 509 migration of the ITCZ. The land biosphere biophysical coupling induces small but 510 noticeable changes in the global hydrological cycle between CNRM-CM5 and 511 CNRM-ESM1. Although weak, changes induced by the ISBA biophysical coupling 512 slightly affect the representation of the seasonal cycle in PR over the vegetated 513 regions (Figure S1). These lead to improve the simulated PR in CNRM-ESM1 514 compared to CNRM-CM5 over some vegetated regions during the growing season 515 (spring-summer). Between 30°N and 60°N, the average error in simulated PR 516 compared to GPCP is reduced by 0.12 mm day⁻¹ with CNRM-ESM1 compared to that 517 of CNRM-CM5. Over the tropics (30°S-30°N), simulated PR is also improved in

518 CNRM-ESM1 but to a lesser extent with a reduction of the average error by 0.06 mm 519 day⁻¹ with respect to GPCP. Although PR have been improved over some regions, 520 their geographical pattern has been degraded in CNRM-ESM1 compared to CNRM-521 CM5, especially during the winter.

522 Compared to SRB satellite-derived observations (Pinker and Laszlo, 1992), CNRM-523 ESM1 overestimates the photosynthetically active radiation (PAR) globally (Figure 524 5). Major biases are found over continents except for some regions in the Tropics. The 525 magnitude of the seasonal biases is weaker in Northern Hemisphere winter than in 526 summer when regional biases reach up to 20-30 W m⁻² over the Western border of the 527 continents. Regions where PAR is underestimated match reasonably well with those 528 showing too intense precipitations compared to the GPCP dataset (Figure 4). The 529 general overestimation in PAR is due to the substantial underestimation in low cloud 530 cover in CNRM-ESM1 consistent with CNRM-CM5. Biases in PAR are also found 531 over ocean upwelling system and are linked with an underestimated fraction of 532 stratocumulus.

533

534 4-2-2 Ocean physical drivers

535 From an oceanic perspective, temperature is as important as over land surface because 536 it sets the marine biota's growth rate, playing a large role in the biological-mediated 537 processes (e.g., export, soft tissue pump). In addition, both temperature (T) and 538 salinity (S) control the solubility of CO₂ into seawater and the chemical-mediated air-539 sea exchanges of carbon. The mixed-layer depth (MLD) and the sea-ice cover (SIC) 540 are also critical drivers of the ocean carbon cycle as they both contribute to the 541 nutrient-to-light limitation in the high latitude oceans (Sarmiento and Gruber, 2006). 542 In the following, we assess the representation of these drivers.

543 Compared to WOA2013 data products (Levitus et al., 2013), CNRM-ESM1 544 realistically simulates both the mean annual sea surface temperature and sea surface 545 salinity, both in terms of amplitude and spatial distribution, as shown in Figure 6ab. 546 Moderate positive biases in sea surface temperature and sea surface salinity are found 547 in the Southern Ocean and in the Eastern boundary upwelling systems. Strong biases 548 in sea surface salinity are found in the Labrador and Arctic Seas. While most of these 549 biases are related to an overestimated atmospheric surface heating, biases in the 550 Labrador Sea and in the Arctic are essentially due to erroneous representation of the 551 mixed-layer depth and the Arctic sea-ice cover. These points will be further detailed 552 below.

553 At depth, the vertical structures in simulated T and S display biases from those 554 estimated from WOA2013 observations. T is underestimated by ~2°C within the first 555 1000 m of the Atlantic and Pacific oceans, except in the deep water formation zone 556 (North Atlantic, North Pacific and Southern Ocean), where the model displays 557 positive biases. The largest deviation in vertical structure of simulated S from that 558 estimated from WOA2013 are found in deep water formation zones where haline 559 biases of about ~1 psu tend to compensate for the warm bias in T, enabling deep 560 convection of water masses. Because of this compensating mechanism, the flow of 561 North Atlantic deep waters (NADW) fueling the Atlantic meridional overturning 562 circulation is about 26.1±2 Sv at 26.5°N in CNRM-ESM1 averaged over the 1850-563 2005 period. This value is stronger than the observations-derived estimate of 18 ± 5 564 Sv (Talley et al., 2003) or the observations from RAPID-MOCHA monitoring array 565 over 2004-2007 estimating the flow at about 18.5 ± 4.9 Sv (Johns et al., 2011). In the 566 Southern Ocean, the flow of Antarctic bottom water (AABW) is about 11.6±1 Sv in 567 CNRM-ESM1 averaged over the 1850-2005 period. This flow of AABW is in 568 agreement with the deep flow of waters compared to the observed estimate of 10 ± 2 569 Sv (Orsi et al., 1999). Consequently, the flow of deep water masses in CNRM-ESM1 570 has been improved in regards that of CNRM-CM5 which ranges between 3.4 and 6.2 571 Sv over the same period (Séférian et al., 2013; Voldoire et al., 2013). As detailed in 572 several intercomparison studies (de Lavergne et al., 2014; Heuzé et al., 2013; Sallée et 573 al., 2013; Séférian et al., 2013), CNRM-CM5 substantially underestimated the flow of 574 AABW leading to an erroneous distribution of hydrodynamical and biogeochemical 575 fields at depth. Here, although stronger than the observation-based estimates, the flow 576 of NADW and AABW improves the deep ocean ventilation as well as the distribution 577 of tracers at depth (section 4-2-5).

578 As mentioned above, an accurate representation of spatial and temporal MLD is 579 essential for numerous ocean biogeochemical processes. For example, winter mixing

580 entrains carbon- and nutrient-rich deep waters to the surface, which play an important 581 role in the transfer of CO₂ across the sea-to-air interface. In summer, MLD contributes 582 to the nutrient-to-light limitation of the phytoplankton growth in high-latitude oceans. 583 The maximum and minimum mixed-layer depth (hereafter, MLD_{max} and MLD_{min}) are 584 respectively used as a proxy of the winter and summer MLD since mixing occurs 585 randomly during seasons in response to numerous environmental factors (wind, 586 stratification, local instability etc...) that present a large spatiotemporal variability. 587 Figure 7 presents composites of yearly MLD_{max} and MLD_{min} as simulated by CNRM-588 ESM1 in averaged over the 1986-2005 period and as derived from observations 589 (Sallée et al., 2010). Figure 7ab shows that CNRM-ESM1 reproduces the main 590 regional pattern of MLD_{max} compared to the observation-derived estimates. However, 591 the model tends to simulate too large and too deep mixing sites in the North Atlantic, 592 the North Pacific and the Southern Ocean. In the North Atlantic, the larger than 593 observed mixed volume of surface dense waters (combination of surface area and 594 depth of the mixing zone) is at the origin of the strong flow of NADW simulated in 595 CNRM-ESM1. In the Southern Ocean, although open ocean polynyas were observed 596 from space in the past decades (Cavalieri et al., 1996; Comiso, 1999), their locations 597 are erroneous in CNRM-ESM1 similarly to several other CMIP5 Earth system models 598 (de Lavergne et al., 2014). CNRM-ESM1 simulates open ocean polynyas in the Indian 599 basin and close to the Ross Sea but not in the Atlantic basin as observed from space 600 between 1974 and 1976.

601 Compared to the observation-derived estimates, CNRM-ESM1 captures tha main 602 regional pattern of MLD_{min} but the model fails at reproducing the deepest values of 603 mixing in the Southern Ocean and the Tropics. This bias might be linked to the 604 current parameterization of the ocean mixing employed in CNRM-ESM1 because 605 previous model version using this parameterization also exhibited similar patterns of 606 errors as detailed in Séférian et al. (2013) and Voldoire et al. (2013).

607 Similarly to the MLD, SIC is an important driver of the ocean carbon cycle. It 608 constitutes a physical barrier for exchange of CO_2 between the ocean and the 609 atmosphere leading to an accumulation of carbon-rich waters below the sea ice 610 (Takahashi, 2009). It also plays a large role in the seasonal timing of algal blooms 611 (Wassmann et al., 2010). Compared to the MLD, seasonal variations of sea ice are 612 strongly and directly responsive to the seasonal fluctuations of atmospheric forcing. 613 Therefore, it matters that the model is able to accurately capture the spatial 614 distribution and timing of annual minimal and maximal sea ice covers in both 615 Hemispheres. For this purpose, we evaluate differences between composites of 616 simulated and observed SIC (Cavalieri et al., 1996) for September and March over the 617 1986-2005 period (Figure 8). In the Arctic Ocean, CNRM-ESM1 underestimates SIC 618 in the Beaufort, Chukchi and East Siberian seas in September, while too much sea ice 619 tends to be present in the Barents Sea (Figure 8a). In March, SIC is largely 620 overestimated in the Barents and Nordic seas, as well as in the Bering and Okhotsk 621 seas on the Pacific Ocean side, showing that the simulated winter sea ice edge spreads 622 too far South and East in these regions (represented with iso-15% in Figure 8c). On 623 the contrary, SIC is slightly underestimated in the Labrador Sea and Baffin Bay in 624 March (Figure 8c). This too far North ice edge comes along with positive SST biases 625 in this region (Figure 6a), and explains why the simulated deep convection zone is too 626 large and shifted northward in CNRM-ESM1 as shown in Figure 7.

627 In the Antarctic Ocean, Figure 8b shows that the spatial structures of SIC biases 628 mirror somehow the model-data mismatch in MLD as shown in Figure 7b. That is, in 629 austral winter, CNRM-ESM1 underestimates SIC where erroneous open ocean deep 630 convection zones are located, namely offshore Wilkes Land in the Indian Ocean 631 sector (Figure 8b). Conversely, too much sea ice is simulated in the Atlantic Ocean 632 sector. As in CNRM-CM5.1, simulated summer Antarctic SIC is strongly 633 underestimated, with very little sea ice surviving summer melt in the Weddell and 634 Ross Seas (Figure 8d).

635

636 4-2-3 Comparison with previous model version

In the following, we compare the skill of CNRM-ESM1 to the closest version of
CNRM-CM5 climate model, called CNRM-CM5.2. Figure 9 summarizes skillassessment metrics for CNRM-ESM1 and CNRM-CM5.2 in terms of major physical
drivers of the global carbon cycle (field maps and patterns of errors are presented in
Figures S2 to S7)

The Taylor diagram for land surface physical drivers clearly demonstrates that
CNRM-ESM1 and CNRM-CM5 display comparable skills except for PR (Figure 9a).
Most of the differences in skills are indeed not significant at a 95% confidence level;
models differ solely in terms of PR for which CNRM-ESM1 produces slightly weaker
correlation coefficients.

647 Over the ocean, Figure 9b shows further differences between both models. The 648 weakest difference in skill concerns SST for which both models display good 649 agreement with WOA2013. Regarding the MLD, CNRM-ESM1 displays a slightly 650 better agreement than CNRM-CM5.2 with observation-derived MLD (Sallée et al., 651 2010) in terms of correlation but strongly underestimates the spatial variations of this 652 field. Major differences are noticeable for SSS. CNRM-ESM1's skill is clearly lower 653 than that of CNRM-CM5.2. To investigate this difference, we have computed skill of 654 PR over the ocean since this latter contributes to the spatiotemporal distribution of the 655 SSS concomitantly to the runoff and the sea-ice seasonal cycle. Skill in PR over the 656 ocean is similar for both models (blue diamonds on Figure 9b). A similar finding is 657 noticed for simulated runoff (not shown). Therefore the difference in simulated SSS 658 between the two models can be attributed to the revised water conservation interface 659 and erroneous distribution of sea-ice cover. In addition, changes in coupling 660 frequency (i.e. 24h to 6h) might be at the origin of differences in skills between the 661 two models since it impacts sea-ice cover (Figure 10).

From the small differences in skill between the two models, we can assume that the inclusion of the global carbon cycle and the biophysical coupling have not noticeably altered the simulated mean-state climate in CNRM-ESM1 compared to that of CNRM-CM5.2.

- 666
- 667 **4-2-4 Terrestrial carbon cycle**

668 Now that the physical drivers of the global carbon cycle have been evaluated, we 669 assess the ability of CNRM-ESM1 to replicate available modern observations of the 670 terrestrial carbon cycle. We focus on gross primary productivity (GPP), vegetation 671 autotrophic respiration (Ra) and soil organic carbon content (cSoil) that control the 672 net natural fluxes of CO_2 on land. Simulated budget of vegetation biomass and total 673 ecosystem respiration (TER, sum of autotrophic and heterotrophic respirations) are 674 evaluated against available published estimates. While we can assess the capability of 675 CNRM-ESM1 to fix and emit carbon on land, it is important to note that the CO_2 676 fluxes due to land-use changes are not taken into account in this analysis.

677 To evaluate CNRM-ESM1 GPP, we rely on two streams of data, namely the FluxNet-678 MTE (Jung et al., 2011) and the MOD17 satellite-derived observations (Running et 679 al., 2004). Figure 11 shows that the annual mean GPP as simulated by CNRM-ESM1 680 is slightly too strong compared to the observed estimates. The largest model-data 681 mismatch is found in the Tropics between 10°N and 20°S, where CNRM-ESM1 682 simulates erroneous patterns of high GPP. Over Amazonia, CNRM-ESM1 fails to 683 reproduce the zonal gradient of GPP. Regions of high GPP are in association with 684 overestimated PAR and, to a lesser extent, underestimated PR in summer (Figure 4 685 and Figure 5, respectively; see also Figure S8). The geographical structure of 686 simulated GPP fits the observed over the African and Asian rain forest but its 687 amplitude is overestimated by about 3 gC m⁻² day⁻¹. This regional overestimation 688 impacts both the zonal and global GPP budget, which are larger than the published 689 estimates except >60°N (Table 2). This stronger-than-observed GPP constitutes a 690 systematic bias of the current version of ISBA. In an offline simulation, (Carrer et al., 691 2013b) show that ISBA forced with PGF overestimates global GPP by 60 Pg C y⁻¹. 692 Regional biases in GPP are partly compensated by overestimated Ra (Figure 12). 693 Simulated Ra agrees reasonably well with satellite-derived estimates except in the 694 Tropics. This bias compensation between GPP and Ra is analyzed in detail by Joetzjer 695 et al. (2015). In this study, the authors demonstrate that the current parameterizations 696 of Ra and water stress in ISBA are not adequate for tropical broadleaf trees (Figure 1). 697 Considering that these results were deduced from offline simulations forced with in 698 situ observations, we can assume here that biases in GPP and Ra result from a 699 combination of erroneous ecophysiological parameterizations and biases in physical 700 drivers in CNRM-ESM1.

Despite these biases, the global partitioning between vegetation biomass and soil carbon is realistic with 596.7 and 2105 Pg C compared to the observed estimates of 560±94 (DeFries et al., 1999) and 1750±250 Pg C (Houghton, 2007), respectively. 704 Furthermore, the geographical structure of cSoil agrees well with Harmonized World 705 Soil Database (JRC, 2012) except in the Northern Hemisphere (Figure 13). Although 706 several processes are missing in ISBA to accurately simulate high-latitude carbon 707 stock (e.g., permafrost dynamics, bacterial degradation of the litter, fire-induced 708 turnover etc...), a part of cSoil underestimation can be attributed to the summer warm 709 bias in near-surface temperature (Figure 3b). This latter tends to enhance 710 heterotrophic respiration of the soil, reducing the soil organic matter (R>0.6, Figure 711 S2).

712 Table 2 shows that CNRM-ESM1 overestimates globally terrestrial ecosystem 713 respiration (TER) when compared to the up-scaled measurements of FluxNet-MTE. In 714 the Tropics, simulated TER fluxes are 32% higher than the FluxNet-MTE estimates. 715 As mentioned above, this bias is essentially due to an unrealistic Ra, which amounts 716 to 72% of TER over the sector in the model. Table 2 shows that the simulated TER is 717 126.9 Pg C y⁻¹, larger than estimates published by Jung et al. (2011) of 96.4±6.0 Pg C 718 y^{-1} . Nevertheless, the simulated net land carbon sink (LCS), which can be estimated 719 by subtracting TER from GPP, is 2.19 Pg C y⁻¹ in average over the 1986-2005 period 720 and remains within the range of values estimated from various observation-based 721 methods (IPCC, 2007; 2013; Le Quéré et al., 2014).

722

723 **4-2-5 Ocean carbon cycle**

724 Compared to the terrestrial carbon cycle, the ocean carbon cycle has already been 725 implemented in previous versions of CNRM-CM5 (Séférian et al., 2013). The 726 modeled marine biogeochemistry components have already benefited from detailed 727 evaluation against modern observations (Frölicher et al., 2014; Séférian et al., 2013), 728 analyses of future projections (Laufkötter et al., 2015) and sensitivity benchmarking 729 (Schwinger et al., 2014). The major difference between CNRM-ESM1 and previous 730 versions of CNRM-CM5 including a marine biogeochemistry module lies in the 731 representation of ocean tracers in the deep ocean. Figure 14 shows that the 732 representation of oxygen, phosphate, nitrate and silicate fields was improved in 733 CNRM-ESM1 at depth, except around 1000 m where the strong flow of NADW tends 734 to alter the distribution of tracers. Below 1500 m, the tracer distribution is in reasonable agreement with the observations with correlation coefficients ~ 0.8 . This represents a noticeable improvement with respect to the CNRM-CM5 oxygen distribution (R ~ 0.4). In addition to nutrients, the vertical distribution of carbon-related fields like dissolved inorganic carbon has been substantially improved in CNRM-ESM1 compared to CNRM-CM5 (Figure S9), showing a much better agreement with GLODAP observations (Key et al., 2004; Sabine et al., 2004).

741 In terms of carbon cycling into the ocean, Figure 15 shows the simulated mean annual 742 sea-air CO_2 fluxes over 1986-2005 together with observation-based estimates by 743 Takahashi et al. (2010) using 2000 as a single reference year. While the model 744 broadly agrees with the observations in terms of spatial variation for regions of carbon 745 sink (i.e., North Atlantic, North Pacific and between 50°S-40°S), it displays a too 746 strong source of carbon to the atmosphere in the equatorial Pacific and in the Southern 747 Ocean. In the equatorial Pacific, the model-data mismatch is likely related to the 748 decision of Takahashi et al. (2010) to exclude observations from El Niño years from 749 their analysis. Since surface ocean pCO₂ of the Eastern tropical Pacific during El Niño 750 events tends to be lower than the long-term mean, the Lamont-Doherty Earth 751 Observatory (LDEO) climatology tends to underestimate outgasing of CO₂ in the 752 equatorial Pacific over the 1986-2005 period. This hypothesis is validated when 753 comparing model results against recent data products derived from statistical Monte-754 Carlo Markov Chain or Neural Network gapfilling methods (Landschützer et al., 755 2014; Majkut et al., 2014, Figure S10). In the Southern Ocean, the model-data 756 mismatch is especially pronounced south of 60°S. This bias in fgCO₂ is associated 757 with overestimated mixing (Figure 7), which tends to bring too much deep carbon-758 rich water masses to the surface, enhancing the outgasing of CO₂. CNRM-ESM1 759 results display similar discrepancy when compared to other recent observation-760 derived data products which agree in a moderate CO₂ outgasing south of 60°S (Figure 761 That said, simulated patterns of sea-to-air carbon fluxes in this domain S10). 762 qualitatively agree with the data in showing a combination of source and sink regions.

The storage of anthropogenic CO_2 by the oceans (CO_2^{ANTH} , Figure 16) provides a complementary view of the ocean carbon fluxes by revealing the chronology of the ocean CO_2 uptake from preindustrial to modern state. Here, we have chosen to stick to the available observation-derived estimates, GLODAP, which use year 1994 as a 767 single reference year (Key et al., 2004; Sabine et al., 2004). In order to account for the 768 interannual variability of the simulated fields, we chose to analyze yearly average 769 results from CNRM-ESM1 over 1990-2005 (Figure 16). Besides, computation of 770 CO_2^{ANTH} is not straightforward since natural and anthropogenic pools of carbon are not 771 treated separately in PISCES. We approximate consequently CO₂^{ANTH} from the 772 difference between modern and preindustrial stocks of dissolved inorganic carbon. 773 Negative values were set to zero in the computation since they are essentially 774 generated from differences in simulated interannual variability. Ideally, this 775 computation would have required a historical simulation with constant preindustrial 776 atmospheric CO_2 for the sea-to-air CO_2 fluxes. Figure 16 shows that the maximum 777 CO_2^{ANTH} is concentrated in the North Atlantic region. This feature is linked to the 778 large-scale circulation in the surface layer of the ocean, which converges in the North 779 Atlantic, before being exported to depth with the flow of NADW (Pérez et al., 2013). 780 The Southern Ocean also stores a large fraction of CO₂^{ANTH} in association with the 781 subduction of modal and intermediate water masses (Sallée et al., 2012). Compared to 782 this global view, CNRM-ESM1 displays features that are broadly consistent with the 783 CO₂^{ANTH} estimates. However, the stronger flow of NADW and AABW leads to a depletion of the stock of CO_2^{ANTH} between 0 and 1200 m (Figure 16c). This 784 785 mechanism leads to an increase in the stock of CO₂^{ANTH} at depth. Over the 1850–1994 786 period, the model takes up a total of 100.8 Pg C, which is in agreement with the 787 observations that suggest a net uptake of 106 ± 17 Pg C over the same period 788 (Khatiwala et al., 2013; Sabine et al., 2004).

789

790 **4-2-6 Ecosystem dynamics**

In this section, we assess the performance of CNRM-ESM1 in terms of two ecosystem dynamics parameters, namely the peak leaf area index (LAI_{max}) and the ocean surface chlorophyll (Chl). Both parameters are monitored continuously from space since the 1980s and the 1990s, respectively, providing a suitable set of indirect observations to assess the simplified ecosystem representation embedded in Earth system models. 797 Regarding LAI_{max}, Figure 17 shows that the model agrees well with satellite-derived 798 observations (Zhu et al., 2013) except over Africa and Asia with overestimated 799 values. As such, this ecosystem parameter behaves similarly to GPP and Ra, 800 responding to biases in PR and PAR. In the Northern mid-latitudes, LAI_{max} is slightly 801 overestimated compared to the satellite-derived observations but remains in the low 802 range of values simulated by other CMIP5 Earth system models evaluated in Anav et 803 al. (2013b). Using an offline simulation forced with atmospheric reanalyzes (Szczypta 804 et al., 2014) shows similar biases in LAI over Northern Europe as those noticed in 805 CNRM-ESM1. It is thus likely that missing processes like forest and crop 806 management or fire-induced disturbance might induce an overestimated LAI_{max}.

807 Regarding ocean Chl, Figure 18 shows that CNRM-ESM1 displays a reasonable 808 agreement with satellite-derived observations (O'Reilly et al., 1998). Although 809 regional patterns of Chl concentrations were improved compared to that of CNRM-810 CM5 (Séférian et al., 2013), major model discrepancies are found in oligotrophic 811 gyres and equatorial upwellings. Biases are more pronounced in the Southern 812 Hemisphere where the model fails to produce very low Chl in the Southern Pacific 813 gyres. CNRM-ESM1 also fails at capturing Western border high Chl concentrations in 814 relation with the equatorial upwelling. Underestimated Chl concentrations in 815 upwelling systems are essentially due to biases in surface wind forcing but also to the 816 coarse horizontal and vertical resolution of the ocean model. This model limitation 817 partly explains why Chl concentrations are underestimated in high-latitude oceans. In 818 these domains, high coastal concentrations are captured from satellite sensors but 819 cannot be resolved by the model due to its coarse resolution.

820

821 **4-3 Recent evolution of the Climate system**

In the present section, we analyze the transient response of various climate indices to the recent climate forcing from 1901 to 2005. We focus on the near-surface temperature (T_{2m}), the September Arctic sea-ice extent (SIE), the 0-2000 m ocean heat content (OHC) as well as the land and ocean carbon sinks (LCS, OCS, respectively). Over this period, these climate indices are analyzed with their nominal values except for T_{2m} and OHC that are represented with respect to the 1961-1990 and the 1955828 2005 periods, respectively. Figure 19 illustrates how these various climate indices
829 evolve from 1901 to 2005 and Table 3 summarizes their mean-state, interannual
830 variability (IAV) and decadal trends over the 1986-2005 period.

831 Figure 19 shows that the transient response of T_{2m} agrees reasonably well with 832 modern observations (Morice et al., 2012). At the end of the last decades of the 833 historical simulation (i.e. 1986-2005), CNRM-ESM1 overestimates the T_{2m} increase, a 834 discrepancy widely shared by other CMIP5 Earth system models (Huber and Knutti, 835 2014; Kosaka and Xie, 2013; Meehl et al., 2011; Watanabe et al., 2013). The 836 amplitude of the simulated recent IAV is in line with the observations (Table 3). In 837 particular, the model simulates strong cooling followed by stronger warming after the 838 1991 mount Pinatubo eruption. Contrasting with temperature, the simulated SIE 839 poorly agrees with observation-based estimates (Cavalieri et al., 1996; Comiso, 1999; 840 Rayner et al., 2003). Indeed, CNRM-ESM1 underestimates the mean-state SIE by 841 about 2 10⁶ km² and overestimates not only the IAV but also the decadal decrease in 842 extent (Table 3). Therefore, in terms of Arctic sea ice, the skill of CNRM-ESM1 is 843 similar to CNRM-CM5 as detailed in Massonnet et al. (2012). A better agreement is 844 found for OHC for which CNRM-ESM1 results agree with observation-based 845 estimates in term of mean-state and decadal trends (Figure 19, Table 3). Only the 846 recent IAV in OHC is underestimated by the model, but this latter is poorly 847 constrained by the observations in regards of the little amount of data available below 848 1000 m (Levitus et al., 2012; 2009; Willis et al., 2004).

849 The recent evolution of LCS and OCS agrees with the range of observation-based and 850 model-derived estimates (Le Quéré et al., 2014; Takahashi et al., 2010) with an uptake 851 of CO₂ of about 2.1 and 1.7 Pg C y⁻¹ for land and ocean, respectively (Table 3). 852 Underestimation in mean-state OCS is essentially due to the stronger river-induced 853 offshore outgasing of CO₂ which is about 0.9 Pg C y⁻¹ in the model and assumed to be 854 of 0.45 Pg C y⁻¹ in the observation-derived estimates. Both OCS and LCS IAV are 855 underestimated in CNRM-ESM1 compared to the estimates. For OCS IAV, this 856 behavior is found in most ocean biogeochemical models as shown in Wanninkhof et 857 al. (2013). Indeed, simulated IAV from biogeochemical models substantially contrasts 858 with the large IAV estimated from atmospheric inversion which also contributes to 859 the mix of observations and model reconstructions that compose the data (Le Quéré et 860 al., 2014). For the land carbon cycle, underestimated LCS IAV may be related to the 861 under-sensitivity of ISBA to climate variability in contrast with the over-sensitivity to 862 the rising CO_2 , a behavior shared with other land surface process-based models (Piao 863 et al., 2013). Note that differences in phase between simulated and estimated LCS 864 were expected since the land sink of carbon is approximated from the difference 865 between atmospheric growth rate, land-use emissions and ocean carbon sink 866 (Friedlingstein et al., 2010).

867

868 5- Summary & conclusions

869 In this manuscript, we evaluate the ability of the Centre National de Recherches 870 Météorologiques Earth system model version 1 (CNRM-ESM1) to reproduce the 871 modern carbon cycle and its prominent physical drivers. CNRM-ESM1 derives from 872 the atmosphere-ocean general circulation model CNRM-CM5 (Voldoire et al., 2013) 873 that has contributed to CMIP5 and to the fifth IPCC assessment report. This model 874 employs the same resolution and components as CNRM-CM5 although it uses 875 updated versions of the atmospheric model (ARPEGE-CLIMAT v6.1), surface 876 scheme (SURFEXv7.3) and sea-ice model (GELATO6) in addition to a 6-hour 877 coupling frequency. Several biophysical coupling processes are enabled in CNRM-878 ESM1 thanks to the terrestrial carbon cycle module ISBA (Gibelin et al., 2008) and 879 the marine biogeochemistry module PISCES (Aumont and Bopp, 2006). They consist 880 of the land biosphere-mediated evapotranspiration feedback and the ocean biota heat-881 trapping feedbacks.

882 Since an earlier version of CNRM-CM5 including the marine biogeochemistry 883 module PISCES was distributed and used in several studies (Frölicher et al., 2014; 884 Laufkötter et al., 2015; Schwinger et al., 2014; Séférian et al., 2013), the inclusion of 885 the terrestrial carbon cycle module ISBA constitutes the major advancement in the 886 CNRM-ESM1 development. Although the ISBA terrestrial carbon cycle module was 887 developed at CNRM in the 2000s, it had never been coupled to an atmosphere-ocean 888 model and run for long climate simulations. Here, we show that ISBA embedded in 889 CNRM-ESM1 reproduces the general pattern of the vegetation and soil carbon stock 890 over the last decades. Although the photosynthesis scheme in ISBA differs from the 891 other state-of-the-art process-based models (e.g., Dalmonech et al., 2014), the model 892 displays similar behavior. That is, it overestimates both the land-vegetation gross 893 primary productivity and the terrestrial ecosystem respiration. The compensation 894 between these two fluxes leads to a correct land carbon sink over the modern period 895 that agrees with the most up-to-date estimates (Friedlingstein et al., 2010; Jung et al., 896 2011; Le Quéré et al., 2014). The largest model-data mismatch is found in the Tropics 897 where the gross uptake of CO_2 from the vegetation is strongly compensated by an 898 overestimated autotrophic respiration. Maybe apart from this compensating 899 mechanism, our analysis demonstrates that the terrestrial carbon cycle module of 900 CNRM-ESM1 displays similar performances as other IPCC-Class vegetation models 901 (Figures 20 and Figures S11 and S12, see also details in Anav et al. (2013a) and Piao 902 et al. (2013)). The future effort in development will be oriented towards a better 903 parameterization of the carbon absorption and respiration by the vegetation in 904 association with a better representation of ecophysiological processes as detailed in 905 Joetzjer et al. (2015). Further processes like fire-induced disturbance, mortality or 906 linked with permafrost will also be included in order to improve the representation of 907 the live biomass and soil carbon pool.

908 Regarding the marine biogeochemistry component, CNRM-ESM1 produces results in 909 terms of biogeochemical variables that are comparable to other IPCC-class ocean 910 biogeochemical models (Figure 20). The global distribution of biogeochemical tracers 911 such as oxygen, nutrients and carbon-related fields has been improved with respect to 912 an earlier model version presented in Séférian et al. (2013) (Figure 14 and Figure S9). 913 This change is attributed to a stronger northward flow of deep water masses from the 914 Southern ocean which improves the vertical distribution of biogeochemical tracers. 915 However, the strengthening of the meridional flow of deep water masses has also 916 distorted the vertical structure of some carbon-related fields. Indeed, the unrealistic 917 flow of North Atlantic deep water of about 26.1 Sv tends to deplete the stock of 918 anthropogenic carbon storage between surface and 1200 m (Figure 16c) and 919 consequently to increase it at depth. Since biases in anthropogenic carbon storage 920 compensate across the water column, the simulated anthropogenic carbon storage 921 agrees with 1994 observation-based estimates. Regarding the ocean carbon sink, 922 CNRM-ESM1 simulates a global ocean carbon sink that falls within the lower range 923 of the combination of observation and model estimates over the recent years (Le

924 Quéré et al., 2014). This slightly underestimated carbon sink is attributed to larger 925 outgasing of natural CO_2 induced by the riverine input, which fits the upper range of 926 values documented in the fifth IPCC assessment report (IPCC, 2013). Future 927 development will target a better representation of this flux of carbon in close 928 relationship with the recent development on the land surface hydrology (Decharme et 929 al., 2013).

930 We show that CNRM-ESM1 displays results comparable to those of CNRM-CM5 in 931 spite of the inclusion of the global carbon cycle and various biophysical feedbacks. 932 Simulated near-surface temperature, precipitation, incoming shortwave radiation over 933 continents as well as temperature, salinity and mixed-layer depth over oceans broadly 934 agree with observations or satellite-derived product. Except for the salinity and the 935 mixed-layer depth, CNRM-ESM1 display quite similar skill at simulating physical 936 drivers of the global carbon cycle compared to CNRM-CM5. Such a comparison 937 demonstrates the reliability of this model to produce suitable simulations for future 938 climate change projection and impacts studies.

939 In addition to preindustrial control and historical simulations discussed in this
940 manuscript, several other simulations were performed with CNRM-ESM1 following
941 both the CMIP5 and GeoMIP experimental design. The CNRM-ESM1 model outputs
942 (referred as "CNRM-ESM1") are available for download on ESGF under CMIP5 and
943 GeoMIP projects.

944

945 Code availability:

A number of model codes developed at CNRM, or in collaboration with CNRM
scientists, is available as Open Source code (see https://opensource.cnrm-game-
meteo.fr/ and http://opensource.cnrm-game-
meteo.fr/ and http://www.nemo-ocean.eu/). However, this is not the case for the Earth
system model presented in this paper. Part of its code is nevertheless available upon
request from the authors of the paper.

951

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	[W m ⁻²]	[W m ⁻ ²]	[°C]	[°C]	[-]	[psu]	[10 ³ km ³]	[10 ³ km ³]	[Pg C y ⁻¹]	[Pg C y ⁻¹]

Drift	4.4 10-4	4.5	-1.2	9.6 10 ⁻⁵	-1.6 10 ⁻⁵	-1.9 10-5	-4.4	7.2 10	-1.5	-2.0
[units		10-4	10-5				10-3	3	10-4	10-4
century ⁻¹]										

1492	Table 1: Drift in climate indices used to evaluate the equilibrium of CNRM-ESM1's
1493	physical and biogeochemical components. The drifts are computed over the 250-year
1494	long preindustrial simulation of CNRM-ESM1 for the top of the atmosphere net
1495	radiative balance (TOA), the net surface heat flux (NSF), the near-surface temperature
1496	(T_{2m}) , the sea surface temperature (SST), the sea surface salinity (SSS), the soil
1497	wetness index (SWI), the northern and southern sea-ice volume (NIV and SIV,
1498	respectively) as well as the land and ocean global carbon fluxes (LCF and OCF).

Regions	CNRM-ESM1	MTE-FluxNet	CNRM-ESM1	MTE-FluxNet
	GPP [I	$Pg C y^{-1}$]	TER [$Pg C y^{-1}$]
High latitude	2.6	4.8±0.8	2.5 (38%)	3.1±0.8
north (>60°N)				
Mid-latitude	37.9	34.8±2.7	36.23 (52%)	29.9±2.7
North (20°N-				
60°N)				
Tropics (20°S-	73.2	62.3±1.9	72.58 (72%)	54.8±1.9
20°N)				
Mid-latitude	16.1	9.3±0.6	15.6 (56%)	8.5±0.6
South (20°S-				
60°S)				
Global	130.0	111.3±6.0	126.9 (64%)	96.4±6.0

Table 2: Regional and global budget of gross primary production (GPP) and 1503 terrestrial ecosystem respiration (TER) as simulated by the CNRM-ESM1 and estimated from the FluxNet-MTE data product. Values in brackets indicate the ratio
between the autotrophic respiration (Ra) and TER. The uncertainties for the FluxNetMTE data product derives from the regional partitioning of global mean uncertainties
published in (Jung et al., 2011). GPP and TER fluxes are determined from a yearly
average over 1986-2005.

		CNRM-ESM1	Observations
	mean	0.43	0.30±0.08 (Morice et al., 2012)
T_{2m} [°C]	IAV	0.13	0.10
	trend	4.0 10 ⁻²	2.6 10 ⁻²
	mean	4.68	6.70±0.26 (Comiso, 1999; Fetterer et al.,
			2002; Rayner et al., 2003)
SIE $[10^{6} \text{ km}^{2}]$	IAV	1.14	0.46
	decadal	-16 10 ⁻²	-6.8 10 ⁻²
	mean	3.34	3.50±1.42 (Levitus et al., 2012)
OHC [10 ²² J]	IAV	0.69	1.43
	trend	0.44	0.50
		2.10	
	mean	2.19	2.06 ± 1.0 [models] (Le Quere et al., 2014)
			2.19±0.8 [Residual land carbon sink]
			(Friedlingstein et al., 2010)
LCS [Pg C y ⁻¹]	IAV	0.59	1.01
	trend	0.3 10-2	1.8 10 ⁻²
	mean	1.65	1.87±0.4 [models] (Le Quéré et al., 2014)
			2.15±0.5 [obsmodels combination]
			2.0±0.7 (Takahashi et al., 2010)

OCS [Pg C y ⁻¹]	IAV	0.09	0.14
	trend	4.5 10-2	1.8 10-2

1511 Table 3: Modern mean-state, interannual variability (IAV) and decadal trends of 1512 various global climate indices: the near-surface temperature (T_{2m}) , Arctic September 1513 sea-ice extent (SIE), 0-2000m ocean heat content (OHC) as well as the land and ocean 1514 carbon sinks (LCS and OCS respectively). For LCS and OCS, positive values indicate 1515 an uptake of CO₂ by land and ocean. All metrics are computed over the 1986-2005 1516 period for both model and observations. Decadal trends are estimated from linear 1517 regression over the 1986-2005 period. IAV is estimated from the standard deviation of 1518 the detrended time series.

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1523	Figure 1: Fraction of dominant vegetation type as precribed in SURFEX. This
1524	fraction results from aggregation of the various ECOCLIMAP's vegetation types at 1
1525	km resolution over the T127 CNRM-ESM1 horizontal grid (~1.4° nominal horizontal
1526	resolution).

1527

1528 Figure 2: Time series of various climate indices along the 250-year long control 1529 simulation. (a) Net radiative fluxes at the top of the atmosphere (in red, left y-axis) 1530 and surface (in blue, right y-axis) are used to assess the stability of the climate energy 1531 flow in the model; (b) Near-surface global average temperature (in red, left y-axis) 1532 and global averaged sea surface temperature (in blue, right y-axis); (c) Soil wetness 1533 index (in red, left y-axis) and sea surface salinity (in blue, right y-axis) are used as 1534 proxy of the hydrological cycle; (d) Sea ice volume in the Northern Hemisphere (in 1535 red, left y-axis) and in the Southern Hemisphere (in blue, right y-axis) are used to

1536	evaluate the stability of the cryosphere component in CNRM-ESM1; (e) Global
1537	carbon fluxes over land (in red, left y-axis) and over ocean (in blue, right y-axis) are
1538	used to assess the equilibration of the global carbon stock. For carbon fluxes, positive
1539	(negative) fluxes indicate an uptake (outgasing) of CO_2 by land or ocean.
1540	
1541	Figure 3: Biases in simulated near-surface temperature (T_{2m}) compared to the
1542	CRUTV4 observations (Harris et al., 2013) averaged 1986-2005. Winter (a) and
1543	summer (b) periods are computed from DJFM and JJAS months.
1544	
1545	Figure 4: Biases in simulated precipitation (PR) compared to the GPCP observations
1546	(Adler et al., 2003) averaged over 1986-2005. Winter (a) and summer (b) periods are
1547	computed from DJFM and JJAS months.
1548	
1549	Figure 5: Biases in simulated photosynthetically available radiation (PAR) compared
1550	to the SRB satellite-derived observations (Pinker et al., 1992) averaged over 1986-
1551	2005. Winter (a) and summer (b) periods are computed from DJFM and JJAS months.
1552	
1553	Figure 6: Annual bias patterns of simulated temperature T and salinity S averaged
1554	over 1986-2005 compared to the WOA2013 observations (Levitus et al., 2013).
1555	Surface biases for sea surface temperature (a) and salinity (b) are represented using
1556	the same colorbar. Vertical structure of biases for temperature (c) and salinity (d) are
1557	estimated using zonal-average biases from WOA2013 across the Atlantic and Pacific
1558	oceans.
1559	
1560	Figure 7: Composite of yearly extremum of mixed-layer depth over 1986-2005. Left
1561	panels represent the maximum mixed-layer depth (MLD_{max}) for (a) observations

1562	(Sallée et al., 2010) and (b) CNRM-ESM1. Right panels represent the minimum
1563	mixed-layer depth (MLD _{min}) for observations (c) and CNRM-ESM1 (d).

1564

1565	Figure 8: Sea ice cover (SIC) as simulated by CNRM-ESM1 averaged over 1986-
1566	2005. Top panels represent composite of September sea ice cover, while bottom
1567	panels are for March. Iso-15% of SIC serves as comparison between model results
1568	and NSIDC observations (Cavalieri et al., 1996) averaged over 1986-2005; model
1569	results and observations are indicated with dashed and solid black lines, respectively.

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Figure 9: Taylor diagrams showing the correspondence between model results and 1571 1572 observations for CNRM-ESM1 and CNRM-CM5.2. Near-surface temperature (T_{2m}) , 1573 precipitation (PR) and incoming short-wave radiation (RSDS) are used to assess 1574 model performance over land surface. Sea surface temperature (SST), sea surface 1575 salinity (SSS), mixed-layer depth (MLD) and precipitation (PR) are used to assess 1576 model performance over ocean. Filled and empty symbols indicate skills for CNRM-1577 ESM1 and CNRM-CM5.2, respectively. The size of the symbols indicates whether 1578 statistics were computed from annual mean climatology or seasonal average (JFM, 1579 AMJ, JAS, OND) over 1986-2005.

1580

1581 Figure 10: Impact of coupling frequency on sea ice cover (SIC) as simulated by
1582 CNRM-ESM1 averaged over 1986-2005. Top panels represent composite of

1583 September sea ice cover, while bottom panels are for March. Iso-15% of SIC serves

- 1584 as comparison between model results using a 6-h coupling frequency (dashed lines)
- 1585 and those using a 24-h coupling frequency (solid lines).

1586

Figure 11: Annual-mean terrestrial gross primary production (GPP). Values are given
for (a) observation-derived MTE-FluxNet (Jung et al., 2009) averaged over 1986-

1589 2005, (b) satellite-derived observation from MODIS over 2000-2013 and (c) CNRM-1590 ESM1 over 1986-2005.

1591

1592	Figure 12: Annual-mean autotrophic respiration (Ra) as estimated from MODIS over
1593	2000-2013 (a) and as simulated by CNRM-ESM1 (b) over 1986-2005. Panel (c)
1594	represents the zonal-cumulated Ra in function of latitude for both satellite-derived
1595	estimates (in blue) and CNRM-ESM1 (in red).

1596

1597 Figure 13: Stocks of modern soil organic carbon (cSoil) as estimated a	from
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1598 FAO/IIASA/ISRIC/ISSCAS/JRC (2012) Harmonized World Soil Database (a) and as

1599 simulated by CNRM-ESM1 (b) averaged over 1986-2005. Panel (c) represents the

1600 zonally-cumulated soil organic stock in function of latitude for both observation-

1601 based estimates (in blue) and CNRM-ESM1 (in red).

1602

1603 Figure 14: Taylor diagrams showing the correspondence between model results and

1604 observations for CNRM-ESM1 and CNRM-CM5.2 (Séférian et al., 2013).

1605 Climatological distribution over 1986-2005 of simulated Oxygen (O₂), phosphate

1606 (PO₄), nitrate (NO₃) and silicate (SiO₂) concentrations are assessed against WOA2013

1607 data product. Filled and empty symbols indicate skills for CNRM-ESM1 and CNRM-

1608 CM5, respectively. The size of the symbols indicates the depth at which statistics have

been computed.

1610

1611 Figure 15: Annual-mean ocean carbon fluxes (fgCO₂) as estimated by the Takahashi

1612 et al., (2010) database (a) and as simulated by CNRM-ESM1 averaged over 1986-

1613 2005 (b). Panel (c) represents the zonal-cumulated carbon fluxes in function of

1614 latitude for both observation-based estimates (in blue) and CNRM-ESM1 (in red).

1615 Negative (positive) fluxes indicate an uptake (outgasing) of CO₂.

1616

1617Figure 16: Annual-mean zonal-average anthropogenic carbon (CO_2^{ANTH}) across the1618Atlantic and Pacific oceans as simulated by CNRM-ESM1 averaged over 1990-20051619(a) and as estimated from the GLODAP database compiling data up to 1994 (b). Panel1620(c) represents the mean-annual bias in zonal structures between model and1621observation-based estimates in CO_2^{ANTH} .

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Figure 17: Composite of yearly maximum of leaf area index (LAI_{max}) as estimated
from AVHRR satellite observations of Zhu et al. (2013) (a) and as simulated by
CNRM-ESM1 (b) over 1986-2005. Panel (c) represents the zonal-average LAI_{max} in
function of latitude for both observation-based estimates (in blue) and CNRM-ESM1
(in red).

1628

Figure 18: Annual-mean surface chlorophyll concentrations (Chl) as estimated from
SeaWiFS over 1997-2010 (a) and as simulated by CNRM-ESM1 (b) over 1986-2005.
Panel (c) represents the zonal-averaged Chl in function of latitude for both satellite-

1632 derived estimates (in blue) and CNRM-ESM1 (in red).

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1634 Figure 19: Time series of various climate indices as monitored from available 1635 observations (blue solid line) and as simulated by CNRM-ESM1 (red solid line) since 1636 1901 with global near-surface temperature (a), September arctic sea-ice extent (b), 0-1637 2000m ocean heat content (c), land carbon flux (d) and ocean carbon flux (e). 1638 Hatching represents the $\pm 2\sigma$ estimated from the ensemble deviation between the 100 1639 members of the HadCRUT4 database (Morice et al., 2012) for near-surface 1640 temperature, the standard deviation between NSIDC Fetterer et al. (2002), Comiso 1641 (1999) and Hadisst (Rayner et al., 2003) databases, the pentadal variability of the 1642 observed ocean heat content (Levitus et al., 2012) and spread between Global Carbon 1643 Project reconstructions for both land and ocean (Le Quéré et al., 2014). For both OCS 1644 and LCS, positive (negative) fluxes indicate an uptake (outgasing) of CO₂.

1645

1646 Figure 20: Skill-score matrix based on (a) spatial correlation and (b) globally 1647 averaged root-mean squared error for relevant fields of the simulated carbon cycle 1648 from current generation Earth System models. Leaf area index (LAI), gross primary 1649 productivity (GPP), autotrophic respiration (Ra), heterotrophic respiration (Rh) and 1650 soil carbon (cSoil) are used to assess model skill in terms of modern mean-state 1651 terrestrial carbon cycle. Sea-air carbon flux (fgCO₂), surface chlorophyll (Chl) and 1652 surface concentrations of oxygen (O_2) , nitrate (NO_3) , phosphate (PO_4) and silicon (Si)1653 are used to evaluate the skill of the current models at replicate modern mean-state 1654 ocean carbon cycle. Both models and observed fields are averaged over time from 1655 1986 to 2005 to determine skill score metrics, except for cSoil, O₂, NO₃, PO₄, Si 1656 observations (only a modern mean-state climatology is available). Black squares 1657 indicate that models fields are not available (implying that these fields are either not

1658 simulated by the model or not published on the ESGF).



Figure 1: Fraction of dominant vegetation type as precribed in SURFEX. This fraction results from aggregation of the various ECOCLIMAP's vegetation types at 1 km resolution over the T127 CNRM-ESM1 horizontal grid ($\sim 1.4^{\circ}$ nominal horizontal resolution).



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Figure 7: Composite of yearly extremum of mixed-layer depth. Left panels represent the maximum mixed-layer depth (MLD_{max}) derived from (a) the observations (Sallée et al., 2010) and (b) the CNRM-ESM1 MLD in average over 1986-2005 period. Right panels represent the minimum mixed-layer depth (MLD_{min}) derived from (c) the observations (c) and (d) the CNRM-ESM1 MLD in average over 1986-2005 period.



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Figure 13:(cSoil) estimated Stocks of modern as from soil organic carbon FAO/IIASA/ISRIC/ISSCAS/JRC (2012) Harmonized World Soil Database (a) and as simulated by CNRM-ESM1 (b) averaged over 1986-2005. Panel (c) represents the zonally-cumulated soil organic stock in function of latitude for both observation-based estimates (in blue) and CNRM-ESM1 (in red).



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Figure 15: Annual-mean ocean carbon fluxes (fgCO₂) as estimated by the Takahashi et al., (2010) database (a) and as simulated by CNRM-ESM1 averaged over 1986-2005 (b). Panel (c) represents the zonal-cumulated carbon fluxes in function of latitude for both observation-based estimates (in blue) and CNRM-ESM1 (in red). Negative (positive) fluxes indicate an uptake (outgasing) of CO₂.



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Figure 19: Time series of various climate indices as monitored from available observations (blue solid line) and as simulated by CNRM-ESM1 (red solid line) since 1901 with global near-surface temperature (a), September arctic sea-ice extent (b), 0-2000m ocean heat content (c), land carbon flux (d) and ocean carbon flux (e). Hatching represents the $\pm 2\sigma$ estimated from the ensemble deviation between the 100 members of the HadCRUT4 database (Morice et al., 2012) for near-surface temperature, the standard deviation between NSIDC Fetterer et al. (2002), Comiso (1999) and Hadisst (Rayner et al., 2003) databases, the pentadal variability of the observed ocean heat content (Levitus et al., 2012) and spread between Global Carbon Project reconstructions for both land and ocean (Le Quéré et al., 2014). For both OCS and LCS, positive (negative) fluxes indicate an uptake (outgasing) of CO₂.



Figure 20: Skill-score matrix based on (a) spatial correlation and (b) globally averaged root-meansquared error for relevant fields of the simulated carbon cycle from current generation Earth System models. Leaf area index (LAI), gross primary productivity (GPP), autotrophic respiration (Ra), heterotrophic respiration (Rh) and soil carbon (cSoil) are used to assess model skill in terms of modern mean-state terrestrial carbon cycle. Sea-air carbon flux (fgCO₂), surface chlorophyll (Chl) and surface concentrations of oxygen (O₂), nitrate (NO₃), phosphate (PO₄) and silicon (Si) are used to evaluate the skill of the current models at replicate modern mean-state ocean carbon cycle. Both models and observed fields are averaged over time from 1986 to 2005 to determine skill score metrics, except for cSoil, O₂, NO₃, PO₄, Si observations (only a modern mean-state climatology is available). Black squares indicate that models fields are not available (implying that these fields are either not simulated by the model or not published on the ESGF).