

Response to Reviewer #1:

First of all, we would like to thank you again for your constructive comments and suggestions. We have tried to address the comments point-by-point below. The reviewer's original comments are in italics. Our responses to reviewer's specific comments are put in a normal font. The added or modified parts in the revised manuscript are highlighted in a red color.

Comment) My comment to include MODIS 3km on the 1st review did not intend to have the authors remove the 10km data, which is still valuable. I would recommend the authors to put back the 10km data keeping the 3km one in Fig 3, I think you can fit 3 scatter plots one next to the other by making them a bit smaller.

Reply) We added MODIS 10 km data in Fig. 3 and modified corresponding sentences. Please check out p. 9, lines 201-202; pp. 9-10, lines 205-207; p. 10, 212-216, and Fig. 3.

Comment) 67-68. 2015 is almost over, please rephrase

Reply) We rephrased the sentence. Please see p. 3, lines 66-67.

Comment) 120-121. The initial aerosol composition was prepared using AOD data from both...

Reply) We rephrased the sentence.

Comment) 154 Meteorological

Reply) We corrected.

Comment) 269. "they require four data assimilation steps" Also, can you provide a reference to such data assimilation system?

Reply) We changed the sentence and added a related reference. Please see p. 12, lines 271-272.

Comment) 294. “for most missing (white) pixels”

Reply) We modified.

Comment) Figure 4. There are no labels to indicate which line represents which observation operator. Also, please include three more panels (you can decrease the size of each panel for a 2x2 view) for the other aerosol species: OC, BC and Sea-salt as is also important to understand the differences between operators and the RH dependence (even if there is none) when these species dominate.

Reply) We modified and added Fig. 4 and corresponding sentence. Please check out p. 15, lines 331 and Fig. 4.

Comment) 358. “of the secondary OA formation”

Reply) We modified.

Comment) 362-363. What is assumed to be equal, the increments or the total concentrations? Is this independent of the background concentrations?

Reply) The mass concentration of surface OAs was changed to be equal to the mass concentration of surface SO_4^{2-} . Therefore, ΔAOD_k (background AOD – ST-kriging AOD) is same as the modified AODs calculated by changed mass concentrations of OAs and SO_4^{2-} . Both mass concentrations are changed independently from the background concentrations. Please check out p. 16, lines 364-368.

Comment) 386. Erase extra space in “3. 5”

Reply) We corrected.

Comment) 587. “smaller”

Reply) We corrected.

1 **GIST-PM-Asia v1: development of a numerical system to**
2 **improve particulate matter forecasts in South Korea using**
3 **geostationary satellite-retrieved aerosol optical data over**
4 **Northeast Asia**

5
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20 (For submission to **Geoscientific Model Development**)

21

22 **Abstract**

23 To improve short-term particulate matter (PM) forecasts in South Korea, the initial
24 distribution of PM composition, particularly over the upwind regions, is primarily important.
25 To prepare the initial PM composition, the aerosol optical depth (AOD) data retrieved from a
26 geostationary equatorial orbit (GEO) satellite sensor, GOCI (Geostationary Ocean Color
27 Imager) which covers Northeast Asia (113°E–146°E; 25°N–47°N), were used. Although
28 GOCI can provide a higher number of AOD data in a semi-continuous manner than low Earth
29 orbit (LEO) satellite sensors, it still has a serious limitation in that the AOD data are not
30 available at cloud pixels and over high-reflectance areas, such as desert and snow-covered
31 regions. To overcome this limitation, a spatio-temporal (ST) kriging method was used to
32 better prepare the initial AOD distributions that were converted into the PM composition over
33 Northeast Asia. One of the largest advantages in using the ST-kriging method in this study is
34 that more observed AOD data can be used to prepare the best initial AOD fields compared
35 with other methods that use single frame of observation data around the time of initialization.
36 It is demonstrated in this study that the short-term PM forecast system developed with the
37 application of the ST-kriging method can greatly improve PM₁₀ predictions in Seoul
38 Metropolitan Area (SMA), when evaluated with ground-based observations. For example,
39 errors and biases of PM₁₀ predictions decreased by ~60% and ~70%, respectively, during the
40 first 6 h of short-term PM forecasting, compared with those without the initial PM
41 composition. In addition, the influences of several factors on the performances of the short-
42 term PM forecast were explored in this study. The influences of the choices of the control
43 variables on the PM chemical composition were also investigated with the composition data
44 measured via PILS-IC and low air-volume sample instruments at a site near Seoul. To

45 improve the overall performances of the short-term PM forecast system, several future
46 research directions were also discussed and suggested.

47 **Keywords:** aerosol optical depth (AOD), short-term PM forecast, CMAQ model simulations,
48 geostationary satellite, spatio-temporal kriging.

49

50 **1 Introduction**

51 It has been reported that there is a strong relationship between exposure to atmospheric
52 particulate matter (PM) and human health (Brook et al., 2010; Brunekreef and Holgate, 2002;
53 Pope and Dockery, 2006). PM has become a primary concern around the world, particularly
54 in East Asia, where high PM pollution episodes have occurred frequently, mainly due to the
55 large amounts of pollutant emissions from energetic economic activities. In an effort to
56 understand the behaviors and characteristics of PM in East Asia, chemistry-transport models
57 (CTMs) have played an important role in overcoming the spatial and temporal limitations of
58 observations, and also enable policy makers to establish scientific implementation plans via
59 making atmospheric regulations and policies. To improve the performance of the PM
60 simulations, integrated air quality modeling systems that consist of CTMs, meteorological
61 models, emissions, and data assimilation using ground- and satellite-borne measurements
62 have been introduced (Al-Saadi et al., 2005; Park et al., 2011; Song et al., 2008). However,
63 accurate simulations of PM distributions with CTMs have been challenging, because of many
64 uncertainties from emission fluxes, meteorological fields, and chemical and physical
65 parameterizations in the CTMs. For example, the Korean Ministry of Environment (MoE) has
66 recently started to implement air quality forecasts for PM₁₀, PM_{2.5} and ozone over the Seoul
67 metropolitan area (SMA), the largest metropolitan area in South Korea. However, the

68 forecasting accuracy for high PM₁₀ alert (81 to 120 $\mu\text{g m}^{-3}$) in the current system has been low
69 (< 60%) since 2013. Thus, urgent improvements in the PM₁₀ predictions are necessary.

70 In this context, an improved short-term PM forecast system was developed and introduced,
71 based on an analogy to the system of numerical weather prediction (NWP). Figure 1(a)
72 presents a flow diagram of an NWP in which regional meteorological modeling is conducted
73 using two important inputs: (i) boundary conditions (BCs) from global meteorological models
74 and (ii) initial conditions (ICs) prepared via data assimilation using ground-measured data and
75 balloon-, ship-, aircraft-, and/or satellite-borne measurements. In contrast, conventional
76 chemical weather forecast (CWF) (e.g., forecasts for ozone and PM) has been carried out only
77 using meteorological fields and pollutant emissions (Fig. 1(b)). In the short-term PM forecast
78 system proposed here (Fig. 1(c)), one more input is added to the conventional CWF system:
79 the initial distribution of PM composition. To prepare the initial PM composition, a scheme
80 that uses geostationary satellite-derived aerosol optical depths (AODs), is developed in this
81 study. Similarly, the BCs for the CTM runs are obtained from global CTM simulations.

82 In the improved CWF system, AOD data retrieved from low Earth orbit (LEO) satellite
83 sensors, such as Moderate Resolution Imaging Spectroradiometer (MODIS) and Multi-angle
84 Imaging SpectroRadiometer (MISR) can be used to set up the ICs for the short-term PM
85 forecast (Benedetti et al., 2009; Liu et al., 2011; Saide et al., 2013). While these AOD data
86 have an advantage in spatial coverage compared with those obtained from point stations, the
87 use of the LEO satellite-derived AODs has another limitation in acquiring continuous
88 observations over a certain area due to the capabilities of the LEO sensors in their orbital
89 periods and viewing swath widths.

90 Such limitations in using LEO satellite observations can be overcome with the help of
91 geostationary (GEO) satellite sensors providing semi-continuous observations over a specific

92 part of the Earth during the day (Fishman et al., 2012; Lahoz et al., 2011; Zoogman et al.,
93 2014). Recently, aerosol optical properties (AOPs) from the Geostationary Ocean Color
94 Imager (GOCI) have become available. GOCI is the first multi-spectral ocean color sensor
95 onboard the Communication, Ocean, and Meteorological Satellite (COMS), launched over
96 Northeast Asia in 2010, providing semi-continuous AOD, single scattering albedo (SSA), and
97 fine mode fraction (FMF) over a domain of Northeast Asia (Lee et al., 2010). With GOCI
98 AOD data, a novel approach was developed to investigate transboundary PM pollution over
99 Northeast Asia (Park et al., 2014a).

100 In this study, we carried out hindcast studies (forecast studies with past data) to find the
101 “best” method to improve the performance of the short-term PM forecasting using the GOCI
102 AODs. To do this, we developed a model, Geostatistical Interpolation of Spatio-Temporal
103 data for PM forecasting over Northeast Asia (GIST-PM-Asia) v1 that includes: (i) a spatio-
104 temporal kriging (ST-kriging) method to spatio-temporally combine the GOCI-derived AODs,
105 (ii) “observation operators” to convert the CTM-simulated PM composition into AODs and
106 vice versa, and (iii) selection of “control variables” (CVs) through which the distribution of
107 AODs can be converted back into the distributions of the PM composition to be used as the
108 ICs. The uses of the ST-kriging method, observation operators, and CVs are illustrated in Fig.
109 1. The main advantages of using the ST-kriging method are discussed in detail in the main
110 text. Several sensitivity studies were also conducted to improve the understanding of
111 forecasting errors and biases in the short-term PM forecasting system developed.

112 With these research objectives and methodology, this paper is organized as follows: the
113 hindcast framework is first described in detail in Sect. 2. In Sect. 3, the hindcast results with
114 various configurations are evaluated with ground-based observations during the high PM

115 episodes in SMA to find the “best” configuration for future short-term PM forecast. After that,
116 a summary and conclusions are provided in Sect. 4.

117

118 **2 Methodology**

119 **The initial aerosol composition was prepared using AOD data from both** the GOCI sensor and
120 CTM model simulations. For the CTM simulations, the Community Multi-scale Air Quality
121 (CMAQ; ver. 5.0.1) model (Byun and Ching, 1999; Byun and Schere, 2006) together with the
122 Weather Research and Forecast (WRF; ver. 3.5.1) (Skamarock and Klemp, 2008) were used.
123 The ST-kriging method and 12 different combinations of observation operators and CVs were
124 also used for preparing the distributions of the 3-D PM composition over the GOCI-covered
125 domain. The CMAQ model simulations with the 12 different configurations were carried out
126 and the performances were then tested against ground-measured AOD, PM₁₀, and PM_{2.5}
127 composition. The details of these components are described in the following sections.

128 **2.1 Meteorological and chemistry-transport modeling**

129 The WRF model provided meteorological data with 15 km × 15 km horizontal grid spacing
130 and 26 vertical layers extending up to 50 hPa. To obtain highly-resolved terrestrial input data,
131 the topography height from NASA Shuttle Radar Topography Mission (SRTM) 3 arc-second
132 database (http://dds.cr.usgs.gov/srtm/version2_1/SRTM3) and the land use information
133 provided by Environmental Geographic Information Service (EGIS; <http://egis.me.go.kr>)
134 were used. Initial and boundary meteorological conditions for the WRF simulation were
135 provided by the National Centers for Environmental Protection (NCEP) final operational
136 global tropospheric analyses (<http://rda.ucar.edu/datasets/ds083.2>). To improve 3-D
137 temperature, winds and water vapor mixing, objective analysis was employed by

138 incorporating the NCEP ADP Global surface and upper air observation data. The
139 meteorological fields were provided with 1-h temporal resolution, and were then converted
140 into the input fields for the CMAQ model simulations by the Meteorology-Chemistry
141 Interface Processor (MCIP; ver. 4.1) (Otte and Pleim, 2010).

142 The CMAQ model is a chemistry-transport model that simulates the chemical fates and
143 transport of gaseous and particulate pollutants. In this study, the CMAQ modeling covered
144 Northeast Asia, from 92° to 149° E and 17° to 48° N, using 15 km × 15 km horizontal grid
145 spacing (Fig. 2) with 14 terrain following σ -coordinates, from 1000 to 94 hPa. The
146 configurations of the WRF model and CMAQ simulation used in this study are described in
147 Table 1.

148 Anthropogenic emission inputs were processed by Sparse Matrix Operator Kernel Emissions
149 in Asia (SMOKE-Asia; ver. 1.2.1), which has been developed for processing anthropogenic
150 emissions for Asia. Details of SMOKE-Asia were described in Woo et al. (2012). Biogenic
151 emissions were prepared using the Model of Emission of Gases and Aerosol from Nature
152 (MEGAN; ver. 2.0.4) (Guenther et al., 2006) with the MODIS-derived leaf area index
153 (Myneni et al., 2002), MODIS land-cover data sets (Friedl et al., 2002), and the
154 meteorological input data described above. For the consideration of biomass burning
155 emissions, daily fire estimates provided by Fire Inventory from NCAR (FINN) were used
156 (Wiedinmyer et al., 2011). Asian mineral dust emissions were not considered in this study.
157 Thus, the periods for model evaluation were selected during periods when mineral dust events
158 did not take place.

159 To take full advantage of the AOD data sets intensively measured during the Distributed
160 Regional Aerosol Gridded Observation Network in Asia (DRAGON-Asia) campaign,
161 modeling episodes were chosen for the campaign period from 1 March to 31 May 2012. First,

162 background CMAQ model simulations were conducted for the 3-month DRAGON period
163 with 10-day spin-up modeling. After this, initial conditions were prepared using the ST-
164 kriging method, observation operators and CVs via the combination of GOCI AODs with the
165 background modeling AOD. Analysis was carried out for 12-h from 12:00 in local time (LT)
166 on 10 selected high PM pollution days. Each hindcast hour is referred to be H+0 to H+12. In
167 this study we paid more attention to the performance of the first 12-h PM₁₀ hindcast results,
168 and the analysis of the hindcast results after 13 h is also discussed briefly in Sect. 3.3.

169 In the hindcast analysis, different hindcast runs with 12 combinations of different observation
170 operators and CVs were conducted, as discussed in Sect. 2.4 and 2.5. We selected 1 episode
171 from March (28 March), 5 episodes from April (8, 9, 14, 17, and 23 April), and 4 episodes
172 from May (6, 13, 15, and 16 May), 2012 for the analysis associated with three criteria of: (i)
173 on the selected days the average PM₁₀ from 12:00 to 18:00 LT was above 70 $\mu\text{g m}^{-3}$ over
174 SMA, (ii) on the selected days, the daily coverage of the GOCI AOD data was at least 20 %
175 over the GOCI domain, and (iii) on the selected days, dust events were not recorded over
176 South Korea according to the Korea Meteorological Administration (KMA). Additional
177 hindcast runs were also conducted from 7 March 12:00 to 19 March 11:00 for evaluating the
178 performances of the hindcast runs for less polluted episodes. In this study, we focused on
179 SMA, because we were particularly interested in this area. However, the system introduced
180 here can be applied to other areas inside the GOCI domain where surface PM observation
181 data are available.

182 2.2 Observation data

183 2.2.1 GOCI AOD

184 As mentioned previously, GEO satellite sensors have important advantages compared with
185 LEO satellite sensors, such as semi-continuously (with 1-h intervals) producing AOP data
186 over a specific domain of interest. Despite this temporal advantage, it has been difficult for
187 most GEO satellite sensors to produce accurate AOPs, because they have only one or two
188 visible channels. In contrast, the GOCI instrument has six visible and two near-infrared
189 channels, and can produce multi-spectral images eight times per day with a spatial resolution
190 of approximately $500 \text{ m} \times 500 \text{ m}$ with coverage of $2,500 \text{ km} \times 2,500 \text{ km}$, including part of
191 Northeast China, the Korean peninsula, and Japan (Fig. 2). Using the 1-h resolved multi-
192 spectral radiance data from GOCI, the uncertainties of AOP retrievals can be dramatically
193 reduced (Park et al., 2014a). The GOCI AOPs were retrieved with multi-channel algorithms
194 that can provide hourly AOP data including AOD, FMF, and SSA at 550 nm (Choi et al.,
195 2015). Compared with the algorithms from two previous studies (Lee et al., 2010, 2012), the
196 GloA2 algorithm uses an improved lookup table for retrieving the AOPs, using extensive
197 observations from Aerosol Robotic Network (AERONET) and monthly surface reflectance
198 observed from GOCI, and provides 1-h resolved AOP data at eight fixed times per day (from
199 09:30 to 16:30 LT) with $6 \text{ km} \times 6 \text{ km}$ spatial resolution. In this study, the AOD data from the
200 GOCI AOPs were used (because the SSA and FMF data need further improvements) and also
201 compared with **collection-5.1 10 km MODIS aerosol products from the Aqua and Terra**
202 **satellites (Levy et al., 2007; Remer et al., 2005)** and **collection-6 3 km MODIS aerosol**
203 **products from the Aqua and Terra satellites (Munchak et al., 2013)** to present the relative
204 performances of GOCI AOD. The AERONET AOD data were also used for assessing the
205 relative accuracy of the GOCI AODs. **Figures 3(a), 3(b), and 3(c) show the scatter plot**

206 analyses of three satellite-retrieved 10 km MODIS AODs, 3 km MODIS AODs, and GOCI
207 AODs vs. AERONET level 2 AODs over the GOCI domain during the DRAGON-Asia
208 campaign. All the satellite data were sampled within spatial and temporal differences of 3 km
209 and 10 min from the AERONET observations. It should also be noted that the GOCI and
210 MODIS data were compared with the AERONET data without the applications of kriging
211 method. First, it was found that GOCI provided more frequent AOD data ($N = 2276$) than
212 3km MODIS ($N = 629$) and that GOCI AODs data show comparable regression coefficient (R
213 $= 0.85$), root mean square error ($RMSE = 0.25$), and mean bias ($MB = -0.19$), compared with
214 3km MODIS data ($R = 0.89$; $RMSE = 0.16$; $MB = 0.06$). This indicates that the GOCI AOD
215 data not only have comparable quality to the MODIS AOD data, but also provide a higher
216 number of data over the GOCI domain. In Fig. 3(d), the daily spatial AOD percent coverages
217 of the Aqua/Terra MODIS and GOCI sensors are compared. It was found that there are a large
218 number of daily missing pixels in the observations of both satellite sensors (the average
219 percent coverages of Aqua MODIS, Terra MODIS and GOCI AODs during the period were
220 about 9%, 10%, and 29%, respectively).

221

222 2.2.2 Ground-based observations

223 AERONET is a global ground-based sunphotometer network managed by the NASA Goddard
224 Space Flight Center, providing spectral AOPs including AOD, SSA, and particle size
225 distributions, available at <http://aeronet.gsfc.nasa.gov> (Holben et al., 1998). To match the
226 wavelength of GOCI AOD with AERONET AOD, the AOD data at 550 nm were calculated
227 via interpolation, using AODs and Ångström exponent data between 440 and 870 nm from
228 the DRAGON-Asia level 2.0 data. AOD data from 29 AERONET sites inside the GOCI

229 domain were used for validating GOCI and ST-kriging AOD products, and those from six
230 AERONET sites in SMA were selected for evaluating the performance of hindcast AODs.

231 To analyze hindcast surface aerosol concentrations, the PM_{10} observations provided by the
232 National Ambient Air Monitoring System (NAMIS) network in South Korea were used. The
233 NAMIS network, operated by the MoE has collected air pollutant concentrations of PM_{10}
234 measured by an automatic β -ray absorption method with a detection limit of $2 \mu\text{g m}^{-3}$ at 5-min
235 intervals. We selected 58 NAMIS sites in SMA, the locations of which are shown in Fig. 2,
236 and used 1-h averaged data for the analysis during the selected episodes.

237 Ion concentrations of $PM_{2.5}$ were also measured using a particle-into-liquid sampler coupled
238 with ion chromatography (PILS-IC) and a low air-volume sampler with a Teflon filter in
239 Yongin City, located downwind of Seoul (Fig. 2). Details on the measurement methods are
240 described in Lee et al. (2015) and are not repeated here. One-hour averaged sulfate (SO_4^{2-}),
241 nitrate (NO_3^-), and ammonium (NH_4^+) concentrations, measured by the PILS-IC, and 24-h
242 averaged SO_4^{2-} , NO_3^- , NH_4^+ , organic carbon (OC), and elementary carbon (EC), measured by
243 the low air-volume sampler, were used for further comparison during the selected episodes
244 (Sect. 3.4). The observed OC concentrations were multiplied by a factor of 1.5, to estimate
245 organic aerosols (OAs) concentrations (He et al., 2011; Huang et al., 2010).

246

247 **2.3 Spatio-temporal kriging**

248 Kriging is a geostatistical interpolation method to estimate unmeasured variables and their
249 uncertainties, using correlation structure of measured variables. An atmospheric application
250 study of the kriging method to estimating PM_{10} exceedance days over Europe reported that

251 ST-kriging showed comparable performances to those of the EnKF approach (Denby et al.,
252 2008).

253 In this study, the ST-kriging method was used to fill out the missing pixels (Fig. 3(d)) with
254 the spatial and temporal GOCI AOD data. The AOD fields produced by ST-kriging can be
255 prepared with a horizontal resolution of $15 \text{ km} \times 15 \text{ km}$ from 10:00 LT to 16:00 LT over the
256 GOCI domain. In this study, the AOD data at 12:00 LT (H+0) during the selected episode
257 days were used for preparing the initial conditions. The details and general application of the
258 ST-kriging method are presented in Appendix A. One advantage of using ST-kriging in this
259 study framework is to use large numbers of observational data (GOCI AODs), compared with
260 other methods. In fact, the GOCI AOD data are densely available temporally (with 1-h
261 intervals) and spatially (compared with MODIS AODs; see Figs. 3(a) and 3(b)). This was the
262 primary reason for using the ST-kriging method in this study. For example, when initial AOD
263 fields were prepared at a certain time (e.g., at noon, 12:00 LT: H+0), the ST-kriging method
264 uses not only GOCI AOD data at 11:30 LT or 12:30 LT, but also GOCI AOD data at 09:30,
265 10:30, and 13:30, unlike other methods. In the case of 4 April, 2012 (a high PM pollution
266 episode during the DRAGON-Asia campaign), other interpolation methods (e.g., Cressman,
267 bilinear, and nearest-neighbor methods) could use only the GOCI AOD data of ~88,000 for
268 the preparation of the initial AOD field at 12:00 LT, whereas the ST-kriging method used the
269 GOCI AOD data of ~280,000 (3 times more AOD data). Sequential data assimilation (DA)
270 methods such as OI and 3DVAR can use the same number of observations as the ST-kriging
271 method. However, they required four data assimilation step (i.e. 4-hour time window for DA)
272 (Tang et al., 2015) to include observations from 09:30 to 13:30, thus greatly increasing the
273 computational cost for daily assimilation.

274 If the observation data are densely available and the differences between the observations and
275 model-simulated data are large (i.e., the model simulations include relatively large errors and
276 biases), there is less “practical need” to use the CTM-simulated data in the process of data
277 assimilation. That is, it would be more desirable if the values of the unobserved (missing)
278 pixels could be filled in based on “more reliable” observation data (here, GOCI AODs). This
279 would be particularly true, when the CTM-predicted AODs are systematically underestimated
280 compared with GOCI or AERONET AODs (as will be shown in Fig. 5(a)). Additionally,
281 computation costs of the ST-kriging method are so low that the ST-kriging AOD can be
282 calculated rapidly. For example, the 1-day process for preparing the AOD fields over the
283 GOCI domain takes only ~20 min with two 3.47 GHz Xeon X5690 6-core processors and 32
284 gigabytes memory in the current application of the ST-kriging method. Thus, it can be applied
285 directly to the daily CWF due to the relatively cheap computation cost. Again, computation
286 time (rapid calculation) is a central issue in daily (short-term) chemical weather forecasts. The
287 calculation of daily three-dimensional semivariogram takes most of the computation time
288 (regarding the details of calculation of the daily three-dimensional semivariogram, refer to
289 Appendix A and Fig. A1).

290 Connected with these discussions, in the application of the ST-kriging method to the GOCI
291 AODs, the “optimal number” of observation data is necessary to balance the accuracy of the
292 data and the computational speed. From many sensitivity tests (not shown here), the optimal
293 number of observations **for most missing (white) pixels** is approximately 100. That is, the use
294 of more observation data above this optimum number does not meaningfully enhance the
295 accuracy of AODs of the missing pixels, but simply takes more computation time. This
296 number of observation data is usually available for the most of the missing (white) pixels of
297 the GOCI scenes from nearby grids both/either at the concurrent scene spatially within ~100

298 km and/or at the temporally-close snapshots within 3-h. Based on these reasons, the
299 ST-kriging method was chosen for this study.

300

301 **2.4 Observation operator**

302 An observation operator (or forward operator) describes the relation between observation data
303 and model parameters. For example, the observation operator in this study converts the
304 aerosol composition into AODs (and vice versa). Based on the aerosol composition and the
305 relative humidity (RH) from the model simulations, simulated AODs at a wavelength of 550
306 nm (τ_{CMAQ}) were calculated with the following observation operator:

$$307 \quad \tau_{CMAQ} = \sum_{s=1}^N \sum_{l=1}^M \alpha_{s,dry} f_s(RH_l) [C]_{s,l} H_l \quad (1)$$

308 where N and M denote the number of aerosol species (s) and model layer (l), respectively,
309 $\alpha_{s,dry}$ the mass extinction efficiency (MEE) of the species, (s) at 550 nm under the dry
310 condition, $f_s(RH_l)$ the hygroscopic enhancement factor for the species, (s) as a function of RH
311 at the layer of l , $[C]_{s,l}$ the mass concentration of the species, (s) at the layer of l , and H_l the
312 height of layer l . Here, $[C]_{s,l}$ is selected as the control variable (refer to Sect. 2.5).

313 In this study, three observation operators were used for calculating AODs and updating initial
314 PM composition for the hindcast studies. The differences in the observation operators are
315 caused mainly by the differences in $\alpha_{s,dry}$ and $f_s(RH_l)$ of Eq. (1). The first observation operator
316 was selected from Goddard Chemistry Aerosol Radiation and Transport (GOCART) model
317 (Chin et al., 2002; hereafter GOCART operator). Hygroscopic growth rates for SO_4^{2-} , OC, BC,
318 and sea-salt aerosols were considered separately in this operator. The second observation
319 operator was from the GEOS-Chem model (the GEOS-Chem operator). The detailed aerosol

320 speciation and MEE values were described in Martin et al. (2003). Final observation operator
321 is based on the study of Malm and Hand (2007) (the IMPROVE operator). This observation
322 operator was based on the reconstruction method with the MEEs and hygroscopic
323 enhancement factors at 550 nm for different types of aerosol species. Table 2 summarizes the
324 characteristics of the three observation operators chosen in this study. To consistently
325 consider the characteristics of the three observation operators, aerosol types (s in Eq. (1))
326 were classified into seven groups: SO_4^{2-} , NO_3^- , NH_4^+ , OAs, BC, sea-salt, and others, which
327 mainly consist of $\text{PM}_{2.5}$ trace elements (Reff et al., 2009). In the classification, internal mixing
328 states of SO_4^{2-} , NO_3^- , and NH_4^+ were assumed. It should also be noted that the consideration
329 of NO_3^- is important to correctly estimate AOD and aerosol mass loading in East Asia (Park et
330 al., 2011, 2014b; Song et al., 2008). Figure 4 shows the wet MEE values ($\alpha_{s,wet}$; product of
331 $\alpha_{s,dry}$ and $f_s(\text{RH}_l)$ in Eq. (1)) calculated for SO_4^{2-} , NO_3^- , and NH_4^+ , OAs, BC and sea-salt at a
332 wavelength of 550 nm as a function of RH, indicating that the three different operators can
333 create large differences in the wet MEE values.

334

335 2.5 Selection of control variables

336 To prepare the distributions of the aerosol composition, the ST-kriging AOD fields should be
337 converted into the 3-D aerosol composition. To do this, the differences between the ST-
338 kriging AODs and background AODs (often called “observational increments”: $\Delta\text{AOD}_k =$
339 $\text{AOD}_{\text{ST-kriging}, k} - \text{AOD}_{\text{bg}, k}$, $k = \text{grid cell}$) should be added to the background model-derived
340 aerosol composition at each grid cell, in connection with the observation operators (Eq. (1)).
341 Which aerosol species is/are selected for allocating ΔAOD_k ? We selected four types of control
342 variables (CVs) of particulate species. First, all the particulate species were selected as CVs.
343 In this case, ΔAOD_k was distributed to all the particulate species, with the particulate fractions

344 calculated from the background CMAQ model simulations. The second CV was the selection
345 of SO_4^{2-} concentration. Despite the large contribution of SO_4^{2-} to both AOD and PM
346 concentration in East Asia, model-estimated SO_4^{2-} have shown large systematic
347 underestimations, compared with observed SO_4^{2-} concentrations (Park et al., 2011, 2014b).
348 This can be related to either (or both) the uncertainty in SO_2 emissions in East Asia or (and)
349 the uncertainty in the parameterizations of SO_4^{2-} production in the CTM models (Kim et al.,
350 2013; Lu et al., 2010; Smith et al., 2011; Park et al., 2014). In addition, there is also large
351 uncertainty in the levels of hydroxyl radicals (OH) due to uncertain daytime HONO chemistry,
352 OH reactivation, in-plume process and others (Archibald et al., 2010; Han et al., 2015;
353 Karamchandani et al., 2000; Kim et al., 2009; Kubistin et al., 2010; Lelieveld et al., 2008;
354 Song et al., 2003, 2010; Sörgel et al., 2011; Stemmler et al., 2006; Zhou et al., 2011).
355 Obviously, these uncertainties can influence the levels of H_2SO_4 and thus particulate sulfate
356 concentrations in the atmosphere. In this case, aerosol mass concentrations (except for SO_4^{2-})
357 were the same as those of the background aerosol concentrations. Third, SO_4^{2-} and OAs were
358 chosen to be changed. Although OAs are one of the major particulate species, it is well-
359 known that OAs concentrations are also systematically underestimated due to two reasons: (i)
360 the uncertainty in the parameterizations of the secondary OA formation (Donahue et al., 2006,
361 2011; Dzepina et al., 2009; Hodzic et al., 2010; Matsui et al., 2014; Slowik et al., 2010), and
362 (ii) the uncertainty in emission inventories for anthropogenic and biogenic OA precursors
363 (Guenther et al., 1999; Han et al., 2013; Sakulyanontvittaya et al., 2008; Tsimpidi et al., 2010;
364 Wyat Appel et al., 2008). In this case, the mass concentration of surface OAs is assumed to be
365 equal to the mass concentration of surface SO_4^{2-} , based on the ground-based measurement
366 studies over East Asia (Lee et al., 2009; Zhang et al., 2007, 2012). Thus, ΔAOD_k is accounted
367 for the increments of concentrations from OAs and SO_4^{2-} which are changed independently
368 from the background concentrations. Finally, SO_4^{2-} , NO_3^- , NH_4^+ , and OAs were selected to be

369 changed. In this case, ΔAOD_k was distributed to the selected four species, with the fractions
370 of SO_4^{2-} , NO_3^- , NH_4^+ calculated from background simulations. The method to change the OA
371 concentration in the fourth selection of CVs was the same as the method in the third selection
372 of CVs. The fourth selection of CVs was also made to consider thermodynamic balance
373 among SO_4^{2-} , NO_3^- , and NH_4^+ concentrations (Bassett and Seinfeld, 1983; Saxena et al., 1986;
374 Seinfeld and Pandis, 2012; Song and Carmichael, 1999; Stelson et al., 1984). It should be
375 noted that background modeling-derived vertical profiles and the size distributions of aerosol
376 species were used for converting 2-D AOD to 3-D PM composition in all the STK cases.
377 With the combinations of the three different observation operators and four choices of CVs
378 (Table 3), 12 hindcast runs were made for high PM episodes during the DRAGON-Asia
379 campaign.

380

381 **3 Results and Discussion**

382 In Sect. 3, the performances of ST-kriging method are evaluated via comparisons with the
383 AERONET AOD in the GOCI domain (Sect. 3.1). Sensitivity analyses were then conducted
384 to examine the impacts of the observation operators and CVs on the accuracy of the hindcast
385 runs (Sect. 3.2). After that, the overall performances of the hindcasts were evaluated with
386 ground-based observations during the high PM_{10} episodes over SMA (Sect. 3.3). A
387 comparative analysis of the PM composition between hindcast results and observations was
388 also conducted to further investigate/analyze the performance of the hindcast system (Sect.
389 3.4). In addition, hindcast results for the periods of less polluted episodes are also shown with
390 the best configuration (Sect. 3.5).

391 **3.1 Evaluation of ST-kriging AODs**

392 Figure 5(a)-(c) show scatter plot analyses of background CMAQ-simulated AODs, spatial
393 kriging AODs (i.e., kriging only with the GOCI AODs from one scene) and ST-kriging AODs
394 vs. AERONET level 2 AODs over the GOCI domain during the DRAGON-Asia campaign.
395 First, it can be found that the CMAQ-predicted AODs are underestimated significantly
396 compared with the AERONET AODs. As discussed in Sect. 2.3, this was the main reason that
397 we used the ST-kriging method in this study. More weight should be given to observations,
398 because the CTM modelling produces significant biases. Second, ST-kriging AODs show
399 improved correlations, compared with the AODs estimated via the spatial kriging method.
400 Also, the ST-kriging AOD data show equivalent levels of errors and biases, compared with
401 GOCI AOD data. If one compares Fig. 3(b) with Fig. 5(c), it can be seen that the ST-kriging
402 can effectively produce the AOD fields (also note the increase in N).

403 Figures 5(d) and (e) show the scatter plot analysis of the ST-kriging AOD products versus the
404 AERONET AOD data with kriging variances (KVs). It is found that the ST-kriging AOD data
405 with $KV \leq 0.04$ show similar scattering pattern and accuracy to those of GOCI AOD. In
406 contrast, some overestimated outliers from the ST-kriging AOD data in Fig. 5(e) (e.g., 1.0-2.0
407 in the x-axis and 2.0-4.0 in the y-axis) show different patterns than those from the GOCI
408 AOD data. This may be explained by the relatively large KVs (> 0.04) of such overestimated
409 outliers. The KV generally increases when the observations near a certain prediction point are
410 not available or when nearby observations have relatively large errors. Thus, when the GOCI
411 observations are contaminated by optically thin clouds and they are not removed perfectly,
412 this can increase the local variances due to their high cloud optical depth (COD). These
413 factors can affect the quality of the ST-kriging AOD products. In this study, only the ST-
414 kriging AOD products having small KVs (less than 0.04) were used for preparing the initial

415 condition of each data processing step. Therefore, the initial PM concentrations did not
416 changed where the ST-kriging AOD having large KVs (larger than 0.04). Collectively, it
417 appears that the ST-kriging method is a reasonable tool for obtaining realistic AOD values at
418 locations where the GOCI observations are not available.

419

420 **3.2 Sensitivity of observation operators and control variables to AOD and** 421 **PM₁₀ predictions**

422 To investigate the best combination of the observation operators and CVs, the AOD and PM₁₀
423 hindcast runs and sensitivity analyses with the 12 different configurations (Table 3) were
424 performed. For this, the hindcast AOD and PM₁₀ from 13:00 LT to 19:00 LT (H+1 to H+6) on
425 10 selected episode days were compared with the ground-measured AOD and surface PM₁₀.
426 The observations from the six AERONET sites and nearest NAMIS PM₁₀ stations within 10
427 km from the AERONET locations were selected for this comparison study (Fig. 2). The AOD
428 values for the background CMAQ model simulations without the application of the ST-
429 kriging method (noSTK) were also calculated with the GEOS-Chem observation operator.

430 Figure 6 shows the soccer plot analysis of the 13 hindcast AODs (left panel) and PM₁₀ (right
431 panel) during the first 6-h of the short-term PM hindcasting on the 10 selected episode days.
432 In the soccer plot, mean fractional bias (MFB) and mean fractional error (MFE) (described in
433 Appendix B) are plotted on the x- and y-axes, respectively. Using this plot, the relative
434 discrepancy can be presented by the distances from the origin of the plot, and particular
435 characteristic, such as systematic bias, can also be shown as a group of scatter points. Detailed
436 statistical metric values are shown in Table 4. All the AODs and PM₁₀ with the application of
437 the ST-kriging method (STK) are much better than those from the noSTK simulation, with
438 reduced errors and biases. Percentage decreases in MFE with the STK hindcasts were found

439 to be 60-67% for AOD and are 50-63% for PM₁₀. The MFB also decreased by 67-82% for
440 AOD and by 56-84% for PM₁₀. The noSTK case showed a strong negative bias (i.e.,
441 underprediction) and the 12 STK cases also showed less, yet still negative, biases. These
442 negative biases are considered to be systematic, because of the negative bias of the GOCI
443 AOD data (Fig. 6). Additionally, the negative biases are due to underestimation of CMAQ-
444 simulated SO₄²⁻ and OAs concentrations (Carlton et al., 2008, 2010; Park et al., 2011, 2014b).
445 This issue has been discussed in Sect. 2.5 and is investigated further in Sect. 3.4.

446 On the other hand, there are relatively small differences in errors and biases among the
447 12 STK cases (Fig. 6). Several differences among the 12 sensitivity cases were investigated
448 further. First, the error and bias patterns for the AOD values were different from those for the
449 PM₁₀ predictions, being associated with the different observation operators. For example, the
450 STK cases with the IMPROVE observation operator (cases C1, C2, C3, and C4) exhibited a
451 relatively small bias for PM₁₀ predictions, although they did not in the AOD predictions. This
452 was likely caused by small wet MEE values of SO₄²⁻, NO₃⁻, and NH₄⁺ in the IMPROVE
453 observation operator (represented by the green line in Fig. 4). By Eq. (1), the concentrations
454 of converted aerosol species are inversely proportional to the MEEs of aerosol species. In the
455 CV cases, the selections of SO₄²⁻ and OAs (i.e., A3, B3, and C3) and SO₄²⁻, NO₃⁻, NH₄⁺, and
456 OAs (i.e., A4, B4, and C4) showed better performances for both the AOD and PM₁₀
457 predictions.

458 To show the degree of enhanced performances via using the ST-kriging GOCI data, we also
459 carried out some hindcast simulations, using the initial conditions prepared with single-frame
460 GOCI data at 11:30 LT. The grids that did not have AOD observations were not filled out in
461 this runs. In Fig. 6, the MFBs and MFEs of the bilinear interpolation method (denoted as BL)
462 were -45.05 and 59.52 for AOD and -46.13 and 53.30 for PM₁₀, respectively. It is shown in

463 Fig. 6 that the use of the single-frame GOCI data without filling any gap cannot sufficiently
464 improve the performance, compared with the cases of the STK simulations.

465 Figure 7 shows the performances of the short-term hindcast system with the 13 different
466 configurations via comparisons between the hourly-averaged PM_{10} observations and model
467 PM_{10} predictions at the six NAMIS sites, on 9 April, 6 May and 16 May, 2012, respectively.
468 Only 3-day and six-site results were selected and presented here, and more comprehensive
469 performance evaluations are presented in Sect. 3.3. While noSTK failed to reproduce the high
470 PM pollutions, all the STK cases showed significant improvements in the surface PM_{10}
471 predictions. However, there was a tendency that the hourly peaks of PM_{10} were not well
472 captured by the STK cases.

473 Consequently, it can be concluded that the combination of GOCART observation operator
474 and CVs of SO_4^{2-} and OAs (represented by A3) leads to the best results in the current hindcast
475 system (Table 4). The use of the GOCART observation operator and CVs of SO_4^{2-} , NO_3^- ,
476 NH_4^+ , and OAs (represented by A4) could also provide comparable performance to A3.
477 However, it appears that the differences among the 12 STK cases were relatively small.

478 **3.3 Overall performance evaluation of PM_{10} hindcast over SMA**

479 In this section, PM_{10} from the hindcast experiments were compared with the PM_{10}
480 observations from “58 NAMIS sites” to evaluate the overall performance of the current
481 hindcast system in SMA. Table 5 provides the statistical metrics that were calculated
482 separately from the first and the second 6-h hindcast results. The main characteristics of the
483 statistical analysis in Table 5 are similar to those at the six sites discussed in the previous
484 section. First, both errors and biases of PM_{10} distributions were significantly reduced after the
485 application of the ST-kriging method. The MFEs and MFBs in the 12-h STK simulations
486 decreased by ~40% and ~80%, respectively.

487 A distinctive difference was also found in the model performances for the first and the second
488 6-h runs. During the first 6-h, all the hindcast results showed negative biases, with the MFB
489 of $\sim -100\%$ for the noSTK cases and $\sim -40\%$ for the STK cases. The performances of the A3
490 and A4 cases are somewhat better than those of the other STK cases (Table 5). Collectively,
491 the MFEs and MFBs of the STK cases are a factor of 2-4 smaller than those of the noSTK
492 cases during the first 6-h.

493 Figure 8 shows a comparison between the noSTK case and the A3 case, in terms of the PM_{10}
494 predictions, during the first and the next 6 h in SMA with the 6-h averaged NAMIS PM_{10}
495 observations. As shown, the A3 case produced better PM_{10} predictions during the first and the
496 next 6 h. In addition, the A4 case (not shown) also provided similar results to the A3 case, as
497 discussed in Sect. 3.2. It can be confirmed again that the A3 and A4 cases are able to produce
498 better PM_{10} predictions against the PM_{10} observations in SMA.

499 Hindcast performances from H+13 to H+24 were also evaluated with the ground-measured
500 NAMIS PM_{10} data. In short, the differences between all the STK and noSTK cases became
501 smaller than those during the first 12 h (approximate difference of 10% was found at H+24,
502 i.e., 24 h after the hindcast actually began). Based on this, it appears that the effects of using
503 the initial PM composition on the hindcast performances may effectively last during the first
504 12 h. After 12 h, the effects started to diminish. This is due to several facts: (i) the regions for
505 applying the initial PM composition in this study were limited only within the GOCI domain
506 (relatively small region); (ii) although the initial PM composition was used, its effects can be
507 offset by uncertainties and errors in emissions as time progressed; and (iii) the large
508 uncertainties associated with the formation of SO_4^{2-} and OAs in the CTMs can also limit the
509 effects of the initial PM composition. The second and the third are the reasons that there is

510 strong necessity for both emissions and CTMs to be improved continuously, even though the
511 initial PM composition is applied in the short-term forecast activities.

512 **3.4 Evaluation of hindcast performance with observed PM composition**

513 In the previous section, PM₁₀ mass concentrations were simply predicted by the short-term
514 hindcast system with 12 different combinations of observation operators and CVs. Although
515 the purpose of this study is to develop a better PM forecast system for accurately predicting
516 “PM₁₀ mass” concentrations, it is still necessary to more carefully scrutinize the changes in
517 the “PM composition” in accordance with the different selections of the CVs.

518 During the DRAGON-Asia campaign, the PM_{2.5} composition was measured for SO₄²⁻, NO₃⁻,
519 and NH₄⁺ with 30-min intervals and for SO₄²⁻, NO₃⁻, NH₄⁺, OC and BC with 24-h intervals
520 using PILS-IC instrument (semi-continuous measurements) and low air-volume sampler with
521 a Teflon filter (off-line measurements), respectively, in Yongin City near SMA (Fig. 2). Thus,
522 in this section, the selection of the CVs is further discussed with the observed PM_{2.5}
523 composition.

524 Figure 9 shows the comparison between 1-h averaged SO₄²⁻, NO₃⁻, and NH₄⁺ concentrations
525 measured via the PILS-IC instrument and model-predicted concentrations during the selected
526 days at the Yongin observation site. Only the STK cases with the GOCART observation
527 operator (i.e., A1, A2, A3, and A4) were selected here. The STK cases showed significant
528 changes in the PM composition with the selection of CVs. For example, the A2 and A3 cases
529 tended to overestimate the SO₄²⁻ concentrations but underestimated the NO₃⁻, and NH₄⁺
530 concentrations, whereas the A1 and A4 cases tended to relatively well capture the trend of the
531 concentrations of the three particulate species. This phenomenon was driven by intra-
532 particulate thermodynamics. That is, if larger amounts of SO₄²⁻ are allocated into particles
533 (like the cases of A2 and A3), then NO₃⁻ tends to be evaporated, because SO₄²⁻ is more

534 strongly associated with NH_4^+ (Song and Carmichael, 1999). As shown in Fig. 9 (a) and (b),
535 when the SO_4^{2-} concentrations increases (as in case A2), the NO_3^- concentrations decrease
536 accordingly, because NO_3^- is evaporated out of the particulate phase as a form of HNO_3 (Song
537 and Carmichael, 1999, 2001). Collectively, the “best” results were produced from the case A4,
538 as shown in Figs. 9(a) - (c).

539 The 24-h averaged $\text{PM}_{2.5}$ compositions measured from the PILS-IC instrument and the low
540 air-volume sampler with a Teflon filter during the campaign period are also compared in Fig.
541 9(d). Again, the observations of the SO_4^{2-} , NO_3^- , and NH_4^+ concentrations were obtained from
542 both the PILS-IC instrument and the low volume sampler, whereas the concentrations of OAs
543 ($\cong [\text{OC}] \times 1.5$) and EC were only measured via the low air-volume sampler. As shown in Fig.
544 9(d), the SO_4^{2-} , NO_3^- , and NH_4^+ concentrations from both samplers showed good agreements
545 (see circles and crosses in Fig. 9(d)). The A4 case (the red bars in Fig. 9(d)) again showed the
546 best results in the comparison between the observed and predicted particulate composition,
547 particularly in SO_4^{2-} and OAs. In the previous discussion (see Sect. 3.2 and 3.3), the A3 and
548 A4 cases showed the best performances for predicting “ PM_{10} mass concentrations” over SMA.
549 This is somewhat consistent with our analysis in this section. However, in case of the A3, it
550 can capture the PM mass behaviors (Sect. 3.3) but does not capture the changes in the PM
551 composition well (this section). Based on this, it is concluded that the A4 case would be the
552 best configuration for accurately predicting the PM composition as well as the PM mass.
553 However, this PM composition analysis was conducted with only one site observations
554 (Yongin City) in this study. Thus, to reach a firmer conclusion, more intensive analyses with
555 multiple site observations are required in future.

556 **3.5 Evaluation of short-term hindcast performances**

557 To further evaluate the performance of the short-term hindcast runs, 48-hour hindcast
558 simulations with the configuration of A4 were carried out from 7 March to 19 March. The
559 observations from the 6 AERONET sites and the nearest NAMIS stations were analyzed in
560 this study.

561 The time-series of the first and the second 24-hour averaged PM_{10} at the six sites on 8, 10, and
562 11 March, 2012 are presented in Fig. 10. Again, reduced errors and biases were shown in the
563 A4 STK simulations, compare with the noSTK simulation for polluted episodes ((a) and (b) in
564 Fig. 10) and for less polluted episode ((c) in Fig. 10). Percent decreases with MFEs of the first
565 24-hour A4 STK hindcast were ~40% for AOD and ~10% for PM_{10} , and those with MFBs
566 were ~40% for AOD and ~100% for PM_{10} . In addition, slight improvements in the horizontal
567 distributions of AOD and PM_{10} were also found. This was indicated by the increases of
568 correlation coefficients (refer to Table S1). The second 24-hour STK hindcasts also reduced
569 the errors and biases for AOD and PM_{10} , although the improvements in the spatial
570 distributions were not shown clearly. A more detailed statistical metrics is presented in the
571 supplement (Table S1).

572

573 **4 Summary and Conclusions**

574 For the purpose of improving the performance of short-term PM forecast in Korea, an
575 integrated air quality modeling system was developed with the application of the ST-kriging
576 method using the geostationary satellite-derived AOD data over Northeast Asia. The errors
577 and biases of the ST-kriging AOD showed relatively good agreement, compared with the
578 AERONET observations. With the combinations of the ST-kriging method along with various
579 observation operators and control variables (CVs), the errors and biases of AOD and PM_{10}

580 predictions can be reduced significantly. It was shown that the selection of the observation
581 operators greatly influence the performances of the STK hindcast systems. On the other hand,
582 the choice of CVs tends to affect PM composition. The combination of the GOCART
583 observation operator and the selection of CVs of SO_4^{2-} and OAs (case A3) was found to be
584 the best one for the PM_{10} mass prediction. All the hindcast runs with the application of the
585 ST-kriging, however, generally showed negative biases (i.e. under-predictions). This was
586 primarily due to the underestimation of the GOCI AOD.

587 Reducing errors and biases in the current system is important for further development of the
588 PM forecast system. One of the potential methods for reducing the errors and biases is to
589 introduce the MODIS AOD data into the ST-kriging stage, together with the GOCI data. It is
590 expected that this will be able to further reduce the systematic biases, due to the relatively
591 **smaller** biases of MODIS AOD (as shown Fig. 3). In addition, the combination of the
592 GOCART observation operator and the selection of CVs of SO_4^{2-} , NO_3^- , NH_4^+ , and OAs
593 (Case A4) was found to give the “best” results for the prediction of particulate composition at
594 one observation site. However, more intensive measurements of the PM composition are
595 needed for reaching a more solid conclusion.

596 The ST-kriging AODs used in the current study are expected to be used in other data
597 assimilation methods. For example, in the 3DVAR method, the observation error covariance
598 matrix, which presents the degree of errors of the observations, has been usually assumed by
599 linear equations or single constant value (Liu et al., 2011; Schwartz et al., 2012; Shi et al.,
600 2011). However, as discussed with KVs in Sect. 3.1, the error covariance of the AOD
601 observations can be improved, and the use of the improved observation error covariance
602 matrix can help to prepare more accurate AOD fields, for example, via a 3DVAR method.
603 This study is now underway.

604 In future, planned GEO satellite sensors will give other opportunities to use semi-continuous
605 AOD observations at high spatial and temporal resolutions. Upcoming GEO satellite sensors
606 scheduled for launch between 2018 and 2020 include NASA's Tropospheric Emissions:
607 Monitoring of Pollution (TEMPO) over North America, ESA's Sentinel-4 over Europe, and
608 Korea Aerospace Research Institute (KARI)'s Geostationary Environment Monitoring
609 Spectrometer (GEMS) over Asia. In the case of the GEMS instrument, it is being designed to
610 provide backscattered UV/Vis radiances between 300 and 500 nm with a spatial resolution of
611 $5 \text{ km} \times 5 \text{ km}$ over a large part of Asia. Using advanced observations from the GEMS sensor,
612 it is anticipated that the system developed here will be able to make significant contributions
613 to further improvements in the performances of the PM forecasting system in Asia. This
614 improved PM predictions and modeling framework can also be a core part for entire air
615 quality forecasting system, a more comprehensive health impact assessments, and radiative
616 forcing estimation over (East) Asia in future.

617

618 **Appendix A: Spatio-temporal kriging method**

619 The ST-kriging methods assume that measured variables in space and time ($\tau(s, t)$) can be
620 regarded as a random function, consisting of a trend component (m) and residual component
621 (ϵ) of which the mean is zero:

$$622 \quad \tau(s, t) = m(s, t) + \epsilon(s, t) . \quad (\text{A1})$$

623 The unobserved value $\tau^*(s, t)$ can be averaged with weight using measured values from the
624 surrounding:

$$625 \quad \tau^*(s, t) = \sum_{i=1}^n \tau(s_i, t_i) w_i(s, t) \quad (A2)$$

626 where n is the number of observations in local neighborhood and $w_i(s, t)$ is the kriging weight
 627 assigned to $\tau(s_i, t_i)$. The kriging weight is determined by a theoretical semivariogram.

628 In case of spatial kriging ($\tau(s)$), the semivariogram (γ) is the best fit to the semivariance (γ^*) as
 629 a function of spatial lag (h). Assuming the trend component $m(s)$ in $\tau(s)$ is constant over the
 630 local domain (i.e., the ordinary kriging method), the semivariance is defined as:

$$631 \quad \gamma^*(h) = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} [\tau(s_i) - \tau(s_i + h)]^2 = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} [\varepsilon(s_i) - \varepsilon(s_i + h)]^2 \quad (A3)$$

632 where $N(h)$ is the number of paired observations at a spatial distance of h , and $\tau_i(s_i + h)$ is the
 633 i th observation (in this study, AOD) separated by h from the observation located at s_i . The
 634 semivariogram is then depicted by a theoretical model which is the best-fitting curve to the
 635 semivariance by minimizing the least square error. For example, a spherical semivariogram
 636 (γ), which is commonly used in the theoretical models of the atmospheric studies, is estimated
 637 by finding optimal three parameters: (i) nugget (c_n); (ii) range (a); and (iii) partial sill (σ_0^2):

$$638 \quad \gamma(h) = c_n + \sigma_0^2 \left[\frac{3h}{2a} - \frac{h^3}{2a^3} \right] \text{ (for } h \leq a), \quad \gamma(h) = c_n + \sigma_0^2 \text{ (for } h > a). \quad (A4)$$

639 The range parameter indicates the maximum lag in which the variation of semivariogram is
 640 meaningful (Cressie, 1992).

641 To combine the spatial and temporal data for preparing the spatio-temporal semivariograms,
 642 the temporal information can be converted into the spatial information (Gräler et al. (2012)).
 643 First, the spatial and temporal semivariograms are estimated independently using the spherical
 644 model from the daily GOCI AOD data. Second, the ratio of spatial range parameter (a_s) of the
 645 spatial semivariogram to temporal range parameter (a_t) of the temporal semivariogram (i.e.,

646 spatio-temporal scale factor, km h^{-1}) is used to convert the unit of temporal lag into the unit of
647 spatial distance. Consequentially, the 3D spatio-temporal AOD data are converted into the 2-
648 D spatial AOD fields. After that, the spatio-temporal semivariogram is provided to predict the
649 AOD fields with $15 \text{ km} \times 15 \text{ km}$ spatial resolution from 10:00 LT to 16:00 LT over the GOCI
650 domain. For the ST-kriging method, the “gstat” (Pebesma, 2004) and the “spacetime”
651 (Pebesma, 2012) software packages in the “R” environment for statistical computing were
652 used (R Development Core Team, 2011). Figure A1 presents an example of the 3D
653 semivariograms from the fitted model (left) and sample from the GOCI data on 8 April. The
654 mean nugget (c_n), range (a), partial sill (σ_0^2) of the spatio-temporal model semivariogram
655 were 0.025, 583km, and 0.227, respectively, during the entire DRAGON-Asia campaign. The
656 average spatio-temporal scale factor of $\sim 34 \text{ km h}^{-1}$ was calculated indicating that the AODs
657 observed before or after 1 h at certain location show a similar correlation pattern to those
658 measured simultaneously at $\sim 34 \text{ km}$ apart in the ST-kriging model. Figure A2 shows an
659 example of spatial distributions of GOCI AOD from 10:30 to 13:30 LT and ST-kriging AOD
660 at 12:00 LT with a criteria of kriging variances (KVs) less than 0.04.

661

662 **Appendix B: Statistical metrics**

663 In this study, eight statistical metrics were used for validating the hindcast results (Chai and
664 Draxler, 2014; Savage et al., 2013; Willmott, 1981; Willmott et al., 2009; Willmott and
665 Matsuura, 2005).

$$666 \text{ Index of agreement (IOA)} = 1 - \frac{\sum_{i=1}^N (O_i - M_i)^2}{\sum_{i=1}^N (|O_i - \bar{O}_i| + |M_i - \bar{M}_i|)^2} \quad (\text{B1})$$

667 Mean fractional error (MFE) = $\frac{1}{N} \sum_{i=1}^N \frac{|M_i - O_i|}{\left(\frac{M_i + O_i}{2}\right)} \times 100$ (B2)

668 Mean fractional bias (MFB) = $\frac{1}{N} \sum_{i=1}^N \frac{(M_i - O_i)}{\left(\frac{M_i + O_i}{2}\right)} \times 100$ (B3)

669 Regression coefficient (R) = $\frac{\sum_{i=1}^N (O_i - \overline{O_i})(M_i - \overline{M_i})}{\sqrt{\sum_{i=1}^N (O_i - \overline{O_i})^2} \sqrt{\sum_{i=1}^N (M_i - \overline{M_i})^2}}$ (B4)

670 Root mean square error (RMSE) = $\sqrt{\frac{1}{N} \sum_{i=1}^N (M_i - O_i)^2}$ (B5)

671 Mean normalized error (MNE) = $\frac{1}{N} \sum_{i=1}^N \left(\frac{|M_i - O_i|}{O_i} \right) \times 100$ (B6)

672 Mean bias (MB) = $\frac{1}{N} \sum_{i=1}^N (M_i - O_i)$ (B7)

673 Mean Normalized bias (MNB) = $\frac{1}{N} \sum_{i=1}^N \left(\frac{M_i - O_i}{O_i} \right) \times 100$ (B8)

674 where N is the number of data and M_i and O_i are the model value and observation,
 675 respectively. The value highlighted by overbar means the arithmetic mean of the data.

676

677 **Code Availability**

678 WRF and CMAQ source codes and R and NCL computer languages are available to the
 679 public. The source codes and computer languages may be downloaded by following
 680 instructions found at:

681 <http://www2.mmm.ucar.edu/wrf/users/downloads.html> for WRF,

682 <https://www.cmascenter.org/cmaq> for CMAQ,

683 <http://cran.r-project.org> for R, and

684 <https://www.ncl.ucar.edu/Download> for NCL.

685 ST-kriging module code used in this study was based on the instruction of Pebesma (2012)

686 available at <http://www.jstatsoft.org/v51/i07>, and can be obtained by contacting S. Lee

687 (noitul5@gist.ac.kr).

688

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701

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1015

Table 1. WRF and CMAQ model configurations.

WRF (ver. 3.5.1)		CMAQ (ver. 5.0.1)	
Microphysics scheme	WRF single-moment 3 class	Chemical mechanism	SAPRC-99
Long- and short-wave radiation	Rapid Radiation Transfer Model for GCMs (RRTMG)	Aerosol module	AERO-6
Planetary boundary layer	Yonsei University scheme	Chemistry solver	Euler backward iterative (EBI) solver
Land-surface model	Noah-MP	Photolysis module	In-line photolysis calculations

Table 2. Values used in observation operators for estimating aerosol optical properties (AOPs).

Method for estimating aerosol optical properties	Aerosol speciation	Hygroscopic aerosols	α_{OC}^1	α_{BC}^2	α_{SSAM}^3	α_{SSCM}^4
Chin et al. (2002)	(NH ₄) ₂ SO ₄ , OC, BC, dust (7 size bins), sea-salt (2 modes)	(NH ₄) ₂ SO ₄ , OC, BC, sea-salt	2.67	9.28	1.15	0.13
Martin et al. (2003)	(NH ₄) ₂ SO ₄ , OC, BC, dust (7 size bins), sea-salt (2 modes)	(NH ₄) ₂ SO ₄ , OC, BC, sea-salt	2.82	8.05	2.37	0.94
Malm and Hand (2007)	NH ₄ NO ₃ , (NH ₄) ₂ SO ₄ , organic matter, soil, coarse mass, sea-salt	NH ₄ NO ₃ , (NH ₄) ₂ SO ₄ , sea-salt	4.00	10.00	1.37	1.37

Dry mass extinction efficiencies (m² g⁻¹) at 550nm of ¹ OC, ² BC, ³ sea-salt in accumulation mode and ⁴ sea-salt in coarse mode

Note: In cases of Chin et al. (2002) and Martin et al. (2003), the AOPs for sulfate were used for calculating AOPs for NH₄NO₃ and (NH₄)₂SO₄.

Table 3. Definition of model configurations.

Configuration	Observation operator	Control variable
A1	Chin et al. (2002)	Total aerosol mass concentration
A2		SO ₄ ²⁻ mass concentration
A3		SO ₄ ²⁻ and OAs mass concentration
A4		SO ₄ ²⁻ , NO ₃ ⁻ , NH ₄ ⁺ and OAs mass concentration
B1	Martin et al. (2003)	Total aerosol mass concentration
B2		SO ₄ ²⁻ mass concentration
B3		SO ₄ ²⁻ and OAs mass concentration
B4		SO ₄ ²⁻ , NO ₃ ⁻ , NH ₄ ⁺ and OAs mass concentration
C1	Malm and Hand (2007)	Total aerosol mass concentration
C2		SO ₄ ²⁻ mass concentration
C3		SO ₄ ²⁻ and OAs mass concentration
C4		SO ₄ ²⁻ , NO ₃ ⁻ , NH ₄ ⁺ and OAs mass concentration

Table 4. Performance metrics for AOD and PM₁₀ hindcasts on the ten selected episodes at six AERONET sites and nearby NAMIS PM₁₀ stations in SMA.

Configuration	AOD (N ¹ = 277)								PM ₁₀ (N = 340)							
	IOA ²	MFE ³	MFB ⁴	R ⁵	RMSE ⁶	MB ⁷	MNE ⁸	MNB ⁹	IOA	MFE	MFB	R	RMSE ¹⁰	MB ¹⁰	MNE	MNB
noSTK	0.48	113.2	-113.2	0.61	0.60	-0.53	70.0	-70.0	0.47	89.0	-88.5	0.54	55.15	-48.40	58.9	-58.4
A1	0.62	37.4	-22.1	0.46	0.36	-0.16	32.5	-13.7	0.60	35.4	-22.7	0.44	36.07	-15.80	31.1	-14.7
A2	0.60	39.8	-20.9	0.41	0.37	-0.15	35.8	-11.3	0.58	39.4	-34.7	0.50	37.13	-24.65	31.6	-25.8
A3	0.63	38.7	-22.5	0.46	0.36	-0.16	34.0	-13.5	0.64	33.0	-23.1	0.52	33.15	-17.07	28.4	-16.2
A4	0.63	37.4	-22.0	0.47	0.35	-0.16	32.6	-13.5	0.64	36.2	-28.3	0.53	34.58	-19.79	30.3	-20.4
B1	0.54	43.1	-27.1	0.33	0.40	-0.18	36.4	-16.2	0.53	41.1	-30.0	0.31	40.01	-20.90	33.9	-20.0
B2	0.51	44.7	-25.2	0.27	0.41	-0.17	39.5	-13.3	0.53	43.8	-39.2	0.37	40.94	-27.50	34.1	-28.5
B3	0.56	42.3	-25.8	0.35	0.39	-0.18	36.6	-15.2	0.56	38.0	-29.6	0.39	37.43	-21.65	31.2	-21.0
B4	0.55	41.9	-24.7	0.34	0.39	-0.17	36.3	-14.2	0.56	40.7	-33.9	0.42	38.30	-23.84	32.8	-24.4
C1	0.50	44.4	-37.5	0.28	0.43	-0.26	34.3	-26.3	0.55	35.8	-14.5	0.32	38.41	-9.82	33.4	-5.4
C2	0.47	45.7	-34.2	0.20	0.43	-0.24	36.7	-22.7	0.55	36.3	-26.0	0.37	36.86	-19.43	30.3	-17.6
C3	0.53	41.7	-30.5	0.34	0.40	-0.22	34.1	-20.5	0.60	32.9	-19.6	0.44	34.07	-14.92	29.1	-12.5
C4	0.53	41.7	-32.4	0.35	0.41	-0.23	32.4	-22.6	0.61	34.8	-21.8	0.44	34.78	-15.68	30.4	-14.0

¹ the number of paired data, ² index of agreement, ³ mean fractional error, ⁴ mean fractional bias, ⁵ Pearson product-moment correlation coefficient, ⁶ root mean square error, ⁷ mean bias, ⁸ mean normalized error, and ⁹ mean normalized bias. The units of all of metrics are dimensionless except ¹⁰ for $\mu\text{g m}^{-3}$.

Table 5. Performance metrics for PM₁₀ hindcasting on the ten selected episodes at 58 NAMIS PM₁₀ stations in SMA. Abbreviations are the same as those in Table 3.

Configuration	H+1 to H+6 (N = 4823)								H+7 to H+12 (N = 4921)							
	IOA	MFE	MFB	R	RMSE	MB	MNE	MNB	IOA	MFE	MFB	R	RMSE	MB	MNE	MNB
noSTK	0.45	99.6	-98.7	0.44	62.98	-54.59	63.9	-62.6	0.55	64.7	-36.9	0.30	56.76	-17.77	56.5	-12.9
A1	0.62	42.2	-30.9	0.47	40.64	-21.41	35.6	-19.7	0.62	43.9	1.5	0.37	49.17	5.27	51.2	19.1
A2	0.57	49.1	-43.4	0.48	43.81	-30.49	38.5	-30.4	0.60	45.1	-4.0	0.34	49.81	0.60	49.9	13.1
A3	0.64	40.5	-30.4	0.50	39.46	-21.83	34.2	-20.1	0.63	43.5	5.8	0.39	50.51	9.17	52.7	23.9
A4	0.63	44.6	-36.3	0.52	40.70	-24.99	36.3	-24.7	0.62	43.6	1.2	0.38	49.44	5.10	50.6	18.5
B1	0.54	48.8	-39.6	0.35	45.12	-27.64	38.8	-26.1	0.58	46.0	-3.6	0.31	49.18	0.71	50.8	14.1
B2	0.51	53.9	-48.9	0.36	47.76	-34.14	41.0	-33.9	0.59	46.3	-7.4	0.33	48.73	-2.37	49.1	9.3
B3	0.56	45.9	-37.9	0.40	43.36	-27.48	36.9	-25.8	0.61	44.6	0.7	0.35	48.81	4.07	51.0	17.9
A4	0.56	49.7	-43.0	0.43	44.46	-30.05	38.9	-29.6	0.60	45.2	-3.1	0.34	48.87	1.07	50.0	14.0
C1	0.60	40.4	-22.7	0.39	40.82	-15.98	35.9	-11.7	0.58	45.9	6.6	0.32	51.68	9.84	56.0	26.5
C2	0.56	43.7	-34.3	0.40	42.22	-25.43	35.6	-22.9	0.58	45.9	2.0	0.31	51.37	5.63	53.6	20.7
C3	0.63	39.0	-27.3	0.47	39.00	-20.13	33.3	-17.5	0.61	44.1	7.3	0.37	51.46	10.64	54.3	26.3
C4	0.63	41.2	-29.9	0.48	39.45	-21.30	34.5	-19.4	0.61	44.2	4.7	0.36	50.69	8.29	53.2	23.2

Table S1. Performance metrics for AOD and PM₁₀ hindcasts from 7 March 12:00 to 19 March 11:00 at six AERONET sites and nearby NAMIS PM₁₀ stations in SMA.

Configuration	AOD (N = 219)								PM ₁₀ (N =1664)							
	IOA	MFE	MFB	R	RMSE	MB	MNE	MNB	IOA	MFE	MFB	R	RMSE	MB	MNE	MNB
noSTK	0.68	99.21	-98.09	0.69	0.37	-0.29	63.77	-62.49	0.54	63.74	-37.91	0.28	31.26	-12.67	56.78	-13.71
H+0 to H+23	0.73	60.65	-57.90	0.74	0.30	-0.22	43.95	-40.68	0.59	50.49	3.45	0.36	31.68	5.36	69.27	34.03
H+24 to H+47	0.69	81.76	-76.44	0.68	0.34	-0.25	55.16	-48.89	0.57	53.28	-14.36	0.31	30.32	-3.50	58.50	9.36

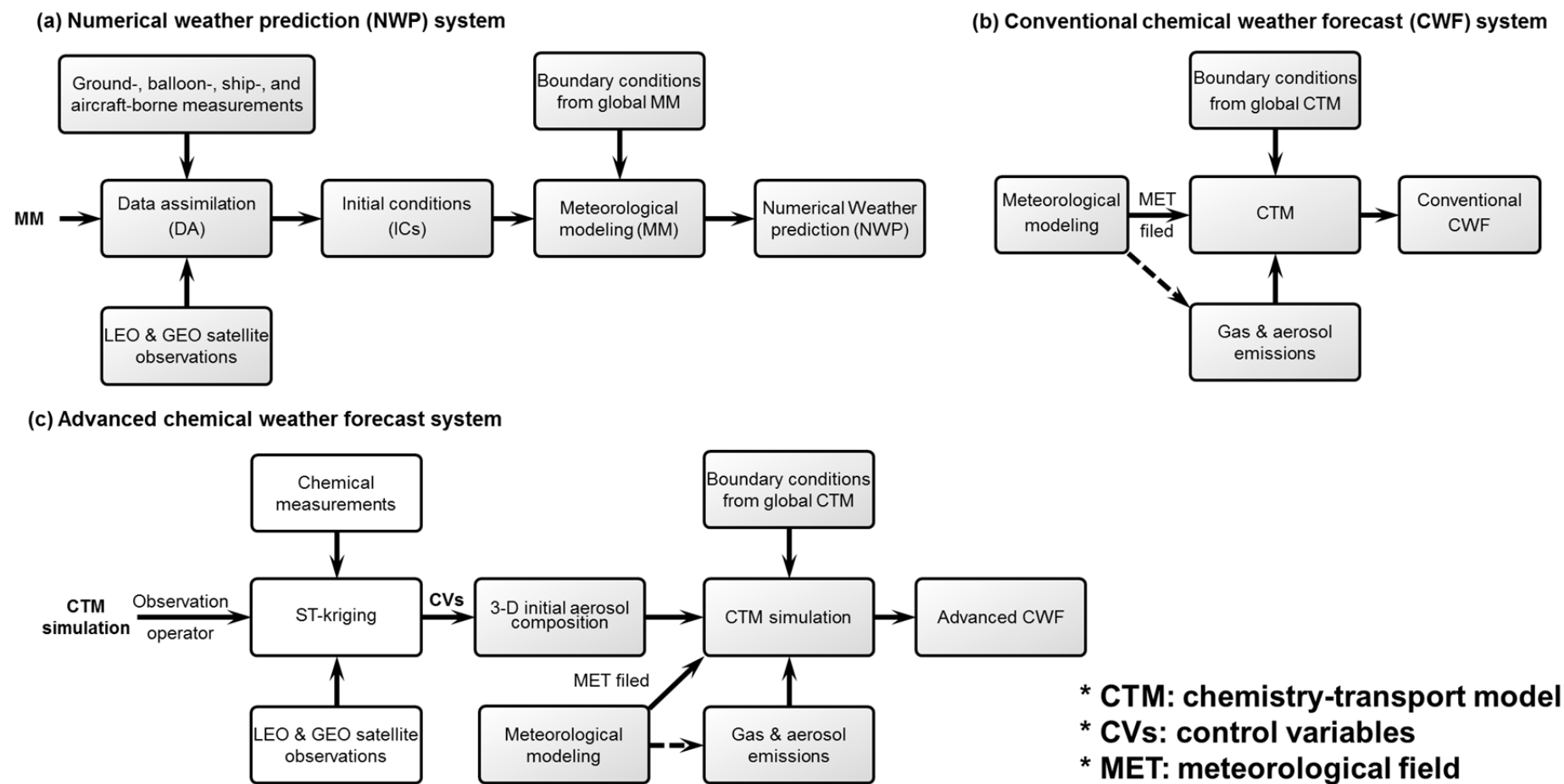


Figure 1. General structure of a) numerical weather prediction (NWP), b) conventional chemical weather forecast (CWF), and c) advanced chemical weather forecast system.

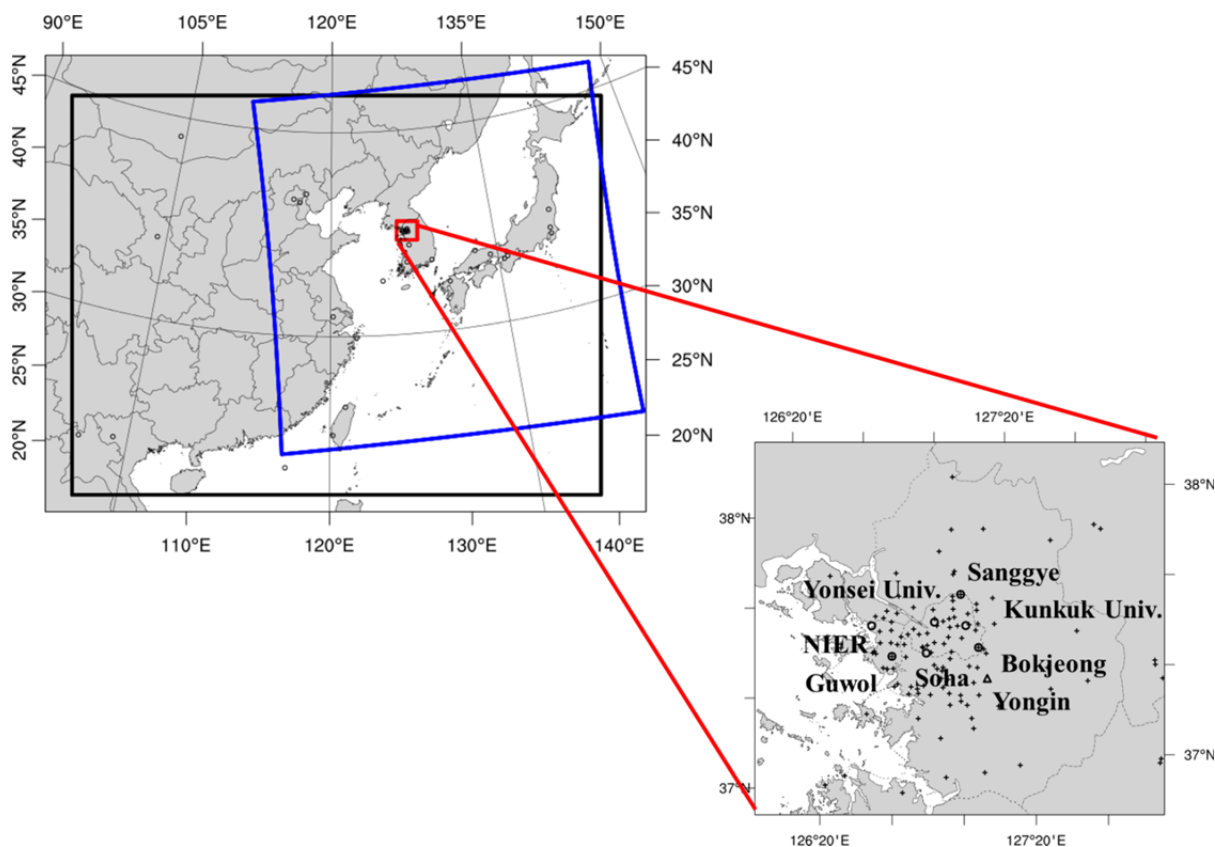


Figure 2. Domains of CMAQ model simulations (black), GOCI sensor coverage (blue), and Seoul Metropolitan area (red). Also shown are seven AERONET level-2 sites (circles), 58 NAMIS PM₁₀ sites (crosses), and a PM composition observation site (triangle) in greater Seoul area, respectively.

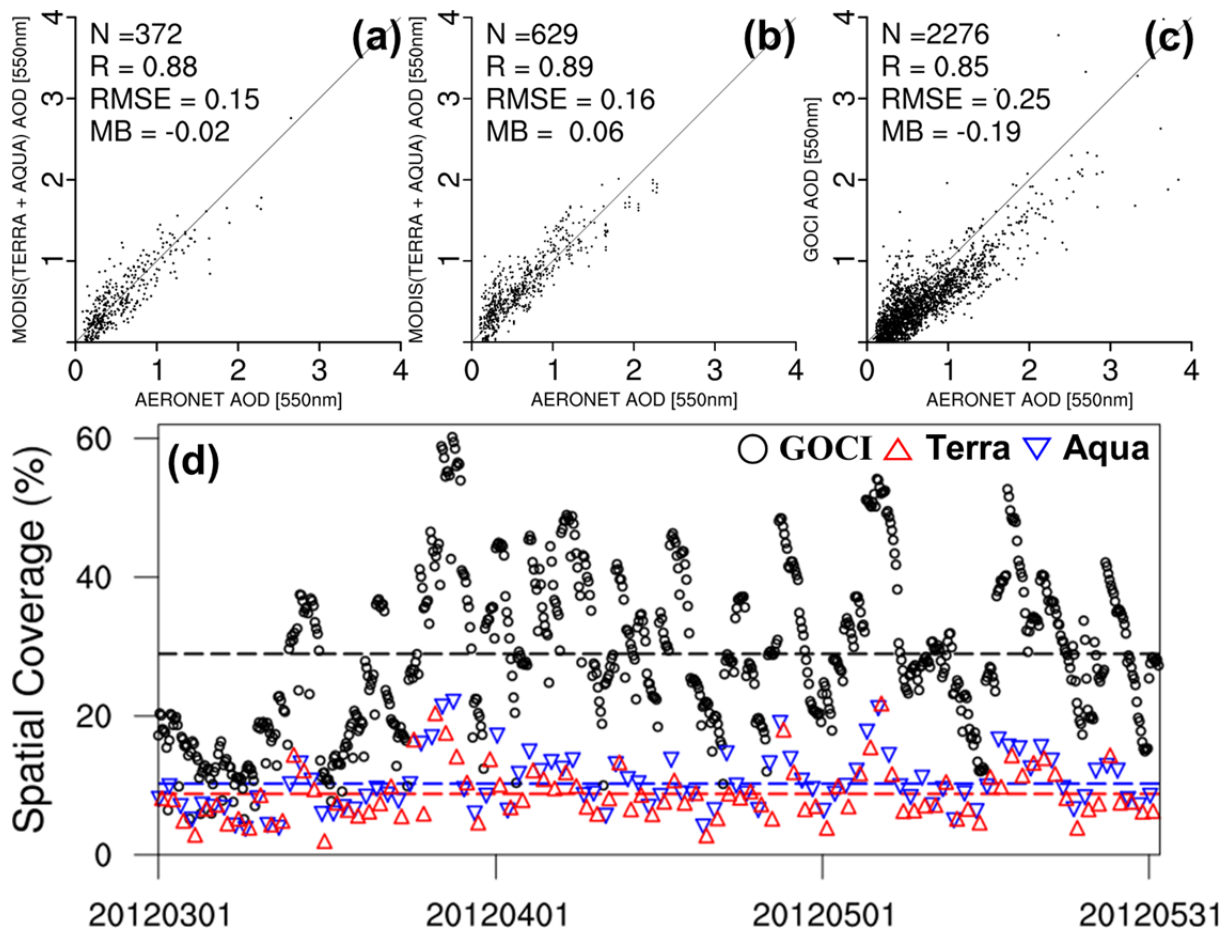


Figure 3. Scatter plots of (a) 10 km Aqua/Terra MODIS AODs vs. AERONET level-2 AODs, (b) 3 km Aqua/Terra MODIS AODs vs. AERONET level-2 AODs and (c) GOCI AODs vs. AERONET level-2 AODs at 550 nm during the DRAGON campaign over the GOCI domain. N, R, RMSE, and MB represent the number of observations, the regression coefficient, root mean square error, and mean bias, respectively. Hourly-resolved Aqua/Terra MODIS and GOCI spatial coverages (%) are also shown in the panel (d) from 1 March to 31 May, 2012.

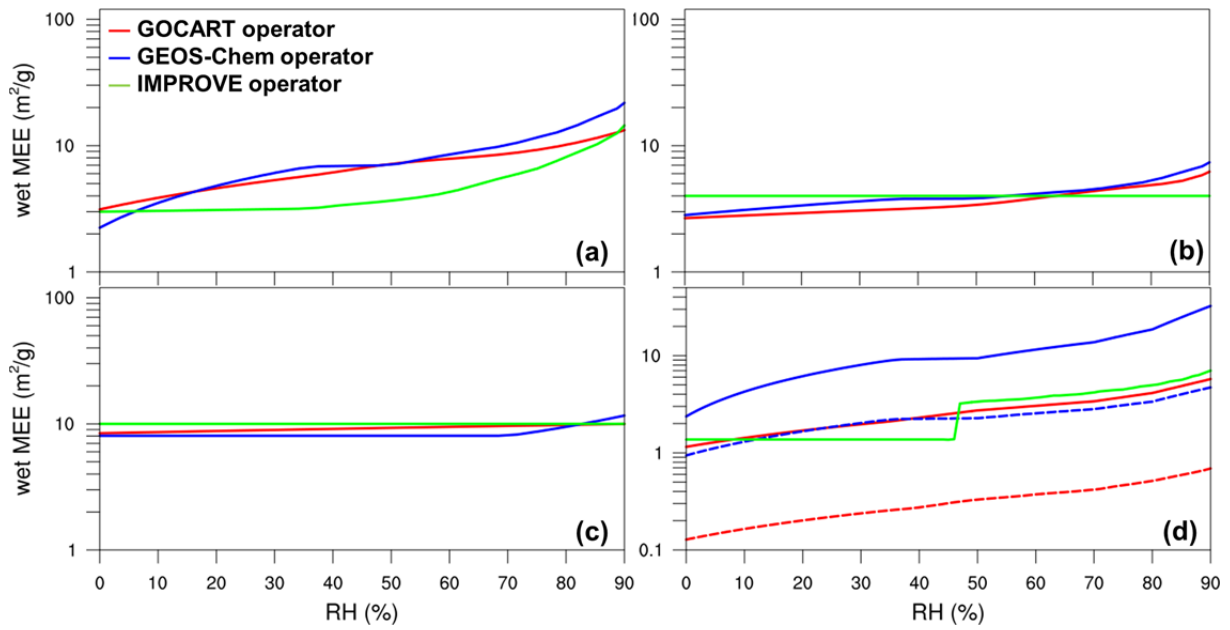


Figure 4. Mass extinction efficiencies (MEEs) calculated for (a) SO_4^{2-} , NO_3^- , and NH_4^+ , (b) OAs, (c) BC and (d) sea-salt at a wavelength of 550 nm as a function of RH at a wavelength of 550 nm as a function of relative humidity (%) from three observation operators. In cases of GOCART operator and GEOS-Chem operator, 50% of OAs and 20% of BC are assumed to be hydrophilic. In sea-salt MEEs, accumulate mode and coarse mode are represented as solid lines and dash lines, respectively.

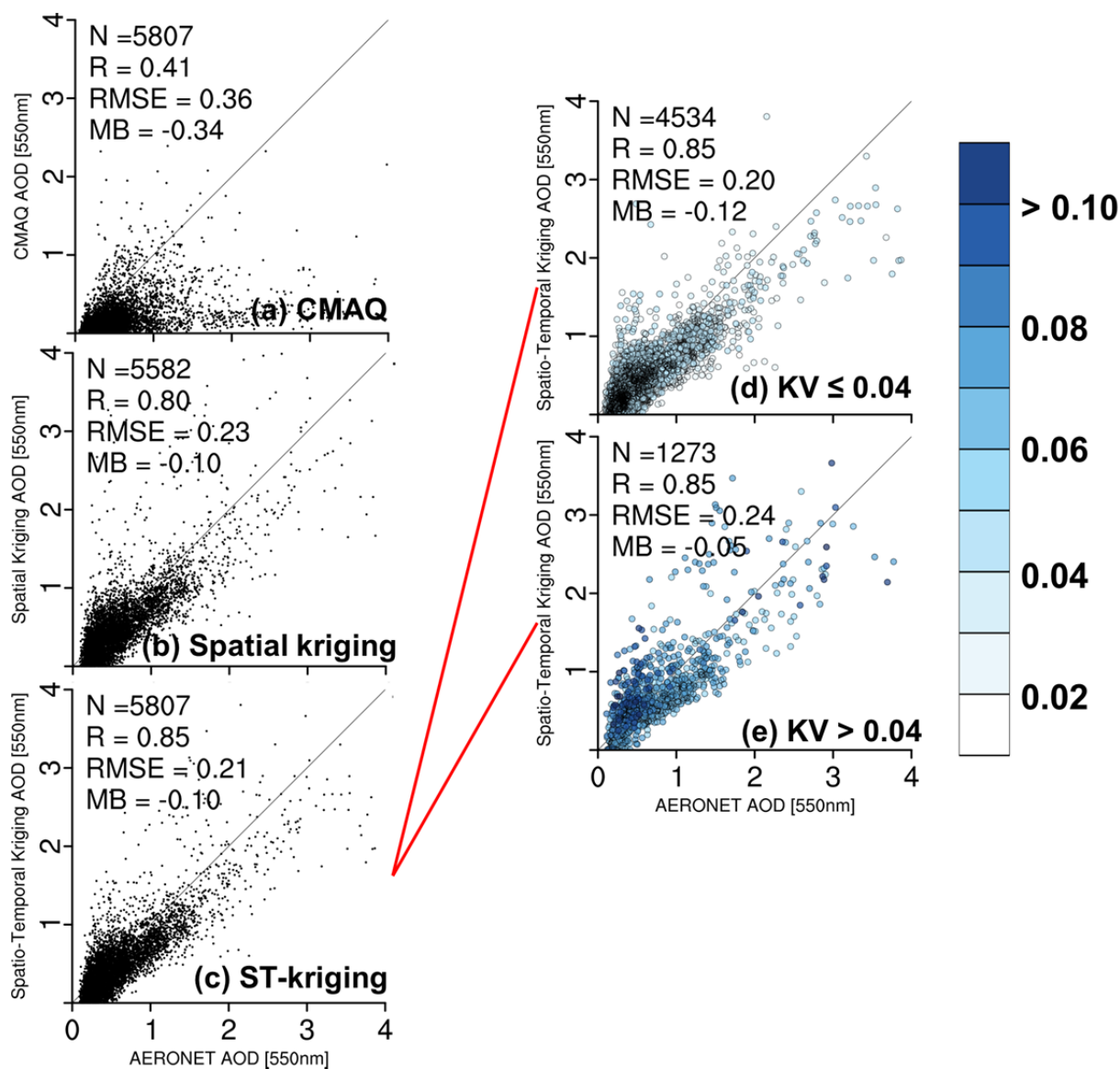


Figure 5. Scatter plots of (a) background CMAQ model AODs, (b) spatial kriging AODs, and (c) ST-kriging AODs vs. AERONET level-2 AODs at 550 nm. Plots of ST-kriging with kriging variances (KVs) less equal 0.04 (d) and larger than 0.04 (e) are also shown. The color scale shown in Fig. 5 (e) presents the KVs of ST-kriging AODs. The number of AOD in (b) is smaller than those of (a) and (c) due to the missing hourly AOD fields by the anomaly in GOCI.

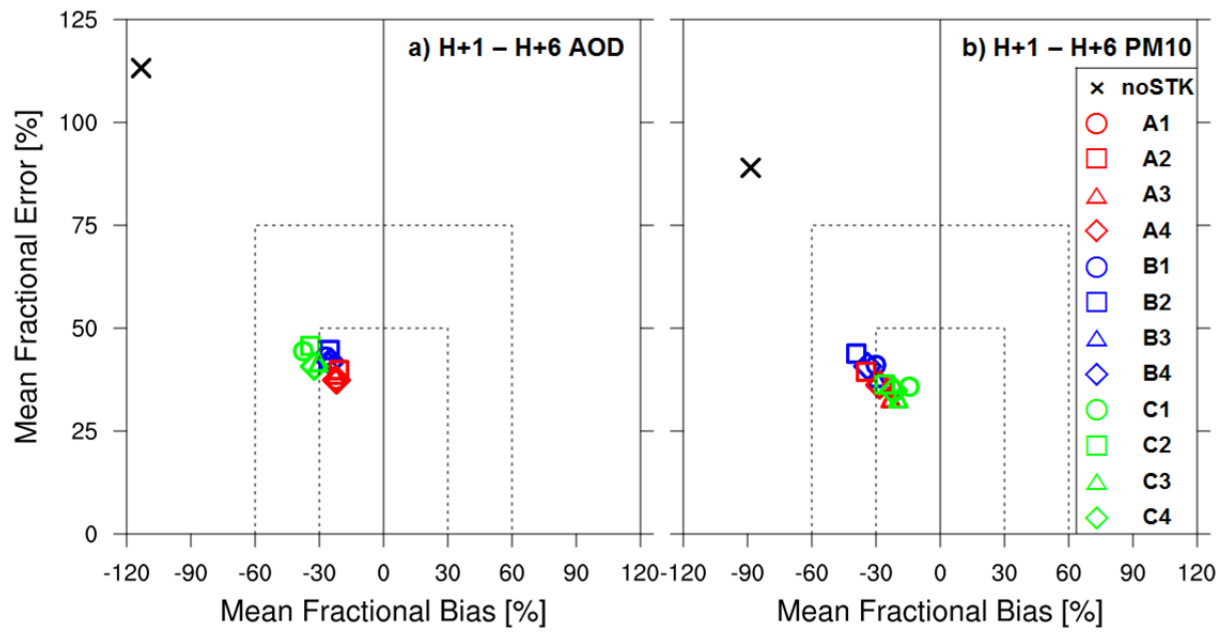


Figure 6. Soccer plot analysis for AOD (left panel) and PM_{10} (right panel) data from the first 6-h observations and the model data at six selected sites. BL (denoted by black diamond) represents the case of bilinear interpolation method discussed in Sect. 3. 2.

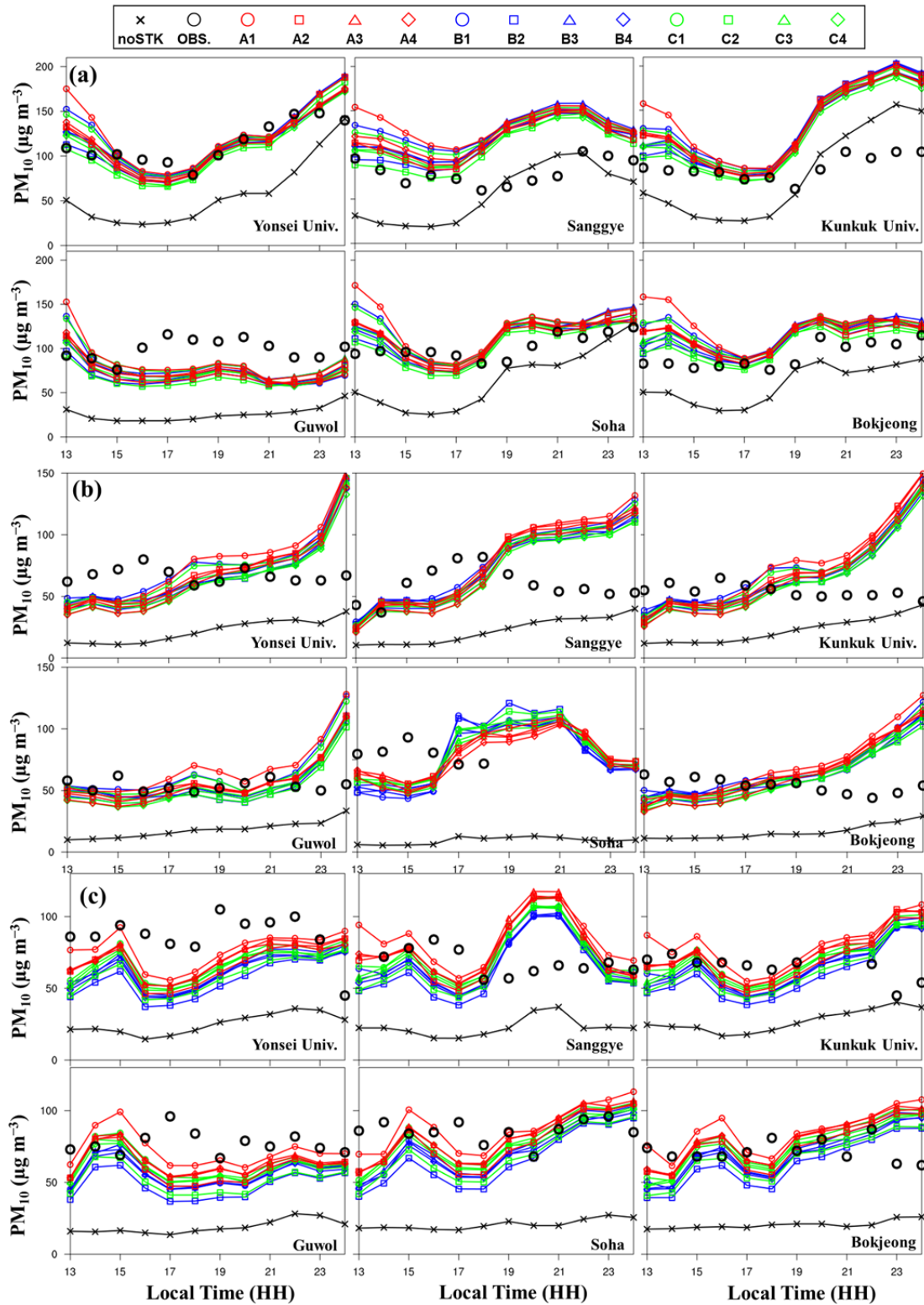


Figure 7. Time series of hourly PM_{10} for the six sites over SMA for 9 April (a), for 6 May (b), and for 16 May (c) in 2012. Observed concentrations are shown as the black circle and the model outputs as the colored line with their own markers explained in the legend.

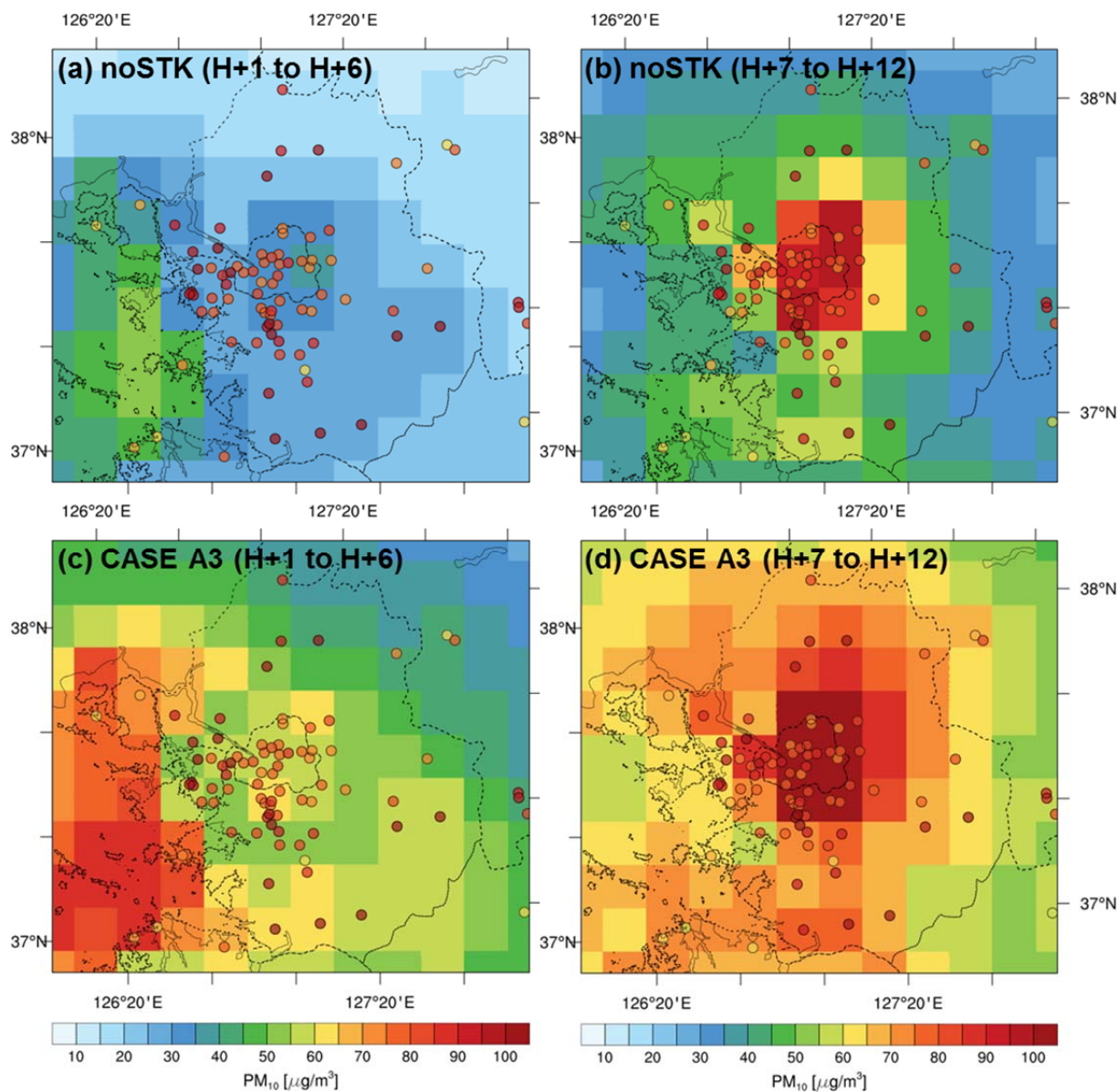


Figure 8. Averaged PM₁₀ of noSTK case from H+1 to H+6 (a) and from H+7 to H+13 (b), and the averaged concentrations of case A3 at the same time series ((c) and (d)) for the selected ten days. Averaged NAMIS PM₁₀ observations are shown with colored circles.

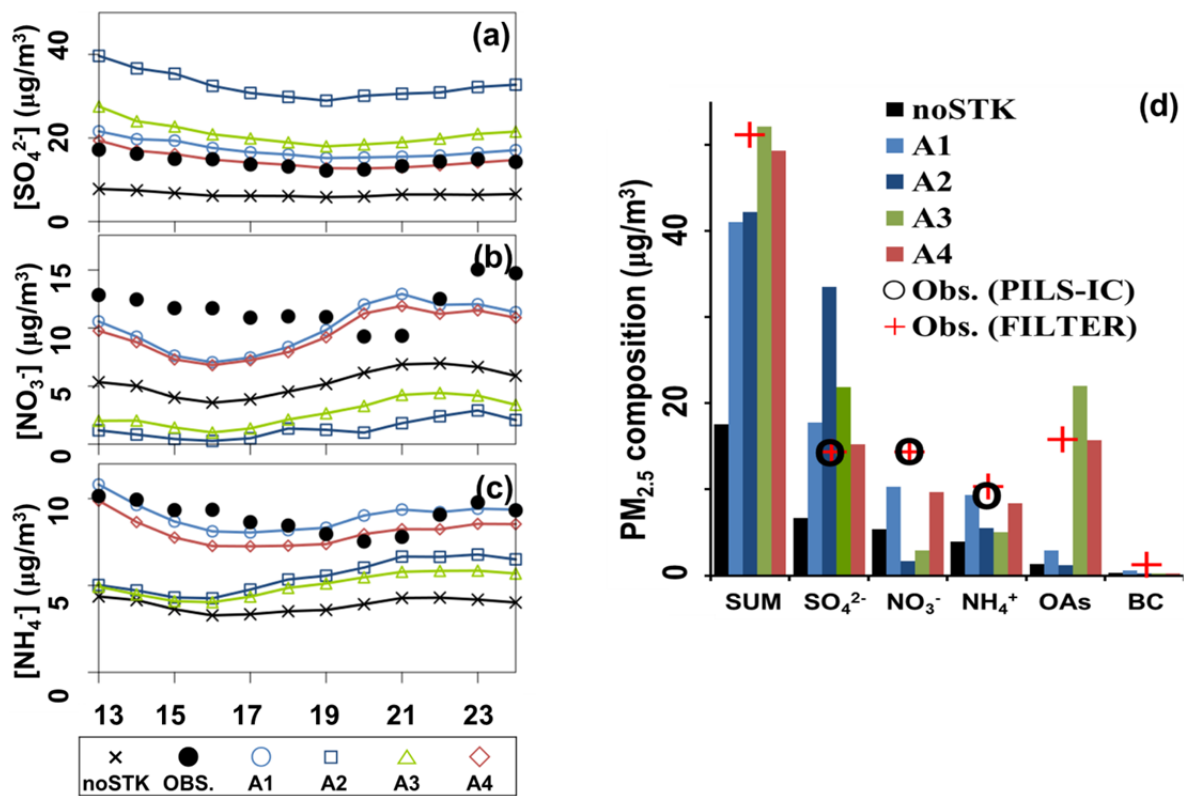


Figure 9. Time-series comparison of 1-hr averaged (a) SO_4^{2-} , (b) NO_3^- , and (c) NH_4^+ concentrations measured from PILS-IC instrument and model-predicted concentrations. In panel (d), 24-h averaged aerosol concentration in $\text{PM}_{2.5}$ from observations (PILS-IC instrument and low air volume sampler with Teflon filter) are compared with hindcast concentrations at the Yongin City site for ten selected episodes.

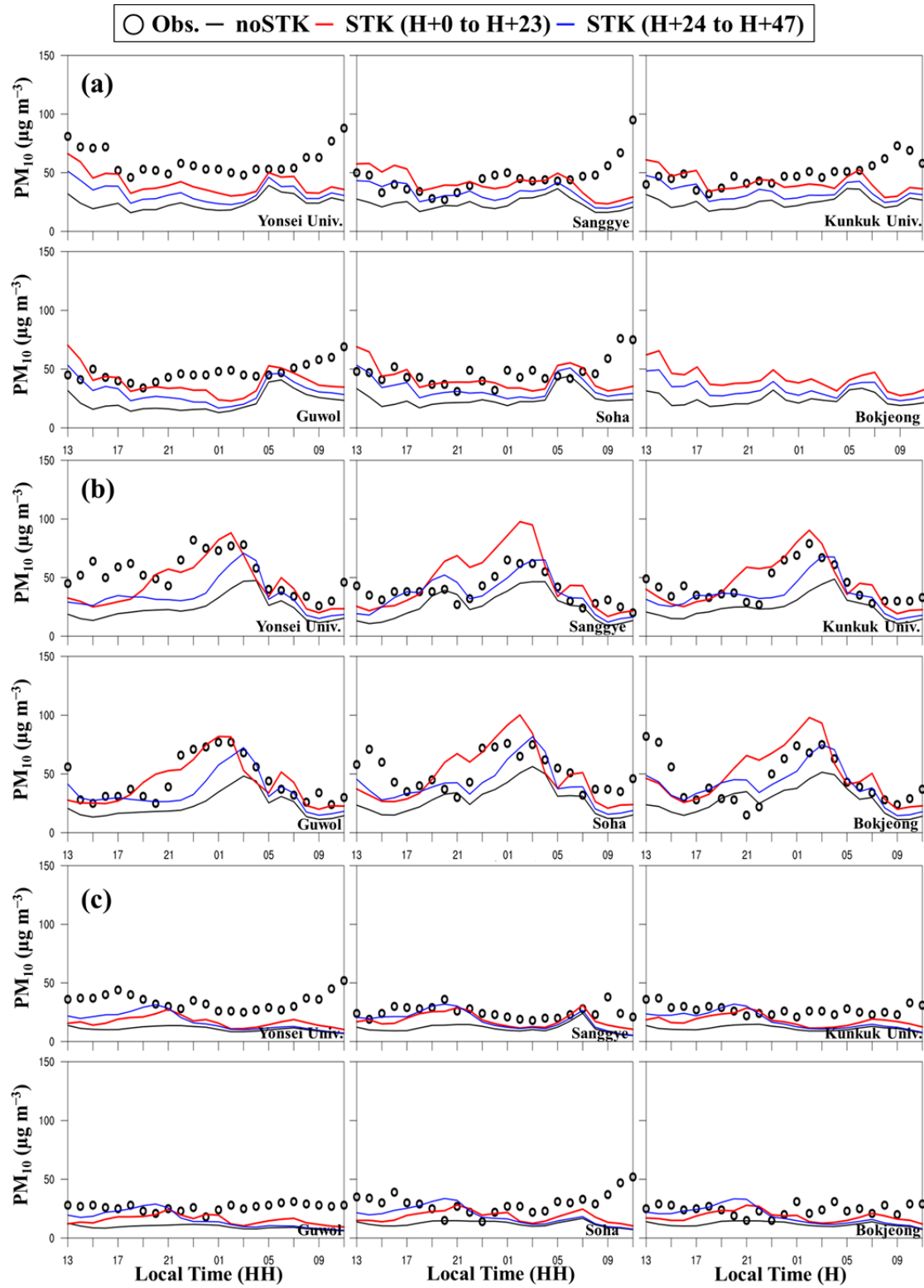


Figure 10. Time series of hourly PM_{10} at six sites in SMA for 8 March (a), for 10 March (b), and for 11 March (c) in 2012. Observed concentrations are denoted as black circles and the modelled concentrations are as colored lines.

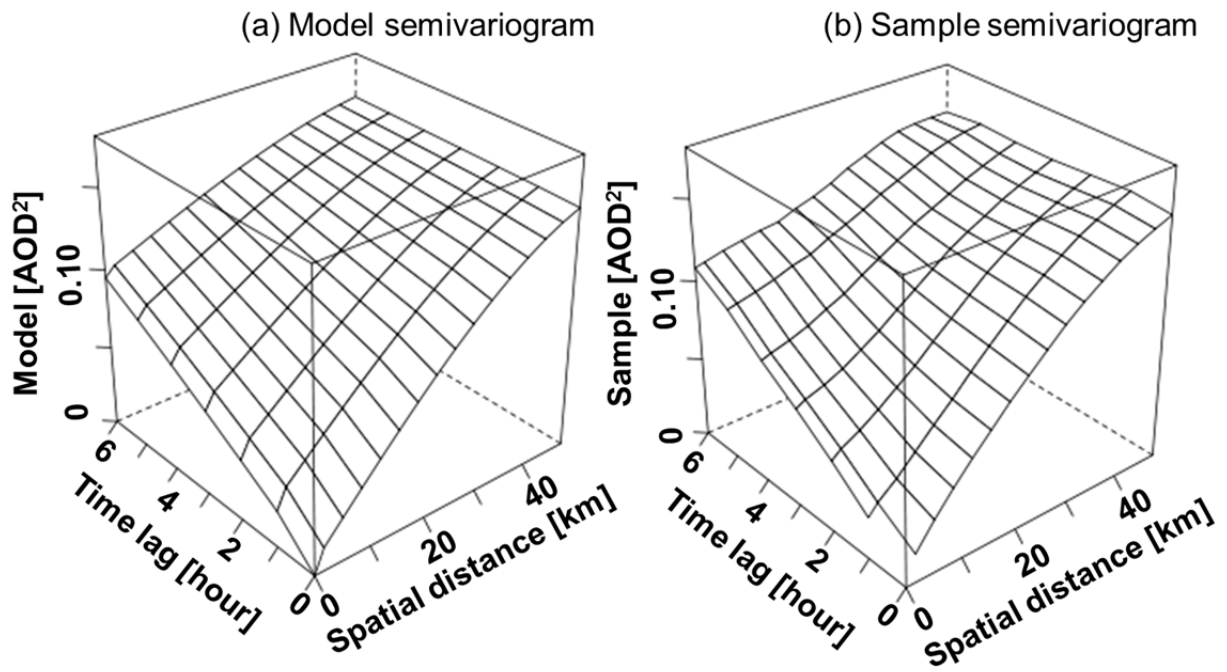


Figure A1. Daily three-dimensional semivariogram from fitted by the spherical model (a), and a sample semivariogram from the GOCI AOD data (b) on 8 April, 2012.

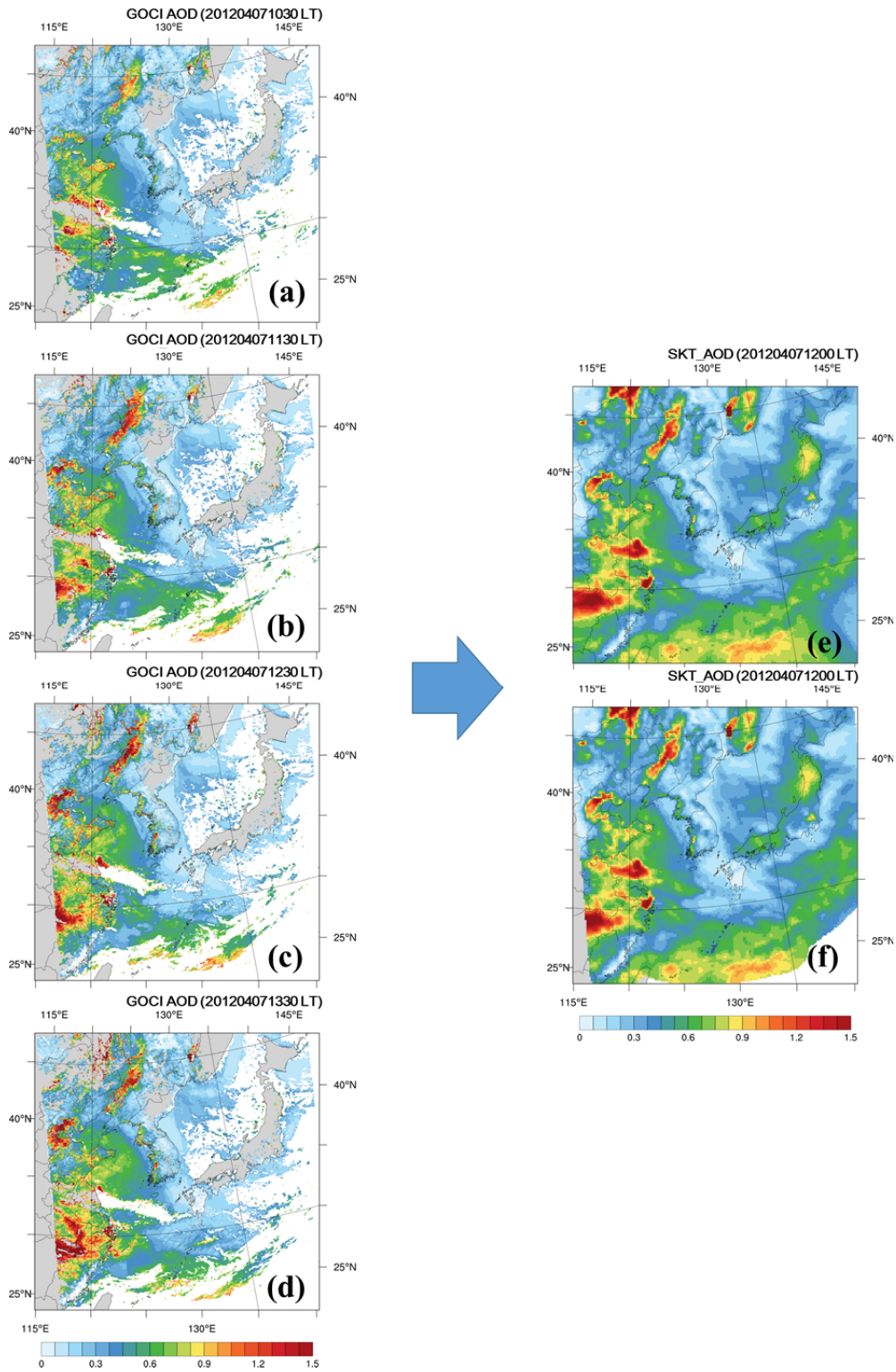


Figure A2. Spatial distributions of GOCI AOD from 10:30 to 13:30 LT ((a) to (d)) and ST-kriging AOD at 12:00 LT (e) on 7 April, 2012. The ST-kriging AOD at 12:00 LT with a criteria of kriging variances (KVs) less than 0.04 is also shown in (f).