



Unified convection  
using CLUBB and  
subcolumns in CAM

K. Thayer-Calder et al.

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# A unified parameterization of clouds and turbulence using CLUBB and subcolumns in the Community Atmosphere Model

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## Abstract

Most global climate models parameterize separate cloud types using separate parameterizations. This approach has several disadvantages, including obscure interactions between parameterizations and inaccurate triggering of cumulus parameterizations.

Alternatively, a unified cloud parameterization uses one equation set to represent all cloud types. Such cloud types include stratiform liquid and ice cloud, shallow convective cloud, and deep convective cloud. Vital to the success of a unified parameterization is a general interface between clouds and microphysics. One such interface involves drawing Monte Carlo samples of subgrid variability of temperature, water vapor, cloud liquid, and cloud ice, and feeding the sample points into a microphysics scheme.

This study evaluates a unified cloud parameterization and a Monte Carlo microphysics interface that has been implemented in the Community Atmosphere Model (CAM) version 5.3. Results describing the mean climate and tropical variability from global simulations are presented. The new model shows a degradation in precipitation skill but improvements in short-wave cloud forcing, liquid water path, long-wave cloud forcing, precipitable water, and tropical wave simulation. Also presented are estimations of computational expense and investigation of sensitivity to number of subcolumns.

## 1 Introduction

Most climate models today use separate parameterizations to model separate cloud types, such as stratiform clouds, shallow cumuli, and deep cumuli. Each parameterization uses its own separate equation set. The resulting suite of parameterizations is intended, collectively, to represent the full range of subgrid-scale clouds included in the climate model.

While the use of separate parameterizations for separate cloud regimes offers several advantages, it also suffers disadvantages. First, the use of multiple, separate

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cloud parameterizations leads to unnecessary complexity. Some of the complexity is of a practical sort: it is hard to understand a suite of parameterizations written by different authors that use differing coding conventions and assumptions. Some of the complexity is more conceptual in nature: even if each parameterization is simple, the interactions among the parameterizations might be difficult to understand (Zhang and Bretherton, 2008; Bretherton, 2007). Second, it is difficult to formulate, in a realistic way, the triggers that are used to activate cumulus parameterizations. For instance, deep convection does not appear instantaneously; rather, in many instances, deep clouds are initiated by the gradual and continuous growth of shallow clouds (Grabowski et al., 2006; Wu et al., 2009). Accurately parameterizing the gradual onset of deep convection is important for modeling tropical phenomena such as the Madden–Julian Oscillation (e.g., Bladé and Hartmann, 1993; Benedict and Randall, 2007; Del Genio et al., 2012; Boyle et al., 2015) and convectively coupled waves (e.g., Lin et al., 2008; Frierson et al., 2011).

To avoid such difficulties, some past researchers have parameterized two or more cloud types using a single equation set, thereby partly unifying the description of clouds. The greater the degree of unification, the greater the reduction in the number of interacting parameterizations and trigger functions.

For instance, to avoid the difficulties of coupling *shallow* and *deep* cumulus parameterizations, some researchers have represented both cloud types using a single parameterization (Kain, 2004; Park, 2014a, b). However, the aforementioned parameterizations are only partly unified because they do not include stratiform clouds; instead, those clouds must be handled by a separate parameterization.

To avoid the difficulties of coupling *stratocumulus* and *shallow cumulus* parameterizations, some researchers have parameterized both cloud types with a single equation set (Lappen and Randall, 2001; Golaz et al., 2002; Larson and Golaz, 2005; Cheng and Xu, 2006, 2008; Firl, 2009; Bogenschutz and Krueger, 2013). To close some higher-order terms in the equation set, these parameterizations make an assumption about the shape of the probability density function (PDF) of subgrid variability. One of the pa-



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et al., 2005; Larson and Schanen, 2013). SILHS samples the subgrid PDFs predicted by CLUBB, thereby providing a set of vertical profiles, or “subcolumns”, of sample points. The subcolumns are then fed into a microphysics parameterization, thereby allowing the microphysics to respond to subgrid variability in clouds (Jakob and Klein, 1999; Jess et al., 2011; Tonttila et al., 2013, 2015). Within an individual subcolumn, each grid level has uniform properties (e.g. all cloudy or all clear), but collectively, a set of subcolumns represents the subgrid variability within a grid column. This may improve the representation of non-linear microphysical process rates (Pincus and Klein, 2000; Larson et al., 2001; Jess et al., 2011). Subcolumn approaches have long been used for radiative transfer applications in large-scale models (e.g., Barker et al., 2002, 2008; Pincus et al., 2003, 2006; Räisänen et al., 2004, 2005, 2007, 2008; Räisänen and Barker, 2004).

The use of SILHS helps mitigate the three aforementioned drawbacks of the configurations of Bogenschutz et al. (2013); Guo et al. (2014, 2015). First, cloud ice is included in CLUBB’s subgrid PDF and is sampled by SILHS, thereby driving ice microphysics with subgrid variability. Second, SILHS feeds within-cloud variability directly and consistently into microphysics, ensuring that the same marginal PDF that is used to diagnose cloud water content is also used to diagnose autoconversion. Third, assumptions about subgrid variability, such as those regarding vertical overlap of condensate and vapor, are removed from the microphysics scheme and instead embedded in SILHS (Larson and Schanen, 2013; Storer et al., 2015). This facilitates the implementation of subgrid assumptions that are more general.

An alternative modeling approach that is not evaluated here is the Multiscale Modeling Framework (MMF). It embeds a convection-permitting model within each grid column of a climate model, thereby unifying the description of cloud features larger than about 4 km in horizontal extent. However, a standard MMF configuration is on the order of 180 times slower than conventional climate models (Khairoutdinov and Randall, 2001).

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Here, we evaluate climate model simulations that use CLUBB in order to parameterize shallow cumulus, deep cumulus, and stratiform liquid and ice clouds, and that use SILHS in order to feed samples of the subgrid variability into a microphysics scheme, following the approach of Storer et al. (2015). This model configuration provides a more fully unified parameterization of clouds. The purpose of the present paper is twofold. First, it outlines the subcolumn software framework in CAM5. This software framework contains SILHS. Second, unlike Storer et al. (2015), this paper evaluates the behavior of CLUBB-SILHS in a *global* context, including climatologies of cloud-related fields and some aspects of tropical variability.

This paper is organized as follows. Section 2 describes the CLUBB-SILHS methodology and its implementation in CAM. Section 3 estimates the computational cost of CAM-CLUBB-SILHS. Section 4 evaluates the mean climate vs. satellite observations. Section 5 evaluates CAM-CLUBB-SILHS' simulation of tropical variability. Section 6 illustrates the sensitivity to the number of subcolumns. Section 7 summarizes the evaluation and concludes.

## 2 Methodology

### 2.1 Description of the CLUBB moist turbulence parameterization

CLUBB parameterizes subgrid turbulence in both clear and cloudy air, and in all cloud types, including stratiform, shallow cumulus, and deep cumulus. If CLUBB's single equation set is to represent turbulence and all cloud types, the equation set must be sufficiently rich and general.

CLUBB's equation set includes prognostic equations for various moments of the vertical air velocity  $w$ , the liquid water potential temperature  $\theta_l$ , and total water mixing ratio (vapor plus liquid cloud water)  $r_t$ . The grid-averaged means of these variables are prognosed by the host model, CAM. CLUBB adds prognostic equations for the following moments:  $\overline{w'\theta_l'}$ ,  $\overline{w'r_t'}$ ,  $\overline{w'^2}$ ,  $\overline{w'^3}$ ,  $\overline{r_t'^2}$ ,  $\overline{\theta_l'^2}$ ,  $\overline{r_t'\theta_l'}$ . The equations are listed in Storer

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et al. (2015). These prognostic equations include several higher-order moments that are unclosed. To close them, CLUBB integrates them over a PDF of subgrid variability. CLUBB contains a multivariate subgrid PDF for  $w$ ,  $r_t$ ,  $\theta_l$ , cloud ice (mass) mixing ratio  $r_i$ , and cloud ice number mixing ratio  $N_i$ . The inclusion of ice in the PDF allows ice processes to be coupled to the drafts and thermodynamics on the subgrid scale. The marginals of  $w$ ,  $r_t$ , and  $\theta_l$  are normal mixtures, that is, the sum of two Gaussians. The marginal PDF for  $r_i$  and  $N_i$  is a delta double-lognormal. That is, the PDF shape for ice is the sum of a delta function representing the ice-free area and the sum of two lognormal distributions (Griffin and Larson, 2015). The within-ice standard deviation of  $r_i$  is assumed to be proportional to the within-cloud mean (Lebo et al., 2015). The same is true for  $N_i$ . The correlations among hydrometeors – including mass and number mixing ratios of liquid and ice – are prescribed as in Storer et al. (2015).

### 2.2 The interface between clouds and microphysics: SILHS

CLUBB computes the transport of hydrometeors and production of cloud water via saturation adjustment, but CLUBB must be coupled to a microphysics scheme in order for other microphysical process rates to be computed. The coupling between clouds and microphysics is accomplished by use of a Monte Carlo sampler called “SILHS” (Larson and Schanen, 2013). SILHS draws  $n$  samples from the subgrid PDF at each grid level. When the liquid cloud fraction is moderate, half the samples are drawn from liquid cloud and half are drawn from the remainder of the grid box, with appropriate weighting, using the method described in Larson and Schanen (2013). The  $n$  samples at each grid level are used to construct  $n$  vertical profiles of sample points, or subcolumns. In order to parameterize cloud overlap, non-zero vertical correlation between vertical grid levels is allowed. The vertical correlation between samples is assumed to drop off exponentially with vertical distance (Larson and Schanen, 2013).

Each subcolumn is fed into Version 1.0 of the Morrison–Gettelman (MG1) microphysics scheme (Morrison and Gettelman, 2008). MG1 provides an simplified test for the subcolumn methodology because MG1 diagnoses rain and snow. Therefore, rain

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and snow are not inputs to MG1, and hence the subcolumns need not contain rain or snow variates. When subcolumns are used, MG1's native assumptions about sub-grid variability, including a gamma distribution of cloud water, are shut off, and MG1 is made to assume that each grid level has uniform properties, e.g. is overcast or clear. MG1 calculates time tendencies for cloud ice, cloud liquid water, water vapor, and other relevant microphysical variables. One set of microphysical tendencies is calculated per each subcolumn. The tendencies are then averaged in order to produce a grid-mean tendency. The grid-mean tendencies are then fed into the host model's grid-mean equations for microphysical species, temperature, and moisture. The averaging is weighted appropriately to account for the fact that different subcolumns may represent different-sized areas of a grid column, as described in Larson and Schanen (2013).

Ice processes are coupled to CLUBB's grid-mean thermodynamical variables,  $\bar{\theta}_i$  and  $\bar{r}_t$ , through the microphysics. Subcolumns that include subgrid variability in vapor, liquid, and ice are fed into the microphysics, and the effects of ice, such as the Bergeron effect, are computed by the microphysics at the subgrid scale. These effects of ice are expressed in terms of microphysical tendencies of vapor, liquid, and ice. These tendencies are used to update  $\bar{\theta}_i$ ,  $\bar{r}_t$ , and  $\bar{r}_i$ . These updated values influence ice during the subsequent time step. In this sense, ice and liquid processes interact on the subgrid scale. Although information about the subgrid PDF of ice is contained within CLUBB, SILHS is needed in order to carry out the subgrid (Monte Carlo) integration of complex, non-linear ice microphysical processes.

Although CLUBB is substepped with a 5 min time step, MG1 is called with a 30 min ("physics") time step. At each physics time step, new SILHS sample points are drawn from CLUBB's PDF from CLUBB's most recent substep. Hence, SILHS retains no memory of sample points from one time step to the next. Rather, the memory is retained within CLUBB's prognosed moments.



## 2.3 The subcolumn software framework in CAM

The subcolumn software framework in CAM is a newly developed piece of infrastructure that allows subcolumn samplers, such as SILHS, to feed subcolumn values from clouds to microphysics. The subcolumn framework will be available publicly in the upcoming release of CAM 5.4 and is described in Appendix A.

The call sequence involving subcolumns is as follows:

1. CLUBB calculates a multivariate PDF that contains information about the subgrid variability of temperature, vapor, cloud liquid (mass) mixing ratio, cloud droplet number mixing ratio, cloud ice (mass) mixing ratio, cloud ice number mixing ratio, and vertical velocity.
2. The subcolumn software framework passes information about CLUBB's PDF to the SILHS sampler.
3. SILHS draws subcolumn profiles from CLUBB's PDF. Each subcolumn includes all the aforementioned variates in CLUBB's PDF. The subcolumn framework creates a new model `state` data-structure with these profiles.
4. Microphysics computes tendencies for all microphysical variates for each subcolumn, on the assumption that each subcolumn is horizontally uniform (e.g., overcast or cloud-free). Aerosol tendencies are not computed on subcolumns.
5. The subcolumn tendencies are averaged together to obtain a grid-mean tendency. This averaging is done by the subcolumn framework using weights provided by SILHS.
6. The grid-mean tendency is applied to the grid-scale values in each column. Energy and water conservation checks are performed.

In order to ensure conservation of water and energy, the version of CAM-CLUBB-SILHS presented here modifies the sample values such that the weighted mean of all

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samples is constrained to be the same as the grid-mean value. In the limit of many sample points, the sample mean of the subcolumns converges to the grid mean seen by CLUBB. With a finite number of samples, however, the sample mean will in general differ from the grid mean. If microphysics is evaluated on a set of samples whose mean exceeds the grid mean, it can produce an average drying tendency that is larger than the amount of water actually present in the grid column, even though the microphysics guarantees that each subcolumn individually returns zero or positive values of water. When this excessive tendency is applied to the grid mean, the resulting negative water is reset to zero by the energy checker, and a spurious source of water is created. We prevent this from occurring by scaling the subcolumn values at each level and each time step by a constant factor so that the weighted mean of the subcolumns exactly matches the grid-mean value at that point. The scaling occurs after SILHS has generated sample values but before those values have been fed into the microphysics. This scaling has the undesirable side effect of effectively reducing the standard deviation of the subgrid PDFs. However, CLUBB's assumption that the standard deviation is proportional to the mean has uncertainty regardless of whether any scaling is done. Other than this scaling, no upper limit is placed on the values of the samples. We constrain the means of water vapor, liquid and ice mass mixing ratio, and liquid and ice number mixing ratio, but not temperature and vertical velocity.

### 2.4 Configuration of CAM simulations

All of the CAM-CLUBB-SILHS simulations presented here are based on the CAM 5.3 model code with the addition of the subcolumn framework. Our code branched from the CAM development trunk at tag 5\_3\_38. The branch is not an official release of CAM. We used CLUBB and SILHS revision 7508 in these simulations. The simulations presented here are uncoupled atmosphere-only runs, using prescribed climatological sea surface temperatures as a data ocean (CESM component set F\_2000). Unless otherwise stated, all of our simulations use 2° resolution, 30 vertical grid levels, and 10 subcolumns. All of our simulations use the Finite Volume dynamical core and an

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1800s physics time step. None of the simulations uses the Zhang and McFarlane (1995) deep convection scheme. Table 1 details the differences in physical parameterizations between CAM 5.3 and CAM-CLUBB-SILHS. The model code used in these simulations is stored within the CAM development repository and is available upon registration and request from the corresponding author. Results in this paper are based on tag subcol116\_SILHS\_cam5\_3\_38, which is not a publicly released version of CAM. CLUBB and SILHS source code is publicly available at <http://clubb.larson-group.com>.

### 3 Computational cost

Simulations were performed on the Yellowstone supercomputer administered by the National Center for Atmospheric Research (NCAR) (Computational and Information Systems Laboratory, 2015). Table 2 shows estimates of the computational cost of running different configurations of CAM-CLUBB-SILHS. A configuration without subcolumns but with CLUBB handling all convection is about 63 % more expensive than basic CAM 5.3 in terms of total wall clock time. Using 4 subcolumns increases the cost another 25 %, and using 10 subcolumns adds 57 %. This implies a cost of about 6 % per subcolumn. These test runs for timing do not attempt to parallelize over subcolumns. Since subcolumns do not communicate with each other, they can be efficiently parallelized. For this reason, subcolumn-based methodologies are well suited to take advantage of vector processing and the next generation of high-performance computers.

### 4 Mean climate

This section evaluates the time-averaged climatology simulated by CAM-CLUBB-SILHS. We compare three versions of CAM – CAM-CLUBB-SILHS with 2° horizontal resolution, CAM-CLUBB-SILHS with 1° horizontal resolution, and CAM 5.3 – to a range of observational datasets that are summarized in Table 3. In all figures in this section,

the first row of plots shows the total field, and the second row shows differences from observations (model – obs).

Total surface precipitation rates for the three model versions and the Global Precipitation Climatology Project (GPCP) observations are presented in Fig. 1. CAM 5.3 exhibits a moderate, spurious double Inter-Tropical Convergence Zone (ITCZ), that is, a double band of precipitation in the Indian Ocean, and, to a lesser extent, in the Equatorial Pacific. Both versions of CAM-CLUBB-SILHS produce a single band of rain through the tropics, thereby reducing the double-ITCZ bias. However, CAM-CLUBB-SILHS' precipitation is too intense and its ITCZ is too narrow, as compared to GPCP observations. The overall pattern of precipitation is similar between the 2 and 1° simulations, but the RMSE increases in the 1° simulation due to noise in the rain rate field.

CAM-CLUBB-SILHS slightly improves the mean climatological column-integrated water vapor (Fig. 2). CAM 5.3's overestimate of precipitable water is reduced in both the CAM-CLUBB-SILHS 2 and 1° simulations. The 2 and 1° simulations resemble each other, with the 1° simulation providing a closer match to observations. Furthermore, the bias in precipitable water for the 1° simulation is reduced by a factor of 4 as compared to the results of Guo et al. (2015). The improvement may be related to the fact that SILHS contains a detailed representation of hydrometeor/vapor overlap (Larson and Schanen, 2013; Storer et al., 2015), which influences the evaporation or accretional growth of precipitation as it falls to the ground or ocean (Jakob and Klein, 1999).

The top-of-the-atmosphere (TOA) long-wave cloud forcing (LWCF) for the three models is compared to observations in Fig. 3. Both versions of CAM-CLUBB-SILHS have smaller bias and lower RMSE in LWCF than does CAM 5.3. Furthermore, CAM-CLUBB-SILHS' bias is about a factor of 4 less than that of the simulation of Guo et al. (2015). The representation of LWCF in CAM-CLUBB-SILHS is aided by the fact that SILHS samples within-cloud variability of ice and feeds it into the microphysics scheme. Within-cloud subgrid-scale variability in ice is important because several ice processes are non-linear (Morrison and Gettelman, 2008).

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The use of CLUBB-SILHS improves the TOA short-wave cloud forcing (SWCF) (Fig. 4). CAM 5.3 produces excessively reflective clouds over tropical land masses, probably because the deep convective microphysics does not precipitate out sufficient liquid cloud water. Use of CAM-CLUBB-SILHS, however, mitigates the excessive reflectivity of deep cumuli. The improvement may be related to the fact that accurate parameterization of the SWCF of deep cumuli requires accurate coupling of subgrid variability of clouds and precipitation (which in CAM-CLUBB-SILHS is handled by SILHS) and also requires accurate parameterization of deep convective microphysics itself (which in CAM-CLUBB-SILHS is handled by MG1).

The total grid-box cloud fraction for the three models and observations is presented in Fig. 5. Both versions of CAM-CLUBB-SILHS have a slightly lower cloud fraction (by about 5%) than do CAM 5.3 or the observations. This is largely due to a lower cloud fraction throughout the tropics and subtropics in CAM-CLUBB-SILHS.

CAM-CLUBB-SILHS has about 35% more total grid-mean liquid water path (LWP) than does CAM 5.3, improving the agreement with observations (Fig. 6). It is notable that CAM-CLUBB-SILHS improves (increases) LWP without degrading (increasing the magnitude of) SWCF. How do the clouds in CAM-CLUBB-SILHS increase in water mass without increasing in reflectivity? A first reason is that CAM-CLUBB-SILHS' cloud fraction is slightly decreased in the Tropics, as noted earlier. The decrease in cloud fraction, coupled with the increase in LWP, indicates that within-cloud liquid water is increased in CAM-CLUBB-SILHS, either because the cloud liquid water has a more adiabatic profile, is more vertically stacked, or is more temporally intermittent. This “piled-up” vertical structure of LWP allows more solar radiation to reach the ocean or land surface (not shown) and thereby leads to reduced cloud reflectivity per unit of LWP. A second reason is that CAM-CLUBB-SILHS' cloud droplet effective radius is increased (not shown), thereby decreasing the reflectivity per unit of within-cloud LWP. Accurate simulation of droplet radius in deep convection requires accurate formulation of microphysics, which in CAM-CLUBB-SILHS is handled by the MG1 microphysics.

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Figure 7 shows the Taylor Score diagram for CAM 3.5, CAM 5.3, and 2° CAM-CLUBB-SILHS. CAM-CLUBB-SILHS is competitive with CAM 5.3 on most metrics, but has a higher RMSE in land rainfall, ocean rainfall, and the Pacific surface stress. The fact that Pacific surface stress is degraded suggests that CLUBB's formulation of vertical momentum flux, which is based on downgradient diffusion, needs to be modified in future work.

Table 4 shows the top of the atmosphere (TOA) global mean values for several radiation and energy balance terms. Unlike CAM 5.3, CAM-CLUBB-SILHS has not yet been tuned for TOA radiative balance. Such tuning will be necessary before coupled simulations are attempted.

The differences between CAM-CLUBB-SILHS at 2 or 1° horizontal resolution is minor in the both globally averaged radiation (Table 4) and in the spatial patterns of radiation and cloud fields (Figs. 2 to 7). This suggests that CAM-CLUBB-SILHS is relatively insensitive to small changes in horizontal resolution, aside from localized phenomena such as near-coastal marine stratocumulus clouds. In CAM-CLUBB-SILHS, the treatment of subgrid variability is removed from the microphysics and handled instead by CLUBB and SILHS. This removes one potential source of sensitivity to grid scale.

## 5 MJO and tropical variability

The outgoing longwave radiation (OLR) power divided by the background spectrum for various zonal wave numbers and frequency (as in Wheeler and Kiladis (1999) Fig. 3b) is shown in Fig. 8 for CAM 5.3, CAM-CLUBB-SILHS and observations. This figure shows that, as compared to CAM 5.3, CAM-CLUBB-SILHS has increased power in the low-wavenumber, low-frequency, eastward-propagating region of the spectrum associated with the Madden Julian Oscillation (MJO). The MJO power is not as strong as in the observations, and the frequency is slightly too high. The power associated with Kelvin waves is also increased in CAM-CLUBB-SILHS as compared to CAM 5.3, and compares well to the observations. However, CAM-CLUBB-SILHS has too much

power in the high-frequency, westward-propagating side of the spectrum often associated with large convective systems advected westward by the mean flow (Wheeler and Kiladis, 1999).

Figure 9 shows the 20–80 day bandpass filtered precipitation and U 850 hPa winds at a given lag relative to a composite MJO passage and at a given Longitude (top) and Latitude (bottom). The MJO precipitation for CAM-CLUBB-SILHS is weaker than both the observations and CAM 5.3, but shows eastward propagation at the correct phase and speed. The overall coherence and structure of the MJO is much better in CAM-CLUBB-SILHS than in CAM 5.3. Figure 9 indicates that CAM 5.3 has primarily westward propagation of disturbances at this scale and has westerly winds nearly in phase with the maximum in precipitation. In contrast, CAM-CLUBB-SILHS simulates eastward propagation, with eastward winds leading the precipitation and westward winds following, as seen in the observations.

In order to investigate differences in tropical convective processes between CAM 5.3 and CAM-CLUBB-SILHS, Fig. 10 shows average profiles of relative humidity, total physics moisture tendency and total physics temperature tendencies per value of rain rate for latitudes between 15° N and 15° S and longitudes between 60 and 180° E (the Indian Ocean and West Pacific Warm Pool). These are similar to diagnostics used to evaluate MJO fidelity in Thayer-Calder and Randall (2009); Kim et al. (2009); Xavier (2012); Kim et al. (2014). All of these studies stress the importance of a smooth, gradual build-up in moisture from shallow convection (and light precipitation) to deep convection (and intense precipitation).

In observations, and in most models with a realistic MJO simulation, deep convection occurs in a nearly saturated column (Bretherton et al., 2004; Kim et al., 2009; Halloway and Neelin, 2009). Figure 10 shows that CAM 5.3 does not produce rain rates as intense as those simulated in CAM-CLUBB-SILHS; thus the right-most profiles are missing. However, both models have nearly saturated profiles for the most intense rain rates that do occur. CAM-CLUBB-SILHS has a deeper boundary layer with higher relative humidity for mid-range precipitation values (between 0.5 and 10 mm day<sup>-1</sup>) than

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CAM 5.3. The relative humidity contours also show a smoother transition between light and intense precipitation than CAM 5.3. The transition from 80 % relative humidity in the boundary layer to near saturation around  $11 \text{ mm day}^{-1}$  in CAM 5.3 is more abrupt than reanalysis shown in similar results from Kim et al. (2009) Fig. 13, Xavier (2012) Fig. 3. This abrupt transition may be an ill effect of poor deep convection triggering function. In contrast, the unified convection in CAM-CLUBB-SILHS produces a smooth deepening of the boundary layer into a fully saturated column at high rain rates.

Figure 10 also shows the total physics moisture and temperature tendencies for both models. CAM-CLUBB-SILHS shows strong moistening in shallow convective layers that transitions smoothly to intense drying through the entire column for deep convection. Similarly, the temperature tendencies smoothly change from low level heating, to convection rising in depth, to intense heating through nearly the entire column. These profiles resemble results for the SP-CAM presented in Thayer-Calder and Randall (2009) Figs. 4 and 9. The SP-CAM has been shown to simulate a very realistic MJO (Khairoutdinov et al., 2008; Benedict and Randall, 2009), so producing similar results in these diagnostics is very promising.

In contrast, CAM 5.3 seems to have two main regimes. In the first, shallow convection produces light moistening tendencies above and below a layer of cloud-related drying around 900 hPa. This cloud layer produces a positive temperature tendency above a layer of cooling for all precipitation rates between  $0.0003$  and  $2.5 \text{ mm day}^{-1}$ . Past this point, there is an abrupt transition to convective drying and warming below 700 hPa, and then to a full column of drying above about  $30 \text{ mm day}^{-1}$ . However, unlike CAM-CLUBB-SILHS, the most intense precipitation in CAM 5.3 has strong heating only above 600 hPa. Again, the transition in moistening and heating rates is more abrupt than seen in similar plots by Thayer-Calder and Randall (2009). There is a clear signal in CAM 5.3 of an unrealistic transition from convection handled by the shallow and stratiform parameterizations to convection produced by the Zhang and McFarlane (1995) deep convection parameterization.



There are still deficiencies in the simulation of the MJO by CAM-CLUBB-SILHS, but our unified parameterization of clouds produces promising improvements in the build-up of tropical moisture and the transition from shallow to deep convection. Boyle et al. (2015) show that an acceptable MJO in CAM 5.3 can be produced with tuning changes, but only at the expense of the mean climate. Our structural changes to CAM 5.3 have, in one simulation, produced a realistic mean climate and improved tropical variability.

## 6 Subcolumn impact

In order to evaluate the impact of the number of subcolumns on these simulations, we performed four sensitivity experiments. All four simulations use the exact same settings and tuning parameters as the main 20 year, 2° simulation described in Sect. 2.4. In our *No Subcolumns* simulation, we turned off the subcolumn sampler (SILHS), fed CLUBB's cloud fraction into MG1 microphysics, and enabled MG1's assumptions about subgrid variability that are operative in CAM5.3, including a subgrid integration over cloud liquid water. The deep convection parameterization remains turned off here. This simulation indicates how CAM5.3 would behave if it used CLUBB as a unified parameterization and it used MG1's subgrid assumptions, developed for stratiform clouds. The three other simulations varied the number of subcolumns from 4 to 10 to 50. Because of restrictions in the SILHS importance sampling algorithm (Larson and Schanen, 2013), the number of subcolumns must always be divisible by two.

As expected, the simulation without subcolumns produces an unrealistic climate. Figure 11 shows that the *No Subcolumns* simulation has very low longwave cloud forcing, and Table 4 shows this simulation has the highest OLR, largest radiative imbalance, and greatest error in SWCF. This is likely because the convection is not penetrating as deeply into the atmosphere, and the clouds are not cold and icy enough. This is supported by the very high shortwave cloud forcing for the simulation (Table 4), which has a high bias and RMSE in Fig. 12. Figure 13 shows that this simulation has an even lower LWP than that of CAM 5.3.

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The simulation with only four subcolumns shows marked improvement over the *No Subcolumns* simulation. Table 4 shows a large decrease in both net solar TOA flux and OLR, with reasonable values of LWCF, but a lower SWCF corresponding to brighter clouds. This is also seen in Fig. 12, where the low bias in SWCF is distributed over all oceans. This low bias in SWCF is tied to the higher cloud LWP for this simulation (Fig. 13). The 4-subcolumn simulation appears to have a lower precipitation efficiency than the 10-subcolumn simulation.

The 10- and 50-subcolumn simulations are similar, suggesting that climatological averages are fairly close to converged even when only 10 subcolumns are used. Table 4 shows that increasing to 50 subcolumns decreases the OLR by  $1 \text{ W m}^{-2}$  and increases the net Solar flux by  $0.5 \text{ W m}^{-2}$ . Figure 11 shows that the LWCF is very similar between the 10- and 50-subcolumn runs. Both simulations have similar SWCF (Fig. 12) and LWP (Fig. 13). The fact that LWP decreases when the number of subcolumns is increased to 50 supports the hypothesis that increasing subcolumns increases precipitation efficiency, although there is diminishing effect after 10 subcolumns.

## 7 Summary and conclusions

This paper evaluates a version of CAM that uses a single equation set to parameterize all cloud types, including shallow convective, deep convective, and stratiform liquid and ice clouds. The equation set is CLUBB's set of equations for higher-order moments. CLUBB uses the higher-order moments to construct a multivariate subgrid PDF, which, in turn, is sampled by SILHS. The samples are then used to drive a single microphysics scheme, MG1, that acts on all cloud types. In this model, clouds are parameterized in a more fully unified way, and so are microphysical processes.

The use of a single, multivariate subgrid PDF fosters consistency in the sense that all cloud and microphysical processes see the same subgrid PDF. In this paper, the PDF has been extended to include cloud ice mass and number, thereby incorporating subgrid variability in ice processes.

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As compared to CAM5, the most important degradation in the CAM-CLUBB-SILHS simulations is the root-mean-square error in surface precipitation rate. In particular, the surface precipitation field is stronger in the precipitating regions than that observed by satellite. However, several aspects of the simulations have been improved. We list the improvements here, even though it is difficult to pinpoint their causes.

First, CLUBB-SILHS slightly reduces CAM5's overestimate of precipitable water. This may be related to the fact that CLUBB-SILHS contains a detailed representation of vertical overlap, which affects the relative rates of evaporation and accretional growth of precipitation.

Second, CLUBB-SILHS improves LWCF. In general, CLUBB-SILHS offers a more detailed representation of subgrid variability in ice because cloud ice mass and number mixing ratio are included in the subgrid PDF. The inclusion of ice in the PDF, in turn, allows subgrid variability in ice to drive ice-related microphysical processes.

Third, CLUBB-SILHS simultaneously improves the simulation of both LWP and SWCF. In CAM5, LWP is underestimated by almost a factor of 2, and deep convective clouds are too reflective over the tropical continents. In CAM-CLUBB-SILHS, LWP is increased without unduly increasing the magnitude of SWCF. In part, this is related to the fact that CAM-CLUBB-SILHS predicts smaller cloud fraction. That is, CAM-CLUBB-SILHS' liquid water content is more vertically and/or temporally correlated and less horizontally extended, allowing more LWP to be present without causing excessive cloud albedo. In addition, in CAM-CLUBB-SILHS, the cloud liquid droplet radius is increased, thereby reducing reflectivity of clouds.

Fourth, although CLUBB-SILHS underestimates MJO wave activity, it improves (strengthens) the spectral power associated with the MJO and convectively coupled Kelvin waves. The improvement may be related to the fact that CLUBB-SILHS is a unified parameterization in which there is no categorization of clouds nor a cumulus trigger function. This allows for a smoother, more realistic transition between shallow and deep convection in the tropics.





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Subcolumns in CAM are considered static: once the number of subcolumns in any grid column is set at the beginning of simulation, this number should not be changed. The subcolumn framework supports only instantaneous history output of subcolumn fields. Currently, the only CAM physics parameterization that accepts subcolumn input is the Morrison–Gettelman microphysics (Morrison and Gettelman, 2008). However, the software framework allows subcolumns to be applied to other parameterizations. A key goal is to apply subcolumns uniformly across the column physics: for example, currently there are separate subcolumn generators for radiation and satellite simulators in CAM, these could be made consistent with this framework.

Use of subcolumns begins with sampling or generation of subgrid fields based on the current physics state. In this way, a complete state on subcolumns is passed to the parameterization. The generation can occur by any method (in this case SILHS) and for arbitrary fields. Parameterizations then use these fields to produce subgrid tendencies. Finally, the subgrid state and tendencies are averaged back to the grid scale. The subcolumn “gather” or averaging routines can be customized so that averaging can be performed using weights or masking if desired. Organization of different methods for generating subcolumns and averaging them back to the grid is described below. For more details or for documentation on making a parameterization subcolumn aware, see the CAM reference manual (Eaton et al., 2015).

### A2 Implementing a new subcolumn scheme within CAM

Different methods or “schemes” for generating and averaging subcolumn fields can be invoked. SILHS is one subcolumn scheme. The use of a specific subcolumn scheme is controlled by the CAM `subcol_scheme` namelist variable. Each of the generic subcolumn interfaces listed below call the scheme-specific version based on the value of `subcol_scheme`. Scheme-specific versions of the following routines will need to be supplied, even if they contain no executable code. Typically the scheme-specific versions are designated by the generic name followed by “\_schemeName”, noted below by XXX (e.g., `subcol_register_SILHS`). The routines are:

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- `subcol_register_XXX`: register any subcolumn-specific physics buffer fields using `pbuf_add_field`.
- `subcol_readnl_XXX`: read any subcolumn-scheme-specific namelist parameters.
- `subcol_init_XXX`: perform subcolumn-specific initialization, set up any output calls for subcolumn diagnostics (via `addfld`), and initialize any subcolumn physics buffer fields, if required.
- `subcol_gen_XXX`: contains the details of mapping state, physics tendencies, and physics buffer fields from the grid to subcolumns. Typically, this routine will be the interface between CAM and the unique code for generating the subcolumns or drawing them from PDFs.

Once physics tendencies and/or updates are computed for each subcolumn, the subcolumn values need to be averaged back onto the CAM grid. This is accomplished via calls to averaging routines. The default behavior of these routines is to perform a simple average, applying optionally supplied scheme-specific weights and/or filters, such as a cloud mask or conditional sampler. If a more sophisticated method is required, scheme-specific routines may be supplied for these two routines.

- `subcol_ptend_avg_XXX`: average the subcolumn physics tendency values back to the grid so that these values can be applied to the grid-resolved state.
- `subcol_field_avg_XXX`: average the physics buffer fields from subcolumn values back to the grid. This function only needs to be called for physics buffer fields which are used in other parameterizations on the grid.

The data layout for subcolumns is illustrated in Fig. A1. The number of subcolumns varies by grid column, as shown in the conceptual layout (Fig. A1, left). Internally, the subcolumns are stored in a compressed layout (Fig. A1 right). The information on organization is stored in a series of parameters some of which can be set by the user

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(black) and others which are internally calculated (blue). For further details, see Eaton et al. (2015).

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**Table 2.** Computational cost of CAM 5.3 and CAM-CLUBB-SILHS simulations.

Simulation	Number of Processors	Years/Computer-day	Percent Increase Over CAM5.3
CAM 5.3	256	20.2	–
0 sc	256	12.4	63 %
4 sc	256	10.7	89 %
10 sc	256	9.2	120 %
50 sc	256	4.6	440 %

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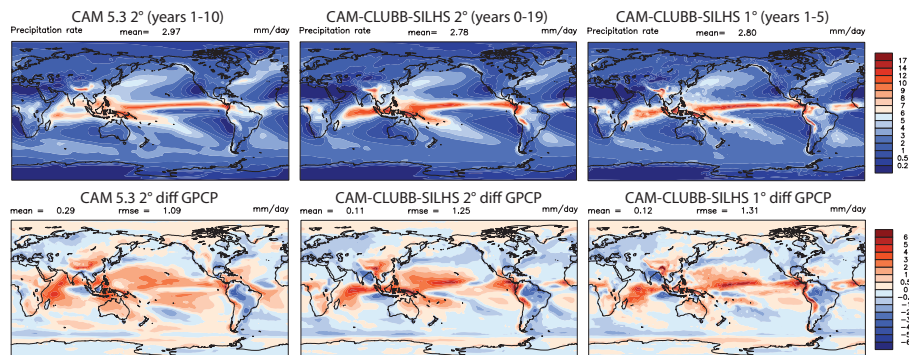







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**Figure 1.** Total surface precipitation rate for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from GPCP observations of precipitation rate is shown in the second row. CAM-CLUBB-SILHS has more intense precipitation, but less of a double ITCZ than CAM 5.3.

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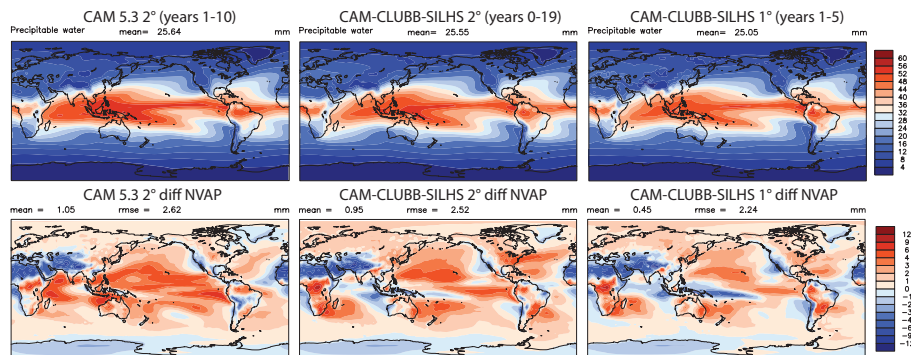
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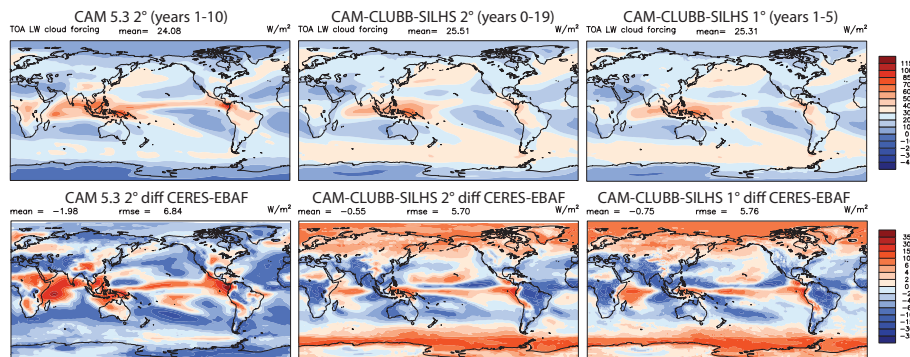
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**Figure 2.** Total column water vapor field for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from National Aeronautics and Space Administration (NASA) Water Vapor Project (NVAP) satellite observations (model – obs) is shown in the second row. CAM-CLUBB-SILHS reduces the overall moist bias seen in CAM 5.3.

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**Figure 3.** Top of the atmosphere long wave cloud forcing (LWCF) for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from Clouds and Earth's Radiant Energy Systems (CERES) Energy Balanced and Filled (EBAF) observations of LWCF (model – obs) is shown in the second row. CAM-CLUBB-SILHS has a slightly lower global error in LWCF than CAM 5.3 due to an increase in cloud forcing in the mid-latitudes and polar regions.

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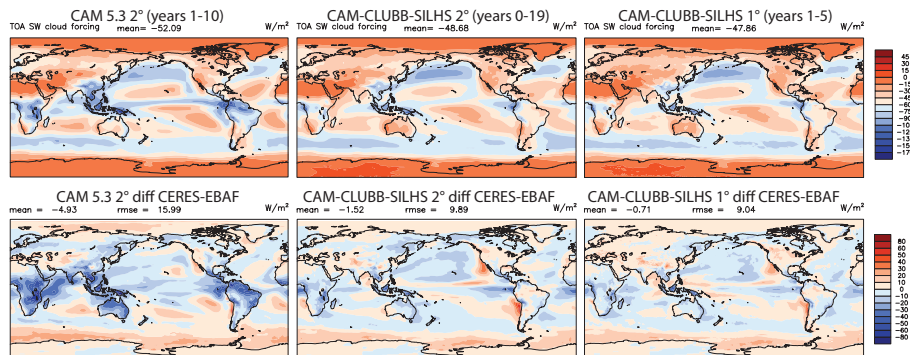
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**Figure 4.** Top of the atmosphere short wave cloud forcing (SWCF) for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from CERES-EBAF observations of SWCF is shown in the second row. CAM-CLUBB-SILHS reduces the SWCF low bias over tropical land regions seen in CAM 5.3.

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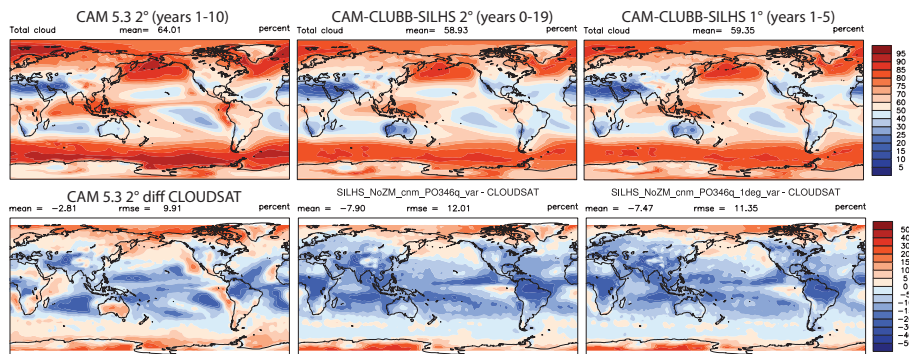
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**Figure 5.** Total grid box cloud fraction for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from CLOUDSAT observations of total cloud fraction is shown in the second row. The global mean cloud fraction in CAM-CLUBB-SILHS is reduced by about 5% compared to the CAM 5.3 mean value.

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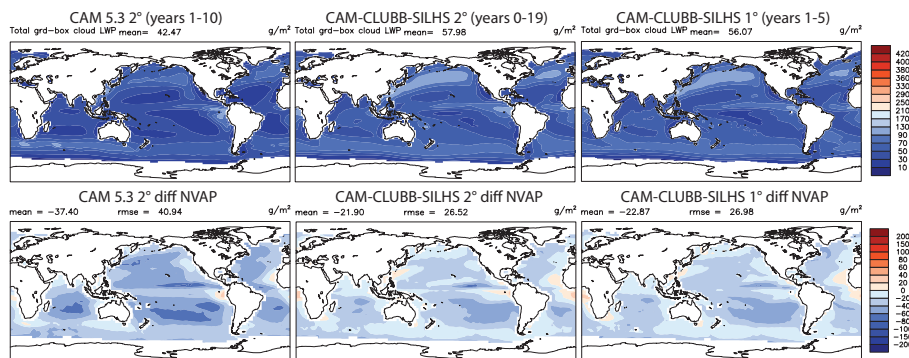
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**Figure 6.** Total grid box liquid water path (LWP) for CAM 5.3 (left), CAM-CLUBB-SILHS 2° (center), and CAM-CLUBB-SILHS 1° (right). The difference from NVAP observations of LWP is shown in the second row. The global mean LWP in CAM-CLUBB-SILHS is about 35 % higher than that of CAM 5.3.

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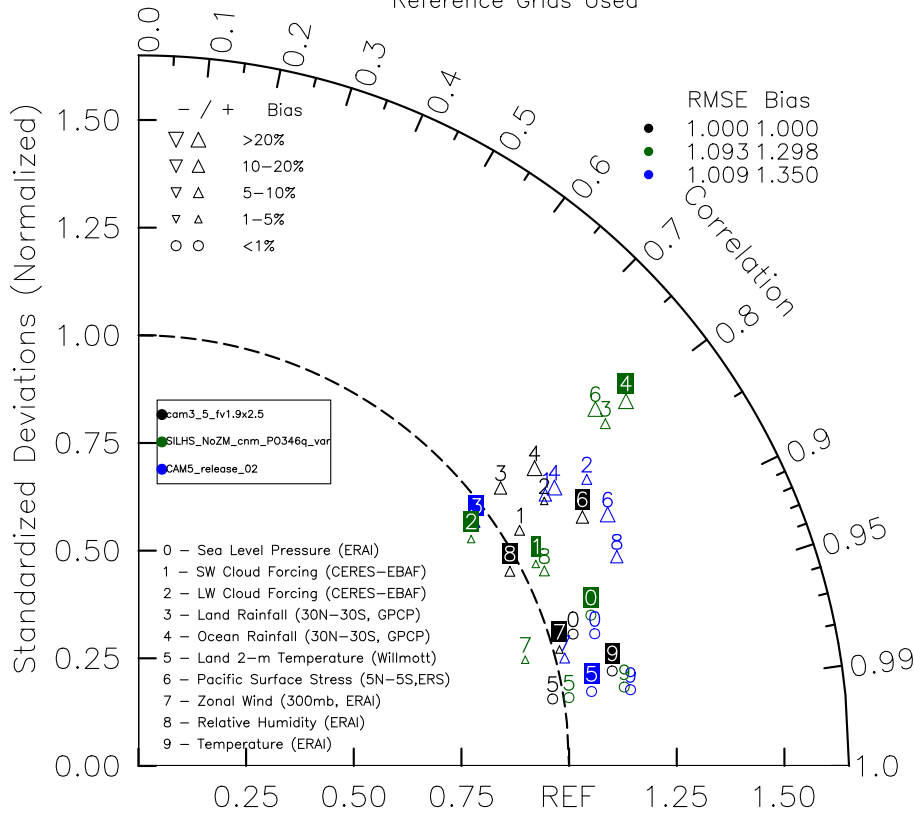
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**Figure 7.** Taylor diagram with metrics for CAM 3.5 (black), CAM 5.3 (blue), and 2° CAM-CLUBB-SILHS (green). CAM-CLUBB-SILHS is competitive for all metrics except ocean and land rainfall, and Pacific surface stress.

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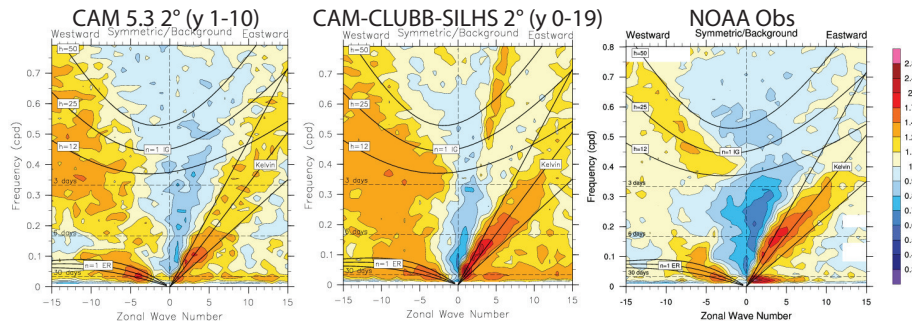
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**Figure 8.** OLR power divided by the background spectra for various wavenumbers and frequencies in the tropics for CAM 5.3 (left), CAM-CLUBB-SILHS (center), and NOAA OLR observations (right). CAM-CLUBB-SILHS has stronger MJO signal, and a stronger signal for Kelvin waves, than CAM 5.3.

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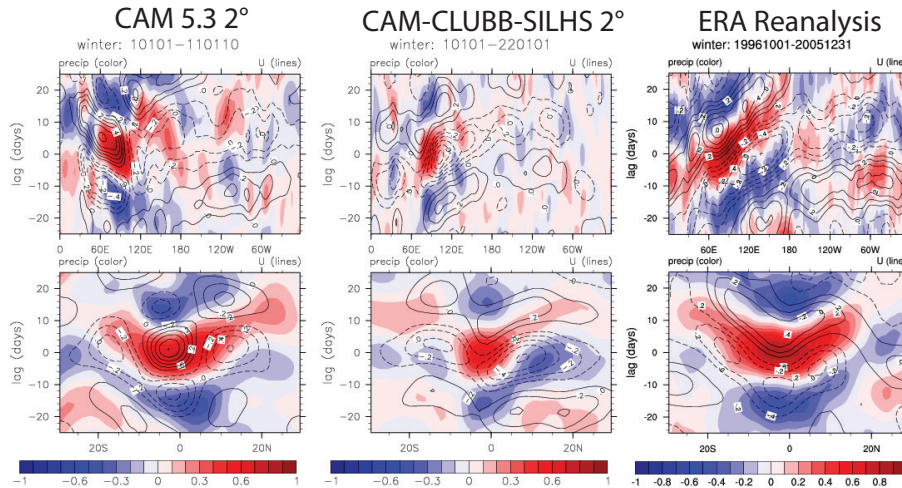
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**Figure 9.** Lag–Longitude plots of winter MJO wave activity (top row), and Lag–Latitude plots of winter MJO wave activity (bottom row) for CAM 5.3 (left column), CAM-CLUBB-SILHS (center column), and ERA Reanalysis (right column). Precipitation is denoted by colors, zonal wind by lines. The signal in CAM-CLUBB-SILHS is weaker than observations, but the wave is moving eastward, rather than westward as in CAM 5.3.

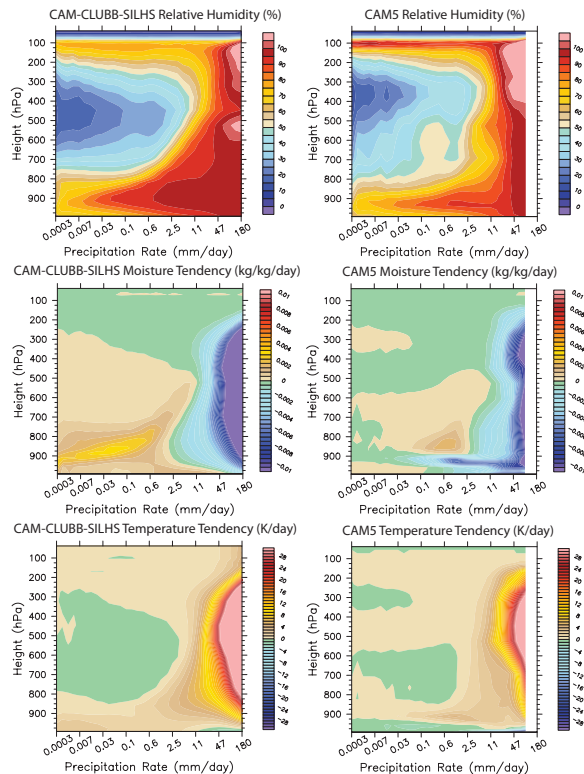
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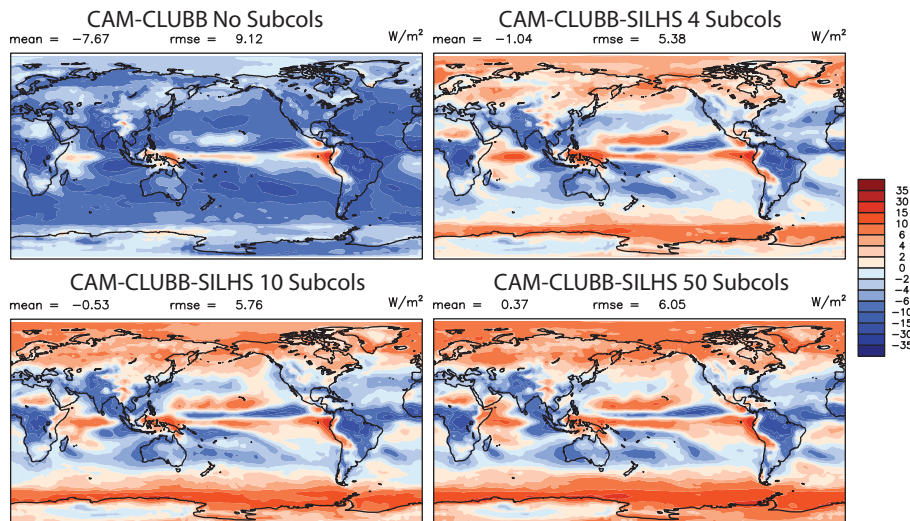


**Figure 10.** Daily average profiles of fields per daily average value of precipitation for the region between latitudes  $15^{\circ}$  N and  $15^{\circ}$  S and longitudes  $60$  to  $180^{\circ}$  E over one year. Relative humidity for CAM-CLUBB-SILHS (top left) and CAM5 (top right), total physics moisture tendencies for CAM-CLUBB-SILHS (middle left) and CAM5 (middle right), and total physics temperature tendencies for CAM-CLUBB-SILHS (bottom left) and CAM5 (bottom right) are shown. Because CAM-CLUBB-SILHS is a unified parameterization, there is a smoother transition from light to intense precipitation values for all fields.

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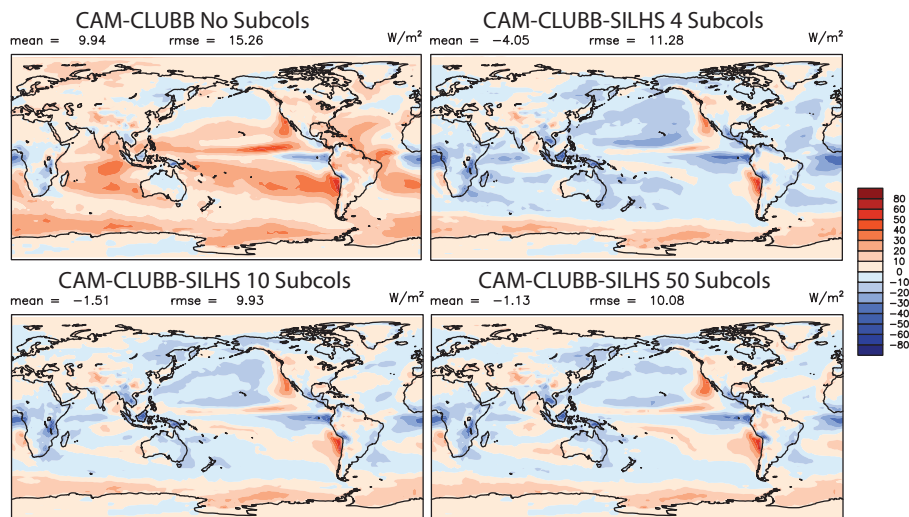
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**Figure 11.** LWCF difference from CERES-EBAF observations for five years of simulation without subcolumns at all (top left), 4 subcolumns (top right), 10 subcolumns (bottom left), and 50 subcolumns (bottom right). Without subcolumns, the LWCF has a severe low bias. The simulation with 4 subcolumns has the lowest global error, and very little changes between the simulations with 10 and 50 subcolumns.

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**Figure 12.** Simulated SWCF minus CERES-EBAF observations for five years of simulation without subcolumns at all (top left), 4 subcolumns (top right), 10 subcolumns (bottom left), and 50 subcolumns (bottom right). Without subcolumns, the clouds are too dim. The simulation with 4 subcolumns has brighter clouds than observed, and the simulations with 10 and 50 subcolumns differ little from each other.

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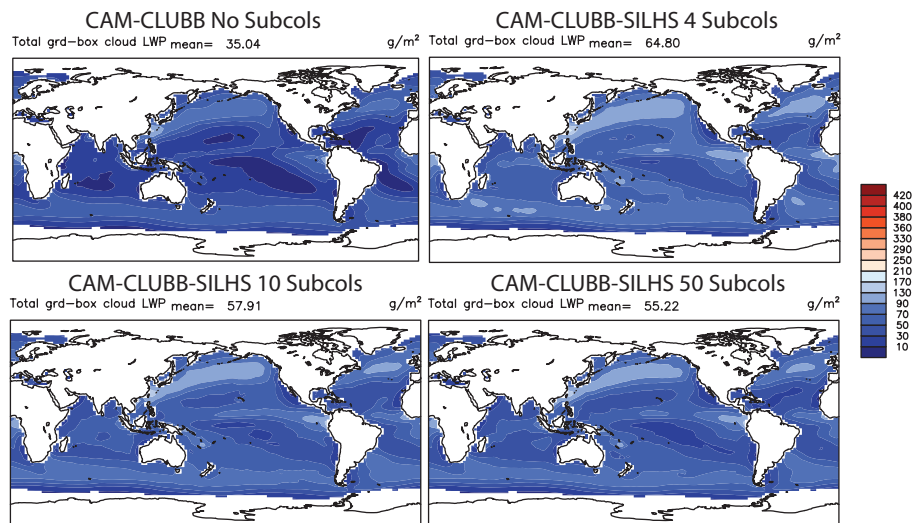
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**Figure 13.** LWP for five years of simulation without subcolumns at all (top left), 4 subcolumns (top right), 10 subcolumns (bottom left), and 50 subcolumns (bottom right). Without subcolumns, the model has little cloud liquid water. The simulation with 4 subcolumns has a large amount of cloud liquid, which moderates in the 10 and 50 subcolumn simulations.

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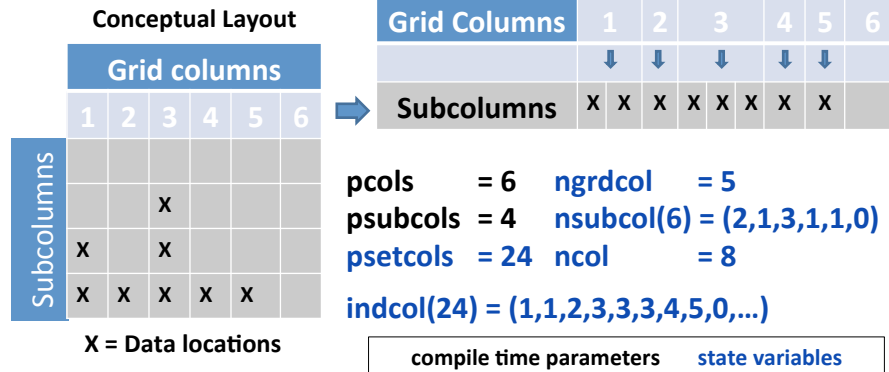
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## Subcolumnized data



**Figure A1.** Schematic for CAM’s subcolumn software framework. The number of subcolumns varies by grid column, as shown in the conceptual layout (left). Internally, the subcolumns are stored in a compressed layout (right). The information on per-chunk linkages is stored in a series of software parameters, some of which can be set by the user (black) and others of which are internally calculated (blue). *pcols* is the maximum number of grid columns, *psubcols* is the maximum number of subcolumns, *psetcols* is the maximum number of all columns (= *pcols* · *psubcols*), *ngrdcol* is the actual number of grid columns that contain data, *nsubcol*(*pcols*) is the number of subcolumns in each grid column with data, *ncol* is the total number of all subcolumns with data, and *indcol*(*psetcols*) is the grid index for each subcolumn, which is used for mapping subcolumns back to grid columns.

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