

1 **A fully coupled Atmosphere-Ocean Wave modeling**
2 **system (WEW) for the Mediterranean Sea: interactions**
3 **and sensitivity to the resolved scales and**
4 **mechanisms**

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14

15 **Abstract**

16 It is commonly accepted that there is a need for a better understanding of the factors
17 that contribute to air-sea interactions and their feedbacks. In this context it is important
18 to develop advanced numerical prediction systems that treat the atmosphere and the
19 ocean as a unified system. The realistic description and understanding of the exchange
20 processes near the ocean surface requires knowledge of the sea state and its evolution.
21 This can be achieved by considering the sea surface and the atmosphere as a
22 continuously cross talking dynamic system. **Following and adapting concepts already**
23 **developed and implemented in large scale numerical weather models and in hurricane**
24 **simulations**, this study aims to present the effort towards developing a new, high-
25 resolution, two-way fully coupled atmosphere-ocean wave model in order to support
26 both operational and research activities. A specific issue that is emphasized is the
27 determination and parameterization of the air-sea momentum fluxes in conditions of
28 extremely high and time-varying winds. Software considerations, data exchange as

1 well as computational and scientific performance of the coupled system, so-called
2 WEW, are also discussed. In a case study of a high-impact weather and sea state
3 event, the wind-wave parameterization scheme reduces the resulted wind speed and
4 the significant wave height as a response to the increased aerodynamic drag over
5 rough sea surfaces. Overall, WEW offers a more realistic representation of the
6 momentum exchanges in the ocean wind-wave system and includes the effects of the
7 resolved wave spectrum on the drag coefficient and its feedback on the momentum
8 flux.

9

10 **1. Introduction**

11 There is a need for a better understanding of the factors that contribute to air-sea
12 interaction mechanisms, and for the development of corresponding advanced prediction
13 systems that treat the atmosphere and the sea as a unified system. The lack of consistent
14 skill in present forecasting systems may be partially attributed to inadequate surface and
15 boundary-layer formulations, and the lack of full coupling to a dynamic ocean (Chen et
16 al., 2007). Sea waves play a key role in the exchange of momentum, heat and
17 turbulent kinetic energy at the air-sea interface. Wind waves, while being generated
18 by the wind, extract energy and momentum from the atmosphere and therefore the
19 drag that is felt by the atmosphere over the oceans becomes sea-state dependent.
20 Furthermore, ocean waves affect the mixing of heat and momentum in the upper
21 ocean layers.

22 For a better description and understanding of the exchange processes near the ocean
23 surface, an accurate forecast of the evolution of the sea state requires considering the
24 coupled sea surface and atmosphere as a continuously cross-talking system.
25 Generally, at shorter and even more at longer scales, reliable results can be obtained
26 by considering the fluid layer surrounding Earth as a single system. This means to
27 simulate the atmosphere and the ocean as a single fully coupled system and to
28 construct multi-model, multi-scale integrated systems (Liu et al., 2011).

29 The development of fully coupled simulation systems between atmosphere and ocean
30 is the “state of the art” in the evolution of numerical weather prediction models. The
31 complex mechanism of the exchange of momentum, mass, salt condensation nuclei,

1 latent and sensible heat between the atmosphere and the ocean has been improved by
2 coupling the two systems. The large-scale perturbations in the general circulation of
3 atmosphere and ocean, the temporal variability of dynamical air-sea interaction and its
4 feedbacks have already been incorporated into climate coupling systems (Battisti,
5 1988; Philander et al., 1992; Soden and Held, 2006; Roberts and Battisti, 2011).
6 During the last several years, the importance of coupling at regional scales has
7 challenged the research community (Hodur et al., 2002; Lionello et al., 2003). Due to
8 the limited spatial and temporal interaction scales between atmosphere and ocean, the
9 direct and sufficient response between the coupled models is a substantial factor
10 (Warner et al., 2010).

11 Coupled atmosphere-ocean wave systems generally exchange near surface wind
12 velocity from the atmosphere to the surface wave and exchange friction velocity from
13 the wave to the atmosphere. The modeling of the wave field allows the introduction of
14 a sea surface roughness feedback on the momentum flux (Lionello et al., 2003).
15 Primarily, the change of the intensity of a storm or a cyclone due to the wave and the
16 drag coefficient variability, under strong wind conditions is a critical field of study.
17 More specifically, the hurricane force winds increase the drag coefficient magnitude
18 of the sea surface that leads to a decrease of the wind speed and a change in the wind
19 direction. Generally, the feedbacks ultimately create non-linear interactions between
20 different components and make it difficult to assess the full impact on each specific
21 model (Warner et al., 2010).

22 Various numerical experiments for ten hurricane case studies in the western Atlantic
23 Ocean during 1998-2003 performed with an atmosphere-wave model (Moon et al.,
24 2004), in which the drag coefficient used to approach the sea surface friction at
25 different wave evolution stages was based on the relation proposed by Charnock
26 (1955). As a result, in hurricane force wind conditions (above 33 ms^{-1}), a positive
27 forcing is observed from the decrease in sea surface friction arising from the coupling
28 to the wave model. Additionally, the maximum friction velocity and sea surface
29 roughness were much larger than their counterparts in an uncoupled system, with the
30 largest sea surface roughness located in areas with small wave ages and wind speeds
31 of $25\text{-}33 \text{ m s}^{-1}$. For this reason, the cyclones that had been simulated by wind-wave
32 coupled models developed more slowly than those simulated by non-coupled models.

1 Also, maximum low-level wind speeds were typically underestimated by 2-3 m s⁻¹
2 due to the feedback of ocean wave-induced stress. However, local differences in
3 excess of 7-10 m s⁻¹ were found in some coupled model simulations (Doyle, 2002;
4 Renault et al., 2012). In addition to these wind speed differences, significant wave
5 height maxima were reduced by approximately 10% in the coupled simulations due to
6 the enhanced roughness associated with the young ocean waves.

7 Following the above mentioned research, a number of centers and institutes worldwide
8 have employed coupled systems for their upgraded operational activities. The
9 European Centre for Medium-Range Weather Forecasts (ECMWF) is the pioneer in
10 the development and implementation of coupling systems. ECMWF developed a
11 coupled ocean-wave-atmospheric model in order to be able to have two-way
12 interaction, based on Janssen's (1989 and 1991) quasi-linear theory. The ocean-wave
13 model of ECMWF (ECMWF WAM or ECWAM) is fully coupled to the Integrated
14 Forecasting System (IFS) which is the operational global meteorological forecasting
15 model of the ECMWF (IFS Documentation, 2013; Diamantakis and Flemming,
16 2014). The ECWAM model software has been developed over a period of 10 years
17 (1992 to 2002) for operationally predicting over the whole globe (Janssen, 2004). The
18 ECWAM code was originally written for global scale applications, however, it was
19 extended to also run on smaller domains and in shallower water.

20 The United States Geological Survey (USGS) operates the Coupled Ocean –
21 Atmosphere – Wave – Sediment Transport (COAWST) Modeling System, which is
22 integrated by the Model Coupling Toolkit to exchange data fields between the ocean
23 model ROMS, the atmosphere model WRF, the wave model SWAN, and the sediment
24 capabilities developed as part of the Community Sediment Transport Modeling
25 Project. (Warner et al., 2010). The Earth system model (CNRM-CM5) running
26 operationally at Meteo-France consists of several existing models designed
27 independently and coupled through the OASIS software (Redler et al., 2010). It
28 includes the ARPEGE model for the atmosphere, the NEMO model for the ocean
29 circulation, the GELATO model for sea-ice, the SURFEX model for land and the
30 ocean-atmospheric fluxes and the TRIP model to simulate river routing and water
31 discharge from rivers to the ocean (Voldoire et al., 2012).

32 In a recent study three physical processes related to ocean surface waves, namely the

1 surface stress, the turbulent kinetic energy flux from breaking waves, and the Stokes-
2 Coriolis force are incorporated in a general circulation ocean model (Breivik et al.,
3 2015). Experiments are done with the NEMO model in ocean-only (forced) mode and
4 coupled to the ECMWF atmospheric and wave models. Using ocean-only integrations
5 and experiments with a coupled system consisting of the atmospheric model IFS, the
6 wave model ECWAM and NEMO, they demonstrated that the impact of the wave
7 effects is particularly noticeable in the extra-tropics. Of the three processes, the
8 modification of the sea-state dependent turbulent kinetic energy has the largest
9 impact.

10 In this context, this paper describes the strategy and approach adopted to develop a
11 new, advanced, fully coupled atmosphere-ocean wave model for supporting the
12 research and operational activities of the Hellenic Centre for Marine Research
13 (HCMR) in the framework of the European Union (EU) funded MyWave project. A
14 specific issue that is emphasized is the determination, parameterization and the
15 sensitivity of air-sea momentum fluxes in a case study involving extremely high and
16 time-varying winds.

17

18 **2. Overview of modeling components of the coupled system**

19 The coupled system consists of two components: the atmospheric and the ocean-wave
20 models of the POSEIDON system. The atmospheric component is based on the
21 Workstation Eta non-hydrostatic limited area model (Papadopoulos et al., 2002;
22 Janjic, 2001; Nickovic et al., 2001; Mesinger et al. 1988). The ocean-wave component
23 is based on the fourth generation OpenMP (OMP) version of the WAM model
24 (Monbaliu et al., 2000; Korres et al. 2011) and the resulting name of the coupled
25 system is WEW.

26 **2.1 The atmospheric model**

27 The atmospheric model is based on an advanced version of the SKIRON/Eta
28 mesoscale meteorological model which is a modified version of the Eta/NCEP model
29 (Kallos et al., 1997; Nickovic et al., 2001; Papadopoulos et al., 2002). This version
30 became the core of the second generation POSEIDON weather forecasting system

1 (Papadopoulos and Katsafados, 2009) and is fully parallelized to run efficiently on
2 any parallel computer platform. It uses a two-dimensional scheme for partitioning
3 grid-point space to Message Passing Interface (MPI) tasks. MPI is a protocol for the
4 data exchange and synchronization between the executing tasks of a parallel job.

5 The Eta model is designed to use either the hydrostatic approximation or the non-
6 hydrostatic correction in order to be able to resolve high resolution atmospheric
7 processes. Eta is formulated as a grid-point model and the partial differential
8 equations are represented by finite-difference schemes. The ETA model "native" grid
9 is awkward to work with because the variables are on semi-staggered (e.g., the grid
10 for wind is not the same as the grid for mass points) and non-rectangular (number of
11 points in x-axis is not constant in respect to y-axis) grids. More specifically, in the
12 horizontal dimension, the model is defined over the semi-staggered E grid, as shown
13 in Fig. 1.

14 The Eta model is well-documented and detailed descriptions of its dynamics and
15 physics components can be found in several studies (e.g., Mesinger et al., 1988;
16 Janjic, 1994; Janjic et al., 2001, and references therein). The air-sea momentum fluxes
17 are mainly parameterized in the surface layer scheme based on the well-established
18 Monin-Obukhov similarity theory. It provides the lower boundary conditions for the
19 2.5 level turbulence model and introduces the viscous sublayer for a more realistic
20 representation of the near surface fluxes. Different viscous sublayer approaches are
21 applied over ground and over water surfaces in the model. For this specific
22 application, special care was taken in the calculation of the 10-meter wind. The
23 calculations of the surface parameters within this viscous sublayer have an obvious
24 advantage that decreases the level of uncertainty in the wind, air temperature and
25 humidity fields near the surface.

26 **2.2 The ocean wave model**

27 The wave forecasting system is based on WAM Cycle-4 code parallelized using **only**
28 OMP directives. In order to reduce unrealistic energy loss at boundary points in cases
29 where the waves propagate parallel and near the coast, the technique of Monbaliu et
30 al. (2000) was applied wherein an alternative octant propagation coordinate system
31 was introduced in the original WAM model code. For the octant advection scheme,

1 eight propagation directions are defined instead of four in the classical quadrant
2 scheme. Although in terms of computational workload, the octant scheme almost
3 doubles the CPU time required by the upwind advection quadrant scheme, it has clear
4 advantages over other conventional schemes, especially near the coastlines (Cavaleri
5 and Sclavo, 1998).

6 The grid of the wave model for the Mediterranean and Black Seas expands over the
7 geographical area 8°W – 42°E and 29°N – 48° N as shown in Fig.7 with a resolution
8 of $1/20^\circ \times 1/20^\circ$. The bathymetric map has been constructed from ETOPO 2 data
9 (National Geophysical Data Center, 2006. 2-minute Gridded Global Relief Data
10 (ETOPO2) v2. National Geophysical Data Center, NOAA) using bi-linear
11 interpolation and some degree of smoothing. In shallow areas of the two basins, local
12 corrections were introduced based on nautical charts issued by the Hellenic Navy
13 Hydrographic Service.

14 The Mediterranean and Black Seas wave model is a standalone model since it has no
15 open boundary towards the Atlantic basin. This is justified in the sense that no
16 significant swell from the Atlantic Ocean is expected to propagate into the
17 Mediterranean basin through Gibraltar Straits. The Dardanelles and Bosphorus Straits
18 are also considered to be closed boundaries thus no wave energy is advected between
19 Black Sea and Marmara Sea and between the Marmara Sea and the Aegean. The
20 model uses 24 directional bins (15° directional resolution) and 30 frequency bins
21 (ranging between 0.05Hz and 0.793Hz) to represent the wave spectra distribution. The
22 model runs in shallow water mode without depth or current refraction.

23

24 **3. The theoretical background**

25 In the offline coupled mode, the atmospheric model parameterizes the momentum
26 exchange at the air-sea interface by applying a viscous sublayer scheme (Janjic, 1994)
27 in which, the roughness z_0 over the sea surface is estimated by the formula:

$$28 \quad z_0 = \frac{a_w \cdot u_*^2}{g} \quad (1)$$

29 assuming a constant Charnock coefficient $a_w=0.018$ throughout the simulation. In
30 turn, the wave model receives the near surface wind components without providing

1 any feedback to the atmosphere. Therefore, no interaction takes place between the two
2 models.

3 In parallel, the WAM model considers a wind input source function to the wave
4 spectrum equation based on Janssen's (1989 and 1991) quasi-linear theory where the
5 transfer of momentum from the wind to the wave field depends simultaneously on the
6 wind stress and the sea state itself. Hence, the WAM model includes a set of
7 diagnostic equations for modeling the sea surface roughness feedback on the near
8 surface atmospheric boundary layer (Janssen, 1989). The spatial and temporal
9 variability of the Charnock coefficient is estimated at each WAM timestep by

$$10 \quad a_w = \frac{\hat{\alpha}}{\sqrt{1 - \tau_w / \tau}} \quad (2)$$

11 In the current WEW version $\hat{\alpha}$ is 0.01 but it has been adjusted to 0.006 in a recent
12 ECWAM upgrade (IFS Documentation, 2013). In Eq. (2) τ_w is the wave induced
13 stress given by

$$14 \quad \tau_w = \rho_w g \int \frac{k}{\omega} \cdot S_{in} \cdot d\omega d\theta \quad (3)$$

15 The wave induced stress is mainly determined by the high frequency part of the wave
16 spectrum consisting of the waves that have the largest growth rate due to the wind. In
17 Eq. (3) ρ_w is the density of sea water, g is the gravitational acceleration, S_{in} represents
18 the wind input term in the wave model, ω is the angular frequency, θ is the
19 propagation direction and k is the wavenumber. The total stress τ is estimated as

$$20 \quad \tau = \rho_a \cdot C_D \cdot U_{ref}^2 \quad (4)$$

21 where ρ_a is the density of air, U_{ref} is the wind speed at a reference height and C_D is
22 the drag coefficient equals to

$$23 \quad C_D = \left(\frac{\kappa}{\log(z_{ref} / z_0)} \right)^2 \quad (5)$$

24 with κ being the von Karman constant. Combining Eq. (4) and Eq. (5) the total stress
25 is given by

$$1 \quad \tau = \left(\frac{\kappa \cdot U_{ref}}{\log(z_{ref} / z_0)} \right)^2 \quad (6)$$

2 The estimated sea surface roughness length is

$$3 \quad z_0 = \frac{0.01 \cdot \tau}{\rho_a \cdot g \cdot \sqrt{1 - \tau_w / \tau}} \quad (7)$$

4 Finally, the computed friction velocity

$$5 \quad u_* = \sqrt{\tau / \rho_a} \quad (8)$$

6 is applied in the wind input source function S_{in} .

7 Therefore, in the fully coupled mode, WAM can provide the atmospheric model with
 8 consistent values of the Charnock coefficient, roughness and the friction velocity at
 9 each timestep. In the current version of WEW, the atmospheric model applies the
 10 variable Charnock parameter a_w in Eq. (1) for the estimation of the sea surface
 11 roughness length. According to the Mellor-Yamada-Janjic (MYJ) surface layer
 12 parameterization scheme (Janjic, 1994), a viscous sublayer is assumed over the
 13 oceans and operates under three sea state regimes: (i) smooth and transitional, (ii)
 14 rough, and (iii) rough with spray, depending on the roughness Reynolds number and
 15 finally on the friction velocity which is a monotonic function of R_r (Janjic, 1994)

$$16 \quad R_r = \frac{z_0 u_*}{\nu} \quad (9)$$

17 where $\nu = 1.5 \cdot 10^{-5} \text{ m}^2 \text{ s}^{-1}$ is the kinematic viscosity of the air (Fig. 2). Then, the
 18 estimated friction velocity from WAM is applied for the determination of the sea state
 19 regimes, instead of the friction velocity that is computed by the atmospheric model. In
 20 particular, the changes of the regimes have been set to $u_{*r} = 0.3 \text{ ms}^{-1}$ and $u_{*s} = 0.7 \text{ ms}^{-1}$.

21 The friction velocity of the atmospheric model is then estimated by

$$22 \quad u_* = \left[\left(\frac{K_{Msfc}}{\Delta z_e} \right) (U_{LM} - U_{Z_U}) \right]^{1/2} \quad (10)$$

23 where K_{Msfc} is the Mellor-Yamada level 2 discrete momentum exchange coefficient,
 24 Δz_e is the depth of the atmospheric layer that is extended between the lowest model

1 level and the height of the “dynamical turbulence layer” at the bottom of the surface
 2 layer. The final term is the scalar difference between the wind velocity estimated at
 3 the lowest model level and the velocity at a height z above the surface where the
 4 molecular diffusivities are still dominant (usually at the height of the viscous
 5 sublayer). The depth of the viscous sublayer for the momentum is estimated by

$$6 \quad z_U = \zeta v \frac{M \left(\frac{z_0 u_*}{v} \right)^{1/4}}{u_*} \quad (11)$$

7 where $\zeta=0.50$ and M is depending on the sea state regime. For smooth regime, $M=35$,
 8 and when the flow ceases to be smooth, $M=10$. The atmospheric roughness obtained
 9 from the Eq. (1) and the friction velocity from the Eq. (10) are then implemented for
 10 the estimation of the near surface ($Z_{U10}=Z_U+10$) wind components.

11

12 **4. Software considerations of the coupled system**

13 In the two-way coupled mode, the Eta and WAM models utilize different domain
 14 projections, integration time step, grid geometry and cell size. Therefore, a major
 15 effort has been undertaken in order to homogenize and handle the data exchange
 16 between the atmospheric and the ocean-wave components of the coupled system.
 17 These exchanges are built upon the MPI directives since it became a standard for
 18 developing parallel applications (Snir et al., 1998). Under the parallel environment of
 19 Multiple Program Multiple Data (MPMD), the two components are carried out as
 20 parallel tasks on different processors and they exchange information in directly (Fig.
 21 3). Thus, the parallel execution of the system is handled entirely by the
 22 `mpirun/mpiexec` commands and the two components maintain their own executables.
 23 The communication between the two models is performed using `MPI_Send` and
 24 `MPI_Recv` calls at every source time step of the ocean-wave model integration and
 25 the system runs flawlessly combining both `MPICH` and `OMP` environments. After the
 26 initial development, the modification of each component source code is relatively
 27 simple, just adding some data exchange routines and inserting the appropriate
 28 commands in the original model code which call the coupling routines, while each
 29 component keeps its original structure.

1 At the initialization stage, the atmospheric model initializes and loads the inter- and
2 intra-communicators. The atmospheric model sends the near surface wind
3 components to the wave model and receives the variable Charnock coefficient array,
4 which is then used for the estimation of z_0 in the surface layer parameterization
5 scheme. Each data exchange requires re-projection from the atmospheric model
6 Arakawa-E grid to the ocean-wave model regular lat-lon grid and vice versa (Fig. 4).
7 For consistency, the sea-masks are exchanged at the initialization stage and the
8 atmospheric to ocean-wave timestep ratio is set to 1/24 but it can be adjusted to any
9 other configuration through the main namelist of the system. Moreover, data
10 exchanges can easily be expanded or eliminated and the ocean-wave outputs
11 (significant wave height and period, Charnock coefficient, friction velocity, etc.) are
12 finally redirected through the internal communicators as outputs of the atmospheric
13 component.

14 The initial version (v.0) of WEW was configured on a 2x2 topology (2 additional
15 processes are allocated for setting the I/O servers) for the atmospheric component
16 (Fig. 5). The ocean-wave component is parallelized using OMP directives and was
17 configured with 2 threads. The current version (v.5) has been configured with a very
18 fine horizontal resolution of $1/20^\circ \times 1/20^\circ$ with 493x461 E-grid points and 1001x381
19 regular lat-lon points. Numerous tests have been performed in order to extract the
20 optimum topology. To this end, 28 threads have been allocated in total, 20 of which
21 are dedicated to the execution of atmospheric component while the remaining 8 are
22 reserved for the ocean-wave component. Thus, WEW is running on a Dual Quad core
23 Intel Xeon platform cluster using 28 threads in total at 4 nodes, but it is easily
24 portable to other architectures and flexible enough to adopt different topologies. For
25 the abovementioned configuration, WEW requires almost 10 minutes for each
26 simulation hour.

27 A multi-level flowchart of the system and the data exchanges are depicted in Fig. 6. In
28 the offline coupling mode (CTRL hereafter) the atmospheric component sends hourly
29 near surface wind velocity to the ocean-wave model without any other interaction
30 between the two models (red line). In the two-way fully coupled mode (WEW
31 hereafter) the atmospheric model sends the near surface wind components at every
32 WAM model timestep and receives various near sea surface variables. In more details,

1 for each timestep WAM can provide the atmospheric model with consistent values of
2 the Charnock coefficient, friction velocity, total surface stress, etc. In the current
3 version, the atmospheric model ingests Charnock coefficient and friction velocity
4 values into the Mellor Yamada surface layer parameterization scheme for the
5 estimation of the near surface wind components for the next timestep as well as the
6 accurate determination of the viscous sublayer and the parameterization of the air-sea
7 momentum fluxes.

8

9 **5. System configuration**

10 WEW has been configured on a domain encompasses the Mediterranean Sea and the
11 Black Sea with a horizontal resolution of $0.05^\circ \times 0.05^\circ$ (Fig. 7). However, various tests
12 of the system at the initial stages of the development were performed using a coarser
13 grid of $0.10^\circ \times 0.10^\circ$. Gridded data from the European Centre for Medium range
14 Weather Forecast (ECMWF) were used as initial and boundary conditions of the
15 atmospheric component. The grid of the wave model for the Mediterranean and Black
16 Seas covers the geographical area $8^\circ\text{W} - 42^\circ\text{E}$ and $29^\circ\text{N} - 48^\circ\text{N}$ as shown in Fig. 7
17 (black line) using resolution similar to that of the atmospheric component. The
18 different projection of the two components yields a mismatch between the two
19 domains. Thus, a constant Charnock coefficient $a_w=0.018$ was implemented for the
20 sea grid points of the atmospheric domain (near its western boundary) which were
21 outside the WAM model domain. A 1-2-1 smoothing filter was also applied over the
22 transition zone in order to reduce artificial generated waves. The initialization of
23 WAM was based on a wind-sea spectrum computed on the basis of the initial wind
24 field and was produced during the preprocessing stage of the atmospheric model (cold
25 start).

26 Each component of WEW maintained its own timestep. The propagation timestep of
27 the WAM model was 120 sec while its source timestep was 360 sec. The coupling
28 procedure exchanges data on the source timestep of WAM model, $DT_w=360$ sec. As
29 the timestep of the atmospheric model was $DT_a=15$ sec, the exchange took place
30 every 24 timesteps of the atmospheric model. Every hour WEW stored its unified
31 outputs (including atmospheric and ocean-wave fields) on the native Arakawa-E grid.
32 The configuration of the system is summarized in Table 1.

1

2 **6. Application and performance of the WEW system**

3 WEW has been tested for its consistency and performance in a high-impact
4 atmospheric and sea state case study of an explosive cyclogenesis over the Ligurian
5 Sea. The coupling efficiency was quantitatively estimated over sea areas using
6 traditional statistical scores. Thus, the performance of the fully two-way coupled
7 system (WEW) was compared against its performance in the offline coupling mode
8 (CTRL) based on a point-to-point comparison with in situ observations from a
9 network of 39 buoys in the Mediterranean Sea (Fig. 8). The consistency of WEW was
10 also assessed against remote sensed data retrieved from CRYOSAT, ENVISAT and
11 JASON1/2.

12 The incident of 4–11 January, 2012 has been selected due to the severity of the
13 prevailing atmospheric conditions characterized by an explosive cyclogenesis over the
14 Ligurian Sea (Varlas et al., 2014). In more detail, on January 5, 2012 a low pressure
15 system formed over the cyclogenetic area of the Ligurian Sea. It was mainly triggered
16 by a widespread upper-level trough extending from Central Europe to the
17 Mediterranean Sea (Fig. 9a). The upper-level trough rapidly intensified the system
18 and supported its southeastern movement (Fig. 9b). **On January 6, the system moved**
19 **toward the Eastern Mediterranean, where the pressure dropped more than 1 Bergeron,**
20 **satisfying the criteria for an explosive cyclogenesis event (Fig. 10 a and b). Sanders**
21 **and Gyakum (1980), defined an extratropical cyclone as a meteorological bomb when**
22 **the mean sea-level pressure of its center falls by at least 1hPa per hour for 24 hours at**
23 **60°N. An equivalent rate is obtained for a latitude φ by multiplying this rate by the**
24 **dimensionless number $\sin\varphi/\sin60^\circ$, which is denoted as one Bergeron (Katsafados et**
25 **al., 2011).** During January 6 and 7, the strong pressure gradient provoked gale force
26 winds and significant storm surge over a vast area, including the Central
27 Mediterranean and the Aegean Sea. It is worth noting that the buoys in the Ligurian
28 and Balearic Seas recorded wind speeds exceeding 20 ms^{-1} and significant wave
29 height (SWH) over 5m.

30 The horizontal distributions of the wind speed and the SWH as well as their
31 differences between WEW and the CTRL experiment are depicted in Fig. 11. On
32 January 6, 2012 at 18 UTC, winds exceeding the 22 ms^{-1} and SWH over 8 m cover a

1 large part of the Mediterranean Sea (Fig. 11a and b). The horizontal distribution of
2 differences between WEW and the CTRL experiments reveals a systematic reduction
3 of the wind speed and the SWH in the two-way fully coupled mode (WEW). The near
4 surface wind speed differences vary up to 2 ms^{-1} and are located over the areas where
5 maximum wind velocities occurred (Fig. 11c). The reduced wind speed simulated by
6 WEW, as a feedback of the enhanced sea surface roughness, impacts the estimated
7 SWH as well (Fig. 11d). Thus, SWH differences up to 1.2 m occur over the areas of
8 the maximum wind speed reduction (eg. the area between the Balearic and Tyrrhenian
9 Seas). Similar results have been also observed by Doyle (2002), Janssen (2004), Liu
10 et al. (2011) and Renault et al. (2012).

11 The outputs from both simulations, CTRL and WEW, have been statistically assessed
12 based on a point-to-point hourly comparison between model-generated variables and
13 the available Mediterranean buoy measurements. Hourly pairs of observed and
14 estimated values were obtained using the nearest-neighbor interpolation technique,
15 taking care of whether this nearest source point is a sea masked grid point. **Despite the
16 known problems of the issues associated with comparing point measurements with
17 area-averaged predictions, the in situ measurements from the buoy network are
18 valuable in providing wind data for comparing the error statistics between the
19 uncoupled and coupled simulations. Fig. 12 summarizes the main statistical scores for
20 both simulations. As indicated in Figure 12a both simulations slightly underestimate
21 the near surface wind speed (negative bias scores). Although the CTRL gives less
22 biased wind speed estimation than WEW, the latter exhibits a slight improvement of
23 the RMS error by approximately 2%. Additionally, WEW reduces the standard
24 deviation of the model towards that of the buoys measurements. In accordance with
25 the wind speed, the bias scores of the SWH indicate an underestimation which is more
26 prominent in the WEW simulation (Fig. 12b). However, WEW exhibits an overall
27 improvement of more than 7% regarding the SWH RMS error, with 0.53 instead of
28 0.57 m, and better correlation coefficients.**

29 **The respective error properties are quite similar in the open sea. Comparison with the
30 remote sensed data referenced in this section, showed that WEW has slightly better
31 statistics (e.g., lower RMS error) than CTRL, despite the fact that it seems to enhance
32 the underestimation of the wind speed and the SWH. In particular, Fig 12c indicates**

1 that WEW tends to increase the underestimation of the wind speed already present in
2 the CTRL, reducing the respective RMSE by 1.5% at the same time. Also, Fig. 12d
3 shows that the RMS error is smaller for WEW SWH values compared to CTRL
4 values by almost 11%, in contrast to the slight overestimation of the CTRL SWH and
5 the slight underestimation of the SWH occurring in WEW. The error statistics are
6 significant at the 95% confidence level. Although WEW increases the wind and the
7 SWH underestimation, it overall improves the SWH RMS error by approximately 7%
8 against buoys data and by 11% against remote sensed data. In contrast to the bias
9 scores, RMSE penalizes the variance between in-situ or remote sensed data and the
10 simulations implying a deterioration of the RMS error in CTRL run (Chai and
11 Draxler, 2014). Similar RMSE improvements by the coupled systems have been also
12 confirmed in the relevant literature (e.g. Lionello et al., 2003 and Renault et al.,
13 2012). Moreover, in a parallel to WEW research effort within the MyWave project the
14 Italian team consisting of the Institute of Marine Sciences (ISMAR) and the Italian
15 Meteorological Service (CNMCA) coupled WAM with the COSMO atmospheric
16 model over the Mediterranean Sea (at a lower horizontal resolution though) showing
17 similar results especially in terms of winds and significant wave height RMSE
18 reduction (Torrì et al., 2014). Overall, WEW offers a more realistic representation of
19 the air-sea interaction processes although it is not reflected in an exceptional
20 improvement of the statistical scores. This is attributed to the fact that the application
21 of the two-way fully coupled system can generate and support a more realistic near
22 sea surface atmospheric circulation pattern by fully resolving air-sea interaction
23 mechanisms at the relevant interface, including the wind speed regime and wave
24 patterns.

25 **6.1 Physical interpretation**

26 The particular interactions considered in WEW are mainly driven by the momentum
27 exchanges in the ocean wind-wave system. The fully coupled wind-wave
28 parameterization scheme includes the effects of the resolved wave spectrum on the
29 drag coefficient and its feedback on the momentum flux. In general, the feedbacks
30 create non-linear interactions in the dynamic structure of a storm or a cyclone due to
31 the time-space sea surface friction variability. In WEW simulations, the maximum
32 friction velocity and sea surface roughness are much larger than their counterparts in

1 CTRL, with the maxima located in areas with small wave ages and wind speeds above
2 20 ms^{-1} . The increased near sea surface friction builds a more turbulent and deeper
3 PBL, preventing faster evolution of the storm (Fig. 13).

4 The reduction of the near surface wind speed, as was evident in the WEW simulation
5 and depicted in Fig. 11c, is mainly attributed to the variable Charnock coefficient
6 directly ingested in Eq. (1) for the roughness length estimation in the MYJ surface
7 layer parameterization scheme. In the CTRL and WEW experiments, the Charnock
8 coefficient logarithmically increases with wind speed at approximately 22 ms^{-1} (Fig.
9 14). The enhanced Charnock coefficient increases the roughness length and decreases
10 the near surface wind speed in WEW simulations. This also affects the estimation of
11 the significant wave height in the two-way coupled simulations. **Especially in WEW**
12 **(Fig. 14b), a doubtful saturation of the Charnock coefficient for wind speeds**
13 **exceeding 22 ms^{-1} is particularly noticeable indicating that in extremely high wind**
14 **conditions, the sea surface friction is preserved or even decreases, offering a positive**
15 **forcing to the flow. Although this mechanism is described in Donelan et al. (2004),**
16 **the WAM model does not resolve processes such as flow separation or wave breaking**
17 **under extremely high wind conditions. The saturation of the Charnock coefficient**
18 **may be attributed to the winds prevail in very young sea states and short fetches**
19 **which are unable to carry the full stress that a slightly more mature sea state could**
20 **(Bidlot et al., 2012). Moreover the apparent increase in Charnock around winds of 6**
21 **ms^{-1} may be explained by the lack of frequency resolution in the spectrum at high**
22 **frequency because of the logarithmic frequency spacing and the choice of cut-off**
23 **frequency. Although the wind-wave parameterization feature offers a realistic**
24 **representation of the aerodynamic drag over rough sea surfaces, the saturation of the**
25 **Charnock coefficient has to be confirmed in more case studies involving a number of**
26 **synoptic to mesoscale storms on even higher wind regimes.**

27 The roughness length as a function of the friction velocity is characterized by an
28 initial decrease as the surface condition goes from aerodynamically smooth to
29 aerodynamically rougher regime (Fig. 15). This is the result of an aerodynamically
30 smooth surface where the molecular motions are dominant in the developed viscous
31 sublayer (Csanady, 2001). In moderate and fully rough sea state regimes the
32 roughness length is exponentially increasing with the friction velocity. The roughness

1 length in WEW is substantially larger than in CTRL for friction velocities exceeding
2 0.60 ms^{-1} . This is an indication of the enhanced friction in WEW under rough sea
3 state regimes as a result of the variable Charnock parameter in the surface layer
4 parameterization scheme.

5

6 **7. Concluding remarks and future perspectives**

7 WEW is the recently developed two-way fully coupled atmosphere-ocean wave
8 system designed to support air-sea interaction research and operational activities at
9 HCMR. **This new coupled system has made it possible for the atmospheric model to**
10 **ingest a physically based momentum roughness length based on sea state.** The system
11 is built in the MPMD environment where the atmospheric and the ocean-wave
12 components are handled as parallel tasks on different processors. In the offline
13 coupled mode, the atmospheric component parameterizes the air-sea momentum by
14 estimating the roughness length over the sea surface as a function of a constant
15 Charnock coefficient throughout the simulation. The ocean-wave component
16 passively receives the near surface wind components and there is no interaction
17 between the two models. In WEW, the atmospheric model sends the near surface
18 wind components to the wave model on its timestep frequency and receives the space-
19 time variable Charnock field, which is directly applied in the surface layer
20 parameterization scheme for the estimation of the roughness length.

21 Interactions considered in WEW are mainly driven by the momentum exchanges in
22 the ocean wind-wave system and include the effects of the resolved wave spectrum on
23 the drag coefficient and its feedback on the momentum flux. As a general outcome,
24 the maximum friction velocity and sea surface roughness are much larger than their
25 counterparts in the offline coupled mode, which resulted in a more turbulent and
26 deeper marine PBL. The reduction of the near surface wind speed in the fully coupled
27 simulation is mainly attributed to the enhanced Charnock coefficient which increases
28 the roughness length and finally decreases the SWH. The Charnock coefficient
29 logarithmically increases with wind speed at approximately 22 ms^{-1} and the saturation
30 above indicates that in extremely high wind conditions the sea surface friction is
31 preserved or even decreases, resulting a positive forcing to the flow. This wind-wave

1 parameterization feature offers a more realistic representation of the aerodynamic
2 drag over rough sea surfaces (Chen et al., 2007).

3 This aspect was tested in a high-impact atmospheric and sea state case study of an
4 explosive cyclogenesis in the Mediterranean Sea. Despite the increased
5 underestimation, affecting both wind speed and significant wave height, WEW offers
6 an overall improvement in their RMS error up to 11%. The underestimation is
7 attributed to the direct implementation of the variable Charnock coefficient in the
8 current surface layer parameterization scheme and is more prominent at gale force
9 wind speeds. Therefore, an extended modification of the current MYJ scheme is
10 recommended, and it is in the authors' future plans, in order to adjust it to the updated
11 sea surface forcing dynamically obtained from the ocean-wave component. To this
12 end, an alternative parameterization scheme is under development for the more
13 realistic representation of the sea surface momentum exchange and its feedbacks in
14 WEW.

15

16 **Code availability**

17 For ETA model and WAM model users, the relevant code modifications for coupling
18 the two numerical systems can be made available by Prof. Petros Katsafados
19 (pkatsaf@hua.gr), Dr. Anastasios Papadopoulos (tpapa@hcmr.gr) and Dr. Gerasimos
20 Korres (gkorres@hcmr.gr).

21

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26 also acknowledged for the kind provision of the gridded analyses data.

27

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- 38

1

2 Table 1: The configuration of the WEW.

WEW version 5	Atmospheric component	Ocean wave component
Integration domain	Mediterranean Sea, Europe, Black Sea	
Grid	Arakawa semistaggered E grid defined in transformed lat/lon coordinate system	Regular lat/lon coordinate system
Horizontal grid increment	0.05°x0.05°	
Spectral resolution	-	24 directional bins (15° directional resolution), 30 frequency bins (0.05-0.793 Hz)
Vertical coordinate	Step mountain, η coordinate	-
Vertical levels	38	-
Timesteps (sec)	15	Propagation timestep: 120 Source timestep: 360
Initial&boundary conditions	ECMWF, 0.5°x0.5°, 11 isobaric levels, 6hr update of the boundary conditions	Initialization from the atmospheric component, refresh rate every 360 sec
MPI/OMP topology	16 MPI processing threads + 4 I/O servers=20	8 OMP threads

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H v H v H v H (v)

v H v H v H v (H)

H v H v H v H (v)

v—H v H v H v (H)

H—v H v H v H (v)

H=mass point, v=wind point
red=(1,1), blue=(1,2)

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4 Figure 1. The E-grid stagger. The mass points represent by H and the wind points
5 represent by v.

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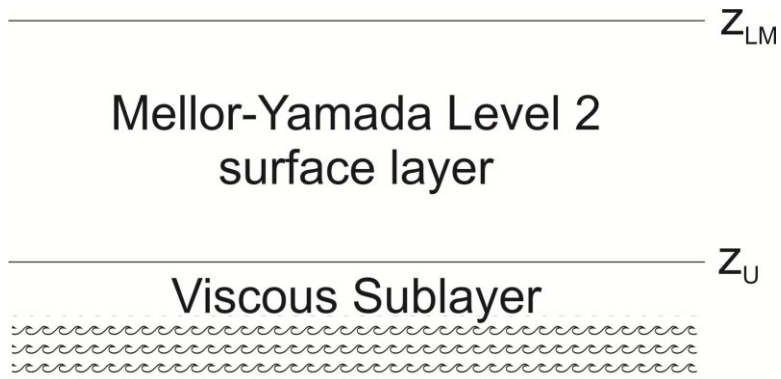
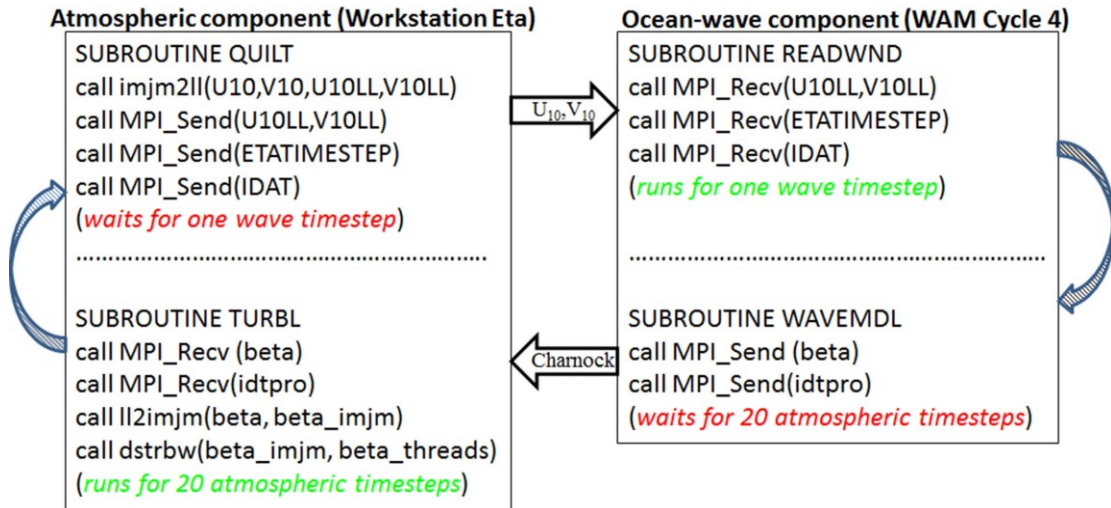


Figure 2. The Mellor-Yamada surface layer with the viscous sublayer over the ocean. The symbol Z_{LM} is the height of the lowest model layer and Z_U is the depth of the viscous sublayer for momentum. (Reproduced from Janjic, 1994).

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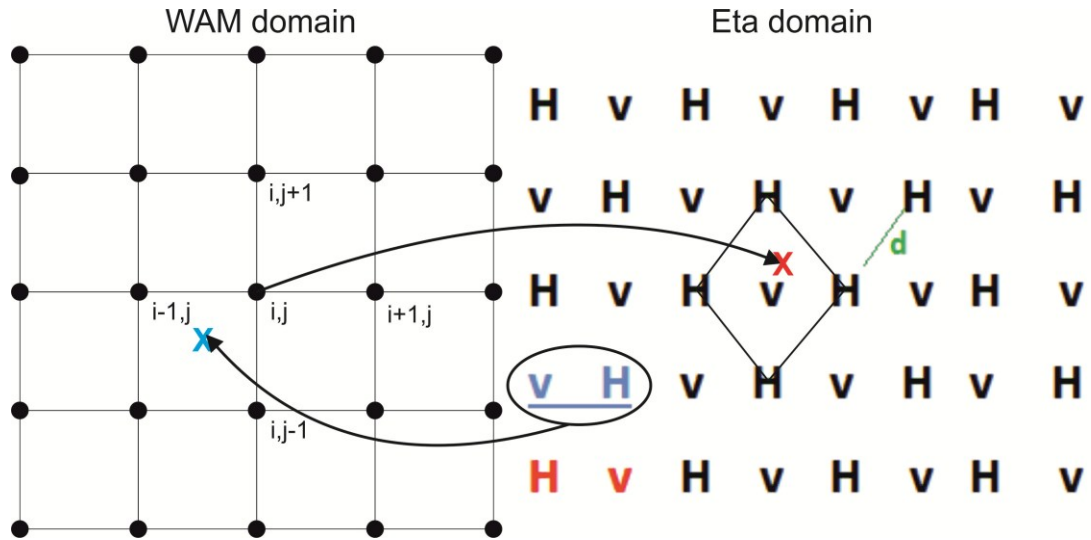
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4 Figure 3. The WEW exchanges near surface U,V components and Charnock
5 coefficient every timestep of the ocean-wave model.

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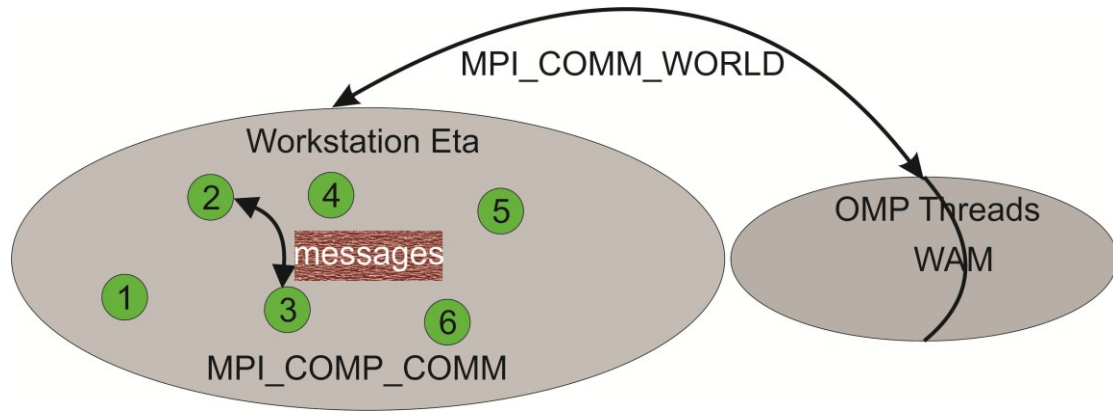
4 Figure 4. Sketch of the WEW multi-grid structure. The transformations from the
 5 Arakawa-E grid to the regular lat-lon grid and vice versa are also depicted.

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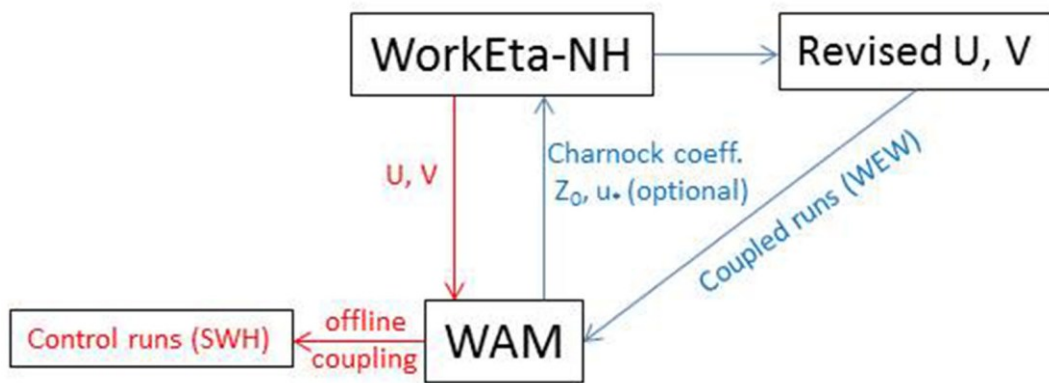
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4 Figure 5. The WEW intra- and inter-communicators.

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4 Figure 6. Informational flowchart for the offline coupled (red lines) and the two-way
5 coupled simulations (blue lines).

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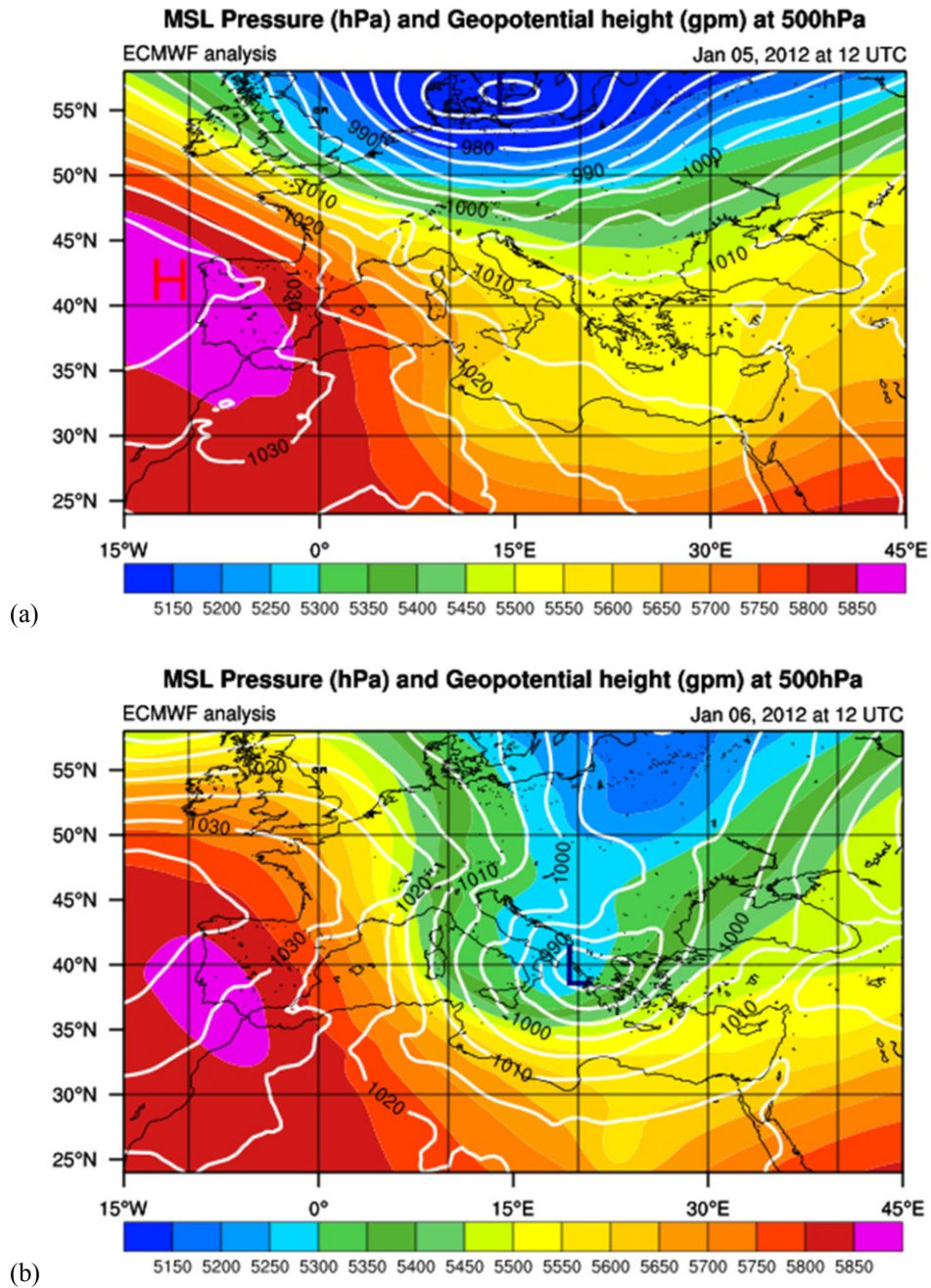
4 Figure 7. Current domains configuration of the atmospheric (blue line) and the ocean-
5 wave models (black line).

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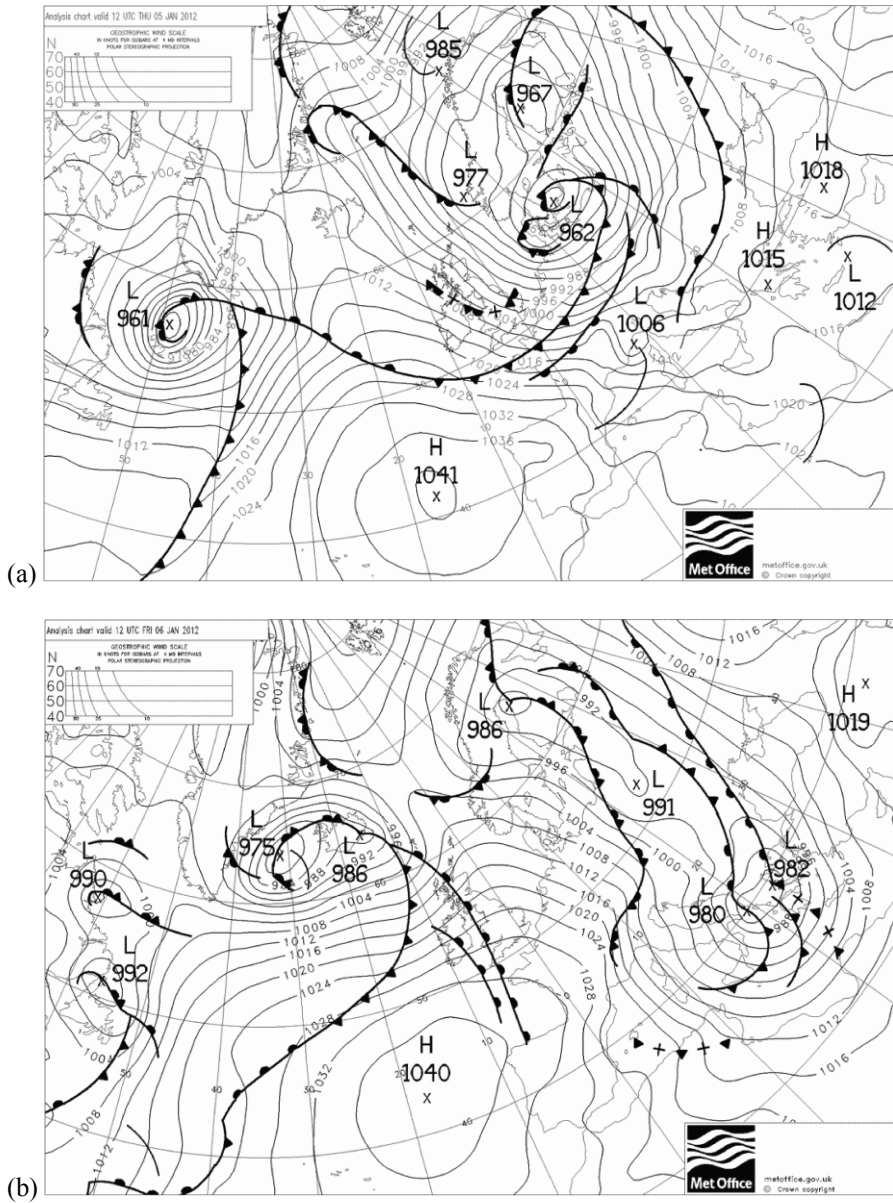
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3 Figure 9. Mean Sea Level Pressure (contours in hPa) and geopotential height at 500
4 hPa (colored shaded in gpm) for a) January 5 at 12:00 UTC b) January 6 at 12:00
5 UTC, 2012. Data are based on ECMWF operational analysis.

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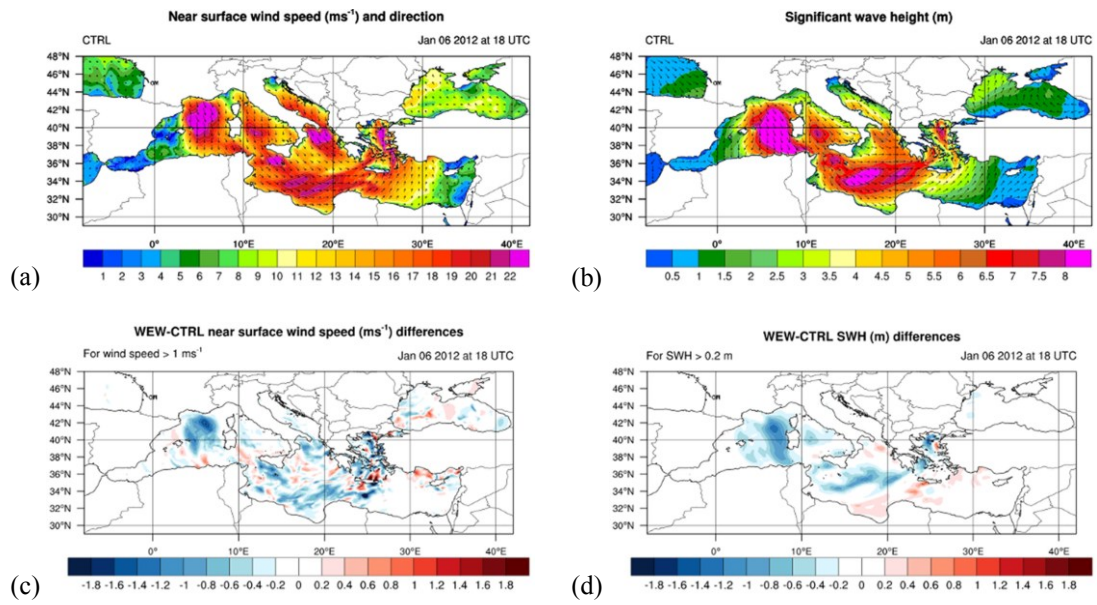
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3 Figure 10. Surface pressure analysis map (mb) for a) January 5 at 12:00 UTC b)
4 January 6 at 12:00 UTC, 2012. The maps derived from UK Met office surface
5 analysis archive.

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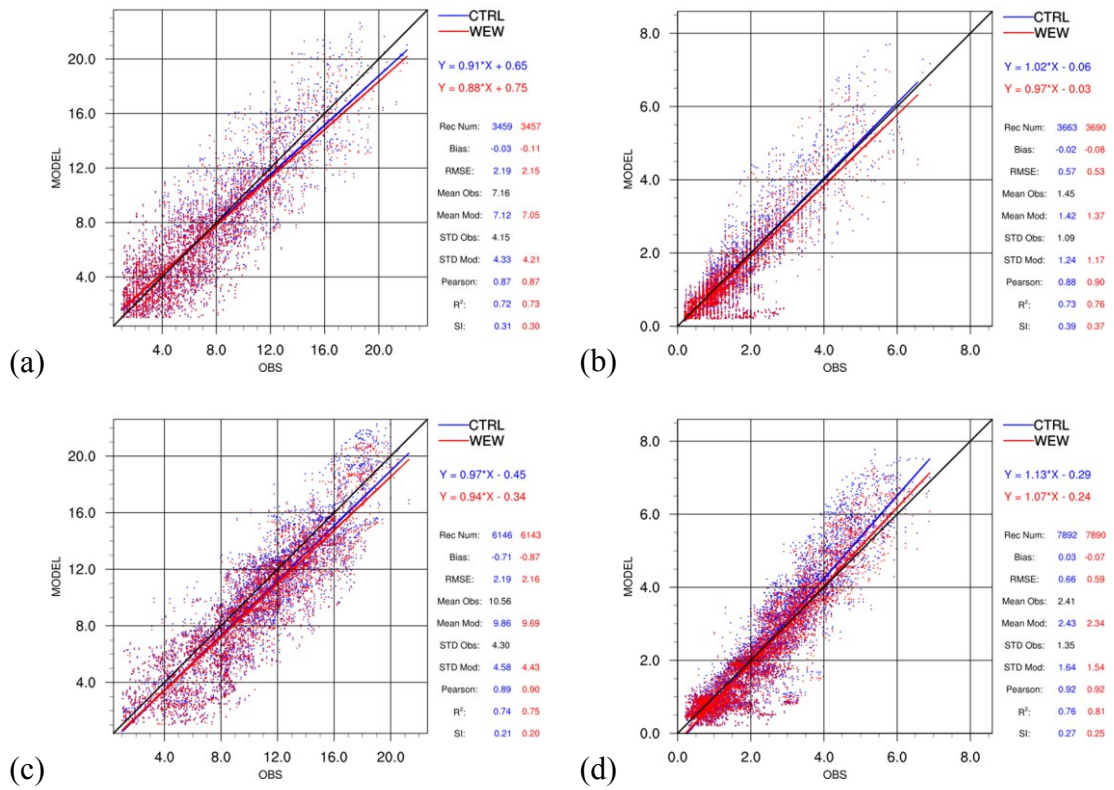
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3 Figure 11. Panel of the horizontal distribution for the (a) wind speed, (b) SWH and
4 their differences between WEW and CTRL experiments for the (c) wind speed and
5 (d) SWH for January 6, 2012 at 18 UTC.

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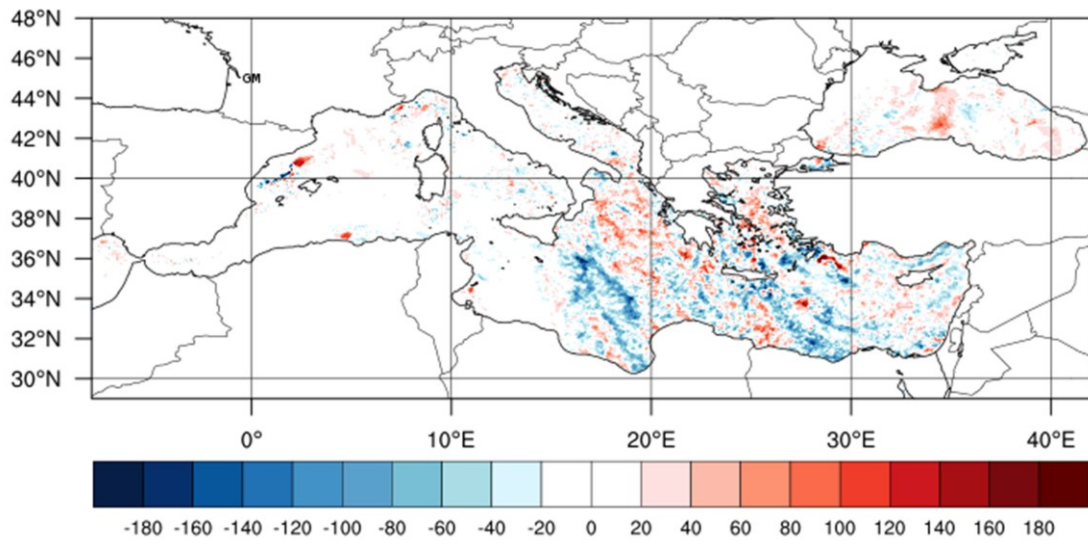
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2 Figure 12. Scatter plots of the near surface wind speed exceeding 1 ms⁻¹ (a and c) and
3 the significant wave height exceeding 0.2 m (b and d) against the network of the
4 Mediterranean buoys (a and b) and the remote sensed retrievals (c and d) for the
5 period 4-11 January 2012. Y-axis presents the model-estimated values and X-axis the
6 buoys observations (a and b) and the satellite estimations (c and d). CTRL and WEW
7 evaluation results are shown in blue and red colors respectively.

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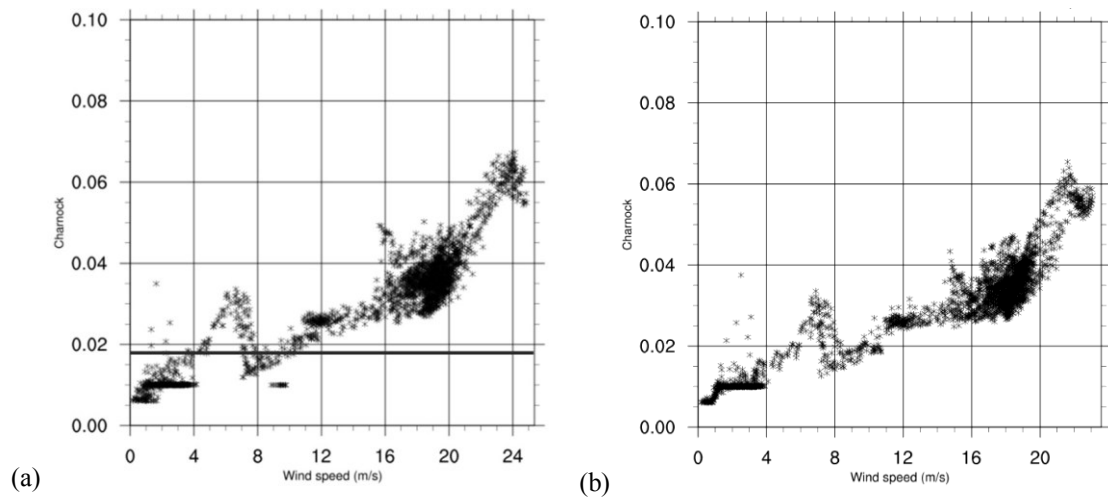
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4 Figure 13. Spatial distribution of the averaged PBL height (in m) difference (WEW-
5 CTRL) for the period 6-7 January 2012.

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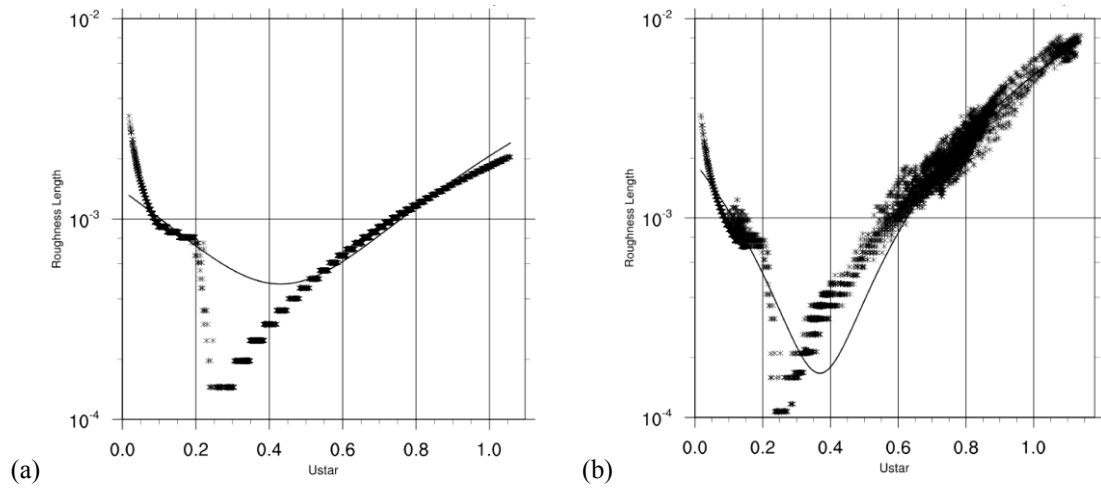
3 Figure 14. Charnock coefficient dependence to the wind speed in (a) offline coupled
4 simulations. The thick solid line indicates the constant Charnock value (0.018) in the
5 MYJ surface layer parameterization scheme. (b) WEW simulations. **The diagrams are
6 consisted of selected sea points with severe winds during the period 4-11 January
7 2012.**

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3 Figure 15. Roughness length (m) dependence to the friction velocity (ms⁻¹) for (a) the
4 CTRL and (b) WEW experiments. The diagrams are consisted of selected sea points
5 with severe winds during the period 4-11 January 2012. The solid lines stand for the
6 polynomial curve fitting to the data.

7