

1 Photolysis rates in correlated overlapping cloud fields:

2 Cloud-J 7.3c

3

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7

8 **Abstract**

9 A A new approach for modeling photolysis rates (J values) in atmospheres with fractional
10 cloud cover has been developed and is implemented as Cloud-J – a multi-scattering eight-
11 stream radiative transfer model for solar radiation based on Fast-J. Using observations of the
12 vertical correlation of cloud layers, Cloud-J 7.3c provides a practical and accurate method for
13 modeling atmospheric chemistry. The combination of the new maximum-correlated cloud
14 groups with the integration over all cloud combinations by four quadrature atmospheres
15 produces mean J values in an atmospheric column with root-mean-square errors of 4% or less
16 compared with 10–20% errors using simpler approximations. Cloud-J is practical for
17 chemistry-climate models, requiring only an average of 2.8 Fast-J calls per atmosphere, vs.
18 hundreds of calls with the correlated cloud groups, or 1 call with the simplest cloud
19 approximations. Another improvement in modeling J values, the treatment of volatile organic
20 compounds with pressure-dependent cross sections is also incorporated into Cloud-J.

21

22

1 Introduction

2 Photolysis, the dissociation of molecules upon absorbing sunlight, drives atmospheric
3 chemistry and controls the composition of the air we breathe. Photolysis rates are governed by
4 the intensity and spectral distribution of sunlight, which is altered by scattering and absorption
5 processes within the atmosphere. Clouds, aerosols, and gases control these processes; but
6 ambiguity in the representation of clouds in atmospheric models is currently the largest source
7 of uncertainty in photolysis rates. This paper presents a new, pragmatic approach for
8 representing the overlap of clouds derived from observations and cloud models, and then
9 provides several practical approximations with marginal computational costs that can be
10 readily incorporated in atmospheric chemistry models. This computer code is a major
11 expansion of Fast-J (Wild et al., 2000; Bian and Prather, 2002; Neu et al., 2007) and is
12 presented here as Cloud-J version 7.3c. Cloud-J contains Fast-J and thus continues that
13 numbering sequence, for which Fast-J 7.2 was the last released version. Fast-J has gone
14 through several variants: Fast-J began with 7 bands, full scattering, for the troposphere; Fast-
15 J2 added 11 bands, absorption only, for the stratosphere; Fast-JX applied full scattering to all
16 18 bands. Fast-J is used here throughout, although some recent code versions use the JX
17 notation.

18 Clouds can increase photolysis rates through scattered sunlight, but they can greatly reduce
19 them by shadowing. Modeling the scattering by cloud layers in a column atmosphere and
20 resulting photolysis rates is practical, as in Fast-J, if the layers are horizontally uniform across
21 the modeled air parcel (defined typically as a rectilinear box bounded by latitude, longitude
22 and pressure surfaces). Clouds layers, however, have horizontal scales of a few kilometers
23 (Slobodda et al., 2015), and thus are represented in global and regional models as fractional
24 coverage in each parcel. In calculating the average photolysis or heating rates through the
25 column atmosphere, one must know how the cloud fractions overlap. Early modeling assumed
26 that model layers consisted of maximally overlapped groups (MAX) that were randomly
27 overlapped relative to one another (MAX-RAN) (Briegleb, 1992; Feng et al., 2004). A more
28 accurate description of cloud overlap is that clouds are highly correlated (i.e., maximally
29 overlapped) when they are vertically near each other, but they become randomly overlapped
30 when separated by greater distances. The cloud decorrelation length is the vertical distance
31 over which the overlap e-folds to random. From a range of observations and cloud models, we

1 estimate a cloud decorrelation length increasing from 1.5 km for the boundary layer to 3 km
2 in in the upper troposphere (Pincus et al., 2005; Naud and DelGenio, 2006; Kato et al., 2010;
3 Oreopoulos et al., 2012).

4 A practical application of this cloud overlap information, merging maximally overlapped
5 groups that are correlated with each other (MAX-COR), is defined in Sect. 2, where the
6 impact of cloud overlap models on photolysis rates (J values) is also shown. Cloud overlap
7 models generate statistics that lead to a large number of weighted independent column
8 atmospheres (ICAs), where the number is too large to be used directly to calculate photolysis
9 or heating rates in global models. Section 3 looks at the simplified cloud models and the
10 approaches to approximate the sum over ICAs, examining their errors. Another recent
11 development in modeling photolysis rates is the treatment of volatile organic compounds with
12 pressure-dependent cross sections, presented in Sect. 4. Recommendations for the cloud-
13 overlap model and the ICA-approximation method are discussed in Sect. 5.

14

15 **1 Overlap models for fractionally cloudy atmospheres**

16 Typically, meteorological forecasts or climate model data used in atmospheric chemistry
17 models report fractionally cloudy atmospheres (FCAs) in each grid-square. Computation of
18 the photolysis or heating rates in an FCA requires knowledge of how the clouds in each layer
19 overlap. The calculation of J values in most atmospheric chemistry models today involves
20 solving the radiative transfer equations in a plane-parallel atmosphere where the vertical
21 layers can be highly inhomogeneous but the horizontal planes are uniform (Stamnes et al.,
22 1988; Wild et al., 2000; Tie et al., 2003). Thus, the only workable method (other than 3-D
23 radiative transfer) is to represent the FCA by a number of independent column atmospheres
24 (ICAs) where each ICA is either 100% cloudy or clear in each layer. The fractional cloud
25 overlap model determines the layer-structure, weighting, and number of ICAs. Other simple
26 cloud models approximate overlap by: (i) ignoring clouds entirely (clear sky), (ii) averaging
27 the cloud fraction, f , over each layer, conserving total cloud water (average clouds); and (iii)
28 decreasing the cloud fraction and cloud water in a layer by using a reduced cloud fraction, $f^{3/2}$
29 followed by averaging across the layer (Briegleb, 1992). These methods are compared with

1 cloud overlap models in Sect. 3. Here, we focus on how the ICAs differ across cloud overlap
2 models.

3

4 **1.1 Random overlap (RAN)**

5 The ways in which fractionally cloudy layers can overlap is shown schematically in Fig. 1.
6 One assumption is random overlap (RAN). In this case the likelihood (fractional weight, w) of
7 having the cloud in layer L1 fall below the cloud in layer L2 is random and hence equals f^{L1} .
8 This particular pairing – cloud below cloud – becomes ICA #1. Superscripts in the equations
9 below refer to atmospheric layers. The likelihood for the clear layer under the cloudy layer is
10 by default the complement.

$$11 \quad w^{L1}(\#1) = f^{L1} \quad (1)$$

$$12 \quad w^{L1}(\#2) = 1 - f^{L1} \quad (2)$$

13 The likelihood of the cloudy layer in L2 above is

$$14 \quad w^{L2}(\#1) = w^{L2}(\#2) = f^{L2} \quad (3)$$

15 The total weight W for each ICA #1 and #2 is then the product of w^{L1} and w^{L2} .

$$16 \quad W^{L1-L2}(\#1) = w^{L1}(\#1) w^{L2}(\#1) = f^{L1} f^{L2} = 0.15 \times 0.20 = 3\% \text{ (see Fig. 1)} \quad (4)$$

$$17 \quad W^{L1-L2}(\#2) = w^{L1}(\#2) w^{L2}(\#2) = (1 - f^{L1}) f^{L2} = 0.85 \times 0.20 = 17\% \quad (5)$$

18 Similar rules apply to ICAs #3 and #4,

$$19 \quad w^{L1}(\#3) = f^{L1} \quad \text{and} \quad w^{L2}(\#3) = 1 - f^{L2} \quad (6)$$

$$20 \quad w^{L1}(\#4) = 1 - f^{L1} \quad \text{and} \quad w^{L2}(\#4) = 1 - f^{L2} \quad (7)$$

21 and thus

$$22 \quad W^{L1-L2}(\#3) = w^{L1}(\#3) w^{L2}(\#3) = f^{L1} (1 - f^{L2}) = 0.15 \times 0.80 = 12\% \text{ (see Fig. 1)} \quad (8)$$

$$1 \quad W^{L1-L2}(\#4) = w^{L1}(\#4) w^{L2}(\#4) = (1 - f^{L1}) (1 - f^{L2}) = 0.85 \times 0.80 = 68\% \quad (9)$$

2 ICAs #1 and #3 are tagged as cloudy in L1, and ICAs #2 and #4 are tagged as clear in L1. The
3 sum of cloudy fractions in L1 must be conserved: $3\% + 12\% = 15\% = f^{L1}$. One of the
4 problems in implementing a full RAN model is that the number of ICAs scales as 2^{NL} , where
5 NL is the number of cloudy layers in the RAN group.

6

7 **1.2 Correlated overlap (COR)**

8 When correlated, the likelihood of a cloudy layer lying under a cloud above is greater than
9 random, $w^{L1}(\#1) > f^{L1}$, by a factor $g^{L1} > 1$. The cloud correlation factor cc ranges from 0
10 (random) to 1 (maximal overlap), and g^{L1} is the interpolating function linear in cc between
11 random and maximal overlap.

$$12 \quad g^{L1} = 1 + cc (1 / f^{L2} - 1), \text{ subject to } g^{L1} \leq 1/f^{L1} \text{ and } g^{L1} \leq 1/f^{L2} \quad (10)$$

13 Hence for $cc > 0$ we have increased likelihood of the cloud in L1 falling underneath the cloud
14 in L2. For the example in Fig. 1, $cc = 0.5$ and $g^{L1} = 3$.

$$15 \quad w^{L1}(\#1) = g^{L1} f^{L1} = 3 \times 0.15 = 45\% \quad (11)$$

$$16 \quad w^{L1}(\#2) = 1 - g^{L1} f^{L1} = 1 - 0.45 = 55\% \quad (12)$$

17 The likelihood of clouds in L1 falling below the clear section in L2 is reduced and is
18 calculated from the requirement that the sum of cloudy fractions in L1 is still f^{L1} .

$$19 \quad w^{L1}(\#3) = f^{L1} (1 - g^{L1} f^{L2}) / (1 - f^{L2}) = 0.15 \times (1 - 3 \times 0.20) / 0.80 = 7.5\% \quad (13)$$

20 By complement, the weighting of clear sky in layer L1 under clear sky in layer L2 is

$$21 \quad w^{L1}(\#4) = 1 - w^{L1}(\#3) = 1 - 0.075 = 92.5\% \quad (14)$$

22 Note that if $cc = 0$, or $f^{L2} = 1$, or $f^{L1} = 1$, then $g^{L1} = 1$ and COR defaults to RAN. The two
23 additional limits on g^{L1} in Eq. (10) are required to keep $w^{L1}(\#2)$ and $w^{L1}(\#3)$ positive. The w
24 ^{L2} weights do not include a g factor.

1 $w^{L2}(\#1) = w^{L2}(\#2) = f^{L2}$ (15)

2 $w^{L2}(\#3) = w^{L2}(\#4) = (1 - f^{L2})$ (16)

3 The combined ICA weights are

4 $W^{L1-L2}(\#1) = w^{L1}(\#1) w^{L2}(\#1) = g^{L1} f^{L1} f^{L2} = 3 \times 0.15 \times 0.20 = 9\%$ (17)

5 $W^{L1-L2}(\#2) = w^{L1}(\#2) w^{L2}(\#2) = (1 - g^{L1} f^{L1}) f^{L2} = 0.55 \times 0.20 = 11\%$ (18)

6 $W^{L1-L2}(\#3) = w^{L1}(\#3) w^{L2}(\#3) = f^{L1} (1 - g^{L1} f^{L2}) = 0.15 \times 0.40 = 6\%$ (19)

7 $W^{L1-L2}(\#4) = w^{L1}(\#4) w^{L2}(\#4) = 1 - f^{L2} - f^{L1} + g^{L1} f^{L1} f^{L2} = 1 - 0.20 - 0.06 = 74\%$ (20)

8 As in RAN, ICAs #1 and #3 are tagged as cloudy in layer L1; and ICAs #2 and #4 are tagged
 9 as clear in layer L1; and the sum of cloudy fractions is conserved ($9\% + 6\% = 15\% = f^{L1}$),
 10 but with different weightings. The COR model also has ICAs scaling as 2^{NL} .

11

12 **1.3 Maximal overlap (MAX)**

13 For maximal overlap of clouds (MAX) as in Fig. 1, the two layers L1 and L2 form a MAX
 14 group G1 consisting of 1 clear-sky column (80% fractional coverage) and 2 cloudy columns –
 15 one with clouds in both layers ($f_1^{G1} = 15\%$) and one with a cloud only in the upper layer L2
 16 ($f_2^{G1} = 5\%$). For a MAX group, there can be several ICAs with cloud fractions, each with a
 17 unique combination of cloudy layers. The clear-sky column does not occur if any of the MAX
 18 layers has a cloud fraction of 100%. For continuous cloud fractions, the number of ICAs
 19 equals the number of unique cloud fractions present (plus 1 if clear sky present).

20 A MAX group is characterized by the number of cloudy columns (N1) consisting of
 21 combinations of cloudy or clear sky in different layers of the group and having a fractional
 22 area equal to $f_1^{G1}, f_2^{G1}, f_3^{G1}, \dots, f_{N1}^{G1}$. The total cloudy fraction is $F^{G1} = f_1^{G1} + f_2^{G1} + f_3^{G1} + \dots$
 23 $+ f_{N1}^{G1} \leq 1$, with the (possible) clear-sky column fraction of $1 - F^{G1}$, giving N1+1 ICAs for
 24 that group. As in the earlier Fast-J work (Neu et al., 2007), the cloud fractions in Cloud-J are
 25 quantized to limit the number of ICAs in a MAX group. The examples here use 10 bins, and

1 hence cloud fractions are limited to 0, 10, 20, 30, ... 100%. With this binning, the in-cloud
 2 water content is scaled to conserve the cloud water content in each layer. This approximation
 3 is now resolution independent in terms of the number of model layers and limits each MAX
 4 group to 10 ICAs.

5

6 **1.4 Maximal Groups with Correlated overlap (MAX-COR)**

7 The MAX-COR model generates ICAs from upper and lower layers that are MAX groups.
 8 For a general approach, we assume that the upper group G2 consists of N2 cloudy columns
 9 members with fractions $f_1^{G2} + f_2^{G2} + f_3^{G2} + \dots + f_{N2}^{G2} = F^{G2}$ and one clear-sky column
 10 member of fraction $1 - F^{G2}$. Similarly, the lower group G1 has N1+1 ICAs (see Section 2.3).
 11 Each of the N1+1 ICAs in group G1 are paired with the N2+1 ICAs above in group G2. The
 12 total number of ICAs combining both groups is the product (N1+1)(N2+1), assuming that
 13 there are clear-sky members in both groups. The ICA sequence defining each unique pairing
 14 (J1, J2) is then

$$15 \quad (1,1), (2,1), (3,1), \dots (N1+1,1), (1,2), (2,2), (3,2), \dots (N1+1, N2+1) \quad (21)$$

16 such that ICA #M is composed of members

$$17 \quad J1 = (M - 1) \text{ modulo } (N1+1) + 1 \quad (22)$$

$$18 \quad J2 = \text{integer}((M - 1)/(N1+1)) \text{ modulo } (N2+1) + 1 \quad (23)$$

19 The cloud correlation factor of group G1 with group G2 is the same for all cloudy ICAs and is
 20 derived from the total cloudy fractions F^{G1} and F^{G2} .

$$21 \quad g^{G1} = 1 + cc (1 / F^{L2} - 1), \text{ subject to } g^{G1} \leq 1/F^{G1} \text{ and } g^{G1} \leq 1/F^{G2} \quad (24)$$

22 For convenience denote $J1 \leq N1$ as cloudy^{G1}, $J1 = N1+1$ as clear^{G1}, $J2 \leq N2$ as cloudy^{G2}, and
 23 $J2 = N2+1$ as clear^{G2}. Then the weightings for the G1 members are

$$24 \quad w^{G1} (\text{cloudy}^{G1}, \text{cloudy}^{G2}) = g^{G1} f_{J1}^{G1} \quad (25)$$

$$25 \quad w^{G1} (\text{clear}^{G1}, \text{cloudy}^{G2}) = 1 - g^{G1} (f_1^{G1} + f_2^{G1} + f_3^{G1} + \dots + f_{N1}^{G1}) = 1 - g^{G1} F^{G1} \quad (26)$$

1 By conserving each cloudy group member's fractional area in G1, the weights under G2 clear
 2 sky are

$$3 \quad w^{G1}(\text{cloudy}^{G1}, \text{clear}^{G2}) = f_{j1}^{G1} (1 - g^{G1} F^{G2}) / (1 - F^{G2}) \quad (27)$$

$$4 \quad w^{G1}(\text{clear}^{G1}, \text{clear}^{G2}) = 1 - F^{G1} (1 - g^{G1} F^{G2}) / (1 - F^{G2}) \quad (28)$$

5 All of these formulae work also if $F^{G1} > F^{G2}$ and if $F^{G1} = 0$ or 1 (same for F^{G2}). A special
 6 case of MAX-COR is MAX-RAN when $cc = 0$. With the cloud fractions binned into 10
 7 intervals, then the number of ICAs for MAX-COR or MAX-RAN models scales as 10^{NG} ,
 8 where NG is the number of MAX groups.

9

10 **1.5 J-value errors**

11 Our recommended cloud overlap model uses the information on vertical correlations (Pincus
 12 et al., 2005; Naud and DelGenio, 2006; Kato et al., 2010; Oreopoulos et al., 2012), which
 13 shows cloud decorrelation lengths of order 1.5 km in the lower atmosphere increasing to 3 km
 14 or more in the upper troposphere. Since a true COR model scales as 2^{NL} and becomes rapidly
 15 impractical for high-resolution models, we define vertical groups of cloud layers globally
 16 according to the decorrelation lengths: 0–1.5 km altitude, 1.5–3.5, 3.5–6, 6–9, 9–13, and >13
 17 km. We assume that the cloud layers within a decorrelation length are highly correlated with
 18 one another and thus form a MAX group. When such MAX groups are adjacent they have a
 19 mean separation of one decorrelation length, and we choose a cloud correlation factor $cc =$
 20 0.33, similar to one e-fold. When there is a clear-sky gap between a pair of G6 layers, the
 21 MAX groups are separated by more than one decorrelation length; thus we reduce the factor
 22 cc with successive multiples (i.e., with 2 missing G6 MAX groups between two cloudy layers,
 23 the effective $cc = 0.33^3 = 0.036$). This model is denoted G6/.33. Two other G6 models were
 24 tested: $cc = 0.00$ corresponds to randomly overlapped adjacent groups (MAX-RAN, G6/.00);
 25 and $cc = 0.99$ is almost maximally overlapped (MAX, G6/.99).

26 In looking at how this model aligned the clouds for realistic FCAs, we found that extensive
 27 cirrus fractions in the uppermost layers prevented the expected overlap of small-fraction
 28 cumulus below. Thus a 7th MAX group is added if there was a cirrus shield (defined from top

1 down as adjacent ice-only clouds with $f > 0.5$). Because of the cloud-fraction binning into
2 10% intervals, the number of ICAs is bounded by 5×10^6 (including the cirrus shield). This
3 limit is resolution independent and was never reached in any FCAs examined here (highest
4 number of ICAs for one FCA was 3500). The major computational cost comes with the Fast-J
5 computation, and the methods for approximating the average of J values over all ICAs (Sect.
6 3) use at most 4 Fast-J calculations no matter how many ICAs.

7 Two other cloud overlap models tested here are the MAX-RAN groupings G0 and G3 (Feng
8 et al., 2004; Neu et al., 2007). Model G0 assumes that all vertically adjacent cloudy layers are
9 a MAX group (maximally overlapped), and all such groups separated by a clear layer are
10 RAN overlapped. This model seems logical but has difficulty finding a clear layer when the
11 FCA has been averaged over several hours or taken from a parameterized cloud-resolving
12 model. In our tests, using meteorological data with NL=36, the maximum number of G0 ICAs
13 was 375. Model G3 has at most 3 MAX-RAN groups demarcated by atmospheric regimes: a
14 fixed altitude (1.5 km, stratus top) and temperature (the liquid-to-ice cloud transition). The
15 maximum possible number of ICAs per FCA for G3 is 10^3 , and in our tests we found 288.

16 Our recommended cloud overlap model is G6/.33 since it is based on the observed-modeled
17 cloud decorrelation lengths. For a given FCA, we treat the J values calculated by summing
18 Fast-J over all the ICAs generated by G6/.33 as the correct value. We calculate errors for the
19 other cloud-overlap models (here) or various ICA-approximation models using the G6/.33
20 model (Sect. 3). The errors in photolysis rates are calculated for different cloud overlap
21 models by generating all the ICAs, using Fast-J to calculate J values, and computing the
22 weighted sum of J 's. This study focuses on two J values that are critical in tropospheric
23 chemistry and emphasize different wavelength ranges from near 300 nm, where O_3 absorption
24 and molecular scattering are important, to 600 nm , where clouds are the predominant
25 factor. $J\text{-}O^1D$ refers to the photolysis rate of $O_3 + h\nu \rightarrow O_2 + O(^1D)$; and $J\text{-}NO_3$ includes both
26 channels of the rate of $NO_3 + h\nu \rightarrow NO + O_2$ and $NO_2 + O$. We tested other key J values like
27 those of HNO_3 and NO_2 , but found that their errors fell between the first two.

28 The J value tests are summarized in Table 1. We use a high-resolution snapshot from the
29 European Center for Medium-range Weather Forecasts, similar to what is used (at lower
30 resolution) in the UC Irvine and University of Oslo chemistry-transport models (Sovde et al.,
31 2012; Hsu and Prather, 2014). The 640 FCAs are a 3 h average of a single longitudinal belt

1 just above the equator (T319L60 Cycle 36) and have clouds only in the lowermost 36 layers.
2 Profiles of temperature and ozone are taken from tropical mean observations; the Rayleigh-
3 scattering optical depth at 600 nm is about 0.12; and a mix of aerosol layers has total optical
4 depth of 0.23. J value errors are calculated separately for each FCA and then averaged. The
5 number of ICAs per FCA averages 169 for model G6, 21 for model G3 and 19 for model G0;
6 see Fig. 2 for the probability distribution of ICA numbers. Errors are pressure-weighted and
7 include the average error over 0–1 km altitude, the root-mean-square (rms) error over 0–1 km,
8 and the full tropospheric rms error (0–16 km). The average 0–1 km differences across the
9 models is small ($< 2\%$), but the rms 0–1 and 0–16 km differences are large, indicating that
10 640 different FCAs produce canceling errors in the mean. The rms errors for G0 and G3 are
11 worrisome, more than 15% in the boundary layer and 5 to 11% in the full troposphere. The
12 G6 errors are almost linear with the cc value. The G6/.99 with highly correlated overlap is
13 similar to G3 which has MAX overlap throughout most of the atmosphere. The G6/.00 with
14 random overlap is the closest to the correlated model G6/0.33.

15

16 **2 Approximating the exact sum over ICAs**

17 Quadrature column atmospheres (QCAs) have been defined previously (Neu et al., 2007) as 4
18 representative ICA-like atmospheres that represent 4 domains of ICAs with total cloud optical
19 depths at 600 nm of 0 to 0.5 (clear sky), 0.5 to 4 (cirrus-like), 4 to 30 (stratus-like), and >30
20 (cumulus-like). The original model sorted the ICA optical depths to get the weightings of
21 each QCA and then picked the ICA that occurred at the mid-point in terms of fractional area
22 (MdQCA). Thus there can be up to 4 separate calls to Fast-J for each of the QCAs, but on
23 average there are 2.8 QCAs per FCA because not all four of the QCA ranges of cloud optical
24 depths (0–0.5, 0.5–5, 5–30, >30) are present in each FCA. Here we extend that approach with
25 three new methods for approximating the integral over ICAs: define each QCA from the
26 average ICAs in its domain (AvQCA); use the averaged direct solar beam from all ICAs to
27 derive an effective scattering optical depth from clouds in each layer (AvDir); and selecting 3
28 random ICAs based on their weights (Ran-3, with comparable computational costs to either
29 QCA).

30 The AvQCA model comes easily from the MdQCA formalism, but all ICAs in each of the 4
31 total optical depth domains are used to calculate the average cloud-water content in each

1 QCA. The AvDir model calculates the weighted direct solar beam from each ICA, where only
2 600 nm cloud extinction is included. In this case it was found that an equivalent isotropic
3 extinction is needed as in two-stream methods (Joseph et al., 1976), and we scaled the optical
4 depth of each cloud layer by a factor: $1 - 1.1 P_1 / 3$, with a minimum value of 0.04. P_1 (3
5 times the asymmetry factor) is the second term in the Legendre expansion of the scattering
6 phase function for the cloud in that layer. The derived optical depth in each layer is calculated
7 from the reduction in direct beam across the layer (Beer-Lambert Law) and put into the
8 single Fast-J calculation with the original cloud properties of that layer, not the equivalent
9 isotropic properties.

10 In addition to these ICA approximations, we also compare the G6/.33 exact sum over ICAs
11 with three simple cloud models often use in chemistry models that do not generate ICAs:
12 clear sky (CISky); averaged cloud over each layer (AvCld); and cloud fraction to the 3/2 ($f^{3/2}$,
13 CF3/2).

14 A sample of mean and rms errors for the seven approximate methods is given in Table 1. In
15 addition, a tropospheric profile of the mean bias in J values is shown in Fig. 3. As expected
16 the CISky and AvCld methods show opposite biases and large RMS errors. The CF3/2
17 method produces reasonable averages, but still has rms errors of 10% or more. The AvDir
18 method does not perform as well as expected and looks only slightly better than CF3/2;
19 however, the profile of mean error (Fig. 3) is preferable to that of CF3/2. Both QCA methods
20 performed excellently and deliver rms errors less than 5% with mean biases in the boundary
21 layer of order $\pm 1\%$. The new AvQCA method has smaller rms errors, but the original
22 MdQCA method has a slightly better profile for the mean error. Ran-3 is computationally
23 comparable to the QCAs; it has a reasonable mean bias as expected given the number of
24 samples (3x640), but a much worse rms error, typically $>10\%$.

25

26 **3 Cloud-J and Volatile Organic Compounds**

27 Volatile organic compounds (VOCs) cover a wide class of gaseous species containing C, H, O
28 and sometimes N or S. They play a major role in the chemical reactivity of the troposphere,
29 including production and loss of O_3 and loss of CH_4 (e.g., Jacob et al., 1993; Horowitz et al.,
30 1998; Ito et al., 2009; Emmons et al., 2010), plus the formation of secondary organic aerosols

1 (SOA, e.g., Ito et al., 2007; Fu et al., 2008; Galloway et al., 2011). For most VOCs (and
2 H₂O₂) photolysis is the dominant loss, see Fig. 4. Daily photolysis rates (loss frequencies)
3 range from 0.03 to 20 per day and some vary greatly with altitude. For 9 of the 14 species
4 shown in Fig. 4, the photolysis rates are larger or comparable to the loss rates for reaction
5 with OH (given in the legend). Thus, accurate calculation of their J values is important in
6 atmospheric chemistry models.

7 VOCs present a particular problem for any photolysis code that averages over wavelength
8 intervals. For most chemical species, cross sections including quantum yields are
9 parameterized as a function of wavelength (ν) and temperature (T) (e.g., Atkinson et al., 2008;
10 Sander et al., 2011). In this case, Fast-J calculates solar-flux-weighted, average cross sections
11 for each wavelength bin (Wild et al., 2000; Bian and Prather, 2002). These tables are created
12 for a set of fixed T s, and then the cross section used for each bin in each atmospheric layer is
13 interpolated in T . Many VOCs have complex, pressure-dependent quantum yields (e.g., Blitz
14 et al., 2006) that follow the Stern–Volmer formulation where photolysis cross sections (for
15 dissociation) are a function of wavelength, temperature, and pressure (P), typically of the
16 form $A(T,\nu) / (1 + B(T,\nu) P)$, where A and B can be rational polynomial functions of T and ν
17 (see Sander et al., 2011). For most VOCs the pressure dependence changes across the
18 wavelengths within a model bin, and thus the T dependence averaged cross sections has
19 different values at different P , but cannot be simply post-interpolated as a function of P
20 because of the wavelength dependence of B . A two-dimensional set of cross sections for each
21 wavelength bin, interpolated as a function of T and P , could be developed but would add to
22 the complexity and cost of Fast-J.

23 Recognizing that VOCs are predominantly tropospheric and that T and P are highly correlated
24 in the troposphere, Cloud-J, and the new Fast-J that sits within it, have devised an alternative
25 method of interpolating the cross sections for each atmospheric layer: T is the traditional
26 method used for most species; but P is used for VOCs with highly pressure-dependent
27 quantum yields. For P interpolation, the cross sections are averaged over wavelength at 3
28 points along a typical tropospheric lapse rate: (0 km, 295 K, 999 hPa); (5 km, 272 K, 566
29 hPa); and (13 km, 220 K, 177 hPa). Currently species with P interpolation include:
30 acetaldehyde, methylvinyl ketone, methylethyl ketone, glyoxal, methyl glyoxal, and one
31 branch of acetone photolysis. Fast-J does not extrapolate beyond its supplied tables, and thus
32 currently it applies 177 hPa cross sections for these VOCs throughout the stratosphere, but

1 this has minimal impact on stratospheric chemistry. Depending on the available laboratory
2 data, the number of cross-section tables per species in the new Fast-J (either T or P
3 interpolation) can be 1, 2, or 3. Cloud-J, new with version 7.3, includes an updated version of
4 Fast-J version 7.1, whose only change is in the formatting of the input files to allow for more
5 flexible numbering and labeling of species with their cross sections and of the cloud-aerosol
6 scattering tables.

7

8 **4 Discussion and recommendations**

9 We recommend use of the G6/.33 MAX-COR model for cloud overlap with AvQCA to
10 approximate the average photolysis rates over the ICAs. This combination of algorithms best
11 matches the exact solution for average J values at a single time within each FCA. Averaging J
12 values for an air parcel that includes a mix of cloudy and clear air is not the same as averaging
13 the chemical reactivity across cloudy and clear. Nevertheless, for species with photolysis rates
14 that are less than the frequency at which clouds form and air is processed through them (of
15 order 24 day^{-1}), the average J is the relevant quantity for chemistry modeling.

16 A next step would be to model at high-enough resolution so that air parcels are either cloudy
17 or clear. This could resolve the 3-D correlation of clouds at scales of 1–4 km, which will in
18 turn require a 3-D radiative transfer model (Norris et al., 2008; Davis and Marshak, 2010). A
19 more interesting approach that is practical with typical global model resolution is the
20 treatment of inhomogeneous cloud fields as being composed of independently scattering
21 cloudlets (Petty, 2002). This cloudlet approximation could be readily integrated into the
22 plane-parallel framework of Fast-J.

23 The added computational cost with G6/.33+AvQCA occurs with the additional calls to Fast-J,
24 as the MAX-COR model and sorting of ICAs is fast. Computing photolysis rates 2.8 times per
25 atmospheric column instead of once may add to the overall computational burden, but Fast-J
26 is efficient and the costs will be much less than the overall chemistry-solver and tracer-
27 transport codes.

28

1 **Code Availability**

2 The most recent version of Cloud-J and earlier versions of Fast-J can be found at
3 <ftp://128.200.14.8/public/prather/Fast-J/>. Cloud-J 7.3c as described here is included as a zip-
4 file and includes new coding to correct failures in compilation or execution (v7.3b) as well as
5 reducing the cloud correlation factor when there are decorrelation-length gaps between any of
6 the MAX-COR groups (v7.3c). Although with v7.3c some J values changed in the third
7 decimal place, changes in the GMDD figures and tables were undiscernible. Subscribe to the
8 listserv UCI-Fast-J@uci.edu or check the ftp site for updates. Send questions or suggestions
9 for Cloud-J features to the listserv or the author (mprather@uci.edu).

10

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14 for finding the coding inconsistencies/errors, and Cloud-J should now be more reliable across
15 platforms.

16

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9
10

1 Table 1. Models for cloud overlap and approximation of ICAs including errors in J-values.

Cloud overlap models to generate ICAs		ICAs ^a	avg err 0-1 km		rms err 0-1 km		rms err 0-16km	
			J-O ¹ D	J-NO ₃	J-O ¹ D	J-NO ₃	J-O ¹ D	J-NO ₃
G0	MAX-RAN with MAX groups bounded by layers with CF = 0	19	+2%	+2%	21%	17%	6%	11%
G3	3 MAX-RAN groups split at 1 km and at the ice-only cloud level	21	+2%	+2%	15%	15%	5%	7%
G6/.00	6 MAX-COR groups, cc= 0.00	169	-1%	-1%	5%	4%	2%	3%
G6/.33	6 MAX-COR groups, cc= 0.33 ^b	169						
G6/.99	6 MAX-COR groups, cc= 0.99	169	+2%	+1%	11%	8%	4%	7%
Simple cloud models		ICAs						
CISky	clear sky, ignore clouds	1	+14%	+10%	24%	20%	14%	23%
AvCld	average fractional cloud across layer	1	-5%	+1%	11%	11%	8%	15%
CF3/2	increase CF to CF ^{3/2} and average over layer	1	+7%	+11%	10%	15%	5%	8%
ICA approximations		J calls						
AvDir	average direct beam from all ICAs	1	+5%	+11%	6%	13%	3%	7%
MdQCA	Quadrature Column Atmospheres uses mid-point in each QCA	2.8	+1%	0%	4%	4%	4%	5%
AvQCA	QCAs, uses average in each QCA ^b	2.8	-1%	0%	3%	2%	2%	4%
Ran-3	Select 3 ICAs at random	3	+2%	+1%	12%	12%	9%	12%

2 ^a Average number of ICAs for a tropical atmosphere, see Fig. 2.

3 ^b Recommended cloud overlap model and reference model for calculation of errors.

4

5

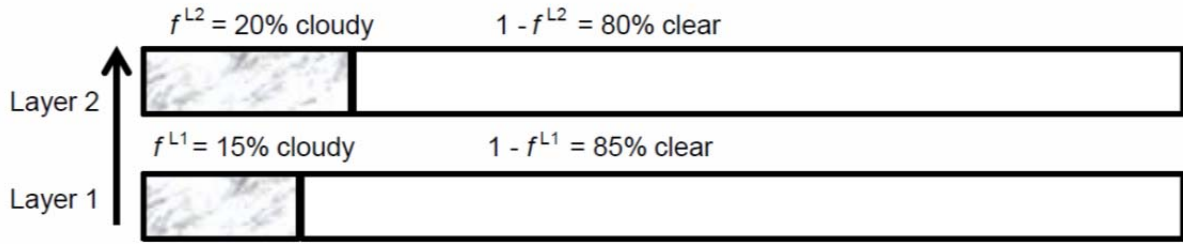
1 Figure 1. Schematic of overlapping fractional-cloud layers. See text.

2 Figure 2. Number of Independent Column Atmospheres (ICAs) generated by three different
3 cloud overlap models (G0, G3, G6) from 640 different tropical fractionally cloudy
4 atmospheres (FCAs) and sorted in order of increasing ICA number. The different cloud
5 correlation factors used in the G6 model do not change the number of ICAs, only their
6 weights. The average number of ICAs per FCA is given in the legend. See text for definition
7 of models.

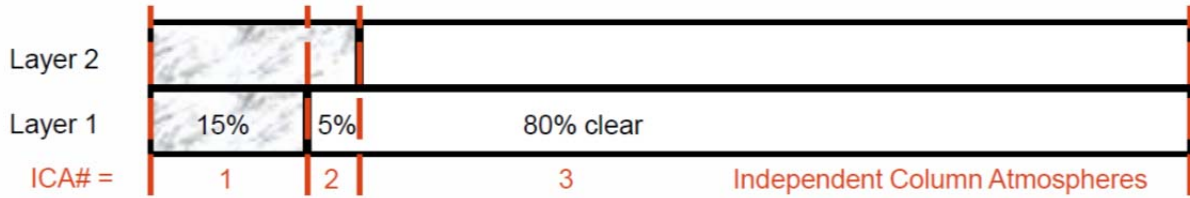
8 Figure 3. Profile of the average bias in J-value approximations relative to the J-value
9 calculated from the weighted average of all ICAs using model G6/.33. Values here are
10 calculated using a solar zenith angle of 13.6~degrees and a surface albedo of 0.10 and
11 averaged over 640 FCAs (108,125 ICAs) derived from the equatorial statistics (all longitudes)
12 of cloud fraction, liquid water content, and ice water content from a snapshot of a T319L60
13 meteorology from the European Centre for Medium-range Weather Forecasts. Three simple
14 cloud methods (dashed lines) do not use any cloud-overlap model, and three approximations
15 for the ICAs (solid lines) use the G6/.33 model described here. The MdQCA ICA
16 approximation was developed in Neu et al. (2007); the AvQCA and AvDir approximations
17 are developed in this paper. The J-O1D refers to the photolysis rate of $O_3 + h\nu \rightarrow O_2 +$
18 $O(^1D)$, with average values of 4 (z=0 km) to 9 (z=16 km) $\times 10^{-5} s^{-1}$; and J-NO3, to all channels
19 of the rate $NO_3 + h\nu \rightarrow$, with average values of 2 to 4 $\times 10^{-1} s^{-1}$. These two J-values emphasize
20 sunlight from 310 nm to 600 nm, respectively, and thus span the typical range of errors in
21 tropospheric photolysis rates.

22 Figure 4. Volatile organic compounds (VOCs) and related species photolysis rates (/day) as a
23 function of altitude (km). The complex structure with altitude is due to a combination of
24 increasing UV-radiation with altitude and Stern-Volmer pressure dependences on quantum
25 yields. Changes in slope occur at the interpolation points, temperature or pressure, of the
26 cross sections. We assume that the noon-time J's (clear-sky, tropical atmosphere,
27 albedo=0.10, SZA=15°) apply for 8 of 24 hours. Equivalent rates for OH loss are shown with
28 the species name in the legend and assume a noontime OH density of $6 \times 10^6 cm^{-3}$. Asterisks
29 denote species for which photolysis loss is greater than or comparable to OH loss. VOC
30 abbreviations are: MGlyxl = methyl glyoxal; Glyxl = glyoxal; PropAld = propionaldehyde;
31 GlyAld = glycol aldehyde; ActAld = acetaldehyde; MEKeto = methylethyl ketone; MeVK =

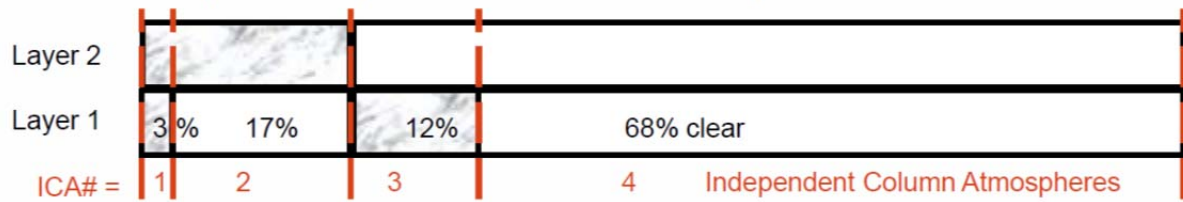
- 1 methylvinyl ketone; MeOOH = CH₃OOH; MeAcr = methacrolein; MeNO₃ = methyl nitrate;
- 2 PAN = peroxyacetyl nitrate.
- 3



MAXimum overlap (connected layers become a MAX-Group)



RANdom overlap (between layers here, or between MAX-Groups)



CORrelated overlap, with $cc = \frac{1}{2}$ (between layers here, or between MAX-Groups)

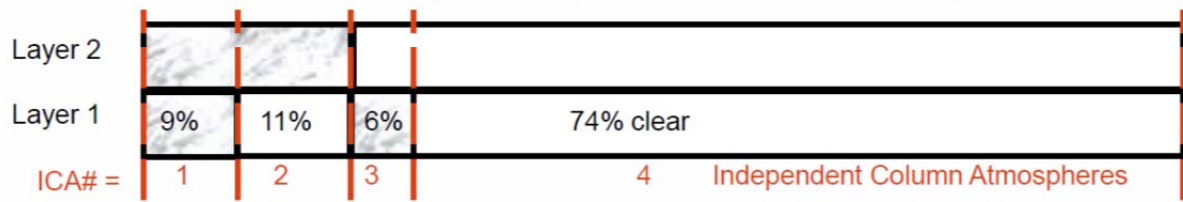


Figure 1. Schematic of overlapping fractional-cloud layers. See text.

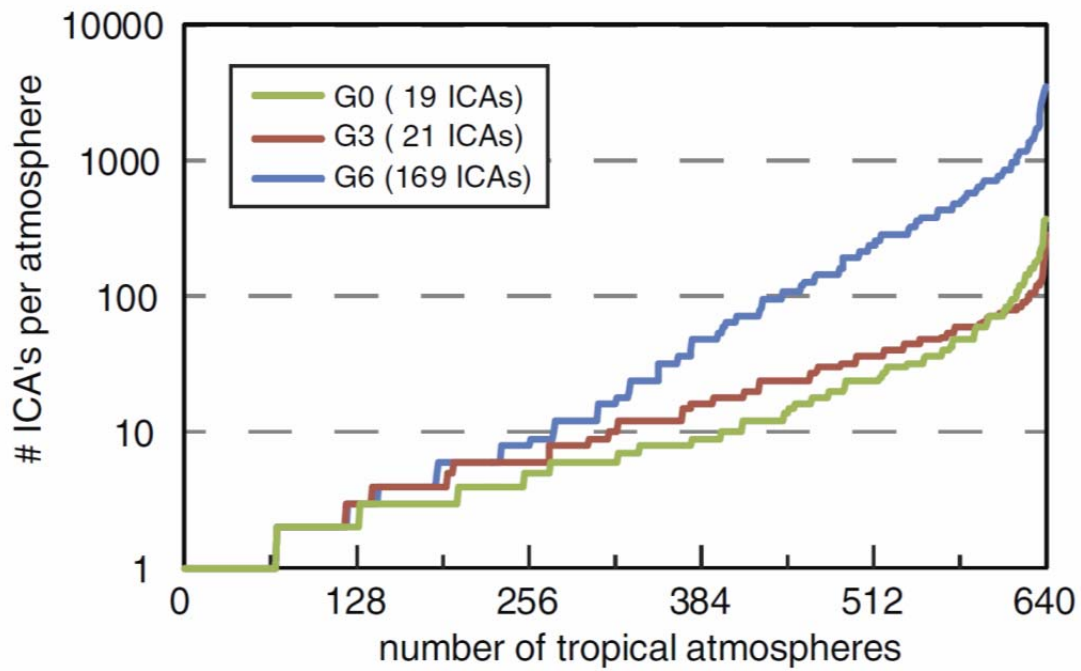


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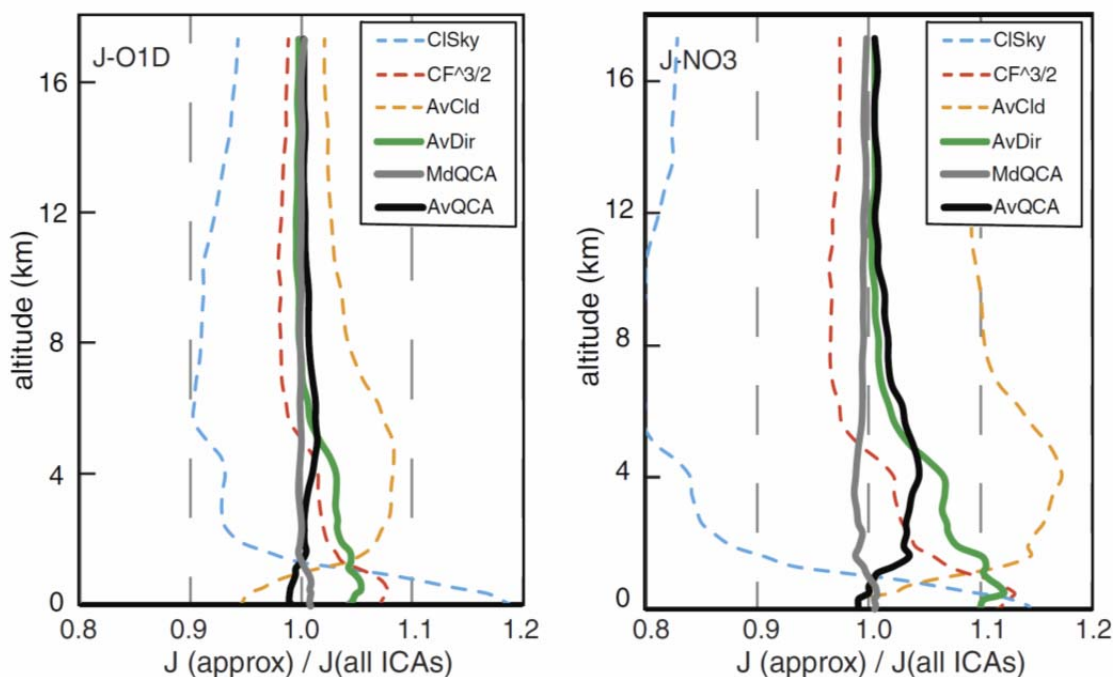


Figure 3. Profile of the average bias in J value approximations relative to the J value calculated from the weighted average of all ICAs using model G6/.33. Values here are calculated using a solar zenith angle of 13.6 degrees and a surface albedo of 0.10 and averaged over 640 FCAs (108,125 ICAs) derived from the equatorial statistics (all longitudes) of cloud fraction, liquid water content, and ice water content from a snapshot of a T319L60 meteorology from the European Centre for Medium-range Weather Forecasts. Three simple cloud methods (dashed lines) do not use any cloud-overlap model, and three approximations for the ICAs (solid lines) use the G6/.33 model described here. The MdQCA ICA approximation was developed in Neu et al. (2007); the AvQCA and AvDir approximations are developed in this paper. J-O1D refers to the photolysis rate of $O_3 + h\nu \rightarrow O_2 + O(^1D)$, with average values of 4 ($z = 0$ km) to 9 ($z = 16$ km) $\times 10^{-5} \text{ s}^{-1}$; and J-NO3, to all channels of the rate of $NO_3 + h\nu \rightarrow$, with average values of 2 to 4 $\times 10^{-1} \text{ s}^{-1}$. These two J values emphasize sunlight from 310 to 600 nm, respectively, and thus span the typical range of errors in tropospheric photolysis rates.

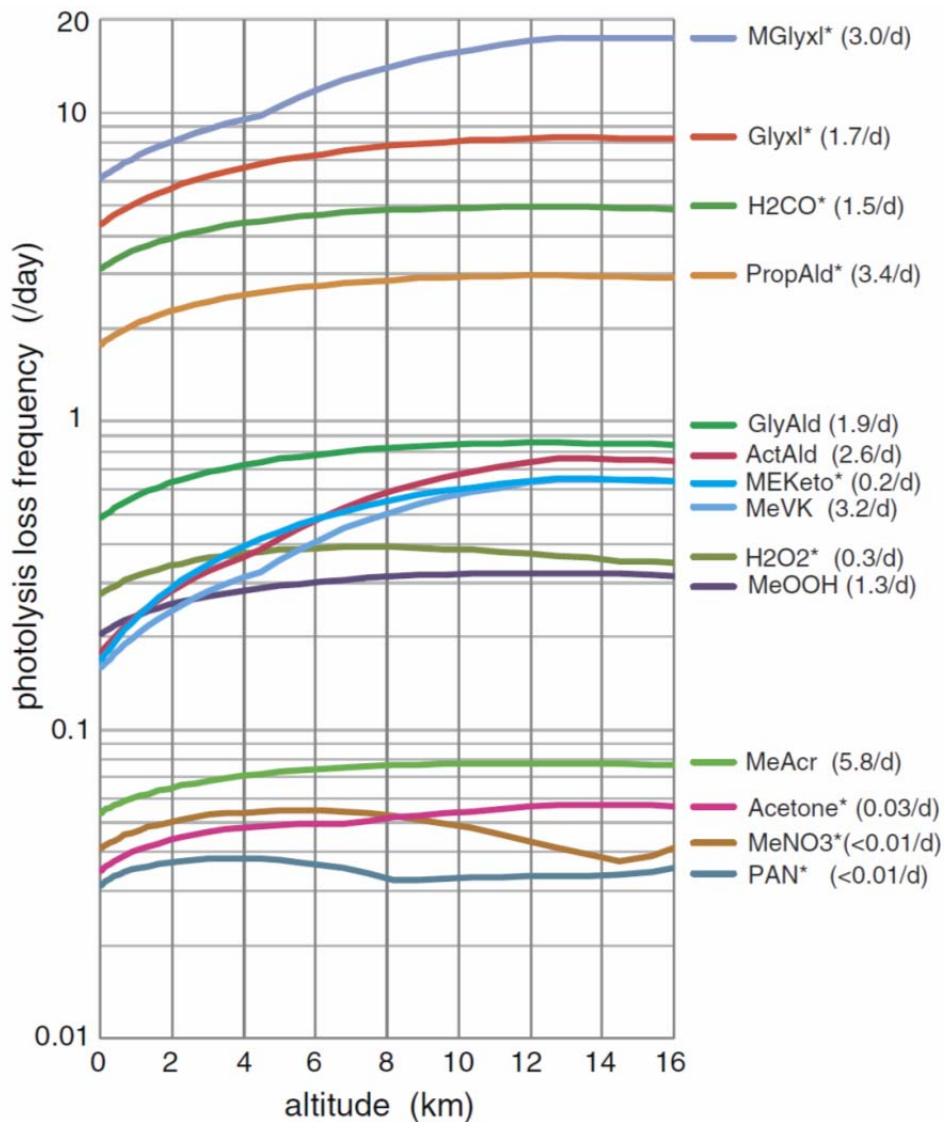


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