Referee 1

First of all, thank you very much to the anonymous referee for his constructive advises. Please find enclosed answer to the comments agreeing with some of them and trying to justify those in which we have a different interpretation.

Q1) Aim of paper: It is not quite clear what the aim of this article is. It seems that it is introducing and describing the use of the software package "S⁴CAST v2.0". But much of the introduction, references and analysis are on predicting Sahel rainfall. I feel that much of this Sahel rainfall discussion is distracting from the main aim of this paper: Introducing a software package for statistical analysis. I think the paper needs to be substantially rewritten to have a clear focus. More space needs to be given on how the software is used.

A1) Thank you very much for your comment. Indeed, it is true that, in the former version, there was an excessive reference to Sahelian rainfall predictability, being always motivated by the fact that this phenomenon was the driving factor for developing the S⁴CAST model, so, in this new version, we have reduced the number of references. Although some references could be found in relation to West African Monsoon (WAM), there were also others related to some other phenomenon influenced by sea surface temperature (SST) worldwide. In the revised version, the introduction is clearly focused on presenting SST as a source of climate predictability of different climate-related variables, stationarity in terms of fluctuations in the co-variability patterns between climate variables along a given time period, state-of-the-art dynamical and statistical models and statistical method used in this work. All previous considerations serve to introduce the essence of a MCA-based statistical model that can be executed under different constraints with the aim of predicting any given variable from the information given by the SST.

According to the referee comments, the introduction has been differently focused, removing excessive mention of the WAM. This section is now clearly structured with appropriate references. First, an overview is given on the predictive ability of the SST and associated impacts. Next, a summary on the different variables influenced by the SST in different regions of the globe is described, explaining in this way its predictor capability. Finally, an overview on both, statistical and dynamical models is done to emphasize the application of statistical methodologies, especially Maximum Covariance Analysis (MCA) as core of the S4CAST model. Finally there is a brief introduction to the different sections of the paper.

We also fully agree with the fact that the case study developed for Sahelian rainfall could be too long and overemphasized, so in this new version two different case studies (summarizing the main results) are presented: section 4.1 (page 13, from line 19 in advance) is focused on Sahelian rainfall predictability while section 4.2 (page 16, from line 7 in advance) is focused on tropical Pacific predictability. Tables 1 and 2, presenting the input parameters for each case study have been included, so that more space is given on how the software is used. In addition, two movies are included as supplementary material on how the case studies are reproduced using the software.

Q2) "stationarity": The authors emphasis "non-stationarity" a lot. They argue that they have illustrated "non-stationarity" (in section 3.2.1 and later). I don't see how they have shown that something is non-stationary and how they have statistically test for stationarity. Running mean correlations over a 21-year period will by construction go up and down. How can you define a stationary period in this? And how do you know if two periods have different statistical properties (they are not stationary between the two periods)? This needs to be presented much clearer, as it appears to be one of the main issues of the article.

A2) This is a very interesting question and we agree that it was not too clear in the former version.

"Something" refers to a pattern of co-variability between two climate variables: SST as predictor and any predictand field linked to SST (previous studies are required). From previous considerations, stationarity is defined in a simpler way. Any given variable, which evolution does not depend on time, and it keeps constant with time, can be considered stationary. Thus, we speak about stationarity when such a pattern of covariability keeps invariant within a time period. In the same way, "something" is non-stationary when it shows changes along time. For detecting it, running correlations have been widely applied between time series or climate indices (e.g., Camberlin et al., 2001; Rimbu et al 2003, Van Oldenborgh and Burgers 2005). To assess its significance it requires additional analysis, as could be bootstrap methods (Gershunov et al, 2001). In our case, we have checked the significance of the stationarity using a Montecarlo test, which is very similar than a bootstrap method, accepting in this way that the correlations are not obtained by chance.

This simple method of moving correlations between two time series is supported by other analysis done from the model outputs as regression maps, correlation maps, skill-score maps and time series using correlation coefficients and root mean squared error (rmse) which are very useful for comparing them with other studies that have been developed in the same field of study.

These modifications have been added to the new version so that section 3.2.1 (page 11, lines 5-31) has been extended introducing better explanations and clarifications.

Q3) Version 1 of "S4CAST v2.0": The authors state that this software package is version 2 of "S4CAST", but it is not clear where version 1.0 has been published. It seems version 1.0 has not been published in peer review? Then it would not be available for most readers? So whatever information maybe provided in version 1 would need to be provided here too.

A3) What we consider as version 1.0 was part of a donation to the UCAD university in the framework of the VR: 101/11 cooperation project from the VIII UCM Call for Cooperation and Development projects. In this way, despite the absence of a publication, we want to respect the version number corresponding to the donation. A short explanation on previous considerations including a brief history of the first version has been included in section 5 (discussion and conclusions) of the revised manuscript (page 18, lines 3-16).

Q4) Introduction of the software: I think it would be very helpful if the example discussed is also provided as a MATLAB-script, which explains how this is done and how the software is used.

A4) We consider that a matlab script could not be appropriate for understanding how the software is used. Instead, two tables (tables 1 and 2) corresponding to both case studies providing the input parameters have been included in the revised version and two short movies reproducing the matlab command window are included as supplementary material.

Minor comments:

- page 3987, line "SL1": Is not explain in the text before it has been used here.

The case study SL1 has been removed in order to summarize the case study related to Sahelian rainfall and include a second case study.

analysis Fig.4-6: what are the domain boundaries to which the explained variance values refer to? Figure captions indicate its the boxes shown in Fig4-6?

All figures have been changed in the revised version.

———— Fig.4-6: The headings in panels a and b show some numbers that are not explained.

All figures have been changed in the revised version.

page 3989, line 14-16: "The results presented above support the existence of a non-stationary behaviour of 15 the teleconnections between SSTA variability and rainfall associated with WAM which has been referenced in the previously mentioned works. ": I dont see how this has been shown.

It can be seen how the Atlantic influence on WAM is different due to the presence of Pacific SSTA, which counteract the effect of the Atlantic, leading the disappearance of the rainfall dipole, as described in Mohino et al (2011) and Losada et al (2012). In this regard, appropriate explanations have been included in the case study (page 15, lines 16-27).

—— page 3989, line 28 "... validation is computed. ": What does this mean?

Thanks for observation, the sentence was wrong and has been removed so that the paragraph is better explained in the revised version (page 15, from line 28 in advance).

— Fig. 9-11: Order of discussion wrong / fig 9 was not discussed.

All figures have been changed in the revised version.

———— page 3990, line 26 "The results of CPT are not so good as those using S4CAST.": What does "good" mean? Are the authors saying the CPT methods is not as good as their own method? I think this has not been demonstrated here.

In this new version, we have omitted this part since it would require an extensive comparison between CPT and S^4CAST in order to better explain differences between the two tools, which we believe is a deviation from the objective of strictly presenting the results of the S^4CAST model.

Referee 2

We deeply thank the referee for taking the time to review this work. Regardless of the final publication in GMD, certainly the paper has been greatly improved due to feedback and constructive criticism. In this way, substantial changes have been carried out mainly affecting introduction and the section on the application of the model. Other less substantial changes have also been made. All amendments are listed and detailed below.

Q1) It is difficult for the readers to understand the main factors for predictability applicability in these statistical models, e.g. nonlinear and non-stationary approaches.

Thank you for the advice. In this new version, the introduction has been rewritten so we hope that this issue is now better understood. In this section, also, and following other comments of the referee, we have now corrected some grammatical errors (e.g. "the capacity of storage heat and release it...") (page 1, line 27).

Q2) Besides, it seems that the version 1 of the S4CAST model was not mentioned. A brief development history would be helpful. Also, it is better to describe why the authors developed the version 2 and which part has been improved. That is the purpose to develop and introduce this model.

The version number of the model is a sentimental issue. As mentioned in the work, the idea to develop and create the model arises from a project from the VIII UCM Call for Cooperation and Development projects (VR: 101/11) between the University Complutense of Madrid (UCM) and the University Cheikh Anta Diop (UCAD) of Dakar. The project was named "Creation and Donation of a statistical seasonal forecast model for West African rainfall". What we call first version, is the model restricted to study the predictability of West African rainfall from tropical Atlantic SST under some limited input parameters. First version was donated and then presented in some meetings as oral or poster presentations. The reason for developing what we refer as version 2.0 is the motivation arising from colleagues in different institutions to expand the model. Thus, the model is being currently used as part of some studies of predictability as: influence of El Niño Southern Oscillation (ENSO) on European rainfall, influence of tropical Atlantic SSTA on precipitation in Angola, predictability of rainfall in different regions of South America from tropical Atlantic and Pacific SSTA, influence of tropical Atlantic and Pacific SSTA on malaria-related parameters in a specific region within the Sahel, influence of SSTA on crop yields in the Iberian Peninsula, influence of ENSO on the Senegalese near coastal upwelling.

In the revised version, previous considerations are stated in section 5 (discussion and conclusions; page 1, lines 3-16).

Q3) Last, a couple of applications can be considered to show the applicability of the new model.

Following this suggestion, we have considered appropriate to simplify the case study related to Sahelian rainfall predictability from Atlantic SSTs. To do this, the multiple selections for predictor (SL0, SL1) have been removed in order to make a single selection so that the case study is easier to understand. Consequently, the explanatory table (table 1) about SL0 and SL1 has been also removed. Doing this has allowed us to include a second case study, as suggested. Thus, the second case study is focused on the predictability of tropical Pacific SSTA from Atlantic SSTs, a relation that has been previously found by other authors and can subsequently be used as a benchmark of the tool. In the revised version, the first case study corresponds to section 4.1 (page 13 from line 19 in advance). The second case study corresponds to section 4.2 (page 16 from line 7 in advance).

Q4) The objective is to describe the development of the S4CAST model. Thus, the framework of model description can be revised, e.g. 2. Description of S4CAST model, 2.1 Statistical method, 2.2 Model structure, and model validation and applications

Thank you for your suggestion. Nevertheless the model structure we have decided to keep invariant in this new version. The main reason is that Maximum Covariance Analysis (MCA) method and rest of statistical methodologies used by the model are well known methodologies used in statistical forecasting. Thus, we consider that the theoretical framework should be introduced before the model description section. The section of model description (section 3; page 7, from line 18 in advance) is exclusively to introduce the principal novelties introduced by S⁴CAST model.

Q5) Some suggestions are raised for the results and discussions in model applications.

A. (Page 12 line 21) "In this section the model has been validated through..." Has the model been validated in the previous study? If "yes", please include the citation of previous works. If

"not", the authors only used the western Sahel rainfall to validate model in this study. A model typically requires the calibration, validation, and application examples. Also, the predictability of S4CAST model can be revealed if a couple of examples with different characteristics (e.g. linear/nonlinear and stationary/non-stationary) can be provided.

The model is validated because a cross-validation of the hindcasts is part of the code of the model. Any simulation made with S4CAST includes validation. Nevertheless, this is the first time that the validation of the model for different case studies is published. For this reason we have considered to add a second case study to validate it. Indeed, the results expected from both case studies are known because have been published before and are, therefore, accepted in the scientific community.

B. (Page 14 and page 19) Some sentences about "the cooling of south Atlantic and the rainfall dipole over West Africa" are repeated many times in the context. The authors are suggested to make some deeper scientific discussions since the prediction results are excellent. When compared the CPT tool, the predictability due to different methods used in these models can be also discussed.

This is not a scientific paper about the underlying dynamics that explain the results. Indeed, the selected case studies correspond to non-stationary relationships that have been described in previous publications and, thus, their related mechanisms have been previously described. S⁴CAST is used to corroborate the relationships shown in these studies, as a benchmark of the statistical tool. For this reason, although we have summarized the underlying mechanisms associated with the case studies (adding the corresponding references), this is not a result of the paper.

Q7). C. (Page 15 line 1-3)

(i) "... SL0 and EP-SL3) when compared to SC." The EP-SL3 should be a typo.

It was a mistake since SL3 did not appear as a case study. Nevertheless, SL0 and SL1 have been removed in order to simplify the case study related to Sahelian rainfall predictability (case 4.1) and introduce a second case study (section 4.2).

(ii) "Opposite sign anomalies are observed over the tropical North

Pacific and around the coast of California..." The authors would like to indicate the SSTA or anomalous rainfall. It is not clear in the context and the figure.

The description of the results has been rewritten (section 4.1) in order to provide a clearer explanation.

(iii)The quality of the figures should be improved.

Done. We have replaced all the figures and included new ones with a better quality.

Referee 3

First of all, we deeply thank the editor for taking the time to review this work. Regardless of the final publication in GMD, the paper has been greatly improved due to feedback and constructive suggestions.

This study nicely introduces a statistical prediction model designed to account for non-

stationary behavior. I have a few concerns about presentation of the results that are detailed below. Beyond that, I would like to see a discussion of how to determine whether you are in a statistical significant or not statistical significant period. In other words, explain how one can determine that a forecast is likely to be skillful? The useful application of such a model requires that the user can determine whether a skillful forecast is possible. I feel it this point is not adequately discussed in the paper.

Thank you very much for addressing this issue, it has served to introduce a better explanation. It is true that the model produces a prediction in hindcast mode, choosing from the moving correlation time series the period (SC or NSC period) to be used to apply the MCA. Nevertheless, in forecast mode, the user doesn't know that information a priori and the model produces the three predictions corresponding to the SC, NSC and EP periods. Then, attending to the correlation curve from which stationary periods (SC, NSC and EP) are obtained, the user assesses the best possible prediction by studying the sequence of hindcasts immediately preceding the present.

The explanation about differences between hindcast and forecast mode has been introduced in section 5 about discussion and conslusions (page 19, lines 4-26).

Q1) Pg. 3980, s15, what do you mean "particular institution"?

With "particular institution" we refer to different institutions responsible of various datasets (i.e., NOAA, NCEP, NCAR, ECMRWF, etc.). In the revised version, we have change the sentence by "determined center of climate and environmental research" (page 8, line 9).

Q2) Pg. 3981, s10, it should be "if the forecast". Same section, I find the explanation of leadtime hard to follow. In particular, is a synchronous prediction really a prediction? After all, the event has already occurred. I am not convinced why partially overlapping seasons are of interest (i.e., is it relevant to predict JAS rainfall with data for JJA. 2/3 of the seasonal mean should be already observed. Furthermore, why is AMJ also considered zero lag? Isn't this a one season lead forecast?

Thank you for this correction. The sentence has been changed in the revised version (page 9, lines 16-17).

Regarding explanations about lead-time, and following this advice and other similar suggestions from previous referees, we have modified and clarified this section (3.1.2). In this way, lead-time refers to time (expressed in months) between the last month for predictor season and the first month for predictand season (forecast period), being equal to zero (medium-range forecast) when the predictor immediately precedes the predictand or positive (long-range forecast) when there is one or more months between both fields. Strictly, we can't speak about lead-time when the predictor partially or totally overlaps (synchronous) the predictand field. In this last case we refer to lag-time (in months) between the last month comprising the forecast period (predictand season) and the last month for predictor season. Following previous explanation, there is a relationship between lead-time and lag-time, which depends on the number of months comprising the forecast period. Finally, forecast-time is commonly used in seasonal forecasting to describe the time gap between predictor and predictand fields, so that forecast-time and lead-time represent the same concept. Following previous considerations, we have included an explanation about these concepts in the revised manuscript (page 8, lines 12-25).

It is true that synchronous and partially overlapping seasons between predictor and predictand fields are not useful when referring to predictability, although this option is available in order to perform simulations focused on the study of detecting teleconnections with the ocean. In this way, we can detect and attribute alterations in the thermal state of the ocean with changes in climate variables. Thus, the model is useful just not for the study of the predictability but also to detect teleconnections between SST (predictor) and a predictand field. This is explained in section 3.1.2 (page 9, lines 2-15) and section 5 (page 19, lines 4-26) in addition to some comments in the abstract and introduction.

May be there has been a misunderstanding. We refer to lead-time equal to zero (forecast-time equal to zero), which would be a three months lag. Anyway, this has been changed and conveniently explained in the revised manuscript using a hypothetical case described in section 3.1.2 (page 9, lines 16-28).

Q3) Pg. 3981, s25, it is not clear to me why you would apply a low-pass filter and then use the model to predict seasonal variability. Furthermore, the statistical model would be developed using information from future data, and so it is also not so clear how you would apply the model in forecast mode. Is the filter only used for computing the statistical relations, and then applied to the raw data. Please explain.

In fact, applying or not a frequency filter, either high pass or low pass filter depends on user requirements and should be based on previous studies of the predictor-predictand relationships so that a random selection of input parameters can lead to a meaningless simulation. Thus, as mentioned by the referee, selection of low pass filter is not suitable for seasonal forecast and subsequently is not useful in the current version. Anyway, we keep the possibility of selecting a low pass filter in order to include decadal predictability in a future version of the model. Clarifications on the use of frequency filter have been included in the revised manuscript (page 9, lines 29-32; page 10, lines 1-9).

In its current configuration, the application of the model in forecast mode (not hindcast) mainly depends on selected data set for predictor and predictand variables. In this way, forecast will be performed if predictor and predictand data are available until the season before the present and predictor is available for future prediction. This is better explained by an example: considering from September to November (SON) as forecast period concerning the predictand and selecting a leadtime of two months for the prediction, which means taking the predictor two months before September (from April to June; AMJ), the prediction for SON 2015 will be performed if predictand field is available at least until November 2014 and predictor is available at least until June 2015. Thus, the model constructs the regression coeficient by using the common period until November 2014. Regression coefficients along with predictor data (AMJ 2015) will provide the forecast for SON 2015. To do this, the model firstly checks predictor and predictand availability and shows by screen if future forecast is enabled. Once this is accomplished, the model performs three types of prediction depending on the stationarity: for the entire period (EP) forecast is as explained before, for significant correlation period (SC) and no-significant correlation period (NSC) forecast is performed by computing the regression coefficient respectively for each period. In all three cases the predictor for the current year is necessary, being AMJ 2015 in the example above. The appropriate changes related to previous explanation are given in section 5 (page 19, lines 4-26).

When a filter is selected, it is applied to the raw data for the initial data preprocessing. Thus, the results must be interpreted for the frequencies kept and the forecast and hindcasts are done just for those frequencies.

Q4) Pg. 3982, s10, again it is not clear why you refer to AMJ as zero lead forecast of JAS.

AMJ (April-May-June) is defined as the predictor with a lead-time of zero months when the predictand is taken for JAS (July-August-September). Remember that lead-time, also named as

forecast-time, is the time in months between the last month comprising the predictor season and the first month for the predictand season (forecast period). If we want to define this example with lag-time, it would be 3 months, the time between the last months of both predictor and predictand seasons. The relationship between lag-time and lead-time depends on the number of months comprising the forecast-period. Explanations about this have been included in section 3.1.2 (page 8, lines 12-25).

Q5) Pg. 3983, s15, I am not sure what the purpose of centered or advanced correlation coefficients are considered. To me only the delayed makes sense in a forecast context. I assume this analysis is used for defining the SC/NSC periods. In which case you should clarify that you are not specifically discussing predictions.

Indeed, it is true that only delayed correlation coefficients are the most suitable in a forecast context. Nevertheless, centered and advanced correlation coefficients are also available for application no matter the aim of the user. As pointed by the referee, moving correlations are used for defining SC/NSC periods. For any of the three types of mobile windows, hindcasts could be performed, while delayed moving correlations windows are preferable when referring to future prediction. In this way, section 3.2.1 has been extended to clarify these concepts (page 11, lines 6-31).

Q6) Pg. 3984, s10, shouldn't this be "leave-one-out"?

Yes, the method is really named as "leave-one-out". The revised manuscript reflects the name as "leave-one out" (page 12, lines 7-8).

Q7) Pg. 3987, s5, is the filtering only applied for deriving the model, or is it used in the predictions, and if so what is the impact of the filtering on the end points and resulting forecasts?

Once the filter is applied, the results should be interpreted accordingly. Thus, if a high pass filter is applied to predictor or predictand, we are talking about high frequency predictability of anomalous predictand or predictor fields.

Q8) Pg. 3987, s10, I do not really understand the model used in the synchronous selection. Do you have three models: one for each of the three possible overlapping seasons? Or do you construct a statistical model using all three seasons as predictors? If so, how can you compare synchronous and asynchronous prediction (using only one seasons of data for the predictor)?

We construct a statistical model using all three seasons as predictors. Doing this, we can check the influence of the predictor (multiple time selections) on the predictability if no overlapping is selected or in the teleconnection if there is an overlap. Synchronous selection between predictor and predictand fields is focused on the study of teleconnections. The comparison between synchronous and asynchronous selections is done using different time domains for predictor (different simulations). In fact, synchronous refers to the selection of predictor and predictand in the same period (forecast period), while overlaps between predictor periods (seasons) are focused on the contribution of all information given by the predictor. This is explained in the revised manuscript (page 9, lines 16-24; page 10, lines 10-17).

Q9) Fig 3 caption, it would probably be useful to use more descriptive terms in the figure caption than SL0 and SL1.

Since the case study related to Sahelian rainfall predictability has been deeply simplified in order to introduce a second case study, SL0 and SL1 selections have been removed in the revised

manuscript.

Q10) Pg. 3989, top, if I understood correctly, the MCA is repeated for the NSC period. If the is no significant correlation in the Sahel box, does this simply indicate that the leading mode does not explain much variance in rainfall in the box, even if it is the mode that should maximize the correlation between predictor and predictand fields? It could be useful to clarify why there is no correlation in the rainfall box.

Correct, MCA is repeated for the NSC period for which the leading mode (regression map) exhibits no significant relationship between the leading mode of the predictor and preditand fields (less than 90% under a Montecarlo test) in the rainfall box and therefore worsens predictability. This implies that, for the NSC period the relationship between the predictor and the predictand field is led by another pattern and affecting other regions, reinforcing the theory of a time dependence (non-stationary) of the relationship between the two variables.

Q11) Pg. 3990, s15, the correlations shown in figure 8 indicate negative skill. If this is systematic, then actually multiplying the forecasts by -1 would give you skill? Does this imply that there is useful information in the NSC period or is there an issue with the significance test?

Negative skill in figure 8 is related with a poor or null predictability. Take into account that the prediction for each period is done by using the leading mode, which shows no significant signal in the rainfall box for the NSC period. There is always useful information in the NSC period that should be interpreted as a change, sometimes improving and other worsening predictability. Note that all figures have been changed in the revised manuscript, so that new figure 8 does not correspond to old figure 8.

Q12) Pg. 3990, s20, this should be figure 9.

Yes, should be fig 9, although the figures have been changed in the revised version.

Q13) Pg. 3992, bottom, the paragraph is not very precise. I guess you mean skill in the second sentence, but actually it is not clear for what region/phenomenon/index you are discussing. For ENSO, dynamical models are beginning to outperform statistical systems. I believe this is described in a recent BAMS papers by Barnston et al.

Thank you for the comment. We were thinking in the tropical Atlantic and, for this reason we used that case for the case studies. In the revised version, this sentence, which is now at the beginning of section 5 about discussion and conclusions (page 17, lines 26-28), has been corrected, adding a reference to Barnston et al. (2015).

Q14) Pg. 3993, s15, this should be "hierarchal Bayesian methods being one "

Correct, should be "hierarchical Bayesian methods being one", although no reference to this appears in the revised manuscript since some changes have been included.

1 S⁴CAST v2.0: Sea Surface Temperature based Statistical

2 Seasonal Forecast Model

3

4 R. Suárez-Moreno^{1,2} and B. Rodríguez-Fonseca^{1,2}

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10

11 Abstract

12 Sea Surface Temperature is the key variable when tackling seasonal to decadal climate 13 forecast. Dynamical models are unable to properly reproduce tropical climate variability, 14 introducing biases that prevent a skillful predictability. Statistical methodologies emerge as an 15 alternative to improve the predictability and reduce these biases. In addition, recent studies 16 have put forward the non-stationary behavior of the teleconnections between tropical oceans, 17 showing how the same tropical mode has different impacts depending on the considered 18 sequence of decades. To improve the predictability and investigate possible teleconnections, 19 the Sea Surface Temperature based Statistical Seasonal foreCAST model (S⁴CAST) 20 introduces the novelty of considering the non-stationary links between the predictor and predictand fields. This paper describes the development of S⁴CAST model whose operation is 21 22 focused on studying the impacts of sea surface temperature on any climate-related variable. Two applications focused on analyzing the predictability of different climatic events have, 23 been implemented as benchmark examples. 24

25

26 **1. Introduction**

Global oceans have the capacity to store and release heat as energy that is transferred to the
atmosphere altering global atmospheric circulation. Therefore, fluctuations in monthly sea
surface temperature (SST) may be considered as an important source of energy affecting

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1	seasonal predictability and improving the ability to forecast climate-related variables. Many	Roberto Suarez Moreno 16/9/2015 16:30
2	research works have been conducted to study the impacts of worldwide see surface	Deleted: ,
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3	temperature anomalies (SSTA) by means of dynamical models, observational studies and	Roberto Suarez Moreno 11/9/2015 12:02
4	statistical methods. In this way, tropical oceans purchase greater relevance (Rasmusson and	Deleted: , being the SSTA a potential predictor of their anomalous behavior
5	Carpenter, 1982; Harrison and Larkin, 1998; Klein et al., 1999; Saravanan and Chang, 2000;	Roberto Suarez Moreno 2/9/2015 12:07
6	Trenberth et al., 2002; Chang et al., 2006; Ding et al., 2012; Wang et al., 2012; Ham, 2013a;	Moved (insertion) [1]
7	2013b: Keenlyside et al. 2013). Because of the persistence shown by SSTA alterations that	Roberto Suarez Moreno 2/9/2015 13:02
, 0	20150, Reemystate et al., 2015). Because of the persistence shown by 551R, and additions that	Boberto Suarez Moreno 17/9/2015 13:59
8	occur in the oceans are slower than changes occurring in the atmosphere. Once the thermal	Deleted: predictive nature
9	equilibrium between the ocean and the atmosphere is broken, oceans are able to release their,	Roberto Suarez Moreno 17/9/2015 18:26
10	energy, changing the atmospheric circulation for some time before dissipating, leading in turn	Deleted: S
11	to an influence on other variables. This fact explains why the SSTA can be used as potential	Deleted: can be either
12	predictor of the anomalous associated impacts	Roberto Suarez Moreno 17/9/2015 18:24
		Deleted: and
13	The S ⁴ CAST model presented in this work is focused on the study of the predictability and	Roberto Suarez Moreno 2/9/2015 12:28
14	teleconnections of climate-related variables based on the remote influence of the SSTA. It has	Roberto Suarez Moreno 17/9/2015 18:27
15	been shown that such variables can be SST (Rasmusson and Carpenter, 1982; Latif and	Deleted: ; but also other variables
16	Parnett 1995: Herrison and Larkin 1998: Klein et al. 1999: Tranharth et al. 2002), rainfall	Roberto Suarez Moreno 17/9/2015 18:27
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Γ7	(Janicot et al., 2001; Drosdowsky and Chambers, 2001; Giannini et al., 2001, 2003; Rowell,	Deleted: -
18	2001, 2003; Chung and Ramathan, 2006; Haylock et al., 2006; Polo et al., 2008; Joly and	Roberto Suarez Moreno 11/9/2015 10:43
19	Voldoire, 2009; Lu, 2009; Gaetani et al., 2010; Shin and Sardeshmukh, 2010; Fontaine et al.,	analysis of the SST influence is necessary in
20	2011; Nnamchi and Li, 2011; Bulic and Kucharski, 2012; López-Parages and Rodríguez-	order to establish an association between such variables and the SST
21	Fonseca, 2012), and other climate-related variables. In this way, there are studies that have	Roberto Suarez Moreno 2/9/2015 12:12
22	focused on the role of the tropical Pacific on vegetation, crop yields and the economic	Deleted: variability considered as the predictor field.
22 22	concerned on the role of the depicter racine on vegetation, every yields and the economic	Roberto Suarez Moreno 14/9/2015 18:57
23	consequences resulting from these impacts (Hansen et al., 1998, 2001, Adams et al., 1999,	Deleted: The links between SST variability and rainfall have been documented by works
24	Legler et al., 1999; Li and Kafatos, 2000; Naylor et al., 2001; Tao et al., 2004; Deng et al.,	dealing with the influence of tropical SST on
25	2010; Phillips et al., 1998; Verdin et al., 1999; Podestá et al., 1999; Travasso et al., 2009).	seasonal precipitation regimes that mainly occur in India and West Africa (Ward, 1998;
26	Regarding human health, tropical SST patterns have been widely linked to the development	Rasmusson and Carpenter, 1983; Ashok et al., 2001; Kucharski et al., 2008; Rodríguez-
27	and propagation of diseases (Linthicum et al., 2010), where El Niño-southern Oscillation	Fonseca et al., 2011; Mohino et al., 2011). Particularly, for the West African Monsoon
28	(ENSO) related variability plays a crucial role mainly affecting tropical and subtropical	(WAM), the SSTA becomes the main source of predictability (Folland, 1986; Palmer, 1986;
29	regions around the world (Kovats, 2000; Patz, 2002; Kovats et al., 2003; Patz et al., 2005;	Fontaine et al., 1998; Rodríguez-Fonseca et al., 2015). On the one hand, SSTA is presented
30	McMichael et al., 2006)	as the main driver of the decadal variability (lanicot et al. 2001: Biasutti et al. 2008:
31	The study of the impacts of tropical global SST on climate has become increasingly important	Martin and Thorncroft, 2013). On the other hand, several observational studies suggest the

The study of the impacts of tropical global SST on climate has become increasingly important 31 32 during the last decades. Thus, there are dynamical and statistical prediction models that

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influence of global SSTA on the WAM at

interannual time scales, pointing to changes associated with El Niño-southern Oscillation (ENSO) (Janicot et al., 2001; Rowell, 2...[1]

1 attempt to define and predict seasonal averages from interannual to multidecadal time scales. 2 In this way, General Circulation Models (GCMs) emerged from the need to reproduce the ocean-atmosphere interactions, responsible for much of climate variability whose major 3 4 component is attributed to ENSO phenomenon (Bjerknes, 1969; Gill, 1980). Numerous 5 research centers have done a hard work to create their own prediction systems in which coupled ocean-atmosphere GCMs_are used in conjunction with statistical methods to achieve 6 7 reliable ENSO variability predictions and analyze the skill of these models (Cane et al., 1986; 8 Barnett and Preisendorfer, 1987; Zebiak and Cane, 1987; Barnston and Ropelewski, 1992; 9 Barnett et al., 1993; Barnston et al., 1994, 1999; Ji et al., 1994a, 1994b; Van den Dool, 1994; 10 Mason et al., 1999). Predictability of rainfall has become a scope for these models, finding works that have focused on this issue by means of dynamical and statistical models (Garric et 11 al., 2002; Coelho et al., 2006). However, the difficulty of GCMs to adequately reproduce the 12 tropical climate variability remains a real problem, so that in recent years the number of 13 14 studies focusing on specific aspects of the biases of these models has increased exponentially 15 (Biasutti et al., 2006; Richter and Xie, 2008; Wahl et al., 2011; Doi et al., 2012; Li and Xie, 16 2012; Richter et al., 2012; Bellenguer et al., 2013; Brown et al., 2013; Toniazzo and 17 Woolnough, 2013; Vanniere et al., 2013; Xue et al., 2013; Li and Xie, 2014).

18 Statistical models have been widely used as an alternative way of climate forecasting, 19 including several techniques in their development. Model Output Statistics (MOS) determine 20 a statistical relationship between the predictand and the variables obtained from dynamic models (Glahn and Lowry, 1972; Klein and Glahn, 1974; Vislocky and Fritsch, 1995). 21 Stochastic climate models were defined in the 1970s to be first applied to predict SSTA and 22 23 thermocline variability (Hasselmann, 1976; Frankignoul and Hasselmann, 1977) and later 24 addressing non-linearity problems (Majda et al., 1999). Moreover, Linear Inverse Modeling 25 (Penland and Sardeshmukh, 1995) has been used in predicting variables such as tropical Atlantic SSTA (Penland and Matrosova, 1998) and the study of Atlantic Meridional Mode 26 27 (Vimont, 2012). Statistical modeling with neural networks is also applied in climate 28 prediction (Gardner and Dorling, 1998; Hsieh and Tang, 1998; Tang et al., 2000; Hsieh, 2001; 29 Knutti et al., 2003; Baboo and Shereef, 2010; Shukla et al., 2011) with the potential to be a nonlinear method capable of addressing the problems in atmospheric processes that are 30 31 overlooked in other statistical methodologies (Tang et al., 2000; Hsieh, 2001).

32 A special mention goes to two linear statistical methods: Maximum Covariance Analysis

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 models from

(MCA) used in the S⁴CAST model and Canonical Correlation Analysis (CCA). These 1 2 methods have been widely used in seasonal climate forecasting, either to complement dynamical models or to be applied independently. In this way, Climate Predictability Tool 3 4 (CPT) developed at International Research Institute for Climate and Society (IRI) allows user 5 to apply multivariate linear regression techniques (e.g., CCA) to get their own predictions (Korecha and Barnston, 2007; Recalde-Coronel et al., 2014; Barnston and Tippet, 2014). In 6 7 essence, these techniques serve to isolate co-variability coupled patterns between two 8 variables that act as predictor and predictand respectively (Bretherton et al., 1992). Based on 9 the ability of the SSTA as predictor field, these methods were originally applied to analyze 10 the predictability of phenomenon like ENSO (Barnston and Ropelewski, 1992), 500-mb height anomalies (Wallace et al., 1992) or global surface temperature and rainfall (Barnston 11 and Smith, 1996). Nevertheless, there are works discussing the use of these methods, focusing 12 on the differences between the two techniques (Cherry, 1996, 1997) and on the limitations in 13 14 their applications (Newman and Sardeshmukh, 1995). 15 The co-variability patterns between SSTA themselves might fluctuate from one given study 16 period to another, determining non-stationary behavior along time. In this way, teleconnections associated with El Niño or with the Tropical Atlantic are effective in some 17 periods but not in others. In this way, Rodríguez-Fonseca et al. (2009) suggested how the 18 19 interanual variability in the equatorial Atlantic could be used as predictor of Pacific ENSO 20 after the 1970's, a theory that has been subsequently reinforced by further analysis (Martín-Rey et al., 2012; 2014; 2015; Polo et al., 2015). The non-stationarity in terms of predictability 21 of rainfall has also been found for West African rainfall (Janicot et al., 1996; Fontaine et al., 22 23 1998; Mohino et al., 2011; Losada et al., 2012; Rodriguez-Fonseca et al., 2011; 2015); and 24 Europe (López-Parages and Rodriguez-Fonseca, 2012; López-Parages et al., 2014). Thus, the 25 existence of non-stationarities is a key factor in the development of the statistical model. The present paper describes a statistical model based on the predictive nature of SSTA 26 27 treating the stationarity in the relationships between the predictor and predictand fields. 28 Section 2 describes the theoretical framework including the statistical methodology and the 29 significance of the statistical analysis. Section 3 is dedicated to S⁴CAST model description 30 including the determination of stationary periods, hindcast and forecast calculations and 31 validation. Section 4 describes two case studies concerning the predictability of Sahelian

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rainfall and tropical Pacific SSTA.

2 2. Theoretical framework

3 2.1. Statistical methodology

4 Maximum Covariance Analysis (MCA) is a broadly used statistical discriminant analysis 5 methodology based on calculating principal directions of maximum covariance between two variables. This statistical analysis considers two fields, Y (predictor) and Z (predictand) 6 7 (Bretherton et al., 1992; Cherry, 1997; Widmann, 2005) for applying the Singular Value 8 Decomposition (SVD) to the cross-covariance matrix (C) in order to be maximized. SVD is 9 an algebraical technique that diagonalizes non-squared matrices, as it can be the case of the 10 matrices of the two fields to be maximized. 11 In the meteorological context, C is dimensioned in time (n_t) and space domains (n_r) and n_z for

12 Y and Z respectively), although the spatial domain can be more complex depending on the

13 user requirements. SVD calculates linear combinations of the time series of Y and Z, named as

14 expansion coefficients (hereinafter U and V for Y and Z respectively) that maximize C. The

15 expansion coefficients are computed by diagonalization of C. As C is non-squared,

16 diagonalization is first done to $A = CC^{T}$ and then to $B = C^{T}C$. The singular vectors R and Q

17 are the resultant eigenvectors from each diagonalization, which are the spatial configurations

18 of the co-variability modes. The associated loadings on time domain are the expansion

coefficients U and V. The eigenvalues are a measure of the percentage of variance, explained
by each mode.

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21 Mathematically, the time anomalies of both, *Z* and *Y* fields are calculated by removing the 22 climatological seasonal cycle to the seasonal means.

$$23 \quad Z' = Z - \overline{Z} \tag{1}$$

$$24 \qquad Y' = Y - \overline{Y} \tag{2}$$

25 Then, the cross-covariance matrix is calculated as:

26
$$C_{YZ'} = \frac{Y'Z'^T}{(n_t - 1)}$$
 (3)

27 MCA diagonalizes (3) by SVD methodology, obtaining the singular vectors R and Q from

28 which the expansion coefficients are obtained according to the following expression:

$$1 U = R^T Y (4)$$

$$2 V = Q^T Z (5)$$

3 Using the eigenvectors, the percentage of explained covariance is calculated as

4
$$scf_k = \frac{\lambda_k^2}{\sum_{i=1}^{r} \lambda_i^2}; \lambda_k = [\lambda_1, \lambda_2, ..., \lambda_n]$$
 (6)

5 Where k is the eigenvalue for each k mode and r represents the number of modes taken into 6 account for the analysis.

7 The expression from which an estimation of the predictand is obtained is a linear model as:

$$8 \qquad \hat{Z} = \Phi Y \tag{7}$$

- 9 Where Φ is the so-called regression coefficient and \hat{Z} denotes an estimation of the data to be 10 predicted (hindcast).
- 11 Taking into account that S is the regression map of the field Z onto the direction of U

$$12 \qquad S = UZ^T \tag{8}$$

13 And assuming good prediction \hat{Z} , it follows that

$$14 \qquad S = U\hat{Z}^T \tag{9}$$

15 Introducing the equality $(UU^T)(UU^T)^{-1} = I$ and multiplying in (9) the following expression is 16 obtained:

17
$$(UU^T)(UU^T)^{-1}S = U\hat{Z}^T$$
 (10)

18 Removing *U* from both terms

$$19 \qquad \hat{Z} = \left[U^T \left(U U^T \right)^{-1} S \right]^T \tag{11}$$

- 20 Considering now the expression $U = Y^T R$ it follows that
- $21 \qquad \hat{Z} = YR \left(UU^T \right)^{-1} S \tag{12}$
- 22 Comparing this expression with (7) and introducing (8) it can be concluded that

$$1 \qquad \Phi = R \left(U U^T \right)^{-1} U Z^T$$

2 Which is the regression coefficient to be calculated when defining the linear model from 3 which the predictions and hindcasts will be obtained.

(13)

4 2.2. Statistical field significance

There are many statistical tests to assess the robustness of a result. The S⁴CAST uses a non-5 parametric test because, a priori, the model doesn't know the distribution of the predictand 6 7 field. Thus, applying Monte Carlo testing assesses the robustness of the results and is used to validate the S⁴CAST model skill. This method involves performing a large number (N > 500) 8 9 of permutations from the original time series. Each permuted time series is used to repeat the calculation and compare the obtained results with the real values. Once this is done, the 10 values obtained with the N permutations are taken to create a random distribution to finally 11 determine the position of the real value within the distribution, which will indicate the 12 13 statistical significance of the obtained value. This method has been described and used in many previous works (Livezey and Chen, 1987; Barnett, 1995; Maia et al., 2007). The user 14 inputs the level of statistical significance at which the test is applied, being the most used 90% 15 (0.10), 95% (0.05) and 99% (0.01). 16

17

18 3. S⁴CAST model

 $s^{4}CAST$ v2.0 model is conceived as a statistical tool to study the predictability and 19 20 teleconnections of variables that strongly covary with SSTA variability in remote and nearby locations to a particular region of study. The code has been developed as a MATLAB® 21 22 toolbox. The software requirements are variable and depend on user needs. The spatial 23 resolution and size of data files used as inputs are directly proportional to memory requirements. The software generates an 'out of memory' message whenever it requests a 24 25 segment of memory from the operating system that is larger than what is currently available. The model software consists of three main modules (figure 1), each composed of a set of sub-26 modules whose operation is described below. 27

28 3.1. Model Inputs

S⁴CAST v2.0 has a direct execution mode. By simply typing 'S4cast' in the command window, the user is prompted to enter a series of input parameters in a simple and intuitive Roberto Suarez Moreno 16/9/2015 16:41 Deleted: perform Roberto Suarez Moreno 16/9/2015 16:42 Deleted: s again

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2 3.1.1. Loading databases

The model is ready to work with Network Common Data Form (NetCDF) data files. There are different conventions to set the attributes of the variables contained in NetCDF files. In this way, the data structure must conform as far as possible to the Cooperative Ocean/Atmosphere Research Service (COARDS) convention. Execution errors that may occur due to the selection of data files are easily corrected by minor modifications of data assimilation scripts. Data files can be easily introduced at the request of the user. Once downloaded from the website of a determined center of climate and environmental research.

10 the user inserts data files into the directory set by default (S4CAST_v2.0/data_files).

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11 3.1.2. Input parameters

12 In order to correctly introduce the input parameters, it is convenient to present some terms 13 commonly used in seasonal forecasting. In this way, the forecast period corresponds to the n-14 month seasonal period concerning the predictand for which the forecast and hindcasts are performed. Moreover, the lead-time refers to time expressed in months between the last 15 16 month comprising the predictor monthly period and the first month comprising the forecast 17 period. Thus, medium-range forecast refers to a lead-time set to zero, while long-range 18 forecast refers to a lead-time equal or larger than one month. Strictly, we can't speak about 19 lead-time when the predictor monthly period partially or totally overlaps the forecast period. 20 In this case we refer to lag-time expressed in moths between the last month comprising the forecast period and the last month for predictor period. The relationship between lead-time 21 and lag-time depends on the number of months comprising the forecast period. Finally, the 22 23 forecast-time is commonly used to describe the time gap expressed in months between the 24 predictor and predictand monthly periods, assuming the same concept represented by the 25 lead-time.

In the first step, predictand and predictor data files are selected. In this way, the predictand field can be precipitation, SST, or any variable susceptible to be predicted from SSTA. The predictor is restricted to SST.

Once predictor and predictand fields are selected, the available common time period between them is analyzed and displayed so that the user is prompted to select the whole common

31 period for analysis or other within it. The same temporal dimension in both fields is required



1 in the statistical analysis to construct the cross-covariance matrix (see section 2.1.).

2 The next step is for selecting the n-month forecast period in which the predictand is 3 considered. The model allows a selection from one (n = 1) to four (n = 4) months. From the 4 forecast period, the user determines a specific lead-time, relative to the predictor, from which 5 medium-range (lead-time 0) or long-range (lead-time > 0) forecast can be performed. In order 6 to study and evaluate possible teleconnections, the temporal overlapping between the forecast 7 period and the predictor is also available by defining the monthly lags between both fields from monthly lag 0 (synchronous) referred to the case in which the predictor and the 8 9 predictand fields are taken at the same n-month period, through partial overlapping to 10 eliminate the overlapping (medium-range forecast). Note that synchronous and partially 11 overlapping seasons between predictor and predictand fields are not useful when referring to 12 predictability, although this option is available in order to perform simulations focused on the study of physical mechanisms (teleconnections) between the predictor and predictand fields. 13 14 Thus, it is worth noting that the model may be focused in the study of the predictability but it 15 can be also used to detect teleconnections between SST (predictor) and a predictand field. 16 Monthly lags indicating forecast times (lead-times) are user selectable. To illustrate the above, 17 taking a hypothetical case in which the forecast period corresponds to the months from 18 February to April (FMA) whatever the region, the synchronous option will consider the 19 predictor in FMA, while partially overlapping occurs when the predictor is taken for Januaryto-March (JFM) and December-to-February (DJF). Avoiding overlapping, lead time 0 will be 20 21 NDJ (November-to-January), lead time 1 will be OND (October-to-December), lead time 2 22 will be SON (September-to-November) and so on, without overlapping FMA season of the 23 previous year. Thus, the user can select any 3-month isolated period from FMA. 24 (synchronous) to MJJ (May-to-July), 25 Next, the spatial domains of both predictor and predictand fields are easily selected from its 26 latitudinal and longitudinal values. Considering the above options, the user can select a 27 sequence of successive monthly lags or only one so that the predictor is taken for the total amount of selected information (e.g., NDJ+OND+SON). 28

29 Later, there is the possibility of applying a filter to the time series of predictor and predictand

30 fields. The current version uses a Butterworth filter, either as high-pass or low-pass filter

31 frequently used in climate-related studies (e.g., Roe and Steig, 2004; Enfield and Cid-Serrano,

32 2006; Mokhov and Smirnov, 2006; Ault and George, 2012; Schurer and Hegerl, 2013)_

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Deleted: May-to-July (MJJ). A forecast time equal to zero (lead-time equal to zero) is referred to the period from April-June (AMJ). The lead-times (lags) depend on the forecast period so that the model requires the input of this parameter for establishing the data concerning the predictor
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1 although the selection of low pass filter is not suitable for seasonal forecast and subsequently 2 is not useful in the current version. Anyway, the possibility of selecting a low pass filter is 3 maintained in order to include decadal predictability in a future version of the model. The 4 application of a filter allows the user to isolate the frequencies at which the variability 5 operates, which can have different sources of predictability. In this way, the user selects the cutoff frequency, following the expression 2dt/T, being dt the sampling interval and T the 6 7 period to be filtered both in the same units of time. If no filter is applied, the raw data is used. 8 There are plenty of filters that could be applied and future versions of the model will include 9 different possibilities.

10 In case of multiple time selection for predictor, the statistical methodology is firstly applied, 11 considering the largest lead-time and successively adding information for other lead-times up to the present. So, continuing with the example above in which the forecast period 12 corresponds to FMA if selected lead-times from 0 to 3, the first predictor selection is made 13 14 considering the 3-months lead-time period (SON). After, the 2-months lead-time period is 15 added (ASO+SON). Next, up to the period 1-month delayed (ASO+SON+OND), and finally 16 the case up to the period with a lead-time equal to zero (ASO+SON+OND+NDI). Previous 17 example is illustrated in figure 2.

18 Once the matrices are determined for each predictor time selection, the statistical 19 methodology is applied. Up to now, the model applies the MCA discriminant analysis 20 technique, although other statistical methodologies will be included in future releases, 21 including CCA or non-linear methods as neural network and Bayesian methodologies. As 22 indicated in the previous section, MCA determines a new vector base in which the relations 23 between the variables are maximized. Thus, it is important to choose a number of modes 24 (principal directions) to be considered in the computations, selecting either a single mode or a set of them, always consecutive. The analysis of stationarity is performed for a single mode 25 26 selection. For multi-mode selection, the whole time series will be considered.

The statistical field significance level is set for the first time to assess the analysis of stationarity. Thus, the model runs for the entire period and for those periods for which the relationships are considered stationary within it. This is internally established by applying the method explained later in the section 3.2.1.

31 3.1.3. Data preprocessing

32 From selected data files and input parameters previously defined, preprocessing of data is

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1 performed so that the data are prepared for implementing statistical methodology.

2 3.2. Statistical Tools

At this point the statistical procedure described in the methodology is applied considering
different periods based on the previously described stationary analysis.

5 3.2.1. Analysis of stationarity

Stationarity refers to changes along time in the co-variability pattern between two variables. 6 7 Thus, we speak about stationarity when such a pattern of co-variability keeps invariant within a time period and therefore will be non-stationary when showing changes. To evaluate how 8 9 much the predictor (Y) and the predictand (Z) fields are related to each other, the model calculates running mean correlations between the expansion coefficients indicated in (4) and 10 (5) for the selected k^{th} mode along the record. This technique has been widely used to 11 12 determine the stationarity of the relationships between the time series of climate indices (e.g., Camberlin et al., 2001; Rimbu et al., 2003; Van Oldenborgh and Burgers, 2005). Next, the 13 14 significance level of correlation coefficients is calculated according to the method explained in section 2.2. In this way, stationary relationships between the predictor (Y) and the 15 16 predictand (Z) fields are established by applying a 21 years moving correlation windows 17 analysis between the leading expansion coefficients of both fields obtained from the 18 discriminant analysis method (section 2.1.) using the whole record in accordance with the 19 evolution of the correlation coefficient. To do this, three types of 21 years moving correlation 20 windows are user selectable: 'delayed' to correlate one year and the 20 previous years; 'centered' to correlate one year, the 10 previous years and the 10 next years; or 'advanced' to 21 22 correlate one year and the 20 next years. Note that delayed correlation coefficients are the 23 most suitable in a forecast context when referring to future prediction. Nevertheless, centered 24 and advanced correlation coefficients are also available for application no matter the aim of 25 the user. From previous analysis, three different periods are analyzed depending on the stationarity of 26 the predictability: use the significant correlation period (hereinafter SC) for which the 27 expansion coefficients are significantly correlated; use no significant correlation period 28 29 (hereinafter NSC), and work with the entire period (hereinafter EP). The model performs all

30 <u>calculations for each period separately and, from them, the simulated maps (hindcasts) of the</u>

31 predictand for each year are calculated by applying cross-validation,

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Roberto Suarez Moreno 8/9/2015 12:32 Moved up [2]: From previous analysis, three different periods are analyzed depending on the stationarity of the predictability: use the significant correlation period (hereafter SC) for which the expansion coefficients are significantly correlated; use no significant correlation period (hereafter NSC), and work with the entire period (hereafter EP). The model performs all calculations for each period separately and, from them, the simulated maps (hindcasts) of the predictand for each year are calculated by applying crossvalidation.

1 3.2.2. Model validation

2 Cross-validation is used in climate forecasting as part of statistical models when assessing

forecast skill (Michaelsen, 1987; Barnston and Van den Dool, 1993; Elsner and
Schmertmann, 1994). This method is intended as a model validation technique in which the

5 data for the predictor and the predictand for a given time step is removed from the analysis to

6 make an estimate of it with the rest of data, comparing the simulated value with the removed

7 one. In this way, a cross-validated hindcast is obtained. In the S⁴CAST model, the <u>leave-one-</u>

8 <u>out</u> method is applied as described by (Dayan et al., 2013). From the comparison between the

9 predicted value and the original one, the skill of the model can be inferred using different
 10 skill-scores. S⁴CAST considers the Pearson correlation coefficients and the root mean square

11 error (RMSE) although other scores will be introduced in future versions.

12 3.3. Model Outputs

13 Modes of co-variability are related to spatial patterns of different variables that co-vary over 14 time, and thus, are linked to each other. In the case of MCA, the covariance matrix is 15 computed and the SVD method is applied to provide a new basis of eigenvectors for the predictor and predictand fields which covariance is maximized. The obtained singular vectors 16 17 describe spatial patterns of anomalies in each of the variables that tend to be related to each 18 other. Regression and correlation maps and corresponding expansion coefficients determine 19 each mode of co-variability for the predictor and predictand fields. The expansion coefficients 20 indicate the weight of these patterns in each of the time steps. Thus, regression and correlation 21 co-variability maps can be represented. This is done with the original anomalous matrix, 22 highlighting those grid points whose time series are highly correlated with the obtained 23 expansion coefficients, showing large co-variability and determining the key regions of prediction. To represent it, regression and correlation maps are calculated to analyze the 24 25 coupling between variables and to understand the physical mechanisms involved in the link.

On the other hand, the time series of the expansion coefficients determine the scores of the regression and correlation maps at each time along the study period. The model represent the expansion coefficients used to calculate the regression coefficients. Thus, those years in which the expansion coefficients for the predictor and the predictand are highly correlated will coincide with years in which we can expect a better estimation.

In the current version of the model, the root mean square error (RMSE) and the Pearson correlation coefficients skill scores have been included. These techniques are applied to

12

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1 compare the observed and simulated maps (hindcasts) of the predictand field obtaining 2 correlation and RMSE maps and time series. On the one hand, maps are obtained calculating for each grid point the skill scores between the hindcast and the observed maps. On the other 3 4 hand, time series are obtained for each time by applying correlation and RMSE between the area average of the observed and estimated maps. Some comments on these techniques are 5 addressed by Barnston (1992). The S⁴CAST model generates the hindcast within the EP, SC 6 7 and NSC periods separately from applying the one-leave-out method (Dayan et al., 2013) and 8 then the statistical methodology.

9

10 4. Application of the model: case studies,

11 Two different case studies have been simulated as benchmark examples. Both cases are 12 focused on the predictive ability of the tropical Atlantic SSTA. In a first simulation, the 13 predictand field corresponds to Sahelian rainfall. In a second simulation, winter tropical 14 Pacific SSTA have been used as predictand field. The links between tropical Atlantic Ocean 15 and the two variables selected as predictand fields have been widely studied exhibiting nonstationary relationships. The results obtained by applying the model have been contrasted in 16 17 the following sections. Tables 1 and 2 list the entries for both case studies to be easily 18 reproduced by the user.

19 4.1 Tropical Atlantic – Sahelian rainfall

In this first case study the model has been applied to validate its use in the study of seasonal⁴ 20 21 rainfall predictability in the Sahel taking tropical Atlantic as predictor field. The West African Monsoon (WAM) is characterized by a strong seasonal rainfall regime that occurs from July 22 23 to September related to the semi-annual shift of the Intertropical Convergence Zone (ITCZ) 24 together with the presence of a strong thermal gradient between the Sahara and the ocean in 25 the Gulf of Guinea. The interannual fluctuations in seasonal rainfall are due to various causes, 26 being the changes in global SST the main driver of WAM variability (Folland, 1986; Palmer, 27 1986; Fontaine et al., 1998; Rodríguez-Fonseca et al., 2015). Particularly, several 28 observational studies suggest the influence of tropical Atlantic SSTA on the WAM at 29 interannual time scales (Giannini et al., 2003; Polo et al., 2008; Joly and Voldoire, 2009; 30 Nnamchi and Li, 2011)

31 <u>Regarding the input parameters (table 1)</u>, the predictand field corresponds to precipitation

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prediction an alternative way to predict WAM variability. The second reason is related to the non-stationary influence of the tropical Atlantic on Sahelian rainfall reported in some studies (Janicot et al., 1996, 1998; Ward, 1998; Rodríguez-Fonseca et al., 2011; Mohino et al., 2011; Losada et al., 2012).

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Deleted: udy. Up to now, the model has been applied to study the predictability of rainfall considering the non-stationary influence of the different oceanic predictors along the historical record. In this section the model has been validated through the study of the seasonal rainfall predictability in the Western Sahel from SSTA in the tropical Atlantic sector.

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Moved up [3]: S⁴CAST model is conceived as a simple statistical tool to forecast variables that strongly covariate with SSTA variability in remote and nearby locations to a particular region of study.

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1	1 from GPCC Full Data Reanalysis monthly means of precipitation appended with GPCC	
2	2 monitoring dataset from 2011 onwards with a resolution of 1.0° x 1.0° covering the period	
3	3 from January 1901 to March 2015, (Rudolf et al., 2010; Becker et al., 2013; Schneider et al.,	000045 40.04
4	4 2014; <u>http://gpcc.dwd.de</u>). The forecast period consists of July to September (JAS), Deleted: November 2014	(Rudolf e [2]
5	5 computing seasonal anomalous rainfall in the Sahelian domain (18W-10E: 12N-18N). No	
6	6 <u>frequency filter is applied for predictand. The predictor field corresponds to NOAA Extended</u>	
7	7 Reconstructed SST (ERSST) V3b monthly means of SST with a resolution of 2.0° x 2.0°	
8	8 spanning the period from January 1854 to May 2015 (Smith and Reynolds 2003; 2004; Smith	
9	9 <u>et al., 2008; <i>http://www.ncdc.noaa.gov/oa/climate/research/sst/ersstv3.php</i>). The spatial</u>	
10	domain corresponds to southern subtropical and equatorial Atlantic band (60W-20E; 20S-	
11	1 4N)A high pass filter with cutoff frequency set to 7 years has been applied to the predictor	
12	2 time series in order to analyze the influence of SSTA interannual variability, which includes	
13	3 leading oceanic interannual variability modes such as the Atlantic equatorial mode (AEM)	
14	4 (Polo et al., 2008) or the South Atlantic Ocean dipole (SAOD) (Nnamchi et al., 2011)	
15	5 Medium-range forecast has been taken into account setting the lead-time to zero (equivalent	
16	6 to monthly lag 3). In this way, April-to-June (AMJ) is the selected season for predictor.	
17	7 For applying the methodology, the leading mode of co-variability $(k = I)$ has been selected.	9/2015 21:06
18	8 The correlation curve, (figure 3) reflects the stationary periods (SC and NSC) within EP period	[3]
19	9 as stated in section 3.2.1. The SC period is almost restricted to years from 1932 to 1971 with	
20	0 some exceptions. The remaining years are taken to analyze the predictability for the NSC	
21	1 period	
22	2 Figure 4 show regression maps associated with the leading mode for the periods SC, EP and Roberto Suarez Moreno 9/	9/2015 16:04
23	3 NSC explaining 50%, 32% and 41% of co-variability respectively. For the SC period (figure Deleted: s4, 5 and 6h	ow regres [4]
24		
25	4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u>	
26	4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the	
20	4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern	
20 27	 4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern 7 takes place under negative scores of the expansion coefficient. These results are in agreement 	
20 27 28	 4 <u>4, top panels), the co-variability pattern_exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern 7 takes place under negative scores of the expansion coefficient. These results are in agreement 8 with those found in the last decades of the 20th century by several authors who have 	
20 27 28 29	 4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern 7 takes place under negative scores of the expansion coefficient. These results are in agreement 8 with those found in the last decades of the 20th century by several authors who have 9 discussed the role of the tropical Atlantic SST as a dominant factor in the WAM variability at 	
20 27 28 29 30	 4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern 7 takes place under negative scores of the expansion coefficient. These results are in agreement 8 with those found in the last decades of the 20th century by several authors who have 9 discussed the role of the tropical Atlantic SST as a dominant factor in the WAM variability at 0 interannual and seasonal time scales (Janowiak, 1988; Janicot, 1992; Fontaine and Janicot, 	
20 27 28 29 30 31	 4 <u>4, top panels), the co-variability pattern_exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern takes place under negative scores of the expansion coefficient. These results are in agreement with those found in the last decades of the 20th century by several authors who have discussed the role of the tropical Atlantic SST as a dominant factor in the WAM variability at interannual and seasonal time scales (Janowiak, 1988; Janicot, 1992; Fontaine and Janicot, 1 1996). Losada et al. (2010b) found how the response to an isolated positive equatorial 	
20 27 28 29 30 31 32	 4 <u>4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical</u> 5 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the 6 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern 7 takes place under negative scores of the expansion coefficient. These results are in agreement 8 with those found in the last decades of the 20th century by several authors who have 9 discussed the role of the tropical Atlantic SST as a dominant factor in the WAM variability at 1 interannual and seasonal time scales (Janowiak, 1988; Janicot, 1992; Fontaine and Janicot, 1 1996). Losada et al. (2010b) found how the response to an isolated positive equatorial 2 Atlantic Niño event is a dipolar rainfall pattern in which the decrease of rainfall in Sahel is 	

1 related to the increase of rainfall in Guinea (as in figure 4) due to changes in the sea-land 2 pressure gradient between Gulf of Guinea SSTs and the Sahel. Mohino et al (2011) and Rodríguez-Fonseca et al. (2011) have found in the observations how this dipolar behavior 3 4 takes place for some particular decades coinciding with the SC periods, confirming in this 5 way the correct determination of the leading co-variability mode by the model. When considering the EP period (figure 4, middle panels), a co-variability pattern similar to that 6 7 observed for the SC period is appreciated with small differences. Regarding the predictand 8 field, the anomalous rainfall signal is less intense when compared to SC. For the predictor, the 9 cooling in the tropical Atlantic is accompanied by opposite weak anomalies in the north 10 subtropical and tropical Pacific. Regarding the NSC period (figure 4, bottom panels), as for the previous periods (SC, EP) a cooling in the tropical Atlantic is observed concerning the 11 predictor associated with negative rainfall anomalies in the Gulf of Guinea and a weak 12 positive signal in the eastern Sahel, virtually disappearing the rainfall dipole. The global 13 14 SSTA regression map shows a significant warming in the tropical Pacific. The opposite 15 pattern should be considered under negative scores of the expansion coefficient. The results presented above support the existence of a non-stationary behavior of the 16 teleconnections between SSTA variability and rainfall associated with WAM. Several authors 17 have addressed the dipolar anomalous rainfall pattern as a response of an isolated tropical 18 19 Atlantic warming (cooling) (Rodríguez-Fonseca et al., 2011, Losada et al., 2010a; 2010b; 20 Mohino et al., 2011) restricted to the period 1957-78 in the observations. The uniform rainfall signal over the whole West Africa, with negative anomalies related to a cooling over tropical 21 22 Atlantic and an opposite sign pattern over tropical Pacific is only observed for the period from 23 1979 in advance. These results agree with Losada et al. (2012), who focused on nonstationary influences of tropical global SST in WAM variability, explaining how the 24

25 disappearance of the dipole was due to the counteracting effect of the anomalous responses of

the Pacific and Atlantic on the Sahel, Recently, Diatta and Fink (2014) have documented
 similar non-stationary relationships.

The associated skill of the model to reproduce the rainfall is shown in figure 5, in terms of correlation maps and time series for SC and EP periods. A qualitative improvement is observed when considering the SC periods instead of the whole period (EP). This result points to a better spatial distribution of the significant values for particular decades in which the signal extends to a larger spatial domain. In order to analyze the performance of the

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simulation for each particular year, the correlation between observed and predicted maps at
each time step is calculated and shown in figure 5, Since it has only been considered the
leading mode of co-variability, the time series of validation between observed and simulated
rainfall should evolve following the absolute values of the expansion coefficients. Thus, when
the expansion coefficient (U) of the predictor (SST) shows high scores in the leading mode,
good hindcasts are obtained.

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7 4.2. Tropical Atlantic – Tropical Pacific

A non-stationary behavior in the association between tropical Atlantic and tropical Pacific
SSTA has been recently documented in some works suggesting that the tropical Atlantic
SSTA during the boreal summer could be a potential predictor of winter tropical Pacific
SSTA variability after the 1970s (Rodríguez-Fonseca et al., 2009; Ding et al., 2012). In this
section, the S4CAST model has been applied to corroborate the non-stationarity in the
teleconnection between tropical Atlantic considered as predictor field and tropical Pacific
variability, a feature that has been also demonstrated in Martin del Rey et al (2015).

15 The input parameters are listed in table 2. Both predictor and predictand fields corresponds to NOAA ERSST introduced in the previous section (4.1) covering the period from January 16 17 1854 to May 2015. The forecast period consists of December-to-March (DJFM). The selected region for predictand corresponds to SSTA in the tropical Pacific domain (120E-60W; 30S-18 19 20N), while the predictor corresponds to tropical Atlantic SSTA (60W-20E; 20S-4N) and has 20 been considered for the period July-to-October (JASO), which means long-range forecast setting the lead-time to one month. A high pass filter with cutoff frequency set to 7 years has 21 22 been applied to both predictor and predictand time series in order to analyze the predictability 23 considering interannual variability. For applying the methodology and assess the stationary periods (SC and NSC) within EP, the leading mode of co-variability (k = I) has been selected. 24 The correlation curve (figure 7) presents the SC period clearly divided into two intervals: 25 26 from 1889 to 1939 and from 1985 up to the present (2015). Consequently, the NSC period 27 corresponds to the remaining years within the study period (1854-2015). 28 The leading mode (figure 8) for the periods SC, NSC and EP explains 52%, 28% and 43% of

29 co-variability respectively. Regarding the SC (figure 8; top panels) and EP (figure 8; middle
 30 panels) periods it is observed how a cooling (warming) in the tropical Atlantic is related to a
 31 warming (cooling). Thus the co-varibility pattern is defined by opposite sign anomalies
 32 because the three balance is a large state of the second state o

32 between predictor and predictand fields, although the magnitude of the anomalies is greater

16

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1	concerning the SC period. Considering the NSC period (figure 8; bottom panels), a signal in
2	tropical Pacific is not observed in response to the tropical Atlantic cooling (warming).
3	Previous results are in agreement with former studies in which a similar tropical SSTA pattern
4	with opposite temperature anomalies in the equatorial Atlantic and Pacific in summer has
5	been documented to occur in the decades within the SC period (Rodríguez-Fonseca et al.,
6	2009; Martin-Rey et al., 2012). Thus, Martín-Rey et al. (2014, 2015) point to a non-stationary
7	relationship that seems to take place in the early 20th century and after the 1970s, confirming
8	the correct determination of the leading co-variability mode by the model.
9	The mechanism from which the teleconnection takes place, has been explained by Polo et al.
10	(2015), who suggest that a cooling in the equatorial Atlantic results in enhanced equatorial
11	convection, altering the Walker circulation and consequently enhancing subsidence and
12	surface wind divergence over the equatorial Pacific during the period July-to-August (JASO).
13	The anomalous wind piles up water in the western tropical Pacific, triggering a Kelvin wave
14	eastward from autumn to winter, setting up the conditions for a cold event in the equatorial
15	east Pacific during the period December-to-March (DJFM). Considering a cooling in the
16	tropical Atlantic, the opposite sequence takes place.
17	The skill of the model in reproducing tropical Pacific SSTA (figure 9) is also restricted to
18	stationary conditions. Thus, depending on the considered sequence of decades within the
19	period EP (figure 9; middle panels), the model provides better results for period SC (figure 9;
20	top panels), while it is not able to produce reliable estimations when period NSC (figure 9;
21	bottom panels) is taken into account. These results highlight the need to consider different
22	periods and possible modulations when tackling seasonal predictability of tropical Pacific
23	SSTA, in agreement with recent results of Martin del Rey et al. (2015).
I	

25 **5. Discussion and conclusions**

It is well known how dynamical models are far to produce very accurate seasonal climate
forecast for non-ENSO events, partly due to the presence of strong biases in some regions, as
the tropical Atlantic (Barnston et al., 2015). In contrast, statistical models, despite being an
useful and effective supplement, they are mostly unable to reproduce the non-linearity in the
ocean-atmosphere system, exceptions include neural networks and Bayesian methods.
Attempts to implement new statistical models constitute a fundamental contribution aimed to

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enhance and complement the dynamical models. Anyway, statistical models have evolved linked to dynamical models, either as an alternative or within them as a hybrid model.

3 Following this reasoning, this paper introduces the S⁴CAST v2.0 model. The model was created from the first version ($S^4CAST v1.0$) developed as the main part of a cooperation 4 5 project between the Laboratoire de Physique de l'Atmosphère et de l'Océan Siméon Fongang of the University Cheik Anta Diop (UCAD) in Dakar (Senegal) and the Complutense 6 7 University of Madrid (UCM) within the VIII UCM Call for Cooperation and Development projects (VR: 101/11) and was named "Creation and Donation of a statistical seasonal 8 9 forecast model for West African rainfall". Thereby, the authors wanted to respect the number 10 of the donation version despite not having a publication. As a brief explanation on the history, 11 the original model was restricted to study the predictability of West African rainfall from tropical global SSTA under some input parameters much more limited respect version 2.0. 12 Thus, the reason for developing and improve the model for publication is the motivation 13 14 arising from colleagues in different institutions along Africa and Europe to expand the model and use it as an alternative tool to look for SST-related predictability due to the strong SST 15 bias that coupled dynamical models exhibit nowadays. 16 17 The model is based on the predictive power of the SST. Concerning the association along* 18 time between SSTA and any climate-related variable susceptible of being predicted from it,

the concept of stationarity is raised as one of the motivating factors in creating the S⁴CAST 19 model. The stationarity refers to changes in the co-variability patterns between the predictor 20 21 and the predictand fields along a given sequence of decades, so that it can be kept invariant 22 (stationary) or changing (non-stationary). This concept has been addressed by different 23 authors (Janicot et al., 1996; Fontaine et al., 1998; Rodríguez-Fonseca et al., 2009, 2011; 24 Mohino et al., 2011; Martín-Rey et al., 2012; Losada et al., 2012) and becomes the main novelty and contribution introduced by S⁴CAST as a key factor to consider in seasonal 25 forecasting provided by current prediction models, either dynamical or statistical. Thus, 26 27 S⁴CAST model is an alternative to enhance and complement the estimates made by dynamical 28 models, which have a number of systematic errors to adequately reproduce the tropical 29 climate variability (Biasutti et al., 2006; Richter and Xie, 2008; Wahl et al., 2011; Doi et al., 2012; Richter et al., 2012; Bellenguer et al., 2013; Brown et al., 2013; Li and Xie, 2013; 30 31 Toniazzo and Woolnough, 2013; Vanniere et al., 2013; Xue et al., 2013). For the time being, 32 the S⁴CAST model cannot be applied for strict operational forecasts, although its application Roberto Suarez Moreno 16/9/2015 17:44 Deleted: T

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Moved up [1]: The model is focused on the study of the predictability of climate-related variables based on the predictive nature of the SST. Such variables can be either SST (Rasmusson and Carpenter, 1982; Latif and Barnett, 1995; Harrison and Larkin, 1998; Klein et al., 1999; Trenberth et al., 2002) and rainfall (Janicot et al., 2001; Rowell, 2001, 2003; Giannini et al., 2003; Chung and Ramathan, 2006; Polo et al., 2008; Joly and Voldoire, 2009; Lu, 2009; Gaetani et al. 2010; Fontaine et al., 2011: Nnamchi and Li 2011): but also other variables. There are studies that have focused on the role of the tropical Pacific on vegetation, crop yields and the economic consequences resulting from these impacts (Hansen et al., 1998, 2001; Adams et al., 1999; Legler et al., 1999; Li and Kafatos, 2000; Naylor et al., 2001; Tao et al., 2004; Deng et al., 2010; Phillips et al., 1998; Verdin et al., 1999; Podestá et al., 1999; Travasso et al., 2009). Regarding human health, tropical SST patterns have been widely linked to the development and propagation of diseases (Linthicum et al., 2010), where ENSO-related variability plays a crucial role mainly affecting tropical and subtropical regions around the world (Kovats, 2000; Patz, 2002; Kovats et al., 2003: Patz et al. 2005: McMichael et al. 2006). Whatever the predictand, previous analysis of the SST influence is necessary in order to establish an association between such variables and the SST variability considered as the predictor field.

Roberto Suarez Moreno 10/9/2015 15:25 Deleted: , developed at the Department of Geophysics and Meteorology, in the Faculty of Physics of the Universidad Complutense de Madrid (UCM). The model was created from the first version (S⁴CAST v1.0), developed as part of a cooperation project with the Laboratoire de Physique de l'Atmosphère et de l'Océan Siméon Fongang (LPAOSF) of the Université Cheikh Anta Diop (UCAD) of Dakar in Senegal. -

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in determining stationary relationships between two fields and their co-variability patterns can
 be crucial for improving the estimates provided by the operating prediction models currently

3

used.

4 The model is proposed for use in two areas: the study of seasonal predictability and the study 5 of teleconnections, both based on the influence of SST. On the one hand, we refer to predictability when predictor is considered from a lead-time equal to 0 months (medium-6 7 range forecast) in advance (long-range forecast). On the other hand, we speak about the study 8 of teleconnections when predictor seasonal selection partially or totally overlaps 9 (synchronous) the forecast period, meaning that one can not speak about lead-time, instead we 10 speak about a monthly lag between the last month in the forecast period and the last month 11 comprising the predictor monthly period.

12 In addition to previous considerations, the model always provides the predictions in hindcast 13 mode for the different periods of stationarity (SC, NSC and EP), while the forecast mode 14 depends on input parameters and data files used for predictor and predictand fields. For 15 instance, considering from September to November (SON) as forecast period concerning the predictand and selecting a lead- time of two months for the prediction, which means taking 16 17 the predictor two months before September (from April to June; AMJ), the prediction for SON 2015 will be performed if predictand field is available at least until November 2014 and 18 19 predictor is available at least until June 2015. Thus, the model constructs the regression 20 coefficient by using the common period until November 2014. Regression coefficients along 21 with predictor data (AMJ 2015) will provide the forecast for SON 2015. In this way, the 22 model firstly checks data availability related to the input parameters and shows by screen if 23 future forecast is enabled. If enabled, the model performs three types of forecast by 24 computing the regression coefficient respectively for each period (SC, NSC, EP). Finally, the 25 user should determine the better forecast by a study of the modulations of each stationary 26 period and the sequence of hindcasts immediately preceding the present.

In the applications shown in this paper we have focused in the results from MCA. This statistical methodology, along with Canonical Correlation Analysis (CCA), have been widely used in studies of predictability during the last decades (Barnston and Ropelewski, 1992; Bretherton et al., 1992; Wallace et al., 1992; Barnston and Smith, 1996; Fontaine et al., 1999; Korecha and Barnston, 2007; Barnston and Tippet, 2014; Recalde-Coronel et al., 2014). Integration of the methodology and intuitive use through a user interface are some of the main

1 advantages of the S⁴CAST model, allowing the selection of a big number of inputs. Future

2 releases of the model will include other methodologies that are currently being introduced and3 tested.

4 Originally, the model was created to tackle the study of the predictability of anomalous

5 rainfall associated with WAM, which co-varies in a different way with the tropical band of

- 6 <u>Atlantic and Pacific ocean basins, being an indicator of non-stationarity (Losada et al., 2012).</u>
- 7 The transition between SC and NSC periods, around the 1970s, has served as the starting
 8 point of many studies focusing on the influence of global SSTA before and after that period

9 (Mohino et al., 2011; Rodríguez-Fonseca et al., 2011; 2015; Losada et al., 2012) while being

10 one of the motivations to create S^4CAST .

The choice of the case study related to Sahelian rainfall predictability is motivated by two 11 12 main reasons: on the one hand, SST in the tropical Atlantic is well known to strongly 13 influence the dynamics of the ITCZ (Fontaine et al., 1998) which in turn determines the 14 subsequent WAM. Nevertheless, dynamical models do not reproduce the influence of SST on 15 the ITCZ (Lin, 2007; Richter and Xie, 2008; Doi et al., 2012; Tonniazzo and Woolnough, 16 2013) becoming the statistical prediction an alternative way to predict WAM variability. The second reason is related to the non-stationary influence of the tropical Atlantic on Sahelian 17 18 rainfall reported in some studies (Janicot et al., 1996, 1998; Ward, 1998; Rodríguez-Fonseca 19 et al., 2011; Mohino et al., 2011; Losada et al., 2012).

The second case study has served as a benchmark to certify the ability of the S⁴CAST model
in the study of SSTA predictability by the corroboration of the Equatorial Atlatnic variability
as preditor of ENSO. This is a recently discovered relationship (Rodríguez-Fonseca et al.,
2009; Ding et al., 2011; Polo et al., 2015) that has been found to be non-stationary (Martín
del Rey et al., 2014, 2015).

25 The application of moving correlation windows between expansion coefficients obtained from MCA analysis results in three periods of stationarity depending on the statistically significant 26 correlation: entire period (EP), significant correlation period (SC) and no-significant 27 28 correlation period (NSC). For the case in which non-stationarity is considered we refer to EP 29 period, assuming changes in co-variability patterns. Stationarity is referred to SC and NSC 30 periods. These periods may slightly vary depending on the type of moving correlation 31 windows: advanced, centered or delayed. Stationary analysis to determine the three different 32 work periods (SC, NSC, EP) is limited to the selection of a single mode of co-variability, Roberto Suarez Moreno 16/9/2015 23:42 Moved (insertion) [7]

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Moved up [6]: When conducting a pooling of the performance from models, a conclusion can be posed. On the one hand, dynamical models produce an underestimation of seasonal climate forecasts, partly because the difficulty of reproducing the influence of SST on atmospheric dynamics, and on the other hand, the chaotic behavior of the atmosphere is markedly exaggerated in these models. In contrast statistical models, despite being an useful and effective supplement, mostly they are unable to reproduce the non-linearity in the ocean-atmosphere system, exceptions include neural networks and Bayesian methods Attempts to implement new statistical models constitute a fundamental contribution aimed to enhance and complement the dynamical models. Anyway, statistical models have evolved linked to dynamical models, either as an alternative or within them as a hybrid model.

Roberto Suarez Moreno 16/9/2015 23:29 Deleted: model. . Roberto Suarez Moreno 16/9/2015 23:29 Formatted: Font:Font color: Black Roberto Suarez Moreno 15/9/2015 01:56 Deleted: using MCA analysis

1 When selecting a set of modes, <u>the stationarity analysis is not applied so that simulations are</u>

2 only developed for EP period, whereby the whole time series is considered for both the

3 predictor and predictand fields.

Three conditions may enhance the degree of confidence in a given predictor. The first has to 4 5 do with the selection of moving correlation windows (see section 3.1.2.) used to determine the working scenarios (SC, NSC, EP). Delayed moving correlation windows can help in this task. 6 7 Thus, if correlation coefficients between the expansion coefficients (U and V) exhibit 8 significant values for the present year and the previous 21 study years, greater confidence is 9 assumed for the predictor. The second condition is determined by the value of the expansion 10 coefficient (U) for the current year so that the higher its value, the better the forecast. The last condition has to do with the percentage of variance explained by the selected co-variability 11 12 mode, the higher its value, the better the forecast. Nevertheless, despite previous conditions, 13 the influence of other remote and nearby oceanic predictors must be considered in order to provide a full and reliable predictability study. 14

So far, the data files used as predictor and predictand fields correspond to observations and reanalysis from several institutions. The use of new data files is simple and can be performed according to user needs. The upgrade of data files from respective websites must be checked periodically to strengthen the results. In addition, it is also advisable to launch the same simulations using different data files in order to compare the results and assess the robustness of the forecast. The results shown in this work for different selections have been verified by following these criteria.

The results obtained by using the S⁴CAST model put forward the consideration of nonstationarity in the co-variability patterns and therefore in climatic teleconnections. Thus, it is important to determine the multidecadal modulator of the interannual variability in order to know which predictor is the one affecting in particular periods and regions (Rodríguez-Fonseca et al., 2015).

27

28 6. Code availability

The model consists of a software package organized in folders containing libraries, functions and scripts developed as a MATLAB[®] toolbox from version R2010b onwards. Two of the folders, named as *mexcdf* and *netcdf_toolbox*, corresponds to libraries needed for working Roberto Suarez Moreno 15/9/2015 01:57 Deleted: Future releases of S⁴CAST will include new techniquesin order to assess stationarity periods, being hierarchical Bayesian methods one of the next steps to improve the model.

Roberto Suarez Moreno 10/9/2015 16:15 Deleted: (SC-SL0, SC-SL1, NSC-SL0, NSC-SL1, EP-SL0, EP-SL1)

Roberto Suarez Moreno 16/9/2015 23:42 Moved up [7]: Originally, the model was created to tackle the study of the predictability of anomalous rainfall associated with WAM, which co-varies in a different way with the tropical band of Atlantic and Pacific ocean basins, being an indicator of non-stationarity (Losada et al., 2012). The case study developed in this work corresponds to the predictability of Sahelian rainfall in the prominent season (JAS) from SSTA in the tropical Atlantic, serving as a benchmark for the model. The transition between SC and NSC periods, around the 1970s, has served as the starting point of many studies focusing on the influence of global SSTA before and after that period (Mohino et al., 2011; Rodríguez-Fonseca et al., 2011: 2015: Losada et al. 2012) while being one of the motivations to create S⁴CAST.

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Roberto Suarez Moreno 10/9/2015 16:20 Deleted: CPT tool, demonstrate the ability of

Roberto Suarez Moreno 10/9/2015 16:20 **Deleted:** to improve the predictability of climate variability associated with the WAM and

1 with NetCDF files and have been downloaded from www.mexcdf.sourceforge.net and built-in 2 into the model. The file containing the model core with the executable code is named S4core. Once the toolbox has been added to the MATLAB[®] path and by simply typing 'S4cast' in the 3 4 command window, the user is prompted to enter a number of input parameters required to launch a simulation. The software package S4plot dedicated to plot figures has been added so 5 that the user can use this software by typing 'figures' in the command window, Note that 6 7 figures presented in this work have been further improved manually. The code is Open Access and can be downloaded from the Zenodo repository (DOI 10.5281/zenodo.15985) in 8 9 the URL https://zenodo.org/record/15985. To facilitate the execution of the model leading to the results shown in this paper, used data files that have been previously defined in Section 4, 10 /S4CAST v2.0/data files/predictand 11 are included in the directories and 12 /S4CAST v2.0/data files/predictor. The second case study requires NOAA ERSST as 13 predictor and predictand. The code has been thoroughly analyzed by using several data files and input parameters. However, the emergence of software bugs is not ruled out, being mostly 14 15 associated with problems to adapt and use NetCDF files. To solve these hypothetical code 16 bugs, please do not hesitate to contact authors.

17

18 Acknowledgements

The research leading to these results received funding from the PREFACE-EU project (EU FP7/2007-2013) under grant agreement no. 603521, Spanish national project MINECO (CGL2012-38923-C02-01) and the VR: 101/11 project from the VIII UCM Call for Cooperation and Development projects. We also appreciate the work done by SOURCEFORGE.NET[®] staff in creating NetCDF libraries for MATLAB[®]. And of course, thanks also to the reviewers, editors and their advice and/or criticism.

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Deleted: Along with the code, there is a text file containing inputs leading to the results presented in this paper for SL0 (sahelian_rainfall_inputs_SL0.txt) and SL1 (sahelian_rainfall_inputs_SL1.txt) case studies. Note that figures presented in this work have been further improved manually. **Roberto Suarez Moreno 15/9/2015 15:42 Deleted:** implementation

Roberto Suarez Moreno 14/9/2015 18:34 Deleted: Ashok, K., Guan, Z., Yamagata, T. (2001). Impact of the Indian Ocean dipole on the relationship between the Indian monsoon rainfall and ENSO. Geophysical Research Letters, 28(23), 4499-4502.

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- 1 Table 1. Input parameters used to reproduce the first case study. Left column represents the
- 2 statements reproduced by the model with the same format as in the simulation. Right column
- 3 represents the input parameters entered by the user,

	Input
Statements reproduced by the model	parameters
Statements reproduced by the moder	entered by the
	user
Enter the NetCDF file containing the predictand data in the	
path /S4CAST_v2.0/data_files/predictand/	
Press enter to continue	
PREDICTAND data available from Jan-1901 to Mar-2015	
Enter the NetCDF file containing the predictor data in the	
Proce onter to continue	
PREDICTOR data available from Jan-1854 to May-2015	
Select a common analysis period	
The common longest analysis period extends from Jan-1902 to	
Mar-2015	
Do you want to select this period? y/n	ʻy'
The selected analysis period extends from Jan-1902 to Mar-	
2015	
Select the forecast period	
Type I to select a set of months	,
Type 2 to select one month	1
Enter the forecast period using the initials of the months	'JAS'
2015 forecast available from lead time 1 (monthly lag 4) to	
lead time 6 (monthly lag 9)	
Enter PREDICTAND spatial domain	10
West longitude from -179.5 to 179.5	-18
East longitude from -179.5 to 179.5	10
North latitude from -89.5 to 89.5	12
North fattude from 89.5 to 89.5	10
Der von waht to standardize the predictand: y/h	y y
productend? v/n	'n
Enter PREDICTOR spatial domain	**
West longitude from -180 to 178	-60
East longitude from -180 to 178	20
South latitude from -88 to 88	-20
North latitude from -88 to 88	5
Do you want to standardize the predictor? y/n	'n'
Dou you want to apply a Butterworth filter to the	
predictor? y/n	'y'
Type 1 to apply a high pass filter	
Type 2 to apply a low pass filter	1
Introduce the cutoff frequency	7
Select the predictor monthly periods	
Type 1 to select a set of chronological monthly periods	
Type 2 to select one monthly period	2
Enter the monthly lag regarding the predictand	3
Select the number of modes for MCA analysis	(_n)
Finter the mode number	11
Enter the mode humber	1
noving correlation windows between the expansion	
coefficients of the PREDICTOR and PREDICTAND fields	
obtained from MCA method	
Indicate delayed, centered or advanced moving correlation windows	'delayed'
To assess the significant stationary periods, indicate the	~
degree of statistical significance from 0 to 100	90
To validate the model skill, indicate the degree of	
statistical significance from 0 to 100	90

1 Table 2. Input parameters used to reproduce the second case study. Left column represents the

2 statements reproduced by the model. Right column represents the input parameters.

	Input
Statements reproduced by the model	parameters
Statements reproduced by the model	entered by the
	user
Enter the NetCDF file containing the predictand data in the	
Press enter to continue	
PREDICTAND data available from Jan-1854 to May-2015	
Enter the NetCDF file containing the predictor data in the	
path /54CASI_v2.0/data_Illes/predictor/ Press enter to continue	
PREDICTOR data available from Jan-1854 to May-2015	
Select a common analysis period	
Ine common longest analysis period extends from Jan-1855 to May-2015	
Do you want to select this period? y/n	'y'
The selected analysis period extends from Jan-1855 to May-	
2015 Select the forecast period	
Type 1 to select a set of months	
Type 2 to select one month	1
Enter the forecast period using the initials of the months	'JAS'
2016 forecast not available	
Enter PREDICTAND spatial domain	120
East longitude from -179.5 to 179.5	-60
South latitude from -89.5 to 89.5	-30
North latitude from -89.5 to 89.5	20
Do you want to standardize the predictand? y/n	'n
Dou you want to apply a Butterworth filter to the predictand? y/n	'v'
Type 1 to apply a high pass filter	,
Type 2 to apply a low pass filter	1
Enter the cutoff frequency	7
Enter PREDICTOR spatial domain	-60
East longitude from -180 to 178	20
South latitude from -88 to 88	-20
North latitude from -88 to 88	5
Do you want to standardize the predictor? y/n	'n
Dou you want to apply a Butterworth filter to the predictor? y/n	'y'
Type 1 to apply a high pass filter	
Type 2 to apply a low pass filter	1
Select the predictor monthly periods	1
Type 1 to select a set of chronological monthly periods	
Type 2 to select one monthly period	2
Enter the monthly lag regarding the predictand	อ็
Do you want to select a set of modes? v/n	'n
Enter the mode number	1
To assess the stationarity the model will analyze 21 years	
moving correlation windows between the expansion	
obtained from MCA method	
Indicate delayed, centered or advanced moving correlation windows	'delayed'
To assess the significant stationary periods, indicate the degree of statistical significance from 0 to 100	90
To validate the model skill, indicate the degree of	
statistical significance from 0 to 100	90



3 Figure 1. Schematic diagram illustrating the structure of the model.





Figure 2. Predictand (Z) and predictor (Y) fields represented by their corresponding data 3 4 matrices. The illustration relates to an example in which the forecast period covers the months 5 February-March-April (FMA) and the predictor is selected for four distinct seasons: August-September-October (ASO, lead-time=3); September-October-November (SON, lead-time=2); 6 7 October-November-December, (OND, lead-time=1); November-December-January, (NDJ, lead-time=0). Each of these sub-matrices for the predictor has the same temporal dimension 8 9 (nt) and spatial dimension (ns₂). The predictand may have a different spatial dimension (ns₁) 10 but the same temporal dimension (nt) to enable matrix calculations required by MCA 11 methodology.

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Deleted: JFM
Roberto Suarez Moreno 8/9/2015 14:54
Deleted: February-March-April
Roberto Suarez Moreno 8/9/2015 14:55
Deleted: FMA
Roberto Suarez Moreno 8/9/2015 14:55
Deleted: March-April-May
Roberto Suarez Moreno 8/9/2015 14:55
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4 coefficients U corresponding to tropical Atlantic SSTA, (predictor, blue bars) and V

5 corresponding to <u>Sahelian anomalous rainfall</u> (predictand, red line) obtained for, the leading

6 mode of co-variability from MCA analysis, Shaded triangles indicate significant correlation

7 under a Montecarlo Test at 90%.









3 Figure 4. Regression maps obtained for the leading mode by applying MCA between SSTA in 4 the tropical Atlantic (predictor) and western Sahel rainfall (predictand). Left column represents the homogeneous regression map done by projecting the expansion coefficient U 5 6 onto global SSTA (°C). Right column represents the heterogeneous regression map done by 7 projecting expansion coefficient U onto the anomalous Sahelian rainfall (mm/day). Period SC 8 (top panels); EP (middle panels) and NSC (bottom panels), Rectangles show the selected 9 regions for predictor and predictand fields considered in the MCA analysis. Values are plotted in regions where statistical significance under a Montecarlo test is higher than 90%. 10

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- 4 5 column corresponds to validation time series (green line) between hindcasts and observations
- considering only the regions indicated by positive significant spatial correlation. Period SC 6
- 7
- (top panels); EP (bottom panels). Significant correlation values for time series are indicated 8 by shaded triangles. Blue bars correspond to the expansion coefficient (U) of the SSTA
- 9 (predictor). Significant values are plotted from a 90% statistical significance under a
- 10 Montecarlo test,









4 and hindcasts for each point in space corresponding to NSC period. Significant values are
5 plotted from a 90% statistical significance under a Montecarlo test.

3

Figure 6. Skill-score validation using Pearson correlation coefficients between observations

Roberto Suarez Moreno 9/9/2015 15:41 Deleted: Regression maps obtained from the leading MCA (NSC period) done between SSTA in the Atlantic and western Sahel rainfall. Left column represents the homogeneous regression map done projecting the expansion coefficient U onto global SSTA (°C). Right column represents the heterogeneous regression map done projecting expansion coefficient U onto the anomalous Sahelian rainfall (mm/day). Cases NSC-SL0 (top panels) and NSC-SL1 (bottom panels) are presented (see Table 1). Rectangles show the selected regions for predictor and predictand variables considered in the MCA analysis. Values are plotted in regions where statistical significance under a Montecarlo test is higher than 90%.





7 indicate significant correlation under a Montecarlo Test at 90%



Roberto Suarez Moreno 10/9/2015 12:34 Deleted: Figure 9.

Roberto Suarez Moreno 9/9/2015 17:00 Deleted: Leading mode from CCA analysis using the Climate Predictability Tool (CPT) between the predictor field (Y) corresponding to SSTA in the tropical Atlantic (20S-4N / 60W-20E) and the predictand (Z) in the western Sahel (12.5N-17.5N / 17.5W-9.5W). (a) Time series of the predictor expansion coefficient and (b) the predictand expansion coefficient (c) Correlation maps corresponding to the predictor and (d) the predictand.





Roberto Suarez Moreno 10/9/2015 12:34 Deleted: Figure 10.



Roberto Suarez Moreno 9/9/2015 17:01 **Deleted:** Validation map from CPT by using Pearson correlation coefficients between each spatial point of the hindcasts and observed maps for the entire study period (1902 -2013).

Figure 9. Skill-score validation using Pearson correlation coefficients between observations and hindcasts. Left column corresponds to the spatial validation for each point in space. Right column corresponds to validation time series (green line) between hindcasts and observations considering only the regions indicated by positive significant spatial correlation. Period SC (top panels); EP (middle panels); NSC (bottom panels). Significant correlation values for time series are indicated by shaded triangles. Blue bars correspond to the expansion coefficient (U) 9 of the SSTA (predictor). Significant values are plotted from a 90% statistical significance 10 under a Montecarlo test.