

S⁴CAST v2.0: Sea Surface Temperature based Statistical Seasonal Forecast Model

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Abstract

Sea Surface Temperature is the key variable when tackling seasonal to decadal climate forecast. Dynamical models are unable to properly reproduce tropical climate variability, introducing biases that prevent a skillful predictability. Statistical methodologies emerge as an alternative to improve the predictability and reduce these biases. In addition, recent studies have put forward the non-stationary behavior of the teleconnections between tropical oceans, showing how the same tropical mode has different impacts depending on the considered sequence of decades. To improve the predictability and investigate possible teleconnections, the Sea Surface Temperature based Statistical Seasonal foreCAST model (S⁴CAST) introduces the novelty of considering the non-stationary links between the predictor and predictand fields. This paper describes the development of S⁴CAST model whose operation is focused on studying the impacts of sea surface temperature on any climate-related variable. Two applications focused on analyzing the predictability of different climatic events have been implemented as benchmark examples.

1. Introduction

Global oceans have the capacity to store and release heat as energy that is transferred to the atmosphere altering global atmospheric circulation. Therefore, fluctuations in monthly sea surface temperature (SST) may be considered as an important source of energy affecting

1 seasonal predictability and improving the ability to forecast climate-related variables. Many
2 research works have been conducted to study the impacts of worldwide sea surface
3 temperature anomalies (SSTA) by means of dynamical models, observational studies and
4 statistical methods. In this way, tropical oceans purchase greater relevance (Rasmusson and
5 Carpenter, 1982; Harrison and Larkin, 1998; Klein et al., 1999; Saravanan and Chang, 2000;
6 Trenberth et al., 2002; Chang et al., 2006; Ding et al., 2012; Wang et al., 2012; Ham, 2013a;
7 2013b; Keenlyside et al., 2013). Because of the persistence shown by SSTA, alterations that
8 occur in the oceans are slower than changes occurring in the atmosphere. Once the thermal
9 equilibrium between the ocean and the atmosphere is broken, oceans are able to release their
10 energy, changing the atmospheric circulation for some time before dissipating, leading in turn
11 to an influence on other variables. This fact explains why the SSTA can be used as potential
12 predictor of the anomalous associated impacts.

13 The S⁴CAST model presented in this work is focused on the study of the predictability and
14 teleconnections of climate-related variables based on the remote influence of the SSTA. It has
15 been shown that such variables can be SST (Rasmusson and Carpenter, 1982; Latif and
16 Barnett, 1995; Harrison and Larkin, 1998; Klein et al., 1999; Trenberth et al., 2002), rainfall
17 (Janicot et al., 2001; Drosowsky and Chambers, 2001; Giannini et al., 2001, 2003; Rowell,
18 2001, 2003; Chung and Ramathan, 2006; Haylock et al., 2006; Polo et al., 2008; Joly and
19 Voltaire, 2009; Lu, 2009; Gaetani et al., 2010; Shin and Sardeshmukh, 2010; Fontaine et al.,
20 2011; Nnamchi and Li, 2011; Bulic and Kucharski, 2012; López-Parages and Rodríguez-
21 Fonseca, 2012), and other climate-related variables. In this way, there are studies that have
22 focused on the role of the tropical Pacific on vegetation, crop yields and the economic
23 consequences resulting from these impacts (Hansen et al., 1998, 2001; Adams et al., 1999;
24 Legler et al., 1999; Li and Kafatos, 2000; Naylor et al., 2001; Tao et al., 2004; Deng et al.,
25 2010; Phillips et al., 1998; Verdin et al., 1999; Podestá et al., 1999; Travasso et al., 2009).
26 Regarding human health, tropical SST patterns have been widely linked to the development
27 and propagation of diseases (Linthicum et al., 2010), where El Niño-southern Oscillation
28 (ENSO) related variability plays a crucial role mainly affecting tropical and subtropical
29 regions around the world (Kovats, 2000; Patz, 2002; Kovats et al., 2003; Patz et al., 2005;
30 McMichael et al., 2006).

31 The study of the impacts of tropical global SST on climate has become increasingly important
32 during the last decades. Thus, there are dynamical and statistical prediction models that

1 attempt to define and predict seasonal averages from interannual to multidecadal time scales.
2 In this way, General Circulation Models (GCMs) emerged from the need to reproduce the
3 ocean-atmosphere interactions, responsible for much of climate variability whose major
4 component is attributed to ENSO phenomenon (Bjerknes, 1969; Gill, 1980). Numerous
5 research centers have done a hard work to create their own prediction systems in which
6 coupled ocean-atmosphere GCMs are used in conjunction with statistical methods to achieve
7 reliable ENSO variability predictions and analyze the skill of these models (Cane et al., 1986;
8 Barnett and Preisendorfer, 1987; Zebiak and Cane, 1987; Barnston and Ropelewski, 1992;
9 Barnett et al., 1993; Barnston et al., 1994, 1999; Ji et al., 1994a, 1994b; Van den Dool, 1994;
10 Mason et al., 1999). Predictability of rainfall has become a scope for these models, finding
11 works that have focused on this issue by means of dynamical and statistical models (Garric et
12 al., 2002; Coelho et al., 2006). However, the difficulty of GCMs to adequately reproduce the
13 tropical climate variability remains a real problem, so that in recent years the number of
14 studies focusing on specific aspects of the biases of these models has increased exponentially
15 (Biasutti et al., 2006; Richter and Xie, 2008; Wahl et al., 2011; Doi et al., 2012; Li and Xie,
16 2012; Richter et al., 2012; Bellenguer et al., 2013; Brown et al., 2013; Toniazzo and
17 Woolnough, 2013; Vanniere et al., 2013; Xue et al., 2013; Li and Xie, 2014).

18 Statistical models have been widely used as an alternative way of climate forecasting,
19 including several techniques in their development. Model Output Statistics (MOS) determine
20 a statistical relationship between the predictand and the variables obtained from dynamic
21 models (Glahn and Lowry, 1972; Klein and Glahn, 1974; Vislocky and Fritsch, 1995).
22 Stochastic climate models were defined in the 1970s to be first applied to predict SSTA and
23 thermocline variability (Hasselmann, 1976; Frankignoul and Hasselmann, 1977) and later
24 addressing non-linearity problems (Majda et al., 1999). Moreover, Linear Inverse Modeling
25 (Penland and Sardeshmukh, 1995) has been used in predicting variables such as tropical
26 Atlantic SSTA (Penland and Matrosova, 1998) and the study of Atlantic Meridional Mode
27 (Vimont, 2012). Statistical modeling with neural networks is also applied in climate
28 prediction (Gardner and Dorling, 1998; Hsieh and Tang, 1998; Tang et al., 2000; Hsieh, 2001;
29 Knutti et al., 2003; Baboo and Shereef, 2010; Shukla et al., 2011) with the potential to be a
30 nonlinear method capable of addressing the problems in atmospheric processes that are
31 overlooked in other statistical methodologies (Tang et al., 2000; Hsieh, 2001).

32 A special mention goes to two linear statistical methods: Maximum Covariance Analysis

1 (MCA) used in the S⁴CAST model and Canonical Correlation Analysis (CCA). These
2 methods have been widely used in seasonal climate forecasting, either to complement
3 dynamical models or to be applied independently. In this way, Climate Predictability Tool
4 (CPT) developed at International Research Institute for Climate and Society (IRI) allows user
5 to apply multivariate linear regression techniques (e.g., CCA) to get their own predictions
6 (Korecha and Barnston, 2007; Recalde-Coronel et al., 2014; Barnston and Tippet, 2014). In
7 essence, these techniques serve to isolate co-variability coupled patterns between two
8 variables that act as predictor and predictand respectively (Bretherton et al., 1992). Based on
9 the ability of the SSTA as predictor field, these methods were originally applied to analyze
10 the predictability of phenomenon like ENSO (Barnston and Ropelewski, 1992), 500-mb
11 height anomalies (Wallace et al., 1992) or global surface temperature and rainfall (Barnston
12 and Smith, 1996). Nevertheless, there are works discussing the use of these methods, focusing
13 on the differences between the two techniques (Cherry, 1996, 1997) and on the limitations in
14 their applications (Newman and Sardeshmukh, 1995).

15 The co-variability patterns between SSTA themselves might fluctuate from one given study
16 period to another, determining non-stationary behavior along time. In this way,
17 teleconnections associated with El Niño or with the Tropical Atlantic are effective in some
18 periods but not in others. In this way, Rodríguez-Fonseca et al. (2009) suggested how the
19 interannual variability in the equatorial Atlantic could be used as predictor of Pacific ENSO
20 after the 1970's, a theory that has been subsequently reinforced by further analysis (Martín-
21 Rey et al., 2012; 2014; 2015; Polo et al., 2015). The non-stationarity in terms of predictability
22 of rainfall has also been found for West African rainfall (Janicot et al., 1996; Fontaine et al.,
23 1998; Mohino et al., 2011; Losada et al., 2012; Rodríguez-Fonseca et al., 2011; 2015); and
24 Europe (López-Parages and Rodríguez-Fonseca, 2012; López-Parages et al., 2014). Thus, the
25 existence of non-stationarities is a key factor in the development of the statistical model.

26 The present paper describes a statistical model based on the predictive nature of SSTA
27 treating the stationarity in the relationships between the predictor and predictand fields.
28 Section 2 describes the theoretical framework including the statistical methodology and the
29 significance of the statistical analysis. Section 3 is dedicated to S⁴CAST model description
30 including the determination of stationary periods, hindcast and forecast calculations and
31 validation. Section 4 describes two case studies concerning the predictability of Sahelian
32 rainfall and tropical Pacific SSTA.

1

2 **2. Theoretical framework**

3 **2.1. Statistical methodology**

4 Maximum Covariance Analysis (MCA) is a broadly used statistical discriminant analysis
5 methodology based on calculating principal directions of maximum covariance between two
6 variables. This statistical analysis considers two fields, Y (predictor) and Z (predictand)
7 (Bretherton et al., 1992; Cherry, 1997; Widmann, 2005) for applying the Singular Value
8 Decomposition (SVD) to the cross-covariance matrix (C) in order to be maximized. SVD is
9 an algebraical technique that diagonalizes non-squared matrices, as it can be the case of the
10 matrices of the two fields to be maximized.

11 In the meteorological context, C is dimensioned in time (n_t) and space domains (n_Y and n_Z for
12 Y and Z respectively), although the spatial domain can be more complex depending on the
13 user requirements. SVD calculates linear combinations of the time series of Y and Z , named as
14 expansion coefficients (hereinafter U and V for Y and Z respectively) that maximize C . The
15 expansion coefficients are computed by diagonalization of C . As C is non-squared,
16 diagonalization is first done to $A = CC^T$ and then to $B = C^T C$. The singular vectors R and Q
17 are the resultant eigenvectors from each diagonalization, which are the spatial configurations
18 of the co-variability modes. The associated loadings on time domain are the expansion
19 coefficients U and V . The eigenvalues are a measure of the percentage of variance explained
20 by each mode.

21 Mathematically, the time anomalies of both, Z and Y fields are calculated by removing the
22 climatological seasonal cycle to the seasonal means.

$$23 \quad Z' = Z - \bar{Z} \quad (1)$$

$$24 \quad Y' = Y - \bar{Y} \quad (2)$$

25 Then, the cross-covariance matrix is calculated as:

$$26 \quad C_{YZ'} = \frac{Y'Z'^T}{(n_t - 1)} \quad (3)$$

27 MCA diagonalizes (3) by SVD methodology, obtaining the singular vectors R and Q from
28 which the expansion coefficients are obtained according to the following expression:

1 $U = R^T Y$ (4)

2 $V = Q^T Z$ (5)

3 Using the eigenvectors, the percentage of explained covariance is calculated as

4 $scf_k = \frac{\lambda_k^2}{\sum_i \lambda_i^2}; \lambda_k = [\lambda_1, \lambda_2, \dots, \lambda_n]$ (6)

5 Where k is the eigenvalue for each k mode and r represents the number of modes taken into
6 account for the analysis.

7 The expression from which an estimation of the predictand is obtained is a linear model as:

8 $\hat{Z} = \Phi Y$ (7)

9 Where Φ is the so-called regression coefficient and \hat{Z} denotes an estimation of the data to be
10 predicted (hindcast).

11 Taking into account that S is the regression map of the field Z onto the direction of U

12 $S = UZ^T$ (8)

13 And assuming good prediction \hat{Z} , it follows that

14 $S = U\hat{Z}^T$ (9)

15 Introducing the equality $(UU^T)(UU^T)^{-1} = I$ and multiplying in (9) the following expression is
16 obtained:

17 $(UU^T)(UU^T)^{-1} S = U\hat{Z}^T$ (10)

18 Removing U from both terms

19 $\hat{Z} = [U^T (UU^T)^{-1} S]^T$ (11)

20 Considering now the expression $U = Y^T R$ it follows that

21 $\hat{Z} = YR(UU^T)^{-1} S$ (12)

22 Comparing this expression with (7) and introducing (8) it can be concluded that

$$\Phi = R(UU^T)^{-1}UZ^T \quad (13)$$

2 Which is the regression coefficient to be calculated when defining the linear model from
3 which the predictions and hindcasts will be obtained.

4 **2.2. Statistical field significance**

5 There are many statistical tests to assess the robustness of a result. The S⁴CAST uses a non-
6 parametric test because, a priori, the model doesn't know the distribution of the predictand
7 field. Thus, applying Monte Carlo testing assesses the robustness of the results and is used to
8 validate the S⁴CAST model skill. This method involves performing a large number (N > 500)
9 of permutations from the original time series. Each permuted time series is used to repeat the
10 calculation and compare the obtained results with the real values. Once this is done, the
11 values obtained with the N permutations are taken to create a random distribution to finally
12 determine the position of the real value within the distribution, which will indicate the
13 statistical significance of the obtained value. This method has been described and used in
14 many previous works (Livezey and Chen, 1987; Barnett, 1995; Maia et al., 2007). The user
15 inputs the level of statistical significance at which the test is applied, being the most used 90%
16 (0.10), 95% (0.05) and 99% (0.01).

17

18 **3. S⁴CAST model**

19 S⁴CAST v2.0 model is conceived as a statistical tool to study the predictability and
20 teleconnections of variables that strongly covary with SSTA variability in remote and nearby
21 locations to a particular region of study. The code has been developed as a MATLAB®
22 toolbox. The software requirements are variable and depend on user needs. The spatial
23 resolution and size of data files used as inputs are directly proportional to memory
24 requirements. The software generates an 'out of memory' message whenever it requests a
25 segment of memory from the operating system that is larger than what is currently available.
26 The model software consists of three main modules (figure 1), each composed of a set of sub-
27 modules whose operation is described below.

28 **3.1. Model Inputs**

29 S⁴CAST v2.0 has a direct execution mode. By simply typing 'S4cast' in the command
30 window, the user is prompted to enter a series of input parameters in a simple and intuitive

1 way.

2 **3.1.1. Loading databases**

3 The model is ready to work with Network Common Data Form (NetCDF) data files. There
4 are different conventions to set the attributes of the variables contained in NetCDF files. In
5 this way, the data structure must conform as far as possible to the Cooperative
6 Ocean/Atmosphere Research Service (COARDS) convention. Execution errors that may
7 occur due to the selection of data files are easily corrected by minor modifications of data
8 assimilation scripts. Data files can be easily introduced at the request of the user. Once
9 downloaded from the website of a determined center of climate and environmental research,
10 the user inserts data files into the directory set by default (*S4CAST_v2.0/data_files*).

11 **3.1.2. Input parameters**

12 In order to correctly introduce the input parameters, it is convenient to present some terms
13 commonly used in seasonal forecasting. In this way, the forecast period corresponds to the n-
14 month seasonal period concerning the predictand for which the forecast and hindcasts are
15 performed. Moreover, the lead-time refers to time expressed in months between the last
16 month comprising the predictor monthly period and the first month comprising the forecast
17 period. Thus, medium-range forecast refers to a lead-time set to zero, while long-range
18 forecast refers to a lead-time equal or larger than one month. Strictly, we can't speak about
19 lead-time when the predictor monthly period partially or totally overlaps the forecast period.
20 In this case we refer to lag-time expressed in months between the last month comprising the
21 forecast period and the last month for predictor period. The relationship between lead-time
22 and lag-time depends on the number of months comprising the forecast period. Finally, the
23 forecast-time is commonly used to describe the time gap expressed in months between the
24 predictor and predictand monthly periods, assuming the same concept represented by the
25 lead-time.

26 In the first step, predictand and predictor data files are selected. In this way, the predictand
27 field can be precipitation, SST, or any variable susceptible to be predicted from SSTA. The
28 predictor is restricted to SST.

29 Once predictor and predictand fields are selected, the available common time period between
30 them is analyzed and displayed so that the user is prompted to select the whole common
31 period for analysis or other within it. The same temporal dimension in both fields is required

1 in the statistical analysis to construct the cross-covariance matrix (see section 2.1.).

2 The next step is for selecting the n-month forecast period in which the predictand is
3 considered. The model allows a selection from one ($n = 1$) to four ($n = 4$) months. From the
4 forecast period, the user determines a specific lead-time, relative to the predictor, from which
5 medium-range (lead-time 0) or long-range (lead-time > 0) forecast can be performed. In order
6 to study and evaluate possible teleconnections, the temporal overlapping between the forecast
7 period and the predictor is also available by defining the monthly lags between both fields
8 from monthly lag 0 (synchronous) referred to the case in which the predictor and the
9 predictand fields are taken at the same n-month period, through partial overlapping to
10 eliminate the overlapping (medium-range forecast). Note that synchronous and partially
11 overlapping seasons between predictor and predictand fields are not useful when referring to
12 predictability, although this option is available in order to perform simulations focused on the
13 study of physical mechanisms (teleconnections) between the predictor and predictand fields.
14 Thus, it is worth noting that the model may be focused in the study of the predictability but it
15 can be also used to detect teleconnections between SST (predictor) and a predictand field.

16 Monthly lags indicating forecast times (lead-times) are user selectable. To illustrate the above,
17 taking a hypothetical case in which the forecast period corresponds to the months from
18 February to April (FMA) whatever the region, the synchronous option will consider the
19 predictor in FMA, while partially overlapping occurs when the predictor is taken for January-
20 to-March (JFM) and December-to-February (DJF). Avoiding overlapping, lead time 0 will be
21 NDJ (November-to-January), lead time 1 will be OND (October-to-December), lead time 2
22 will be SON (September-to-November) and so on, without overlapping FMA season of the
23 previous year. Thus, the user can select any 3-month isolated period from FMA
24 (synchronous) to MJJ (May-to-July).

25 Next, the spatial domains of both predictor and predictand fields are easily selected from its
26 latitudinal and longitudinal values. Considering the above options, the user can select a
27 sequence of successive monthly lags or only one so that the predictor is taken for the total
28 amount of selected information (e.g., NDJ+OND+SON).

29 Later, there is the possibility of applying a filter to the time series of predictor and predictand
30 fields. The current version uses a Butterworth filter, either as high-pass or low-pass filter
31 frequently used in climate-related studies (e.g., Roe and Steig, 2004; Enfield and Cid-Serrano,
32 2006; Mokhov and Smirnov, 2006; Ault and George, 2012; Schurer and Hegerl, 2013),

1 although the selection of low pass filter is not suitable for seasonal forecast and subsequently
2 is not useful in the current version. Anyway, the possibility of selecting a low pass filter is
3 maintained in order to include decadal predictability in a future version of the model. The
4 application of a filter allows the user to isolate the frequencies at which the variability
5 operates, which can have different sources of predictability. In this way, the user selects the
6 cutoff frequency, following the expression $2dt/T$, being dt the sampling interval and T the
7 period to be filtered both in the same units of time. If no filter is applied, the raw data is used.
8 There are plenty of filters that could be applied and future versions of the model will include
9 different possibilities.

10 In case of multiple time selection for predictor, the statistical methodology is firstly applied
11 considering the largest lead-time and successively adding information for other lead-times up
12 to the present. So, continuing with the example above in which the forecast period
13 corresponds to FMA if selected lead-times from 0 to 3, the first predictor selection is made
14 considering the 3-months lead-time period (SON). After, the 2-months lead-time period is
15 added (ASO+SON). Next, up to the period 1-month delayed (ASO+SON+OND), and finally
16 the case up to the period with a lead-time equal to zero (ASO+SON+OND+NDJ). Previous
17 example is illustrated in figure 2.

18 Once the matrices are determined for each predictor time selection, the statistical
19 methodology is applied. Up to now, the model applies the MCA discriminant analysis
20 technique, although other statistical methodologies will be included in future releases,
21 including CCA or non-linear methods as neural network and Bayesian methodologies. As
22 indicated in the previous section, MCA determines a new vector base in which the relations
23 between the variables are maximized. Thus, it is important to choose a number of modes
24 (principal directions) to be considered in the computations, selecting either a single mode or a
25 set of them, always consecutive. The analysis of stationarity is performed for a single mode
26 selection. For multi-mode selection, the whole time series will be considered.

27 The statistical field significance level is set for the first time to assess the analysis of
28 stationarity. Thus, the model runs for the entire period and for those periods for which the
29 relationships are considered stationary within it. This is internally established by applying the
30 method explained later in the section 3.2.1.

31 **3.1.3. Data preprocessing**

32 From selected data files and input parameters previously defined, preprocessing of data is

1 performed so that the data are prepared for implementing statistical methodology.

2 **3.2. Statistical Tools**

3 At this point the statistical procedure described in the methodology is applied considering
4 different periods based on the previously described stationary analysis.

5 **3.2.1. Analysis of stationarity**

6 Stationarity refers to changes along time in the co-variability pattern between two variables.
7 Thus, we speak about stationarity when such a pattern of co-variability keeps invariant within
8 a time period and therefore will be non-stationary when showing changes. To evaluate how
9 much the predictor (Y) and the predictand (Z) fields are related to each other, the model
10 calculates running mean correlations between the expansion coefficients indicated in (4) and
11 (5) for the selected k^{th} mode along the record. This technique has been widely used to
12 determine the stationarity of the relationships between the time series of climate indices (e.g.,
13 Camberlin et al., 2001; Rimbu et al., 2003; Van Oldenborgh and Burgers, 2005). Next, the
14 significance level of correlation coefficients is calculated according to the method explained
15 in section 2.2. In this way, stationary relationships between the predictor (Y) and the
16 predictand (Z) fields are established by applying a 21 years moving correlation windows
17 analysis between the leading expansion coefficients of both fields obtained from the
18 discriminant analysis method (section 2.1.) using the whole record in accordance with the
19 evolution of the correlation coefficient. To do this, three types of 21 years moving correlation
20 windows are user selectable: ‘delayed’ to correlate one year and the 20 previous years;
21 ‘centered’ to correlate one year, the 10 previous years and the 10 next years; or ‘advanced’ to
22 correlate one year and the 20 next years. Note that delayed correlation coefficients are the
23 most suitable in a forecast context when referring to future prediction. Nevertheless, centered
24 and advanced correlation coefficients are also available for application no matter the aim of
25 the user.

26 From previous analysis, three different periods are analyzed depending on the stationarity of
27 the predictability: use the significant correlation period (hereinafter SC) for which the
28 expansion coefficients are significantly correlated; use no significant correlation period
29 (hereinafter NSC), and work with the entire period (hereinafter EP). The model performs all
30 calculations for each period separately and, from them, the simulated maps (hindcasts) of the
31 predictand for each year are calculated by applying cross-validation.**3.2.2. Model**

1 **validation**

2 Cross-validation is used in climate forecasting as part of statistical models when assessing
3 forecast skill (Michaelsen, 1987; Barnston and Van den Dool, 1993; Elsner and
4 Schmertmann, 1994). This method is intended as a model validation technique in which the
5 data for the predictor and the predictand for a given time step is removed from the analysis to
6 make an estimate of it with the rest of data, comparing the simulated value with the removed
7 one. In this way, a cross-validated hindcast is obtained. In the S⁴CAST model, the leave-one-
8 out method is applied as described by (Dayan et al., 2013). From the comparison between the
9 predicted value and the original one, the skill of the model can be inferred using different
10 skill-scores. S⁴CAST considers the Pearson correlation coefficients and the root mean square
11 error (RMSE) although other scores will be introduced in future versions.

12 **3.3. Model Outputs**

13 Modes of co-variability are related to spatial patterns of different variables that co-vary over
14 time, and thus, are linked to each other. In the case of MCA, the covariance matrix is
15 computed and the SVD method is applied to provide a new basis of eigenvectors for the
16 predictor and predictand fields which covariance is maximized. The obtained singular vectors
17 describe spatial patterns of anomalies in each of the variables that tend to be related to each
18 other. Regression and correlation maps and corresponding expansion coefficients determine
19 each mode of co-variability for the predictor and predictand fields. The expansion coefficients
20 indicate the weight of these patterns in each of the time steps. Thus, regression and correlation
21 co-variability maps can be represented. This is done with the original anomalous matrix,
22 highlighting those grid points whose time series are highly correlated with the obtained
23 expansion coefficients, showing large co-variability and determining the key regions of
24 prediction. To represent it, regression and correlation maps are calculated to analyze the
25 coupling between variables and to understand the physical mechanisms involved in the link.

26 On the other hand, the time series of the expansion coefficients determine the scores of the
27 regression and correlation maps at each time along the study period. The model represent the
28 expansion coefficients used to calculate the regression coefficients. Thus, those years in
29 which the expansion coefficients for the predictor and the predictand are highly correlated
30 will coincide with years in which we can expect a better estimation.

31 In the current version of the model, the root mean square error (RMSE) and the Pearson
32 correlation coefficients skill scores have been included. These techniques are applied to

1 compare the observed and simulated maps (hindcasts) of the predictand field obtaining
2 correlation and RMSE maps and time series. On the one hand, maps are obtained calculating
3 for each grid point the skill scores between the hindcast and the observed maps. On the other
4 hand, time series are obtained for each time by applying correlation and RMSE between the
5 area average of the observed and estimated maps. Some comments on these techniques are
6 addressed by Barnston (1992). The S⁴CAST model generates the hindcast within the EP, SC
7 and NSC periods separately from applying the one-leave-out method (Dayan et al., 2013) and
8 then the statistical methodology.

9

10 **4. Application of the model: case studies**

11 Two different case studies have been simulated as benchmark examples. Both cases are
12 focused on the predictive ability of the tropical Atlantic SSTA. In a first simulation, the
13 predictand field corresponds to Sahelian rainfall. In a second simulation, winter tropical
14 Pacific SSTA have been used as predictand field. The links between tropical Atlantic Ocean
15 and the two variables selected as predictand fields have been widely studied exhibiting non-
16 stationary relationships. The results obtained by applying the model have been contrasted in
17 the following sections. Tables 1 and 2 list the entries for both case studies to be easily
18 reproduced by the user.

19 **4.1 Tropical Atlantic – Sahelian rainfall**

20 In this first case study the model has been applied to validate its use in the study of seasonal
21 rainfall predictability in the Sahel taking tropical Atlantic as predictor field. The West African
22 Monsoon (WAM) is characterized by a strong seasonal rainfall regime that occurs from July
23 to September related to the semi-annual shift of the Intertropical Convergence Zone (ITCZ)
24 together with the presence of a strong thermal gradient between the Sahara and the ocean in
25 the Gulf of Guinea. The interannual fluctuations in seasonal rainfall are due to various causes,
26 being the changes in global SST the main driver of WAM variability (Folland, 1986; Palmer,
27 1986; Fontaine et al., 1998; Rodríguez-Fonseca et al., 2015). Particularly, several
28 observational studies suggest the influence of tropical Atlantic SSTA on the WAM at
29 interannual time scales (Giannini et al., 2003; Polo et al., 2008; Joly and Voltaire, 2009;
30 Nnamchi and Li, 2011).

31 Regarding the input parameters (table 1), the predictand field corresponds to precipitation

1 from GPCC Full Data Reanalysis monthly means of precipitation appended with GPCC
2 monitoring dataset from 2011 onwards with a resolution of $1.0^\circ \times 1.0^\circ$ covering the period
3 from January 1901 to March 2015 (Rudolf et al., 2010; Becker et al., 2013; Schneider et al.,
4 2014; <http://gpcc.dwd.de>). The forecast period consists of July to September (JAS),
5 computing seasonal anomalous rainfall in the Sahelian domain (18W-10E; 12N-18N). No
6 frequency filter is applied for predictand. The predictor field corresponds to NOAA Extended
7 Reconstructed SST (ERSST) V3b monthly means of SST with a resolution of $2.0^\circ \times 2.0^\circ$
8 spanning the period from January 1854 to May 2015 (Smith and Reynolds 2003; 2004; Smith
9 et al., 2008; <http://www.ncdc.noaa.gov/oa/climate/research/sst/ersstv3.php>). The spatial
10 domain corresponds to southern subtropical and equatorial Atlantic band (60W-20E; 20S-
11 4N). A high pass filter with cutoff frequency set to 7 years has been applied to the predictor
12 time series in order to analyze the influence of SSTA interannual variability, which includes
13 leading oceanic interannual variability modes such as the Atlantic equatorial mode (AEM)
14 (Polo et al., 2008) or the South Atlantic Ocean dipole (SAOD) (Nnamchi et al., 2011).
15 Medium-range forecast has been taken into account setting the lead-time to zero (equivalent
16 to monthly lag 3). In this way, April-to-June (AMJ) is the selected season for predictor.

17 For applying the methodology, the leading mode of co-variability ($k = 1$) has been selected.
18 The correlation curve (figure 3) reflects the stationary periods (SC and NSC) within EP period
19 as stated in section 3.2.1. The SC period is almost restricted to years from 1932 to 1971 with
20 some exceptions. The remaining years are taken to analyze the predictability for the NSC
21 period.

22 Figure 4 show regression maps associated with the leading mode for the periods SC, EP and
23 NSC explaining 50%, 32% and 41% of co-variability respectively. For the SC period (figure
24 4, top panels), the co-variability pattern exhibits a quasi-isolated cooling in the tropical
25 Atlantic associated with a rainfall dipole over West Africa with negative anomalies in the
26 region of the Gulf of Guinea and opposite in the Sahel. The opposite co-variability pattern
27 takes place under negative scores of the expansion coefficient. These results are in agreement
28 with those found in the last decades of the 20th century by several authors who have
29 discussed the role of the tropical Atlantic SST as a dominant factor in the WAM variability at
30 interannual and seasonal time scales (Janowiak, 1988; Janicot, 1992; Fontaine and Janicot,
31 1996). Losada et al. (2010b) found how the response to an isolated positive equatorial
32 Atlantic Niño event is a dipolar rainfall pattern in which the decrease of rainfall in Sahel is

1 related to the increase of rainfall in Guinea (as in figure 4) due to changes in the sea-land
2 pressure gradient between Gulf of Guinea SSTs and the Sahel. Mohino et al (2011) and
3 Rodríguez-Fonseca et al. (2011) have found in the observations how this dipolar behavior
4 takes place for some particular decades coinciding with the SC periods, confirming in this
5 way the correct determination of the leading co-variability mode by the model. When
6 considering the EP period (figure 4, middle panels), a co-variability pattern similar to that
7 observed for the SC period is appreciated with small differences. Regarding the predictand
8 field, the anomalous rainfall signal is less intense when compared to SC. For the predictor, the
9 cooling in the tropical Atlantic is accompanied by opposite weak anomalies in the north
10 subtropical and tropical Pacific. Regarding the NSC period (figure 4, bottom panels), as for
11 the previous periods (SC, EP) a cooling in the tropical Atlantic is observed concerning the
12 predictor associated with negative rainfall anomalies in the Gulf of Guinea and a weak
13 positive signal in the eastern Sahel, virtually disappearing the rainfall dipole. The global
14 SSTA regression map shows a significant warming in the tropical Pacific. The opposite
15 pattern should be considered under negative scores of the expansion coefficient.

16 The results presented above support the existence of a non-stationary behavior of the
17 teleconnections between SSTA variability and rainfall associated with WAM. Several authors
18 have addressed the dipolar anomalous rainfall pattern as a response of an isolated tropical
19 Atlantic warming (cooling) (Rodríguez-Fonseca et al., 2011, Losada et al., 2010a; 2010b;
20 Mohino et al., 2011) restricted to the period 1957-78 in the observations. The uniform rainfall
21 signal over the whole West Africa, with negative anomalies related to a cooling over tropical
22 Atlantic and an opposite sign pattern over tropical Pacific is only observed for the period from
23 1979 in advance. These results agree with Losada et al. (2012), who focused on non-
24 stationary influences of tropical global SST in WAM variability, explaining how the
25 disappearance of the dipole was due to the counteracting effect of the anomalous responses of
26 the Pacific and Atlantic on the Sahel. Recently, Diatta and Fink (2014) have documented
27 similar non-stationary relationships.

28 The associated skill of the model to reproduce the rainfall is shown in figure 5 in terms of
29 correlation maps and time series for SC and EP periods. A qualitative improvement is
30 observed when considering the SC periods instead of the whole period (EP). This result points
31 to a better spatial distribution of the significant values for particular decades in which the
32 signal extends to a larger spatial domain. In order to analyze the performance of the

1 simulation for each particular year, the correlation between observed and predicted maps at
2 each time step is calculated and shown in figure 5. Since it has only been considered the
3 leading mode of co-variability, the time series of validation between observed and simulated
4 rainfall should evolve following the absolute values of the expansion coefficients. Thus, when
5 the expansion coefficient (U) of the predictor (SST) shows high scores in the leading mode,
6 good hindcasts are obtained.

7 **4.2. Tropical Atlantic – Tropical Pacific**

8 A non-stationary behavior in the association between tropical Atlantic and tropical Pacific
9 SSTA has been recently documented in some works suggesting that the tropical Atlantic
10 SSTA during the boreal summer could be a potential predictor of winter tropical Pacific
11 SSTA variability after the 1970s (Rodríguez-Fonseca et al., 2009; Ding et al., 2012). In this
12 section, the S4CAST model has been applied to corroborate the non-stationarity in the
13 teleconnection between tropical Atlantic considered as predictor field and tropical Pacific
14 variability, a feature that has been also demonstrated in Martin del Rey et al (2015).

15 The input parameters are listed in table 2. Both predictor and predictand fields corresponds to
16 NOAA ERSST introduced in the previous section (4.1) covering the period from January
17 1854 to May 2015. The forecast period consists of December-to-March (DJFM). The selected
18 region for predictand corresponds to SSTA in the tropical Pacific domain (120E-60W; 30S-
19 20N), while the predictor corresponds to tropical Atlantic SSTA (60W-20E; 20S-4N) and has
20 been considered for the period July-to-October (JASO), which means long-range forecast
21 setting the lead-time to one month. A high pass filter with cutoff frequency set to 7 years has
22 been applied to both predictor and predictand time series in order to analyze the predictability
23 considering interannual variability. For applying the methodology and assess the stationary
24 periods (SC and NSC) within EP, the leading mode of co-variability ($k = 1$) has been selected.

25 The correlation curve (figure 7) presents the SC period clearly divided into two intervals:
26 from 1889 to 1939 and from 1985 up to the present (2015). Consequently, the NSC period
27 corresponds to the remaining years within the study period (1854 – 2015).

28 The leading mode (figure 8) for the periods SC, NSC and EP explains 52%, 28% and 43% of
29 co-variability respectively. Regarding the SC (figure 8; top panels) and EP (figure 8; middle
30 panels) periods it is observed how a cooling (warming) in the tropical Atlantic is related to a
31 warming (cooling). Thus the co-variability pattern is defined by opposite sign anomalies
32 between predictor and predictand fields, although the magnitude of the anomalies is greater

1 concerning the SC period. Considering the NSC period (figure 8; bottom panels), a signal in
2 tropical Pacific is not observed in response to the tropical Atlantic cooling (warming).

3 Previous results are in agreement with former studies in which a similar tropical SSTA pattern
4 with opposite temperature anomalies in the equatorial Atlantic and Pacific in summer has
5 been documented to occur in the decades within the SC period (Rodríguez-Fonseca et al.,
6 2009; Martín-Rey et al., 2012). Thus, Martín-Rey et al. (2014, 2015) point to a non-stationary
7 relationship that seems to take place in the early 20th century and after the 1970s, confirming
8 the correct determination of the leading co-variability mode by the model.

9 The mechanism from which the teleconnection takes place, has been explained by Polo et al.
10 (2015), who suggest that a cooling in the equatorial Atlantic results in enhanced equatorial
11 convection, altering the Walker circulation and consequently enhancing subsidence and
12 surface wind divergence over the equatorial Pacific during the period July-to-August (JASO).
13 The anomalous wind piles up water in the western tropical Pacific, triggering a Kelvin wave
14 eastward from autumn to winter, setting up the conditions for a cold event in the equatorial
15 east Pacific during the period December-to-March (DJFM). Considering a cooling in the
16 tropical Atlantic, the opposite sequence takes place.

17 The skill of the model in reproducing tropical Pacific SSTA (figure 9) is also restricted to
18 stationary conditions. Thus, depending on the considered sequence of decades within the
19 period EP (figure 9; middle panels), the model provides better results for period SC (figure 9;
20 top panels), while it is not able to produce reliable estimations when period NSC (figure 9;
21 bottom panels) is taken into account. These results highlight the need to consider different
22 periods and possible modulations when tackling seasonal predictability of tropical Pacific
23 SSTA, in agreement with recent results of Martín del Rey et al. (2015).

24

25 **5. Discussion and conclusions**

26 It is well known how dynamical models are far to produce very accurate seasonal climate
27 forecast for non-ENSO events, partly due to the presence of strong biases in some regions, as
28 the tropical Atlantic (Barnston et al., 2015). In contrast, statistical models, despite being an
29 useful and effective supplement, they are mostly unable to reproduce the non-linearity in the
30 ocean-atmosphere system, exceptions include neural networks and Bayesian methods.
31 Attempts to implement new statistical models constitute a fundamental contribution aimed to

1 enhance and complement the dynamical models. Anyway, statistical models have evolved
2 linked to dynamical models, either as an alternative or within them as a hybrid model.

3 Following this reasoning, this paper introduces the S⁴CAST v2.0 model. The model was
4 created from the first version (S⁴CAST v1.0) developed as the main part of a cooperation
5 project between the *Laboratoire de Physique de l'Atmosphère et de l'Océan Siméon Fongang*
6 of the University Cheik Anta Diop (UCAD) in Dakar (Senegal) and the *Complutense*
7 University of Madrid (UCM) within the VIII UCM Call for Cooperation and Development
8 projects (VR: 101/11) and was named “Creation and Donation of a statistical seasonal
9 forecast model for West African rainfall”. Thereby, the authors wanted to respect the number
10 of the donation version despite not having a publication. As a brief explanation on the history,
11 the original model was restricted to study the predictability of West African rainfall from
12 tropical global SSTA under some input parameters much more limited respect version 2.0.
13 Thus, the reason for developing and improve the model for publication is the motivation
14 arising from colleagues in different institutions along Africa and Europe to expand the model
15 and use it as an alternative tool to look for SST-related predictability due to the strong SST
16 bias that coupled dynamical models exhibit nowadays.

17 The model is based on the predictive power of the SST. Concerning the association along
18 time between SSTA and any climate-related variable susceptible of being predicted from it,
19 the concept of stationarity is raised as one of the motivating factors in creating the S⁴CAST
20 model. The stationarity refers to changes in the co-variability patterns between the predictor
21 and the predictand fields along a given sequence of decades, so that it can be kept invariant
22 (stationary) or changing (non-stationary). This concept has been addressed by different
23 authors (Janicot et al., 1996; Fontaine et al., 1998; Rodríguez-Fonseca et al., 2009, 2011;
24 Mohino et al., 2011; Martín-Rey et al., 2012; Losada et al., 2012) and becomes the main
25 novelty and contribution introduced by S⁴CAST as a key factor to consider in seasonal
26 forecasting provided by current prediction models, either dynamical or statistical. Thus,
27 S⁴CAST model is an alternative to enhance and complement the estimates made by dynamical
28 models, which have a number of systematic errors to adequately reproduce the tropical
29 climate variability (Biasutti et al., 2006; Richter and Xie, 2008; Wahl et al., 2011; Doi et al.,
30 2012; Richter et al., 2012; Bellenguer et al., 2013; Brown et al., 2013; Li and Xie, 2013;
31 Toniazzo and Woolnough, 2013; Vanniere et al., 2013; Xue et al., 2013). For the time being,
32 the S⁴CAST model cannot be applied for strict operational forecasts, although its application

1 in determining stationary relationships between two fields and their co-variability patterns can
2 be crucial for improving the estimates provided by the operating prediction models currently
3 used.

4 The model is proposed for use in two areas: the study of seasonal predictability and the study
5 of teleconnections, both based on the influence of SST. On the one hand, we refer to
6 predictability when predictor is considered from a lead-time equal to 0 months (medium-
7 range forecast) in advance (long-range forecast). On the other hand, we speak about the study
8 of teleconnections when predictor seasonal selection partially or totally overlaps
9 (synchronous) the forecast period, meaning that one can not speak about lead-time, instead we
10 speak about a monthly lag between the last month in the forecast period and the last month
11 comprising the predictor monthly period.

12 In addition to previous considerations, the model always provides the predictions in hindcast
13 mode for the different periods of stationarity (SC, NSC and EP), while the forecast mode
14 depends on input parameters and data files used for predictor and predictand fields. For
15 instance, considering from September to November (SON) as forecast period concerning the
16 predictand and selecting a lead- time of two months for the prediction, which means taking
17 the predictor two months before September (from April to June; AMJ), the prediction for
18 SON 2015 will be performed if predictand field is available at least until November 2014 and
19 predictor is available at least until June 2015. Thus, the model constructs the regression
20 coefficient by using the common period until November 2014. Regression coefficients along
21 with predictor data (AMJ 2015) will provide the forecast for SON 2015. In this way, the
22 model firstly checks data availability related to the input parameters and shows by screen if
23 future forecast is enabled. If enabled, the model performs three types of forecast by
24 computing the regression coefficient respectively for each period (SC, NSC, EP). Finally, the
25 user should determine the better forecast by a study of the modulations of each stationary
26 period and the sequence of hindcasts immediately preceding the present.

27 In the applications shown in this paper we have focused in the results from MCA. This
28 statistical methodology, along with Canonical Correlation Analysis (CCA), have been widely
29 used in studies of predictability during the last decades (Barnston and Ropelewski, 1992;
30 Bretherton et al., 1992; Wallace et al., 1992; Barnston and Smith, 1996; Fontaine et al., 1999;
31 Korecha and Barnston, 2007; Barnston and Tippet, 2014; Recalde-Coronel et al., 2014).
32 Integration of the methodology and intuitive use through a user interface are some of the main

1 advantages of the S⁴CAST model, allowing the selection of a big number of inputs. Future
2 releases of the model will include other methodologies that are currently being introduced and
3 tested.

4 Originally, the model was created to tackle the study of the predictability of anomalous
5 rainfall associated with WAM, which co-varies in a different way with the tropical band of
6 Atlantic and Pacific ocean basins, being an indicator of non-stationarity (Losada et al., 2012).
7 The transition between SC and NSC periods, around the 1970s, has served as the starting
8 point of many studies focusing on the influence of global SSTA before and after that period
9 (Mohino et al., 2011; Rodríguez-Fonseca et al., 2011; 2015; Losada et al., 2012) while being
10 one of the motivations to create S⁴CAST.

11 The choice of the case study related to Sahelian rainfall predictability is motivated by two
12 main reasons: on the one hand, SST in the tropical Atlantic is well known to strongly
13 influence the dynamics of the ITCZ (Fontaine et al., 1998) which in turn determines the
14 subsequent WAM. Nevertheless, dynamical models do not reproduce the influence of SST on
15 the ITCZ (Lin, 2007; Richter and Xie, 2008; Doi et al., 2012; Tonniazzo and Woolnough,
16 2013) becoming the statistical prediction an alternative way to predict WAM variability. The
17 second reason is related to the non-stationary influence of the tropical Atlantic on Sahelian
18 rainfall reported in some studies (Janicot et al., 1996, 1998; Ward, 1998; Rodríguez-Fonseca
19 et al., 2011; Mohino et al., 2011; Losada et al., 2012).

20 The second case study has served as a benchmark to certify the ability of the S⁴CAST model
21 in the study of SSTA predictability by the corroboration of the Equatorial Atlantic variability
22 as predictor of ENSO. This is a recently discovered relationship (Rodríguez-Fonseca et al.,
23 2009; Ding et al., 2011; Polo et al., 2015) that has been found to be non-stationary (Martín
24 del Rey et al., 2014, 2015).

25 The application of moving correlation windows between expansion coefficients obtained from
26 MCA analysis results in three periods of stationarity depending on the statistically significant
27 correlation: entire period (EP), significant correlation period (SC) and no-significant
28 correlation period (NSC). For the case in which non-stationarity is considered we refer to EP
29 period, assuming changes in co-variability patterns. Stationarity is referred to SC and NSC
30 periods. These periods may slightly vary depending on the type of moving correlation
31 windows: advanced, centered or delayed. Stationary analysis to determine the three different
32 work periods (SC, NSC, EP) is limited to the selection of a single mode of co-variability.

1 When selecting a set of modes, the stationarity analysis is not applied so that simulations are
2 only developed for EP period, whereby the whole time series is considered for both the
3 predictor and predictand fields.

4 Three conditions may enhance the degree of confidence in a given predictor. The first has to
5 do with the selection of moving correlation windows (see section 3.1.2.) used to determine the
6 working scenarios (SC, NSC, EP). Delayed moving correlation windows can help in this task.
7 Thus, if correlation coefficients between the expansion coefficients (U and V) exhibit
8 significant values for the present year and the previous 21 study years, greater confidence is
9 assumed for the predictor. The second condition is determined by the value of the expansion
10 coefficient (U) for the current year so that the higher its value, the better the forecast. The last
11 condition has to do with the percentage of variance explained by the selected co-variability
12 mode, the higher its value, the better the forecast. Nevertheless, despite previous conditions,
13 the influence of other remote and nearby oceanic predictors must be considered in order to
14 provide a full and reliable predictability study.

15 So far, the data files used as predictor and predictand fields correspond to observations and
16 reanalysis from several institutions. The use of new data files is simple and can be performed
17 according to user needs. The upgrade of data files from respective websites must be checked
18 periodically to strengthen the results. In addition, it is also advisable to launch the same
19 simulations using different data files in order to compare the results and assess the robustness
20 of the forecast. The results shown in this work for different selections have been verified by
21 following these criteria.

22 The results obtained by using the S⁴CAST model put forward the consideration of non-
23 stationarity in the co-variability patterns and therefore in climatic teleconnections. Thus, it is
24 important to determine the multidecadal modulator of the interannual variability in order to
25 know which predictor is the one affecting in particular periods and regions (Rodríguez-
26 Fonseca et al., 2015).

27

28 **6. Code availability**

29 The model consists of a software package organized in folders containing libraries, functions
30 and scripts developed as a MATLAB[®] toolbox from version R2010b onwards. Two of the
31 folders, named as *mexcdf* and *netcdf_toolbox*, corresponds to libraries needed for working

1 with NetCDF files and have been downloaded from www.mexcdf.sourceforge.net and built-in
2 into the model. The file containing the model core with the executable code is named *S4core*.
3 Once the toolbox has been added to the MATLAB® path and by simply typing ‘*S4cast*’ in the
4 command window, the user is prompted to enter a number of input parameters required to
5 launch a simulation. The software package *S4plot* dedicated to plot figures has been added so
6 that the user can use this software by typing ‘*figures*’ in the command window. Note that
7 figures presented in this work have been further improved manually. The code is Open
8 Access and can be downloaded from the Zenodo repository (DOI 10.5281/zenodo.15985) in
9 the URL <https://zenodo.org/record/15985>. To facilitate the execution of the model leading to
10 the results shown in this paper, used data files that have been previously defined in Section 4,
11 are included in the directories */S4CAST_v2.0/data_files/predictand* and
12 */S4CAST_v2.0/data_files/predictor*. The second case study requires NOAA ERSST as
13 predictor and predictand. The code has been thoroughly analyzed by using several data files
14 and input parameters. However, the emergence of software bugs is not ruled out, being mostly
15 associated with problems to adapt and use NetCDF files. To solve these hypothetical code
16 bugs, please do not hesitate to contact authors.

17

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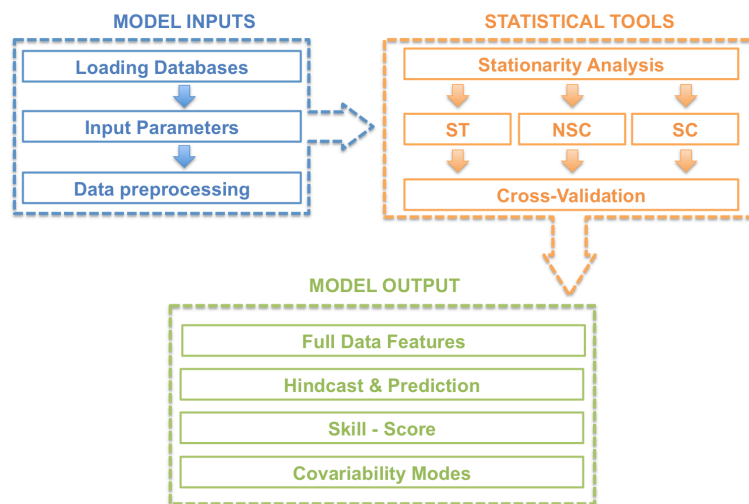
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- 1 Table 1. Input parameters used to reproduce the first case study. Left column represents the
 2 statements reproduced by the model with the same format as in the simulation. Right column
 3 represents the input parameters entered by the user.

Statements reproduced by the model	Input parameters entered by the user
Enter the NetCDF file containing the predictand data in the path /S4CAST_v2.0/data_files/predictand/ Press enter to continue PREDICTAND data available from Jan-1901 to Mar-2015	
Enter the NetCDF file containing the predictor data in the path /S4CAST_v2.0/data_files/predictor/ Press enter to continue PREDICTOR data available from Jan-1854 to May-2015	
Select a common analysis period The common longest analysis period extends from Jan-1902 to Mar-2015 Do you want to select this period? y/n	'y'
The selected analysis period extends from Jan-1902 to Mar-2015 Select the forecast period Type 1 to select a set of months Type 2 to select one month	1
Enter the forecast period using the initials of the months 2015 forecast available from lead time 1 (monthly lag 4) to lead time 6 (monthly lag 9)	'JAS'
Enter PREDICTAND spatial domain West longitude from -179.5 to 179.5	-18
East longitude from -179.5 to 179.5	10
South latitude from -89.5 to 89.5	12
North latitude from -89.5 to 89.5	18
Do you want to standardize the predictand? y/n	'y'
Do you want to apply a Butterworth filter to the predictand? y/n	'n'
Enter PREDICTOR spatial domain West longitude from -180 to 178	-60
East longitude from -180 to 178	20
South latitude from -88 to 88	-20
North latitude from -88 to 88	5
Do you want to standardize the predictor? y/n	'n'
Do you want to apply a Butterworth filter to the predictor? y/n	'y'
Type 1 to apply a high pass filter Type 2 to apply a low pass filter	1
Introduce the cutoff frequency	7
Select the predictor monthly periods Type 1 to select a set of chronological monthly periods Type 2 to select one monthly period	2
Enter the monthly lag regarding the predictand	3
Select the number of modes for MCA analysis Do you want to select a set of modes? y/n	'n'
Enter the mode number	1
To assess the stationarity the model will analyze 21 years moving correlation windows between the expansion coefficients of the PREDICTOR and PREDICTAND fields obtained from MCA method Indicate delayed, centered or advanced moving correlation windows	'delayed'
To assess the significant stationary periods, indicate the degree of statistical significance from 0 to 100	90
To validate the model skill, indicate the degree of statistical significance from 0 to 100	90

- 1 Table 2. Input parameters used to reproduce the second case study. Left column represents the
 2 statements reproduced by the model. Right column represents the input parameters.

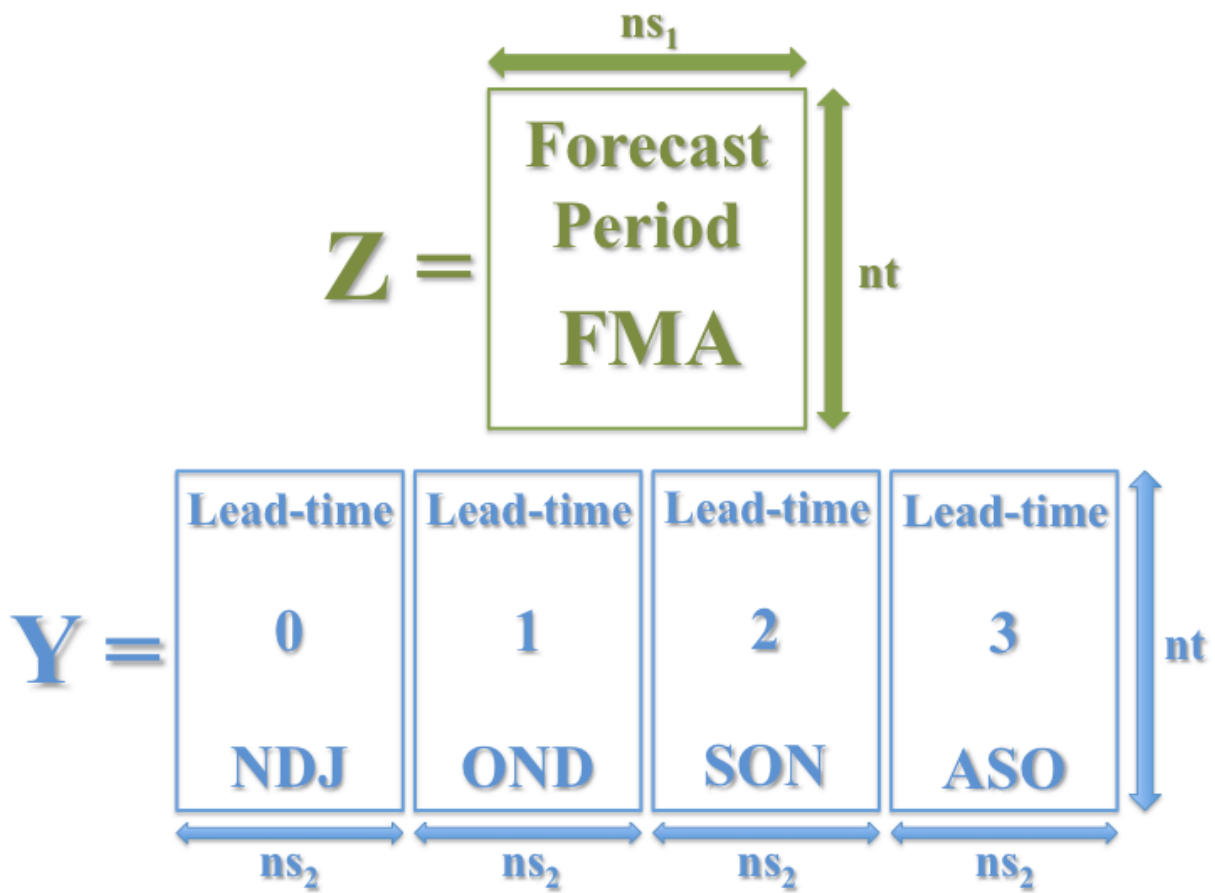
Statements reproduced by the model	Input parameters entered by the user
Enter the NetCDF file containing the predictand data in the path /S4CAST_v2.0/data_files/predictand/ Press enter to continue PREDICTAND data available from Jan-1854 to May-2015	
Enter the NetCDF file containing the predictor data in the path /S4CAST_v2.0/data_files/predictor/ Press enter to continue PREDICTOR data available from Jan-1854 to May-2015	
Select a common analysis period The common longest analysis period extends from Jan-1855 to May-2015 Do you want to select this period? y/n	'y'
The selected analysis period extends from Jan-1855 to May-2015 Select the forecast period Type 1 to select a set of months Type 2 to select one month	1
Enter the forecast period using the initials of the months 2016 forecast not available	'JAS'
Enter PREDICTAND spatial domain West longitude from -179.5 to 179.5	120
East longitude from -179.5 to 179.5	-60
South latitude from -89.5 to 89.5	-30
North latitude from -89.5 to 89.5	20
Do you want to standardize the predictand? y/n	'n'
Dou you want to apply a Butterworth filter to the predictand? y/n Type 1 to apply a high pass filter Type 2 to apply a low pass filter	'y'
Enter the cutoff frequency	7
Enter PREDICTOR spatial domain West longitude from -180 to 178	-60
East longitude from -180 to 178	20
South latitude from -88 to 88	-20
North latitude from -88 to 88	5
Do you want to standardize the predictor? y/n	'n'
Dou you want to apply a Butterworth filter to the predictor? y/n Type 1 to apply a high pass filter Type 2 to apply a low pass filter	'y'
Introduce the cutoff frequency	7
Select the predictor monthly periods Type 1 to select a set of chronological monthly periods Type 2 to select one monthly period	2
Enter the monthly lag regarding the predictand	5
Select the number of modes for MCA analysis Do you want to select a set of modes? y/n	'n'
Enter the mode number	1
To assess the stationarity the model will analyze 21 years moving correlation windows between the expansion coefficients of the PREDICTOR and PREDICTAND fields obtained from MCA method Indicate delayed, centered or advanced moving correlation windows	'delayed'
To assess the significant stationary periods, indicate the degree of statistical significance from 0 to 100	90
To validate the model skill, indicate the degree of statistical significance from 0 to 100	90



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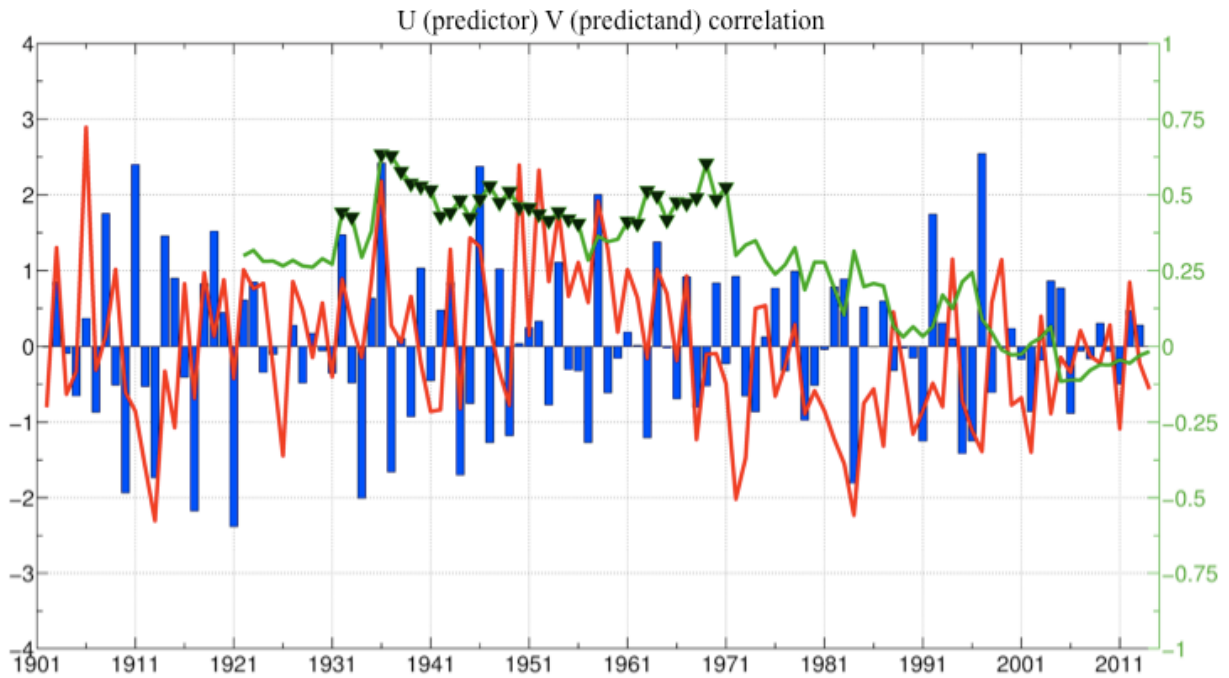
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3 Figure 1. Schematic diagram illustrating the structure of the model.



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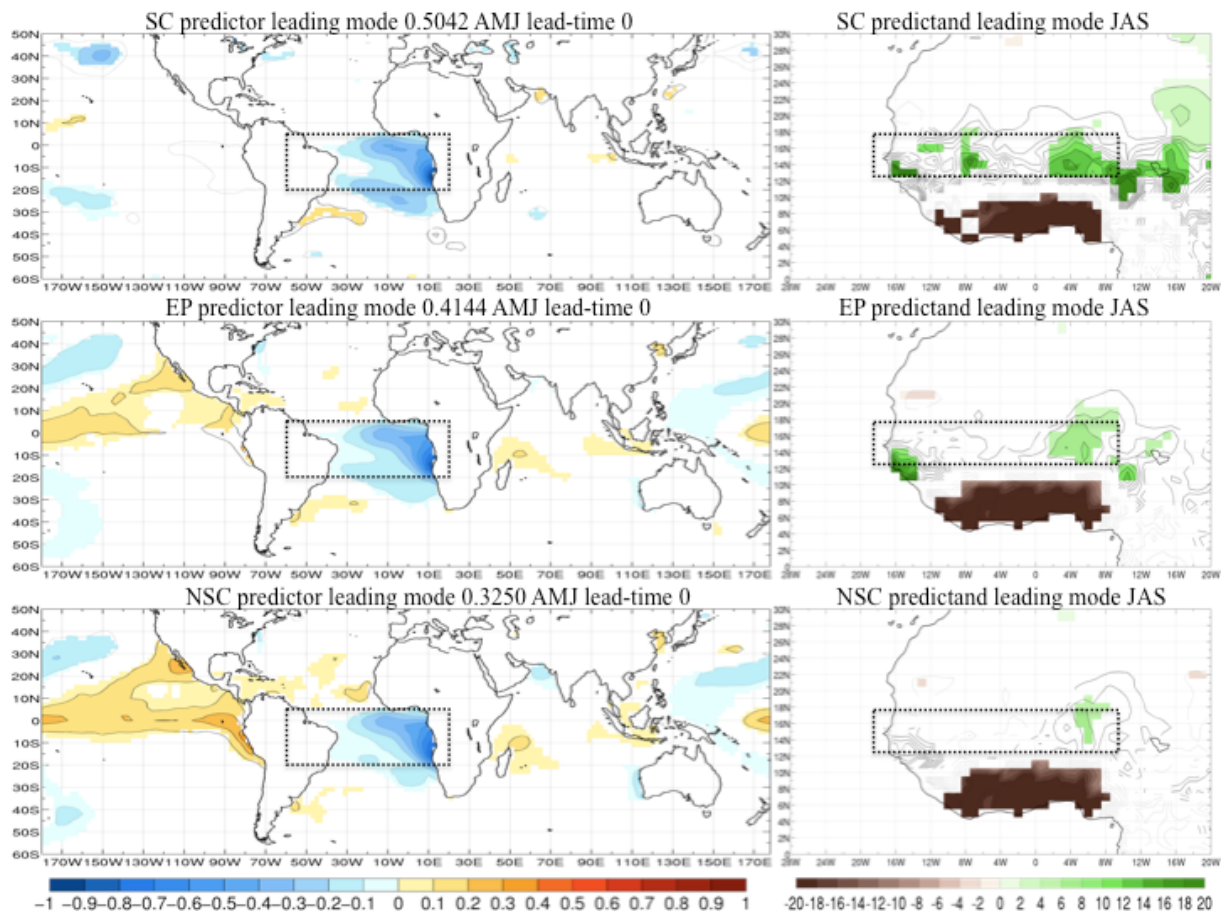
3 Figure 2. Predictand (Z) and predictor (Y) fields represented by their corresponding data
 4 matrices. The illustration relates to an example in which the forecast period covers the months
 5 February-March-April (FMA) and the predictor is selected for four distinct seasons: August-
 6 September-October (ASO, lead-time=3); September-October-November (SON, lead-time=2);
 7 October-November-December (OND, lead-time=1); November-December-January (NDJ,
 8 lead-time=0). Each of these sub-matrices for the predictor has the same temporal dimension
 9 (nt) and spatial dimension (ns_2). The predictand may have a different spatial dimension (ns_1)
 10 but the same temporal dimension (nt) to enable matrix calculations required by MCA
 11 methodology.



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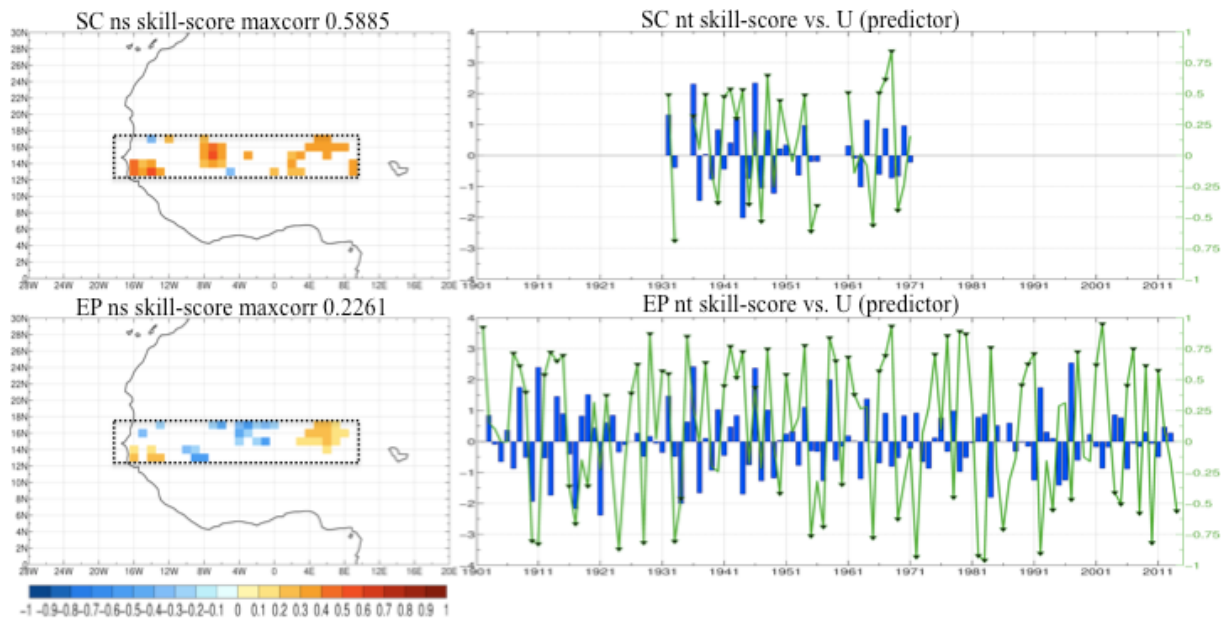
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3 Figure 3. 21 years moving correlation windows (green line) between the expansion
 4 coefficients U corresponding to tropical Atlantic SSTA (predictor, blue bars) and V
 5 corresponding to Sahelian anomalous rainfall (predictand, red line) obtained for the leading
 6 mode of co-variability from MCA analysis. Shaded triangles indicate significant correlation
 7 under a Montecarlo Test at 90%.



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Figure 4. Regression maps obtained for the leading mode by applying MCA between SSTA in the tropical Atlantic (predictor) and western Sahel rainfall (predictand). Left column represents the homogeneous regression map done by projecting the expansion coefficient U onto global SSTA ($^{\circ}\text{C}$). Right column represents the heterogeneous regression map done by projecting expansion coefficient U onto the anomalous Sahelian rainfall (mm/day). Period SC (top panels); EP (middle panels) and NSC (bottom panels). Rectangles show the selected regions for predictor and predictand fields considered in the MCA analysis. Values are plotted in regions where statistical significance under a Montecarlo test is higher than 90%.



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3 Figure 5. Skill-score validation using Pearson correlation coefficients between observations
 4 and hindcasts. Left column corresponds to the spatial validation for each point in space. Right
 5 column corresponds to validation time series (green line) between hindcasts and observations
 6 considering only the regions indicated by positive significant spatial correlation. Period SC
 7 (top panels); EP (bottom panels). Significant correlation values for time series are indicated
 8 by shaded triangles. Blue bars correspond to the expansion coefficient (U) of the SSTA
 9 (predictor). Significant values are plotted from a 90% statistical significance under a
 10 Montecarlo test.

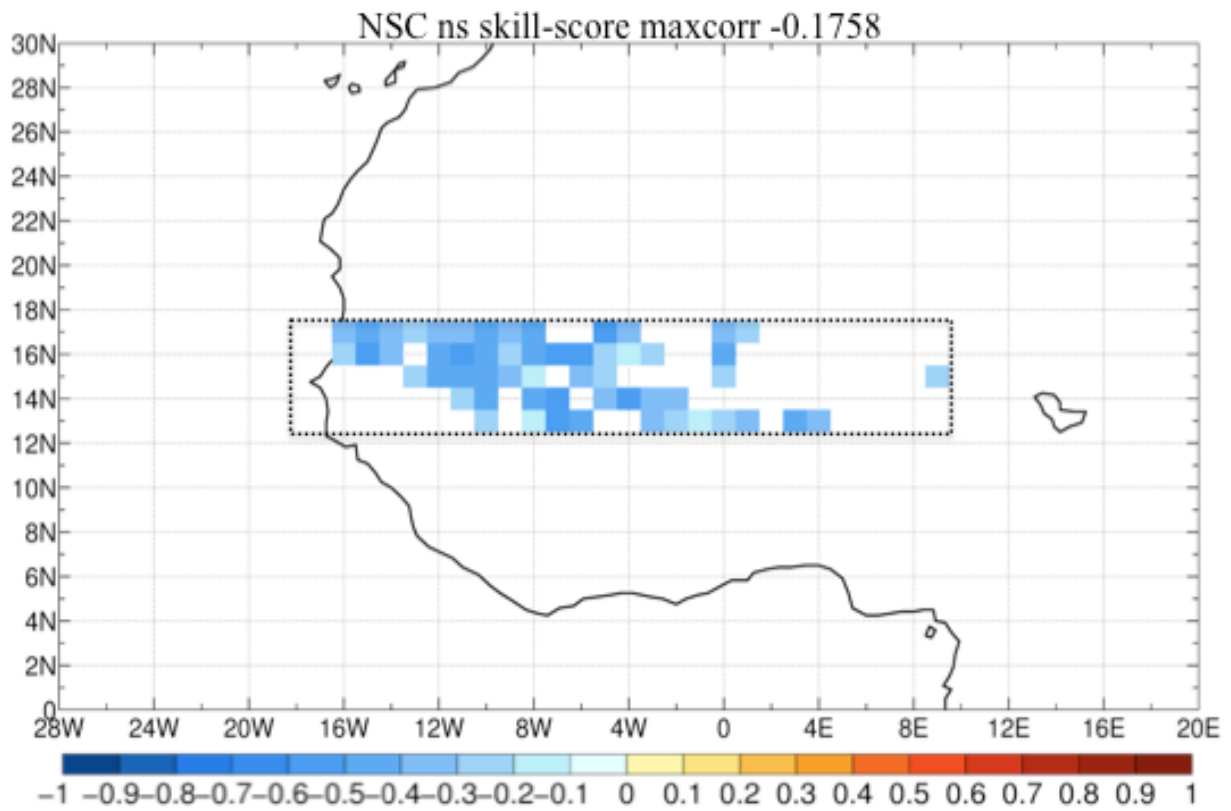
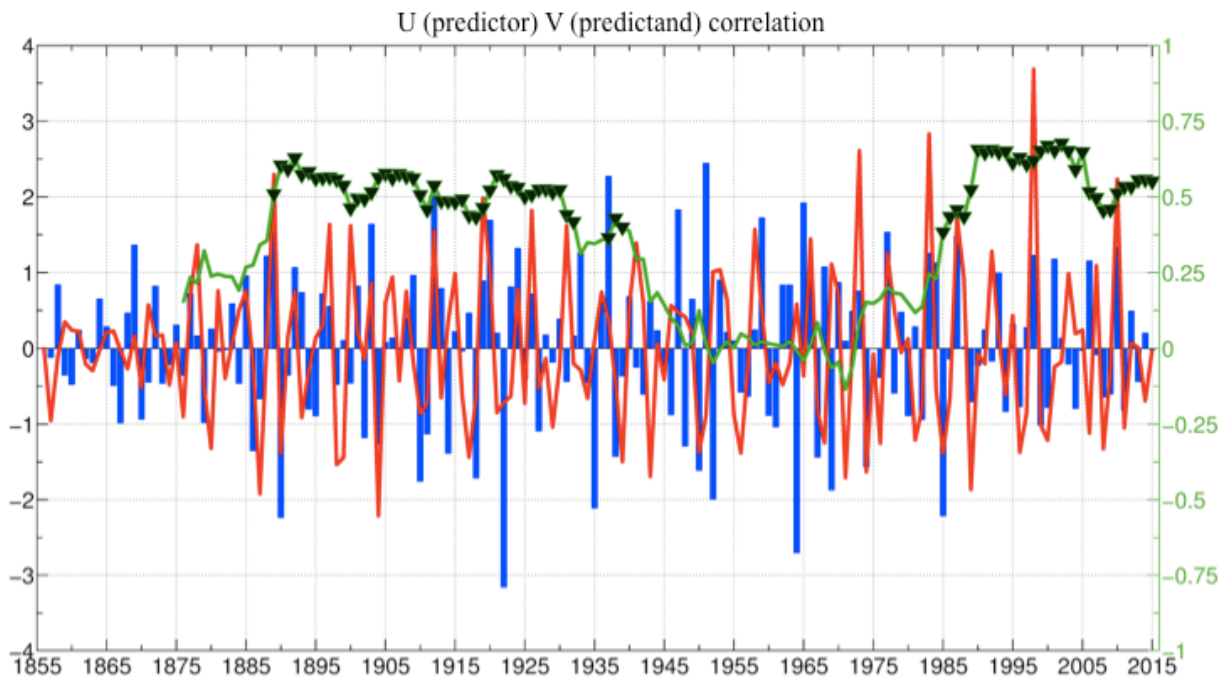


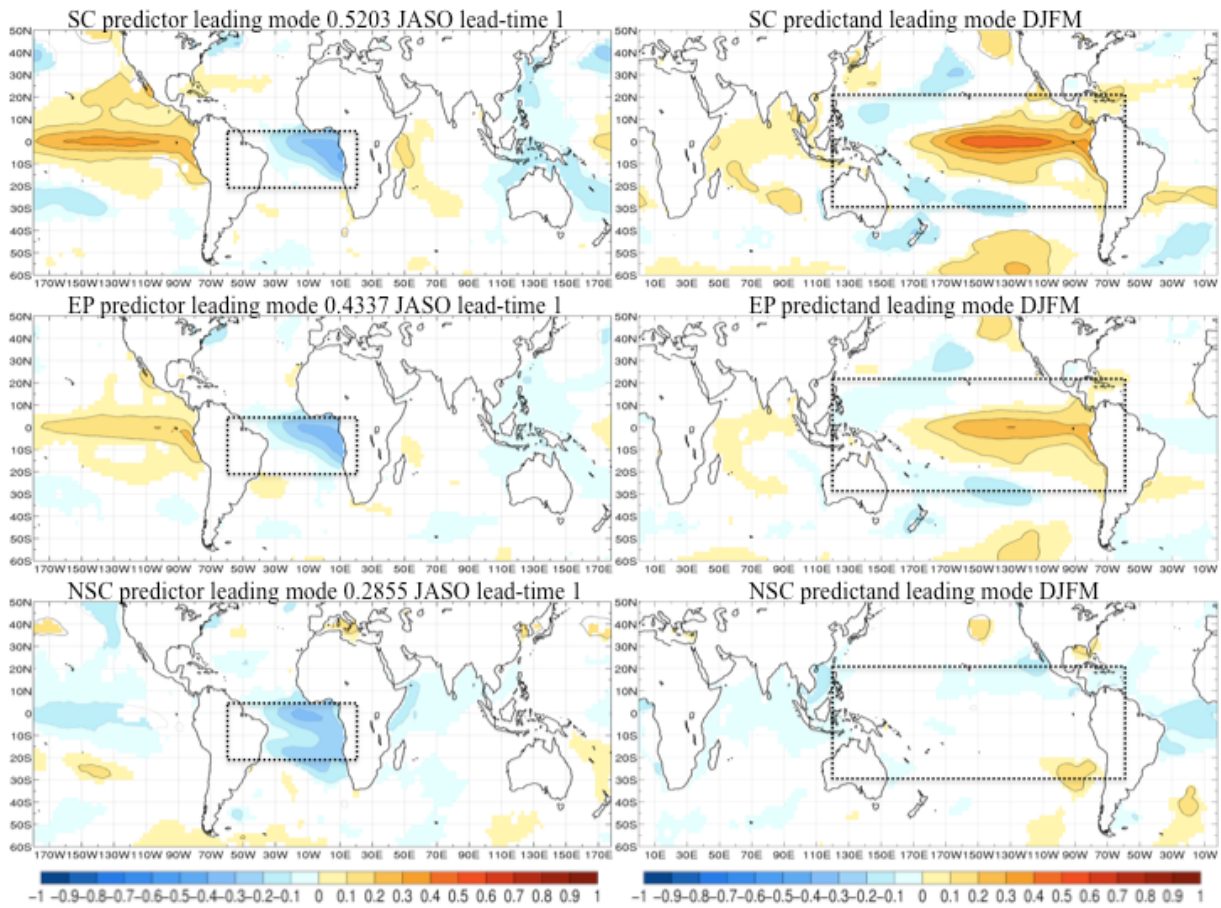
Figure 6. Skill-score validation using Pearson correlation coefficients between observations and hindcasts for each point in space corresponding to NSC period. Significant values are plotted from a 90% statistical significance under a Montecarlo test.



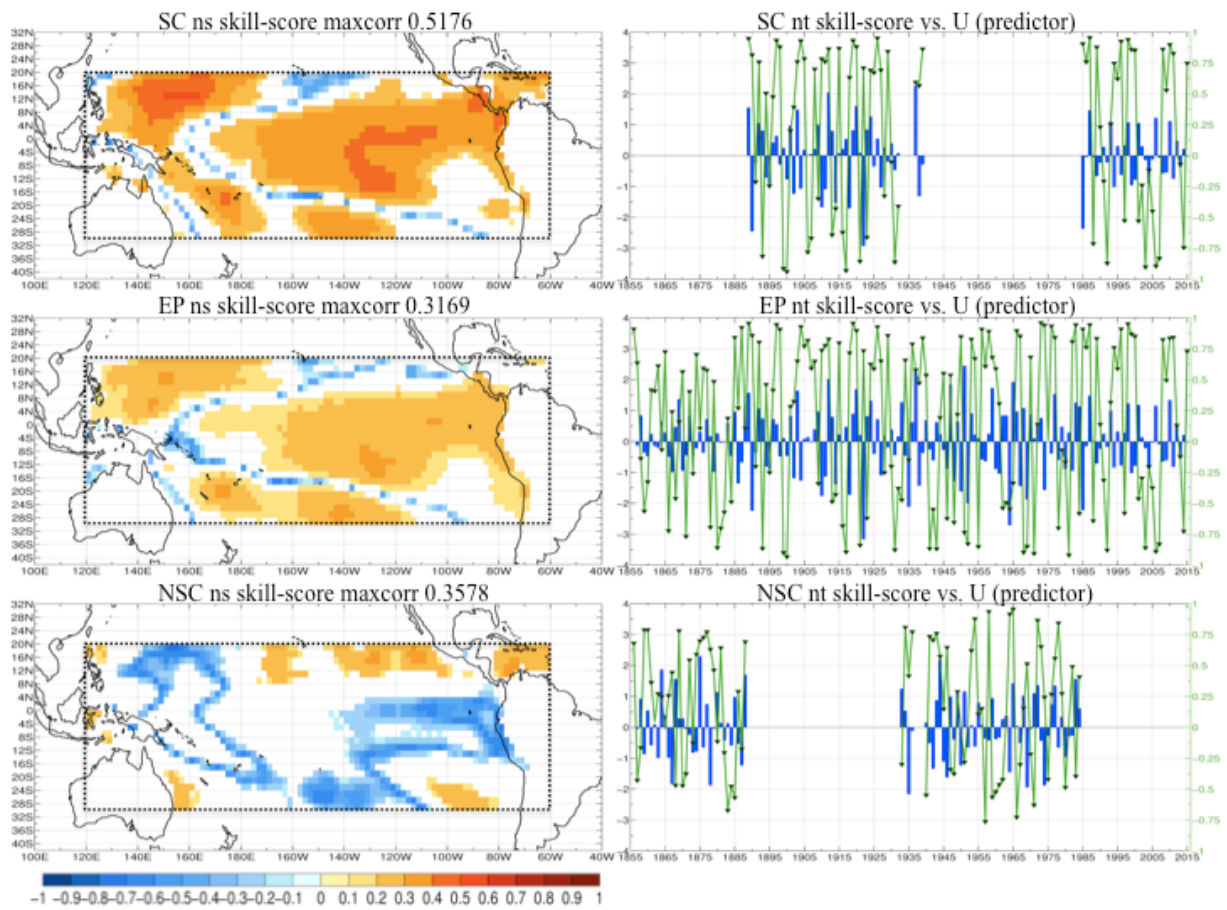
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3 Figure 7. 21 years moving correlation windows (green line) between the expansion
 4 coefficients U corresponding to tropical Atlantic SSTA (predictor, blue bars) and V
 5 corresponding to tropical Pacific SSTA (predictand, red line) obtained for the leading mode of
 6 co-variability from MCA analysis between predictor and predictand fields. Shaded triangles
 7 indicate significant correlation under a Montecarlo Test at 90%.



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3 Figure 8. Regression maps obtained for the leading mode by applying MCA between SSTA in
4 the tropical Atlantic (predictor) and SSTA in the tropical Pacific (predictand). Left column
5 represents the homogeneous regression map done by projecting the expansion coefficient U
6 onto global SSTA ($^{\circ}\text{C}$) for predictor seasonal period. Right column represents the
7 heterogeneous regression map done by projecting expansion coefficient U onto global SSTA
8 ($^{\circ}\text{C}$) for predictand seasonal period. Period SC (top panels); EP (middle panels) and NSC
9 (bottom panels). Rectangles show the selected regions for predictor and predictand fields
10 considered in the MCA analysis. Values are plotted in regions where statistical significance
11 under a Montecarlo test is higher than 90%.



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 3 Figure 9. Skill-score validation using Pearson correlation coefficients between observations
 4 and hindcasts. Left column corresponds to the spatial validation for each point in space. Right
 5 column corresponds to validation time series (green line) between hindcasts and observations
 6 considering only the regions indicated by positive significant spatial correlation. Period SC
 7 (top panels); EP (middle panels); NSC (bottom panels). Significant correlation values for time
 8 series are indicated by shaded triangles. Blue bars correspond to the expansion coefficient (U)
 9 of the SSTA (predictor). Significant values are plotted from a 90% statistical significance
 10 under a Montecarlo test.