

1 Response to Anonymous Referee #1 comments on “Updating sea spray aerosol emissions in  
2 the Community Multiscale Air Quality (CMAQ) model version 5.0.2”

3 B. Gantt, J. T. Kelly, J. O. Bash

4

5 This paper presents results of a model-measurement comparison that was done in order to  
6 improve sea spray aerosol emissions in coastal and near-coastal regions. It is a valuable paper  
7 in that measurements were used to improve model output. It should be publishable in GMD  
8 once the concerns below have been addressed.

9 **We appreciate the reviewer’s comments and have responded in bold typeset to the**  
10 **individual comments below.**

11 The title and abstract should state that the paper focuses on updating SSA emissions in coastal  
12 regions.

13 **We’ve adjusted the abstract in the updated manuscript to reflect the fact that most of**  
14 **the model evaluation is focused on coastal sites and that these changes will have the**  
15 **biggest impact on coastal areas.**

16 Throughout – use Revised and Baseline in text and figure captions to describe v5.0.2h vs.  
17 v5.0.2a. That will make it much easier for the reader to track which model version is being  
18 referred to.

19 **We’ve renamed the simulations to this suggested nomenclature throughout the updated**  
20 **manuscript.**

21 p. 3907, line 3: The Pierce and Adams (2006) paper estimates emissions of sea salt using a  
22 global model. Papers that report the sea salt fraction of CCN based on measurements should  
23 also be cited here.

24 **The updated manuscript now includes the following: “Sea spray aerosols (SSA)**  
25 **contribute significantly to the global aerosol burden, both in terms of mass (Lewis and**  
26 **Schwartz, 2004) and cloud condensation nuclei concentration (Murphy et al., 1998;**  
27 **Pierce and Adams, 2006; Clarke et al., 2006; Blot et al., 2013).”**

28 p. 3911, Lines 9 – 17: Were all measurements (and, therefore, cut-off diameters) at ambient  
29 RH?

30 **The updated manuscript states that all size-resolved measurements were taken under**  
31 **ambient RH.**

32 p. 3911, last paragraph: Why were the shipboard measurements made during CalNex not  
33 included in the analysis?

34 **The updated manuscript now includes the following: “Although the CalNex campaign**  
35 **also included ship-based measurements of aerosol composition in conjunction with the**  
36 **Sea Sweep (Bates et al., 2012; Crisp et al., 2014), the portion of the cruise that took place**  
37 **in June 2010 was mainly in the vicinity of San Francisco Bay in close proximity to**  
38 **several CSN sites already included in the evaluation”**

1 p. 3913, line 25: “expected to result in increasingly large fine mode SSA emissions”. Does  
2 this refer to quantitatively large emissions or the fine mode emitted SSA is larger in size?

3 **The updated manuscript now includes the following: “For this study, we used  $\Theta$  values**  
4 **of 30 (consistent with the current CMAQ representation, given as CMAQv5.0.2a or**  
5 **“Baseline”), 20 (CMAQv5.0.2b), 10 (CMAQv5.0.2c), and 8 (CMAQv5.0.2d), which were**  
6 **expected to result in progressively higher emission rates of fine mode SSA (see Figure**  
7 **S1).”**

8 p.3914: Lines15 – 19: In the text and in Table 1 it is unclear how the SST dependence was  
9 calculated in CMAQv5.0.2h. Was the third-order dependence of Jaegle, the linear dependence  
10 of Ovadnevaite, or a hybrid used?

11 **The updated manuscript now includes the following: “We conducted two simulations to**  
12 **test the combined effect of setting  $\Theta = 8$ , SST-dependence, and surf-enhanced emissions**  
13 **(surf zone = 25 meters), with CMAQv5.0.2g using the Jaeglé et al. (2011) third-order**  
14 **SST dependence and CMAQv5.0.2h using a hybrid of the Jaeglé et al. (2011) third-order**  
15 **SST dependence and the Ovadnevaite et al. (2014) process-based linear SST dependence**  
16 **(see Fig. 12 from Ovadnevaite et al. (2014)) for open ocean emissions as follows:”**

17 Table 2: What is the “Corr” term shown? Is it the coefficient of determination, i.e.,  $r^2$ ? Also,  
18 what are the size ranges of the predicted Aitken and accumulation modes?

19 **Header: Comparison of the mean and Pearson’s correlation coefficient (r) of total**  
20 **observed and model-predicted inorganic particle concentrations ( $\mu\text{g m}^{-3}$ ) at three Bay**  
21 **Regional Atmospheric Chemistry Experiment (BRACE) sites near Tampa, FL.**

22 **Footnote:  $\text{Na}^+$  predicted for the sum of Aitken and accumulation modes (approximating**  
23  **$\text{PM}_{2.5}$  (Nolte et al., 2015)) and observed for aerosols  $< 1.8 \mu\text{m}$  in diameter**

24 p. 3915, lines 13-14: An Aitken and accumulation mode of  $D_{p,dry}$  ranging (together) from 10  
25 nm to 1  $\mu\text{m}$  would not result in a direct comparisons with observed concentrations for aerosol  
26 with  $D_p < 1.8 \mu\text{m}$ . In other words, the observations include a significant fraction of the coarse  
27 mode not included in the modeled values. At what RH are the diameters that are referred to  
28 here?

29 **The updated manuscript now includes the following: “The average fine mode sodium**  
30 **concentration (given as  $\text{PM}_{1.8}$  for the measurements and the sum of the Aitken and**  
31 **accumulation approximating  $\text{PM}_{2.5}$  (Nolte et al., 2015) for the model predictions) were**  
32 **consistently underpredicted by the Baseline simulation for the BRACE sites with an**  
33 **NMB of -21.6%.”**

34 p. 3916, line 15: What is the peak diameter for a value of 8? This should be stated in the text.  
35 For additional clarity, Figure S1 should be moved to the main paper.

36 **The updated manuscript now includes the following: “For the simulations using  $\Theta$**   
37 **values  $\leq 20$ , the lower limit of the SSA dry diameter is decreased to 10 nm to better**  
38 **reflect changes in the emitted number size distribution (which peaks at  $\sim 170, 140, 80,$**   
39 **and 60 nm dry diameter for  $\Theta$  values of 30, 20, 10, and 8 respectively).” Furthermore,**  
40 **we appreciate that the reviewer’s comment on Figure S1 but think that an illustration of**

1 **an intermediate model development step is more appropriate for the supplement rather**  
2 **than the main text.**

3 Figure 2: It would help guide the eye and compare model and observation results if the  
4 observed data were presented as line and markers.

5 **We agree with the reviewer that the observation results could be adjusted to enable**  
6 **comparison with the model predictions. However, the observations did not take place**  
7 **every day of the period and we do not think that connecting these points with a line**  
8 **would be appropriate. In the updated manuscript, we've increased the size of the**  
9 **observation points and ordered them above the modeled lines to enable comparison**  
10 **between the two.**

11 Figures 2 and 3: Label the modeled lines as “Revised” and “Baseline” in the figure legend.

12 **These changes have been included in the updated manuscript.**

13 Figure 3: Both model versions overpredict the observed fine + accumulation mode mass  
14 concentration of Na. Why? This is not commented on in the text.

15 **The updated manuscript now includes the following: “Both the Baseline and Revised**  
16 **simulations predict a second submicron mode for the three sites that is not evident in the**  
17 **observations; it’s unclear whether this discrepancy is related to inaccuracies in the size-**  
18 **resolved emissions or the modal distribution of the model.”**

19 p. 3917, lines 18 – 20: It is stated that “the Revised simulation well predicted the coarse mode  
20 sodium at both the coastal and inland sites.” Based on Figure 3, the Revised simulation over  
21 predicts coarse mode Na at the Gandy Bridge site.

22 **The updated manuscript now includes the following: “At the bayside Gandy Bridge site,**  
23 **the very high SST in Tampa Bay results in the well predicted coarse mode sodium in the**  
24 **Baseline simulation becoming overpredicted in the Revised simulation.”**

25 p. 3917, Lines 21 – 23: “Fine mode sodium concentrations increased throughout the BRACE  
26 domain in the Revised simulation. . .”. It should be clarified here that the change that is  
27 referred to is the difference between the v5.0.2h and v5.0.2a models (at least that is how I  
28 interpreted it).

29 **The updated manuscript now includes the following: “Fine (Aitken + accumulation)**  
30 **mode sodium concentrations increased throughout the BRACE domain in the Revised**  
31 **simulation relative to the Baseline simulation...”**

32 p. 3918, lines 22 – 23: Change to “predicted PM2.5 sodium surface concentrations were  
33 SLIGHTLY improved in the Revised simulation. . .”

34 **This language has been added to the updated manuscript.**

35 p. 3919, lines 9 – 11: Impacts on sodium from what? Sentence needs to be fixed for clarity.

36 **This sentence has been removed in the updated manuscript.**

1 Figures 5 and 6 (and text): Were modeled  $PM_{2.5}$  concentrations used for the comparison with  
2 the measurements? Or was the sum of the fine and accumulation modes used? Use of the  
3 latter would result in a large underestimation of both sodium and nitrate concentrations.

4 **The updated manuscript now includes the following: “For the CalNex comparison, the**  
5 **sum of the Aitken and accumulation modes was used as the model comparison.**  
6 **However, a comprehensive evaluation of size-resolved inorganic particle composition**  
7 **from Nolte et al. (2015) shows that the difference in the sum of the Aitken and**  
8 **accumulation modes and  $PM_{2.5}$  values is generally  $< 10\%$ .”**

9 p. 3920, lines 2 – 5: It is not surprising that the nitrate underpredictions were not resolved by  
10 improved sodium predictions since the sodium concentrations were severely underpredicted  
11 even in the Revised simulations.

12 **The updated manuscript now includes the following: “In Riverside, for example, nitrate**  
13 **underpredictions in the Revised simulation were likely due to a combination of**  
14 **persistent sodium underpredictions and an underestimate of ammonia emissions from**  
15 **upwind dairy facilities (Nowak et al., 2012; Kelly et al., 2014).”**

16

1 Response to Anonymous Referee #2 comments on “Updating sea spray aerosol emissions in  
2 the Community Multiscale Air Quality (CMAQ) model version 5.0.2”

3 B. Gantt, J. T. Kelly, J. O. Bash

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5 This paper describes an update to the SSA emission algorithms for the widely used open  
6 access CMAQ model and compares model simulations of atmospheric particle distributions to  
7 3 observational datasets. The authors summarize existing models and use observations to  
8 evaluate various model approaches and identify a specific approach for updating the CMAQ  
9 model. There are a few points that the authors should consider before the paper should be  
10 published in GMD.

11 **We appreciate the reviewer’s comments and have responded in bold typeset to the**  
12 **individual comments below.**

13 1. The abstract mentions gas-particle partitioning of nitrate “potentially affecting the predicted  
14 nitrogen deposition in sensitive ecosystems”. This is an interesting point but it is not one that  
15 shows up much in the following text. It should either be discussed more in the manuscript or  
16 removed from the abstract.

17 **This phrase has been removed from the abstract in the updated manuscript.**

18 2. The authors note that global SSA emission estimates differ by 2 orders of magnitude but  
19 they give no indication of what drives these differences and where the CMAQ model falls  
20 within that range of estimates. Is the difference all due to open ocean emissions (which is not  
21 the subject of this paper) or do coastal emission play a role in the difference reported for  
22 global totals? A comparison with other model results for coastal U.S. (or coastal regions in  
23 general) would be useful.

24 **We agree with the reviewer that uncertainties in the global SSA emission estimates are**  
25 **not directly comparable to uncertainties in regional chemical transport models like**  
26 **CMAQ and have adjusted this statement to the following in the updated manuscript:**  
27 **“Model evaluations of SSA emissions have mainly focused on the global scale, but**  
28 **regional-scale evaluations are also important due to the localized impact of SSA on**  
29 **atmospheric chemistry near the coast.” Furthermore, the updated manuscript now**  
30 **includes the following reference to SSA emission updates in WRF/Chem: “Recent**  
31 **updates to the SSA emission parameterization in the Weather Research and Forecasting**  
32 **model coupled with chemistry (WRF/Chem) increased predicted submicron sodium**  
33 **mass concentrations over the northeast Atlantic Ocean by up to 20% (Archer-Nicholls et**  
34 **al., 2014).”**

35 3. In order to give some confidence that the model predictions should agree with the  
36 observations, some information on the accuracy of these measurements is needed. Do the two  
37 local datasets agree with the national dataset? There are considerable artifacts associated with  
38 analysis of filter samples, such as volatilization of some chemical species that should be  
39 mentioned. How do the known observational uncertainties impact the use of these  
40 observations to evaluate model performance?

1 **The updated manuscript now includes the following sentences about BRACE/CSN**  
2 **comparison: “The PM<sub>1.8</sub> sodium concentrations at the BRACE sites were lower than**  
3 **PM<sub>2.5</sub> sodium measured at a nearby CSN site (located at 28.05N, 82.378056W) averaging**  
4 **0.34 µg m<sup>-3</sup> during the same period but well correlated (correlation coefficients ranging**  
5 **from 0.65 to 0.90) for the 5-6 days of coincident measurements. This CSN site is part of**  
6 **the CONUS model evaluation described in Sect. 3.3.” The following sentence about**  
7 **measurement uncertainties has also been added: “Although we use the filter-based**  
8 **measurements from the IMPROVE and CSN networks and BRACE campaign for**  
9 **direct model evaluation, we acknowledge that they have uncertainties related to**  
10 **instrument sensitivity and volatility (White et al., 2008).”**

11 4. Throughout the paper, comparison of model and observed is simply indicated as an under  
12 (or over) estimate without showing if there is a significant difference or even if it is a  
13 relatively small or large difference. It would be useful to provide something beyond just under  
14 or over estimate.

15 **Throughout the updated manuscript, we’ve added additional statistical measures such**  
16 **as normalized mean bias to give context and significance to the reporting of over- or**  
17 **underestimates.**

18 5. The focus of the paper is on an updated emission model but there are no flux measurements  
19 to evaluate these emissions. The authors should make it clear that they are evaluating an  
20 emission model, not with emissions, but with ambient concentrations that are controlled by  
21 emissions, deposition, transport, and chemistry. The manuscript should provide some  
22 background on how well we know each of these other processes and show how that impacts  
23 this model evaluation. For example, are the uncertainties in deposition of the same order as  
24 the uncertainties in emissions? Could using a different deposition approach change the results  
25 and lead you to choose a different emissions approach for the updated model?

26 **The updated manuscript now includes the following: “A potential limitation of this**  
27 **study is the reliance on ambient surface concentrations in the evaluation of modeled**  
28 **SSA emissions. Although all model processes other than SSA emissions are left constant**  
29 **for the CMAQ simulations listed above, the selection of deposition, transport, and**  
30 **chemistry parameterizations within the model can affect the predicted concentrations.**  
31 **Nolte et al. (2015) found that constraining the aerosol mode widths and enabling**  
32 **gravitational settling for all model layers in CMAQ affected the predicted coarse mode**  
33 **sodium at the BRACE sites. Although changes in the model chemistry would likely have**  
34 **a minor impact on the Na<sup>+</sup> evaluations, future diagnostic evaluations that account for**  
35 **deposition and transport uncertainties are advised.”**

36 page 3923, line 10: “domian” should be “domain”

37 **This has been corrected in the updated manuscript.**

38

# Updating sea spray aerosol emissions in the Community Multiscale Air Quality (CMAQ) model version 5.0.2

B. Gantt<sup>1,2</sup>, J. T. Kelly<sup>2</sup>, J. O. Bash<sup>1</sup>

[1]{Atmospheric Modeling and Analysis Division, National Exposure Research Laboratory, Office of Research and Development, US Environmental Protection Agency, RTP, NC, USA}

[2]{Office of Air Quality Planning and Standards, US Environmental Protection Agency, Research Triangle Park, NC, USA}

Correspondence to: J. O. Bash (bash.jesse@epa.gov)

## Abstract

Sea spray aerosols (SSA) impact the particle mass concentration and gas-particle partitioning in coastal environments, with implications for human and ecosystem health. ~~Despite their importance, the emission magnitude~~ Model evaluations of SSA ~~remains highly uncertain with emissions have mainly focused on the~~ global ~~estimates varying by nearly two orders~~ scale, but ~~regional-scale evaluations are also important due to the localized impact of magnitude~~ SSA on ~~atmospheric chemistry near the coast~~. In this study, ~~SSA emissions in~~ the Community Multiscale Air Quality (CMAQ) model ~~was/were~~ updated to enhance ~~the~~ fine mode ~~SSA emission~~ size distribution, include sea surface temperature (SST) dependency, and reduce ~~coastally~~ surf-enhanced emissions. Predictions from the updated CMAQ model and those of the previous release version, CMAQv5.0.2, were evaluated using several ~~regional~~ coastal and national observational datasets in the continental U.S. The updated emissions generally reduced model underestimates of sodium, chloride, and nitrate surface concentrations for ~~an inland site of~~ coastal sites in the Bay Regional Atmospheric Chemistry Experiment (BRACE) near Tampa, Florida. Including SST-dependency to the SSA emission parameterization led to increased sodium concentrations in the southeast U.S. and decreased concentrations along parts of the Pacific coast and northeastern U.S. The influence of sodium on the gas-particle partitioning of nitrate resulted in higher nitrate particle concentrations in many coastal urban areas due to increased condensation of nitric acid in the updated simulations, potentially affecting the predicted nitrogen deposition in sensitive ecosystems. Application of the updated

1 SSA emissions to the California Research at the Nexus of Air Quality and Climate Change  
2 (CalNex) study period resulted in modest improvement in the predicted surface concentration  
3 of sodium and nitrate at several central and southern California coastal sites. This [SSA emission](#)  
4 [update of SSA emissions](#) enabled a more realistic simulation of the atmospheric chemistry in  
5 [coastal](#) environments where marine air mixes with urban pollution.

## 6 7 **1 Introduction**

8 Sea spray aerosols (SSA) contribute significantly to the global aerosol burden, both in terms  
9 of mass (Lewis and Schwartz, 2004) and cloud condensation nuclei concentration ([Murphy et](#)  
10 [al., 1998](#); [Pierce and Adams, 2006](#); [Clarke et al., 2006](#); [Blot et al., 2013](#)). The chemical  
11 composition of SSA (e.g., major ions:  $\text{Na}^+$ ,  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$ ,  $\text{K}^+$ ,  $\text{Cl}^-$ ,  $\text{SO}_4^{2-}$ ; Tang et al., 1997) is  
12 affected by atmospheric processing, with the uptake of nitric acid (Gard et al., 1998, and  
13 references therein), sulfuric acid (McInnes et al., 1994), dicarboxylic acids (Sullivan and  
14 Prather, 2007), and methylsulfonic acid (Hopkins et al., 2008) shown to be important processes.  
15 Sea spray aerosols also influence gas-phase atmospheric chemistry via displacement of chlorine  
16 and bromine from the particle phase and subsequent impacts on ozone formation and  
17 destruction (Yang et al., 2005; Long et al., 2014). Despite this importance, [emissions of sea](#)  
18 [spray aerosols are poorly constrained with global estimates ranging from 2 to 120 Pg yr<sup>-1</sup> much](#)  
19 [uncertainty remains in the factors affecting the size-dependent production flux per whitecap](#)  
20 [area which drives the emission rates in most chemical transport models](#) (de Leeuw et al., 2011).

21 An active area of recent research has been in the determination of the SSA size distribution.  
22 The size distribution of particles influences their atmospheric lifetime, surface area available  
23 for heterogeneous reactions, cloud condensation nuclei efficiency, and optical properties. A  
24 widely-used SSA emission parameterization in early chemical transport models was described  
25 by Monahan et al. (1986) which predicts the size distribution between 0.8 and 8  $\mu\text{m}$  in dry  
26 diameter based on laboratory measurements. To address the overpredicted SSA emission rate  
27 when Monahan et al. (1986) parameterization was extended to aerosol dry diameters  $< 0.2 \mu\text{m}$   
28 (Andreas, 1998; Vignati et al., 2001), Gong (2003) revises the Monahan et al. (1986)  
29 parameterization to match the SSA size distribution observed in the North Atlantic (O'Dowd et  
30 al., 1997) down to 0.07  $\mu\text{m}$  dry diameter. Since the publication of Gong (2003), several studies  
31 have examined the size distribution of SSA generated in the laboratory and measured in field



1 campaigns (Mårtensson et al., 2003; Clarke et al., 2006, Sellegri et al., 2006; Keene et al., 2007;  
 2 Tyree et al., 2007; Norris et al., 2008; Fuentes et al., 2010). In a review of SSA emission  
 3 measurements from both laboratory- and field-based studies, de Leeuw et al. (2011) shows a  
 4 broad range (0.05–0.1  $\mu\text{m}$  in dry diameter) of particle sizes having the maximum number  
 5 production flux. Recent SSA production parameterizations (see Grythe et al., 2014) reflect  
 6 these measurements, with most having a production rate maximum at aerosol sizes lower than  
 7 the lower cutoff (0.07  $\mu\text{m}$  dry diameter) of Gong (2003). [Recent updates to the SSA emission  
 8 parameterization in the Weather Research and Forecasting model coupled with chemistry  
 9 \(WRF/Chem\) increased predicted submicron sodium mass concentrations over the northeast  
 10 Atlantic Ocean by up to 20% \(Archer-Nicholls et al., 2014\).](#) Due to the lack of detailed  
 11 submicron measurements at the time, the Gong (2003) parameterization was given as:

$$12 \quad \frac{dF}{dr} = 1.373 U_{10}^{3.41} r^{-(4.7(1+\Theta)r^{-0.017r^{-1.44}})} (1+0.057r^{3.45}) \times 10^{1.607e^{-(0.433-\log r)/0.433}} \quad (1)$$

13 where  $\frac{dF}{dr}$  is the SSA number flux with units of  $\text{m}^{-2} \text{s}^{-1} \mu\text{m}^{-1}$ ,  $r$  is the particle radius in  $\mu\text{m}$  at 80%  
 14 relative humidity,  $U_{10}$  is the 10 meter wind speed in  $\text{m s}^{-1}$ , and  $\Theta$  is an adjustable shape  
 15 parameter that controlled the submicron size distribution. Gong (2003) tested  $\Theta$  values between  
 16 15 and 40, suggesting (with limited observational evidence) a  $\Theta$  value of 30.

17 Seawater temperature can increase or decrease SSA number emissions by up to ~100% due  
 18 to the temperature dependency of surface tension, density, viscosity, and air entrainment  
 19 (Mårtensson et al., 2003; Sellegri et al., 2006; Zábori et al., 2012a; Ovadnevaite et al., 2014;  
 20 Callaghan et al., 2014). Mårtensson et al. (2003), Sellegri et al. (2006), and Zábori et al. (2012a)  
 21 all observe a negative temperature dependence for the production flux of SSA < 70 nm diameter  
 22 in synthetic seawater laboratory experiments. Similar negative temperature dependencies are  
 23 measured in SSA generated from Arctic Ocean seawater (Zábori et al., 2012b). Mårtensson et  
 24 al. (2003) and Sellegri et al. (2006) also reported positive temperature dependencies for the  
 25 SSA production flux for particles larger than 70 nm in diameter. This difference in the  
 26 temperature-dependence of small and large SSA emissions is likely due to their bubble size-  
 27 dependence and impact of SST on small and large bubbles (Sellegri et al., 2006). Sofiev et al.  
 28 (2011) develops a size-dependent temperature correction factor for SSA emissions reflecting  
 29 the different temperature dependencies of fine and coarse mode aerosols. A global comparison  
 30 of observed and model predicted coarse mode sea salt concentrations in Jaeglé et al. (2011)

1 leads to the development of a third order polynomial function for the SST dependence of the  
2 Gong et al. (2003) SSA emission parameterization. Grythe et al. (2014) compares the Jaeglé et  
3 al. (2011) and Sofiev et al. (2011) temperature dependencies, finding that the Jaeglé et al. (2011)  
4 function gives the best model improvement to the observed temperature dependence. Modeling  
5 studies implementing the Jaeglé et al. (2011) temperature-dependent SSA emissions have  
6 shown improved prediction of surface sea-salt mass concentration (Spada et al., 2013; Grythe  
7 et al, 2014) relative to temperature-~~independent~~independent emissions. Using a process-based  
8 approach incorporating seawater viscosity and wave state, Ovadnevaite et al. (2014) finds a  
9 positive temperature dependence of SSA emissions similar to Jaeglé et al. (2011) but  
10 resembling a linear (rather than third order polynomial) relationship.

11 In addition to bubble bursting in the open ocean, SSA can be emitted via wave breaking in  
12 the surf zone covering an area roughly 20 to 100 meters from the coastline (Petelski and  
13 Chomka, 1996; Lewis and Schwartz, 2004). Surf zone SSA emissions have been shown to be  
14 enhanced relative to the open ocean, resulting in higher sea-salt concentrations near the coast  
15 (de Leeuw et al., 2000). Vignati et al. (2001) concludes that surf zone SSA emissions provide  
16 additional surface for heterogeneous reactions and impact the atmospheric chemistry of coastal  
17 areas. There are limited observations and large uncertainties in the surf zone SSA emissions  
18 related to the zone width and whitecap coverage, with de Leeuw et al. (2000) observing a 30  
19 meter wide surf zone with an assumed 100% whitecap fraction on the California coast and  
20 Clarke et al. (2006) observing a mean whitecap fraction in the 35 meter wide surf zone of 40%  
21 in Hawaii. The inclusion of surf zone emissions increases sodium and chloride concentrations  
22 by a factor of 10 and improves the predicted concentration of particulate matter (PM) < 10 µm  
23 in diameter (PM<sub>10</sub>) by up to 20% in the Eastern Mediterranean (Im, 2013).

24 The current SSA treatment in the Community Multiscale Air Quality (CMAQ) model  
25 version 5.0.2 is described by Kelly et al. (2010) and includes the open ocean emissions of Gong  
26 (2003), coastallysurf-enhanced emissions similar to de Leeuw et al. (2000) in which a fixed  
27 whitecap coverage of 100% is applied to the Gong (2003) parameterization for a 50-m-wide  
28 surf zone, and dynamic transfer of HNO<sub>3</sub>, H<sub>2</sub>SO<sub>4</sub>, HCl, and NH<sub>3</sub> between coarse mode particles  
29 and the gas phase. Based on comparison with observations from three Tampa, Florida sites at  
30 different distances from the coastline, Kelly et al. (2010) finds that enhancing sea spray  
31 emissions in coastalsurf zone-containing grid cells ~~according to~~by assuming a 50 meter wide  
32 surf zone ~~with a~~width and 100% whitecap coverage improved CMAQ model underprediction

1 of sodium, chloride, and nitrate concentrations (particularly at the coastal site) relative to a  
2 simulation with only the Gong (2003) open ocean emissions. The dynamic transfer of HNO<sub>3</sub>,  
3 H<sub>2</sub>SO<sub>4</sub>, HCl, and NH<sub>3</sub> between coarse particles and the gas phase as implemented by Kelly et  
4 al (2010) further improves predicted concentrations of semi-volatile species like chloride and  
5 nitrate. Despite these improvements, persistent underpredictions of sodium, chloride, and  
6 nitrate concentrations at the inland site remain unresolved. In this work, we expand upon the  
7 Kelly et al. (2010) CMAQ SSA emission treatment by updating the fine mode size distribution,  
8 SST dependence, and [coastallysurf](#)-enhanced emissions to reflect recent SSA research. Due to  
9 the advanced treatment of SSA chemistry in CMAQ, their emissions can be evaluated using  
10 concentrations of the directly-emitted sea-salt components such as sodium and species such as  
11 nitrate that react with sea-salt components in the atmosphere. Specifically, we hypothesize that  
12 the improved prediction of sodium will correspond to improvements in the gas-particle  
13 partitioning of nitrate aerosol as suggested by Kelly et al. (2014). The goal of this work is to  
14 improve the size distribution, magnitude, and spatiotemporal variability of CMAQ-predicted  
15 SSA emissions and the resulting impacts on atmospheric chemistry in coastal and inland areas.

16

## 17 **2 Methods**

### 18 **2.1 Observational datasets**

19 Two field campaigns with different meteorology, atmospheric chemistry, and SSA sources  
20 from oceans having distinct surface temperatures and bathymetry were used to evaluate the  
21 updated emissions. The Bay Regional Atmospheric Chemistry Experiment (BRACE) (Atkeson  
22 et al., 2007; Nolte et al., 2008) was conducted from May to June 2002 at three sites (Azalea  
23 Park: 27.78N, 82.74W, Gandy Bridge: 27.89N, 82.54W, and Sydney: 27.97N, 82.23W) around  
24 Tampa Bay, FL (see Figure 1). These three sites represent coastal (Azalea Park), bayside  
25 (Gandy Bridge) and inland (Sydney) regions, and roughly 1, 25, and 50 km from the Gulf of  
26 Mexico coastline. Size-resolved measurements of inorganic PM composition were made with  
27 four micro-orifice cascade impactors, which operated for 23 h per sample [at ambient relative](#)  
28 [humidity](#) (Evans et al., 2004). The cascade impactors had 8-10 fractionated stages ranging from  
29 0.056 to 18 μm in aerodynamic diameter, and two cascade impactors were collocated at the  
30 Sydney site. Additionally, particulate nitrate and nitric acid were measured [under ambient](#)  
31 [relative humidity conditions](#) at a high temporal resolution ( $\leq 15$  min) using a soluble particle

1 collector employing ion chromatography (Dasgupta et al., 2007) and denuder difference  
2 (Arnold et al., 2007).

3 The California Research at the Nexus of Air Quality and Climate Change (CalNex) 2010  
4 field project was conducted from May to July 2010 throughout California. The goal of the  
5 study was to simultaneously measure variables affected by emissions, atmospheric transport  
6 and dispersion, atmospheric chemical processing, and cloud-aerosol interactions and aerosol  
7 radiative effects (Ryerson et al., 2013). The South Coast portion of the CalNex campaign  
8 included continuous ground-based measurements of  $PM < 2.5 \mu m$  in diameter ( $PM_{2.5}$ )  
9 composition using particle-into-liquid sampling and ion ~~chromatography~~[chromatography](#)  
10 (Weber et al., 2001) and the mixing ratio of many gases at Pasadena, CA (34.14°N, 118.12°W,  
11 ~35 km from the Pacific coast). Here, we evaluated CMAQ ~~using for June 2010 to coincide~~  
12 [with](#) surface concentrations of sodium and nitrate measured continuously at Pasadena and as  
13 daily averages every three days at sites operated by the [national](#) Chemical Speciation Network  
14 (CSN) within the South Coast, San Francisco Bay, and San Diego air basins. Hereafter, these  
15 CSN sites and the Pasadena site will collectively be referred to as the coastal CalNex sites.  
16 [Although the CalNex campaign also included ship-based measurements of aerosol composition](#)  
17 [in conjunction with the Sea Sweep \(Bates et al., 2012; Crisp et al., 2014\), the portion of the](#)  
18 [cruise that took place in June 2010 was mainly in the vicinity of San Francisco Bay in close](#)  
19 [proximity to several CSN sites already included in the evaluation. For the CalNex comparison,](#)  
20 [the sum of the Aitken and accumulation modes was used as the model comparison. However,](#)  
21 [a comprehensive evaluation of size-resolved inorganic particle composition from Nolte et al.](#)  
22 [\(2015\) shows that the difference in the sum of the Aitken and accumulation modes and  \$PM\_{2.5}\$](#)   
23 [values is < 10%.](#)

24 In addition to local field campaigns, we evaluated SSA emissions in CMAQ against surface  
25  $PM_{2.5}$  concentrations of sodium and nitrate measured throughout the continental U.S. (CONUS)  
26 as part of the Interagency Monitoring of Protected Visual Environments (IMPROVE) for  
27 remote/rural locations and CSN for urban locations during the May 2002 BRACE time period.  
28 Daily-average sodium mass concentrations in the IMPROVE and CSN networks were  
29 measured once every three days via tube-generated X-ray fluorescence (XRF) (White, 2008).  
30 Nitrate concentrations for both the IMPROVE and CSN networks are determined by ion  
31 chromatography. During the May 2002 period, the IMPROVE network consisted of ~160 sites  
32 while the CSN network consisted of ~230 sites. [Although we use the filter-based measurements](#)

1 [from the IMPROVE and CSN networks and BRACE campaign for direct model evaluation, we](#)  
2 [acknowledge that they have uncertainties related to instrument sensitivity and volatility \(White](#)  
3 [et al., 2008\).](#)

## 4 **2.2 Model configuration**

5 In this work, we used the CMAQ model v5.0.2 to simulate the impact of updated sea spray  
6 aerosol emissions on surface aerosol concentrations/size distribution and gas-particle  
7 partitioning. CMAQ represents the aerosol size distribution using three modes (Aitken,  
8 accumulation, and coarse) and simulates inorganic aerosol thermodynamics using ISORROPIA  
9 II (Binkowski and Roselle, 2003; Fountoukis and Nenes, 2007). Kelly et al. (2010) further  
10 enhanced the SSA chemical treatment in CMAQ by allowing dynamic transfer of HNO<sub>3</sub>,  
11 H<sub>2</sub>SO<sub>4</sub>, HCl, and NH<sub>3</sub> between coarse particles and the gas phase. For comparison with the  
12 CONUS observational datasets such as IMPROVE and CSN, we used a model domain covering  
13 the continental U.S. at 12 km × 12 km horizontal resolution and 41 vertical layers with a surface  
14 layer up to 20 meters above ground level. The simulation time period (1 May 2002 to 3 June  
15 2002 with an 11 day spin-up) was made to coincide with the BRACE campaign to enable  
16 additional evaluation of the coastal-to-inland changes in the aerosol composition/size  
17 distribution and gas-particle partitioning. Meteorological parameters were generated by the  
18 Weather Research Forecasting model (WRF) version 3.1 (Skamarock et al., 2008), with initial  
19 and boundary conditions generated from a previous CMAQ simulation and a GEOS-Chem  
20 global model simulation, respectively. Detailed meteorological and emission inputs can be  
21 found in Bash et al. (2013). For the CalNex comparison, we used a model domain covering  
22 nearly all of California and Nevada as well as parts of the Pacific Ocean, Mexico, and Arizona  
23 with 4 km horizontal resolution and 34 vertical layers. Chemical boundary conditions were  
24 derived from a GEOS-Chem simulation (Henderson et al., 2014), and prognostic  
25 meteorological fields used to drive CMAQ were generated with WRF version 3.4. Detailed  
26 description of the meteorological and emission inputs can be found in Baker et al. (2013) and  
27 Kelly et al. (2014). SST was taken from the Moderate Resolution Imaging Spectroradiometer  
28 (MODIS) composite for all simulations.

29 As the  $\Theta$  value primarily affects the fine mode size distribution of the Gong (2003) SSA  
30 production parameterization, adjusting  $\Theta$  allows the user to change the 1) number flux without  
31 affecting the mass flux and 2) peak aerosol size emitted (see Figure S1). These two changes

1 can result in higher downwind concentrations of sea-salt components due to the reduced dry  
2 deposition velocities of fine mode aerosols relative to the coarse mode and resulting increase  
3 in atmospheric lifetime. The higher downwind concentration of sodium aerosol can increase  
4 the concentration of nitrate aerosol by affecting the gas-particle partitioning of total inorganic  
5 nitrate ( $\text{NO}_3^- + \text{HNO}_3$ ). This increase, in turn, can increase the nitrate lifetime as fine mode  
6  $\text{NO}_3^-$  has a longer atmospheric lifetime than gaseous  $\text{HNO}_3$ . Both the sea-salt and nitrate  
7 aerosol concentrations at the Sydney inland site were found to be underpredicted in CMAQ  
8 (Kelly et al., 2010). For this study, we used  $\Theta$  values of 30 (consistent with the current CMAQ  
9 representation, given as CMAQv5.0.2a), or “Baseline”, 20 (CMAQv5.0.2b), 10  
10 (CMAQv5.0.2c), and 8 (CMAQv5.0.2d), which were expected to result in increasingly  
11 large progressively higher emission rates of fine mode SSA emissions (see Figure S1). For the  
12 simulations using  $\Theta$  values  $\leq 20$ , the lower limit of the SSA dry diameter is decreased to 10 nm  
13 to better reflect changes in the emitted number size distribution (see Figure S1 which peaks at  
14 ~170, 140, 80, and 60 nm dry diameter for  $\Theta$  values of 30, 20, 10, and 8 respectively). This  
15 decrease was consistent with measurements of Aitken mode SSA (Clarke et al., 2006) and a  
16 recent global modeling study evaluating different SSA emission parameterizations (Grythe et  
17 al., 2014). The radius of peak emissions at 80% relative humidity (RH) from the Gong (2003)  
18 parameterization with a  $\Theta$  value of 8 was ~60 nm; this value was similar to the radius of  
19 maximum production flux from several parameterizations reviewed in de Leeuw et al. (2011).

20 Including the positive temperature dependence for SSA emissions in CMAQ was expected  
21 to affect the seasonality and spatial distribution of predicted concentrations. The Jaeglé et al.  
22 (2011) third order polynomial function of SST dependence for SSA emissions (CMAQv5.0.2e)  
23 increases the summertime/tropical concentrations, decreases wintertime/polar concentrations,  
24 and leaves mid-latitude/spring/autumn concentrations relatively unchanged. The surf zone  
25 width used in parameterizing the coastal enhancement of surf-enhanced emissions was  
26 decreased from 50 to 25 meters (CMAQv5.0.2f), reflecting both the uncertainty in the width  
27 distance and whitecap fraction within the surf zone. As SSA emissions from coastal surf zone-  
28 containing grids impact a narrow region, adjusting the surf zone width was expected to strongly  
29 affect coastal concentrations while having a relatively minor effect on downwind  
30 concentrations. We conducted two simulations to test the combined effect of setting  $\Theta = 8$ ,  
31 SST-dependence, and coastal surf-enhanced emissions (surf zone = 25 meters), with  
32 CMAQv5.0.2g using the Jaeglé et al. (2011) third-order SST dependence and CMAQv5.0.2h



1 using a hybrid of the adapted Jaeglé et al. (2011) third-order SST dependence and the  
2 Ovadnevaite et al. (2014) process-based linear SST dependence (see Fig. 12 from Ovadnevaite  
3 et al. (2014)) for open ocean emissions as follows:

$$4 \frac{dF}{dr} = (0.38 + 0.054 \times \text{SST}) \times 1.373 U_{10}^{3.41} r^{-(4.7(1+8r)^{-0.017r^{-1.44}})} (1 + 0.057 r^{3.45}) \times 10^{1.607 e^{-((0.433 - \log r)/0.433)^2}} \quad (2)$$

5 where SST has units of °C. The updated SSA emission parameterization given in Equation 2  
6 was mapped to the CMAQ aerosol modes as a function of relative humidity following Zhang  
7 et al. (2005, 2006). A summary of the different CMAQ model simulations in which SSA  
8 emissions were changed is given in Table 1. The approach used in CMAQv5.0.2h, hereafter  
9 referred to as the “Revised” simulation, is planned to be included in the next public release of  
10 CMAQ (version 5.1).

11 A potential limitation of this study is the reliance on ambient surface concentrations in the  
12 evaluation of modeled SSA emissions. Although all model processes other than SSA emissions  
13 are left constant for the CMAQ simulations listed above, the selection of deposition, transport,  
14 and chemistry parameterizations within the model can affect the predicted concentrations.  
15 Nolte et al. (2015) found that constraining the aerosol mode widths and enabling gravitational  
16 settling for all model layers in CMAQ affected the predicted coarse mode sodium at the BRACE  
17 sites. Although changes in the model chemistry would likely have a minor impact on the Na<sup>+</sup>  
18 evaluations, future diagnostic evaluations that account for deposition and transport uncertainties  
19 are advised.

## 21 **3 Results**

### 22 **3.1 BRACE**

23 The total particulate (PM<sub>tot</sub>) nitrate, chloride, and sodium concentrations observed at the  
24 three sites during the BRACE campaign and corresponding CMAQ predicted concentrations  
25 for the “Baseline” (v5.0.2a) and sensitivity simulations (v5.0.2b-h) are summarized in Table  
26 2. ~~Generally, the Baseline simulation underpredicted the nitrate concentrations for all sites with~~  
27 ~~a normalized mean bias (NMB) of -46.4%.~~ The Baseline simulation predicted the magnitude  
28 of chloride and sodium at the coastal site (Azalea Park) relatively well. with normalized mean  
29 biases (NMBs) between 0 and 25%. However, it increasingly underpredicted chloride and

1 sodium as the distance from the shore increased. [\(at the inland Sydney site the sodium NMB](#)  
2 [was -41%\).](#) The Baseline simulation overestimated by approximately a factor of 2 the observed  
3 decrease in  $PM_{tot}$  chloride and sodium between the coastal Azalea Park and inland Sydney sites.  
4 The average fine mode sodium concentration (given as  $PM_{1.8}$  for the measurements and the sum  
5 of the Aitken and accumulation ~~modes~~[modes approximating  \$PM\_{2.5}\$  \(Nolte et al., 2015\)](#) for the model  
6 predictions) were consistently underpredicted by the Baseline simulation for the BRACE sites  
7 with an NMB of -21.6%. [The Baseline simulation underpredicted nitrate concentrations for all](#)  
8 [sites with a NMB of -46.4%.](#) As the  $\Theta$  value was changed from 30 to 20 (v5.0.2b), the predicted  
9  $PM_{tot}$  chloride and sodium (and nitrate via secondary processes) [at the coastal Azalea Park site](#)  
10 decreased slightly ( [\$< 0.1 \mu g m^{-3}\$](#) ) despite an increase ([by  \$0.05 \mu g m^{-3}\$](#) ) in fine mode sodium  
11 concentrations. This surprising result was due to slight differences in the fitting of coarse mode  
12 SSA emissions to CMAQ's aerosol modes. The transition of  $\Theta$  values from 20 to 10 to 8 led  
13 to small ([\( \$\sim 0.05\$  to  \$0.1 \mu g m^{-3}\$ , or 10%\)](#)) increases in the nitrate, chloride, and sodium  
14 concentrations relative to the Baseline simulation for all sites. Although it slightly  
15 overestimated chloride and sodium at the coastal Azalea Park site, the v5.0.2d simulation with  
16 a  $\Theta$  value of 8 had the best prediction (both in terms of magnitude and correlation [according to](#)  
17 [Table 2](#)) of concentrations at the Gandy Bridge and Sydney sites.

18 The modeled chloride and sodium aerosol concentrations were much more sensitive to the  
19 implementation of SST-dependent SSA emissions (v5.0.2e) and reduction of the surf zone  
20 width used for ~~coastal~~[surf-enhanced](#) SSA ~~emission enhancement~~[emissions](#) (v5.0.2f) than the  
21 changing of the  $\Theta$  values. With the positive temperature dependence of the Jaeglé et al. (2011)  
22 sea spray aerosol emissions and warm ( $25^{\circ}C$ ) Gulf of Mexico surface waters in May (see Figure  
23 S2), concentrations of nitrate, chloride, and sodium were predicted to be higher ( $>20\%$ ) in the  
24 v5.0.2e simulation than the Baseline for all sites. The reduction in ~~coastal~~[surf-](#)enhanced  
25 emissions in the v5.0.2f simulation had a more site-specific impact on surface concentrations,  
26 with the coastal Azalea Park site having a ~~30%~~[0.4-0.5  \$\mu g m^{-3}\$  \(30%\)](#) decrease in predicted  
27 chloride and sodium concentrations and the bayside (Gandy Bridge) and inland (Sydney) sites  
28 having only a 10-15% decrease relative to the Baseline simulation. Figure S3 shows the model  
29 grid cells in the vicinity of Tampa Bay (including the Gandy Bridge site) have a representation  
30 of the open ocean fraction but not the surf zone fraction used for ~~enhancement of coastal~~[surf-](#)  
31 [enhanced](#) SSA emissions. The predicted 50% decrease in the chloride and sodium surface



1 concentrations from Azalea Park to Sydney in the v5.0.2f simulation was more similar to the  
2 observed 30% decrease than the 60% decrease predicted by the Baseline simulation.

3 ~~The~~In general, the best model performance at the BRACE sites occurred with SSA  
4 emissions having a  $\Theta$  value of 8, SST-dependence, and a reduced ~~coastal surf~~enhancement as  
5 implemented in the v5.0.2g and ~~v5.0.2h~~Revised simulations. While both the v5.0.2g and  
6 ~~v5.0.2h~~Revised simulations severely underpredicted nitrate concentrations (by up to  $1.2 \mu\text{g m}^{-3}$ )  
7 3) at all sites, the chloride and sodium concentrations were consistently improved both in  
8 magnitude and correlation compared to the Baseline simulation. (see Table 2). The largest  
9 improvement occurred at the inland Sydney site, where substantial underpredictions of chloride  
10 and sodium in the Baseline simulation were largely eliminated. in the Revised simulations  
11 (chloride and sodium NMBs improved from -37/-41% to -4/-14%, respectively). Comparison  
12 of the simulations with the third order polynomial (v5.0.2g) and linear (~~v5.0.2h~~Revised) SST  
13 dependence of SSA emissions revealed that the linear dependence led to slightly improved  
14 prediction of chloride and sodium at the Azalea Park and Sydney sites (Pearson's correlation  
15 coefficients jumped from 0.57 to 0.61 and biases went from -0.32 to -0.16  $\mu\text{g m}^{-3}$  for sodium in  
16 Sydney) and similar performance at the Gandy Bridge site. Improved prediction of chloride  
17 and sodium concentrations at these sites was not surprising as the linear temperature  
18 dependence was adapted from a process-based parameterization incorporating seawater  
19 viscosity and wave state (Ovadnevaite et al., 2014) as opposed to the top-down, model-specific  
20 third order polynomial parameterization developed for GEOS-Chem in Jaeglé et al. (2011).  
21 ~~Therefore, the v5.0.2h simulation is referred hereafter as the "Revised" simulation.~~

22 The statistical improvement in the Revised simulation relative to the Baseline ~~(v5.0.2a)~~  
23 simulation is reflected in the time series of sodium concentrations at the three sites (Figure 2).  
24 Besides showing the generally higher  $\text{PM}_{\text{tot}}$  sodium concentrations at the bayside and inland  
25 sites and higher  $\text{PM}_{1.8}$  sodium concentrations at all sites, Figure 2 also shows that the Revised  
26 simulation diverges most from ~~v5.0.2a~~the Baseline during periods of high SSA concentration  
27 episodes (15, 22 May 2002). This suggests that the Revised simulation better replicated the sea  
28 spray aerosol emissions during periods with strong onshore flow compared to the Baseline  
29 simulation. The range of  $\text{PM}_{1.8}$  sodium concentrations predicted by the Revised simulation was  
30 more consistent with observations than the Baseline simulation, especially at the Sydney site  
31 which has observed concentrations of 0.05-0.27  $\mu\text{g m}^{-3}$  and predicted concentrations of 0.02-  
32 0.16  $\mu\text{g m}^{-3}$  and 0.03-0.25  $\mu\text{g m}^{-3}$  for the Baseline and Revised simulations. The  $\text{PM}_{1.8}$  sodium

1 [concentrations at the BRACE sites were lower than PM<sub>2.5</sub> sodium measured at a nearby CSN](#)  
2 [site \(located at 28.05N, 82.378056W\) averaging 0.34 µg m<sup>-3</sup> during the same period but well](#)  
3 [correlated \(correlation coefficients ranging from 0.65 to 0.90\) for the 5-6 days of coincident](#)  
4 [measurements. This CSN site is part of the CONUS model evaluation described in Sect. 3.3.](#)

5 Comparison of the predicted and observed size distribution of sodium at the three sites (see  
6 Figure 3) showed that much of the observed and predicted decrease in the sodium mass  
7 concentration in the transition from coastal to inland sites occurred within the coarse mode.  
8 The Baseline simulation overpredicted/underpredicted coarse mode sodium at the  
9 coastal/inland sites, while the Revised simulation well predicted the coarse mode sodium at  
10 both the coastal and inland sites. [At the bayside Gandy Bridge site, the high SST in Tampa](#)  
11 [Bay resulted in an increase in the bias from the Baseline simulation due to the Revised](#)  
12 [simulation overestimating coarse mode observations. Both the Baseline and Revised](#)  
13 [simulations predict a second submicron mode for the three sites that is not evident in the](#)  
14 [observations; it's unclear whether this discrepancy is related to inaccuracies in the size-resolved](#)  
15 [emissions or the modal distribution of the model.](#)

16 Fine (Aitken + accumulation) mode sodium concentrations increased throughout the  
17 BRACE domain in the Revised simulation [relative to the Baseline simulation](#) with larger  
18 changes (up to 0.1 µg m<sup>-3</sup>) offshore and smaller changes (0.05 µg m<sup>-3</sup>) inland as shown in the  
19 right column of Figure 1a. The total (sum of Aitken, accumulation, and coarse modes) sodium  
20 concentrations over the open ocean increased in the warmer southern waters of the Atlantic and  
21 Pacific Oceans and decreased in the cooler waters off New England and the Pacific Northwest.  
22 Grid cells directly adjacent to the coast experienced concentration decreases of up to 1 µg m<sup>-3</sup>,  
23 with the largest decreases occurring for cells with large surf zones due to irregular coastlines  
24 (i.e. barrier islands, peninsulas, etc.). These coastline-centered decreases were limited  
25 spatially, as adjacent cells just offshore had large increases in sodium concentration. Like the  
26 fine mode changes, the largest total sodium concentration increases occurred offshore while  
27 more modest increases were predicted for inland locations. The coastal-inland concentration  
28 gradients were stronger for the total concentration changes due to the faster deposition velocity  
29 of coarse mode aerosols (relative to the fine mode) which comprise most of the total mass.

30 The hourly time series of observed and predicted nitrate gas/particle partitioning from the  
31 Sydney site for May 2002 (Figure 4) shows that the Revised simulation pushes the partitioning

1 towards the particle phase (relative to the Baseline simulation) and closer to observations. The  
2 average observed fraction of nitrate in the particle phase was 0.51 while the predicted fractions  
3 from the Baseline and Revised simulations were 0.36 and 0.42, respectively. Figure 4 indicates  
4 that the largest difference in the nitrate partitioning between the Baseline and Revised  
5 simulations occurred during the daytime, when higher concentrations of inorganic ions like  
6 sodium prevented some of the nitric acid evaporation from the particle phase during the hot  
7 afternoon period. Despite improvement in the daytime partitioning, the Revised simulation  
8 continued to overpredict the nighttime nitrate fraction and daytime nitric acid fraction. This  
9 impact on partitioning is consistent with Kelly et al. (2014), which suggested that improving  
10 CMAQ prediction of sodium concentration and relative humidity would improve gas-particle  
11 partitioning of nitrate in the CalNex model domain.

## 12 **3.2 CalNex**

13 Similar to results for the BRACE sites, the predicted [PM<sub>2.5</sub>fine mode](#) sodium surface  
14 concentrations were improved in the Revised simulation relative to the Baseline for sites  
15 examined during the CalNex simulation period (see Figure 5). Surface sodium concentrations  
16 were underpredicted by both the Baseline and Revised simulations for all the coastal CalNex  
17 sites, especially in the 11-16 June time period when high sodium concentrations at several of  
18 the sites were not well captured by either the Revised or Baseline simulation. It is worth noting  
19 that a sensitivity test in which the [coastallysurf](#)-enhanced emissions were increased (using a  
20 surf zone width of 100 meters rather than 25 meters as in the Revised simulation) did not  
21 substantially improve the sodium underpredictions at the coastal CalNex sites. Monthly-  
22 average (June 2010) sodium concentrations predicted in the Revised simulation increased by  
23 up to  $\sim 0.25 \mu\text{g m}^{-3}$  off the California coast relative to the Baseline simulation, with increases  
24 between  $0.05$  and  $0.1 \mu\text{g m}^{-3}$  widespread in the San Francisco, Los Angeles, and San Diego air  
25 basins (Figure 5). Hourly- or daily-average increases between the Revised and Baseline  
26 simulations were even higher in these urban areas, with the time series plots in Figure 5 showing  
27 increases up to  $0.2 \mu\text{g m}^{-3}$ . The spatial patterns of impacts on sodium in the Central Valley and  
28 South Coast air basin matched those of tracers released from San Francisco and LAX airport  
29 that are drawn inland on the sea breeze (Baker et al., 2013).

30 Improving the sodium underprediction at the coastal CalNex sites in the Revised simulation  
31 had the effect of improving the frequent nitrate aerosol underprediction at the same sites (see

1 Figure 6). Unlike the sodium concentration changes, the largest ( $0.5 \mu\text{g m}^{-3}$ ) increases in  
2 monthly-average nitrate aerosol concentration occurred over the Los Angeles air basin well  
3 inland from the coast. The increase of nitrate largely occurred in inland areas where nitric acid  
4 was produced downwind of urban centers with large  $\text{NO}_x$  emissions. For conditions  
5 unfavorable for ammonium nitrate formation (e.g., high temperature, low RH, low  $\text{NH}_3$ ), nitrate  
6 may still form in sea spray particles through replacement reactions (e.g.,  $\text{NaCl(p)} + \text{HNO}_3(\text{g})$   
7  $\rightarrow \text{NaNO}_3(\text{p}) + \text{HCl}(\text{g})$ ). Since such pathways involve pollution derived from urban emissions  
8 ( $\text{HNO}_3$ ) in addition to sea salt ( $\text{NaCl}$ ), the highest nitrate increases occurred inland despite the  
9 relatively small increases in sodium compared to the Baseline simulation in these areas.  
10 Similarly, polluted sites such as Pasadena and Riverside had larger increases in nitrate  
11 concentrations than cleaner sites in the San Francisco air basin despite having similar sodium  
12 concentration changes. This behavior suggested that these SSA emission updates had the  
13 largest air quality impact in coastal urban areas with mixtures of marine and polluted air masses.  
14 Note that the nitrate-to-sodium ratio of molar masses is about 2.7, and so a 1:1 increase in the  
15 moles of sodium and nitrate according to  $\text{NaNO}_3$  stoichiometry would lead to a greater increase  
16 of nitrate than sodium mass. The nitrate underpredictions in Figure 6 were not resolved entirely  
17 by improved sodium predictions. In Riverside, for example, nitrate underpredictions in the  
18 Revised simulation were likely due ~~in part to underestimate~~ [to a combination of persistent](#)  
19 [sodium underpredictions and an underestimate](#) of ammonia emissions from upwind dairy  
20 facilities (Nowak et al., 2012; Kelly et al., 2014).

21

### 22 3.3 Continental U.S.

23 Unlike the  $\text{PM}_{1.8}$  or  $\text{PM}_{2.5}$  sodium concentrations evaluated using the BRACE and CalNex  
24 observations, the total sodium surface concentration changes shown in Figure 1b both increased  
25 and decreased in the CONUS domain due to the variability in coastal and oceanic SST. The  
26 distribution of fine (Aitken + accumulation) mode concentration changes (Figure 1a) had some  
27 similar features to the total concentration changes (Figure 1b), with the largest increases  
28 occurring over areas with high ( $> \sim 20^\circ\text{C}$ ) SSTs. Differences between the fine mode and total  
29 concentration changes were most notable for regions with low ( $< \sim 10^\circ\text{C}$ ) SSTs (Pacific and  
30 northeast U.S. coasts) and for inland regions. Because fine mode particles have a low dry  
31 deposition velocity, offshore increases in the fine mode sodium concentrations were able to

1 extend inland and lead to increased deposition (see Figure S4a). The flat topography and large  
2 offshore concentration increases in the southeast U.S. resulted in concentration increases of up  
3 to  $0.25 \mu\text{g m}^{-3}$  hundreds of kilometers from the coast. While reductions in fine mode SSA  
4 emissions due to low SSTs were balanced by increased emissions from changing  $\Theta$ , cold  
5 seawater temperatures off the Pacific coast and northeast U.S. led to large decreases in total  
6 sodium concentration of up to  $-0.5 \mu\text{g m}^{-3}$ . As in the BRACE domain, the decrease in  
7 [coastallysurf](#)-enhanced emissions led to localized decreases in  $\text{PM}_{\text{tot}}$  sodium concentration for  
8 grid cells immediately adjacent to the coastline throughout the CONUS domain. Regions with  
9 rugged coastlines and barrier islands experienced the largest concentration decreases because  
10 of the large surf zone area.

11 Model comparison of  $\text{PM}_{2.5}$  sodium concentrations from the IMPROVE and CSN networks  
12 revealed improvement from the Baseline to Revised simulation (see Figure 7). For both the  
13 IMPROVE and CSN networks, far fewer sites had an increased error (Figure 7a) in the Revised  
14 simulation relative to the Baseline than had reductions in the model error (Figure 7b). Sites  
15 where the model error increased in the Revised simulation were widely scattered across the  
16 CONUS domain and typically overpredicted concentrations. The sites where model error was  
17 reduced in the Revised simulation were in the Southeast and mid-Atlantic U.S. and typically  
18 underestimated concentrations. Sodium concentrations at numerous sites were underpredicted  
19 by  $> 0.1 \mu\text{g m}^{-3}$  in the Revised simulation, suggesting that the SSA emission changes were  
20 insufficient to bring the model into agreement with most observations. Despite cold waters off  
21 the Pacific coast leading to lower emissions (relative to the warmer Gulf of Mexico) in the  
22 Revised simulation, there were more sites in California that had an error reduction in the  
23 predicted concentrations than had increased model error. Cold waters in the Gulf of Maine and  
24 the associated lower emissions/concentrations in the Revised simulation had the effect of  
25 reducing the overprediction of sodium at several sites in coastal New England. Table 3 shows  
26 that the average bias for sodium concentrations for all stations in the IMPROVE and CSN  
27 networks was reduced from the Baseline to Revised simulation (NMB= -63.7 to -57.6% and -  
28 67.2 to -54.9% for the IMPROVE and CSN networks, respectively) with small improvements  
29 in the correlation. Predicted nitrate concentrations improved in the Revised simulation relative  
30 to the Baseline, with slight reductions in the large model underpredictions for the IMPROVE  
31 (NMB: -62.7 to -56.8%) and CSN (NMB: -68.6 to -65.0%) networks. Despite similar changes  
32 in average sodium concentrations between the Baseline and Revised simulations for the

1 IMPROVE and CSN networks, the average change in  $PM_{2.5}$  between the two simulations was  
2 much higher for the CSN ( $+0.42 \mu\text{g m}^{-3}$ ) than the IMPROVE ( $+0.06 \mu\text{g m}^{-3}$ ) network.  
3 Predominantly comprised of urban sites, CSN sites are located in more polluted regions where  
4 changes in sodium concentrations were more likely to have an impact on the partitioning of  
5  $HNO_3$ ,  $HCl$ , and  $NH_3$  between gas and particle phases leading to increases in nitrate aerosol  
6 concentrations (see Figure 6 for an example). The enhanced partitioning of nitrate to the  
7 particle phase in the Revised simulation also led to decreased deposition of total nitrate inland  
8 because of the lower dry deposition velocity of nitrate aerosol relative to nitric acid (see Figure  
9 S4b).

10

## 11 **4 Conclusions**

12 In this study, the size distribution, temperature dependence, and [coastalsurf-zone](#)  
13 enhancement of sea spray aerosol (SSA) emissions were updated in the Community Multiscale  
14 Air Quality (CMAQ) model version 5.0.2. Increasing fine mode emissions, including  
15 temperature dependence, and reducing the [coastallysurf](#)-enhanced emissions from the  
16 “Baseline” to the “Revised” simulation collectively improved the summertime surface  
17 concentration predictions for sodium, chloride, and nitrate at three Bay Regional Atmospheric  
18 Chemistry Experiment (BRACE) sites near Tampa, Florida. Surface concentrations at the  
19 inland site near Tampa were particularly affected by these emission changes, as low dry  
20 deposition velocities for the fine mode aerosols increased the atmospheric lifetime and inland  
21 concentrations. The coastal-inland concentration gradient was also affected by the updated  
22 emissions, as the reduction in surf zone width used to enhance [coastalsurf zone](#) emissions  
23 brought the Revised simulation in closer agreement with observations. These SSA emission  
24 updates led to increases in the fine mode sodium surface concentrations throughout coastal  
25 areas of the continental U.S., with the largest increases occurring near the Southeast U.S. coast  
26 where sea surface temperatures (SST) were high. Decreases in the total sodium concentration  
27 were predicted for oceanic regions with low SST such as the Pacific and northern Atlantic  
28 coasts. Comparison of the Baseline and Revised simulation with sodium observations from the  
29 IMPROVE and CSN networks showed that the updated emissions reduced the widespread  
30 underprediction of concentrations, especially in the Southeast and mid-Atlantic U.S. Non-  
31 linear responses between changes in total and sea-salt  $PM_{2.5}$  concentrations indicated that the  
32 impacts of these emissions changes on aerosol chemistry were enhanced in polluted coastal

1 environments. The Revised simulation had increased sodium and nitrate aerosol concentrations  
2 at most CalNex sites, slightly reducing the underprediction from the Baseline simulation.

3 Potential future work includes treating the organic fraction of SSA (Gantt et al., 2010),  
4 implementing the Group for High Resolution Sea Surface Temperature (GHRSSST) dataset  
5 (Donlon et al., 2007), and linking the SSA emissions to marine boundary layer halogen  
6 chemistry via debromination (Yang et al., 2005). Episodic high SSA concentrations are not  
7 well captured at any of the coastal CalNex sites in the Revised simulation, suggesting that other  
8 factors not accounted for in our updated SSA emission parameterization such as wind history,  
9 wave state, ocean biology, solar radiation, whitecap timescales, or the limited ocean surface  
10 area in the ~~modeling domain~~[modeling domain](#) (Callaghan et al., 2008; Ovadnevaite et al., 2014;  
11 Long et al., 2014; Callaghan et al., 2014) may play an important role. Additional model  
12 developments focused on the South Coast region of California are warranted considering the  
13 impact on nitrate discussed above as well as the impact that reactive chlorine atoms derived  
14 from sea spray particles can have on ozone in this region (Simon et al., 2009; Sarwar et al.,  
15 2012; Riedel et al., 2014). As the fine mode size distribution has a far greater impact on the  
16 number concentration than the mass concentration, the changes described in this study likely  
17 impact other model parameters such as aerosol radiative feedbacks which are included in the  
18 coupled WRF-CMAQ modeling system (Gan et al., 2014).

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## 30 **Code Availability**

- 1 The updated code is available upon request prior to the public release of CMAQ v5.1. Please
- 2 contact Jesse Bash at [Jessebash.jesse@epa.gov](mailto:Jessebash.jesse@epa.gov) for more information.



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1 Table 1. Differences in CMAQ model version used in this study.

<u>Model</u>	<u>Simulation</u>	$\Theta$	SST-dependence	Surf Zone (meters)
<a href="#">CMAQv5.0.2a</a>	<a href="#">Baseline</a> <sup>1</sup>	30	NA	50
CMAQv5.0.2b		20	NA	50
CMAQv5.0.2c		10	NA	50
CMAQv5.0.2d		8	NA	50
CMAQv5.0.2e		30	Jaeglé et al. (2011)	50
CMAQv5.0.2f		30	NA	25
CMAQv5.0.2g		8	Jaeglé et al. (2011)	25
<a href="#">CMAQv5.0.2h</a>	<a href="#">Revised</a> <sup>2</sup>	8	Jaeglé et al. (2011); Ovadnevaite et al. (2014)	25

2 <sup>1</sup>This simulation is also referred to as the [“Baseline”CMAQv5.0.2a](#) simulation.

3 <sup>2</sup>In this simulation, which is also referred to as the [“Revised”CMAQv5.0.2h](#) simulation, the  
4 SST-dependence of Jaeglé et al. (2011) has been linearized following Ovadnevaite et al. (2014).

1 Table 2. [Total Comparison of the mean and Pearson's correlation coefficient \(r\) of total](#) observed and model-predicted inorganic particle  
 2 concentrations ( $\mu\text{g m}^{-3}$ ) at three Bay Regional Atmospheric Chemistry Experiment (BRACE) sites near Tampa, FL.

Species	Obs.	<a href="#">v5.0.2aBaseli</a>		v5.0.2b		v5.0.2c		v5.0.2d		v5.0.2e		v5.0.2f		v5.0.2g		<a href="#">v5.0.2hRevis</a>	
		Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>	Mean	<u>Corr</u> <u>r</u>
<b>Azalea Park</b>																	
NO <sub>3</sub> <sup>-</sup>	1.96	0.74	0.34	0.72	0.33	0.73	0.34	0.76	0.35	0.92	0.30	0.65	0.45	0.74	0.45	0.79	0.43
Cl <sup>-</sup>	1.93	2.41	0.17	2.33	0.15	2.36	0.15	2.49	0.18	3.69	0.19	1.55	0.31	1.92	0.38	2.15	0.42
Na <sup>+</sup>	1.62	1.62	0.19	1.61	0.18	1.62	0.18	1.71	0.21	2.39	0.22	1.11	0.33	1.38	0.41	1.52	0.44
Na <sup>+a</sup>	0.13	0.11	0.38	0.16	0.42	0.15	0.41	0.16	0.42	0.15	0.42	0.10	0.43	0.16	0.53	0.18	0.58
<b>Gandy Bridge</b>																	
NO <sub>3</sub> <sup>-</sup>	1.74	1.32	0.55	1.03	0.54	1.03	0.54	1.07	0.55	1.32	0.51	0.93	0.60	1.09	0.61	1.17	0.61
Cl <sup>-</sup>	1.72	1.57	0.71	1.51	0.71	1.53	0.71	1.63	0.71	2.53	0.68	1.32	0.81	1.91	0.81	2.26	0.81
Na <sup>+</sup>	1.46	1.17	0.67	1.17	0.67	1.17	0.67	1.24	0.67	1.78	0.65	1.01	0.79	1.41	0.81	1.62	0.80
Na <sup>+a</sup>	0.13	0.09	0.51	0.13	0.54	0.12	0.53	0.13	0.54	0.12	0.51	0.09	0.56	0.14	0.60	0.17	0.63
<b>Sydney</b>																	
NO <sub>3</sub> <sup>-</sup>	1.51	0.73	0.58	0.71	0.57	0.72	0.57	0.75	0.58	0.88	0.59	0.68	0.60	0.78	0.63	0.84	0.64
Cl <sup>-</sup>	1.31	0.82	0.35	0.78	0.35	0.79	0.35	0.86	0.36	1.32	0.30	0.71	0.49	1.02	0.50	1.26	0.53
Na <sup>+</sup>	1.14	0.67	0.44	0.66	0.45	0.67	0.45	0.72	0.46	0.98	0.41	0.59	0.55	0.82	0.57	0.98	0.61
Na <sup>+a</sup>	0.11	0.09	0.19	0.12	0.27	0.11	0.25	0.12	0.27	0.11	0.21	0.08	0.23	0.13	0.33	0.16	0.40

3 [<sup>1</sup>This simulation is also referred to as the CMAQv5.0.2a simulation.](#)

4 [<sup>2</sup>This simulation is also referred to as the CMAQv5.0.2h simulation.](#)

5 <sup>a</sup>Na<sup>+</sup> predicted for the sum of Aitken and accumulation modes ([approximating PM<sub>2.5</sub> \(Nolte et al., 2015\)](#)) and observed for aerosols < 1.8  $\mu\text{m}$  in  
 6 diameter.

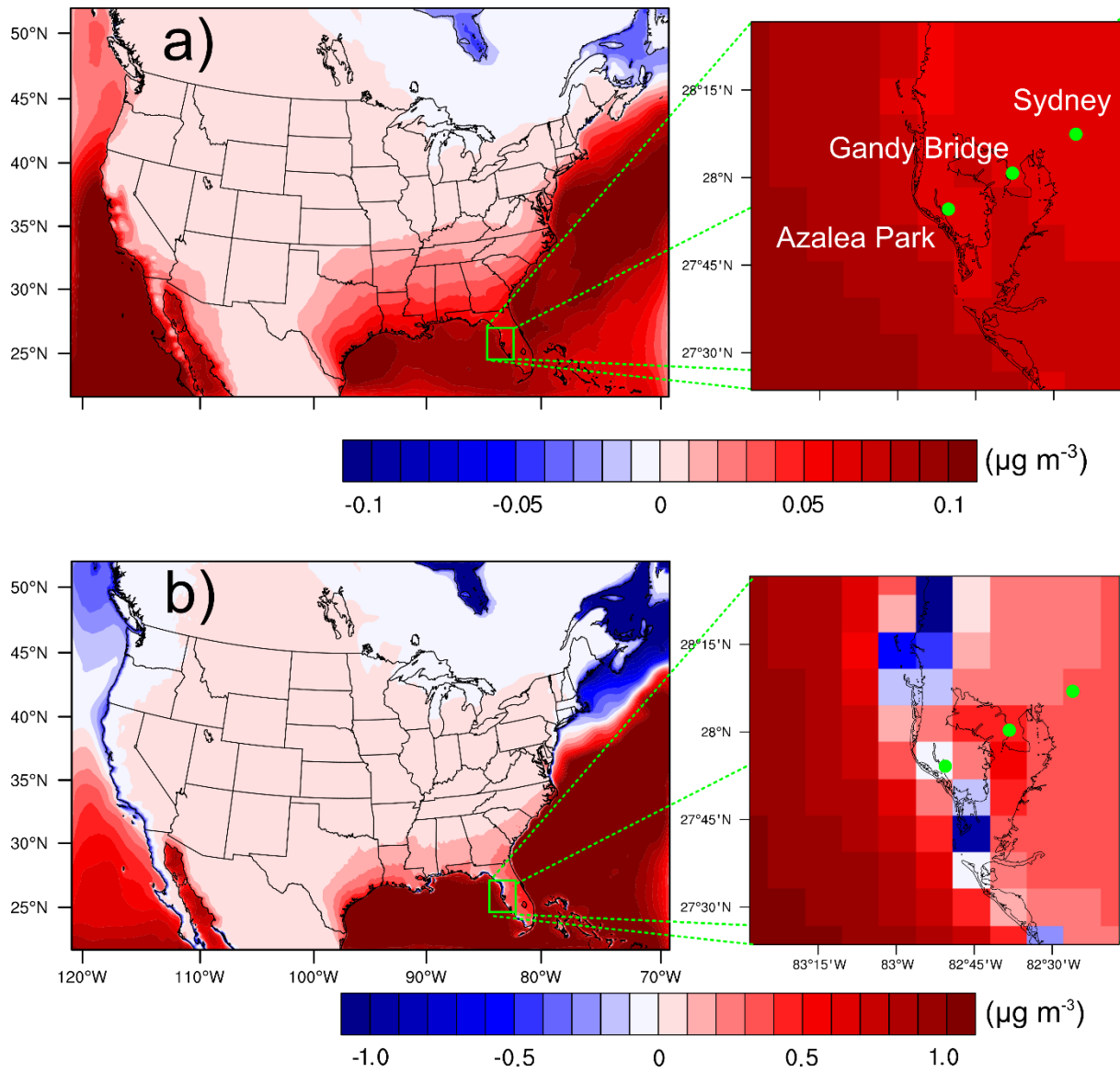


1 Table 3. Statistical comparison [of the mean and Pearson's correlation coefficient \(r\)](#) between  
 2 observed and model-predicted sodium, nitrate and PM<sub>2.5</sub> surface concentrations (μg m<sup>-3</sup>) for the  
 3 continental U.S. in May 2002 from the IMPROVE and CSN networks.

Species	Obs.	<a href="#">v5.0.2aBaseline<sup>1</sup></a>		v5.0.2g		<a href="#">v5.0.2hRevised<sup>2</sup></a>	
		Mean	<del>Corr</del> <u>r</u>	Mean	<del>Corr</del> <u>r</u>	Mean	<del>Corr</del> <u>r</u>
<b>IMPROVE</b>							
Na <sup>+</sup>	0.44	0.16	0.11	0.16	0.17	0.19	0.20
NO <sub>3</sub> <sup>-</sup>	0.61	0.23	0.28	0.26	0.26	0.26	0.27
PM <sub>2.5</sub>	5.98	4.24	-0.04	4.16	-0.01	4.30	0.04
<b>CSN</b>							
Na <sup>+</sup>	0.34	0.11	0.59	0.14	0.62	0.15	0.62
NO <sub>3</sub> <sup>-</sup>	1.94	0.61	0.76	0.68	0.76	0.68	0.75
PM <sub>2.5</sub>	9.74	6.04	0.74	6.29	0.74	6.48	0.74

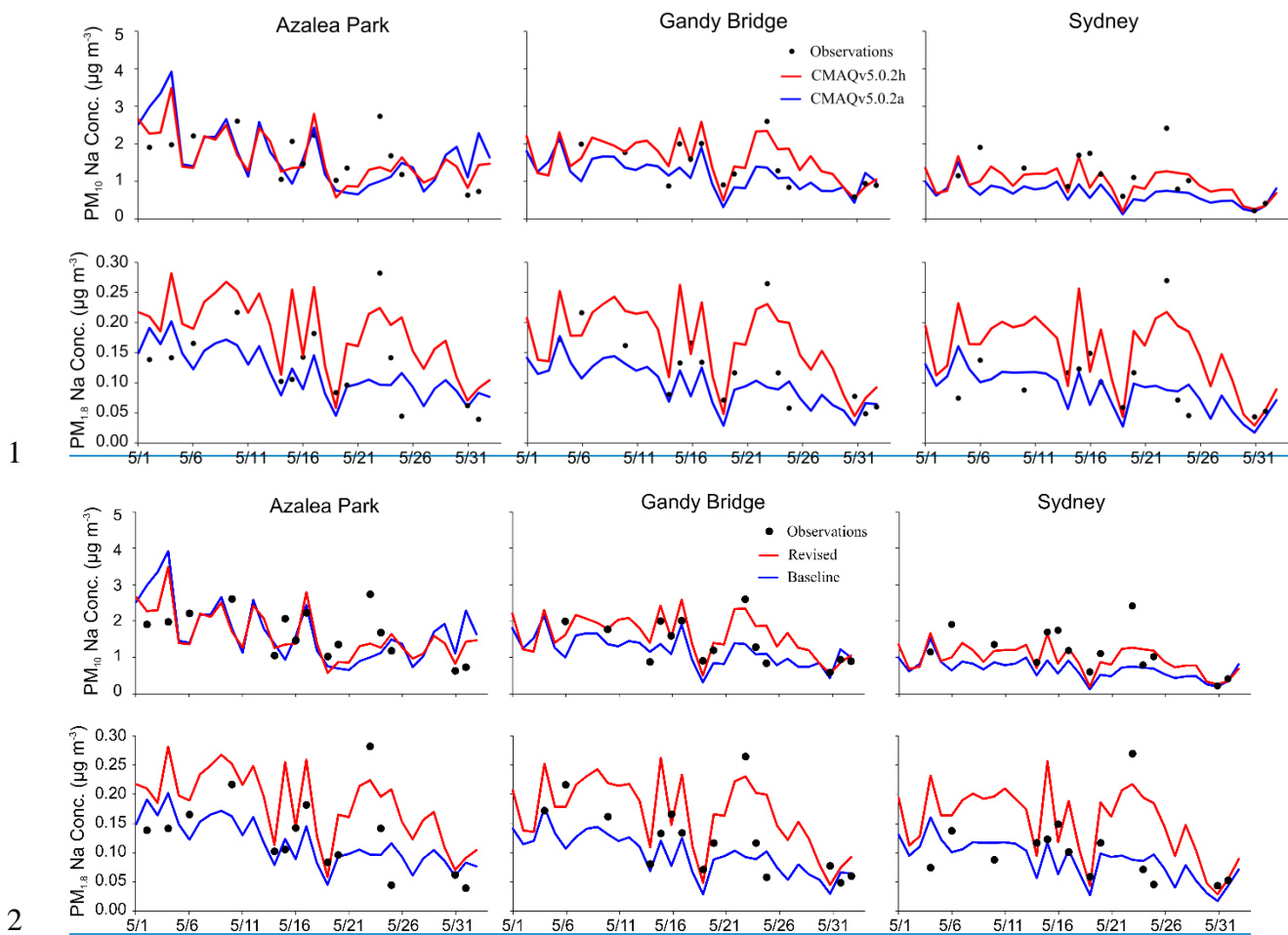
4 [<sup>1</sup>This simulation is also referred to as the CMAQv5.0.2a simulation.](#)

5 [<sup>2</sup>This simulation is also referred to as the CMAQv5.0.2h simulation.](#)

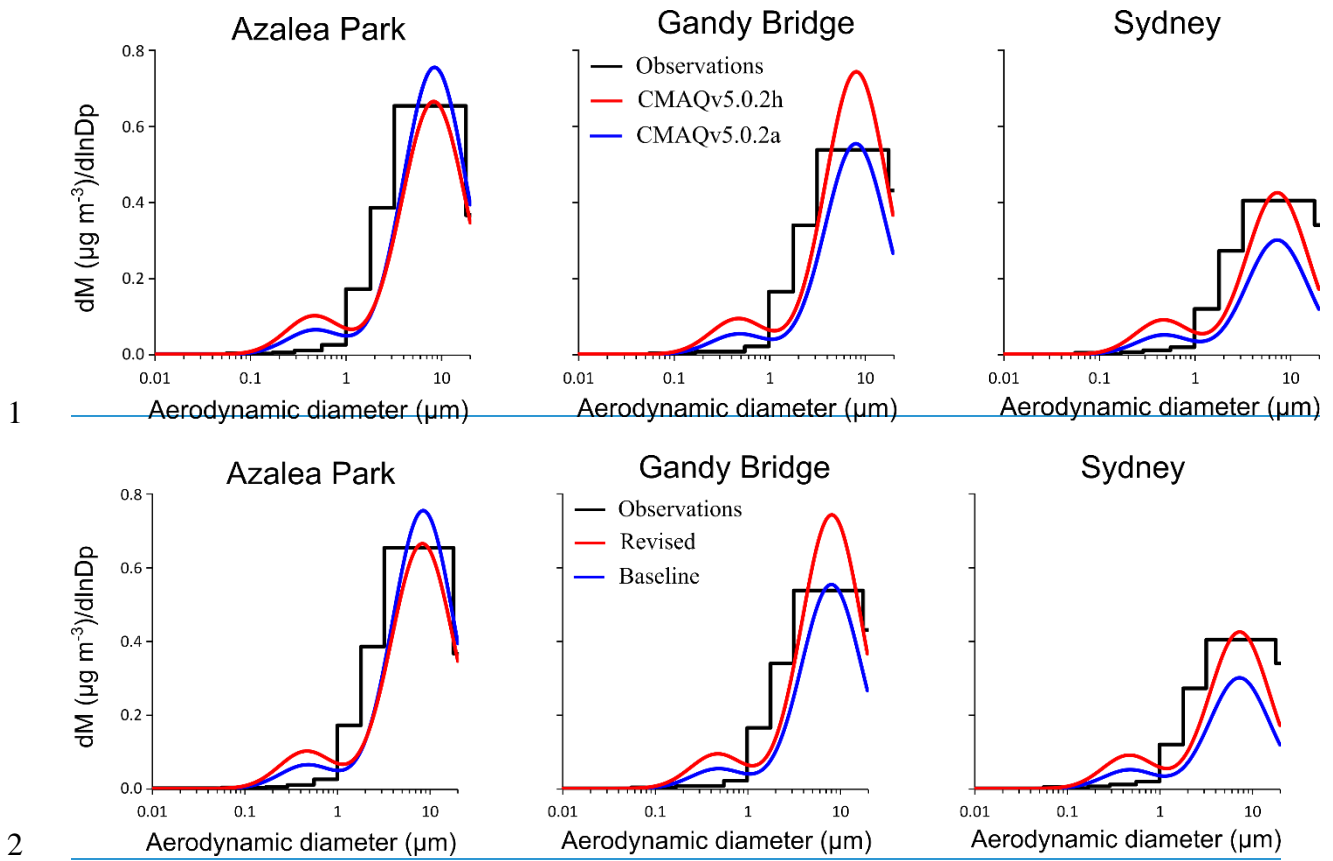


1

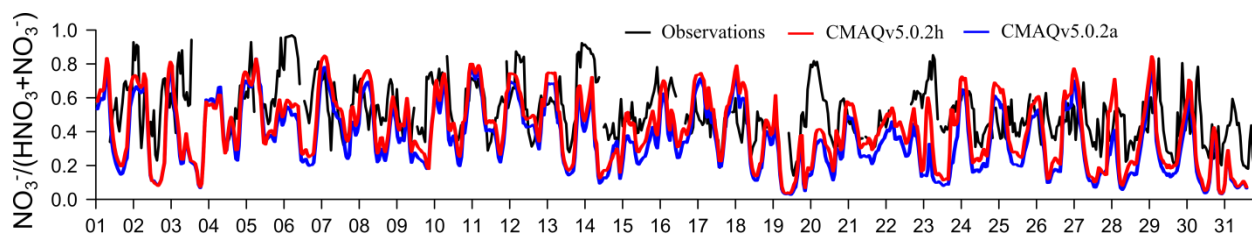
2 Figure 1. Change in the a) fine mode and b) total surface sodium concentration between the  
 3 [CMAQv5.0.2hRevised](#) and [CMAQv5.0.2aBaseline](#) simulations for May 2002 over the  
 4 continental U.S. and BRACE domains with sites from left to right of Azalea Park, Gandy  
 5 Bridge, and Sydney as green dots. ~~Sodium concentrations are consistently greater for the fine  
 6 mode in the CMAQv5.0.2h simulation and are greater or less depending on location for the  
 7 total concentration.~~



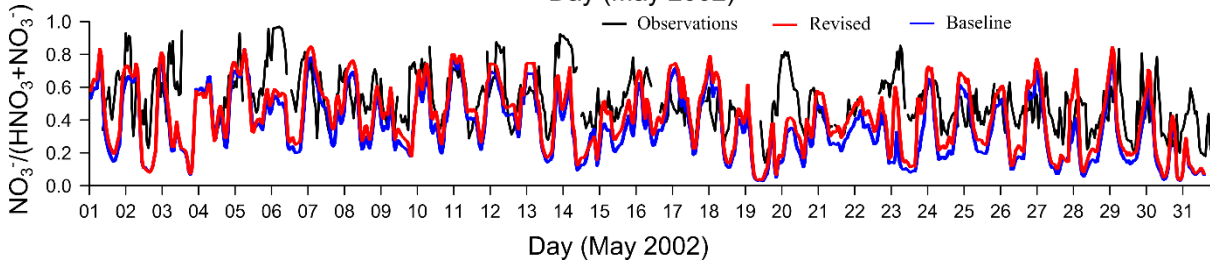
3 Figure 2. Time series of the observed and predicted daily  $PM_{10}$  and  $PM_{1.8}$   $Na^+$  concentration at  
 4 the three BRACE sites. Note that the  $PM_{1.8}$   $Na^+$  concentration predicted by CMAQ is  
 5 represented by the sum of the Aitken and accumulation modes.



3 Figure 3. Observed and predicted size distributions of  $\text{Na}^+$  at the three Tampa-area sites  
 4 averaged over 15 sampling days (14 at Sydney) during 2 May–2 June 2002.

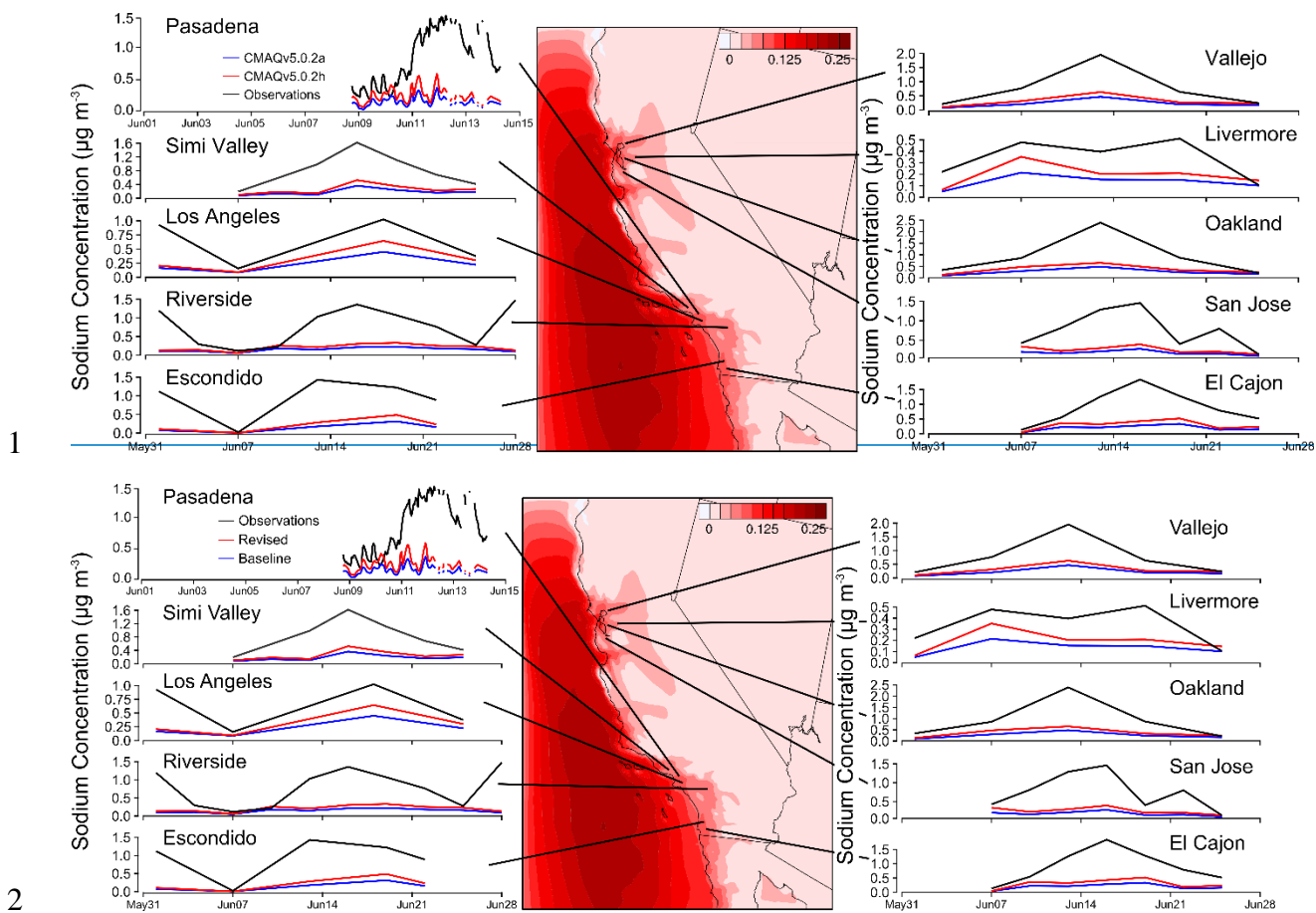


1

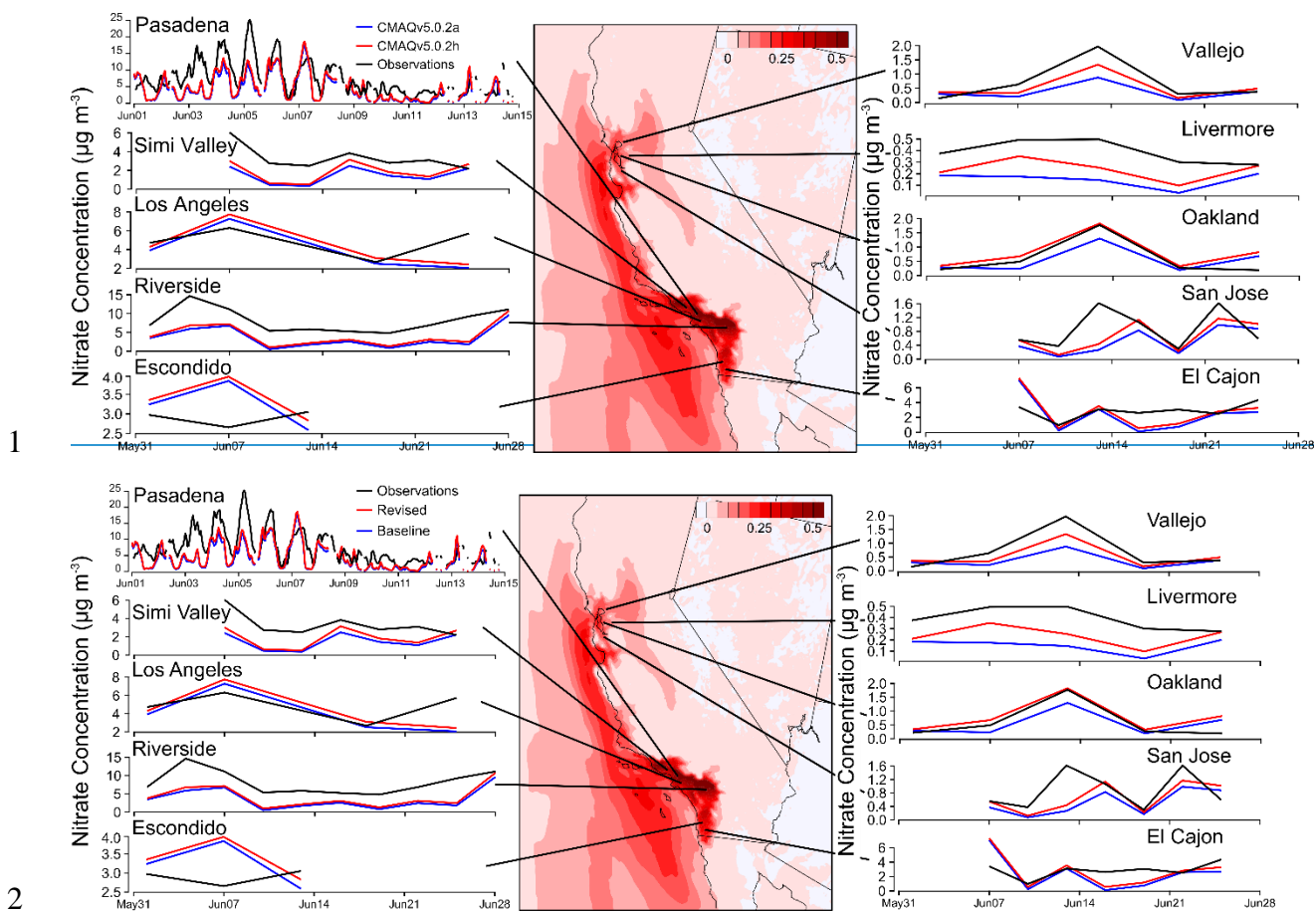


2

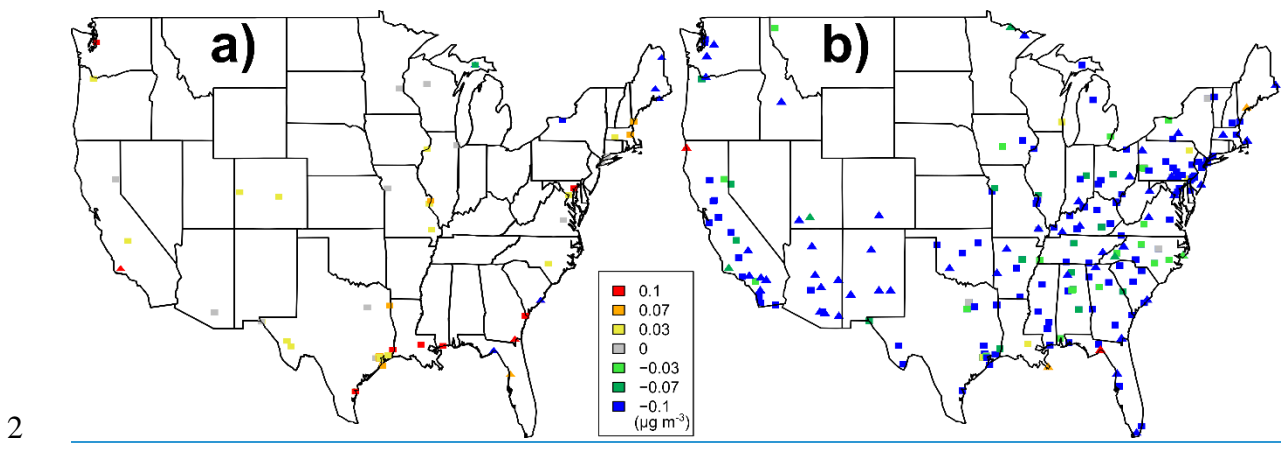
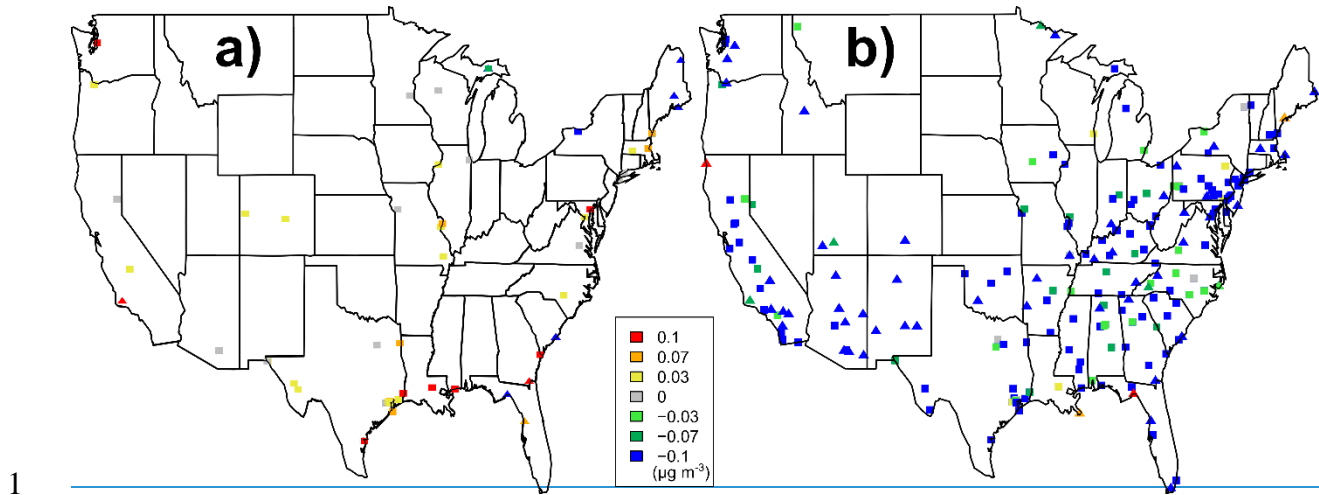
3 Figure 4. Time series of observed and modeled fraction of total nitrate in the particle phase  
 4  $[NO_3^-/(HNO_3+NO_3^-)]$  at the Sydney, FL site for May 2002. Tick marks represent 00:00 local  
 5 standard time on each day.



3 Figure 5. Change ( $\mu\text{g m}^{-3}$ ) in the fine (Aitken + accumulation) mode surface sodium  
 4 concentration between the [CMAQv5.0.2hRevised](#) and [CMAQv5.0.2aBaseline](#)  
 5 June 2010 over the CalNex domain surrounded by time series plots of the observed and  
 6 predicted daily and/or hourly  $\text{PM}_{2.5}$  sodium concentration at the coastal CalNex sites.

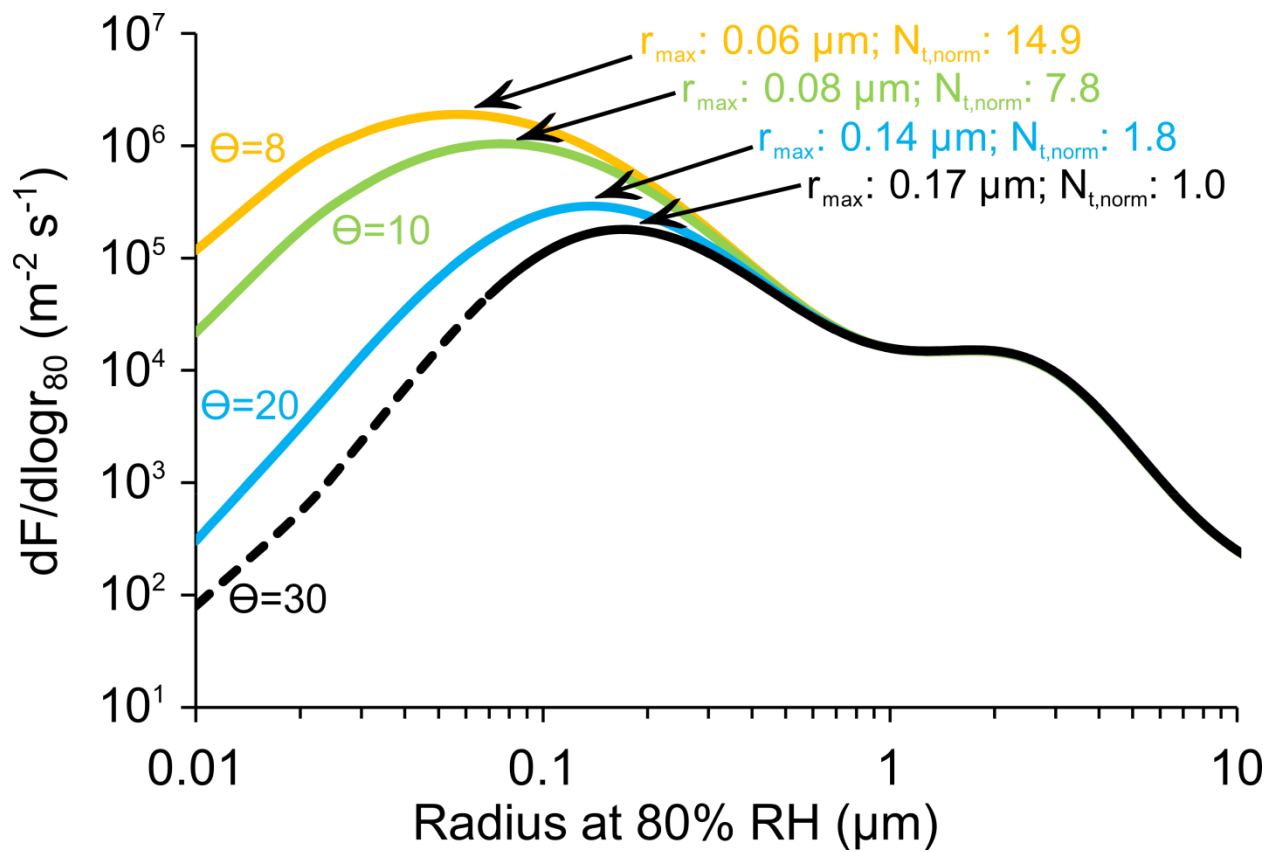


3 Figure 6. Change ( $\mu\text{g m}^{-3}$ ) in the fine (Aitken + accumulation) mode surface nitrate  
 4 concentration between the [CMAQv5.0.2hRevised](#) and [CMAQv5.0.2aBaseline](#)  
 5 June 2010 over the CalNex domain surrounded by time series plots of the observed and  
 6 predicted daily and/or hourly  $\text{PM}_{2.5}$  nitrate concentration at the coastal CalNex sites.



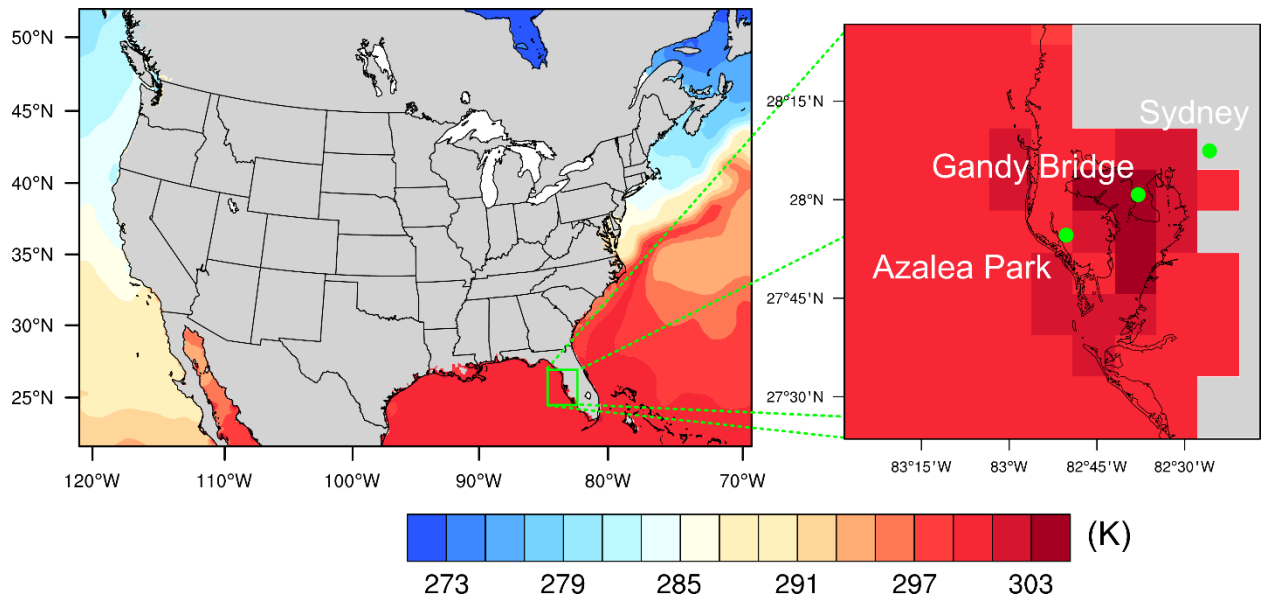
3 Figure 7. Model bias of PM<sub>2.5</sub>-sodium concentration predicted by the [CMAQv5.0.2hRevised](#)  
 4 simulation compared to observations from the IMPROVE (triangles) and CSN (squares)  
 5 networks for May 2002 segregated by an a) increase or b) decrease in the error relative to the  
 6 [CMAQv5.0.2aBaseline](#) simulation. The map only includes data where the model percentage  
 7 difference between the [CMAQv5.0.2aRevised](#) and [CMAQv5.0.2hBaseline](#) simulations is > 5%.





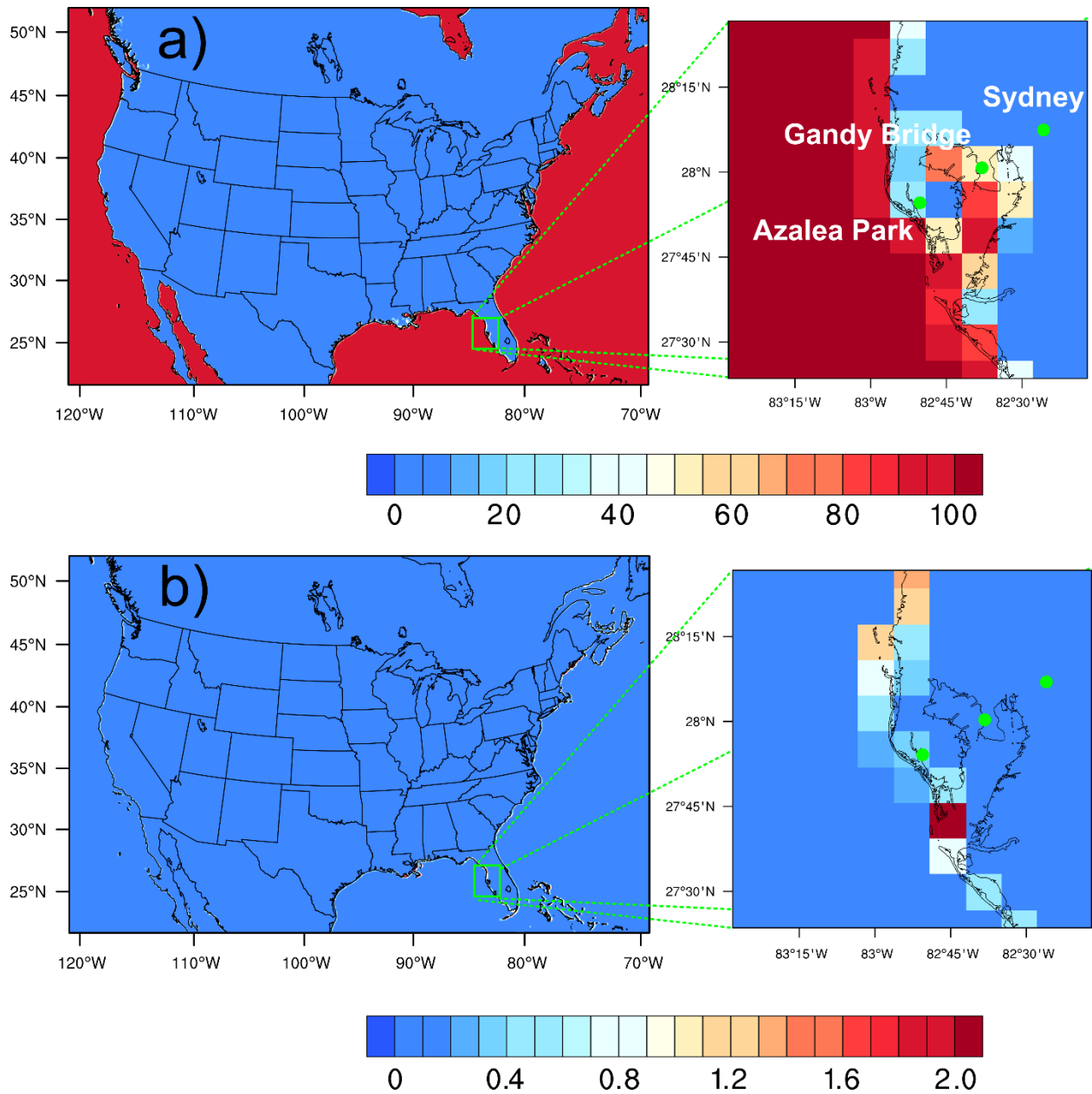
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Figure S1. Comparison of the Gong (2003) sea-salt emission size distribution using  $\Theta$  values of 30, 20, 10, and 8 at a wind speed of  $8 \text{ m s}^{-1}$ .  $N_{t, \text{norm}}$  is the total SSA number emission rate normalized to Gong (2003) using a  $\Theta$  value of 30.



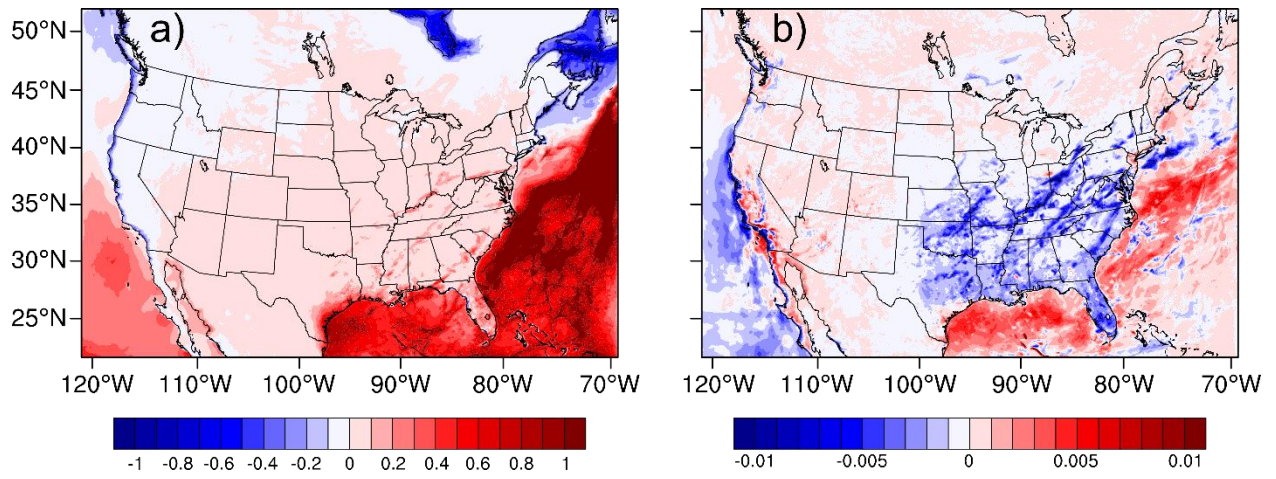
1

2 Figure S2. Sea surface temperature (in kelvin) for May 2002 over the continental U.S. and  
 3 BRACE domains with sites from left to right of Azalea Park, Gandy Bridge, and Sydney as  
 4 green dots.  
 5



1

2 Figure S3. Fraction of each CMAQ grid cell designated as a)open ocean and b)within 50 meter  
 3 surf zone for the continental U.S. and BRACE domains with sites from left to right of Azalea  
 4 Park, Gandy Bridge, and Sydney as green dots.  
 5



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2  
3  
4  
5

Figure S4. Change in the total (wet+dry for all aerosol modes) deposition of a) sodium (in units of kg Na hectare<sup>-1</sup>) and b) nitrate (in units of kg N hectare<sup>-1</sup>) between the [CMAQv5.0.2hRevised](#) and [CMAQv5.0.2aBaseline](#) simulations for May 2002 over the continental U.S.