

1 **The GRENE-TEA Model Intercomparison Project (GTMIP):**
2 **Overview and experiment protocol for Stage 1**

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1

2 **Abstract**

3 As part of the terrestrial branch of the Japan-funded Arctic Climate Change Research Project
4 (GRENE-TEA), which aims to clarify the role and function of the terrestrial Arctic in the
5 climate system and assess the influence of its changes on a global scale, this model
6 intercomparison project (GTMIP) is designed to 1) enhance communication and
7 understanding between the modelling and field scientists, and 2) assess the uncertainty and
8 variations stemming from variability in model implementation/design and in model outputs
9 using climatic and historical conditions in the Arctic terrestrial regions. This paper provides
10 an overview of all GTMIP activity, and the experiment protocol of Stage 1, which is site
11 simulations driven by statistically fitted data created using the GRENE-TEA site observations
12 for the last three decades. The target metrics for the model evaluation cover key processes in
13 both physics and biogeochemistry, including energy budgets, snow, permafrost, phenology,
14 and carbon budgets. Exemplary results for distributions of four metrics (annual mean latent
15 heat flux, annual maximum snow depth, gross primary production, and net ecosystem
16 production), and for seasonal transitions are provided to give an outlook of the planned
17 analysis that will delineate the inter-dependence among the key processes, and provide clues
18 for improving model performance.

19

20 **1 Introduction**

21 The pan-Arctic ecosystem is characterized by low mean temperatures, snow cover, and
22 seasonal frozen ground or permafrost with a large carbon reservoir, covered by various
23 biomes (plant types) ranging from deciduous and evergreen forests to tundra. The Arctic
24 climate and ecosystem differ from the tropical and temperate counterparts primarily because it
25 is a frozen world. Moreover, the terrestrial Arctic varies from area to area according to the
26 location, glacial history, and climatic conditions. However, sites, networks, and opportunities
27 for direct observations are still sparse relative to the warmer regions owing to physical and
28 logistical limitations. To investigate the impact of climate change in this region, a number of
29 studies using both analysis of observed data and numerical modelling have been carried out
30 (e.g., Zhang et al., 2005; Brown and Robinson, 2011; Brutel-Vuilmet et al., 2013; Koven et al.,
31 2011, 2013; Slater and Lawrence, 2013). Various numerical modelling schemes have been
32 developed to treat physical and biogeochemical processes on and below the land surface.

1 Some of these processes are site-specific or process-oriented, while others are implemented as
2 components of atmosphere–ocean coupled global climate models (AOGCMs), or Earth
3 system models (ESMs) to interact with the overlying atmosphere. Among these processes,
4 snowpack, ground freezing/thawing, and carbon exchange are the most relevant and important
5 processes in terrestrial process models (TPM) for investigating the climate and ecosystem of
6 the pan-Arctic region.

7 **1.1 GRENE-Arctic project and GTMIP**

8 The GRENE-TEA model intercomparison project (GTMIP) was originally planned as part of
9 the terrestrial research project of the GRENE Arctic Climate Change Research Project
10 (GRENE-TEA) to achieve the following targets: a) to pass possible improvements regarding
11 physical and biogeochemical processes for Arctic terrestrial modelling (excluding glaciers
12 and ice sheets) in the existing AOGCM terrestrial schemes for the AOGCM research
13 community, and b) to lay the foundations for the development of future-generation Arctic
14 terrestrial models. The project, however, involves groups of researchers from different
15 backgrounds/disciplines (e.g., physics/geophysics, glaciology, biogeochemistry, ecosystem,
16 forestry) with a wide range of research methods (e.g., field observations, remote-sensing,
17 numerical modelling), target domains (e.g., Northern Europe, Siberia, Alaska, Northern
18 Canada) and scales (from site-level to Pan-Arctic). As is often the case, multi-disciplinary
19 opportunities were limited, initially creating a considerable challenge for the project (Fig. 1a).
20 Communications between groups (e.g., modelling and field studies, physical and ecosystem
21 disciplines, process-oriented and large-scale modelling), if any, were inconclusive and
22 sporadic. Observational practices and procedures (e.g., variables to measure, equipment to use,
23 standard zero depth for ground measurements) were different among groups and disciplines,
24 and lacked standardization. Although each individual group had the needs and intention to
25 interact with other groups, the requisite collaboration could not be achieved. Opinions
26 obtained in the early stages revealed hidden quests for possible collaborations for
27 “observational data for driving and/or validating data”, “use of numerical models to test
28 empirical hypothesis gained at the field”, “interpretation of observed phenomena”, and
29 “optimization of observation network strategies.” As a result of this situation, the model
30 intercomparison project was deliberately blueprinted to promote communication and
31 understanding between modelling and empirical scientists, and among modellers: the GTMIP
32 protocols and datasets are set to function as a hub for the groups involved in the project (Fig.

1 1b). It also aimed to enhance the standardization of observation practices among the GRENE-
2 TEA observation sites, and to form a tight collaboration between the field and modelling
3 communities, laying a cornerstone for creating the driving dataset (details of the Stage 1
4 driving data and their creation as a product of collaboration between modellers and field
5 scientists are documented by Sueyoshi et al. [2015]).

6 **1.2 Model intercomparison for the terrestrial Arctic**

7 Since the 1990s, a number of model intercomparison projects (MIPs) have been carried out,
8 focusing on the performance of TPMs, AOGCMs, and ESMs; examples include PILPS
9 (Project for Intercomparison of Land-Surface Parameterization Schemes; Henderson-Sellers,
10 1993), SnowMIP (Snow Models Intercomparison Project; Etchevers et al. 2004; Essery et al.
11 2009), Potsdam NPP MIP (Potsdam Net Primary Production Model Intercomparison Project;
12 Cramer et al., 1999), C4MIP (Coupled Climate–Carbon Cycle Model Intercomparison
13 Project; Friedlingstein et al. 2006), CMIP5 (Coupled Model Intercomparison Project; Taylor
14 et al. 2012), and MsTMIP (Multi-scale synthesis and Terrestrial Model Intercomparison
15 Project; Huntzinger et al., 2013), to name a few.

16 For snow dynamics, SnowMIP2 showed a broad variety in the maximum snow accumulation
17 values, particularly at warmer sites and in warmer winters, although the duration of snow
18 cover was relatively well simulated (Essery et al., 2009). The same study also noted that the
19 SnowMIP2 models tend to predict winter soil temperatures that are too low in cold sites and
20 for sites with shallow snow, a discrepancy arguably caused by the remaining uncertainties in
21 ecological and physical processes and the scarcity of winter process measurements for model
22 development and testing in the boreal zone. The CMIP5 models simulated the snow cover
23 extent for most of the Arctic region well, except for the southern realm of the seasonal snow
24 cover area (Brutel-Vulmet et al., 2013). The poor performance of some of the TPMs in this
25 region is due to an incorrect timing of the snow onset, and possibly by an incorrect
26 representation of the annual maximum snow cover fraction (Brutel-Vulmet et al., 2013). For
27 ground freezing/thawing processes, Koven et al. (2013) showed the current status of the
28 performance of AOGCMs for permafrost processes based on CMIP5 experiments. There was
29 large disagreement among modelled soil temperatures, which may have been due to the
30 representation of the thermal connection between the air and the land surface and, in
31 particular, its mediation by snow in winter. Vertical profiles of the mean and amplitude of
32 modelled soil temperatures showed large variations, some of which can be attributed to

1 differences in the physical properties of the modelled soils and coupling between energy and
2 water transfer. This appears to be particularly relevant for the representation of organic layers.
3 For the biogeochemical cycles, a number of studies based on MIPs have been carried out. The
4 broad global distribution of net primary productivity (NPP) and the relationship of annual
5 NPP to the major climatic variables coincide in most areas with differences among the 17
6 global terrestrial biogeochemical models that cannot be attributed to the fundamental
7 modelling strategies (Cramer et al., 1999). The ESMs in CMIP5 use the climate and carbon
8 cycle performance metrics, and they showed that the models correctly reproduced the main
9 climatic variables controlling the spatial and temporal characteristics of the carbon cycle
10 (Anav et al., 2013). However, several weaknesses were found in the modeling of the land
11 carbon cycle: for example, the leaf area index is generally overestimated by models compared
12 with remote sensing data (Anav et al., 2013); NPP and terrestrial carbon storage responses to
13 CO₂ increases greatly differs among models (Hajima et al., 2014); current ESMs displays
14 large variations for the estimated soil carbon amounts, in particular for northern high
15 latitudinal regions, and lack the capability to represent the potential degradation of frozen
16 carbon in permafrost regions (Todd-Brown et al., 2014). The future projection by ESMs
17 suggests that the carbon sink characteristic will increase in northern high latitudes, although
18 there are some uncertainties, such as nutrient limitations in CO₂ fertilization, the effect of soil
19 moisture on decomposition rates, and mechanistic representations of permafrost (Qian et al.,
20 2010; Ahlstrom et al., 2012; Arora et al., 2013). It should be noted that the reference
21 observation data used for these evaluations are prone to uncertainties due to random and bias
22 errors in the measurements themselves, sampling errors, and analysis error, especially for
23 biogeochemical variables such as land gross primary productivity (GPP) (e.g., Anav et al.,
24 2013; Piao et al., 2013). Based on the outcomes of these MIPs, TPMs have improved their
25 performances.

26 At scales from a continental level (including those mentioned above) to site level (model-
27 observation comparisons; e.g., Zaehle et al., 2014), different MIPs have also been conducted,
28 and generally study physical or ecosystem processes separately. PILPS (Henderson-Sellers et
29 al., 1993) and a series of snow MIPs (Etchevers et al., 2004; Essery et al., 2009) are well-
30 known MIPs for physical processes, targeting hydrology and snow dynamics. Recently, a
31 MIP for tundra sites has been conducted, but its focus is limited to soil thermal dynamics
32 (Ekici et al., 2014). In turn, ecosystem MIPs on continental scales have two predecessors: i.e.,

1 the North American Carbon Program Site Synthesis (Schwalm et al., 2010) and
2 CarboEastAsia-MIP (Ichii et al., 2013). Although both MIPs employ multiple terrestrial
3 biosphere models to different eddy-covariance measurement sites (Schwalm et al. (2010) with
4 22 models for 44 sites in North America; Ichii et al. (2013) with 8 models for 26 sites in East
5 Asia), boreal and Arctic sites were not the major targets. In other studies targeting specific
6 eco-climatic regions, the Arctic was again not the main domain: Jung et al. (2007) assessed
7 GPPs for Europe, and Ichii et al. (2010) for Japan. Rawlins et al. (2015) assessed carbon
8 budget differences among several GCM-compatible models in northern Eurasia, with little
9 examination of the physical processes. In other regions than the Arctic, there have been cross-
10 sectional evaluations of physical and ecosystem processes, such as Morales et al. (2005),
11 evaluating carbon and water fluxes in Europe, and de Gonçalves et al. (2013), the LBA-Data
12 Model Intercomparison Project (LBA-DMIP), analysing water and carbon fluxes in the
13 Amazon.

14

15 The GTMIP consists of two stages (Fig. 2): one dimensional, historical GRENE-TEA site
16 evaluations for examining the model's behaviour and its uncertainty (Stage 1), and
17 circumpolar evaluations using projected climate change data from GCM outputs (Stage 2).
18 Hereafter, we describe the Stage 1 protocol. This stage aims to evaluate the physical and
19 biogeochemical TPMs through three-decade site simulations driven and validated by the
20 GRENE-TEA site-derived data. It calls for broader participation in the activity from a wider
21 community to assure robust assessments for model-derived uncertainty, and to efficiently
22 investigate the terrestrial system response to climate variability considering the diversity of
23 the pan-Arctic sites. Thus, the scope and geographical domain of GTMIP Stage 1 is unique in
24 its target of the Arctic region, including both taiga and tundra, and in its evaluations of the
25 behaviour of the energy-snow-soil-vegetation subsystem, employing a wide range of models
26 from physical land surface schemes to terrestrial ecosystems.

27

28 **2 Experiment design**

29 **2.1 Targeted processes**

30 In GTMIP, a variety of models ranging from specific models that focus on snowpack
31 formation processes to highly complex DGVMs are expected to participate. The following

1 five categories (from “a” to “e” below) set the unit for the key processes to assess the
2 performance of the existing TPMs in the pan-Arctic region, to evaluate the variations among
3 the models and the mechanisms behind their strengths and weaknesses, and to obtain
4 information and guidance to improve the next generation of TPMs. The five categories are a)
5 exchange of energy and water between atmosphere and land, b) the snowpack, c) phenology,
6 d) ground freezing/thawing and the active layer, and e) the carbon budget. The categories
7 cover the essential processes that make the pan-Arctic region unique compared with other
8 regions: seasonal changes in both physical and biogeochemical processes and the associated
9 strong climate feedback, which are characterized by liquid-ice phase changes, the subsequent
10 ecosystem response, and their interactions.

11 The scientific questions at the Stage 1 are: How well do the TPMs reproduce target metrics
12 (examples are shown in column B in Table 1) in terms of agreement with observations? How
13 do the reproductions vary among the models? If the reproductions are good or poor in some
14 models, which processes in the TPMs are responsible and why?

15

16 **2.2 Driving datasets and model parameters**

17 The target period for Stage 1 was set from 1980 to 2013 to provide at least 30 years of data,
18 the minimum requirement for climatological analyses. The period is also favourable in terms
19 of the accuracy and coherence of the relevant large-scale climate data thanks to the fully
20 fledged operation of various satellite observations (e.g., Dee et al., 2011). We are providing
21 the following driving data for Stage 1: surface air temperature, precipitation, specific
22 humidity, air pressure, wind speed, incident short-wave and long-wave radiation.

23 For this stage (site simulations), forcing and validation data have been prepared, taking
24 maximum advantage of the observation data from GRENE-TEA sites in operation (Fairbanks
25 (FB) in Alaska; Tiksi (TK), Yakutsk (YK), Chokurdakh (CH), and Tura (TR) in Russia; and
26 Kevo (KV) in Finland, shown in Fig. 3), to evaluate the inter-model and inter-site variations
27 for 1980–2013. These sites, the latitude of which varies from 62°N–71°N, have different
28 characteristics in terms of climate (e.g., air temperature, precipitation), snow (e.g., type,
29 amount and accumulation period), vegetation, and frozen ground conditions (Sueyoshi et al.,
30 2015), providing a good representation of the diversity of the terrestrial Arctic. The annual air
31 temperature and precipitation at the six sites ranges from –13.5 °C to –1.6 °C and from 188

1 mm to 415 mm, respectively. Four sites (FB, KV, YK, and TR) are in the boreal forest, while
2 TK is in tundra and CH in the tundra–forest transition zone. Most of the sites are located in
3 the permafrost zone with an active layer ranging from 0.4 m to 1.2 m, except for the KV site,
4 which is seasonally frozen.

5 Because of the severe conditions for maintaining monitoring sites in arctic region, continuous
6 observation data over years are scarce, which makes it very difficult to create ready-to-drive
7 data directly from observations (e.g., owing to missing values, discontinuity of measurement
8 periods, outliers). To overcome this problem, we first constructed the backbone of the
9 continuous forcing data (called “level 0” or L0; Saito et al., 2014a) from climate reanalysis
10 products to avoid the issues of limited coverage and/or missing data, or the lack of
11 consistency inherent in observational data, using the bias-corrected monthly Climate Research
12 Unit (CRU) for the temperature dataset (Harris et al., 2014) and the Global Precipitation
13 Climatology Project (GPCP) for the precipitation dataset (Adler et al., 2003) at the respective
14 nearest grid to the sites. The European Centre for Medium-range Weather Forecasts
15 ReAnalysis (ERA)-interim reanalysis data (Dee et al., 2011) were chosen from four products
16 (National Centers for Environmental Prediction (NCEP)/ National Center for Atmospheric
17 Research (NCAR); NCEP/NCAR, NCEP-Department of Energy (DOE), Japanese Reanalysis
18 (JRA)-55, and ERA-interim) because they showed the smallest bias relative to the monthly
19 CRU and GPCP in terms of 2-m air temperature and precipitation in the pan-Arctic region
20 (north of 60°N).

21 Assimilation of the observed data was then applied to reflect local characteristics and to
22 derive the primary driving data, “level 1” data (L1; Saito et al., 2014b) and, in addition, the
23 level 1 hybrid data (L1H) by replacing data with observed data when available. The L1
24 dataset was provided for four sites (FB, KV, TK, and YK) owing to the availability of the
25 observed data for validations. For the creation of the site-specific data, collaboration with the
26 field scientists who are in charge of the observation sites and know the circumstances of the
27 data obtained was critical. Further details on the creation of the L0 and L1 datasets, and their
28 basic statistics, are described in Sueyoshi et al. (2015).

29 As the warming trend is becoming visible, in particular for northern high-latitude regions
30 (IPCC, 2013), the 20-year detrended meteorological driving dataset is provided for spin up,
31 allowing biogeochemical models to set up initial soil carbon conditions without the warming
32 trends and/or ENSO (El Niño Southern Oscillation). This dataset is based on the L1 data for

1 the period of 1980–1999 (Saito et al., 2015). The monthly values of the photosynthetically
2 active radiation (fPAR) and leaf area index (LAI) datasets at GRENE-TEA sites, created
3 based on Moderate Resolution Imaging Spectroradiometer (MODIS) satellite data
4 (MOD15A2, MYD15A2), are also provided where required (Saito et al., 2014c). These
5 driving datasets are provided in the ASCII fixed-length record files, and are available through
6 the Arctic Data Archive System (ADS; <https://ads.nipr.ac.jp/gtmip/gtmip.html>), along with
7 the simulation protocol.

8 The site description, including locations, dominant vegetation types, soil, climate, fPAR, LAI,
9 data for model validation, and references for observation data, is summarized in Table 2.

10

11 **2.3 Model setup**

12 As already proposed in existing MIP studies (e.g., Ichii et al., 2010), we set Stage 1 to consist
13 of two further sub-stages: 1A and 1B. Stage 1A, which aims to evaluate the inter-model
14 variations in baseline performance at each site, requested the participants to use the
15 parameters in the default settings for the provided boundary conditions, such as land cover
16 type. In contrast, Stage 1B allows tuning for the best reproduction of observations so that the
17 parameter sensitivity among the sites can be evaluated. Process 1B is particularly important
18 for the pan-Arctic region because many monitoring sites are located in temperate regions and
19 models are generally validated against these environmental conditions.

20 We set the initial condition date to 01 September 1979, so that simulations started with a no-
21 snow condition. The initial data for the model boundary conditions are available, as most
22 stations can provide observation data for soil temperature and soil moisture profiles. However,
23 each model could use its own method for initialization.

24 The spin up process may also differ between models. However, we recommend continuing
25 spin up until a steady state is achieved for the main variables (see Sect. 2.5). For example,
26 Takata (2002) defined a threshold of a steady state in a slowly varying system as

$$27 \quad \frac{X_n - X_{n-1}}{X_n} < 10^{-2} \quad (1)$$

28 where X is a physical variable (e.g., fluxes, ground temperature, soil moisture, or ice content).
29 The subscript n denotes the annual mean for the n -th year.

1 For biogeochemical cycle models, in particular, we recommend maintaining spin up over at
2 least 2000 years using the detrended meteorological driving data (also provided through ADS)
3 because soil accumulation is quite slow owing to the low soil temperature, and pre-industrial
4 atmospheric CO₂ concentrations (e.g., 280 ppmv around the year 1750) until the soil carbon
5 reached equilibrium; the atmospheric CO₂ concentration should then be increased to the
6 current level (e.g., 340 ppmv) over 200 years or so (the period being dependent on the model).
7 For the submission period (1979 to 2013), use of the historical atmospheric CO₂ concentration
8 is recommended for these models so that they are driven by time-variant CO₂ concentrations.

9

10 **2.4 Model output variables**

11 We request participants to submit those variables listed in Table S1 (refer to the
12 Supplementary Material) in ASCII format with CSV-type files. The template file for output
13 submission has been provided through ADS.

14 The variables for submission are categorized into six groups: 0) model driving, 1) energy and
15 water budget, 2) snow dynamics, 3) vegetation, 4) subsurface hydrological and thermal states,
16 and 5) carbon budget, in parallel to the analysis categories. Since the spectrum of the
17 participating models is expected to be very large (ranging from physical to biogeochemical to
18 ecosystem models; Fig. 4), we made an extensive list of output variables to cover the
19 expected range. However, the actual output variables a model submits will be dependent on
20 the model's specification. Considering this spread, the priority for each variable, classed at
21 three levels, was set according to the necessity and availability for evaluation of the model
22 performance. In addition, participants are requested to provide information on the status of the
23 variables in their model (i.e., model driving, prescribed parameter, prognostic, diagnostic, or
24 not applicable), through the provided questionnaire (Supplementary Material, Table S3;
25 provided through ADS), to identify the characteristics of the model.

26 Although the temporal resolution of a variable should depend on the model, we request
27 submission of the variables with the minimum temporal resolution available for the model.
28 For the models that provide daily outputs, the time for each day should be defined by the local
29 time (FB: UTC - 10; KV: UTC + 2; TK: UTC + 9; YK: UTC + 9; CH: UTC + 10; TR: UTC
30 + 7). Those models that use the no-leap calendar (365 days for all years) are requested to
31 leave out 29 February. For those models with a 360-day calendar, data on Days of Year

1 (DOYs) 90, 151, 212, 304, and 365 (corresponding to March 31, May 31, July 31, October 31,
2 and December 31 in a no-leap year) should be omitted.

3

4 **2.5 Currently participating models**

5 Participation in GTMIP Stage 1 is voluntary and open to any interested modellers or
6 institutions. 16 TPMs have announced their participation in GTMIP Stage 1. These models
7 are the permafrost model (FROST), physical snow models (SMAP and SNOWPACK), land
8 surface models (2LM, HAL, JULES, several versions of MATSIRO, and SPAC-Multilayer),
9 a physical and biogeochemical soil dynamics model (PB-SDM), terrestrial biogeochemical
10 models (BEAMS, Biome-BGC, STEM1, and VISIT), dynamic global vegetation models (LPJ
11 and SEIB-DGVM, coupled with a land surface model [Noah-LSM] or stand-alone), and a
12 coupled hydrological and biogeochemical model (CHANGE). The models with higher
13 degrees of complexity in their treatment of physical processes are 2LM, CHANGE, FROST,
14 HAL, JULES, MATSIRO, PB-SDM, SNOWPACK, SMAP, and SPAC-multilayer. The
15 models with higher degrees of complexity in their treatment of biogeochemical processes are
16 BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM, STEM1, and VISIT. The models
17 enabled to couple with AOGCMs (currently, JULES, HAL, LPJ, MATSIRO, and SMAP)
18 make up about 30% of the participating models.

19 To illustrate the variability of the participating models with respect to the implemented
20 physical and biogeochemical processes, we created a diagram showing the habitat of the
21 currently participating models (Fig. 4) by incorporating the model survey results referred to in
22 the previous section. The spread of the models is large for both physical and biogeochemical
23 process dimensions, which will benefit the evaluation and attribute examinations of the
24 models regarding their ability to reproduce observations.

25

26 **3 Analysis plan and exemplary results**

27 This section presents the analysis plan for GTMIP Stage 1 and sample outputs based on
28 already submitted materials. To answer the key questions for the target processes proposed in
29 Sect. 2.1, we plan to analyze the model output by describing the model–model and model–
30 observation differences, discerning the cause of these differences, and investigating parameter

1 sensitivity. The outputs of multiple models will be compared in terms of the metrics shown in
2 Table 3. These metrics are divided into five categories (i.e., energy and water budget,
3 snowpack, phenology, subsurface hydrological and thermal states, and carbon budget). For
4 terrestrial climate simulations on the decadal scale, the most important outputs are the latent
5 heat flux (energy and water budget) and the net ecosystem exchange (carbon budget). The
6 latent heat flux (evapotranspiration) is the essential driver of precipitation inland at high
7 latitudes owing to high rates of recycling (e.g., Dirmeyer et al., 2009; Saito et al. 2006). Net
8 ecosystem exchange (NEE) plays a fundamental role in determining global CO₂
9 concentrations by determining whether a site forms a carbon source or sink (e.g. Abramowitz
10 et al., 2008; Mcguire et al., 2012). NEE represents the net land–atmosphere CO₂ flux, and a
11 positive NEE represents net loss of CO₂ from the land to the atmosphere (i.e., carbon source;
12 Mcguire et al., 2012). Although NEE is commonly used for tower flux observations and some
13 TPMs, the net ecosystem production (NEP) is used in GTMIP for both the observed and
14 simulated values because it is more widely used in non-biogeochemical communities. A
15 positive (negative) value of NEP represents a carbon sink (source).

16 Analyses will be organized and conducted in the following manner. Topical analyses,
17 constituting major subsets of the project outcomes, will evaluate characteristics of model
18 performances and their inter-site variations within each of the above five categories, while
19 cross-sectional analyses between categories will explore the functionality and strength of
20 interactions between processes. These analyses will be utilized for mining crucial processes to
21 improve the site-level TPMs as well as large-scale GCM/ESM components.

22 First, the focus will be on model output variability for both the inter-annual and the inter-
23 decadal time scales, based on the output time series over more than 30 years. Inter-site
24 differences will also be evaluated for the four GRENE-TEA sites in the Arctic region, each of
25 which has distinct characteristics. The vegetation type for three of the four sites is forest (two
26 evergreen conifer: FB and KV; one deciduous conifer: YK) and the remaining site is tundra
27 (TK). Three sites (FB, TK, and YK) are in the permafrost region, while KV is underlain by
28 seasonally frozen ground. Figures 5–8 show statistical summary comparisons of the model
29 outputs by site (the land cover and soil type parameters used for the simulations are shown in
30 Table 2), expressing inter-model variations for physical and biogeochemical models using
31 box plots for four variables of the metrics mentioned above: the annual mean latent heat flux
32 (Qle_total_an), the annual maximum snow depth (SnowDepth_max), the annual gross

1 primary production (GPP_an), and the annual net ecosystem production (NEP_an),
2 respectively. When observed values were available (i.e., latent heat flux for FB for 2011–
3 2013 and YK for 1998, 2001, 2003, 2004, 2007, and 2008), they are shown by black dots.

4 Second, the cause or attributes of the differences among models, or between models and
5 observations, will be explored by employing statistical evaluations such as multivariate
6 analyses and time series analyses on the metrics and individual eco-climate variables. This
7 will improve understanding of the interrelation between the incorporated processes in each
8 model. Figure 9 shows an exemplary comparison of a seasonal transition in the snow-
9 permafrost-vegetation sub-system, expressed similarly by box plots. The figure summarizes
10 the average dates for (from bottom to top) the completion of snow melt, the thawing of the top
11 soil layer, the start and end of greening, the freezing of the top soil layer, and the start of
12 seasonal snow accumulation. A comparison of the timings of these events over years and sites
13 will illustrate individual models' characteristic behaviour in seasonal transitions, and their
14 strength regarding process interactions, in combination with ordinary multivariate analysis
15 techniques.

16 Finally, sensitivity tests for the model parameters are planned to quantify the effect of
17 parameter sensitivity on the models' reproducibility.

18

19 **4 Summary**

20 This paper presented an overview of the GTMIP activity and the experiment protocol for the
21 Stage 1 intercomparison, with site simulations using the GRENE-TEA site observation data in
22 the pan-Arctic region for the previous three decades. We described the framework of our
23 project including targets, and provided datasets, conditions on model integration, lists of
24 model output variables, and the habitat of currently participating models. We also included
25 analysis plans and exemplary results to give an outlook of the model–model and model–
26 observation comparisons with respect to the major metrics defined for the energy budget,
27 snowpack dynamics, and the carbon budget. This model intercomparison project was realized
28 through a tight collaboration between the GRENE-TEA-participating modelling and field
29 scientists. Additionally, we expect to offer insightful demonstrations of various cold-region
30 terrestrial physical and biogeochemical TPMs and valuable information for future
31 improvements of the relevant models. All meteorological driving data for this project have
32 already been made publicly available through ADS. The model outputs and comprehensive

1 results from the GTMIP, which we hope will provide a useful benchmark dataset for the
2 community, will also be available to the public at the end of the project.

3

4

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8

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7

1 Table 1. The key process categories and target processes

| A: Key processes categories | B: Target processes and metrics |
|---|--|
| Energy and water budget | Partition of energy and water at surface, canopy, and subsurface, albedo |
| Snowpack (snow cover ratio, snow depth/snow water equivalent) | Snow water equivalent, snow density, snow cover duration (length and dates) |
| Phenology | Annual maximum leaf area index, growing season (length and dates) |
| Ground freezing/thawing, active layer | Active layer thickness (in permafrost) or maximum seasonal frozen depth, trumpet curve, ice content ratio |
| Carbon budget | Net primary production, heterotrophic and autotrophic respiration, net ecosystem production, stored carbon mass in different pools, turnover rates |

2

1 Table 2. The location, dominant vegetation type, soil, climate, fraction of photosynthetically
 2 active radiation (fPAR), possible data for validation, and references for observed data for (a)
 3 Fairbaks, (b) Kevo, (c) Tiksi, (d) Yakutsk, (e) Chokurdakh, and (f) Tura.

4

5 (a): Fairbanks (Poker Flat Research Range), Alaska, USA

| | |
|-------------------------------------|--|
| Location | 65°07'24" N, 147°29'15." W |
| Altitude | 210 m |
| Dominant vegetation type | Black spruce forest |
| Soil | 0-14cm layer: moss 14-25cm: undecomposed organic layer 25-39cm: decomposed organic layer 39cm- : silt soil Active layer thickness: 43cm in 2013 |
| Climate | Mean annual air temperature: -2.8 °C (2011) Annual precipitation: 312 mm (2011) |
| fPAR and LAI ¹⁾ | fPAR: 0.03 (Jan), 0.05 (Feb), 0.05 (Mar), 0.13 (Apr), 0.39 (May), 0.69 (Jun), 0.69 (Jul), 0.69 (Aug), 0.43 (Sep), 0.23 (Oct), 0.06 (Nov), 0.00 (Dec) LAI: 0.05 (Jan), 0.09 (Feb), 0.09 (Mar), 0.23 (Apr), 0.99 (May), 2.26 (Jun), 2.32 (Jul), 1.90 (Aug), 0.80 (Sep), 0.49 (Oct), 0.10 (Nov), 0.01 (Dec.) |
| Data available for model validation | Snow depth, ground temperature (-0.05, -0.1, -0.2, -0.4, -1.0m), soil moisture (-0.05, -0.1, -0.2, -0.4m), leaf area index, albedo, FPAR (Fraction of photosynthetically active radiation), upward short and long wave radiation, energy and carbon fluxes |
| Reference | Nakai et al., 2013 |

6

7

1 (b): Kevo (Kevo Research Station), Finland

| | |
|-------------------------------------|--|
| Location | 69°45' 25''N, 27°00' 37''E |
| Altitude | 100m |
| Dominant vegetation type | Pine forest |
| Soil | 0-20cm: humus soil 20–50cm: sandy silt |
| Climate | Mean annual air temperature: -1.6 °C Annual precipitation: 415 mm |
| fPAR and LAI ¹⁾ | fPAR: 0.03 (Jan), 0.06 (Feb), 0.08 (Mar), 0.11 (Apr), 0.51 (May), 0.56 (Jun), 0.69 (Jul), 0.76 (Aug), 0.68 (Sep), 0.45 (Oct), 0.10 (Nov), 0.02 (Dec) LAI: 0.05 (Jan), 0.10 (Feb), 0.14 (Mar), 0.21 (Apr), 1.13 (May), 1.63 (Jun), 2.52 (Jul), 2.78 (Aug), 1.66 (Sep), 1.18 (Oct), 0.21 (Nov), 0.05 (Dec.) |
| Data available for model validation | Snow depth, snow (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7m) and ground temperature (-0.1, -0.2, -0.3, -0.35m), soil moisture (-0.1, -0.2, -0.3m), albedo, upward short and long wave radiation |
| Reference | Sato et al., 2001 |

2

3

1 (c): Tiksi, Sakha Republic, Russian Federation

| | |
|-------------------------------------|--|
| Location | 71°35'21"N, 128°46'27"E |
| Altitude | 40 m |
| Dominant vegetation type | Non-tussock sedge, dwarf-shrubs, and moss tundra |
| Soil | 0-1cm: partially decomposed litter 1-15cm: loam 15-70cm: silt with gravel Active layer thickness: 70cm |
| Climate | Mean annual air temperature: -13.5 °C Annual precipitation: 331 mm |
| fPAR and LAI ¹⁾ | fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.03 (May), 0.29 (Jun), 0.45 (Jul), 0.47 (Aug), 0.28 (Sep), 0.04 (Oct), 0.00 (Nov), 0.00 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.05 (May), 0.52 (Jun), 0.88 (Jul), 0.73 (Aug), 0.49 (Sep), 0.07 (Oct), 0.00 (Nov), 0.00 (Dec.) |
| Data available for model validation | Snow depth, ground temperature (-0.1, -0.2, -0.3, -0.47, -1, -2, -3, -5, -10, -20, -30m), soil moisture (0, -0.05, -0.15, -0.3m), albedo, upward short and long-wave radiation |
| Reference | Kodama et al., 2007; Watanabe et al., 2000 |

2

3

1 (d): Yakutsk (Spasskaya Pad), Sakha Republic, Russian Federation

| | |
|------------------------------------|---|
| Location | 62°15'18"N, 129°37'6"E |
| Altitude | 220 m |
| Dominant vegetation type | Larch forest |
| Soil | 0-20cm: organic layer Upper mineral layer: sandy loam Lower mineral layer: silty loam (More than 80% of root: within a soil depth of 20 cm) Active layer thickness: 1.2m |
| Climate | Mean annual air temperature: -10.2 °C Annual precipitation: 188 mm |
| fPAR and LAI ¹⁾ | fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.05 (Apr), 0.28 (May), 0.46 (Jun), 0.42 (Jul), 0.21 (Aug), 0.03 (Sep), 0.00 (Oct), 0.00 (Nov), 0.02 (Dec) 0.00 LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.07 (May), 0.58 (Jun), 1.05 (Jul), 0.81 (Aug), 0.28 (Sep), 0.04 (Oct), 0.00 (Nov), 0.00 (Dec.) |
| Possible data for model validation | Snow depth, ground temperature (-0.1, -0.2, -0.4, -0.6, -0.8, -1.2), soil moisture (-0.1, -0.2, -0.4, -0.6, -0.8m), albedo, FPAR, upward short and long wave radiation, energy and carbon fluxes |
| Reference | Ohta et al., 2001, 2008, 2014; Kotani et al., 2013; Lopez et al., 2007 |

2

3

1 (e): Chokurdakh (Kodack/Krybaya), Sakha Republic, Russian Federation

| | |
|-------------------------------------|--|
| Location | 70°33'48"N, 148°15'51"E |
| Altitude | 9 m |
| Dominant vegetation type | Tussock wetland/shrubs/sparse larch trees |
| Soil | Clay loam, silty clay loam Active layer thickness: 0.4-0.7m |
| Climate | Mean annual air temperature: -13.4 °C Annual precipitation: 196 mm |
| fPAR and LAI ¹⁾ | fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.00 (May), 0.01 (Jun), 0.18 (Jul), 0.45 (Aug), 0.48 (Sep), 0.26 (Oct), 0.07 (Nov), 0.02 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.02 (May), 0.32 (Jun), 0.91 (Jul), 0.79 (Aug), 0.41 (Sep), 0.15 (Oct), 0.00 (Nov), 0.00 (Dec.) |
| Data available for model validation | Ground temperature (-0.01, -0.05, -0.1, -0.2, -0.3, -0.4, -0.5, -0.75, -1.0, -1.5, -2.0, -2.5, -3.0, -4.0, -5.0, -5.5, -7.0, -10.0 m), soil moisture (-0.035, -0.145, -0.335, -0.535m), albedo, upward short and long-wave radiation, energy and carbon fluxes |
| Reference | Iwahana et al., 2014 |

2

3

1 (f): Tura, Russian Federation

| | |
|---|--|
| Location | 64°12'32"N, 100°27'49"E |
| Altitude | 250 m |
| Dominant vegetation type | Larch forest (average age: 105 years in 2005) |
| Soil | 10-20cm organic layer Cryosol Active layer thickness: 1m |
| Climate | Mean annual air temperature: -8.9 °C Annual precipitation: 360 mm |
| fPAR and LAI average value extracted from 1km grid MODIS satellite from 2001 to 2011 (Sasai et al., 2011) | fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.01 (Apr), 0.20 (May), 0.48 (Jun), 0.52 (Jul), 0.49 (Aug), 0.29 (Sep), 0.10 (Oct), 0.00 (Nov), 0.00 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.01 (Apr), 0.46 (May), 1.28 (Jun), 1.43 (Jul), 1.17 (Aug), 0.48 (Sep), 0.17 (Oct), 0.00 (Nov), 0.00 (Dec.) |
| Data available for model validation | Ground temperature (-0.05, -0.1, -0.2, -0.4, -0.5), soil moisture (-0.05, -0.1, -0.2, -0.4, -0.5), albedo, FPAR, upward short and long-wave radiation, energy and carbon fluxes |
| Reference | Nakai et al., 2008 |

2 1) Average values extracted from 1 km grid MODIS satellite from 2001 to 2011

3 (Sasai et al., 2011)

4

5

1 Table 3. The list of metrics for model performance evaluation for (a) energy and water budgets, (b)
 2 snowpack, (c) phenology, (d) subsurface hydrological and thermal states, and (e) the carbon budget.

3 (a): Energy and water budget

| Variable | Definition | Units | Direction (+) | Time step |
|-----------------------------------|---|------------------|-----------------------|--------------------|
| Rn_season, Rn_annual | Seasonally and annually averaged net radiation | W/m ² | Downward | seasonal annual |
| Qh_season, Qh_annual | Seasonally and annually averaged sensible heat flux | W/m ² | Upward | seasonal annual |
| Qle_season, Qle_annual | Seasonally and annually averaged latent heat flux | W/m ² | Upward | seasonal annual |
| ET_season, ET_annual | Seasonally and annually averaged total evapotranspiration | mm/day | Upward | seasonal annual |
| Qs_season, Qs_annual | Seasonally and annually averaged surface runoff | mm/day | Out of soil column | seasonal annual |
| Qsb_season, Qsb_annual | Seasonally and annually averaged subsurface runoff | mm/day | Out of soil column | seasonal annual |
| Et_veg_season, Et_veg_annual | Seasonally and annually averaged transpiration of vegetation | mm/day | Upward | seasonal annual |
| E_soil_season, E_soil_annual | Seasonally and annually averaged soil evaporation | mm/day | Upward | seasonal annual |
| Wg_frac_season, Wg_frac_annual | Seasonally and annually averaged fraction of saturation of soil water content (wilting=0, saturation=1) | - | - | seasonal annual |
| deltaWg_season, deltaWg_annual | Seasonally and annually averaged change of stored soil moisture | mm/day | - | seasonal annual |
| alpha_season, alpha_annual | Seasonally and annually averaged shortwave albedo | - | - | seasonal annual |
| E_can_season, E_can_annual | Seasonally and annually averaged canopy interception evaporation | mm/day | Upward | seasonal annual |

1

2 (b): Snowpack

| Variable | Definition | Units | Direction (+) | Time step |
|-------------------------------------|--|-------------------|---------------|-----------|
| SWE_max | Annual maximum snow water equivalent and the date reached | kg/m ² | - | annual |
| Date_SWE_max | | day | | |
| SnD_max | Annual maximum snow depth and the date reached | m | - | annual |
| Date_SnD_max | | day | | |
| SnowDuration | Annual duration of snow cover h and the date of snow cover start/end | day | - | annual |
| Date_start_snow_cover | | | | |
| Sub_snow_season, Sub_snow_annual | Seasonally and annually averaged total sublimation from the ground snow pack | mm/day | Upward | annual |

3

4 (c): Phenology

| Variable | Definition | Units | Direction (+) | Time step |
|------------------|---|--------------------------------|---------------|-----------|
| LAI_max | Annual maximum leaf area index | m ² /m ² | - | annual |
| GrowSeasonLentgh | Growing season length and the date of start/end of growing season | day | - | annual |

5

6

1 (d): Subsurface hydrological and thermal states

| Variable | Definition | Units | Direction (+) | Time step |
|-------------------------|--|-------|---------------|-----------|
| ALT or ThawDepth_max | Active layer thickness (permafrost region) or annual maximum thawing depth (seasonal frozen ground) and the date reached | m | - | annual |
| FrozenDepth_max | Annual maximum frozen depth and the date reached | m | - | annual |
| Tg_range_depth | Annual range of soil temperature in pre-defined soil layer | K | - | annual |
| Wg_frozfrac_max_depth | Annual maximum fraction of soil moisture mass in the solid phase in pre-defined soil layer | - | - | annual |

2

3

1 (e): Carbon budget

| Variable | Definition | Units | Direction (+) | Time step |
|------------------------|--|-------------------------------|---------------|----------------|
| NPP_annual | Annual and growing season net primary production on land | kgC/m ² /year | Downward | annual |
| NPP_growing | | kgC/ m ² /duration | | growing season |
| GPP_annual | Annual gross primary production | kgC/m ² /year | Downward | annual |
| GPP_growing | | kgC/ m ² /duration | | growing season |
| Rh_annual | Annual heterotrophic respiration on land | kgC/m ² /year | Upward | annual |
| Rh_growing | | kgC/ m ² /duration | | growing season |
| Ra_annual | Annual autotrophic (plant) respiration on land | kgC/m ² /year | Upward | annual |
| Ra_growing | | kgC/ m ² /duration | | growing season |
| NEP_annual | Annual net ecosystem productivity (=NPP-Rh) on land | kgC/m ² /year | Downward | annual |
| NEP_growing | | kgC/ m ² /duration | | growing season |
| Re_annual | Annual and growing season ecosystem respiration (=Ra + Rh) on land | kgC/m ² /year | Downward | annual |
| Re_growing | | kgC/ m ² /duration | | growing season |
| cBiomass_annual | Stored carbon mass in biomass pool | kgC/m ² | - | annual |
| TotCarLitSoil | Stored carbon mass in litter pool and soil | kgC/m ² | - | annual |
| cTurnoverRate_bio mass | Turnover rate of carbon in biomass pool | 1/year | - | - |
| cTurnoverRate_soil | Turnover rate of carbon litter pool and soil | 1/year | - | - |

2

3

1 Figure Captions

2

3 Figure 1. “Pirates of the Arctic” sit at the Round Table

4 Figure 2. Schematic diagram for stages 1 and 2 of GTMIP

5 Figure 3. Location map of the GRENE-TEA sites

6 Figure 4. The habitat of models participating in the GTMIP. The vertical and horizontal axes
7 show the ratio of the incorporation of biogeochemical processes and physical processes,
8 respectively.

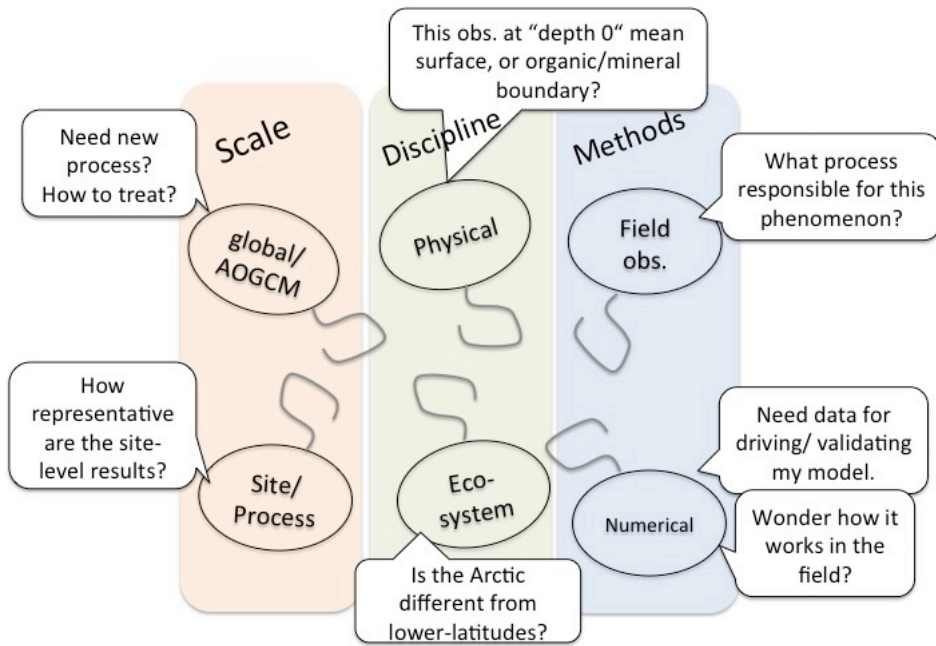
9 Figure 5. Example comparison of model outputs with observations, and the inter-model range
10 for the annual mean latent heat flux for averages from 1980 to 2013. The results of
11 biogeochemical and physical models are shown by boxes and lines in orange and blue,
12 respectively. The biogeochemical models included are BEAMS, Biome-BGC, CHANGE,
13 SEIB-DGVM, and VISIT, while the physical models are 2LM, JULES, MATSIRO, and PB-
14 SDM. The orange and blue horizontal lines indicate medians. The bottom and top of the
15 boxes correspond to the 25th and 75th percentiles of the average values, for 1980 to 2013
16 (except BEMAS, which is for 2001 to 2011), of model outputs. The bottom and top of the
17 lines show the minimum and maximum outputs from the participating models, respectively.
18 The dots show the observed average values for 2011, 2012, and 2013 at FB and for 1998,
19 2001, 2003, 2004, 2007, and 2008 at YK.

20 Figure 6. As for Fig. 3, except the plot displays annual maximum snow depth. The physical
21 models include 2LM, JULES, MATSIRO, PB-SDM, SMAP, and SNOWPACK (for FB and
22 KGTK only). The observation shows the average values for 1980–2012, 1996–2013, 1980–
23 2008, and 1980–2008 at FB, KV, TK, and YK, respectively.

24 Figure 7. As for Fig. 3, except the plot displays annual gross primary production. The relevant
25 biogeochemical models include BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM,
26 STEM1, and VISIT. The observation shows the average values for 2011–2013 and 2004–
27 2012 at FB and YK, respectively.

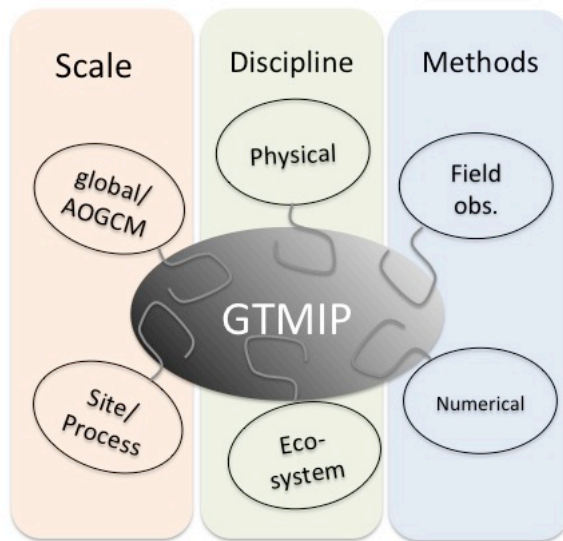
28 Figure 8. As for Fig. 5, except the plot displays annual net primary production.

29 Figure 9. Example of seasonal transitions in ground temperature, snow, and vegetation among
30 models.



“Pirates” before GTMIP

1

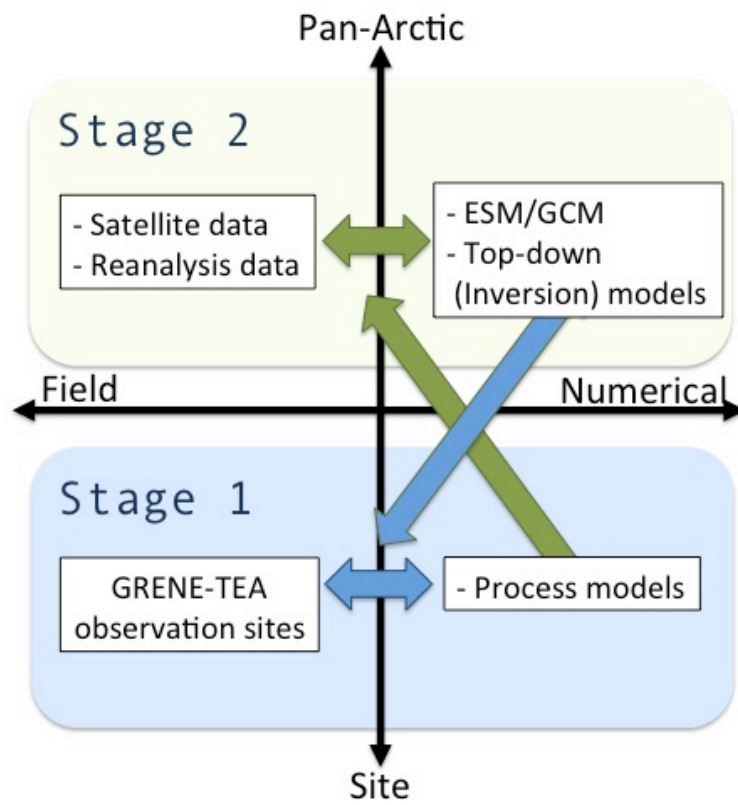


At the “Round Table”

2

3 Figure 1. “Pirates of the Arctic” sit at the Round Table

4



1

2 Figure 2. Schematic diagram for stages 1 and 2 of GTMIP

3

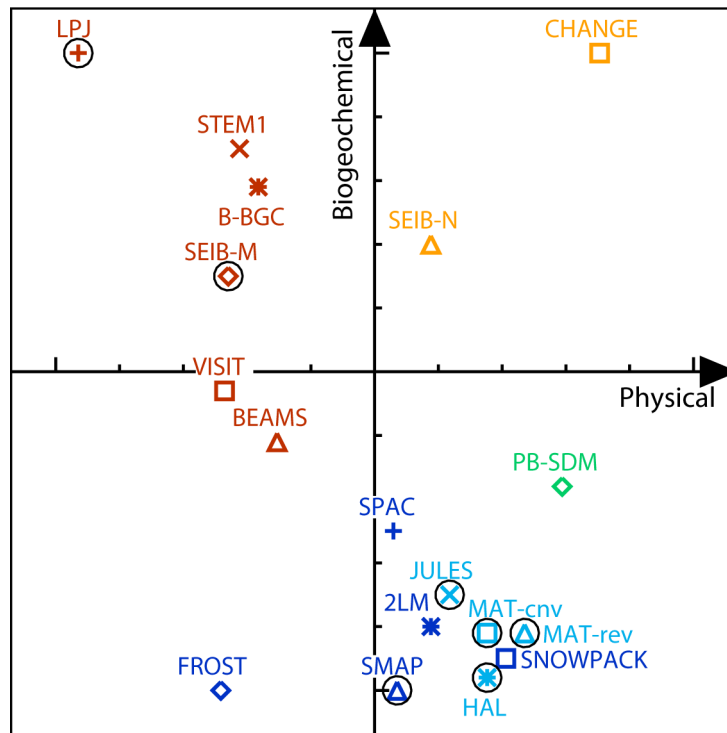


1

2 Figure 3. Location map of the GRENE-TEA sites

3

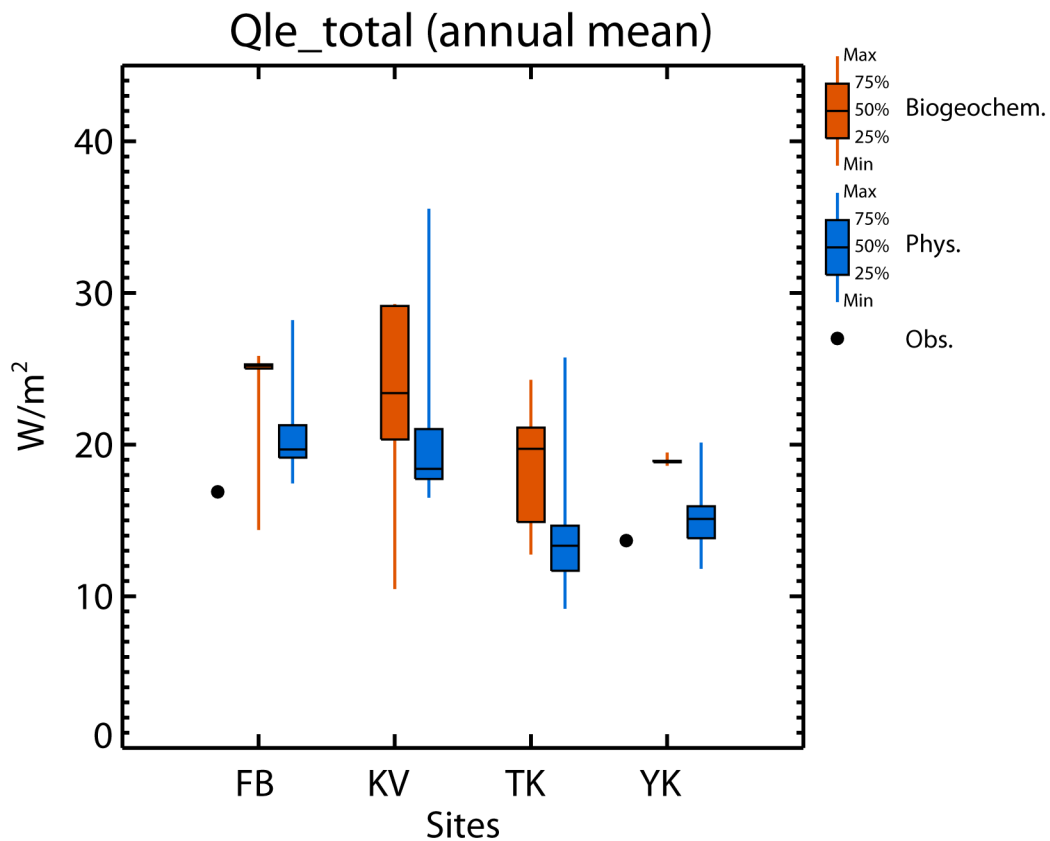
Models' Habitat



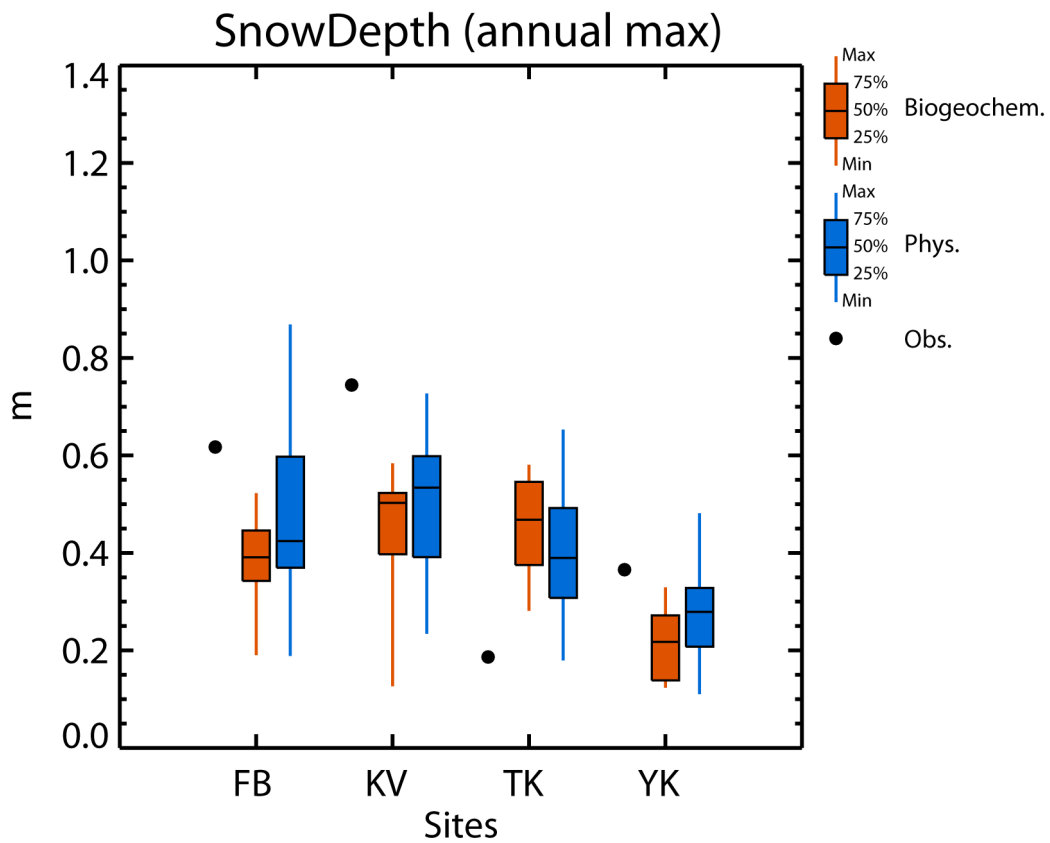
○ : enable to couple with AOGCM
 MAT-cnv : MATSIRO-4,-5
 MAT-rev : MATSIRO-snowd, MATSIRO-permafrost

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Figure 4. The habitat of models participating in the GTMIP. The vertical and horizontal axes show the ratio of the incorporation of biogeochemical processes and physical processes, respectively.



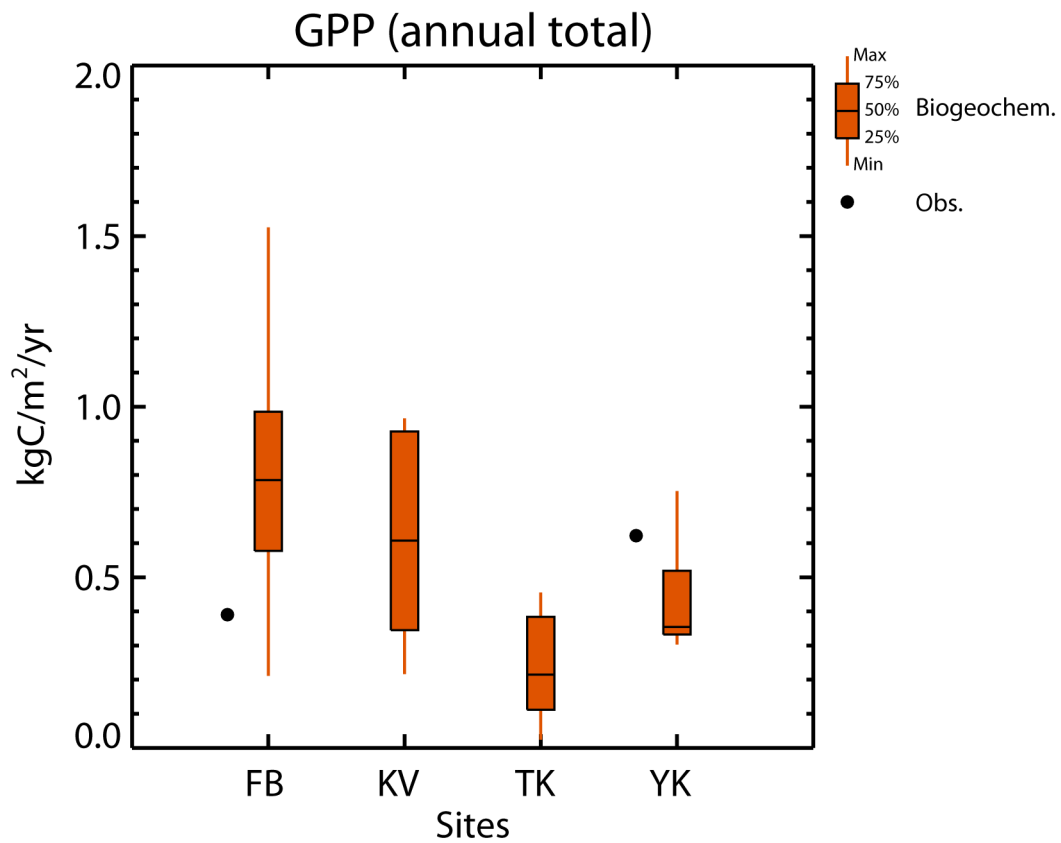
1
2 Figure 5. Comparison of model outputs with observations, and the inter-model range for the
3 annual mean latent heat flux for averages from 1980 to 2013. The results of biogeochemical
4 and physical models are shown the boxes and lines in orange and blue, respectively. The
5 biogeochemical models include BEAMS, Biome-BGC, CHANGE, SEIB-DGVM, and VISIT.
6 The physical models include 2LM, JULES, MATSIRO, and PB-SDM. The orange and blue
7 horizontal lines indicate medians. The bottom and top of the boxes correspond to the 25th and
8 75th percentiles of the average values, for 1980 to 2013 (except BEMAS, which is for 2001 to
9 2011), of model outputs. The bottom and top of the lines show the minimum and maximum
10 outputs from the participating models, respectively. The dots show the observed average
11 values for 2011, 2012, and 2013 at FB and for 1998, 2001, 2003, 2004, 2007, and 2008 at YK.
12



1

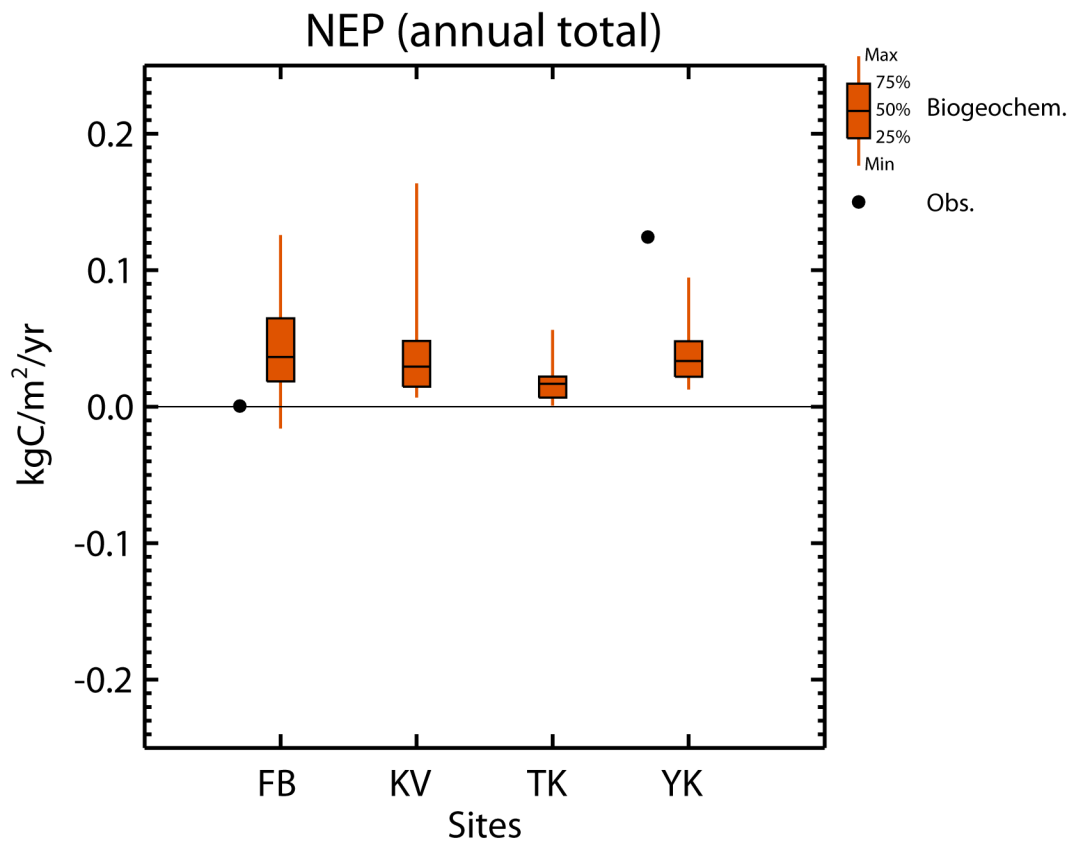
2 Figure 6. As for Fig. 3, except the plot displays annual maximum snow depth. The physical
 3 models include 2LM, JULES, MATSIRO, PB-SDM, SMAP, and SNOWPACK (for FB and
 4 KV only). The observation shows the average values for 1980–2012, 1996–2013, 1980–2008,
 5 and 1980–2008 at FB, KV, TK, and YK, respectively.

6



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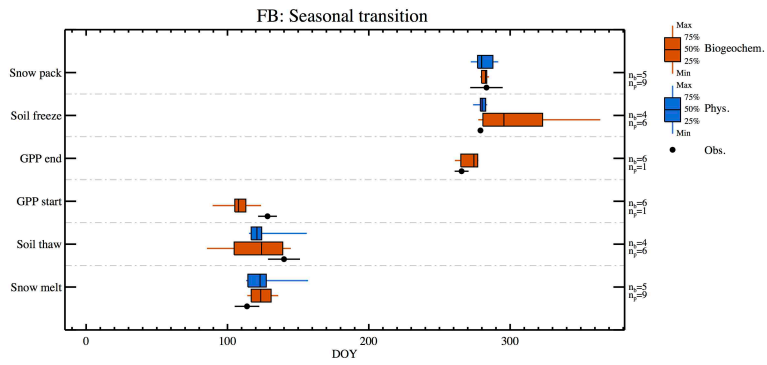
Figure 7. As for Fig. 3, except the plot displays annual gross primary production. The relevant biogeochemical models include BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM, STEM1, and VISIT. The observation shows the average values for 2011–2013 and 2004–2012 at FB and YK, respectively.



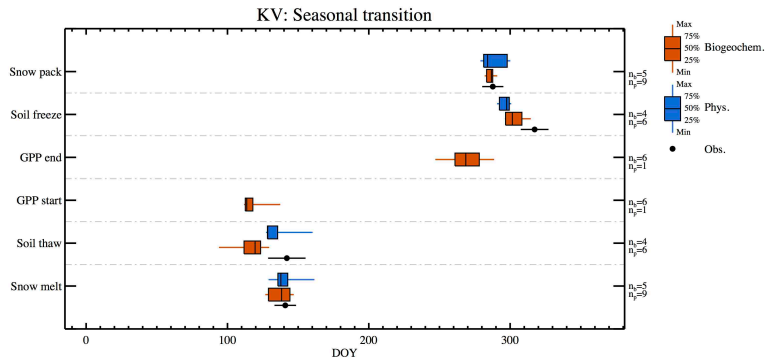
1

2 Figure 8. As for Fig. 5, except the plot displays annual net primary production.

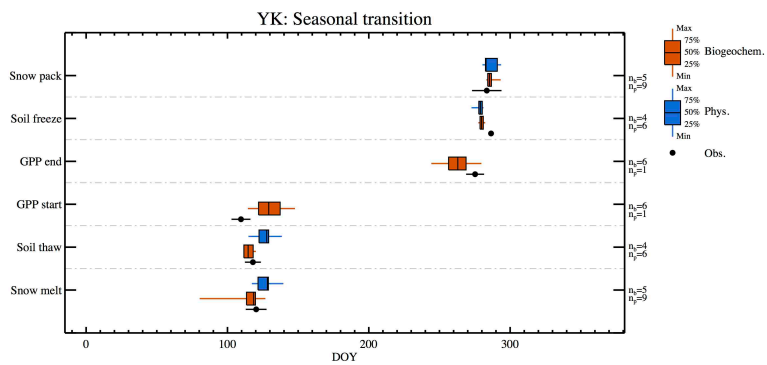
3



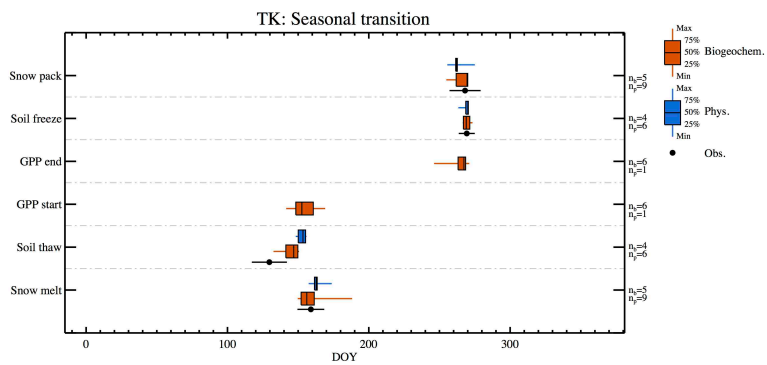
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4

5 Figure 9. Example of seasonal transitions in ground temperature, snow, and vegetation among
6 models.

1 Table S1. Lists of variables submitted for the model intercomparison

2 Status values should be input into this table (1: model driving, 2: prescribed parameter, 3:
3 prognostic variable, 4: diagnostic variable, 5: not applicable) for each variable according to
4 each model treatment for (a) model driving, (b) energy and water budget, (c) snowpack, (d)
5 vegetation/phenology, (e) subsurface hydrological and thermal state, and (f) carbon budget.
6 The time step column in this table requires the time step input (e.g., 30 min., daily, etc.) of the
7 output from each model.

8 (a): Model driving

| Variable | Priority | Definition | Units | Direction (+) | status | Time step |
|----------|----------|--|-----------------------|---------------|--------|-----------|
| Pr | 1 | Total precipitation | kg/m ² /s | Downward | | |
| Psn | 1 | Snowfall | kg/m ² /s | Downward | | |
| Tair | 1 | Air temperature at reference height | K | - | | |
| Psurf | 1 | Surface pressure | hPa | - | | |
| Wind | 1 | Wind speed at reference height | m/s | - | | |
| SWdown | 1 | Surface incident short wave radiation | W/m ² | Downward | | |
| LWdown | 1 | Surface incident long wave radiation | W/m ² | Downward | | |
| Qair | 1 | Specific humidity at reference height | kg/kg | - | | |
| PAR_in | 2 | Surface incident photosynthetically active radiation | mol/m ² /s | Downward | | |
| CO2air | 2 | CO ₂ concentration at reference height | ppmv | - | | |

9

10

1 (b): Energy and water budgets

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|------------|----------|---|-------------------------|---------------|--------|-----------|
| SWup_total | 1 | Total outgoing short wave radiation (total over snow-free and snow-covered canopy, snow-free and snow-covered ground) | W/m ² | Upward | | |
| LWup_total | 1 | Total outgoing long wave radiation (same as SWup_total) | W/m ² | Upward | | |
| Qh_total | 1 | Total sensible heat flux (same as SWup_total) | W/m ² | Upward | | |
| Qle_total | 1 | Total latent heat flux (same as SWup_total) | W/m ² | Upward | | |
| Qg_total | 1 | Total ground heat flux (on snow-free and snow-covered ground) | W/m ² | Downward | | |
| ET_total | 1 | Total evapotranspiration (i.e., Et_veg + E_soil + Ei + Ei_snw) | kg/m ² /s | Upward | | |
| Qs | 1 | Surface runoff | kg/m ² /s | - | | |
| Qsb | 1 | Subsurface runoff | kg/m ² /s | - | | |
| alpha_sw | 1 | Total shortwave albedo | - | - | | |
| Et_veg | 1 | Total transpiration of vegetation (e.g. forest transpiration + forest floor transpiration) | kg/m ² /s | Upward | | |
| E_soil | 1 | Soil evaporation from snow-free ground | kg/m ² /s | Upward | | |
| Ei | 2 | Canopy interception evaporation on snow-free canopy | kg/m ² /s | Upward | | |
| Ei_snw | 2 | Canopy interception evaporation on snow-covered canopy | kg/m ² /s | Upward | | |

2

1 (b): continued

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|--------------|----------|--|-------------------------|---------------|--------|-----------|
| Sub_snow | 1 | Sublimation from the ground snow pack | kg/m ² /s | Upward | | |
| SWup_can | 2 | Outgoing shortwave radiation on snow-free canopy | W/m ² | Upward | | |
| LWup_can | 2 | Outgoing long wave radiation on snow-free canopy | W/m ² | Upward | | |
| Qh_can | 2 | Sensible heat flux on snow-free canopy | W/m ² | Upward | | |
| Qle_can | 2 | Total latent heat flux on snow-free canopy | W/m ² | Upward | | |
| SWup_gnd | 2 | Outgoing short wave radiation on snow-free ground | W/m ² | Upward | | |
| LWup_gnd | 2 | Outgoing long wave radiation on snow-free ground | W/m ² | Upward | | |
| Qh_gnd | 2 | Sensible heat flux on snow-free ground | W/m ² | Upward | | |
| Qle_gnd | 2 | Total latent heat flux on snow-free ground | W/m ² | Upward | | |
| Qg_gnd | 2 | Total ground heat flux on snow-free ground | W/m ² | Downward | | |
| SWup_can_snw | 2 | Outgoing short wave radiation on snow-covered canopy | W/m ² | Upward | | |
| LWup_can_snw | 2 | Outgoing long wave radiation on snow-covered canopy | W/m ² | Upward | | |
| Qh_can_snw | 2 | Sensible heat flux on snow-covered canopy | W/m ² | Upward | | |
| Qle_can_snw | 2 | Total latent heat flux on snow-covered canopy | W/m ² | Upward | | |
| SWup_snw | 2 | Outgoing short wave radiation on snow-covered ground | W/m ² | Upward | | |

2

1 (b): continued

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|----------|----------|---|------------------|---------------|--------|-----------|
| LWup_snw | 2 | Outgoing long wave radiation on snow-covered ground | W/m ² | Upward | | |
| Qh_snw | 2 | Sensible heat flux on snow-covered ground | W/m ² | Upward | | |
| Qle_snw | 2 | Total latent heat flux on snow-covered ground | W/m ² | Upward | | |
| Qg_snw | 2 | Total ground heat flux on snow-covered ground | W/m ² | Downward | | |
| fPAR | 2 | Absorbed fraction incoming PAR on canopy | - | - | | |

2

3

1 (c): Snowpack

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|----------------------|----------|---|---------------------|---------------|--------|-----------|
| <i>SnowT_layer</i> | 1 | Snow temperature at surface and in each user-defined snow layer (m) | K | - | | |
| SWE | 1 | Snow water equivalent | kg/m ² | - | | |
| SnowDepth | 1 | Total snow depth | m | - | | |
| Rho_sn_bulk | 1 | Bulk density of snow | kg/m ³ | - | | |
| Rho_sn_layer | 1 | Density of snow in each user-defined snow layer (m) | kg/m ³ | - | | |
| <i>Wsn_liq_layer</i> | 1 | Liquid water content of snow in each user-defined snow layer (m) | kg/m ² | - | | |
| Alpha_sn | 1 | albedo of snow | - | - | | |
| <i>Ksn_layer</i> | 1 | thermal conductivity of snow in each user-defined snow layer (m) | W/m/K | - | | |
| Fcompact_sn | 2 | Compaction rate of snow (snow density change due to compaction) | kg/s•m ³ | - | | |
| SIF | 2 | Snow impurity factor (which expresses the effects of black carbon and mineral dust as a single parameter: composite mass absorption cross sections of snow impurities per unit snow mass) | - | - | | |

2

3

1 (d): Vegetation/ Phenology

| Variable | Priority | Definition | Units | Direction (+) | status | Time step |
|--|----------|---|--------------------------------|---------------|--------|-----------|
| AvgSurfT | 1 | Average of all vegetation, bare soil and snow skin temperatures | K | - | | |
| VegT_layer | 1 | Vegetation canopy temperature in user-defined canopy layer (m) | K | - | | |
| W_can_liquid_layer, W_can_solid_layer, W_can_total_layer | 2 | Canopy water in user-defined canopy layer in the liquid and solid phases | kg/m ² | - | | |
| LAI_total | 1 | Total leaf area index | m ² /m ² | - | | |
| LAI_up_can | 1 | Leaf area index of upper canopy | m ² /m ² | - | | |
| LAI_forest_floor | 1 | Leaf area index of forest floor | m ² /m ² | | | |
| Ce, Ch, Cd | 1 | Exchange coefficient of leaf (vapor, heat, momentum) | - | - | | |
| r_a | 1 | Aerodynamic resistance between canopy air space and reference height | s/m | | | |
| VgH | 1 | Vegetation height | m | - | | |
| VgB | 1 | Canopy base height | m | - | | |
| Root_frac_layer | 1 | Root fraction in each user-defined soil layer (The cumulative root fraction from the surface to the bottom depth with root in the soil should be 1.0) | - | - | | |
| Alpha_leaf | 2 | Leaf albedo (VIS, NIR) | - | - | | |
| T_leaf | 2 | Leaf transmissivity (VIS, NIR) | - | - | | |

2

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|----------|----------|---|-------|---------------|--------|-----------|
| VC | 2 | Vegetation coverage | - | - | | |
| gc | 2 | Canopy conductance | m/s | - | | |
| fBurn | 3 | Burnt area fraction | - | - | | |
| fPFT | 3 | Fraction of plant functional types (PFT) or dominant PFT, which is based on the classification in each model (e.g. high latitude deciduous forest and woodland, tundra) | - | - | | |

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1 (e): Subsurface hydrological and thermal states

| Variable | priority | Definition | Units | Direction (+) | status | Time step |
|--------------------------|----------|---|--------------------------------|---------------|--------|-----------|
| <i>Tg_depth</i> | 1 | Ground temperature at surface and in each user-defined soil layer (m) | K | - | | |
| <i>Wg_depth</i> | 1 | Volumetric soil water content including the liquid, vapor and solid phases of water in each user-defined soil layer (m) | m ³ /m ³ | - | | |
| <i>Wg_frac_depth</i> | 1 | Fraction of saturation of soil water content in each user-defined soil layer (m) (wilting=0, saturation=1) | - | - | | |
| <i>Wg_frozfrac_depth</i> | 1 | Fraction of soil moisture mass in the solid phase in each user-defined soil layer (m) | - | | | |
| <i>kg_depth</i> | 1 | Soil thermal conductivity in each user-defined soil layer (m) | J/K/m/s | | | |
| <i>Cg_depth</i> | 1 | Soil heat capacity in each user-defined soil layer (m) | J/K/m ³ | | | |
| <i>Theta_s_depth</i> | 1 | Porosity of soil in each user-defined soil layer (m) | - | | | |
| <i>K_s_depth</i> | 1 | Saturation hydraulic conductivity of soil in each user-defined soil layer (m) | m/s | | | |
| <i>Psi_s_depth</i> | 1 | Saturation matric potential in each user-defined soil layer (m) | m | | | |
| <i>b, n, alpha_depth</i> | 1 | Empirical factor for soil retention curve in each user-defined soil layer (m) | - | | | |

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1 (f): Carbon budget

| Variable | priority | Definition | Units | Direction(+) | status | Time step |
|------------------|----------|---|-----------------------|--------------|--------|-----------|
| GPP | 1 | Gross Primary Production on land | kgC/m ² /s | Downward | | |
| NPP | 1 | Net Primary Production on land (GPP – Ra) | kgC/m ² /s | Downward | | |
| Ra | 1 | Autotrophic (plant) respiration on land | kgC/m ² /s | Upward | | |
| Rh | 1 | Heterotrophic Respiration on land | kgC/m ² /s | Upward | | |
| TotCarLitSoil | 1 | Total soil organic carbon | kgC/m ² | - | | |
| NEP | 1 | Net ecosystem productivity (=NPP - Rh) | kgC/m ² /s | Downward | | |
| Pmax or Vcmax | 1 | Maximum photosynthesis rate or maximum rate of Rubisco carboxylase activity | mol/m ² /s | - | | |
| Q10 | 1 | Temperature sensitivity in soil respiration | - | - | | |
| NBP | 2 | Net Biome production (=NEP - other efflux from the land by natural or anthropogenic disturbances) | kgC/m ² /s | Downward | | |
| cLeaf | 2 | Carbon mass in leaves | kgC/m ² | - | | |
| cStemCRoot | 2 | Carbon mass in stems and coarse roots | kgC/m ² | - | | |
| cFRoot | 2 | Carbon mass in fine roots | kgC/m ² | - | | |
| cOtherLiving | 2 | Carbon mass in other living compartments | kgC/m ² | - | | |
| cLitter | 2 | Carbon mass in litter pool | kgC/m ² | - | | |
| cSoilMineral | 2 | Carbon mass in soil mineral | kgC/m ² | - | | |
| cOtherDead | 2 | Carbon mass in other forms | kgC/m ² | - | | |
| CO2fire | 3 | CO2 emission from fire | kgC/m ² /s | Upward | | |

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| Variable | priority | Definition | Units | Direction(+) | status | Time step |
|--------------|----------|--|-------|--------------|--------|-----------|
| Carbon_alloc | 3 | Carbon allocation ratio to each organ of vegetation (leaf, stem and root) | - | - | | |
| M | 3 | Mortality/Senescence ratio (ratio of mortality and senescence of each organ (leaf, stem and root) per unit time) | - | - | | |

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1 Table S2. File naming convention for submitting the results of each model

| Model-name | Model-ID | Stage-name | Stage-ID | Forcing- data set | Forcing- ID | Station-name | Station-ID |
|------------------------|----------|------------|----------|----------------------|-------------|--------------|------------|
| 2LM | 2LM | Stage 1.0A | 1.0A | Level 0.2 | Lv0.2 | Fairbanks | FB |
| FROST | FROST | Stage 1.0B | 1.0B | Level 1.0 | Lv1.0 | Kevo | KV |
| SMAP | SMAP | ----- | ----- | ----- | ----- | Tiksi | TK |
| SNOWPACK | SNOWPACK | ----- | ----- | ----- | ----- | Yakutsk | YK |
| HAL | HAL | ----- | ----- | ----- | ----- | Chokurdakh | CH |
| MATSIRO- ssnowd | MATsnow | ----- | ----- | ----- | ----- | Tura | TR |
| MATSIRO- MIROC4 | MAT4 | ----- | ----- | ----- | ----- | ----- | ----- |
| MATSIRO- Permafrost | MATpf | ----- | ----- | ----- | ----- | ----- | ----- |
| MATSIRO- MIROC5 | MAT5 | ----- | ----- | ----- | ----- | ----- | ----- |
| SPAC- multilayer | SPAC | ----- | ----- | ----- | ----- | ----- | ----- |
| LPJ | LPJ | ----- | ----- | ----- | ----- | ----- | ----- |
| BEAMS | BEAMS | ----- | ----- | ----- | ----- | ----- | ----- |
| PB-SDM | PBSDM | ----- | ----- | ----- | ----- | ----- | ----- |
| STEM1 | STEM1 | ----- | ----- | ----- | ----- | ----- | ----- |
| VISIT | VISIT | ----- | ----- | ----- | ----- | ----- | ----- |
| CHANGE | CHANGE | ----- | ----- | ----- | ----- | ----- | ----- |
| SEIB-DGVM- MIROC | SEIB-M | ----- | ----- | ----- | ----- | ----- | ----- |
| SEIB-DGVM- Noah | SEIB-N | ----- | ----- | ----- | ----- | ----- | ----- |
| JULES | JULES | ----- | ----- | ----- | ----- | ----- | ----- |
| Biome-BGC | B-BGC | ----- | ----- | ----- | ----- | ----- | ----- |

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1 Table S3. Questionnaire for determining the model habitat

| | | Investigator name | | | | |
|--------------|----------------------|--|---|--|--|-------------------------------------|
| | | Model name | | | | |
| Section | Category | Process | Explanation | Complexity of process | Existence of spatial structure | Description of incorporated process |
| | | | | A: Detailed formulation B: Formulation/Diagnosis C: Forcing (input)/Fixed -: Not considered | B: more than 1-dimensional structure C: zero dimension -: No | |
| Overall | Energy | Does the energy conserve? | Yes or No | | | |
| | Water | Does the water conserve? | Yes or No | | | |
| | Biogeochemical cycle | Carbon cycle process | Yes or No | | | |
| | | Biogeochemical cycle except carbon | Yes or No | | | |
| | | Plant competition | Yes or No | | | |
| | | Biogeochemical transport of non CO2 for outside of ecosystem | Yes or No | | | |
| | | Wildfire & anthropogenic disturbances | Yes or No | | | |
| Above ground | Energy | Radiation | Shortwave, long wave, albedo | | | |
| | | Temperature | Air temperature, canopy temperature, leaf temperature | | | |
| | | Sensible heat flux | | | | |
| | Water | Transpiration | | | | |
| | | Other evaporation and latent heat flux | | | | |
| | | Precipitation (vertical water movement) | Precipitation, interception | | | |
| | | Snowpack | Snow depth, snow metamorphism, | | | |

| | | | | | | |
|-----------------|--------------------------|---------------------------------|--|--|--|--|
| | | | snow albedo, heat insulation etc. | | | |
| | | Inland water (surface water) | pond, lake, swamp, wetland | | | |
| | | Surface runoff | Including horizontal water movement within grid cell | | | |
| | | River routine (inter-grid) | Transport the water, heat, geochemical material? | | | |
| | Biogeochemi cal cycle | Carbon pool | leaf, stem, root etc. | | | |
| | | Treatment of species | With or without the plant functional type, competition | | | |
| | | Photosynthesis | leaf photosynthesis and scale up to the canopy | | | |
| | | Autotrophic Respiration | growth respiration, maintenance respiration etc. | | | |
| | | Growth | Carbon allocation to organs | | | |
| | | Leaf and canopy | Representation of canopy and floor vegetation regarding LAI | | | |
| | | Phenology | Calculation of timing of leaf emergence, senescence | | | |
| | | Shedding & mortality | litter & mortality | | | |
| Below ground | Energy | Soil temperature | Dependence of phase change (liquid/ice) on | | | |

| | | | | | | |
|--|----------------------|---------------------------|--|--|--|--|
| | | | heat transfer, physical properties (heat conductivity etc.) and cooperation between heat and water | | | |
| | | Heat flow | Ground heat transfer from upper and lower boundary (soil surface and underground) | | | |
| | Water | Soil moisture | Soil water content | | | |
| | | ground ice | w/ & w/o ice, freezing and thawing | | | |
| | | water vapour | vapor transfer, vapor pressure | | | |
| | | water flow | water transfer from inside and outside of soil | | | |
| | | ground water | existence of aquifer and change | | | |
| | Biogeochemical cycle | carbon pool | litter, and active, slow and passive decomposition | | | |
| | | Heterotrophic Respiration | Response to the environmental change such as soil temperature, soil moisture and pH | | | |

1 Appendix. The file naming convention for submitting the model result.
2 [Model-ID]_[stage-ID]_[forcing ID]_[station-ID]_[yymmdd (date of submission)].csv,
3 where stage_ID is either “1a” or “1b,” forcing_ID is “L0,” “L1,” or “L1H,” and station_ID is
4 shown in Table S2.

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