

1 **The GRENE-TEA Model Intercomparison Project (GTMIP):**  
2 **Overview and experiment protocol for Stage 1**

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1

## 2 **Abstract**

3 As part of the terrestrial branch of the Japan-funded Arctic Climate Change Research Project  
4 (GRENE-TEA), which aims to clarify the role and function of the terrestrial Arctic in the  
5 climate system and assess the influence of its changes on a global scale, this model  
6 intercomparison project (GTMIP) is deliberately designed to 1) enhance communication  
7 and understanding between the "minds and hands" (i.e., between the modelling and field  
8 scientists) and 2) assess the uncertainty and variations stemming from variability in model  
9 implementation/design and in model outputs using climatic and historical conditions in the  
10 Arctic terrestrial regions. This paper provides an overview of all GTMIP activity, and the  
11 experiment protocol of Stage 1, which is site simulations driven by statistically fitted data  
12 created using the GRENE-TEA site observations for the last three decades. The target metrics  
13 for the model evaluation cover key processes in both physics and biogeochemistry, including  
14 energy budgets, snow, permafrost, phenology, and carbon budgets. Exemplary results for  
15 distributions of four metrics (annual mean latent heat flux, annual maximum snow depth,  
16 gross primary production, and net ecosystem production), and for seasonal transitions are  
17 provided to give an outlook of the planned analysis that will delineate the inter-dependence  
18 among the key processes, and provide clues for improving model performance.

19

## 20 **1 Introduction**

21 The pan-Arctic ecosystem is characterized by low mean temperatures, snow cover, and  
22 seasonal frozen ground or permafrost with a large carbon reservoir, covered by various  
23 biomes (plant types) ranging from deciduous and evergreen forests to tundra. The Arctic  
24 climate and ecosystem differ from the tropical and temperate counterparts primarily because it  
25 is a frozen world. Moreover, the terrestrial Arctic varies from area to area according to the  
26 location, glacial history, and climatic conditions. However, sites, networks, and opportunities  
27 for direct observations are still sparse relative to the warmer regions owing to physical and  
28 logistical limitations. To investigate the impact of climate change in this region, a number of  
29 studies using both analysis of observed data and numerical modelling have been carried out  
30 (e.g., Zhang et al., 2005; Brown and Robinson, 2011; Brutel-Vuilmet et al., 2013; Koven et al.,  
31 2011, 2013; Slater and Lawrence, 2013). Various numerical modelling schemes have been  
32 developed to treat physical and biogeochemical processes on and below the land surface.

1 Some of these processes are site-specific or process-oriented, while others are implemented as  
2 components of atmosphere–ocean coupled global climate models (AOGCMs), or Earth  
3 system models (ESMs) to interact with the overlying atmosphere. Among these processes,  
4 snowpack, ground freezing/thawing, and carbon exchange are the most relevant and important  
5 processes in terrestrial process models (TPM) for investigating the climate and ecosystem of  
6 the pan-Arctic region.

## 7 **1.1 GRENE-Arctic project and GTMIP**

8 The GRENE-TEA model intercomparison project (GTMIP) was originally planned as part of  
9 the terrestrial research project of the GRENE Arctic Climate Change Research Project  
10 (GRENE-TEA) to achieve the following targets: a) to pass possible improvements regarding  
11 physical and biogeochemical processes for Arctic terrestrial modelling (excluding glaciers  
12 and ice sheets) in the existing AOGCM terrestrial schemes for the AOGCM research  
13 community, and b) to lay the foundations for the development of future-generation Arctic  
14 terrestrial models. The project, however, involves groups of researchers from different  
15 backgrounds/disciplines (e.g., physics/geophysics, glaciology, biogeochemistry, ecosystem,  
16 forestry) with a wide range of research methods (e.g., field observations, remote-sensing,  
17 numerical modelling), target domains (e.g., Northern Europe, Siberia, Alaska, Northern  
18 Canada) and scales (from site-level to Pan-Arctic). As is often the case, multi-disciplinary  
19 opportunities were limited, initially creating a considerable challenge for the project (Fig. 1a).  
20 Communications between groups (e.g., modelling and field studies, physical and ecosystem  
21 disciplines, process-oriented and large-scale modelling), if any, were inconclusive and  
22 sporadic. Observational practices and procedures (e.g., variables to measure, equipment to use,  
23 standard zero depth for ground measurements) were different among groups and disciplines,  
24 and lacked standardization. Although each individual group had the needs and intention to  
25 interact with other groups, the requisite collaboration could not be achieved. Opinions  
26 obtained in the early stages revealed hidden quests for possible collaborations for  
27 “observational data for driving and/or validating data”, “use of numerical models to test  
28 empirical hypothesis gained at the field”, “interpretation of observed phenomena”, and  
29 “optimization of observation network strategies.” As a result of this situation, the model  
30 intercomparison project was deliberately blueprinted to promote communication and  
31 understanding between modelling and empirical scientists, and among modellers: the GTMIP  
32 protocols and datasets are set to function as a hub for the groups involved in the project (Fig.

1 1b). It also aimed to enhance the standardization of observation practices among the GRENE-  
2 TEA observation sites, and to form a tight collaboration between the field and modelling  
3 communities, laying a cornerstone for creating the driving dataset (details of the Stage 1  
4 driving data and their creation as a product of collaboration between modellers and field  
5 scientists are documented by Sueyoshi et al. [2015]).

## 6 **1.2 Model intercomparison for the terrestrial Arctic**

7 Since the 1990s, a number of model intercomparison projects (MIPs) have been carried out,  
8 focusing on the performance of TPMs, AOGCMs, and ESMs; examples include PILPS  
9 (Project for Intercomparison of Land-Surface Parameterization Schemes; Henderson-Sellers,  
10 1993), SnowMIP (Snow Models Intercomparison Project; Etchevers et al. 2004; Essery et al.  
11 2009), Potsdam NPP MIP (Potsdam Net Primary Production Model Intercomparison Project;  
12 Cramer et al., 1999), C4MIP (Coupled Climate–Carbon Cycle Model Intercomparison  
13 Project; Friedlingstein et al. 2006), CMIP5 (Coupled Model Intercomparison Project; Taylor  
14 et al. 2012), and MsTMIP (Multi-scale synthesis and Terrestrial Model Intercomparison  
15 Project; Huntzinger et al., 2013), to name a few.

16 For snow dynamics, SnowMIP2 showed a broad variety in the maximum snow accumulation  
17 values, particularly at warmer sites and in warmer winters, although the duration of snow  
18 cover was relatively well simulated (Essery et al., 2009). The same study also noted that the  
19 SnowMIP2 models tend to predict winter soil temperatures that are too low in cold sites and  
20 for sites with shallow snow, a discrepancy arguably caused by the remaining uncertainties in  
21 ecological and physical processes and the scarcity of winter process measurements for model  
22 development and testing in the boreal zone. The CMIP5 models simulated the snow cover  
23 extent for most of the Arctic region well, except for the southern realm of the seasonal snow  
24 cover area (Brutel-Vulmet et al., 2013). The poor performance of some of the TPMs in this  
25 region is due to an incorrect timing of the snow onset, and possibly by an incorrect  
26 representation of the annual maximum snow cover fraction (Brutel-Vulmet et al., 2013). For  
27 ground freezing/thawing processes, Koven et al. (2013) showed the current status of the  
28 performance of AOGCMs for permafrost processes based on CMIP5 experiments. There was  
29 large disagreement among modelled soil temperatures, which may have been due to the  
30 representation of the thermal connection between the air and the land surface and, in  
31 particular, its mediation by snow in winter. Vertical profiles of the mean and amplitude of  
32 modelled soil temperatures showed large variations, some of which can be attributed to

1 differences in the physical properties of the modelled soils and coupling between energy and  
2 water transfer. This appears to be particularly relevant for the representation of organic layers.  
3 For the biogeochemical cycles, a number of studies based on MIPs have been carried out. The  
4 broad global distribution of net primary productivity (NPP) and the relationship of annual  
5 NPP to the major climatic variables coincide in most areas with differences among the 17  
6 global terrestrial biogeochemical models that cannot be attributed to the fundamental  
7 modelling strategies (Cramer et al., 1999). The ESMs in CMIP5 use the climate and carbon  
8 cycle performance metrics, and they showed that the models correctly reproduced the main  
9 climatic variables controlling the spatial and temporal characteristics of the carbon cycle  
10 (Anav et al., 2013). However, several weaknesses were found in the modeling of the land  
11 carbon cycle: for example, the leaf area index is generally overestimated by models compared  
12 with remote sensing data (Anav et al., 2013); NPP and terrestrial carbon storage responses to  
13 CO<sub>2</sub> increases greatly differs among models (Hajima et al., 2014); current ESMs displays  
14 large variations for the estimated soil carbon amounts, in particular for northern high  
15 latitudinal regions, and lack the capability to represent the potential degradation of frozen  
16 carbon in permafrost regions (Todd-Brown et al., 2014). The future projection by ESMs  
17 suggests that the carbon sink characteristic will increase in northern high latitudes, although  
18 there are some uncertainties, such as nutrient limitations in CO<sub>2</sub> fertilization, the effect of soil  
19 moisture on decomposition rates, and mechanistic representations of permafrost (Qian et al.,  
20 2010; Ahlstrom et al., 2012; Arora et al., 2013). It should be noted that the reference  
21 observation data used for these evaluations are prone to uncertainties due to random and bias  
22 errors in the measurements themselves, sampling errors, and analysis error, especially for  
23 biogeochemical variables such as land gross primary productivity (GPP) (e.g., Anav et al.,  
24 2013; Piao et al., 2013). Based on the outcomes of these MIPs, TPMs have improved their  
25 performances.

26 At scales from a continental level (including those mentioned above) to a site level (model-  
27 observation comparisons; e.g., Zaehle et al., 2014), different MIPs have also been conducted,  
28 and generally study physical or ecosystem processes separately. PILPS (Henderson-Sellers et  
29 al., 1993) and a series of snow MIPs (Etchevers et al., 2004; Essery et al., 2009) are well-  
30 known MIPs for physical processes, targeting hydrology and snow dynamics. Recently, an  
31 MIP for tundra sites has been conducted, but its focus is limited to soil thermal dynamics  
32 (Ekici et al., 2014). In turn, ecosystem MIPs on continental scales have two predecessors: i.e.,

1 the North American Carbon Program Site Synthesis (Schwalm et al., 2010) and  
2 CarboEastAsia-MIP (Ichii et al., 2013). Although both MIPs employ multiple terrestrial  
3 biosphere models to different eddy-covariance measurement sites (Schwalm et al. (2010) with  
4 22 models for 44 sites in North America; Ichii et al. (2013) with 8 models for 26 sites in East  
5 Asia), boreal and Arctic sites were not the major targets. In other studies targeting specific  
6 eco-climatic regions, the Arctic was again not the main domain: Jung et al. (2007) assessed  
7 GPPs for Europe, and Ichii et al. (2010) for Japan. Rawlins et al. (2015) assessed carbon  
8 budget differences among several GCM-compatible models in northern Eurasia, with little  
9 examination of the physical processes. In other regions than the Arctic, there have been cross-  
10 sectional evaluations of physical and ecosystem processes, such as Morales et al. (2005),  
11 evaluating carbon and water fluxes in Europe, and de Gonçaves et al. (2013), the LBA-Data  
12 Model Intercomparison Project (LBA-DMIP), analysing water and carbon fluxes in the  
13 Amazon.

14

15 The GTMIP consists of two stages (Fig. 2): one dimensional, historical GRENE-TEA site  
16 evaluations for examining the model's behaviour and its uncertainty (Stage 1), and  
17 circumpolar evaluations using projected climate change data from GCM outputs (Stage 2).  
18 Hereafter, we describe the Stage 1 protocol. This stage aims to evaluate the physical and  
19 biogeochemical TPMs through three-decade site simulations driven and validated by the  
20 GRENE-TEA site-derived data. It calls for broader participation in the activity from a wider  
21 community to assure robust assessments for model-derived uncertainty, and to efficiently  
22 investigate the terrestrial system response to climate variability considering the diversity of  
23 the pan-Arctic sites. Thus, the scope and geographical domain of GTMIP Stage 1 is unique in  
24 its target of the Arctic region, including both taiga and tundra, and in its evaluations of the  
25 behaviour of the energy-snow-soil-vegetation subsystem, employing a wide range of models  
26 from physical land surface schemes to terrestrial ecosystems.

27

## 28 **2 Experiment design**

### 29 **2.1 Targeted processes**

30 In GTMIP, a variety of models ranging from specific models that focus on snowpack  
31 formation processes to highly complex DGVMs are expected to participate. The following

1 five categories (from “a” to “e” below) set the unit for the key processes to assess the  
2 performance of the existing TPMs in the pan-Arctic region, to evaluate the variations among  
3 the models and the mechanisms behind their strengths and weaknesses, and to obtain  
4 information and guidance to improve the next generation of TPMs. The five categories are a)  
5 exchange of energy and water between atmosphere and land, b) the snowpack, c) phenology,  
6 d) ground freezing/thawing and the active layer, and e) the carbon budget. The categories  
7 cover the essential processes that make the pan-Arctic region unique compared with other  
8 regions: seasonal changes in both physical and biogeochemical processes and the associated  
9 strong climate feedback, which are characterized by liquid-ice phase changes, the subsequent  
10 ecosystem response, and their interactions.

11 The scientific questions at the Stage 1 are: How well do the TPMs reproduce target metrics  
12 (examples are shown in column B in Table 1) in terms of agreement with observations? How  
13 do the reproductions vary among the models? If the reproductions are good or poor in some  
14 models, which processes in the TPMs are responsible and why?

15

## 16 **2.2 Driving datasets and model parameters**

17 The target period for Stage 1 was set from 1980 to 2013 to provide at least 30 years of data,  
18 the minimum requirement for climatological analyses. The period is also favourable in terms  
19 of the accuracy and coherence of the relevant large-scale climate data thanks to the fully  
20 fledged operation of various satellite observations (e.g., Dee et al., 2011). We are providing  
21 the following driving data for Stage 1: surface air temperature, precipitation, specific  
22 humidity, air pressure, wind speed, incident short-wave and long-wave radiation.

23 For this stage (site simulations), forcing and validation data have been prepared, taking  
24 maximum advantage of the observation data from GRENE-TEA sites in operation (Fairbanks  
25 (FB) in Alaska; Tiksi (TK), Yakutsk (YK), Chokurdakh (CH), and Tura (TR) in Russia; and  
26 Kevo (KV) in Finland, shown in Fig. 3), to evaluate the inter-model and inter-site variations  
27 for 1980–2013. These sites, the latitude of which varies from 62°N–71°N, have different  
28 characteristics in terms of climate (e.g., air temperature, precipitation), snow (e.g., type,  
29 amount and accumulation period), vegetation, and frozen ground conditions (Sueyoshi et al.,  
30 2015), providing a good representation of the diversity of the terrestrial Arctic. The annual air  
31 temperature and precipitation at the six sites ranges from –13.5 °C to –1.6 °C and from 188

1 mm to 415 mm, respectively. Four sites (FB, KV, YK, and TR) are in the boreal forest, while  
2 TK is in tundra and CH in the tundra–forest transition zone. Most of the sites are located in  
3 the permafrost zone with an active layer ranging from 0.4 m to 1.2 m, except for the KV site,  
4 which is seasonally frozen.

5 Because of the severe conditions for maintaining monitoring sites in arctic region, continuous  
6 observation data over years are scarce, which makes it very difficult to create ready-to-drive  
7 data directly from observations (e.g., owing to missing values, discontinuity of measurement  
8 periods, outliers). To overcome this problem, we first constructed the backbone of the  
9 continuous forcing data (called “level 0” or L0; Saito et al., 2014a) from climate reanalysis  
10 products to avoid the issues of limited coverage and/or missing data, or the lack of  
11 consistency inherent in observational data, using the bias-corrected monthly Climate Research  
12 Unit (CRU) for the temperature dataset (Harris et al., 2014) and the Global Precipitation  
13 Climatology Project (GPCP) for the precipitation dataset (Adler et al., 2003) at the respective  
14 nearest grid to the sites. The European Centre for Medium-range Weather Forecasts  
15 ReAnalysis (ERA)-interim reanalysis data (Dee et al., 2011) were chosen from four products  
16 (National Centers for Environmental Prediction (NCEP)/ National Center for Atmospheric  
17 Research (NCAR); NCEP/NCAR, NCEP-Department of Energy (DOE), Japanese Reanalysis  
18 (JRA)-55, and ERA-interim) because they showed the smallest bias relative to the monthly  
19 CRU and GPCP in terms of 2-m air temperature and precipitation in the pan-Arctic region  
20 (north of 60°N).

21 Assimilation of the observed data was then applied to reflect local characteristics and to  
22 derive the primary driving data, “level 1” data (L1; Saito et al., 2014b) and, in addition, the  
23 level 1 hybrid data (L1H) by replacing data with observed data when available. The L1  
24 dataset was provided for four sites (FB, KV, TK, and YK) owing to the availability of the  
25 observed data for validations. For the creation of the site-specific data, collaboration with the  
26 field scientists who are in charge of the observation sites and know the circumstances of the  
27 data obtained was critical. Further details on the creation of the L0 and L1 datasets, and their  
28 basic statistics, are described in Sueyoshi et al. (2015).

29 As the warming trend is becoming visible, in particular for northern high-latitude regions  
30 (IPCC, 2013), the 20-year detrended meteorological driving dataset is provided for spin up,  
31 allowing biogeochemical models to set up initial soil carbon conditions without the warming  
32 trends and/or ENSO (El Niño Southern Oscillation). This dataset is based on the L1 data for



1 the period of 1980–1999 (Saito et al., 2015). The monthly values of the photosynthetically  
2 active radiation (fPAR) and leaf area index (LAI) datasets at GRENE-TEA sites, created  
3 based on Moderate Resolution Imaging Spectroradiometer (MODIS) satellite data  
4 (MOD15A2, MYD15A2), are also provided where required (Saito et al., 2014c). These  
5 driving datasets are provided in the ASCII fixed-length record files, and are available through  
6 the Arctic Data Archive System (ADS; <https://ads.nipr.ac.jp/gtmip/gtmip.html>), along with  
7 the simulation protocol.

8 The site description, including locations, dominant vegetation types, soil, climate, fPAR, LAI,  
9 data for model validation, and references for observation data, is summarized in Table 2.

10

### 11 **2.3 Model setup**

12 As already proposed in existing MIP studies (e.g., Ichii et al., 2010), we set Stage 1 to consist  
13 of two further sub-stages: 1A and 1B. Stage 1A, which aims to evaluate the inter-model  
14 variations in baseline performance at each site, requested the participants to use the  
15 parameters in the default settings for the provided boundary conditions, such as land cover  
16 type. In contrast, Stage 1B allows tuning for the best reproduction of observations so that the  
17 parameter sensitivity among the sites can be evaluated. Process 1B is particularly important  
18 for the pan-Arctic region because many monitoring sites are located in temperate regions and  
19 models are generally validated against these environmental conditions.

20 We set the initial condition date to 01 September 1979, so that simulations started with a no-  
21 snow condition. The initial data for the model boundary conditions are available, as most  
22 stations can provide observation data for soil temperature and soil moisture profiles. However,  
23 each model could use its own method for initialization.

24 The spin up process may also differ between models. However, we recommend continuing  
25 spin up until a steady state is achieved for the main variables (see Sect. 2.5). For example,  
26 Takata (2002) defined a threshold of a steady state in a slowly varying system as

$$27 \quad \frac{X_n - X_{n-1}}{X_n} < 10^{-2} \quad (1)$$

28 where  $X$  is a physical variable (e.g., fluxes, ground temperature, soil moisture, or ice content).  
29 The subscript  $n$  denotes the annual mean for the  $n$ -th year.

1 For biogeochemical cycle models, in particular, we recommend maintaining spin up over at  
2 least 2000 years using the detrended meteorological driving data (also provided through ADS)  
3 because soil accumulation is quite slow owing to the low soil temperature, and pre-industrial  
4 atmospheric CO<sub>2</sub> concentrations (e.g., 280 ppmv around the year 1750) until the soil carbon  
5 reached equilibrium; the atmospheric CO<sub>2</sub> concentration should then be increased to the  
6 current level (e.g., 340 ppmv) over 200 years or so (the period being dependent on the model).  
7 For the submission period (1979 to 2013), use of the historical atmospheric CO<sub>2</sub> concentration  
8 is recommended for these models so that they are driven by time-variant CO<sub>2</sub> concentrations.

9

## 10 **2.4 Model output variables**

11 We request participants to submit those variables listed in Table S1 (refer to the  
12 Supplementary Material) in ASCII format with CSV-type files. The template file for output  
13 submission has been provided through ADS.

14 The variables for submission are categorized into six groups: 0) model driving, 1) energy and  
15 water budget, 2) snow dynamics, 3) vegetation, 4) subsurface hydrological and thermal states,  
16 and 5) carbon budget, in parallel to the analysis categories. Since the spectrum of the  
17 participating models is expected to be very large (ranging from physical to biogeochemical to  
18 ecosystem models; Fig. 4), we made an extensive list of output variables to cover the  
19 expected range. However, the actual output variables a model submits will be dependent on  
20 the model's specification. Considering this spread, the priority for each variable, classed at  
21 three levels, was set according to the necessity and availability for evaluation of the model  
22 performance. In addition, participants are requested to provide information on the status of the  
23 variables in their model (i.e., model driving, prescribed parameter, prognostic, diagnostic, or  
24 not applicable), through the provided questionnaire (Supplementary Material, Table S3;  
25 provided through ADS), to identify the characteristics of the model.

26 Although the temporal resolution of a variable should depend on the model, we request  
27 submission of the variables with the minimum temporal resolution available for the model.  
28 For the models that provide daily outputs, the time for each day should be defined by the local  
29 time (FB: UTC - 10; KV: UTC + 2; TK: UTC + 9; YK: UTC + 9; CH: UTC + 10; TR: UTC  
30 + 7). Those models that use the no-leap calendar (365 days for all years) are requested to  
31 leave out 29 February. For those models with a 360-day calendar, data on Days of Year

1 (DOYs) 90, 151, 212, 304, and 365 (corresponding to March 31, May 31, July 31, October 31,  
2 and December 31 in a no-leap year) should be omitted.

3

## 4 **2.5 Currently participating models**

5 Participation in GTMIP Stage 1 is voluntary and open to any interested modellers or  
6 institutions. 16 TPMs have announced their participation in GTMIP Stage 1. These models  
7 are the permafrost model (FROST), physical snow models (SMAP and SNOWPACK), land  
8 surface models (2LM, HAL, JULES, several versions of MATSIRO, and SPAC-Multilayer),  
9 a physical and biogeochemical soil dynamics model (PB-SDM), terrestrial biogeochemical  
10 models (BEAMS, Biome-BGC, STEM1, and VISIT), dynamic global vegetation models (LPJ  
11 and SEIB-DGVM, coupled with a land surface model [Noah-LSM] or stand-alone), and a  
12 coupled hydrological and biogeochemical model (CHANGE). The models with higher  
13 degrees of complexity in their treatment of physical processes are 2LM, CHANGE, FROST,  
14 HAL, JULES, MATSIRO, PB-SDM, SNOWPACK, SMAP, and SPAC-multilayer. The  
15 models with higher degrees of complexity in their treatment of biogeochemical processes are  
16 BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM, STEM1, and VISIT. The models  
17 enabled to couple with AOGCMs (currently, JULES, HAL, LPJ, MATSIRO, and SMAP)  
18 make up about 30% of the participating models.

19 To illustrate the variability of the participating models with respect to the implemented  
20 physical and biogeochemical processes, we created a diagram showing the habitat of the  
21 currently participating models (Fig. 4) by incorporating the model survey results referred to in  
22 the previous section. The spread of the models is large for both physical and biogeochemical  
23 process dimensions, which will benefit the evaluation and attribute examinations of the  
24 models regarding their ability to reproduce observations.

25

## 26 **3 Analysis plan and exemplary results**

27 This section presents the analysis plan for GTMIP Stage 1 and sample outputs based on  
28 already submitted materials. To answer the key questions for the target processes proposed in  
29 Sect. 2.1, we plan to analyze the model output by describing the model–model and model–  
30 observation differences, discerning the cause of these differences, and investigating parameter

1 sensitivity. The outputs of multiple models will be compared in terms of the metrics shown in  
2 Table 3. These metrics are divided into five categories (i.e., energy and water budget,  
3 snowpack, phenology, subsurface hydrological and thermal states, and carbon budget). For  
4 terrestrial climate simulations on the decadal scale, the most important outputs are the latent  
5 heat flux (energy and water budget) and the net ecosystem exchange (carbon budget). The  
6 latent heat flux (evapotranspiration) is the essential driver of precipitation inland at high  
7 latitudes owing to high rates of recycling (e.g., Dirmeyer et al., 2009; Saito et al. 2006). Net  
8 ecosystem exchange (NEE) plays a fundamental role in determining global CO<sub>2</sub>  
9 concentrations by determining whether a site forms a carbon source or sink (e.g. Abramowitz  
10 et al., 2008; Mcguire et al., 2012). NEE represents the net land–atmosphere CO<sub>2</sub> flux, and a  
11 positive NEE represents net loss of CO<sub>2</sub> from the land to the atmosphere (i.e., carbon source;  
12 Mcguire et al., 2012). Although NEE is commonly used for tower flux observations and some  
13 TPMs, the net ecosystem production (NEP) is used in GTMIP for both the observed and  
14 simulated values because it is more widely used in non-biogeochemical communities. A  
15 positive (negative) value of NEP represents a carbon sink (source).

16 Analyses will be organized and conducted in the following manner. Topical analyses,  
17 constituting major subsets of the project outcomes, will evaluate characteristics of model  
18 performances and their inter-site variations within each of the above five categories, while  
19 cross-sectional analyses between categories will explore the functionality and strength of  
20 interactions between processes. These analyses will be utilized for mining crucial processes to  
21 improve the site-level TPMs as well as large-scale GCM/ESM components.

22 First, the focus will be on model output variability for both the inter-annual and the inter-  
23 decadal time scales, based on the output time series over more than 30 years. Inter-site  
24 differences will also be evaluated for the four GRENE-TEA sites in the Arctic region, each of  
25 which has distinct characteristics. The vegetation type for three of the four sites is forest (two  
26 evergreen conifer: FB and KV; one deciduous conifer: YK) and the remaining site is tundra  
27 (TK). Three sites (FB, TK, and YK) are in the permafrost region, while KV is underlain by  
28 seasonally frozen ground. Figures 5–8 show statistical summary comparisons of the model  
29 outputs by site (the land cover and soil type parameters used for the simulations are shown in  
30 Table 2), expressing inter-model variations for physical and biogeochemical models using  
31 box plots for four variables of the metrics mentioned above: the annual mean latent heat flux  
32 (Qle\_total\_an), the annual maximum snow depth (SnowDepth\_max), the annual gross

1 primary production (GPP\_an), and the annual net ecosystem production (NEP\_an),  
2 respectively. When observed values were available (i.e., latent heat flux for FB for 2011–  
3 2013 and YK for 1998, 2001, 2003, 2004, 2007, and 2008), they are shown by black dots.

4 Second, the cause or attributes of the differences among models, or between models and  
5 observations, will be explored by employing statistical evaluations such as multivariate  
6 analyses and time series analyses on the metrics and individual eco-climate variables. This  
7 will improve understanding of the interrelation between the incorporated processes in each  
8 model. Figure 9 shows an exemplary comparison of a seasonal transition in the snow-  
9 permafrost-vegetation sub-system, expressed similarly by box plots. The figure summarizes  
10 the average dates for (from bottom to top) the completion of snow melt, the thawing of the top  
11 soil layer, the start and end of greening, the freezing of the top soil layer, and the start of  
12 seasonal snow accumulation. A comparison of the timings of these events over years and sites  
13 will illustrate individual models' characteristic behaviour in seasonal transitions, and their  
14 strength regarding process interactions, in combination with ordinary multivariate analysis  
15 techniques.

16 Finally, sensitivity tests for the model parameters are planned to quantify the effect of  
17 parameter sensitivity on the models' reproducibility.

18

#### 19 **4 Summary**

20 This paper presented an overview of the GTMIP activity and the experiment protocol for the  
21 Stage 1 intercomparison, with site simulations using the GRENE-TEA site observation data in  
22 the pan-Arctic region for the previous three decades. We described the framework of our  
23 project including targets, and provided datasets, conditions on model integration, lists of  
24 model output variables, and the habitat of currently participating models. We also included  
25 analysis plans and exemplary results to give an outlook of the model–model and model–  
26 observation comparisons with respect to the major metrics defined for the energy budget,  
27 snowpack dynamics, and the carbon budget. This model intercomparison project was realized  
28 through a tight collaboration between the GRENE-TEA-participating modelling and field  
29 scientists. Additionally, we expect to offer insightful demonstrations of various cold-region  
30 terrestrial physical and biogeochemical TPMs and valuable information for future  
31 improvements of the relevant models. All meteorological driving data for this project have  
32 already been made publicly available through ADS. The model outputs and comprehensive

1 results from the GTMIP, which we hope will provide a useful benchmark dataset for the  
2 community, will also be available to the public at the end of the project.

3

4

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8

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7

1 Table 1. The key process categories and target processes

A: Key processes categories	B: Target processes and metrics
Energy and water budget	Partition of energy and water at surface, canopy, and subsurface, albedo
Snowpack (snow cover ratio, snow depth/snow water equivalent)	Snow water equivalent, snow density, snow cover duration (length and dates)
Phenology	Annual maximum leaf area index, growing season (length and dates)
Ground freezing/thawing, active layer	Active layer thickness (in permafrost) or maximum seasonal frozen depth, trumpet curve, ice content ratio
Carbon budget	Net primary production, heterotrophic and autotrophic respiration, net ecosystem production, stored carbon mass in different pools, turnover rates

2

1 Table 2. The location, dominant vegetation type, soil, climate, fraction of photosynthetically  
 2 active radiation (fPAR), possible data for validation, and references for observed data for (a)  
 3 Fairbaks, (b) Kevo, (c) Tiksi, (d) Yakutsk, (e) Chokurdakh, and (f) Tura.

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5 (a): Fairbanks (Poker Flat Research Range), Alaska, USA

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Location	65°07'24" N, 147°29'15." W
Altitude	210 m
Dominant vegetation type	Black spruce forest
Soil	0-14cm layer: moss 14-25cm: undecomposed organic layer 25-39cm: decomposed organic layer 39cm- : silt soil Active layer thickness: 43cm in 2013
Climate	Mean annual air temperature: -2.8 °C (2011) Annual precipitation: 312 mm (2011)
fPAR and LAI <sup>1)</sup>	fPAR: 0.03 (Jan), 0.05 (Feb), 0.05 (Mar), 0.13 (Apr), 0.39 (May), 0.69 (Jun), 0.69 (Jul), 0.69 (Aug), 0.43 (Sep), 0.23 (Oct), 0.06 (Nov), 0.00 (Dec) LAI: 0.05 (Jan), 0.09 (Feb), 0.09 (Mar), 0.23 (Apr), 0.99 (May), 2.26 (Jun), 2.32 (Jul), 1.90 (Aug), 0.80 (Sep), 0.49 (Oct), 0.10 (Nov), 0.01 (Dec.)
Data available for model validation	Snow depth, ground temperature (-0.05, -0.1, -0.2, -0.4, -1.0m), soil moisture (-0.05, -0.1, -0.2, -0.4m), leaf area index, albedo, FPAR (Fraction of photosynthetically active radiation), upward short and long wave radiation, energy and carbon fluxes
Reference	Nakai et al., 2013

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1 (b): Kevo (Kevo Research Station), Finland

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Location	69°45' 25''N, 27°00' 37''E
Altitude	100m
Dominant vegetation type	Pine forest
Soil	0-20cm: humus soil 20–50cm: sandy silt
Climate	Mean annual air temperature: -1.6 °C Annual precipitation: 415 mm
fPAR and LAI <sup>1)</sup>	fPAR: 0.03 (Jan), 0.06 (Feb), 0.08 (Mar), 0.11 (Apr), 0.51 (May), 0.56 (Jun), 0.69 (Jul), 0.76 (Aug), 0.68 (Sep), 0.45 (Oct), 0.10 (Nov), 0.02 (Dec)  LAI: 0.05 (Jan), 0.10 (Feb), 0.14 (Mar), 0.21 (Apr), 1.13 (May), 1.63 (Jun), 2.52 (Jul), 2.78 (Aug), 1.66 (Sep), 1.18 (Oct), 0.21 (Nov), 0.05 (Dec.)
Data available for model validation	Snow depth, snow (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7m) and ground temperature (-0.1, -0.2, -0.3, -0.35m), soil moisture (-0.1, -0.2, -0.3m), albedo, upward short and long wave radiation
Reference	Sato et al., 2001

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1 (c): Tiksi, Sakha Republic, Russian Federation

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Location	71°35'21"N, 128°46'27"E
Altitude	40 m
Dominant vegetation type	Non-tussock sedge, dwarf-shrubs, and moss tundra
Soil	0-1cm: partially decomposed litter 1-15cm: loam 15-70cm: silt with gravel Active layer thickness: 70cm
Climate	Mean annual air temperature: -13.5 °C Annual precipitation: 331 mm
fPAR and LAI <sup>1)</sup>	fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.03 (May), 0.29 (Jun), 0.45 (Jul), 0.47 (Aug), 0.28 (Sep), 0.04 (Oct), 0.00 (Nov), 0.00 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.05 (May), 0.52 (Jun), 0.88 (Jul), 0.73 (Aug), 0.49 (Sep), 0.07 (Oct), 0.00 (Nov), 0.00 (Dec.)
Data available for model validation	Snow depth, ground temperature (-0.1, -0.2, -0.3, -0.47, -1, -2, -3, -5, -10, -20, -30m), soil moisture (0, -0.05, -0.15, -0.3m), albedo, upward short and long-wave radiation
Reference	Kodama et al., 2007; Watanabe et al., 2000

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1 (d): Yakutsk (Spasskaya Pad), Sakha Republic, Russian Federation

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Location	62°15'18"N, 129°37'6"E
Altitude	220 m
Dominant vegetation type	Larch forest
Soil	0-20cm: organic layer Upper mineral layer: sandy loam Lower mineral layer: silty loam (More than 80% of root: within a soil depth of 20 cm) Active layer thickness: 1.2m
Climate	Mean annual air temperature: -10.2 °C Annual precipitation: 188 mm
fPAR and LAI <sup>1)</sup>	fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.05 (Apr), 0.28 (May), 0.46 (Jun), 0.42 (Jul), 0.21 (Aug), 0.03 (Sep), 0.00 (Oct), 0.00 (Nov), 0.02 (Dec) 0.00 LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.07 (May), 0.58 (Jun), 1.05 (Jul), 0.81 (Aug), 0.28 (Sep), 0.04 (Oct), 0.00 (Nov), 0.00 (Dec.)
Possible data for model validation	Snow depth, ground temperature (-0.1, -0.2, -0.4, -0.6, -0.8, -1.2), soil moisture (-0.1, -0.2, -0.4, -0.6, -0.8m), albedo, FPAR, upward short and long wave radiation, energy and carbon fluxes
Reference	Ohta et al., 2001, 2008, 2014; Kotani et al., 2013; Lopez et al., 2007

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1 (e): Chokurdakh (Kodack/Krybaya), Sakha Republic, Russian Federation

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Location	70°33'48"N, 148°15'51"E
Altitude	9 m
Dominant vegetation type	Tussock wetland/shrubs/sparse larch trees
Soil	Clay loam, silty clay loam Active layer thickness: 0.4-0.7m
Climate	Mean annual air temperature: -13.4 °C Annual precipitation: 196 mm
fPAR and LAI <sup>1)</sup>	fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.00 (May), 0.01 (Jun), 0.18 (Jul), 0.45 (Aug), 0.48 (Sep), 0.26 (Oct), 0.07 (Nov), 0.02 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.00 (Apr), 0.02 (May), 0.32 (Jun), 0.91 (Jul), 0.79 (Aug), 0.41 (Sep), 0.15 (Oct), 0.00 (Nov), 0.00 (Dec.)
Data available for model validation	Ground temperature (-0.01, -0.05, -0.1, -0.2, -0.3, -0.4, -0.5, -0.75, -1.0, -1.5, -2.0, -2.5, -3.0, -4.0, -5.0, -5.5, -7.0, -10.0 m), soil moisture (-0.035, -0.145, -0.335, -0.535m), albedo, upward short and long-wave radiation, energy and carbon fluxes
Reference	Iwahana et al., 2014

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1 (f): Tura, Russian Federation

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Location	64°12'32"N, 100°27'49"E
Altitude	250 m
Dominant vegetation type	Larch forest (average age: 105 years in 2005)
Soil	10-20cm organic layer Cryosol Active layer thickness: 1m
Climate	Mean annual air temperature: -8.9 °C Annual precipitation: 360 mm
fPAR and LAI average value extracted from 1km grid MODIS satellite from 2001 to 2011 (Sasai et al., 2011)	fPAR: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.01 (Apr), 0.20 (May), 0.48 (Jun), 0.52 (Jul), 0.49 (Aug), 0.29 (Sep), 0.10 (Oct), 0.00 (Nov), 0.00 (Dec) LAI: 0.00 (Jan), 0.00 (Feb), 0.00 (Mar), 0.01 (Apr), 0.46 (May), 1.28 (Jun), 1.43 (Jul), 1.17 (Aug), 0.48 (Sep), 0.17 (Oct), 0.00 (Nov), 0.00 (Dec.)
Data available for model validation	Ground temperature (-0.05, -0.1, -0.2, -0.4, -0.5), soil moisture (-0.05, -0.1, -0.2, -0.4, -0.5), albedo, FPAR, upward short and long-wave radiation, energy and carbon fluxes
Reference	Nakai et al., 2008

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2 1) Average values extracted from 1 km grid MODIS satellite from 2001 to 2011

3 (Sasai et al., 2011)

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1 Table 3. The list of metrics for model performance evaluation for (a) energy and water budgets, (b)  
 2 snowpack, (c) phenology, (d) subsurface hydrological and thermal states, and (e) the carbon budget.

3 (a): Energy and water budget

Variable	Definition	Units	Direction (+)	Time step
Rn_season, Rn_annual	Seasonally and annually averaged net radiation	W/m <sup>2</sup>	Downward	seasonal annual
Qh_season, Qh_annual	Seasonally and annually averaged sensible heat flux	W/m <sup>2</sup>	Upward	seasonal annual
Qle_season, Qle_annual	Seasonally and annually averaged latent heat flux	W/m <sup>2</sup>	Upward	seasonal annual
ET_season, ET_annual	Seasonally and annually averaged total evapotranspiration	mm/day	Upward	seasonal annual
Qs_season, Qs_annual	Seasonally and annually averaged surface runoff	mm/day	Out of soil column	seasonal annual
Qsb_season, Qsb_annual	Seasonally and annually averaged subsurface runoff	mm/day	Out of soil column	seasonal annual
Et_veg_season, Et_veg_annual	Seasonally and annually averaged transpiration of vegetation	mm/day	Upward	seasonal annual
E_soil_season, E_soil_annual	Seasonally and annually averaged soil evaporation	mm/day	Upward	seasonal annual
Wg_frac_season, Wg_frac_annual	Seasonally and annually averaged fraction of saturation of soil water content (wilting=0, saturation=1)	-	-	seasonal annual
deltaWg_season, deltaWg_annual	Seasonally and annually averaged change of stored soil moisture	mm/day	-	seasonal annual
alpha_season, alpha_annual	Seasonally and annually averaged shortwave albedo	-	-	seasonal annual
E_can_season, E_can_annual	Seasonally and annually averaged canopy interception evaporation	mm/day	Upward	seasonal annual

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## 2 (b): Snowpack

Variable	Definition	Units	Direction (+)	Time step
SWE_max	Annual maximum snow water equivalent and the date reached	kg/m <sup>2</sup>	-	annual
Date_SWE_max		day		
SnD_max	Annual maximum snow depth and the date reached	m	-	annual
Date_SnD_max		day		
SnowDuration	Annual duration of snow cover h and the date of snow cover start/end	day	-	annual
Date_start_snow_cover				
Sub_snow_season, Sub_snow_annual	Seasonally and annually averaged total sublimation from the ground snow pack	mm/day	Upward	annual

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## 4 (c): Phenology

Variable	Definition	Units	Direction (+)	Time step
LAI_max	Annual maximum leaf area index	m <sup>2</sup> /m <sup>2</sup>	-	annual
GrowSeasonLentgh	Growing season length and the date of start/end of growing season	day	-	annual

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1 (d): Subsurface hydrological and thermal states

Variable	Definition	Units	Direction (+)	Time step
ALT or ThawDepth_max	Active layer thickness (permafrost region) or annual maximum thawing depth (seasonal frozen ground) and the date reached	m	-	annual
FrozenDepth_max	Annual maximum frozen depth and the date reached	m	-	annual
Tg_range_depth	Annual range of soil temperature in pre-defined soil layer	K	-	annual
Wg_frozfrac_max_depth	Annual maximum fraction of soil moisture mass in the solid phase in pre-defined soil layer	-	-	annual

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1 (e): Carbon budget

Variable	Definition	Units	Direction (+)	Time step
NPP_annual	Annual and growing season net primary production on land	kgC/m <sup>2</sup> /year	Downward	annual
NPP_growing		kgC/ m <sup>2</sup> /duration		growing season
GPP_annual	Annual gross primary production	kgC/m <sup>2</sup> /year	Downward	annual
GPP_growing		kgC/ m <sup>2</sup> /duration		growing season
Rh_annual	Annual heterotrophic respiration on land	kgC/m <sup>2</sup> /year	Upward	annual
Rh_growing		kgC/ m <sup>2</sup> /duration		growing season
Ra_annual	Annual autotrophic (plant) respiration on land	kgC/m <sup>2</sup> /year	Upward	annual
Ra_growing		kgC/ m <sup>2</sup> /duration		growing season
NEP_annual	Annual net ecosystem productivity (=NPP-Rh) on land	kgC/m <sup>2</sup> /year	Downward	annual
NEP_growing		kgC/ m <sup>2</sup> /duration		growing season
Re_annual	Annual and growing season ecosystem respiration (=Ra + Rh) on land	kgC/m <sup>2</sup> /year	Downward	annual
Re_growing		kgC/ m <sup>2</sup> /duration		growing season
cBiomass_annual	Stored carbon mass in biomass pool	kgC/m <sup>2</sup>	-	annual
TotCarLitSoil	Stored carbon mass in litter pool and soil	kgC/m <sup>2</sup>	-	annual
cTurnoverRate_bio mass	Turnover rate of carbon in biomass pool	1/year	-	-
cTurnoverRate_soil	Turnover rate of carbon litter pool and soil	1/year	-	-

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3

1 Figure Captions

2

3 Figure 1. “Pirates of the Arctic” sit at the Round Table

4 Figure 2. Schematic diagram for stages 1 and 2 of GTMIP

5 Figure 3. Location map of the GRENE-TEA sites

6 Figure 4. The habitat of models participating in the GTMIP. The vertical and horizontal axes  
7 show the ratio of the incorporation of biogeochemical processes and physical processes,  
8 respectively.

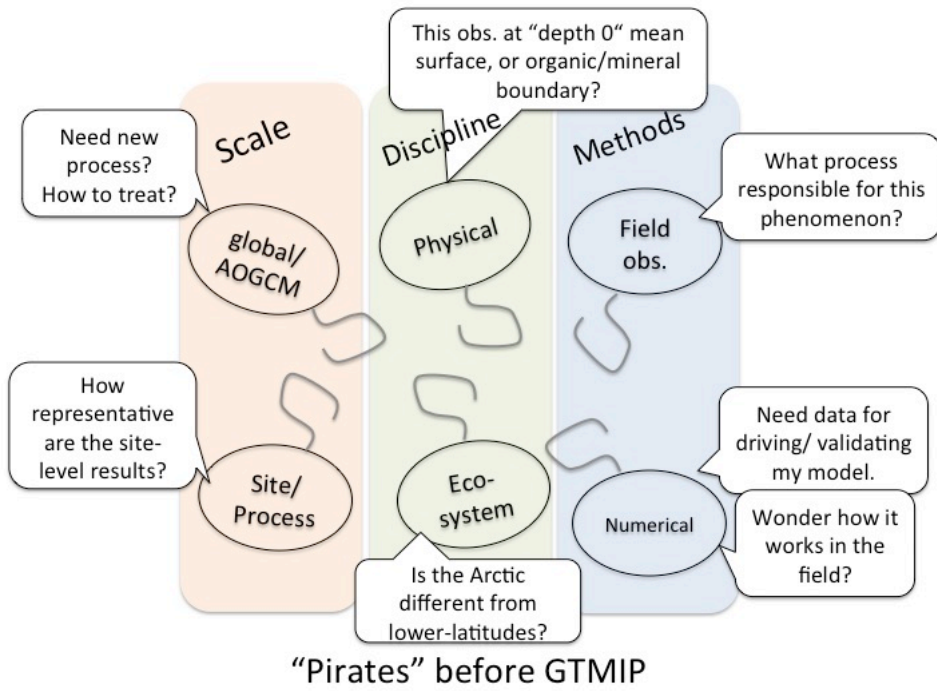
9 Figure 5. Example comparison of model outputs with observations, and the inter-model range  
10 for the annual mean latent heat flux for averages from 1980 to 2013. The results of  
11 biogeochemical and physical models are shown by boxes and lines in orange and blue,  
12 respectively. The biogeochemical models included are BEAMS, Biome-BGC, CHANGE,  
13 SEIB-DGVM, and VISIT, while the physical models are 2LM, JULES, MATSIRO, and PB-  
14 SDM. The orange and blue horizontal lines indicate medians. The bottom and top of the  
15 boxes correspond to the 25th and 75th percentiles of the average values, for 1980 to 2013  
16 (except BEMAS, which is for 2001 to 2011), of model outputs. The bottom and top of the  
17 lines show the minimum and maximum outputs from the participating models, respectively.  
18 The dots show the observed average values for 2011, 2012, and 2013 at FB and for 1998,  
19 2001, 2003, 2004, 2007, and 2008 at YK.

20 Figure 6. As for Fig. 3, except the plot displays annual maximum snow depth. The physical  
21 models include 2LM, JULES, MATSIRO, PB-SDM, SMAP, and SNOWPACK (for FB and  
22 KVTK only). The observation shows the average values for 1980–2012, 1996–2013, 1980–  
23 2008, and 1980–2008 at FB, KV, TK, and YK, respectively.

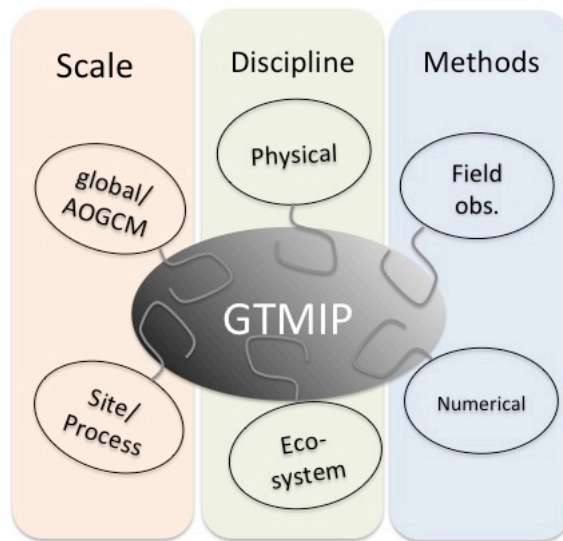
24 Figure 7. As for Fig. 3, except the plot displays annual gross primary production. The relevant  
25 biogeochemical models include BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM,  
26 STEM1, and VISIT. The observation shows the average values for 2011–2013 and 2004–  
27 2012 at FB and YK, respectively.

28 Figure 8. As for Fig. 5, except the plot displays annual net primary production.

29 Figure 9. Example of seasonal transitions in ground temperature, snow, and vegetation among  
30 models.



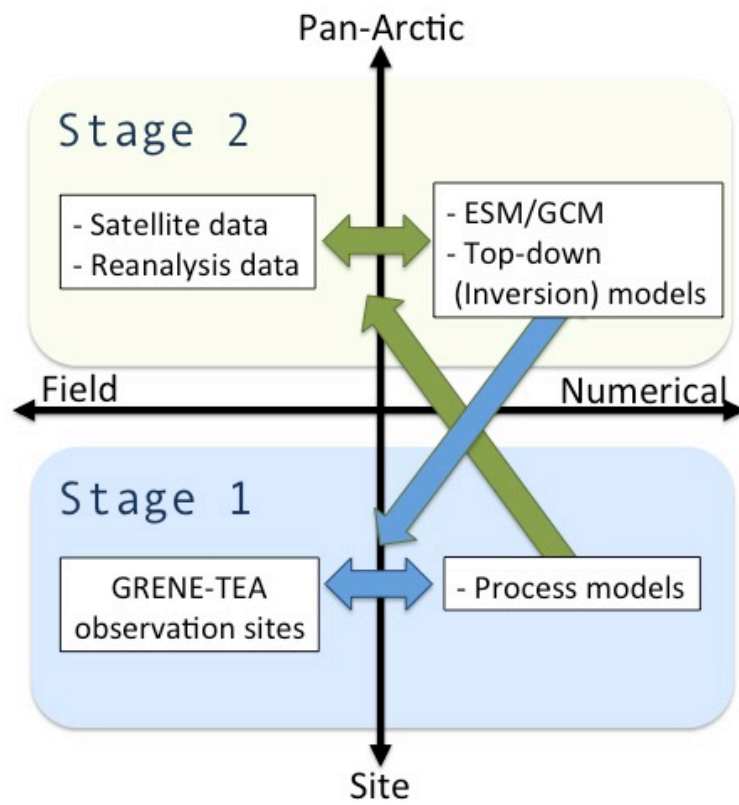
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2

3 Figure 1. “Pirates of the Arctic” sit at the Round Table

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1

2 Figure 2. Schematic diagram for stages 1 and 2 of GTMIP

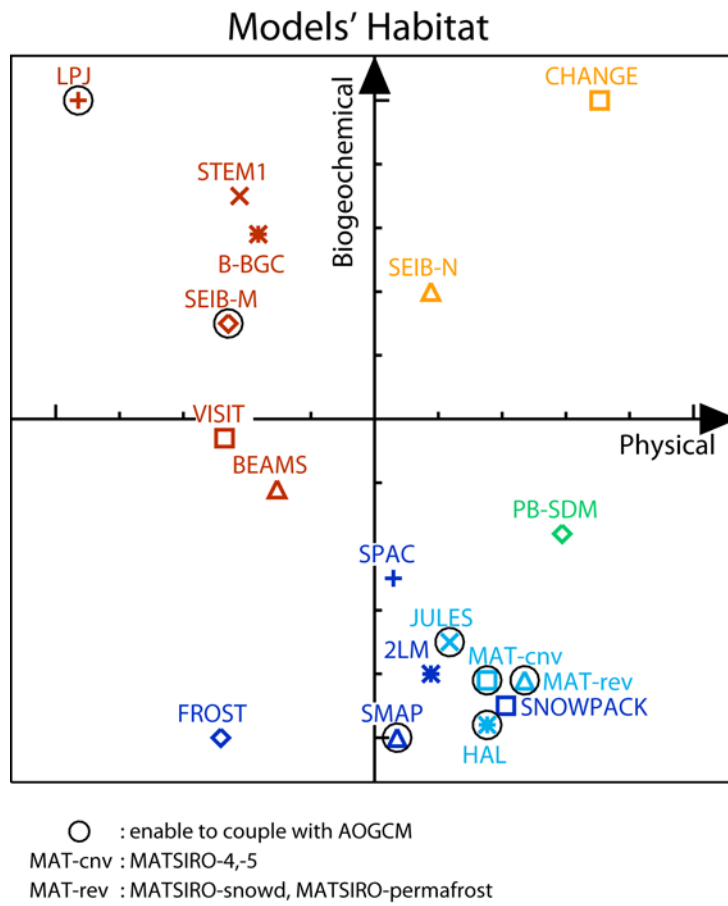
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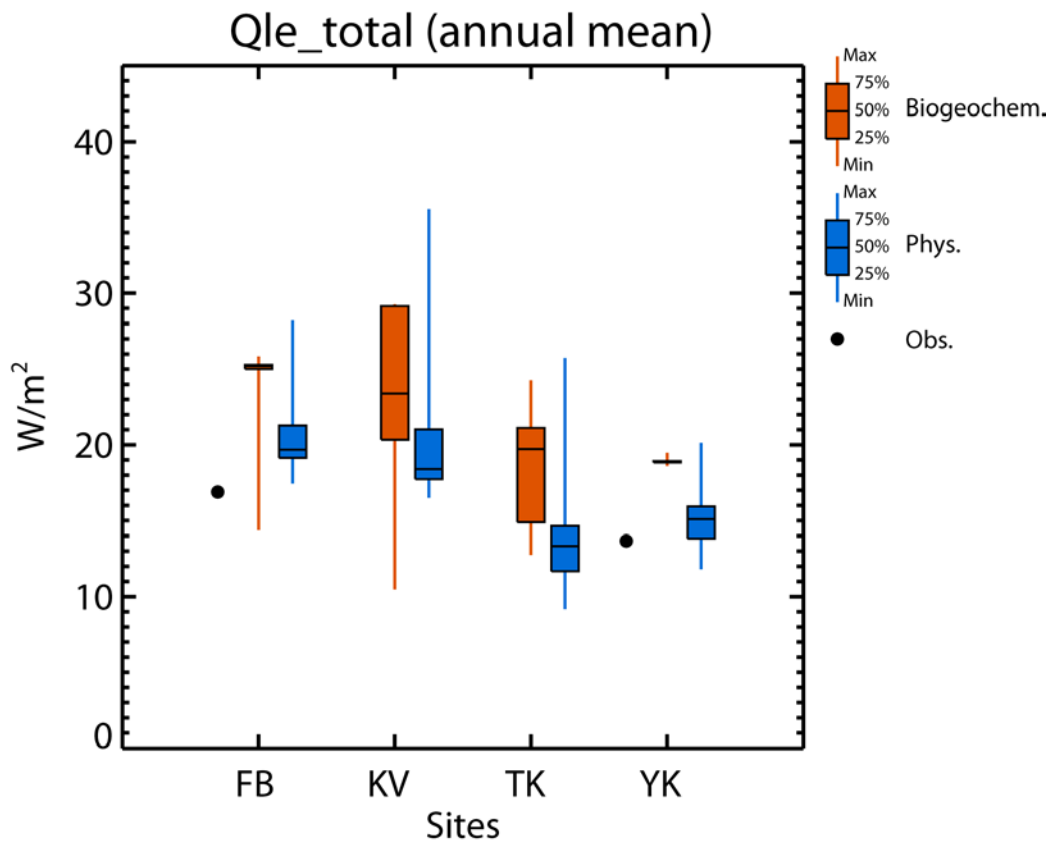
2 Figure 3. Location map of the GRENE-TEA sites

3

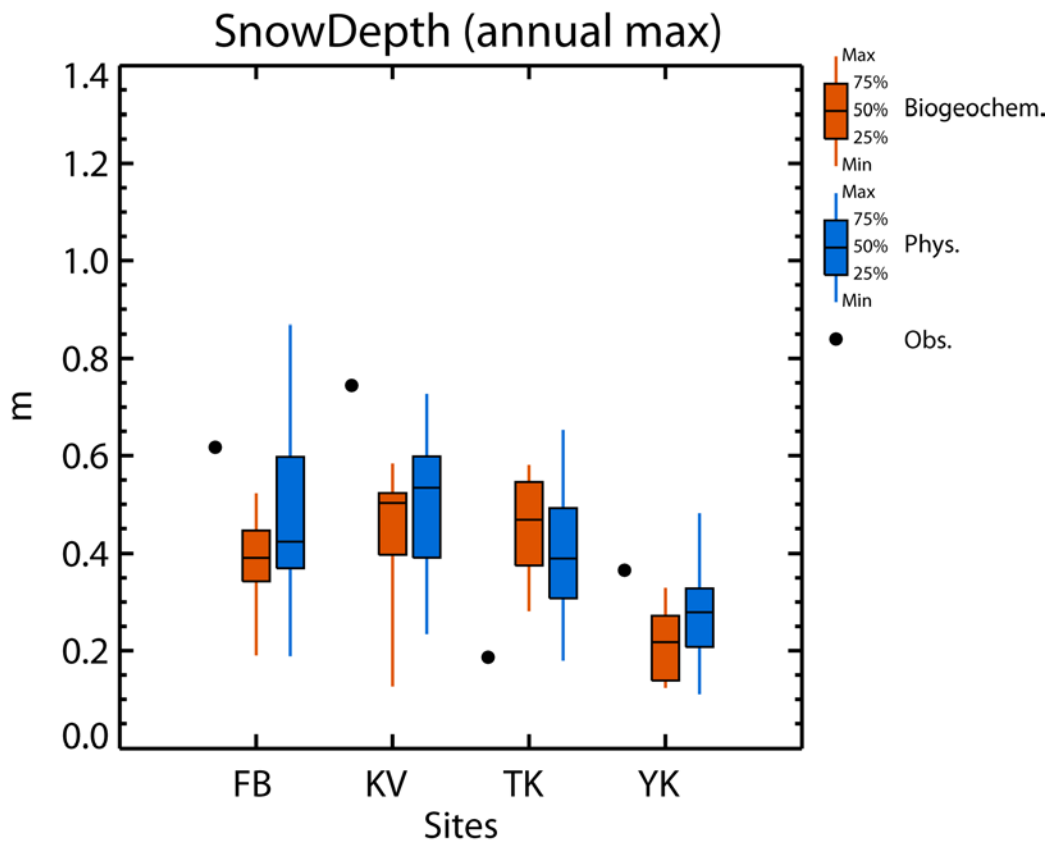


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Figure 4. The habitat of models participating in the GTMIP. The vertical and horizontal axes show the ratio of the incorporation of biogeochemical processes and physical processes, respectively.



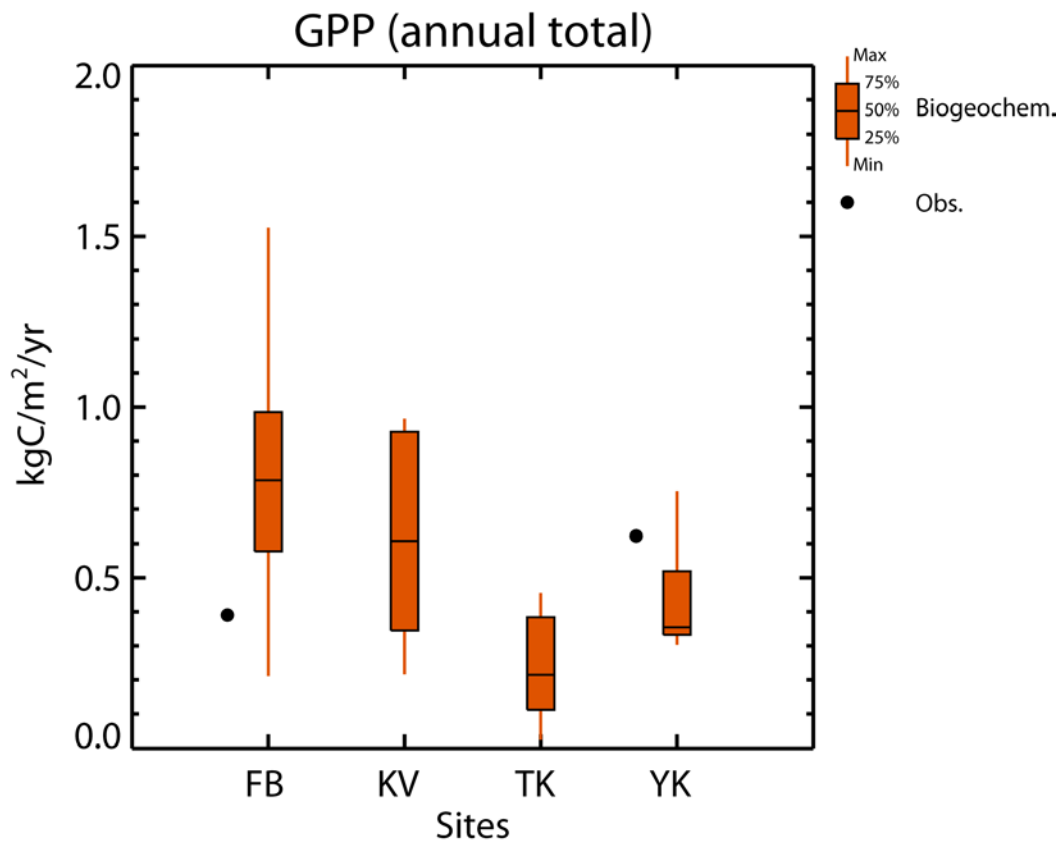
1  
2 Figure 5. Comparison of model outputs with observations, and the inter-model range for the  
3 annual mean latent heat flux for averages from 1980 to 2013. The results of biogeochemical  
4 and physical models are shown the boxes and lines in orange and blue, respectively. The  
5 biogeochemical models include BEAMS, Biome-BGC, CHANGE, SEIB-DGVM, and VISIT.  
6 The physical models include 2LM, JULES, MATSIRO, and PB-SDM. The orange and blue  
7 horizontal lines indicate medians. The bottom and top of the boxes correspond to the 25th and  
8 75th percentiles of the average values, for 1980 to 2013 (except BEMAS, which is for 2001 to  
9 2011), of model outputs. The bottom and top of the lines show the minimum and maximum  
10 outputs from the participating models, respectively. The dots show the observed average  
11 values for 2011, 2012, and 2013 at FB and for 1998, 2001, 2003, 2004, 2007, and 2008 at YK.  
12



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Figure 6. As for Fig. 3, except the plot displays annual maximum snow depth. The physical models include 2LM, JULES, MATSIRO, PB-SDM, SMAP, and SNOWPACK (for FB and KV only). The observation shows the average values for 1980–2012, 1996–2013, 1980–2008, and 1980–2008 at FB, KV, TK, and YK, respectively.

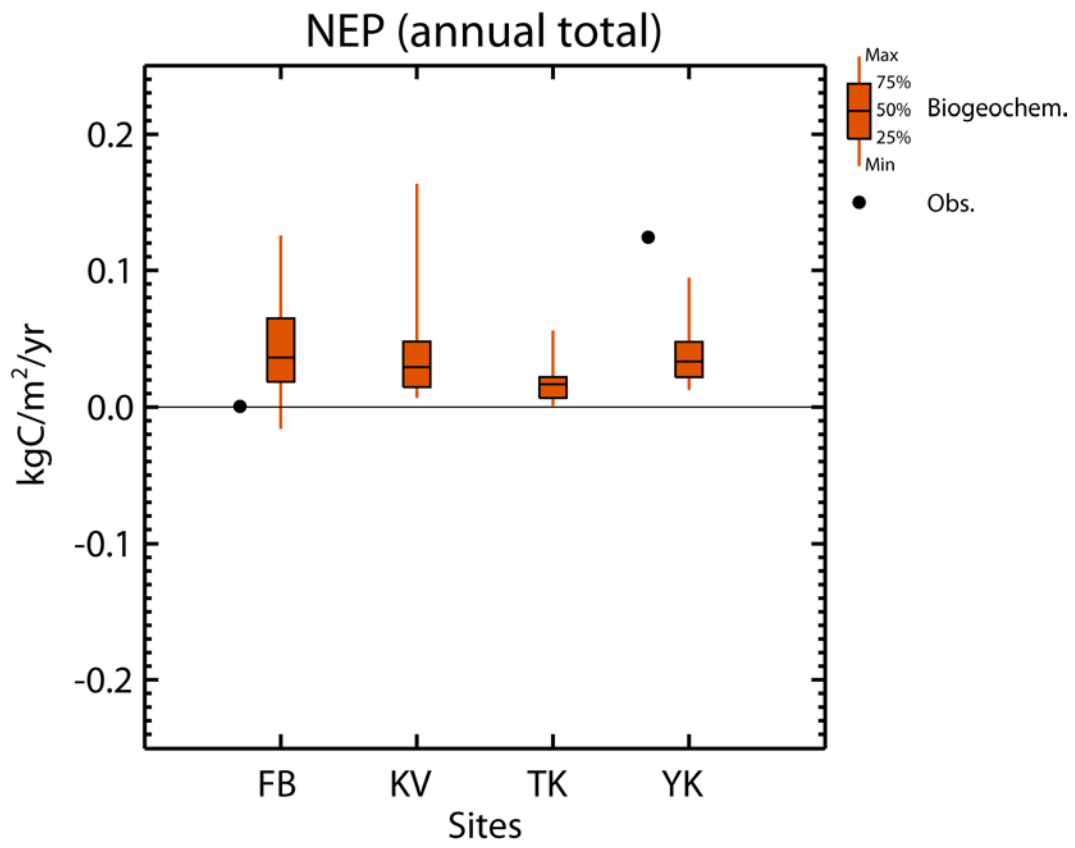




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2 Figure 7. As for Fig. 3, except the plot displays annual gross primary production. The relevant  
 3 biogeochemical models include BEAMS, Biome-BGC, CHANGE, LPJ, SEIB-DGVM,  
 4 STEM1, and VISIT. The observation shows the average values for 2011–2013 and 2004–  
 5 2012 at FB and YK, respectively.

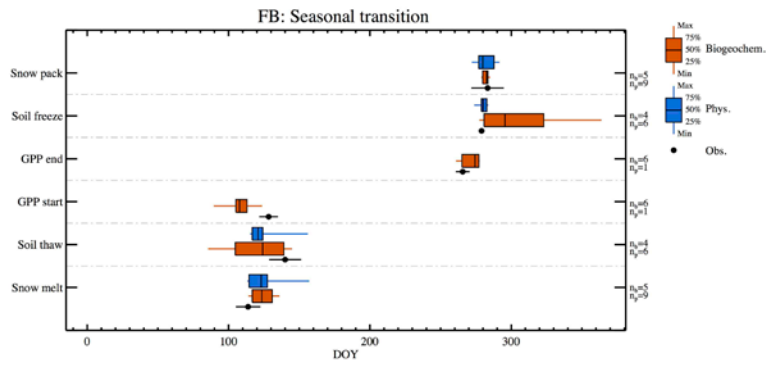
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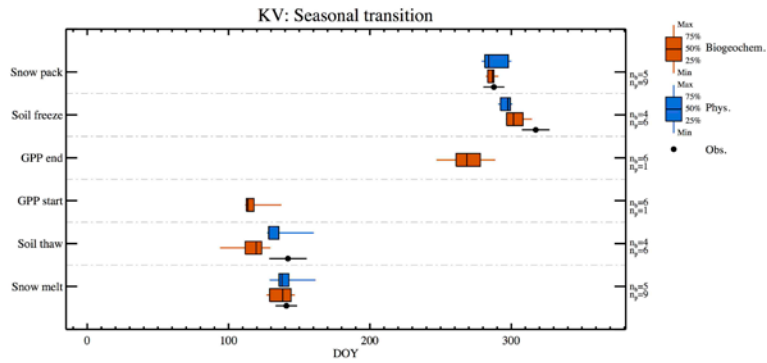
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2 Figure 8. As for Fig. 5, except the plot displays annual net primary production.

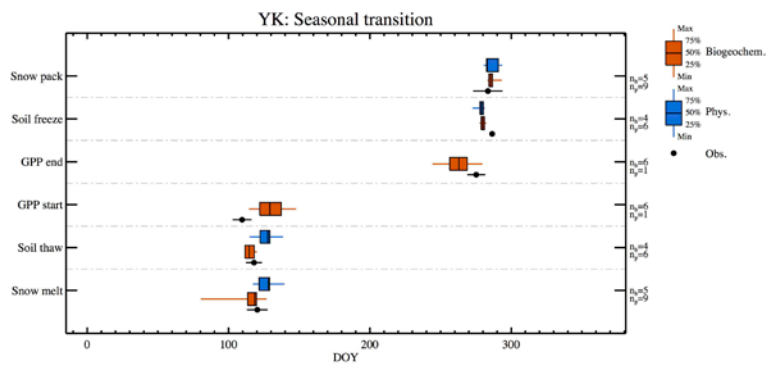
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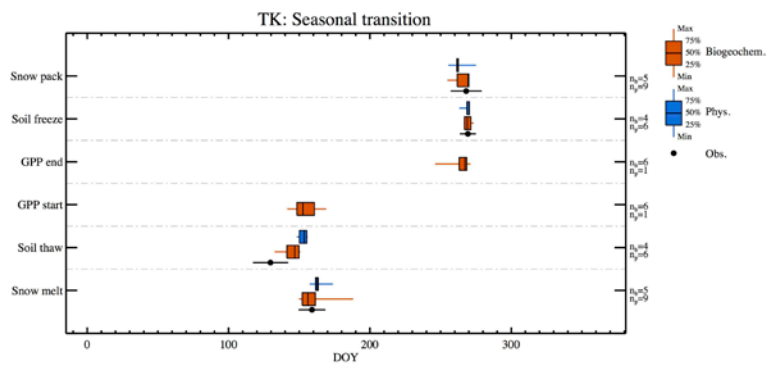
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5 Figure 9. Example of seasonal transitions in ground temperature, snow, and vegetation among  
6 models.