



**Evaluation of modeled surface ozone biases as a function of cloud cover fraction**

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# Evaluation of modeled surface ozone biases as a function of cloud cover fraction

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## Abstract

A regional air-quality forecast system's model of surface ozone variability based on cloud coverage is evaluated using satellite-observed cloud fraction (CF) information and a surface air-quality monitoring system. We compared CF and daily maximum ozone from the National Oceanic and Atmospheric Administration's National Air Quality Forecast Capability (NOAA NAQFC) with CFs from the Moderate Resolution Imaging Spectroradiometer (MODIS) and the U.S. Environmental Protection Agency's AirNow surface ozone measurements during May to October 2014. We found that observed surface ozone shows a clear (negative) correlation with the MODIS CFs, showing around 1 ppb decrease for 10 % MODIS CF change over the Contiguous United States, while the correlation of modeled surface ozone with the model CFs is much weaker, showing only -0.5 ppb per 10 % NAQFC CF change. Further, daytime CF differences between MODIS and NAQFC are correlated with modeled surface-ozone biases between AirNow and NAQFC, showing -1.05 ppb per 10 % CF change, implying that spatial- and temporal-misplacement of the modeled cloud field might have biased modeled surface ozone-level. Current NAQFC cloud fields seem to be too bright compared to MODIS cloud fields (mean NAQFC CF = 0.38 and mean MODIS CF = 0.55), contributing up to 35 % of surface-ozone bias in the current NAQFC system.

## 1 Introduction

Ground-level ozone is a secondary pollutant resulting from photochemical reactions between oxides of nitrogen ( $\text{NO}_x$ ) and volatile organic compounds (VOC) in the presence of solar radiation. While local ozone production is affected by numerous factors, including precursor emissions and meteorological conditions such as temperature and local circulation, ozone photochemistry is photon-limited, and net ozone production shows a direct relationship with changes in UV actinic flux resulting from clouds and aerosols (Dickerson et al., 1997; He and Carmichael, 1999; Jacobson, 1998). Stud-

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ies in the urban cities of Los Angeles, California (Jacobson, 1998) and Mexico City (Castro et al., 2001; Raga, Castro et al., 2001), have shown that surface ozone varies from 5 to 30 % due to light-absorbing aerosols. In Houston, Lefer et al. (2003) showed that without sufficient UV radiation, ozone production is limited regardless of local circulation patterns or emission sources. Model predictions have shown an increase in the frequency of photolysis in the troposphere over the eastern United States, leading to a 5–60 % increase in lower tropospheric ozone levels due to strongly scattering aerosols (Dickerson et al., 1997; He and Carmichael, 1999).

Since clouds play a critical role in the radiative balance of the Earth, their impact and models' capabilities to simulate clouds have been repeatedly tested from global and climate perspectives (Bergman and Salby, 1996; Eastman and Warren, 2013; Stephens, 2005). Clouds also play an important role in regional air quality, impacting both surface ozone and particulate matter by regulating photochemical reaction rates, heterogeneous chemistry, and the evolution and partitioning of particulate matter. These impacts, however, still have high measurement uncertainties and are not well quantified. While reliable estimates of photolysis rates are essential for reducing the uncertainty in air-quality modeling, most current models use highly parameterized methods to estimate photolysis rates. Pour-Biazar et al. (2007) argued that the uncertainties in estimation of cloud transmissivity and errors in the placement of clouds' location and time could be an important source of uncertainties in simulations of surface ozone, demonstrating during the Texas Air Quality Study campaign that surface-ozone modeling can be improved by adjusting photolysis rates based on the Geostationary Operational Environmental Satellite cloud product. They also stated that the cloud-prediction problem is particularly frustrating when modeling air quality in State Implementation Plans if they are not able to reproduce satellite-observed cloud fields in a model.

In order to reduce computational cost, most regional air-quality models, including the EPA Community Multi-scale Air Quality model (CMAQ), use a two-step approach for calculating photolysis rates (Byun and Schere, 2006). In preprocessing, the clear-sky photolysis rates for a range of latitudes, altitudes, and solar zenith angles are

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first computed using a radiative transfer module (Madronich, 1987). Then, within the chemical-transport model, the tabular photolysis rates are interpolated for each location and then adjusted using fractional cloud-coverage information. Since most early meteorological models did not generate the full suite of specific cloud and moisture fields required as input for the chemical-transport model, regional air-quality models were designed to diagnose some additional cloud-related fields from meteorological state variables for use in the chemical-transport model. The Meteorology-Chemistry Interface Processor (MCIP), CMAQ's preprocessor, diagnoses for each horizontal grid cell the cloud coverage, cloud base and top, and the average liquid water content in the cloud using a series of simple algorithms based on a relative-humidity threshold (Otte and Pleim, 2010). For example, in CMAQ modules the photolysis rates below clouds are calculated as:

$$J_{\text{below}} = J_{\text{clear}}[1 + f_c(1.6 \times \text{tr}_c \cos(\theta) - 1)] \quad (1)$$

where  $\text{tr}_c$  is cloud transmissivity,  $f_c$  is the cloud fraction for a grid cell, and  $\theta$  is the solar zenith angle. Cloud fractions is estimated using relative humidity (RH) and critical RH (Geleyn et al., 1982; Schumann, 1989; Wyngaard and Brost, 1984).

Although fractional cloud coverage (i.e., cloud fraction) thus plays a crucial role in determining the final values for photolysis rate, it is not a well-defined physical state variable and is mostly threshold-specific for each retrieval algorithm. One may notice that there are two possible uncertainties in modeling cloud fraction: (1) the model's capability to generate the proper amount of cloud fields, both in their displacement and timing, and (2) conceptual consistency in definitions of cloud fraction between model and observation (i.e., from satellite). In this study, we present efforts to evaluate the cloud-coverage information used in a regional air-quality model through satellite-based cloud fraction information and surface-monitored ozone observations. In the second section, we introduce the observational and modeling data used in this analysis, and results are discussed in Sect. 3. General performance of the CONUS-scale air-quality



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NAQFC system. While NAQFC has shown a tendency to overpredict MDA8 ozone (Chai et al., 2013), recent updates to model processes and emission have reduced its bias. The “CFRAC” variable from METCRO2D output files are used for cloud fraction.

*Method.* For each EPA monitoring site and the corresponding model cells, we have calculated a daily maximum of eight-hour, forward-moving, averaged concentrations. For the same locations, we also calculated daytime (~ 1.30 p.m. LT) cloud fractions from the model and from satellite data. MODIS cloud fractions are regridded into 12 km domain grid cells using a conservative regridding method (Kim et al., 2013). For consistent comparisons, only valid observational data are used, those with corresponding times and locations. We have investigated the six-month summer ozone season (May–October 2014) and results are consistent for each month.

### 3 Results and discussion

General distributions of daily and monthly daytime cloud fractions from the model and from satellite are compared. Figure 1 shows the distribution of cloud fractions retrieved from NAQFC and MODIS cloud products (MOD06 level2) for one day (2 August 2014) in the upper panels; and the figure shows a one-month average (August 2014) in the lower panels. The 2 August plot is overlaid with a NCEP surface-analysis chart to show its association with general features of the synoptic weather pattern. It is obvious that both model and satellite correctly display the general features of cloud coverage associated with the synoptic frontal activities. However, there is a serious discrepancy in their quantity; in most cases the amount of cloud fraction used in the model is smaller than the cloud fraction retrieved from the MODIS cloud product.

This discrepancy becomes even more evident from the histogram distribution. In Fig. 2, we present histogram distributions of cloud fractions from NAQFC and from MODIS during August 2014 for each 0.1 cloud-fraction bin. Occurrence frequency is shown on the  $y$  axis, so the sum of total frequency makes 100%. In the NAQFC model, lower cloud-fraction numbers are more dominant, with the highest frequency between

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0.2 and 0.3, showing very low frequency of high cloud fractions. On the other hand, the MODIS cloud fraction is quite different, showing more of a bimodal distribution. Frequencies for clear sky are similar between the model and satellite, around 12–13%, but the satellite cloud frequency is much lower in the 0.1–0.5 range and higher above 0.6. Monthly means of daytime cloud-fractions from NAQFC and MODIS are 0.38 and 0.55, respectively.

The reason for this discrepancy between the model and MODIS is not clear and requires future investigation. As mentioned previously, this might be a characteristic of the meteorological model or it could be a conceptual difference in cloud fraction between model and satellite. As cloud-fraction field is a diagnosed variable in PREMAQ, which uses a certain threshold of liquid-water content or relative humidity to model the existence of clouds, it may differ from the satellite's measurements of cloud, which uses emissivity-based cloud masking using BT and BTD from multiple channels.

Figure 3a and b shows scatter plots between MODIS cloud fractions and AirNow MDA8 ozone and between NAQFC cloud fractions and MDA8 ozone, respectively, during August 1024 across all reporting EPA AQS monitoring-sites. As one readily expects from the basic characteristics of ozone photochemistry, it is evident that cloud fraction, and the eventual flux of photons reaching the level of the surface, is a very dominant component determining ground-level ozone concentration. Scatter plots in Fig. 3a draw data from more than 1000 sites across the CONUS under a variety of meteorological conditions and precursor sources. Even with the high uncertainties here, we can see a clear separation of ground-level ozone for each cloud-fraction bin, implying that photon flux is one of the most dominant features determining tropospheric ozone photochemistry. Slope and offsets for line-fitting MODIS CF vs. AirNow MDA8 ozone are  $-11.39$  and  $49$ , respectively, implying that 10% of CF change can cause around 1.14 ppb decrease in surface ozone. On the other hand, the correlation between NAQFC CF and MDA8 ozone is slightly weaker (Fig. 3b); slope and offsets between NAQFC CF and MDA 8 ozone are  $-5.0$  and  $50.5$ , respectively, showing half as much sensitivity in surface ozone according to the NAQFC CF compared to the MODIS CF.



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Figure 3c and d is a scatter plot for CF differences (NAQFC-MODIS) and MDA8 surface ozone bias (NAQFC-AQS; left), and averaged  $O_3$  biases for each 0.1 cloud-fraction bin (right). Since the definition of cloud fraction in the model and the satellite are slightly different, we choose the term “cloud fraction difference” instead of “cloud fraction bias.” Correlation slope is  $-10.5 \text{ ppb } 100\%^{-1} \text{ CF}$ . The right-side panel shows averages of ozone biases for each 0.1 bin. The vertical bars indicate 1 SD. It is clear that where the model underestimates cloud fraction, it likely overestimates surface ozone, although there are many intricacies of tropospheric ozone chemistry involved.

*Ozone overprediction.* As already described, current NAQFC cloud fields seem to be brighter than MODIS cloud fields by 0.2. We have further estimated how this difference can affect the general performance of surface ozone forecast. Previous studies address  $O_3$  overpredictions of global and regional chemical-transport models during the summer daytime over the eastern United States (Chai et al., 2013; Eder et al., 2009; Fiore et al., 2009; Murazaki and Hess, 2006; Nolte et al., 2008; Rasmussen et al., 2012; Reidmiller et al., 2009). Studies have addressed that the vertical resolution (Murazaki and Hess, 2006), the coarse representation of emissions (Liang and Jacobson, 2000), along with uncertainty in the heterogeneous reactions of aerosols (Martin et al., 2003) contribute to the highly biased  $O_3$  of the global chemical-transport models MOZART or GEOS-Chem over the eastern United States. NAQFC also has a tendency to overestimate surface ozone during ozone season. We may estimate the amount of possible overestimation of surface ozone due to the underestimation of the cloud fraction and eventual overestimation of photolysis rate. As the mean cloud fraction of model is 0.17 higher than the cloud-fraction estimated from MODIS, by applying the  $-10.5 \text{ ppb CF}^{-1}$  estimate, we can deduce that 1.8 ppb of the surface-ozone overestimation is contributed from the underestimation of the cloud fraction. Considering current NAQFC surface-ozone overestimation is around 5 ppb for the month of August 2014, we can roughly suggest that almost 35 % of this overestimation is due to faulty estimation of the cloud field. Though this estimate is still very rough, this is



definitely something to consider carefully in order to improve the simulation of regional air quality and especially the simulation of surface ozone.

*Resolution issue.* In utilizing satellite-based cloud-fraction information, one concern is how to process data in terms of pixel resolution. As already mentioned, the cloud fraction is not a state variable; it is threshold- or retrieval-specific. For example, if we consider an area with 9 pixels with cloud fraction 0.6, fractional averaging of 9 cloud pixels should yield a 0.6 cloud fraction. However, if we first perform cloud masking for each pixel, we may have 9 cloud markings out of 9 pixels, resulting in 100% cloud fraction. This might not be a critical error on a global scale, but it is a crucial difference for regional or local scales intended for investigating the spatial scale of local ozone production. Since cloud fields are very localized phenomena, this information should be processed as finely as data are available.

To conclude, this study demonstrates that appropriate model of CF is crucial in the modeling of surface ozone chemistry. Further studies are needed in terms of the comparison of modeled- or satellite-based CF with actual surface level photon flux, as well as enhanced parameterization of CF in the air quality model.

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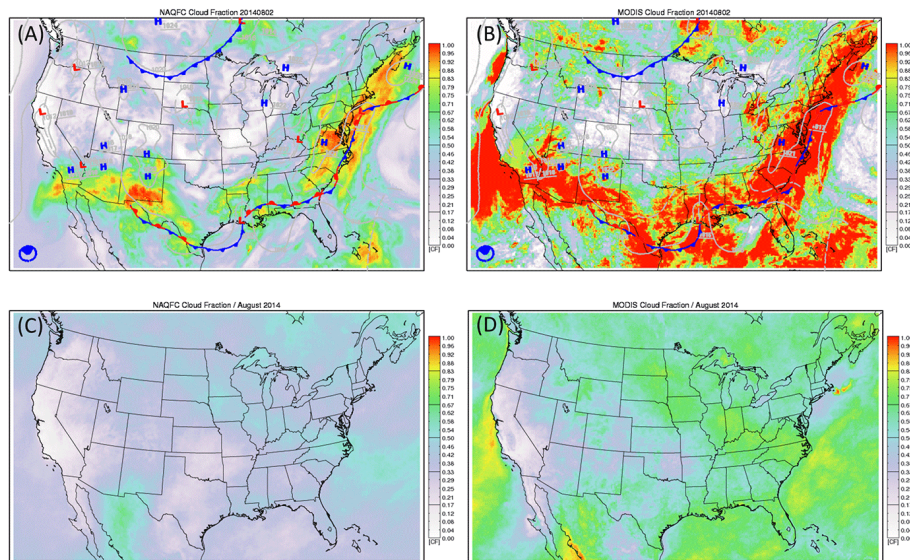
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**Figure 1.** Spatial distributions of cloud fractions on 2 August 2014 from NAQFC (a) and MODIS (b). NOAA NCEP surface weather chart at 18:00 UTC is overlaid. Monthly averaged distributions are also shown for NAQFC (c) and MODIS (d).

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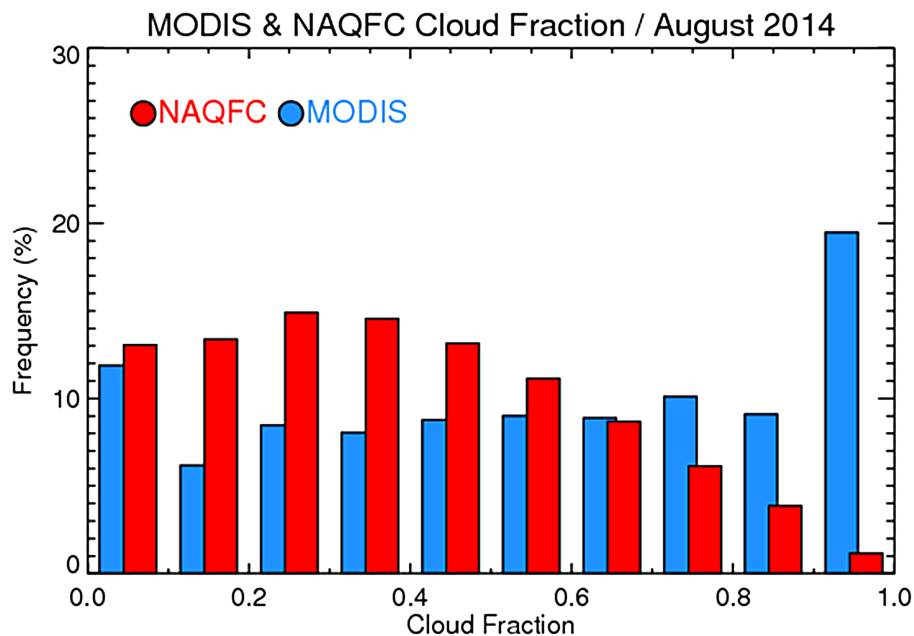
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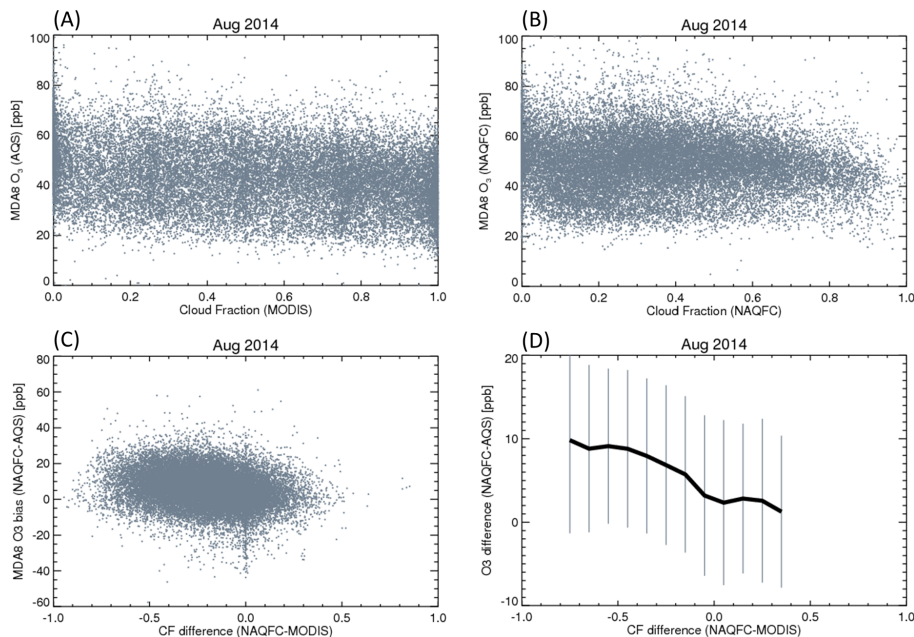


**Figure 2.** Occurrence frequency histogram for NAQFC cloud fractions (red) and MODIS cloud fractions (blue).

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**Figure 3.** Scattered plots between MODIS cloud fractions and AQS MDA8 ozone **(a)**, between NAQFC cloud fractions and MDA8 ozone **(b)**, and between cloud fraction differences (NAQFC – MODIS) and MDA8 surface ozone bias (NAQFC-AQS) **(c)** during August 2014 across 1024 AQS monitoring site locations. Averaged  $O_3$  biases for each 0.1 cloud-fraction bin with 1 SD (vertical bars) are also shown **(d)**.

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