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# **3-D** visualization of ensemble weather forecasts – Part 2: Forecasting warm conveyor belt situations for aircraft-based field campaigns

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**Abstract.** We present the application of interactive 3-D visualization of ensemble weather predictions to forecasting warm conveyor belt situations during aircraft-based atmospheric research campaigns. Motivated by forecast require- <sup>35</sup>

- <sup>5</sup> ments of the T-NAWDEX-Falcon 2012 campaign, a method to predict 3-D probabilities of the spatial occurrence of warm conveyor belts has been developed. Probabilities are derived from Lagrangian particle trajectories computed on the forecast wind fields of the ECMWF ensemble prediction system. 40
- <sup>10</sup> Integration of the method into the 3-D ensemble visualization tool Met.3D, introduced in the first part of this study, facilitates interactive visualization of WCB features and derived probabilities in the context of the ECMWF ensemble forecast. We investigate the sensitivity of the method with <sup>45</sup>
- respect to trajectory seeding and grid spacing of the forecast wind field. Furthermore, we propose a visual analysis method to quantitatively analyse the contribution of ensemble members to a probability region and, thus, to assist the forecaster in interpreting the obtained probabilities. A case study, re- 50
- visiting a forecast case from T-NAWDEX-Falcon, illustrates the practical application of Met.3D and demonstrates the use of 3-D and uncertainty visualization for weather forecasting and for planning flight routes in the medium forecast range (three to seven days before take-off).

# 25 1 Introduction

Weather forecasting during aircraft-based field campaigns requires the meteorologist to explore large amounts of numerical weather prediction (NWP) data in a short period of time. Atmospheric features relevant to a research flight have to be

identified quickly, and findings have to be communicated to colleagues. Furthermore, assessing the forecast's uncertainty has become indispensable as flights frequently have to be planned several days before take-off.

A challenging element in forecasting methodology is to create clear and intuitive visualizations that allow the meteorologist to perform these tasks in a timely manner. To advance forecasting techniques for research flight planning, this work presents a new approach using interactive threedimensional (3-D) visualization of ensemble weather predictions (the latter a major source of information on forecast uncertainty, Gneiting and Raftery, 2005; Leutbecher and Palmer, 2008) to forecast warm conveyor belt (WCB) situations.

The article is the second part of a two-paper study. The first part (Rautenhaus et al., 2015, hereafter "Part 1") introduces Met.3D, a tool providing interactive 3-D techniques for the visual exploration of ensemble weather prediction data. This article focuses on the specific application case of forecasting WCBs: strong, ascending, and often rain producing airstreams associated with extratropical cyclones. The term "WCB" was introduced by Harrold (1973) and Browning (1971) and consolidated in a conceptual airstream model for extratropical cyclones (also including the cold conveyor belt and the dry airstream) by Carlson (1980). Example references for WCBs include Browning (1990) for an overview, Eckhardt et al. (2004) and Madonna et al. (2014) for a climatology, and Browning (1986) and Pfahl et al. (2014) for relevance in large-scale precipitation. WCBs are an atmospheric feature that has been in the focus of several aircraft-based campaigns (e.g. Pomroy and Thorpe, 2000; Vaughan et al., 2003; Schäfler et al., 2014; Vaughan et al., 2015).

A recent campaign that targeted WCBs is T-NAWDEX-Falcon 2012 (THORPEX – North Atlantic Waveguide and Downstream Impact Experiment, hereafter TNF), which took place in October 2012 in southern Germany. Schäfler et al. (2014) describe the TNF flight planning process. WCBs (as well as other atmospheric features targeted by research flights) are of an inherently three-dimensional nature. How- <sup>120</sup> ever, although the atmosphere is three-dimensional, the fore-

- <sup>70</sup> casting and flight-planning tools employed during TNF relied on two-dimensional (2-D) visualization methods. This is a common property not only of campaign tools (Flatøy et al., 2000; Blakeslee et al., 2007; He et al., 2010; Rautenhaus et al., 2012) but also of meteorological workstations in
- <sup>75</sup> general (e.g. Heizenrieder and Haucke, 2009; Russell et al., 2010). 3-D visualization methods are not commonly used in forecasting. While 3-D techniques have been used in research settings as early as in the 1980s (e.g. Grotjahn and Chervin, <sup>130</sup> 1984; Hibbard, 1986; Hibbard et al., 1989; Wilhelmson et al.,
- 1990) and continue to be used in recent visualization tools (e.g. Hibbard, 2005; Norton and Clyne, 2012; Dyer and Amburn, 2010; Murray and McWhirter, 2007), only few reports on approaches using 3-D techniques for forecasting have 135 been published in the past two decades (Treinish and Roth-
- <sup>85</sup> fusz, 1997; Koppert et al., 1998; McCaslin et al., 2000). Part 1, Sect. 2, provides further details on the listed references and on 3-D visualization in meteorology.

Similarly, while the use of ensemble predictions has 140 been reported for recent field campaigns (e.g. Wulfmeyer

- 90 et al., 2008; Elsberry and Harr, 2008; Ducrocq et al., 2014; Vaughan et al., 2015), they have, to the best of our knowledge, not been used to create specific 3-D forecast products for flight planning. However, in particular the possibility to 145 use ensembles to compute 3-D probability fields of the oc-
- <sup>95</sup> currence of features or events is valuable for flight planning. For the WCB case, a probability of WCB occurrence can be used to plan flight routes in regions in which the probability to encounter a WCB is at a maximum.

The work presented in this article is motivated by the questions of (1) how interactive 3-D visualization can be used to improve the exploration of 3-D features of interest to a flight campaign, and (2) how ensemble forecasts (in particular derived probabilities) can be used to improve research flight 155 planning in the medium forecast range (that is, three to seven days before take-off). Our developments have been guided by a number of forecast questions that reflect the TNF require-

- ments. They are repeated here from Part 1 for completeness:
  A: How will the large scale weather situation develop <sup>160</sup> over the next week, and will conditions occur that favour WCB formation?
  - B: How uncertain are the weather predictions?
  - C: Where and when, in the medium forecast range and within the spatial range of the aircraft, is a WCB most likely to occur?
- D: How meaningful is the forecast of WCB occurrence?
  - E: Where will the WCB be located relative to cyclonic and dynamic features?

The technical basis for questions A and B is laid in Part 1. This article addresses questions C to E and presents a case study that demonstrates how the methods developed in both papers are applied to forecasting.

The paper is structured as follows. In Sect. 2, we propose a technique to compute 3-D probabilities of WCB occurrence. Our approach is put into relation to previous work in the field, and its integration into the Met.3D architecture is described. During TNF, we followed the approach of Wernli and Davies (1997) and used Lagrangian particle trajectories computed on the forecast wind field to objectively detect WCB airstreams. Using wind forecasts from the European Centre for Medium Range Weather Forecasts (ECMWF) Ensemble Prediction System (ENS; comprising 50 perturbed forecast runs and an unperturbed control run; e.g. Buizza et al., 2006), trajectories were started from the atmospheric boundary layer (ABL) for each ensemble member. Those trajectories fulfilling a WCB criterion were gridded into 2-D grids and displayed as probability maps showing the occurrence of either or all of WCB inflow, ascent, and outflow. However, generalising this approach to three dimensions poses challenges, as discussed in Sect. 2. We present an adapted approach using domain-filling trajectories, which is more accurate, albeit computationally more expensive. In order to find the best method that is still computationally tractable in a forecast setting, both approaches are compared in Sect. 3. We analyse their sensitivity to the grid spacing of the forecast wind fields and to the number and locations of the trajectory seeding points.

To facilitate quantitative interpretation of the obtained probabilities, we further propose a visual analysis method for cases in which only low probabilities of the occurrence of WCBs are encountered (Sect. 4). In such cases a flight often might not be planned due to the interpreted high uncertainty. However, low probability can have two causes. Either indeed only a small percentage of the ensemble members predict a WCB feature, or large spatial variation of the features in the individual ensemble members causes only marginal overlap and thus low probabilities. In the latter case the probability that a WCB will occur is actually much larger than suggested by the visualized probabilities. However, there is a large uncertainty in where it will occur. To help the user distinguish between these causes, we propose a method that identifies the contribution of individual members to a probability region.

After the introduction of all methods that are required to explore a forecast to answer forecast questions A to E, Sect. 5 revisits the TNF forecast case of 19 October 2012. The case study shows how the proposed 3-D ensemble visualization workflow is applied to campaign forecasting, and illustrates the use and added value of the presented methods.

The paper is concluded with a summary and discussion in Sect. 6.

#### **2 Probability of warm conveyor belt occurrence**

WCBs are Lagrangian airstreams in extratropical cyclones (e.g. Harrold, 1973; Carlson, 1980; Browning, 1990). They <sup>225</sup> transport warm and moist air from the ABL in a cyclone's warm sector upward and poleward towards the tropopause.

- <sup>175</sup> The inflow region in the lower troposphere typically extends over several hundred kilometres in diameter. WCB airmasses commonly ascend by about 500 to 600 hPa in 48 h, thereby <sup>230</sup> covering horizontal distances of up to 2000 km (e.g. Wernli and Davies, 1997; Eckhardt et al., 2004). Due to the strong
- ascent, condensation leads to strong latent heat release and the formation of clouds and precipitation (e.g. Browning, 1986). Therefore, WCBs are highly relevant for precipitation 235 extremes in the extratropics (e.g. Pfahl et al., 2014). Once the airmasses reach jet level, an outflow region forms near the
- <sup>185</sup> tropopause. This region is characterised by cirrus clouds that extend over several thousand kilometres along the jet stream. Readers interested in further detail are referred to Madonna <sup>240</sup> et al. (2014), who give a comprehensive introduction to the field.
- <sup>190</sup> To plan a flight that allows aircraft measurements within a WCB, we are interested in the spatial and temporal distribution of WCB features in the ensemble forecast. As a sum-<sup>245</sup> mary measure of the uncertainty information, the probability of WCB occurrence, p(WCB), is of particular interest. It
- provides for a given location in 3-D space at a given time the probability of encountering a WCB airmass. To compute p(WCB) from an ensemble weather forecast, we first need to 250 detect WCB features in the individual ensemble members.

# 2.1 WCB detection based on objectively selected Lagrangian particle trajectories

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In early studies of, for instance, Harrold (1973), Carlson (1980) and Browning (1986), conveyor belt airstreams have been identified by manual inspection of satellite imagery or by isentropic analysis. Subsequent studies have used La-<sup>205</sup> grangian particle trajectories computed with wind fields from <sup>260</sup> numerical model output to investigate case studies of extratropical cyclones. For example, Whitaker et al. (1988) and Hibbard et al. (1989) show the existence of three distinct airstreams in a modelling case study of the 1979 "President's

<sup>210</sup> Day storm" and relate the airstreams to the conceptual model <sup>265</sup> by Carlson (1980). Further case studies, including Kuo et al. (1992), Schultz and Mass (1993), Mass and Schultz (1993), and Reed et al. (1994), also interpret computed trajectories in consideration of the Carlson (1980) model, however, note that the model is a straight to be the carlson (1980) model, however, note that the straight to be the carlson (1980) model.

that they are able to identify rather a continuum of flow paths <sup>270</sup> than discrete airstreams.

In more recent studies (see discussion below), Lagrangian particle trajectories are frequently used to *objectively* detect WCB structures in numerical model output. For our work,

we are interested in the specific ways trajectories are used 275 in the literature to detect WCBs. In particular, this includes the employed objective detection criteria and the spatial and temporal spacing of the trajectories as well as the employed wind fields.

Wernli and Davies (1997) introduce objective criteria to extract what they call "coherent ensembles of trajectories" (CET, a bundle of trajectories started at different locations; not to be confused with the meaning of "ensemble" in "ensemble forecasts") from a set of trajectories covering the entire domain of interest. They use wind fields from the ECMWF global atmospheric model, interpolated (from a spectral truncation of T213) to a regular latitude/longitude grid of  $0.75^{\circ} \times 0.75^{\circ}$  with 31 levels in the vertical and a sixhour time interval. Trajectories are started on every model grid point below 800 hPa (approx. 7 levels). Wernli and Davies show that nearly identical CETs are obtained by selecting trajectories that experience either a moisture decrease of  $12 \,\mathrm{g \, kg^{-1}}$  in 48 h or an ascent of more than  $620 \,\mathrm{hPa}$ in 48 h. The approach allows to focus on the dynamically most relevant cores of an extratropical cyclone's airstreams. In a subsequent article, Wernli (1997) applies the suggested method to the case study of Browning and Roberts (1994) and relates the obtained CETs to the WCB model. Unlike the analysis of a continuum of airstreams in a cyclone this method selects the strongest ascending airmasses within the WCB.

Stohl (2001) and Eckhardt et al. (2004) compute climatologies of WCBs. Stohl (2001) seeds the trajectories on a  $1^{\circ} \times 1^{\circ}$  grid in the horizontal and on two vertical levels at 500 and 1500 m a.s.l. (above sea level). He notes that the results of his climatology are sensitive to the WCB selection criterion, and settles for the – as he writes – "somewhat arbitrary" criterion of 8000 m in 48 h (the approximate time scale at which air flows through a single synoptic system). Similarly, Eckhardt et al. (2004) start trajectories on a  $1^{\circ} \times 1^{\circ}$  grid at 500 m a.s.l. They note that "any criterion used for an automatic classification of WCBs is necessarily subjective". In their work, trajectories travelling more than 10° eastward and 5° northward and ascending more than 60% of the average tropopause height within 48 h are classified as WCB trajectories.

A number of studies use the trajectory model LA-GRANTO (Sprenger and Wernli, 2015), originally introduced by Wernli and Davies (1997). Spichtinger et al. (2005) analyse ice supersaturation in the vicinity of a WCB's outflow region, Grams et al. (2011) present a case study of an extratropical transition. Schäfler et al. (2011) analyse aircraft measurements and Madonna et al. (2014) present a climatology of WCBs. All four studies settle for a criterion of an ascent of more than 600 hPa in 48 h to select WCB trajectories. In terms of seeding, Schäfler et al. (2011) start their trajectories on every model grid point between the surface and 850 hPa of the deterministic ECMWF T799L91 forecast (spectral truncation of T799, with 91 vertical levels), interpolated to a regular latitude/longitude grid of  $0.25^{\circ} \times 0.25^{\circ}$ , and using the approximately 17 lowest levels. Madonna et al.

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(2014) seed their trajectories at 80 km distance in the horizontal and at 20 hPa vertical distance on levels between 1050 and 790 hPa. Their wind field is available at  $1^{\circ} \times 1^{\circ}$  grid 330 spacing.

During TNF (Schäfler et al., 2014), LAGRANTO has been used with wind fields from the ECMWF ensemble forecast covering the North Atlantic and Europe. To keep the computational demand tractable for the operational forecast setting, the available ENS spectral resolution of T639 was inter-

- ting, the available ENS spectral resolution of T639 was inter- $_{33}$  polated to  $1^{\circ} \times 1^{\circ}$  in latitude and longitude. In the vertical, all available 62 levels were used. A six-hour time step was used. Trajectories were started for each member at  $1^{\circ}$  horizontal spacing at five levels constant in pressure between
- $_{290}$  1000 and 800 hPa. The selection criterion was set to an as-  $_{340}$  cent of 500 hPa in 48 h.

In summary, the reviewed studies have all restricted trajectory seeding to lower atmospheric levels. The horizontal distance between start points mostly corresponds to the grid

spacing of the driving wind fields. While the exact selection 345 criterion for WCB trajectories varies, all studies use a criterion that filters trajectories according to a given ascent in a two day period.

#### **2.2** Computation of p(WCB)

- We follow the approach of Wernli and Davies (1997) and detect WCB features by selecting Lagrangian particle trajectories according to a given ascent  $\Delta p$  in a given time period  $\Delta t$ . Trajectories are computed with LAGRANTO. We use the same ECMWF ENS wind fields described in detail in Part 1,
- Sect. 4.1. From the available spectral truncation of T639, the wind forecasts are horizontally interpolated by the ECMWF Meteorological Archive and Retrieval System (MARS) to a regular latitude/longitude grid of  $1^{\circ} \times 1^{\circ}$  (the same data used during TNF) and (additionally)  $0.25^{\circ} \times 0.25^{\circ}$ . In the
- vertical, the ECMWF model uses hybrid sigma-pressure coordinates (Untch and Hortal, 2004, also cf. Fig. 9 in Part 1), of which all available 62 model levels are used.

Once trajectories have been computed and selected, a gridded field of p(WCB) can be derived by relating each ensemble member's trajectories to a binary grid, and by computing for each grid point the relative number of members that predict a WCB feature at that grid point. In a more formal way, the method to compute p(WCB) at time t can be summarised as follows:

- 1. For every ensemble member m and every available forecast time step  $t_0 \in (t - 48 \text{ h...}t)$ , integrate 3-D Lagrangian particle trajectories, started at a fixed set of seeding points, from  $t_0$  forward in time for  $\Delta t = 48 \text{ h.}$ 
  - 2. Select those trajectories that fulfil a specified WCB criterion (e.g. an ascent of  $\Delta p = 600$  hPa in  $\Delta t = 48$  h).
    - 3. For each member m, create a 3-D binary grid  $B^m$  that  $_{380}$  for every grid point with indices  $k, j, i, B^m_{kji}$ , contains

a set bit  $(B_{kji}^m = 1)$  if the grid point is located "inside" a WCB airmass at time t, where "inside" needs to be determined from the trajectory positions at t.

4. For each grid point compute the probability of WCB occurrence by counting the number of members with a set bit for the point:  $p(WCB)_{kji} = 1/M \sum_m B_{kji}^m$ , where *M* denotes the number of ensemble members.

For trajectories seeded approximately in the **a**tmospheric **b**oundary layer, we call this method an *ABL-T method*. Note that the grid topology of B needs to be identical for each member in order to avoid errors due to variations in grid point positions, as is the case for probabilities derived from ECMWF NWP output (cf. Sect. 5 in Part 1).

The method poses several challenges. With respect to step (1.), trajectory seeding needs to be sufficiently dense to spatially sample the WCB features. The literature reviewed in Sect. 2.1 indicates that grid spacings of 1° or less should be sufficient. For step (2.), the WCB criterion must be carefully chosen, as the ascent that a trajectory experiences may depend on factors including seasonal variability or the horizontal and vertical grid spacing of the employed wind forecasts. Also, interactivity must be considered to enable a user to change  $\Delta p$  and  $\Delta t$  during forecasting to judge the sensitivity of p(WCB) on these parameters. Third, we need to find a suitable gridding strategy that determines in step (3.)whether a grid point is located inside a WCB airmass. The simplest approach is to extract, for each member, the particle positions of all WCB trajectories at time t, and to compute for each particle the grid cell  $B_{kii}^m$  in which it is contained.

During TNF, this simple approach was applied in 2-D to compute p(WCB) for total grid columns, as well as for three vertical intervals to distinguish inflow, ascent, and outflow. In the horizontal, a regular grid with a spacing of  $1^{\circ} \times 1^{\circ}$  in latitude and longitude was used. However, with this approach no physical assumptions are made about the air volume represented by each particle. The result is sensitive to both trajectory seeding points and grid topology of B, and the positions of the WCB particles are only captured with an accuracy on the order of the grid spacing of B. Also, due to the changing area of the grid cells with latitude, the result is biased towards lower probabilities close to the poles. Examples of the resulting total column p(WCB) field are shown in Fig. 1 and can also been found in Schäfler et al. (2014, their Fig. 3). Due to the described issues, the results should only be interpreted in a qualitative manner.

In 3-D, more complexity is added as the vertical extent of the grid cells also has to be taken into account. To eliminate bias and sensitivity, one possibility is to assume an air parcel mass and geometry for the trajectory particles, as illustrated in Fig. 2a. In the example, the particle is associated with a spherical air parcel. Given the required thermodynamic variables at the particle position at start time  $t_0$  and gridding time t, the volume and thus radius of the parcel at t can be computed and the overlapping grid points found. However, due to the large difference in vertical and horizon- $_{435}$  tal scale of our grids (on the order of 100 km in the horizontal and 100 m in the vertical), the usage of spherical ge-

- ometry requires the computation of a very large number of trajectories. Yet, geometry that reflects the different scales (for example ellipses, cylinders or simple rectangular boxes) 440 is difficult to motivate physically. Also, usage of large air parcels neglects potential deformation of the parcels by the
  wind field.
  - An approach not requiring any such assumptions is to use **d**omain-filling trajectories (in the following referred to as <sup>445</sup> *DF-T method*). Here, we first specify the grid topology for *B*. Next, as illustrated in Figs. 2b, c and 3, for every mem-
- <sup>395</sup> ber and each grid point  $B_{kji}^m$ , a trajectory starting on  $B_{kji}^m$ is computed. This way, we can be certain that each  $B_{kji}^m$  is placed exactly on a trajectory and no assumptions about the <sup>450</sup> shape of the particle volume need to be made. After applying a WCB selection criterion to the trajectories, the bits of
- the grid points from which WCB trajectories were started are set. However, the approach requires increased computational resources. Seeding points are now required on all tropospheric layers and hence a larger number of trajectories is required. Also, trajectories additionally have to be computed
- <sup>405</sup> backward in time to also capture those situations in which a WCB trajectory passes its seeding point in the ascent or outflow phase. Step (1.) in the method description above is hence extended to also integrate the trajectories backward in time for  $\Delta t$  hours from time t. <sup>460</sup>
- <sup>410</sup> As an example, Fig. 3 shows results of selecting domainfilling trajectories that ascend more than 500 hPa in 48 h (Fig. 3a–c) and more than 600 hPa in 48 h (Fig. 3d–f). Note how the 30% isosurface of p(WCB) over the English Channel almost vanishes with 600 hPa filtering (Fig. 3f).
- In Sect. 3, we compare four DF-T and ABL-T setups with varying grid topology with respect to obtained p(WCB) and to computational demand. The comparison allows to find a setup well suited for usage in campaign forecasting.

### 2.3 Implementation

- <sup>420</sup> Trajectories computed with LAGRANTO are stored in <sup>47</sup> NetCDF files. Trajectory selection and the computation of p(WCB) take place in Met.3D and have been implemented in a number of modules in the Met.3D data processing pipeline described in Part 1, Sect. 4.2. Analogous to Fig. 10 in Part 1,
- Figure 4 shows an example setup. Separate pipeline modules are responsible for reading trajectory data from disk, filter-475 ing the data according to the selection criterion, gridding and probability computation. This architecture allows modules to be exchanged when, for example, data from a different tra-430 jectory model should be read or a different selection criterion
- should be applied.

Hardware permitting, parts of the pipeline (for example, trajectory selection) can be executed in parallel. Intermedi- 480 ate results in the pipeline are cached by a memory manager.

Both parallel execution and caching increase the interactivity of the system with respect to changing the selection parameters  $\Delta p$  and  $\Delta t$ . For further details on the Met.3D pipeline architecture, we refer the reader to Part 1, Sect. 4.2.

To select trajectories according to the ascent criterion, the maximum pressure change occurring within a trajectory over the time interval  $\Delta t$  is required. For the grid spacings used here, the data volume of the trajectories of all members amounts to multiple GB per timestep if stored in binary NetCDF format (approximately 2.4 GB for 1° horizontal grid spacing if a vertical region of interest of 52 levels is selected, and approximately 38 GB if the horizontal grid spacing is decreased to 0.25°). Reading the data from disk and performing the selection can hence be slow. We thus make use of the fact that the only information required to compute the probabilities is whether the trajectory started from a grid point fulfils the selection criterion. The data volume that needs to be loaded can be largely reduced by precomputing the maximum pressure change  $\Delta p$  for a range of time intervals  $\Delta t$ . Now, for a given  $\Delta t$ , only the maximum  $\Delta p$  for each trajectory (= grid point) needs to be read. The selection process is reduced to comparing each trajectory's  $\Delta p$  to the given threshold value. This way, we are able to provide an interactively adjustable selection criterion to the user.

# 3 Choice of p(WCB) method and grid spacing for forecasting

To use a p(WCB) method for forecasting during a campaign, a number of criteria need to be fulfilled:

- a. The trajectories need to be computed in a short period of time (for our application this is preferably less than one hour), so that results are available soon after the forecast wind fields become available from ECMWF (with "available" we mean that the retrieval of the forecast data from MARS, yielding the interpolated wind fields described in Part 1, Sect. 4.1, has finished),
- b. the amount of trajectory data needs to be small enough to be handled interactively in Met.3D,
- c. the grid spacing needs to be fine enough to capture the important features that are present in a "best possible" forecast.

# 3.1 Evaluated setups

We evaluate four different setups with respect to the given criteria:

S1. As the "best possible" p(WCB) forecast, we use a DF-T setup with trajectories computed on the ECMWF ENS wind fields at the highest available grid spacing (T639L62 spectral resolution, horizontally interpolated by MARS to a regular grid of  $0.25^{\circ} \times 0.25^{\circ}$  in lati- 535 m the tude and longitude, with 62 hybrid sigma-pressure levels in the vertical). Care must be taken with respect to the choice of the  $B^m$  and p(WCB) grids. A straightforward choice is to use the ECMWF grid on which the wind fields are available. However, the vertical possition of the grid points on all but the uppermost hybrid sigma-pressure levels depends on the surface pressure field (Untch and Hortal, 2004, also cf. Part 1, Sect. 4.1), which varies between ensemble members and time steps. Hence, if for a given time step the individual 545

members' wind grids are used for the  $B^m$ , the problem described in Part 1, Sect. 5, arises: the grid points are located at different vertical positions across the ensemble, and hence an error is introduced when computing the probability. To avoid this problem while staying as close <sup>550</sup> as possible to the ECMWF grid, we use the grid defined by the time step's ensemble minimum surface pressure for the  $B^m$  of all members. The minimum surface pressure is chosen to ensure that all grid points are located above the surface (if the mean surface pressure is used, grid points in the lowest levels can be located below the surface in some members). We focus on a vertical region <sup>555</sup> of interest of up to approximately 100 hPa and for the  $B^m$  and p(WCB) grids discard the model levels above this elevation (retaining the lower 52 levels).

S2. The same setup as (S1.), but with horizontal wind field, B, and p(WCB) grid spacing reduced to  $1^{\circ} \times 1^{\circ}$ . As in (S1.), the lower 52 vertical levels are used for B and p(WCB).

S3. The same setup as (S2.), but with *B* and p(WCB) grids defined by a constant surface pressure of 1000 hPa, not by the ensemble minimum surface pressure. The wind <sup>565</sup> forecast data remain as in (S2.). The advantage of this setup is that the p(WCB) grid can be interpreted as a structured pressure level grid and thus be visualized much more efficiently (Part 1, Sect. 4.3). This way, the interactivity in Met.3D can be improved. The drawback, <sup>570</sup> however, is that some of the lower-level grid points are now located below the surface and become invalid. This reduces the vertical grid spacing in the lower troposphere above mountainous terrain.

S4. An ABL-T setup using a grid *B* that is regular in the horizontal with a grid spacing of  $1^{\circ} \times 1^{\circ}$  as in (S2.) and (S3.). In the vertical, the grid is regular in pressure with a grid spacing of 10 hPa. This spacing is on the order of the average spacing of the model level grids used <sup>580</sup> in (S2.) and (S3.), and results in a comparable number of vertical levels in the region of interest (90 levels between 1000 and 100 hPa). Usage of a regular pressure level grid can be motivated physically: from hydrostatic balance (e.g. Wallace and Hobbs, 2006, Sect. 3.2), <sup>585</sup> we know that for a column of air with constant mass

m the difference in pressure  $\delta p$  between top and bottom boundary of the column stays constant with height:  $-\delta p = g\rho\delta z = mgA$ , where g is the acceleration due to gravity (assumed to be constant),  $\rho$  the density of the air,  $\delta z$  the geometric height of the column and A the crosssectional area of the column. We start the trajectories on those grid points of B that are located below  $700 \,\mathrm{hPa}$ and classify a grid point as belonging to a WCB if a particle is positioned in the corresponding grid cell. This way, while we implicitly assume a particle geometry that is rectangular in longitude, latitude and pressure, the mass represented by the particle remains constant when rising at constant latitude. The artefact of decreasing grid cell area A towards the poles remains, though. For trajectory integration, the same forecast data as in (S2.) and (S3.) are used.

For all trajectory computations, LAGRANTO is driven with ECMWF ENS forecast data at six-hour timesteps. The model internally uses a 30 min timestep for the integration, trajectory positions are output at six-hour intervals.

#### 3.2 Setup comparison

In terms of computational resources, setup (S1.) is the most demanding configuration. On our test system (six-core Intel Xeon running at 2.67 GHz; 24 GB RAM; 512 GB solid state drive), the computation of the trajectories of a single timestep takes about 50 CPU minutes per member. The data output for a timestep of all members, stored in binary NetCDF format, amounts to approximately 38 GB. While such simulations are feasible for research settings, they are not suited for forecasting. For setups (S2.) and (S3.), the number of trajectories decrease by a factor of 16. The time required to compute the trajectories reduces to about three CPU minutes per timestep and member, about 2.4 GB of trajectory data are produced per timestep for the entire ensemble. With the current ENS size of 51 members, this setting is feasible for forecasting if a small compute cluster is available. For setup (S4.), the time further reduces to about one CPU minute and data volume reduces to approximately one GB.

In Figs. 5 and 6, the four setups are compared by means of four typical visualizations of the Met.3D workflow: (a) the volume rendering of p(WCB) isosurfaces already used in Fig. 3c, (b) a volume rendering of WCB features in forecast member 12 (as captured by the binary grid  $B^{12}$ ), (c) a horizontal section at 410 hPa through the ascent region associated with precipitation, and (d) a horizontal section through the inflow region at 950 hPa. The TNF forecast case of 19 October 2012 that already served for the examples in Part 1 is used. The main features (cf. Fig. 1 in Part 1: inflow over the Mediterranean Sea, ascent over the English Channel and Southern England, outflow over Scandinavia and Russia, as well as a strong ascent associated with former Hurricane Rafael over the North Atlantic) are well represented by all

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setups. However, in the regions of maximum p(WCB), setups (S2.) and (S3.) predict probabilities that are decreased by about 10 % compared to the "reference" setup (S1.). This 635

- <sup>590</sup> is visible in the smaller extent of the 30% isosurface in Fig. 5a as well as in the horizontal sections (Fig. 6c, d). Also, single member WCB structures are more solid in setup (S1.), as illustrated in the 3-D view of the binary volume of member 12 (Fig. 5b). The decrease is caused by the lower horizontal 640
- <sup>595</sup> grid spacing of the driving wind fields, in which fewer trajectories experience strong ascent – potentially due to smoothed vertical velocities. Nevertheless, setups (S2.) and (S3.) capture the shape and location of the p(WCB) features equally well as (S1.).
- The differences between setups (S2.) and (S3.) are negligible. While virtually no differences can be found in the visualizations of the WCB ascent at 410 hPa (Fig. 6c), the differences become more pronounced in the lower atmospheric layers (Fig. 6d). This can be explained with the grid topol-
- $_{605}$  ogy: at higher altitudes, the elevation of the model levels becomes increasingly independent of surface pressure (cf. Part 1, Sect. 4.1) and hence the difference in the p(WCB) grids vanishes. However, even at low altitudes the observed differences in p(WCB) remain within a few percent.  $_{655}$
- <sup>610</sup> The bottom rows of Figs. 5 and 6 show the results for the ABL-T setup (S4.). Despite the crude assumption with respect to air parcel geometry, the major p(WCB) features are captured well. However, this setup tends to predict slightly higher probabilities compared to (S2.) and (S3.) in the at-<sup>660</sup> mospheric boundary layer, and slightly lower probabilities at
- higher altitudes.

Results for other time steps are similar (not shown). We conclude that from the presented candidates, setups (S3.) and (S4.) are best suited to be used in a forecast setting. While 665 showing small differences with respect to the absolute pre-

showing small differences with respect to the absolute predicted values, both capture the shape and locations of regions of elevated p(WCB). Also, both are feasible to compute in less than an hour and the results can, due to the structured vertical grid layout, be visualized more efficiently than 670 the results computed by the setups based on hybrid sigma-

pressure vertical coordinates (cf. Part 1, Table 2)<sup>1</sup>.

# 4 Probability region contribution

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The methods introduced so far allow to visualize the computed p(WCB) fields and to find regions in which the occurrence of a WCB is most likely. However, it remains an open question how the magnitudes of the displayed probabilities should be interpreted. A distinct property of the examples

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presented in Sect. 3 are relatively low probabilities. For instance, in Fig. 3c maximum values only reach about 30 %. As mentioned in the introduction, such low magnitudes can have two causes: either indeed only 30 % of all ensemble members predict the WCB event, or large spatial variation of the features in the individual members causes only marginal overlap and thus low probabilities. Also, noise in the individual binary volumes can cause empty grid cells in the features and decrease probability values. Interpreting the data correctly and being able to distinguish between these causes is very important for making decisions on potential flight routes.

The issue can be approached by looking at the individual ensemble members, as illustrated in Fig. 7. While due to limited print space Fig. 7 only shows a small selection of members, we indeed find that much more than 30% of the members predict a WCB feature. However, it is difficult for a human user to remember how many of the 51 members showed a WCB feature. Visualizing the WCB features of all members in a single view (Fig. 7f) results in massive clutter and, thus, does not reveal insight.

We are interested in the following information: given a region bounded by a probability isosurface, how many individual ensemble members predict a WCB feature that overlaps with this region and that, thus, contributes to the probability value at any of the grid points inside the isosurface? To determine this number of members, we propose a method that applies region growing to identify the grid points inside the isosurface, then uses the members' binary grids  $B^m$  to determine which members have contributed. To efficiently make use of the  $B^m$ , we condense the binary grids into bitfields that are stored together with the probability volume. For the current example and for the 51 members of the ECMWF ensemble, each grid point  $p(WCB)_{kii}$  is augmented by a bitfield stored in a 64-bit integer variable (one bit for each member). The bitfields are generated during evaluation of the probability criterion (in this case, step (3.) in Sect. 2).

Figure 8 illustrates the approach. In a hypothetical ensemble of ten members, nine members predict a WCB feature (coloured bars). However, the maximum probability value that occurs is 30 % (red region). To determine the contribution to the region, the algorithm scans the volume for grid points exceeding the 30 % value. Starting from the first identified point, a region growing algorithm determines all grid points belonging to the red region. Combining the bitfields of the identified points with a bitwise "or"-operation reveals that in total, members 1, 2, 3, 4, 5, 6, 8 and 9, thus 80 % of the ensemble, contribute to the region. We hence know that much more than 30 % of all members predict a WCB. The information is stored for each of the identified grid points in a separate data field, the *contribution volume*. It needs to be recomputed every time the probability isovalue changes. For example, applying the algorithm to the white 10% region in Fig. 8 yields a contribution of 90 %.

The contribution volume can be used in visualizations of p(WCB) to colour a probability isosurface according to the

<sup>&</sup>lt;sup>1</sup>To provide an order of magnitude of the rendering times: using the same hardware setup as in Part 1 Table 1 (Nvidia GeForce GTX 560Ti graphics card with 2 GB of video memory on a six-core Intel Xeon running at 2.67 GHz) and a sampling step size of 0.1, the <sup>685</sup> isosurface visualizations in Fig. 5a require on average 361 ms for setup (S2.) and 102 ms for (S3.).

number of members that contribute. Figure 9 shows the ap- 740 plication of the method to the WCB forecast from Fig. 3c,

- <sup>690</sup> setup (S3.). Whenever an isosurface point is identified and visualized (cf. Part 1, Sect. 4.3, for the employed raycast-ing algorithm), the eight data points that enclose the isosurface position are sampled. Since the isosurface value is in-<sup>745</sup> terpolated from these eight points, at least the point with the
- maximum probability value is located inside the isosurface, and the point with the lowest value is located outside the isosurface (otherwise no crossing could be found between the points). Thus, by sampling the contribution volume at the <sup>750</sup> grid point with the maximum value (and exploiting the fact
- that all grid points of a contiguous structure in the contribution volume carry the same value) the number (or percentage) of contributing members can be obtained. Indeed, Fig. 9c shows that about 85 % of the example's ensemble members 755 contributed to the 30 % isosurface – an immediate hint to the
- <sup>705</sup> forecaster to have a closer look at the predicted structure. In addition, region growing can be applied to yield information on how many disjoint WCB features contribute from a particular member, and how the sizes of these features compare to the size of the region bound by the probability isosur-
- <sup>710</sup> face. The diagram in Fig. 9d is displayed by Met.3D when the user selects an isosurface with the mouse pointer. It shows the sizes of the WCB features in the individual members in a stacked box plot. The size of the probability isosurface is displayed by the red line. Single features are divided into
- <sup>715</sup> solid bars, depicting the fraction of the feature that overlaps <sup>785</sup> with the probability isosurface, and a transparent bar, depicting the full size of the feature. If more than one feature contributes from a given member, each disjoint feature is shown in a different colour. For the example in Fig. 9, this informa-
- tion reveals further insight: first, most members contribute 770 exactly one contiguous feature; second, these features are for the most part substantially larger than the isosurface region (also compare the size of the probability isosurface to the WCB features in Fig. 7). We infer that most members' fea-
- tures indeed represent WCB events. A WCB is hence very 775 likely to occur.

Of course, the method can also be applied to probability fields other that p(WCB); similarly low probabilities can also occur for features derived from other NWP fields.

## 730 5 Case study

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At this point, all visualization and analysis methods are available that are required to use Met.3D to answer the 785 forecast questions listed in the introduction. This section demonstrates how Met.3D can be used in practice. The presented case study revisits the TNF forecast case for 19 October 2012, a case that has already been used in the previous sections and in Part 1 and that is also discussed in Schäfler 790 et al. (2014). We supplement the case study with a video accompanying this paper, as it helps convey the full value of Met.3D's interactive 3-D visualizations. The video contains this section's static figures, as well as additional content, in animated form. It is intended to be used side-by-side with the paper. Start times for the video are provided throughout the following text. To compute p(WCB), setup (S3.) from Sect. 3 is used.

Assume the forecast activities to take place on Monday, 15 October 2012. The ensemble and deterministic predictions initialised at 00:00 UTC on that day, as well as the preceding model runs, are available to the forecaster (in the following, we abbreviate forecast valid times as "12Z/19" for 12:00 UTC 19 October 2012, and forecast initialisation (or base, or run) times as "IT00Z/15" for 00:00 UTC 15 October 2012). We are interested in areas that favour WCB development in Central Europe, being reachable with the Deutsches Zentrum für Luft- und Raumfahrt (DLR) Falcon aircraft from the campaign base in Oberpfaffenhofen, Southern Germany. Due to requirements from air traffic authorities, potential flight routes need to be announced at least three days in advance of a flight. Hence, our aim is to explore the atmospheric situation in order to evaluate suitable flight conditions towards the end of the week.

#### 5.1 Weather situation

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Our first step is to study the large scale weather situation in the deterministic high-resolution forecast to analyse whether a promising synoptic situation will develop (forecast question A). The upper level flow is of particular interest. WCBs frequently occur on the leading edge (i.e. downstream) of troughs (where low pressure systems develop), and WCB outflow is often associated with jet streaks. We start with a Met.3D configuration featuring three views: a horizontal section of wind speed and geopotential height (initially placed at jet stream level at 250 hPa), 3-D isosurfaces of wind speed, and 3-D isosurfaces of cloud cover. We explore the time period from Wednesday, 17 October, to Sunday, 21 October. Figure 10 shows screenshots of the individual views at three selected timesteps. To capture the 3-D spatial structure of the jet, the isosurfaces of wind speed are visualized at 30 and  $50 \,\mathrm{ms}^{-1}$ . Cloud cover is visualized by isosurfaces at 0.2 and 0.7, the latter coloured by elevation. Both 3-D views contain contour lines at surface level showing the mean sea level pressure. The video shows the Met.3D window with the full time animation.

A number of events of interest to our objectives can be observed: a distinct trough over the Atlantic moves eastward and narrows over time. At the same time, high pressure over Central and Eastern Europe intensifies. At upper levels, a pronounced jet stream extends from Spain over Southern England to Scandinavia, causing strong winds over Western Europe blowing from a southerly direction. On the leading edge of the trough, upper level cirrus clouds are embedded in the jet, whereas upstream, i.e. on the rear side of the trough, only scattered low level clouds are present. Further upstream

(south of Greenland in Fig. 10), the large-scale flow and cloud field are perturbed by the extratropical transition of for- 845

- <sup>795</sup> mer Hurricane Rafael (cf. Fig. 1 in Part 1; cloud field visible in the video). It approaches from the south and transforms into an extratropical cyclone. The leading edge of the trough, covering France and Southern England, would be well reachable with the Falcon.
- Before we explore further forecast data, we obtain information about the uncertainty of the forecast (forecast question B). First, we check the consistency of the deterministic forecast by comparing the currently used forecast (IT00Z/15) to the two previous runs from IT12Z/14 and IT00Z/14. The 855
- video (at 00:36 min) shows how the forecast runs are tog-gled for the forecast valid at 18Z/19. While the IT00Z/15 and IT12Z/14 runs show a fairly consistent situation, the trough is much broader in the IT00Z/14 forecast. Also, the strong jet on its leading edge has a different shape and is located fur-860 ther east and further north. For specifying a flight route, this
- ther east and further north. For specifying a flight route, spatial uncertainty is an important factor.

To get a more comprehensive picture, we explore the ensemble forecast of IT00Z/15 for occurrence, location and intensity of trough and jet in the individual members. Figure 11 865 shows selected ensemble members and the ensemble mean

- shows selected ensemble members and the ensemble mean of the jet stream visualization for the forecast valid at 18Z/19 (the animation over the members is contained in the video at 01:14 min). The jet over Europe is present in all members with similar intensity. However, we observe variation in 870
- shape and location that is in part stronger than the difference between the IT00Z/14 and IT00Z/15 deterministic forecasts. Nevertheless, the majority of the members predict a comparable jet structure over Europe. This also becomes apparent in the ensemble mean, which despite averaging features a jet 875
- core of over  $50 \,\mathrm{ms}^{-1}$ . In contrast, the variation observed in the jet structure further upstream over the central North Atlantic is larger, indicating that the predicted evolution of the extratropical transition of Hurricane Rafael is very uncertain. Here the  $50 \,\mathrm{ms}^{-1}$  signal is smoothed out in the mean.
- <sup>830</sup> In summary, we conclude that at least parts of the region approximately covering France, Southern England and the Benelux will be located on the downstream side of the trough.

#### 5.2 Warm conveyor belt occurrence

- Next, we examine the p(WCB) data to determine whether <sup>890</sup> a suitable WCB event is likely to occur in our region of interest (forecast question C). Figure 12 shows selected timesteps from the IT00Z/15 forecast; the corresponding animation is shown in the video at 01:55 min. We choose an initial selec-
- tion criterion of  $\Delta p = 500$  hPa in  $\Delta t = 48$  h and visualize the predicted fields with a 3-D isosurface of a low probability (10%). To track the temporal evolution of the p(WCB)field inside the isosurface (in particular the evolution of the

maxima), the 3-D normal curves proposed in Part 1, Sect. 3.4, are used<sup>2</sup>.

Indeed, we find that on both 18 October and 19 October, WCB airmasses are likely to ascend on the leading edge of the trough over France and Southern England. These airmasses are potentially of interest to a research flight. Since the normal curves reveal larger probabilities on 19 October, we focus on this day. At 12Z/19 (Fig. 12b) and 18Z/19 (Fig. 12c), the ascent signal is most apparent in the prediction. At 00Z/19 and 06Z/19 (Fig. 12a), the airmass is still close to the surface and too far south to be reached by a single Falcon flight. At 00Z/20 (Fig. 12d) and 06Z/20, the airmass has reached upper levels and WCB activity is dominated by outflow. For the campaign objectives, the time around 12Z/19 and 18Z/19 is most interesting to us: the air is ascending and hence meteorologically active (precipitation is associated with the ascending phase of a WCB), and it is located in an area that can be well reached by the Falcon. The 3-D visualization allows to judge the vertical extent, shape, and elevation of the region of high probability. The normal curves, coloured by probability, reveal that the maximum values of p(WCB) on 19 October are on the order of 20 to 30 %. By moving the camera and using vertical poles, we see that the region enclosed by the 10% isosurface is tilted westwards (left column of Fig. 12, video at 02:29 min). At 18Z/19, the maximum is located at around 400 hPa.

Due to the low magnitudes of p(WCB), we next intend to clarify (a) whether indeed only a few ensemble members predict the WCB, and (b) how the predicted probability changes with a changing selection criterion (forecast question D). Figure 13a shows a screenshot of Met.3D with the region contribution analysis (Sect. 4) applied to the forecast valid at 18Z/19 (video at 02:42 min). A 20% isosurface is used to capture the regions of maximum predicted p(WCB). Indeed, for both 12Z/19 (not shown) and 18Z/19 the analysis confirms that over 85 % of the ensemble members have contributed to the 20% probability region over the English Channel. The difference between 20 and 85 % indicates large spatial variation in the ensemble. Also, the histogram (on the right side of Fig. 13a) shows that the majority of individual WCB features that overlap with the 20% isosurface cover a larger volume than the resulting probability region itself. This implies that the regions that experience ascent in the individual members are larger than the region enclosed by the isosurface. To validate these findings, we animate over the individual members (Fig. 13b, c, and d; video at 03:16 min). Indeed, almost all members predict a WCB feature on the

<sup>&</sup>lt;sup>2</sup>Normal curves are well suited in this case to obtain an overview of the situation, as the magnitudes of maximum p(WCB) values and their variation between timesteps are not known beforehand (hence it is difficult to choose a suitable value for an inner opaque isosurface as done for the jet visualization; see Part 1, Sect. 3.4). Normal curves converge at local extrema and hence at a glance highlight maxima, regardless of their magnitude.

leading edge of the trough. However, as expected, the variability in shape and location of the predicted features is very large. In addition to members in which the WCB air ascends at 18Z/19, members in which the air is already in the outflow

- stage (elongated features at jet stream level) or still in the 945 inflow stage (close to the surface) are equally present. This indicates additional temporal uncertainty. Hence, while there seems to be a good chance to sample WCB air on 19 October in the region covering Western France to Southern England, the leastion in grace and time of the WCB ascent is still up.
- the location in space and time of the WCB ascent is still un- 950 certain in the IT00Z/15 forecast.

To judge the strength of the predicted ascent, we modify the trajectory selection criterion. Figure 14 (video at 03:38 min) shows how the predicted p(WCB) changes with  $\Delta p$ .

<sup>905</sup> By decreasing  $\Delta p$  (Fig. 14a), we can confirm a high like-<sup>955</sup> lihood of ascending airmasses in the region of interest<sup>3</sup>; the probability increases with decreasing  $\Delta p$ . Increasing  $\Delta p$  (Fig. 14b) reduces the predicted probabilities. However, the location of the maximum remains at the same position. The <sup>910</sup> region in which high probabilities for ascending airmasses <sup>960</sup>

are forecast is hence also the region in which the strongest updrafts occur.

#### 5.3 WCB characteristics

The next goal is to characterise the predicted ascent with respect to related atmospheric processes (forecast question E). We take a closer look at the WCB trajectories of the ensemble control run and visualize the trajectory particle positions at single timesteps. Animation over the timesteps of the trajectories computed forward and backward from 18Z/19 re-970

- veals that the air that at 18Z/19 has ascended to the region over the Channel originates from the ABL over the Western Mediterranean Sea and Northwestern Africa around 18Z/18 (Fig. 15a, video at 04:10 min). It is lifted over Spain in the early hours of 19 October and over the course of the day
- <sup>925</sup> continues its ascent over Western France, the Channel and Southern England (Fig. 15b, c). By vertically shifting a horizontal section of geopotential height and equivalent potential temperature of the deterministic forecast at 18Z/18 (similar to the ensemble control but chosen here for its added detail),
- <sup>930</sup> we discover a cyclone over the Northern British Isles, and a weaker surface low located on the west coast of France (Fig. 15d, video at 04:28 min). South of Spain, warm and moist air (high equivalent potential temperature) is advected northward. This airmass represents the WCB inflow region;
- it is subsequently lifted by the WCB. In contrast, on the rear 985 side of the trough, colder and drier airmasses over the East Atlantic are transported southward to Spain. Over the following 24 h, the cyclone over the British Isles remains stationary, the weaker surface low moves towards Norway (Fig. 15e, f, video at 04:52 min). Animation over the ensemble members

reveals that most other members predict similar ascents originating from the Western Mediterranean Sea and Northwestern Africa. Figure 16 reproduces the visualization of Fig. 15c for the members shown in Fig. 13b, c, and d. The trajectory particles that represent the WCB airmasses are lifted along similar paths. However, the temporal evolution of the WCBs differs in the members. At 18Z/19, the airmasses are at different stages of their ascent.

Figure 17 shows vertical sections of potential vorticity (PV) and cloud cover of the deterministic forecast valid at 18Z/19 (animated in the video at 05:19 min). The dynamic tropopause, as indicated by the 2-PVU-surface, folds along the trough (Fig. 17a). On the rear side of the trough, dry stratospheric air is transported downward. On its leading edge, the tropopause is elevated where it transitions into the anticyclonic region over Central Europe. Between 700 and 500 hPa, increased values of PV indicate regions of diabatic PV production. They coincide with the cold front that can be identified from the strong gradient in equivalent potential temperature (dense contour lines below the clouds in Fig. 17b). The cold front tilts westward with height, matching the tilted structure of the p(WCB) isosurface described in the previous section. Ahead (east) of the front, predicted cloud cover largely coincides with the location of the WCB. Overall, the situation resembles the classic conceptual WCB model (Browning, 1986). The WCB outflow predicted over the North Sea at 00Z/20 is related to lower PV values aloft. This is consistent with predicted ice water and cloud cover in this region (not shown).

# 5.4 Potential flight segments

Given the findings from the previous subsections, we interpret the p(WCB) maximum as the most likely location for the predicted WCB event and draft potential flight segments. Figure 18 shows the corresponding Met.3D configuration. For 12Z/19 and 18Z/19, we slide a horizontal section trough the p(WCB) volume to determine precise locations of the maxima (video at 05:42 min). At 12Z/19, maximum probabilities are located above the Pyrenees at low levels, in the Bordeaux area between 700 and 600 hPa, and south of Brittany around 400 hPa. Six hours later, the maximum is most prominent above Southern England at altitudes around 400 hPa. A vertical section is used to explore potential flight segments. It allows to estimate at which elevation a flight should take place, and, by moving the section, to quickly assess how spatially relocating the leg will impact the expected measurements. In the given case, the 2-D sections suggest flight legs at 12Z/19 over France at elevations between 800 and 600 hPa (WCB ascent) and at 18Z/19 over Southern England at elevations around 400 hPa (WCB outflow)<sup>4</sup>.

<sup>&</sup>lt;sup>3</sup>Note that the normal curves are again advantageous for this interaction as they allow to visually track the location and magnitude of maximum probabilities despite the changing magnitudes.

<sup>&</sup>lt;sup>4</sup>During TNF, we did not have the vertical p(WCB) information available. We placed the flight along a horizontally pre-defined flight leg over France which appeared to fit well with the 2-D

- However, given the uncertainty in the temporal evolution of the WCB (previous section), we need to carefully monitor developments in subsequent forecast runs. Figure 19 (video1040 at 07:38 min) shows the predictions for 18Z/19 for forecast runs subsequent to the IT00Z/15 run. Over the next two days,
- <sup>995</sup> the ensemble predictions converge toward higher p(WCB)over the English Channel and Southern England. The elevation of the predicted maximum in p(WCB) remains approx-1045 imately constant. Indeed, the research flights conducted during TNF showed that the targeted WCB occurred as predicted (Schäfler et al., 2014).

#### 6 Conclusions

Motivated by the forecast requirements of the T-NAWDEX-Falcon 2012 campaign, we have demonstrated the feasibility of applying interactive 3-D ensemble visualization to forecasting warm conveyor belt situations during aircraft-based<sub>1055</sub> field campaigns. The article extends our work presented in Part 1, in which we have introduced the new open-source 3-D ensemble visualization tool Met.3D. In the present paper, we have proposed methods to compute and to visually anal-

yse 3-D probabilities of WCB occurrence. The techniques, 1010
 have been integrated into Met.3D and are part of the released version 1.0 (see Part 1, Sect. 6, for information on code availability). A case study, revisiting a forecast case that occurred during T-NAWDEX-Falcon, has demonstrated how the methods introduced in the two papers can be used for practical, 1015

forecasting.

Following the literature, our methods detect WCBs by means of Lagrangian particle trajectories. By computing trajectories for each member of the ECMWF ensemble forecast,

- <sup>1020</sup> a distribution of WCB features is obtained from which probabilities of occurrence can be derived. We have discussed different approaches to trajectory seeding and gridding, and have shown that probabilities derived from trajectories computed at a horizontal grid spacing of  $1^{\circ}$  in latitude and lon-
- <sup>1025</sup> gitude capture the same WCB structures as trajectories computed at a higher grid spacing of 0.25°. A proposed visual<sup>1075</sup> analysis method supports the interpretation of the probability fields. The method facilitates fast visual estimation of the number of ensemble members that forecast a WCB feature
- <sup>1030</sup> in a region of interest bounded by a probability isosurface. In particular for situations in which the magnitude of observed<sup>1080</sup> probabilities is low, the method helps to distinguish the case in which only few members predict a WCB but at approximately the same location, from the case in which many mem-
- <sup>1035</sup> bers predict a WCB but the spatial variation is high. The method can be applied to probabilities of other features as well.

With Met.3D and the proposed WCB methods, we are now able to analyse ensemble prediction data in a way previously impossible. Three of us (M. Rautenhaus, C. M. Grams, A. Schäfler) have actively been involved in forecasting during aircraft-based field campaigns. With respect to the case study and our experience in research flight planning, we note a few conclusions from our work, reflecting the authors' opinions.

 Combination of 2-D and 3-D visualization methods gives a more complete picture of the forecast atmosphere. 3-D elements can depict different aspects of the data than horizontal and vertical 2-D sections alone. For example, usage of isosurfaces and normal curves allows for very fast initial judgement of the predicted WCB situation. However, we would not want to abandon the familiar 2-D sections; for many tasks (obtaining quantitative information, visualizing multiple forecast parameters in the same plot, analysing the vertical structure of the atmosphere along a flight segment) they are superior to 3-D methods. If 3-D visualization is used, achieving good spatial perception is important, as we have discussed in Part 1.

Furthermore, while we think that 3-D visualization helps to understand the atmospheric situation in many cases, it does not work equally well for all forecast variables. For the isosurfaces of wind speed and WCB probability used in the case study, 3-D visualization is well suited. For variables that highly fluctuate in space (as is often the case for variables depending on moisture, such as relative humidity), isosurfaces are problematic. For these cases, additional methods that help the user focus on the regions and features of interest will need to be developed.

2. One of the primary advantages of Met.3D is the high pace at which a forecast can be explored. Interactivity, the possibility for the user to change a parameter that affects the visualization and to receive immediate visual feedback, is key to this property. It facilitates the very fast analysis of static scenes (moving the camera to explore spatial structure of a feature, moving a vertical axis), of dynamic processes (animation over time), of uncertainty (animation over the ensemble, comparison of different forecast base times), and of sensitivity (changing a parameter that affects a displayed statistical quantity).

However, we find that while interactivity enables the user to quickly visualize a large amount of data, the user is also confronted with many more images than he would be if he were restricted to, for example, a limited number of horizontal sections. Here, as Trafton and Hoffman (2007) suggest, a virtual "sketchpad" that captures elements discovered by the forecaster and that allows him to represent his "mental model" of the atmo-

p(WCB) product. We were only able to guess at which altitudes we should fly. In fact, from the 3-D p(WCB) data we find that the flight should rather have been planned south of the pre-defined flight leg.

sphere would be useful. The sketchpad could also be used to communicate the findings to colleagues, a common challenge during campaigns.

- 3. Our methodology to predict probabilities of WCB occurrence illustrates challenges of feature-based ap-1095 proaches to analyse ensemble data. Our region contribution approach helps to interpret the derived probabilities, however, further work will be useful. For example, we would like to automatically obtain information about how features in different members correspond to 1100 each other: do other members predict the same situation but shifted in space or in time? Such information would allow to identify different scenarios forecast by the ensemble, and uncertainty could be differentiated with respect to space and time. Detection and visualization of 1105 further 3-D cyclonic features would also be very useful. For a single member we could see, for example, at a glance which WCB transports which airmass along which route, driven by which cyclone and in relation  $to^{1155}$ which front and jet stream. How to meaningfully visu-1110 alize such features for an entire ensemble to depict their uncertainty is an open research question.
  - 4. A drawback of the Met.3D visualization approach is  $^{^{\rm 1160}}$ that since it uses the complete ensemble dataset, interactive usage requires the forecast data to be available on the local hard drive. For field campaigns based at remote locations, this is not feasible. In these cases, web based approaches such as DLR's Mission Support System (Rautenhaus et al., 2012) might be the better choice. Alternatively, dedicated ensemble compression<sup>1165</sup> schemes might enable more efficient remote handling, or remote visualization solutions such as VirtualGL<sup>5</sup> could be used to locate data and visualization system at the same site while allowing users to explore the data<sub>1170</sub> remotely using a modest internet connection.

We will actively use and further evaluate our developments during upcoming field campaigns, including a future NAWDEX campaign scheduled for 2016. It will again target1175 WCBs. We also intend to continue our work on trajectorybased ensemble analysis. For example, trajectories can be 1130 applied to detect further Lagrangian features. Different selection criteria can reveal airmasses that have undergone specific physical or chemical processes, or that originate in spe-<sup>1180</sup> cific geographic regions. Also, ensemble trajectories can be used to track, for instance, the dispersion of pollutants or vol-1135 canic ash. Modified versions of our proposed methods can be used to derive probabilities that reveal forecast uncertainty in<sub>1185</sub> regions in which a pollutant or volcanic ash concentrations exceed a critical threshold. In this respect, more complex se-

lection algorithms and the visualization of combined proba-1140 bilities of multiple features will be challenging.

<sup>5</sup>http://www.virtualgl.org

Considering the ever increasing data volume generated by ensemble weather prediction systems, effective and intuitive visualization methods are and will be important to weather forecasting. The atmosphere is three-dimensional, and while we need to conduct user studies to formally prove the added value through 3-D visualization, in our opinion forecast analvsis can be made much more intuitive by using interactive 3-D methods, thus decreasing the time a meteorologist needs to analyse a forecast dataset.

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**Figure 1.** Total column probability of WCB occurrence (%), as available during TNF. Probabilities are computed from ABL-started trajectories filtered for an ascent of 500 hPa in 48 h. Forecasts from (a) 00:00 UTC 15 October 2012 and from (b) 00:00 UTC 17 October 2012, both valid at 18:00 UTC 19 October 2012. Compare to Fig. 3 in Schäfler et al. (2014).



**Figure 2.** Methods to compute p(WCB). (a) ABL-T method using trajectories started in the atmospheric boundary layer and integrated 48 h forward in time. To get 3-D gridded information on WCB location, an air parcel volume needs to be assumed for each particle so that grid points overlapping with the volume can be determined. (b) DF-T method using domain-filling trajectories started from every grid point of the p(WCB) grid and integrated both 48 h forward and backward in time. No volume has to be assumed as selected WCB trajectories are located exactly on grid points (c).



**Figure 3.** Derivation of p(WCB) with DF-T setup (S3.). (**a**, **d**) Trajectories started at 18:00 UTC 19 October 2012, computed with wind fields from the ensemble control forecast from 00:00 UTC 17 October 2012, integrated forward and backward in time for 48 h each. Trajectories are selected according to an ascent of (**a–c**) 500 and (**d–f**) 600 hPa in 48 h. Colour encodes altitude (hPa). (**b**, **e**) Volume rendering of the binary grid *B*, representing the start positions of the selected trajectories. (**c**, **f**) Probability of WCB occurrence derived from all 51 members of the ensemble. The red opaque isosurface shows 30 % probability, the purple transparent isosurface 10 % probability. Vertical axes are labelled with pressure altitude (hPa).



**Figure 4.** Sample Met.3D data processing pipeline to visualize p(WCB), depicted analogous to Fig. 10 in Part 1. Different pipeline modules (yellow) are responsible for reading and selecting trajectories, and for computing the p(WCB) field. In the example, a volume actor (green; for details see Part 1, Sect. 3) visualizes the resulting p(WCB) data. A request for the probability of occurrence of trajectories, emitted by the volume actor, triggers further requests up the pipeline. Intermediate results are cached by the memory manger, connected to each pipeline module (indicated by the black lines). Pipeline execution can be parallel and is controlled by a task scheduler, also connected to all pipeline modules (for details see Part 1, Sect. 4.2).



**Figure 5.** Comparison of setups to compute p(WCB). Same forecast as in Fig. 3. The selection criterion is set to 500 hPa in 48 h. (a) Volume rendering of p(WCB) (red opaque isosurface shows 30% probability, purple transparent isosurface 10% probability). (b) Volume rendering of the binary grid *B* of a single member (member 12), representing the WCB features for this member. (S1.) DF-T setup with a horizontal grid spacing of  $0.25^{\circ} \times 0.25^{\circ}$ , in the vertical the lower 52 (of 62) hybrid sigma-pressure levels (up to approximately 100 hPa) defined by the ensemble minimum surface pressure are used. (S2.) As (S1.) but with  $1^{\circ} \times 1^{\circ}$  horizontal grid spacing. (S3.) As (S2.) but with vertical levels defined by a constant surface pressure of 1000 hPa. (S4.) ABL-T setup with  $1^{\circ} \times 1^{\circ}$  horizontal grid spacing and a regular vertical grid with a grid spacing of 10 hPa.



Figure 6. Same as Fig. 5, but showing: (c) Horizontal section of p(WCB) at 410 hPa. (d) Horizontal section of p(WCB) at 950 hPa. Colour coding in %. Green contour lines show ensemble mean geopotential height.



**Figure 7.** WCB features (binary grids  $B^m$ ) of further members *m* of the forecast shown in Fig. 3b. Members (**a**) 2, (**b**) 4, (**c**) 34, (**d**) 36 and (**e**) 42. Note that location and shape of the WCB features vary strongly. (**f**) WCB features of all 51 members of the ensemble, visualized in a single image and distinguished by colour (colour coding denotes member number). Black contour lines in all images show sea level pressure of the corresponding member (of the ensemble mean in (f)).



**Figure 8.** Schematic 2-D example of a case in which many ensemble members predict a WCB feature but spatial variation causes low probability values. Consider an ensemble of ten members, of which nine members predict a WCB feature (depicted by the different coloured and numbered lines). In the example, only a maximum of three features overlap in any grid cell (resulting in a maximum probability of 30%; red grid cells). By storing the indices of all members that contribute to a given grid cell, our method is able to determine the members that contribute to a probability region. In the example, 8 members (that is, 80%) contribute to the red region. The grey grid cells illustrate the 10% region.



Figure 9. Application of the region contribution algorithm to the WCB forecast from Fig. 3c. (a) Horizontal section of p(WCB) at 415 hPa over Southern England (colour coding in %). (b) Grid boxes that intersect with the 415 hPa surface and that exceed the isosurface threshold of 30% (the red isosurfaces in Fig. 3c), coloured by the percentage of contributing members as identified by the region growing algorithm (colour coding in %). Green contour lines in (a) and (b) show ensemble mean geopotential height. (c) The 30% isosurfaces of Fig. 3c coloured by the percentage of contributing members. Purple contour lines show ensemble mean sea level pressure. (d) Size (in grid cells) of WCB features in the members contributing to the 30 % isosurface above Southern England. If multiple features contribute from a given member, they are stacked using different colours (in the example, small secondary features exist in members 24, 31, and 46). The bar of each feature is divided into total feature size (light colour) and the fraction of the feature that overlaps with the 30% isosurface (solid colour). The red horizontal line marks the size of the 30 % isosurface.



**Figure 10.** Time sequence of (left) horizontal section with contour lines of geopotential height and filled contours of wind speed ( $ms^{-1}$ ) at 250 hPa, (middle) jet stream (opaque isosurface  $50 ms^{-1}$ , transparent isosurface  $30 ms^{-1}$ , and black contour lines of sea level pressure) and (right) clouds (opaque isosurface cloud cover fraction of 0.7, transparent isosurface cloud cover fraction of 0.2, and black contour lines of sea level pressure). Colour coding in the right panel denotes cloud elevation in hPa. Deterministic forecast from 00:00 UTC 15 October 2012, valid at (a) 18:00 UTC 18 October 2012, (b) 18:00 UTC 19 October 2012, and (c) 00:00 UTC, 21 October 2012.



**Figure 11.** Navigation through the ensemble. Members (a) 27, (b) 33, (c) 37, (d) 43, (e) 45 and (f) the ensemble mean of horizontal wind speed (forecast from 00:00 UTC 15 October valid at 18:00 UTC 19 October 2012). Shown are the  $50 \text{ ms}^{-1}$  (green opaque) and  $30 \text{ ms}^{-1}$  (yellow transparent) isosurfaces. Black contour lines show sea level pressure.



**Figure 12.** Subsequent time steps of p(WCB) (computed with DF-T setup (S3.)), rendered from different viewpoints. Forecast from 00:00 UTC 15 October 2012, valid at (a) 06:00 UTC, (b) 12:00 UTC, (c) 18:00 UTC 19 October and at (d) 00:00 UTC 20 October 2012. Trajectory filtering is set to 500 hPa in 48 h. The purple transparent isosurfaces show a probability of 10%. The interior structure of the isosurfaces is visualized using the 3-D normal curves approach proposed in Part 1, Sect. 3.4. The normal curves follow the gradient of the p(WCB) field and converge at local maxima. They are coloured by probability (%) to allow to visually track probability maxima (red to black convergence zones). Black surface contour lines show ensemble mean sea level pressure.



**Figure 13.** Region contribution analysis applied to the ensemble forecast from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012. (a) Screenshot of the Met.3D configuration. 20 % isosurfaces of p(WCB) are coloured by the percentage of contributing members. The contribution distribution of the feature over Southern England is shown in the histograms on the right side of the window (feature size in (top) grid cells and (bottom)  $10^3 \text{ km}^3$ ; see Fig. 9 for details on the diagram). (b–d) WCB features (binary grids *B*) as predicted by the individual ensemble members (b) 2, (c) 9 and (d) 19. Purple surface contours in (a) and black surface contours in (b–d) show sea level pressure.



**Figure 14.** Adjusting the filter criterion for the p(WCB) forecast shown in Fig. 12c (forecast from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012). Filter criterion of (**a**) 400 hPa and (**b**) 550 hPa in 48 h (in Fig. 12c a criterion of 500 hPa in 48 h is used). The purple transparent isosurfaces show a probability of 10%. Normal curves inside the isosurfaces are coloured by probability (%). Black contour lines show ensemble mean sea level pressure.



Figure 15. (a–c) Particle positions of the (backward) WCB trajectories of the ensemble control forecast, started at 18:00 UTC 19 October 2012 and computed on the forecast initialised at 00:00 UTC 15 October 2012. Colour codes pressure elevation in hPa. (d–f) Horizontal sections of geopotential height (contour lines), wind barbs and equivalent potential temperature (colour coded in K) of the deterministic forecast from 00:00 UTC 15 October 2012 at 950 hPa. Forecasts are valid at (a, d) 18:00 UTC 18 October 2012, (b, e) 06:00 UTC 19 October 2012, and (c, f) 18:00 UTC 19 October 2012.



Figure 16. The same as Fig. 15c, but for the ensemble members (a) 2, (b) 9 and (c) 19. Also compare to the visualizations of the corresponding binary grids *B* shown in Fig. 13b–d.



**Figure 17.** (a) Vertical section of potential vorticity (colour coding in PVU; red colours in the left plot mark the 2-PVU surface and thus the dynamic tropopause), potential temperature (grey contour lines), liquid and ice water content (blue and green contour lines). (b) Vertical section of cloud cover fraction (colour coding) and equivalent potential temperature (red contour lines). Black surface contour lines in both (a) and (b) show sea level pressure. Deterministic forecast from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012.



**Figure 18.** Planning potential flight legs with Met.3D (ensemble forecast from 00:00 UTC 15 October 2012, valid at 18:00 UTC 19 October 2012). The large view in the middle shows a vertical section of p(WCB) (colour scale in %), potential temperature (black contour lines), liquid and ice water content (blue and white contour lines). Purple surface contours show ensemble mean sea level pressure. The small view on the upper right shows a vertical section of potential vorticity (same colour coding and contour lines as in Fig. 17a). The small view on the lower right shows a horizontal section at 390 hPa, showing p(WCB) (same colour scale as in the large view) and contour lines of ensemble mean geopotential height. The maximum p(WCB) along the proposed leg can be found over southern England at around 400 hPa. The vertical section of PV shows how a flight at that altitude, going westward, would penetrate the tropopause shortly after sampling the WCB.



**Figure 19.** Convergence of p(WCB) with decreasing forecast lead time. Forecasts from (a) 12:00 UTC 15 October 2012 and (b) 12:00 UTC 16 October 2012, valid at 18:00 UTC 19 October 2012. Filter criterion is 500 hPa in 48 h. Isosurfaces show 30% (red opaque isosurface) and 10% (purple transparent isosurface). Black surface contours show ensemble mean sea level pressure. The forecast from 00:00 UTC 17 October 2012 is shown in Fig. 3c.