# High resolution land surface fluxes from satellite and reanalysis data (HOLAPS v1.0): evaluation and uncertainty assessment

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13 Major changes in the manuscript according to the previous version are marked in red.

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## 15 Abstract

Surface water and energy fluxes are essential components of the Earth system. Surface latent 16 17 heat fluxes provide major energy input to the atmosphere. Despite the importance of these 18 fluxes, state-of-the-art datasets of surface energy and water fluxes largely differ. The present 19 paper introduces a new framework for the estimation of surface energy and water fluxes at the 20 land surface, which allows for temporally and spatially high resolved flux estimates at the 21 quasi (50°S ... 50°N) global scale (HOLAPS). The framework makes use of existing long-22 term satellite and reanalysis data records and ensures internally consistent estimates of the 23 surface radiation and water fluxes. The manuscript introduces the technical details of the 24 developed framework and provides results of a comprehensive sensitivity and evaluation study. Overall the Root Mean Square Difference (RMSD) was found to be 51.2 (30.7)  $W/m^2$ 25 for hourly (daily) latent heat flux, and 84 (38) W/m<sup>2</sup> for sensible heat flux when compared 26 against 48 FLUXNET stations worldwide. Largest uncertainties of latent heat flux and net 27

radiation were found to result from uncertainties in the solar radiation flux obtained from
 satellite data products.

## 3 1 Introduction

Water and energy fluxes between the land surface and atmosphere are essential components of the Earth system. At the ecosystem scale, the land-atmosphere fluxes have been mainly measured by a network of flux tower sites within the frame of FLUXNET (Baldocchi et al., 2001;Baldocchi, 2008). However, to generate global datasets of water and energy fluxes, the use of satellite data as well as models has become indispensable.

9 Different approaches exist to infer land turbulent surface fluxes by either one of the following 10 methods (Kalma et al., 2008; Wang and Dickinson, 2012): (1) simulations by an off-line land 11 surface model (Roads and Betts, 2000); (2) empirical statistical models, like e.g. obtained by 12 machine learning techniques or neural networks (Jung et al., 2011); (3) surface energy balance 13 models forced either by satellite remote sensing or re-analysis data (Bastiaanssen et al., 14 1998;Su, 2002); (4) methods based on Penman-Monteith or Priestley and Taylor equations 15 (Fisher et al., 2008; Miralles et al., 2011; Mu et al., 2007; Zhang et al., 2015); (5) and spatial variability methods (Roerink et al., 2000; Peng et al., 2013b; Peng and Loew, 2014). Novel 16 17 long-term satellite data records as well as increasing computing capacities allow to generate spatially (<10km) and temporally (<3h) high resolved estimates of surface fluxes at the global 18 19 scale. The currently existing global datasets have spatial resolutions between 0.01 degrees and 2.5 degrees and are focused on hourly to monthly timescales (Fisher et al., 2008;Miralles et 20 21 al., 2011;Mu et al., 2007;Vinukollu et al., 2011;Zhang et al., 2010;Miralles et al., 2016). The 22 multidecadal trends in global terrestrial heat latent flux have also been investigated and 23 analyzed based on these newly generated products (Jung et al., 2011; Mao et al., 2015; Miralles et al., 2014;Zhang et al., 2015;Zhang et al., 2016). For field and continental scale agricultural 24 applications, ALEXI/DisALEXI (Anderson et al., 2007; Norman et al., 2003) already have the 25 ability to provide very high spatial resolution surface flux (up to 10 m resolution) with the use 26 27 of thermal observations from a combination of polar and geostationary orbiting satellites 28 (Anderson et al., 2011).

The Global Energy and Water Cycle Experiment (GEWEX) LandFlux initiative aims for the analysis of existing global land surface flux products as well as the generation of new datasets of land surface fluxes (McCabe et al., 2016). A comparison of existing global latent heat flux datasets from either land surface models, re-analysis, or satellite estimates was conducted within the GEWEX LandFlux-EVAL initiative (Mueller et al., 2011;Jiménez et al., 2011) and
a synergy dataset has been compiled which provides latent heat fluxes at monthly timescale
and a spatial resolution of 1 degree (Mueller et al., 2013).

However, large discrepancies remain in the existing data products. The global mean latent
heat flux over land was diagnosed as 45±5 [W m<sup>-2</sup>] with a spread as large as 20 [W m<sup>-2</sup>] and
substantial regional and seasonal differences (Jiménez et al., 2011).

7 These discrepancies might be either related to the different methods applied to estimate the surface fluxes as well as due to different ancillary datasets used (Ershadi et al., 8 9 2014; Vinukollu et al., 2011). Recently, McCabe et al. (2016) examined the performance of four commonly used methods for the estimation of surface evaporation with FLUXNET 10 tower-based as well as globally gridded forcing data. They found that the RMSD ranges from 11 61 [W m<sup>-2</sup>] to 101 [W m<sup>-2</sup>] for 3-hourly data from 45 FLUXNET towers. As a parallel and 12 complementary effort to the GEWEX LandFlux initiative, the ESA WACMOS-ET project 13 14 aimed to identify the appropriate methods for the estimation of latent heat flux and maximizing the use of European Earth Observation datasets. The accuracy of the WACMOS-15 16 ET results have been validated against a set of FLUXNET sites. Compared to McCabe et al. (2016), a set of different FLUXNET sites and forcing dataset are investigated by Michel et al. 17 (2016). They found accuracies between 40.8 [W m<sup>-2</sup>] and 88.5 [W m<sup>-2</sup>] for 3-hourly values 18 comparing against data from 24 eddy covariance towers (Miralles et al., 2016; Michel et al., 19 20 2016). Another important finding from both recent projects is that no single algorithm always 21 outperform any other methods. In addition, the existing models do not capture well the early 22 morning and late afternoon transitions in the atmospheric boundary layer (Ershadi et al., 2014). In order to develop a more accurate global latent flux product, improvement of the 23 24 parameterization and sensitivity analysis of the model to forcing dataset are still needed. (McCabe et al., 2016;Michel et al., 2016). 25

Only a limited numbers of studies provides evaluation of latent as well as sensible heat fluxes.
Previous studies estimated sensible heat flux at regional scale and validated against limited insitu measurements with accuracies ranging from ~10 [W m-2] to ~100 [W m-2] (Jia et al.,

29 2003;Marx et al., 2008;Tang et al., 2011b;Wang et al., 2013;Zhuang et al., 2016).

The present paper introduces a novel framework for the generation of global high resolution
 land surface fluxes from satellite and re-analysis datasets. The High resolution Land
 Atmosphere surface Parameters from Space (HOLAPS) framework makes use of

meteorological drivers coming from globally available satellite and re-analysis datasets and 1 2 integrates many of the different components developed in previous studies within a single framework. A state-of-the-art land surface scheme is used for the estimation of the surface 3 energy and water fluxes. HOLAPS allows for internally consistent estimates of the surface 4 5 radiation and water fluxes at high temporal (<1h) and spatial (<5 km) resolutions. In particular, the shortwave and longwave surface radiation fluxes are consistently estimated, 6 7 which is often not the case when satellite based forcing data from different sources is used, as 8 these can differ e.g. in their cloud coverage or characterization of the atmospheric humidity 9 profile. The different components of the HOLAPS framework are easily exchangeable as they 10 are coupled through well-defined interfaces. This allows for instance for the integration of 11 different approaches for the estimation of surface turbulent fluxes while building on the 12 general HOLAPS infrastructure for providing all required forcing data. The required drivers 13 for HOLAPS comprise satellite data at different processing levels as well as re-analysis data 14 for a limited number of variables. The modular framework allows integrating different land 15 surface schemes.

16 The objectives of the present study are mainly twofold. First, we introduce and validate the 17 surface fluxes from the novel HOLAPS framework at quasi-global scales (50°S ... 50°N). Second, we perform a thorough sensitivity analysis of the impact of different forcing datasets 18 19 on the accuracy of surface heat flux estimates. The latter is motivated by the question: how 20 much uncertainty is introduced when using globally available satellite and reanalysis data as a 21 driver for land surface models compared to local measurements. The HOLAPS results are 22 validated using tower based eddy-covariance measurements for a wide range of ecosystems 23 and climates.

We first briefly introduce the overall HOLAPS concept and framework developed in Sect. 2.
The datasets and methods are introduced in Sect. 3 and Sect. 4 respectively followed by
summary and conclusions.

## 27 2 Model

The High resOlution Land Atmosphere Parameters from Space (HOLAPS v1.0) framework is used for the estimation of quasi-global surface water and energy fluxes. It is based on a state of the art land surface model and was in particular designed to make use of satellite and reanalysis data as drivers as well as to maximize internal consistency of the different energy and water fluxes. HOLAPS is used for the estimation of quasi-global surface fluxes at high 1 spatial and temporal resolutions. It is based on a radiation module, a planetary boundary layer

2 model, a soil module and a general module for the exchange of energy and moisture at the

3 surface layer. All framework components are modular and are easily exchangeable.

Figure 1 shows the general surface state and fluxes simulated by HOLAPS and Figure 2
shows the general interdependency between the different variables like described briefly in
the following. A very detailed technical documentation of the entire model formulation is
provided in Appendix B.

The all sky surface solar irradiance  $R_g$  [W m<sup>-2</sup>] is either obtained from remote sensing 8 9 products or is directly calculated internally by the HOLAPS radiation module using the 10 MAGIC radiative transfer model (Mueller et al., 2009). The algorithm requires information on aerosol properties, surface albedo ( $\alpha$ ) as well as total column water vapor content (*TCW*) 11 [kg m<sup>-2</sup>]. Aerosol properties are taken from an aerosol climatology (Kinne et al., 2013). Total 12 column water vapor content can be either used from climatologies or re-analysis data. Details 13 14 on the accuracy of the MAGIC radiative transfer model is provided by Posselt et al. (2012). 15 When radiation data is used as input, the radiation module calculates in addition the cloud 16 coverage which is further required for the calculation of consistent longwave radiation fluxes.

17 The land surface scheme is explicitly coupled to a 1-D mixed layer model for the planetary boundary layer (PBL) which is used to calculate the surface downwelling radiation 18 19 consistently with the surface heat fluxes. As the PBL temperature and height are directly linked to the surface turbulent fluxes, a combination of the surface heat fluxes with a PBL 20 21 model helps to better constrain the surface heat flux estimates like has been shown in previous 22 studies like e.g. the ALEXI model (Margulis and Entekhabi, 2001;Anderson et al., 2007). 23 However, while it has been shown that such an approach helps to better constrain the surface 24 heat fluxes, it is rarely used in common methods for the estimation of surface heat fluxes. A 25 mixed boundary layer model is used within HOLAPS (Kim and Entekhabi, 1998;Margulis and Entekhabi, 2001;Smeda, 1979) which calculates the boundary layer height and 26 27 temperature are dynamically calculated using prognostic equations (see section B2.6) whereas 28 the boundary layer temperature can be nudged towards available air temperature observations. 29 The soil temperature is calculated using a force-restore approach (Ren and Xue, 2004) which 30 gives the surface temperature  $(T_s)$  that is required for the calculation of the longwave surface net radiation budget. 31

The surface water fluxes comprise vegetation interception, soil moisture dynamics as well as evaporation and transpiration processes. The currently implemented land surface scheme calculates the latent heat flux following the Priestley-Taylor formulation. The surface aerodynamic and canopy resistances are estimated as function of wind speed, air temperature, soil moisture and surface solar radiation flux. Calculated sensible heat flux feeds directly back into the PBL model which constrains the diurnal evolution of the surface fluxes like discussed before.

8 The present paper will focus exclusively on the validation of HOLAPS 1.0 results using in-9 situ flux tower measurements as well as the assessment of the sensitivity of HOLAPS to 10 forcing perturbations, namely different forcing datasets. An assessment of spatiotemporal 11 dynamics estimated from HOLAPS and cross comparison against other existing global 12 datasets like e.g. the LandFlux-EVAL dataset (Mueller et al., 2013) will be performed in a 13 separate study. All symbols used throughout the manuscript are summarized in Appendix A.

#### 14 **3 Data**

15 The HOLAPS framework was in particular designed to a) make use of globally available satellite and reanalysis data and b) ensure internally consistent flux estimates. The drivers 16 17 required to force HOLAPS are summarized in Table 1. These consist of satellite remote sensing and reanalysis datasets, which have been thoroughly validated and which are briefly 18 19 introduced in the following. The datasets have in common that they provide a) long-term 20 observations of the required driver variables and b) provide this information at comparably 21 high temporal and spatial resolutions which is a major prerequisite. Datasets which are based 22 on geostationary satellite measurements are therefore given preference. Static information on 23 landcover and soil properties is required as well. All data needs to be regridded to the 24 computational grid and temporal interpolation to the HOLAPS timescale is required. Details about the employed interpolation techniques are provided in Annex B.4. 25

## 26 3.1 FLUXNET data

27 Measurements of surface turbulent fluxes are obtained from eddy-covariance towers of the 28 FLUXNET network. These measure the exchange of carbon dioxide, water vapor and energy 29 between terrestrial ecosystems and the atmosphere (Baldocchi, 2003). Standard 30 meteorological measurements are collected as at most stations. The most comprehensive compilation of these flux tower measurements is available from the "La Thuile 2007"
 database (Papale et al., 2012).

A subset of FLUXNET stations was used for the analysis in the present study. Stations were selected where a) all variables required to run the HOLAPS model (Table 1) were available, b) the station provided data with limited data gaps (> 80% coverage). All data is carefully quality checked and available quality flags are applied to ensure highest quality of the reference data.

8 The stations used in the present study are depicted in Figure 3. A major number of stations are 9 located in Europe and North America, and only a few stations are located in other regions. 10 Table C1 lists all stations (N=48) that fulfilled the above described criteria and provides 11 detailed information about data availability and relevant references for each station. The total 12 number of measurement years which is used for the present analysis is M = 101 years. 13 FLUXNET data is currently distributed under different data policies. For the present study we only use data from stations, which provide their data under a "Free Fair Use" license 14 15 (http://www.fluxdata.org).

16 Eddy covariance measurements are subject to uncertainties from various sources. A common 17 problem is that the eddy-covariance measurements typically do not allow to close the surface energy balance  $(R_N - G - H - LE = 0)$  (see Table A1 for definition of acronyms throughout 18 the paper). The energy imbalance for eddy covariance measurements can be as high as 19 20 20% to 30% on average (e.g. Wilson et al., 2002). The reason for this energy balance closure problem is still not fully understood and subject of ongoing research (e.g. Ingwersen et al., 21 22 2015). Several approaches have been developed to empirically correct for the energy closure 23 (Foken et al., 2011;Twine et al., 2000;Wilson et al., 2002;Ingwersen et al., 2015). A simple 24 energy balance correction (Bowen ratio method) is applied in this study following the 25 approach as described in Twine et al. (2000). Further uncertainties in the FLUXNET data 26 occur under stable conditions, as the eddy covariance method requires turbulent conditions (Berbigier et al., 2001). It should be noted that the eddy covariance measurements are less 27 28 accurate under rainfall conditions. Previous studies have therefore removed measurements during rain events (Ershadi et al., 2014; Michel et al., 2016). As we applied the quality flags 29 30 available from the FLUXNET data, many rainfall events were masked already. A sensitivity study was performed to evaluate if additional masking of rainfall events affects the results of 31 32 the present study, but no deterioration of the HOLAPS performance during rainfall events could be identified. Therefore, we did not explicitly exclude any rainfall data from the
 analysis.

## 3 **3.2** Large scale forcing data

4 In the following we will briefly summarize the different forcing dataset used within the5 HOLAPS framework.

## 6 3.2.1 Radiation data

The surface solar radiation flux  $(R_g)$  is either prescribed from existing satellite data products or can be calculated internally within the HOLAPS framework (c.f. Annex B2.2). In both cases a maximum consistency between the shortwave and longwave radiation fluxes is ensured as the same ancillary data (TCW, cloud fractional coverage) is used. This explicit internal consistency of the radiation flux estimates is unique to the HOLAPS framework.

As the surface solar radiation is a major input to the surface energy balance, it is expected that uncertainties in radiation data will also affect the accuracy of the derived water and energy fluxes. Different approaches to estimate  $R_g$  are therefore analyzed in the present study. The following radiation datasets are used:

- *FLUXNET*: The radiation data measured at each FLUXNET station is used as a reference as these local measurements are expected to provide the most accurate surface solar radiation estimates for the FLUXNET locations. They capture also local changes in  $R_g$  at high temporal frequencies (e.g. cloud shadowing) and might also be affected by local effects like topographic conditions.
- *CM SAF-SIS*: The EUMETSAT Climate Monitoring Satellite Application Facility (CM-SAF) has specialized in the generation of long-term climate data records from satellite. As part of their suite of radiation data products (www.cmsaf.eu), the CM
   SAF provides solar incoming surface radiation (SIS) data at hourly timescales and with a spatial resolution of 0.03 degree (Posselt et al., 2012) for all sky conditions. The CM SAF-SIS is based on data from the series of METEOSAT satellites. It therefore provides only a limited area coverage (see Figure 3).
- *GRIDSAT*: The Gridded Satellite dataset (GRIDSAT) (Knapp et al., 2011) provides a
   long-term (January 1980 to present) record of top-of-atmosphere (TOA) radiances in
   the visible and thermal spectral domains. It is based on the International Satellite

- 1 Cloud Climate Project (ISCCP) (Rossow and Schiffer, 1991;Knapp, 2008) and 2 provides data every 3-hours on an equal angular grid with a resolution of 0.07 degree. 3 The TOA radiances in the visible channels are used to estimate a cloud effective 4 albedo (CAL) (Posselt et al., 2012) which is then used subsequently for the calculation 5 of  $R_q$  and cloud cover fraction (cf. section B2.2).
- 6 3.2.2 Precipitation data

Satellite precipitation datasets are produced from satellite only or combined satellite and ground based measurements at a variety of spatial (0.25 degrees to 2 degrees) and temporal (3-hourly to monthly) resolutions at the global scale. Ground based precipitation estimates like e.g. from ground based rain radars provide even higher temporal and spatial resolution, but are available only for limited areas. A comprehensive review and inter-comparison of existing satellite based precipitation products and their application is provided by Kidd et al. (2012) and Kucera et al. (2013)

The TRMM Multisatellite Precipitation Analysis (TMPA) product (3B42 v7) is used for the present study (Huffman et al., 2007). It combines microwave sounding and infrared observations and compensates product biases using rain gauge information on monthly timescales. TMPA provides 3-hourly precipitation information at a spatial resolution of 0.25 degrees. It is available since 1998 until present and covers the geographical extent of 50°N to 50°S.

The high temporal frequency of the measurements is a major advantage for flux estimates and the main reason why TMPA is currently used within HOLAPS. The spatial extent of TMPA however currently limits the application of HOLAPS to that same extent ( $\pm 50^{\circ}$  latitude).

## 23 3.2.3 Vegetation data

Leaf area index (LAI) data products from the Moderate Resolution Spectroradiometer (MODIS) instruments (Justice et al., 2002) are used in the present study. We use an enhanced product from Beijing Normal University<sup>1</sup> (Yuan et al., 2011) which provides enhanced temporal and spatial consistency of the MODIS LAI fields by post-processing the original MOD15A2 products (Myneni et al., 2002). This results in much more consistent LAI fields than in the original product which contains abrupt changes in the time series. Surface albedo

<sup>&</sup>lt;sup>1</sup> http://globalchange.bnu.edu.cn/research/lai

information is obtained from the ESA GlobAlbedo project (Muller et al., 2012;Potts et al., 1 2 2013). Both, LAI data and surface albedo are available every 8-days. As both variables are varying slowly in time, they are linearly interpolated to the model timestep. It needs to be 3 however emphasized that the used LAI and albedo products are not necessarily consistent 4 5 between each other, as they are derived from different instruments and using different inversion techniques. Such a consistency of land surface paramters could only be achieved 6 7 through joint surface parameter retrieval approaches like e.g. provided by Pinty et al. (2011) 8 and is part of ongoing research activities like e.g. within the QA4ECV project (http://www.qa4ecv.eu/). 9

## 10 3.2.4 Re-analysis data

11 A number of additional fields (temperature, wind speed, total column water vapor path, 12 pressure) are required from global re-analysis as these variables are not available from remote 13 sensing data at the required temporal and spatial scales. The ERA-interim re-analysis (Dee et 14 al., 2011) fields are used for that purpose which provide 6-hourly data on a regular global grid 15 with 512 times 256 grid points, which corresponds to a spatial sampling of ~0.7 degrees. The 16 re-analysis fields are remapped to the flux tower locations using bilinear interpolation. The 17 scale mismatch between the used reanalysis field data and the local scale HOLAPS simulations might result in additional uncertainty in the simulations and is investigated in the 18 19 present study.

## 20 **3.2.5 Landcover data**

Global landcover information is available with a spatial resolution of 300 m from the ESA
Climate Change Initiative landcover project (Bontemps et al., 2012;Defourny et al., 2014).
The land cover information is used for the spatial discretization of land cover dependent
parameters in HOLAPS like e.g. roughness length or surface resistance parameters. These are
summarized in Table B1.

However for the present study, no global landcover dataset is used as the experiments conducted are only performed on the point scale. The landcover type is known for each FLUXNET station and is therefore used in the present study.

#### 1 **3.2.6 Soil data**

Information on soil properties is obtained from the Harmonized World Soil Database
(HWSD) (FAO, 2012). The HWSD is based on soil mapping units with varying sizes. Thus
no fixed resolution can be given, but the map is gridded with a spatial spacing of 30 arcsec.
The information on soil texture (sand, clay content) is used to derive soil hydrological
properties using pedo-transfer functions (Cosby et al., 1984;Rawls and Brakensiek, 1985;Lee,
2005).

8 As the HWSD is a global dataset, the local soil properties might differ from the one of the 9 used mapping units. Further uncertainties are introduced by the applied pedo-transfer 10 functions to derived soil hydraulic parameters from soil texture information (e.g. Wösten et 11 al., 2001).

## 12 4 Methods

#### 13 **4.1 Experimental setup**

14 To quantify the accuracy of HOLAPS and the uncertainties related to the usage of different 15 satellite and reanalysis datasets as drivers we conduct a series of sensitivity experiments. 16 Using the different datasets introduced in section 3.2, we aim to investigate the uncertainty 17 introduced by replacing a locally measured forcing with satellite based drivers. First a control 18 simulation (CTRL) is conducted which is based exclusively on local measurements from 19 FLUXNET only. This allows to quantify HOLAPS accuracies without additional 20 uncertainties from the satellite and reanalysis datasets. Thus, the CTRL simulation is 21 considered as the baseline accuracy of the current HOLAPS land surface scheme. For each 22 site multiple years are used for the simulations (see Table 2). Results are then compared 23 against reference measurements from FLUXNET and the accuracy of the simulations is 24 quantified using various skill scores (c.f. section 4.2.1).

Further experiments are conducted by replacing individual drivers (e.g. radiation, precipitation) with data from either satellite observations or re-analysis. This allows to quantify the additional uncertainty introduced by the usage of these particular data products. The different experiment names allow to identify the variable that was replaced by satellite/reanalysis data (e.g. experiment Ta = air temperature was replaced). However, as the different datasets cover different spatial domains (c.f. Figure 3) we generated
subsets of stations representing the following different spatial domains.:

- Global (G): global coverage using the maximum number of FLUXNET stations
   available
- ±50° (50): as the precipitation data currently used is available only between 50°S and
   50°N, we use this spatial domain to analyze the sensitivity to changes in the
   precipitation forcing.
- Meteosat disc (M): The analysis of the impact of satellite surface radiation datasets on
   HOLAPS results is investigated for the Meteosat spatial domain, as long-term
   radiation datasets are only available from the CM SAF for Meteosat so far.
- A few FLUXNET stations are located within the Meteosat disc, but within latitudes of
   50°S to 50°N°. For these stations we conducted additional simulations (M\_50).

Control simulations are conducted for all of these different spatial domains. As a consequence a total of four different control simulations with different number of stations are conducted. All the other experiments were also performed for these different spatial subsets where applicable. The differences between the same experiment type, at different spatial domains provides additional information on the variability of the error metrics as a function of the number of FLUXNET stations used. Table 2 summarizes all experiments conducted and the number of stations and simulation years.

20 While this experiment setup allows to quantify the impact of different drivers on the 21 HOLAPS results, it does not allow to explicitly disentangle different components of the 22 overall mismatch between reference data and model results, which are affected by e.g. model 23 parameterization uncertainties, uncertainties in ancillary data (e.g. soil information), spatial 24 representativeness of the used reference and forcing data as well as uncertainties in the reference data itself. This could be achieved e.g. by perturbing the model input parameters 25 26 and usage of different ancillary datasets. For the present study we nevertheless keep the 27 HOLAPS model setup fixed as described in Annex B.

## 28 **4.2 Analysis**

We compare the net radiation and HOLAPS turbulent heat fluxes with the corresponding reference data from FLUXNET at hourly, daily and monthly timescales using standard statistical skill scores. The variance of the difference between the model simulations and FLUXNET data is a function of a) the uncertainties of the HOLAPS model itself, b) the sensitivity of the HOLAPS model to uncertainties in the forcing data (including representativeness error) as well as c) uncertainties in the FLUXNET reference data. Uncertainties in the FLUXNET measurements might also result from varying temporal and spatial footprints of the flux tower measurements (Chen et al., 2011).

## 6 4.2.1 Statistical metrics

7 The mean squared difference between in situ observations (x) and model results (y) is given
8 as

$$MSD = RMSD^{2} = \frac{1}{N} \sum_{i}^{N} (x_{i} - y_{i})^{2}$$
(1)

9 The root mean square difference (RMSD) is defined as the square root of (1). For the 10 calculation of the centered root mean square difference (cRMSD), the bias is removed in 11 advance. It is then defined as

$$cRMSD = \sqrt{\frac{1}{N} \sum_{i=1}^{N} [(x_i - \bar{x}) - (y_i - \bar{y})]^2} = cRMSD$$
(2)

whereas the overbar indicates temporal averaging. This is also related to the Pearson correlation coefficient (r) (Taylor, 2001).

The above defined metrics (r, cRMSD, RMSD) are calculated for each FLUXNET station over the entire analysis period. We then normalize each metric by the corresponding metric obtained from the control experiment to obtain relative deviations of the error skill scores of an experiment and the same score from the CTRL simulation for the same station.

## 18 **4.3** Temporal aggregation and data gaps

19 The comparison between FLUXNET and HOLAPS is performed on hourly, daily and 20 monthly timescales and the above metrics are calculated for these different aggregation 21 periods respectively.

As the FLUXNET measurements also contain data gaps these might introduce sampling biases. A traceable approach is therefore required to derive the temporally aggregated reference. A daily mean is therefore only calculated if at least 16 hours (=2/3) of valid data was available from the FLUXNET measurements on that particular day. Given half hourly data, this requires that at least 32 valid data samples are available from the eddy-covariance dataset. Once daily mean fluxes have been calculated these are used to estimate monthly mean statistics. A monthly mean is calculated if at least 2/3 of the days of a month contained valid values. This approach was chosen as the data gaps might introduce biases for daily and monthly values and it was found that the calculated error statistics could be largely influenced by a few dates with insufficient reference data. The chosen approach therefore provides a traceable procedure to provide reference data for different temporal resolutions.

#### 8 5 Results

9 The HOLAPS validation results are summarized in the following. We hereby focus on the 10 accuracy of the surface energy and water fluxes estimated by HOLAPS and evaluate the 11 surface net radiation  $(R_N)$ , solar radiation  $(R_g)$  as well as the surface latent (LE) and sensible 12 heat (H) fluxes for all experiments.

## 13 5.1 Evaluation of surface net radiation $(R_N)$

The estimated surface net radiation from all 48 stations is compared against the corresponding measurements from FLUXNET in Figure 4 for the CTRL experiment and all FLUXNET stations. Overall, HOLAPS provides very accurate estimates of  $R_N$  at hourly as well as daily timescales. The correlation between reference data and HOLAPS is r = 0.96 (0.91) for hourly (daily) data. All correlations are significant (p<0.05). The corresponding RMSD is 54.5 (27.2) [W m<sup>-2</sup>] for hourly (daily) data with almost no bias.

However, as these statistics are based on the entire data record from all FLUXNET stations, the accuracy of HOLAPS net radiation is also validated for each of the stations individually. Statistics for the RMSD, cRMSD as well as correlation that are calculated at each station are summarized in Figure 5 for all experiments introduced in Sect. 4.1 for hourly timescales. The corresponding error statistics for daily and monthly fluxes are summarized in the Annex D.

Comparable accuracies are obtained for all CTRL simulations, which are based on a different number of stations (varying spatial coverage). Using satellite and re-analysis data as drivers for temperature, precipitation or wind speed the net radiation accuracies show only minor changes. Larger sensitivity of HOLAPS is observed when replacing the local surface solar radiation with satellite based surface radiation data (METEOSAT, GRIDSAT experiments). The RMSD for surface net radiation ranges between 62 [W m<sup>-2</sup>] and 103 [W m<sup>-2</sup>] for the 1 majority of the stations compared to  $30 [W m^{-2}]$  to  $59 [W m^{-2}]$  for the other experiments, 2 which corresponds to a significant increase in uncertainty.

3 While the correlation coefficients for the different CTRL simulations are very high (r>0.95),

4 the correlation coefficients for the experiments using METEOSAT or GRIDSAT radiation are 5 lower, still amounting to r>0.8 for most cases. Only minor differences can be observed 6 between the RMSD and cRMSD, which indicates that the hourly estimates of  $R_N$  have only a 7 small bias.

The accuracy of the daily and monthly net surface radiation show a similar picture like the hourly values (see Figure D1 and D2). The RMSD for the daily fluxes ranges between  $10 \quad 18 \text{ [W m}^{-2}\text{]}$  and  $52 \text{ [W m}^{-2}\text{]}$  for the majority of the results and correlations are typically larger than r=0.95. In the cases where satellite data is used as radiation driver the RMSD also increases and the correlation coefficient reduces. However, for monthly mean fluxes (Figure D2) the discrepancy between CTRL simulations and the METEOSAT and GRIDSAT experiments reduces.

## 15 5.2 Evaluation of surface solar radiation flux $(R_g)$

16 As shown before, major uncertainties in the surface net radiation flux are introduced by using 17 satellite radiation products within HOLAPS. The accuracy of the radiation data itself is 18 therefore investigated in the following at the FLUXNET stations. Figure 6 shows the RMSD 19 and cRMSD for hourly surface global radiation fluxes. For the CTRL simulations, the deviations are close to zero as these experiments are based on the same radiation data like is 20 21 used as reference. Minor deviations still occur in these cases as the FLUXNET measurements 22 are not available at exactly the same time steps as HOLAPS simulations. As HOLAPS 23 interpolates the driver data to equal time steps, small interpolation differences might occur 24 which result in non-zero RMSD values.

The RMSD of the satellite radiation data (METEOSAT, GRIDSAT) ranges between 75 [W m<sup>-2</sup>] and 143 [W m<sup>-2</sup>] at hourly timescales. This is partly related to a negative bias between the FLUXNET radiation data and the satellite radiation data. Thus the deviations in the radiation data have by far the strongest effect on the surface net radiation flux and are also likely to affect the surface turbulent heat flux estimates, which will be analysed subsequently.

## **5.3 Evaluation of latent (LE) and sensible (H) heat fluxes**

The overall relationship between HOLAPS latent heat flux estimates and FLUXNET measurements is illustrated in Figure 7. The RMSD is 51.2 [W m<sup>-2</sup>], 30.7 [W m<sup>-2</sup>] and 26.3 [W m<sup>-2</sup>] for the hourly, daily and monthly flux estimates for the CTRL\_G simulations. The correlation coefficient is 0.87 for hourly data, 0.79 for daily, and 0.81 for monthly data.

6 Error statistics for all experiments are provided in Figure 8. The increased uncertainty in the surface solar radiation and thus R<sub>N</sub> has a direct effect on the accuracy of the latent heat flux 7 8 estimates. Correlation coefficients are the smallest for the experiments that use satellite 9 surface solar radiation data. However, the correlations are still high with r>0.74 for most of the stations and experiments. The RMSD for the CTRL simulations ranges between 35 [W m-10 11 2] and 52 [W m-2] for the majority of the cases. Largest RMSD is observed for the 12 METEOSAT and GRIDSAT experiments. However, results from the experiments when 13 replacing the air temperature and wind speed with re-analysis data show that this introduces also uncertainties in the latent heat flux estimates. The RMSD ranges between 40 [W m-2] 14 15 and 62 [W m-2] for these experiments. Corresponding results for daily and monthly 16 timescales are provided in Figure D3 and D4.

The overall error statistics for the sensible heat flux in the CTRL\_G simulations are shown in
Figure 9. The RMSD ranges from 79.1 [W m-2] (hourly) to 36.0 [W m-2] (daily). The error

19 statistics for all experiments are shown in Figure 10 and show a similar result like the latent

20 heat flux error statistics with worse statistics for the experiments with satellite radiation data

as a forcing. The daily and monthly comparison results are shown in Figure D5 and D6.

In principle, the accuracy of the results obtained might depend on additional factors, like e.g.
the land cover type, the cloudiness of the sky or the local time. Additional analysis of the
HOLAPS results were therefore performed to analyze in more detail the impact of these
additional factors.

In order to explore if the model performance is influenced by the biome types, the overall HOLAPS error statistics across biomes are shown in Figure E1 and E2. It can be seen that the performance of HOLAPS is general stable across biomes. Relatively high RMSD (~60 [W m<sup>-</sup> 29 <sup>2</sup>]) were found over croplands, deciduous broadleaf forests and savannas.

30 Michel et al. (2016) investigated the accuracy of surface latent heat flux at specific times of a

31 day. We therefore also investigated if the HOLAPS error statistics vary between daytimes and

nighttimes compared to the entire day. The day and night separation was based on a global 1 radiation threshold of 20 [W m<sup>-2</sup>] as suggested by Reichstein et al. (2005). Figure E3 and E4 2 show the HOLAPS latent heat flux error statistics over daytime and nighttime. Compared to 3 full day statistics (r=0.87, RMSD=51.2 [W m<sup>-2</sup>]), the daytime has slightly worse performance 4 (r=0.81, RMSD=67.9 [W m<sup>-2</sup>]), while nighttime has worst performance (r=0.35, RMSD= 5 21.1[W m<sup>-2</sup>]). The small RMSD of nighttime is due to the overall small fluxes during 6 7 nighttime and the low correlation values might be caused by both errors from model and 8 measurements.

9 The influence of clouds on the performance of HOLAPS has also been explored in the present 10 study. According to Peng et al. (2013a), the clearness index KT (the ratio of the global solar 11 radiation measured at the surface to the total solar radiation at the top of the atmosphere) was used to separate clear sky conditions ( $0.65 < \text{KT} \le 1$ ) from partly cloudy skies ( $0.15 < \text{KT} \le 1$ ) 12 0.65) and cloudy conditions ( $0 \le KT \le 0.15$ ). The error statistics of hourly latent heat flux 13 for different cloud coverage are shown in Figure E5-E7. It can be seen that the best model 14 performance occurs under clear sky condition, and the model performance decreases with the 15 increase of cloudiness. 16

## 17 **5.4 Summary of HOLAPS accuracies**

So far we have summarized the overall accuracies of HOLAPS for the different experiments. 18 19 As the HOLAPS framework is designed to be used at the global scale with a maximum of 20 satellite and re-analysis data as drivers, we summarize in the following the accuracy of the 21 HOLAPS results for the GRIDSAT\_G experiment which corresponds to the case where only 22 satellite and re-analysis drivers are used for HOLAPS flux estimates. Results are compared 23 against the accuracy of the CTRL\_G experiment that uses exclusively FLUXNET station data and the same stations. The overall accuracies at hourly, daily and monthly timescales for these 24 25 two experiments are summarized in Table 3.

On monthly timescales, the results for the latent heat flux of the CTRL simulations and GRIDSAT based estimates are rather comparable. The correlation is r=0.80 and r=0.81 and RMSD are 25.5 [W m<sup>-2</sup>] and 26.3 [W m<sup>-2</sup>] for the GRIDSAT\_G and CTRL\_G experiments respectively. However at the hourly and daily timescales the RMSD can be 10-20% larger for the GRIDSAT\_G experiment than for the CTRL\_G experiment, which is likely to be a result
of the uncertainties of the surface shortwave radiation fluxes.

The accuracy of the two surface solar radiation dataset was estimated for the stations that were located within the Meteosat footprint. The RMSD and correlations for  $R_g$  are summarized in Table 3 as well. For the METEOSAT experiment, the hourly (daily, monthly) RMSD for the surface solar radiation flux is 83.9 (24.7, 15.3) [W m<sup>-2</sup>] while it is 109.6 (52.9, 31.8) [W m<sup>-2</sup>] for GRIDSAT respectively.

## 8 6 Discussion

9 The HOLAPS framework provides estimates of surface net radiation and latent heat flux at accuracies which are comparable to those obtained in other studies (Ershadi et al., 10 2014;McCabe et al., 2016;Miralles et al., 2016). It was found that the major source of 11 uncertainty is the surface solar radiation data used as a forcing. When using tower only 12 measurements (CTRL), the RMSD of HOLAPS latent heat flux is 51.2 (30.7) [W m<sup>-2</sup>] for 13 hourly (daily) fluxes. Michel et al. (2016) and Miralles et al. (2016) evaluated the 14 15 performance of four different algorithms to estimate the surface latent heat flux, within the WACMOS-ET project, using either tower based forcings or satellite data. As this is probably 16 17 one of the most comprehensive studies existing, we compare our results against results from that study. The RMSD for the algorithms investigated in the study of Michel et al. (2016) 18 ranges between 40.8 [W m<sup>-2</sup>] and 88.5 [W m<sup>-2</sup>] when comparing their results at 3-hourly 19 timestep and using tower data as a driver. At daily timescales, the RMSD obtained for the 20 same four algorithms ranged between 22.7 [W m<sup>-2</sup>] and 52.2 [W m<sup>-2</sup>]. Correlations were 21 found to range between 0.76 and 0.88 (0.66 and 0.78) for 3-hourly (daily) values. Under the 22 23 support of GEWEX LandFlux project, McCabe et al. (2016) evaluated the same methods but 24 with different number of tower stations. They found that the correlations range from 0.71 to 0.85, and RMSD range from 61 [W m<sup>-2</sup>] to 101 [W m<sup>-2</sup>] for tower-based 3-hourly data. 25 Similar statistic scores (RMSD between 64 and 105 [W m<sup>-2</sup>]) have also been reported by 26 Ershadi et al. (2014), who also evaluated similar methods (SEBS, PT-JPL, PM, advection-27 aridity) with tower-based half-hourly or hourly data. For HOLAPS we have provided the 28 29 accuracy measures when using all data samples (all stations + all years) at once. These were provided in Table 3. The HOLAPS hourly (daily) RMSD is 51.2 (30.7) [W m<sup>-2</sup>] with 30 correlations of r=0.87 (r=0.79). However these values are not exactly comparable with the 31

study of Miralles et al. (2016) as a) the HOLAPS statistic is based on hourly values instead of 1 2 3-hourly values for the WACMOS-ET project. Further, the information provided by Michel et 3 al. (2016) is given as the mean value from results of all investigated stations. Thus, instead of 4 calculating the RMSD for all data samples, these authors calculated first the error statistics 5 and then provided the mean skill score. When following a similar approach for the 48 stations investigated in the present study, the mean RMSD of HOLAPS corresponds to 46.6 (26.5) 6  $[W m^{-2}]$  with mean correlations of r=0.89 (0.85) for hourly (daily) timescales. Thus following 7 8 a similar approach to the one by Michel et al. (2016) the results of the present study are very 9 similar to those of WACMOS-ET.

10 Similar differences are also obtained when using satellite data as driver for the latent heat flux estimates. The RMSD obtained for 3-hourly (daily) estimates by Michel et al. (2016) ranges 11 between 47.6 [W m<sup>-2</sup>] and 88.5 (24.5 and 59.0) [W m<sup>-2</sup>] while HOLAPS hourly (daily) RMSD 12 is 62.3 (29.1) [W m<sup>-2</sup>] with correlations of r=0.79 (r=0.72), while Michel et al. (2016) found 13 14 correlations 0.69 < r < 0.82 (0.59 < r < 0.79) for 3-hourly (daily) comparisons respectively. 15 Overall, HOLAPS seems to provide improved correlations which might be due to the enhanced temporal resolution of HOLAPS. It needs to be emphasized however, that results of 16 17 the present study are not fully comparable with Michel et al. (2016), due to the different 18 temporal sampling, and the different number of stations investigated (N=48 in this study 19 instead of N=24).

Overall, a small bias was observed, for both the simulations with flux-tower and satellite forcings (see Table 3). While the CTRL and GRIDSAT experiments differ on hourly and daily timescales, the RMSD for the monthly results is very similar. This indicates that the uncertainties due to the large scale forcing are minimized at longer timescales.

24 Replacing station precipitation data with the TMPA large scale satellite forcing as well as using ERA-interim for temperature and wind speed has minor effect on the accuracy of the 25 results obtained. By far the largest uncertainties are introduced when using satellite based 26 27 surface solar radiation data, whereas similar accuracies are obtained using either the METEOSAT or GRIDSAT data. The accuracy for the surface solar radiation flux from 28 METEOSAT was found to have an RMSD of 83.9 (24.7) [W m<sup>-2</sup>] for hourly (daily) 29 30 timescales using the FLUXNET stations located within the Meteosat footprint (N=19) which is slightly larger than the daily RMSD of 17.9 [W m<sup>-2</sup>] reported by Müller et al. (2015) based 31 32 on BSRN observations. As a further improvement of the surface solar radiation flux is

expected to improve the latent heat flux estimates, a thorough investigation of the impact of 1 2 different surface solar radiation dataset will be performed in a future study. This could then also include the analysis of reanalysis based radiation data which was excluded from the 3 present study as Posselt et al. (2012) had already shown that the METEOSAT radiation data 4 5 used in the present study has an overall better agreement with ground measurements than the ERA-Interim reanalysis radiation data. Overall, best results were obtained for clear sky 6 7 conditions. Decreasing performance of HOLAPS estimates was observed for increased 8 cloudiness which is likely to be caused by the increased uncertainties in the satellite based 9 radiation data under cloudy sky conditions. No systematic differences between different 10 biome types could be identified in this study. A more comprehensive sensitivity analysis of 11 HOLAPS to different biomes specific model parameters might be subject of a further study, 12 where the vegetation parameter of each biome will be perturbed and the relevant HOLAPS 13 performance will be assessed.

#### 14 **7** Conclusions

This study has introduced a new framework for the estimation of high resolution land surface water and energy fluxes, HOLAPS 1.0. The framework was developed to make use of existing satellite data records and to allow for the generation of temporal and spatial high resolved and consistent quasi-global water and energy fluxes. Key features of the HOLAPS framework comprise:

- Internally consistent estimation of shortwave and longwave radiation fluxes
- Capability to directly use top of atmosphere radiances for surface solar flux
   estimations
- Constrained surface fluxes using a mixed boundary layer model in combination with
   the surface flux estimates
- Flexible framework for the generation of high resolution land surface energy and
   water fluxes that allows to use a multitude of different land surface schemes within the
   same framework
- This study analyzed the accuracy of HOLAPS 1.0 using data from 48 eddy covariance towers. A sensitivity analysis was performed to investigate the tradeoff in using satellite data as drivers instead of locally measured tower based data. The results of this study can be summarized as follows:

- The accuracy of the HOLAPS surface fluxes was found to be comparable or even
   better than results obtained in other studies for the surface net radiation as well as
   turbulent fluxes.
- The hourly (daily) RMSD for the surface net radiation flux was 54.5 (27.2) [W m<sup>-2</sup>]
  with correlations of r=0.96 (r=0.91) when using tower data as drivers for HOLAPS.
- For the latent heat flux, the obtained RMSD was 51.2 (30.7) [W m<sup>-2</sup>] with r=0.87
  (r=0.79) and 79.1 (36.0) [W m<sup>-2</sup>] for the sensible heat flux at hourly (daily) timescales.
- Using satellite and re-analysis data as only drivers, the RMSD and correlations were
   found to be 61.8 [W m<sup>-2</sup>] and r=0.79 (33.1, r=0.71) for the latent heat flux
- Accuracy of turbulent flux estimates decreases with increasing cloudiness due to
   higher uncertainties in the surface solar radiation flux, which is consistent with
   previous studies.

# Largest uncertainties resulted from the uncertainties of the surface solar radiation flux. However, on monthly timescales, these uncertainties were minimized which indicates that comparable accuracies can be obtained when using satellite based drivers instead of local in-situ data.

17 A first quasi global dataset generated using HOLAPS 1.0 is planned to be released to the 18 scientific community after a thorough validation and cross comparison against other datasets 19 like e.g. the LandFlux-Eval (Mueller et al., 2013) data. Further improvements of the HOLAPS 20 framework will comprise the capability to assimilate land surface temperature data from 21 geostationary satellite observations to better constrain the surface latent heat flux estimates as 22 well as the usage of new satellite observations like e.g. provided by the new SENTINEL 23 series of satellites. Recent advances in available computational resources allow for the first 24 time to exploit these high spatial resolution sensors at a global scale and might lead to operational services provided e.g. in the frame of Copernicus services. 25

A major constraint is nevertheless the lack of consistent and harmonized geostationary satellite data records. The mosaic of geostationary satellites, known as GEORING, is currently operated by individual space agencies and so far no longterm climate or operational dataset of harmonized and well intercalibrated geostationary radiance and brightness temperature data is available at the original sensor resolution. The GRIDSAT dataset, used in the present study is currently the only longterm GEORING dataset available, but is limited in

- its spatial resolution. Further developments towards Fundamental Climate Data records from
   geostationary satellite data are therefore required.
- Further studies using HOLAPS will therefore investigate the potential to use the novel SENTINEL data streams and to further reduce the dependency on reanalysis data by using e.g. the total column water vapor information from reanalysis data and exploit the potential of internally consistent land surface parameters like currently developed e.g. by different European projects (QA4ECV, MULTIPLY).
- 8 While the present study provides a sensitivity analysis of using the HOLAPS framework with 9 different forcing data, it would be important to conduct further in depth studies to disentangle 10 the different components of the overall error budget (model uncertainties, forcing 11 uncertainties, scale mismatches, reference data uncertainty) which still remains a major 12 challenge to be addressed by the research community.

## 13 Code availability

14 Code for this paper is available from the corresponding author on request. A publication of 15 the HOLAPS code in a public repository is envisaged as part of later releases.

## 16 Acknowledgements

This study was supported through the Cluster of Excellence CliSAP (EXC177), University of 17 18 Hamburg, funded through the German Science Foundation (DFG) which is gratefully 19 acknowledged. Dissemination of the FLUXNET data through http://www.fluxdata.org/ and 20 the work of the individual PIs for FLUXNET stations are very much appreciated. 21 EUMETSAT Satellite Application Facility on Climate Monitoring (CM SAF) climate data 22 products were used by permission of Deutscher Wetterdienst. We thank ECMWF for the use 23 of their ERA-Interim re-analysis, NASA for the dissemination and use of the TMPA satellite product, NCDC at NOAA for the dissemination and use of the GridSat radiation satellite 24 25 product, Beijing Normal University for the provision and use of their enhanced MODIS LAI data and the MODIS land team as well as the ESA Globalbedo project for the provision of 26 27 land surface parameters.

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## 1 Tables

# 3 Table 1: Overview of datasets used as drivers for HOLAPS

Variable	Dataset	Spatial resolution	Temporal resolution	Spatial coverage	Temporal coverage	Reference
Precipitation	TMPA v7	0.25°	3-hours	±50°	1998.1-present	(Huffman et al., 2007)
Surface solar radiation flux	METEOSAT SARAH SIS	2.5 km	hourly	Meteosat	1983. 1–2013. 12	(Müller et al., 2015)
TOA reflectance	GRIDSAT	8 km	3-hours	Global	1980.1-present	(Knapp et al., 2011)
Temperature	ERA-interim	T255 (~80 km)	6-hours	Global	1979.1-present	(Dee et al., 2011)
Wind speed	ERA-interim	T255 (~80 km)	6-hours	Global	1979.1-present	(Dee et al., 2011)
Total column water vapor	ERA-interim	T255 (~80 km)	6-hours	Global	1979.1-present	(Dee et al., 2011)
Pressure	ERA-interim	T255 (~80 km)	6-hours	Global	1979.1-present	(Dee et al., 2011)
Soil texture	HWSD	n/a	Static	Global	/	(FAO, 2012)
Surface albedo	Globalbedo	1 km	8 days	Global	1998 1-2011 12	
Surface albedo	Giobalocdo	I KIII	o days	Global	1776.1-2011.12	(Muller et al., 2012)
Leaf area index	MODIS Beijing Normal University	1 km	8 days	Global	2000.1-2015.12	(Yuan et al., 2011)

3 years as well as the data source: F = FLUXNET data; S = - satellite data for precipitation and

radiation; additional data from satellite for albedo and LAI, and from ECMWF reanalyses for

temperature, total column water vapor, and wind speed.

Coverage	Experiment	Numb	er of	Precipi	tation	Radia	Radiation		rature	Wind s	speed
		stations	years	F	S	F	S	F	S	F	S
Global	CTRL_G	48	101	Х		Х		Х		Х	
Metosat	CTRL_M	19	37	х		х		х		х	
disk	METEOSAT_M	19	37	х			х	Х		х	
	GRIDSAT_M	19	37	Х			х	Х		Х	
Global	GRIDSAT_G	48	101	Х			х	х		Х	
±50°	CTRL_50	30	61	х		х		х		х	
	GRIDSAT_50	30	61	х			х	Х		х	
	Tmpa_50	30	61		х	х		Х		х	
	Ta_50	30	61	х		х			Х	х	
	Wind_50	30	61	Х		х		Х			х
Metosat	CTRL_M_50	10	17	х		х		х		х	
disk & ±50°	METEOSAT_M_ 50	10	17		х		х		х		Х
	GRIDSAT_M_50	10	17		х		х		х		х

Table 2: List of performed model experiments. Includes the number of stations and station

Table 3: Overall HOLAPS accuracies for  $R_N$ , LE and  $R_g$ , at hourly (h), daily (d) and monthly

2	Table 3: Overall HOLAPS accuracies for $R_N$ , LE and $R_g$ , at hourly (h), daily (a
3	(m) timescales for the CTRL, GRIDSAT and METEOSAT experiments

Variable	Variable Experiment		RMSD [W m <sup>-2</sup> ]			MSD [W	m <sup>-2</sup> ]	R			
		h	d	m	h	d	m	h	d	m	
R <sub>N</sub>	CTRL_G	54.5	27.2	22.7	54.5	27.1	22.7	0.96	0.91	0.91	
	GRIDSAT_G	98.1	40.9	27.3	97.2	38.6	23.5	0.89	0.79	0.90	
LE	CTRL_G	51.2	30.7	26.3	49.1	26.9	21.8	0.87	0.79	0.81	
	GRIDSAT_G	61.8	33.1	25.5	60.8	31.0	22.8	0.79	0.71	0.80	
$R_g$	METEOSAT_M	83.9	24.7	15.3	83.6	23.5	13.2	0.94	0.97	0.99	
	GRIDSAT_M	109.6	52.9	31.8	106.5	46.1	17.0	0.91	0.87	0.98	

## **Figures**



5 Figure 1: HOLAPS drivers and estimated surface fluxes and modules



Figure 2: Forcing data and variable interdependencies in HOLAPS model. Only major output
variables are illustrated. Details for model formulations can be found in Appendix B



Figure 3: Distribution of FLUXNET stations used in this study. Light green corresponds to

- 4 latitudes between 50N and 50S which corresponds to the coverage of the TMPA precipitation
- 5 data (see text). Stations in red cannot be used when forced with TMPA data. Light orange
- *indicates approximate coverage of Meteosat data.*



3 Figure 4: Comparison of surface net radiation flux  $(R_N)$  between FLUXNET measurements

4 and HOLAPS estimates for the CTRL experiment: (a) hourly and (b) daily timescales. Colors

5 indicate the frequency of occurrence of values (data density).

6

2





Figure 5: Boxplots of validation statistics that are calculated at each station for surface net radiation  $(R_N)$  for hourly data and all experiments investigated: (a) RMSD, (b) cRMSD, (c) correlation coefficient. The box corresponds to the inner-quartile range of the data and the red line indicates the median value. Numbers indicate number of model years for each experiment.



3 Figure 6: Boxplots of (a) RMSD and (b) cRMSD for hourly surface solar radiation flux  $(R_g)$ 





Figure 7: Comparison of HOLAPS latent heat flux for (a) hourly and (b) daily timescale for
the CTRL experiment using results from all stations and years. Units in [W m<sup>-2</sup>].

- -



4 Figure 8: Boxplots of (a) RMSD, (b) cRMSD and (c) correlation coefficient for HOLAPS 5 hourly latent heat flux.

c)

Meteorat M 50 Meteoration M 50

U. J. M. 50

Wind 50

150 50 50 Tmpa Ta 50

G 50

Gridsat

2 2

a

6

3

0.0

-0.2

ctrl G Ctrl M

7

8

b)



Figure 9: Comparison of HOLAPS sensible heat flux for (a) hourly and (b) daily timescale for





Figure 10: Boxplots of (a) RMSD, (b) cRMSD and (c) correlation coefficient for HOLAPS sensible heat flux.

## 1 Appendix A: Acronyms

## 2 Acronyms used throughout the text are summarized in the following table.

3

## 4 *Table A1: Acronyms used throughout the manuscript*

symbol	variable	unit		
	General variables			
Cp	Heat capacity of dry air	$[J kg^{-1} K^{-1}]$		
ρ	Density of dry air	[kg m <sup>-3</sup> ]		
Δ	Slope of water vapor saturation curve	[Pa K <sup>-1</sup> ]		
γ	Psychrometer constant	[Pa K <sup>-1</sup> ]		
$\alpha_{pt} = 1.26$	Priestley Taylor parameter	[-]		
Λ	Leaf area index	$[m^2 m^{-2}]$		
ε	surface emissivity	[-]		
$\sigma = 5.670373 \cdot 10^{-8}$	Stefan-Boltzmann constant	$[W m^{-2} K^{-1}]$		
t	Time	[s]		
g = 9.80665	Gravity acceleration	[m s <sup>-2</sup> ]		
$T_a$	Air temperature (2m)	[K]		
Р	Precipitation rate	[m s <sup>-1</sup> ]		
Q	Runoff (fast, slow, percolation)	$[m s^{-1}]$		
ET	Evapotranspiration flux	$[m s^{-1}]$		
λ	Latent heat vaporization	[J kg <sup>-1</sup> ]		
	Radiation module			
CAL	Effective cloud albedo [0,, 1]	[-]		
а	Surface albedo	[-]		
С	Cloud cover fraction [0,, 1]	[-]		
$R_N, R_{N,S}, R_{N,C}$	Surface net radiation, soil/canopy net radiation	[W m <sup>-2</sup> ]		
$R_g, R_g^{clear}$	Shortwave downwelling flux, clear-sky downwelling flux	[W m <sup>-2</sup> ]		
$L^{\downarrow}$ , $L^{\downarrow}_{slab}$	Longwave downwelling flux, clear-sky longwave downwelling flux	[W m <sup>-2</sup> ]		
k	Clear sky index [0 1]	[-]		
TCW	Total column water vapor content	$[\text{kg m}^{-2}]$		

#### PBL module

$H_{v}$	Virtual heat flux	[W m <sup>-2</sup> ]
$H_{top}$	Entrainment flux	[W m <sup>-2</sup> ]
$\delta_{ heta_m}$	Mixed layer inversion strength	[K]
$ heta_m$	Boundary layer potential temperature	[K]
k	von Karman constant ( $\approx 0.41$ )	[-]
$\zeta = 0.01$	Dissipitation parameter	[-]
	Turbulent flux module	
u	Wind speed	$[m s^{-1}]$
$LE, LE_i, LE_s, LE_c$	Latent heat flux, subscripts indicate: interception, soil, canopy	[W m <sup>-2</sup> ]
ET	Evapotranspiration	[m s <sup>-1</sup> ]
$ET_i$	Evapotranspiration from canopy interception storage	[m s <sup>-1</sup> ]
h	Vegetation height	[m]
Н	Sensible heat flux	[W m <sup>-2</sup> ]
G	Soil heat flux	[W m <sup>-2</sup> ]
$u_*$	Friction velocity	[m s <sup>-1</sup> ]
f <sub>c</sub>	Vegetation cover fraction	[-]
$r_a$	Aerodynamic surface resistance	[s m <sup>-1</sup> ]
$\Psi_{m,h}$	Stability correction functions	
R <sub>i</sub>	Richardson number	[-]
$Z_{0,m}, Z_{0,h}$	Roughness lengths for momentum and heat	[m]
$\phi$	Vegetation inhibition function	[-]
$R_r$	Aerodynamic resistance	[s m <sup>-1</sup> ]
$R_c$	Canopy resistance	[s m <sup>-1</sup> ]
r <sub>rad</sub>	Radiation stress factor	[W m <sup>-2</sup> ]
$r_{min}, r_{max}$	minimum and maximum canopy resistance	[s m <sup>-1</sup> ]
$\gamma_{\theta m}$	Potential temperature lapse rate	[K m <sup>-1</sup> ]
Zveg	Vegetation height	[m]
	Water flux and soil module	
I, I <sub>max</sub>	Canopy interception storage, maximum interception storage	[m]
$C_G$	Thermal inertial coefficient	$[K m^2 J^{-1}]$

d = 1.5 m Soil temperature damping scale depth [m	] 1 <sup>-1</sup> ]
	1 <sup>-1</sup> ]
$\gamma_s$ Soil temperature lapse rate [K m	-
<i>D</i> Throughfall and drainage of water from the canopy layer to the soil [m s	1]
<i>T<sub>s</sub></i> Surface temperature [K	]
<i>T<sub>d</sub></i> Deep soil temperature [K	]
<i>z</i> Vertical coordinate (e.g. boundary layer height, soil depth) [m	]
$m_v$ Volumetric soil moisture [m <sup>3</sup> ]	n <sup>-3</sup>
Θ Relative degree of saturation for soil moisture [-]	
<i>K</i> Unsaturated soil conductivity [m s	-1]
Ψ Soil suction pressure head [m	]
W Water storage in soil [m	]

## 1 Appendix B: Detailed HOLAPS model description

The different components of the HOLAPS framework and its land surface model are
described in detail in the following sections. The variable definitions used and their units are
summarized in Table A1.

## 5 B.1 HOLAPS runtime environment

6 The general workflow of the HOLAPS runtime environment is illustrated in Figure B1. After 7 specifying the model setup by the user, the HOLAPS main controller checks the availability 8 of all required data and then launches subprocesses to run the model. Required forcing data is 9 read for each time step and interpolated in space and time if required. Surface water and 10 energy fluxes are calculated for each timestep. Results are then written to netCDF files and 11 additional statistics are calculated if required.



12

13 Figure B1: HOLAPS runtime environment

## 1 B.2 HOLAPS sub-modules

2 The different sub-modules used within HOLAPS are described in the following.

## 3 **B.2.1 Surface energy balance**

4 The surface energy balance is given as:

$$R_N - LE - H - G = 0 \tag{3}$$

5  $R_{\rm N}$  is estimated from the shortwave and longwave radiation fluxes as:

$$R_N = (1 - \alpha)R_g + \varepsilon L^{\downarrow} - \varepsilon \sigma T_s^4 \tag{4}$$

- 6 The ground heat flux G is obtained through the coupling of the surface energy balance model
- 7 to a soil model that simulates the surface temperature temporal evolution (see B2.3).

## 8 **B.2.2 Radiation module**

## 9 Shortwave solar surface radiation fluxes

10 The shortwave clear sky solar radiation flux  $(R_g^{clear})$  is estimated using the MAGIC radiative 11 transfer model (Mueller et al., 2009). The shortwave surface downwelling solar flux  $(R_g)$  for 12 all sky conditions is then obtained from the clear-sky downwelling solar flux and the clear sky 13 index k as (Posselt et al., 2012)

$$R_a = k(CAL)R_a^{clear} \tag{5}$$

14 The clear sky index is related to CAL through the following relationship (Hammer et al.,15 2003)

$$k = \begin{cases} 1.2 & CAL \le -0.2 \\ 1 - CAL & -0.2 < CAL \le 0.8 \\ a + b \cdot CAL + c \cdot CAL^2 & 0.8 < CAL \le 1.1 \\ 0.05 & CAL > 1.1 \end{cases}$$
(6)

16 where *a*=2.0667, *b*=-3.667, *c*=1.6667.

## 17 Longwave surface radiation fluxes

18 The longwave surface downwelling radiation flux  $(L^{\downarrow})$  depends on the near surface moisture 19 and temperature profile as well as the cloud coverage. The clear sky longwave downwelling 20 radiation flux  $L_{slab}^{\downarrow}$  is calculated using the PBL model (Margulis and Entekhabi, 2001).  $L_{slab}^{\downarrow}$ 21 is then corrected for cloud coverage as (Brubaker and Entekhabi, 1995):

$$L_{\downarrow} = L_{slab}^{\downarrow} (1 + 0.17c^2) \tag{7}$$

## 1 **B2.3** Soil module

2 The surface temperature T<sub>s</sub> [K] is obtained by a revised force restore approach (Ren and Xue,
3 2004) as:

$$\frac{\partial T_s}{\partial t} = C_G(R_N - LE - H) - \omega(T_s - T_d - \pi d \gamma_s) - AB'' \sin[\omega t + a'']$$
(8)

where *A* [K] is the diurnal temperature amplitude of  $T_s$ ,  $C_G = 2(\Gamma\sqrt{86400 \pi})^{-1}$  [K m<sup>2</sup>J<sup>-1</sup>] is the thermal inertia coefficient and  $\Gamma$  is the thermal inertia which is estimated as function of soil moisture conditions (Murray and Verhoef, 2007) and  $\omega = \frac{2\pi}{86400}$  is the diurnal angular frequency. The parameters *B*" and *a*" in (8) are set to *a*"=0.45 $\pi$  and *B*"=0.158 (Ren and Xue, 2004). The prognostic equation for the deep soil layer temperature  $T_d$  is

$$\frac{\partial T_d}{\partial t} = -\frac{1}{\tau} (T_d - T_s + \gamma_s \pi d) \tag{9}$$

9 where *d* is the soil temperature damping scale depth with typical values in the order of 10 d=0.15 [m]. The lapse rate between the mean surface and deep-layer temperature  $\gamma_s$  [K m<sup>-1</sup>] is 11 estimated from the differences between  $T_s$  and  $T_d$  and  $\tau = 86400$  [s] is the time period, one 12 day in our case.

## 13 **B.2.4 Water balance module**

14 The surface water balance is defined as

$$P - \frac{\partial I}{\partial t} - Q - ET - \frac{\partial W}{\partial t} = 0$$
<sup>(10)</sup>

The soil moisture dynamics is calculated using a multilayer soil scheme, discretized into 5 layers. The soil layers have a thickness of dz=[0.05,0.1,0.25,0.6,1.0] [m]. Soil moisture fluxes between the different soil layers are simulated by solving numerically the Richards equation (Richards, 1931) whereas only vertical moisture fluxes are considered:

$$\frac{\partial m_{\nu}}{\partial t} = \frac{\partial}{\partial z} \left[ K(m_{\nu}) \left( \frac{\partial \psi}{\partial z} + 1 \right) \right]$$
(11)

19 The water fluxes between the different soil layers is solved using a numerical approach. The 20 net soil water flux in a soil layers is hereby determined by the fluxes into and from the layers 21 above any below, whereas the model allows for both downward (percolation) as well as

- 1 upward (capillary rise) fluxes. Surface runoff Q is obtained as the excess of water that can not 2 infiltrate the soil when maximum infiltration capacity is reached. The relationship between 3 volumetric soil moisture content and soil suction head  $\psi$  is calculated using the model of van 4 Genuchten (1980).
- 5 The water interception by the canopy is estimated by (Valente et al., 1997)

$$\frac{\partial I}{\partial t} = P - ET_I - D \tag{12}$$

6 where  $ET_{I}=\lambda^{-1}LE_{i}$  is the transpiration from the canopy interception storage and *D* is the 7 through fall and drainage of water from the canopy layer to the soil.

## 8 **B.2.5** Turbulent flux module

9 For a vegetated patch with fractional vegetation coverage  $f_c$  the surface latent heat flux is 10 calculated as the weighted sum of the evaporation from soil  $(LE_s)$ , the transpiration from the 11 canopy  $(LE_c)$  as well as evaporation from water intercepted by the canopy layer  $(LE_i)$  as

$$LE = (1 - f_c)LE_s + f_c[(1 - w_I)LE_c + w_ILE_i]$$
(13)

12 where  $w_{I}=(I/I_{max})^{b}$  is a weighting factor dependent on the current canopy interception storage 13 *I*, the potential maximum interception storage  $I_{max}(\Lambda)$  (von Hoyningen-Huene, 1981) and an 14 empirical parameter *b*=0.5 (Chen and Dudhia, 2001). The vegetation cover fraction  $f_{c}$  is 15 obtained from leaf area index ( $\Lambda$ ) as (Norman et al., 1995):

$$f_c = 1 - e^{-0.5\Lambda}$$
(14)

which assumes a random leaf distribution with spherical leaf angle distribution. The different
latent heat flux components in Equation (13) are then estimated using the Priestley-Taylor
approach as:

$$LE_{s} = \phi \alpha_{p} R_{N,s} \frac{\Delta}{\Delta + \gamma}$$

$$LE_{c} = \phi \alpha_{p} R_{N,c} \frac{\Delta}{\Delta + \gamma}$$

$$LE_{i} = \alpha_{p} R_{N,c} \frac{\Delta}{\Delta + \gamma}$$
(15)

19 where  $\alpha_{pt}$ =1.26 is the Priestley-Taylor parameter for equilibrium evapotranspiration and  $\Delta$ ,  $\gamma$ 20 are the slope of the water vapor saturation curve and psychrometer constant [Pa K-1] 1 respectively. The inhibition function  $0 \le [\phi] \le 1$  describes the reduction of LE due to 2 limiting factors like radiation, temperature and soil moisture. The soil net radiation is 3 estimated as (Norman et al., 1995):

$$R_{N,S} = R_N e^{0.9 \ln(1 - f_c)} \tag{16}$$

4 and the canopy net radiation is then calculated as

$$R_{N,C} = R_N - R_{N,S} \tag{17}$$

5 The sensible heat flux is estimated as:

$$H = \rho c_n (T_s - T_a) / r_a \tag{18}$$

6 where the aerodynamic surface resistance  $r_a$  [s m<sup>-1</sup>] is calculated as:

$$r_{a} = \left[ \left( log \frac{z-d}{z_{0,m}} - \Psi_{m} \right) \left( log \frac{z-d}{z_{0,h}} - \Psi_{h} \right) \right] [k^{2}u_{z}]^{-1}$$
(19)

where  $k \approx 0.41$  is the von Karman constant and  $u_z$  corresponds to the win speed at canopy height and is obtained from wind speed data assuming a logarithmic wind profile and a displacement height *d* corresponding to 2/3 of the vegetation height (Maidment, 1993). The stability correction functions  $\Psi_{m,h}$  are calculated after (Paulson, 1970) using the Richardson number *Ri* as an indicator for atmospheric stability. The roughness lengths for momentum and heat ( $z_{0,m}, z_{0,h}$ ) are parameterized for each landcover type (Table B1).

## 13 Surface inhibition functions

14 The canopy inhibition function  $0 \le \varphi_c \le 1$  is defined as (Chen and Dudhia, 2001)

$$\phi = \frac{1 + \Delta R_r^{-1}}{1 + C_h R_c + \Delta R_r^{-1}}$$
(20)

where  $R_r$  is a function of surface air temperature and pressure,  $C_h$  is the surface exchange coefficient for heat and moisture and  $R_c$  is the canopy resistance, given as

$$R_c = \frac{r_{min}}{\Lambda f_{S^{\downarrow}} f_{T_a} f_{m_v}}$$
(21)

17 with

$$f_{S^{\downarrow}} = \frac{r_{min}r_{max}^{-1} + ff}{1 + ff}$$
(22)  
$$f_{T_a} = 1 - 0.0016(298 - T_a - 273.15)^2$$
$$f_{m_v} = \frac{ln\frac{w_0w_f}{w_0 + (w_f - w_0)\exp(-\mu\Theta)}}{\ln w_f}$$

with  $ff = \frac{1.1S_{\downarrow}}{\Lambda r_{rad}}$ , where  $r_{rad}$  is a radiation specific parameter [W/m<sup>2</sup>] and  $r_{min}$  and  $r_{max}$  are the 1 minimum and maximum canopy resistance [s m<sup>-1</sup>], which are all landcover specific 2 parameters (Table B1). The relative degree of soil saturation is given by  $\Theta$  and  $w_0=1$ ,  $w_f=800$ , 3  $\mu=12$  are empirical parameters (Anderson et al., 2007).  $f_{Ta}$  and  $f_{S}^{\downarrow}$  are based on (Chen and 4 5 Dudhia, 2001).

#### 6 **B.2.6** Planetary boundary layer module

7 The prognostic equations of the PBL model are given by (Kim and Entekhabi, 1998;Smeda, 8 1979)

$$\frac{\partial z}{\partial t} = \frac{2(G_* - D_1 - \delta D_2)\theta_m}{gz\delta_{\theta_m}} + \frac{H_v}{\rho c_p\delta_{\theta_m}}$$
(23)

9

$$\rho c_p z \frac{d\theta}{dt} = H - H_{top} - R \tag{24}$$

10 with

$$R = a(\theta_m - \theta_s) \tag{25}$$

with the proportionality constant  $a=10^{-5}$  [s<sup>-1</sup>] (Smeda, 1979). Alternative approaches to 11 12 simulate the radiative cooling have been proposed (Kim and Entekhabi, 1998;Margulis and Entalshahi 2001) Th 1.4 וחח 1 0 1 13

Entekhabi, 2001). The relationship between PBL air temperature (T) and 
$$\theta$$
 is given by

$$\theta = T(P_0 P^{-1})^{R/c_p} \tag{20}$$

with  $R/c_p \approx 0.286$  for air. The details of the model formulations are based on Smeda (1979) 14 and are given as follows: 15

$$G_* = u_*^2 \tag{27}$$

50

 $(\mathbf{n} \mathbf{c})$ 

$$D_1 = u_*^2 u (1 - e^{-\zeta z})$$
(28)

$$D_2 = 0.4 \left( \frac{gz}{\theta_m} \frac{H_v}{\rho c_p} \right) \tag{29}$$

$$H_{\nu} = H + 0.61 \,\theta_m c_p ET \approx H + 0.07 LE \tag{30}$$

1 with  $\delta=0$  in stable conditions and  $\delta=1$  in unstable conditions. We set  $\zeta=0.01$  to ensure a 2 realistic collapse of the PBL (Kim and Entekhabi, 1998).

During daytime, the growth of the PBL is determined by the right side in equation (32).
During the transition between unstable and stable conditions, the PBL collapses because of
turbulence dissipation. The PBL height during this transition phase is given as (Smeda, 1979)

$$z = -\frac{2(G_* - D_1)\rho c_p \theta_m}{H_v g}$$
(31)

## 6 when assuming that $H_{top}=0$ . Equation (31) is applied in this transition phase until

$$\left|\frac{dz}{dt} - \frac{H_{\nu}}{\rho c_p \delta_{\theta_m}}\right| \le 0.05 \frac{H_{\nu}}{\rho c_p \delta_{\theta_m}}$$
(32)

7 The mixed layer is capped by an inversion with inversion strength  $\delta_{\theta_m}[K]$  which determines 8 the entrainment of overlying dry air from the free atmosphere as (McNaughton and Spriggs, 9 1986)

$$H_{top} = -\rho c_p \delta_{\theta_m} \frac{dz}{dt}$$
(33)

## 10 Dry air entrainment causes the inversion strength itself to change according to

$$\frac{d\delta_{\theta_m}}{dt} = \gamma_{\theta_m} \frac{dz}{dt} - \frac{d\theta}{dt}$$
(34)

11 where  $\gamma_{\theta m}$  [*K*/*m*] is the potential temperature lapse rate above the PBL and is assumed to be 12 constant.

13

## **B.3** Model parameterization

The landcover specific model parameters are summarized in the following table. They arebased on the publications of Chen and Dudhia (2001) and Hagemann (2002).

*Table B1: Land cover specific parameters* 

Landcover	$\alpha_{pt}$	r <sub>min</sub>	r <sub>max</sub>	r <sub>rad</sub>	$z_{0,m}$	<b>z</b> <sub>0,h</sub>	Zveg
Bare soil	1.26	400	5000	-	0.001	0.001	-
Cropland	1.26	40	5000	30	0.01	0.001	0.2
Deciduous broadleaf forest	0.91	100	5000	30	1.0	0.1	15
Coniferous forest	0.91	150	5000	30	1.4	0.14	15
Coniferous forest or deciduous	0.91	150	5000	30	1.2	0.14	15
Deciduous broadleaf forest and broad	0.91	100	5000	30	1.0	0.1	15
leaf / mixed forest							
Grassland	1.26	40	5000	100	0.01	0.001	0.2
Savanna	1.26	300	5000	100	0.01	0.001	0.4
Deciduous broadleaf forest and broad	0.91	100	5000	30	1.0	0.1	15
leaf / mixed forest							

## 1 **B.4** Interpolation methods

2 Different interpolation approaches are used to interpolate the input data onto the HOLAPS 3 computational grid and time step. The used techniques are summarized in Table B2 for each 4 of the HOLAPS drivers. Nearest neighbour remapping as well as bilinear interpolation are 5 currently used for spatial remapping. The temporal interpolation is based on a linear 6 interpolation of measurements  $(y_1, y_2)$  between two observation times  $(t_1, t_2)$ 

$$y = wy_2 + (1 - w)y_1$$
(36)

7 whereas the weight *w* depends on the sampling times and the actual model timestep.

8 To handle data gaps, the HOLAPS framework provides currently the following options:

- 9 *ignore*: the data gap is ignored and filled by interpolation
- *last\_valid*: use last valid value of the variable and fill the data gap with this value
- *last\_valid\_same\_time*: use the last valid data at the same time of the day. This option
  is in particular usefull for data which shows a strong diurnal dynamics (e.g. radiation).
- 13 In that case, using the last valid value would lead to erroneous diurnal forcing data
- when data gaps of a few hours occur, which can be quite often the case when usinge.g. FLUXNET data.
- *climatology*: use a climatological mean annual cycle for the calculations

17 Interpolation methods can be easily changed by the user in configuration files.

18 The special case of radiation data

19 No direct interpolation is performed for the radiation data, as a linear approximation might

- 20 not be sufficient to capture the diurnal cycle of the surface solar radiation flux. Instead, the
- 21 clear sky index (k) is interpolated in time and then used to calculate  $R_g$  using (6).

Table B2: Summary of spatial and temporal interpolation methods used within the HOLAPS
 framework for different driver variables

Variable	Spatial interpolation method	Temporal interpolation method
Precipitation	Bilinear	
Surface solar radiation flux	Bilinear	Last_valid_same_time (interpolation
		of clear sky index)
Temperature	Bilinear	Last_valid
Wind speed	Bilinear	Last_valid
Total column water vapor	Bilinear	Last_valid
Pressure	Bilinear	Last_valid
Soil texture	Nearest neighbor	n/a
Landcover	n/a	n/a
Surface albedo	Bilinear	Last_valid
Leaf area index	Bilinear	Last_valid

#### **Appendix C: Fluxnet stations** 1

2

3 Table C1: List of FLUXNET stations investigated. The coverage term specifies the location of

4 each FLUXNET station.  $\pm 50^{\circ}$  refers to that the station is within the latitudes between  $50^{\circ} N$ 

5 and 50° S, while Meteosat indicates the station is within the coverage of Meteosat. For

6 details on the spatial coverage see Figure 3.

NT		<b>T</b> (			Years			Coverage		
N	Station ID	Lat	Lon	2003	2004	2005	Global	±50°	Meteosat	Keference
1	ATNeu	47.12	11.32	Х	Х		Х	Х	Х	(Wohlfahrt et al., 2008)
2	AUHow	-12.49	131.15	Х	Х	Х	Х	Х		(Hutley et al., 2000)
3	AUTum	-35.66	148.15	Х	Х	Х	Х	Х		(Leuning et al., 2005)
4	BEBra	51.31	4.52		Х	Х	Х		Х	(Gond et al., 1999)
5	BEVie	50.31	6.00			Х	Х		Х	(Aubinet et al., 2001)
6	CAMan	55.88	-98.48	Х			Х			(Dunn et al., 2007)
7	CAMer	45.41	-75.52	Х	Х		Х	Х		(Lafleur, 2003)
8	CANS1	55.88	-98.48	Х	Х		Х			(Gouldon et al., 2006)
9	CANS2	55.91	-98.52	Х	Х	Х	Х			(Gouldon et al., 2006)
10	CANS3	55.91	-98.38		Х	Х	Х			(Gouldon et al., 2006)
11	CANS4	55.91	-98.38	Х			Х			(Gouldon et al., 2006)
12	CANS5	55.86	-98.49	Х	Х	Х	Х			(Gouldon et al., 2006)
13	CANS6	55.92	-98.96	Х	Х	Х	Х			(Gouldon et al., 2006)
14	CANS7	56.64	-99.95	Х	Х		Х			(Gouldon et al., 2006)
15	CAQcu	49.27	-74.04	Х	Х	Х	Х	Х		
16	CASF3	54.09	-106.01	Х	Х	Х	Х			(Mkhabela et al., 2009)
17	CHOe1	47.29	7.73	Х			Х	Х	Х	(Ammann et al., 2007)
18	CZBK1	49.50	18.54			Х	Х	Х	Х	
19	DEGri	50.95	13.51			Х	Х		Х	(Gilmanov et al., 2007)
20	DEHai	51.08	10.45	Х	Х	Х	Х		Х	(Knohl et al., 2003)
21	DEMeh	51 28	10.66		x	x	x		x	(Scherer-Lorenzen et al., 2007)
22	DETha	50.96	13 57	x	x	x	x		x	2007)
23	DEWet	50.45	11.46	X	x	X	x		X	(Rebmann et al., 2010)
23	FRHes	48.67	7.06	x	x	x	x	х	X	(Granier et al., 2000)
25	FRLBr	44.72	-0.77	X			x	X	X	(Berbigier et al., 2001)
26	FRPue	43.74	3.60		х	х	X	x	X	(Allard et al., 2008)
27		46.69	19.60	х	x	X	x	x	X	(Nagy et al., 2007)
28	ITCnz	41.71	12.38		x	X	x	X	X	(Garbulsky et al., 2008)
29	ITRo2	42.39	11.92		x	28	x	X	X	(Tedeschi et al., 2006)
30	ITSRo	43.73	10.28	Х			x	X	X	(Chiesi et al., 2005)
31	NLCa1	51.97	4.93	X	х	х	X		X	(Beliaars and Bosveld, 1997)
51	1 LCu1	51.71	7.75	11	21	21	11		11	(Berjuurs und Dosverd, 1997)

32	NLLoo	52.17	5.74	Х		Х	Х		Х	(Dolman et al., 2002)
33	USARM	36.61	-97.49	Х	Х	Х	Х	Х		(Fischer et al., 2007)
34	USAud	31.59	-110.51		Х	Х	Х	Х		(Tang et al., 2011a;Yang et al., 2008)
35	USBkg	44.35	-96.84		Х	Х	Х	Х		(Zhang et al., 2008)
36	USB01	40.01	-88.29	Х	Х	Х	Х	Х		(Meyers, 2004)
37	USFPe	48.31	-105.10	Х	v	Х	X	X		(Gilmanov et al., 2005;Zhang et al., 2008)
30	USHo1	45 20	-69.87	x	л Х		x	X X		(Hollinger et al. 2004)
40	USHo2	45.21	-68.75	X	X		x	x		(Hollinger et al., 2004)
41	USLos	46.08	-89.98	X	X	Х	X	X		(moninger et al., 2001)
42	USMOz	38.74	-92.20			Х	Х	Х		(Gu et al., 2007;Gu et al., 2006)
43	USNe1	41.17	-96.48	Х	Х		Х	Х		(Verma et al., 2005)
44	USNe2	41.16	-96.47	Х	Х		Х	Х		(Verma et al., 2005)
45	USNe3	41.18	-96.44	Х	Х		Х	Х		(Verma et al., 2005)
46	USOho	41.55	-83.84		Х	Х	Х	Х		
47	USTon	38.43	-120.97	Х		Х	Х	Х		(Baldocchi et al., 2004)
48	USWCr	45.81	-90.08	Х		Х	Х	Х		(Cook et al., 2004)

## **1** Appendix D: Ancillary HOLAPS evaluation results

2



5 Figure D1: Similar error statistic for  $R_N$  like Figure 4 but for daily timescales: (a) RMSD, (b) 6 cRMSD, (c) correlation coefficient.



3 Figure D2: Similar error statistic for  $R_N$  like Figure 4 but for monthly timescales: (a) RMSD,

- 4 (b) cRMSD, (c) correlation coefficient.
- 5



Figure D3: Similar error statistic for LE like in Figure 8 but for daily values: (a) RMSD, (b)
cRMSD, (c) correlation coefficient.



- 4 Figure D4: Similar error statistic for LE like in Figure 8 but for monthly values: (a) RMSD,
  5 (b) cRMSD, (c) correlation coefficient.



*Figure D5:Similar error statistics for sensible heat flux like in* Figure 10 *but for daily values:*(a) *RMSD*, (b) *cRMSD*, (c) *correlation coefficient*.



*Figure D6:Similar error statistic for sensible heat flux like in Figure 10 but for monthly values: (a) RMSD, (b) cRMSD, (c) correlation coefficient.*

## **1** Appendix E: Performance of HOLAPS over different biomes, specific times

## 2 and cloudiness conditions



3





2 Figure E1: Comparison of HOLAPS latent heat flux for different biomes using results from

- 3 all stations and years: Dbf = deciduous broadleaf forest, Ebf = evergreen broadleaf forest,
- *Enf* = *evergreen needleleaf forest.*



4 Figure E2: Error statistic for hourly latent heat flux over different biomes: (a) RMSD, (b)
5 cRMSD, (c) correlation coefficient. Dbf = deciduous broadleaf forest, Ebf = evergreen
6 broadleaf forest, Enf = evergreen needleleaf forest.





Figure E3: Error statistics for HOLAPS latent heat flux over daytime: a) comparison using
results from all stations and years, b-d) box plots of validation statistics that are calculated at
each station.



Figure E4: Error statistics for HOLAPS latent heat flux over nighttime: a) comparison using
results from all stations and years, b-d) box plots of validation statistics that are calculated at
each station.



Figure E5: Error statistics for HOLAPS latent heat flux over clear sky condition: a)
comparison using results from all stations and years, b-d) box plots of validation statistics
that are calculated at each station.



Figure E6: Error statistics for HOLAPS latent heat flux over partly cloudy sky condition: a)
comparison using results from all stations and years, b-d) box plots of validation statistics
that are calculated at each station.



4 Figure E7: Error statistics for HOLAPS latent heat flux over cloudy sky condition: a)
5 comparison using results from all stations and years, b-d) box plots of validation statistics
6 that are calculated at each station.