

1 Evaluation of near surface ozone over Europe from the 2 MACC reanalysis

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16 Abstract

17 This work is an extended evaluation of near surface ozone as part of the global reanalysis of
18 atmospheric composition, produced within the European Funded project MACC (Monitoring
19 Atmospheric Composition and Climate). It includes an evaluation over the period 2003-2012
20 and provides an overall assessment of the modelling system performance with respect to near
21 surface ozone for specific European subregions. Measurements at rural locations from the
22 European Monitoring and Evaluation Program (EMEP) and the European Air Quality
23 Database (AirBase) were used for the evaluation assessment. The fractional gross error of
24 near surface ozone reanalysis is on average 24% over Europe, the highest found over
25 Scandinavia (27%) and the lowest over the Mediterranean marine stations (21%). Near
26 surface ozone shows mostly a negative bias in winter and a positive bias during warm months.
27 Assimilation reduces the bias in near surface ozone in most of the European subregions -
28 with the exception of the British Isles and the Iberian Peninsula and its impact is mostly
29 notable in winter. With respect to the seasonal cycle, the MACC reanalysis reproduces the

1 photochemically driven broad spring-summer maximum of surface ozone of central and south
2 Europe. However, it does not capture adequately the early spring peak and the shape of the
3 seasonality at northern and north-eastern Europe. The diurnal range of surface ozone, which is
4 as an indication of the local photochemical production processes, is reproduced fairly well,
5 with a tendency for a small overestimation during the warm months for most subregions
6 (especially in central and southern Europe). Possible reasons leading to discrepancies between
7 the MACC reanalysis and observations are discussed.

8 **1 Introduction**

9 The European projects MACC (Monitoring Atmospheric Composition and Climate) and
10 MACC-II (Interim Implementation) were established under the umbrella of the European
11 Copernicus programme, formerly known as GMES (Global Monitoring for Environment and
12 Security), to build and demonstrate a core capability for providing a comprehensive range of
13 services related to the chemical and particulate composition of the atmosphere (Hollingsworth
14 et al. 2008; Flemming et al., 2009; Inness et al., 2013). Within MACC operational forecasts of
15 atmospheric composition on global (Stein et al., 2012) and regional scale are produced.
16 Furthermore, the MACC reanalysis (Inness et al., 2013) provides global atmospheric
17 composition fields which can be used to serve as boundary conditions for regional air quality
18 models over Europe and world-wide.

19 The MACC global model used for both reanalysis and forecasts consists of the European
20 Center for Medium-Range Weather Forecasts' (ECMWF) Integrated Forecast System (IFS)
21 coupled to the MOZART-3 (Kinnison et al., 2007) chemistry transport model. The ECMWF
22 modelling system makes use of its data-assimilation capabilities to combine observations of
23 atmospheric composition with the numerical model in order to produce a reanalysis of
24 atmospheric composition (Inness et al., 2009; Inness et al., 2015). ECMWF has many years of
25 experience in producing reanalysis products, starting from ERA-40 (Dethof and Holm, 2004)
26 and continuing with ERA-Interim (Dragani, 2010, 2011).

27 Evaluation of MACC data is being done on a regular basis (Eskes et al., 2015) and
28 specifically for trace gases in the global troposphere (e.g. Stein et al., 2014) and the
29 stratosphere (e.g. Lefever et al., 2014). The global reanalysis products are mostly used as a
30 reference dataset for specific case studies (e.g. Knowland et al, 2014) or as boundary
31 conditions for international activities, like the Air Quality Modelling Evaluation International
32 Initiative-AQMEII (Air Quality Modelling Evaluation International Initiative) starting from

1 phase I (e.g. Schere et al., 2012) up to its current phase III. It is therefore useful to have a
2 systematic analysis on a key atmospheric species of the global reanalysis product i) as a
3 reference for those wishing to use it in their studies ii) as a general assessment of the system
4 performance, identifying potential issues needing further improvement.

5 In this work special emphasis is given on the evaluation of near surface ozone over Europe for
6 the whole reanalysis period produced within MACC (2003-2012). Near surface ozone is one
7 of the main pollutants affecting both human health and vegetation (Fuhrer and Booker, 2003;
8 Scebba et al., 2005; Schlink et al., 2006). Sources of tropospheric ozone can be either the
9 stratosphere-troposphere transport or the photochemical production through oxidation of
10 VOCs (volatile organic compounds) and CO in the presence of adequate NO_x
11 (NO_x=NO₂+NO) concentrations (Lelieveld and Dentener, 2000). It can be destroyed
12 photochemically or by dry deposition at the surface. Ozone precursors have natural as well as
13 anthropogenic sources, the most important of which are emissions from soil, vegetation and
14 fossil fuel combustion. Ambient ozone concentrations depend strongly on availability and
15 relative abundance of those precursors but they are also modulated by the meteorological
16 conditions (Davies et al., 1992; Bloomfield et al., 1996; Baertsch-Ritter et al., 2004; Hegarty
17 et al., 2007; Kalabokas et al., 2008).

18 The issue of the short-term and long-term ozone variability is complex, being related to
19 changes of anthropogenic and natural emissions, meteorological conditions, atmospheric
20 boundary layer mixing processes and stratosphere-troposphere exchange. Although a number
21 of measures aimed at reducing NO_x and VOC emissions have been effective in reducing
22 concentration of precursor species (Vestreng et al., 2009) and peak ozone values in Europe
23 (EMEP/CCC-Report 1/2005;), there are many studies suggesting that background
24 tropospheric ozone levels (even near the surface) are increasing (Chevalier et al., 2007;
25 Ordóñez et al., 2007; Hess and Zbinden, 2013; Wilson et al., 2012; Akritidis et al., 2014).
26 Parrish et al., (2012) reported a slower rate of increase over the last decades at European sites,
27 to the extent that at present O₃ is decreasing at some sites, mostly in summer.

28 Furthermore, although the current consensus view is that photochemistry is the major
29 contributor to the observed background ozone levels in the troposphere, there is still no
30 consensus as to the mechanisms that lead to the formation of the spring ozone maximum
31 observed in certain locations of the northern hemisphere, distant from nearby pollution
32 sources (Crutzen et al., 1999; Lelieveld and Dentener, 2000; Monks, 2000; Zanis et al., 2007).

1 The spring ozone maximum observed in certain locations of the northern hemisphere, distant
2 from nearby pollution sources, has mainly two contributions; i) the stratosphere to
3 troposphere transport (STT) (Stohl et al., 2003 and references therein) and ii) ozone
4 production in the troposphere on a hemispherical scale, related to photochemical processing
5 of precursor tropospheric trace gases (CO, NO_x, VOCs) built up in winter (Penkett and Brice,
6 1987) and the longer lifetime of ozone during winter that allows anthropogenically produced
7 ozone to accumulate (Lie et al., 1987; Yienger et al., 1999).

8 In this paper we evaluate near surface ozone of the MACC reanalysis over Europe from 2003
9 to 2012. We provide an overall assessment of the model performance, putting special
10 emphasis on the reproduction of annual and diurnal cycles. When possible, we provide
11 potential explanations for model inabilities to reproduce specific observational characteristics
12 of certain subregions and finally we suggest points of future work.

13 **2 Methodology**

14 **2.1 Global model**

15 The IFS includes greenhouse gases (Engelen et al., 2009) and aerosols (Benedetti et al., 2009;
16 Morcrette et al., 2009). In MACC, the MOZART-3 chemistry transport model has been
17 coupled to the IFS to provide chemical tendencies for ozone, carbon monoxide, nitrogen
18 oxides, and formaldehyde (Flemming et al., 2009), while chemical data assimilation for these
19 species takes place in IFS (Inness et al., 2009; Inness et al., 2015). MOZART-3 as used in the
20 MACC reanalysis system is described in Stein et al. (2012; 2013).

21 A data assimilation system for aerosol, greenhouse gases and reactive gases is in place based
22 on ECMWF's 4D-VAR data assimilation system. The fields of MACC reanalysis (hereafter
23 MRE) are available globally at a horizontal resolution of ~80 Km (T159 spectral resolution)
24 and 60 hybrid sigma-pressure levels from the surface up to 0.1 hPa. More details on the CTM
25 and the IFS configurations and the data assimilation system are provided by Inness et al.
26 (2015) and references therein. A combination of profile and total column ozone retrievals was
27 assimilated in MRE, namely GOME, MIPAS, MLS, OMI, SBUV/2, SCIAMCHY (Table 1)
28 using ECMWF's 4D-Var assimilation algorithm (Courtier et al., 1994). For a more detailed
29 description of the assimilation setup see Inness et al. (2013). It should be noted that no
30 tropospheric ozone data were assimilated, so that the impact of the assimilation on near
31 surface ozone comes from the residual of assimilating stratospheric and total column ozone

1 Since several satellite instruments are used to assimilate one parameter in the data
2 assimilation system, a bias correction method is applied to the data to account for the
3 instrumental inconsistencies. In MRE a variational bias correction scheme for radiance data
4 has been extended to atmospheric composition data (Inness et al., 2013). In the variational
5 scheme biases are estimated during the analysis by including bias parameters in the control
6 vector. The bias corrections are continuously adjusted to optimize the consistency with all
7 information used in the analysis. The impact of assimilation on near surface ozone is only the
8 “residual” of correcting the stratospheric and total ozone column, plus the assimilation of
9 other relevant gases that impact ozone chemistry (CO, NO₂) (Inness et al., 2013).

10 To investigate the impact of assimilation on key atmospheric species, a control run was also
11 performed (hereafter CTRL), using the same reanalysis settings without assimilation. As
12 explained in Inness et al. (2013) (section 2.5), it would have been computationally too
13 expensive to produce a control analysis experiment that was identical to the MACC
14 reanalysis, but did not actively assimilate observations of reactive gases. Instead, a
15 MOZART-3 stand-alone run was carried out that applied the same settings (model code,
16 resolution, emissions) as MOZART in the MACC reanalysis. The meteorological data for the
17 stand-alone run were taken from the reanalysis, but the control run had free-running
18 chemistry. The results from this control run can be used to detect the impact of the
19 assimilation of GRG observations in the MACC reanalysis. Since the meteorological input
20 data were derived from interpolation of archived 6-hourly output from the MACC reanalysis,
21 and not through hourly exchange as in the reanalysis, the stand-alone run was not a
22 completely clean control run. However, these differences would be small. The comparison
23 between the MRE and the CTRL is confined to the time period 2003-2010, when both time
24 series are available.

25 **2.2 Observations**

26 Measurements from ground based European stations were used for the evaluation of modelled
27 surface ozone, from the European Monitoring and Evaluation Programme (EMEP) and the
28 European Environment Agency databases (AirBase) covering the time period from 2003 to
29 2012. The observations used for this evaluation are independent from the assimilated ones.
30 EMEP is appropriate to evaluate coarse resolution simulations, as it is fitted to catch
31 background air pollution patterns with stations at a considerable distance from source areas in
32 rural or remote regions (Schaap et al., 2015). Only background rural stations have been used

1 from the AirBase database for comparisons with the coarse resolution model surface ozone.
2 These include stations class 1-3 according to the Joly-Peuch classification methodology for
3 surface ozone (Joly and Peuch, 2012). There is a total of 138 stations included in the current
4 analysis, fulfilling the above-mentioned criteria. This selection ensures that all stations are
5 adequate for comparisons with coarse resolution (80 km) model data.

6 Observed data from the EMEP and AirBase database were available in hourly resolution,
7 while model values were available in 3-hourly intervals. The corresponding observational
8 data were extracted with a 3-hourly interval, to be comparable with modelled time-series. The
9 modelled data were extracted from the coupled system by means of interpolating surface
10 ozone into each station location. Different model levels were used for comparison with
11 ground based stations. The rationale behind the selection of different model level selection
12 instead of extracting time series from the first model level (surface) is that in coarse resolution
13 grids, areas with anomalous terrain (e.g. mountainous areas) are represented with an average
14 elevation, which is less than the actual station elevation. Based on the difference between the
15 actual station altitude and the average grid-cell elevation, the corresponding model level is
16 selected, using atmospheric pressure as the correction criterion. We have used only those
17 stations that fulfil the criteria of 75% data availability for near surface ozone.

18 In order to acquire a more detailed view of model performance, eight European subregions
19 have been defined as shown in Figure 1. These regions fit data coverage and avoid
20 overlapping between each subregion. The eight European subregions are: the British Isles
21 (BI), France (FR), Iberian Peninsula (IP), East Europe (EA), Middle Europe (ME),
22 Mediterranean (MD), South Middle Europe (SME) and Scandinavia (SC). Furthermore, the
23 Mediterranean region was further split into the continental part (MDc) and the marine part
24 (MDm), according to their spatial location (coastal or interior continental), since each type of
25 station has different characteristics.

26 Additional NO and NO₂ data are included in the analysis, in order to assess the potential of
27 the photochemical ozone production. The NO and NO₂ were extracted from EMEP and
28 AirBase. Unfortunately the number of EMEP stations that provide NO and NO₂
29 measurements – besides ozone – for the whole reanalysis period (2003-2012) is limited (30
30 stations). After application of the station type classification for ozone and the data availability
31 criteria, only 3 subregions with both O₃ and NO_x measurements remained, namely the British
32 Isles (BI) with 10 stations, Iberian Peninsula (IP) with 8 stations and Middle Europe (ME)

1 with 12 stations. The plots referring to ozone and nitrogen-species comparison correspond to
2 a smaller number of the common stations mentioned above, always being a subset of the total.

3 We have also to take into consideration that the NO_x observations are affected strongly by
4 local emissions. Furthermore there are known issues with interference by oxidized nitrogen
5 compounds (e.g. HNO₃, PAN and other organic nitrates) for ground-based NO₂
6 measurements by most commercially available NO₂ instruments using molybdenum
7 converters, hence leading to an overestimation of NO_x concentrations (Steinbacher et al.,
8 2007).

9 Ozonesondes are used to validate ozone MRE profiles into the troposphere at 6 European
10 stations: Haute-Provence (43.9N, 5.7E), Hohenpeissenberg (47.8N, 11E), Legionowo (52.4N,
11 20.9E), Payerne (46.8N, 6.9E), Sodankyla (67.4N, 26.6E) and Uccle (50.8N, 4.3E). The
12 sondes used for the validation come from Network for the Detection of Atmospheric
13 Composition Change (NDACC; <ftp://ftp.cpc.ncep.noaa.gov/ndacc/station>). The precision of
14 electrochemical concentration cell ozonesondes in the troposphere is between -7% and +17%
15 below 200 hPa (Komhyr et al., 1995).

16 **2.3 Metrics and intercomparison methodology**

17 For the current evaluation study we use statistical metrics to quantify the bias, gross error and
18 temporal correlation of the model with regards to observational surface ozone. Comparisons
19 of the diurnal ranges and cycles are also performed, as indices of photochemical processes. As
20 is also discussed by Savage et al. (2013), spatial and temporal variations in chemical
21 composition, including tropospheric ozone, can be large, while also differences between
22 model and observed values are frequently much larger in magnitude than usual for
23 meteorological variables. Therefore, mean error and root mean square error, even though
24 being important metrics for estimating model errors, are not optimal when assessing model
25 performance at different chemical regimes as found over Europe.

26 Based on the evaluation guidelines and previous work within GEMS/MACC (Seigneur et al.,
27 2010; Elguindi et al., 2010; Ordonez et al., 2010; Eskes et al., 2015) we use the Modified
28 Normalized Mean Bias (MNMB) as a measure of the bias of modelled versus observed
29 values. This metric treats over- and underprediction in a symmetric manner ranging between -
30 2 and 2, in contrast to normalized mean bias that can grow to very high values much greater
31 than unit. The MNMB is calculated from equation (1) as follows:

$$1 \quad MNMB = \frac{2}{N} \sum_i^N \frac{f_i - o_i}{f_i + o_i} \quad (1)$$

2 where f_i and o_i are the mean monthly modelled and observed values, respectively and N the
 3 sample size. Seasonal averages are calculated as: winter (DJF), spring (MAM), summer (JJA)
 4 and autumn (SON).

5 Furthermore as a measure of the overall model error we use the Fractional Gross Error (FGE)
 6 calculated from equation (2), with its values ranging between 0 and 2. The advantage of this
 7 measure is the linear dependence on the departure, which makes this measure less sensitive to
 8 outliers and tails in the distribution as compared to the more standard root-mean square.

$$9 \quad FGE = \frac{2}{N} \sum_i^N \left| \frac{f_i - o_i}{f_i + o_i} \right| \quad (2)$$

10 The Pearson correlation (R) is used for the quantification of the temporal agreement
 11 (interannual variability), between the mean monthly observational and simulated data, where
 12 σ_f and σ_o in equation (3) denote the standard deviation of the modelled and observed values,
 13 respectively:

$$14 \quad R = \frac{\frac{1}{N} \sum_i (f_i - \bar{f})(o_i - \bar{o})}{\sigma_f \sigma_o} \quad (3)$$

15 The annual cycle of the diurnal range was calculated from the mean diurnal cycle of each
 16 station. The confidence interval for each month was derived using the values of the diurnal
 17 range for the stations that reside in the same subregion.

18 In the following section we present a thorough evaluation of surface ozone covering the years
 19 from 2003 to 2012, including the three basic validation metrics, analysis of diurnal/annual
 20 cycles and diurnal ranges. Seasonal averages are calculated as: winter (DJF), spring (MAM),
 21 summer (JJA) and autumn (SON). Additionally, surface ozone data are discussed along with
 22 nitrogen oxides, wherever data allows comparisons, in order to characterize different
 23 chemistry regimes above Europe, with respect to photochemical production.

1 **3 Evaluation of the 2003-2012 MACC reanalysis near surface ozone**

2 **3.1 Validation metrics**

3 The annual statistics of surface ozone are shown in Table 2. The FGE for the whole reanalysis
4 period (2003-2012) ranges mostly from 21% in Mediterranean marine stations to 27% in
5 Scandinavia. Figure 2 shows the basic validation metrics on a seasonal basis for the MACC
6 reanalysis. Iberian Peninsula and Mid-Europe have a more stable performance with respect to
7 FGE, with an average 20% for all seasons. All other regions have errors ranging from 10 to
8 30% depending on season. A more thorough analysis on the seasonal behavior of surface
9 ozone is provided in the following section.

10 The seasonal MNMB in Fig. 2 (middle panel) is close to zero for most subregions. The final
11 MRE surface ozone product, exhibits its highest MNMB for Scandinavia and East Europe in
12 winter (-20%). In summer the MNMB is mostly positive and remains $\leq \pm 20\%$ for most sub-
13 regions, with the exception of British Isles (+30%). Transitional season (spring/autumn)
14 biases follow the patterns of the preceding season (winter/summer), since the atmospheric
15 trace gases need some time to adjust from the winter to the summer-time chemistry regime.

16 Figure 2 (bottom panel) shows the temporal correlation of the 2003-2012 near-surface ozone
17 timeseries, build upon mean monthly values, and therefore providing a clue on the
18 representation of ozone seasonality. The lowest correlation is found over Scandinavia (0.26),
19 followed by the British Isles (0.51) and the Mediterranean marine stations (0.54). All other
20 regions have correlations ≥ 0.7 .

21 To investigate the impact of assimilation on near surface ozone we compare the MRE and
22 CTRL simulations with the observations. Table 3 shows the annual statistics of the MRE and
23 the CTRL simulation. The greatest improvement in the MACC reanalysis because of the
24 assimilation is noted over Scandinavia, where the annual FGE is reduced from 40% to 27%,
25 East Europe (FGE drops from 38% to 25%), Mediterranean continental stations (from 43% to
26 29%) and Mid Europe (from 31% to 24%). In the same areas the MNMB is also reduced by
27 up to 23% (SC). In France and the Iberian Peninsula there seems to be a small increase in the
28 FGE (6 and 8% respectively) and a small change in the MNMB (reduced to zero in FR and
29 increased by 5% in IP). Over South Mid-Europe and the Mediterranean marine stations the
30 change in FGE and MNMB is negligible on an annual basis.

1 The temporal correlation of monthly mean timeseries from 2003 to 2010 is reduced in the
2 MRE, especially over the Mediterranean marine stations (drops from 0.74 to 0.49) and
3 Scandinavia (from 0.39 to 0.23). The temporal correlation over Scandinavia is very low,
4 because the MRE cannot capture the spring maximum, as it will be shown in section 3.2.
5 Moreover, the issue of the MLS bias correction in the assimilation procedure has caused drifts
6 in the tropospheric ozone concentrations between August 2004 and December 2007 (a
7 detailed explanation of this issue can be found in Inness et al., 2013). The problem was
8 tracked down and alleviated after year 2008 of the MRE. The deterioration of the temporal
9 correlation in the MRE in comparison to the control simulation can be attributed to the
10 assimilation procedure followed up to MRE year 2008. Calculation of temporal correlation
11 coefficients before (2003-2007) and after (2008-2012) indicates that R increases in all
12 subregions after removal of MLS bias correction. Figure 3 shows the comparison of the
13 seasonal FGE, MNMB and R for the MRE and the CTRL near surface ozone over the
14 different European subregions for the common time period 2003-2010. On a seasonal basis
15 the greatest improvement due to assimilation is seen during the winter months, when the
16 CTRL suffers from the largest negative bias. In summer the impact of assimilation is smaller,
17 eventually because near surface ozone is largely controlled by the photochemical processes.
18 The assimilation correction on ozone is due to the stratospheric and total ozone column. More
19 results on the impact on tropospheric ozone from assimilation in the stratosphere can be found
20 in Lefever et al. (2014).

21 **3.2 Annual cycle of near surface ozone**

22 The average 2003-2012 observed and MRE annual cycle of near surface ozone is shown in
23 Figure 4. With the only exception of the Mediterranean region (MDc and MDm), the modeled
24 annual cycles of ozone have differences in the shape from the observed ones. The most
25 striking disagreement is seen over Scandinavia (SC), where the MRE captures the annual
26 range (13 ppb: the monthly maximum minus the monthly minimum of the year), but
27 completely fails to reproduce surface ozone seasonality. While observations indicate a clear
28 spring maximum (40 ppb), a characteristic ozone behavior in very clean and remote
29 atmospheres in the northern hemisphere (Volz and Kley, 1988), no indication of spring ozone
30 maximum is evident in the MRE surface ozone; on the contrary, a clear lower maximum (35
31 ppb) is found in late summer.

1 Over the British Isles (BI) we also note striking differences in the shape of the annual cycle.
2 Specifically, there is disagreement a) in the “timeliness” of the early spring maximum, which
3 is seen in April for observed ozone and the late spring-early summer for the MRE, and b) in
4 the annual ozone range, which is overestimated by about 7 ppb. The overestimation occurs
5 mainly during the summer/autumn season. We should note that, even though the MRE near
6 surface ozone at SC and BI does not capture the observed spring maximum peaking in April,
7 this spring ozone maximum is better seen in the lower free troposphere at 850 hPa and 700
8 hPa vertical levels of MRE (not shown here).

9 In Mid-Europe (ME), the observational broad spring-summer maximum (April – July) is
10 captured by the MRE, with a month’s time-lag (May to August) causing an underestimation
11 in MRE of 2-3 ppbv from January to April and an overestimation from May to November
12 (Fig 4). The highest overestimation (ranging from 5 ppbv to 9 ppbv) in MRE is seen during
13 the warm months from June to September. This behavior results to an overestimated annual
14 amplitude in MRE in comparison to observations.

15 Over the Iberian Peninsula (IP) there is an agreement in the seasonal cycle of MRE near
16 surface ozone with observations, with a broad spring-summer maximum but MRE misses the
17 April peak shown in observations. The amplitude of the MRE annual cycle is also
18 overestimated by roughly 4 ppbv in comparison to observations, mostly stemming from the
19 MRE summer O₃ overestimation, with the MRE June-maximum reaching up to 50 ppbv,
20 while the observed to 40 ppbv. We should also take into consideration that the seasonal cycle
21 of MRE at 700 hPa shows a broad spring-summer maximum with a peak in April as in near
22 surface observations (discussed in Section 4.1).

23 A similar pattern of differences between MRE and observations are found for France (FR),
24 South Mid-Europe (SME) and Eastern Europe (EA) although over EA the differences are
25 smaller.

26 Overall, the annual cycles of the observed data reflect the specific subregional characteristics,
27 namely the broad spring-summer maximum at Mediterranean (MDc and MDm) and South
28 Mid-Europe (SME), the broad spring-summer maximum peaking in April at Eastern Europe
29 (EA), Mid-Europe (ME), France (FR) and Iberian Peninsula (IP) and the early spring
30 maximum over northern latitudes at Scandinavia (SC) and British Isles (BI). MRE near
31 surface ozone reproduces fairly well the photochemically driven broad spring-summer
32 maximum of surface ozone of the sub-regions at central and south Europe, however, fails to

1 capture the early spring peak in most of these subregions. This shortfall of MRE to capture
2 the early spring peak has been also noted by Inness et al. (2013) and it is further discussed in
3 the following sections. Furthermore, there is generally a tendency for overestimating the
4 annual amplitude in MRE in comparison to observations.

5 **3.3 Factors improving ozone seasonality could be emission strengths and**
6 **temporal profiles and dry deposition (Val Martin et al., 2014). Ongoing**
7 **work on the impact of dry deposition on surface ozone indicates that the**
8 **new on-line dry depositions schemes currently tested in the C-IFS system**
9 **improve the surface ozone positive bias, appearing mostly over southern**
10 **Europe in summer, but cannot completely tackle the spring ozone**
11 **maximum problem over north Europe (J. Flemming, personal**
12 **communication, 2015). Diurnal cycle of near surface ozone**

13 Figure 5 depicts the mean 2003-2012 diurnal cycle of near surface ozone for each season for
14 the selected European regions. All diurnal cycles have the expected behavior with sharply
15 increasing ozone concentrations during the daytime hours (from 5:00-6:00 UTC in summer
16 and 1-2 hours later in winter to 15:00-16:00 UTC) and decreasing afterwards. The diurnal
17 cycles are more pronounced in the summer season and south Europe due to the more intense
18 photochemistry. The MRE reproduces the diurnal cycle but exhibits positive bias in summer
19 (except for the Mediterranean marine region), which may be persisting during the whole day
20 (BI, SME, IP, ME) or occur mostly during daytime (EA, FR, MDc). In winter there is small
21 negative bias in all regions, except for MDc (positive bias) and BI (zero bias). The transitional
22 seasons have diurnal cycles that share both winter and summertime characteristics: the spring
23 diurnal bias resembles winter with respect to bias, but has the enhanced photochemical
24 diurnal cycle of summer, though not fully developed.

25 Figure 6 shows the annual cycle of the diurnal range of near surface ozone over the different
26 European subregions. The diurnal range of ozone is a good indication of the potential for the
27 local diurnal ozone build up through photochemical production processes (Zanis et al., 2000).
28 There is generally a good agreement with observations, suggesting that MRE reproduces
29 adequately the observed diurnal ozone range with a tendency for a small overestimation
30 during the warm months for the subregions of central and south Europe. More specifically,
31 over SME, FR and MDc the diurnal range is overestimated during the whole year but, to a
32 lesser extent in colder months, while over EA, ME, BI and SC the overestimation is smaller

1 and restricted during the summer. Hence the diurnal range is overestimated more at the
2 southern regions (SME, FR and MDc) than at the northern regions (EA, ME, BI and SC) and
3 more during the warm months than during the cold months.

4 **4 Discussion**

5 In this section we discuss possible reasons for the differences revealed in the shape of the
6 annual cycle of near surface ozone between observations and MRE and the failure in MRE to
7 capture the early spring peak in most of the subregions. We discuss possible contributions
8 from the above mentioned processes based on the comparison of MRE ozone profiles with
9 available ozonesonde measurements, as well as on NO_x versus O₃ annual and diurnal cycles.

10 **4.1 Ozone profiles**

11 Comparison with ozonesonde measurements at different locations (Fig. 7) indicate that MRE
12 ozone profiles reproduce the basic structure of the profile, overestimating in most cases ozone
13 below the 850hPa. . We note positive and negative biases depending on the location and the
14 altitude, but there is a tendency for a larger positive bias during summer and autumn for most
15 locations below 850 hPa, while the % biases in the middle and upper troposphere are
16 generally smaller. This is in agreement with the study of Inness et al. (2013), who, analyzing
17 MACC reanalysis over the time period (2003-2010), reported a negative bias with respect to
18 ozonesondes above 650 hPa and the largest positive bias below 800 hPa. It should be also
19 considered that the range of the % biases in the troposphere are comparable with the
20 respective precision of electrochemical concentration cell ozonesonde measurements.

21 Furthermore, the shape of the observed ozone annual cycle (based on the ozonesondes) in
22 lower free troposphere at 700 hPa is reproduced rather well by the MRE (Fig. 8). The course
23 of the annual cycle is also reproduced for the middle troposphere at 500 hPa (not shown here).
24 Despite the biases, the reasonable reproduction of the shape of the observed ozone seasonal
25 cycle by MRE in the middle and lower free troposphere is consistent with transport processes
26 from the lower stratosphere and the upper troposphere as well as long-range transport being
27 are resolved adequately by the MRE.

28 **4.2 NO_x versus O₃ annual and diurnal cycles**

29 According to the analysis of ozone profiles (see Section 4.1) we may assume that assimilation
30 in MRE leads to a reasonable representation of the ozone annual cycles at the middle and

1 upper troposphere, thus mediating for a realistic contribution of STT. It could be hence
2 speculated that differences in the shape of the seasonal cycle of near surface ozone between
3 observations and the MRE could be also linked to the potential of photochemical ozone
4 production and the strength of the exchange between the lower free troposphere and the
5 atmospheric boundary layer (ABL). Two tentative explanations could be provided on the
6 mismatch between model and observations: a) inadequate seasonality/emission strengths in
7 surface emissions of precursor species (some issues discussed in Stein et al., 2014) and b) a
8 loose coupling of the free troposphere to the ABL, which would be responsible for the
9 entrainment of the assimilated free tropospheric O₃ into the ABL.

10 In global scales nitrogen oxides (NO_x) are the limiting precursors for O₃ production
11 throughout most of the troposphere, and also directly influence the abundance of the hydroxyl
12 radical concentration in the troposphere (e.g. Crutzen, 1988). At regional scale for rural
13 environments with NO_x values less than a few parts per billion by volume, O₃ formation is
14 NO_x limited (Liu et al., 1987) and therefore almost independent of hydrocarbon
15 concentrations, depending of course on the ratio of reactivity-weighted VOC mixture to NO_x,
16 which may differ from region to region across Europe (Beekmann and Vautard, 2010).
17 Emissions of NO_x occur primarily as NO, followed by oxidation to NO₂ while O₃ is
18 photochemically produced as NO_x are consumed in favor of their atmospheric oxidation
19 products NO_z (Liu et al., 1987; Zanis et al., 2007). NO_z comprises mostly of
20 peroxyacetylnitrate (PAN) and nitric acid (HNO₃), along with HNO₄, N₂O₅, NO₃ and other
21 Acyl-peroxy nitrates (APNs) and organic nitrates (Emmons et al., 1997). The lifetime of NO_x
22 before photochemical conversion to NO_z is less than a day in summer at mid-latitudes (Logan,
23 1983).

24 Here, in order to assess the potential of the photochemical ozone production related to NO_x
25 emissions, we have looked at the annual cycle of NO_x versus the respective annual cycle of
26 O₃, as well as the summertime diurnal cycle of O₃ along with the diurnal cycle of NO_x at the
27 different sub-regions of our domain. As mention in Section 2.2, after our station-filtering only
28 3 sub-regions remained, with a considerable number of stations having both O₃ and NO_x
29 measurements; the British Isles (BI), Iberian Peninsula (IP) and Mid-Europe (ME).

30 Figure 9 shows the annual cycle of O₃ and NO_x for BI, IP and ME. At the BI the NO_x levels
31 are overestimated in MRE throughout the year by up to 2 ppbv in comparison to the
32 observations while ozone is overestimated from May to November. The overestimation of

1 NO_x concentrations at MRE may partially account for the positive ozone bias during the
2 warm period of the year, through overestimated photochemical ozone production. At IP and
3 ME, NO_x levels are systematically underestimated in MRE throughout the year, and still
4 ozone is overestimated in MRE – especially during the warm part of the year – despite the
5 NO_x underestimation.

6 Figure 10 shows the average diurnal cycle of O₃ and NO_x during summer for BI, IP and ME.
7 Discarding any biases in the level of O₃ and NO_x concentrations, it is shown that O₃ builds up
8 during the daytime, while NO_x is consumed in both MRE and observations. This daytime NO_x
9 decrease can be attributed to chemical loss through oxidation to NO_z. Nevertheless, diurnal
10 meteorological patterns of wind speed and boundary layer height, that lead to higher dilution
11 of primary pollutants at daytime than at nighttime, may also contribute to the diurnal pattern
12 of NO_x in Figure 10 (see Figure S1 in the supplementary material). This is supported by the
13 fact that CO in MRE, which is a species with much longer chemical lifetime than NO_x, has a
14 similar diurnal pattern with NO_x.

15 Based on the diurnal amplitudes of O₃ (ΔO_3 increased over the day) and NO_x (ΔNO_x
16 decreased over the day) shown in Figure 10, we have calculated the ratio $\Delta O_3/\Delta NO_x$ values
17 for both MRE and observations. The $\Delta O_3/\Delta NO_x$ ratio values for near surface based on MRE
18 are estimated roughly to 3 for BI, 3.5 for ME and 10 for IP. The respective $\Delta O_3/\Delta NO_x$ values
19 based on the observed diurnal amplitudes are roughly 10 for BI, 6 for ME and 10 for IP.
20 Additionally, we have also estimated $\Delta O_3/\Delta NO_x$ ratio values based on MRE at 925 hPa
21 (above near surface but within the atmospheric boundary layer) being roughly 3.5 for BI, 3
22 for ME and 4 for IP. These ratio values reflect the ozone production efficiency, if we assume
23 that daytime NO_x loss is through oxidation to NO_z. In order to compare these $\Delta O_3/\Delta NO_x$ ratio
24 values with theoretical calculations of ozone production efficiency, a zero dimension box
25 model with the CBIV chemical mechanism was implemented to calculate ozone production
26 efficiencies for typical summer conditions using initial conditions for NO_x and other gaseous
27 species from MRE at BI, IP and ME. These box model calculations indicated that 3 to 4
28 molecules of O₃ produced for every molecule of NO_x oxidised at BI and ME and up to 5 ppbv
29 at IP. The above values agree well with ozone production efficiency estimates from previous
30 studies for summer at rural semi-polluted sites with NO_x more than a few ppbv in Europe and
31 US (Chin et al., 1994; Derwent and Davis, 1994; Rickard et al., 2002). The $\Delta O_3/\Delta NO_x$ ratio

1 values based on MRE are comparable with the box model calculated ozone production
2 efficiency values.

3 The amplitude of the diurnal cycle of NO_x is much stronger in the MRE, than at observations
4 for BI and ME, which indicates that in MRE we presumably have a more intense local
5 oxidation from NO_x to NO_z . This more intense local oxidation from NO_x to NO_z at BI and
6 ME can lead to higher local photochemical ozone production, which may account for the
7 slightly higher amplitude of the diurnal cycle of O_3 for the MRE than the observations (by
8 roughly 2 ppbv at BI and 1 ppbv at ME) and partially for the generally higher O_3 levels of the
9 MRE compared to the observed. The differences in local photochemical ozone production at
10 BI and ME versus IP are consistent with the chemical regime indicator analysis for near
11 surface ozone over Europe by Beekmann and Vautard (2010), who defined three particular
12 regions: a) the region in North-Western Europe with a pronounced VOC sensitive regime
13 (1W–6 E, 50 N–53 N), b) the Mediterranean region (6W–20 E, 38 N–43 N) with an average
14 NO_x sensitive chemical regime and c) Northern-Eastern Germany (9 E–14E, 50 N–54 N)
15 which is a transition region between both regimes. Comparing this chemical regime analysis
16 with our selected sub-regions BI, ME and IP, we note that BI and ME sub-regions are a
17 mixture of a VOC sensitive regime and a NO_x sensitive regime, while IP is a NO_x sensitive
18 regime.

19 In the case of IP, the amplitude of the diurnal cycle of NO_x is similar for both observations
20 and MRE, while the amplitude of the diurnal cycle of O_3 is slightly underestimated in the
21 MRE, indicating that local photochemical ozone production is captured adequately or slightly
22 underestimated. Nevertheless, the ozone levels are generally overestimated for the MRE,
23 implying other processes than local photochemistry as a reason for the positive bias.

24

25 **5 Summary and Conclusions**

26 In the current work we evaluate the MACC-II reanalysis (MRE) near surface ozone for the
27 time period 2003-2012 using rural stations of the EMEP and AirBase monitoring networks.
28 Overall, the evaluation of MRE near surface ozone with station based observations shows a
29 negative bias in winter over northern Europe and generally positive bias during warm months.
30 With respect to the seasonal cycle, MRE reproduces the photochemically driven broad spring-
31 summer maximum of near surface ozone at central and south Europe. However, it does not
32 adequately capture the shape of the seasonality with a characteristic early spring maximum at

1 northern and north-eastern Europe. The diurnal range of surface ozone, which is as an
2 indication of the local photochemical production processes, is reproduced fairly well in the
3 MACC reanalysis, with a tendency for a small overestimation during the warm months for the
4 subregions of central and south Europe. Comparison of MRE ozone profiles with ozonesonde
5 profiles revealed reasonable reproduction of the shape of the observed ozone seasonal cycle in
6 the middle and lower free troposphere, despite the biases. This suggests that transport
7 processes from the lower stratosphere and the upper troposphere are resolved acceptably by
8 MRE with the aid of the assimilation.

9 More specifically, the characteristics of near surface ozone in the MACC reanalysis 2003-
10 2012 can be summarized as follows for the different sub-regions:

11 a) At British Isles and Scandinavia, the observed near surface spring ozone
12 maximum peaking in April is not reproduced by MRE. However, this spring ozone
13 maximum is better seen in the lower free troposphere (at 850 hPa and 700 hPa)
14 implying adequate vertical transport within the free troposphere, as was also
15 indicated by the good comparison with ozonesonde data. The possibility insufficient
16 entrainment and mixing from the lower free troposphere into the atmospheric
17 boundary layer should be further investigated. MRE diurnal range of near surface
18 ozone compares relatively well with the observed diurnal range with a slight
19 overestimation during summer. Analysis of the average MRE diurnal cycle of O_3
20 versus NO_x during summer for the BI could possibly indicate among other reasons,
21 more intense local oxidation from NO_x to NO_z than the observed and a systematic
22 positive bias in NO_x which can lead to higher local photochemical ozone production.

23 b) The ozone summer maximum of the Mediterranean area is captured by the MRE,
24 with a slight overestimation during summer and autumn for the continental stations
25 (MDc). The MRE near surface ozone diurnal range compares well with the observed
26 one throughout the year for the marine stations (MDm) and is slightly overestimated
27 during the warm months for the continental stations (MDc). This implies that part of
28 the MRE overestimation of near surface in summer and autumn for MDc may be
29 associated to an overestimation of local photochemical production. Zanis et al.
30 (2014) also noted for the Mediterranean an overestimation of near surface ozone
31 during summer by another global chemistry–climate model, due to overestimated
32 photochemical ozone production within the atmospheric boundary layer.

1 c) In East Europe, Mid-Europe, South Mid-Europe and France, MRE near surface
2 ozone reproduces the photochemically driven broad spring-summer maximum, but
3 fails to capture the early spring peak in April. Furthermore, there is a slight shift of
4 the seasonal cycle towards summer in MRE compared to observations, with a
5 tendency for an underestimation of ozone levels in cold months (from January to
6 April) and an overestimation in summer and autumn. The diurnal range of near
7 surface ozone in the MRE is overestimated during summer. This maybe implies an
8 overestimated local photochemical ozone production, which can partially account for
9 the summer overestimated MRE near surface ozone levels (similarly to MDc).
10 Further analysis of the average diurnal cycle of O₃ versus NO_x during summer for
11 Mid-Europe, gives some indication for more intense local oxidation from NO_x to
12 NO_z for the MRE than the observations, which can lead to higher local
13 photochemical ozone production despite the systematic negative bias in NO_x.

14 d) At the Iberian Peninsula there is a positive bias throughout the year and the MRE
15 does not capture the April peak shown in the observed seasonal cycle. The MRE
16 diurnal range compares relatively well with the observed diurnal range, maybe
17 indicating that local photochemical production is captured adequately throughout the
18 year. This is also supported from the analysis of the average diurnal cycle of O₃
19 versus NO_x during summer. The seasonal cycle of MRE at 700 hPa shows a broad
20 spring-summer maximum with a peak in April as in near surface observations. This
21 feature could possibly indicate a loose coupling of the free troposphere with
22 atmospheric boundary layer.

23 Our analysis suggests that in order to understand better the behaviour of near surface ozone,
24 further analysis is needed for firm conclusions, including model diagnostics for
25 photochemical production and loss terms, as well as the mixing between ABL and free
26 troposphere. Improvement in the dry-deposition scheme –which is fixed in the current
27 implementation – would also contribute to improvement of model performance
28 (bias/seasonality) with respect to near surface ozone.

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- 16

1 Table 1: Ozone satellite retrievals that were assimilated in the MACC reanalysis. PROF
 2 denotes profile data, TC total columns, PC partial columns, and SOE solar elevation. PC
 3 SBUV/2 data consist of 6 layers between the surface and 0.1 hPa. NRT (near-real time) data
 4 are available within a few hours after the observation was made, and are being used in
 5 operational forecast systems. For periods towards the end of the MACC reanalysis period,
 6 NRT data were used for some of the species when no offline products were available.

Sensor	Satellite	Provider	Version	Period	Type	Data usage criteria	Reference
GOME	ERS-2	RAL		20030101- 20030531	O ₃ PROF	Used if SOE>15° and 80°S<lat<80°N	Siddans et al. 2007
MIPAS	ENVISAT	ESA		20030127- 20040326	O ₃ PROF	All data used	Carli et al. 2004
MLS	AURA	NASA	V02	20040808- 20090315, NRT data from 20090316	O ₃ PROF	All data used	Waters et al. 2006
OMI	AURA	NASA	V003	From 20041001, NRT data 20070321- 20071231	O ₃ TC	Used if SOE >10°	Bhartia et al. 2002; Levelt et al. 2006
SBUV/2	NOAA-16	NOAA	V8	From 20040101	O ₃ PC	Used if SOE>6°	Bhartia et al. 1996
SBUV/2	NOAA-17	NOAA	V8	From 20030101	O ₃ PC	Used if SOE>6°	Bhartia et al. 1996
SBUV/2	NOAA-18	NOAA	V8	From 20050604	O ₃ PC	Used if SOE>6°	Bhartia et al. 1996
SCIAMACHY	ENVISAT	KNMI		From 20030101	O ₃ TC	Used if SOE>6°	Eskes et al. 2005

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8

1 Table 2. Annual statistics of near surface ozone for the MACC reanalysis (2003-2012) over
 2 the different European subregions. FGE and MNMB are expressed in %.

Region	FGE	MNMB	R
BI	23	12	0.51
IP	25	14	0.72
FR	26	-2	0.73
ME	22	3	0.74
SC	27	-13	0.26
SME	24	2	0.74
MD_c	24	20	0.71
MD_m	21	-12	0.54
EA	25	-9	0.66

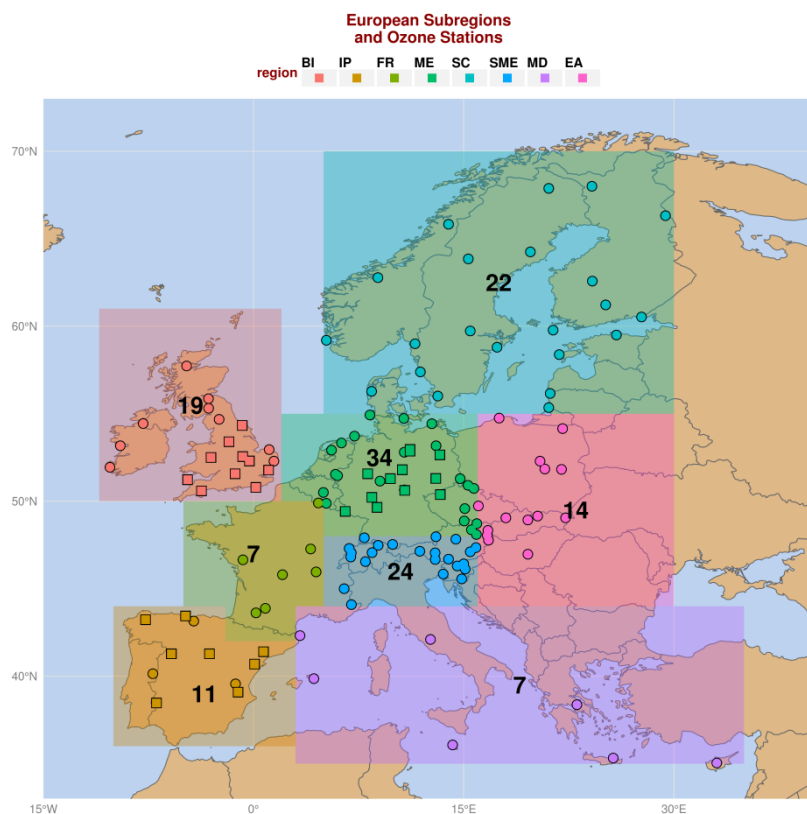
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4 Table 3. Annual statistics of near surface ozone for the MACC reanalysis (MRE) and the
 5 control run (CTRL) over the different European subregions for the common period from
 6 2003 to 2010. FGE and MNMB are expressed in %.

7

Region	FGE		MNMB		R	
	MRE	CTRL	MRE	CTRL	MRE	CTRL
BI	24	22	13	-7	0.51	0.59
IP	25	17	15	10	0.70	0.79
FR	28	22	0	-5	0.73	0.79
ME	24	31	4	-17	0.73	0.80
SC	27	40	-12	-35	0.23	0.39
SME	25	22	3	-5	0.73	0.78
MD_c	29	43	26	42	0.71	0.74
MD_m	21	19	-10	-12	0.49	0.74
EA	25	38	-8	-28	0.64	0.70

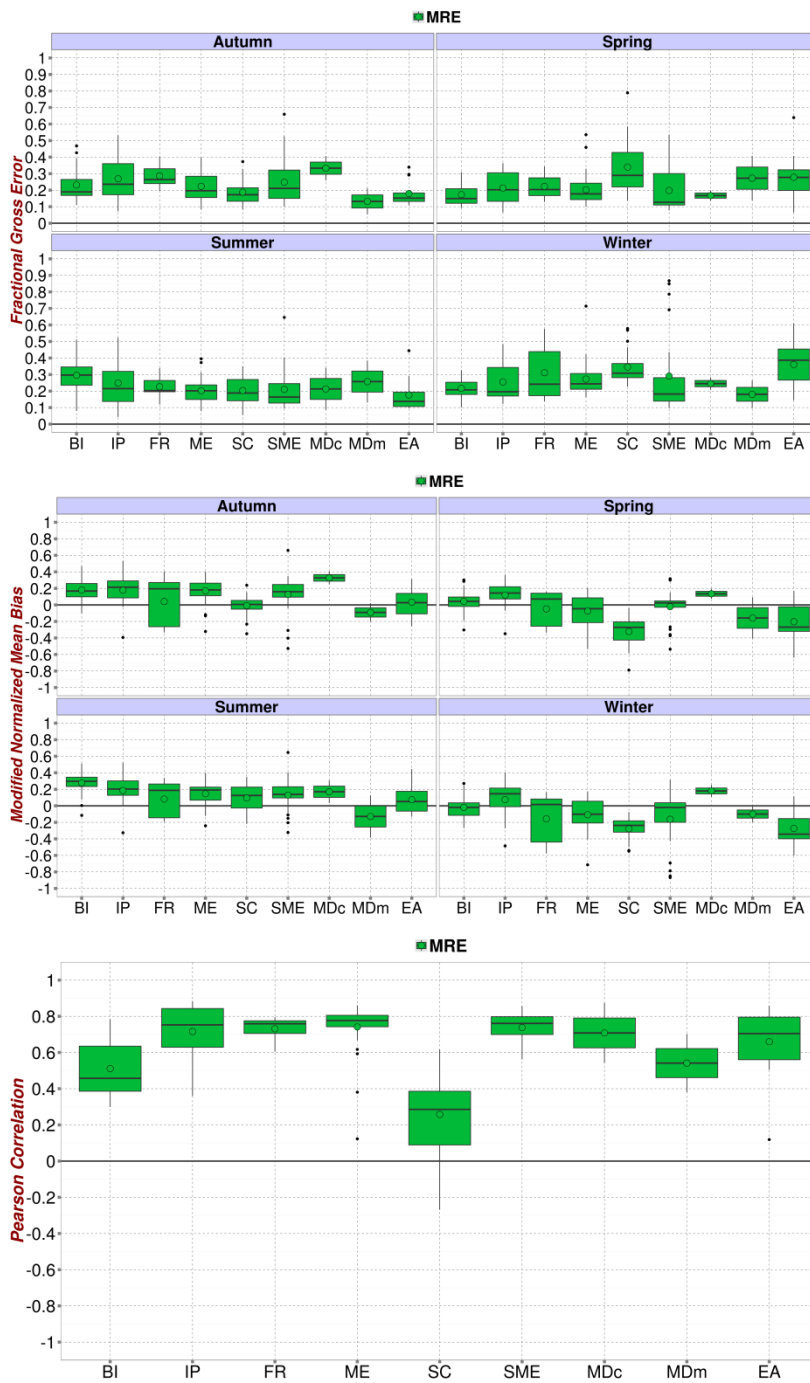
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 2 Figure 1. The European subregions that were used in the analysis and the corresponding
 3 EMEP and AIRBASE stations. The numbers denote the number of stations taken into
 4 consideration for every subregion. The subregions are: the British Isles (BI), France (FR),
 5 Iberian Peninsula (IP), East Europe (EA), Middle Europe (ME), Mediterranean (MD), South
 6 Middle Europe (SME) and Scandinavia (SC).

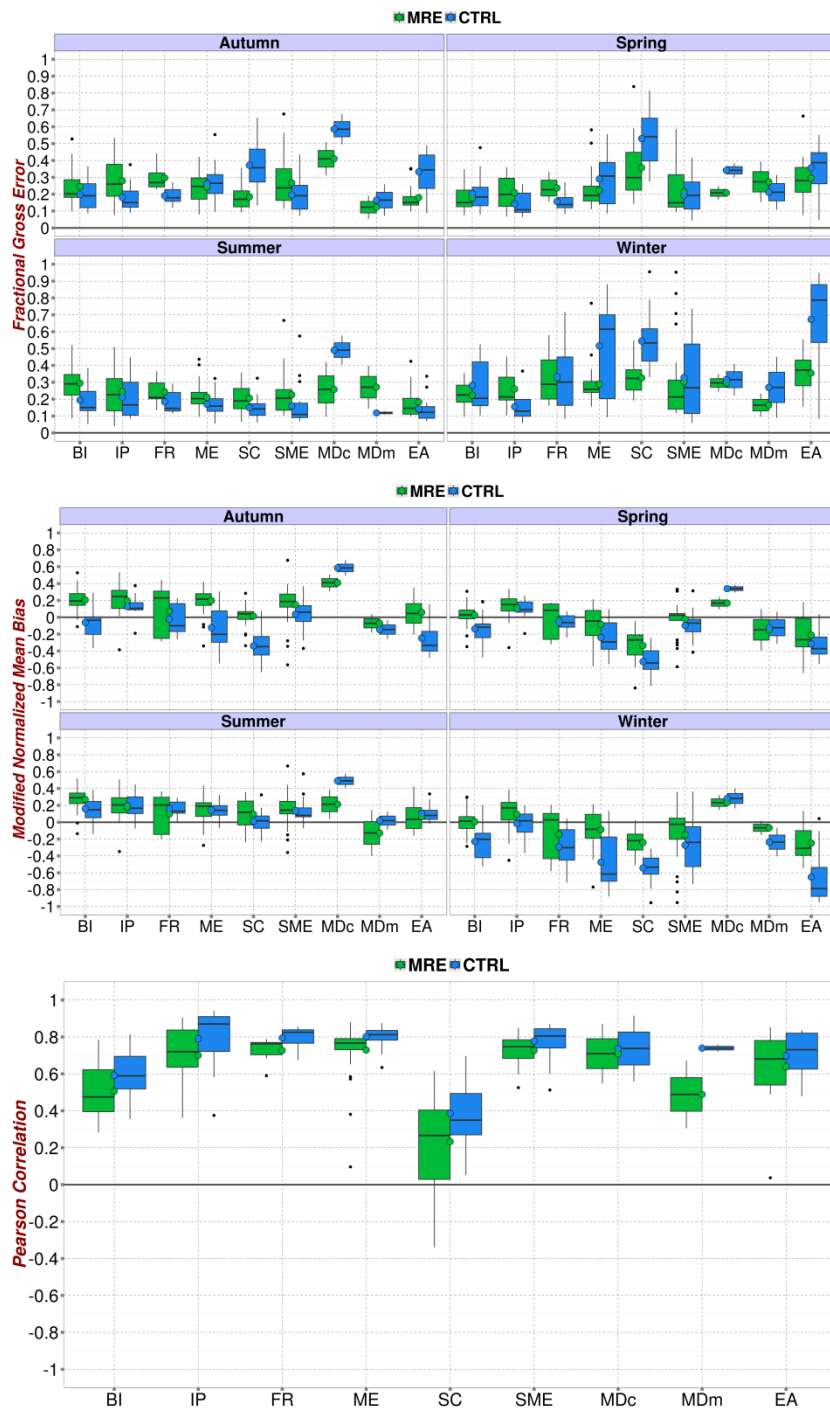
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3 Figure 2. Average 2003-2012 seasonal FGE (top), MNMB (middle) and annual R (bottom) of
4 near surface ozone for the different European subregions of the MACC reanalysis. The color
5 dots correspond to means. The bottom and top of the box are the first and third quartiles (Q1
6 or 25th percentile and Q3 or 75th percentile) and the vertical horizontal line in the box is the
7 median (Q2 or 50th percentile). The colored points on each box indicate the mean value.



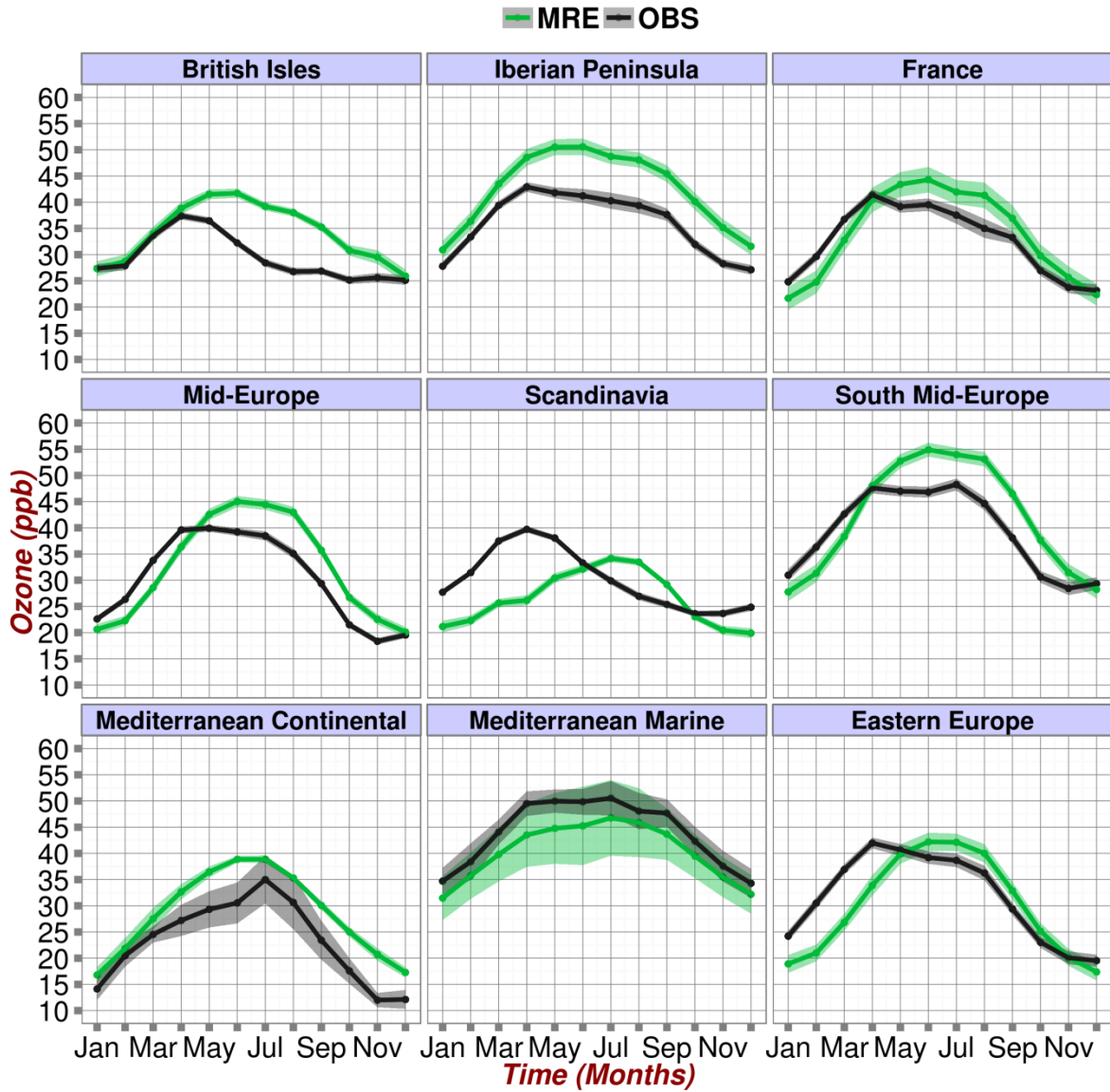
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2 Figure 3 Average 2003-2010 seasonal FGE (top), MNMB (middle) and annual R (bottom) of
 3 near surface ozone for the different European subregions of the MACC reanalysis (green) and
 4 the control run (blue).

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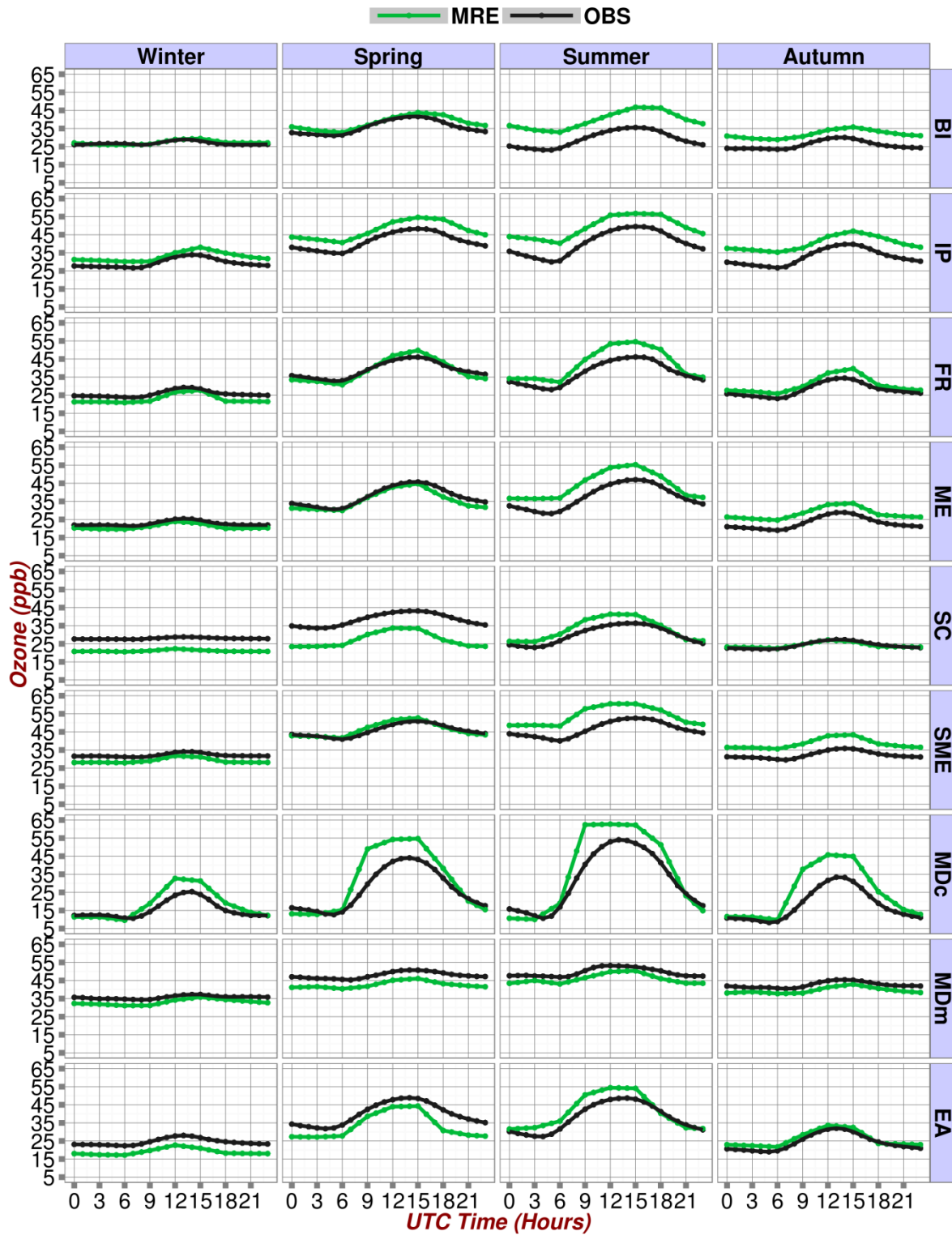


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2 Figure 4. Mean 2003-2012 annual cycle of near surface ozone for the different European
 3 subregions of the MACC reanalysis and observations. The shading areas denote 95%
 4 confidence interval of the mean values.

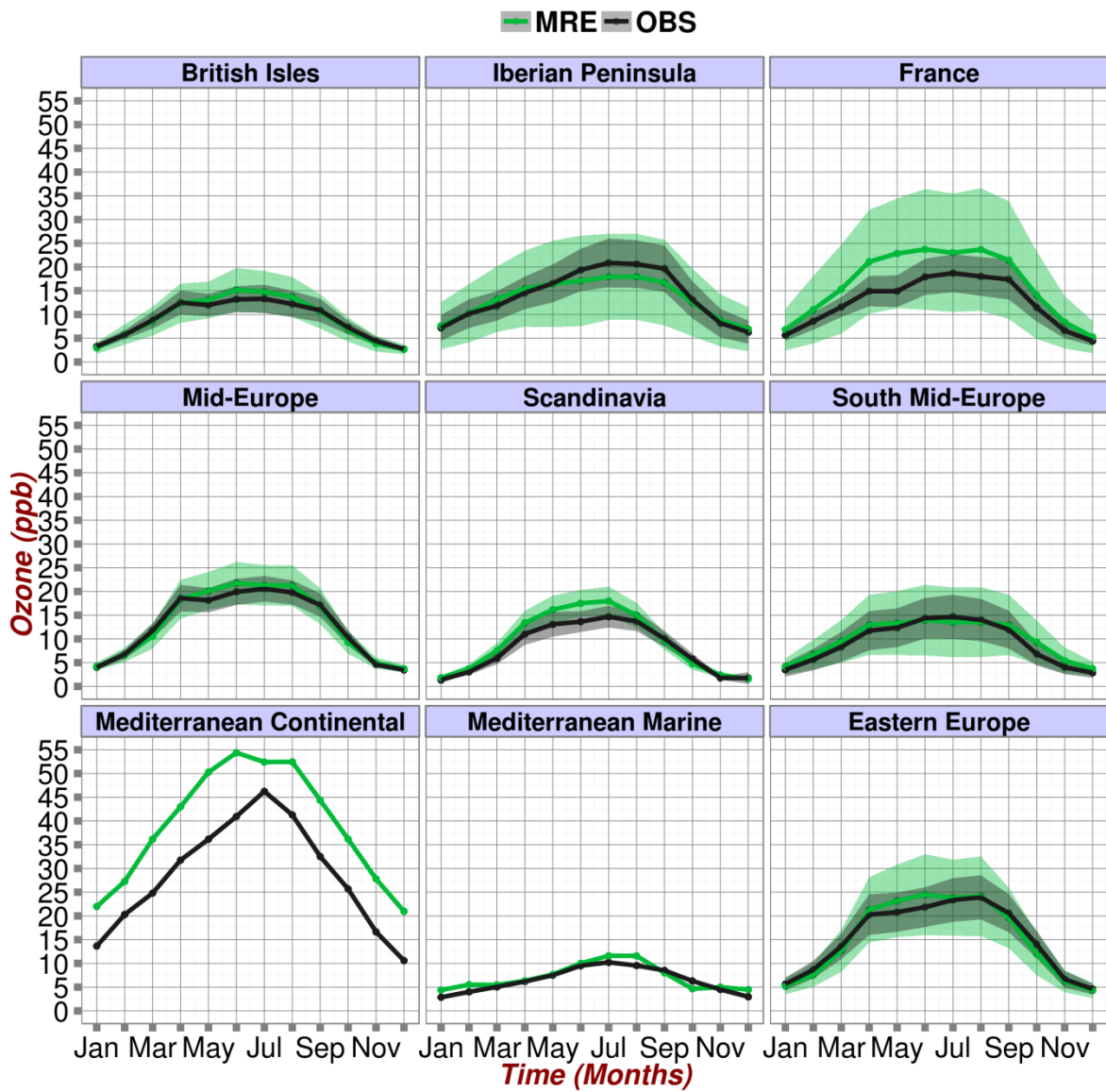
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 2 Figure 5. Mean 2003-2012 diurnal cycle of near surface ozone for the different European
 3 subregions based on MRE (green line) and observations (black line) calculated for winter
 4 (DJF), spring (MAM), summer (JJA) and autumn (SON).

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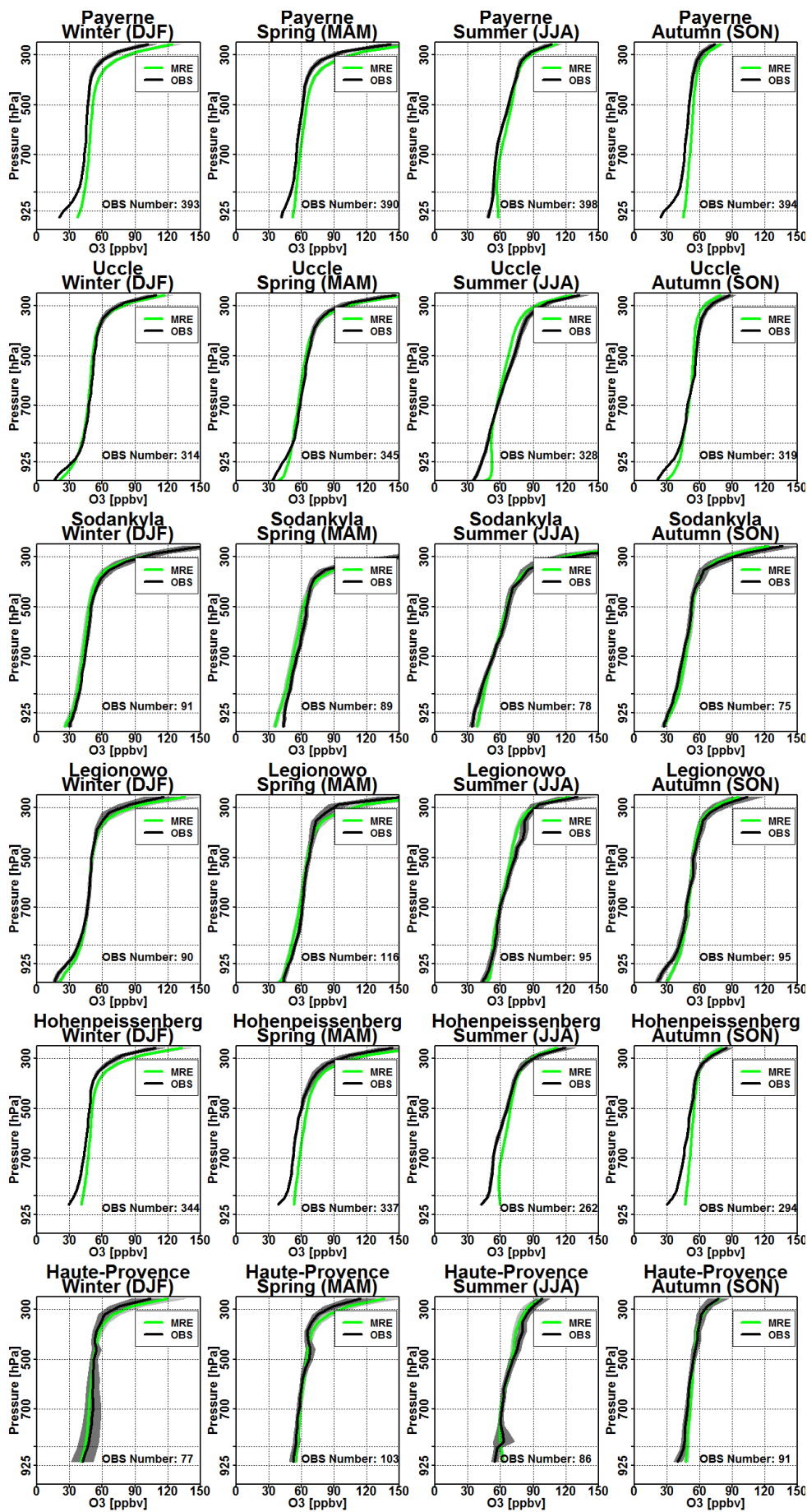
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3 Figure 6. Annual cycle of the diurnal range of near surface ozone for observations (black
4 line) and MRE (green line) averaged over the time period 2003-2012 for the different
5 European subregions. Shading areas denote the 95% confidence interval of the mean values.

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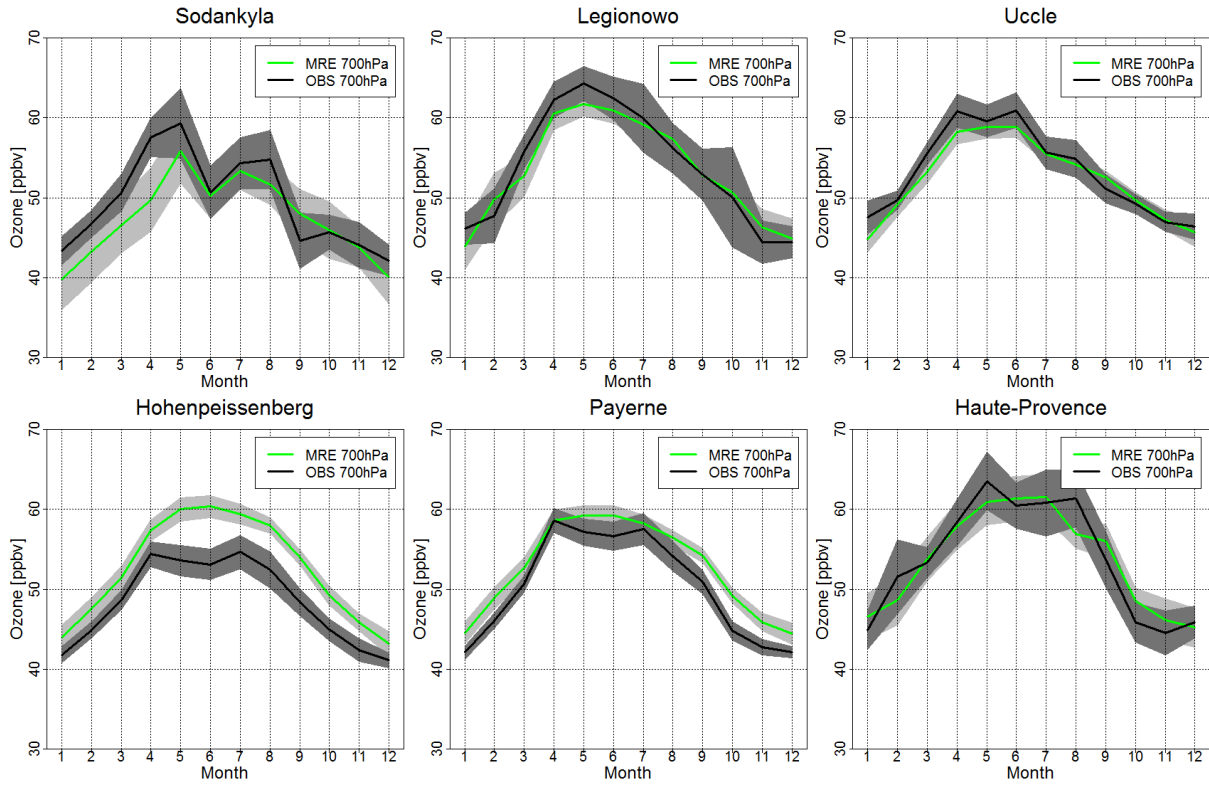


1 Figure 7. Mean 2003-2012 ozone profiles based on MRE near surface ozone (green line) and
2 ozonesonde measurements (black line) at the stations of Sodankyla (67.4N, 26.6E),
3 Legionowo (52.4N, 20.9E), Uccle (50.8N, 4.3E), Hohenpeissenberg (47.8N, 11E), Payerne
4 (46.8N, 6.9E), and Haute-Provence (43.9N, 5.7E). The shading areas denote 95% confidence
5 interval of the mean values.

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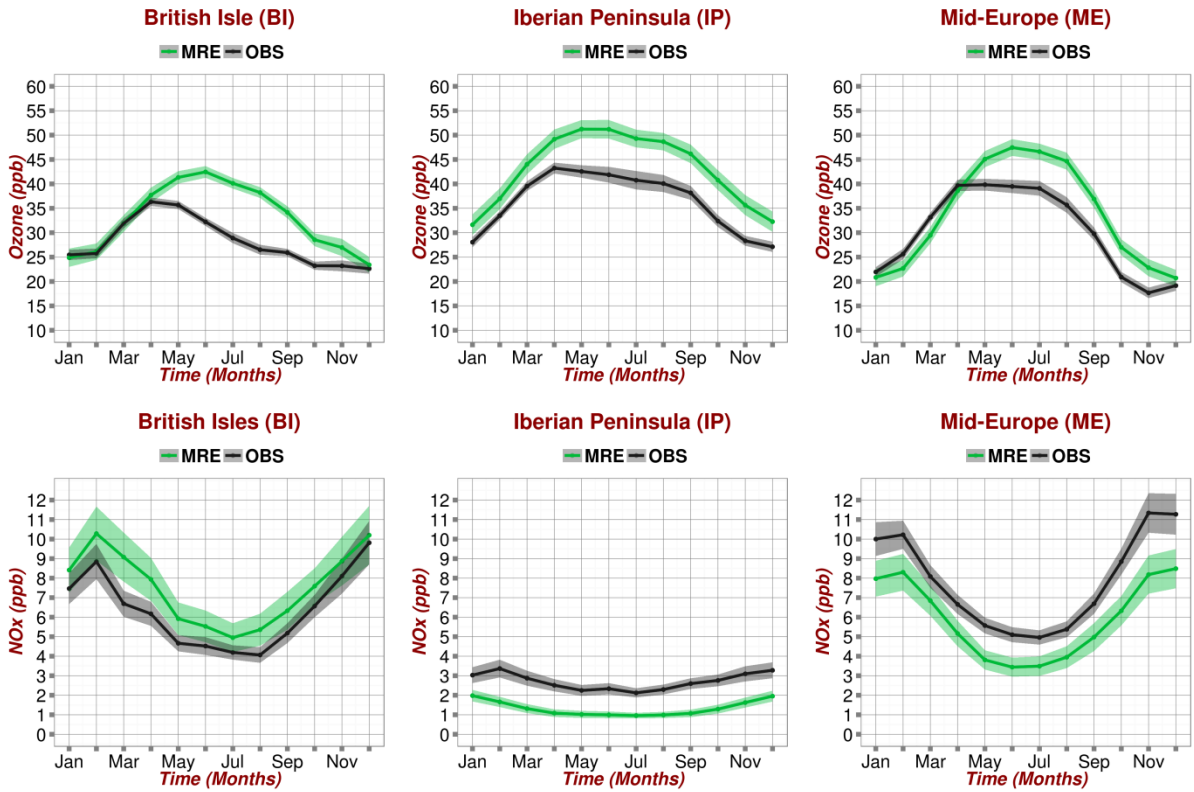


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3 Figure 8. Mean 2003-2012 annual cycle of lower tropospheric ozone at 700 hPa based on
4 MRE (green line) and ozonesonde measurements (black line) at the stations of Sodankyla
5 (67.4N, 26.6E), Legionowo (52.4N, 20.9E), Uccle (50.8N, 4.3E), Hohenpeissenberg (47.8N,
6 11E), Payerne (46.8N, 6.9E), and Haute-Provence (43.9N, 5.7E). The shading areas denote
7 95% confidence interval of the mean values.

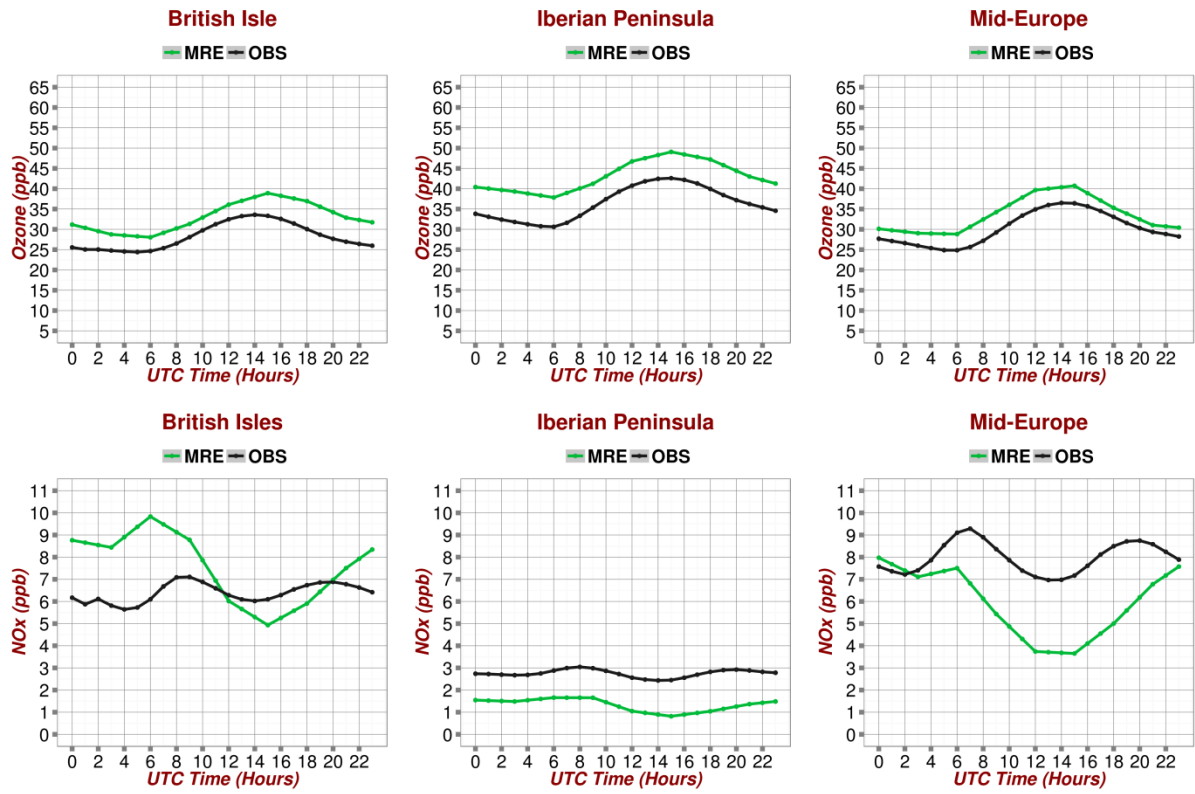
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Figure 9. Mean annual cycle of near surface O₃ (top panel) and NO_x (bottom panel) based on observations (solid black line) and MRE (green line) for the subregions BI, IP, ME over the period 2003-2012.

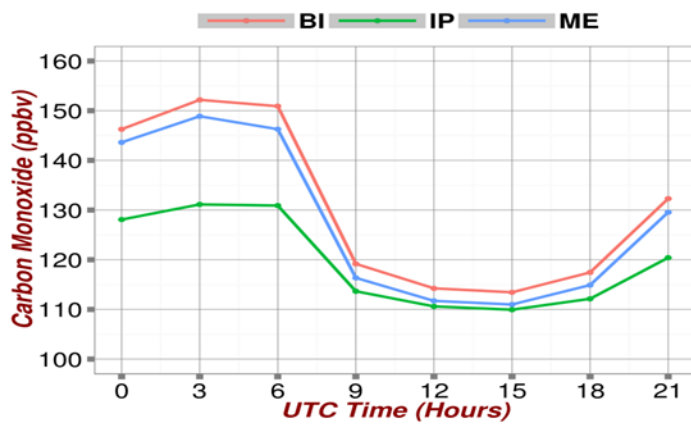
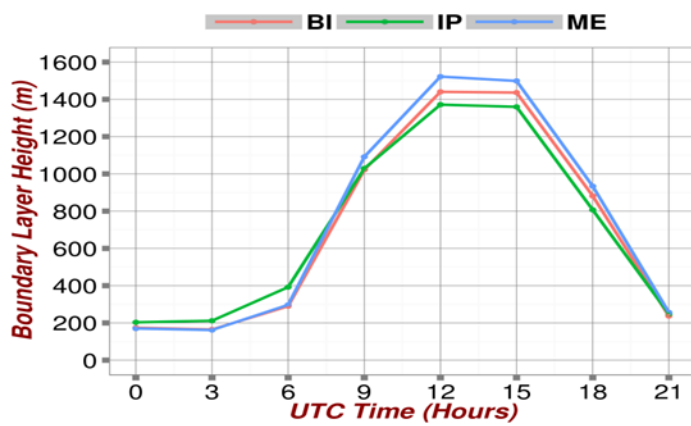
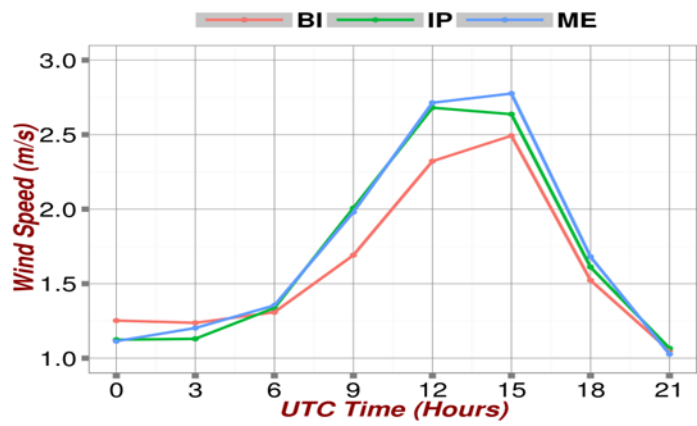


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2 Figure 10. Mean diurnal cycle of near surface O₃ (top panel) and NO_x (bottom panel) based
 3 on observations (solid black line) and MRE (green line) for the subregions BI, IP, ME during
 4 summer over the period 2003-2012.

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Fig S1 Diurnal meteorological patterns of wind speed (upper panel) and boundary layer height (middle panel) and Carbon monoxide (bottom panel).