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# Performance and results of the high-resolution biogeochemical model PELAGOS025 within NEMO

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## Abstract

The present work aims at evaluating the scalability performance of a high-resolution global ocean biogeochemistry model (PELAGOS025) on massive parallel architectures and the benefits in terms of the time-to-solution reduction. PELAGOS025 is an on-line coupling between the physical ocean model NEMO and the BFM biogeochemical model. Both the models use a parallel domain decomposition along the horizontal dimension. The parallelisation is based on the message passing paradigm. The performance analysis has been done on two parallel architectures, an IBM BlueGene/Q at ALCF (Argonne Leadership Computing Facilities) and an IBM iDataPlex with Sandy Bridge processors at CMCC (Euro Mediterranean Center on Climate Change). The outcome of the analysis demonstrated that the lack of scalability is due to several factors such as the I/O operations, the memory contention, the load unbalancing due to the memory structure of the BFM component and, for the BlueGene/Q, the absence of a hybrid parallelisation approach.

## 1 Introduction

Nowadays, the study of climate change needs high-resolution simulations as one of the possible strategies to reduce uncertainty in climate predictions. In addition, the interaction of the physical components of the climate system with Earth biogeochemistry and socio-economical aspects implies that multiple dynamical models are coupled together in the so-called Earth System Models (Schellnhuber, 1999; Claussen, 2000), increasing the complexity of the software tool. Next-generation leadership class computing systems can be considered as a deep revolution on climate change applications (Dongarra et al., 2011), allowing ever higher resolutions of climate models that will match or even surpass the resolution of today's operational weather forecast models. In particular, exascale will be able to provide the computational resources needed to increase resolution and complexity as required (Washington, 2005). However, climate

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and Earth System simulations can benefit from exascale as long as the models are capable to scale their performances. There are several issues to be considered when scaling models to reach performance up to an order of  $10^{18}$  floating point operations per second (Washington, 2008). At higher resolution, new physical aspects must be taken into account and integrated into the climate models (see, e.g., Siedler et al., 2013); it is necessary to design scalable computational kernels and algorithms, as well as considering new approaches and paradigms in the parallel programming in order to follow the features of the exaflops architectures. Often, to exploit the exascale potentiality, the so-called “legacy” climate models require a deep re-engineering, like e.g., the improvement of the computational kernels, new parallel approaches and new scalable algorithms. Moreover, new models, dynamic grids and new numerical solvers have to be conceived on exascale computers to carry out efficient operations.

The community climate models have to be carefully analysed in order to emphasise the scalability bottlenecks, which could not be the same on different architectures. Moreover, the implemented parallel approaches and the available alternatives have to be investigated to select the best strategy. The computational scientists have to decide if the model has to be re-designed from scratch or if it can be optimised in order to exploit the new generation architectures. The performance could be improved by using optimised numerical libraries (Dongarra et al., 1988, 1990; Blackford et al., 1996; Balay et al., 1997) or using tools to improve the I/O operations (XIOS, 2012; Balaji et al., 2013). In any case, the first required step is the analysis of the model scalability on (as many as possible) multiple architectures for testing the behaviour on heterogeneous resources. Dennis and Loft (2011) stressed the importance of testing the weak scalability by studying the impact of increasing both the resolution and core counts by factors of 10 to 100 using the Community Climate System Model (CCSM). Several issues related to the common code design and implementation emerged. This prevented the efficient execution of these applications on very large core counts. Worley et al. (2011) described the performance engineering aspects of the Community Earth System Model (CESM) and reported the performance scaling on both the Cray XT5

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and the IBM BG/P for four representative production simulations, by varying both the problem size and the included physical processes. The bottleneck can be a particular kernel of the model or a particular operation, such as the I/O, or an entire model component within a coupled model, which is likely to be rather common with coupled Earth System Models. The scalability of a coupled model can be improved balancing the model components load (Epicoco et al., 2011) or optimising the component that limits the performance. Mirin and Worley (2012) identified the CESM atmosphere component (CAM) as the most computationally expensive. The improvement of the CAM performance scalability can be achieved by means of new optimised communication protocols, and through the reduction of the computational bottlenecks.

As an example of this assessment of multi-component Earth System Models, we focused on an implementation that is likely to be standard in the next generation of climate models. We considered two components that are usually computationally demanding, the ocean physics and ocean biogeochemistry. As in most of the cases, ocean biogeochemical models are tightly linked to the ocean physics computational cores, as they share the same grid and numerical schemes. In particular, the present work aims at analysing the computational performance of the Nucleus for the European Modelling of the Ocean (NEMO) oceanic model at  $0.25^\circ$  of horizontal resolution coupled with the Biogeochemical Flux Model (BFM). The paper is organised as follows: the next section introduces the coupled model and the experimental set-up, Sect. 3 shows the main results in terms of strong scalability of the model, Sect. 4 describes the methodology used for the code profiling focusing on two different architectures, Sect. 5 discusses about the data structures used in NEMO and in BFM and highlights pros and cons, Sect. 6 illustrates the memory allocation model and the last section ends with some conclusions and future perspectives.

## 2 The PELAGOS025 biogeochemical model

PELAGOS (PELAGic biogeochemistry for Global Ocean Simulations, Vichi et al., 2007; Vichi and Masina, 2009) is a coupling between the NEMO general circulation model (version 3.4, <http://www.nemo-ocean.eu>) and the Biogeochemical Flux Model (BFM, version 5, <http://bfm-community.eu>). The BFM model is based on a biomass continuum description of the lower trophic levels of the marine system. The model is meant to describe the planktonic ecosystem in the global ocean, therefore it complements the classical ocean carbon cycle equations with the fluxes of nutrients (nitrogen, phosphorus, silicate and iron) among multiple biological functional groups, namely phytoplankton, zooplankton and bacteria. From a computational point of view, the use of multiple chemical constituents to represent the functional groups implies the implementation of several state variables that is about 2 to 3 times larger than the standard carbon cycle models (this current formulation has 52 state variables, see Vichi et al., 2015a for a description of the equations). In addition, the model is capable to store all the rates of transfer of the constituents among the functional groups, which adds substantially to the computational load.

The coupling between NEMO and the BFM is fully detailed in Vichi et al. (2015b), available in the BFM web site. The BFM is zero-dimensional by construction and defined only in the ocean points of the model grid. This implies that each BFM variable is a one-dimensional array, with all the land points stripped out from the three-dimensional domain of NEMO and the remapping into the ocean grid is done only when dealing with transport processes. This operation is done for every subdomain of the grid decomposition.

NEMO uses a horizontal domain decomposition based on a pure MPI approach. Once the number of cores has been chosen, the number of subdomains along the two horizontal directions (hereinafter *jpni* and *jpnj*) are consequently defined. The numerical discretisation used in NEMO is based on finite differences. According to this method, the communication pattern among the parallel tasks is based on the 5-points

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cross stencil. The best decomposition strategy for reducing the communication overhead is to select  $j_{pni}$  and  $j_{pnj}$  to obtain subdomains as much square as possible. By following this procedure, the communication overhead is minimum. However, coupling the biogeochemical component, the number of the ocean points for each subdomain becomes a crucial factor, since BFM, unlike NEMO, performs the computation only on these points. A pre-processing tool has been written to establish the best domain decomposition that minimises the number of ocean points of the biggest subdomain. In addition, a NEMO feature allows to exclude the domains with only land points. Reid (2009) demonstrated that the removal of land processes reduces the resource usage by up to 25 % and also gives a small reduction in the total runtime. The subdomains to be excluded depend on the bathymetry. Figure 1 shows a domain decomposition with the bathymetry in background highlighting those subdomains excluded from the computation because made of only land points.

### 3 Performance analysis

#### 3.1 Test case

The PELAGOS model was tested in this work at the highest available horizontal resolution of  $0.25^\circ$  described in McKiver et al. (2015), where all the details of the simulation set-up can be found. PELAGOS025 is a configuration based on the ORCA025 grid ( $1442 \times 1021$  grid points in the horizontal with 50 vertical levels), going from an effective resolution of 28 km at the Equator to 10 km at the Poles (Barnier et al., 2006). A time step of 18 min is used both for the physical and biogeochemical model, while the sea ice model is called every 5 steps. For each run we simulated one day with a total of 80 time steps. This specific experiment focused more on computational performances and less on the I/O behaviour because, at the time of the experimental analysis, it was possible to use a I/O strategy where each process wrote its own outputs and restarts files. When the number of cores increases beyond 2048, the number of files cannot be

efficiently handled by the filesystem. Further experiments will be performed using the XIOS (XIOS, 2012) library that will be supported from version 3.6 of NEMO.

The analysis of the strong scalability of the code has been performed on two architectures: the first one is a BlueGene/Q (named VESTA), located at the Argonne Leadership Computing Facilities (ALCF/ANL); the second one is the ATHENA system, available at CMCC, an iDataPlex equipped with Intel Sandy Bridge processors. The activity has been conducted in collaboration with the ALCF/ANL. Details about the systems are reported in Table 1. The main differences among the machines are the number of hardware threads. VESTA can handle Simultaneous Multi Threading (SMT) up to 4 threads while the Sandy Bridge architecture supports the execution of 2 threads simultaneously. Even if ATHENA has a higher value of the peak performance per node, VESTA is a very high scalable architecture. Finally the communication network is different, BG/Q uses a Torus network with 5 dimensions, it is characterised by several partitions made of 32 up to 1024 nodes. During the execution, an entire partition is reserved to the job. This means that the job acquires the use of both the nodes and the network partition exclusively. The ATHENA nodes are connected through an infiniband switch that is shared among all the running jobs. Table 2 reports the considered domain decomposition corresponding to the selected number of cores on ATHENA and VESTA machines. The table also contain the number of nodes used for each experiment. SMT has not been used on both the machines. Being NEMO a memory-intensive application, the use of SMT does not produce major improvements in the performance; noteworthy, performance can even deteriorate due to the memory contention produced by the simultaneous execution of the threads. Each experiment has been repeated 5 times with 30 total runs on ATHENA and 20 on VESTA.

### 3.2 Strong scalability

The performance analysis started from the evaluation of the parallel scalability. Two definitions of parallel scalability can be considered: the strong and the weak scalability. The former is defined as the computational behaviour of the application when the num-

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ber of computing elements increases for a fixed problem size; the latter describes how the execution time changes with the number of computing elements for a fixed grain size. This means that the computational work assigned to each processor is fixed and hence the problem size grows with the number of processes. The weak scalability is relevant when a parallel architecture is used for solving problems with a variable size and the main goal is to improve the solution accuracy rather than to reduce the time-to-solution. The strong scalability is relevant for applications with a fixed problem size and hence the parallel architecture is used to reduce the time-to-solution. The PELA-GOS025 coupled model can be considered as a problem with a fixed size and the main goal is to use computational power to reduce the time-to-solution.

The charts in Figs. 2, 3 and 4 show the scalability results respectively in terms of speedup, execution time and SYPD (Simulated Years Per Day), a metric for measuring the simulation throughput usually referred by the climate scientists to evaluate the model performance (see, e.g., Parashar et al., 2010). For both machines the results show that the MPI communication time tend to decrease with the number of cores for two main reasons. The first one relates to the communication type that can be classified as neighbourhood collective, where each process communicates only with its neighbours and no global communication happens; this means that the number of messages per core does not change when the number of processes increases. The second reason involves the amount of data exchanged between processes that becomes smaller when the local subdomain shrinks. On the ATHENA cluster, the tests have been executed up to 2048 cores. Figure 3 shows that the execution time on 2048 cores increases with respect to the run on 1728 cores, which indicates a lack of scalability. For this reason the analysis on ATHENA was limited to 2048 cores. On VESTA machine the analysis has been performed up to 16 384 cores. Even if there is a factor of 10 between the resources used on the two machines, the best execution time obtained on the Sandy Bridge architecture is halved with respect to the BG/Q. The decrease of scalability calls for a deeper analysis of the bottlenecks and the need for a broad optimisation activity.



## 4 Code profiling

The optimisation process of a code requires the analysis of the bottlenecks that limit the scalability. The investigation methodology used in the present work is based on the analysis at the routine level. Two different reference decompositions have been taken into account and the execution time of the main routines for the two decompositions have been analysed in order to evaluate the speed-up of each single routine. The gprof utility has been used for measuring the execution time of the PELAGOS routines. The gprof output consists of two parts: the flat profile and the call graph. The flat profile gives the total execution time spent in each function and its percentage of the total running time providing an easy way to identify the hot spots. Only the routines with a percentage of the total running time greater than 1% have been reported in the analysis.

In addition, on the BG/Q machine, an in-depth analysis using the High Performance Monitor (HPM, Lakner et al., 2008) tool has been performed in order to verify the overall intrinsic performance. For reference, a complete description of the code flow chart and naming conventions of the various routines is available in the BFM manuals (Vichi et al., 2015a, b). We report in Table 3 a description of the main tasks performed by the routines that have been identified by the code profiling on the two architectures.

### 4.1 BG/Q

The profiling at routine level helps to discover the model bottlenecks. The code profiling has been performed with 2048 and 4096 cores. The most time consuming routines have been selected in both cases. Figure 5 shows the speedup for the main identified routines. The speedup is evaluated as ratio between the execution time on 2048 and 4096 cores, so the ideal value should be 2. However, none of the routines reached the ideal speedup. This is because the computing time for the BFM model strictly depends on the number of ocean points. Starting from the considered decompositions (2048 and 4096), the number of ocean points assigned to the most computationally loaded pro-

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cess is respectively 28 553 and 19 506. Even if the number of cores has been doubled, the maximum number of ocean points has not been halved. The scalability of BFM is thus heavily affected by the load balancing problem. Moreover, the three routines, highlighted in Fig. 5 (cf. Table 3), are unaffected by scaling.

Table 4 shows the results got by applying the HPM on the BG/Q machine. The instruction mix refers to the ratio between the floating point and the total instructions. The best mix should be 50 %. BG/Q has 2 different and independent pipelines for executing floating point and integer instructions: an instruction on the Floating Point Unit (FPU) can be executed simultaneously with an instruction on the Fixed Point Unit (FXU). The instruction mix is completely unbalanced. However, we have to consider that the FXU includes the load and store instructions to access the memory. Moreover, the execution reaches a rate of 2.7 Gflops per node which is only 0.25 % of the peak performance. This means that NEMO exploits only a very small part of the computational potentiality of the architecture. The main reason has to be found in the parallelisation approach based on pure MPI. The SMT is not exploited at all executing only one thread per core. A hybrid parallel approach could better exploit the SMT improving the performance of the entire model. Last consideration regards the percentage of L1 cache hits: the high value means that the memory hierarchy is well exploited.

## 4.2 IBM iDataPlex

The analysis of routines scalability on the iDataPlex architecture has been performed on two other reference decompositions respectively on 1344 and 2048 cores. Figure 6 shows the results in terms of speedup. In this case, the number of ocean points of the most loaded process is respectively 46 693 and 30 863, so that the ratio between both the number of ocean points and between the number of cores is about 1.5. The ocean points balancing among the subdomains is random and happens only for the considered decomposition: the code does not include an efficient balancing algorithm. With this architecture, more routines are characterised by a speedup value far from the ideal one, and interestingly they do not correspond to those ones identified in BG/Q.

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The two considered architectures deeply differ in terms of processor technology, functional units, computational datapath, memory hierarchy, network interconnection and software stack such as compilers and libraries. The BG/Q is based on light-weight processors at 1.6 GHz mainly suited for that part of the code which are computing intensive with massive use of floating point operations and with a high level of arithmetic intensity. Moreover the optimisations introduced by the compiler are mainly related to the vectorisation level and this can explain why the routine identified on IBM-iDataPlex with Sandy Bridge processor are different from those ones identified on BG/Q. Further analyses are needed in order to discover the peculiarities of the highlighted routines or the presence of common issues, such as a high communication overhead or a low parallelism level. In this case the performance could be improved introducing a hybrid parallelisation approach.

## 5 NEMO and BFM data structures

In this section we deeply analyse the differences between the data structures adopted in NEMO and in BFM and we evaluate which one is better to be used. A three-dimensional matrices data structure is used in NEMO. Each matrix includes also the points over land and it is the natural implementation of the subdomains defined as regular meshes by the finite difference numerical scheme. Even if this data structure brings some overhead due to the computation and memorisation of the points over land, it maintains the topology required by the numerical domain. The finite difference scheme requires each point to be updated considering its six neighbours, establishing a topological relationship among each point in the domain. Using a three-dimensional matrix to implement the numerical scheme, this relationship is maintained and the topological position of a point in the domain can be directly derived by its three indexes in the matrix. Changing this data structure would imply the adoption of additional information for representing the topology with a negative impact on the performance due

to indirect memory references, introduction of cache misses and reduction of the loop vectorisation level.

The BFM model uses instead a one-dimensional array data structure with all the land points striped out from the three-dimensional domain. The BFM model is zero-dimensional by construction, so the new value of a state variable in a point depends only on the other state variables in the same point and no relationship among the points is needed. The transport term of the pelagic variables is demanded to NEMO and this requires a remapping from one-dimensional to three-dimensional data structure and viceversa at each coupling step. In this section we aim at evaluating if the adoption of the three-dimensional matrices data structure for BFM can improve the performance of the whole model. Three main aspect will be evaluated: the number of floating point operations, the load balancing and the main memory allocation. The evaluation has been conducted by choosing a number of processes that lead each subdomain of PELAGOS025 configuration to have exactly a square shape. Figure 7 depicts all of the parallel decompositions that satisfy this squared domain condition. A pair of number of processes along  $i$  and  $j$  which fall in the blu region generates a squared domain decomposition. The graph has been generated considering that in order to obtain a squared domain with just one line for the halo, the following equation must be satisfied:

$$\left\lceil \frac{iglo-3}{px} \right\rceil = \left\lceil \frac{jglo-3}{py} \right\rceil \quad py, px \in \mathbb{N}$$

where  $iglo$  and  $jglo$  are the size of the whole domain and  $px$  and  $py$  are the number of processes to choose. With this choice any effect due to the shape of the domain is eliminated. In the following sub sections we analyse the three performance aspects keeping in mind that the aim is to compare the BFM model when it adopts one- or three-dimensional data structure. The analysis is not to be intended as a comparison between NEMO and BFM.

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## 5.1 Number of floating point operations

The number of floating point operations is directly proportional to the number of points included in the subdomain. Since a parallel application is driven by the most loaded process in the pool, we will evaluate how the number of points changes at different decompositions for the process with the biggest domain considering the two data structures. Figure 8 reports the ratio between the number of points of the biggest domain for the three-dimensional (hence including the land points) and the one-dimensional data structure. For small decompositions (less than 1026) the three-dimensional data structure includes an overhead due to the operations over the land points which reaches 12%. When the number of processes increases, even if the subdomains become smaller and the most loaded process should include only ocean points, the three-dimensional approach introduces a 2% of computational overhead since the last level in the bottom is composed entirely by land points.

## 5.2 Load balancing

The load balancing is measured evaluating how many points are taken by each process. An optimal load balancing is reached when each process elaborates the same number of points. With the three-dimensional data structure the global domain is equally partitioned among the processes; in the case that the domain size is not perfectly divisible by the number of processes (along  $i$  or  $j$  direction), some processes have one additional row or column. In this case the work load is well balanced. Figure 9 graphically represents the amount of points for each domain. Each square is a process and the color represents the number of points (the lighter is the color, the lower is the number of points). The black squares are those domains made entirely by land points and they are excluded from the computation. With the one-dimensional data structure the work load balancing is different, as illustrated in Fig. 9. In this case the number of points for each domain depends on the bathymetry; domains near the coast have less points resulting in an unbalanced work load. Table 5 reports the analytical values

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and an estimation of how much improvement can be reached with an ideal distribution of the ocean points among the processes. The overhead due to the load balancing ranges from 50 to 30% of the execution time. Even if the one-dimensional approach is unbalanced, taking into account the considerations made in the previous section and in Fig. 8, the most loaded processes in both approaches have the same amount of points (for more than 1026 processes). This implies that the apparently well balanced computation given by the three-dimensional data structure does not necessarily lead to improved performance because it is given by and increment of computation by those processes which have few ocean points and it is not given by a balanced distribution of the useful computation (i.e. the computation performed over the ocean points).

### 5.3 Memory allocation

The BFM model is quite sensible to the amount of allocated memory since it handle tens of state variables. For simulations at high resolution the memory could be a limiting factor. Figure 10 depicts the amount of memory needed by the BFM when using the three- and one-dimensional data structure. The graph reports the increment of memory with respect to the minimum required memory. The amount of memory increases due to the halos: the higher is the number of processes, the larger is the redundant memory needed to store the elements in the halos. This is clearly pointing out that the three-dimensional data structure requires an amount of additional memory estimated between 50 and 120%, for storing the land points. This is one of the principal motivation which suggests that the three-dimensional data structure is not suitable for the BFM.

To conclude, the one-dimensional data structure performs better or at most equal to the three-dimensional one in terms of floating point operations. Moreover the one-dimensional data structure requires the minimum amount of memory since it stores only the ocean points, while the three-dimensional approach increases the amount of memory for a very high factor demanding huge amount of memory and making prohibitive the simulations at high resolution. Finally, even if the work load is not balanced,

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the solution for a better balancing is not given by the use of the three-dimensional data structure. An ad-hoc policy to redistribute the ocean points among the processes could bring ideally a performance improvement for more than 30%. The counterpart is the costs for data remapping between one-dimensional and three-dimensional data structure, which occurs during the coupling steps between BFM and NEMO. However the remapping is not accounted as an hotspot by the profiler (Sect. 4). Moreover, for few number of processes (less than 1026) the penalty due to the remapping is balanced out by the reduction in terms of number of floating point operations, while for greater number of processes the remapping can be skipped since the subdomains are entirely made of ocean points.

## 6 The memory model

The presence of the BFM component in the coupled model produces a work load unbalancing due to the different number of ocean points assigned to processes. We already stated that a better load balancing policy would notably improve the performance, even though an optimal mapping of the processes over the computing nodes can bring to a slight improvement without changing the application code. The load unbalancing affects both the number of floating point operations and also the amount of memory allocated by each process. The local resource manager of a parallel cluster (such as LSF, PBS, etc.) typically handles the execution of parallel application mapping the processes on the cores of each computing nodes without any specific criteria, just following the cardinal order of the MPI ranks. This generates an unbalanced allocation of memory on the nodes; some nodes can saturate the main memory and some others could use only a small part of it. The amount of allocated memory is also an indirect measurement of the memory accesses, as the larger is the allocated memory the higher will be the number of memory accesses. For those nodes with full memory allocation, the memory contention among the processes impacts on the overall performance. A fairer distribution of processes over the computing nodes can better balance the allocated

memory reducing the memory contention. In this section we discuss a mathematical model used to predict the amount of memory needed by each process.

The model was built considering the peculiarities of the data structures used in NEMO and BFM as discussed in the previous section. In general, the memory allocated by each process is given by a term directly proportional to the subdomain size (according to the data allocated in NEMO), a term directly proportional to the number of ocean points in the subdomain (according to the data allocated in BFM) and a constant quantity of memory related to the scalar variables and the data needed for parallel processes management.

The memory model can be formalised by the following equation:

$$M = \alpha \cdot j_{pi} \cdot j_{pj} \cdot j_{pk} + \beta \cdot Opt + \gamma$$

where  $j_{pi}$ ,  $j_{pj}$  and  $j_{pk}$  represent the size of the subdomain along the three dimensions and  $Opt$  is the number of ocean points in the subdomain. As in a linear model we can evaluate the coefficients  $\alpha$ ,  $\beta$  and  $\gamma$  using a linear regression.

The test configuration used to evaluate the coefficients is executed on 672 processes and, for each one, the total amount of allocated memory was measured. The number of ocean points of each subdomain is evaluated using the bathymetry input file. Figure 11 shows the memory evaluated for the configuration with 672 processes.

Table 6 reports the evaluation of the coefficients obtained with the linear regression, the standard error and the coefficient of determination ( $R^2$ ), which refers to the difference between the value of memory estimated and measured. It can assume values between 0 and 1. A value of 1 means that there is a perfect correlation, i.e. there is no difference between the estimated value and the actual one. The memory model has been validated with other domain decompositions ranging from 160 to 512 cores (see Fig. 12 as example of comparison between the memory measured for each process and the estimation from the memory model). A detailed evaluation of the memory model accuracy is reported in Table 7. It shows the value of the root mean square error (RMSE), expressed in GigaBytes, for each examined decomposition. The relative

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RMSE, instead, expresses the ratio between the root mean square error and the average of the examined sample. The relative RMSE is always less than 6%, so we can assume that the memory model estimates with a good approximation the actual trend.

Figure 13 shows the trend of the memory footprint estimated by the model. The difference between the process with the most allocated memory (red line) and the least allocated memory (blue line) gives also a measure of the load unbalancing, which is greater for the smallest decompositions and decrease (i.e. the computation is better balanced) for the highest decompositions. This can be explained since the highest decompositions gives smaller subdomains with a number of land points evenly distributed (recall that the subdomains with only land points are excluded from the computation). This test shows also that in a smaller configuration the memory required by each process is substantially larger and then it is more likely to have an additional time overhead, due to the combination of processes on a node may request more memory than the one available.

## 7 Conclusions

The present work aimed at analysing the computational performance of the PELAGOS coupled model at  $0.25^\circ$  of horizontal resolution on two different computing architectures, in order to identify the presence of computational bottlenecks and limiting factors to the scalability on many cores architectures. The analysis highlighted three main aspects limiting the model scalability:

- The I/O management. Before starting the scalability analysis, some tests on the two architectures have been performed using the model complete of all of its features. The management of I/O is inefficient when the number of processes increases. In fact, the number of the reading/writing files is proportional to the number of processes. On the one hand this peculiarity allows the parallelisation of the I/O operations (each process can read/write its inputs/outputs independently),

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on the other one, the I/O management is prohibitive when we have thousands of processes. For this reason, the I/O has been omitted from the performance analysis, focusing only on the computational aspects. In future, the adoption of more performant I/O strategy will be necessary (e.g., the use of XIOS tool for I/O management).

- The memory usage balancing. The presence of the BFM component introduces a load imbalance due to the different number of ocean points belonging to each subdomain. Since the memory allocated by each process is related to the number of ocean points, a balancing strategy of the memory allocated for each node would improve the performance. In this context, some mapping strategies of the processes on the physical cores could be taken into account.
- The communication overhead. PELAGOS is based on a pure MPI parallelisation. When the number of processes increases, the ratio between computation and communication decreases. Beyond a limit, the communication overhead becomes unsustainable. A possible solution is to parallelise along the vertical direction or overlap communications with computation. A hybrid parallelisation strategy can be taken into account, adding for example OpenMP to MPI. This strategy would allow a better exploitation of many-core architectures. Moreover, a further level of parallelism over the state variables treated by the BFM could be introduced.

The work has also demonstrated that the one-dimensional data structure used in BFM, does not affect the performance when compared with the three-dimensional data structure used in NEMO. The workload in BFM is unbalanced since the global domain is divided among the processes following a block decomposition without taking into account the number of ocean points which fall in a subdomain. The adoption of smarter domain decomposition, e.g. based on the number of ocean points, could lead to a significant improvement of the performance.

Finally, the current version of PELAGOS025 is still far from being ready for scaling on many-core architecture. A constructive collaboration between computational scien-

tists and application domain scientists is a key step to reach substantial improvements toward the full exploitation of next generation computing systems.

## Code availability

The PELAGOS025 software is based on NEMO v3.4 and BFM v5.0 both available for download from the respective distribution sites (<http://www.nemo-ocean.eu/> and <http://bfm-community.eu/>). The software for coupling NEMO v3.4 with BFM v5.0 is available upon request (please contact the BFM System Team – [bfm\\_st@lists.cmcc.it](mailto:bfm_st@lists.cmcc.it)). Section 3 of the BFM manual (Vichi et al., 2015a) reports all the details on the coupling. Finally the ORCA025 configuration files used for this work are available upon request to the paper authors.

*Acknowledgements.* The authors thankfully acknowledge the computer resources, technical expertise and assistance provided by the Argonne Leadership Computing Facilities, namely dr. Paul Messina. The authors acknowledge the NEMO and BFM Consortia for the use of the NEMO System and BFM System.

This work was partially founded by EU Seventh Framework Programme within the IS-ENES project [grant number 228203] and by the Italian Ministry of Education, University and Research (MIUR) with the GEMINA project [grant number DD 232/2011].

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**Table 1.** Architectures parameters related to the BlueGene/Q (named VESTA), located at the Argonne Leadership Computing Facilities (ALCF/ANL) and the iDataPlex equipped with Intel Sandy Bridge processors (named ATHENA), located at the CMCC.

Design Parameters	BG/Q (ANL)	IBM iDataPlex (CMCC)
Processor	PowerPC A2	Intel Xeon Sandy Bridge
Cores/Node	16	16
Hardware Threads	4	2
Flop/clock/core	8	8
Flop/Node (GFlop)	204.8	332.8
Clock Speed (GHz)	1.6	2.6
RAM/core (GB)	1	4
Network	5-D Torus	Infiniband 4×FDR



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**Table 2.** Domain decompositions used for the experiments on the Sandy Bridge (Athena) and BG/Q (Vesta) architectures. The first two columns report the number of subdomains along the two horizontal directions, the third column shows the total number of processes excluding the land ones. It follows a column indicating the number of nodes used to run the experiment while the last columns show the average execution time, in s, for a time step of the simulation on both machines.

jpni	jpnpj	jpnij	nodes	SB s step <sup>-1</sup>	BG/Q s step <sup>-1</sup>
6	29	160	10	25.05	–
38	18	544	34	7.42	–
52	24	944	59	5.27	–
104	17	1344	84	4.78	–
70	34	1728	108	3.19	–
122	23	2048	128	3.27	16.25
363	15	4096	256	–	10.81
281	42	8192	512	–	8.40
149	166	16384	1024	–	7.15

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**Table 3.** Name and description of the routines selected during the code profiling analyses. The routines identified as belonging to BFM are also the ones that originate from NEMO but they have been modified for the BFM memory structure.

F90 Name	Model	Tasks
trc_adv, trc_adv_muscl	NEMO	Advection of biogeochemical tracers (main caller and specific advection scheme)
calchplus, drtsafe2	BFM	Main caller and the iterative scheme to solve the carbonate system equilibrium using the Newton-Raphson method
tra_qsr	NEMO	Computation of the temperature trend due to solar radiation penetration
div_cur	NEMO	Computation of horizontal divergence and relative vorticity
dyn_spg_fit, sol_pcg	NEMO	Main caller and pre-conditioned conjugate gradient solver for the elliptic differential equation of the surface pressure gradient
flux_vector	BFM	Helper routine that stores the fluxes of material between the BFM state variables
tra_ldf_iso, ldf_slp, dyn_ldf_bilap	NEMO	Horizontal turbulent diffusion for temperature and salinity (along isopycnal levels, with computation of the slope of isopycnals) and momentum
trc_trp_bfm	BFM	Main caller to advection-diffusion routines for biogeochemical tracers. It loops over the number of BFM state variables and does the remapping between 1-D and 3-D data structures
microzoodynamics	BFM	Computation of the reaction terms for microzooplankton
mesozoodynamics	BFM	Computation of the reaction terms for mesozoplankton
tra_zdf_imp	NEMO	Computation of vertical diffusion of temperature and salinity using an implicit numerical scheme
phytodynamics	BFM	Computation of the reaction terms for phytoplankton
pelglobaldynamics	BFM	Computation of diagnostic terms used in the pelagic model (chlorophyll, nutrient ratios, etc)
pelbacdynamics	BFM	Computation of the reaction terms for pelagic bacteria
trc_stp	NEMO	Main caller of the time stepping for biogeochemical tracers. It calls the BFM routines and the transport of biogeochemical tracers
zps_hde	NEMO	Computation of the bottom horizontal gradient for temperature, salinity and density when using partial steps
tra_sbc, sbc	NEMO	Surface boundary conditions for temperature and salinity
tra_bbl	NEMO	Bottom boundary layer condition for temperature and salinity

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**Table 4.** Code profiling by applying the HPM (High Performance monitoring Tool) on BG/Q cluster. The first column reports the measured parameters while the other ones show the values on two reference decompositions, respectively on 2048 and 4096 cores.

Measure	Values on 2048 cores	Values on 4096 cores
Instruction mix	FPU = 4.49 % FXU = 95.51 %	FPU = 3.01 % FXU = 96.99 %
Instructions per cycle/core	0.2548	0.2769
Gflops/Node (Peak 204)	0.598 (Gflops)	0.436 (Gflops)
DDR traffic/Node	1.775 (Bytes cycle <sup>-1</sup> )	1.168 (Bytes cycle <sup>-1</sup> )
Loads that hit in L1 or L1P	98.8 %	99.1 %
MPI Communication time	144.84 (s)	96.72 (s)
Total elapsed time	397.85 (s)	281.71 (s)

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**Table 5.** Load balancing when adopting the three-dimensional or one-dimensional data structure. The first column reports the number of processes followed by the dimension of the biggest domain. The Max and Avg columns report the maximum number of grid points (i.e. the number of grid points for the biggest domain) and the average value among all the domains. The Unbal. columns give the estimation of the overhead due to unbalancing. It is computed as  $(\text{Max} - \text{Avg})/\text{Max}$ .

Procs.	Subdomain	three-dim. data struct.			one-dim. data struct.		
		Max	Avg	Unbal.	Max	Avg	Unbal.
35	208 × 206	2 142 400	2 137 380	0.23 %	1 897 483	952326	49.81 %
171	87 × 87	378 450	376 899	0.41 %	356 746	200 427	43.82 %
332	62 × 62	192 200	192 032	0.09 %	186 381	105 147	43.59 %
1026	35 × 35	61 250	60 653	0.98 %	59 930	35 848	40.18 %
1856	26 × 26	33 800	33 524	0.82 %	33 109	20 680	37.54 %
3572	19 × 19	18 050	17 998	0.29 %	17 689	11 436	35.35 %
9882	12 × 12	7200	7195	0.07 %	7056	4764	32.48 %
19 745	9 × 9	4050	4039	0.27 %	3969	2738	31.01 %
59 955	6 × 6	1800	1771	1.64 %	1764	1233	30.13 %

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**Table 6.** Estimation of the memory model coefficients. The evaluation has been experimentally performed considering a decomposition made of  $19 \times 45$  subdomains with 183 of them having only land points (672 parallel processes have been used for the simulation).

coefficient	value
$\alpha$	1.030 KB
$\beta$	6.142 KB
$\gamma$	421.44 MB
$R^2$	97.49 %
Standard error	62.62 MB

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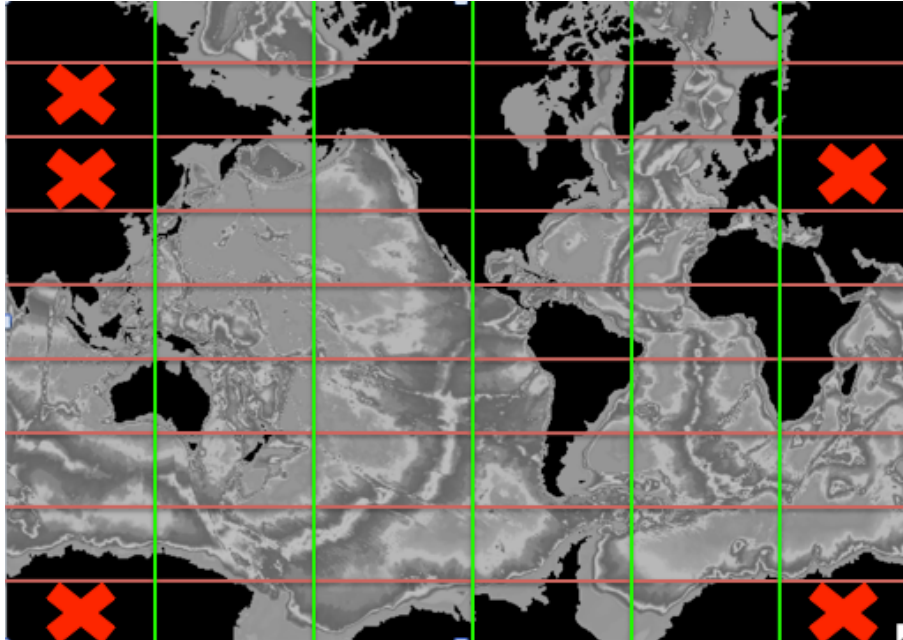
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**Table 7.** Evaluation of the memory model accuracy. The first column reports the examined decompositions, the last one shows the root mean square error (RMSE), expressed in Giga-Bytes, while the second one shows the relative RMSE expressed as the root mean square error compared with the average of the examined sample.

configuration	relative RMSE (%)	RMSE (GB)
160	4.651	0.0995
192	5.106	0.0950
224	4.647	0.0763
256	5.489	0.0813
288	5.773	0.0790
320	5.568	0.0698
512	4.907	0.0473



**Figure 1.** Example of PELAGOS025 decomposition on 54 subdomains. There are 5 subdomains with only land points (marked by a X). These subdomains are not included in the computation.

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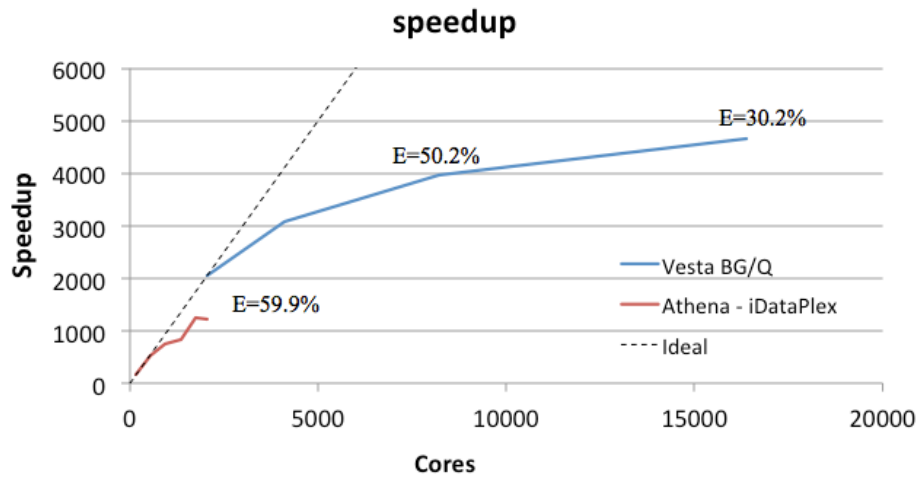


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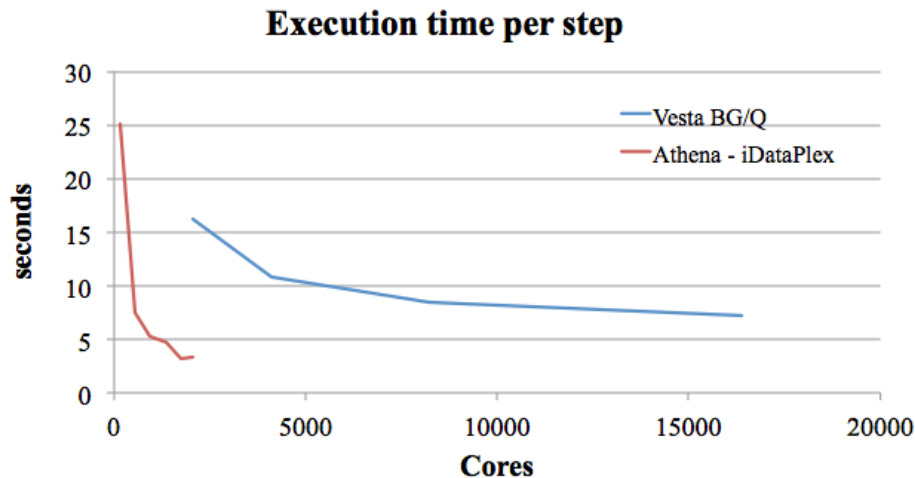
**Figure 2.** Scalability of PEALGOS025 configuration: comparison between the results obtained on ATHENA and VESTA. The red line represents the speedup of the model on ATHENA, the blue line on VESTA. The dashed line represents the ideal speedup.

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**Figure 3.** Scalability of PELAGOS025 configuration: comparison between the results obtained on ATHENA and VESTA. The red line represents the execution time for a time step of the model on ATHENA, the blue line on VESTA.

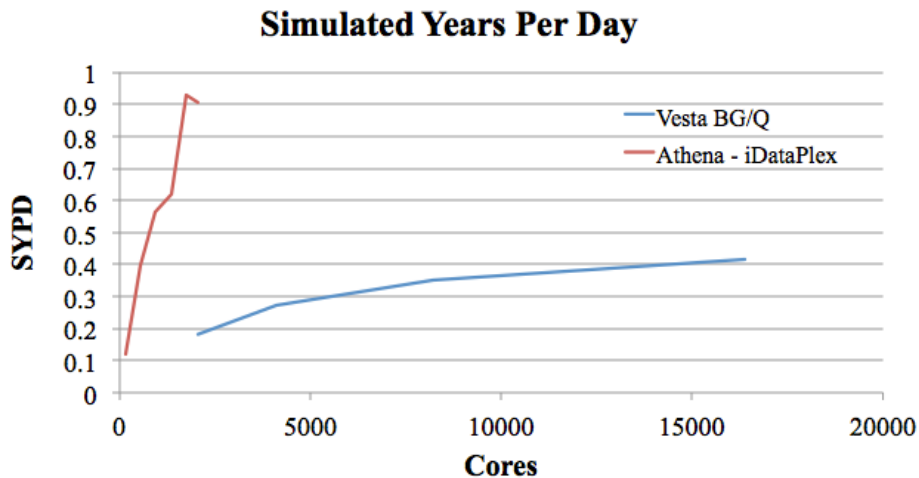
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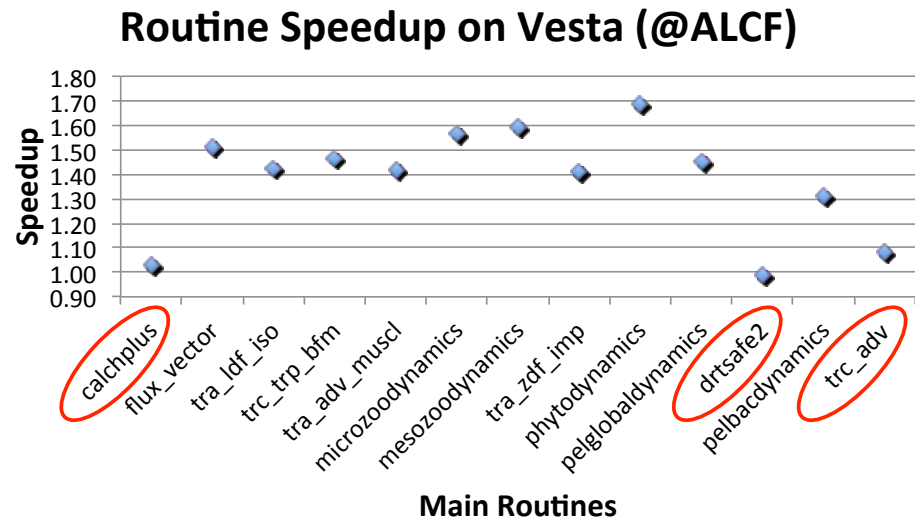
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**Figure 4.** Scalability of PELAGOS025 configuration: comparison between the results obtained on ATHENA and VESTA. The red line represents the Simulated Years Per Day of the model on ATHENA, the blue line on VESTA.

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**Figure 5.** Analysis of scalability of the main routines on the BG/Q cluster in terms of speedup. The red circles indicate the routines whose speedup is far from the ideal value.

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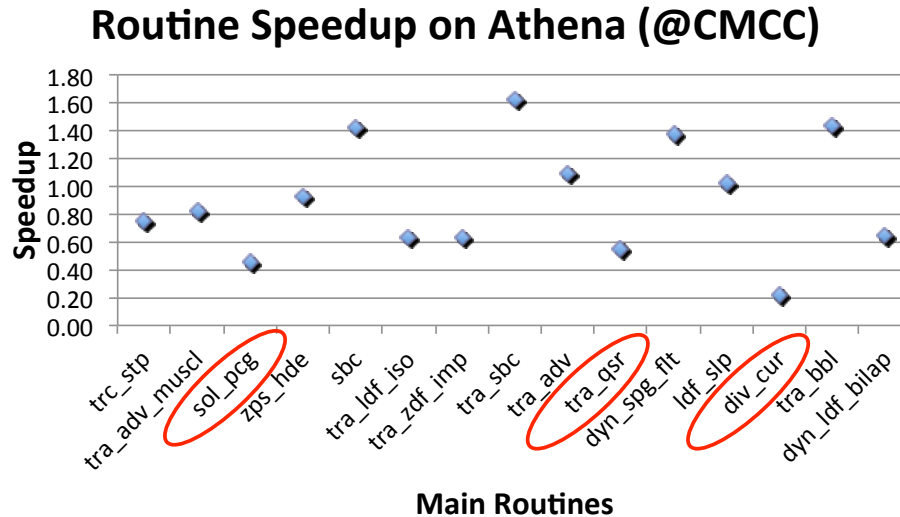
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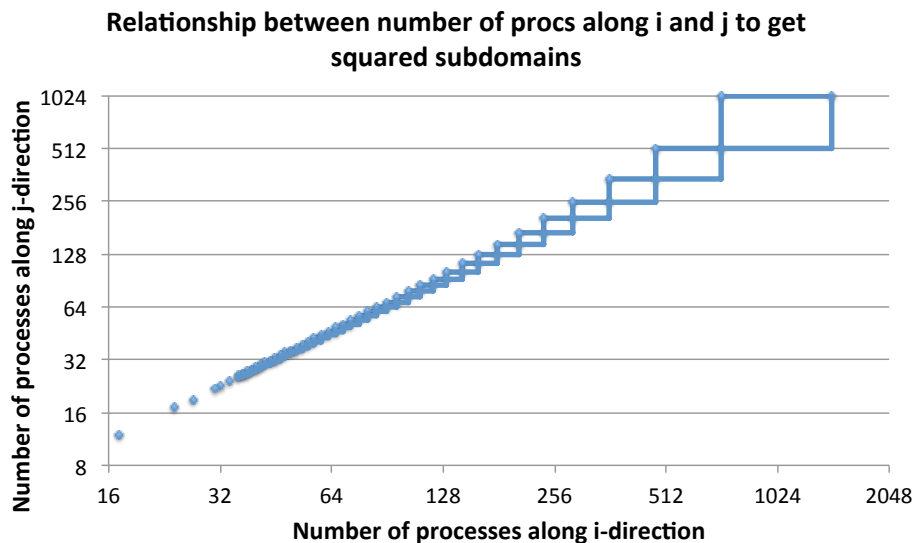


**Figure 6.** Analysis of scalability of the main routines on the iDataPlex cluster in terms of speedup. The red circles indicate the routines whose speedup is far from the ideal value.

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**Figure 7.** Relationship between the number of processes along *i* and *j* direction to get exactly squared sub domains. If the number of processes fall in the blu boxes the resulting decomposition is a perfect square.

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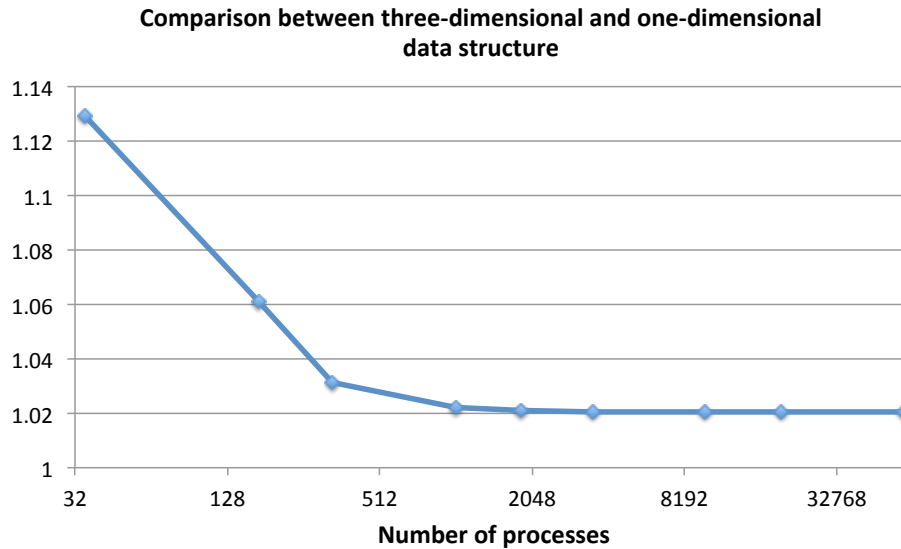


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**Figure 8.** Ratio between the number of floating point operations when using the three-dimensional and one-dimensional data structure.

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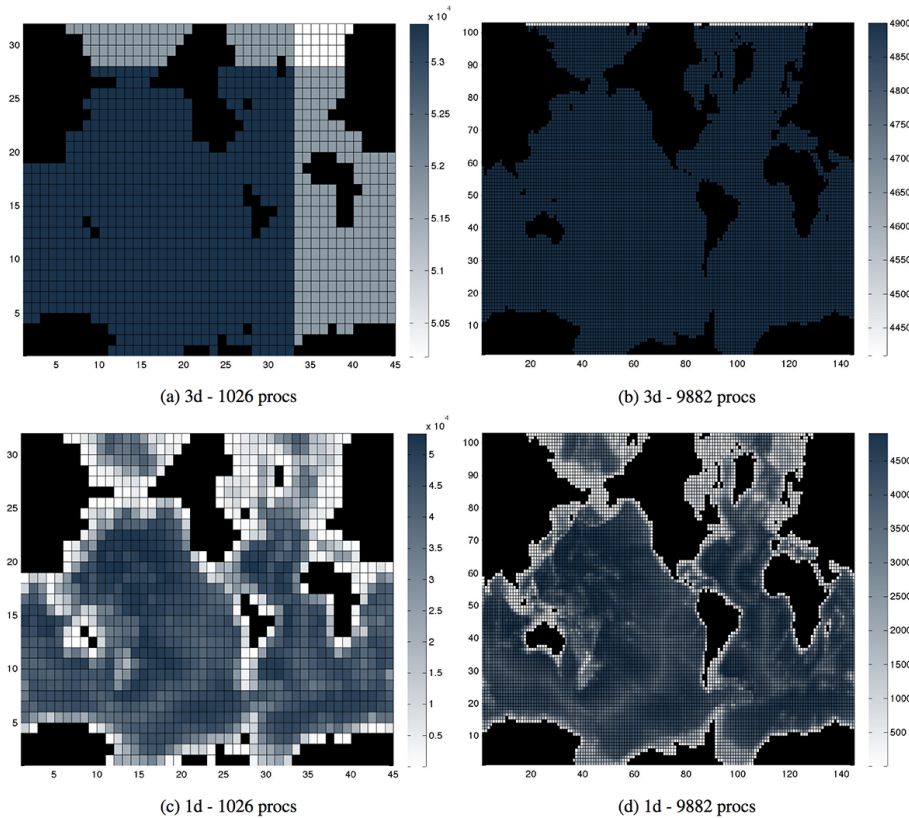
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**Figure 9.** Load balancing for one-dimensional **(c, d)** and three-dimensional **(a, b)** data structures with 1026 **(a, c)** and 9882 **(b, d)** processors. The colors represent the number of points in the domain.

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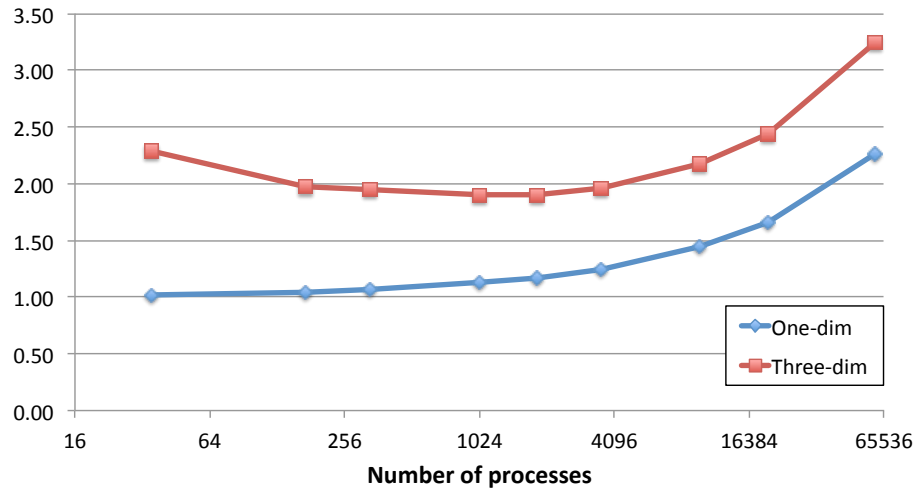
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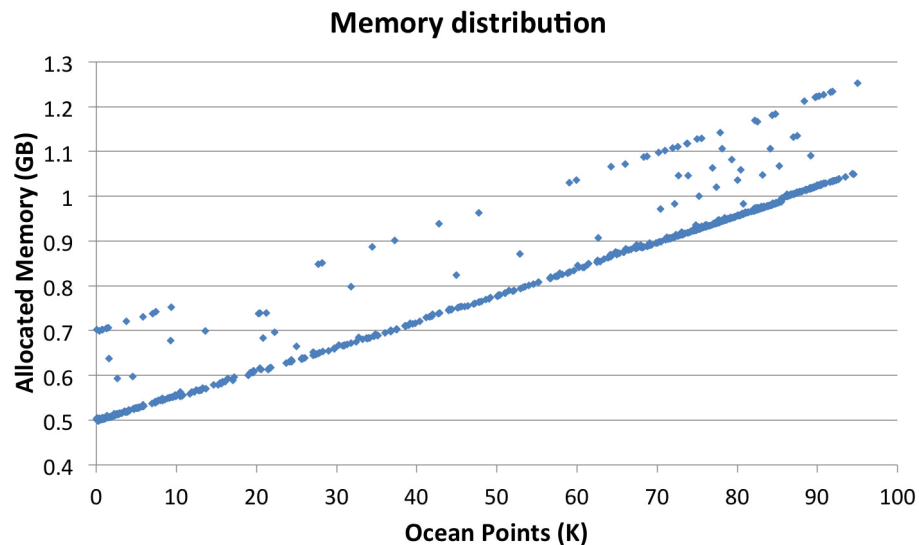
**Amount of memory w.r.t. the baseline with 1 process**



**Figure 10.** amount of memory allocated using three- and one-dimensional data structure. The values refers to the minimum amount of memory allocated in a sequential run.

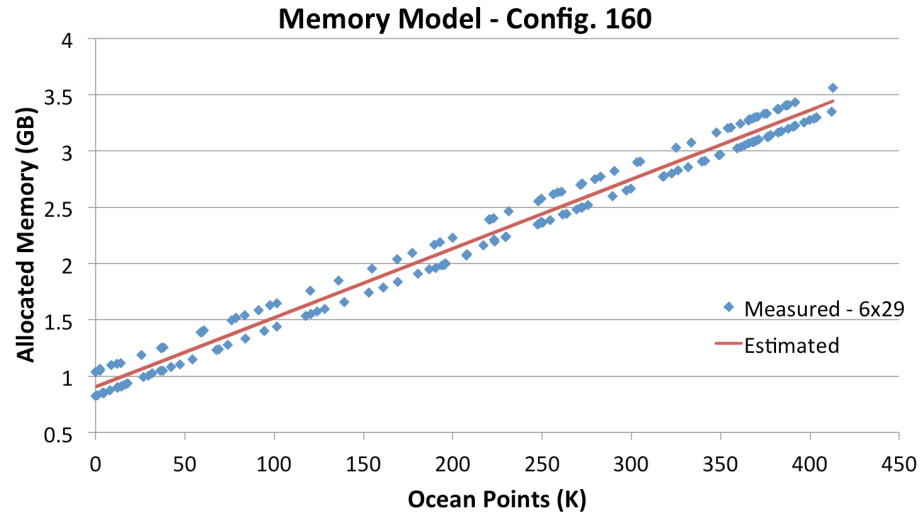
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**Figure 11.** The relationship between the number of ocean points belonging to a subdomain and the memory footprint needed to process that subdomain. The chart shows the data extracted from a reference run on 672 processes (hence 672 subdomains). The data have been used to evaluate the memory model coefficients.

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**Figure 12.** Comparison between the memory model trend (red line) and the experimental values (blue line) for a reference configuration on 160 processes. The decomposition is made of  $6 \times 29$  subdomains where 14 of them are with only land points.

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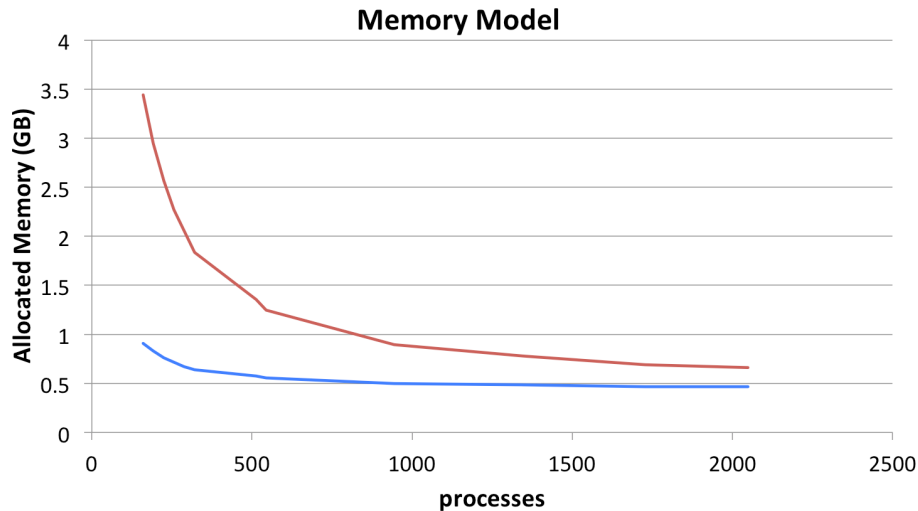
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**Figure 13.** Estimation of the memory footprint using the memory model for an increasing number of processes. The red and the blue lines respectively indicate the maximum and the minimum allocated memory among the processes involved.

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