

Topical Editor Decision: Publish subject to minor revisions (Editor review) (01 Apr 2016) by Dr. Julia Hargreaves

Reply to the Topical Editor Report by Julia Hargreaves (Editor)

We thank the editor for the detailed and constructive comments. We have now revised our manuscript in light of these comments. A pointwise reply is given below.

Comments to the Author:

I enjoyed reading the revised paper, which is much improved in terms of the GMD concept of what a model experiment paper should be. The requirement is not that the experiment must be perfect, but that the decisions made should be fully explained in terms of both scientific justification and practical considerations.

You responded to every comment apart from one, which was actually the first made by Gavin Schmidt. Therefore, please provide a response to: “DECK runs: These are a suitable ‘entry card’ into the process, and the requirements for new DECK entries for variations in physics, resolution, interactivity etc. is necessary (one run that is missing is perhaps a slab ocean equilibrium 2xCO₂ run for coherence with previous estimates of the ECS). However, there are some implications of the DECK/Historical approach that need to be addressed. Specifically, because this is a relatively low barrier to entry, more models and model versions will very likely be archived. Thus instead of 60 individual model configurations as were available in CMIP3, there will likely be far more DECK entries over the lifetime of the CMIP6 program. I think this will be a good thing scientifically, but people should be ready for this.”

Sorry for missing to provide a response to this comment.

Yes there will be more models and more model versions that will participate in CMIP6 and that will contribute DECK and CMIP6 historical simulations, and there will be substantial documentation efforts. As we already say in Section 3.3, a more routine benchmarking and evaluation of the CMIP6 models is envisaged by using newly developed evaluation tools. These tools will allow to broadly and comprehensively characterize the performance of the wide variety of models and model versions that will contribute to CMIP6. The last paragraph in Section 3.3 has been slightly changed to better clarify this point. Also in response to your comment on documentation below, we have extended the first paragraph in Section 3.3 to include the effort that the diverse models and model versions will be documented, pointing to the WIP contribution to this Special Issue for details.

Historical Simulation:

For simulations that are relevant for model evaluation against observations it is important that the simulations be as realistic as possible. The wider community, for example those using the CMIP ensemble as part of climate impact assessments, surely have the expectation that the historical simulations will be the best current estimates of the climate history, and that the spread in the ensemble can be considered a reasonable estimate of our uncertainty. Otherwise CMIP fails to address its first question, “How does the Earth system respond to forcing?” and instead is considering the less interesting question of, “How do different models of the Earth system respond to certain forcings?” Thus, I find your dismissal of Gavin Schmidt’s suggestion that some models

may be more advanced than others and thus able to include new or different forcings to be inadequate. I'm not convinced that you have stepped out of the MIP box sufficiently to consider the wider perception of these runs. Each model should surely be able to submit their best historical run as their prime entry on ESGF. Isn't "conflated uncertainty" exactly what the wider community requires for this particular ensemble?

We have not dismissed Gavin Schmidt's suggestion, rather we had clarified this further already in the revised manuscript that we re-submitted. We disagree that having widely divergent forcings is necessarily helpful or informative. To avoid conflating uncertainty in the response of models to a given forcing, it is strongly preferred for models to be integrated with the same forcing in the entry card historical simulation, and for forcing uncertainty to be sampled in supplementary simulations that are proposed as part of DAMIP and therefore available to the wider community.

The historical simulations that we describe here will therefore indeed be performed with as identical forcings as possible that are centrally provided by the experts. In addition DAMIP includes historical simulations where individual forcings will be varied to cover the respective uncertainty in the forcing dataset, and also includes additional ensemble members. Furthermore modelling groups are free to pursue the response to any new forcings and publish on those results. Together with the CMIP historical simulation as an entry card, the spread in this ensemble can be considered a reasonable estimate of our uncertainty to address the question "How does the Earth system respond to forcing?". We now explicitly point to the DAMIP experiments so that the reader knows this issue on uncertainty in the forcings is covered in CMIP6.

Documentation:

That brings me to the topic of documentation. This is alluded to a number of times in the manuscript, but nowhere (apologies if I have missed it) do I see a description of how the documentation is to be done. This must be addressed. Perhaps it is to be addressed further in one of the other papers in the special issue, but the basic plan needs to be outlined here and that other paper referred to. Speaking personally, as a user of CMIP5, the level of documentation was inadequate, and I often email people to find out details about their runs, a pursuit which is not always successful, as the answer in some cases is already lost in the mists of time. Is there to be a documentation file of a certain format permanently attached to each data file? If this topic is not to be addressed elsewhere, can you include an example documentation file as supplementary information?

We have added a paragraph in Section 3.3 that mentions documentation. For details on ES-DOC we refer to the WIP contribution to this Special Issue.

RFMIP-lite:

All three reviewers are deeply concerned about the radiative forcing and my impression is that they would prefer to see the RFMIP-lite fixed SST experiment included in the DECK. Personally, I think your argument is reasonable (I interpret it as saying that the DECK runs are useful even without RFMIP-lite), but I do not see why these three reviewers would all be unfairly biased the same way, and so I am concerned that your decision to exclude the experiment is actually strongly against the consensus of the community, and that this decision should be carefully considered once more before you finally commit to excluding it.

We agree that RFMIP is extremely important. But the idea that this implies it should be part of the DECK arises from a misconception about the purpose of the DECK. The DECK is not a core set of experiments for CMIP6, rather a thread of continuity that runs through all phases of CMIP. We have modified the text to better emphasize this point, and at the same time also communicate why we think performing the RFMIP-lite experiments is so important, even if they are not part of the DECK.

On more formal grounds, the form of the DECK is based on a community consensus. The CMIP6 experiment design was iterated with the wide consultation of the community through several communication channels and was finally approved at the 18th session of the Working Group on Coupled Modelling (WGCM) in Grainau in October 2014 and once again confirmed at the 19th session of WGCM in Dubrovnik in October 2015 with more than 40 participants attending each session that included representatives of the modelling groups and the CMIP6-Endorsed MIPs. And on practical grounds the DECK consists of a small set of tried and true experiments that have proven their worth in previous phases of CMIP. We hope the simulations proposed in RFMIP prove their value, but until they do it would be premature to argue for their inclusion as part of the standard documentation of a model.

The 1%CO₂ run and “effective” Climate Sensitivity:

Given your answers to Gavin Schmidt’s questions about these topics, it is apparent that CMIP6 has made the decision to abandon the estimation of equilibrium climate sensitivity. Gavin cites his own paper on GISS, but many have shown that the “Gregory method” based on a short 4xCO₂ run is not a very good approximation to equilibrium climate sensitivity (see Feedbacks, climate sensitivity and the limits of linear models, Reto Knutti, Maria A. A. Rugenstein, DOI: 10.1098/rsta.2015.0146 and references therein). This combined with the lack of a stabilisation run after 140 years of the 1% CO₂ leaves no means of calculating equilibrium climate sensitivity. This needs to be stated clearly in the paper as a positive decision, as otherwise it may later appear to be an accidental omission. Just a thought, but would replacing the abrupt 4xCO₂ with an abrupt 2xCO₂ be useful - the 150 years is short, but it would at least be on the way to converging towards the desired value?

We realize that there is some literature which suggests that the non-linear response of the radiative imbalance to temperature and the short duration of the 4xCO₂ runs combine to make these simulations inappropriate for estimating ECS. However this literature seems unaware of the work of Geoffroy et al., 2013a,b, Winton et al, Gregory et al., 2015 which largely resolves these issues by showing that fitting the 4xCO₂ runs, or even by deconvolving the 1%CO₂ runs, it is possible to adequately estimate the ECS of a model even in the presence of a strong non-linear response of N to globally averaged T. Informally in the community we are also aware of a “longrunMIP” with a handful of models which will provide a more stringent test of the two temperature theory that underlies the studies cited above. So we are not neglecting this issue, but we also don’t believe that this manuscript is the place to go into depth into these issues, nor is it the place to try and correct misconceptions that have arisen in some other studies.

Abstract:

There is a comment here that surprised me, and I didn’t find further explanation in the main body of the manuscript, “The participation in the CMIP6-Endorsed MIPs will be at the discretion of the modelling groups, and will depend on their scientific interests and priorities.” I wasn’t aware that the MIPs are policed. Why and how would a group be excluded from joining?

This was not what we meant. We have reworded this sentence for clarity.

Section 3.2 last sentence. “..to be evaluated fairly against those simpler models without representation of carbon cycle processes.” I am not sure it is reasonable to suggest simplicity is relevant to any of these models, and models that do not have carbon cycle processes may be more complex in other ways. Could just delete the word “simpler”?

Good point. ‘simpler’ has been removed.

Section 4.2

“A number of MIPs are developments and/or continuation of long standing science themes within CMIP.” I do not think this is accurate. At least some of these MIPs were founded pretty much separately from CMIP, and were only included in CMIP for CMIP5. Maybe just delete the “within CMIP”. Otherwise it reads as a land grab that fails to give credit to the industry and ingenuity of those who founded the other MIPs.

‘within CMIP’ removed as suggested.

The Special Issue:

In many places the content of other manuscripts in the special issue is alluded to. GMD has many editors, and their focus is on assessing whether the papers pass the GMD peer review criteria, rather than making sure they include everything that you have promised here. It is therefore important that the authors of this paper make comments in the online review of the other papers in the special issue. Not hard, I think - as you only need check 3 or 4 each, and you’ll be done!

Indeed the CMIP Panel will continue to oversee the process and will contribute to the open discussion at GMDD as required.

Summary:

I’d thought the paper had ended rather well at “decade or more”. Then I turned the page and found another paragraph which didn’t seem to add anything more. Maybe the final paragraph isn’t required, or perhaps it could be made more compelling?

The 2nd last paragraph is on the timeline of CMIP6, the last paragraph is on what we expect from CMIP6. We think both are important and kept them but have reformulated the last paragraph.

Data availability:

Did you check whether actual “DOI”s are to be used in the case of ESGF? If you are not using official DOIs, then a different term for the ESGF digital identifiers should be coined.

We have once again checked with the co-chairs of the WIP and yes, the plan is to issue actual DOIs. No changes made to the manuscript.

Finally:::::

“DECK and CMIP6 historical simulation”

I don’t understand this. Let’s start with DECK - Diagnostic, Evaluation and Characterisation of Klima. What is Klima? I looked it up on Wikipedia and found that

it means “climate” in Czech, Danish, Norwegian (2 dialects), Serbo-Croatian, and Slovene, but that it means “air-conditioning” in Polish and Turkish. You need to provide the translation in order to avoid confusion as it is unfair to expect people, especially those who speak English as a second language, to understand other relatively obscure European languages. There are, incidentally, many stupider acronyms than DECC. So...

In the revised manuscript we now clarify that ‘klima’ is Greek for ‘climate’ since Greek was the original association behind this suggestion. Thanks for noting this omission. We kept the acronym DECK since it reminds a few of us (mostly those familiar with the German language) of a stable boat that is cruising through the various CMIP phases, and also because we find DECC too similar to the abbreviation DEC that is often used for decadal simulation activities.

I think I’ve now understood DECK; but I’m still confused. Why is the historical run outside the DECK? I can’t see where any scientific or practical distinction is made between the DECK and the historical run. They are both equally required as entry cards. Looking at the definition of DECK again - Diagnostic, Evaluation and Characterisation of Climate, it seems to me that the historical runs also belong inside the DECK. Please explain. Are modelling groups actually secretly permitted to exclude the historical run?

We clearly state in the manuscript that the historical simulation is an entry card for CMIP6: *“Under CMIP, credentials of the participating atmospheric-ocean general circulation models (AOGCMs) and ESMs are established by performing the DECK and CMIP historical simulations, so these experiments are required from all models. Together these experiments document the mean climate and response characteristics of models. They should be run for each model configuration used in a CMIP-Endorsed MIP.”* Also in the abstract we say: *‘The DECK and CMIP historical simulations, together with the use of CMIP data standards, will be the entry cards for models participating in CMIP.’* There should therefore be no doubt that a historical simulation has to be performed with each model configuration that contributes to CMIP6. In response to the reviewers’ comments, we had already included additional explanation why the historical simulation is not part of the DECK. We have once again extended this paragraph at the beginning of Section 3 to further clarify this issue. In particular we explicitly mention the criteria for the DECK again: *“The DECK experiments are chosen (1) to provide continuity across past and future phases of CMIP, (2) to evolve as little as possible over time, (3) to be well-established, and incorporate simulations that modelling centres anyway perform as part of their own development cycle, and (4) to be relatively independent of the forcings and scientific objectives of a specific phase of CMIP.”* Since the historical simulation does not meet all of these criteria, we keep it separate from the DECK noting again that it is of course remains an entry card simulations and is part of the CMIP6 characterization experiments. We fully agree with you that the historical simulation has to be an entry card simulation which is already reflected in the CMIP6 design.

1 **Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6)**
2 **Experimental Design and Organisation**

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16

17 **Abstract.** By coordinating the design and distribution of global climate model simulations of the
18 past, current and future climate, the Coupled Model Intercomparison Project (CMIP) has become one
19 of the foundational elements of climate science. However, the need to address an ever-expanding
20 range of scientific questions arising from more and more research communities has made it
21 necessary to revise the organization of CMIP. After a long and wide community consultation, a new
22 and more federated structure has been put in place. It consists of three major elements: (1) a handful
23 of common experiments, the DECK (Diagnostic, Evaluation and Characterization of Klima) and
24 CMIP historical simulations (1850 – near-present) that will maintain continuity and help document
25 basic characteristics of models across different phases of CMIP, (2) common standards,
26 coordination, infrastructure and documentation that will facilitate the distribution of model outputs
27 and the characterization of the model ensemble, and (3) an ensemble of CMIP-Endorsed Model
28 Intercomparison Projects (MIPs) that will be specific to a particular phase of CMIP (now CMIP6)
29 and that will build on the DECK and CMIP historical simulations to address a large range of specific
30 questions and fill the scientific gaps of the previous CMIP phases. The DECK and CMIP historical
31 simulations, together with the use of CMIP data standards, will be the entry cards for models
32 participating in CMIP. Participation in CMIP6-Endorsed MIPs by individual modelling groups will
33 be at their own discretion~~The participation in the CMIP6-Endorsed MIPs will be at the discretion of~~
34 ~~the modelling groups~~, and will depend on their scientific interests and priorities. With the Grand
35 Science Challenges of the World Climate Research Programme (WCRP) as its scientific backdrop,
36 CMIP6 will address three broad questions: (i) How does the Earth system respond to forcing?, (ii)
37 What are the origins and consequences of systematic model biases?, and (iii) How can we assess
38 future climate changes given internal climate variability, predictability and uncertainties in
39 scenarios? This CMIP6 overview paper presents the background and rationale for the new structure
40 of CMIP, provides a detailed description of the DECK and CMIP6 historical simulations, and
41 includes a brief introduction to the 21 CMIP6-Endorsed MIPs.

42 **1. Introduction**

43 The Coupled Model Intercomparison Project (CMIP) organized under the auspices of the World
44 Climate Research Programme's (WCRP) Working Group on Coupled Modelling (WGCM) started
45 twenty years ago as a comparison of a handful of early global coupled climate models performing
46 experiments using atmosphere models coupled to a dynamic ocean, a simple land surface, and
47 thermodynamic sea ice (Meehl et al., 1997). It has since evolved over five phases into a major
48 international multi-model research activity (Meehl et al., 2000; Meehl et al., 2007; Taylor et al.,
49 2012) that has not only introduced a new era to climate science research, but has also become a
50 central element of national and international assessments of climate change (e.g., IPCC (2013)). An
51 important part of CMIP is to make the multi-model output publically available in a standardized
52 format for analysis by the wider climate community and users. The standardization of the model
53 output in a specified format, and the collection, archival, and access of the model output through the
54 Earth System Grid Federation (ESGF) data replication centres have facilitated multi-model analyses.

55 The objective of CMIP is to better understand past, present and future climate change arising from
56 natural, unforced variability or in response to changes in radiative forcings in a multi-model context.
57 Its increasing importance and scope is a tremendous success story, but this very success poses
58 challenges for all involved. Coordination of the project has become more complex as CMIP includes
59 more models with more processes all applied to a wider range of questions. To meet this new interest
60 and to address a wide variety of science questions from more and more scientific research
61 communities, reflecting the expanding scope of comprehensive modelling in climate science, has put
62 pressure on CMIP to become larger and more extensive. Consequently, there has been an explosion
63 in the diversity and volume of requested CMIP output from an increasing number of experiments
64 causing challenges for CMIP's technical infrastructure (Williams et al., 2015). Cultural and
65 organizational challenges also arise from the tension between expectations that modelling centres
66 deliver multiple model experiments to CMIP yet at the same time advance basic research in climate
67 science.

68 In response to these challenges, we have adopted a more federated structure for the sixth phase of
69 CMIP (i.e., CMIP6) and subsequent phases. Whereas past phases of CMIP were usually described
70 through a single overview paper, reflecting a centralized and relatively compact CMIP structure, this
71 GMD Special Issue describes the new design and organization of CMIP, the suite of experiments,
72 and its forcings, in a series of invited contributions. In this paper, we provide the overview and
73 backdrop of the new CMIP structure as well as the main scientific foci that CMIP6 will address. We

74 begin by describing the new organizational form for CMIP and the pressures that it was designed to
75 alleviate (Section 2). It also contains a description of a small set of simulations for CMIP which are
76 intended to be common to all participating models (Section 3), details of which are provided in an
77 Appendix. We then present a brief overview of CMIP6 that serves as an introduction to the other
78 contributions to this Special Issue (Section 4), and we close with a summary.

79

80 **2. CMIP design - a more continuous and distributed organization**

81 In preparing for CMIP6, the CMIP Panel (the authors of this paper), which traditionally has the
82 responsibility for direct coordination and oversight of CMIP, initiated a two year process of
83 community consultation. This consultation involved the modelling centres whose contributions form
84 the substance of CMIP as well as communities that rely on CMIP model output for their work.
85 Special meetings were organized to reflect on the successes of CMIP5 as well as the scientific gaps
86 that remain or have since emerged. The consultation also sought input through a community survey,
87 the scientific results of which are described by Stouffer et al. (2015). Four main issues related to the
88 overall structure of CMIP were identified.

89 First, we identified a growing appreciation of the scientific potential to use results across different
90 CMIP phases. Such approaches however require an appropriate experimental design to facilitate the
91 identification of an ensemble of models with particular properties drawn from different phases of
92 CMIP (e.g., Rauser et al. (2014)). At the same time it was recognized that an increasing number of
93 Model Intercomparison Projects (MIPs) were being organized independent of CMIP, the data
94 structure and output requirements were often inconsistent, and the relationship between the models
95 used in the various MIPs was often difficult to determine, in which context measures to help
96 establish continuity across MIPs or phases of CMIP would also be welcome.

97 Second, the scope of CMIP was taxing the resources of modelling centres making it impossible for
98 many to consider contributing to all the proposed experiments. By providing a better basis to help
99 | modelling centres decide exactly which subset of experiments to perform, it was thought that it might
100 be possible to minimize fragmented participation in CMIP6. A more federated experimental protocol
101 could also encourage modelling centres to develop intercomparison studies based on their own
102 strategic goals.

103 Third, some centres expressed the view that the punctuated structure of CMIP had begun to distort
104 the model development process. Defining a protocol that allowed modelling centres to decouple their

105 model development from the CMIP schedule would offer additional flexibility, and perhaps
106 encourage modelling centres to finalize their models and submit some of their results sooner on their
107 own schedule.

108 Fourth and finally, many groups expressed a desire for particular phases of CMIP to be more than
109 just a collection of MIPs, but rather to reflect the strategic goals of the climate science community, as
110 for instance articulated by WCRP. By focusing a particular phase of CMIP around specific scientific
111 issues, it was felt that the modelling resources could be more effectively applied to those scientific
112 questions that had matured to a point where coordinated activities were expected to have substantial
113 impact.

114 A variety of mechanisms were proposed and intensely debated to address these issues. The outcome
115 of these discussions is embodied in the new CMIP structure, which has three major components.
116 First, the identification of a handful of common experiments, the ~~DECK~~ (Diagnostic, Evaluation and
117 Characterization of Klima) (DECK) experiments (klima is Greek for “climate”) and CMIP historical
118 simulations, which can be used to establish model characteristics and serves as its “entry card” for
119 participating in one of CMIP’s phases or in other MIPs organized between CMIP phases, as depicted
120 in Fig. 1. Second, common standards, coordination, infrastructure and documentation that facilitate
121 the distribution of model outputs and the characterization of the model ensemble, and third, the
122 adoption of a more federated structure, building on more autonomous CMIP-Endorsed MIPs.

123 Realising the idea of a particular phase of CMIP being centred on a collection of more autonomous
124 MIPs required the development of procedures for soliciting and evaluating MIPs in light of the
125 scientific focus chosen for CMIP6. These procedures were developed and implemented by the CMIP
126 Panel. The responses to the CMIP5 survey helped inform a series of workshops and resulted in a
127 draft experiment design for CMIP6. This initial design for CMIP6 was published in early 2014
128 (Meehl et al., 2014) and was open for comments from the wider community until mid-September
129 2014. In parallel to the open review of the design, the CMIP Panel distributed an open call for
130 proposals for MIPs in April 2014. These proposals were broadly reviewed within WCRP with the
131 goal to encourage and enhance synergies among the different MIPs, to avoid overlapping
132 experiments, to fill gaps, and to help ensure that the WCRP Grand Science Challenges would be
133 addressed. Revised MIP proposals were requested and evaluated by the CMIP Panel in summer
134 2015. The selection of MIPs was based on the CMIP Panel’s evaluation of ten endorsement criteria
135 (Table 1). To ensure community engagement, an important criterion was that enough modelling
136 groups (at least eight) were willing to perform all of the MIP’s highest priority (Tier 1) experiments

137 and providing all the requested diagnostics needed to answer at least one of its leading science
 138 questions. For each of the selected CMIP6-Endorsed MIPs it turned out that at least ten modelling
 139 groups indicated their intent to participate in at least Tier 1 experiments, thus attesting to the wide
 140 appeal and level of science interest from the climate modelling community.

141

142 3. The DECK and CMIP historical simulations

143 The DECK comprises four baseline experiments: (a) a historical Atmospheric Model
 144 Intercomparison Project (*amip*) simulation, (b) a pre-industrial control simulation (*piControl* or *esm-*
 145 *piControl*), (c) a simulation forced by an abrupt quadrupling of CO₂ (*abrupt-4xCO2*) and (d) a
 146 simulation forced by a 1% yr⁻¹ CO₂ increase (*1pctCO2*). CMIP also includes a historical simulation
 147 (*historical* or *esm-hist*) that spans the period of extensive instrumental temperature measurements
 148 from 1850 to the present. In naming the experiments, we distinguish between simulations with CO₂
 149 concentrations calculated and anthropogenic sources of CO₂ prescribed (*esm-picontrol* and *esm-hist*)
 150 and simulations with prescribed CO₂ concentrations (all others). Hereafter, models that can calculate
 151 atmospheric CO₂ concentration and account for the fluxes of CO₂ between the atmosphere, the
 152 ocean, and biosphere are referred to as Earth System Models (ESMs).

153 The DECK experiments are chosen (1) to provide continuity across past and future phases of CMIP,
 154 (2) to evolve as little as possible over time, (3) to be well-established, and incorporate simulations
 155 that modelling centres anyway perform as part of their own development cycle, and (4) to be
 156 relatively independent of the forcings and scientific objectives of a specific phase of CMIP. The four
 157 DECK experiments and the CMIP historical simulations chosen to be included in the DECK are well
 158 suited ~~for evaluating models and~~ for quantifying and understanding important climate change
 159 response characteristics. ~~For these reasons, these experiments are already commonly performed by~~
 160 ~~modelling groups as part of their model development cycle.~~ Modelling groups also commonly
 161 perform simulations of the historical period, but reconstructions of the external conditions imposed
 162 on historical runs (e.g., land-use changes) continue to evolve significantly, influencing the simulated
 163 climate. In order to distinguish among the historical simulations performed under different phases of
 164 CMIP, the historical simulations are labelled with the phase (e.g., “CMIP5 *historical*” or “CMIP6
 165 *historical*”). A similar argument could be made to exclude the Note that in AMIP run experiments
 166 from the DECK. However, the AMIP experiments are simpler, more routine, and, the dominating
 167 role of sea surface temperatures and the focus on recent decades means that for most purposes AMIP

168 | ~~experiments runs~~ from different phases of CMIP are more likely to provide the desired continuity
169 | ~~be compared near the Earth's surface despite some differences in other imposed conditions.~~

170 | The persistence and consistency of the DECK will make it possible to track changes in performance
171 | and response characteristics over future generations of models and CMIP phases. Although the set of
172 | DECK ~~this core set of~~ experiments is not expected to evolve much, additional experiments may
173 | become well enough established as benchmarks (routinely run by modelling groups as they develop
174 | new model versions) so that in the future they might be migrated into the DECK. The common
175 | practice of including the DECK in model development efforts means that models can contribute to
176 | CMIP without carrying out additional computationally burdensome experiments. All of the DECK
177 | and ~~CMIP~~ the historical simulations were included in the core set of experiments performed under
178 | CMIP5 (Taylor et al., 2012), and all but the *abrupt-4xCO2* simulation were included in even earlier
179 | CMIP phases.

180 | Under CMIP, credentials of the participating atmospheric-ocean general circulation models
181 | (AOGCMs) and ESMs are established by performing the DECK and CMIP historical simulations, so
182 | these experiments are required from all models. Together these experiments document the mean
183 | climate and response characteristics of models. They should be run for each model configuration
184 | used in a CMIP-Endorsed MIP. A change in model configuration includes any change that might
185 | affect its simulations other than "noise" expected from different realizations. This would include, for
186 | example, a change in model resolution, physical processes, or atmospheric chemistry treatment. If an
187 | ESM is used in both CO₂ emission-driven mode and CO₂ concentration-driven mode in subsequent
188 | CMIP6-Endorsed MIPs, then both emission-driven and concentration-driven control and historical
189 | simulations should be done and they will be identical in all forcings except the treatment of CO₂.

190 | The forcing datasets that will drive the DECK and CMIP6 historical simulations are described
191 | separately in a series of invited contributions to this Special Issue. These articles also include some
192 | discussion of uncertainty in the datasets. The data will be provided by the respective author teams
193 | and made publicly available through the ESGF using common metadata and formats.

194 | The historical forcings are based as far as possible on observations and cover the period 1850 to
195 | 2014. These include:

- 196 | • emissions of short-lived species and long-lived greenhouse gases (GHGs),
- 197 | • GHG concentrations,
- 198 | • global gridded land-use forcing datasets,

- 199 • solar forcing,
- 200 • stratospheric aerosol dataset (volcanoes),
- 201 • AMIP sea surface temperatures (SSTs) and sea-ice concentrations (SICs),
- 202 • for simulations with prescribed aerosols a new approach to prescribe aerosols in terms of
- 203 optical properties and fractional change in cloud droplet effective radius to provide a more
- 204 consistent representation of aerosol forcing, and
- 205 • for models without ozone chemistry time-varying gridded ozone concentrations and nitrogen
- 206 deposition.

207 Some models might require additional forcing datasets (e.g., black carbon on snow or anthropogenic
208 dust). Allowing model groups to use different forcing¹ datasets might better sample uncertainty, but
209 makes it more difficult to assess the uncertainty in the response of models to the best estimate of the
210 forcing, available to a particular CMIP phase. To avoid conflating uncertainty in the response of
211 models to a given forcing, it is strongly preferred for models to be integrated with the same forcing
212 [in this entry card historical simulation](#), and for forcing uncertainty to be sampled in supplementary
213 simulations [that are proposed as part of DAMIP](#). In any case it is important that all forcing datasets
214 are documented and are made available alongside the model output on the ESGF. Likewise to the
215 extent modelling centres simplify forcings, for instance by regridding or smoothing in time or some
216 other dimension, this should also be documented.

217 For the future scenarios selected by ScenarioMIP, forcings are provided by the integrated assessment
218 model (IAM) community for the period 2015 to 2100 (or to 2300 for the extended simulations). For
219 atmospheric emissions and concentrations as well as for land use, [the forcings](#) ~~these~~ are harmonized
220 across IAMs and scenarios [using a similar procedure as in](#) ~~to the~~ ~~CMIP5~~ ~~procedure~~ (van Vuuren et
221 al., 2011). This procedure ~~to~~ ensures consistency with historical forcing datasets and between the
222 different forcing categories. [The selection of scenarios and the main characteristics](#) ~~They~~ are
223 described elsewhere in this Special Issue, while the underlying IAM scenarios are described in a
224 Special Issue in Global Environmental Change.

¹ Here we distinguish between an applied input perturbation (e.g. the imposed change in some model constituent, property, or boundary condition), which we refer to somewhat generically as a “forcing”, and *radiative* forcing, which can be precisely defined. -Even if the forcings are identical, the resulting *radiative* forcing depends on a model’s radiation scheme (among other factors) and will differ among models.

225 An important gap identified in CMIP5, and in previous CMIP phases, was a lack of careful
226 quantification of the radiative forcings from the different specified external forcing factors (e.g.,
227 GHGs, sulphate aerosols) in each model (Stouffer et al., 2015). This has impaired attempts to
228 identify reasons for differences in model responses. The “effective radiative forcing” or ERF
229 component of the Radiative Forcing MIP (RFMIP) includes “fixed SST” simulations to diagnose the
230 forcing (‘RFMIP-lite’), which are further detailed in the corresponding contribution to this Special
231 Issue. Although not included as part of the DECK, in recognition of this deficiency in past phases of
232 CMIP we strongly encourage all CMIP6 modelling groups to participate in RFMIP-lite. Theis
233 modest additional effort would enable the radiative forcing to be characterized for both historic and
234 future scenarios across the model ensemble. Knowing this forcing-and would lead to a step change in
235 efforts to the-understanding-of_ the spread of model responses for CMIP6 and contribute greatly to
236 answering one of CMIP6’s science questions.

237 An overview of the main characteristics of the DECK and CMIP6 historical simulations appears in
238 Table 2. Here we briefly describe these experiments. Detailed specifications for the DECK and
239 CMIP6 historical simulations are provided in Appendix A and are summarized in Table A1.

240 3.1. The DECK

241 The AMIP and pre-industrial control simulations of the DECK provide opportunities for evaluating
242 the atmospheric model and the coupled system, and in addition they establish a baseline for
243 performing many of the CMIP6 experiments. Many experiments branch from, and are compared
244 with, the pre-industrial control. Similarly, a number of diagnostic atmospheric experiments use
245 AMIP as a control. The idealized CO₂-forced experiments in the DECK (1% yr⁻¹ CO₂ and abrupt
246 4xCO₂ increases), despite their simplicity, can reveal fundamental forcing and feedback response
247 characteristics of models.

248 For nearly three decades, AMIP simulations (Gates et al., 1999) have been routinely relied on by
249 modelling centres to help in the evaluation of the atmospheric component of their models. In AMIP
250 simulations, the SSTs and SICs are prescribed based on observations. The idea is to analyse and
251 evaluate the atmospheric and land components of the climate system when they are constrained by
252 the observed ocean conditions. These simulations can help identify which model errors originate in
253 the atmosphere, land, or their interactions, and they have proven useful in addressing a great variety
254 of questions pertaining to recent climate changes. The AMIP simulations performed as part of the
255 DECK cover at least the period from January 1979 to December 2014. The end date will continue to
256 evolve as the SSTs and SICs are updated with new observations. Besides prescription of ocean

257 conditions in these simulations, realistic forcings are imposed that should be identical to those
258 applied in the CMIP historical simulations. Large ensembles of AMIP simulations are encouraged as
259 they can help to improve the signal to noise ratio (Li et al., 2015).

260 The remaining three experiments in the DECK are premised on the coupling of the atmospheric and
261 oceanic circulation. The pre-industrial control simulation (*piControl* or *esm-piControl*) is performed
262 under conditions chosen to be representative of the period prior to the onset of large-scale
263 industrialization with 1850 being the reference year. Historically, the industrial revolution began in
264 the 18th century, and in nature the climate in 1850 was not stable as it was already changing due to
265 prior historical changes in radiative forcings. In CMIP6, however, as in earlier CMIP phases, the
266 control simulation is an attempt to produce a stable quasi-equilibrium climate state under 1850
267 conditions. When discussing and analysing historical and future radiative forcings, it needs to be
268 recognized that the radiative forcing in 1850 due to anthropogenic greenhouse gas increases alone
269 was already around 0.25 W/m^2 (Cubasch, 2013) although aerosols might have offset that to some
270 extent. In addition, there were other pre-1850 secular changes, for example in land use (Hurtt et al.,
271 2011), and as a result, global net annual emissions of carbon from land use and land-use change
272 already were responsible in 1850 for about 0.6 PgC/yr (Houghton, 2010). Under the assumptions of
273 the control simulation, however, there are no secular changes in forcing, so the concentrations and/or
274 sources of atmospheric constituents (e.g., GHGs and emissions of short-lived species) as well as land
275 use are held fixed, as are Earth's orbital characteristics. Because of the absence of both naturally
276 occurring changes in forcing (e.g., volcanoes, orbital or solar changes) and human-induced changes,
277 the control simulation can be used to study the unforced internal variability of the climate system.

278 An initial climate “spin-up” portion of a control simulation, during which the climate begins to come
279 into balance with the forcing, is usually performed. -At the end of the “spin-up” period, the *piControl*
280 starts. The *piControl* serves as a baseline for experiments that branch from it. To account for the
281 effects of any residual drift, it is required that the *piControl* simulation extends as far beyond the
282 branching point as any experiment to which it will be compared. Only then can residual climate drift
283 in an experiment be removed so that it is not misinterpreted as part of the model's forced response.
284 The recommended minimum length for the *piControl* is 500 years.

285 The two DECK ‘climate change’ experiments branch from some point in the 1850 control simulation
286 and are designed to document basic aspects of the climate system response to greenhouse gas
287 forcing. In the first, the CO_2 concentration is immediately and abruptly quadrupled from January
288 1850 values. This *abrupt-4xCO2* simulation has proven to be useful for characterizing the radiative
289 forcing that arises from an increase in atmospheric CO_2 as well as changes that arise indirectly due to

290 the warming. It can also be used to estimate a model's equilibrium climate sensitivity (ECS, Gregory
 291 et al. (2004)). In the second, the CO₂ concentration is increased gradually at a rate of 1% per year.
 292 This experiment has been performed in all phases of CMIP since CMIP2, and serves as a consistent
 293 and useful benchmark for analysing model transient climate response (TCR). The TCR takes into
 294 account the rate of ocean heat uptake which governs the pace of all time-evolving climate change
 295 (e.g., Murphy and Mitchell (1995)). In addition to the TCR, the 1% CO₂ integration with ESMs that
 296 include explicit representation of the carbon cycle allows the calculation of the transient climate
 297 response to cumulative carbon emissions (TCRE), defined as the transient global average surface
 298 temperature change per unit of accumulated CO₂ emissions (IPCC, 2013). Despite their simplicity,
 299 these experiments provide a surprising amount of insight into the behaviour of models subject to
 300 more complex forcing (e.g., Bony et al. (2013); Geoffroy et al. (2013)).

301 3.2. CMIP historical simulations

302 In addition to the DECK, CMIP ~~challenges-requests~~ models to simulate the historical period, defined
 303 to begin in 1850 and extend to the near present ~~(i.e., 2014 in CMIP6)~~. The CMIP historical
 304 simulation and its CO₂-emission-driven counterpart, *esm-hist*, branch from the *piControl* and *esm-*
 305 *piControl*, respectively (see details in A1.2). These simulations are forced, based on observations, by
 306 evolving, externally-imposed forcings such as solar variability, volcanic aerosols, and changes in
 307 atmospheric composition (GHGs, and aerosols) caused by human activities. The CMIP historical
 308 simulations provide rich opportunities to assess model ability to simulate climate, including
 309 variability and century time-scale trends (e.g., Flato et al. (2013)). These simulations can also be
 310 analysed to determine whether climate model forcing and sensitivity are consistent with the
 311 observational record, which provides opportunities to better bound the magnitude of aerosol forcing
 312 (e.g., Stevens (2015)). In addition they, along with the control run, provide the baseline simulations
 313 for performing formal Stevens (2015)~~When supplemented with additional experiments, the historical~~
 314 ~~simulations can be used in~~ detection and attribution studies (e.g., Stott et al. (2006)) which help
 315 uncover the causes of forced climate change to help interpret the extent to which observed climate
 316 change can be explained by different causes.

317 As in performing control simulations, models that include representation of the carbon cycle should
 318 normally perform two different CMIP historical simulations: one with prescribed CO₂ concentration
 319 and the other with prescribed CO₂ emissions (accounting explicitly for fossil fuel combustion). In the
 320 second CO₂ concentrations are “predicted” by the model. ~~The treatment of other GHGs should be~~
 321 identical in both simulations. Both types of simulation are useful in evaluating how realistically the
 322 model represents the response of the carbon cycle anthropogenic CO₂ emissions, but the prescribed

323 concentration simulation enables these more complex models to be evaluated fairly against those
324 ~~simpler~~ models without representation of carbon cycle processes.

325 **3.3. Common standards, infrastructure and documentation**

326 A key to the success of CMIP and one of the motivations for incorporating a wide variety of
327 coordinated modelling activities under a single framework in a specific phase of CMIP (now CMIP6)
328 is the desire to reduce duplication of effort, minimize operational and computational burdens, and
329 establish common practices in producing and analysing large amounts of model output. To enable
330 automated processing of output from dozens of different models, CMIP has led the way in
331 encouraging adoption of data standards (governing structure and metadata) that facilitate
332 development of software infrastructure in support of coordinated modelling activities. The ESGF has
333 capitalized on this standardization to provide access to CMIP model output hosted by institutions
334 around the world. As the complexity of CMIP has increased and as the potential use of model output
335 expands beyond the research community, the evolution of the climate modelling infrastructure
336 requires enhanced coordination. To help in this regard, the WGCM Infrastructure Panel (WIP) was
337 set up ~~(see details in the corresponding contribution to this Special Issue)~~, and is now providing
338 guidance on requirements and establishing specifications for model output, model and simulation
339 documentation, and archival and delivery systems for CMIP6 data. In parallel to the development of
340 the CMIP6 experiment design, the ESGF capabilities are being further extended and improved. In
341 CMIP5 with over one thousand different model/experiment combinations, a first attempt was also
342 made to capture structured metadata describing the models and the simulations themselves. Based
343 upon the Common Information Model (CIM, Lawrence et al. (2012)), tools were provided to capture
344 documentation of models and simulations. This effort is now continuing under the banner of the
345 international ES-DOC activity, which is working toward agreements on common Controlled
346 Vocabularies (CVs) to describe models and simulations. Details on these infrastructure related issues
347 can be found in the WIP contribution to this Special Issue.

348 A more routine benchmarking and evaluation of the models is envisaged to be a central part of
349 CMIP6. As noted above, one purpose of the DECK and CMIP historical simulations is to provide a
350 basis for documenting model simulation characteristics. Towards that end an infrastructure is being
351 developed to allow analysis packages to be routinely executed whenever new model experiments are
352 contributed to the CMIP archive at the ESGF. These efforts utilize observations served by the ESGF
353 contributed from the obs4MIPs (Ferraro et al., 2015; Teixeira et al., 2014) and ana4MIPs projects.
354 Examples of available tools that target routine evaluation in CMIP include the PCMDI metrics
355 software (Gleckler et al., 2016) and the Earth System Model Evaluation Tool (ESMValTool, Eyring

356 et al. (2015)), which brings together established diagnostics such as those used in the evaluation
357 chapter of IPCC AR5 (Flato et al., 2013). The ESMValTool also integrates other packages, such as
358 the NCAR Climate Variability Diagnostics Package (Phillips et al., 2014), or diagnostics such as the
359 cloud regime metric (Williams and Webb, 2009) developed by the Cloud Feedback MIP (CFMIP)
360 community. These tools can be used to broadly and comprehensively characterize the performance of
361 the wide variety of models and model versions that will contribute to CMIP6. This evaluation
362 activity assesses new models, and can, compared with CMIP5, more quickly help inform users of
363 model output, as well as the modelling centres, as to the strengths and weaknesses of the simulations,
364 including the extent to which long-standing model errors remain evident in newer models. Building
365 such a community-based capability is not meant to replace how CMIP research is currently
366 performed but rather to complement it. These tools can also be used to compute derived variables or
367 indices alongside the ESGF, and their output could be provided back to the distributed ESGF
368 archive.

369 **4. CMIP6**

370 **4.1. Scientific focus of CMIP6**

371 In addition to the DECK and CMIP historical simulations, a number of additional experiments will
372 colour a specific phase of CMIP, now CMIP6. These experiments are likely to change from one
373 CMIP phase to the next. To maximize the relevance and impact of CMIP6, it was decided to use the
374 Grand Science Challenges (GCs) of the WCRP as the scientific backdrop of the CMIP6 experimental
375 design. By promoting research on critical science questions for which specific gaps in knowledge
376 have hindered progress so far, but for which new opportunities and more focused efforts raise the
377 possibility of significant progress on the timescale of 5-10 years, these GCs constitute a main
378 component of the WCRP strategy to accelerate progress in climate science (Brasseur and Carlson,
379 2015). Five such GCs have been identified, and two additional ones are under consideration. They
380 relate to advancing (1) understanding of the role of clouds in the general atmospheric circulation and
381 climate sensitivity (Bony et al., 2015), (2) assessing the response of the cryosphere to a warming
382 climate and its global consequences, (3) understanding the factors that control water availability over
383 land (Trenberth and Asrar, 2014), (4) assessing climate extremes, what controls them, how they have
384 changed in the past and how they might change in the future (Alexander et al., 2015), (5)
385 understanding and predicting regional sea-level change and its coastal impacts, (6) improving near-
386 term climate predictions, and (7) determining how biogeochemical cycles and feedbacks control
387 greenhouse gas concentrations and climate change.

388 These GCs will be using the full spectrum of observational, modelling and analytical expertise across
389 the WCRP, and in terms of modelling most GCs will address their specific science questions through
390 a hierarchy of numerical models of different complexities. Global coupled models obviously
391 constitute an essential element of this hierarchy, and CMIP6 experiments will play a prominent role
392 across all GCs by helping to answer the following three CMIP6 science questions: How does the
393 Earth system respond to forcing? What are the origins and consequences of systematic model biases?
394 How can we assess future climate change given internal climate variability, climate predictability,
395 and uncertainties in scenarios?

396 These three questions will be at the centre of CMIP6. They will be addressed through a range of
397 CMIP6-Endorsed MIPs that are organized by the respective communities and overseen by the CMIP
398 Panel (Fig. 2). Through these different MIPs and their connection to the GCs, the goal is to fill some
399 of the main scientific gaps of previous CMIP phases. This includes in particular facilitating the
400 identification and interpretation of model systematic errors, improving the estimate of radiative
401 forcings in past and future climate change simulations, facilitating the identification of robust climate
402 responses to aerosol forcing during the historical period, better accounting of the impact of short-
403 term forcing agents and land-use on climate, better understanding the mechanisms of decadal climate
404 variability, along with many other issues not addressed satisfactorily in CMIP5 (Stouffer et al.,
405 2015). In endorsing a number of these MIPs the CMIP panel acted to minimize overlaps among the
406 MIPs and to reduce the burden on modelling groups, while maximizing the scientific
407 complementarity and synergy among the different MIPs.

408 **4.2. The CMIP6-Endorsed MIPs**

409 Close to 30 suggestions for CMIP6 MIPs have been received so far of which 21 MIPs were
410 eventually endorsed and invited to participate (Table 3). Of those not selected some were asked to
411 work with other proposed MIPs with overlapping science goals and objectives. Of the 21 CMIP6-
412 Endorsed MIPs, four are diagnostic in nature, which means that they define and analyse additional
413 output, but do not require additional experiments. In the remaining 17 MIPs, a total of around 190
414 experiments have been proposed resulting in 40,000 model simulation years with around half of
415 these in Tier 1. The CMIP-Endorsed MIPs show broad coverage and distribution across the three
416 CMIP6 science questions, and all are linked to the WCRP Grand Science Challenges (Fig. 3).

417 Each of the 21 CMIP6-Endorsed MIPs is described in a separate invited contribution to this Special
418 Issue. These contributions will detail the goal of the MIP and the major scientific gaps the MIP is
419 addressing, and will specify what is new compared to CMIP5 and previous CMIP phases. The

420 contributions will include a description of the experimental design and scientific justification of each
421 of the experiments for Tier 1 (and possibly beyond), and will link the experiments and analysis to the
422 DECK and CMIP6 historical simulations. They will additionally include an analysis plan to fully
423 justify the resources used to produce the various requested variables, and if the analysis plan is to
424 compare model results to observations, the contribution will highlight possible model diagnostics
425 and performance metrics specifying whether the comparison entails any particular requirement for
426 the simulations or outputs (e.g. the use of observational simulators). In addition, possible
427 observations and reanalysis products for model evaluation are discussed and the MIPs are
428 encouraged to help facilitate their use by contributing them to the obs4MIPs/ana4MIPs archives at
429 the ESGF (see Section 3.3). In some MIPs additional forcings beyond those used in the DECK and
430 CMIP6 historical simulations are required, and these are described in the respective contribution as
431 well.

432 A number of MIPs are developments and/or continuation of long standing science themes ~~within~~
433 **CMIP**. These include MIPs specifically addressing science questions related to cloud feedbacks and
434 the understanding of spatial patterns of circulation and precipitation (CFMIP), carbon cycle
435 feedbacks and the understanding of changes in carbon fluxes and stores (C⁴MIP), detection and
436 attribution (DAMIP) that newly includes 21st-century GHG-only simulations allowing the projected
437 responses to GHGs and other forcings to be separated and scaled to derive observationally-
438 constrained projections, and paleoclimate (PMIP), which assesses the credibility of the model
439 response to forcing outside the range of recent variability. These MIPs reflect the importance of key
440 forcing and feedback processes in understanding past, present and future climate change and have
441 developed new experiments and science plans focused on emerging new directions that will be at the
442 centre of the WCRP Grand Science Challenges. A few new MIPs have arisen directly from gaps in
443 understanding in CMIP5 (Stouffer et al., 2015), for example poor quantification of radiative forcing
444 (RFMIP), better understanding of ocean heat uptake and sea-level rise (FAFMIP), and understanding
445 of model response to volcanic forcing (VolMIP).

446 Since CMIP5, other MIPs have emerged as the modelling community has developed more complex
447 ESMs with interactive components beyond the carbon cycle. These include the consistent
448 quantification of forcings and feedbacks from aerosols and atmospheric chemistry (AerChemMIP),
449 and, for the first time in CMIP, modelling of sea-level rise from land-ice sheets (ISMIP6).

450 Some MIPs specifically target systematic biases focusing on improved understanding of the sea-ice
451 state and its atmospheric and oceanic forcing (SIMIP), the physical and biogeochemical aspects of
452 the ocean (OMIP), land, snow and soil moisture processes (LS3MIP), and improved understanding

453 of circulation and variability with a focus on stratosphere-troposphere coupling (DynVar). With the
454 increased emphasis in the climate science community on the need to represent and understand
455 changes in regional circulation, systematic biases are also addressed on a more regional scale by the
456 Global Monsoon MIP (GMMIP) and a first coordinated activity on high resolution modelling
457 (HighResMIP).

458 For the first time future scenario experiments, previously coordinated centrally as part of the CMIP5
459 ‘core’ experiments, will be run as a MIP ensuring clear definition and well-coordinated science
460 questions. ScenarioMIP will run a new set of future long-term (century time scale) integrations
461 engaging input from both the climate science and integrated assessment modelling communities. The
462 new scenarios are based on a matrix that uses ~~that are based on~~ the shared socioeconomic pathways
463 (SSPs, O’Neill et al. (2015)) and forcing levels of the —Representative Concentration Pathways
464 (RCP) as axes. As a set, they ~~matrix~~ span the same range as the CMIP5 RCPs (Moss et al., 2010), but
465 fill critical gaps for intermediate forcing levels and questions, for example, on short-lived species and
466 land-use. The near-term experiments (10–30 years) are coordinated by the decadal climate prediction
467 project (DCPP) with improvements expected for example from the initialization of additional
468 components beyond the ocean and from a more detailed process understanding and evaluation of the
469 predictions to better identify sources and limits of predictability.

470 Other MIPs include specific future mitigation options, e.g. the land use MIP (LUMIP) that is for the
471 first time in CMIP isolating regional land management strategies to study how different surface types
472 respond to climate change and direct anthropogenic modifications, or the geoengineering MIP
473 (GeoMIP), which examines climate impacts of newly proposed radiation modification
474 geoengineering strategies.

475 The diagnostic MIP CORDEX will oversee the downscaling of CMIP6 models for regional climate
476 projections. Another historic development in our field that provides, for the first time in CMIP, an
477 avenue for a more formal communication between the climate modelling and user community is the
478 endorsement of the vulnerability, impacts and adaptation and climate services advisory board
479 (VIACS AB). This diagnostic MIP requests certain key variables of interest to the VIACS
480 community be delivered in a timely manner to be used by climate services and in impact studies.

481 All MIPs define output streams in the centrally coordinated CMIP6 data request for each of their
482 own experiments as well as the DECK and CMIP6 historical simulations (see the CMIP6 data
483 request contribution to this Special Issue for details). This will ensure that the required variables are
484 stored at the frequency and resolution required to address the specific science questions and

485 evaluation needs of each MIP and to enable a broad characterization of the performance of the
486 CMIP6 models.

487 We note that only the Tier 1 MIP experiments are overseen by the CMIP Panel, but additional
488 experiments are proposed by the MIPs in Tier 2 and 3. We encourage the modelling groups to
489 participate in the full suite of experiments beyond Tier 1 to address in more depth the scientific
490 questions posed.

491 The call for MIP applications for CMIP6 is still open and new proposals will be reviewed at the
492 annual WGCM meetings. However, we point out that the additional MIPs suggested after the CMIP6
493 data request has been finalized will have to work with the already defined model output from the
494 DECK and CMIP6 historical simulations, or work with the modelling group to recover additional
495 variables from their internal archives. We also point out that some experiments proposed by CMIP6-
496 Endorsed MIPs may not be finished until after CMIP6 ends.

497

498 **5. Summary**

499 CMIP6 continues the pattern of evolution and adaptation characteristic of previous phases of CMIP.
500 To center CMIP at the heart of activities within climate science and encourage links among activities
501 within the World Climate Research Programme (WCRP), CMIP6 has been formulated scientifically
502 around three specific [themesquestions](#), amidst the backdrop of the WCRP's seven Grand Science
503 Challenges. To meet the increasingly broad scientific demands of the climate-science community,
504 yet be responsive to the individual priorities and resource limitations of the modelling centres, CMIP
505 has adopted a new, more federated organizational structure.

506 CMIP has now evolved from a centralized activity involving a large number of experiments to a
507 federated activity, encompassing many individually designed MIPs. CMIP6 comprises 21 individual
508 CMIP6-Endorsed MIPs and the DECK and CMIP6 historical simulations. Four of the 21 CMIP6-
509 Endorsed MIPs are diagnostic in nature, meaning that they require additional output from models,
510 but not additional simulations. The total amount of output from CMIP6 is estimated to be between 20
511 and 40 Petabytes, depending on model resolution and the number of modelling centres ultimately
512 participating in CMIP6. Questions addressed in the MIPs are wide ranging, from the climate of
513 distant past to the response of turbulent cloud processes to radiative forcing, from how the terrestrial
514 biosphere influences the uptake of CO₂ to how much predictability is stored in the ocean, from how
515 to best project near-term to long-term future climate changes while considering interdependences and

516 differences in model performance in the CMIP6 ensemble, and from what regulates the distribution
517 of tropospheric ozone, to the influence of land-use changes on water availability.

518 The last two years have been dedicated to conceiving and then planning what we now call CMIP6.
519 Starting in 2016, the first modelling centres are expected to begin performing the DECK and
520 uploading output on the ESGF. By May 2016 the forcings for the DECK and CMIP6 historical
521 simulations will be ready, and by the end of 2016 the diverse forcings for different scenarios of
522 future human activity will become available. Past experience suggests that most centres will
523 complete their CMIP simulations within a few years while the analysis of CMIP6 results will likely
524 go on for a decade or more (Fig. 4).

525 Through an intensified effort to align CMIP with specific scientific ~~themes-questions~~ and the WCRP
526 Grand Science Challenges, activities—we expect CMIP6 to continue CMIP’s tradition of major
527 scientific advances. CMIP6 simulations and scientific achievements are expected to support the
528 IPCC Sixth Assessment Report (AR6) as well as other national and international climate assessments
529 or special reports. Ultimately scientific progress on the most pressing problems of climate variability
530 and change will be the best measure of the success of CMIP6. ~~Measures of success will include~~
531 ~~improved understanding of how the climate system works through the quantification of forcings and~~
532 ~~feedbacks, improved understanding and interpretation of systematic model biases and corresponding~~
533 ~~identification of ways to alleviate them for model improvements, and robust climate projections and~~
534 ~~uncertainty estimates for adaptation and mitigation policies.~~

535

536 **Data availability**

537 The model output from the DECK and CMIP6 historical simulations described in this paper will be
538 distributed through the Earth System Grid Federation (ESGF) with digital object identifiers (DOIs)
539 assigned. As in CMIP5, the model output will be freely accessible through data portals after
540 registration. In order to document CMIP6’s scientific impact and enable ongoing support of CMIP,
541 users are obligated to acknowledge CMIP6, the participating modelling groups, and the ESGF
542 centres (see details on the CMIP Panel website at <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>). Further information about the infrastructure supporting CMIP6, the metadata
544 describing the model output, and the terms governing its use are provided by the WGCM
545 Infrastructure Panel (WIP) in their invited contribution to this Special Issue. Along with the data
546 itself, the provenance of the data will be recorded, and DOI²s will be assigned to collections of
547 output so that they can be appropriately cited. This information will be made readily available so that

548 published research results can be verified and credit can be given to the modelling groups providing
549 the data. The WIP is coordinating and encouraging the development of the infrastructure needed to
550 archive and deliver this information. In order to run the experiments, datasets for natural and
551 anthropogenic forcings are required. These forcing datasets are described in separate invited
552 contributions to this Special Issue. The forcing datasets will be made available through the ESGF
553 with version control and DOIs assigned.

554

555 **Appendix A. Experiment Specifications**

556

557 **A1 Specifications for the DECK**

558 Here we provide information needed to perform the DECK, including specification of forcing and
559 boundary conditions, initialization procedures, and minimum length of runs. This information is
560 largely consistent with but not identical to the specifications for these experiments in CMIP5 (Taylor
561 et al., 2009).

562 The DECK and CMIP6 historical simulations are requested from all models participating in CMIP.
563 The expectation is that this requirement will be met for each model configuration used in the
564 subsequent CMIP6-Endorsed MIPs (an entry card). For CMIP6, in the special case where the
565 burden of the entry card simulations are prohibitive but the scientific case for including a particular
566 model simulation is compelling (despite only partial completion of the entry card simulations), an
567 exception to this policy can be granted on a model by model basis by the CMIP Panel, which will
568 seek advice from the chairs of the affected CMIP6-Endorsed MIP.

569 CMIP6 is a cooperative effort across the international climate modelling and climate science
570 communities. The modelling groups have all been involved in the design and implementation of
571 CMIP6, and thus have agreed to a set of best practices proposed for CMIP6. Those best practices
572 include having the modelling groups submit the DECK experiments and the CMIP6 historical
573 simulations to the ESGF, as well as any CMIP6-Endorsed-MIP experiments they choose to run.
574 Additionally, the modelling groups decide what constitutes a new model version. ~~Modelling groups
575 are well aware that their model simulations are under considerable scrutiny. Therefore, we expect
576 that as in the past, modelling groups will in good faith provide their highest quality model version
577 and that it will differ from previous versions by substantive improvements in resolution, physics, or
578 simulation skill.~~The CMIP Panel will work with the MIP co-chairs and the modelling groups to
579 ensure that these best practices are followed.

580

581 **A1.1 AMIP simulation**

582 As in the first simulations performed under the Atmospheric Model Intercomparison Project (AMIP,
583 Gates et al. (1999)), SSTs and SICs in AMIP experiments are prescribed consistent with observations
584 (see details on this forcing dataset in the corresponding contribution to this Special Issue). Land
585 models should be configured as close as possible to that used in the CMIP6 historical simulation

586 including transient land use and land cover. Other external forcings including volcanic aerosols, solar
587 variability, GHG concentrations, and anthropogenic aerosols should also be prescribed consistent
588 with those used in the CMIP6 historical simulation (see Section A2 below). Even though in AMIP
589 simulations models with an active carbon cycle will not be fully interactive, surface carbon fluxes
590 should be archived over land.

591 AMIP integrations can be initialized from prior model integrations or from observations or in other
592 reasonable ways. Depending on the treatment of snow cover, soil water content, the carbon cycle,
593 and vegetation, these runs may require a spin-up period of several years. One might establish quasi-
594 equilibrium conditions consistent with the model by, for example, running with ocean conditions
595 starting earlier in the 1970's or cycling repeatedly through year 1979 before simulating the official
596 period. Results from the spin-up period (i.e., prior to 1979) should be discarded, but the spin-up
597 technique should be documented.

598 For CMIP6, AMIP simulations should cover at least the period from January 1979 through
599 December 2014, but modelling groups are encouraged to extend their runs to the end of the observed
600 period. Output may also be contributed from years preceding 1979 with the understanding that
601 surface ocean conditions were less complete and in some cases less reliable then.

602 The climate found in AMIP simulations is largely determined by the externally-imposed forcing,
603 especially the ocean conditions. Nevertheless, unforced variability (“noise”) within the atmosphere
604 introduces some non-deterministic variations that hamper unambiguous interpretation of apparent
605 relationships between, for example, the year-to-year anomalies in SSTs and their consequences over
606 land. To assess the role of unforced atmospheric variability in any particular result, modelling groups
607 are encouraged to generate an ensemble of AMIP simulations. For most studies a three-member
608 ensemble, where only the initial conditions are varied, would be the minimum required, with larger
609 size ensembles clearly of value in making more precise determination of statistical significance.

610 **A1.2 Multi-century pre-industrial control simulations**

611 Like laboratory experiments, numerical experiments are designed to reveal cause and effect
612 relationships. A standard way of doing this is to perform both a “control” experiment and a second
613 experiment where some externally-imposed experiment condition has been altered. For many CMIP
614 experiments, including the rest of the experiments discussed in this Appendix, the “control” is a
615 simulation with atmospheric composition and other conditions prescribed and held constant,
616 consistent with best estimates of the forcing from the historical period.

617 Ideally the pre-industrial control (*piControl*) experiment for CMIP would represent a near-
618 equilibrium state of the climate system under the imposed conditions. In reality, simulations of
619 hundreds to many thousands of years would be required for the ocean's depths to equilibrate and for
620 biogeochemical reservoirs to fully adjust. Available computational resources generally preclude
621 integrations long enough to approach equilibrium, so in practice shorter runs must suffice. Usually, a
622 *piControl* simulation is initialized from the control run of a different model or from observations, and
623 then run until at least the surface climate conditions stabilize using 1850 forcings (see Stouffer et al.
624 (2004) for further discussion). This spin-up period can be as long as several hundred years and
625 variables that can document the spin-up behaviour should be archived (under the experiment labels
626 *piControl-spinup* or *esm-piControl-spinup*). At the very least the length of the spin-up period should
627 be documented.

628 Although equilibrium is generally not achieved, the changes occurring after the spin-up period are
629 usually found to evolve at a fairly constant rate that presumably decreases slowly as equilibrium is
630 approached. After a few centuries, these "drifts" of the system mainly affect the carbon cycle and
631 ocean below the main thermocline, but they are also manifest at the surface in a slow change in sea
632 level. The climate drift must be removed in order to interpret experiments that use the pre-industrial
633 simulation as a control. The usual procedure is to assume that the drift is insensitive to CMIP
634 experiment conditions and to simply subtract the control run from the perturbed run to determine the
635 climate change that would occur in the absence of drift.

636 Besides serving as "controls" for numerical experimentation, the *piControl* and *esm-piControl* are
637 used to study the naturally occurring, unforced variability of the climate system. The only source of
638 climate variability in a control arises from processes internal to the model, whereas in the more
639 complicated real world, variations are also caused by external forcing factors such as solar variability
640 and changes in atmospheric composition caused, for example, by human activities or volcanic
641 eruptions. Consequently, the physical processes responsible for unforced variability can more easily
642 be isolated and studied using the control run of models, rather than by analysing observations.

643 A DECK control simulation is required to be long enough to extend to the end of any perturbation
644 runs initiated from it so that climate drift can be assessed and possibly removed from those runs. If,
645 for example, a historical simulation (beginning in 1850) were initiated from the beginning of the
646 control simulation and then were followed by a future scenario run extending to year 2300, a control
647 run of at least 450 years would be required. As discussed above, control runs are also used to assess
648 model-simulated unforced climate variability. The longer the control, the more precisely can
649 variability be quantified for any given time scale. A control simulation of many hundreds of years

650 would be needed to assess variability on centennial time-scales. For CMIP6 it is recommended that
651 the control run should be at least 500 years long (following the spin-up period), but of course the
652 simulation must be long enough to reach to the end of the experiments it spawns. It should be noted
653 that those analysing CMIP6 simulations might also require simulations longer than 500 years to
654 accurately assess unforced variability on long time-scales, so modelling groups are encouraged to
655 extend their control runs well beyond the minimum recommended number of years.

656 Because the climate was very likely not in equilibrium with the forcing of 1850 and because different
657 components of the climate system differentially respond to the effects of the forcing prior to that
658 time, there is some ambiguity in deciding on what forcing to apply for the control. For CMIP6 we
659 recommend a specification of this forcing that attempts to balance conflicting objectives to

- 660 – Minimize artificial climate responses to discontinuities in radiative forcing at the time a historical
661 simulation is initiated.
- 662 – Minimize artefacts in sea level change due to thermal expansion caused by unrealistic
663 mismatches in conditions in the centennial-scale averaged forcings for the pre- and post-1850
664 periods. Note that any preindustrial multi-centennial observed trend in global-mean sea level is
665 most likely to be due to slow changes in ice-sheets, which are likely not to be simulated in the
666 CMIP6 model generation.

667 The first consideration above implies that radiative forcing in the control run should be close to that
668 imposed at the beginning of the CMIP historical simulation (i.e., 1850). The second implies that a
669 background volcanic aerosol and time-averaged solar forcing should be prescribed in the control run,
670 since to neglect it would cause an apparent drift in sea-level associated with the suppression of heat
671 uptake due to the net effect of, for instance, volcanism after 1850, and this has implications for sea
672 level changes (Gregory, 2010; Gregory et al., 2013). We recognize that it will be impossible to
673 entirely avoid artefacts and artificial transient effects, and practical considerations may rule out
674 conformance with every detail of the control simulation protocol stipulated here. With that
675 understanding, here is a summary of the recommendations for the imposed conditions on the spin-up
676 and control runs, followed by further clarification in subsequent paragraphs:

- 677 – Conditions must be time-invariant except for those associated with the mean climate (notably the
678 seasonal and diurnal cycles of insolation).
- 679 – Unless indicated otherwise (e.g., the background volcanic forcing), experiment conditions (e.g.,
680 greenhouse gas concentrations, ozone concentration, surface land conditions) should be
681 representative of Earth around the year 1850.

- 682 – Orbital parameters (eccentricity, obliquity, and longitude of the perihelion) should be held fixed
683 at their 1850 values.
- 684 – Land use should not change in the control run and should be fixed according to reconstructed
685 agricultural maps from 1850. Due to the diversity of model approaches in ESMs for land carbon,
686 some groups might deviate from this specification, and again this must be clearly documented.
- 687 – The solar constant should be fixed at its mean value (no 11 year solar cycle) over the first two
688 solar cycles of the historical simulation (i.e., the 1850 – 1873 mean).
- 689 – A background volcanic aerosol should be specified that results in radiative forcing matching, as
690 closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014
691 mean).
- 692 – Models without interactive ozone chemistry should specify the pre-industrial ozone fields from a
693 dataset produced from a pre-industrial control simulation that uses 1850 emissions and a mean
694 solar forcing averaged over solar cycles 8-10, representative of the mean mid-19th century solar
695 forcing.

696 There are some special considerations that apply to control simulations performed by “emission-
697 driven” ESMs (i.e. runs with atmospheric concentrations of CO₂ calculated prognostically rather than
698 being prescribed). In the *esm-piControl* simulation, emissions of CO₂ from both fossil fuel
699 combustion and land use change are prescribed to be zero. In this run any residual drift in
700 atmospheric CO₂ concentration that arises from an imbalance in the exchanges of CO₂ between the
701 atmosphere and the ocean and land (i.e. by the natural carbon cycle in the absence of anthropogenic
702 CO₂ emissions) will need to be subtracted from perturbation runs to correct for a control state not in
703 equilibrium. It should be emphasized that the *esm-piControl* is an idealized experiment and is not
704 meant to mimic the true 1850 conditions, which would have to include a source of carbon of around
705 0.6 PgC/yr from the already perturbed state that existed in 1850.

706 Due to a wide variety of ESMs and the techniques they use to compute land carbon fluxes, it is hard
707 to make statements that apply to all models equally well. A general recommendation, however, is
708 that the land carbon fluxes in the emission and concentration driven control simulations should be
709 stable in time and in approximate balance so that the net carbon flux into the atmosphere is small
710 (less than 0.1 PgC/yr). Further details on ESM experiments with a carbon cycle are provided in the
711 C⁴MIP contribution to this Special Issue.

712 The historical time-average volcanic forcing stipulated above for the control run is likely to
713 approximate the much longer term mean. Crowley’s (2000) estimates of volcanic aerosol radiative
714 forcing for the historical period and the last millennium are -0.18 W m^{-2} and -0.22 W m^{-2} ,

715 respectively. Because the mean volcanic forcing between 1850 and 2014 is small, the discontinuity
716 associated with transitioning from a mean forcing to a time-varying volcanic forcing is also expected
717 to be small. Even though this is the design objective, it is likely that it will be impossible to eliminate
718 all artefacts in quantities such as historical sea level change. For this reason, and because some
719 models may deviate from these specifications, it is recommended that groups perform an additional
720 simulation of the historical period but with only natural forcing included. With this additional run,
721 which is already called for under DAMIP, the purely anthropogenic effects on sea-level change can
722 be isolated.

723 The forcing specified in the *piControl* also has implications for simulations of the future, when solar
724 variability and volcanic activity will continue to exist, but at unknown levels. These issues need to be
725 borne in mind when designing and evaluating future scenarios, as a failure to include volcanic
726 forcing in the future will cause future warming and sea-level rise to be over-estimated relative to a
727 *piControl* experiment in which a non-zero volcanic forcing is specified. This is accounted for by
728 introducing a time-invariant non-zero volcanic forcing (e.g., the mean volcanic forcing for the
729 *piControl*) into the scenarios. This is further specified in the ScenarioMIP contribution to this Special
730 Issue.

731 These issues, and the potential of different modelling centres adopting different approaches to
732 account for their particular constraints, highlight the paramount importance of adequately
733 documenting the conditions under which this and the other DECK experiments are performed.

734

735 **A1.3 Abruptly quadrupling CO₂ simulation**

736 Until CMIP5, there were no experiments designed to quantify the extent to which forcing differences
737 might explain differences in climate response. It was also difficult to diagnose and quantify the
738 feedback responses, which are mediated by global surface temperature change (Sherwood et al.,
739 2015). In order to examine these fundamental characteristics of models – CO₂ forcing and climate
740 feedback – an abrupt 4xCO₂ simulation was included for the first time as part of CMIP5. Following
741 Gregory et al. (2004), the simulation branches in January of the CO₂-concentration driven *piControl*
742 and abruptly the atmospheric CO₂ concentration is quadrupled and held fixed. As the system
743 subsequently evolves toward a new equilibrium, the imbalance in the net flux at the top of the
744 atmosphere can be plotted against global temperature change. As Gregory et al. (2004) showed, it is
745 then possible to diagnose both the effective radiative forcing due to a quadrupling of CO₂ and also
746 effective equilibrium climate sensitivity (ECS). Moreover, by examining how individual flux

747 components evolve with surface temperature change, one can learn about the relative strengths of
748 different feedbacks, notably quantifying the importance of various feedbacks associated with clouds.

749 In the *abrupt-4xCO2* experiment, the only externally-imposed difference from the *piControl* should
750 be the change in CO₂ concentration. All other conditions should remain as they were in the
751 *piControl*, including any background volcanic aerosols. By changing only a single factor, we can
752 unambiguously attribute all climatic consequences to the increase in CO₂ concentration.

753 The minimum length of the *abrupt-4xCO2* simulation should be 150 years, but longer simulations
754 would enable investigations of longer-time scale responses. Also there is value, as in CMIP5, in
755 performing an ensemble of short (~5-year) simulations initiated at different times throughout the
756 year (in addition to the required January run). –Such an ensemble would reduce the statistical
757 uncertainty with which the effective CO₂ radiative forcing could be quantified and would allow more
758 detailed and accurate diagnosis of the fast responses of the system under an abrupt change in forcing
759 (Bony et al., 2013; Gregory and Webb, 2008; Kamae and Watanabe, 2013; Sherwood et al., 2015).
760 Different groups will be able to afford ensembles of different sizes, but in any case each realization
761 should be initialized in a different month and the months should be spaced evenly throughout the
762 year.

763 **A1.4 1% CO₂ increase simulation**

764 The second idealized climate change experiment was introduced in the early days of CMIP (Meehl et
765 al., 2000). It is designed for studying model responses under simplified but somewhat more realistic
766 forcing than an abrupt increase in CO₂. In this experiment, the simulation is branched from the
767 *piControl*, and CO₂ concentration is gradually increased at a rate of 1% yr⁻¹ (i.e., exponentially). A
768 minimum length of 150 years is requested so that the simulation goes beyond the quadrupling of CO₂
769 after 140 years. Note that in contrast to previous definitions, the experiment has been simplified so
770 that the 1% CO₂ increase per year is applied throughout the entire simulation rather than keeping it
771 constant after 140 years as in CMIP5. Since the radiative forcing is approximately proportional to the
772 logarithm of the CO₂ increase, the radiative forcing linearly increases over time. Drawing on the
773 estimates of effective radiative forcing (for definitions see Myhre et al. (2013)) obtained in the
774 *abrupt-4xCO2* simulations, analysts can scale results from each model in the 1% CO₂ increase
775 simulations to focus on the response differences in models, largely independent of their forcing
776 differences. In contrast, in CMIP6 historical simulations (see Section A2), the forcing and response
777 contributions to model differences in simulated climate change cannot be easily isolated.

778 As in the *abrupt-4xCO2* experiment, the only externally-imposed difference from the *piControl*
779 should be the change in CO₂ concentration. The omission of changes in aerosol concentrations is the
780 key to making these simulations easier to interpret.

781 Models with a carbon cycle component will be driven by prescribed CO₂ concentrations, but
782 terrestrial and marine surface fluxes and stores of carbon will become a key diagnostic from which
783 one can infer emission rates that are consistent with a 1% yr⁻¹ increase in model CO₂ concentration.
784 This DECK baseline carbon cycle experiment is built upon in C⁴MIP to diagnose the strength of
785 model carbon climate feedback and to quantify contributions to disruption of the carbon cycle by
786 climate and by direct effects of increased CO₂ concentration.

787

788 **A2 The CMIP6 historical simulations**

789 CMIP6 historical simulations of climate change over the period 1850 through 2014 are forced by
790 common datasets that are largely based on observations. They serve as an important benchmark for
791 assessing model performance through evaluation against observations. The historical integration
792 should be initialized from some point in the control integration (with *historical* branching from the
793 *piControl* and the *esm-hist* branching from *esm-piControl*) and be forced by time-varying,
794 externally-imposed conditions that are based on observations. Both naturally-forced changes (e.g.,
795 due to solar variability and volcanic aerosols) and changes due to human activities (e.g., CO₂
796 concentration, aerosols, and land-use) will lead to climate variations and evolution. In addition, there
797 is unforced variability which can obscure the forced changes and lead to expected differences
798 between the simulated and observed climate variations (Deser et al., 2012).

799 The externally-imposed forcing datasets that should be used in CMIP6 cover the period 1850 through
800 the end of 2014 are described in detail in various other contributions to this Special Issue. Recall
801 from section A1.2 that the conditions in the control should generally be consistent with the forcing
802 imposed near the beginning of the CMIP historical simulation. This should minimize artificial
803 transient effects in the first portion of the CMIP historical simulation. An exception is that for the
804 CO₂-emission driven experiments, the zero CO₂ emissions from fossil fuel and the land use
805 specifications for 1850 in the *esm-piControl* could cause a discontinuity in land carbon at the branch
806 point.

807 As described in Section A1.2, the 1850 *esm-piControl* should be developed for an idealized case that
808 is stable in time and balance so that the net carbon flux into the atmosphere is small. Meanwhile, the

809 start of the *esm-hist* in 1850 should be as realistic as possible and attempt to account for the fact the
810 land-surface was not in equilibrium in 1850 due to prior land-use effects (Houghton, 2010; Hurtt et
811 al., 2011). Some modelling groups have developed methods to achieve these twin goals in a
812 computationally efficient manner, for example by performing pre-1850 off-line land model
813 simulations to account for the land carbon cycle disequilibrium before 1850 and to adequately
814 simulate carbon stores at the start of the historical simulation (Sentman et al., 2011). Due to the wide
815 diversity of modelling approaches for land carbon in the ESMs, the actual method applied by each
816 group to account for these effects will differ and needs to be well documented.

817 As discussed earlier, there will be a mismatch in the specification of volcanic aerosols between
818 control and historical simulations that especially affect estimates of ocean heat uptake and sea level
819 rise in the historical period. This can be minimized by prescribing a background volcanic aerosol in
820 the pre-industrial control that has the same cooling effect as the volcanoes included in the CMIP6
821 historical simulation. Any residual mismatch will need to be corrected, which requires a special
822 supplementary simulation (see Section A1.2) that should be submitted along with the CMIP6
823 historical simulation.

824 For model evaluation and for detection and attribution studies (the focus of DAMIP) there would be
825 considerable value in extending the CMIP6 historical simulations beyond the nominal 2014 ending
826 date. To include the more recent observations in model evaluation, modelling groups are encouraged
827 to document and apply forcing data sets representing the post-2014 period. For short extensions (up
828 to a few years) it may be acceptable to simply apply forcing from one of the future scenarios defined
829 by ScenarioMIP. To distinguish between the portion of the historical period when all models will use
830 the same forcing data sets (i.e., 1850-2014) from the extended period where different data sets might
831 be used, the experiment for 1850 through 2014 will be labelled *historical* (*esm-hist* in the case of the
832 emissions-driven run) and the period from 2015 through near-present will likely be labelled
833 *historical-ext* (*esm-hist-ext*).

834 Even if the CMIP6 historical simulations are extended beyond 2014, all future scenario simulations
835 (called for by ScenarioMIP and other MIPs) should be initiated from the end of year 2014 of the
836 CMIP6 historical simulation since the "future" in CMIP6 begins in 2015.

837 Due to interactions within and between the components of the Earth system, there is a wide range of
838 variability on various time and space scales (Hegerl et al., 2007). The time scales vary from shorter
839 than a day to longer than several centuries. The magnitude of the variability can be quite large
840 relative to any given signal of interest depending on the time and space scales involved and on the

841 variable of interest. To more clearly identify forced signals emerging from natural variability,
842 multiple model integrations (comprising an “ensemble”) can be made where only the initial
843 conditions are perturbed in some way which should be documented. A common way to do this is to
844 simply branch each simulation from a different point in the control run. Longer intervals between
845 branch points will ensure independence of ensemble members on longer time-scales. By averaging
846 many different ensemble members together, the signal of interest becomes clear because the natural
847 variations tend to average out if the ensemble size and averaging period are long enough. If the
848 variability in the models is realistic, then the spread of the ensemble members around the ensemble
849 average is caused by unforced (i.e., internal) variability. To minimize the number of years included
850 in the entry card simulations, only one ensemble member is requested here. However, we strongly
851 encourage model groups to submit at least three ensemble members of their CMIP historical
852 simulation as requested in DAMIP.

853

854

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872

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1009 **Table 1.** Main criteria for MIP endorsement as agreed with representatives from the modelling
 1010 groups and MIPs at the WGCM 18th Session in Grainau, Germany in October 2014.

Nr	MIP Endorsement Criterion
1	The MIP and its experiments address at least one of the key science questions of CMIP6.
2	The MIP demonstrates connectivity to the DECK experiments and the CMIP6 historical simulations.
3	The MIP adopts the CMIP modelling infrastructure standards and conventions.
4	All experiments are tiered, well-defined, and useful in a multi-model context and do not overlap with other CMIP6 experiments.
5	Unless a Tier 1 experiment differs only slightly from another well-established experiment, it must already have been performed by more than one modelling group.
6	A sufficient number of modelling centres (~8) are committed to performing all of the MIP's Tier 1 experiments and providing all the requested diagnostics needed to answer at least one of its science questions.
7	The MIP presents an analysis plan describing how it will use all proposed experiments, any relevant observations, and specially requested model output to evaluate the models and address its science questions.
8	The MIP has completed the MIP template questionnaire.
9	The MIP contributes a paper on its experimental design to the GMD CMIP6 Special Issue.
10	The MIP considers reporting on the results by co-authoring a paper with the modelling groups.

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1012 **Table 2.** Overview of DECK and CMIP6 historical simulations providing the experiment short
 1013 names, the CMIP6 labels, brief experiment descriptions, the forcing methods as well as the start and
 1014 end year and minimum number of years per experiment and its major purpose. The DECK and
 1015 CMIP6 historical simulation are used to characterize the CMIP model ensemble. Given resource
 1016 limitations, these entry card simulations for CMIP include only one ensemble member per
 1017 experiment. However, we strongly encourage model groups to submit at least three ensemble
 1018 members for the CMIP historical simulation as requested in DAMIP. Large ensembles of AMIP
 1019 simulations are also encouraged. In the “forcing methods” column, “All” means “volcanic, solar and
 1020 anthropogenic forcings”. All experiments are started on 1 January and end at 31 December of the
 1021 specified years.

Experiment short name	CMIP6 label	Experiment description	Forcing methods	Start Year	End Year	Minimum # Years Per Simulation	Major purpose
DECK Experiments							
AMIP	<i>amip</i>	Observed SSTs and SICs prescribed	All; CO ₂ concentration prescribed	1979	2014	36	Evaluation, variability
pre-industrial control	<i>piControl</i> or <i>esm-piControl</i>	Coupled atmosphere/ocean pre-industrial control	CO ₂ concentration prescribed or calculated	n/a	n/a	500	Evaluation, unforced variability
abrupt quadrupling of CO ₂ concentration	<i>abrupt-4xCO2</i>	CO ₂ abruptly quadrupled and then held constant	CO ₂ concentration prescribed	n/a	n/a	150	Climate sensitivity, feedbacks, fast responses
1% yr ⁻¹ CO ₂ concentration increase	<i>1pctCO2</i>	CO ₂ prescribed to increase at 1% yr ⁻¹	CO ₂ concentration prescribed	n/a	n/a	150	Climate sensitivity, feedbacks, idealized benchmark
CMIP6 historical simulation							
past ~1.5 centuries	<i>historical</i> or <i>esm-hist</i>	Simulation of the recent past	All; CO ₂ concentration prescribed or calculated	1850	2014	165	Evaluation

1023 **Table 3.** List of CMIP6-Endorsed MIPs along with the long name of the MIP, the primary goal(s)
 1024 and the main CMIP6 science theme as displayed in Fig. 2. Each of these MIPs is described in more
 1025 detail in a separate contribution to this Special Issue. MIPs marked with * are Diagnostic-MIPs.

Short name of MIP	Long name of MIP	Primary Goal(s) in CMIP6	Main CMIP6 Science Theme
AerChemMIP	Aerosols and Chemistry Model Intercomparison Project	a) Diagnosing forcings and feedbacks of tropospheric aerosols, tropospheric ozone precursors and the chemically reactive WMGHGs; b) Documenting and understanding past and future changes in the chemical composition of the atmosphere; c) Estimating the global to regional climate response from these changes.	Chemistry / Aerosols
C⁴MIP	Coupled Climate Carbon Cycle Model Intercomparison Project	Understanding and quantifying future century-scale changes in the global carbon cycle and its feedbacks on the climate system, making the link between CO ₂ emissions and climate change.	Carbon cycle
CFMIP	Cloud Feedback Model Intercomparison Project	Improved assessments of cloud feedbacks via a) improved understanding of cloud- climate feedback mechanisms and b) better evaluation of clouds and cloud feedbacks in climate models. Also improved understanding of circulation, regional-scale precipitation and non-linear changes.	Clouds / Circulation
DAMIP	Detection and Attribution Model Intercomparison Project	a) Estimating the contribution of external forcings to observed global and regional climate changes; b) Observationally constraining future climate change projections by scaling future GHG and other anthropogenic responses using regression coefficients derived for the historical period.	Characterizing forcings
DCPP	Decadal Climate Prediction Project	Predicting and understanding forced climate change and internal variability up to 10 years into the future through a coordinated set of hindcast experiments, targeted experiments to understand the physical processes, and the ongoing production of skilful decadal predictions.	Decadal prediction
FAFMIP	Flux-Anomaly-Forced Model Intercomparison Project	Explaining the model spread in climate projections of ocean climate change forced by CO ₂ increase, especially regarding the geographical patterns and magnitude of sea-level change, ocean heat uptake and thermal expansion.	Ocean / Land / Ice
GeoMIP	Geoengineering Model Intercomparison Project	Assessing the climate system response (including on extreme events) to proposed radiation modification geoengineering schemes by evaluating their efficacies, benefits, and side effects.	Geoengineering
GMMIP	Global Monsoons Model	a) Improve understanding of physical processes in global monsoons system; b)	Regional phenomena

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	Intercomparison Project	better simulating the mean state, interannual variability and long-term changes of global monsoons.	
HighResMIP	High Resolution Model Intercomparison Project	Assessing the robustness of improvements in the representation of important climate processes with “weather-resolving” global model resolutions (~25km or finer), within a simplified framework using the physical climate system only with constrained aerosol forcing.	Regional phenomena
ISMIP6	Ice Sheet Model Intercomparison Project for CMIP6	Improving confidence in projections of the sea level rise associated with mass loss from the ice sheets of Greenland and Antarctica.	Ocean / Land / Ice
LS3MIP	Land Surface, Snow and Soil Moisture	Providing a comprehensive assessment of land surface, snow, and soil moisture-climate feedbacks, and diagnosing systematic biases in the land modules of current ESMs using constrained land-module only experiments.	Ocean / Land / Ice
LUMIP	Land-Use Model Intercomparison Project	Quantifying the effects of land use on climate and biogeochemical cycling (past-future), and assessing the potential for alternative land management strategies to mitigate climate change.	Land use
OMIP	Ocean Model Intercomparison Project	Provide a framework for evaluating, understanding, and improving ocean, sea-ice, and biogeochemical, including inert tracers, components of climate and Earth system models contributing to CMIP6. Protocols are provided to perform coordinated ocean/sea-ice/tracer/biogeochemistry simulations forced with common atmospheric datasets.	Ocean / Land / Ice
PMIP	Paleoclimate Modelling Intercomparison Project	a) Analysing the response to forcings and major feedbacks for past climates outside the range of recent variability; b) Assessing the credibility of climate models used for future climate projections.	Paleo
RFMIP	Radiative Forcing Model Intercomparison Project	a) Characterizing the global and regional effective radiative forcing for each model for historical and 4xCO ₂ simulations; b) Assessing the absolute accuracy of clear-sky radiative transfer parameterizations; c) Identifying the robust impacts of aerosol radiative forcing during the historical period.	Characterizing forcings
ScenarioMIP	Scenario Model Intercomparison Project	a) Facilitating integrated research on the impact of plausible future scenarios over physical and human systems, and on mitigation and adaptation options; b) addressing targeted studies on the effects of particular forcings in collaboration with other MIPs; c) help quantifying projection uncertainties based on multi-model ensembles and emergent constraints.	Scenarios
VolMIP	Volcanic Forcings Model	a) Assessing to what extent responses of the coupled ocean-atmosphere system to strong	Characterizing forcings

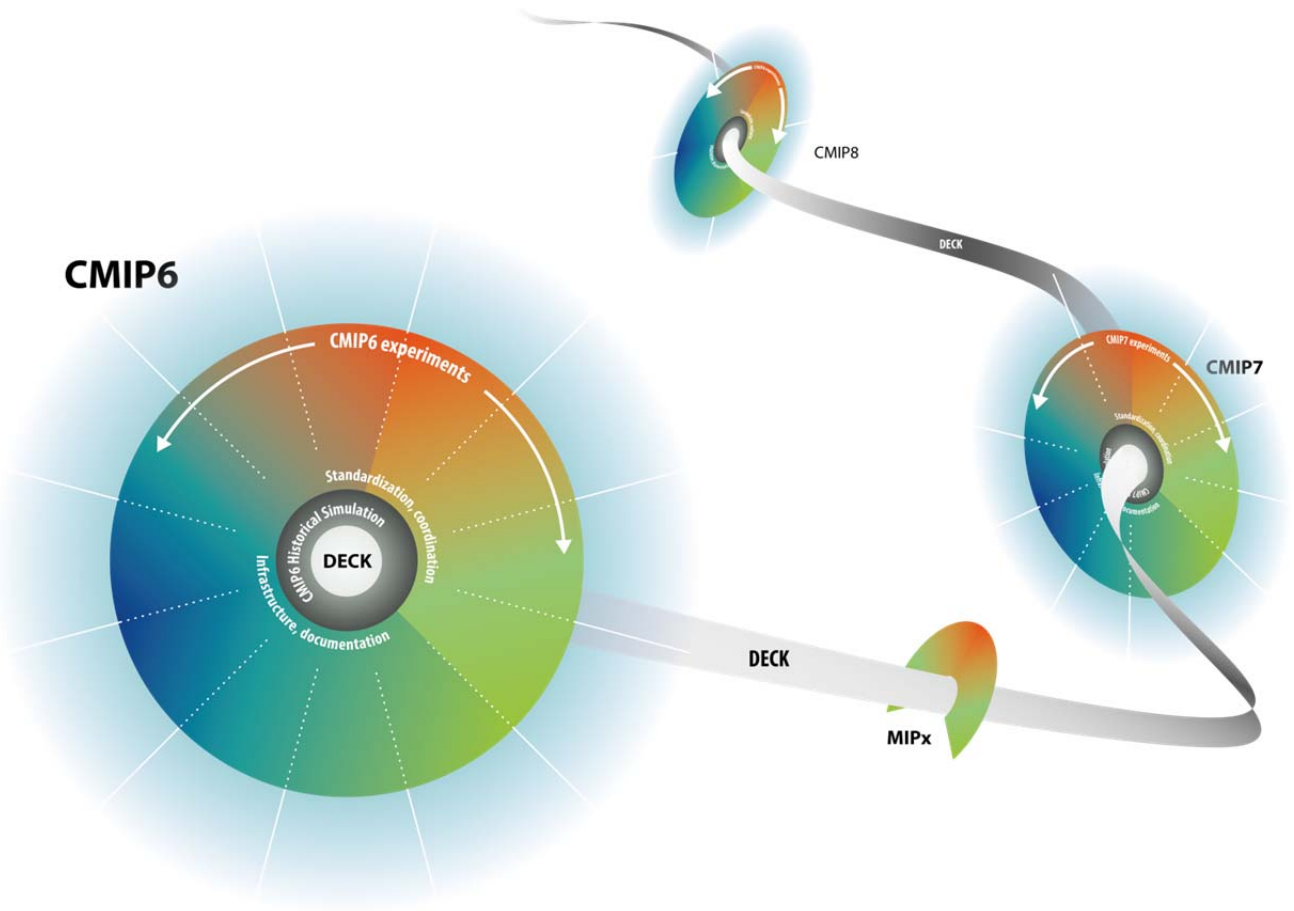
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	Intercomparison Project	volcanic forcing are robustly simulated across state-of-the-art coupled climate models; b) Identifying the causes that limit robust simulated behaviour, especially differences in their treatment of physical processes	
CORDEX*	Coordinated Regional Climate Downscaling Experiment	Advancing and coordinating the science and application of regional climate downscaling (RCD) through statistical and dynamical downscaling of CMIP DECK, CMIP6 <i>historical</i> , and ScenarioMIP output.	Impacts
DynVarMIP*	Dynamics and Variability <u>Model Intercomparison Project of the Stratosphere-Troposphere System</u>	Defining and analysing diagnostics that enable a mechanistic approach to confront model biases and understand the underlying causes behind circulation changes with a particular emphasis on the two-way coupling between the troposphere and the stratosphere.	Clouds / Circulation
SIMP*	Sea-Ice Model Intercomparison Project	Understanding the role of sea-ice and its response to climate change by defining and analysing a comprehensive set of variables and process-oriented diagnostics that describe the sea-ice state and its atmospheric and ocean forcing.	Ocean / Land / Ice
VIACS AB*	Vulnerability, Impacts, Adaptation and Climate Services Advisory Board for CMIP6	Facilitating a two-way dialogue between the CMIP6 modelling community and VIACS experts, who apply CMIP6 results for their numerous research and climate services, towards an informed construction of model scenarios and simulations and the design of online diagnostics, metrics, and visualization of relevance to society.	Impacts

1027 **Table A1.** Specifications in the DECK and CMIP6 historical simulations.

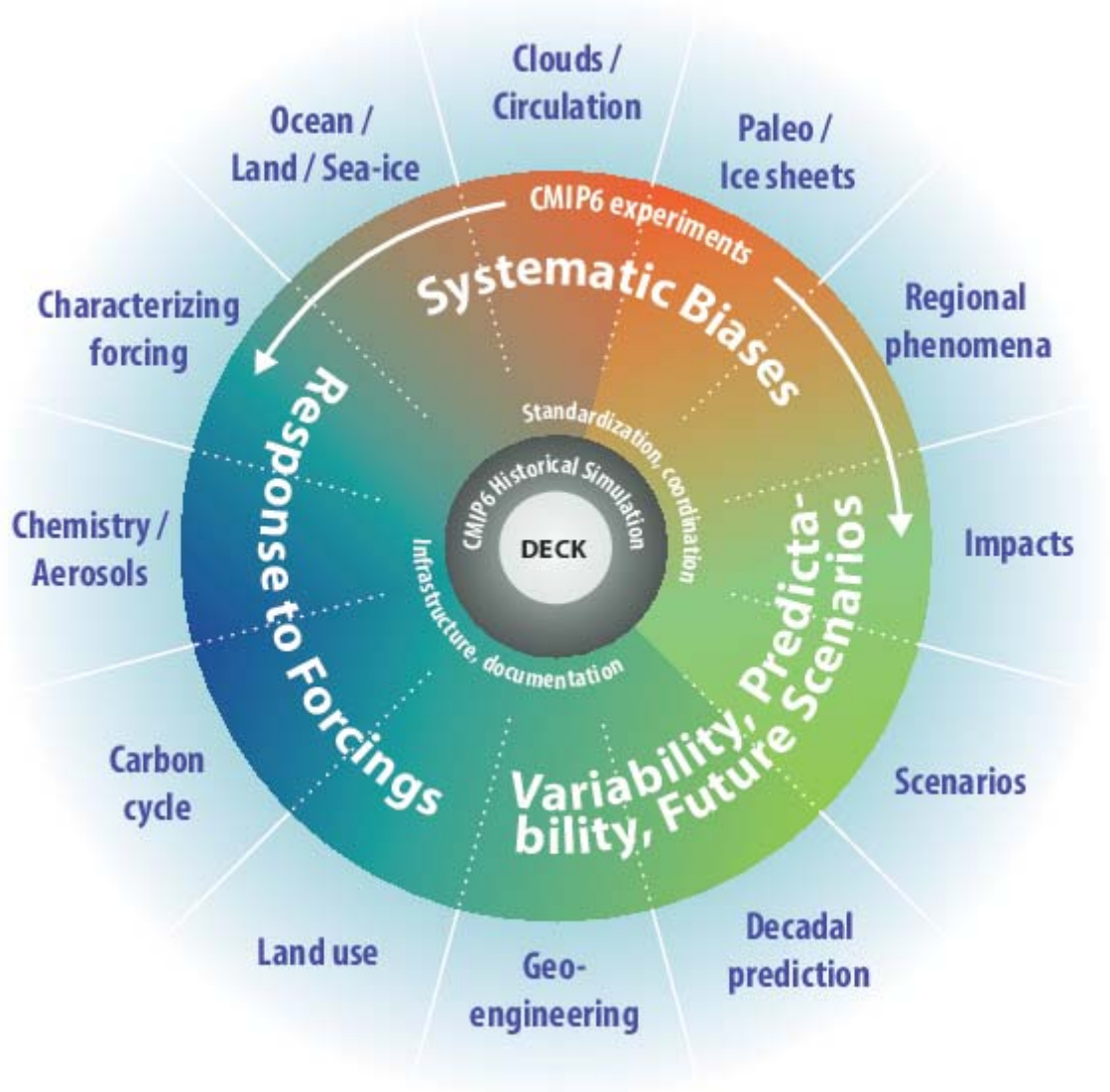
Experiment	Volcanic Stratospheric Aerosol	Solar Variability	Anthropogenic forcings
<i>amip</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<i>piControl</i>	Background volcanic aerosol that results in radiative forcing matching, as closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014 mean)	Fixed at its mean value (no 11 year solar cycle) over the first two solar cycles of the historical simulation (i.e., the 1850 – 1873 mean)	Given that the historical simulations start in 1850, the <i>piControl</i> should have fixed 1850 atmospheric composition, not true pre-industrial
<i>esm-piControl</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> but with CO ₂ concentration calculated, rather than prescribed. CO ₂ from both fossil fuel combustion and land use change are prescribed to be zero.
<i>abrupt-4xCO2</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO ₂ that is four times <i>piControl</i>
<i>1pctCO2</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO ₂ that is increasing at 1%/yr ⁻¹
<i>historical</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<i>esm-hist</i>	As in <i>historical</i>	As in <i>historical</i>	As in <i>historical</i> but with CO ₂ emissions prescribed and CO ₂ concentration calculated (rather than prescribed)

1028 FIGURES



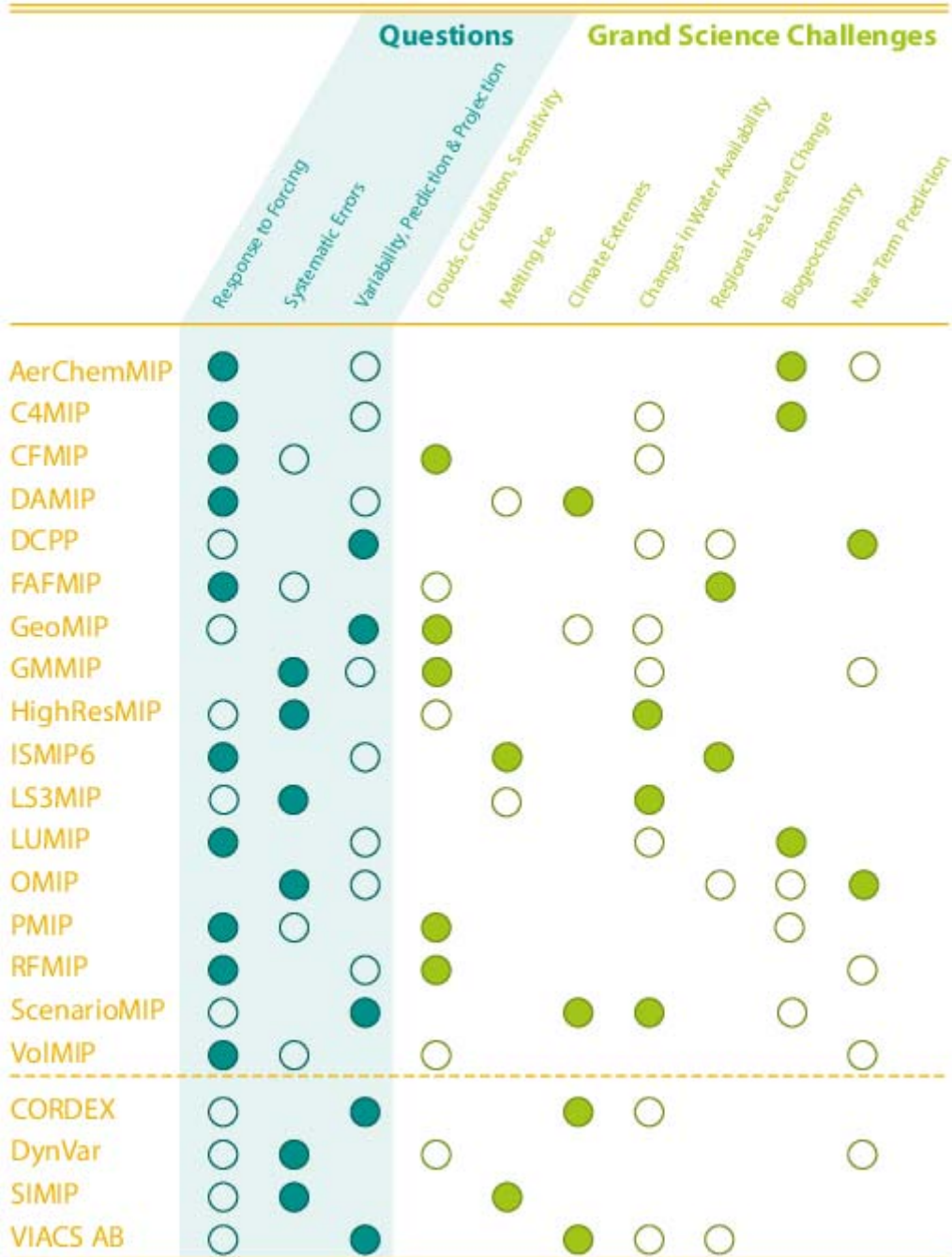
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1030 **Figure 1.** CMIP evolution. CMIP will evolve but the DECK will provide continuity across phases.



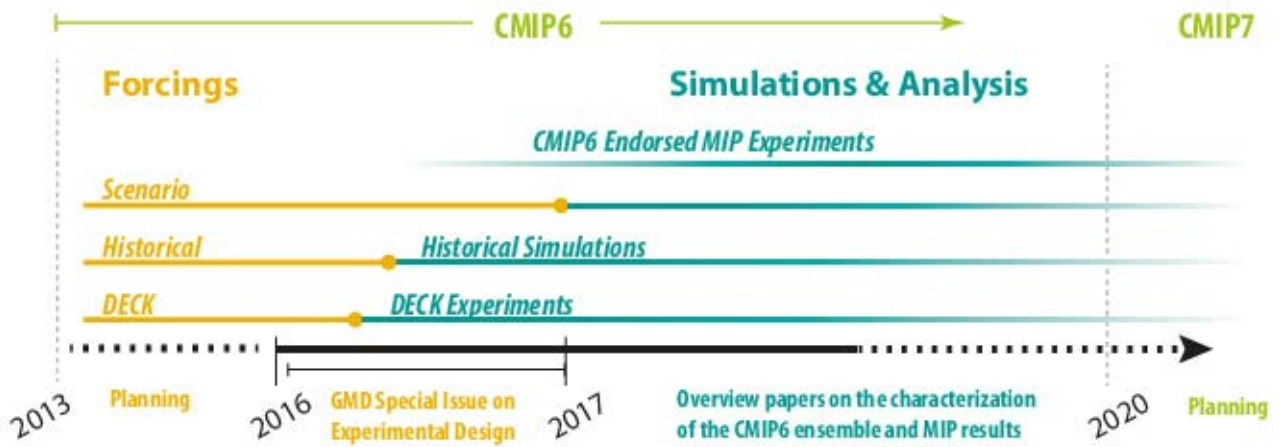
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1032 **Figure 2.** Schematic of the CMIP/CMIP6 experiment design. The inner ring and surrounding white
 1033 text involve standardized functions of all CMIP DECK experiments and the CMIP6 historical
 1034 simulation. The middle ring shows science topics related specifically to CMIP6 that are addressed by
 1035 the CMIP6-Endorsed MIPs, with MIP topics shown in the outer ring. This framework is
 1036 superimposed on the scientific backdrop for CMIP6 which are the seven WCRP Grand Science
 1037 Challenges.



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1039 **Figure 3.** Contributions of CMIP6-Endorsed MIPs to the three CMIP6 science questions and the
 1040 WCRP Grand Science Challenges. A filled circle indicates highest priority and an open circle,
 1041 second highest priority. Some of the MIPs additionally contribute with lower priority to other CMIP6
 1042 science questions or WCRP Grand Science Challenges.



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Figure 4. CMIP6 timeline for the preparation of forcings, the realization of experiments and their analysis.