

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 11 March 2016

**Reply to Julia Hargreaves (Editor)**

**I called quite a few reviewers for this paper, as I thought it would be good to have a wide range of opinions, and we obtained 3 official reviews in the end. I am very glad to see that so many have added their own comments. The MetOffice are to be particularly congratulated for their industry in this regard! Looking through the comments I do not see any that stand out as obviously foolish. Rather I see many points raised that I am curious to see the response to. So please respond**

C4224

**to them all, point-by-point in the same manner as the official reviews.**

**There are quite a lot of details but nothing of major concern so please just let us know if you need extra time to do the revision. I look forward to receiving your revised manuscript in due course.**

**On the topic of the code/data availability section, the issue with the positioning of the section has now been resolved with Copernicus. Please move the section back to the original position, directly following the Conclusions and before the Appendices.**

We thank the editor for finding three good reviewers and for the prompt guidance during the submission of our manuscript. The reviews and short comments are very constructive indeed. We have now revised our manuscript in light of all comments we have received. A pointwise reply is given in our responses to each review and short comment.

Thanks also for the clarification on the 'Data Availability' section. As said in our response to Astrid Kerkweg's comment, this section was correctly placed in our submission, but it has been mistakenly moved by the editorial office during the typesetting. It is now in its original position, directly following the Conclusions and before the Appendix.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

C4225

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 21 December 2015

Dear Astrid,

In response to your comment:

“Dear authors, In my role as executive editor I ask you to move the Data Availability Section to its regular place after the conclusion but in front of the Appendix when revising your article. Thanks, Astrid Kerkweg“

The Data Availability Section was placed as you request after the Conclusions and before the Appendix and Acknowledgements in the version we have submitted, and

C3427

therefore followed the guidelines that are given on the GMD website and that we have received from our editor:

“Inclusion of Code and/or data availability sections is mandatory for all papers and should be located at the end of the article, after the conclusions, and before any appendices or acknowledgments.“

However, this section has then been moved by the editorial office during the typesetting. I had asked to move it back to where it was when I have received the proofs, but have received the following response from the editorial office:

“Please note that according to our house standard, the section “Data availability” remains unnumbered and is placed after the Appendix and before Supplement, Author contribution, and Acknowledgements.“

This differs from the guidelines on the GMD website. Please clarify this within the editorial office and provide guidance where to place the Data Availability Section in the final version.

Thanks and best wishes, Veronika Eyring

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

V. Eyring et al.

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Gavin Schmidt (Referee #1)**

We thank the reviewer for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**This paper describes the organization and choices being made in preparation for Phase 6 of the Coupled Model Intercomparison Project. CMIP has been**

C4169

**an enormously successful set of projects, and yet has always failed to quite match the full expectations of the community. Thus at each stage, improvements and enhancements have been proposed, some of which have been implemented and some which have not. This iteration is no different, and the increasing scope of the proposals have necessitated a radical overhaul in the organization which is basically reported here.**

**The ambition of the project is commendable, but it is to be expected that implementation will inevitably fall short. Some of these issues are very predictable and I mention below a few of the ones I can foresee. The biggest problem is of course the reliance on ESGF for data delivery, of which more below.**

**DECK runs: These are a suitable 'entry card' into the process, and the requirements for new DECK entries for variations in physics, resolution, interactivity etc. is necessary (one run that is missing is perhaps a slab ocean equilibrium 2xCO<sub>2</sub> run for coherence with previous estimates of the ECS). However, there are some implications of the DECK/Historical approach that need to be addressed. Specifically, because this is a relatively low barrier to entry, more models and model versions will very likely be archived. Thus instead of 60 individual model configurations as were available in CMIP3, there will likely be far more DECK entries over the lifetime of the CMIP6 program. I think this will be a good thing scientifically, but people should be ready for this.**

**AMIP: With the large changes in the Arctic over the AMIP period, particularly in ice thickness, modellers may need to start offering sea ice thickness as well as concentration as an input field. Has this been discussed/considered?**

We agree that some models now might make use of sea ice thickness. To our knowledge, however, there is not a single standard sea ice thickness monthly mean data set

C4170

agreed upon by the international community as a standard. There is a “synthetic” sea ice thickness data set that has been used in the past, but it is not based on any direct observations (see <http://www-pcmdi.llnl.gov/projects/amip/RESOURCES/synice.php>). Until a “reference” dataset has been developed, we do not expect to supply sea ice thickness for AMIP simulations.

**piControl: (p10563) specification of land use components (crops/pasture/irrigation) also have to be set to 1850 conditions. Background volcanic is best set to the mean the 1850-1900 period rather than the open-ended full period - since there is in fact a long term trend related to volcanic forcing (i.e. PAGES2K and associated papers).**

Land use: The sentence 'Unless indicated otherwise (e.g., the background volcanic forcing), experiment conditions should be representative of Earth ca. 1850.' is implying that this should be done for all forcings. However, we now explicitly specify the land use component in a separate bullet: land use is set to 1850 conditions but land use changes are not included in *piControl*.

Background volcanic: The average volcanic RF over the CMIP historical period is quite close to the average over the last millennium in the forcing dataset of Crowley (2000); they are  $-0.22 \text{ Wm}^{-2}$  and  $-0.18 \text{ Wm}^{-2}$  respectively (Gregory et al., 2013). Of course there is uncertainty about this but the similarity means that it is reasonable to use the historical period average in *piControl* on the assumption that it is typical. Given the uncertainty in the observations this assumption seems okay and it has the advantage of being well-defined, implying that the model will by design not have a volcanic spin-up drift in ocean heat content during the historical period. This can also be tested by running historicalNat (assuming that solar forcing has a smaller long-term effect) or historicalVol (even better, but not part of anyone's Tier 1). We therefore stick to the historical mean period, but have added additional explanation to the text.

C4171

**Historical Simulation: The CMIP protocol should not be limiting forcings, or specifying what forcings groups have to use. Providing input to help groups without their own capacity to generate ozone datasets etc. is of course helpful, but since the historical runs are the most requested and the most likely to be compared to observations, groups must be free to choose to use their best estimates of all changes that they think important. For instance, orbital forcing is small, but to maintain coherence with past1000k runs, should be included. Irrigation, black carbon on snow, anthropogenic dust, direct heating etc. might all be possible forcings next time around for certain groups and this should not be precluded from the design. Similarly, facilities must be made to allow for variations in forcing datasets as a function of real uncertainty, for instance in aerosol composition and distribution through time. The authors should explicitly acknowledge this here, and in the upcoming specific paper related to that experiment.**

The forcing datasets for the DECK and the CMIP6 historical simulations will indeed be made available through the ESGF for common use in the simulations. One reason to do this is obviously that it is convenient for the participating modelling groups that datasets are provided by the corresponding experts since it is a lot of work to produce forcings datasets. We don't believe it is helpful to purposefully conflate uncertainty in the specification of forcing with uncertainty in the response to a given forcing, and rather encourage groups to sample the latter through supplementary simulations.

It is true that in the future other forcings might be required. But it could also be the other way round, some of the forcings that are provided as boundary condition to the runs might disappear since they are simulated interactively by the models. In any case, any deviations from the specified forcings as well as any additional forcings used

C4172

in the models should be documented, as we state in the manuscript.

The last point on uncertainty will be addressed by some of the MIPs (e.g. DAMIP and DCP). We have also asked the groups who produce the forcings to discuss uncertainty in the forcing datasets in their contributions to the GMD Special Issue.

The discussion on page 10547 has been changed and extended in response to this comment.

**In the section A1.2, the authors call for a single 'HistoricalMisc'/DAMIP run to be done as well as the historical simulation ('Nat forcing only'). I don't disagree that this is useful, but it elevates the Tier 1 of DAMIP above all other MIPs, and I'm not sure that is sensible. (Additionally, why is this in the description of piControl and not in section A2?). If any MIP should be so elevated, it should be RFMIP (see below).**

We discuss this here because it directly relates to the choice of volcanic forcing in the control run. We have reworded the paragraph and now the reference to DAMIP is just informational and the explanation of the value to understanding the *piControl* and historical runs is the focus.

In Section 3 we have now expanded the discussion of the problem in CMIP5 that forcing was not well quantified and we now specifically encourage modeling groups to do the most important of the RFMIP experiments.

**p10567 line 22. Is it not possible to move this to 2016?**

We have extensively discussed this with all groups involved, in particular those that produce the forcing datasets mostly in form of voluntary and unfunded work. It

C4173

is already a success that they can provide forcings extending until the end of 2014, made available early in 2016. Forcings for the CMIP6 historical simulations are as much as possible based on observations. In the CMIP6 timeline, DECK and the CMIP6 historical simulations can be run in 2016 (see Figure 4). It will take substantial time to update the forcings to end of 2015 which would cause a significant delay of CMIP6 from the start. It would also delay the harmonization with the future scenarios that takes several months, so this would just mean that the entire CMIP6 process is substantially delayed. Therefore no, this cannot be moved. However, several groups are working towards updating their forcings on a more regular basis, and modelling groups might get updates during the next coming years to extend the historical simulation. But the "start of the future in CMIP6" will remain to be 2015 and the period from 2015 through near-present will be referred to as "historical-extension" as said in the manuscript.

**p10568. Is there a recommendation for the interval to use between successive ICs? i.e 20 years? 30 years? The term 'longer' on p10567 is not well-defined.**

The statement as stands indicates that the larger the interval between ICs the more independent the resulting simulations will be. It is difficult to say much beyond this. There are many considerations, both practical and scientific, that might affect the interval. If, for example, a model has a strong 50 yr oscillation in the AMOC then using a 50 yr interval could lead to problems. It is therefore hard to give a general recommendation but we request that groups document what they did and why and have added this recommendation to the text.

**MIPs: I strongly support the panel's decision to move towards a federation of the MIP organization since it draws in a far wider community of interested parties than just the modelling groups or the CMIP panel. But I am concerned about RFMIP being run as a separate project. One of the key missing analyses**

C4174

in CMIP5 was a coherent test of the forced response across the ensemble. This was hampered because while the specified input files or concentrations over time of atmospheric constituents were available, exactly what the forcing related to those changes was not. The forcing in any specific model depends on the radiative transfer code, the background climatology of water vapour and clouds, and on many model-specific indirect effects and the specific forcing definition. To my knowledge, only GISS have made available full radiative forcing diagnostics for their CMIP5 runs (both iRF and ERF) (Miller et al, 2014; Marvel et al, 2015) and given the importance of this for judging responses, this should be greatly extended in CMIP6. Thus of all the MIPs, RFMIP should be very tightly coordinated with the historical simulations, and indeed, the RF for every Historical run should be archived as soon as possible afterwards.

We had extensive discussions with the modelling groups and MIP co-chairs on the design of CMIP6, and in particular on the aspect which simulations to include in the DECK. In the interest of keeping the DECK small and restricted to those simulations the modelling groups do anyway as part of their model development cycles, the four DECK simulations were selected, and it was decided to have all other experiments except the CMIP6 historical simulations in one of the CMIP6-Endorsed MIPs. We agree that the quantification of forcings and feedbacks in RFMIP (and also AerChemMIP) is essential, which is reflected in the CMIP6 design since it is one of the three main CMIP6 science questions. In our revised version, we encourage the participation in RFMIP-lite to fill gaps identified in CMIP5.

**Abrupt4xCO2: p10564 line 23. "effective" ECS, since it is demonstrated at least in some cases that the Gregory method is biased low relative the true ECS (i.e. Schmidt et al, 2014).**

'effective' has been added.

C4175

**1%CO2: previous CMIPs called for stabilized versions (ie 1%CO2 until 2xCO2 or 4xCO2 and then constant thereafter). Has there been a specific decision to not do this? If so it should be stated.**

Most important for analysis of the 1pctCO2 experiment is that the 1% CO<sub>2</sub> increase is continued until at least CO<sub>2</sub> quadrupling after 140 years. We are not aware that the stabilization period after 4xCO2 has been analysed and have decided to simplify the experiment to avoid mistakes in the setup of the simulation. The text has been extended to clarify this change.

**Data requirements: Of the 3PB in CMIP5, has the panel assessed the downloading and utilization of specific diagnostics? My sense is that while some diagnostics were very heavily used - surface fields, the historical simulations etc., there were many diagnostics that were requested that never got used, not even by the people who requested them in the first place. This might be because the package as a whole was not coherent (for instance the full energy budget) or ultimately, the diagnostic was too obscure or too difficult to compare across models. While it's hard to say that these lesser-used diagnostics will never be useful, the modeling groups would benefit from this ranking as they work to prepare the diagnostic packages for CMIP6.**

The way the CMIP6 Data Request is created differs from how this was done in CMIP5. All CMIP6-Endorsed MIPs were asked to specify the variables they need for their own simulations, from other MIP simulations, and from the DECK and CMIP6 historical simulations. The MIPs also commit to analyse the data they request which will avoid that large amount of data that is requested will never be looked at.

**ESGF: Much of the success of CMIP6 will be tied to the usability and ac-**

C4176

cessibility of the ESGF. This paper takes it for granted that this will be available. Given the intermittent access over the last 6 months, the clunky interface, the notoriously difficult scripting options for systematic downloading, and general unhappiness in the wider community, does the panel want to address a backup option? i.e. a federated set of no-frills ftp sites - one per modeling group perhaps? Ideally, we should be discussing setting up intelligent data analysis sites that sit on top of the datasets to reduce the need for downloads, but I appreciate this goes beyond the scope of this paper.

We agree that the shut-down of CMIP5 ESGF for an extended period was unacceptable. As you note, this has little to do with the experiment design for CMIP6, which is the focus of this paper. The ESGF will be described and discussed further in the WIP contribution to this Special Issue.

**Additionally, a vital improvement to CMIP and an accelerator for scientific discovery would be providing an archive for derived datasets, and perhaps even code for producing that derived data. Examples would be indices such as global mean temperatures, NAO indices, NINO3.4, Max Atl. Overturning, forward modeled brightness temperatures (for MSU + SSU satellite observations), ocean heat content anomalies, etc. I have long pushed for this to be part of ESGF, but this has not happened for a variety of reasons. The CMIP panel however and the authors here should be at the forefront of making this work, and this paper would be a good place to describe their initiatives and aims in this direction.**

Indeed many users would benefit from enhanced ESGF capabilities to perform server side calculations for derived variables such as global mean temperatures and precipitation, zonal or annual means. This was mentioned also in the CMIP5 survey, has been encouraged during WGCM meetings, and is something that the ESGF teams will try to establish. Details on what actually is planned to achieve for CMIP6 will be

C4177

given in the WIP contribution to this Special Issue. In addition, evaluation tools that will be installed at some ESGF nodes can also be used to compute derived variables or indices alongside the ESGF, and their output could be provided back to the distributed ESGF archive. We have added one sentence at the end of Section 3.3. that addresses this.

**DOIs: To document the impacts of CMIP6, we should be ensuring that a) every simulation has a doi for the package of diagnostics at the time of deposit, and b) every paper should have a data table listing the doi's used. This will allow forward referencing for every group and simulation, allowing for much improved accountability and feedback. This did not work at all in CMIP5 (because the unscalable bottleneck of individual filelevel 'quality control' was (IMHO) a disaster) and we should be ensuring that this does not happen again. This has to be built in to the design explicitly. The only mention of DOI's in the section on p10568 for the forcing datasets and not the simulations which I find very odd. This has to be made explicit right from the get-go and it has to explicit that this will be a 'on-release' system (as opposed to a 'post QC' system in CMIP5). (Note, if the authors for whatever reason get hung up on the nature of a 'doi' for the simulation package, please replace this acronym with an identifier of their choice that is digital and refers to an object).**

Good point, thanks. Again this will be further detailed in the WIP contribution, but we have added a paragraph making a few points about this to the 'Data Availability' section.

**Minor edits:**

**p10541 line 20: will depend on THEIR scientific interests**

C4178

Changed as suggested.

**p10541 line 25: INTERNAL climate variability**

Changed as suggested, here and in abstract.

**p10542 line 9: central element -> central INPUT**

Changed as suggested.

**p10544 "In addition, a monolithic structure to the CMIP design tended to discourage the modelling centres from attempting to design new experiments meant to address specific scientific questions of interest to them." - this might be better phrased as a reflection of some peoples opinions, rather than an absolute truth. From our point of view, we did not feel inhibited from expanding the scope of CMIP5 experiments (via HistoricalMisc, different 'physics-versions', forcings etc.) and exploring our scientific interests. "This in turn contributed to the impression that CMIP was a service that the modelling centres provided to the broader community." - there are many reasons why the interaction is not two-way and there are a number of issues that could be proposed to deal with that. In my opinion, it has very little to do with the monolithic structure, and far more to do with the inability to track where output is used, a lack of archiving possibilities for derived data and code, and a traditional publication schedule that is so long that it makes many analyses obsolete before they are even available.**

This section has been considerably revised in light of the reviewers comments.

**p10544 "Third, the punctuated structure of CMIP has begun to distort the**

C4179

**model development process. Whereas in the past modelling centres developed models based on their own scientific goals and released model versions on their own schedule, the visibility and demands of CMIP were beginning to impose a synchronization of model development with different phases of CMIP." - this is strangely phrased. It is clear that there is a synchronisation (i.e. it hasn't just begun). Indeed, it has been this way since CMIP3. I don't see why this is considered a problem though. Indeed, without external deadlines, I fear models would almost never be released. Frankly this just seems like some people in the community are whining and it detracts from the paper.**

See previous comment.

**p10548 line 23. "the signal FROM THE forced responses (Li et al., 2015)." – Note here that 'forced responses' in AMIP includes forcing by SST/SIC in addition to the external forcing. The authors should be clear the term is being used differently here than elsewhere in the paper.**

We have removed the explicit mentioning of forcings in this sentence and replaced it with 'to improve the signal to noise ratio'.

**p10548 line 27. A word perhaps about what 'pre-industrial' means. It is not the same in this context as zero anthropogenic influence. GHGs, LU etc. are all already modified in 1850. There is ongoing discussion about defining it to be in the late 18th Century as well - but presumably CMIP is not going to move the start date for the historical runs back to 1750 to account for this.**

This has been clarified in the revised version.

**p10549 line 1: "External human influences on the land surface are likewise**

C4180



**excluded. " This cannot be true. You would have a shock to the runs if you had zero LU difference in the piControl and then suddenly jumped to 1850 conditions in the historical transient. Presumably, the authors simply mean that further transient changes to LU are not made in the piControl runs.**

Thanks for spotting this. LU is set to 1850 conditions as specified now in the appendix. Land use changes are however excluded in *piControl*. The sentence has been deleted to avoid misinterpretation.

**p10550 line 5. We should already be aiming to have 2015 forcing included, and for this to be updated on an annual basis.**

See response to your comment on p10567 line 22 above.

**p10556 line 12. use 'evaluation of the predictions' instead of 'verification of the models'.**

Changed as suggested.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

V. Eyring et al.

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Anonymous Referee #2**

We thank the reviewer for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**This paper describes the changes made for the next stage of the CMIP, CMIP6 and the rationale behind the changes. In CMIP6 there is clear “minimum**

C4182

**entry” of basic runs used to calibrate modes (the “DECK” and a simulation with historical forcings) to participate in the rest of CMIPs. The many MIPs have been rationalised by choosing those which map most clearly onto the WCRP “Grand Challenges “(Giving a simpler structure which should also enable a much more efficient use of resources).**

**Main Comments The paper is generally well written, informative and clear. Given that one of the stated main aims if CMIP6 is to understand “How does the earth system respond to radiative forcing”, I would expect the intercomparison of radiative forcing to be part of the minimum requirement, rather than a separate MIP ( RFMIP). In CMIP5 there is wide range of estimates of aerosol forcing in particular over the historical period (even when nominally identical aerosol forcing agents are included) which makes evaluating the relative importance of aerosols problematic. How can one understand the response of models to changes in radiative forcing when a substantial part of the forcing has not been calculated adequately?**

See response to a similar comment from Gavin Schmidt. We are now encouraging the modelling groups to participate in RFMIP-lite, but have not changed the DECK which has been agreed with the community in extensive discussions.

**Line16 The punctuation (distortion?) of model development cycles may have more to do with the cycle of IPCC Assessments rather than CMIP- I suspect some modelling centres feel under pressure to produce updated models for each Assessment. Aligning CMIP with IPCC assessments, whether deliberately or accidentally may re-enforce this pressure.**

This section has been considerably revised and somewhat streamlined in response to the reviewers comments. We hope that doing so helps address the reviewers point;

C4183

suffice to say we wanted to avoid getting into a discussion as to what extent CMIP timelines are implicitly set by expectations related to IPCC reports.

#### **Minor comments**

**Can a model which has appeared in CMIP5 and submits the correct DECK and Historical runs is eligible for CMIP6.**

YES.

**(It is mandatory like the DECK experiments Why is the Historical control not part of the DECK?)**

This has been clarified in the text in the second paragraph in Section 3.

**Why does the data section on data come after the summary? It should either be part of the main text before the summary. Or in an Appendix**

There are specific rules where to place the 'Data availability' section in a GMD paper. We have followed the GMD requirements but the editorial office moved it during the proof stage (see our response to the Executive Editor's comment by Astrid Kerkweg). This should now be solved (see our response to the Editor's comment by Julia Hargreaves).

**Line 129 It would be useful to have a bit more general information on the MIPS. Is there the opportunity to introduce further MIPS should the need arise during the lifetime of CMIP6? Are there any criteria for winding up MIPS? Will MIPS time expire or at least be reviewed at the end of CMIP6?**

C4184

This has been added.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

C4185

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

V. Eyring et al.

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Anonymous Referee #3**

We thank the reviewer for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**The manuscript is generally clear and well-written but it is difficult to do a proper review without reviewing the CMIP6 set-up itself. I appreciate that a**

C4186

lot of thought went into designing CMIP6 through an open process, with the protocol being now largely frozen. The manuscript reflects choices that were made over the last couple of years, and as such I do not expect the authors to make significant changes to CMIP6 at this point. This said, some issues are significant and the authors may still be in a position to improve things and this manuscript is the place to clarify a few things.

I wonder if there is a risk that a long-standing DECK requirement for a standard pi-Control simulation (followed by a historical simulation branched at some point on it) perpetuates the myth that the pre-industrial 1850 climate was at equilibrium. Given the existence of low-frequency climate variability and low-frequency forcings, I don't think there is any such thing as an equilibrium pre-industrial climate. Model complexity increases and models will increasingly include slow components that respond on longer timescales such as permafrost, glaciers and ice sheet. Such model components will likely require long spinup, maybe in the context of realistic millennium simulation. Even though a piControl corresponds to current practices and is quite useful for the interpretation of many other simulations, the piControl + historical set-up may become a bit of a handicap over time and discourage novel (and possibly better ways) to spin up climate models, especially if the CMIP6 protocol is envisaged to last up to CMIP8 (figure 1). I appreciate there is a little bit of discussion on this in appendix A1.2, but I think it is insufficient, and I would be curious to know the authors' thoughts on this.

We added clarification on the *piControl* choice: “The pre-industrial control simulation (*piControl* or *esm-piControl*) is performed under conditions chosen to be representative of the period prior to the onset of large-scale industrialization with 1850 being the reference year.” We have also removed the sentence “The protocols for the DECK are expected to remain essentially unchanged for many years to come.” and

C4187

now include an additional paragraph that the DECK may slowly evolve over time when models are developed to include more complexity.

**As indicated in another review of this manuscript, the CMIP6 panel and CMIP6 users should be prepared to a large number of submissions for the DECK with possibly many variations around a given parent model (in terms of resolution, choice of Earth system components, etc). This is not a problem in itself but raises the question of how to construct proper multi-model ensembles when a model flavor may be more represented than another model. Varying numbers of ensemble members across models and a large degree of sharing of some model components by participating modelling groups raise a similar issue. This is probably not for this manuscript to prescribe anything but it is an issue that could be flagged. Meanwhile some thinking may go on to see if it makes sense to form standardized sub-ensembles from all the model run submissions. One may also think of a procedure to flag obsolete model versions and model runs. In a continued process, some groups may want to flag explicitly what is their current “workhorse” model.**

These are all good points. Flagging obsolete and the current “workhorse” model is something the WIP can discuss and we encourage you to review their contribution to this Special Issue. The question on proper multi-model ensembles and a possible weighting of a model ensemble based on model interdependence and / or model performance for a specific application is an open question of research that will be addressed scientifically by ScenarioMIP. We have highlighted this briefly in the first paragraph of the summary alongside with examples for other important science questions that were already mentioned.

**The forcings used for the Historical simulation (that will be described elsewhere in the Special Issue) are expected to show an increasing level of details**

C4188

**and will be largely driven by observations. Some of them will include interannual variations (at least this is expected to be the case for tropospheric and stratospheric aerosols, maybe stratospheric ozone as well) which is in part due to the climate variability of the (real-world) historical period. Yet they will be prescribed in climate models that exhibit their own climate variability, which will not be in phase with the climate variability of the (realworld) historical period. This raises an issue on how to interpret the climate models and whether forcing terms should be smoothed out or not. I do not have the answer as what best should be done, but this article is the place where to mention this issue.**

The forcings are provided by experts in a given resolution, but we leave it up to the modelling groups to do the level of smoothing. One aspect of having the CMIPX historical simulation is that CMIP in effect defines the state of our understanding of historical forcings, this is a contribution of CMIP to our understanding of the climate system that goes beyond the simulations. As to how to interpret and apply the CMIP-PhaseX forcings, this is something that modelling centres must do based on their own constraints, but an explicit statement has been added asking the centres to document how they apply a forcing.

**Knowledge of radiative forcings (instantaneous and effective) is of paramount importance, but other reviewers have already given a rant on this, and I do not have much else to say. Personally I find it much more informative to know the climate sensitivity of a model in  $K/(Wm^{-2})$  along with the  $CO_2$  forcing than the climate sensitivity of a model in  $K$  for a doubling (or quadrupling  $CO_2$ ). The DECK will only provide the latter. Surprisingly we seem to make collectively the same mistake CMIP after CMIP.**

We agree and we encourage groups now to quantify the forcings, through participation in RFMIP-lite which was constructed specifically for this purpose. RFMIP was not

C4189

included in the DECK because the DECK serves other purposes, and because the success of the RFMIP-lite approach in quantifying the ERF has yet to be demonstrated.

**I wonder how CMIP6 is going to police the submission to the DECK prior or simultaneously to a submission to a MIP (page 10559, lines 5-9). Will a modelling group need an authorization from the CMIP panel before submitting data to the ESGF for a MIP? Will the CMIP panel delegate this to the MIP chairs? Or should the system rely on self-policing? This article is the right place to elaborate the procedure but also what constitutes a new model or not (does a bug fix make a new model?).**

This is a set of good questions, and we have added the following to the text to address these questions: "CMIP6 is a cooperative effort across the international climate modelling and climate science communities. The modelling groups have all been involved in the design and implementation of CMIP6, and thus have agreed to a set of best practices proposed for CMIP6. Those best practices include having the modelling groups submit to the ESGF the DECK experiments and the CMIP6 Historical Simulation as well as any MIP experiments they choose to run. Additionally, the modelling groups decide what constitutes a new model version. Past experience has indicated that the modelling groups are well aware that their model simulations are under considerable scrutiny. Therefore, we expect that CMIP6 will be similar to past CMIP phases in that the modelling groups will make a best faith effort to provide their highest quality model version that is distinguished from previous versions by substantive improvements in resolution, physics, or simulation skill. The CMIP Panel will work with the MIP co-chairs and the modelling groups to ensure that these best practices are followed."

**It is good news that the CMIP6 data protocol follows closely that of CMIP5 so data users can harvest the benefits of their past investment. A few short-**

C4190

**comings would nevertheless need to be addressed. In particular the time structure of model output files is a nightmare in the CMIP5 archive and should be harmonized. Different models have different start dates and different ways to split their time series. Although some tools exist to make this somewhat transparent to users, CMIP6 would benefit a lot from prescribing this from the outset. I hope this is covered in the WIP manuscript.**

Indeed issues like this will be addressed in the WIP contribution which we encourage you to review.

**More fundamentally I suspect the CMIP5 archive not to be very friendly to so-called big data analysis. The meteorological and climate communities seem to be ignoring the issue, sometimes with arrogance (it is often heard "we've already been doing big data for years" when in fact big data is not about generating loads of data but more about new methods to extract information). I am not sure what to suggest but some thought could be given in CMIP6 on how to structure a fraction of the data or some diagnostics in a way that could facilitate the use of methods to extract information that climate scientists are generally now familiar with.**

Again this is something the WIP could comment on in their contribution.

#### **Other less significant comments**

**Page 10544, lines17-21: I appreciate that the new CMIP6 format with a DECK was intended to solve that issue, it has not been the case so far!**

Yes we agree that this remains a challenge, and we thank the reviewer for supporting our effort to address this issue; let's hope it is successful.

C4191

**Page 10548, line 15-16: atmosphere, land or their interactions.**

Changed as suggested.

**Page 10548, line 21 and elsewhere: historical simulation rather than Historical Simulation for consistency with e.g. piControl.**

Changed as suggested

**Page 10549, line 16: GHG, spell out.**

Changed as suggested.

**Page 10549, line 21: gradual should read gradually.**

Changed as suggested.

**Page 10550, line 4: is “challenges” the right word here? It could be interpreted as CMIP challenged models to reproduce the historical period, rather than to perform a historical simulation. Or do I misunderstand what the authors meant?**

Sentence has been changed.

**Page 10550, line 22: not so much the carbon cycle but the response of the carbon cycle to anthropogenic emissions of CO<sub>2</sub>.**

Changed as suggested.

C4192

**Page 10552, line 14: why GC and not GSC? acronym is not used consistently throughout the manuscript. Do you really need it?**

Now consistently used. The abbreviation ‘GC’ is kept since it is commonly used within WCRP.

**Page 10557, line 22: the authors should elaborate on this number (is it compressed or not compressed data). Sounds small to me with the explosion of the MIP.**

It is simply our best guess at this time and more details will be given in the WIP contribution.

**Page 10557: carbon dioxide, no hyphen.**

Changed to CO<sub>2</sub>.

**Page 10558, line 1: encoded? rooted maybe.**

Changed to ‘stored’

**Page 10562, line 20-21: but conversely one eliminates from the model the possible (but probably small) long-term trend in sea-level rise that existed in 1850.**

Clarified by changing the bullet to: ‘Minimize artefacts in sea level change due to thermal expansion caused by unrealistic mismatches in conditions in the centennial-scale averaged forcings for the pre- and post-1850 periods. Any preindustrial

C4193

multi-centennial trend in global-mean sea level is most likely to be due to slow changes in ice-sheets, which aren't simulated in the CMIP6 model generation.'

**Page 10563, lines 21-36: this is important information and should come in the main text.**

We prefer to keep together in place the discussion on all the forcing details, including the volcanic forcing. We think it is appropriate to leave it in the Appendix.

**Page 10566, line 26: "transients" should be "transient effects"**

Changed as suggested.

**Please expand Table A1 with more info (length of experiment, recommended ensemble size, etc).**

Additional information is already given in Table 2.

**Figure 4: here "Experiment" is preferred over "Simulation". Consider harmonize the two terms.**

Changed to experiment here.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.



## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Chris Jones**

Thanks Chris for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**[note - as a co-chair of C4MIP this has a carbon-cycle centric view of the world, but these are my own comments and not an "official" C4MIP contribution.]**

C4195

**Overall a good overview and description of CMIP/CMIP6 and DECK runs. The experiment descriptions and rationale were clear. Just a few minor comments which hopefully will be helpful.**

**My main comment is that I had feared some confusion around the need for emissionsdriven Pictl and historical runs if you are running emissions-driven runs in C4MIP. I think you handle this well, but you could add a couple more specific details, and also site the C4MIP documentation paper (which will be Jones et al 2016 GMD – currently in prep). A couple of things are worth being explicit:**

We have added a few more details, see also below. We are not referring to any specific CMIP6-Endorsed MIP paper since they are currently still in preparation or just submitted. However, we make clear that the MIPs are detailed in these papers and have done so for C4MIP here.

**- for both control and hist, the C-driven and E-driven variants should be identical in all forcings except the treatment of CO<sub>2</sub>. This sounds obvious but worth saying it clearly.**

This has been added for clarification.

**- The name “emissions driven control run” may be confusing as in fact there are no emissions. I can’t think of a better name, so you should explain that what this means is:**

- a) atmospheric CO<sub>2</sub> concentration evolves prognostically in response to natural land and ocean carbon fluxes**
- b) external input of CO<sub>2</sub> from either fossil fuel or land use is prescribed to be**

C4196

zero

c) CO<sub>2</sub> is therefore free to evolve and should be stable in the long term but will have some internal variability. In the C4MIP paper we define a desirable level of drift in the control run as within 5 ppm per century in the atmospheric CO<sub>2</sub>. We recommend for spin-up that the concentration-driven control run is spun-up first and then can be used as a start point for any final spin-up in emissions-driven configuration. It might be useful to include this level of technical detail in the Appendix on the control run.

We have added this clarification at the end of Section A1.2 and refer to the C4MIP contribution for further details.

**A few minor comments:**

- p. 10549, line 1. As per Gavin's review, land-use is not excluded from Pictl, but it is held fixed at 1850 so there is no land use CHANGE. 1850-level crop, pasture and management activities etc should be held fixed so there is no long term change in any land surface properties

Sentence deleted and land use further specified in the appendix.

- p.10549, line 16, and later in the appendix p.10565 line 6. What month is recommended for the quadrupling? January? You mention an ensemble of runs starting at different dates – how much were these looked at last time? I know there is some dependence possibly on the date of quadrupling so presumably all models should choose the same date. If you request an ensemble, can you specify what you want? 12 runs from a different month, 4 runs quarterly?

Sentence changed to 'In the first, the CO<sub>2</sub> concentration is immediately and  
C4197

abruptly quadrupled from January 1850 values.' to be more precise.

We have added a sentence at the end of section A1.3 recommending that the start-months be spaced evenly throughout the year for ensembles.

- p. 10556, line 15. Better to say "isolating" than "looking". Land-use was included as a forcing in CMIP5 and there are papers which look at it. Rather, LUMIP is the first time a set of experiments have been designed around this as a focal point.

Changed as suggested.

- p. 10559. lines 19-21. A1.1 AMIP. You say that having land carbon diagnostics on for the AMIP run will be valuable for evaluation as the surface climate will be closer to observed. I think we need some caution here as the carbon cycle will be dependent on how it is initialised at 1979. The long memory of land carbon stores mean it is out of equilibrium by then, so can't just be spun up by repeating the 1970s over a few times. You certainly don't want to repeat a single year multiple times as suggest on line 27. May be OK for atmospheric variables but not land carbon. The carbon fluxes will be dependent on the carbon stores and the only robust way to initialise these (obs don't exist at the scale we need) would be to take from a historical run. In general, if we want a more realistic surface climate to drive the land-carbon fluxes a much better option is offline land runs (as per the TRENDY activity, and LS3MIP) – here land models can be run with observed meteorology but run for the full 20th century so don't have the initialisation problem in 1979. So overall I'm not convinced evaluation of land carbon from AMIP will be that useful.

We have removed the sentence 'This will enable evaluation of the carbon cycle

component of the model when climate conditions are more similar to the observed than in coupled atmosphere-ocean simulations.' in response to this comment.

**- p.10566, line 5. instead of “fluxes” can you say “fluxes and stores”. The carbon pools are actually more important (IMHO) than the fluxes, but always get sidelined...**

Changed as suggested.

**- p. 10567, line 20. Can you mention harmonisation of the forcing data between historical and future scenarios? Where will this be fully documented? In the forcings paper? In ScenarioMIP? It's one of those essential cross-cutting things which everyone might leave to someone else...**

The harmonization is considered in the timeline to produce the forcings for CMIP6. The harmonization is now added as suggested on page 10547 where forcings are first mentioned.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Gareth Jones**

Thanks Gareth for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**The paper is a clear and concise description of the CMIP6 design. It is extremely useful to modelling centres to have such a document to describe the**

C4200

**experimental set up of the DECK and historical simulations expected for CMIP6.**

**Below are some comments that I hope the authors will consider and find helpful.**

**Section 3 Why the historical (1850 to present) simulation is not part of the DECK is not explained. It has been a curious discrepancy that I have not seen an adequate explanation for. Given the AMIP experiment is supposed to have the same radiative forcing factors as the historical experiment it would seem obvious to include the historical simulation within DECK too. Apart from simplifying the design, it would make it less cumbersome in talks/reports/papers/documentation for modellers and users to just call it ‘DECK’.**

The text has been expanded (2nd paragraph in Section 3) to better explain this, see also our response to a similar comment by Referee #2.

**Page 10546, Lines 21-25 For the cases of models in CO2 emission mode, won't there also be a requirement for there to be a piControl that is in CO2 concentration mode, to enable analysis of the amip, 1ptco2 and abrupt4xco2 experiments?**

This is already implicit in the CMIP design which asks for the DECK being performed for all model configurations (see page 10546).

**Page 10547 Line 27 to Page 10548 Line 1 The consistency of experiments over time will not strictly hold for amip. The forcing factors, and to a lesser extent the SSTs, will change from CMIPx to CMIPy. It would be helpful to clarify this.**

C4201

This is true and is strictly speaking also true for the *piControl*. But our leading argument what to include in the DECK is that these simulations are routinely done and possibly repeated many times over a long period of model development by the groups with whatever forcings are available. We still want the AMIP simulation that is then submitted for a specific phase to use the exact forcings of that phase to be compliant with the historical simulation, see also our response to the above comment.

**Page 10549 Line 13 It would be really helpful to have a minimum length for the *piControl*. Elsewhere it is recommended to have the same length as whatever experiments are spun off, but that could mean for a model just providing the DECK (and historical experiment) the *piControl* may be only a 160 years long.**

We agree and have deleted the sentence 'The length of this 'spin-up' period is model and resource dependent.' since a minimum length is specified in the Appendix.

**Page 10550, Lines 9-13 It would be a worrying precedent to promote the use of the historical simulations as a tool to constrain the uncertainties in the forcing factors that are then put in the models. As historical simulations are used in model validation (Page 10566 Lines 12-14) such a policy will introduce circular reasoning [Rodhe, 2000]. Such circular reasoning will reduce the usefulness of the models in understanding past climate changes and also reduce confidence in projections.**

The sentence on page 10566 has been reworded.

**Additionally the authors are being over enthusiastic when they say that historical simulations have "proven essential in reducing uncertainty in radiative forcing associated with short lived species such as the atmospheric aerosol" Apart from the inherent circular reasoning, many aerosol climate**

C4202

**scientists may strongly dispute how 'essential' this is.**

Sentence removed.

**Page 10563 Lines 12-14 It will be obvious to many, but not all, that the implementation of a background volcanic aerosol in *piControl* has implications for historical and historical natural experiments. During periods of no volcanic activity the radiative forcing from volcanoes, with respect to the control, will be positive. This has been surprising to some in the past, so it might be useful to highlight this.**

We have revised our discussion of volcanic forcing following the bulleted summary of forcing specifications. A paragraph is included explaining the rationale for imposing a background aerosol in the *piControl*.

**Page 10563 Lines 21-26 "Modelling groups are urged to perform this experiment ... as doing so will most effectively separate the role of natural vs. anthropogenic drivers of climate change and variability since 1850." If it is so important it obviously makes sense to make the natural experiment part of the DECKplus (DECK + historical) suite. Asking modellers to do one experiment for a MIP (in this case DAMIP) but not the other experiments within a MIP is confusing.**

We do not think that encouraging modelling groups to run a specific CMIP6-Endorsed MIP experiment in this paper justifies inclusion of this experiment in the DECK. We simply encourage modelling groups to perform this experiment because then they will be able to determine whether in their model the specification of control-run volcanic forcing leads to an artefact in sea level changes during the historical period. We have modified the text somewhat to explain this better.

C4203

**Additionally has there been any consideration to the usefulness of doing just one natural simulation? The signal to noise is usually very low for many climate diagnostics from a simulation driven with natural forcings. One ensemble member will not be helpful for the interpretation of the results of a single model. However, it may be useful as part of a multi-model analysis.**

These questions will be addressed by DAMIP.

**Page 10564 Lines 6-7 This is an important point. More guidance about what to do would be helpful here. For instance HadGEM2-ES gradually ramped up the aerosol in the stratosphere for the RCPs [Fig 14 in Jones 2011], but the way this was done may not be the best way. If all the models reintroduce the volcanic aerosols in the scenarios in a similar way, it could introduce a signal to a multi-model mean which may be misinterpreted. Given relatively small volcanic eruptions possible role in reducing short temperature trends, how future background aerosols are re-introduced should be discussed.**

Since we are not discussing the forcings beyond the DECK and the CMIP6 historical simulation here, we refer to the ScenarioMIP contribution and the volcanic aerosol forcing paper in this Special Issue, where the treatment of volcanic aerosol in the future scenarios will be specified. But we have clarified, also in response to the comment by Alistair, that a non-zero value will be used.

**Page 10566 Lines 12-14 The definition here is a little worrying. "The CMIP6 historical simulation is meant to reproduce observed climate and climate change". That implies the simulations have to be tuned to match the observations. Rather the historical simulations are designed to be compared with observations for various hypothesis testing**

C4204

Agreed and sentence changed.

**Page 10567 Lines 10-18 It is useful that a "historical-extension" is recommended, but it would seem logical to include it as part of DECKplus. Where would it be allocated to if not? Documentation about what was forcing the extension runs - which was largely missing from CMIP5 - will be crucial, but an agreed scenario for the historical-extension would be even more helpful.**

The idea is that the extension would be based on observations, not a scenario. It is impractical with current resources to promise that a standard post 2014 observational dataset will be made available, so we'll have to live with documented non-standard datasets. This extension is considered included in the DECK, but the details on how it will be handled by the infrastructure are still under discussion, so we can only indicate at this time that the runs will be named *historical-ext* or *esm-hist-ext* (for the emissions-driven run).

**Page 10568 Lines 9-11 It seems curious that a requirement from DAMIP is singled out here (see also Table 2). If extra ensemble members are really so strongly required outside of DAMIP, it would seem logical to include them in the DECKplus.**

We do not think that encouraging modelling groups to run a specific CMIP6-Endorsed MIP experiment in this paper justifies inclusion of this experiment in the DECK. We simply encourage modelling groups to perform additional ensemble members and not pointing to DAMIP that has the additional ensemble members in their Tier 1 would unnecessarily remove existing information. A model group could still run ensemble members and submit them to the ESGF even if it doesn't participate in DAMIP.

**A final comment. A central reference point, with DOI, that lists all the models**

C4205

and subsequent links to their documentations would be a great addition to CMIP6. The increasing demands on authors and the increasing size of CMIP6 would be countered somewhat by not having the requirement to reference every model in every paper.

The WIP is considering different formats and different granularities to provide DOI's, which are still under discussion, so we are unable to say anything more specific at this time.

#### **References**

Jones, C.D. et al., The HadGEM2-ES implementation of CMIP5 centennial simulations, *Geosci. Model Dev.*, 4,543-570, 2011  
Rodhe, H.; Charlson, R.J. and Anderson, T.L., Avoiding circular logic in climate modeling, *Climatic Change*, 44, 419-422, 2000.

---

Interactive comment on *Geosci. Model Dev. Discuss.*, 8, 10539, 2015.

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Alistair Sellar**

Thanks Alistair for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**Most of these comments are concerned with making the design specification more explicit and removing ambiguity which could lead to avoidable**

C4207

**differences between models. Thanks to Martin Andrews for one of the comments.**

**A general point for all experiments is to state the start and end date, not just the year. Should all experiments begin on 1st January of a given year? All experiments should continue until at least December of the end year. A lack of clarity here caused problems for HadGEM2 data submissions in CMIP5.**

Thanks for pointing this out. We have added a sentence to the caption of Table 2 “All experiments are started on 1 January and end at 31 December of the specified years.” that clarifies this point.

**p10550 line 18 “...a prescribed CO<sub>2</sub> concentration and a prescribed emissions simulation (accounting explicitly for fossil fuel combustion), in which concentrations are then “predicted” by the model...” For absolute clarity (though it makes it more repetitive), it would be worth inserting “CO<sub>2</sub>” again before “emissions” and “concentrations” and stating that the treatment of other GHGs should be identical in both simulations.**

Changed as suggested.

**p10561 line 8: “The it piControl used in CMIP begins at this point and generally continues for at least a few hundred years.” There is a more precise statement about the it piControl length on the following page. Suggest dropping the second half of this sentence.**

Sentence deleted.

**p10563 line 2: “With that understanding, here are the recommendations for**

C4208



**the imposed conditions on the it piControl ” Suggest “spin-up and it piControl”.**

Changed as suggested.

**p10563 line 15: “Models without interactive ozone chemistry should specify ozone as in the mean of the first decade of the CMIP Historical Simulation” Suggest aligning the ozone meaning period with the solar meaning period for consistency, even if it doesn’t make a significant difference.**

The Chemistry-Climate Model Initiative (CCMI) indeed followed a similar approach as that suggested by the reviewer. The text is now more explicit: 'Models without interactive ozone chemistry should specify the pre-industrial ozone fields from the corresponding dataset, which is produced from a pre-industrial control simulation that uses 1850 emissions and a mean solar forcing averaged over solar cycles 8-10, representative of the mean mid-19th century solar forcing.'

**p10564 line 1: “The forcing specified in the it piControl also has implications for simulations of the future, when solar variability and volcanic activity will continue to exist, but at unknown levels. These issues need to be borne in mind when designing and evaluating future scenarios, as a failure to include volcanic forcing in the future will cause future warming and sea-level rise to be over-estimated relative to a it piControl experiment in which a non-zero volcanic forcing is specified. This could be addressed by re-introducing the mean volcanic forcing for the it piControl into the scenarios.” I would make this statement stronger and state at the very least that ScenarioMIP \*will\* use a time-constant non-zero volcanic forcing. I presume that this is known already.**

Changed as suggested.

---

C4209

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

C4210

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

V. Eyring et al.

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Helene Hewitt**

The comments below reflect the views of the CLIVAR Ocean Model Development Panel (OMDP). There is general agreement among the panel that spin-up is an issue which requires further attention. While analysis of spin-up does not usually appear in peer-reviewed publications, being able to analyse the spin-up enables a deeper understanding of the simulations and may lead to improved guidance on spin-up for the future. Archiving the model spin-up could

C4211

also be important for comparison with HighResMIP where control and transient experiments are likely to be run close to the model initial conditions.

The paper states (p10549, line 5) that the spin-up ‘is usually performed and discarded’. In the discussion of *piControl* (p10561, line 7), it is stated that ‘the length of the spin-up period should be documented’. OMDP suggest that:

-Text on p10549 is modified to read ‘is usually performed, with the length of this ‘spinup’ period being model and resource-dependent. It is recommended that the spin-up length and procedures are documented and the spin-up archived as far as possible.’

-Text on p10561 is amended to read ‘This spin-up period can be as long as several hundred years. Spin-up length and procedures (coupling/forced, bug corrections, retuning, etc) should be documented and the spin-up archived as far as possible’

Regarding archiving requirements, we suggest that the spin-up should be archived with decadal means of 3D ocean temperature, salinity, carbon-related quantities, 2D surface fluxes and zonally integrated ocean heat and freshwater transports. Archiving these fields would be sufficient to enable a better understanding of climate drift.

**Helene Hewitt on behalf of CLIVAR OMDP**

Thanks Helene and Ocean Model Development Panel for the helpful comments. We have changed the text accordingly and the WIP will define specific names that clearly mark this.

C4212

C4213

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

V. Eyring et al.

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Elena Shevliakova**

Thanks Elena for the helpful comments. We have now revised our manuscript in light of these and the other comments we have received. A pointwise reply is given below.

**These comments are concerned with the CMIP6 description of ESM experiments, particularly a requirement of “constant” land use in the pre-industrial**

C4214

control (*piControl*) experiment and its implications for the ESM historical experiment initialization. Echoing a comment by the reviewer #3 (regarding perpetuating a myth of an equilibrium preindustrial 1850 physical climate), we would like to raise a concern that the proposed *piControl* for the CO<sub>2</sub>-emission-driven ESMs and inferred fluxes in CO<sub>2</sub>-concentration-driven ESMs will promote an unjustified assumption, that the pre-industrial 1850 carbon system, particularly land, was in equilibrium.

It appears that the manuscript focuses mainly on the CO<sub>2</sub>-concentration driven experiments under the assumption that the additional CO<sub>2</sub>-emission-driven *piControl* and historical experiments will require a simple switch from CO<sub>2</sub> concentration to CO<sub>2</sub> emissions as is typically done with short-lived atmospheric species. The DECK experiments, including those with ESMs, are expected to remain unchanged in future CMIPs. However, the experimental design of the CMIP6 ESM spin-ups, controls, and historical runs have a number of specific challenges, often not considered in AOGCMs experiments, and which are not discussed in the manuscript. I hope that the authors could expand and comment on such challenges in the manuscript.

### **Specific comments**

1. The authors could clarify that the state of land carbon in the ESM *piControl* (i.e. equilibrated climate and carbon cycle) ignores the long term impacts of several centuries of secular change in vegetation and soil carbon storage and sustained land-use carbon emissions prior to 1850 due to land use practices such as clearing of primary lands for croplands and pasture, shifting cultivation, logging, fuel-wood extraction, and associated regrowth.

Previous CMIPs have initialized historical AOGCM simulations from a pre-

C4215

industrial control. In this manuscript the authors describe the period chosen as “. . .prior to the onset of the large-scale industrialization. . .” with “. . .no secular changes in forcing, so the concentrations/or sources of atmospheric constituents (e.g. GHGs and other forcing) are held fixed. . .” (p 10548, 126-29). Unlike emissions of fossil fuels and cement production, CO<sub>2</sub> emissions from agricultural activities, biomass burning, and wood harvesting were not fixed and were not small prior to 1850, particularly in the Northern hemisphere. It's well established in the literature that in the 1850s the land was a sustain source of carbon (0.6 PgC/yr) based on a number of modeling approaches (Houghton 2010). This imbalance is well outside of the proposed “equilibrium” tolerance of 0.1 PgC/yr in the comment by Chris Jones, and thus represents an inconsistency for initialization of historical runs.

Furthermore there was secular changes in both agricultural expansion and in the amount of wood harvested for fuel and logging and those trends are documented in CMIP5 land-use change reconstruction for 1500-2005 (Hurt et al, 2011). While one could argue that the implications of such changes (i.e. biophysical feedback, mostly from agricultural conversion) on the physical climate was small globally, these changes have major carbon cycle implications for vegetation, litter and soil carbon storage which were not in equilibrium before the onset of the industrial revolution in the 1850s. Therefore, it is only in a highly idealized context that one can interpret the state of land carbon from an ESM control run with constant land forcing as pre-industrial (before 1850).

The reference year for the piControl was discussed in detail at the WGCM meetings and the conclusion after long community consultation was not to move backward in time for computational reasons at this stage. Rather it was decided that single model simulations should be used to further quantify the omission of pre-1850 CO<sub>2</sub> emissions from land use and land use changes on the historical and present-day climate. Results

C4216

from such studies could be used to give further guidance on the interpretation of the CMIP DECK and CMIP6 historical simulations and further recommendations for future efforts could be given. CMIP6 includes many different research topics and many model groups with different scientific foci. Compromises like this have to be made. To consider this comment, we have extended the text and now further address the caveats of a 1850 *piControl* choice explicitly, also for ESMs.

**2. Because i) a large diversity in implementation of ESMs' land components, including land use and its interactions with carbon components, and ii) a lack of detailed analysis of how such differences may affect initialization and evolution of global carbon cycling in historical simulations, the authors should add discussion about whether the historical ESM experiment initialization should or should not follow the AOGCMs' practice of initializing historical runs from a proposed ESM equilibrated control and how much flexibility modeling centers may have in deviating from that AOGCM practice. Our experience is that a discontinuity in land carbon between a control and historical simulation is necessary to “bridge” an idealized control to accurate historical carbon cycle evolution (Sentman et al., 2009). How a modeling center could document such discontinuity and how to archive possible “bridge” experiments, could be added in the discussion section.**

A number of publications (Hoffman et al 2013, Brovkin et al 2013, Jones et al 2013) show that the CMIP5 ESMs are dramatically diverse in their implementations of vegetation dynamics, soil biogeochemistry and, particularly, land use and management components. Most ESMs in CMIP5 have ignored harvesting of wood and shifting cultivation, which have been shown to play a significant role in altering natural forest dynamics, forest age structure, and carbon uptake on time scales from decades to centuries (Houghton, 2010). Some CMIP5 ESMs included crops as plant functional types, others pastures as plant functional

C4217

types, and a few treated their carbon dynamics differently from natural grasslands (i.e., no harvesting or grazing). Some ESMs transferred harvested or cleared carbon from agricultural practices to the atmosphere directly, others deposited cleared or harvested carbon to anthropogenic pools, with the release time scales varying from a year to a decade or century. Still others returned harvested or cleared carbon directly to soils.

In CMIP5, differences in vegetation dynamics and land use models also led to diverse practices in ESMs' spin-ups. Examples include a fixed crop/pasture fraction from a dataset of choice, with or without wood harvesting, or potential vegetation without any land use. A similar variety of strategies was used in controls or idealized experiments. We do not expect that land models, including implementation of land use, will be less diverse in CMIP6 and future CMIPs. All such model differences have implications for a) how much carbon an ESM is going to converge to in an equilibrated state, including vegetation, litter and soil carbon pools, b) for how these pools are going to respond to warming in idealized experiments and to the atmospheric CO<sub>2</sub> increases, and, importantly, c) for historical simulations to be compared with observations.

These are all good points which should be considered in the analysis and interpretation of the ESM *piControl* and *historical*. We are however not commenting here on the diversity of the ESMs that we expect in CMIP6. This is something that will be described in the model documentation papers. We have noted the caveat that 1850 is not strictly speaking pre-industrial condition (see also our response above).

There are then indeed no secular changes in the *piControl* because the forcings are held constant (for AOGCMs and ESMs). To consider this comment, we have added a paragraph at the end of Section A1.2 that specifies what is done for the *piControl* for ESMs in CO<sub>2</sub>-emission driven mode: external input of CO<sub>2</sub> from either

C4218

fossil fuel or land use is prescribed to be zero in the *piControl*, to be able to remove the model drift that arises from the uptake of CO<sub>2</sub> by the ocean and land even in the absence of CO<sub>2</sub> emissions.

This then indeed creates a small discontinuity in land carbon between the control and the historical simulation when moving from 1850 to 1851 and throughout the historical simulation within the lifetime of this effect which we now state in Section A2. In Section A2 we now also comment on strategies to account for the fact that land-surface was not in equilibrium in 1850 ("bridging" experiments). Due to the wide diversity of modelling approaches for land carbon in the ESMs, the actual method applied by each group to account for these effects will differ so cannot be further specified here. But we request that it needs to be well documented.

**3. As Gavin Schmidt already pointed out in his review, a clarification would be helpful about how to interpret "constant" land for *piControl*, particularly in CO<sub>2</sub> emission-driven simulations, especially how to implement "constant" wood harvesting and shifting cultivation (secondary lands in Hurtt et al 2011). As more models attempt to capture forest age structure distribution, which is important for simulating the rate of carbon uptake, it's not clear how to initialize that age distribution from the PI control. In a concentration-driven AOGCMs the implications of "constant" land use for physical climate are different than the implications of the same treatment of land use in an ESM for carbon storage or for simulated atmospheric CO<sub>2</sub> concentration. As land components of ESMs are still rapidly changing and implications of a particular "constant" land-use treatment for the DECK and historical experiments are not clear, we suggest that modeling centers should have some flexibility in how to interpret "constant" land use in AOGCM and ESMs (including an option of not having any land use in control), as long as their documentation manuscripts report clearly details of spin-up and PI controls and any extra experiments they may do for initialization**

C4219

**of idealized or historical experiments.**

We now give a more detailed recommendation for the treatment of land-use in the *piControl*. The land use forcing dataset will be described in the corresponding contribution to this Special Issue. We also clearly state that any deviations from the recommendations given here need to be documented, so this point is covered already.

**4. A minor point, it would be helpful if the manuscript defined which models are AOGCMs and which are ESMs for the purpose of the CMIP6, as there are many definitions of ESMs in the literature. Section 3 opens by stating that the DECK comprises four base experiments plus *historical*. It would be helpful to clarify from the beginning that the above applies only to AOGCMs. For ESMs, the DECK comprises 5 experiments and 2 historical simulations.**

ESM now defined and where required, the discussion has been extended with explicit mentioning that applies to CO<sub>2</sub>-emission driven simulations.

The way we have defined this is that the DECK and the historical simulation need to be performed with all model configurations, so the number of simulations is implicit. C4MIP includes both CO<sub>2</sub>-concentration and -emission driven experiments, so that participating in C4MIP requires repeating the DECK and the CMIP6 historical simulations with both model configurations. We stick to this definition and have not changed the text.

**Brovkin, Victor, et al. "Effect of anthropogenic land-use and land-cover changes on climate and land carbon storage in CMIP5 projections for the twenty-first century." *Journal of Climate* 26.18 (2013): 6859-6881.**

**Jones, Chris, et al. "Twenty-first-century compatible CO<sub>2</sub> emissions and**

C4220

**airborne fraction simulated by CMIP5 earth system models under four representative concentration pathways." *Journal of Climate* 26.13 (2013): 4398-4413.**

**Sentman, Lori T., et al. "Time scales of terrestrial carbon response related to landuse application: Implications for initializing an Earth system model." *Earth Interactions* 15.30 (2011): 1-16.**

**Hurtt, G. C., et al. "Harmonization of land-use scenarios for the period 1500–2100: 600 years of global gridded annual land-use transitions, wood harvest, and resulting secondary lands." *Climatic Change* 109.1-2 (2011): 117-161.**

**Hoffman, Forrest M., et al. "Causes and implications of persistent atmospheric carbon dioxide biases in Earth System Models." *Journal of Geophysical Research: Biogeosciences* 119.2 (2014): 141-162.**

**Houghton, Richard A. "How well do we know the flux of CO<sub>2</sub> from landarea use change?". *Tellus B* 62.5 (2010): 337-351.**

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.

C4221

## ***Interactive comment on “Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation” by V. Eyring et al.***

**V. Eyring et al.**

veronika.eyring@dlr.de

Received and published: 11 March 2016

### **Reply to Martine Michou**

**I would recommend to update Figure 4 (and also the text of the manuscript) as DECK and historical experiments cannot start before the final design is out, together with the release of all CMIP6 forcing fields and of the final data request (mid 2016?).**

Thanks Martine for pointing this out. The figure on the timeline has been slightly

C4222

changed to reflect that the *piControl* can be started only end of April 2016 due to the small delay in the provision of the forcings and the creation of the CMIP6 Data Request compared to the original schedule. We have changed 'Around April 2016 the forcings for the historical simulations should be ready' to 'By May 2016 the forcings for the DECK and the CMIP6 historical simulation should be ready' to make the text more precise.

---

Interactive comment on Geosci. Model Dev. Discuss., 8, 10539, 2015.



1 **Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6)**  
2 **Experimental Design and Organisation**

3 V. Eyring<sup>1</sup>, S. Bony<sup>2</sup>, G. A. Meehl<sup>3</sup>, C. Senior<sup>4</sup>, B. Stevens<sup>5</sup>, R. J. Stouffer<sup>6</sup>, and K. E. Taylor<sup>7</sup>

4

5 <sup>1</sup> Deutsches Zentrum für Luft- und Raumfahrt (DLR), Institut für Physik der Atmosphäre,  
6 Oberpfaffenhofen, Germany

7 <sup>2</sup> Laboratoire de Météorologie Dynamique, Institut Pierre Simon Laplace (LMD/IPSL), CNRS,  
8 Université Pierre et Marie Curie, Paris, France

9 <sup>3</sup> National Center for Atmospheric Research (NCAR), Boulder, USA

10 | <sup>4</sup> Met Office Hadley Centre, Exeter, UK.

11 | <sup>5</sup> Max-Planck-Institute for Meteorology, Hamburg, Germany

12 | <sup>6</sup> Geophysical Fluid Dynamics Laboratory/NOAA, Princeton, NJ, USA

13 | <sup>7</sup> Program for Climate Model Diagnosis and Intercomparison (PCMDI), Lawrence Livermore  
14 National Laboratory, Livermore, CA, USA

15

16 **Abstract.** By coordinating the design and distribution of global climate model simulations of the  
17 past, current and future climate, the Coupled Model Intercomparison Project (CMIP) has become one  
18 of the foundational elements of climate science. However, the need to address an ever-expanding  
19 range of scientific questions arising from more and more research communities has made it  
20 necessary to revise the organization of CMIP. After a long and wide community consultation, a new  
21 and more federated structure has been put in place. It consists of three major elements: (1) a handful  
22 of common experiments, the DECK (Diagnostic, Evaluation and Characterization of Klima  
23 ~~experiments~~) and ~~the~~ CMIP ~~Historical Simulation~~historical simulations (1850 – near-present) that  
24 will maintain continuity and help document basic characteristics of models across different phases of  
25 CMIP, (2) common standards, coordination, infrastructure and documentation that will facilitate the  
26 distribution of model outputs and the characterization of the model ensemble, and (3) an ensemble of  
27 CMIP-Endorsed Model Intercomparison Projects (MIPs) that will be specific to a particular phase of  
28 CMIP (now CMIP6) and that will build on the DECK and ~~the~~ CMIP ~~Historical Simulation~~historical  
29 simulations to address a large range of specific questions and fill the scientific gaps of the previous  
30 CMIP phases. The DECK and CMIP ~~Historical Simulation~~historical simulations, together with the  
31 use of CMIP data standards, will be the entry cards for models participating in CMIP. The  
32 participation in the CMIP6-Endorsed MIPs will be at the discretion of the modelling groups, and will  
33 depend on their scientific interests and priorities. With the Grand Science Challenges of the World  
34 Climate Research Programme (WCRP) as its scientific backdrop, CMIP6 will address three broad  
35 questions: (i) How does the Earth system respond to forcing?, (ii) What are the origins and  
36 consequences of systematic model biases?, and (iii) How can we assess future climate changes given  
37 internal climate variability, predictability and uncertainties in scenarios? This CMIP6 overview paper  
38 presents the background and rationale for the new structure of CMIP, provides a detailed description  
39 of the DECK and ~~the~~ CMIP6 ~~Historical Simulation~~historical simulations, and includes a brief  
40 introduction to the 21 CMIP6-Endorsed MIPs.

## 41 1. Introduction

42 The Coupled Model Intercomparison Project (CMIP) organized under the auspices of the World  
43 Climate Research Programme's (WCRP) Working Group on Coupled Modelling (WGCM) started  
44 twenty years ago as a comparison of a handful of early global coupled climate models performing  
45 experiments using atmosphere models coupled to a dynamic ocean, a simple land surface, and  
46 thermodynamic sea ice (~~Meehl et al., 1997~~)(Meehl et al., 1997). It has since evolved over five phases  
47 into a major international multi-model research activity (~~Meehl et al., 2000; Meehl et al., 2007;~~  
48 ~~Taylor et al., 2012~~)(Meehl et al., 2000; Meehl et al., 2007; Taylor et al., 2012) that has not only  
49 introduced a new era to climate science research, but has also become a central element of national  
50 and international assessments of climate change (~~IPCC, 2013~~)(e.g., IPCC (2013)). An important part  
51 of CMIP is to make the multi-model output publically available in a standardized format for analysis  
52 by the wider climate community and users. The standardization of the model output in a specified  
53 format, and the collection, archival, and access of the model output through the Earth System Grid  
54 Federation (ESGF) data replication centres have facilitated multi-model analyses.

55 The objective of CMIP is to better understand past, present and future climate change arising from  
56 natural, unforced variability or in response to changes in radiative forcings in a multi-model context.  
57 Its increasing importance and scope is a tremendous success story, but this very success poses  
58 challenges for all involved. Coordination of the project has become more complex as CMIP includes  
59 more models with more processes all applied to a wider range of questions. To meet this new interest  
60 and to address a wide variety of science questions from more and more scientific research  
61 communities, reflecting the expanding scope of comprehensive modelling in climate science, has put  
62 pressure on CMIP to become larger and more extensive. Consequently, there has been an explosion  
63 in the diversity and volume of requested CMIP output from an increasing number of experiments  
64 causing challenges for CMIP's technical infrastructure (~~Williams et al., 2015~~)(Williams et al., 2015).  
65 Cultural and organizational challenges also arise from the tension between expectations that  
66 modelling centres deliver multiple model experiments to CMIP yet at the same time advance basic  
67 research in climate science.

68 In response to these challenges, we have adopted a more federated structure for the sixth phase of  
69 CMIP (i.e., CMIP6) and subsequent phases. Whereas past phases of CMIP were usually described  
70 through a single overview paper, reflecting a centralized and relatively compact CMIP structure, this  
71 GMD Special Issue describes the new design and organization of CMIP, the suite of experiments,  
72 and its forcings, in a series of invited contributions. In this paper, we provide the overview and

73 backdrop of the new CMIP structure as well as the main scientific foci that CMIP6 will address. We  
74 begin by describing the new organizational form for CMIP and the pressures that it was designed to  
75 alleviate (Section 2). It also contains a description of a small set of simulations for CMIP which are  
76 intended to be common to all participating models (Section 3), details of which are provided in an  
77 Appendix. We then present a brief overview of CMIP6 ~~servin~~that serves as an introduction to the  
78 other contributions to this Special Issue (Section 4)), and we close with a summary.

## 80 2. CMIP design - a more continuous and distributed organization

81 In preparing for ~~the sixth phase of CMIP~~CMIP6, the CMIP Panel (the authors of this paper), which  
82 traditionally has the responsibility for direct coordination and oversight of CMIP, initiated ~~an~~  
83 ~~extensive~~a two year process of community consultation ~~that spanned two years.~~. This consultation  
84 ~~not only~~ involved the modelling centres whose contributions form the substance of CMIP, ~~but also~~  
85 ~~the as well as~~ communities that rely on CMIP model output for their work. ~~This consultation~~  
86 ~~included the organization of special~~Special meetings were organized to reflect on the ~~success and~~  
87 ~~science successes of CMIP5 as well as the scientific~~ gaps that remain or have since emerged ~~from~~  
88 ~~CMIP5. It.~~ The consultation also sought input through a community survey, the scientific results of  
89 which are described by Stouffer et al. (2015). ~~This process identified four~~Stouffer et al. (2015)<sup>1</sup>. Four  
90 main issues related to the overall structure of CMIP were identified.

91 ~~First, there was increasing recognition that results from different CMIP phases should not necessarily~~  
92 ~~be used in isolation. For instance, as pointed out by Rauser et al. (2014), a common set of~~  
93 ~~simulations across different phases of CMIP could be used to construct more rational ensembles than~~  
94 ~~just a single phase ensemble.~~First, we identified a growing appreciation of the scientific potential to  
95 use results across different CMIP phases. Such approaches however require an appropriate  
96 experimental design to facilitate the identification of an ensemble of models with particular  
97 properties drawn from different phases of CMIP (e.g., Rauser et al. (2014)). At the same time it was  
98 recognized that an increasing number of Model Intercomparison Projects (MIPs) were being  
99 organized independent of CMIP, the data structure and output requirements were often inconsistent,  
100 and the relationship between the models used in the various MIPs was often difficult to determine, in  
101 which context measures to help establish continuity across MIPs or phases of CMIP would also be  
102 welcome.

---

<sup>1</sup> Stouffer, R. J., Eyring, V., Meehl, G. A., Bony, S., Senior, C., Stevens, B., and Taylor, K. E.: CMIP5 Scientific Gaps and Recommendations for CMIP6, BAMS, submitted, 2015.

103 Second, the scope of CMIP was taxing the resources of modelling centres making it impossible for  
 104 many to consider contributing to all the proposed experiments. ~~At the same time, relatively little~~  
 105 ~~guidance was available~~ By providing a better basis to help modelling centres decide exactly which  
 106 subset of experiments to perform. ~~This led to a more~~ it was thought that it might be possible to  
 107 minimize fragmented participation in ~~CMIP5, as compared to earlier phases and created the~~  
 108 ~~impression that perhaps modelling centre resources were being used sub-optimally. In addition, a~~  
 109 ~~monolithic structure to the CMIP design tended to discourage the~~ CMIP6. A more federated  
 110 experimental protocol could also encourage modelling centres ~~from attempting to design new~~  
 111 ~~experiments meant to address specific scientific questions of interest to them. This in turn~~  
 112 ~~contributed to the impression that CMIP was a service that the modelling centres provided to the~~  
 113 ~~broader community~~ to develop intercomparison studies based on their own strategic goals.

114 Third, some centres expressed the view that the punctuated structure of CMIP ~~has had~~ begun to  
 115 distort the model development process. ~~Whereas in the past~~ Defining a protocol that allowed  
 116 modelling centres ~~developed models based on their own scientific goals and released model versions~~  
 117 ~~on their own schedule, the visibility and demands of CMIP were beginning to impose a~~  
 118 ~~synchronization of~~ to decouple their model development ~~with different phases of CMIP. Though this~~  
 119 ~~might have seemed desirable to those who relied on the different phases of CMIP to measure and~~  
 120 ~~pace progress in model development, such a view poorly reflected the reality of model development.~~  
 121 ~~The resulting stress this placed on~~ from the CMIP schedule would offer additional flexibility, and  
 122 perhaps encourage modelling centres ~~often resulted in unnecessary delays in the provision of model~~  
 123 ~~output, as it was conditioned on the finalization of new model releases and risked redefining the role~~  
 124 ~~of modelling centres in ways that did not best exploit~~ to finalize their scientific potential. ~~models and~~  
 125 ~~submit some of their results sooner on their own schedule.~~

126 Fourth and finally, ~~there was the~~ many groups expressed a desire for particular phases of CMIP to be  
 127 more than just a collection of MIPs, but rather to reflect the strategic goals of the climate science  
 128 community, as ~~developed through the~~ for instance articulated by WCRP. By focusing a particular  
 129 phase of CMIP around ~~a few~~ specific scientific issues, it was felt that the modelling ~~centres~~ resources  
 130 could ~~better contribute~~ be more effectively applied to ~~selected~~ those scientific questions that had  
 131 matured to a point where coordinated activities ~~could~~ were expected to have substantial impact,  
 132 ~~thereby more rapidly advancing the science and promoting a cohesive strategy across WCRP.~~

133 A variety of mechanisms were proposed and intensely debated to address these issues. The outcome  
 134 of these discussions is embodied in the new CMIP structure, which has three major components.

135 First, the identification of a handful of common experiments, the DECK (Diagnostic, Evaluation and  
136 Characterization of Klima-experiments) and the CMIP ~~Historical-Simulation~~historical simulations,  
137 which can be used to establish model characteristics and serves as its “entry card” for participating in  
138 one of CMIP’s phases or in other MIPs organized between CMIP phases, as depicted in Fig. 1.  
139 Second, common standards, coordination, infrastructure and documentation that facilitate the  
140 distribution of model outputs and the characterization of the model ensemble, and third, the adoption  
141 of a more federated structure, building on more autonomous CMIP-Endorsed MIPs.

142 Realising the idea of a particular phase of CMIP being centred on a collection of more autonomous  
143 MIPs required the development of procedures for soliciting and evaluating MIPs, in light of the  
144 scientific focus chosen for CMIP6. These procedures were developed and implemented by the CMIP  
145 Panel. The responses to the CMIP5 survey helped inform a series of workshops and resulted in a  
146 draft experiment design for CMIP6. This initial design for CMIP6 was published in early 2014  
147 (~~Meehl et al., 2014~~)(Meehl et al., 2014) and was open for comments from the wider community until  
148 mid-September 2014. In parallel to the open review of the design, the CMIP Panel distributed an  
149 open call for proposals for MIPs in April 2014. These proposals were broadly reviewed within  
150 WCRP with the goal to encourage and enhance synergies among the different MIPs, to avoid  
151 overlapping experiments, to fill gaps, and to help ensure that the WCRP Grand Science Challenges  
152 would be addressed. Revised MIP proposals were requested and evaluated by the CMIP Panel in  
153 summer 2015. The selection of MIPs was based on the CMIP Panel’s evaluation of ten endorsement  
154 criteria (Table 1). To ensure community engagement, an important criterion was that enough  
155 modelling groups (at least eight) were willing to perform all of the MIP’s highest priority (Tier 1)  
156 experiments and providing all the requested diagnostics needed to answer at least one of its leading  
157 science questions. For each of the selected CMIP6-Endorsed MIPs it turned out that at least ten  
158 modelling groups indicated their intent to participate in at least Tier 1 experiments, thus attesting to  
159 the wide appeal and level of science interest from the climate modelling community.

160

### 161 3. The DECK and CMIP ~~Historical-Simulation~~historical simulations

162 The DECK comprises four baseline experiments: (a) a historical Atmospheric Model  
163 Intercomparison Project (~~AMIP~~amip) simulation, (b) a pre-industrial control simulation (*piControl* or  
164 *esm-piControl*), (c) a simulation forced by an abrupt quadrupling of CO<sub>2</sub> (~~abrupt4xCO2~~abrupt-  
165 *4xCO2*) and (d) a simulation forced by a 1% yr<sup>-1</sup> CO<sub>2</sub> increase (*1pctCO2*). CMIP also includes a  
166 ~~Historical-Simulation~~historical simulation (*historical* or *esm-hist*) that spans the period of extensive

167 instrumental temperature measurements from 1850 to the present. In naming the experiments, we  
 168 distinguish between simulations with CO<sub>2</sub> concentrations calculated and anthropogenic sources of  
 169 CO<sub>2</sub> prescribed (*esm-picontrol* and *esm-hist*) and simulations with prescribed CO<sub>2</sub> concentrations (all  
 170 others). Hereafter, models that can calculate atmospheric CO<sub>2</sub> concentration and account for the  
 171 fluxes of CO<sub>2</sub> between the atmosphere, the ocean, and biosphere are referred to as Earth System  
 172 Models (ESMs).

173 The experiments chosen to be included in the DECK are well suited for evaluating models and for  
 174 understanding important climate change response characteristics. For these reasons, these  
 175 experiments are already commonly performed by modelling groups as part of their model  
 176 development cycle. Modelling groups also commonly perform simulations of the historical period,  
 177 but reconstructions of the external conditions imposed on historical runs (e.g., land-use changes)  
 178 continue to evolve significantly, influencing the simulated climate. In order to distinguish among the  
 179 historical simulations performed under different phases of CMIP, the historical simulations are  
 180 labelled with the phase (e.g., “CMIP5 historical” or “CMIP6 historical”). Note that in AMIP runs,  
 181 the dominating role of sea surface temperatures and the focus on recent decades means that for most  
 182 purposes runs from different phases of CMIP can be compared near the Earth’s surface despite some  
 183 differences in other imposed conditions.

184 The persistence and consistency of the DECK will make it possible to track changes in performance  
 185 and response characteristics over future generations of models and CMIP phases. Although this core  
 186 set of experiments is not expected to evolve much, additional experiments may become well enough  
 187 established as benchmarks (routinely run by modelling groups as they develop new model versions)  
 188 so that in the future they might be migrated into the DECK. The common practice of including the  
 189 DECK in model development efforts means that models can contribute to CMIP without carrying out  
 190 additional computationally burdensome experiments. All of the DECK and CMIP historical  
 191 simulations were included in the core set performed under CMIP5 (Taylor et al., 2012), and all but  
 192 the *abrupt-4xCO2* simulation were included in even earlier CMIP phases.

193 Under CMIP, ~~the~~ credentials of the participating atmospheric-ocean general circulation models  
 194 (AOGCMs) and ~~Earth System Models~~ (ESMs) are established by performing the DECK and ~~the~~  
 195 CMIP ~~Historical Simulation~~ ~~historical simulations~~, so these experiments are required from all models.  
 196 Together these experiments document the mean climate and response characteristics of models. They  
 197 should be run for each model configuration used in a CMIP-Endorsed MIP. A change in ~~'model~~  
 198 ~~configuration'~~ model configuration includes any change that might affect its simulations other than  
 199 "noise" expected from different realizations. This would include, for example, a change in model



200 resolution, physical processes, or atmospheric chemistry treatment. ~~If a model will be run in~~  
 201 ~~emission-driven mode as part of a CMIP6-Endorsed MIP (e.g., C<sup>4</sup>MIP) then the *piControl* and the~~  
 202 ~~CMIP Historical Simulation should be run in emission-driven mode too, whereas the AMIP, 1% yr<sup>-1</sup>~~  
 203 ~~and switch-on 4xCO<sub>2</sub> simulations would also be required as part of the DECK, but they would be~~  
 204 ~~driven by prescribed concentrations. Similarly, if a model will be used in both emission-driven mode~~  
 205 ~~and~~ If an ESM is used in both CO<sub>2</sub> emission-driven mode and CO<sub>2</sub> concentration-driven mode in  
 206 subsequent CMIP6-Endorsed MIPs, then both emission-driven and concentration-driven  
 207 *piControl* control and Historical Simulations historical simulations should be done. ~~and they will be~~  
 208 identical in all forcings except the treatment of CO<sub>2</sub>.

209 The ~~forcings forcing datasets~~ that ~~are used in~~ will drive the DECK and ~~in the~~ CMIP6 ~~Historical~~  
 210 ~~Simulation historical simulations~~ are described separately in a series of invited contributions to this  
 211 Special Issue, and are. These articles also include some discussion of uncertainty in the datasets. The  
 212 data will be provided by the respective author teams. ~~These include: (1) historical and made publicly~~  
 213 available through the ESGF using common metadata and formats.

214 The historical forcings are based as far as possible on observations and cover the period 1850 to  
 215 2014. These include:

- 216 • emissions of short-lived species and long-lived greenhouse gases (GHGs), ~~(2) historical~~
- 217 • GHG concentrations, ~~(3)~~
- 218 • global gridded land-use forcing datasets, ~~(4)~~
- 219 • solar forcing, ~~(5)~~
- 220 • stratospheric aerosol dataset (volcanoes), ~~and (6)~~
- 221 • AMIP sea surface temperatures (SSTs) and sea-ice concentrations (SICs). ~~In addition,~~
- 222 • for simulations with prescribed aerosols ~~(7)~~ a new approach to prescribe aerosols in terms of  
 223 optical properties and fractional change in cloud droplet effective radius to provide a more  
 224 consistent representation of aerosol forcing, and ~~for models without ozone chemistry (8)~~  
 225 time-varying gridded ozone concentrations are provided.
- 226 • for models without ozone chemistry time-varying gridded ozone concentrations and nitrogen  
 227 deposition.



228 Some models might require additional forcing datasets (e.g., black carbon on snow or anthropogenic  
229 dust). Allowing model groups to use different forcing<sup>2</sup> datasets might better sample uncertainty, but  
230 makes it more difficult to assess the uncertainty in the response of models to the best estimate of the  
231 forcing, available to a particular CMIP phase. To avoid conflating uncertainty in the response of  
232 models to a given forcing, it is strongly preferred for models to be integrated with the same forcing,  
233 and for forcing uncertainty to be sampled in supplementary simulations. In any case it is important  
234 that all forcing datasets are documented and are made available alongside the model output on the  
235 ESGF. Likewise to the extent modelling centres simplify forcings, for instance by regridding or  
236 smoothing in time or some other dimension, this should also be documented.

237 For the future scenarios selected by ScenarioMIP, forcings are provided by the integrated assessment  
238 model (IAM) community for the period 2015 to 2100 or to 2300 for the extended simulations. For  
239 atmospheric emissions and concentrations as well as for land use these are harmonized across IAMs  
240 and scenarios similar to the CMIP5 procedure (van Vuuren et al., 2011) to ensure consistency with  
241 historical forcing datasets and between the different forcing categories. They are described elsewhere  
242 in this Special Issue, while the underlying IAM scenarios are described in a Special Issue in Global  
243 Environmental Change.

244 An important gap identified in CMIP5, and in previous CMIP phases, was a lack of careful  
245 quantification of the radiative forcings from the different specified external forcing factors (e.g.,  
246 GHGs, sulphate aerosols) in each model (Stouffer et al., 2015). This has impaired attempts to  
247 identify reasons for differences in model responses. The “effective radiative forcing” or ERF  
248 component of the Radiative Forcing MIP (RFMIP) includes “fixed SST” simulations to diagnose the  
249 forcing (‘RFMIP-lite’), which are further detailed in the corresponding contribution to this Special  
250 Issue. Although not included as part of the DECK, in recognition of this deficiency in past phases of  
251 CMIP we encourage all CMIP6 modelling groups to participate in RFMIP-lite. This modest effort  
252 would enable the radiative forcing to be characterized for both historic and future scenarios across  
253 the model ensemble and would lead to a step change in the understanding of the spread of model  
254 responses for CMIP6.

---

<sup>2</sup> Here we distinguish between an applied input perturbation (e.g. the imposed change in some  
model constituent, property, or boundary condition), which we refer to somewhat generically as a  
“forcing”, and radiative forcing, which can be precisely defined. Even if the forcings are identical,  
the resulting radiative forcing depends on a model’s radiation scheme (among other factors) and  
will differ among models.

An overview of the main characteristics of the DECK and ~~the CMIP6 Historical Simulation is given~~ historical simulations appears in Table 2. Here we briefly describe these experiments. Detailed specifications for the DECK and ~~the CMIP6 Historical Simulation~~ historical simulations are provided in Appendix ~~A1 and A2, respectively,~~ A and are summarized in Table A1.

### 3.1. The DECK

The AMIP and pre-industrial control simulations of the DECK provide opportunities for evaluating the atmospheric model and the coupled system, and in addition they establish a baseline for performing many of the CMIP6 experiments. Many experiments branch from, and are compared with, the pre-industrial control. Similarly, a number of diagnostic atmospheric experiments use AMIP as a control. The idealized CO<sub>2</sub>-forced experiments in the DECK (1% yr<sup>-1</sup> CO<sub>2</sub> and abrupt 4xCO<sub>2</sub> increases), despite their simplicity, can reveal fundamental forcing and feedback response characteristics of models. ~~The experiments of the DECK are already commonly performed by modelling groups in the course of developing a new model, and their protocols are expected to remain essentially unchanged for many years to come. The persistence and consistency of these experiments will make it possible to track over future generations of models any changes in performance and response characteristics. Although this core set of experiments is not expected to evolve much, additional experiments may become well enough established as benchmarks (routinely run by modelling groups as they develop new model versions) so that in the future they might be migrated into the DECK. The common practice of including the DECK in model development efforts means that models can contribute to CMIP without carrying out additional computationally burdensome experiments. All experiments of the DECK were included in the core set performed under CMIP5 (Taylor et al., 2012), and all but the abrupt4xCO2 simulation were included in even earlier CMIP phases.~~

For nearly three decades, AMIP simulations ~~(Gates et al., 1999)~~ (Gates et al., 1999) have been routinely relied on by modelling centres to help in the evaluation of the atmospheric component of their models. In AMIP simulations, the SSTs and SICs are prescribed based on observations. The idea is to analyse and evaluate the atmospheric and land components of the climate system when they are constrained by the observed ocean conditions. These simulations can help identify which model errors originate in the atmosphere, land, or ~~land components~~ their interactions, and they have proven useful in addressing a great variety of questions pertaining to recent climate changes. The AMIP simulations performed as part of the DECK cover at least the period from January 1979 to December 2014. The end date will continue to evolve as the SSTs and SICs are updated with new observations. Besides prescription of ocean conditions in these simulations, realistic forcings are

288 imposed that should be identical to those applied in the CMIP ~~Historical Simulation~~historical  
 289 simulations. Large ensembles of AMIP simulations are encouraged as they can help ~~separateto~~  
 290 improve the signal ~~of forced responsesto~~ noise ratio (Li et al., 2015)(Li et al., 2015).

~~The remaining three experiments in the DECK are premised on the coupling of the atmospheric and  
 291 oceanic circulation. The pre-industrial control simulation (*piControl*) is performed under conditions  
 292 chosen to be representative of the period prior to the onset of large-scale industrialization with 1850  
 293 being the reference year. There are no secular changes in forcing, so the concentrations and/or  
 294 sources of atmospheric constituents (e.g., GHGs and other forcings) are held fixed, as are Earth's  
 295 orbital characteristics. External human influences on the land surface are likewise excluded. Because  
 296 of the absence of both naturally occurring changes in forcing (e.g., volcanoes, orbital or solar  
 297 changes) and human-induced changes, the *piControl* simulation gives insight into the unforced  
 298 internal variability of the climate system.~~

The remaining three experiments in the DECK are premised on the coupling of the atmospheric and  
 300 oceanic circulation. The pre-industrial control simulation (*piControl* or *esm-piControl*) is performed  
 301 under conditions chosen to be representative of the period prior to the onset of large-scale  
 302 industrialization with 1850 being the reference year. Historically, the industrial revolution began in  
 303 the 18<sup>th</sup> century, and in nature the climate in 1850 was not stable as it was already changing due to  
 304 prior historical changes in radiative forcings. In CMIP6, however, as in earlier CMIP phases, the  
 305 control simulation is an attempt to produce a stable quasi-equilibrium climate state under 1850  
 306 conditions. When discussing and analysing historical and future radiative forcings, it needs to be  
 307 recognized that the radiative forcing in 1850 due to anthropogenic greenhouse gas increases alone  
 308 was already around 0.25 W/m<sup>2</sup> (Cubasch, 2013) although aerosols might have offset that to some  
 309 extent. In addition, there were other pre-1850 secular changes, for example in land use (Hurtt et al.,  
 310 2011), and as a result, global net annual emissions of carbon from land use and land-use change  
 311 already were responsible in 1850 for about 0.6 PgC/yr (Houghton, 2010). Under the assumptions of  
 312 the control simulation, however, there are no secular changes in forcing, so the concentrations and/or  
 313 sources of atmospheric constituents (e.g., GHGs and emissions of short-lived species) as well as land  
 314 use are held fixed, as are Earth's orbital characteristics. Because of the absence of both naturally  
 315 occurring changes in forcing (e.g., volcanoes, orbital or solar changes) and human-induced changes,  
 316 the control simulation can be used to study the unforced internal variability of the climate system.

318 An initial climate “spin-up” portion of a control simulation, during which the climate begins to come  
 319 into balance with the forcing, is usually performed ~~and discarded~~. ~~The length of this “spin-up” period~~  
 320 ~~is model and resource dependent.~~ At the end of the “spin-up” period, the *piControl* starts. The

321 *piControl* serves as a baseline for experiments that branch from it. To account for the effects of any  
322 residual drift, it is required that the *piControl* simulation extends as far beyond the branching point as  
323 any experiment to which it will be compared. Only then can residual climate drift in an experiment  
324 be removed, so that it is not misinterpreted as part of the model's forced response. The recommended  
325 minimum length for the *piControl* is 500 years.

~~326 The two DECK 'climate change' experiments branch from some point in the *piControl* and are  
327 designed to document basic aspects of the climate system response to GHG forcing. In the first, the  
328 CO<sub>2</sub> concentration is immediately and abruptly quadrupled. This *abrupt4xCO2* simulation has  
329 proven to be useful for characterizing the radiative forcing that arises from an increase in  
330 atmospheric CO<sub>2</sub> as well as changes that arise indirectly due to the warming. It can also be used to  
331 estimate a model's equilibrium climate sensitivity (ECS, Gregory et al. (2004)). In the second, the  
332 CO<sub>2</sub> concentration is increased gradual at a rate of 1% per year. This experiment has been performed  
333 in all phases of CMIP since CMIP2, and serves as a consistent and useful benchmark for analysing  
334 model transient climate response (TCR). The TCR takes into account the rate of ocean heat uptake  
335 which governs the pace of all time-evolving climate change (e.g., Murphy and Mitchell (1995)). In  
336 addition to the TCR, the 1% CO<sub>2</sub> integration with ESMs that include explicit representation of the  
337 carbon cycle allows the calculation of the transient climate response to cumulative carbon emissions  
338 (TCRE) defined as the transient global average surface temperature change per unit of accumulated  
339 CO<sub>2</sub> emissions (IPCC, 2013). Despite their simplicity, these experiments provide a surprising  
340 amount of insight into the behaviour of models subject to more complex forcing (e.g., Bony et al.  
341 (2013); Geoffroy et al. (2013)).~~

342 The two DECK 'climate change' experiments branch from some point in the 1850 control simulation  
343 and are designed to document basic aspects of the climate system response to greenhouse gas  
344 forcing. In the first, the CO<sub>2</sub> concentration is immediately and abruptly quadrupled from January  
345 1850 values. This *abrupt-4xCO2* simulation has proven to be useful for characterizing the radiative  
346 forcing that arises from an increase in atmospheric CO<sub>2</sub> as well as changes that arise indirectly due to  
347 the warming. It can also be used to estimate a model's equilibrium climate sensitivity (ECS, Gregory  
348 et al. (2004)). In the second, the CO<sub>2</sub> concentration is increased gradually at a rate of 1% per year.  
349 This experiment has been performed in all phases of CMIP since CMIP2, and serves as a consistent  
350 and useful benchmark for analysing model transient climate response (TCR). The TCR takes into  
351 account the rate of ocean heat uptake which governs the pace of all time-evolving climate change  
352 (e.g., Murphy and Mitchell (1995)). In addition to the TCR, the 1% CO<sub>2</sub> integration with ESMs that  
353 include explicit representation of the carbon cycle allows the calculation of the transient climate

response to cumulative carbon emissions (TCRE), defined as the transient global average surface temperature change per unit of accumulated CO<sub>2</sub> emissions (IPCC, 2013). Despite their simplicity, these experiments provide a surprising amount of insight into the behaviour of models subject to more complex forcing (e.g., Bony et al. (2013); Geoffroy et al. (2013)).

### 3.2. ~~CMIP Historical Simulation~~historical simulations

In addition to the DECK, CMIP challenges models to simulate the historical period, defined to begin in 1850 and extend to the near present (i.e., 2014 in CMIP6). The CMIP ~~Historical Simulation~~branches~~historical simulation and its CO<sub>2</sub>-emission-driven counterpart, *esm-hist*, branch~~ from the ~~*piControl* and *esm-piControl*~~and is, respectively (see details in A1.2). These simulations are forced, based on observations, by evolving, externally-imposed forcings such as solar variability, volcanic aerosols, and changes in atmospheric composition (GHGs, and aerosols) caused by human activities. The CMIP ~~Historical Simulation~~provides~~historical simulations provide~~ rich opportunities to assess model ability to simulate climate, including variability and century time-scale trends (e.g., ~~Flato et al. (2013)~~), ~~and it has also proven essential in reducing uncertainty in radiative forcing associated with short lived species such as the atmospheric aerosol (e.g., Stevens (2015)).~~Flato et al. (2013)). When supplemented with additional experiments, the ~~Historical Simulation~~historical simulations can be used in detection and attribution studies (e.g., ~~Stott et al. (2006)~~Stott et al. (2006)) to help interpret the extent to which observed climate change can be explained by different causes.

As in ~~the *piControl* simulation~~performing control simulations, models that include representation of the carbon cycle should normally perform two different CMIP ~~Historical Simulations: a~~historical simulations: one with prescribed CO<sub>2</sub> concentration and ~~at~~the other with prescribed CO<sub>2</sub> emissions ~~(accounting explicitly for fossil fuel combustion), in which). In the second CO<sub>2</sub> concentrations are~~ then “predicted” by the model. The treatment of other GHGs should be identical in both simulations. Both types of simulation are useful in evaluating how realistically the model represents the response of the carbon cycle anthropogenic CO<sub>2</sub> emissions, but the prescribed concentration simulation enables these more complex models to be evaluated fairly against those simpler models without representation of carbon cycle processes.

### 3.3. Common standards, infrastructure and documentation

A key to the success of CMIP and one of the motivations for incorporating a wide variety of coordinated modelling activities under a single framework in a specific phase of CMIP (now CMIP6) is the desire to reduce duplication of effort, minimize operational and computational burdens, and establish common practices in producing and analysing large amounts of model output. To enable

386 automated processing of output from dozens of different models, CMIP has led the way in  
387 encouraging adoption of data standards (governing structure and metadata) that facilitate  
388 development of software infrastructure in support of coordinated modelling activities. The ESGF has  
389 capitalized on this standardization to provide access to CMIP model output hosted by institutions  
390 around the world. As the complexity of CMIP has increased and as the potential use of model output  
391 expands beyond the research community, the evolution of the climate modelling infrastructure  
392 requires enhanced coordination. To help in this regard, the WGCM Infrastructure Panel (WIP) was  
393 set up (see details in the corresponding contribution to this Special Issue), and is now providing  
394 guidance on requirements and establishing specifications for model output, model and simulation  
395 documentation, and archival and delivery systems for CMIP6 data.

396 A more routine benchmarking and evaluation of the models is envisaged to be a central part of  
397 CMIP6. As noted above, one purpose of the DECK and ~~the CMIP Historical Simulation~~historical  
398 simulations is to provide a basis for documenting model simulation characteristics. Towards that end  
399 an infrastructure is being developed to allow analysis packages to be routinely executed whenever  
400 new model experiments are contributed to the CMIP archive. These efforts utilize observations  
401 served by the ESGF contributed from the obs4MIPs (~~Teixeira et al., 2014~~)(Ferraro et al., 2015;  
402 Teixeira et al., 2014) and ana4MIPs projects. Examples of available tools that target routine  
403 evaluation in CMIP include the PCMDI metrics software (~~Gleckler et al., 2015~~) ~~and the Earth~~  
404 ~~System Model Evaluation Tool (ESMValTool, Eyring et al. (2015))~~(Gleckler et al., 2016) ~~and the~~  
405 Earth System Model Evaluation Tool (ESMValTool, Eyring et al. (2015)), which brings together  
406 established diagnostics such as those used in the evaluation chapter of IPCC AR5 (~~Flato et al.,~~  
407 ~~2013~~)(Flato et al., 2013). The ESMValTool also integrates other packages, such as the NCAR  
408 Climate Variability Diagnostics Package (~~Phillips et al., 2014~~)(Phillips et al., 2014), or diagnostics  
409 such as the cloud regime metric (~~Williams and Webb, 2009~~)(Williams and Webb, 2009) developed  
410 by the Cloud Feedback MIP (CFMIP) community. These tools can be used to assess new models,  
411 and can help inform users of model output, as well as the modelling centres, as to the strengths and  
412 weaknesses of the simulations, including the extent to which long-standing model errors remain  
413 evident in newer models. Building such a community-based capability is not meant to replace how  
414 CMIP research is currently performed but rather to complement it. These tools can also be used to  
415 compute derived variables or indices alongside the ESGF, and their output could be provided back to  
416 the distributed ESGF archive.

417



418 **4. CMIP6**419 **4.1. Scientific focus of CMIP6**

420 In addition to the DECK and ~~the CMIP Historical Simulation~~historical simulations, a number of  
 421 additional experiments will colour a specific phase of CMIP, now CMIP6. These experiments are  
 422 likely to change from one CMIP phase to the next. To maximize the relevance and impact of CMIP6,  
 423 it was decided to use the Grand Science Challenges (GCs) of the WCRP as the scientific backdrop of  
 424 the CMIP6 experimental design. By promoting research on critical science questions for which  
 425 specific gaps in knowledge have hindered progress so far, but for which new opportunities and more  
 426 focused efforts raise the possibility of significant progress on the timescale of 5-10 years, these GCs  
 427 constitute a main component of the WCRP strategy to accelerate progress in climate science  
 428 ~~(Brasseur and Carlson, 2015)~~(Brasseur and Carlson, 2015). Five such GCs have been identified, and  
 429 two additional ones are under consideration. They relate to advancing (1) understanding of the role  
 430 of clouds in the general atmospheric circulation and climate sensitivity ~~(Bony et al., 2015)~~(Bony et  
 431 al., 2015), (2) assessing the response of the cryosphere to a warming climate and its global  
 432 consequences, (3) understanding the factors that control water availability over land ~~(Trenberth and~~  
 433 ~~Asrar, 2014)~~(Trenberth and Asrar, 2014), (4) assessing climate extremes, what controls them, how  
 434 they have changed in the past and how they might change in the future ~~(Alexander et al.,~~  
 435 ~~2015)~~(Alexander et al., 2015), (5) understanding and predicting regional sea-level change and its  
 436 coastal impacts, (6) improving near-term climate predictions, and (7) determining how  
 437 biogeochemical cycles and feedbacks control greenhouse gas concentrations and climate change.

438 These GCs will be using the full spectrum of ~~observation~~observational, modelling and  
 439 ~~analysis~~analytical expertise across the WCRP, and in terms of modelling most GCs will address their  
 440 specific science questions through a hierarchy of numerical models of different complexities. Global  
 441 coupled models obviously constitute an essential element of this hierarchy, and CMIP6 experiments  
 442 will play a prominent role across all GCs by helping to answer the ~~three~~-following three CMIP6  
 443 science questions: How does the Earth system respond to forcing? What are the origins and  
 444 consequences of systematic model biases? How can we assess future climate change given internal  
 445 climate variability, climate predictability, and uncertainties in scenarios?

446 These three questions will be at the centre of CMIP6. They will be addressed through a range of  
 447 CMIP6-Endorsed MIPs that are organized by the respective communities and overseen by the CMIP  
 448 Panel (Fig. 2). Through these different MIPs and their connection to the GCs, the goal is to fill some  
 449 of the main scientific gaps of previous CMIP phases. This includes in particular facilitating the

450 identification and interpretation of model systematic errors, improving the estimate of radiative  
 451 forcings in past and future climate change simulations, facilitating the identification of robust climate  
 452 responses to aerosol forcing during the historical period, better ~~taking into account~~accounting of the  
 453 impact of short-term forcing agents and land-use on climate, better understanding the mechanisms of  
 454 decadal climate variability, ~~and~~along with many other issues ~~that could not be~~ addressed  
 455 satisfactorily in CMIP5 (Stouffer et al., 2015). In endorsing a number of these MIPs the CMIP panel  
 456 acted to minimize overlaps among the MIPs and to reduce the burden ~~of~~on modelling groups, while  
 457 maximizing the scientific complementarity and synergy among the different MIPs.

#### 458 4.2. The CMIP6-Endorsed MIPs

459 Close to ~~thirty~~30 suggestions for CMIP6 MIPs ~~were~~have been received so far of which 21 MIPs  
 460 were eventually endorsed and invited to participate (Table 3). Of those not selected some were asked  
 461 to work with other proposed MIPs with overlapping science goals and objectives. Of the 21 CMIP6-  
 462 Endorsed MIPs, four are diagnostic in nature, which means that they define and analyse additional  
 463 output, but do not require additional experiments. In the remaining 17 MIPs, a total of around 190  
 464 experiments have been proposed resulting in 40,000 model simulation years with around half of  
 465 these in Tier 1. The CMIP-Endorsed MIPs show broad coverage and distribution across the three  
 466 CMIP6 science questions, and ~~links~~all are linked to the WCRP Grand Science Challenges (Fig. 3).

467 Each of the 21 CMIP6-Endorsed MIPs ~~will be~~is described in a separate invited contribution to this  
 468 Special Issue. These contributions will detail the goal of the MIP and the major scientific gaps the  
 469 MIP is addressing, and will specify what is new compared to CMIP5 and previous CMIP phases. The  
 470 contributions will include a description of the experimental design and scientific justification of each  
 471 of the experiments for Tier 1 (and possibly beyond), and will link the experiments and analysis to the  
 472 DECK and ~~the~~ CMIP6 ~~Historical Simulation~~historical simulations. They will additionally include an  
 473 analysis plan to fully justify the resources used to produce the various requested variables, and if the  
 474 analysis plan is to compare model results to observations, the contribution will highlight possible  
 475 model diagnostics and performance metrics specifying whether the comparison entails any particular  
 476 requirement for the simulations or outputs (e.g. the use of observational simulators). In addition,  
 477 possible observations and reanalysis products for model evaluation ~~will be~~are discussed and the  
 478 MIPs are encouraged to help facilitate their use by contributing them to the obs4MIPs/ana4MIPs  
 479 archives at the ESGF (see Section 3.3). In some MIPs additional forcings beyond those used in the  
 480 DECK and CMIP6 ~~Historical Simulation~~will behistorical simulations are required, and these ~~will~~  
 481 beare described in the respective contribution as well.



482 A number of MIPs are developments and/or continuation of long standing science themes within  
 483 CMIP. These include MIPs specifically addressing science questions related to cloud feedbacks and  
 484 the understanding of spatial patterns of circulation and precipitation (CFMIP), carbon cycle  
 485 feedbacks and the understanding of changes in carbon fluxes and stores (C<sup>4</sup>MIP), detection and  
 486 attribution (DAMIP) that newly includes 21st-century GHG-only simulations allowing the projected  
 487 responses to GHGs and other forcings to be separated and scaled to derive observationally-  
 488 constrained projections, and paleoclimate (PMIP) ~~that~~, which assesses the credibility of the model  
 489 response to forcing outside the range of recent variability. These MIPs reflect the importance of key  
 490 forcing and feedback processes in understanding past, present and future climate change and have  
 491 developed new experiments and science plans focused on emerging new directions that will be at the  
 492 centre of the WCRP Grand Science Challenges. A few new MIPs have arisen directly from gaps in  
 493 understanding in CMIP5 (~~Stouffer et al., 2015~~), (Stouffer et al., 2015), for example poor  
 494 quantification of radiative forcing (RFMIP), better understanding of ocean heat uptake and sea-level  
 495 rise (FAFMIP) ~~),~~ and understanding of model response to volcanic forcing (VolMIP).

496 Since CMIP5, other MIPs have emerged as the modelling community has developed more complex  
 497 ESMs with interactive components beyond the carbon cycle. These include the consistent  
 498 quantification of forcings and feedbacks from aerosols and atmospheric chemistry (AerChemMIP),  
 499 and, for the first time in CMIP, modelling of sea-level rise from land-ice sheets (ISMIP6).

500 Some MIPs specifically target systematic biases focusing on improved understanding of the sea-ice  
 501 state and its atmospheric and oceanic forcing (SIMIP), the physical and biogeochemical aspects of  
 502 the ocean (OMIP), land, snow and soil moisture processes (LS3MIP), and improved understanding  
 503 of circulation and variability with a focus on stratosphere-troposphere coupling (DynVar). With the  
 504 increased emphasis in the climate science community on the need to represent and understand  
 505 changes in regional circulation, systematic biases are also addressed on a more regional scale by the  
 506 Global Monsoon MIP (GMMIP) and a first ~~eo-ordinated~~coordinated activity on high resolution  
 507 modelling (HighResMIP).

508 For the first time future scenario experiments, previously ~~eo-ordinated~~coordinated centrally as part of  
 509 the CMIP5 ‘core’ experiments, will be run as a MIP ensuring clear definition and well-coordinated  
 510 science questions. ScenarioMIP will run a new set of future long-term (century time scale)  
 511 integrations engaging input from both the climate science and ~~Integrated~~integrated assessment  
 512 modelling communities. The new scenarios that are based on the shared socioeconomic pathways  
 513 (SSPs, ~~O’Neill et al. (2015)~~O’Neill et al. (2015)) - Representative Concentration Pathways (RCP)  
 514 matrix span the same range as the CMIP5 RCPs (~~Moss et al., 2010~~)(Moss et al., 2010), but fill

515 critical gaps for intermediate forcing levels and questions, for example, on short-lived species and  
516 land-use. The near-term experiments (10–30 years) ~~will be~~ coordinated by the decadal climate  
517 prediction project (DCPP) with improvements expected for example from the initialization of  
518 additional components beyond the ocean and from a more detailed process understanding and  
519 ~~verification~~evaluation of the ~~models~~predictions to better identify sources and limits of predictability.

520 Other MIPs include specific future mitigation options, e.g. the land use MIP (LUMIP) that is for the  
521 first time in CMIP ~~looking at~~isolating regional land management strategies to study how different  
522 surface types respond to climate change and direct anthropogenic modifications, or the  
523 geoengineering MIP (GeoMIP) ~~that~~, which examines climate impacts of newly proposed radiation  
524 modification geoengineering strategies.

525 The diagnostic MIP CORDEX will oversee the downscaling of CMIP6 models for regional climate  
526 projections. Another historic development in our field that provides, for the first time in CMIP, an  
527 avenue for a more formal communication between the climate modelling and user community is the  
528 endorsement of the vulnerability, impacts and adaptation and climate services advisory board  
529 (VIACS AB). This diagnostic MIP requests certain key ~~outputs from CMIP6 models to~~  
530 ~~deliver~~variables of interest to the VIACS ~~communities~~community be delivered in ~~rapid time for~~  
531 ~~application to~~a timely manner to be used by climate services and in impact studies.

532 All MIPs define output streams in the centrally coordinated CMIP6 data request for each of their  
533 own experiments as well as the DECK and CMIP6 ~~Historical Simulations~~historical simulations (see  
534 the CMIP6 data request contribution to this Special Issue for details). This will ensure that the  
535 required variables are stored at the frequency and resolution required ~~so that to~~address the specific  
536 science questions and evaluation needs of each MIP ~~can be addressed,~~ and to enable a broad  
537 characterization of the performance of the CMIP6 models ~~and ensemble can be made~~.

538 We note that only the Tier 1 MIP experiments are overseen by the CMIP Panel, but additional  
539 experiments are proposed by the MIPs in Tier 2 and 3. We encourage the modelling groups to  
540 participate in the full suite of experiments beyond Tier 1 to address in more depth the scientific  
541 questions posed.

542 The call for MIP applications for CMIP6 is still open and new proposals will be reviewed at the  
543 annual WGCM meetings. However, we point out that the additional MIPs suggested after the CMIP6  
544 data request has been finalized will have to work with the already defined model output from the  
545 DECK and CMIP6 historical simulations, or work with the modelling group to recover additional

546 variables from their internal archives. We also point out that some experiments proposed by CMIP6-  
547 Endorsed MIPs may not be finished until after CMIP6 ends.

## 549 5. Summary

550 CMIP6 continues the pattern of evolution and adaptation characteristic of previous phases of CMIP.  
551 To ~~address the importance of broadly centring~~center CMIP at the heart of activities within climate  
552 science, ~~yet link and encourage links among~~ activities within the World Climate Research  
553 Programme (WCRP) ~~more specifically,~~ CMIP6 has been formulated scientifically around three  
554 specific themes, amidst the backdrop of the WCRP's seven Grand Science Challenges. To meet the  
555 increasingly broad scientific demands of the climate-science community, yet be responsive to the  
556 individual priorities and resource limitations of the modelling centres, CMIP has adopted a new,  
557 more federated organizational structure.

558 CMIP has now evolved from a centralized activity involving a large number of experiments to a  
559 federated activity, encompassing many individually designed MIPs. CMIP6 comprises 21 individual  
560 CMIP6-Endorsed MIPs, ~~and~~ the DECK and ~~the~~CMIP6 ~~Historical Simulations~~historical simulations.  
561 Four of the 21 CMIP6-Endorsed MIPs are diagnostic in nature, meaning that they require additional  
562 output from models, but not additional simulations. The total amount of output from CMIP6 is  
563 estimated to be between 20 and 40 Petabytes, depending on model resolution and the number of  
564 modelling centres ultimately participating in CMIP6. Questions addressed in the MIPs are wide  
565 ranging, from the climate of distant past to the response of turbulent cloud processes ~~influence by the~~  
566 ~~response~~to radiative forcing, from how the terrestrial biosphere influences the uptake of ~~carbon-~~  
567 ~~dioxide~~CO<sub>2</sub> to how much predictability is ~~eneoded~~stored in the ocean, from how to best project near-  
568 term to long-term future climate changes while considering interdependences and differences in  
569 model performance in the CMIP6 ensemble, and from what regulates the distribution of tropospheric  
570 ozone, to the influence of land-use changes on water availability.

571 The last two years have been dedicated to conceiving and then planning what we now call CMIP6.  
572 Starting in 2016, the first modelling centres are expected to begin performing the DECK and  
573 uploading output on the ESGF. ~~Around April~~By May 2016 the forcings for the DECK and CMIP6  
574 historical simulations ~~should~~will be ready, and by the end of 2016 the diverse forcings for different  
575 scenarios of future human activity will become available. Past experience suggests that most centres  
576 will complete their CMIP simulations within a few years while the analysis of CMIP6 results will  
577 likely go on for a decade or more (Fig. 4).

578 Through an intensified effort to align CMIP with specific scientific themes and activities we expect  
579 CMIP6 to continue CMIP's tradition of major scientific advances. CMIP6 simulations and scientific  
580 achievements are expected to support the IPCC Sixth Assessment Report (AR6) as well as other  
581 national and international climate assessments or special reports. Ultimately scientific progress will  
582 be the best measure of the success of CMIP6. Measures of success will include improved  
583 understanding of how the climate system works through the quantification of forcings and feedbacks,  
584 improved understanding and interpretation of systematic model biases and corresponding  
585 identification of ways to alleviate them for model improvements, and robust climate projections and  
586 uncertainty estimates for adaptation and mitigation policies.

587

#### 588 **Data availability**

589 The model output from the DECK and ~~the~~ CMIP6 ~~Historical Simulations~~ historical simulations  
590 described in this paper will be distributed through the Earth System Grid Federation (ESGF) ~~with~~  
591 digital object identifiers (DOIs) assigned. As in CMIP5, the model output will be freely accessible  
592 through data portals after registration. In order to document CMIP6's scientific impact and enable  
593 ongoing support of CMIP, users are obligated to acknowledge CMIP6 ~~and~~ the participating  
594 modelling groups, and the ESGF centres (see details on the CMIP Panel website at <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>). Further information about the infrastructure  
595 supporting CMIP6, the metadata describing the model output, and the terms governing its use ~~will~~  
596 be provided by the WGCM Infrastructure Panel (WIP) in their invited contribution to this Special  
597 Issue. Along with the data itself, the provenance of the data will be recorded, and DOI's will be  
598 assigned to collections of output so that they can be appropriately cited. This information will be  
599 made readily available so that published research results can be verified and credit can be given to  
600 the modelling groups providing the data. The WIP is coordinating and encouraging the development  
601 of the infrastructure needed to archive and deliver this information. In order to run the experiments,  
602 datasets for natural and anthropogenic forcings are required. These forcing datasets ~~will be~~  
603 described in separate invited contributions to this Special Issue. The forcing datasets will be made  
604 available through the ESGF with version control and ~~digital object identifiers (DOI's) assigned, and~~  
605 ~~can additionally be provided as a supplement to the corresponding documentation paper. Links to all~~  
606 ~~forcings datasets will be made available via the CMIP Panel website~~ DOIs assigned.

608

609 ~~Acknowledgements. We thank the scientific community for their engagement in the definition of~~  
610 ~~CMIP6, in particular for the broad participation in the CMIP5 survey in 2013. We thank the co-~~

611 ~~chairs and steering committee members of the CMIP6-Endorsed MIPs for their continuous~~  
612 ~~engagement in defining CMIP6, and the modelling groups and wider community for reviewing the~~  
613 ~~CMIP6 design and organisation. We thank the WGCM Infrastructure Panel (WIP) for overseeing the~~  
614 ~~CMIP6 infrastructure, Martin Juckes for taking the lead in preparing the CMIP6 data request, and~~  
615 ~~Jonathan Gregory for raising awareness about the treatment of volcanic forcing in the preindustrial~~  
616 ~~control experiment and its consequence for sea level changes. Norbert Noreiks is thanked for help in~~  
617 ~~drafting the figures.~~

618

619 **Appendix A. Experiment Specifications**

620

621 **A1 Specifications for the DECK**

622 Here we provide information needed to perform the DECK, including specification of forcing and  
623 boundary conditions, initialization procedures, and minimum length of runs. This information is  
624 largely consistent with but not identical to the specifications for these experiments in CMIP5 (~~Taylor~~  
625 ~~et al., 2009~~)(Taylor et al., 2009).

626 The DECK and ~~the~~ CMIP6 ~~Historical Simulation is~~historical simulations are requested from all  
627 models participating in CMIP. The expectation is that this requirement will be met for each model  
628 configuration used in the subsequent CMIP6-Endorsed MIPs (an entry card). In the special case  
629 where the burden of the entry card simulations are prohibitive but the scientific case for including a  
630 particular model simulation is strongcompelling (despite only partial completion of the entry card  
631 simulations), an exception to this policy can be granted on a model by model basis ~~based on a~~  
632 ~~specific recommendation to~~by the CMIP Panel made by, which will seek advice from the chairs of  
633 the affected CMIP6-Endorsed MIP.

634 CMIP6 is a cooperative effort across the international climate modelling and climate science  
635 communities. The modelling groups have all been involved in the design and implementation of  
636 CMIP6, and thus have agreed to a set of best practices proposed for CMIP6. Those best practices  
637 include having the modelling groups submit the DECK experiments and the CMIP6 historical  
638 simulations to the ESGF, as well as any CMIP6-Endorsed-MIP experiments they choose to run.  
639 Additionally, the modelling groups decide what constitutes a new model version. Modelling groups  
640 are well aware that their model simulations are under considerable scrutiny. Therefore, we expect  
641 that as in the past, modelling groups will in good faith provide their highest quality model version  
642 and that it will differ from previous versions by substantive improvements in resolution, physics, or  
643 simulation skill. The CMIP Panel will work with the MIP co-chairs and the modelling groups to  
644 ensure that these best practices are followed.

645

646 **A1.1 AMIP simulation**

647 As in the first simulations performed under the Atmospheric Model Intercomparison Project (AMIP,  
648 ~~Gates et al. (1999)~~Gates et al. (1999)), SSTs and SICs in AMIP experiments are prescribed  
649 consistent with observations (see details on this forcing dataset in the corresponding contribution to

650 this Special Issue). Land models should be configured as close as possible to that used in the CMIP6  
651 ~~Historical Simulation~~historical simulation including transient land use and land cover. Other external  
652 forcings including volcanic aerosols, solar variability, GHG concentrations, and anthropogenic  
653 aerosols should also be prescribed consistent with those used in the CMIP6 ~~Historical~~  
654 ~~Simulation~~historical simulation (see ~~Appendix~~Section A2 below). Even though in AMIP simulations  
655 models with an active carbon cycle will not be fully interactive, surface carbon fluxes should be  
656 archived over land. ~~This will enable evaluation of the carbon cycle component of the model when~~  
657 ~~climate conditions are more similar to the observed than in coupled atmosphere-ocean simulations.~~

658 AMIP integrations can be initialized from prior model integrations or from observations or in other  
659 reasonable ways. Depending on the treatment of snow cover, soil water content, the carbon cycle,  
660 and vegetation, these runs may require a spin-up period of several years. One might establish quasi-  
661 equilibrium conditions consistent with the model by, for example, running with ocean conditions  
662 starting earlier in the 1970's or cycling repeatedly through year 1979 before simulating the official  
663 period. Results from the spin-up period (i.e., prior to 1979) should be discarded, but the spin-up  
664 technique should be documented.

665 For CMIP6, AMIP simulations should cover at least the period from January 1979 through  
666 December 2014, but modelling groups are encouraged to extend their runs to the end of the observed  
667 period. Output may also be contributed from years preceding 1979 with the understanding that  
668 surface ocean conditions were less complete and in some cases less reliable then.

669 The climate found in AMIP simulations is largely determined by the externally-imposed forcing,  
670 especially the ocean conditions. Nevertheless, unforced variability (“noise”) within the atmosphere  
671 introduces some non-deterministic variations that hamper unambiguous interpretation of apparent  
672 relationships between, for example, the year-to-year anomalies in SSTs and their consequences over  
673 land. To assess the role of unforced atmospheric variability in any particular result, modelling groups  
674 are encouraged to generate an ensemble of AMIP simulations. For most studies a three-member  
675 ensemble, where only the initial conditions are varied, would be the minimum required, with larger  
676 size ensembles clearly of value in making more precise determination of statistical significance.

### 677 **A1.2 Multi-century pre-industrial control ~~simulations~~simulations**

678 Like laboratory experiments, numerical experiments are designed to reveal cause and effect  
679 relationships. A standard way of doing this is to perform both a “control” experiment and a second  
680 experiment where some externally-imposed experiment condition has been altered. For many CMIP  
681 experiments, including the rest of the experiments discussed in this Appendix, the “control” is a



682 simulation with atmospheric composition and other conditions prescribed and held constant,  
 683 consistent with best estimates of the forcing ~~estimated~~ from the historical period.

684 Ideally the pre-industrial control (*piControl*) experiment for CMIP would represent a near-  
 685 equilibrium state of the climate system under the imposed conditions. In reality, simulations of  
 686 hundreds to many thousands of years would be required for the ocean's depths to equilibrate and for  
 687 biogeochemical reservoirs to fully adjust. Available computational resources generally preclude  
 688 integrations long enough to approach equilibrium, so in practice shorter runs must suffice. Usually, a  
 689 *piControl* simulation is initialized from the control run of a different model or from ~~near-present-day~~  
 690 observations, and then run until at least the surface climate conditions stabilize using 1850 forcings  
 691 (see ~~Stouffer et al. (2004)~~ [Stouffer et al. \(2004\)](#) for further discussion). This spin-up period, ~~which is~~  
 692 ~~discarded~~, can be as long as several hundred years. ~~Note and variables~~ that can document the spin-up  
 693 behaviour should be archived (under the experiment labels *piControl-spinup* or *esm-piControl-*  
 694 *spinup*). At the very least the length of the spin-up period should be documented. ~~The *piControl* used~~  
 695 ~~in CMIP begins at this point and generally continues for at least a few hundred years.~~

696 Although equilibrium is generally not achieved, the changes occurring after the spin-up period are  
 697 usually found to evolve at a fairly constant rate that presumably decreases slowly as equilibrium is  
 698 approached. After a few centuries, these “drifts” of the system mainly affect the carbon cycle and  
 699 ocean below the main thermocline, but they are also manifest at the surface in a slow change in sea  
 700 level. The climate drift must be removed in order to interpret experiments that use the pre-industrial  
 701 simulation as a control. The usual procedure is to assume that the drift is insensitive to CMIP  
 702 experiment conditions and to simply subtract the control run from the perturbed run to determine the  
 703 climate change that would occur in the absence of drift.

704 Besides serving as a ~~“control”~~ “controls” for numerical experimentation, the *piControl* ~~is and~~ *esm-*  
 705 *piControl* are used to study the naturally occurring, unforced variability of the climate system. The  
 706 only source of climate variability in a control arises from processes internal to the model, whereas in  
 707 the more complicated real world, variations are also caused by external forcing factors such as solar  
 708 variability and changes in atmospheric composition caused, for example, by human activities or  
 709 volcanic eruptions. Consequently, the physical processes responsible for unforced variability can  
 710 more easily be isolated and studied using the control run of models, rather than by analysing  
 711 observations.

712 A DECK *piControl* control simulation is required to be long enough to extend to the end of any  
 713 perturbation runs initiated from it so that climate drift can be assessed and possibly removed from



714 those runs. If, for example, a historical simulation (beginning in 1850) were initiated from the  
 715 beginning of the control simulation and then were followed by a future scenario run extending to  
 716 year 2300, a control run of at least 450 years would be required. As discussed above, control runs are  
 717 also used to assess model-simulated unforced climate variability. The longer the piControl~~control~~,  
 718 the more precisely can variability be quantified for any given time scale. A control simulation of  
 719 many hundreds of years would be needed to assess variability on centennial time-scales. For CMIP6  
 720 it is recommended that the control run should be at least 500 years long (following the spin-up  
 721 period), but of course the simulation must be long enough to reach to the end of the experiments it  
 722 spawns. It should be noted that those analysing CMIP6 simulations might also require simulations  
 723 longer than 500 years to accurately assess unforced variability on long time-scales, so modelling  
 724 groups are encouraged to extend their piControl~~control~~ runs well beyond the minimum  
 725 recommended number of years.

726 Because the climate was very likely not in equilibrium with ~~it~~the forcing ~~in the year of~~ 1850, and  
 727 because different components of the climate system differentially measure~~respond to~~ the  
 728 ~~effect~~effects of the forcing prior to that time, there is some ambiguity in deciding on what forcing to  
 729 apply for the control. For CMIP6 we recommend a specification of this forcing that attempts to  
 730 balance ~~partially~~ conflicting objectives to

- 731 – Minimize artificial climate responses to discontinuities in radiative forcing at the time a historical  
 732 simulation is initiated.
- 733 – Minimize artefacts in sea level change due to thermal expansion caused by unrealistic  
 734 mismatches in conditions in the centennial-scale averaged forcings for the pre- and post-1850  
 735 periods. Note that any preindustrial multi-centennial observed trend in global-mean sea level is  
 736 most likely to be due to slow changes in ice-sheets, which are likely not to be simulated in the  
 737 CMIP6 model generation.

738 The first consideration above implies that radiative forcing in the piControl~~control run~~ should be  
 739 close to that imposed at the beginning of the CMIP ~~Historical Simulation~~historical simulation (i.e.,  
 740 1850). The second implies that a background volcanic aerosol and time-averaged solar forcing  
 741 should be prescribed in the control run, since to neglect it would cause an apparent drift in sea-level  
 742 associated with the suppression of heat uptake due to the net effect of, for instance, volcanism after  
 743 1850, and this has implications for sea level changes (~~Gregory, 2010; Gregory et al., 2013~~)(Gregory,  
 744 2010; Gregory et al., 2013). We recognize that it will be impossible to entirely avoid artefacts and  
 745 artificial ~~transient~~transient effects, and practical considerations may rule out conformance with  
 746 every ~~aspect~~detail of the piControl~~control simulation~~ protocol stipulated here. With that

747 understanding, here are is a summary of the recommendations for the imposed conditions on the  
748 piControl spin-up and control runs, followed by further clarification in subsequent paragraphs:

- 749 – Conditions must be time-invariant except for those associated with the mean climate (notably the  
750 seasonal and diurnal cycles of insolation).
- 751 – Unless indicated otherwise (e.g., the background volcanic forcing), experiment conditions (e.g.,  
752 greenhouse gas concentrations, ozone concentration, surface land conditions) should be  
753 representative of Earth ea-around the year 1850.
- 754 – Orbital parameters (eccentricity, obliquity, and longitude of the perihelion) should be held fixed  
755 at their 1850 values.
- 756 – Land use should not change in the control run and should be fixed according to reconstructed  
757 agricultural maps from 1850. Due to the diversity of model approaches in ESMs for land carbon,  
758 some groups might deviate from this specification, and again this must be clearly documented.
- 759 – The solar constant should be fixed at its mean value (no 11 year solar cycle) over the first two  
760 solar cycles of the historical simulation (i.e., the 1850 – ~~1871~~1873 mean).
- 761 – A background volcanic aerosol should be specified that results in radiative forcing matching, as  
762 closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014  
763 mean).
- 764 – Models without interactive ozone chemistry should specify ~~ozone as in the mean of the first~~  
765 ~~decade of the CMIP Historical Simulation~~ the pre-industrial ozone fields from a dataset produced  
766 from a pre-industrial control simulation that uses 1850 emissions and a mean solar forcing  
767 averaged over solar cycles 8-10, representative of the mean mid-19th century solar forcing.

768 There are some special considerations that apply to control simulations performed by “emission-  
769 driven” ESMs (i.e. runs with atmospheric concentrations of CO<sub>2</sub> calculated prognostically rather than  
770 being prescribed). In the *esm-piControl* simulation, emissions of CO<sub>2</sub> from both fossil fuel  
771 combustion and land use change are prescribed to be zero. In this run any residual drift in  
772 atmospheric CO<sub>2</sub> concentration that arises from an imbalance in the exchanges of CO<sub>2</sub> between the  
773 atmosphere and the ocean and land (i.e. by the natural carbon cycle in the absence of anthropogenic  
774 CO<sub>2</sub> emissions) will need to be subtracted from perturbation runs to correct for a control state not in  
775 equilibrium. It should be emphasized that the *esm-piControl* is an idealized experiment and is not  
776 meant to mimic the true 1850 conditions, which would have to include a source of carbon of around  
777 0.6 PgC/yr from the already perturbed state that existed in 1850.

778 Due to a wide variety of ESMs and the techniques they use to compute land carbon fluxes, it is hard  
779 to make statements that apply to all models equally well. A general recommendation, however, is

780 that the land carbon fluxes in the emission and concentration driven control simulations should be  
781 stable in time and in approximate balance so that the net carbon flux into the atmosphere is small  
782 (less than 0.1 PgC/yr). Further details on ESM experiments with a carbon cycle are provided in the  
783 C<sup>4</sup>MIP contribution to this Special Issue.

784 The historical time-average volcanic forcing stipulated above for the control run is likely to  
785 approximate the much longer term mean. Crowley's (2000) estimates of volcanic aerosol radiative  
786 forcing for the historical period and the last millennium are  $-0.18 \text{ W m}^{-2}$  and  $-0.22 \text{ W m}^{-2}$ ,  
787 respectively. Because the mean volcanic forcing between 1850 and 2014 is small, the discontinuity  
788 associated with transitioning from a mean forcing to a time-varying volcanic forcing is also expected  
789 to be small. Even though this is the design objective, it is likely that it will be impossible to eliminate  
790 all artefacts in quantities such as historical sea level change~~will not be entirely prevented.~~ For this  
791 reason, and because some models may deviate from these specifications, it is recommended ~~for~~  
792 ~~all~~that groups ~~to~~ perform an additional simulation of the historical period but with only natural  
793 forcing included. ~~This natural only historical simulation~~With this additional run, which is already  
794 called for under DAMIP. ~~Modelling groups are urged to perform this experiment even if they elect~~  
795 ~~not to participate in DAMIP as doing so will most effectively separate,~~ the role of natural  
796 versus purely anthropogenic drivers of climate effects on sea-level change and variability since  
797 1850 can be isolated.

798 The forcing specified in the *piControl* also has implications for simulations of the future, when solar  
799 variability and volcanic activity will continue to exist, but at unknown levels. These issues need to be  
800 borne in mind when designing and evaluating future scenarios, as a failure to include volcanic  
801 forcing in the future will cause future warming and sea-level rise to be over-estimated relative to a  
802 *piControl* experiment in which a non-zero volcanic forcing is specified. This ~~could be addressed is~~  
803 accounted for by ~~re~~-introducing a time-invariant non-zero volcanic forcing (e.g., the mean volcanic  
804 forcing for the *piControl*) into the scenarios. This is further specified in the ScenarioMIP  
805 contribution to this Special Issue.

806 These issues, and the potential of different modelling centres adopting different approaches to  
807 account for their particular constraints, highlight the paramount importance of adequately  
808 documenting ~~how~~the conditions under which this and the other DECK experiments ~~were~~are  
809 performed.

811 **A1.3 Abruptly quadrupling CO<sub>2</sub> simulation**

812 Until CMIP5, there were no experiments designed to quantify the extent to which forcing differences  
 813 might explain differences in climate response. It was also difficult to diagnose and quantify the  
 814 feedback responses, which are mediated by global surface temperature change (~~Sherwood et al.,~~  
 815 ~~2015~~)([Sherwood et al., 2015](#)). In order to examine these fundamental characteristics of models – CO<sub>2</sub>  
 816 forcing and climate feedback – an abrupt 4xCO<sub>2</sub> simulation was included for the first time as part of  
 817 CMIP5. Following Gregory et al. (2004), the simulation branches ~~from~~ in January of the CO<sub>2</sub>-  
 818 concentration driven piControl and abruptly the atmospheric CO<sub>2</sub> concentration is abruptly  
 819 quadrupled and ~~then~~ held constantfixed. As the system subsequently evolves toward a new  
 820 equilibrium, the imbalance in the net flux at the top of the atmosphere can be plotted against global  
 821 temperature change. ~~As Gregory et al. (2004)~~[As Gregory et al. \(2004\)](#) showed, it is then possible to  
 822 diagnose both the effective radiative forcing due to a quadrupling of CO<sub>2</sub> and also effective  
 823 equilibrium climate sensitivity (ECS). Moreover, by examining how individual flux components  
 824 evolve with surface temperature change, one can learn about the relative strengths of different  
 825 feedbacks, notably quantifying the importance of various feedbacks associated with clouds.

826 In the ~~abrupt4xCO2~~abrupt-4xCO2 experiment, the only externally-imposed difference from the  
 827 *piControl* should be the change in CO<sub>2</sub> concentration. All other conditions should remain as they  
 828 were in the *piControl*, including any background volcanic aerosols. By changing only a single factor,  
 829 we can unambiguously attribute all climatic consequences to the increase in CO<sub>2</sub> concentration.

830 The minimum length of the ~~abrupt4xCO2~~abrupt-4xCO2 simulation should be 150 years, but longer  
 831 simulations ~~with CO<sub>2</sub> held constant after quadrupling are~~would enable investigations of value for  
 832 investigating longer-time scale responses. Also there is value, as in CMIP5, in performing an  
 833 ensemble of short (~5-year) simulations initiated at different times throughout the year, ~~as called for~~  
 834 ~~as part of a Tier 1 set of experiments in CMIP5. An~~ (in addition to the required January run). Such  
 835 an ensemble would reduce the statistical uncertainty with which the effective CO<sub>2</sub> radiative forcing  
 836 could be quantified and would allow more detailed and accurate diagnosis of the fast responses of the  
 837 system under an abrupt change in forcing (~~Bony et al., 2013; Gregory and Webb, 2008; Kamae and~~  
 838 ~~Watanabe, 2013; Sherwood et al., 2015~~)([Bony et al., 2013; Gregory and Webb, 2008; Kamae and](#)  
 839 [Watanabe, 2013; Sherwood et al., 2015](#))-. Different groups will be able to afford ensembles of  
 840 different sizes, but in any case each realization should be initialized in a different month and the  
 841 months should be spaced evenly throughout the year.

842 **A1.4 1% CO<sub>2</sub> increase simulation**

843 The second idealized climate change experiment was introduced in the early days of CMIP (~~Meehl et~~  
 844 ~~al., 2000~~)(Meehl et al., 2000). It is designed for studying model responses under simplified but  
 845 somewhat more realistic forcing than an abrupt increase in CO<sub>2</sub>. In this experiment, the simulation is  
 846 branched from the *piControl*, and CO<sub>2</sub> concentration is gradually increased at a rate of 1% yr<sup>-1</sup> (i.e.,  
 847 exponentially). A minimum length of 150 years is requested so that the simulation goes beyond the  
 848 quadrupling of CO<sub>2</sub> after 140 years. Note that in contrast to previous definitions, the experiment has  
 849 been simplified so that the 1% CO<sub>2</sub> increase per year is applied throughout the entire simulation  
 850 rather than keeping it constant after 140 years as in CMIP5. Since the radiative forcing is  
 851 approximately proportional to the logarithm of the CO<sub>2</sub> increase, the radiative forcing linearly  
 852 increases over time. Drawing on the estimates of effective radiative forcing (for definitions see  
 853 ~~Myhre et al. (2013)~~Myhre et al. (2013)) obtained in the ~~*abrupt4xCO2*~~*abrupt-4xCO2* simulations,  
 854 analysts can scale results from each model in the 1% CO<sub>2</sub> increase simulations to focus on the  
 855 response differences in models, largely independent of their forcing differences. In contrast, in ~~the~~  
 856 CMIP6 ~~Historical Simulation~~historical simulations (see Section A2), the forcing and response  
 857 contributions to model differences in simulated climate change cannot be easily isolated.

858 As in the ~~*abrupt4xCO2*~~*abrupt-4xCO2* experiment, the only externally-imposed difference  
 859 from the *piControl* should be the change in CO<sub>2</sub> concentration. The omission of changes in aerosol  
 860 concentrations is the key to making these simulations easier to interpret. ~~The 1% yr<sup>-1</sup> CO<sub>2</sub> increase~~  
 861 ~~simulation should be run for a minimum of 150 years (ten years after the time of quadrupling).~~

862 Models with a carbon cycle component will be driven by prescribed CO<sub>2</sub> concentrations, but  
 863 terrestrial and marine surface fluxes and stores of carbon will become a key diagnostic from which  
 864 one can infer emission rates that are consistent with a 1% yr<sup>-1</sup> increase in model CO<sub>2</sub> concentration.  
 865 This DECK baseline carbon cycle experiment is built upon in C<sup>4</sup>MIP to diagnose the strength of  
 866 model carbon climate feedback and to quantify contributions to disruption of the carbon cycle by  
 867 climate and by direct effects of increased CO<sub>2</sub> concentration.

868

869 **A2 The CMIP6 ~~Historical Simulation~~historical simulations**

870 ~~The CMIP6 Historical Simulation is meant to reproduce observed climate and historical simulations~~  
 871 ~~of climate change starting in over the year period 1850 and extending to the present (i.e., through 2014~~  
 872 ~~in CMIP6). It serves are forced by common datasets that are largely based on observations. They~~  
 873 ~~serve~~ as an important benchmark for assessing model performance. through evaluation against

874 observations. The historical integration should be initialized from some point in the piControl  
 875 integration (with historical branching from the piControl and the esm-hist branching from esm-  
 876 piControl) and be forced by time-varying, externally-imposed conditions that are based on  
 877 observations. Both naturally-forced changes (e.g., due to solar variability and volcanic aerosols) and  
 878 changes due to human activities (e.g., CO<sub>2</sub> concentration, aerosols, and land-use) will lead to climate  
 879 variations and evolution. In addition, there is unforced variability which can obscure the forced  
 880 changes and lead to expected differences between the simulated and observed climate variations  
 881 (Deser et al., 2012)(Deser et al., 2012).

882 The externally-imposed forcing datasets that should be used in CMIP6 cover the period 1850 through  
 883 the end of 2014 are described in detail in various other contributions to this Special Issue. Recall  
 884 from section A1.2 that the conditions in the piControl should generally be consistent with the  
 885 forcing imposed near the beginning of the CMIP ~~Historical Simulation~~historical simulation. This  
 886 should minimize artificial ~~transient~~transient effects in the first portion of the CMIP ~~Historical~~  
 887 ~~Simulation~~historical simulation. An exception is that for the CO<sub>2</sub>-emission driven experiments, the  
 888 zero CO<sub>2</sub> emissions from fossil fuel and the land use specifications for 1850 in the esm-piControl  
 889 could cause a discontinuity in land carbon at the branch point.

890 As described in Section A1.2, the 1850 esm-piControl should be developed for an idealized case that  
 891 is stable in time and balance so that the net carbon flux into the atmosphere is small. Meanwhile, the  
 892 start of the esm-hist in 1850 should be as realistic as possible and attempt to account for the fact the  
 893 land-surface was not in equilibrium in 1850 due to prior land-use effects (Houghton, 2010; Hurtt et  
 894 al., 2011). Some modelling groups have developed methods to achieve these twin goals in a  
 895 computationally efficient manner, for example by performing pre-1850 off-line land model  
 896 simulations to account for the land carbon cycle disequilibrium before 1850 and to adequately  
 897 simulate carbon stores at the start of the historical simulation (Sentman et al., 2011). Due to the wide  
 898 diversity of modelling approaches for land carbon in the ESMs, the actual method applied by each  
 899 group to account for these effects will differ and needs to be well documented.

900 As discussed earlier, there will be a mismatch in the specification of volcanic aerosols between  
 901 control and historical simulations that especially affect estimates of ocean heat uptake and sea level  
 902 rise in the historical period. This can be minimized by prescribing a background volcanic aerosol in  
 903 the piControlpre-industrial control that has the same cooling effect as the volcanoes included in the  
 904 CMIP6 ~~Historical Simulation~~historical simulation. Any residual mismatch will need to be corrected,



905 which requires a special supplementary simulation (see Section A1.2) that should be submitted along  
 906 with the CMIP6 ~~Historical Simulation.~~ historical simulation.

907 For model evaluation and for detection and attribution studies (the focus of DAMIP) there would be  
 908 considerable value in extending the CMIP6 ~~Historical Simulations~~ historical simulations beyond the  
 909 nominal 2014 ending date. To include the more recent observations in model evaluation, modelling  
 910 groups are encouraged to document and apply forcing data sets representing the post-2014 period.  
 911 For short extensions (up to a few years) it may be acceptable to simply apply forcing from one of the  
 912 future scenarios defined by ScenarioMIP. To distinguish between the portion of the historical period  
 913 when all models will use the same forcing data sets (i.e., 1850-2014) from the extended period where  
 914 different data sets might be used, the experiment for 1850 through 2014 will be ~~referred to as~~  
 915 “labelled historical” (esm-hist in the case of the emissions-driven run) and the period from 2015  
 916 through near-present will likely be referred to as “labelled historical-extension”.ext (esm-hist-ext).

917 Even if the CMIP6 ~~Historical Simulations~~ historical simulations are extended beyond 2014, all future  
 918 scenario simulations (called for by ScenarioMIP and other MIPs) should be initiated from the end of  
 919 year 2014 of the CMIP6 ~~Historical Simulation.~~ The historical simulation since the "future" in CMIP6  
 920 begins in 2015.

921 Due to interactions within and between the components of the Earth system, there is a wide range of  
 922 variability on various time and space scales (~~Hegerl et al., 2007~~) (Hegerl et al., 2007). The time scales  
 923 vary from shorter than a day to longer than several centuries. The magnitude of the variability can be  
 924 quite large relative to any given signal of interest depending on the time and space scales involved  
 925 and on the variable of interest. To more clearly identify forced signals emerging from natural  
 926 variability, multiple model integrations (comprising an “ensemble”) can be made where only the  
 927 initial conditions are perturbed in some way: which should be documented. A common way to do  
 928 this is to simply branch each simulation from a different point in the control run. Longer intervals  
 929 between branch points will ensure independence of ensemble members on longer time-scales. By  
 930 averaging many different ensemble members together, the signal of interest becomes clear because  
 931 the natural variations tend to average out if the ensemble size and averaging period are long enough.  
 932 If the variability in the models is realistic, then the spread of the ensemble members around the  
 933 ensemble average is caused by unforced (i.e., “internal”) variability. To minimize the number of  
 934 years included in the entry card simulations, only one ensemble member is requested here. However,  
 935 we strongly encourage model groups to submit at least three ensemble members ~~for the~~ of their CMIP  
 936 ~~Historical Simulation.~~ historical simulation as requested in DAMIP.

937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950  
951  
952  
953  
954

Acknowledgements. We thank the scientific community for their engagement in the definition of CMIP6 and for the broad participation in the CMIP5 survey in 2013. We thank the co-chairs and steering committee members of the CMIP6-Endorsed MIPs for their continuous engagement in defining CMIP6, and the modelling groups and wider community for reviewing the CMIP6 design and organisation. We thank the WGCM Infrastructure Panel (WIP) for overseeing the CMIP6 infrastructure, Martin Jukes for taking the lead in preparing the CMIP6 data request, Jonathan Gregory for raising awareness about the treatment of volcanic forcing in the pre-industrial control experiment and its consequence for sea level changes, and Pierre Friedlingstein, George Hurtt, Chris Jones, and David Lawrence for help in defining carbon cycle and land use specifications. Norbert Noreiks is thanked for help in drafting the figures. Thanks to Gavin Schmidt and the two anonymous reviewers, and to everyone who contributed to the open discussions for constructive comments. GM was supported by the Regional and Global Climate Modeling Program (RGCM) of the U.S. Department of Energy's Office of Biological & Environmental Research (BER) Cooperative Agreement # DE-FC02-97ER62402, and the U.S. National Science Foundation. The National Center for Atmospheric Research is sponsored by the National Science Foundation.



955 **References**

- 956 Alexander, L. V., Kumar, A., Naveau, P., Seneviratne, S. I., Sivakumar, M. V. K., Zhang, X., and Zwiers, F. W.:  
 957 The World Climate Research Program Grand Challenge on Extremes – WCRP-ICTP Summer School on  
 958 Attribution and Prediction of Extreme Events 2015.
- 959 Bony, S., Bellon, G., Klocke, D., Sherwood, S., Fermepin, S., and Denvil, S.: Robust direct effect of carbon  
 960 dioxide on tropical circulation and regional precipitation, *Nat Geosci*, 6, 447-451, 2013.
- 961 Bony, S., Stevens, B., Frierson, D. M. W., Jakob, C., Kageyama, M., Pincus, R., Shepherd, T. G., Sherwood, S.  
 962 C., Siebesma, A. P., Sobel, A. H., Watanabe, M., and Webb, M. J.: Clouds, circulation and climate sensitivity,  
 963 *Nature Geosci*, 8, 261-268, 2015.
- 964 Brasseur, G. and Carlson, D.: Future directions for the World Climate Research Programme, *Eos, Transactions*  
 965 *American Geophysical Union*, 96, 2015.
- 966 Cubasch, U., D. Wuebbles, D. Chen, M.C. Facchini, D. Frame, N. Mahowald, and J.-G. Winther: Introduction.  
 967 In: *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth*  
 968 *Assessment Report of the Intergovernmental Panel on Climate Change*, Stocker, T. F., D. Qin, G.-K. Plattner,  
 969 M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (Ed.), Cambridge University  
 970 Press, Cambridge, United Kingdom and New York, NY, USA, 2013.
- 971 Deser, C., Knutti, R., Solomon, S., and Phillips, A. S.: Communication of the role of natural variability in future  
 972 North American climate, *Nat Clim Change*, 2, 775-779, 2012.
- 973 Eyring, V., Righi, M., Evaldsson, M., Lauer, A., Wenzel, S., Jones, C., Anav, A., Andrews, O., Cionni, I., Davin, E.  
 974 L., Deser, C., Ehbrecht, C., Friedlingstein, P., Gleckler, P., Gottschaldt, K. D., Hagemann, S., Juckes, M.,  
 975 Kindermann, S., Krasting, J., Kunert, D., Levine, R., Loew, A., Mäkelä, J., Martin, G., Mason, E., Phillips, A.,  
 976 Read, S., Rio, C., Roehrig, R., Senftleben, D., Sterl, A., van Ulft, L. H., Walton, J., Wang, S., and Williams, K. D.:  
 977 ESMValTool (v1.0) – a community diagnostic and performance metrics tool for routine evaluation of Earth  
 978 System Models in CMIP, *Geosci. Model Dev. Discuss.*, 8, 7541-7661, 2015.
- 979 Ferraro, R., Waliser, D. E., Gleckler, P., Taylor, K. E., and Eyring, V.: Evolving obs4MIPs to Support the Sixth  
 980 Coupled Model Intercomparison Project (CMIP6), *B Am Meteorol Soc*, doi: 10.1175/BAMS-D-14-00216.1,  
 981 2015. 2015.
- 982 Flato, G., Marotzke, J., Abiodun, B., Braconnot, P., Chou, S. C., Collins, W., Cox, P., Driouech, F., Emori, S.,  
 983 Eyring, V., Forest, C., Gleckler, P., Guilyardi, E., Jakob, C., Kattsov, V., Reason, C., and Rummukainen, M.:  
 984 Evaluation of Climate Models. In: *Climate Change 2013: The Physical Science Basis. Contribution of Working*  
 985 *Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*, Stocker, T. F., D.  
 986 Qin, G.-K. Plattner, M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (Ed.),  
 987 Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 2013.
- 988 Gates, W. L., Boyle, J. S., Covey, C., Dease, C. G., Doutriaux, C. M., Drach, R. S., Fiorino, M., Gleckler, P. J.,  
 989 Hnilo, J. J., Marlais, S. M., Phillips, T. J., Potter, G. L., Santer, B. D., Sperber, K. R., Taylor, K. E., and Williams,  
 990 D. N.: An Overview of the Results of the Atmospheric Model Intercomparison Project (AMIP I), *B Am*  
 991 *Meteorol Soc*, 80, 29-55, 1999.
- 992 Geoffroy, O., Saint-Martin, D., Olivie, D. J. L., Voldoire, A., Bellon, G., and Tyteca, S.: Transient Climate  
 993 Response in a Two-Layer Energy-Balance Model. Part I: Analytical Solution and Parameter Calibration Using  
 994 CMIP5 AOGCM Experiments, *J Climate*, 26, 1841-1857, 2013.
- 995 Gleckler, P. J., Doutriaux, C., Durack P. J., Taylor K. E. , Zhang, Y., Williams, D. N., Mason, E., and Servonnat, J.:  
 996 A More Powerful Reality Test for Climate Models, *Eos Trans. AGU*, in press, 2016.
- 997 Gregory, J. and Webb, M.: Tropospheric adjustment induces a cloud component in CO2 forcing, *J Climate*, 21,  
 998 58-71, 2008.
- 999 Gregory, J. M.: Long-term effect of volcanic forcing on ocean heat content, *Geophys. Res. Lett.*, 37, L22701,  
 1000 2010.
- 1001 Gregory, J. M., Bi, D., Collier, M. A., Dix, M. R., Hirst, A. C., Hu, A., Huber, M., Knutti, R., Marsland, S. J.,  
 1002 Meinshausen, M., Rashid, H. A., Rotstayn, L. D., Schurer, A., and Church, J. A.: Climate models without  
 1003 preindustrial volcanic forcing underestimate historical ocean thermal expansion, *Geophys Res Lett*, 40, 1600-  
 1004 1604, 2013.

## V. Eyring et al. Overview of the CMIP6 experimental design and organisation

- 1005 Gregory, J. M., Ingram, W. J., Palmer, M. A., Jones, G. S., Stott, P. A., Thorpe, R. B., Lowe, J. A., Johns, T. C.,  
1006 and Williams, K. D.: A new method for diagnosing radiative forcing and climate sensitivity, *Geophys Res Lett*,  
1007 31, 2004.
- 1008 Hegerl, G. C., Zwiers, F. W., Braconnot, P., Gillett, N. P., Luo, Y., Marengo Orsini, J. A., Nicholls, N., Penner, J.  
1009 E., and Stott, P. A.: Understanding and Attributing Climate Change. In: *Climate Change 2007: The Physical  
1010 Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental  
1011 Panel on Climate Change*, Solomon, S., D. Qin, M. Manning, Z. Chen, M. Marquis, K.B. Averyt, M. Tignor and  
1012 H.L. Miller (Ed.), Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA, 2007.
- 1013 Houghton, R. A.: How well do we know the flux of CO<sub>2</sub> from land-use change?, *Tellus B*, 62, 337-351, 2010.
- 1014 Hurtt, G. C., Chini, L. P., Frohling, S., Betts, R. A., Feddema, J., Fischer, G., Fisk, J. P., Hibbard, K., Houghton, R.  
1015 A., Janetos, A., Jones, C. D., Kindermann, G., Kinoshita, T., Goldewijk, K. K., Riahi, K., Shevliakova, E., Smith, S.,  
1016 Stehfest, E., Thomson, A., Thornton, P., van Vuuren, D. P., and Wang, Y. P.: Harmonization of land-use  
1017 scenarios for the period 1500-2100: 600 years of global gridded annual land-use transitions, wood harvest,  
1018 and resulting secondary lands, *Climatic Change*, 109, 117-161, 2011.
- 1019 IPCC: *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth  
1020 Assessment Report of the Intergovernmental Panel on Climate Change*, Cambridge University Press,  
1021 Cambridge, United Kingdom and New York, NY, USA, 2013.
- 1022 Kamae, Y. and Watanabe, M.: Tropospheric adjustment to increasing CO<sub>2</sub>: its timescale and the role of land-  
1023 sea contrast, *Clim Dynam*, 41, 3007-3024, 2013.
- 1024 Li, C., Stevens, B., and Marotzke, J.: Eurasian winter cooling in the warming hiatus of 1998-2012, *Geophys  
1025 Res Lett*, 42, 8131-8139, 2015.
- 1026 Meehl, G. A., Boer, G. J., Covey, C., Latif, M., and Stouffer, R. J.: The Coupled Model Intercomparison Project  
1027 (CMIP), *B Am Meteorol Soc*, 81, 313-318, 2000.
- 1028 Meehl, G. A., Boer, G. J., Covey, C., Latif, M., and Stouffer, R. J.: Intercomparison makes for a better climate  
1029 model, *Eos, Transactions American Geophysical Union*, 78, 445-451, 1997.
- 1030 Meehl, G. A., Covey, C., Taylor, K. E., Delworth, T., Stouffer, R. J., Latif, M., McAvaney, B., and Mitchell, J. F.  
1031 B.: THE WCRP CMIP3 Multimodel Dataset: A New Era in Climate Change Research, *B Am Meteorol Soc*, 88,  
1032 1383-1394, 2007.
- 1033 Meehl, G. A., Moss, R., Taylor, K. E., Eyring, V., Stouffer, R. J., Bony, S., and Stevens, B.: Climate Model  
1034 Intercomparisons: Preparing for the Next Phase, *Eos Trans. AGU*, 59, 77, 2014.
- 1035 Moss, R. H., Edmonds, J. A., Hibbard, K. A., Manning, M. R., Rose, S. K., van Vuuren, D. P., Carter, T. R., Emori,  
1036 S., Kainuma, M., Kram, T., Meehl, G. A., Mitchell, J. F. B., Nakicenovic, N., Riahi, K., Smith, S. J., Stouffer, R. J.,  
1037 Thomson, A. M., Weyant, J. P., and Wilbanks, T. J.: The next generation of scenarios for climate change  
1038 research and assessment, *Nature*, 463, 747-756, 2010.
- 1039 Murphy, J. M. and Mitchell, J. F. B.: Transient-Response of the Hadley-Center Coupled Ocean-Atmosphere  
1040 Model to Increasing Carbon-Dioxide .2. Spatial and Temporal Structure of Response, *J Climate*, 8, 57-80,  
1041 1995.
- 1042 Myhre, G., D. Shindell, F.-M. Bréon, W. Collins, J. Fuglestedt, J. Huang, D. Koch, J.-F. Lamarque, D. Lee, B.  
1043 Mendoza, T. Nakajima, A. Robock, G. Stephens, Takemura, T., and Zhang, H.: Anthropogenic and Natural  
1044 Radiative Forcing. In: *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to  
1045 the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*, Stocker, T. F., D. Qin, G.-K.  
1046 Plattner, M. Tignor, S.K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P.M. Midgley (Ed.), Cambridge  
1047 University Press, Cambridge, United Kingdom and New York, NY, USA, 2013.
- 1048 O'Neill, B. C., Kriegler, E., Ebi, K. L., Kemp-Benedict, E., Riahi, K., Rothman, D. S., van Ruijven, B. J., van  
1049 Vuuren, D. P., Birkmann, J., Kok, K., Levy, M., and Solecki, W.: The roads ahead: Narratives for shared  
1050 socioeconomic pathways describing world futures in the 21st century, *Global Environmental Change*, doi:  
1051 10.1016/j.gloenvcha.2015.01.004, 2015. 2015.
- 1052 Phillips, A. S., Deser, C., and Fasullo, J.: Evaluating Modes of Variability in Climate Models, *Eos Trans. AGU*,  
1053 95(49), 453-455, 2014.
- 1054 Rauser, F., Gleckler, P., and Marotzke, J.: Rethinking the Default Construction of Multimodel Climate  
1055 Ensembles, *B Am Meteorol Soc*, 96, 911-919, 2014.

## V. Eyring et al. Overview of the CMIP6 experimental design and organisation

- 1056 Sentman, L. T., Shevliakova, E., Stouffer, R. J., and Malyshev, S.: Time Scales of Terrestrial Carbon Response  
1057 Related to Land-Use Application: Implications for Initializing an Earth System Model, *Earth Interactions*, 15,  
1058 1-16, 2011.
- 1059 Sherwood, S. C., Bony, S., Boucher, O., Bretherton, C., Forster, P. M., Gregory, J. M., and Stevens, B.:  
1060 Adjustments in the Forcing-Feedback Framework for Understanding Climate Change, *B Am Meteorol Soc*, 96,  
1061 217-228, 2015.
- 1062 Stott, P. A., Mitchell, J. F. B., Allen, M. R., Delworth, T. L., Gregory, J. M., Meehl, G. A., and Santer, B. D.:  
1063 Observational constraints on past attributable warming and predictions of future global warming, *J Climate*,  
1064 19, 3055-3069, 2006.
- 1065 Stouffer, R. J., Weaver, A. J., and Eby, M.: A method for obtaining pre-twentieth century initial conditions for  
1066 use in climate change studies, *Clim Dynam*, 23, 327-339, 2004.
- 1067 Taylor, K. E., Stouffer, R. J., and Meehl, G. A.: An Overview of Cmp5 and the Experiment Design, *B Am*  
1068 *Meteorol Soc*, 93, 485-498, 2012.
- 1069 Taylor, K. E., Stouffer, R. J., and Meehl, G. A.: A Summary of the CMIP5 Experiment Design,  
1070 [http://cmip.llnl.gov/cmip5/docs/Taylor\\_CMIP5\\_design.pdf](http://cmip.llnl.gov/cmip5/docs/Taylor_CMIP5_design.pdf), 2009.
- 1071 Teixeira, J., Waliser, D., Ferraro, R., Gleckler, P., Lee, T., and Potter, G.: Satellite Observations for CMIP5: The  
1072 Genesis of Obs4MIPs, *B Am Meteorol Soc*, 95, 1329-1334, 2014.
- 1073 Trenberth, K. and Asrar, G.: Challenges and Opportunities in Water Cycle Research: WCRP Contributions,  
1074 *Surv Geophys*, 35, 515-532, 2014.
- 1075 van Vuuren, D. P., Edmonds, J., Kainuma, M., Riahi, K., Thomson, A., Hibbard, K., Hurtt, G. C., Kram, T., Krey,  
1076 V., Lamarque, J. F., Masui, T., Meinshausen, M., Nakicenovic, N., Smith, S. J., and Rose, S. K.: The  
1077 representative concentration pathways: an overview, *Climatic Change*, 109, 5-31, 2011.
- 1078 Williams, D. N., Balaji, V., Cinquini, L., Denvil, S., Duffy, D., Evans, B., Ferraro, R., Hansen, R., Lautenschlager,  
1079 M., and Trenham, C.: A Global Repository for Planet-Sized Experiments and Observations, *B Am Meteorol*  
1080 *Soc*, doi: 10.1175/bams-d-15-00132.1, 2015. 150904101253006, 2015.
- 1081 Williams, K. and Webb, M.: A quantitative performance assessment of cloud regimes in climate models, *Clim*  
1082 *Dynam*, 33, 141-157, 2009.

1083

1084 **Table 1.** Main criteria for MIP endorsement as agreed with representatives from the modelling  
 1085 groups and MIPs at the WGCM 18<sup>th</sup> Session in Grainau, Germany in October 2014.

Nr	MIP Endorsement Criterion
1	The MIP and its experiments address at least one of the key science questions of CMIP6.
2	The MIP demonstrates connectivity to the DECK experiments and the CMIP6 <del>Historical Simulation</del> <u>historical simulations</u> .
3	The MIP adopts the CMIP modelling infrastructure standards and conventions.
4	All experiments are tiered, well-defined, and useful in a multi-model context and <del>don't</del> <u>do not</u> overlap with other CMIP6 experiments.
5	Unless a Tier 1 experiment differs only slightly from another well-established experiment, it must already have been performed by more than one modelling group.
6	A sufficient number of modelling centres (~8) are committed to performing all of the <del>MIP's</del> <u>MIP's</u> Tier 1 experiments and providing all the requested diagnostics needed to answer at least one of its science questions.
7	The MIP presents an analysis plan describing how it will use all proposed experiments, any relevant observations, and specially requested model output to evaluate the models and address its science questions.
8	The MIP has completed the MIP template questionnaire.
9	The MIP contributes a paper on its experimental design to the GMD CMIP6 Special Issue.
10	The MIP considers reporting on the results by co-authoring a paper with the modelling groups.

1086

1087 **Table 2.** Overview of DECK and ~~the~~ CMIP6 ~~Historical Simulation~~ historical simulations providing  
 1088 the experiment short names, the CMIP6 ~~label,~~ labels, brief experiment ~~description~~ descriptions, the  
 1089 forcing methods as well as the start and end year and minimum number of years per  
 1090 ~~experiment~~ experiment and its major purpose. The DECK and CMIP6 ~~Historical~~  
 1091 ~~Simulation~~ historical simulation are used to characterize the CMIP model ensemble. Given resource  
 1092 limitations, these entry card simulations for CMIP include only one ensemble member per  
 1093 experiment. However, we strongly encourage model groups to submit at least three ensemble  
 1094 members for the CMIP ~~Historical Simulation~~ historical simulation as requested in DAMIP. Large  
 1095 ensembles of AMIP simulations are also encouraged. ~~“All” in~~ In the “forcing methods” column,  
 1096 ~~“All”~~ means “volcanic, solar and anthropogenic forcings”. All experiments are started on 1 January  
 1097 and end at 31 December of the specified years.

<u>Experiment short name</u>	CMIP6 label	Experiment description	Forcing methods	Start Year	End Year	Minimum # Years Per Simulation	Major purpose
<b>DECK Experiments</b>							
<del>Historical</del> AMIP	<i>amip</i>	Observed SSTs and SICs prescribed	All; CO <sub>2</sub> concentration <del>-driven</del> <u>prescribed</u>	1979	2014	36	Evaluation, variability
<del>Prepre-</del> industrial control	<del>piControl</del> <u>or</u> <del>esm-</del> <u>piControl</u>	Coupled atmosphere/ocean pre-industrial control	CO <sub>2</sub> <del>emission-or</del> concentration <del>-driven</del> <u>prescribed or calculated</u>	<del>1850n/</del> <u>a</u>	n/a	500	Evaluation, unforced variability
<del>Quadruple</del> <u>abrupt quadrupling of CO<sub>2</sub> abruptly, then held fixed concentration</u>	<del>abrupt4x</del> <u>CO<sub>2</sub>abrupt-4xCO<sub>2</sub></u>	CO <sub>2</sub> abruptly quadrupled and then held constant	CO <sub>2</sub> concentration <del>-driven</del> <u>prescribed</u>	n/a	n/a	150	Climate sensitivity, feedbacks, fast responses
1% yr <sup>-1</sup> CO <sub>2</sub> <u>concentration increase</u>	<i>1pctCO2</i>	CO <sub>2</sub> prescribed to increase at 1% yr <sup>-1</sup>	CO <sub>2</sub> concentration <del>-driven</del> <u>prescribed</u>	n/a	n/a	150	Climate sensitivity, feedbacks, idealized benchmark
<b>CMIP6 <del>Historical Simulation</del> <u>historical simulation</u></b>							

V. Eyring et al. Overview of the CMIP6 experimental design and organisation

<del>Past</del> past ~1.5 centuries	<i>historical</i> <u>or <i>esm-</i></u> <u><i>hist</i></u>	Simulation of the recent past	All; CO <sub>2</sub> <del>emission or</del> concentration <del>-driven</del> <u>prescribed or</u> <u>calculated</u>	1850	201 4	165	Evaluation
--	--	----------------------------------	--	------	----------	-----	------------

1099 **Table 3.** List of CMIP6-Endorsed MIPs along with the long name of the MIP, the primary goal(s)  
 1100 and the main CMIP6 science theme as displayed in Fig. 2. Each of these MIPs is described in more  
 1101 detail in a separate contribution to this Special Issue. MIPs marked with \* are Diagnostic-MIPs.

Short name of MIP	Long name of MIP	Primary Goal(s) in CMIP6	Main CMIP6 Science Theme
<b>AerChemMIP</b>	Aerosols and Chemistry Model Intercomparison Project	a) Diagnosing forcings and feedbacks of tropospheric aerosols, tropospheric ozone precursors and the chemically reactive WMGHGs; b) Documenting and understanding past and future changes in the chemical composition of the atmosphere; c) Estimating the global to regional climate response from these changes.	Chemistry / Aerosols
<b>C<sup>4</sup>MIP</b>	Coupled Climate Carbon Cycle Model Intercomparison Project	Understanding and quantifying future century-scale changes in the global carbon cycle and its feedbacks on the climate system, making the link between CO <sub>2</sub> emissions and climate change.	Carbon cycle
<b>CFMIP</b>	Cloud Feedback Model Intercomparison Project	Improved assessments of cloud feedbacks via a) improved understanding of cloud-climate feedback mechanisms and b) better evaluation of clouds and cloud feedbacks in climate models. Also improved understanding of circulation, regional-scale precipitation and non-linear changes.	Clouds / Circulation
<b>DAMIP</b>	Detection and Attribution Model Intercomparison Project	a) Estimating the contribution of external forcings to observed global and regional climate changes; b) Observationally constraining future climate change projections by scaling future GHG and other anthropogenic responses using regression coefficients derived for the historical period.	Characterizing forcings
<b>DCPP</b>	Decadal Climate Prediction Project	Predicting and understanding forced climate change and internal variability up to 10 years into the future through a coordinated set of hindcast experiments, targeted experiments to understand the physical processes, and the ongoing production of skilful decadal predictions.	Decadal prediction
<b>FAFMIP</b>	Flux-Anomaly-Forced Model Intercomparison Project	Explaining the model spread in climate projections of ocean climate change forced by CO <sub>2</sub> increase, especially regarding the geographical patterns and magnitude of sea-level change, ocean heat uptake and thermal expansion.	Ocean / Land / Ice
<b>GeoMIP</b>	Geoengineering Model Intercomparison Project	Assessing the climate system response (including on extreme events) to proposed radiation modification geoengineering schemes by evaluating their efficacies,	<del>Geo-</del> <a href="#">engineeringGeoengineering</a>

V. Eyring et al. Overview of the CMIP6 experimental design and organisation

		benefits, and side effects.	
<b>GMMIP</b>	Global Monsoons Model Intercomparison Project	a) Improve understanding of physical processes in global monsoons system; b) better simulating the mean state, interannual variability and long-term changes of global monsoons.	Regional phenomena
<b>HighResMIP</b>	High Resolution Model Intercomparison Project	Assessing the robustness of improvements in the representation of important climate processes with “weather-resolving” global model resolutions (~25km or finer), within a simplified framework using the physical climate system only with constrained aerosol forcing.	Regional phenomena
<b>ISMIP6</b>	Ice Sheet Model Intercomparison Project for CMIP6	Improving confidence in projections of the sea level rise associated with mass loss from the ice sheets of Greenland and Antarctica.	Ocean / Land / Ice
<b>LS3MIP</b>	Land Surface, Snow and Soil Moisture	Providing a comprehensive assessment of land surface, snow, and soil moisture-climate feedbacks, and diagnosing systematic biases in the land modules of current ESMs using constrained land-module only experiments.	Ocean / Land / Ice
<b>LUMIP</b>	Land-Use Model Intercomparison Project	Quantifying the effects of land use on climate and biogeochemical cycling (past-future), and assessing the potential for alternative land management strategies to mitigate climate change.	Land use
<b>OMIP</b>	Ocean Model Intercomparison Project	Provide a framework for evaluating, understanding, and improving ocean, sea-ice, and biogeochemical, including inert tracers, components of climate and <del>earth</del> Earth system models contributing to CMIP6. Protocols are provided to perform coordinated ocean/sea-ice/tracer/ <del>biogeochemisty</del> biogeochemistry simulations forced with common atmospheric datasets.	Ocean / Land / Ice
<b>PMIP</b>	Paleoclimate Modelling Intercomparison Project	a) Analysing the response to forcings and major feedbacks for past climates outside the range of recent variability; b) Assessing the credibility of climate models used for future climate projections.	Paleo
<b>RFMIP</b>	Radiative Forcing Model Intercomparison Project	a) Characterizing the global and regional effective radiative forcing for each model for historical and 4xCO <sub>2</sub> simulations; b) Assessing the absolute accuracy of clear-sky radiative transfer parameterizations; c) Identifying the robust impacts of aerosol radiative forcing during the historical period.	Characterizing forcings
<b>ScenarioMIP</b>	Scenario Model	a) Facilitating integrated research on the	Scenarios



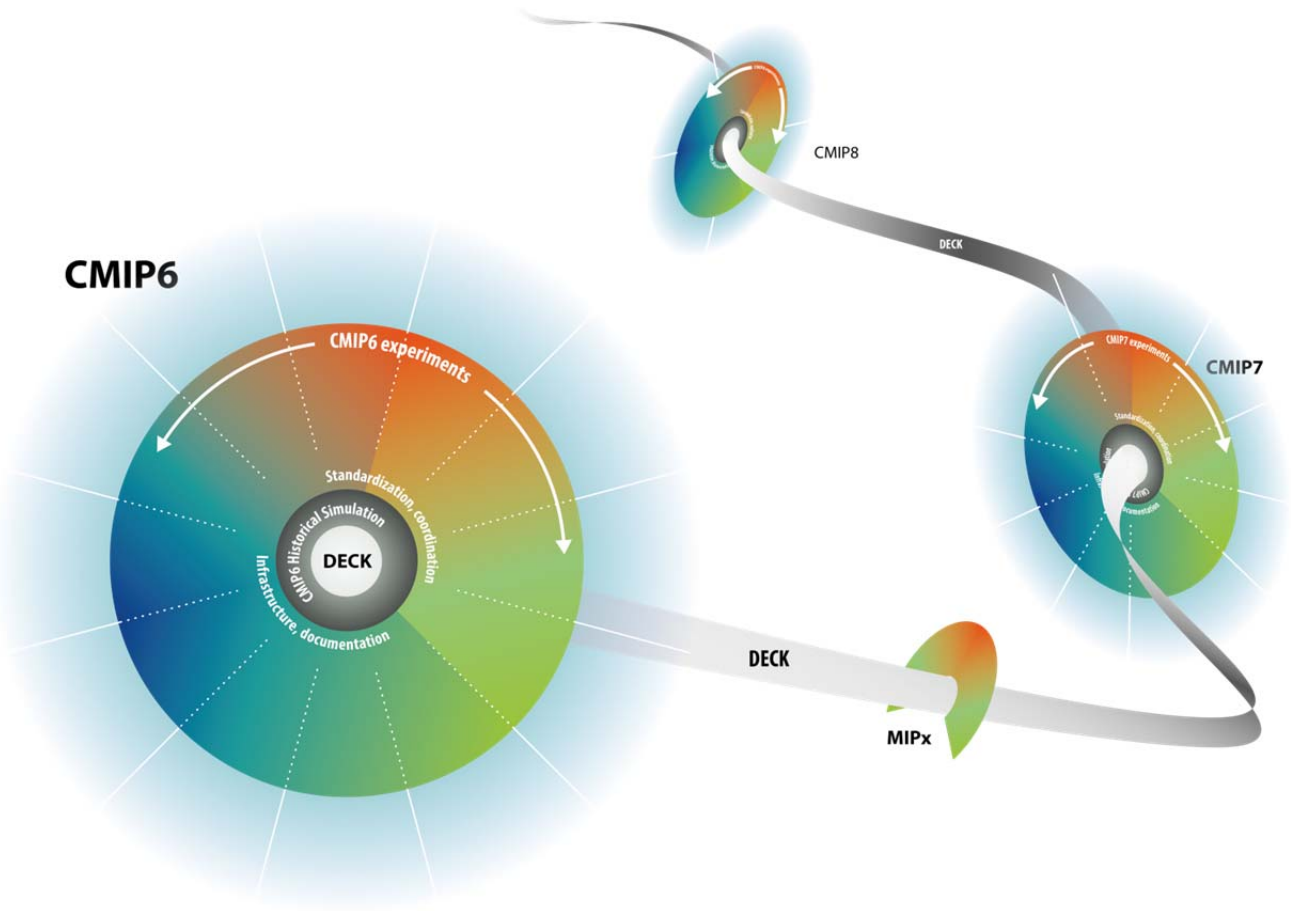
V. Eyring et al. Overview of the CMIP6 experimental design and organisation

	Intercomparison Project	impact of plausible future scenarios over physical and human systems, and on mitigation and adaptation options; b) addressing targeted studies on the effects of particular forcings in collaboration with other MIPs; c) help quantifying projection uncertainties based on multi-model ensembles and emergent constraints.	
<b>VolMIP</b>	Volcanic Forcings Model Intercomparison Project	a) Assessing to what extent responses of the coupled ocean-atmosphere system to strong volcanic forcing are robustly simulated across state-of-the-art coupled climate models; b) Identifying the causes that limit robust simulated behaviour, especially differences in their treatment of physical processes	Characterizing forcings
<b>CORDEX*</b>	Coordinated Regional Climate Downscaling Experiment	Advancing and coordinating the science and application of regional climate downscaling (RCD) through statistical and dynamical downscaling of CMIP DECK, CMIP6 <b>Historical Simulation</b> <del>historical</del> , and ScenarioMIP output.	Impacts
<b>DynVar*</b>	Dynamics and Variability of the Stratosphere-Troposphere System	Defining and analysing diagnostics that enable a mechanistic approach to confront model biases and understand the underlying causes behind circulation changes with a particular emphasis on the two-way coupling between the troposphere and the stratosphere.	Clouds / Circulation
<b>SIMIP*</b>	Sea-Ice Model Intercomparison Project	Understanding the role of sea-ice and its response to climate change by defining and analysing a comprehensive set of variables and process-oriented diagnostics that describe the sea-ice state and its atmospheric and ocean forcing.	Ocean / Land / Ice
<b>VIACS AB*</b>	Vulnerability, Impacts, Adaptation and Climate Services Advisory Board for CMIP6	Facilitating a two-way dialogue between the CMIP6 modelling community and VIACS experts, who apply CMIP6 results for their numerous research and climate services, towards an informed construction of model scenarios and simulations and the design of online diagnostics, metrics, and visualization of relevance to society.	Impacts

**Table A1.** Specifications in the DECK and CMIP6 ~~Historical Simulation~~ historical simulations.

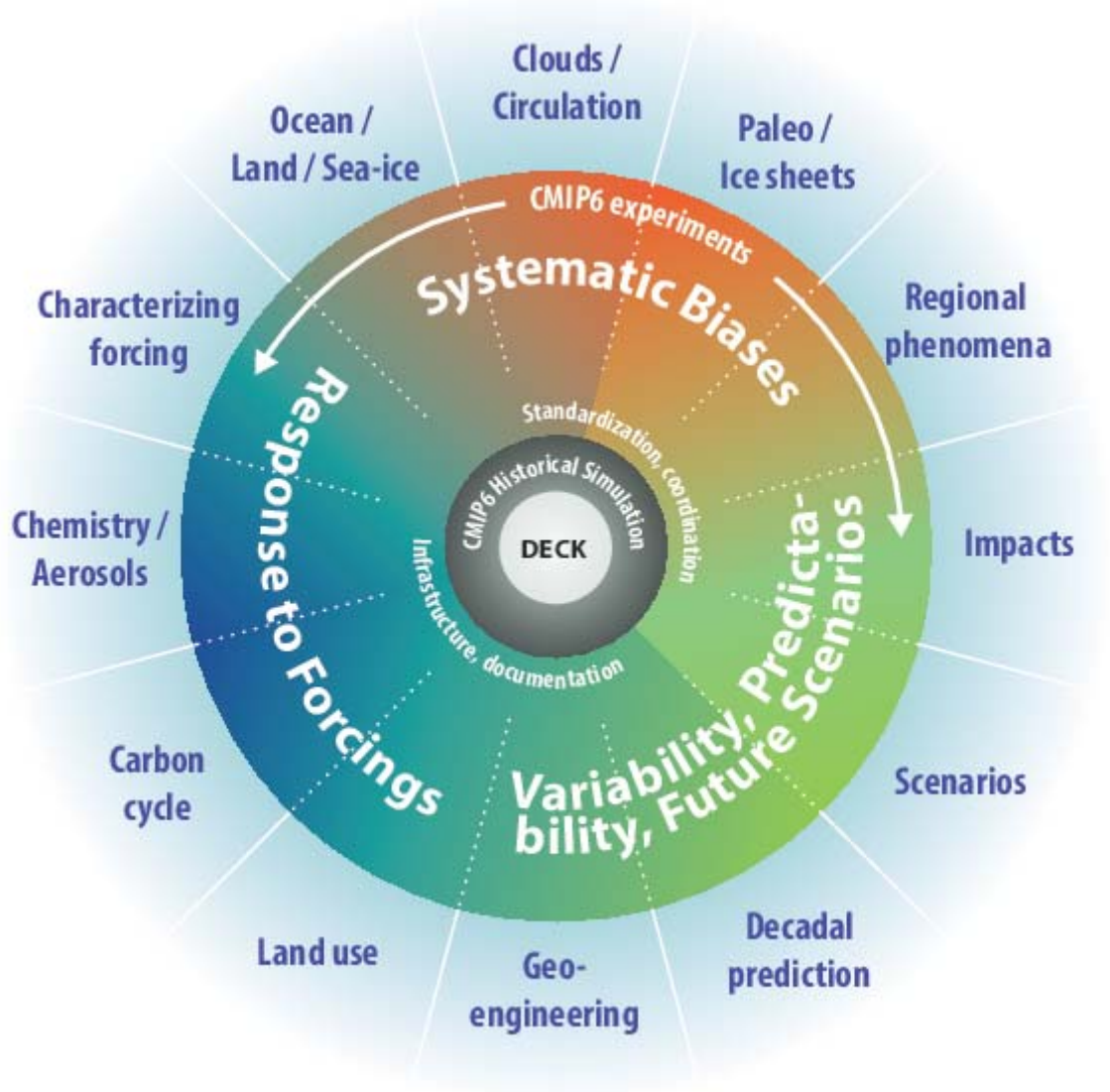
Experiment	Volcanic Stratospheric Aerosol	Solar Variability	Anthropogenic forcings
<i>amip</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<i>piControl</i>	Background volcanic aerosol that results in radiative forcing matching, as closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014 mean)	Fixed at its mean value (no 11 year solar cycle) over the first two solar cycles of the historical simulation (i.e., the 1850 – <del>1871</del> <u>1873</u> mean)	Given that the historical <del>starts simulations</del> <u>start</u> in 1850, the <i>piControl</i> should have fixed 1850 atmospheric composition, not true pre-industrial
<u><i>esm-piControl</i></u>	<u>As in <i>piControl</i></u>	<u>As in <i>piControl</i></u>	<u>As in <i>piControl</i> but with CO<sub>2</sub> concentration calculated, rather than prescribed. CO<sub>2</sub> from both fossil fuel combustion and land use change are prescribed to be zero.</u>
<u><i>abrupt4xCO2</i></u> <del><i>abrupt-4xCO2</i></del>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO <sub>2</sub> that is four times <i>piControl</i>
<i>1pctCO2</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO <sub>2</sub> that is increasing at 1%/yr <sup>-1</sup>
<i>historical</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<u><i>esm-hist</i></u>	<u>As in <i>historical</i></u>	<u>As in <i>historical</i></u>	<u>As in <i>historical</i> but with CO<sub>2</sub> emissions prescribed and CO<sub>2</sub> concentration calculated (rather than prescribed)</u>

1104 FIGURES



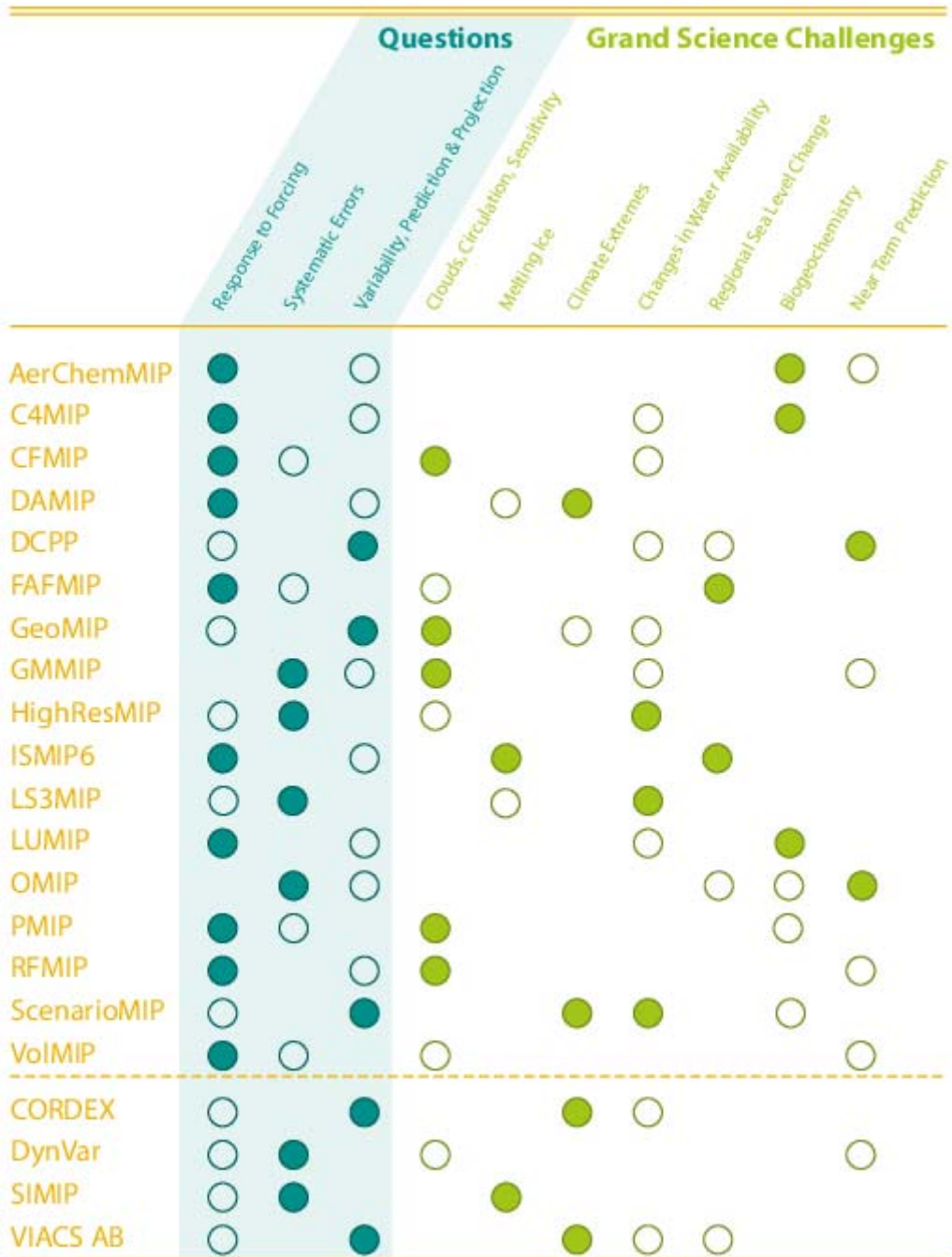
1105

1106 | **Figure 1.** CMIP evolution. CMIP will evolve but the DECK will provide continuity across different  
1107 phases.



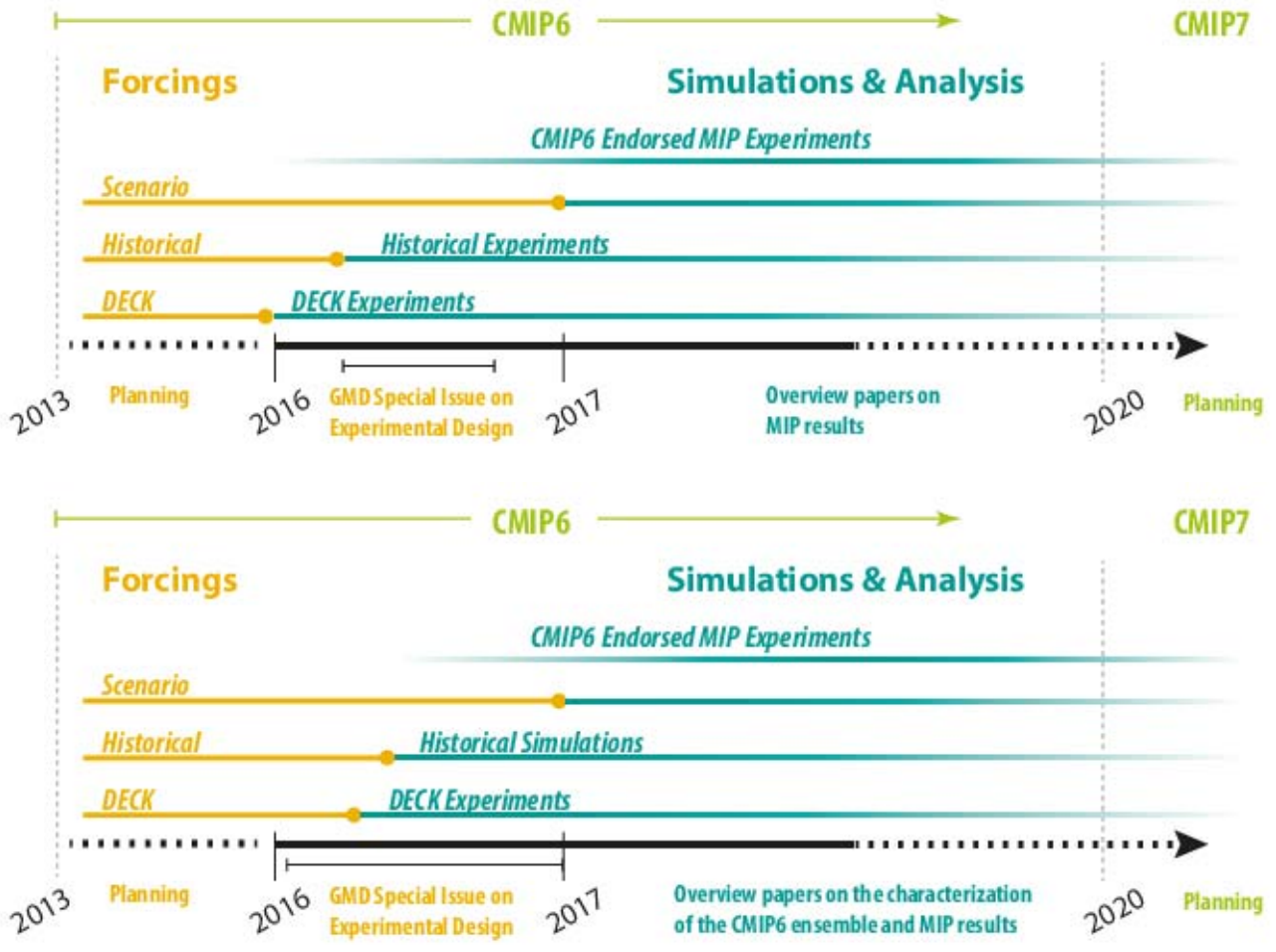
1108

1109 **Figure 2.** Schematic of the CMIP/CMIP6 experiment design. The inner ring and surrounding white  
 1110 text involve standardized functions of all CMIP DECK experiments and the CMIP6 ~~Historical~~  
 1111 ~~Simulation~~historical simulation. The middle ring shows science topics related specifically to CMIP6  
 1112 ~~to be~~that are addressed by the CMIP6-Endorsed MIPs, with MIP topics shown in the outer ring. This  
 1113 framework is superimposed on the scientific backdrop for CMIP6 which are the seven WCRP Grand  
 1114 Science Challenges.



1115

1116 **Figure 3.** Contributions of CMIP6-Endorsed MIPs to the three CMIP6 science questions and the  
 1117 WCRP Grand Science Challenges. A filled circle indicates highest priority and an open circle,  
 1118 second highest priority. Some of the MIPs additionally contribute with lower priority to other CMIP6  
 1119 science questions or WCRP Grand Science Challenges.



1120

1121

1122 **Figure 4.** CMIP6 ~~Timeline~~ Timeline for the preparation of forcings, the realization of  
 1123 simulation~~experiments~~ experiments and their analysis.