

1 **Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6)**  
2 **Experimental Design and Organisation**

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16 **Abstract.** By coordinating the design and distribution of global climate model simulations of the  
17 past, current and future climate, the Coupled Model Intercomparison Project (CMIP) has become one  
18 of the foundational elements of climate science. However, the need to address an ever-expanding  
19 range of scientific questions arising from more and more research communities has made it  
20 necessary to revise the organization of CMIP. After a long and wide community consultation, a new  
21 and more federated structure has been put in place. It consists of three major elements: (1) a handful  
22 of common experiments, the DECK (Diagnostic, Evaluation and Characterization of Klima) and  
23 CMIP historical simulations (1850 – near-present) that will maintain continuity and help document  
24 basic characteristics of models across different phases of CMIP, (2) common standards,  
25 coordination, infrastructure and documentation that will facilitate the distribution of model outputs  
26 and the characterization of the model ensemble, and (3) an ensemble of CMIP-Endorsed Model  
27 Intercomparison Projects (MIPs) that will be specific to a particular phase of CMIP (now CMIP6)  
28 and that will build on the DECK and CMIP historical simulations to address a large range of specific  
29 questions and fill the scientific gaps of the previous CMIP phases. The DECK and CMIP historical  
30 simulations, together with the use of CMIP data standards, will be the entry cards for models  
31 participating in CMIP. The participation in the CMIP6-Endorsed MIPs will be at the discretion of the  
32 modelling groups, and will depend on their scientific interests and priorities. With the Grand Science  
33 Challenges of the World Climate Research Programme (WCRP) as its scientific backdrop, CMIP6  
34 will address three broad questions: (i) How does the Earth system respond to forcing?, (ii) What are  
35 the origins and consequences of systematic model biases?, and (iii) How can we assess future climate  
36 changes given internal climate variability, predictability and uncertainties in scenarios? This CMIP6  
37 overview paper presents the background and rationale for the new structure of CMIP, provides a  
38 detailed description of the DECK and CMIP6 historical simulations, and includes a brief introduction  
39 to the 21 CMIP6-Endorsed MIPs.

## 40 1. Introduction

41 The Coupled Model Intercomparison Project (CMIP) organized under the auspices of the World  
42 Climate Research Programme's (WCRP) Working Group on Coupled Modelling (WGCM) started  
43 twenty years ago as a comparison of a handful of early global coupled climate models performing  
44 experiments using atmosphere models coupled to a dynamic ocean, a simple land surface, and  
45 thermodynamic sea ice (Meehl et al., 1997). It has since evolved over five phases into a major  
46 international multi-model research activity (Meehl et al., 2000; Meehl et al., 2007; Taylor et al.,  
47 2012) that has not only introduced a new era to climate science research, but has also become a  
48 central element of national and international assessments of climate change (e.g., IPCC (2013)). An  
49 important part of CMIP is to make the multi-model output publically available in a standardized  
50 format for analysis by the wider climate community and users. The standardization of the model  
51 output in a specified format, and the collection, archival, and access of the model output through the  
52 Earth System Grid Federation (ESGF) data replication centres have facilitated multi-model analyses.

53 The objective of CMIP is to better understand past, present and future climate change arising from  
54 natural, unforced variability or in response to changes in radiative forcings in a multi-model context.  
55 Its increasing importance and scope is a tremendous success story, but this very success poses  
56 challenges for all involved. Coordination of the project has become more complex as CMIP includes  
57 more models with more processes all applied to a wider range of questions. To meet this new interest  
58 and to address a wide variety of science questions from more and more scientific research  
59 communities, reflecting the expanding scope of comprehensive modelling in climate science, has put  
60 pressure on CMIP to become larger and more extensive. Consequently, there has been an explosion  
61 in the diversity and volume of requested CMIP output from an increasing number of experiments  
62 causing challenges for CMIP's technical infrastructure (Williams et al., 2015). Cultural and  
63 organizational challenges also arise from the tension between expectations that modelling centres  
64 deliver multiple model experiments to CMIP yet at the same time advance basic research in climate  
65 science.

66 In response to these challenges, we have adopted a more federated structure for the sixth phase of  
67 CMIP (i.e., CMIP6) and subsequent phases. Whereas past phases of CMIP were usually described  
68 through a single overview paper, reflecting a centralized and relatively compact CMIP structure, this  
69 GMD Special Issue describes the new design and organization of CMIP, the suite of experiments,  
70 and its forcings, in a series of invited contributions. In this paper, we provide the overview and  
71 backdrop of the new CMIP structure as well as the main scientific foci that CMIP6 will address. We

72 begin by describing the new organizational form for CMIP and the pressures that it was designed to  
73 alleviate (Section 2). It also contains a description of a small set of simulations for CMIP which are  
74 intended to be common to all participating models (Section 3), details of which are provided in an  
75 Appendix. We then present a brief overview of CMIP6 that serves as an introduction to the other  
76 contributions to this Special Issue (Section 4), and we close with a summary.

77

## 78 **2. CMIP design - a more continuous and distributed organization**

79 In preparing for CMIP6, the CMIP Panel (the authors of this paper), which traditionally has the  
80 responsibility for direct coordination and oversight of CMIP, initiated a two year process of  
81 community consultation. This consultation involved the modelling centres whose contributions form  
82 the substance of CMIP as well as communities that rely on CMIP model output for their work.  
83 Special meetings were organized to reflect on the successes of CMIP5 as well as the scientific gaps  
84 that remain or have since emerged. The consultation also sought input through a community survey,  
85 the scientific results of which are described by Stouffer et al. (2015)<sup>1</sup>. Four main issues related to the  
86 overall structure of CMIP were identified.

87 First, we identified a growing appreciation of the scientific potential to use results across different  
88 CMIP phases. Such approaches however require an appropriate experimental design to facilitate the  
89 identification of an ensemble of models with particular properties drawn from different phases of  
90 CMIP (e.g., Rauser et al. (2014)). At the same time it was recognized that an increasing number of  
91 Model Intercomparison Projects (MIPs) were being organized independent of CMIP, the data  
92 structure and output requirements were often inconsistent, and the relationship between the models  
93 used in the various MIPs was often difficult to determine, in which context measures to help  
94 establish continuity across MIPs or phases of CMIP would also be welcome.

95 Second, the scope of CMIP was taxing the resources of modelling centres making it impossible for  
96 many to consider contributing to all the proposed experiments. By providing a better basis to help  
97 modelling centres decide exactly which subset of experiments to perform it was thought that it might  
98 be possible to minimize fragmented participation in CMIP6. A more federated experimental protocol  
99 could also encourage modelling centres to develop intercomparison studies based on their own  
100 strategic goals.

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<sup>1</sup> Stouffer, R. J., Eyring, V., Meehl, G. A., Bony, S., Senior, C., Stevens, B., and Taylor, K. E.: CMIP5 Scientific Gaps and Recommendations for CMIP6, BAMS, submitted, 2015.

101 Third, some centres expressed the view that the punctuated structure of CMIP had begun to distort  
102 the model development process. Defining a protocol that allowed modelling centres to decouple their  
103 model development from the CMIP schedule would offer additional flexibility, and perhaps  
104 encourage modelling centres to finalize their models and submit some of their results sooner on their  
105 own schedule.

106 Fourth and finally, many groups expressed a desire for particular phases of CMIP to be more than  
107 just a collection of MIPs, but rather to reflect the strategic goals of the climate science community, as  
108 for instance articulated by WCRP. By focusing a particular phase of CMIP around specific scientific  
109 issues, it was felt that the modelling resources could be more effectively applied to those scientific  
110 questions that had matured to a point where coordinated activities were expected to have substantial  
111 impact.

112 A variety of mechanisms were proposed and intensely debated to address these issues. The outcome  
113 of these discussions is embodied in the new CMIP structure, which has three major components.  
114 First, the identification of a handful of common experiments, the DECK (Diagnostic, Evaluation and  
115 Characterization of Klima) and CMIP historical simulations, which can be used to establish model  
116 characteristics and serves as its “entry card” for participating in one of CMIP’s phases or in other  
117 MIPs organized between CMIP phases, as depicted in Fig. 1. Second, common standards,  
118 coordination, infrastructure and documentation that facilitate the distribution of model outputs and  
119 the characterization of the model ensemble, and third, the adoption of a more federated structure,  
120 building on more autonomous CMIP-Endorsed MIPs.

121 Realising the idea of a particular phase of CMIP being centred on a collection of more autonomous  
122 MIPs required the development of procedures for soliciting and evaluating MIPs in light of the  
123 scientific focus chosen for CMIP6. These procedures were developed and implemented by the CMIP  
124 Panel. The responses to the CMIP5 survey helped inform a series of workshops and resulted in a  
125 draft experiment design for CMIP6. This initial design for CMIP6 was published in early 2014  
126 (Meehl et al., 2014) and was open for comments from the wider community until mid-September  
127 2014. In parallel to the open review of the design, the CMIP Panel distributed an open call for  
128 proposals for MIPs in April 2014. These proposals were broadly reviewed within WCRP with the  
129 goal to encourage and enhance synergies among the different MIPs, to avoid overlapping  
130 experiments, to fill gaps, and to help ensure that the WCRP Grand Science Challenges would be  
131 addressed. Revised MIP proposals were requested and evaluated by the CMIP Panel in summer  
132 2015. The selection of MIPs was based on the CMIP Panel’s evaluation of ten endorsement criteria

133 (Table 1). To ensure community engagement, an important criterion was that enough modelling  
134 groups (at least eight) were willing to perform all of the MIP's highest priority (Tier 1) experiments  
135 and providing all the requested diagnostics needed to answer at least one of its leading science  
136 questions. For each of the selected CMIP6-Endorsed MIPs it turned out that at least ten modelling  
137 groups indicated their intent to participate in at least Tier 1 experiments, thus attesting to the wide  
138 appeal and level of science interest from the climate modelling community.

139

### 140 3. The DECK and CMIP historical simulations

141 The DECK comprises four baseline experiments: (a) a historical Atmospheric Model  
142 Intercomparison Project (*amip*) simulation, (b) a pre-industrial control simulation (*piControl* or *esm-*  
143 *piControl*), (c) a simulation forced by an abrupt quadrupling of CO<sub>2</sub> (*abrupt-4xCO2*) and (d) a  
144 simulation forced by a 1% yr<sup>-1</sup> CO<sub>2</sub> increase (*1pctCO2*). CMIP also includes a historical simulation  
145 (*historical* or *esm-hist*) that spans the period of extensive instrumental temperature measurements  
146 from 1850 to the present. In naming the experiments, we distinguish between simulations with CO<sub>2</sub>  
147 concentrations calculated and anthropogenic sources of CO<sub>2</sub> prescribed (*esm-picontrol* and *esm-hist*)  
148 and simulations with prescribed CO<sub>2</sub> concentrations (all others). Hereafter, models that can calculate  
149 atmospheric CO<sub>2</sub> concentration and account for the fluxes of CO<sub>2</sub> between the atmosphere, the  
150 ocean, and biosphere are referred to as Earth System Models (ESMs).

151 The experiments chosen to be included in the DECK are well suited for evaluating models and for  
152 understanding important climate change response characteristics. For these reasons, these  
153 experiments are already commonly performed by modelling groups as part of their model  
154 development cycle. Modelling groups also commonly perform simulations of the historical period,  
155 but reconstructions of the external conditions imposed on historical runs (e.g., land-use changes)  
156 continue to evolve significantly, influencing the simulated climate. In order to distinguish among the  
157 historical simulations performed under different phases of CMIP, the historical simulations are  
158 labelled with the phase (e.g., "CMIP5 *historical*" or "CMIP6 *historical*"). Note that in AMIP runs,  
159 the dominating role of sea surface temperatures and the focus on recent decades means that for most  
160 purposes runs from different phases of CMIP can be compared near the Earth's surface despite some  
161 differences in other imposed conditions.

162 The persistence and consistency of the DECK will make it possible to track changes in performance  
163 and response characteristics over future generations of models and CMIP phases. Although this core  
164 set of experiments is not expected to evolve much, additional experiments may become well enough

165 established as benchmarks (routinely run by modelling groups as they develop new model versions)  
166 so that in the future they might be migrated into the DECK. The common practice of including the  
167 DECK in model development efforts means that models can contribute to CMIP without carrying out  
168 additional computationally burdensome experiments. All of the DECK and CMIP historical  
169 simulations were included in the core set performed under CMIP5 (Taylor et al., 2012), and all but  
170 the *abrupt-4xCO2* simulation were included in even earlier CMIP phases.

171 Under CMIP, credentials of the participating atmospheric-ocean general circulation models  
172 (AOGCMs) and ESMs are established by performing the DECK and CMIP historical simulations, so  
173 these experiments are required from all models. Together these experiments document the mean  
174 climate and response characteristics of models. They should be run for each model configuration  
175 used in a CMIP-Endorsed MIP. A change in model configuration includes any change that might  
176 affect its simulations other than "noise" expected from different realizations. This would include, for  
177 example, a change in model resolution, physical processes, or atmospheric chemistry treatment. If an  
178 ESM is used in both CO<sub>2</sub> emission-driven mode and CO<sub>2</sub> concentration-driven mode in subsequent  
179 CMIP6-Endorsed MIPs, then both emission-driven and concentration-driven control and historical  
180 simulations should be done and they will be identical in all forcings except the treatment of CO<sub>2</sub>.

181 The forcing datasets that will drive the DECK and CMIP6 historical simulations are described  
182 separately in a series of invited contributions to this Special Issue. These articles also include some  
183 discussion of uncertainty in the datasets. The data will be provided by the respective author teams  
184 and made publicly available through the ESGF using common metadata and formats.

185 The historical forcings are based as far as possible on observations and cover the period 1850 to  
186 2014. These include:

- 187 • emissions of short-lived species and long-lived greenhouse gases (GHGs),
- 188 • GHG concentrations,
- 189 • global gridded land-use forcing datasets,
- 190 • solar forcing,
- 191 • stratospheric aerosol dataset (volcanoes),
- 192 • AMIP sea surface temperatures (SSTs) and sea-ice concentrations (SICs),

- 193 • for simulations with prescribed aerosols a new approach to prescribe aerosols in terms of  
194 optical properties and fractional change in cloud droplet effective radius to provide a more  
195 consistent representation of aerosol forcing, and
- 196 • for models without ozone chemistry time-varying gridded ozone concentrations and nitrogen  
197 deposition.

198 Some models might require additional forcing datasets (e.g., black carbon on snow or anthropogenic  
199 dust). Allowing model groups to use different forcing<sup>2</sup> datasets might better sample uncertainty, but  
200 makes it more difficult to assess the uncertainty in the response of models to the best estimate of the  
201 forcing, available to a particular CMIP phase. To avoid conflating uncertainty in the response of  
202 models to a given forcing, it is strongly preferred for models to be integrated with the same forcing,  
203 and for forcing uncertainty to be sampled in supplementary simulations. In any case it is important  
204 that all forcing datasets are documented and are made available alongside the model output on the  
205 ESGF. Likewise to the extent modelling centres simplify forcings, for instance by regridding or  
206 smoothing in time or some other dimension, this should also be documented.

207 For the future scenarios selected by ScenarioMIP, forcings are provided by the integrated assessment  
208 model (IAM) community for the period 2015 to 2100 or to 2300 for the extended simulations. For  
209 atmospheric emissions and concentrations as well as for land use these are harmonized across IAMs  
210 and scenarios similar to the CMIP5 procedure (van Vuuren et al., 2011) to ensure consistency with  
211 historical forcing datasets and between the different forcing categories. They are described elsewhere  
212 in this Special Issue, while the underlying IAM scenarios are described in a Special Issue in Global  
213 Environmental Change.

214 An important gap identified in CMIP5, and in previous CMIP phases, was a lack of careful  
215 quantification of the radiative forcings from the different specified external forcing factors (e.g.,  
216 GHGs, sulphate aerosols) in each model (Stouffer et al., 2015). This has impaired attempts to  
217 identify reasons for differences in model responses. The “effective radiative forcing” or ERF  
218 component of the Radiative Forcing MIP (RFMIP) includes “fixed SST” simulations to diagnose the  
219 forcing (‘RFMIP-lite’), which are further detailed in the corresponding contribution to this Special

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<sup>2</sup> Here we distinguish between an applied input perturbation (e.g. the imposed change in some model constituent, property, or boundary condition), which we refer to somewhat generically as a “forcing”, and *radiative* forcing, which can be precisely defined. Even if the forcings are identical, the resulting *radiative* forcing depends on a model’s radiation scheme (among other factors) and will differ among models.



220 Issue. Although not included as part of the DECK, in recognition of this deficiency in past phases of  
221 CMIP we encourage all CMIP6 modelling groups to participate in RFMIP-lite. This modest effort  
222 would enable the radiative forcing to be characterized for both historic and future scenarios across  
223 the model ensemble and would lead to a step change in the understanding of the spread of model  
224 responses for CMIP6.

225 An overview of the main characteristics of the DECK and CMIP6 historical simulations appears in  
226 Table 2. Here we briefly describe these experiments. Detailed specifications for the DECK and  
227 CMIP6 historical simulations are provided in Appendix A and are summarized in Table A1.

### 228 **3.1. The DECK**

229 The AMIP and pre-industrial control simulations of the DECK provide opportunities for evaluating  
230 the atmospheric model and the coupled system, and in addition they establish a baseline for  
231 performing many of the CMIP6 experiments. Many experiments branch from, and are compared  
232 with, the pre-industrial control. Similarly, a number of diagnostic atmospheric experiments use  
233 AMIP as a control. The idealized CO<sub>2</sub>-forced experiments in the DECK (1% yr<sup>-1</sup> CO<sub>2</sub> and abrupt  
234 4xCO<sub>2</sub> increases), despite their simplicity, can reveal fundamental forcing and feedback response  
235 characteristics of models.

236 For nearly three decades, AMIP simulations (Gates et al., 1999) have been routinely relied on by  
237 modelling centres to help in the evaluation of the atmospheric component of their models. In AMIP  
238 simulations, the SSTs and SICs are prescribed based on observations. The idea is to analyse and  
239 evaluate the atmospheric and land components of the climate system when they are constrained by  
240 the observed ocean conditions. These simulations can help identify which model errors originate in  
241 the atmosphere, land, or their interactions, and they have proven useful in addressing a great variety  
242 of questions pertaining to recent climate changes. The AMIP simulations performed as part of the  
243 DECK cover at least the period from January 1979 to December 2014. The end date will continue to  
244 evolve as the SSTs and SICs are updated with new observations. Besides prescription of ocean  
245 conditions in these simulations, realistic forcings are imposed that should be identical to those  
246 applied in the CMIP historical simulations. Large ensembles of AMIP simulations are encouraged as  
247 they can help to improve the signal to noise ratio (Li et al., 2015).

248 The remaining three experiments in the DECK are premised on the coupling of the atmospheric and  
249 oceanic circulation. The pre-industrial control simulation (*piControl* or *esm-piControl*) is performed  
250 under conditions chosen to be representative of the period prior to the onset of large-scale  
251 industrialization with 1850 being the reference year. Historically, the industrial revolution began in

252 the 18<sup>th</sup> century, and in nature the climate in 1850 was not stable as it was already changing due to  
253 prior historical changes in radiative forcings. In CMIP6, however, as in earlier CMIP phases, the  
254 control simulation is an attempt to produce a stable quasi-equilibrium climate state under 1850  
255 conditions. When discussing and analysing historical and future radiative forcings, it needs to be  
256 recognized that the radiative forcing in 1850 due to anthropogenic greenhouse gas increases alone  
257 was already around  $0.25 \text{ W/m}^2$  (Cubasch, 2013) although aerosols might have offset that to some  
258 extent. In addition, there were other pre-1850 secular changes, for example in land use (Hurtt et al.,  
259 2011), and as a result, global net annual emissions of carbon from land use and land-use change  
260 already were responsible in 1850 for about  $0.6 \text{ PgC/yr}$  (Houghton, 2010). Under the assumptions of  
261 the control simulation, however, there are no secular changes in forcing, so the concentrations and/or  
262 sources of atmospheric constituents (e.g., GHGs and emissions of short-lived species) as well as land  
263 use are held fixed, as are Earth's orbital characteristics. Because of the absence of both naturally  
264 occurring changes in forcing (e.g., volcanoes, orbital or solar changes) and human-induced changes,  
265 the control simulation can be used to study the unforced internal variability of the climate system.

266 An initial climate “spin-up” portion of a control simulation, during which the climate begins to come  
267 into balance with the forcing, is usually performed. At the end of the “spin-up” period, the *piControl*  
268 starts. The *piControl* serves as a baseline for experiments that branch from it. To account for the  
269 effects of any residual drift, it is required that the *piControl* simulation extends as far beyond the  
270 branching point as any experiment to which it will be compared. Only then can residual climate drift  
271 in an experiment be removed so that it is not misinterpreted as part of the model's forced response.  
272 The recommended minimum length for the *piControl* is 500 years.

273 The two DECK ‘climate change’ experiments branch from some point in the 1850 control simulation  
274 and are designed to document basic aspects of the climate system response to greenhouse gas  
275 forcing. In the first, the  $\text{CO}_2$  concentration is immediately and abruptly quadrupled from January  
276 1850 values. This *abrupt-4xCO2* simulation has proven to be useful for characterizing the radiative  
277 forcing that arises from an increase in atmospheric  $\text{CO}_2$  as well as changes that arise indirectly due to  
278 the warming. It can also be used to estimate a model's equilibrium climate sensitivity (ECS, Gregory  
279 et al. (2004)). In the second, the  $\text{CO}_2$  concentration is increased gradually at a rate of 1% per year.  
280 This experiment has been performed in all phases of CMIP since CMIP2, and serves as a consistent  
281 and useful benchmark for analysing model transient climate response (TCR). The TCR takes into  
282 account the rate of ocean heat uptake which governs the pace of all time-evolving climate change  
283 (e.g., Murphy and Mitchell (1995)). In addition to the TCR, the 1%  $\text{CO}_2$  integration with ESMs that  
284 include explicit representation of the carbon cycle allows the calculation of the transient climate

285 response to cumulative carbon emissions (TCRE), defined as the transient global average surface  
286 temperature change per unit of accumulated CO<sub>2</sub> emissions (IPCC, 2013). Despite their simplicity,  
287 these experiments provide a surprising amount of insight into the behaviour of models subject to  
288 more complex forcing (e.g., Bony et al. (2013); Geoffroy et al. (2013)).

### 289 **3.2. CMIP historical simulations**

290 In addition to the DECK, CMIP challenges models to simulate the historical period, defined to begin  
291 in 1850 and extend to the near present (i.e., 2014 in CMIP6). The CMIP *historical* simulation and its  
292 CO<sub>2</sub>-emission-driven counterpart, *esm-hist*, branch from the *piControl* and *esm-piControl*,  
293 respectively (see details in A1.2). These simulations are forced, based on observations, by evolving,  
294 externally-imposed forcings such as solar variability, volcanic aerosols, and changes in atmospheric  
295 composition (GHGs, and aerosols) caused by human activities. The CMIP historical simulations  
296 provide rich opportunities to assess model ability to simulate climate, including variability and  
297 century time-scale trends (e.g., Flato et al. (2013)). When supplemented with additional experiments,  
298 the historical simulations can be used in detection and attribution studies (e.g., Stott et al. (2006)) to  
299 help interpret the extent to which observed climate change can be explained by different causes.

300 As in performing control simulations, models that include representation of the carbon cycle should  
301 normally perform two different CMIP historical simulations: one with prescribed CO<sub>2</sub> concentration  
302 and the other with prescribed CO<sub>2</sub> emissions (accounting explicitly for fossil fuel combustion). In the  
303 second CO<sub>2</sub> concentrations are “predicted” by the model. The treatment of other GHGs should be  
304 identical in both simulations. Both types of simulation are useful in evaluating how realistically the  
305 model represents the response of the carbon cycle anthropogenic CO<sub>2</sub> emissions, but the prescribed  
306 concentration simulation enables these more complex models to be evaluated fairly against those  
307 simpler models without representation of carbon cycle processes.

### 308 **3.3. Common standards, infrastructure and documentation**

309 A key to the success of CMIP and one of the motivations for incorporating a wide variety of  
310 coordinated modelling activities under a single framework in a specific phase of CMIP (now CMIP6)  
311 is the desire to reduce duplication of effort, minimize operational and computational burdens, and  
312 establish common practices in producing and analysing large amounts of model output. To enable  
313 automated processing of output from dozens of different models, CMIP has led the way in  
314 encouraging adoption of data standards (governing structure and metadata) that facilitate  
315 development of software infrastructure in support of coordinated modelling activities. The ESGF has  
316 capitalized on this standardization to provide access to CMIP model output hosted by institutions

317 around the world. As the complexity of CMIP has increased and as the potential use of model output  
318 expands beyond the research community, the evolution of the climate modelling infrastructure  
319 requires enhanced coordination. To help in this regard, the WGCM Infrastructure Panel (WIP) was  
320 set up (see details in the corresponding contribution to this Special Issue), and is now providing  
321 guidance on requirements and establishing specifications for model output, model and simulation  
322 documentation, and archival and delivery systems for CMIP6 data.

323 A more routine benchmarking and evaluation of the models is envisaged to be a central part of  
324 CMIP6. As noted above, one purpose of the DECK and CMIP historical simulations is to provide a  
325 basis for documenting model simulation characteristics. Towards that end an infrastructure is being  
326 developed to allow analysis packages to be routinely executed whenever new model experiments are  
327 contributed to the CMIP archive. These efforts utilize observations served by the ESGF contributed  
328 from the obs4MIPs (Ferraro et al., 2015; Teixeira et al., 2014) and ana4MIPs projects. Examples of  
329 available tools that target routine evaluation in CMIP include the PCMDI metrics software (Gleckler  
330 et al., 2016) and the Earth System Model Evaluation Tool (ESMValTool, Eyring et al. (2015)),  
331 which brings together established diagnostics such as those used in the evaluation chapter of IPCC  
332 AR5 (Flato et al., 2013). The ESMValTool also integrates other packages, such as the NCAR  
333 Climate Variability Diagnostics Package (Phillips et al., 2014), or diagnostics such as the cloud  
334 regime metric (Williams and Webb, 2009) developed by the Cloud Feedback MIP (CFMIP)  
335 community. These tools can be used to assess new models, and can help inform users of model  
336 output, as well as the modelling centres, as to the strengths and weaknesses of the simulations,  
337 including the extent to which long-standing model errors remain evident in newer models. Building  
338 such a community-based capability is not meant to replace how CMIP research is currently  
339 performed but rather to complement it. These tools can also be used to compute derived variables or  
340 indices alongside the ESGF, and their output could be provided back to the distributed ESGF  
341 archive.

## 342 **4. CMIP6**

### 343 **4.1. Scientific focus of CMIP6**

344 In addition to the DECK and CMIP historical simulations, a number of additional experiments will  
345 colour a specific phase of CMIP, now CMIP6. These experiments are likely to change from one  
346 CMIP phase to the next. To maximize the relevance and impact of CMIP6, it was decided to use the  
347 Grand Science Challenges (GCs) of the WCRP as the scientific backdrop of the CMIP6 experimental  
348 design. By promoting research on critical science questions for which specific gaps in knowledge  
349 have hindered progress so far, but for which new opportunities and more focused efforts raise the

350 possibility of significant progress on the timescale of 5-10 years, these GCs constitute a main  
351 component of the WCRP strategy to accelerate progress in climate science (Brasseur and Carlson,  
352 2015). Five such GCs have been identified, and two additional ones are under consideration. They  
353 relate to advancing (1) understanding of the role of clouds in the general atmospheric circulation and  
354 climate sensitivity (Bony et al., 2015), (2) assessing the response of the cryosphere to a warming  
355 climate and its global consequences, (3) understanding the factors that control water availability over  
356 land (Trenberth and Asrar, 2014), (4) assessing climate extremes, what controls them, how they have  
357 changed in the past and how they might change in the future (Alexander et al., 2015), (5)  
358 understanding and predicting regional sea-level change and its coastal impacts, (6) improving near-  
359 term climate predictions, and (7) determining how biogeochemical cycles and feedbacks control  
360 greenhouse gas concentrations and climate change.

361 These GCs will be using the full spectrum of observational, modelling and analytical expertise across  
362 the WCRP, and in terms of modelling most GCs will address their specific science questions through  
363 a hierarchy of numerical models of different complexities. Global coupled models obviously  
364 constitute an essential element of this hierarchy, and CMIP6 experiments will play a prominent role  
365 across all GCs by helping to answer the following three CMIP6 science questions: How does the  
366 Earth system respond to forcing? What are the origins and consequences of systematic model biases?  
367 How can we assess future climate change given internal climate variability, climate predictability,  
368 and uncertainties in scenarios?

369 These three questions will be at the centre of CMIP6. They will be addressed through a range of  
370 CMIP6-Endorsed MIPs that are organized by the respective communities and overseen by the CMIP  
371 Panel (Fig. 2). Through these different MIPs and their connection to the GCs, the goal is to fill some  
372 of the main scientific gaps of previous CMIP phases. This includes in particular facilitating the  
373 identification and interpretation of model systematic errors, improving the estimate of radiative  
374 forcings in past and future climate change simulations, facilitating the identification of robust climate  
375 responses to aerosol forcing during the historical period, better accounting of the impact of short-  
376 term forcing agents and land-use on climate, better understanding the mechanisms of decadal climate  
377 variability, along with many other issues not addressed satisfactorily in CMIP5 (Stouffer et al.,  
378 2015). In endorsing a number of these MIPs the CMIP panel acted to minimize overlaps among the  
379 MIPs and to reduce the burden on modelling groups, while maximizing the scientific  
380 complementarity and synergy among the different MIPs.

## 381 4.2. The CMIP6-Endorsed MIPs

382 Close to 30 suggestions for CMIP6 MIPs have been received so far of which 21 MIPs were  
383 eventually endorsed and invited to participate (Table 3). Of those not selected some were asked to  
384 work with other proposed MIPs with overlapping science goals and objectives. Of the 21 CMIP6-  
385 Endorsed MIPs, four are diagnostic in nature, which means that they define and analyse additional  
386 output, but do not require additional experiments. In the remaining 17 MIPs, a total of around 190  
387 experiments have been proposed resulting in 40,000 model simulation years with around half of  
388 these in Tier 1. The CMIP-Endorsed MIPs show broad coverage and distribution across the three  
389 CMIP6 science questions, and all are linked to the WCRP Grand Science Challenges (Fig. 3).

390 Each of the 21 CMIP6-Endorsed MIPs is described in a separate invited contribution to this Special  
391 Issue. These contributions will detail the goal of the MIP and the major scientific gaps the MIP is  
392 addressing, and will specify what is new compared to CMIP5 and previous CMIP phases. The  
393 contributions will include a description of the experimental design and scientific justification of each  
394 of the experiments for Tier 1 (and possibly beyond), and will link the experiments and analysis to the  
395 DECK and CMIP6 historical simulations. They will additionally include an analysis plan to fully  
396 justify the resources used to produce the various requested variables, and if the analysis plan is to  
397 compare model results to observations, the contribution will highlight possible model diagnostics  
398 and performance metrics specifying whether the comparison entails any particular requirement for  
399 the simulations or outputs (e.g. the use of observational simulators). In addition, possible  
400 observations and reanalysis products for model evaluation are discussed and the MIPs are  
401 encouraged to help facilitate their use by contributing them to the obs4MIPs/ana4MIPs archives at  
402 the ESGF (see Section 3.3). In some MIPs additional forcings beyond those used in the DECK and  
403 CMIP6 historical simulations are required, and these are described in the respective contribution as  
404 well.

405 A number of MIPs are developments and/or continuation of long standing science themes within  
406 CMIP. These include MIPs specifically addressing science questions related to cloud feedbacks and  
407 the understanding of spatial patterns of circulation and precipitation (CFMIP), carbon cycle  
408 feedbacks and the understanding of changes in carbon fluxes and stores (C<sup>4</sup>MIP), detection and  
409 attribution (DAMIP) that newly includes 21st-century GHG-only simulations allowing the projected  
410 responses to GHGs and other forcings to be separated and scaled to derive observationally-  
411 constrained projections, and paleoclimate (PMIP), which assesses the credibility of the model  
412 response to forcing outside the range of recent variability. These MIPs reflect the importance of key  
413 forcing and feedback processes in understanding past, present and future climate change and have

414 developed new experiments and science plans focused on emerging new directions that will be at the  
415 centre of the WCRP Grand Science Challenges. A few new MIPs have arisen directly from gaps in  
416 understanding in CMIP5 (Stouffer et al., 2015), for example poor quantification of radiative forcing  
417 (RFMIP), better understanding of ocean heat uptake and sea-level rise (FAFMIP), and understanding  
418 of model response to volcanic forcing (VolMIP).

419 Since CMIP5, other MIPs have emerged as the modelling community has developed more complex  
420 ESMs with interactive components beyond the carbon cycle. These include the consistent  
421 quantification of forcings and feedbacks from aerosols and atmospheric chemistry (AerChemMIP),  
422 and, for the first time in CMIP, modelling of sea-level rise from land-ice sheets (ISMIP6).

423 Some MIPs specifically target systematic biases focusing on improved understanding of the sea-ice  
424 state and its atmospheric and oceanic forcing (SIMIP), the physical and biogeochemical aspects of  
425 the ocean (OMIP), land, snow and soil moisture processes (LS3MIP), and improved understanding  
426 of circulation and variability with a focus on stratosphere-troposphere coupling (DynVar). With the  
427 increased emphasis in the climate science community on the need to represent and understand  
428 changes in regional circulation, systematic biases are also addressed on a more regional scale by the  
429 Global Monsoon MIP (GMMIP) and a first coordinated activity on high resolution modelling  
430 (HighResMIP).

431 For the first time future scenario experiments, previously coordinated centrally as part of the CMIP5  
432 ‘core’ experiments, will be run as a MIP ensuring clear definition and well-coordinated science  
433 questions. ScenarioMIP will run a new set of future long-term (century time scale) integrations  
434 engaging input from both the climate science and integrated assessment modelling communities. The  
435 new scenarios that are based on the shared socioeconomic pathways (SSPs, O’Neill et al. (2015)) -  
436 Representative Concentration Pathways (RCP) matrix span the same range as the CMIP5 RCPs  
437 (Moss et al., 2010), but fill critical gaps for intermediate forcing levels and questions, for example,  
438 on short-lived species and land-use. The near-term experiments (10–30 years) are coordinated by the  
439 decadal climate prediction project (DCPP) with improvements expected for example from the  
440 initialization of additional components beyond the ocean and from a more detailed process  
441 understanding and evaluation of the predictions to better identify sources and limits of predictability.

442 Other MIPs include specific future mitigation options, e.g. the land use MIP (LUMIP) that is for the  
443 first time in CMIP isolating regional land management strategies to study how different surface types  
444 respond to climate change and direct anthropogenic modifications, or the geoengineering MIP

445 (GeoMIP), which examines climate impacts of newly proposed radiation modification  
446 geoengineering strategies.

447 The diagnostic MIP CORDEX will oversee the downscaling of CMIP6 models for regional climate  
448 projections. Another historic development in our field that provides, for the first time in CMIP, an  
449 avenue for a more formal communication between the climate modelling and user community is the  
450 endorsement of the vulnerability, impacts and adaptation and climate services advisory board  
451 (VIACS AB). This diagnostic MIP requests certain key variables of interest to the VIACS  
452 community be delivered in a timely manner to be used by climate services and in impact studies.

453 All MIPs define output streams in the centrally coordinated CMIP6 data request for each of their  
454 own experiments as well as the DECK and CMIP6 historical simulations (see the CMIP6 data  
455 request contribution to this Special Issue for details). This will ensure that the required variables are  
456 stored at the frequency and resolution required to address the specific science questions and  
457 evaluation needs of each MIP and to enable a broad characterization of the performance of the  
458 CMIP6 models.

459 We note that only the Tier 1 MIP experiments are overseen by the CMIP Panel, but additional  
460 experiments are proposed by the MIPs in Tier 2 and 3. We encourage the modelling groups to  
461 participate in the full suite of experiments beyond Tier 1 to address in more depth the scientific  
462 questions posed.

463 The call for MIP applications for CMIP6 is still open and new proposals will be reviewed at the  
464 annual WGCM meetings. However, we point out that the additional MIPs suggested after the CMIP6  
465 data request has been finalized will have to work with the already defined model output from the  
466 DECK and CMIP6 historical simulations, or work with the modelling group to recover additional  
467 variables from their internal archives. We also point out that some experiments proposed by CMIP6-  
468 Endorsed MIPs may not be finished until after CMIP6 ends.

469

## 470 **5. Summary**

471 CMIP6 continues the pattern of evolution and adaptation characteristic of previous phases of CMIP.  
472 To center CMIP at the heart of activities within climate science and encourage links among activities  
473 within the World Climate Research Programme (WCRP), CMIP6 has been formulated scientifically  
474 around three specific themes, amidst the backdrop of the WCRP's seven Grand Science Challenges.  
475 To meet the increasingly broad scientific demands of the climate-science community, yet be



476 responsive to the individual priorities and resource limitations of the modelling centres, CMIP has  
477 adopted a new, more federated organizational structure.

478 CMIP has now evolved from a centralized activity involving a large number of experiments to a  
479 federated activity, encompassing many individually designed MIPs. CMIP6 comprises 21 individual  
480 CMIP6-Endorsed MIPs and the DECK and CMIP6 historical simulations. Four of the 21 CMIP6-  
481 Endorsed MIPs are diagnostic in nature, meaning that they require additional output from models,  
482 but not additional simulations. The total amount of output from CMIP6 is estimated to be between 20  
483 and 40 Petabytes, depending on model resolution and the number of modelling centres ultimately  
484 participating in CMIP6. Questions addressed in the MIPs are wide ranging, from the climate of  
485 distant past to the response of turbulent cloud processes to radiative forcing, from how the terrestrial  
486 biosphere influences the uptake of CO<sub>2</sub> to how much predictability is stored in the ocean, from how  
487 to best project near-term to long-term future climate changes while considering interdependences and  
488 differences in model performance in the CMIP6 ensemble, and from what regulates the distribution  
489 of tropospheric ozone, to the influence of land-use changes on water availability.

490 The last two years have been dedicated to conceiving and then planning what we now call CMIP6.  
491 Starting in 2016, the first modelling centres are expected to begin performing the DECK and  
492 uploading output on the ESGF. By May 2016 the forcings for the DECK and CMIP6 historical  
493 simulations will be ready, and by the end of 2016 the diverse forcings for different scenarios of  
494 future human activity will become available. Past experience suggests that most centres will  
495 complete their CMIP simulations within a few years while the analysis of CMIP6 results will likely  
496 go on for a decade or more (Fig. 4).

497 Through an intensified effort to align CMIP with specific scientific themes and activities we expect  
498 CMIP6 to continue CMIP's tradition of major scientific advances. CMIP6 simulations and scientific  
499 achievements are expected to support the IPCC Sixth Assessment Report (AR6) as well as other  
500 national and international climate assessments or special reports. Ultimately scientific progress will  
501 be the best measure of the success of CMIP6. Measures of success will include improved  
502 understanding of how the climate system works through the quantification of forcings and feedbacks,  
503 improved understanding and interpretation of systematic model biases and corresponding  
504 identification of ways to alleviate them for model improvements, and robust climate projections and  
505 uncertainty estimates for adaptation and mitigation policies.

506

507 **Data availability**

508 The model output from the DECK and CMIP6 historical simulations described in this paper will be  
509 distributed through the Earth System Grid Federation (ESGF) with digital object identifiers (DOIs)  
510 assigned. As in CMIP5, the model output will be freely accessible through data portals after  
511 registration. In order to document CMIP6's scientific impact and enable ongoing support of CMIP,  
512 users are obligated to acknowledge CMIP6, the participating modelling groups, and the ESGF  
513 centres (see details on the CMIP Panel website at [http://www.wcrp-climate.org/index.php/wgcm-](http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip)  
514 [cmip/about-cmip](http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip)). Further information about the infrastructure supporting CMIP6, the metadata  
515 describing the model output, and the terms governing its use are provided by the WGCM  
516 Infrastructure Panel (WIP) in their invited contribution to this Special Issue. Along with the data  
517 itself, the provenance of the data will be recorded, and DOI's will be assigned to collections of  
518 output so that they can be appropriately cited. This information will be made readily available so that  
519 published research results can be verified and credit can be given to the modelling groups providing  
520 the data. The WIP is coordinating and encouraging the development of the infrastructure needed to  
521 archive and deliver this information. In order to run the experiments, datasets for natural and  
522 anthropogenic forcings are required. These forcing datasets are described in separate invited  
523 contributions to this Special Issue. The forcing datasets will be made available through the ESGF  
524 with version control and DOIs assigned.

525

526 **Appendix A. Experiment Specifications**

527

528 **A1 Specifications for the DECK**

529 Here we provide information needed to perform the DECK, including specification of forcing and  
530 boundary conditions, initialization procedures, and minimum length of runs. This information is  
531 largely consistent with but not identical to the specifications for these experiments in CMIP5 (Taylor  
532 et al., 2009).

533 The DECK and CMIP6 historical simulations are requested from all models participating in CMIP.  
534 The expectation is that this requirement will be met for each model configuration used in the  
535 subsequent CMIP6-Endorsed MIPs (an entry card). In the special case where the burden of the entry  
536 card simulations are prohibitive but the scientific case for including a particular model simulation is  
537 compelling (despite only partial completion of the entry card simulations), an exception to this policy  
538 can be granted on a model by model basis by the CMIP Panel, which will seek advice from the chairs  
539 of the affected CMIP6-Endorsed MIP.

540 CMIP6 is a cooperative effort across the international climate modelling and climate science  
541 communities. The modelling groups have all been involved in the design and implementation of  
542 CMIP6, and thus have agreed to a set of best practices proposed for CMIP6. Those best practices  
543 include having the modelling groups submit the DECK experiments and the CMIP6 historical  
544 simulations to the ESGF, as well as any CMIP6-Endorsed-MIP experiments they choose to run.  
545 Additionally, the modelling groups decide what constitutes a new model version. Modelling groups  
546 are well aware that their model simulations are under considerable scrutiny. Therefore, we expect  
547 that as in the past, modelling groups will in good faith provide their highest quality model version  
548 and that it will differ from previous versions by substantive improvements in resolution, physics, or  
549 simulation skill. The CMIP Panel will work with the MIP co-chairs and the modelling groups to  
550 ensure that these best practices are followed.

551

552 **A1.1 AMIP simulation**

553 As in the first simulations performed under the Atmospheric Model Intercomparison Project (AMIP,  
554 Gates et al. (1999)), SSTs and SICs in AMIP experiments are prescribed consistent with observations  
555 (see details on this forcing dataset in the corresponding contribution to this Special Issue). Land  
556 models should be configured as close as possible to that used in the CMIP6 historical simulation

557 including transient land use and land cover. Other external forcings including volcanic aerosols, solar  
558 variability, GHG concentrations, and anthropogenic aerosols should also be prescribed consistent  
559 with those used in the CMIP6 historical simulation (see Section A2 below). Even though in AMIP  
560 simulations models with an active carbon cycle will not be fully interactive, surface carbon fluxes  
561 should be archived over land.

562 AMIP integrations can be initialized from prior model integrations or from observations or in other  
563 reasonable ways. Depending on the treatment of snow cover, soil water content, the carbon cycle,  
564 and vegetation, these runs may require a spin-up period of several years. One might establish quasi-  
565 equilibrium conditions consistent with the model by, for example, running with ocean conditions  
566 starting earlier in the 1970's or cycling repeatedly through year 1979 before simulating the official  
567 period. Results from the spin-up period (i.e., prior to 1979) should be discarded, but the spin-up  
568 technique should be documented.

569 For CMIP6, AMIP simulations should cover at least the period from January 1979 through  
570 December 2014, but modelling groups are encouraged to extend their runs to the end of the observed  
571 period. Output may also be contributed from years preceding 1979 with the understanding that  
572 surface ocean conditions were less complete and in some cases less reliable then.

573 The climate found in AMIP simulations is largely determined by the externally-imposed forcing,  
574 especially the ocean conditions. Nevertheless, unforced variability (“noise”) within the atmosphere  
575 introduces some non-deterministic variations that hamper unambiguous interpretation of apparent  
576 relationships between, for example, the year-to-year anomalies in SSTs and their consequences over  
577 land. To assess the role of unforced atmospheric variability in any particular result, modelling groups  
578 are encouraged to generate an ensemble of AMIP simulations. For most studies a three-member  
579 ensemble, where only the initial conditions are varied, would be the minimum required, with larger  
580 size ensembles clearly of value in making more precise determination of statistical significance.

### 581 **A1.2 Multi-century pre-industrial control simulations**

582 Like laboratory experiments, numerical experiments are designed to reveal cause and effect  
583 relationships. A standard way of doing this is to perform both a “control” experiment and a second  
584 experiment where some externally-imposed experiment condition has been altered. For many CMIP  
585 experiments, including the rest of the experiments discussed in this Appendix, the “control” is a  
586 simulation with atmospheric composition and other conditions prescribed and held constant,  
587 consistent with best estimates of the forcing from the historical period.

588 Ideally the pre-industrial control (*piControl*) experiment for CMIP would represent a near-  
589 equilibrium state of the climate system under the imposed conditions. In reality, simulations of  
590 hundreds to many thousands of years would be required for the ocean's depths to equilibrate and for  
591 biogeochemical reservoirs to fully adjust. Available computational resources generally preclude  
592 integrations long enough to approach equilibrium, so in practice shorter runs must suffice. Usually, a  
593 *piControl* simulation is initialized from the control run of a different model or from observations, and  
594 then run until at least the surface climate conditions stabilize using 1850 forcings (see Stouffer et al.  
595 (2004) for further discussion). This spin-up period can be as long as several hundred years and  
596 variables that can document the spin-up behaviour should be archived (under the experiment labels  
597 *piControl-spinup* or *esm-piControl-spinup*). At the very least the length of the spin-up period should  
598 be documented.

599 Although equilibrium is generally not achieved, the changes occurring after the spin-up period are  
600 usually found to evolve at a fairly constant rate that presumably decreases slowly as equilibrium is  
601 approached. After a few centuries, these "drifts" of the system mainly affect the carbon cycle and  
602 ocean below the main thermocline, but they are also manifest at the surface in a slow change in sea  
603 level. The climate drift must be removed in order to interpret experiments that use the pre-industrial  
604 simulation as a control. The usual procedure is to assume that the drift is insensitive to CMIP  
605 experiment conditions and to simply subtract the control run from the perturbed run to determine the  
606 climate change that would occur in the absence of drift.

607 Besides serving as "controls" for numerical experimentation, the *piControl* and *esm-piControl* are  
608 used to study the naturally occurring, unforced variability of the climate system. The only source of  
609 climate variability in a control arises from processes internal to the model, whereas in the more  
610 complicated real world, variations are also caused by external forcing factors such as solar variability  
611 and changes in atmospheric composition caused, for example, by human activities or volcanic  
612 eruptions. Consequently, the physical processes responsible for unforced variability can more easily  
613 be isolated and studied using the control run of models, rather than by analysing observations.

614 A DECK control simulation is required to be long enough to extend to the end of any perturbation  
615 runs initiated from it so that climate drift can be assessed and possibly removed from those runs. If,  
616 for example, a historical simulation (beginning in 1850) were initiated from the beginning of the  
617 control simulation and then were followed by a future scenario run extending to year 2300, a control  
618 run of at least 450 years would be required. As discussed above, control runs are also used to assess  
619 model-simulated unforced climate variability. The longer the control, the more precisely can  
620 variability be quantified for any given time scale. A control simulation of many hundreds of years

621 would be needed to assess variability on centennial time-scales. For CMIP6 it is recommended that  
622 the control run should be at least 500 years long (following the spin-up period), but of course the  
623 simulation must be long enough to reach to the end of the experiments it spawns. It should be noted  
624 that those analysing CMIP6 simulations might also require simulations longer than 500 years to  
625 accurately assess unforced variability on long time-scales, so modelling groups are encouraged to  
626 extend their control runs well beyond the minimum recommended number of years.

627 Because the climate was very likely not in equilibrium with the forcing of 1850 and because different  
628 components of the climate system differentially respond to the effects of the forcing prior to that  
629 time, there is some ambiguity in deciding on what forcing to apply for the control. For CMIP6 we  
630 recommend a specification of this forcing that attempts to balance conflicting objectives to

- 631 – Minimize artificial climate responses to discontinuities in radiative forcing at the time a historical  
632 simulation is initiated.
- 633 – Minimize artefacts in sea level change due to thermal expansion caused by unrealistic  
634 mismatches in conditions in the centennial-scale averaged forcings for the pre- and post-1850  
635 periods. Note that any preindustrial multi-centennial observed trend in global-mean sea level is  
636 most likely to be due to slow changes in ice-sheets, which are likely not to be simulated in the  
637 CMIP6 model generation.

638 The first consideration above implies that radiative forcing in the control run should be close to that  
639 imposed at the beginning of the CMIP historical simulation (i.e., 1850). The second implies that a  
640 background volcanic aerosol and time-averaged solar forcing should be prescribed in the control run,  
641 since to neglect it would cause an apparent drift in sea-level associated with the suppression of heat  
642 uptake due to the net effect of, for instance, volcanism after 1850, and this has implications for sea  
643 level changes (Gregory, 2010; Gregory et al., 2013). We recognize that it will be impossible to  
644 entirely avoid artefacts and artificial transient effects, and practical considerations may rule out  
645 conformance with every detail of the control simulation protocol stipulated here. With that  
646 understanding, here is a summary of the recommendations for the imposed conditions on the spin-up  
647 and control runs, followed by further clarification in subsequent paragraphs:

- 648 – Conditions must be time-invariant except for those associated with the mean climate (notably the  
649 seasonal and diurnal cycles of insolation).
- 650 – Unless indicated otherwise (e.g., the background volcanic forcing), experiment conditions (e.g.,  
651 greenhouse gas concentrations, ozone concentration, surface land conditions) should be  
652 representative of Earth around the year 1850.

- 653 – Orbital parameters (eccentricity, obliquity, and longitude of the perihelion) should be held fixed  
654 at their 1850 values.
- 655 – Land use should not change in the control run and should be fixed according to reconstructed  
656 agricultural maps from 1850. Due to the diversity of model approaches in ESMs for land carbon,  
657 some groups might deviate from this specification, and again this must be clearly documented.
- 658 – The solar constant should be fixed at its mean value (no 11 year solar cycle) over the first two  
659 solar cycles of the historical simulation (i.e., the 1850 – 1873 mean).
- 660 – A background volcanic aerosol should be specified that results in radiative forcing matching, as  
661 closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014  
662 mean).
- 663 – Models without interactive ozone chemistry should specify the pre-industrial ozone fields from a  
664 dataset produced from a pre-industrial control simulation that uses 1850 emissions and a mean  
665 solar forcing averaged over solar cycles 8-10, representative of the mean mid-19th century solar  
666 forcing.

667 There are some special considerations that apply to control simulations performed by “emission-  
668 driven” ESMs (i.e. runs with atmospheric concentrations of CO<sub>2</sub> calculated prognostically rather than  
669 being prescribed). In the *esm-piControl* simulation, emissions of CO<sub>2</sub> from both fossil fuel  
670 combustion and land use change are prescribed to be zero. In this run any residual drift in  
671 atmospheric CO<sub>2</sub> concentration that arises from an imbalance in the exchanges of CO<sub>2</sub> between the  
672 atmosphere and the ocean and land (i.e. by the natural carbon cycle in the absence of anthropogenic  
673 CO<sub>2</sub> emissions) will need to be subtracted from perturbation runs to correct for a control state not in  
674 equilibrium. It should be emphasized that the *esm-piControl* is an idealized experiment and is not  
675 meant to mimic the true 1850 conditions, which would have to include a source of carbon of around  
676 0.6 PgC/yr from the already perturbed state that existed in 1850.

677 Due to a wide variety of ESMs and the techniques they use to compute land carbon fluxes, it is hard  
678 to make statements that apply to all models equally well. A general recommendation, however, is  
679 that the land carbon fluxes in the emission and concentration driven control simulations should be  
680 stable in time and in approximate balance so that the net carbon flux into the atmosphere is small  
681 (less than 0.1 PgC/yr). Further details on ESM experiments with a carbon cycle are provided in the  
682 C<sup>4</sup>MIP contribution to this Special Issue.

683 The historical time-average volcanic forcing stipulated above for the control run is likely to  
684 approximate the much longer term mean. Crowley’s (2000) estimates of volcanic aerosol radiative  
685 forcing for the historical period and the last millennium are  $-0.18 \text{ W m}^{-2}$  and  $-0.22 \text{ W m}^{-2}$ ,

686 respectively. Because the mean volcanic forcing between 1850 and 2014 is small, the discontinuity  
687 associated with transitioning from a mean forcing to a time-varying volcanic forcing is also expected  
688 to be small. Even though this is the design objective, it is likely that it will be impossible to eliminate  
689 all artefacts in quantities such as historical sea level change. For this reason, and because some  
690 models may deviate from these specifications, it is recommended that groups perform an additional  
691 simulation of the historical period but with only natural forcing included. With this additional run,  
692 which is already called for under DAMIP, the purely anthropogenic effects on sea-level change can  
693 be isolated.

694 The forcing specified in the *piControl* also has implications for simulations of the future, when solar  
695 variability and volcanic activity will continue to exist, but at unknown levels. These issues need to be  
696 borne in mind when designing and evaluating future scenarios, as a failure to include volcanic  
697 forcing in the future will cause future warming and sea-level rise to be over-estimated relative to a  
698 *piControl* experiment in which a non-zero volcanic forcing is specified. This is accounted for by  
699 introducing a time-invariant non-zero volcanic forcing (e.g., the mean volcanic forcing for the  
700 *piControl*) into the scenarios. This is further specified in the ScenarioMIP contribution to this Special  
701 Issue.

702 These issues, and the potential of different modelling centres adopting different approaches to  
703 account for their particular constraints, highlight the paramount importance of adequately  
704 documenting the conditions under which this and the other DECK experiments are performed.

705

### 706 **A1.3 Abruptly quadrupling CO<sub>2</sub> simulation**

707 Until CMIP5, there were no experiments designed to quantify the extent to which forcing differences  
708 might explain differences in climate response. It was also difficult to diagnose and quantify the  
709 feedback responses, which are mediated by global surface temperature change (Sherwood et al.,  
710 2015). In order to examine these fundamental characteristics of models – CO<sub>2</sub> forcing and climate  
711 feedback – an abrupt 4xCO<sub>2</sub> simulation was included for the first time as part of CMIP5. Following  
712 Gregory et al. (2004), the simulation branches in January of the CO<sub>2</sub>-concentration driven *piControl*  
713 and abruptly the atmospheric CO<sub>2</sub> concentration is quadrupled and held fixed. As the system  
714 subsequently evolves toward a new equilibrium, the imbalance in the net flux at the top of the  
715 atmosphere can be plotted against global temperature change. As Gregory et al. (2004) showed, it is  
716 then possible to diagnose both the effective radiative forcing due to a quadrupling of CO<sub>2</sub> and also  
717 effective equilibrium climate sensitivity (ECS). Moreover, by examining how individual flux



718 components evolve with surface temperature change, one can learn about the relative strengths of  
719 different feedbacks, notably quantifying the importance of various feedbacks associated with clouds.

720 In the *abrupt-4xCO2* experiment, the only externally-imposed difference from the *piControl* should  
721 be the change in CO<sub>2</sub> concentration. All other conditions should remain as they were in the  
722 *piControl*, including any background volcanic aerosols. By changing only a single factor, we can  
723 unambiguously attribute all climatic consequences to the increase in CO<sub>2</sub> concentration.

724 The minimum length of the *abrupt-4xCO2* simulation should be 150 years, but longer simulations  
725 would enable investigations of longer-time scale responses. Also there is value, as in CMIP5, in  
726 performing an ensemble of short (~5-year) simulations initiated at different times throughout the  
727 year (in addition to the required January run). Such an ensemble would reduce the statistical  
728 uncertainty with which the effective CO<sub>2</sub> radiative forcing could be quantified and would allow more  
729 detailed and accurate diagnosis of the fast responses of the system under an abrupt change in forcing  
730 (Bony et al., 2013; Gregory and Webb, 2008; Kamae and Watanabe, 2013; Sherwood et al., 2015).  
731 Different groups will be able to afford ensembles of different sizes, but in any case each realization  
732 should be initialized in a different month and the months should be spaced evenly throughout the  
733 year.

#### 734 **A1.4 1% CO<sub>2</sub> increase simulation**

735 The second idealized climate change experiment was introduced in the early days of CMIP (Meehl et  
736 al., 2000). It is designed for studying model responses under simplified but somewhat more realistic  
737 forcing than an abrupt increase in CO<sub>2</sub>. In this experiment, the simulation is branched from the  
738 *piControl*, and CO<sub>2</sub> concentration is gradually increased at a rate of 1% yr<sup>-1</sup> (i.e., exponentially). A  
739 minimum length of 150 years is requested so that the simulation goes beyond the quadrupling of CO<sub>2</sub>  
740 after 140 years. Note that in contrast to previous definitions, the experiment has been simplified so  
741 that the 1% CO<sub>2</sub> increase per year is applied throughout the entire simulation rather than keeping it  
742 constant after 140 years as in CMIP5. Since the radiative forcing is approximately proportional to the  
743 logarithm of the CO<sub>2</sub> increase, the radiative forcing linearly increases over time. Drawing on the  
744 estimates of effective radiative forcing (for definitions see Myhre et al. (2013)) obtained in the  
745 *abrupt-4xCO2* simulations, analysts can scale results from each model in the 1% CO<sub>2</sub> increase  
746 simulations to focus on the response differences in models, largely independent of their forcing  
747 differences. In contrast, in CMIP6 historical simulations (see Section A2), the forcing and response  
748 contributions to model differences in simulated climate change cannot be easily isolated.

749 As in the *abrupt-4xCO2* experiment, the only externally-imposed difference from the *piControl*  
750 should be the change in CO<sub>2</sub> concentration. The omission of changes in aerosol concentrations is the  
751 key to making these simulations easier to interpret.

752 Models with a carbon cycle component will be driven by prescribed CO<sub>2</sub> concentrations, but  
753 terrestrial and marine surface fluxes and stores of carbon will become a key diagnostic from which  
754 one can infer emission rates that are consistent with a 1% yr<sup>-1</sup> increase in model CO<sub>2</sub> concentration.  
755 This DECK baseline carbon cycle experiment is built upon in C<sup>4</sup>MIP to diagnose the strength of  
756 model carbon climate feedback and to quantify contributions to disruption of the carbon cycle by  
757 climate and by direct effects of increased CO<sub>2</sub> concentration.

758

## 759 **A2 The CMIP6 historical simulations**

760 CMIP6 historical simulations of climate change over the period 1850 through 2014 are forced by  
761 common datasets that are largely based on observations. They serve as an important benchmark for  
762 assessing model performance through evaluation against observations. The historical integration  
763 should be initialized from some point in the control integration (with *historical* branching from the  
764 *piControl* and the *esm-hist* branching from *esm-piControl*) and be forced by time-varying,  
765 externally-imposed conditions that are based on observations. Both naturally-forced changes (e.g.,  
766 due to solar variability and volcanic aerosols) and changes due to human activities (e.g., CO<sub>2</sub>  
767 concentration, aerosols, and land-use) will lead to climate variations and evolution. In addition, there  
768 is unforced variability which can obscure the forced changes and lead to expected differences  
769 between the simulated and observed climate variations (Deser et al., 2012).

770 The externally-imposed forcing datasets that should be used in CMIP6 cover the period 1850 through  
771 the end of 2014 are described in detail in various other contributions to this Special Issue. Recall  
772 from section A1.2 that the conditions in the control should generally be consistent with the forcing  
773 imposed near the beginning of the CMIP historical simulation. This should minimize artificial  
774 transient effects in the first portion of the CMIP historical simulation. An exception is that for the  
775 CO<sub>2</sub>-emission driven experiments, the zero CO<sub>2</sub> emissions from fossil fuel and the land use  
776 specifications for 1850 in the *esm-piControl* could cause a discontinuity in land carbon at the branch  
777 point.

778 As described in Section A1.2, the 1850 *esm-piControl* should be developed for an idealized case that  
779 is stable in time and balance so that the net carbon flux into the atmosphere is small. Meanwhile, the

780 start of the *esm-hist* in 1850 should be as realistic as possible and attempt to account for the fact the  
781 land-surface was not in equilibrium in 1850 due to prior land-use effects (Houghton, 2010; Hurtt et  
782 al., 2011). Some modelling groups have developed methods to achieve these twin goals in a  
783 computationally efficient manner, for example by performing pre-1850 off-line land model  
784 simulations to account for the land carbon cycle disequilibrium before 1850 and to adequately  
785 simulate carbon stores at the start of the historical simulation (Sentman et al., 2011). Due to the wide  
786 diversity of modelling approaches for land carbon in the ESMs, the actual method applied by each  
787 group to account for these effects will differ and needs to be well documented.

788 As discussed earlier, there will be a mismatch in the specification of volcanic aerosols between  
789 control and historical simulations that especially affect estimates of ocean heat uptake and sea level  
790 rise in the historical period. This can be minimized by prescribing a background volcanic aerosol in  
791 the pre-industrial control that has the same cooling effect as the volcanoes included in the CMIP6  
792 historical simulation. Any residual mismatch will need to be corrected, which requires a special  
793 supplementary simulation (see Section A1.2) that should be submitted along with the CMIP6  
794 historical simulation.

795 For model evaluation and for detection and attribution studies (the focus of DAMIP) there would be  
796 considerable value in extending the CMIP6 historical simulations beyond the nominal 2014 ending  
797 date. To include the more recent observations in model evaluation, modelling groups are encouraged  
798 to document and apply forcing data sets representing the post-2014 period. For short extensions (up  
799 to a few years) it may be acceptable to simply apply forcing from one of the future scenarios defined  
800 by ScenarioMIP. To distinguish between the portion of the historical period when all models will use  
801 the same forcing data sets (i.e., 1850-2014) from the extended period where different data sets might  
802 be used, the experiment for 1850 through 2014 will be labelled *historical* (*esm-hist* in the case of the  
803 emissions-driven run) and the period from 2015 through near-present will likely be labelled  
804 *historical-ext* (*esm-hist-ext*).

805 Even if the CMIP6 historical simulations are extended beyond 2014, all future scenario simulations  
806 (called for by ScenarioMIP and other MIPs) should be initiated from the end of year 2014 of the  
807 CMIP6 historical simulation since the "future" in CMIP6 begins in 2015.

808 Due to interactions within and between the components of the Earth system, there is a wide range of  
809 variability on various time and space scales (Hegerl et al., 2007). The time scales vary from shorter  
810 than a day to longer than several centuries. The magnitude of the variability can be quite large  
811 relative to any given signal of interest depending on the time and space scales involved and on the

812 variable of interest. To more clearly identify forced signals emerging from natural variability,  
813 multiple model integrations (comprising an “ensemble”) can be made where only the initial  
814 conditions are perturbed in some way which should be documented. A common way to do this is to  
815 simply branch each simulation from a different point in the control run. Longer intervals between  
816 branch points will ensure independence of ensemble members on longer time-scales. By averaging  
817 many different ensemble members together, the signal of interest becomes clear because the natural  
818 variations tend to average out if the ensemble size and averaging period are long enough. If the  
819 variability in the models is realistic, then the spread of the ensemble members around the ensemble  
820 average is caused by unforced (i.e., internal) variability. To minimize the number of years included  
821 in the entry card simulations, only one ensemble member is requested here. However, we strongly  
822 encourage model groups to submit at least three ensemble members of their CMIP historical  
823 simulation as requested in DAMIP.

824

825

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841

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- 980

981 **Table 1.** Main criteria for MIP endorsement as agreed with representatives from the modelling  
 982 groups and MIPs at the WGCM 18<sup>th</sup> Session in Grainau, Germany in October 2014.

Nr	MIP Endorsement Criterion
1	The MIP and its experiments address at least one of the key science questions of CMIP6.
2	The MIP demonstrates connectivity to the DECK experiments and the CMIP6 historical simulations.
3	The MIP adopts the CMIP modelling infrastructure standards and conventions.
4	All experiments are tiered, well-defined, and useful in a multi-model context and do not overlap with other CMIP6 experiments.
5	Unless a Tier 1 experiment differs only slightly from another well-established experiment, it must already have been performed by more than one modelling group.
6	A sufficient number of modelling centres (~8) are committed to performing all of the MIP's Tier 1 experiments and providing all the requested diagnostics needed to answer at least one of its science questions.
7	The MIP presents an analysis plan describing how it will use all proposed experiments, any relevant observations, and specially requested model output to evaluate the models and address its science questions.
8	The MIP has completed the MIP template questionnaire.
9	The MIP contributes a paper on its experimental design to the GMD CMIP6 Special Issue.
10	The MIP considers reporting on the results by co-authoring a paper with the modelling groups.

984 **Table 2.** Overview of DECK and CMIP6 historical simulations providing the experiment short  
 985 names, the CMIP6 labels, brief experiment descriptions, the forcing methods as well as the start and  
 986 end year and minimum number of years per experiment and its major purpose. The DECK and  
 987 CMIP6 historical simulation are used to characterize the CMIP model ensemble. Given resource  
 988 limitations, these entry card simulations for CMIP include only one ensemble member per  
 989 experiment. However, we strongly encourage model groups to submit at least three ensemble  
 990 members for the CMIP historical simulation as requested in DAMIP. Large ensembles of AMIP  
 991 simulations are also encouraged. In the “forcing methods” column, “All” means “volcanic, solar and  
 992 anthropogenic forcings”. All experiments are started on 1 January and end at 31 December of the  
 993 specified years.

Experiment short name	CMIP6 label	Experiment description	Forcing methods	Start Year	End Year	Minimum # Years Per Simulation	Major purpose
<b>DECK Experiments</b>							
AMIP	<i>amip</i>	Observed SSTs and SICs prescribed	All; CO <sub>2</sub> concentration prescribed	1979	2014	36	Evaluation, variability
pre-industrial control	<i>piControl</i> or <i>esm-piControl</i>	Coupled atmosphere/ocean pre-industrial control	CO <sub>2</sub> concentration prescribed or calculated	n/a	n/a	500	Evaluation, unforced variability
abrupt quadrupling of CO <sub>2</sub> concentration	<i>abrupt-4xCO2</i>	CO <sub>2</sub> abruptly quadrupled and then held constant	CO <sub>2</sub> concentration prescribed	n/a	n/a	150	Climate sensitivity, feedbacks, fast responses
1% yr <sup>-1</sup> CO <sub>2</sub> concentration increase	<i>1pctCO2</i>	CO <sub>2</sub> prescribed to increase at 1% yr <sup>-1</sup>	CO <sub>2</sub> concentration prescribed	n/a	n/a	150	Climate sensitivity, feedbacks, idealized benchmark
<b>CMIP6 historical simulation</b>							
past ~1.5 centuries	<i>historical</i> or <i>esm-hist</i>	Simulation of the recent past	All; CO <sub>2</sub> concentration prescribed or calculated	1850	2014	165	Evaluation

995 **Table 3.** List of CMIP6-Endorsed MIPs along with the long name of the MIP, the primary goal(s)  
 996 and the main CMIP6 science theme as displayed in Fig. 2. Each of these MIPs is described in more  
 997 detail in a separate contribution to this Special Issue. MIPs marked with \* are Diagnostic-MIPs.

Short name of MIP	Long name of MIP	Primary Goal(s) in CMIP6	Main CMIP6 Science Theme
<b>AerChemMIP</b>	Aerosols and Chemistry Model Intercomparison Project	a) Diagnosing forcings and feedbacks of tropospheric aerosols, tropospheric ozone precursors and the chemically reactive WMGHGs; b) Documenting and understanding past and future changes in the chemical composition of the atmosphere; c) Estimating the global to regional climate response from these changes.	Chemistry / Aerosols
<b>C<sup>4</sup>MIP</b>	Coupled Climate Carbon Cycle Model Intercomparison Project	Understanding and quantifying future century-scale changes in the global carbon cycle and its feedbacks on the climate system, making the link between CO <sub>2</sub> emissions and climate change.	Carbon cycle
<b>CFMIP</b>	Cloud Feedback Model Intercomparison Project	Improved assessments of cloud feedbacks via a) improved understanding of cloud- climate feedback mechanisms and b) better evaluation of clouds and cloud feedbacks in climate models. Also improved understanding of circulation, regional-scale precipitation and non-linear changes.	Clouds / Circulation
<b>DAMIP</b>	Detection and Attribution Model Intercomparison Project	a) Estimating the contribution of external forcings to observed global and regional climate changes; b) Observationally constraining future climate change projections by scaling future GHG and other anthropogenic responses using regression coefficients derived for the historical period.	Characterizing forcings
<b>DCPP</b>	Decadal Climate Prediction Project	Predicting and understanding forced climate change and internal variability up to 10 years into the future through a coordinated set of hindcast experiments, targeted experiments to understand the physical processes, and the ongoing production of skilful decadal predictions.	Decadal prediction
<b>FAFMIP</b>	Flux-Anomaly-Forced Model Intercomparison Project	Explaining the model spread in climate projections of ocean climate change forced by CO <sub>2</sub> increase, especially regarding the geographical patterns and magnitude of sea-level change, ocean heat uptake and thermal expansion.	Ocean / Land / Ice
<b>GeoMIP</b>	Geoengineering Model Intercomparison Project	Assessing the climate system response (including on extreme events) to proposed radiation modification geoengineering schemes by evaluating their efficacies, benefits, and side effects.	Geoengineering
<b>GMMIP</b>	Global Monsoons Model	a) Improve understanding of physical processes in global monsoons system; b)	Regional phenomena

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	Intercomparison Project	better simulating the mean state, interannual variability and long-term changes of global monsoons.	
<b>HighResMIP</b>	High Resolution Model Intercomparison Project	Assessing the robustness of improvements in the representation of important climate processes with “weather-resolving” global model resolutions (~25km or finer), within a simplified framework using the physical climate system only with constrained aerosol forcing.	Regional phenomena
<b>ISMIP6</b>	Ice Sheet Model Intercomparison Project for CMIP6	Improving confidence in projections of the sea level rise associated with mass loss from the ice sheets of Greenland and Antarctica.	Ocean / Land / Ice
<b>LS3MIP</b>	Land Surface, Snow and Soil Moisture	Providing a comprehensive assessment of land surface, snow, and soil moisture-climate feedbacks, and diagnosing systematic biases in the land modules of current ESMs using constrained land-module only experiments.	Ocean / Land / Ice
<b>LUMIP</b>	Land-Use Model Intercomparison Project	Quantifying the effects of land use on climate and biogeochemical cycling (past-future), and assessing the potential for alternative land management strategies to mitigate climate change.	Land use
<b>OMIP</b>	Ocean Model Intercomparison Project	Provide a framework for evaluating, understanding, and improving ocean, sea-ice, and biogeochemical, including inert tracers, components of climate and Earth system models contributing to CMIP6. Protocols are provided to perform coordinated ocean/sea-ice/tracer/biogeochemistry simulations forced with common atmospheric datasets.	Ocean / Land / Ice
<b>PMIP</b>	Paleoclimate Modelling Intercomparison Project	a) Analysing the response to forcings and major feedbacks for past climates outside the range of recent variability; b) Assessing the credibility of climate models used for future climate projections.	Paleo
<b>RFMIP</b>	Radiative Forcing Model Intercomparison Project	a) Characterizing the global and regional effective radiative forcing for each model for historical and 4xCO <sub>2</sub> simulations; b) Assessing the absolute accuracy of clear-sky radiative transfer parameterizations; c) Identifying the robust impacts of aerosol radiative forcing during the historical period.	Characterizing forcings
<b>ScenarioMIP</b>	Scenario Model Intercomparison Project	a) Facilitating integrated research on the impact of plausible future scenarios over physical and human systems, and on mitigation and adaptation options; b) addressing targeted studies on the effects of particular forcings in collaboration with other MIPs; c) help quantifying projection uncertainties based on multi-model ensembles and emergent constraints.	Scenarios
<b>VolMIP</b>	Volcanic Forcings Model	a) Assessing to what extent responses of the coupled ocean-atmosphere system to strong	Characterizing forcings

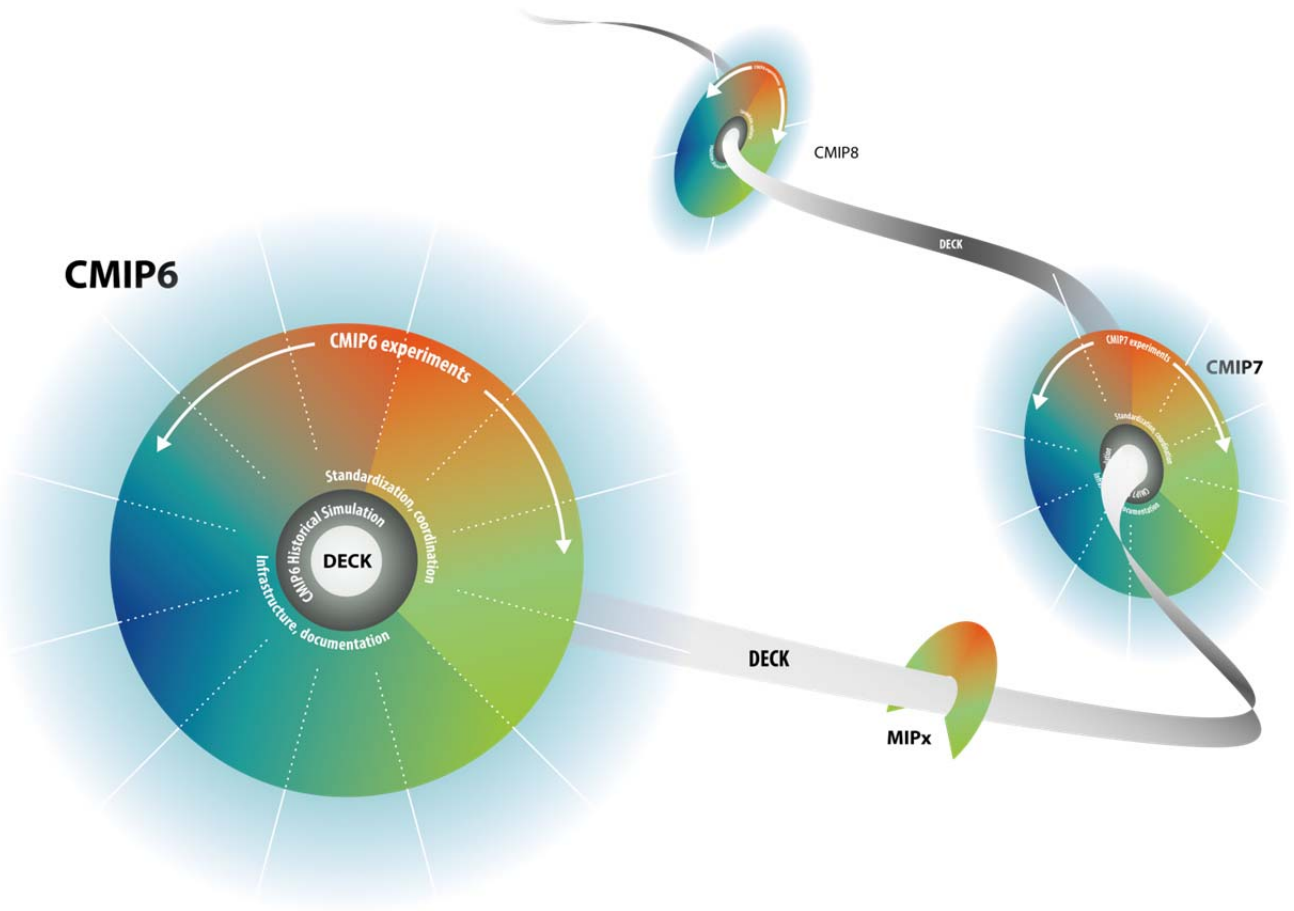
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	Intercomparison Project	volcanic forcing are robustly simulated across state-of-the-art coupled climate models; b) Identifying the causes that limit robust simulated behaviour, especially differences in their treatment of physical processes	
<b>CORDEX*</b>	Coordinated Regional Climate Downscaling Experiment	Advancing and coordinating the science and application of regional climate downscaling (RCD) through statistical and dynamical downscaling of CMIP DECK, CMIP6 <i>historical</i> , and ScenarioMIP output.	Impacts
<b>DynVar*</b>	Dynamics and Variability of the Stratosphere-Troposphere System	Defining and analysing diagnostics that enable a mechanistic approach to confront model biases and understand the underlying causes behind circulation changes with a particular emphasis on the two-way coupling between the troposphere and the stratosphere.	Clouds / Circulation
<b>SIMIP*</b>	Sea-Ice Model Intercomparison Project	Understanding the role of sea-ice and its response to climate change by defining and analysing a comprehensive set of variables and process-oriented diagnostics that describe the sea-ice state and its atmospheric and ocean forcing.	Ocean / Land / Ice
<b>VIACS AB*</b>	Vulnerability, Impacts, Adaptation and Climate Services Advisory Board for CMIP6	Facilitating a two-way dialogue between the CMIP6 modelling community and VIACS experts, who apply CMIP6 results for their numerous research and climate services, towards an informed construction of model scenarios and simulations and the design of online diagnostics, metrics, and visualization of relevance to society.	Impacts

999 **Table A1.** Specifications in the DECK and CMIP6 historical simulations.

<b>Experiment</b>	<b>Volcanic Stratospheric Aerosol</b>	<b>Solar Variability</b>	<b>Anthropogenic forcings</b>
<i>amip</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<i>piControl</i>	Background volcanic aerosol that results in radiative forcing matching, as closely as possible, that experienced, on average, during the historical simulation (i.e., 1850-2014 mean)	Fixed at its mean value (no 11 year solar cycle) over the first two solar cycles of the historical simulation (i.e., the 1850 – 1873 mean)	Given that the historical simulations start in 1850, the <i>piControl</i> should have fixed 1850 atmospheric composition, not true pre-industrial
<i>esm-piControl</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> but with CO <sub>2</sub> concentration calculated, rather than prescribed. CO <sub>2</sub> from both fossil fuel combustion and land use change are prescribed to be zero.
<i>abrupt-4xCO2</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO <sub>2</sub> that is four times <i>piControl</i>
<i>1pctCO2</i>	As in <i>piControl</i>	As in <i>piControl</i>	As in <i>piControl</i> except CO <sub>2</sub> that is increasing at 1%/yr <sup>-1</sup>
<i>historical</i>	Time-dependent observations	Time-dependent observations	Time-dependent observations
<i>esm-hist</i>	As in <i>historical</i>	As in <i>historical</i>	As in <i>historical</i> but with CO <sub>2</sub> emissions prescribed and CO <sub>2</sub> concentration calculated (rather than prescribed)

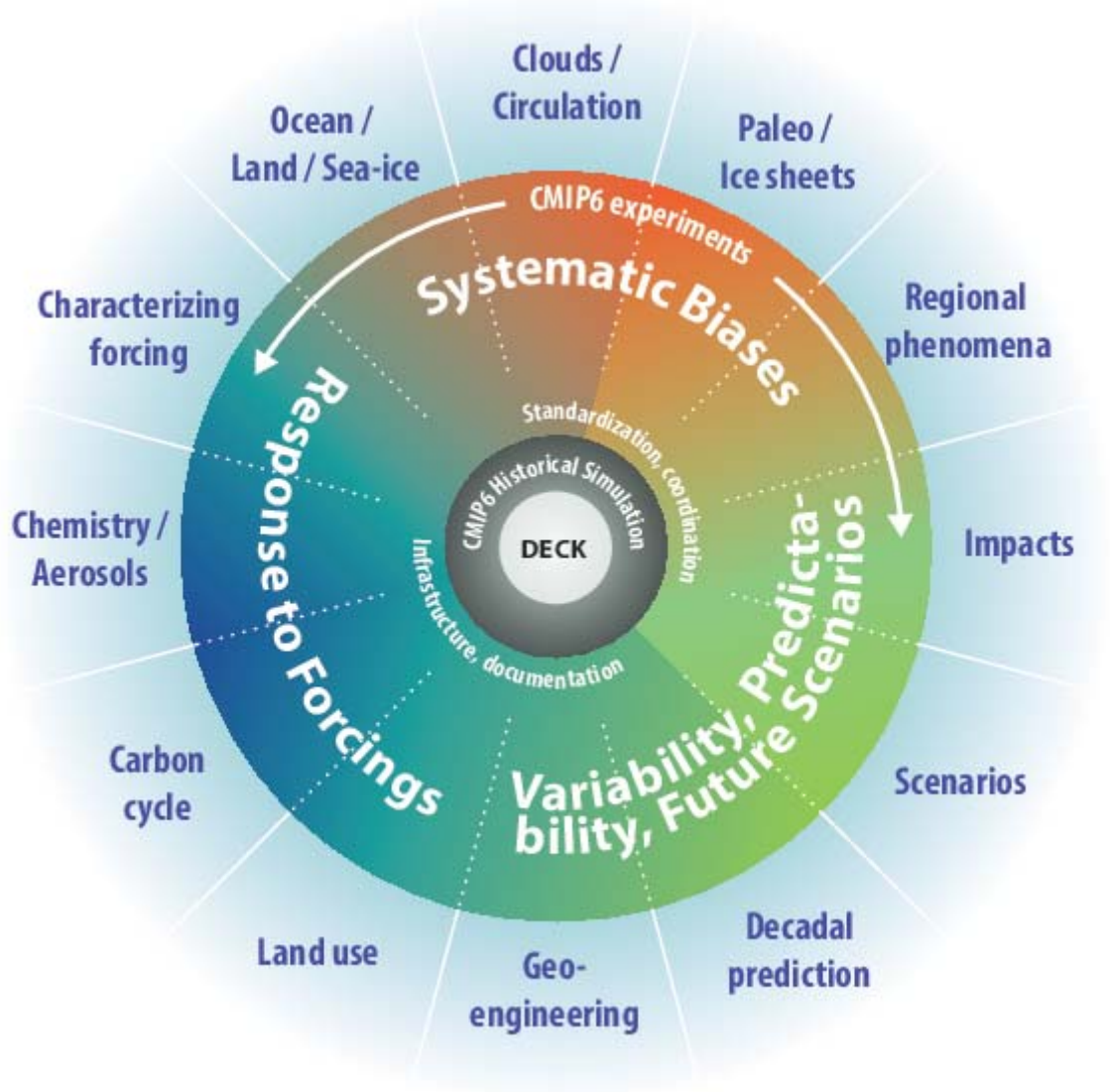
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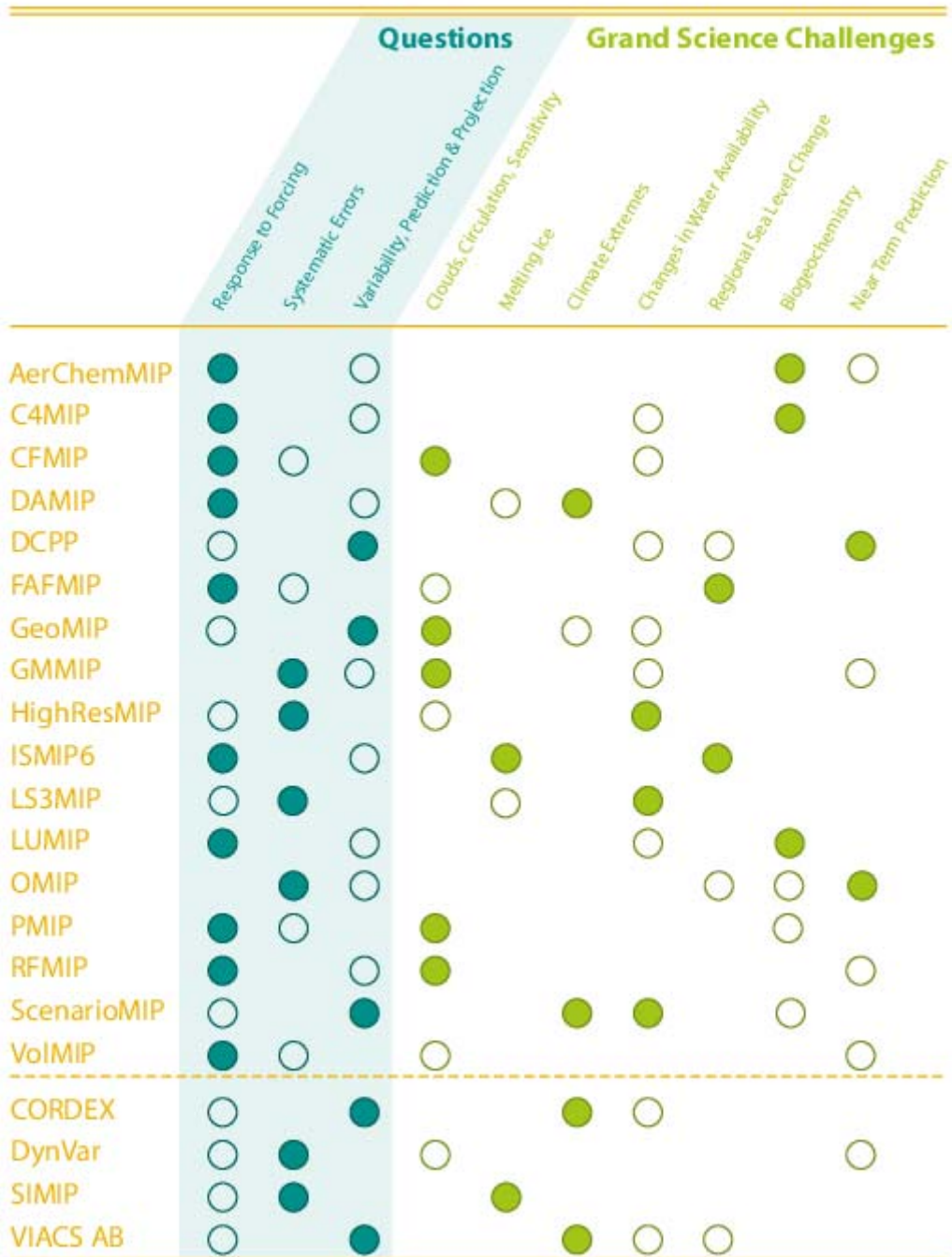
1002 **Figure 1.** CMIP evolution. CMIP will evolve but the DECK will provide continuity across phases.





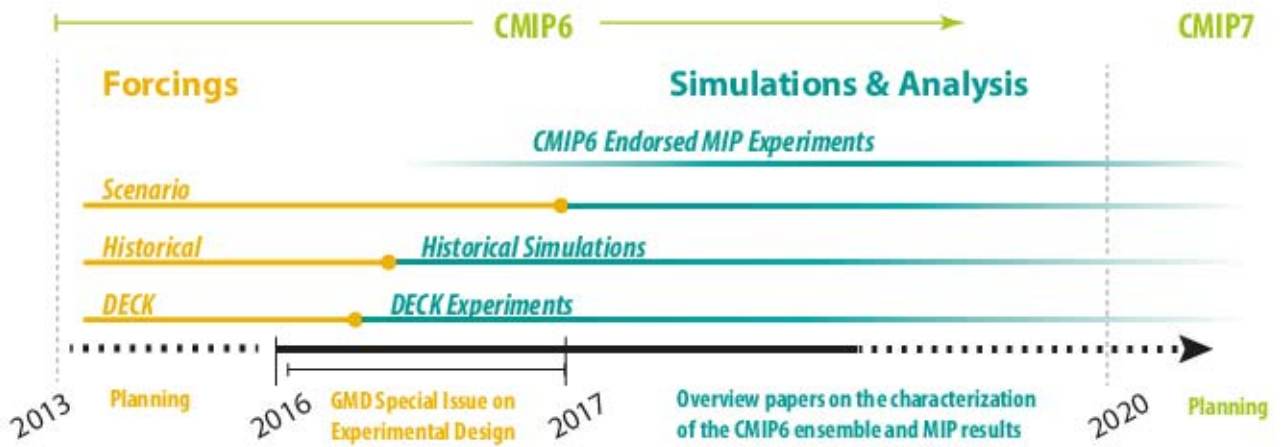
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1004 **Figure 2.** Schematic of the CMIP/CMIP6 experiment design. The inner ring and surrounding white  
 1005 text involve standardized functions of all CMIP DECK experiments and the CMIP6 historical  
 1006 simulation. The middle ring shows science topics related specifically to CMIP6 that are addressed by  
 1007 the CMIP6-Endorsed MIPs, with MIP topics shown in the outer ring. This framework is  
 1008 superimposed on the scientific backdrop for CMIP6 which are the seven WCRP Grand Science  
 1009 Challenges.



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1011 **Figure 3.** Contributions of CMIP6-Endorsed MIPs to the three CMIP6 science questions and the  
 1012 WCRP Grand Science Challenges. A filled circle indicates highest priority and an open circle,  
 1013 second highest priority. Some of the MIPs additionally contribute with lower priority to other CMIP6  
 1014 science questions or WCRP Grand Science Challenges.



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**Figure 4.** CMIP6 timeline for the preparation of forcings, the realization of experiments and their analysis.