

## Answers to review by K. Gierens

Thank you very much for your useful comments. Please find below the point-to-point reply. In addition to your suggestions I also had to remove a sentence in the "code availability" section because it was not possible to submit the code as supplement to the paper as it is too large (only 50 MBytes are allowed). It is now only available from the libRadtran website, not as supplementary data.

### Point-to-point reply

*line 105: aircraft*  
corrected.

*115: does the inclusion of Raman scattering affect the accuracy or rather raise the accuracy?*  
Yes, this is right. Replaced "affects" by "improves"

*125: new data on optical properties...*  
corrected.

*134: unclear. Do you mean: can be used to simulate the effect on radiation of variability in size distributions?*

Changed the sentence to: "libradtran has also been rewritten to allow simulations with an arbitrary number of cloud and aerosol types - which can e.g. be used to take into account detailed particle size distributions (number densities for discretized size bins) that can be different in each layer. In earlier versions it was only possible to take into account parameterized size distributions such as gamma or log-normal distributions."  
Hope that it is now clear what we mean.

*302 ff: In contrast to ... neither ... nor is it capable of ...*  
Improved the sentence as suggested.

*316: at the surface*  
Corrected

*327 ff: what does it mean: the atmosphere has to have a vertical resolution? Please reformulate.*  
Reformulated as follows:

"Note that in order to obtain a smooth signal, a fine vertical resolution of the model atmosphere is required. The vertical resolution should correspond to the range width of the simulated lidar instrument."

*351: "Mystic is a physically correct model which does not include any approximations." This is a strong and bold statement! If you think, you need such a strong statement I would prefer to see some background information. In which way is it physically correct (what do you exactly mean with "physically correct"?). How sure are you that a Monte Carlo Simulation (that must necessarily be terminated somehow at  $10^n$  photons) is NOT an approximation? I see, that we are entering philosophical discussions here, but strong statements usually evoke strong reactions. Some lines later it already sounds more modest, when speak of "uncertainty range". And again later, "the accuracy of MYSTIC depends only on...". I agree with this wording, but to my view it contradicts your first statement.*

Yes, I agree, the statement is too strong. What I meant is that it does not include approximations such as the cut-off of Legendre and Fourier series. This kind of approximations are included in the other solvers. The big advantage of MYSTIC is that the error (standard deviation) is known and the accuracy can be improved by simply running more photons. The error of other solvers can not be calculated and only be assessed by comparison to MYSTIC. I removed the statement in the beginning because I think it is not needed.

357: *in 3D model domains.*  
done

363: *not accurate enough*  
done

Table 2: *correct word-wrap.*  
Included some \newline to improve word-wrap.

*Eq. 4 and text following it: is this effective radius  $r_{\text{eff}}$  indeed what it usually is in radiative transfer or is it just a parameter, unfortunately termed effective radius? Usually the effective radius can be computed as the ratio of two moments of the size distribution. If this is the  $r_{\text{eff}}$  in your eq. 4, then there is perhaps an inconsistency, because I would be very surprised if the ratio of these moments (mean volume divided by mean cross section, times a constant, see your eq. A3) would just result in  $r_{\text{eff}}$ , when  $r_{\text{eff}}$  is used in the definition of the gamma function and my surprise would even grow when this happens although you cut-off the integrals at arbitrarily chosen limits of 0.02 and 8 times  $r_{\text{eff}}$ . Please clarify.*

We mean indeed the effective radius (ratio of the 3rd and the 2<sup>nd</sup> moment of the size distribution  $\int n(r) r^3 dr / \int n(r) r^2 dr$ ).

It may easily be calculated analytically for the size distribution using the following formulas:

$\int_0^{\infty} x^{z-1} \exp(-\mu x) dx = \Gamma(z) / \mu^z$ ,  
where  $\Gamma$  is the gamma function

A property of the gamma function is:  
 $\Gamma(x+1) = x \Gamma(x)$

With these formulas you find that the 3rd moment divided by the 2<sup>nd</sup> moment of the size distribution in Eq. 4 is exactly the effective radius.

You are right that the cut-off values introduce an inconsistency, however the deviation from the real effective radius is tiny for the mentioned cut-off values since the gamma distribution is narrow. We have tested the deviation and found that the real and prescribed  $r_{\text{eff}}$ 's agree to 12 digits or more, for  $r_{\text{eff}}=1$  micron as well as for  $r_{\text{eff}}=25$  micron. So I think the term effective radius is absolutely correct here.

( You may use a simple gawk script and test this numerically:  
gawk 'BEGIN{reff=25;veff=0.1;alpha=1/veff-3;dr=0.1;for (r=0.02\*reff;r<=8\*reff;r+=dr)  
{n=r^alpha\*exp(-r/reff/veff);sum3+=n\*r\*r\*r\*dr;sum2+=n\*r\*r\*dr};printf("%.12f  
%.12f\n",reff,sum3/sum2)}' )

783: *and that will be written...*  
done

*Fig. 7, bottom: the lines are a bit flimsy, the colours can hardly be identified. Can you please improve.*

The figure has been improved (different line styles to better identify the lines).

*908: "the ozone band ... is colder". Please rewrite: "the ozone band... has lower brightness temperature..." or similar. Also a few lines later, "colder altitude" sounds ugly.*  
Done.

*937: Please avoid this sloppy language ("spectrum is colder").*  
Improved.

*1102: radiative transfer simulations of the Earth atmosphere. (Evidently, all simulations are performed IN the atmosphere, unless some are performed on the ISS).*  
Changed.

# The *libRadtran* software package for radiative transfer calculations (Version 2.0.1)

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**Abstract.** *libRadtran* is a widely used software package for radiative transfer calculations. It allows to compute (polarized) radiances, irradiances, and actinic fluxes in the solar and thermal spectral regions. *libRadtran* has been used for various applications, including remote sensing of clouds, aerosols and trace gases in the Earth's atmosphere, climate studies, e.g., for the calculation of radiative forcing due to different atmospheric components, for UV-forecasting, the calculation of photolysis frequencies, and for remote sensing of other planets in our solar system. The package has been described in Mayer and Kylling (2005). Since then several new features have been included, for example polarization, Raman scattering, a new molecular gas absorption parameterization, and several new cloud and aerosol scattering parameterizations. Furthermore a graphical user interface is now available which greatly simplifies the usage of the model, especially for new users. This paper gives an overview of *libRadtran* version 2.0.1 with focus on new features. Applications including these new features are provided as examples of use. A complete description of *libRadtran* and all its input options is given in the user manual included in the *libRadtran* software package, which is freely available at <http://www.libradtran.org>.

## 1 Introduction

Radiative transfer modeling is essential for remote sensing of planetary atmospheres, but also for many other fields in atmospheric physics: e.g., atmospheric chemistry which is largely influenced by photochemical reactions, calculation of radiative forcing in climate models, and radiatively driven dynamics in numerical weather prediction models.

The *libRadtran* software package is a versatile toolbox which has been used for various applications related to atmospheric radiation, a list of publications that have used the package can be found on the website <http://www.libradtran.org>, currently it includes more than 400 entries. Applications include the following topics (the given references are taken as examples out of the list of publications):

- Analysis of **UV-radiation** measurements, from which parameters like e.g. ozone concentrations, aerosol optical thickness, UV-index are derived. Since the *libRadtran* package originally was a radiative transfer code for the UV spectral range (the main executable is still called *uvspec*), the model is well established in this research area and frequently used (e.g. Seckmeyer et al., 2008; Kreuter et al., 2014).
- **Cloud and aerosol remote sensing** using measurements in solar and thermal spectral regions. The developed retrieval methods are for ground-based, satellite

- and air-borne instruments which measure (polarized) radiances (e.g. Painemal and Zuidema, 2011; Bugliaro et al., 2011; Zinner et al., 2010; Alexandrov et al., 2012).
- **Volcanic ash studies** including remote sensing of ash mass concentrations (e.g. Gasteiger et al., 2011; Kylling et al., 2015) and visibility of ash particles from the pilot’s perspective (e.g. Weinzierl et al., 2012).
  - **Remote sensing of surface properties**; a model like *libRadtran* is particularly important to develop atmospheric correction methods (e.g. Drusch et al., 2012; Schulmann et al., 2015).
  - **Trace gas remote sensing**, *libRadtran* can be used as forward model for retrievals of O<sub>3</sub>, NO<sub>2</sub> and BrO from DOAS (Differential Optical Absorption Spectroscopy) measurements (e.g. Theys et al., 2007; Emde et al., 2011).
  - Calculation of **actinic fluxes** in order to quantify photolysis rates for atmospheric chemistry (e.g. Sumińska-Ebersoldt et al., 2012).
  - Determination of solar direct irradiance and global irradiance distributions in order to optimize locations of **solar energy** platforms (e.g. Lohmann et al., 2006) and calculation of circumsolar irradiance (Reinhardt et al., 2014).
  - Simulation of satellite radiances to be used for data assimilation in **numerical weather prediction** models (Kostka et al., 2014).
  - Validation of radiation schemes included in **climate models** (Forster et al., 2011), calculation of radiative forcing of clouds and contrail cirrus (Forster et al., 2012), impacts of aviation on climate (e.g. Lee et al., 2010)
  - Simulation of heating rates in three-dimensional atmospheres to develop fast radiation parameterizations for **Large Eddy Simulation (LES) models** (Klinger and Mayer, 2014).
  - Simulation of solar radiation during a **total eclipse** (Emde and Mayer, 2007).
  - Rotational **Raman scattering**, which explains the filling-in of Fraunhofer lines in the solar spectrum (Kylling et al., 2011).
  - Estimation of **background radiation affecting lidar measurements** (e.g. Ehret et al., 2008)
  - Remote sensing of **planetary atmospheres** (e.g. Ranou et al., 2010)

Since the publication of the first *libRadtran* reference paper (Mayer and Kylling, 2005) the model has been further developed. It includes numerous new features which will be the focus of this paper.

One of the major extensions is the implementation of polarization in the radiative transfer solver MYSTIC (Emde et al., 2010), which is important because an increasing number of polarimetric observations have been performed during the last years and are planned for the future, from ground, satellite, and ~~air-craft~~[aircraft](#). These observations include more information about optical and microphysical properties of atmospheric particles than total radiances alone (Kokhanovsky et al., 2010; Mishchenko et al., 2007). Another important reason for considering polarization is that in the short-wave spectral region (below about 500 nm) the neglect of polarization can lead to large errors: more than 10% for a molecular atmosphere and up to 5% for an atmosphere with aerosol (Mishchenko et al., 1994; Kotchenova et al., 2006).

Moreover *libRadtran* now includes a solver to calculate rotational Raman scattering (Kylling et al., 2011) which ~~affects~~[improves](#) the accuracy of trace gas retrievals. Further the Raman scattering signal can be used to estimate cloud top pressure from satellite measurements and aerosol properties from surface and satellite observations.

Numerous state-of-the-art parameterizations for aerosol and ice cloud optical properties have been included (see Secs. 5 and 6). These new parameterizations provide more accurate radiance calculations. In particular for polarized radiative transfer, which requires not only a scattering phase function but the full scattering matrix, new ~~optical properties data~~[data on optical properties](#) were required. In order to improve the accuracy for highly peaked phase functions – which are typical for ice clouds – an improved intensity correction method has been developed and included into the DISORT solver (Buras et al., 2011), and new variance reduction methods have been developed for the Monte Carlo solver MYSTIC (Buras and Mayer, 2011). *libRadtran* has also been rewritten to allow simulations with an arbitrary number of cloud and aerosol types – which can e.g. be used to ~~simulate variability in particle size distribution~~[take into account detailed particle size distributions \(number densities for discretized size bins\) that can be different in each layer. In earlier versions it was only possible to take into account parameterized size distributions such as gamma or log-normal distributions.](#)

A new gas absorption parameterization for the solar and thermal spectral ranges has been developed (Gasteiger et al., 2014). It is available in different spectral resolutions and can be applied for the simulation of radiances and irradiances. It is particularly useful for efficient simulations of radiances measured by satellite instruments (see Sec. 4.1).

The DISORT radiative transfer solver has been translated from FORTRAN77 to the C programming language. All variables were transferred from single to double precision. These

changes improved the numerical stability of the code and reduced computational time significantly (for details see Buras et al., 2011).

The paper is organized as follows: Sec. 2 provides an overview of the *uvspec* radiative transfer model which is the core of the *libRadtran* package. Sec. 3 gives a short description of the radiative transfer solvers included in *uvspec*. Sec. 4 provides a summary of how molecules are handled and outlines various ways to include molecular absorption. Moreover Rayleigh scattering parameterizations are described. Sec. 5 summarizes the available parameterizations for aerosol microphysical and optical properties. Sec. 6 gives an overview of the parameterizations for water and ice clouds and also outlines how these were generated. In Sec. 7 available surface properties are described, including Lambertian reflection, bidirectional distribution functions and fluorescent surfaces. In Sec. 8 we describe code and implementation improvements relevant for users. Sec. 9 introduces the graphical user interface for *uvspec*. Sec. 10 provides a short summary of additional tools that come with the *libRadtran* package. Finally Sec. 11 shows a few applications as examples of the usage of *libRadtran*.

## 2 The *uvspec* radiative transfer model

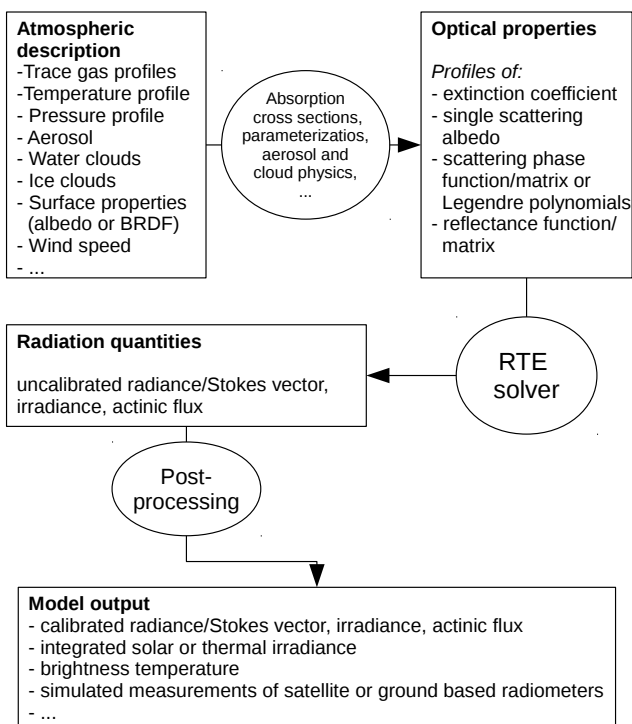


Fig. 1. Structure of the *uvspec* radiative transfer model.

The main tool of the *libRadtran* package is the *uvspec* radiative transfer model, which consists of the following parts:

1. The **atmospheric state** (e.g. trace gas profiles, cloud liquid water content, cloud droplet size, aerosol concentration profiles, ...) needs to be provided as input to the model.
2. The user may select between various **parameterizations** to convert the atmospheric state into **optical properties**, e.g. to convert from cloud liquid water content and effective droplet size to extinction coefficient, single scattering albedo and scattering phase function, or phase matrix when polarization is considered.
3. The optical properties are passed to a **radiative transfer equation (RTE)** solver, where again it is up to the user to select the most appropriate one for the given application. Currently, more than a dozen different solvers are included in *uvspec*. The six most used and maintained RTE solvers are listed in Table 1 and briefly described in Sec. 3. Among them are relatively simple and fast two-stream solvers to compute irradiances, the widely used discrete ordinate solver DISORT and also the Monte Carlo solver MYSTIC to compute (polarized) radiances or irradiances in three-dimensional geometry.
4. The **output** of the RTE solver are radiation quantities as irradiance, actinic flux or (polarized) radiance. The quantities are normalized to the source function, i.e. the solar irradiance in the solar spectral region. In order to get physical quantities with corresponding units the output may be postprocessed. The *uvspec* output then corresponds to calibrated radiances or brightness temperatures for a given instrumental filter function. It is also possible to obtain integrated solar or thermal irradiance.

The overall structure of the *uvspec* model is shown in Fig. 1.

The model was originally designed to compute UV-radiation, therefore its name is *uvspec*. As said before it now covers the complete solar and thermal spectral range.

The usage of the model is described in the user guide which comes along with the package. The user guide includes descriptions of the RTE solvers, examples of use as well as detailed documentation of all options and respective parameters. Below *uvspec* input options are put in teletypefont, for example `rte_solver`.

The *uvspec* model may be run either from the command line using

```
uvspec < input_file > output_file
```

or from the Graphical User Interface (see Sec. 9).

## 3 Radiative transfer equation solvers

The RTE for a macroscopically isotropic medium, i.e. randomly oriented particles and molecules, may be written as

**Table 1.** The radiative transfer equation solvers currently implemented in *libRadtran*.

RTE solver	Geometry	Radiation quantities	References	Method
disort	1D, PP, PS	E, F, L	Stamnes et al. (1988, 2000); Buras et al. (2011); Dahlback and Stamnes (1991)	discrete ordinate, C-version
mystic	1D, 3D <sup>(a)</sup> , PP, SP	E, F, L, <b>I</b>	Mayer (2009); Emde and Mayer (2007); Emde et al. (2010); Mayer et al. (2010); Buras and Mayer (2011); Emde et al. (2011); Klinger and Mayer (2014)	Monte Carlo
twostr	1D, PS	E, F	Kylling et al. (1995)	two-stream,
rodents	1D, PP	E	Zdunkowski et al. (2007)	two-stream, plane-parallel
sslidar	1D, PP	*		single scattering lidar
tzs	1D, PP	L(TOA)		thermal, zero scattering

<sup>(a)</sup> 3D version not included in the free package; available in joint projects

Explanation: PP, plane-parallel  
 PS, pseudo-spherical  
 SP, fully spherical  
 1D, one-dimensional  
 3D, three-dimensional  
 \* sslidar: see section 3.4

E, irradiance  
 F, actinic flux  
 L, radiance  
 L(TOA), radiance at top of atmosphere  
**I** is the Stokes vector (polarized radiance)

(Chandrasekhar, 1950; Mishchenko et al., 2002)

$$\frac{d\mathbf{I}}{\beta ds} = -\mathbf{I} + \mathbf{J} \quad (1)$$

where the source function  $\mathbf{J}$  is

$$\mathbf{J} = \frac{\omega_0}{4\pi} \int \mathbf{P}(\boldsymbol{\Omega}, \boldsymbol{\Omega}') \mathbf{I}(\boldsymbol{\Omega}') d\boldsymbol{\Omega}' + (1 - \omega_0) \mathbf{B}_e(T) \quad (2)$$

Here  $\mathbf{I} = (I, Q, U, V)$  is the Stokes vector at location  $(x, y, z)$ ,  $\beta$  the volume extinction coefficient,  $\omega_0$  the single scattering albedo,  $\mathbf{P}(\boldsymbol{\Omega}, \boldsymbol{\Omega}')$  the scattering phase matrix, and  $\mathbf{B}_e(T) = (B(T), 0, 0, 0)$  the emission vector including the Planck function  $B(T)$ . For most applications in the Earth's atmosphere, thermal emission can be neglected for wavelengths below about  $3 \mu\text{m}$ . Polarization is also often neglected, in this case the Stokes vector in Eqs. 1 and 2 is replaced by the radiance  $L$ , the phase matrix becomes the scalar phase function  $p(\boldsymbol{\Omega}, \boldsymbol{\Omega}')$  and the emission vector is just the Planck function  $B(T)$ .

The *uvspec* model includes various methods to solve Eq. 1. The list of solvers which may be selected using the option `rte_solver` is shown in Table 1.

### 3.1 DISORT

The solver `disort` is used by default in *libRadtran*. DISORT (Stamnes et al., 2000) is based on discrete ordinates and allows to compute radiances, irradiances and actinic fluxes in plane-parallel geometry. The original FORTRAN77 version

of the algorithm exhibited several numerical instabilities for certain combinations of geometries and optical properties. The FORTRAN77 code has been translated to C-code and is entirely in double precision (the FORTRAN77 version is mostly in single precision) and includes dynamic memory allocation (not possible in FORTRAN77). As such, the C version is numerically stable and also faster than the original FORTRAN77 version. We thus use the C version of the DISORT algorithm by default. The original FORTRAN77 version may still be invoked by `fdisort2`. Both the C-code and the FORTRAN77 version include the new intensity correction method for peaked phase functions by Buras et al. (2011), which is used by default.

For calculations with rotational Raman scattering, the C version has been generalized so that arbitrary source functions (not only a solar or thermal source function) can be handled (Kylling and Stamnes, 1992; Kylling et al., 2011). Rotational (inelastic) Raman scattering from other wavelengths into the wavelength, for which the radiative transfer equation is solved, is included into the source term.

### 3.2 MYSTIC

The most comprehensive solver in *libRadtran* is the Monte Carlo model MYSTIC (Mayer, 2009), which may be used to calculate (polarized) radiances, irradiances and actinic fluxes in the solar and thermal spectral regions. Within MYSTIC photons are traced through the atmosphere from the source towards the sensor or backwards, from the sensor to the source, which is much more efficient especially in the

thermal wavelength region. One of the main applications of MYSTIC is to calculate radiances in cloudy atmospheres. The sharp forward scattering of clouds and aerosols causes numerical problems in Monte Carlo models. In order to avoid these, sophisticated variance reduction methods have been developed (Buras and Mayer, 2011). These are enabled using `mc_vroom` on. Solar radiation is initially unpolarized and becomes polarized by molecular, aerosol or cloud scattering in the atmosphere. With the option `mc_polarisation` (Emde et al., 2010) the full Stokes vector is calculated. For 1D atmospheres MYSTIC may also be operated in spherical geometry using the option `mc_spherical` (Emde and Mayer, 2007).

The public version of MYSTIC allows calculations in 1D (plane-parallel or spherical) geometry. A full 3D version is also available for joint projects. The non-public version includes several other features: Complex 3D topography (Mayer et al., 2010) and efficient high spectral resolution calculations using absorption lines importance sampling (Emde et al., 2011).

### 3.3 Two-stream solvers

For the calculation of irradiances, two fast two-stream solvers are available.

The first solver, `twostr`, is described in detail in Kylling et al. (1995). `twostr` is optimized for calculating actinic fluxes, and hence heating rates. It can be run in plane-parallel as well as in pseudo-spherical geometry.

The second two-stream method available in *libRadtran* is `rodents`, which is based on the delta-Eddington two-stream described e.g. in Zdunkowski et al. (2007), Sec. 6.1–6.4<sup>1</sup>. Based on a different two-stream approach than `twostr`, it naturally yields different results. In contrast to `twostr`, neither the pseudo-spherical approximation is not implemented. Also is not implemented nor is `rodents` capable of calculating actinic fluxes.

For actinic fluxes and atmospheric heating rates, `twostr` is the better choice. However, for calculating solar irradiances, we recommend using `rodents`: For cases where the resulting irradiance is not negligible (larger than 2% of the extraterrestrial irradiance), the difference between `rodents` and exact `disort` calculations is on average 5% (7%) for down(up)-welling irradiances. For `twostr` the values are 9% (11%). Especially in case the atmosphere is only weakly absorbing, the average differences at top-of-atmosphere (TOA) and at the surface are only 2% (1%) for `rodents`, whereas they are 5% at TOA and even 13% (18%) at surface for `twostr`.

<sup>1</sup>Note that Zdunkowski et al. (2007) contains two misprints relevant for the two-stream solver: First, in Eq. 6.50,  $\alpha_{12,Ed} = -\alpha_{21,Ed}$  and  $\alpha_{22,Ed} = -\alpha_{11,Ed}$ . Second,  $\alpha_2^2$  in Eq. 6.88 should be  $\alpha_2$ . Also, the derivation in section 6.5 for thermal radiation does not work, instead the equations need to be derived in analogy to the solar radiation.

For the thermal irradiance, `rodents` also gives better results at TOA (1.6%) and at the surface (1%) than `twostr` (3%). For irradiances within the atmosphere, no real preference can be given.

### 3.4 Lidar and radar simulations

In order to complement the instruments that can be simulated by *libRadtran*, a lidar simulator called `sslidar` has been implemented. It only takes into account single scattering and reflection and is based on the lidar equation which is integrated over each range. Note that in order to obtain a smooth signal, a fine vertical resolution of the model atmosphere is required. The vertical resolution should correspond to the atmosphere normally has to have at least the same vertical resolution as the range width of the simulated lidar instrument. For radar simulations a stand-alone tool is available (see Sec. 10.2).

### 3.5 Other solvers

The solver `tzs` (see Appendix B) is based on the zero scattering approximation in the thermal spectral range. It may be used for clear sky calculations of radiances at top of atmosphere (TOA). It also calculates “black cloud” radiances for the application of the CO<sub>2</sub> slicing algorithm (Smith et al., 1970; Chahine, 1974; Smith and Platt, 1978; Menzel et al., 1983; Eyre and Menzel, 1989) which may be used for the determination of cloud top temperatures from passive remote sensing measurements in the thermal spectral range.

For the solar region a fast single scattering solver `sss` is available. These solvers may be used for fast but approximate simulations of satellite measurements.

Several other RTE-solvers are included in *uvspec* for compatibility with earlier releases of the package. These include `sdisort` (pseudospherical disort), `spsdisort` (single precision, pseudospherical disort), `fdisort1` (version 1 of DISORT), and `polradtran` (Evans and Stephens, 1991). While they may still be used, we do not recommend their use as the other solvers listed in Table 1 perform better.

### 3.6 Accuracy of solvers

~~MYSTIC is a physically correct model which does not include any approximations. It~~ The MYSTIC model has been validated in many international model intercomparison studies, for radiance calculations with highly peaked phase functions (Kokhanovsky et al., 2010), for polarized radiance calculations (Emde et al., 2015), and for radiances and irradiances in 3D model domains (Cahalan et al., 2005). In all studies MYSTIC belongs to the core of models which produce equal results within their uncertainty range. MYSTIC agrees perfectly to DISORT for radiances and irradiances with only a few exceptions, e.g. for circum-solar radiation, where the second-order intensity correction included in DISORT is not accurate enough for highly peaked scattering phase functions



(Buras et al., 2011). In Emde et al. (2011), a comparison between DISORT and MYSTIC for a radiance spectrum in the O<sub>2</sub>A-band is shown. The relative difference between the solvers is here less than 0.05%. All other solvers are approximations and hence less accurate: as mentioned before the two-stream solvers are only appropriate for irradiances and the `tzs` solver only provides radiances in thermal atmospheres and neglects scattering completely.

The accuracy of MYSTIC depends only on the number of traced photons. The standard deviation of MYSTIC is calculated when the option `mc_std` is enabled. The user may run MYSTIC with many photons as reference for some cases in order to check the accuracy of other solvers for specific applications.

## 4 Molecules

### 4.1 Molecular absorption parameterizations

Spectral ranges affected by molecular absorption comprising a complex line structure require parameterizations to reduce the computational cost. Molecular absorption parameterizations included in *libRadtran* are listed in Table 2. By default the `reptran` parameterization is applied. Using the option `mol_abs_param` the user may select the most appropriate parameterization for the specific application. As an example Fig. 2 shows radiance calculations for nadir viewing direction at the top of the atmosphere using the parameterizations `reptran` and `lowtran` and line-by-line calculations.

The `reptran` parameterization (Gasteiger et al., 2014) has recently been included in *libRadtran*. In `reptran` integrals over spectral intervals, e.g. integrated over a narrow spectral band or an instrument channel response function, are parameterized as weighted means over representative wavelengths similar to the method described by Buehler et al. (2010). The selection of an optimum set of representative wavelengths is based on accurate line-by-line simulations for top of atmosphere radiances of a highly variable set of atmospheric states. The ARTS model (Eriksson et al., 2011) including state-of-the-art continuum models and spectroscopic data from HITRAN 2004 (Rothman et al., 2005) were used to calculate the gas absorption properties. For wavelengths below 1130 nm measured absorption cross sections of O<sub>3</sub> (Molina and Molina, 1986), O<sub>4</sub> (Greenblatt et al., 1990), and NO<sub>2</sub> (Burrows et al., 1998) are included, as they are not covered by HITRAN or the continua (see also Sec. 4.2). Three band resolutions (fine: 1 cm<sup>-1</sup>, medium: 5 cm<sup>-1</sup>, and coarse: 15 cm<sup>-1</sup>) are available in the solar and thermal spectral range, as well as a number of instrument channels on the ADEOS, ALOS, EarthCARE, Envisat, ERS, Landsat, MSG, PARASOL, Proba, Sentinel, Seosat, and SPOT satellites. The parameterization has been validated by comparison to high spectral resolution calculations. For solar and thermal radiation at the top of atmosphere, as well as for solar radiation at

the ground, the mean parameterization error is in the range of 1%. The mean error is slightly larger than 1% for thermal radiation at the surface.

The LOWTRAN band model adopted from from the SB-DART radiative transfer model (Ricchiazzi et al., 1998) is also included in *libRadtran*.

For the simulation of radiances and irradiances we recommend to use `reptran` because it is faster and more accurate than `lowtran`.

Several correlated-k parameterizations with different numbers of bands, i.e. different accuracy, are included in *libRadtran*. For the calculation of integrated solar and thermal irradiances and heating rates the correlated-k parameterizations by Kato et al. (1999) and Fu and Liou (1992, 1993) are recommended. Also for the calculation of heating/cooling rates in the higher atmosphere (above 20 km) we recommend these parameterizations because `reptran` and `lowtran` are affected by large errors.

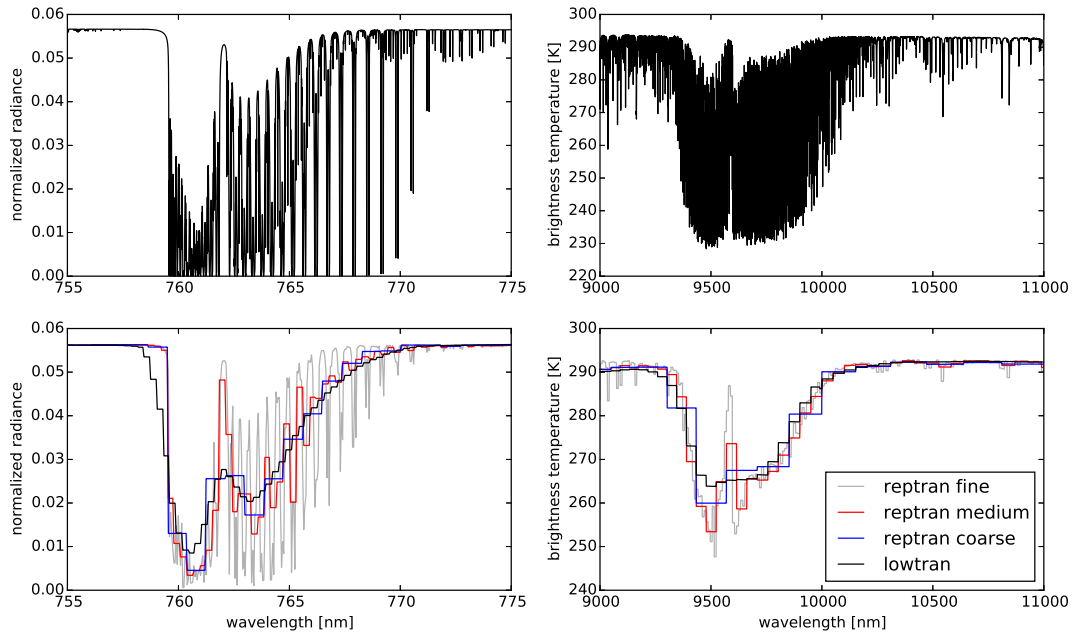
### 4.2 Molecular absorption cross sections

For the spectral region from 160 to 850 nm *libRadtran* includes measured absorption cross sections of various molecules in the atmosphere (see Table 3). Using the option `mol_abs_param crs` these cross sections are used instead of the default `reptran` parameterization. For wavelengths below 500 nm `reptran` yields approximately the same results as `mol_abs_param crs` because the cross sections from HITRAN and the continua are very small at these wavelengths and the same measured cross sections are relevant in both cases.

For O<sub>2</sub> for instance the cross section data include the Schumann-Runge bands between 176 and 192.6 nm and the Herzberg continuum between 205 and 240 nm. Ozone absorption bands are for example the Huggins bands between 320 and 360 nm and the Chappuis bands between 375 and 650 nm. Using the option `crs_model` the user may specify which cross section data should be used in the simulations. Alternatively with `crs_file` the users may specify their own absorption cross section data.

### 4.3 Line-by-line calculations

In the shortwave infrared, thermal infrared and microwave region we find a huge number of absorption lines which are due to vibrational or rotational transitions in molecules. A line-by-line model is required in order to calculate spectrally resolved radiances. Line-by-line models take the absorption line positions as well as line strength parameters from spectral databases like HITRAN, calculate line broadening which depends on pressure and temperature in the atmosphere and finally obtain absorption optical thickness profiles. *libRadtran* does not include a line-by-line model but it allows to specify absorption optical thickness profiles using the option `mol_tau_file abs`. It is convenient to use



**Fig. 2.** Nadir top of the atmosphere radiance in the oxygen-A band around 760 nm (left) and in the IR window region (right) for the midlatitude-summer atmosphere of Anderson et al. (1986). All calculations were performed with the MYSTIC solver using the “absorption lines importance sampling” method (Emde et al., 2011). (Top) High spectral resolution calculation, based on line-by-line absorption cross sections calculated using ARTS (Eriksson et al., 2011); (bottom) pseudo-spectral calculations using the representative wavelengths band parameterizations (*reptran*) with different resolutions and *lowtran*. For comparison see also Fig. 3 in Mayer and Kylling (2005) which shows transmittances for *genln2* line-by-line calculations and *lowtran* for the same spectral regions.

**Table 2.** Absorption parameterizations in *libRadtran*.

Name	Description	Application	References
<i>reptran</i>	default setting; bands parameterized using repr. wavelengths; fine ( $1\text{cm}^{-1}$ ), medium ( $5\text{cm}^{-1}$ ) and coarse ( $15\text{cm}^{-1}$ ) band resolutions available; based on HITRAN2004, MT_CKD and measured absorption cross section data of $\text{O}_3$ , $\text{O}_4$ , and $\text{NO}_2$ ; solar and thermal region	calculation of radiances, simulation of satellite measurements	Gasteiger et al. (2014)
<i>reptran_channel</i>	satellite channels parameterized using representative wavelengths;	fast and accurate simulations for various satellite instruments	Gasteiger et al. (2014)
<i>lowtran</i>	LOWTRAN band model; solar and thermal region, resolution $20\text{ cm}^{-1}$	pseudo-spectral calculations of radiances	<a href="#">Ricchiuzzi et al. (1998)</a> ; <a href="#">Pierluissi and Peng (2015)</a> <a href="#">Pierluissi and Peng (1985)</a>
<i>kato</i> , <i>kato2</i> , <i>kato2.96</i> , <i>katoandwandji</i>	correlated_k distributions for solar region; different versions available; based on HITRAN96 or HITRAN2000; 148 or 575 sub-bands	calculation of integrated solar irradiance	<a href="#">Kato et al. (1999)</a> ; <a href="#">Wandji Nyamsi et al. (2015)</a> <a href="#">Wandji Nyamsi et al. (2015)</a>
<i>fu</i>	correlated_k distributions for solar (6 bands) and thermal (12 bands) regions; optimized for climate models	calculation of integrated solar and thermal irradiance, radiative forcing	Fu and Liou (1992, 1993)

**Table 3.** Absorption cross section data included in *libRadtran*, the non-default parameterizations are put in parantheses.

Molecule	wavelength range [nm]	reference
BrO	312 – 385	Wahner et al. (1988)
CO <sub>2</sub>	119 – 200	Yoshino et al. (1996)
HCHO	300 – 386	Cantrell et al. (1990)
NO <sub>2</sub>	240 – 760	(Bogumil et al. (2003))
	231 – 794	Burrows et al. (1998)
O <sub>2</sub>	108 – 160	Ogawa and Ogawa (1975)
	160 – 175	Yoshino et al. (2005)
	175 – 204	Minschwaner et al. (1992)
	205 – 240	Yoshino et al. (1988)
O <sub>3</sub>	116 – 185	Ackerman (1971) <sup>495</sup>
	185 – 350	Molina and Molina (1986)
	195 – 345	(Daumont et al. (1992))/ (Malicet et al. (1995))
	245 – 340	(Bass and Paur (1985))
	240 – 850	(Bogumil et al. (2003))
	400 – 850	WMO (1986)
O <sub>4</sub>	330 – 1130	Greenblatt et al. (1990) <sup>500</sup>
OCIO	240 – 480	Wahner et al. (1987)
SO <sub>2</sub>	239 – 395	Bogumil et al. (2003)

the ARTS model (Eriksson et al., 2011) to generate spectrally resolved molecular absorption data because it outputs the format required by *libRadtran*. ARTS includes a comprehensive line-by-line module, it allows to use different spectroscopic databases like HITRAN as input and it also includes various state-of-the-art absorption continuum models. The toolbox Py4CATS (Schreier and Böttger, 2003; Schreier, 2006; Schreier and Kohlert, 2008) which can be downloaded from [www.libradtran.org](http://www.libradtran.org) also includes convenient command line programs to generate spectrally resolved absorption data. The Py4CATS tools however do not include continuum models, hence it should only be used for simulations where the continua are not relevant.

#### 4.4 Rayleigh scattering cross sections

The Rayleigh scattering cross sections are by default calculated using Eqs. 22–23 of Bodhaine et al. (1999). Using the option `crs_model rayleigh` the user may select Eq. 29 of Bodhaine et al. (1999) or the formulas proposed by Nicolet (1984) and Penndorf (1957), respectively. The analytical Rayleigh scattering phase matrix  $\mathbf{P}_R$  (Hansen and Travis, 1974) is

$$\mathbf{P}_R(\Theta) =$$

$$\Delta \begin{bmatrix} \frac{3}{4}(1 + \cos^2 \Theta) & -\frac{3}{4}\sin^2 \Theta & 0 & 0 \\ -\frac{3}{4}\sin^2 \Theta & \frac{3}{4}(1 + \cos^2 \Theta) & 0 & 0 \\ 0 & 0 & \frac{3}{2}\cos \Theta & 0 \\ 0 & 0 & 0 & \Delta' \frac{3}{2}\cos \Theta \end{bmatrix} + (1 - \Delta) \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$

where

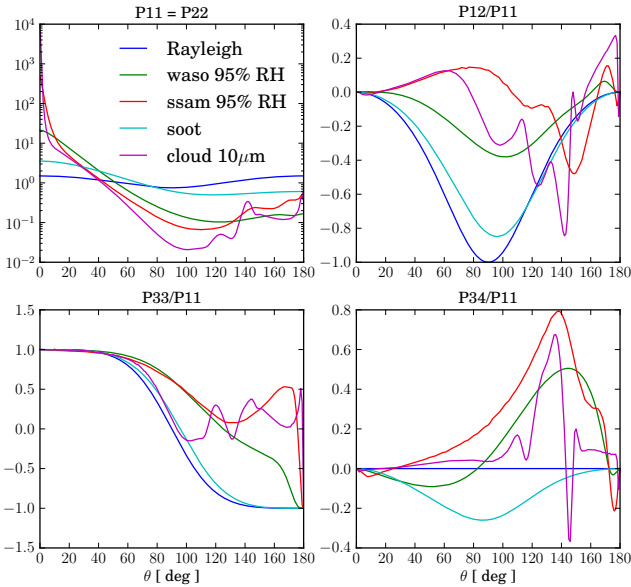
$$\Delta = \frac{1 - \delta}{1 + \delta/2}, \quad \Delta' = \frac{1 - 2\delta}{1 - \delta}, \quad (3)$$

and  $\delta$  is the depolarization factor that accounts for the anisotropy of the molecules,  $\delta$  is also calculated according to Bodhaine et al. (1999). The Rayleigh phase matrix for  $\delta=0$  is shown in Fig 3. For calculations neglecting polarization only the (1,1) element of the phase matrix which corresponds to the scattering phase function is required.

## 5 Aerosols

Besides the models by Shettle (1989) which are described in Mayer and Kylling (2005), *libRadtran* now includes additional aerosol properties based on the OPAC database (Hess et al., 1998). OPAC provides the required parameters for single scattering calculations: size distribution parameters, refractive indices, and the density of the material. Data are available for the spectral range from 250 nm to 40  $\mu\text{m}$  for the following basic aerosol types: insoluble (`inso`), water soluble (`waso`), soot (`soot`), sea salt accumulated (`ssam`), sea salt coarse mode (`sscm`), mineral nucleation mode (`minm`), mineral accumulated mode (`miam`), mineral coarse mode (`micm`), mineral transported (`mitr`) and soluble sulfate aerosol (`suso`). For the soluble aerosols the parameters depend on humidity because the aerosol particles swell in humid air. Relative humidities of 0%, 50%, 70%, 80%, 90%, 95%, 98% and 99% are included in OPAC. The option `aerosol_species_file` allows to define arbitrary mixtures of these basic types or to select pre-defined mixtures from OPAC like e.g. `continental_average`, for which *uvspec* automatically uses the optical properties closest to the background humidity profile.

Optical properties of all basic aerosol types were calculated using *libRadtran*'s Mie tool (see Sec. 10.1). For mineral aerosols, which are highly aspherical, we additionally provide optical properties calculated with the T-matrix method (Mishchenko and Travis, 1998) assuming an aspect ratio distribution of prolate spheroids as described by Koepke et al. (2015).



**Fig. 3.** Phase matrix elements for the basic OPAC aerosol types “water soluble” (*waso*), “sea salt accumulated mode” (*ssam*), and *soot*, for a water cloud with a droplet effective radius of  $10 \mu\text{m}$ , and for Rayleigh scattering (with  $\delta=0$ ) at a wavelength of  $350 \text{ nm}$ .  $\theta$  is the scattering angle, i.e. the angle between incoming and scattered directions.

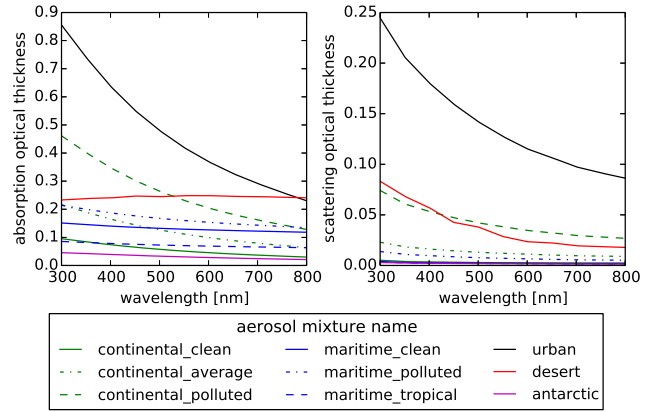
As an example Fig. 3 shows the phase matrix elements of the basic OPAC aerosol types, of liquid cloud droplets with an effective radius of  $10 \mu\text{m}$  and the Rayleigh scattering phase matrix. Note that for spherical particles only 4 elements of the  $4 \times 4$  scattering phase matrix are independent whereas for aspherical particles 6 elements are required (see e.g. Hansen and Travis, 1974). Fig. 4 shows the absorption and the scattering optical thicknesses (integrated from the surface to the top of the atmosphere) for the standard aerosol mixtures in the spectral region from 300 to 800 nm. As expected, the optical thickness of the *urban* aerosol is the largest and that of the *antarctic* aerosol the smallest. In general the continental aerosol mixtures show a stronger wavelength dependency than the maritime mixtures.

The users may also provide their own optical properties data which may be generated using *libRadtran*’s Mie tool or other external programs; more detailed instructions are provided in the *libRadtran* user guide.

## 6 Clouds

### 6.1 Water clouds

Table 4 summarizes the parameterizations of water cloud optical properties which may be selected in *libRadtran* using the option `wc_properties`.



**Fig. 4.** Absorption (left) and scattering (right) optical thickness for various aerosol mixtures specified using the option `aerosol_species_file`. The aerosol optical properties as well as the mixtures have been generated based on OPAC (Hess et al., 1998) parameters.

For the simulation of irradiances and heating rates it is normally sufficient to use a simple parameterization to convert from cloud liquid water content and droplet effective radius to the respective optical properties: extinction coefficient, single scattering albedo, and asymmetry parameter. For this purpose *libRadtran* includes the parameterization generated by Hu and Stamnes (1993).

For the simulation of radiances more accurate optical properties are needed and the phase function should not be approximated by a Henyey-Greenstein function as it is done in Hu and Stamnes (1993). Therefore, we have pre-calculated cloud optical properties using *libRadtran*’s Mie tool assuming that the cloud droplets are gamma distributed:

$$n(r) = Nr^\alpha \exp\left(-\frac{r}{r_{\text{eff}} \cdot v_{\text{eff}}}\right); \quad \alpha = \frac{1}{v_{\text{eff}}} - 3 \quad (4)$$

Calculations have been performed for effective radii  $r_{\text{eff}}$  from  $1 \mu\text{m}$  to  $25 \mu\text{m}$  with a step width of  $1 \mu\text{m}$ . The effective variance was set to a value of  $v_{\text{eff}} = 0.1$  and the constant  $N$  was determined by normalization. The size distributions were cut off at a minimum radius of  $0.02 \cdot r_{\text{eff}}$  and a maximum radius of  $8 \cdot r_{\text{eff}}$ . The size distribution bins are sampled on a size parameter  $\left(\frac{2\pi r}{\lambda}\right)$  grid with a resolution of 0.003. This fine resolution is necessary to obtain smooth phase matrices. The pre-calculated data includes the wavelength ranges from 250 nm to 2200 nm (solar) with a resolution of 10 nm and the range from  $2.2 \mu\text{m}$  to  $100 \mu\text{m}$  (thermal) in 100 steps of equal wavenumbers. The refractive index of water has been taken from Warren (1984). In the solar (thermal) region the phase matrices are computed from 5000 (500) Legendre polynomials. In the optical properties files 129 of the Legendre polynomials are stored, as well as the phase matrix elements, which are stored on scattering angle grids  $\theta$  optimized such that

**Table 4.** Water clouds parameterizations in *libRadtran*.

Name	Description	Application	References
hu	Default setting. Simple parameterization, uses Henyey-Greenstein phase function to approximate Mie phase function	Irradiances, heating rates	Hu and Stamnes (1993)
echam4	Very simple two-band parameterization of ECHAM4 climate model	Comparison of irradiances to results from ECHAM4	Roeckner et al. (1996)
mie	Optical properties calculated using Mie theory, include full phase matrices	(Polarized) radiances	generated using Mie code by Wiscombe (1980)

the error of the phase matrix – when interpolated linearly<sup>625</sup> in  $\cos\theta$  between the grid points – is smaller than 1%. As an example Fig. 3 shows the four phase matrix elements of a cloud droplet distribution with  $r_{\text{eff}}=10\ \mu\text{m}$  at 350 nm. Here the cloudbow at  $\theta\approx 140^\circ$  is clearly visible in the  $P_{11}$  and  $P_{12}/P_{11}$  elements of the phase matrix.  $P_{12}/P_{11}$  corresponds<sup>630</sup> to the degree of polarization in the principal plane after single scattering, it can be seen that the maximum in the cloudbow region is about 80%. The *mystic* solver uses the phase matrix stored on the  $\theta$ -grid, whereas all other solvers use the Legendre polynomials, except for the intensity correction in *disort* which uses the phase function (see also Buras et al., 2011).

For specific applications, e.g. different size distributions, the user can easily generate optical properties using *libRadtran*'s Mie tool.<sup>640</sup>

## 6.2 Ice Clouds

For ice clouds *libRadtran* includes a variety of parameterizations (see Table 5) from which the user may select the most<sup>645</sup> appropriate one for a specific application by specifying the option `ic_properties`. Ice clouds are more complex than water clouds because they consist of ice crystals of different shapes. Some of the ice cloud parameterizations allow the crystal habit (`ic_habit`) to be specified.<sup>650</sup>

As described in the previous section the exact phase matrix is not needed when irradiances are calculated. For this purpose the parameterizations by Fu (1996); Fu et al. (1998) and Key et al. (2002) are included in *libRadtran*. Fu (1996) and Fu et al. (1998) approximate the phase function by a Henyey-Greenstein function. Key et al. (2002) is slightly more accurate because it uses a double-Henyey-Greenstein function which represents the backscattering of ice crystals much better. The parameterization is based on single scattering calculations for various ice crystal habits and on measured size<sup>655</sup> distributions. It is available in the wavelength range from 0.2 to 5  $\mu\text{m}$ . Based on single scattering data provided by P. Yang and on the size distributions from J. R. Key we have extended the original parameterization by Key et al. (2002) to the thermal wavelength region up to 100  $\mu\text{m}$ .<sup>660</sup>

For accurate radiance calculations the parameterizations by Baum et al. (2005a,b) (`baum`) and the newer one by Heymsfield et al. (2013); Yang et al. (2013) and Baum et al. (2014) (`baum_v36`) are available: `baum` includes full phase functions for a mixture of particle shapes, the parameterization is based on single scattering properties of smooth ice crystals and on a large number of measured size distributions. `baum_v36` includes full phase matrices and three different habit models: a general habit mixture similar to `baum` but for rough ice crystals, and the single habits solid-column and aggregate, both of them severely roughened.

We have generated two further parameterizations (`hey` and `yang2013`) for individual habits which also include the full phase matrices (see Appendix A): `hey` is available for the wavelength region from 0.2 to 5  $\mu\text{m}$  for smooth particles in the effective radius range from 5 to 90  $\mu\text{m}$ . The full wavelength region from 200 nm to 99  $\mu\text{m}$  is available for `yang2013`, effective radii may be in the range from 5 to 90  $\mu\text{m}$  and a roughness parameter may also be specified, ranging from smooth to severely rough. For the `yang2013` parameterization, the single scattering properties of nine individual ice crystal habits which are commonly observed in ice clouds have been taken from the database by Yang et al. (2013). The `hey` parameterization was generated before this database existed and it is based on single scattering data provided by Hong Gang who used the improved geometrical optics method (IGOM), the same method as used by Yang et al. (2013).

Please refer to the *libRadtran* user guide for a list of available habits for each parameterization.

Fig. 5 shows the phase matrix elements of ice crystal distributions with an effective radius of 40  $\mu\text{m}$  at 550 nm wavelength. The red lines correspond to smooth crystals and the blue lines to severely rough crystals. The individual habits are for the `yang2013` parameterization. General habit mixtures which are available for the `hey` parameterization based on smooth crystals and for the `baum_v36` parameterization based on severely rough crystals are also shown. For most smooth crystals and also for the general habit mixture `ghm` of the `hey` parameterization scattering features of hexagonal

**Table 5.** Ice cloud parameterizations in *libRadtran*

Name	Description	Application	References
fu	Default setting. Simple parameterization using Henyey-Greenstein phase function.	Irradiances, heating rates	Fu (1996); Fu et al. (1998)
echam4	Very simple 2-band parameterization of ECHAM4 climate model.	Comparison of irradiances to results from ECHAM4	Roeckner et al. (1996)
key	Parameterization using a double-Henyey-Greenstein phase function, covers wavelength range from 0.2 $\mu\text{m}$ to 5.0 $\mu\text{m}$ . Available for various habits.	Irradiances, heating rates	Key et al. (2002)
yang	Similar to <code>key</code> but based on different single scattering calculations and extended to wavelengths up to 100 $\mu\text{m}$ . Below 3.4 $\mu\text{m}$ equivalent to <code>key</code> .	Irradiances, heating rates	Key et al. (2002), Yang et al. (2005)
baum	Bulk optical properties including phase functions for a realistic mixture of habits. Covers wavelength range from 0.4 to 2.2 $\mu\text{m}$ and from 3.1 to 100 $\mu\text{m}$ .	Radiances	Baum et al. (2005a,b)
baum_v36	Bulk optical properties including phase matrices for three microphysical models: general habit mixture, solid columns or rough aggregates. All models include severely rough particles. Covers wavelength range from 0.2 to 99 $\mu\text{m}$ .	(Polarized) radiances	Heymsfield et al. (2013); Yang et al. (2013); Baum et al. (2014)
hey	Bulk optical properties including phase matrices based on single scattering calculations for smooth crystals, covers wavelength range from 0.2 to 5 $\mu\text{m}$ , includes 6 habits and a habit mixture.	(Polarized) radiances	Single scattering properties generated by Hong Gang using the code by Yang et al. (2013), Appendix A
yang2013	Bulk optical properties including phase matrices for 9 habits and 3 degrees of roughness, covers wavelength range from 0.2 to 99 $\mu\text{m}$ .	(Polarized) radiances	Yang et al. (2013), Appendix A

ice crystals, the most prominent being the halo at  $22^\circ$  scattering angle, are visible in all phase matrix elements. The phase matrices for severely rough crystals do not show halo features and they are relatively similar for all habits. In reality ice clouds are highly variable: There are situations when the halo is visible, in this case obviously there must be regular smooth ice crystals in the cirrus clouds. When no halo is visible, the assumption of severely roughened crystals might be more realistic.

## 7 Surface

### 7.1 Bidirectional reflectance distribution functions

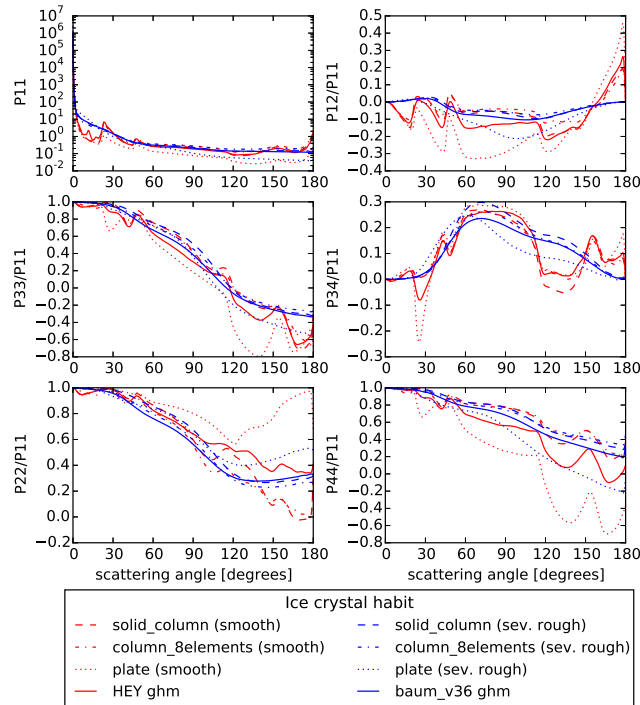
All solvers included in *libRadtran* may include Lambertian surfaces, while `disort` and `MYSTIC` can also handle bidirec-

tional reflectance distribution functions. *libRadtran* provides a variety of BRDFs, which are listed in table 6.

Two parameterizations for land surfaces are available. The first is the “RPV” parameterization by Rahman et al. (1993) with the extension by Degünther and Meerkötter (2000) for modelling snow-covered surfaces. The second is the “RossLi” BRDF first presented by Roujean et al. (1992). The original RossLi BRDF is used in the AMBRALS (the Algorithm for Modeling[MODIS] Bidirectional Reflectance Anisotropies of the Land Surface) BRDF Modeling Framework (Wanner et al., 1997), and consists of four different kernel combinations, of which the `RossThickLiSparseReciprocal` combination was identified in several studies to be the model best suited for the operational MODIS BRDF/Albedo algorithm (see Schaaf et al., 2002). An additional factor for simulating the hot spot in vegetation canopies was added by Maignan et al. (2004). The version implemented in *libRad-*

**Table 6.** The surface reflection models currently implemented in *libRadtran*.

Option name	BRDF type	# of parameters	References	Solvers
albedo	Lambertian	1		All
brdf_cam	Ocean BRDF	3+1	Cox and Munk (1954a,b); Nakajima and Tanaka (1983)	D,M
bpdf_tsang	Polarized ocean BRDF	1	Tsang et al. (1985); Mishchenko and Travis (1997)	M
brdf_hapke	Planetary & lunar surfaces	3	Hapke (1993)	D,M
brdf_ambrals	Ross-Li, MODIS Land Surface, RTLSR	3	Roujean et al. (1992); Wanner et al. (1997); Lucht et al. (2000); Schaaf et al. (2002); Maignan et al. (2004)	D,M
brdf_rpv	Land surfaces	3+3	Rahman et al. (1993); Degünther and Meerkötter (2000)	D,M
Explanation:	D: DISORT	M: MYSTIC		
	RTLSR: RossThickLiSparseReciprocal model, optionally with hot spot parameterization			



**Fig. 5.** Phase matrix elements of ice crystal distributions with an effective radius of  $40 \mu\text{m}$  at  $550 \text{ nm}$  wavelength. The red lines correspond to smooth and the blue lines to severely rough crystals, respectively. The individual habits (`solid-column`, `column_8elements` and `plate`) are for the parameterization yang2013, and the general habit mixtures (`ghm`) are for hey including smooth crystals and `baum_v36` including severely rough particles.

tran is the RossThickLiSparseReciprocal model as used in MODIS data, as presented in Lucht et al. (2000). The hot spot correction factor can be turned on on demand.

As already stated in Mayer and Kylling (2005), but repeated here for completeness, a parameterization of the BRDF of water surfaces is also included which depends mainly on wind speed and to a lesser degree on plankton concentration and salinity. For the MYSTIC solver, also the wind direction can be set. In contrast to vegetation where the typical hot spot occurs in the  $180^\circ$  backscatter direction, the main feature for water is specular reflection. The parameterization in uvspec was adopted from the 6S code (Verote et al., 1997) and is based on the measurements of Cox and Munk (1954a,b) and the calculations of Nakajima and Tanaka (1983). A vector version of the ocean parameterization, developed by Tsang et al. (1985) and Mishchenko and Travis (1997), is available for polarization calculations with MYSTIC. The vector version uses only wind speed as a parameter and does not take into account plankton concentration, salinity or wind direction.

Finally, the parameterization of the surfaces of extraterrestrial solid bodies such as the moon, asteroids or the inner planets by Hapke (1993) is available.

Only the ocean BRDF parameterizations depend directly on the wavelength. For all other BRDF models, the parameterization can either be given as being constant with wavelength (by using e.g. the option `brdf_rpv`), or as a file containing the parameters for each wavelength (using e.g. `brdf_rpv_file`).

## 7.2 Fluorescence

For vegetation covered surfaces, a weak solar-induced chlorophyll fluorescence signal is emitted in the red and far-red spectral regions. The contribution of fluorescence to the radiance leaving the bottom boundary is

$$L_g^F(\mu, \phi, \lambda) = F(\lambda), \quad (5)$$

where  $F(\lambda)$  is the fluorescence source in the same units as the incoming solar flux at the top of the atmosphere (for ex-



ample  $\text{mW}/(\text{m}^2 \text{ nm sr})$ ). The fluorescence source of radiation is included in the `disort` solver. It may either be constant or vary as a function of wavelength. Additional surface bidirectional reflection of radiation may also be included. The fluorescence source depends on the solar radiation impinging the vegetation and the type of vegetation. Output from vegetation fluorescence canopy models such as that described by Miller et al. (2005), may readily be used by `uvspec`.

## 8 Implementation improvements

### 8.1 Multiple atmospheric constituents

The previous versions of `libRadtran` were restricted to using at most four types of atmospheric constituents: molecules, aerosols, and water and ice clouds. Any user defined constituent could only be included by replacing e.g. water clouds with them. Also, it was not possible to use several types of ice cloud habits at the same time.

A recent major internal restructuring of the `libRadtran` code has now made it possible to use any number of atmospheric constituents for a radiative transfer simulation. The number is only limited by computational memory and time. The new input options needed for loading the additional constituents are `profile_file` and `profile_properties`. They work very similar to the cloud input options; merely the name of the constituent needs to be defined.

This option increases the flexibility of `libRadtran` in many ways. E.g. it can be used to load the optical properties for each size bin of an aerosol or water or ice cloud. This way, the size distribution may differ between the atmospheric layers. An example can be found in Kylling et al. (2013).

### 8.2 Change of nomenclature and backward compatibility

As the number of input options had grown to more than 300 over the years, we decided to restructure the language of the input options. The input options now have a largely consistent naming and their usage follows certain rules, making it more easy to find related input options.

We have included a python script in order to provide backward compatibility for long-established `libRadtran` users. The script can be found in the directory `src.py`. By invoking the command

```
python translate.py input_file \  
> new_input_file
```

input files written in the old nomenclature will be translated to the new nomenclature automatically. Alternatively, the old input file can be sent directly to `uvspec` with the following command:

```
python translate.py input_file | uvspec
```

## 9 Graphical User Interface

The large number of input options available in the `uvspec` model may appear overwhelming. To help the user to create `uvspec` input files a graphical user interface (GUI) has been developed. The GUI organizes the input options in logical groups such as “Molecular Atmosphere”, “Aerosol”, “Surface” etc., see also the grey bar at the top in Fig. 6. Input options that are set by the user and [that](#) will be written to the given input files are shown in bold face (for example option `rte_solver` in Fig. 6). Options that may be set are shown as normal characters, while options that are not compatible with other set options are greyed (for example in Fig. 6 `mc_ipa` is greyed since it is not possible to combine it with `rte_solver` set to `disort`).

On-line documentation of the options are available and this is identical to the documentation in the `libRadtran` user manual. In Fig. 6 the documentation for the option `number_of_streams` is shown in the lower left corner. The on-line help is activated by pointing the mouse at the requested input variable.

Input options that refer to input data files, such as wavelength dependent surface albedo, may be plotted from the GUI. In the example in Fig. 6, the extraterrestrial flux (upper left subplot), the surface fluorescence spectrum (lower left subplot) and surface albedo (lower right subplot) inputs are plotted. Note that the wavelength coverage (x-axis) differs reflecting the different wavelength regions included in the input data files.

Once all wanted input options are set, they are saved to a user specified file, and `uvspec` is run from within the GUI. The output from the run may readily be plotted using the GUI. For example, in Fig. 6, the calculated nadir radiance at the top of the atmosphere is shown in the upper right subplot. The GUI includes numerous working examples. Users may add more examples to the GUI specific to their interests.

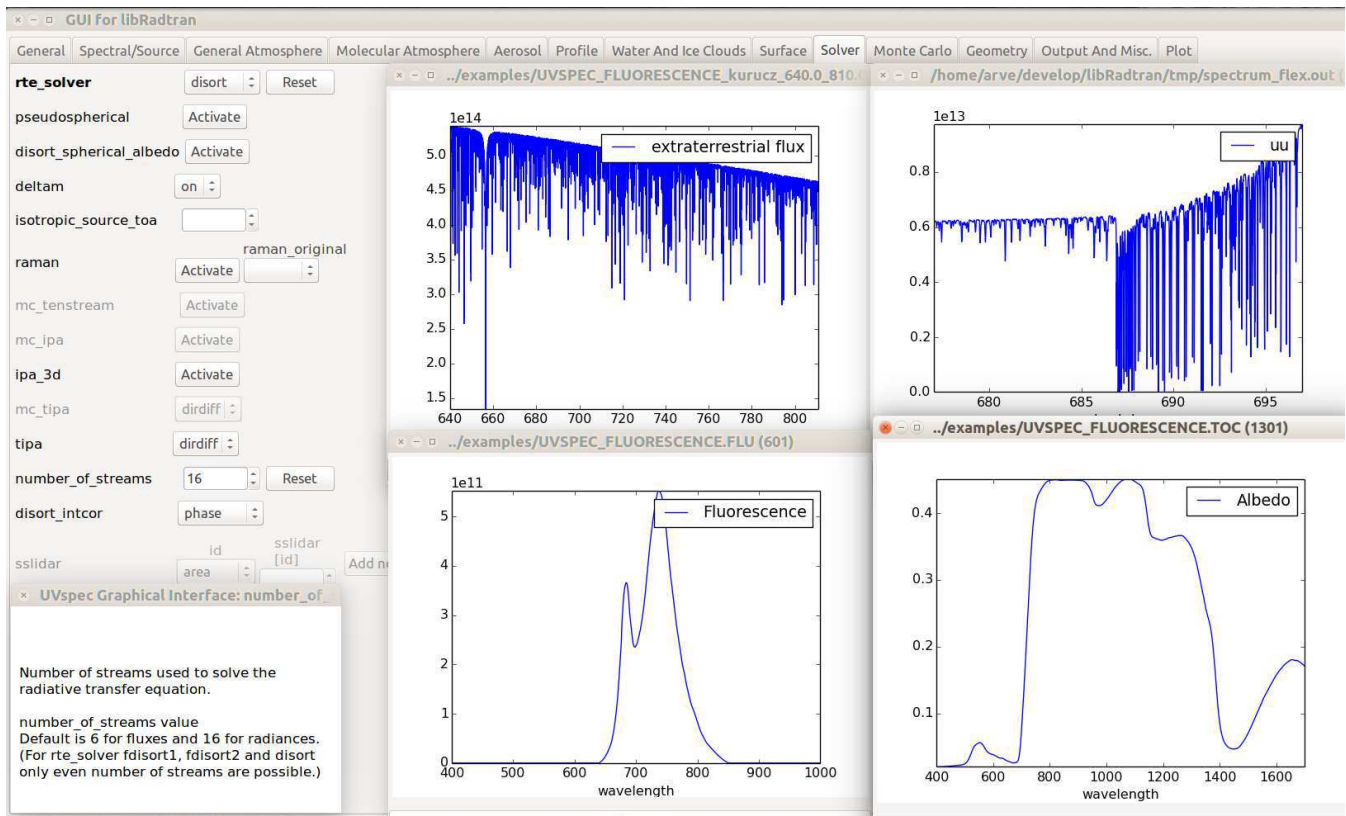
## 10 Other tools

Several additional tools are included in the `libRadtran` package. An overview is given in Mayer and Kylling (2005, Tab. 4). New tools are `ssradar`, a single scattering Radar simulator (see below), and `pmom`, which calculates Legendre polynomials for a given phase function.

### 10.1 Mie calculations

The tool for Mie calculations (`mie`) has been extended considerably. The user may select between two Mie codes, `MIEV0` by Wiscombe (1980) or `bhmie` by Bohren and Huffman (1983). The tool allows to generate input optical properties for `uvspec` calculations for arbitrary size distributions. It generates full phase matrices which are stored on optimized angular grids for a user-defined accuracy. The radiative transfer solvers `MYSTIC` and `DISORT` with the new intensity





**Fig. 6.** Screenshot of the Graphical User Interface for a spectral high-resolution simulation of the O<sub>2</sub>-B band including a fluorescence source. Plots of input and output data are included together with the help information for one option. See text for further explanation.

correction method (Buras et al., 2011) use the phase functions/matrices rather than Legendre polynomials, which are calculated by the Mie codes.

## 835 11.2 Single scattering Radar simulator

Single scattering Radar (*ssradar*) is a stand-alone 1D pure 855  
Rayleigh-scattering cloud radar simulator that handles arbitrary cloud layers and droplet size distributions as well as tilted viewing angles and supercooled water droplets. The radar reflectivity factor is calculated directly from the droplet 840  
distribution with  $Z = \sum_i n_i D_i^6$  (Rinehart, 2010) where  $D$  is 860  
the droplet diameter and  $n_i$  the distribution number density for the discrete interval  $D_i, D_{i+1}$ . Internally available distributions are gamma and lognormal, arbitrary distributions can 845  
be entered using input files.

## 11 Some applications

The *libRadtran* package has been used for numerous applications. Many of these are listed under the publications link at <http://www.libradtran.org>. The `examples` directory also 870  
includes a number input files that may be used especially by 850

new users to create input files. Below some applications of *libRadtran* are described.

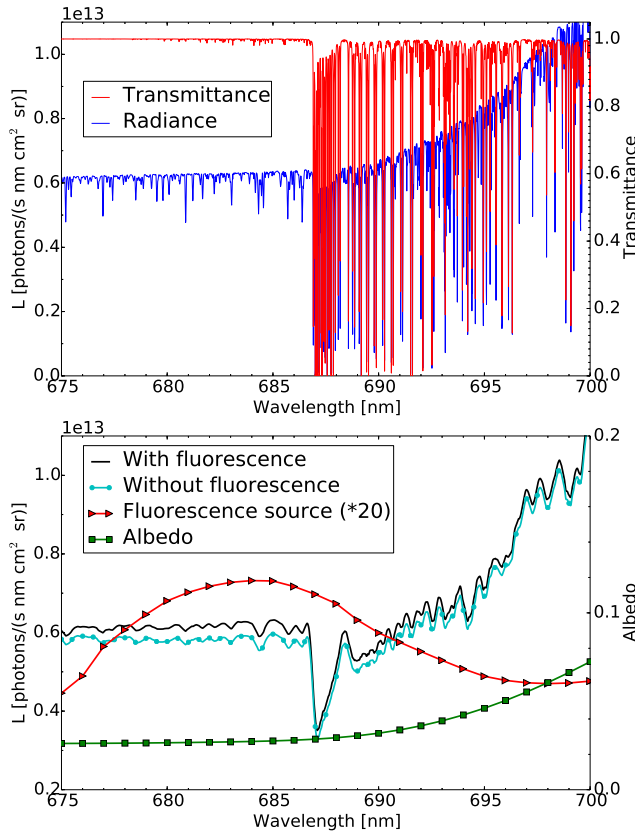
### 11.1 *uvspec* and ARTS

The high number of absorption lines in the shortwave infrared and the thermal infrared requires a line-by-line approach to resolve the spectral structure. Below is shown how molecular absorption data from ARTS may be combined with *uvspec* to perform line-by-line calculations in both the solar and thermal parts of the spectrum. For both examples the spectral resolution, the molecules to be included and the line function properties are specified in the input to ARTS. It is noted that the same ambient atmospheric profile should be used in both, ARTS and *uvspec*.

#### 11.1.1 Solar source

865 Solar induced chlorophyll fluorescence is emitted in the 660 to 800 nm spectral region with two broad peaks at about 685 and 740 nm. In this spectral region are the O<sub>2</sub>-A and O<sub>2</sub>-B bands which contain a large number of absorption lines. Although the fluorescence signal is weak, especially the O<sub>2</sub>-B region holds promise for retrieval of vegetation fluorescence from spectrally high resolution space borne instru-

ments (Guanter et al., 2010). In this spectral region the surface albedo is typically low while there is a fluorescence peak around 685 nm (see red line lower plot Fig. 7). The opti-



**Fig. 7.** (Upper plot) The transmittance from ARTS output and radiance from *uvspec*. (Lower plot) The top of the atmosphere nadir viewing radiance in the O<sub>2</sub>-B band with (blue-black line) and without (purple-cyan line with circles) a surface fluorescence source (red line with triangles). The radiances have been convolved with a spectral response function with FWHM of 0.3 nm.

cal depths from ARTS are input to *uvspec* which calculates the top of the atmosphere radiance (blue line, upper plot of Fig. 7) including the fluorescence signal (red line, lower plot of Fig. 7), surface albedo (green line, lower plot of Fig. 7) and molecular scattering. Measurements may be made at a lower spectral resolution. The lower plot of Fig. 7 shows radiance spectra convolved with a triangular spectral response function with a full width at half maximum (FWHM) of 0.3 nm using the *conv* tool of *libRadtran*. The spectral response function was generated with the *make\_slitfunction* tool. Spectra with (blue line) and without (purple line) fluorescence are presented. It is seen that the fluorescence signal is relatively larger when the surface albedo is low, below about 690 nm, compared to larger wavelengths.

### 11.1.2 Thermal source

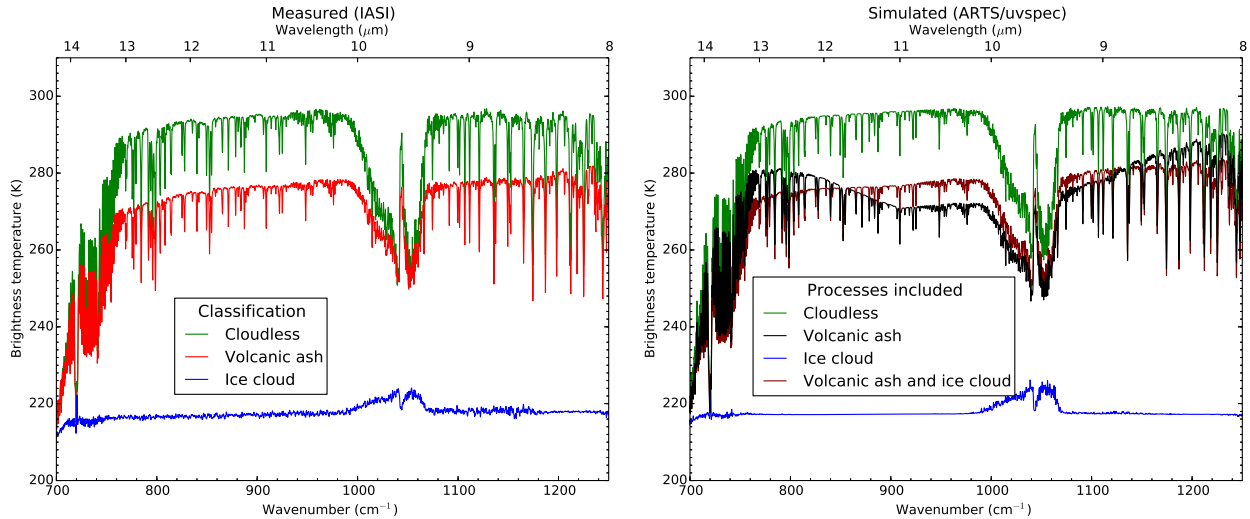
The Infrared Atmospheric Sounding Interferometer (IASI) on board the MetOp satellite measures the radiance from 645 to 2760 cm<sup>-1</sup> (15.50–3.6 μm) with a spectral resolution of 0.25 cm<sup>-1</sup>. Its main purpose is high-resolution atmospheric sounding of temperature and humidity, and trace gas column retrievals (Clerbaux et al., 2009; Hilton et al., 2011). It may also be used to detect volcanic ash (see Clarisse et al., 2013, and references therein).

The left panel of Fig. 8 shows IASI spectra from a granule covering the ash cloud following the eruption of Mt. Kelud, Indonesia, in February, 2014. The spectra are classified as cloudless (green), ice cloud (blue), and volcanic ash (red). To investigate the realism of this identification the spectra were simulated with ARTS/*uvspec*. For all simulated spectra, the surface emissivity was set equal to one which is representative for water. The simulated spectra are shown in the right plot of Fig. 8.

The cloudless spectrum has brightness temperatures representative for the ocean at these latitudes. The main molecular absorption features in this part of the spectrum are water vapor lines throughout the spectrum, ozone (broad band feature centered around 1050 cm<sup>-1</sup>), and CO<sub>2</sub> (feature below 800 cm<sup>-1</sup>). The data from ARTS include absorption lines from these molecules. In the cloudless spectrum the ozone band around 1050 cm<sup>-1</sup> is colder has a lower brightness temperature than the radiation at lower and higher wavenumber, indicating that the radiation in the ozone band was emitted at a higher and colder altitude with lower temperature than the surface. Overall the ARTS/*uvspec* cloudless spectrum agrees well with the measured spectrum.

For the simulation with an ice cloud, the ice cloud was located between 12 and 13 km. Ice water content was set to 1 g/m<sup>3</sup>. The ice particles were assumed to consist of solid columns with  $r_{\text{eff}}=40.0$  μm. The ice cloud parameterization *ic\_properties yang* was selected. The spectrum identified as ice cloud (blue curve in left plot of Fig. 8) appears saturated for nearly all wavenumbers except for the ozone band centered around 1050 cm<sup>-1</sup>. The rather low brightness temperature and wavenumber independent behaviour outside the ozone band, indicates that this is an ice cloud and that it is opaque. The simulation with an ice cloud (blue curve in right plot of Fig. 8) agrees well with the measured spectrum. The higher temperatures in the ozone band implies that this radiation was emitted at a higher altitude in the stratosphere where the temperature is higher than at the altitude of the cloud.

The ash simulation included an ash cloud between 17 and 18 km. The ash particles were assumed to be made of andesite, spherical and mono-disperse with a radius of 3 μm. The refractive index of andesite was taken from Pollack et al. (1973) and the optical properties were calculated using the



**Fig. 8.** (Left plot) Brightness temperature spectra for different locations as measured by IASI on 15 February, 2014, 02:33 UTC, during the Mt. Kelud, Indonesia, eruption. Tentative classification of the spectra is given in the legend. See text for details. (Right plot) Simulated brightness temperature spectra using ARTS/uvspec. The atmospheric processes included in the simulations are given in the legend.

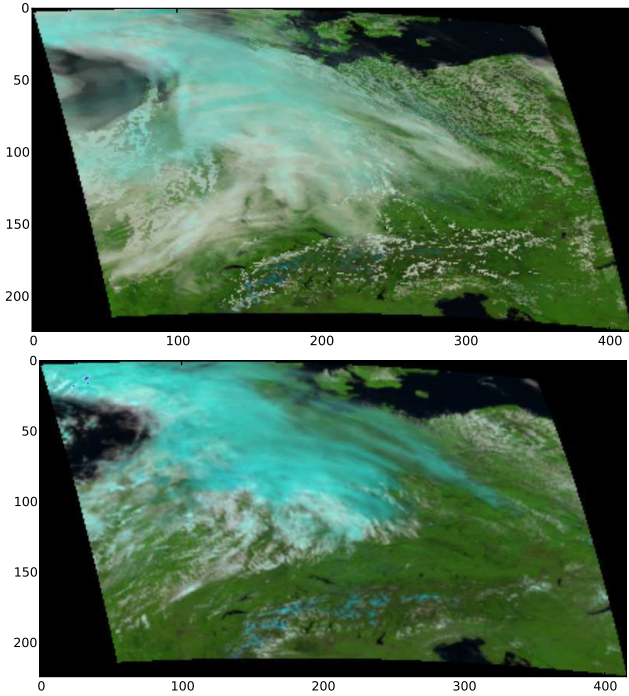
mie tool. The ash density was  $1 \times 10^{-3} \text{ g/m}^3$  which corresponds to a mass loading of  $1 \text{ g/m}^2$  for a 1 km thick cloud.

The red curve in the left plot of Fig. 8 is classified as ash using the difference in brightness temperature method described by Clarisse et al. (2010). This spectrum ~~is colder~~ has a lower brightness temperature than the cloudless spectrum indicating a colder effective emitting temperature overall. The general spectral shape is similar to the cloudless spectrum below  $1000 \text{ cm}^{-1}$ . Above about  $1200 \text{ cm}^{-1}$  the brightness temperature of the cloudless spectrum generally decreases with increasing wavenumber, while the converse is true for the ash spectrum. The simulated ash cloud spectrum (black curve in right plot of Fig. 8) differs from the measured spectrum classified as ash. Both the simulated and measured ash spectra increase in magnitude with increasing wavelength above  $1100 \text{ cm}^{-1}$ , but the simulated spectrum increases more. Below about  $900 \text{ cm}^{-1}$  the spectral behavior of the measured and simulated spectra differs. This may be due to either wrong assumptions about the ash type and hence refractive index and/or the mixing of ice with ash. Ice clouds have an opposite effect of ash clouds on the brightness temperature between  $800\text{--}1000 \text{ cm}^{-1}$ , whereas above  $1075 \text{ cm}^{-1}$  ice clouds have only a very weak dependence on wavenumber (see Fig. 2 of Gangale et al., 2010). To test if the presence of both ash and ice could reproduce the measured spectrum, simulations were made with both an ash cloud and an ice cloud. The altitude and thickness of the clouds were as above, but the ash cloud density was  $2 \times 10^{-4} \text{ g/m}^3$  and the ice water content  $1.5 \times 10^{-2} \text{ g/m}^3$ . The resulting spectrum is shown in maroon in the right plot of Fig. 8. The mixed scene

with both ash and ice is seen to well reproduce the measured ash spectrum in the left plot of Fig. 8.

## 11.2 Simulated satellite image

Fig. 9 shows a simulated satellite image (top) and the corresponding observation (bottom). Three visible channels of the SEVIRI instrument on the MSG (Meteosat Second Generation) satellite were simulated based on input data from the operational COSMO-DE forecast (Baldauf et al., 2011) of Deutscher Wetterdienst for the 15th July 2012, 12 UTC. The spatial resolution of the simulation is  $2.8 \text{ km} \times 2.8 \text{ km}$ , that of the SEVIRI observation is  $3 \text{ km} \times 3 \text{ km}$  at the sub-satellite point. A false color composite was generated using the simulated radiance of the  $1.6 \mu\text{m}$  channel for red, the  $0.8 \mu\text{m}$  radiance for green and  $0.6 \mu\text{m}$  radiance for blue. The simulations were performed using the one-dimensional disort solver. The MODIS surface albedo dataset was used (Schaaf et al., 2002) to set the Lambertian surface albedo. The effective radii of liquid clouds were parameterized according to Martin et al. (1994), and for the optical properties the mie parameterization was applied. Ice cloud effective radii were parameterized according to Wyser (1998) and for the corresponding optical properties the parameterization baum\_v36 was used with the general habit mixture. Molecular absorption was included using the reptran parameterization. In the false color composite water clouds appear white and ice clouds appear blueish, because ice absorbs in the region about  $1.6 \mu\text{m}$ . The simulated image looks very similar to the observation. A major difference is that the ice clouds in the



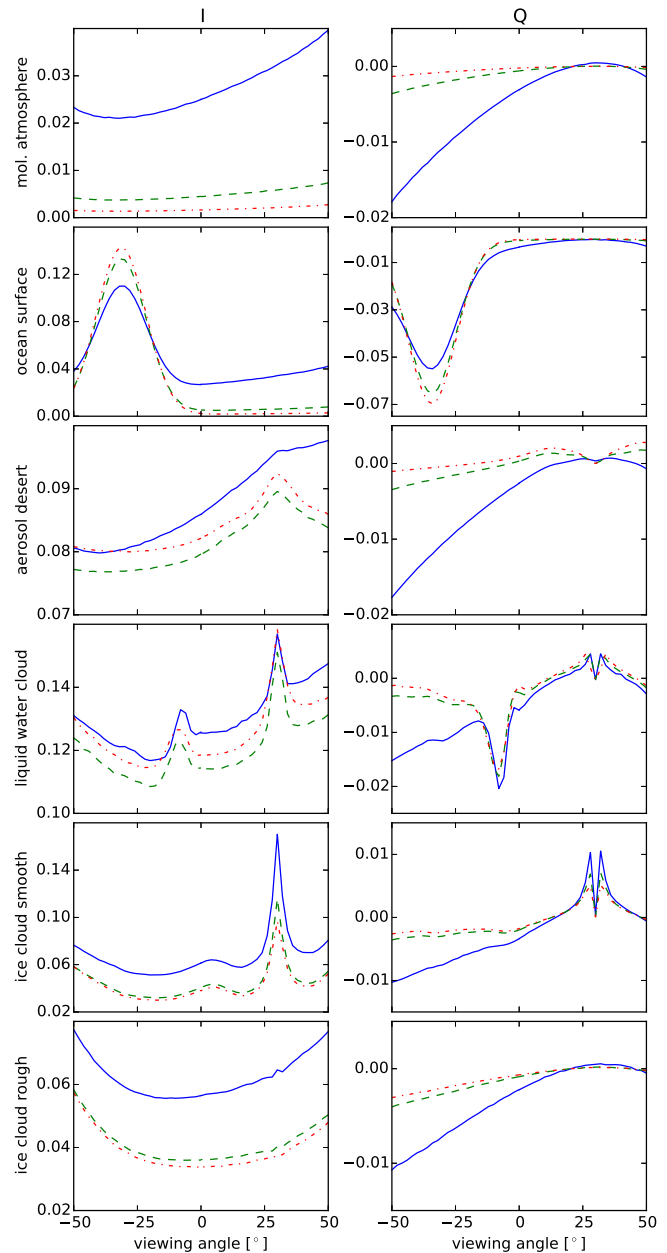
**Fig. 9.** Top: Simulation of MSG-SEVIRI image. False color composite, where red corresponds to the  $1.6 \mu\text{m}$  channel, green to  $0.8 \mu\text{m}$  and blue to  $0.6 \mu\text{m}$ . The simulation was performed using the `disort` solver with input data from the operational COSMO-DE forecast for the 15th July 2012, 12 UTC. The axes correspond to SEVIRI pixel. Bottom: Corresponding SEVIRI image.

1000 observation appear more blueish, the reason is that their real optical thickness is larger than in the COSMO-DE forecast.

### 11.3 Polarization

The MYSTIC solver can be applied to simulate multi-angle multi-spectral polarized radiances using the option `mc.polarisation` (Emde et al., 2010). Polarized radiative transfer using MYSTIC has been validated in extensive model intercomparison projects (Kokhanovsky et al., 2010; Emde et al., 2015).

1005 Fig. 10 shows an example for simulations at wavelengths of 443, 670 and 865 nm; these are measured by the POLDER (Polarization and Directionality of the Earth's Reflectances) instrument onboard PARASOL (Polarization and Anisotropy of Reflectances for Atmospheric Sciences coupled with Observations from a Lidar) (Deschamps et al., 1994). All simulations are for a solar zenith angle of  $30^\circ$  and show the reflected radiances (normalized to incoming solar irradiance) at the top of the atmosphere in the solar principal plane. The viewing angle of  $30^\circ$  corresponds to the exact backscattering direction. The angular resolution is  $2^\circ$ . All simulations are for the US standard atmosphere. The figure shows the first and second components of the Stokes vector  $I$  and  $Q$ ; the



**Fig. 10.** Stokes vector components  $I$  and  $Q$  at wavelengths of 443 nm (blue solid lines), 670 nm (green dashed lines), and 865 nm (red dashed-dotted lines) for various atmospheric setups (see text for details). The radiances are calculated at the top of the atmosphere for viewing angles from  $-50^\circ$  to  $50^\circ$ , where  $0^\circ$  corresponds to the nadir direction.



components  $U$  and  $V$  are exactly 0 in the principal plane for symmetry reasons.

The first row shows the results for a clear atmosphere, i.e. Rayleigh scattering and molecular absorption. Here  $I$  is largest for the shortest wavelength because the Rayleigh scattering cross section decreases with  $\lambda^{-4}$ , where  $\lambda$  is the wavelength. The absolute value of  $Q$  also increases with increasing Rayleigh scattering cross section. A negative  $Q$  means that Rayleigh scattering polarizes perpendicular to the scattering plane, which, for single scattering, corresponds to the principal plane for this geometry.

The second row of the figure shows the same simulation but with an underlying ocean surface, which is modelled according to Mishchenko and Travis (1997) (`bpdf_tsang`). The wind speed was set to 2 m/s.  $I$  and  $Q$  clearly show the sun glint which has a maximum at a viewing angle of about  $-30^\circ$  and which is highly polarized. The intensity of the sun glint increases with increasing wavelength since the incoming radiance at the surface becomes less diffuse when there is less Rayleigh scattering in the atmosphere.

The third row shows the result for desert aerosol as defined in the OPAC database (`aerosol_species_file_desert`), with an underlying Lambertian surface albedo of 0.3.  $I$  shows a backscatter peak at 670 and 865 nm.  $Q$  looks similar as for Rayleigh scattering, however there are differences mainly around the backscatter region. At wavelengths of 670 and 865 nm,  $Q$  has a minimum in the exact backscatter direction and becomes positive for viewing angles around this direction.

The fourth row shows a simulation including a water cloud (`wc_properties_mie`) in 2-3 km altitude with an optical thickness of 10 and an effective droplet radius of  $10 \mu\text{m}$ .  $I$  and  $Q$  show the glory about the backscatter direction and the rainbow at a viewing angle of about  $-10^\circ$  corresponding to a scattering angle of  $140^\circ$ . In  $Q$  the rainbow is more pronounced than in  $I$  because  $Q$  is less affected by multiple scattering. The angular resolution shown here is not sufficient to separate the glory from the backscattering peak in  $I$ . The sign of  $Q$  in the rainbow region is the same as for Rayleigh scattering whereas it is opposite in the glory region, which means that the rainbow is polarized perpendicular to the scattering plane whereas the glory is polarized parallel to the scattering plane.

The last two rows show simulations with ice clouds, where we have used the `yang2013` parameterization. An ice cloud layer with an optical thickness of 2 was included at an altitude from 9–10 km. The selected habit was `solid_column` and we performed simulations for smooth crystals and for severely rough crystals respectively. The effective crystal radius in both simulations is  $30 \mu\text{m}$ . The smooth crystals show a backscatter peak in  $I$  and a positive  $Q$  about the backscatter direction. Also there are some smaller features in  $I$  and  $Q$ . The radiances ( $I$  and  $Q$ ) for rough crystals are smooth functions of viewing angle. This different behaviour

has been used to determine the fraction of smooth crystals in ice clouds from POLDER measurements (Cole et al., 2014).

#### 11.4 Fully spherical geometry

MYSTIC can be operated in fully spherical geometry (`mc_spherical_1D`). The implementation of 1D spherical geometry is described in Emde and Mayer (2007) where it has been used to simulate radiation in the umbral shadow of a solar eclipse. A comparison to measurements during the total eclipse in Greece in March 2006 (Kazantzidis et al., 2007) showed a very good agreement for modeled and measured UV irradiances, which decreased during totality by 2 to 3 orders of magnitude depending on wavelength.

Fully spherical geometry has also been used to simulate actinic fluxes at high solar zenith angles up to  $92^\circ$  (Sumińska-Ebersoldt et al., 2012).

Another interesting application is the simulation of polarized radiance at the surface at twilight, because polarized radiance measurements at twilight can be used to retrieve aerosol optical properties (e.g. Saito and Iwabuchi (2015)).

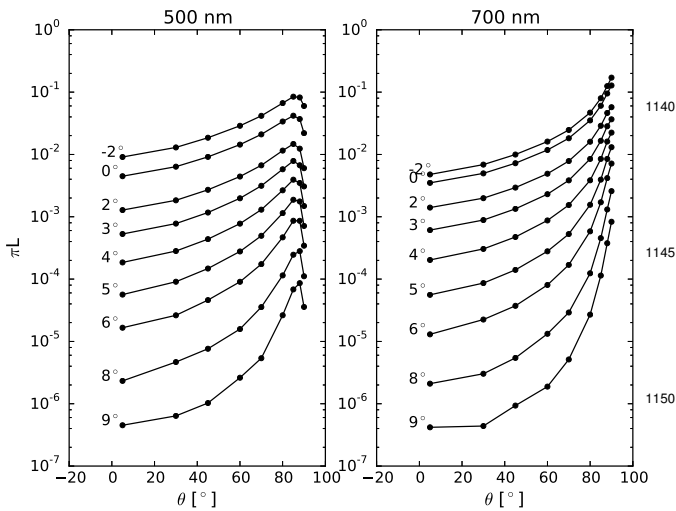
As an example we calculated polarized clear sky radiances for solar depression angles up to  $9^\circ$  for the US-standard atmosphere and default Rayleigh scattering and absorption settings. Fig. 11 shows the result as a function of viewing zenith angle. The relative azimuth angle between sun and observer is  $0^\circ$  which means that the observer looks into the direction of the sun. We see that the intensity decreases by about four orders of magnitude for solar depression angles between  $0^\circ$  (sun at horizon) and  $9^\circ$  (sun  $9^\circ$  below horizon). The degree of polarization (not shown) at a viewing angle of  $5^\circ$  is more than 90%. All results agree to published results by Blättner et al. (1974), which indicates that fully spherical geometry works correctly in MYSTIC.

## 12 Summary

We have presented the *libRadtran* software package (version 2.0.1), which is a comprehensive and powerful collection of tools for radiative transfer simulations in-of the Earth's atmosphere. It is user-friendly, well-documented and is widely used in the scientific community. We have described various new features and parameterizations which have been included after the first publication of *libRadtran* in 2005. New features are for example a vector radiative transfer solver and a solver for rotational Raman scattering. The package includes state-of-the-art parameterizations for aerosol and ice cloud optical properties and a newly developed efficient absorption parameterization.

## 13 Code availability

The *libRadtran* package was initiated about 20 years ago and is still under continuous development. Regularly up-



**Fig. 11.** Twilight radiance at 500 nm and 700 nm calculated using fully spherical geometry for the US-standard atmosphere. The lines are for different solar depression angles. The x-axis corresponds to the viewing zenith angle.

dated versions of the package are available from <http://www.libradtran.org>.

The website includes all released versions of the package. The latest release is version 2.0.1 and includes the source code, example input files, several tests, and the graphical user interface. Additional data packages containing optical properties of clouds and aerosols and the REPTRAN gas absorption parameterization are also available. ~~Alternatively version 2.0.1 and the additional data are available as supplement to this model description paper.~~ The 1D version of MYSTIC is part of the libRadtran public release. Please note that the 3D version of MYSTIC is not part of the libRadtran public release, it is available in joint projects.

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## Appendix A

### Ice crystal optical properties parameterizations

The parameterization yang2013 is based on the single scattering data by Yang et al. (2013). It is available for nine habits and three roughness parameters. It includes full phase matrices for the spectral range from 200 nm to 99  $\mu\text{m}$ . The hey (Hong, Emde, Yang) parameterization is available for six individual smooth habits and includes the full phase matrices for the wavelength region from 0.2 to 5  $\mu\text{m}$ . The single scattering properties for the six ice crystal habits have been generated by Hong Gang based on the improved geometrical optics method (IGOM), the same which is applied in Yang et al. (2013).

In order to obtain bulk scattering properties (required by the RTE solver) the single scattering properties need to be integrated over the particle size distribution. In reality the size distributions are highly variable, for radiative transfer simulations they are often approximated by simple gamma distributions (e.g. Evans, 1998; Heymsfield et al., 2002; Baum et al., 2005a,b) or bi-modal gamma distributions (Mitchell et al., 1996; Ivanova et al., 2001). We assume a gamma size

1780 distribution to compute the bulk scattering properties as for  
the water cloud properties (compare Eq. 4):

$$n(r_e) = Nr_e^{\frac{1}{b}-3} \exp\left(-\frac{r_e}{ab}\right) \quad (\text{A1})_{1825}$$

1785 Here  $r_e$  is a measure of the particle size (the radius in case  
of spherical particles) and  $N$  is the normalization constant  
so that the integral over the distribution yields the number of  
particles in a unit volume. For spherical particles the param-  
eters  $a$  and  $b$  correspond to the effective radius  $r_{\text{eff}}$  and to the  
effective variance  $v_{\text{eff}}$ , respectively. Typical values of cirrus  
cloud size distributions for  $b$  are in the range between 0.1 and  
1790 0.5 (Evans, 1998; Heymsfield et al., 2002). In the following  
we take a fixed value of  $b = 0.25$ . We define the effective par-  
ticle size  $r_e(L)$  for an individual ice crystal as follows (Yang<sup>1830</sup>  
et al., 2005):

$$r_e(L) = \frac{3}{4} \frac{V(L)}{A(L)} \quad (\text{A2})$$

1795 Here  $L$  is the maximum dimension of a nonspherical ice  
crystal and  $A$  and  $V$  are the [mean](#) projected area and the vol-  
ume of the particle, respectively.  $2r_e(L)$  corresponds to the<sup>1835</sup>  
“effective distance”, i.e. the representative distance a photon  
travels through an ice crystal without experiencing internal  
reflections and refraction (Mitchell et al., 1996). The effec-  
1800 tive radius of a size distribution is generally defined as:

$$r_{\text{eff}} = \frac{3}{4} \frac{\int_{L_{\min}}^{L_{\max}} V(L)n(L)dL}{\int_{L_{\min}}^{L_{\max}} A(L)n(L)dL} \quad (\text{A3})_{1840}$$

In order to obtain bulk scattering properties which can be  
used for radiative transfer calculations, we pre-calculate bulk  
1805 optical properties on a specified equidistant effective radius  
grid including values from 5 to 90  $\mu\text{m}$  in steps of 5  $\mu\text{m}$ .<sup>1845</sup>  
Now using Eq. (A3) we iteratively find the parameter  $a$  of the  
size distribution which results in the desired effective radius.  
The bulk optical properties are then calculated by integration  
1810 over the gamma distributions with the parameters  $b=0.25$  and<sup>1850</sup>  
the iteratively obtained  $a$  depending on the effective radius.  
*libRadtran* requires the extinction coefficient normalized to  
1  $\text{g/m}^3$  ice:

$$\langle\beta_{\text{ext}}(r_{\text{eff}})\rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L)Q_{\text{ext}}(L)n(L)dL}{\rho \int_{L_{\min}}^{L_{\max}} V(L)n(L)dL} \quad (\text{A4})_{1855}$$

1815 Here  $Q_{\text{ext}}(L)$  is the extinction efficiency,  $\rho$  is the density  
of ice, and  $n(L)$  is the gamma size distribution which cor-  
responds to the effective radius  $r_{\text{eff}}$ . The single scattering  
albedo  $\langle\omega_0\rangle$  is calculated as follows:

$$\langle\omega_0(r_{\text{eff}})\rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L)\omega_0(L)Q_{\text{ext}}(L)n(L)dL}{\int_{L_{\min}}^{L_{\max}} A(L)Q_{\text{ext}}(L)n(L)dL} \quad (\text{A5})_{1860}$$

1820 Finally, *libRadtran* requires the phase matrix  $\langle P(r_{\text{eff}})\rangle$ ,  
which is computed according to the following equation for<sup>1865</sup>

each scattering angle  $\theta$  and for six matrix elements (denoted  
by index  $i$ ) needed to describe the scattering process by ran-  
domly oriented nonspherical particles (see e.g. van de Hulst,  
1981)):

$$\langle P(r_{\text{eff}}, i, \theta)\rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L)P(L, i, \theta)\omega_0(L)Q_{\text{ext}}(L)n(L)dL}{A(L)\int_{L_{\min}}^{L_{\max}} \omega_0(L)Q_{\text{ext}}(L)n(L)dL} \quad (\text{A6})$$

Optical properties for a general habit mixture `ghm` have  
also been calculated for the `hey` parameterization following  
the mixing “recipe” suggested by Baum et al. (2005b).

## Appendix B

### Description of TZS solver

This solver is based on the zero scattering approximation and  
can be used to calculate clear sky or “black cloud” radiances  
at the top of the atmosphere (TOA) in the thermal spectral  
range. Without scattering the formal solution of the radiative  
transfer equation for the upward intensity (radiance) at TOA  
 $I_\nu(\tau = 0, \mu, \phi)$  at a given frequency  $\nu$  reduces to

$$I_\nu(\tau = 0, \mu, \phi) = I_\nu(\tau^*, \mu, \phi) \exp(-\tau^*/\mu) + \int_0^{\tau^*} \frac{d\tau}{\mu} B_\nu(\tau) \exp(-\tau/\mu). \quad (\text{B1})$$

Here we used the (vertical) absorption optical thickness  $\tau$   
measured from top of atmosphere as the vertical coordinate  
such that  $\tau = 0$  at TOA and  $\tau = \tau^*$  at the surface. Variables  
 $\mu$  and  $\phi$  denote the cosine of the zenith angle and the azimuth  
angle respectively. Planck’s function at a given frequency  $\nu$   
is represented by  $B_\nu(\tau)$  and its temperature dependence is  
contained implicitly in  $\tau$ .

The first term on the right hand side in Eq. B1 represents  
the contribution of the surface and the second one the con-  
tribution of the atmosphere. The surface contribution can be  
written as

$$I_\nu(\tau^*, \mu, \phi) = \epsilon_s B_\nu(\tau^*) + 2(1 - \epsilon_s) \int_0^1 \int_0^{\tau^*} B_\nu(\tau) \exp(-(\tau^* - \tau)/\mu) d\tau d\mu \quad (\text{B2})$$

with the first term representing the emission of the surface  
( $\epsilon_s$ =surface emissivity) and the second one the reflection at  
the surface of the radiation emitted by the atmosphere toward  
the surface. The factor 2 comes from the integration over the  
azimuth angle  $\phi$ .

Under the approximation of Planck’s function  $B_\nu(\tau)$  as a  
piecewise linear function in  $\tau$  between two consecutive lev-  
els, both integrals can be solved as a function of the expo-  
nential integral  $Ei(x) = \int_{-\infty}^{-x} e^{-y}/y dy$ .

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## Point-to-point response to reviews

### Answers to referee 1:

*1. The paper should emphasis what is the added-value of this paper with respect to the user guide?*

Certainly the most important point of the paper is that users need a reference for the model which they can use in their publications. The User Guide cannot serve as such a reference since it is grey literature which changes continuously. The previous libRadtran paper is more than 10 years old and it has been referenced close to 500 times, illustrating the need for a reasonably up-to-date reference. In addition to just documenting the parts of libRadtran, the papers explains a number of new and previously not documented features, e.g. how the optical properties of ice clouds were created. The paper, on the other hand, is no substitute for the User Guide which documents all 200 input options and has close to 200 pages. We feel that both are needed (and so do the users, obviously).

*2. Information is lacking about the performance of this model when compared to other models for standard cases, or RTE solver between them.*

This is a very important suggestion. A section on the "accuracy of solvers" has been included. Here references to a number of model intercomparison studies are provided which demonstrate the performance of libRadtran in comparison to a variety of other models. A reference to a comparison between the MYSTIC and the DISORT solver is also given. Further it is suggested to use MYSTIC as reference solver in order to estimate the accuracy of other solvers.

*3. In Section 3.2, some parts of MYSTIC are not publicly available. Is it therefore appropriate to present them here?*

The text has been slightly changed and the 3D version of MYSTIC is now only mentioned at the end of the section. It should be mentioned in the paper that there is the 3D version and that it is available in joint projects.

### Answers to referee 2:

*1) Section 3: The authors present the main features of the basic solvers used in libRadtran and the improvements that have been implemented in them. However, they do not provide a comparison with other codes/models. Moreover, it would very useful if they could provide a table containing the estimated uncertainties in the derived irradiances/radiances (possibly as a function of solar zenith angle), to help users select the right solver for their particular needs.*

This is a very good suggestion since libRadtran participated in various intercomparison studies where the uncertainties of the different solvers were assessed. A Subsection on the "accuracy of solvers" has been included in Section 3. References to model intercomparison studies are provided. A reference to a comparison between the MYSTIC and the DISORT solver is also given. Further it is suggested to use MYSTIC as reference solver in order to estimate the uncertainties of other solvers.

A table has not been included because the range of applications is too large and there are too many parameters despite solar zenith angle that can influence the accuracy of the result (e.g. viewing angles, cloud optical properties, aerosol optical properties, surface properties ...).

*2) Section 8.2: In the LibRadtran manual, it is mentioned that the "translate.py" function can be found under the directory "src\_py/" but it is not clear at this point in the text.*

The path has been included in Section 8.2.

*3) Section 11: It would nice if the authors could provide the input files (possible as a supplement) so that the example presented here can be easily repeated by interested users. Moreover, the package itself includes a number of examples under the directory "examples/" that could be used (especially by new users) to create input files they would need.*

The "examples" directory is now mentioned. Input files and python scripts to loop over various parameters are provided as supplement to the paper.

## **Changes made in the manuscript:**

- The most important changes are two new sections:

“Sec. 3.6 Accuracy of solvers” - this was requested by both reviewers

“Sec. 13 Code availability” - this is required for all GMD publications, as pointed out by A. Kerkweg

- Table 2 includes a new reference, which corresponds to an update of the Kato absorption parameterization. This update has been included in libRadtran after the publication of the discussion paper, for this reason we have also changed the version number of the software from 2.0 to 2.0.1.
- Other changes are only minor and can be seen in the marked-up manuscript.



# The *libRadtran* software package for radiative transfer calculations (Version 2.0.1)

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**Abstract.** *libRadtran* is a widely used software package for radiative transfer calculations. It allows to compute (polarized) radiances, irradiances, and actinic fluxes in the solar and thermal spectral regions. *libRadtran* has been used for various applications, including remote sensing of clouds, aerosols and trace gases in the Earth's atmosphere, climate studies, e.g., for the calculation of radiative forcing due to different atmospheric components, for UV-forecasting, the calculation of photolysis frequencies, and for remote sensing of other planets in our solar system. The package has been described in Mayer and Kylling (2005). Since then several new features have been included, for example polarization, Raman scattering, a new molecular gas absorption parameterization, and several new cloud and aerosol scattering parameterizations. Furthermore a graphical user interface is now available which greatly simplifies the usage of the model, especially for new users. This paper gives an overview of *libRadtran* version 2.0.1 with focus on new features. [Applications including these new features are provided as examples of use.](#) A complete description of *libRadtran* and all its input options is given in the user manual included in the *libRadtran* software package, which is freely available at <http://www.libradtran.org>.

## 1 Introduction

Radiative transfer modeling is essential for remote sensing of planetary atmospheres, but also for many other fields in atmospheric physics: e.g., atmospheric chemistry which is largely influenced by photochemical reactions, calculation of radiative forcing in climate models, and radiatively driven dynamics in numerical weather prediction models.

The *libRadtran* software package is a versatile toolbox which has been used for various applications related to atmospheric radiation, a list of publications that have used the package can be found on the website <http://www.libradtran.org>, currently it includes more than 400 entries. Applications include the following topics (the given references are taken as examples out of the list of publications):

- Analysis of **UV-radiation** measurements, from which parameters like e.g. ozone concentrations, aerosol optical thickness, UV-index are derived. Since the *libRadtran* package originally was a radiative transfer code for the UV spectral range (the main executable is still called *uvspec*), the model is well established in this research area and frequently used (e.g. Seckmeyer et al., 2008; Kreuter et al., 2014).
- **Cloud and aerosol remote sensing** using measurements in solar and thermal spectral regions. The developed retrieval methods are for ground-based, satellite

- and air-borne instruments which measure (polarized) radiances (e.g. Painemal and Zuidema, 2011; Bugliaro et al., 2011; Zinner et al., 2010; Alexandrov et al., 2012).
- **Volcanic ash studies** including remote sensing of ash mass concentrations (e.g. Gasteiger et al., 2011; Kylling et al., 2015) and visibility of ash particles from the pilot’s perspective (e.g. Weinzierl et al., 2012).
  - **Remote sensing of surface properties**; a model like *libRadtran* is particularly important to develop atmospheric correction methods (e.g. Drusch et al., 2012; Schulmann et al., 2015).
  - **Trace gas remote sensing**, *libRadtran* can be used as forward model for retrievals of O<sub>3</sub>, NO<sub>2</sub> and BrO from DOAS (Differential Optical Absorption Spectroscopy) measurements (e.g. Theys et al., 2007; Emde et al., 2011).
  - Calculation of **actinic fluxes** in order to quantify photolysis rates for atmospheric chemistry (e.g. Sumińska-Ebersoldt et al., 2012).
  - Determination of solar direct irradiance and global irradiance distributions in order to optimize locations of **solar energy** platforms (e.g. Lohmann et al., 2006) and calculation of circumsolar irradiance (Reinhardt et al., 2014).
  - Simulation of satellite radiances to be used for data assimilation in **numerical weather prediction** models (Kostka et al., 2014).
  - Validation of radiation schemes included in **climate models** (Forster et al., 2011), calculation of radiative forcing of clouds and contrail cirrus (Forster et al., 2012), impacts of aviation on climate (e.g. Lee et al., 2010)
  - Simulation of heating rates in three-dimensional atmospheres to develop fast radiation parameterizations for **Large Eddy Simulation (LES) models** (Klinger and Mayer, 2014).
  - Simulation of solar radiation during a **total eclipse** (Emde and Mayer, 2007).
  - Rotational **Raman scattering**, which explains the filling-in of Fraunhofer lines in the solar spectrum (Kylling et al., 2011).
  - Estimation of **background radiation affecting lidar measurements** (e.g. Ehret et al., 2008)
  - Remote sensing of **planetary atmospheres** (e.g. Ranou et al., 2010)

Since the publication of the first *libRadtran* reference paper (Mayer and Kylling, 2005) the model has been further developed. It includes numerous new features which will be the focus of this paper.

One of the major extensions is the implementation of polarization in the radiative transfer solver MYSTIC (Emde et al., 2010), which is important because an increasing number of polarimetric observations have been performed during the last years and are planned for the future, from ground, satellite, and air-craft. These observations include more information about optical and microphysical properties of atmospheric particles than total radiances alone (Kokhanovsky et al., 2010; Mishchenko et al., 2007). Another important reason for considering polarization is that in the short-wave spectral region (below about 500 nm) the neglect of polarization can lead to large errors: more than 10% for a molecular atmosphere and up to 5% for an atmosphere with aerosol (Mishchenko et al., 1994; Kotchenova et al., 2006).

Moreover *libRadtran* now includes a solver to calculate rotational Raman scattering (Kylling et al., 2011) which affects the accuracy of trace gas retrievals. Further the Raman scattering signal can be used to estimate cloud top pressure from satellite measurements and aerosol properties from surface and satellite observations.

Numerous state-of-the-art parameterizations for aerosol and ice cloud optical properties have been included (see Secs. 5 and 6). These new parameterizations provide more accurate radiance calculations. In particular for polarized radiative transfer, which requires not only a scattering phase function but the full scattering matrix, new optical properties data were required. In order to improve the accuracy for highly peaked phase functions – which are typical for ice clouds – an improved intensity correction method has been developed and included into the DISORT solver (Buras et al., 2011), and new variance reduction methods have been developed for the Monte Carlo solver MYSTIC (Buras and Mayer, 2011). *libRadtran* has also been rewritten to allow simulations with an arbitrary number of cloud and aerosol types – which can e.g. be used to simulate variability in particle size distribution.

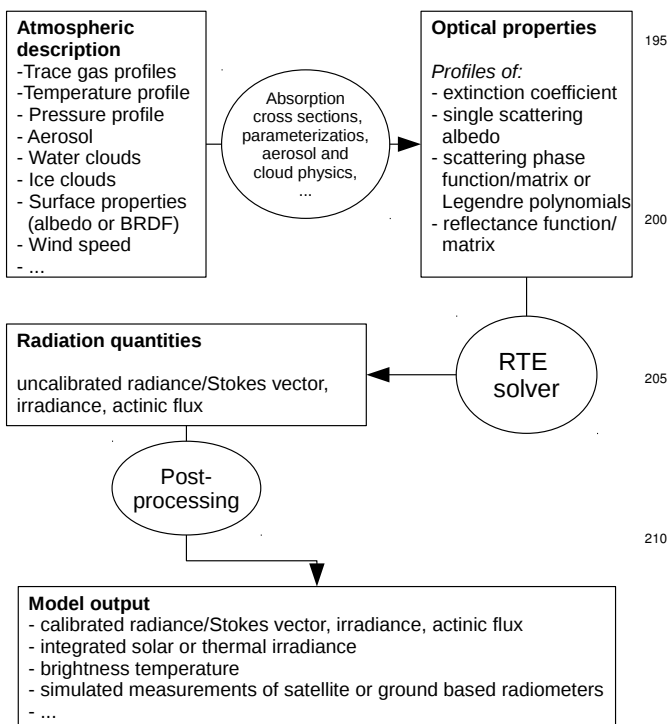
A new gas absorption parameterization for the solar and thermal spectral ranges has been developed (Gasteiger et al., 2014). It is available in different spectral resolutions and can be applied for the simulation of radiances and irradiances. It is particularly useful for efficient simulations of radiances measured by satellite instruments (see Sec. 4.1).

The DISORT radiative transfer solver has been translated from FORTRAN77 to the C programming language. All variables were transferred from single to double precision. These changes improved the numerical stability of the code and reduced computational time significantly (for details see Buras et al., 2011).

The paper is organized as follows: Sec. 2 provides an overview of the *uvspec* radiative transfer model which is the core of the *libRadtran* package. Sec. 3 gives a short de-

scription of the radiative transfer solvers included in *uvspec*. Sec. 4 provides a summary of how molecules are handled and outlines various ways to include molecular absorption. Moreover Rayleigh scattering parameterizations are described. Sec. 5 summarizes the available parameterizations for aerosol microphysical and optical properties. Sec. 6 gives an overview of the parameterizations for water and ice clouds and also outlines how these were generated. In Sec. 7 available surface properties are described, including Lambertian reflection, bidirectional distribution functions and fluorescent surfaces. In Sec. 8 we describe code and implementation improvements relevant for users. Sec. 9 introduces the graphical user interface for *uvspec*. Sec. 10 provides a short summary of additional tools that come with the *libRadtran* package. Finally Sec. 11 shows a few applications as examples of the usage of *libRadtran*.

## 2 The *uvspec* radiative transfer model



**Fig. 1.** Structure of the *uvspec* radiative transfer model.

The main tool of the *libRadtran* package is the *uvspec* radiative transfer model, which consists of the following parts:

1. The **atmospheric state** (e.g. trace gas profiles, cloud liquid water content, cloud droplet size, aerosol concentration profiles, ...) needs to be provided as input to the model.

2. The user may select between various **parameterizations** to convert the atmospheric state into **optical properties**, e.g. to convert from cloud liquid water content and effective droplet size to extinction coefficient, single scattering albedo and scattering phase function, or phase matrix when polarization is considered.
3. The optical properties are passed to a **radiative transfer equation (RTE)** solver, where again it is up to the user to select the most appropriate one for the given application. Currently, more than a dozen different solvers are included in *uvspec*. The six most used and maintained RTE solvers are listed in Table 1 and briefly described in Sec. 3. Among them are relatively simple and fast two-stream solvers to compute irradiances, the widely used discrete ordinate solver DISORT and also the Monte Carlo solver MYSTIC to compute (polarized) radiances or irradiances in three-dimensional geometry.
4. The **output** of the RTE solver are radiation quantities as irradiance, actinic flux or (polarized) radiance. The quantities are normalized to the source function, i.e. the solar irradiance in the solar spectral region. In order to get physical quantities with corresponding units the output may be postprocessed. The *uvspec* output then corresponds to calibrated radiances or brightness temperatures for a given instrumental filter function. It is also possible to obtain integrated solar or thermal irradiance.

The overall structure of the *uvspec* model is shown in Fig. 1.

The model was originally designed to compute UV-radiation, therefore its name is *uvspec*. As said before it now covers the complete solar and thermal spectral range.

The usage of the model is described in the user guide which comes along with the package. The user guide includes descriptions of the RTE solvers, examples of use as well as detailed documentation of all options and respective parameters. Below *uvspec* input options are put in teletypefont, for example `rte_solver`.

The *uvspec* model may be run either from the command line using

```
uvspec < input_file > output_file
```

or from the Graphical User Interface (see Sec. 9).

## 3 Radiative transfer equation solvers

The RTE for a macroscopically isotropic medium, i.e. randomly oriented particles and molecules, may be written as (Chandrasekhar, 1950; Mishchenko et al., 2002)

$$\frac{d\mathbf{I}}{\beta ds} = -\mathbf{I} + \mathbf{J} \quad (1)$$

where the source function  $\mathbf{J}$  is

$$\mathbf{J} = \frac{\omega_0}{4\pi} \int \mathbf{P}(\Omega, \Omega') \mathbf{I}(\Omega') d\Omega' + (1 - \omega_0) \mathbf{B}_e(T) \quad (2)$$

**Table 1.** The radiative transfer equation solvers currently implemented in *libRadtran*.

RTE solver	Geometry	Radiation quantities	References	Method
disort	1D, PP, PS	E, F, L	Stamnes et al. (1988, 2000); Buras et al. (2011); Dahlback and Stamnes (1991)	discrete ordinate, C-version
mystic	1D, 3D <sup>(a)</sup> , PP, SP	E, F, L, <b>I</b>	Mayer (2009); Emde and Mayer (2007); Emde et al. (2010); Mayer et al. (2010); Buras and Mayer (2011); Emde et al. (2011); Klinger and Mayer (2014)	Monte Carlo
twostr	1D, PS	E, F	Kylling et al. (1995)	two-stream,
rodents	1D, PP	E	Zdunkowski et al. (2007)	two-stream, plane-parallel
sslidar	1D, PP	*		single scattering lidar
tzs	1D, PP	L(TOA)		thermal, zero scattering

<sup>(a)</sup> 3D version not included in the free package; available in joint projects

Explanation: PP, plane-parallel  
 PS, pseudo-spherical  
 SP, fully spherical  
 1D, one-dimensional  
 3D, three-dimensional  
 \* sslidar: see section 3.4

E, irradiance  
 F, actinic flux  
 L, radiance  
 L(TOA), radiance at top of atmosphere  
**I** is the Stokes vector (polarized radiance)

Here  $\mathbf{I} = (I, Q, U, V)$  is the Stokes vector at location  $(x, y, z)$ ,  $\beta$  the volume extinction coefficient,  $\omega_0$  the single scattering albedo,  $\mathbf{P}(\boldsymbol{\Omega}, \boldsymbol{\Omega}')$  the scattering phase matrix, and  $\mathbf{B}_e(T) = (B(T), 0, 0, 0)$  the emission vector including the Planck function  $B(T)$ . For most applications in the Earth's atmosphere, thermal emission can be neglected for wavelengths below about  $3 \mu\text{m}$ . Polarization is also often neglected, in this case the Stokes vector in Eqs. 1 and 2 is replaced by the radiance  $L$ , the phase matrix becomes the scalar phase function  $p(\boldsymbol{\Omega}, \boldsymbol{\Omega}')$  and the emission vector is just the Planck function  $B(T)$ .

The *uvspec* model includes various methods to solve Eq. 1. The list of solvers which may be selected using the option `rte_solver` is shown in Table 1.

### 3.1 DISORT

The solver `disort` is used by default in *libRadtran*. DISORT (Stamnes et al., 2000) is based on discrete ordinates and allows to compute radiances, irradiances and actinic fluxes in plane-parallel geometry. The original FORTRAN77 version of the algorithm exhibited several numerical instabilities for certain combinations of geometries and optical properties. The FORTRAN77 code has been translated to C-code and is entirely in double precision (the FORTRAN77 version is mostly in single precision) and includes dynamic memory allocation (not possible in FORTRAN77). As such, the C version is numerically stable and also faster than the original FORTRAN77 version. We thus use the C version of the DISORT algorithm by default. The original FORTRAN77 ver-

sion may still be invoked by `fdisort2`. Both the C-code and the FORTRAN77 version include the new intensity correction method for peaked phase functions by Buras et al. (2011), which is used by default.

For calculations with rotational Raman scattering, the C version has been generalized so that arbitrary source functions (not only a solar or thermal source function) can be handled (Kylling and Stamnes, 1992; Kylling et al., 2011). Rotational (inelastic) Raman scattering from other wavelengths into the wavelength, for which the radiative transfer equation is solved, is included into the source term.

### 3.2 MYSTIC

The most comprehensive solver in *libRadtran* is the Monte Carlo model MYSTIC (Mayer, 2009), which may be used to calculate (polarized) radiances, irradiances and actinic fluxes in the solar and thermal spectral regions. Within MYSTIC photons are traced through the atmosphere from the source towards the sensor or backwards, from the sensor to the source, which is much more efficient especially in the thermal wavelength region ~~or if the radiance is only needed at a specific location in a large 3D domain~~. One of the main applications of MYSTIC is to calculate radiances in ~~3D geometry including inhomogeneous clouds~~ cloudy atmospheres. The sharp forward scattering of clouds and aerosols causes numerical problems in Monte Carlo models. In order to avoid these, sophisticated variance reduction methods have been developed (Buras and Mayer, 2011). These are enabled using `mc_vroom on`. Solar radiation is initially unpolarized and

becomes polarized by molecular, aerosol or cloud scattering in the atmosphere. With the option `mc_polarisation` (Emde et al., 2010) the full Stokes vector is calculated. For 1D atmospheres MYSTIC may also be operated in spherical geometry using the option `mc_spherical` (Emde and Mayer, 2007).

The public version of MYSTIC allows calculations in 1D (plane-parallel or spherical) geometry; ~~the~~. A full 3D version is [also](#) available for joint projects. The non-public version includes several other features: Complex 3D topography (Mayer et al., 2010) and efficient high spectral resolution calculations using absorption lines importance sampling (Emde et al., 2011).

### 3.3 Two-stream solvers

For the calculation of irradiances, two fast two-stream solvers are available.

The first solver, `twostr`, is described in detail in Kylling et al. (1995). `twostr` is optimized for calculating actinic fluxes, and hence heating rates. It can be run in plane-parallel as well as in pseudo-spherical geometry.

The second two-stream method available in *libRadtran* is `rodents`, which is based on the delta-Eddington two-stream described e.g. in Zdunkowski et al. (2007), Sec. 6.1–6.4<sup>1</sup>. Based on a different two-stream approach than `twostr`, it naturally yields different results. In contrast to `twostr`, the pseudo-spherical approximation is not implemented. Also `rodents` is not capable of calculating actinic fluxes.

For actinic fluxes and atmospheric heating rates, `twostr` is the better choice. However, for calculating solar irradiances, we recommend using `rodents`: For cases where the resulting irradiance is not negligible (larger than 2% of the extraterrestrial irradiance), the difference between `rodents` and exact `disort` calculations is on average 5% (7%) for down(up)-welling irradiances. For `twostr` the values are 9% (11%). Especially in case the atmosphere is only weakly absorbing, the average differences at top-of-atmosphere (TOA) and at the surface are only 2% (1%) for `rodents`, whereas they are 5% at TOA and even 13% (18%) at surface for `twostr`.

For the thermal irradiance, `rodents` also gives better results at TOA (1.6%) and surface (1%) than `twostr` (3%). For irradiances within the atmosphere, no real preference can be given.

<sup>1</sup>Note that Zdunkowski et al. (2007) contains two misprints relevant for the twostream solver: First, in Eq. 6.50,  $\alpha_{12,Ed} = -\alpha_{21,Ed}$  and  $\alpha_{22,Ed} = -\alpha_{11,Ed}$ . Second,  $\alpha_2^2$  in Eq. 6.88 should be  $\alpha_2$ . Also, the derivation in section 6.5 for thermal radiation does not work, instead the equations need to be derived in analogy to the solar radiation.

### 3.4 Lidar and radar simulations

In order to complement the instruments that can be simulated by *libRadtran*, a lidar simulator called `sslidar` has been implemented. It only takes into account single scattering and reflection and is based on the lidar equation which is integrated over each range. Note that in order to obtain a smooth signal, the atmosphere normally has to have at least the same vertical resolution as the range width. For radar simulations a stand-alone tool is available (see Sec. 10.2).

### 3.5 Other solvers

The solver `tzs` (see Appendix B) is based on the zero scattering approximation in the thermal spectral range. It may be used for clear sky calculations of radiances at top of atmosphere (TOA). It also calculates “black cloud” radiances for the application of the CO<sub>2</sub> slicing algorithm (Smith et al., 1970; Chahine, 1974; Smith and Platt, 1978; Menzel et al., 1983; Eyre and Menzel, 1989) which may be used for the determination of cloud top temperatures from passive remote sensing measurements in the thermal spectral range.

For the solar region a fast single scattering solver `sss` is available. These solvers may be used for fast but approximate simulations of satellite measurements.

Several other RTE-solvers are included in *uvspec* for compatibility with earlier releases of the package. These include `sdisort` (pseudospherical disort), `spsdisort` (single precision, pseudospherical disort), `fdisort1` (version 1 of DISORT), and `polradtran` (Evans and Stephens, 1991). While they may still be used, we do not recommend their use as the other solvers listed in Table 1 perform better.

### 3.6 Accuracy of solvers

MYSTIC is a physically correct model which does not include any approximations. It has been validated in many international model intercomparison studies, for radiance calculations with highly peaked phase functions (Kokhanovsky et al., 2010), for polarized radiance calculations (Emde et al., 2015), and for radiances and irradiances 3D model domains (Cahalan et al., 2005). In all studies MYSTIC belongs to the core of models which produce equal results within their uncertainty range. MYSTIC agrees perfectly to DISORT for radiances and irradiances with only a few exceptions, e.g. for circum-solar radiation, where the second-order intensity correction included in DISORT is not accurate for highly peaked scattering phase functions (Buras et al., 2011). In Emde et al. (2011), a comparison between DISORT and MYSTIC for a radiance spectrum in the O<sub>2</sub>A-band is shown. The relative difference between the solvers is here less than 0.05%. All other solvers are approximations and hence less accurate: as mentioned before the two-stream solvers are only appropriate for irradiances and the `tzs` solver only

provides radiances in thermal atmospheres and neglects scattering completely.

The accuracy of MYSTIC depends only on the number of traced photons. The standard deviation of MYSTIC is calculated when the option `mc_std` is enabled. The user may run MYSTIC with many photons as reference for some cases in order to check the accuracy of other solvers for specific applications.

## 4 Molecules

### 4.1 Molecular absorption parameterizations

Spectral ranges affected by molecular absorption comprising a complex line structure require parameterizations to reduce the computational cost. Molecular absorption parameterizations included in *libRadtran* are listed in Table 2. By default the `reptran` parameterization is applied. Using the option `mol_abs_param` the user may select the most appropriate parameterization for the specific application. As an example Fig. 2 shows radiance calculations for nadir viewing direction at the top of the atmosphere using the parameterizations `reptran` and `lowtran` and line-by-line calculations.

The `reptran` parameterization (Gasteiger et al., 2014) has recently been included in *libRadtran*. In `reptran` integrals over spectral intervals, e.g. integrated over a narrow spectral band or an instrument channel response function, are parameterized as weighted means over representative wavelengths similar to the method described by Buehler et al. (2010). The selection of an optimum set of representative wavelengths is based on accurate line-by-line simulations for top of atmosphere radiances of a highly variable set of atmospheric states. The ARTS model (Eriksson et al., 2011) including state-of-the-art continuum models and spectroscopic data from HITRAN 2004 (Rothman et al., 2005) were used to calculate the gas absorption properties. For wavelengths below 1130 nm measured absorption cross sections of  $O_3$  (Molina and Molina, 1986),  $O_4$  (Greenblatt et al., 1990), and  $NO_2$  (Burrows et al., 1998) are included, as they are not covered by HITRAN or the continua (see also Sec. 4.2). Three band resolutions (fine:  $1\text{ cm}^{-1}$ , medium:  $5\text{ cm}^{-1}$ , and coarse:  $15\text{ cm}^{-1}$ ) are available in the solar and thermal spectral range, as well as a number of instrument channels on the ADEOS, ALOS, EarthCARE, Envisat, ERS, Landsat, MSG, PARASOL, Proba, Sentinel, Seosat, and SPOT satellites. The parameterization has been validated by comparison to high spectral resolution calculations. For solar and thermal radiation at the top of atmosphere, as well as for solar radiation at the ground, the mean parameterization error is in the range of 1%. The mean error is slightly larger than 1% for thermal radiation at the surface.

The LOWTRAN band model adopted from from the SB-DART radiative transfer model (Ricchiazzi et al., 1998) is also included in *libRadtran*.

For the simulation of radiances and irradiances we recommend to use `reptran` because it is faster and more accurate than `lowtran`.

Several correlated-k parameterizations with different numbers of bands, i.e. different accuracy, are included in *libRadtran*. For the calculation of integrated solar and thermal irradiances and heating rates the correlated-k parameterizations by Kato et al. (1999) and Fu and Liou (1992, 1993) are recommended. Also for the calculation of heating/cooling rates in the higher atmosphere (above 20 km) we recommend these parameterizations because `reptran` and `lowtran` are affected by large errors.

### 4.2 Molecular absorption cross sections

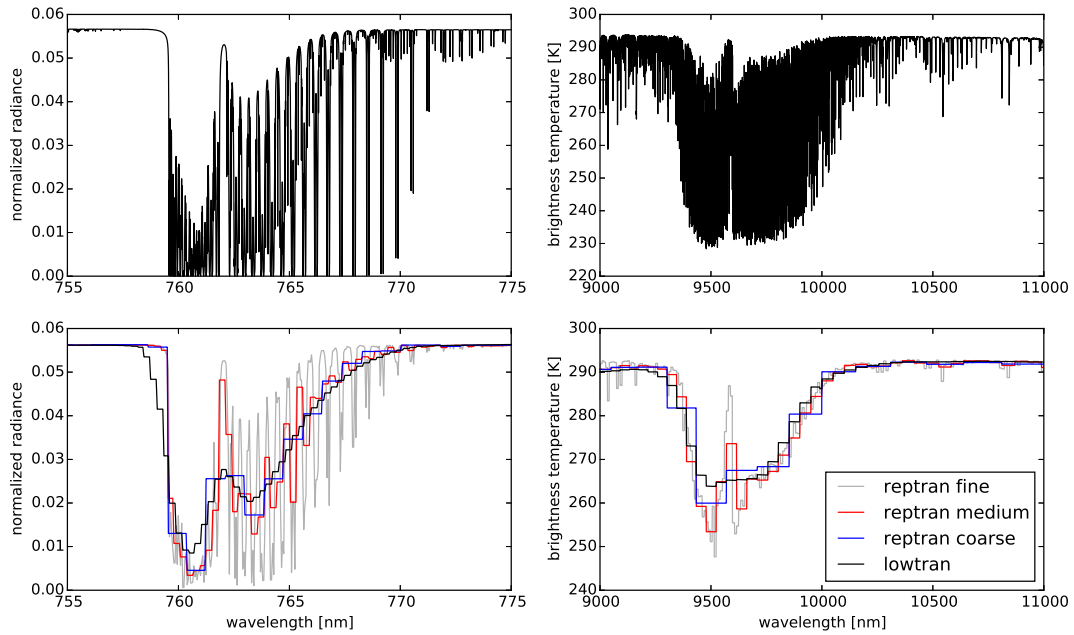
For the spectral region from 160 to 850 nm *libRadtran* includes measured absorption cross sections of various molecules in the atmosphere (see Table 3). Using the option `mol_abs_param crs` these cross sections are used instead of the default `reptran` parameterization. For wavelengths below 500 nm `reptran` yields approximately the same results as `mol_abs_param crs` because the cross sections from HITRAN and the continua are very small at these wavelengths and the same measured cross sections are relevant in both cases.

For  $O_2$  for instance the cross section data include the Schumann-Runge bands between 176 and 192.6 nm and the Herzberg continuum between 205 and 240 nm. Ozone absorption bands are for example the Huggins bands between 320 and 360 nm and the Chappuis bands between 375 and 650 nm. Using the option `crs_model` the user may specify which cross section data should be used in the simulations. Alternatively with `crs_file` the users may specify their own absorption cross section data.

### 4.3 Line-by-line calculations

In the shortwave infrared, thermal infrared and microwave region we find a huge number of absorption lines which are due to vibrational or rotational transitions in molecules. A line-by-line model is required in order to calculate spectrally resolved radiances. Line-by-line models take the absorption line positions as well as line strength parameters from spectral databases like HITRAN, calculate line broadening which depends on pressure and temperature in the atmosphere and finally obtain absorption optical thickness profiles. *libRadtran* does not include a line-by-line model but it allows to specify absorption optical thickness profiles using the option `mol_tau_file abs`. It is convenient to use the ARTS model (Eriksson et al., 2011) to generate spectrally resolved molecular absorption data because it outputs the format required by *libRadtran*. ARTS includes a comprehensive line-by-line module, it allows to use different spectroscopic databases like HITRAN as input and it also includes various state-of-the-art absorption continuum models.





**Fig. 2.** Nadir top of the atmosphere radiance in the oxygen-A band around 760 nm (left) and in the IR window region (right) for the midlatitude-summer atmosphere of Anderson et al. (1986). All calculations were performed with the MYSTIC solver using the “absorption lines importance sampling” method (Emde et al., 2011). (Top) High spectral resolution calculation, based on line-by-line absorption cross sections calculated using ARTS (Eriksson et al., 2011); (bottom) pseudo-spectral calculations using the representative wavelengths band parameterizations (*reptran*) with different resolutions and *lowtran*. For comparison see also Fig. 3 in Mayer and Kylling (2005) which shows transmittances for *genln2* line-by-line calculations and *lowtran* for the same spectral regions.

**Table 2.** Absorption parameterizations in *libRadtran*.

Name	Description	Application	References
<i>reptran</i>	default setting; bands parameterized using repr. wavelengths; fine ( $1\text{cm}^{-1}$ ), medium ( $5\text{cm}^{-1}$ ) and coarse ( $15\text{cm}^{-1}$ ) band resolutions available; based on HITRAN2004, MT_CKD and measured absorption cross section data of $\text{O}_3$ , $\text{O}_4$ , and $\text{NO}_2$ ; solar and thermal region	calculation of radiances, simulation of satellite measurements	Gasteiger et al. (2014)
<i>reptran_channel</i>	satellite channels parameterized using representative wavelengths;	fast and accurate simulations for various satellite instruments	Gasteiger et al. (2014)
<i>lowtran</i>	LOWTRAN band model; solar and thermal region, resolution $20\text{cm}^{-1}$	pseudo-spectral calculations of radiances	Ricchiazzi et al. (1998); Pierluissi and Peng (1985)
<i>kato</i> , <i>kato2</i> <a href="#">kato2.96</a> , <a href="#">katoandwandji</a>	correlated_ <i>k</i> distributions for solar region; different versions available; based on HITRAN96 or HITRAN2000; 148 or 575 sub-bands	calculation of integrated solar irradiance	<del>Kato et al. (1999)</del> <a href="#">Kato et al. (1999)</a> ; <a href="#">Wandji et al. (2000)</a>
<i>fu</i>	correlated_ <i>k</i> distributions for solar (6 bands) and thermal (12 bands) regions; optimized for climate models	calculation of integrated solar and thermal irradiance, radiative forcing	Fu and Liou (1992, 1993)

**Table 3.** Absorption cross section data included in *libRadtran*, the non-default parameterizations are put in parantheses.

Molecule	wavelength range [nm]	reference
BrO	312 – 385	Wahner et al. (1988)
CO <sub>2</sub>	119 – 200	Yoshino et al. (1996)
HCHO	300 – 386	Cantrell et al. (1990)
NO <sub>2</sub>	240 – 760	(Bogumil et al. (2003))
	231 – 794	Burrows et al. (1998)
O <sub>2</sub>	108 – 160	Ogawa and Ogawa (1975)
	160 – 175	Yoshino et al. (2005)
	175 – 204	Minschwaner et al. (1992)
	205 – 240	Yoshino et al. (1988)
O <sub>3</sub>	116 – 185	Ackerman (1971)
	185 – 350	Molina and Molina (1986)
	195 – 345	(Daumont et al. (1992))/ (Malicet et al. (1995))
	245 – 340	(Bass and Paur (1985))
	240 – 850	(Bogumil et al. (2003))
	400 – 850	WMO (1986)
O <sub>4</sub>	330 – 1130	Greenblatt et al. (1990)
OCIO	240 – 480	Wahner et al. (1987)
SO <sub>2</sub>	239 – 395	Bogumil et al. (2003)

490

where

$$\Delta = \frac{1 - \delta}{1 + \delta/2}, \quad \Delta' = \frac{1 - 2\delta}{1 - \delta}, \quad (3)$$

and  $\delta$  is the depolarization factor that accounts for the anisotropy of the molecules,  $\delta$  is also calculated according to Bodhaine et al. (1999). The Rayleigh phase matrix for  $\delta=0$  is shown in Fig 3. For calculations neglecting polarization only the (1,1) element of the phase matrix which corresponds to the scattering phase function is required.

## 5 Aerosols

Besides the models by Shettle (1989) which are described in Mayer and Kylling (2005), *libRadtran* now includes additional aerosol properties based on the OPAC database (Hess et al., 1998). OPAC provides the required parameters for single scattering calculations: size distribution parameters, refractive indices, and the density of the material. Data are available for the spectral range from 250 nm to 40  $\mu\text{m}$  for the following basic aerosol types: insoluble (inso), water soluble (waso), soot (soot), sea salt accumulated (ssam), sea salt coarse mode (sscm), mineral nucleation mode (minm), mineral accumulated mode (miam), mineral coarse mode (micm), mineral transported (mitr) and soluble sulfate aerosol (suso). For the soluble aerosols the parameters depend on humidity because the aerosol particles swell in humid air. Relative humidities of 0%, 50%, 70%, 80%, 90%, 95%, 98% and 99% are included in OPAC. The option `aerosol_species_file` allows to define arbitrary mixtures of these basic types or to select pre-defined mixtures from OPAC like e.g. `continental_average`, for which `uvspec` automatically uses the optical properties closest to the background humidity profile.

Optical properties of all basic aerosol types were calculated using *libRadtran*'s Mie tool (see Sec. 10.1). For mineral aerosols, which are highly aspherical, we additionally provide optical properties calculated with the T-matrix method (Mishchenko and Travis, 1998) assuming an aspect ratio distribution of prolate spheroids as described by Koepke et al. (2015).

As an example Fig. 3 shows the phase matrix elements of the basic OPAC aerosol types, of liquid cloud droplets with an effective radius of 10  $\mu\text{m}$  and the Rayleigh scattering phase matrix. Note that for spherical particles only 4 elements of the 4x4 scattering phase matrix are independent whereas for aspherical particles 6 elements are required (see e.g. Hansen and Travis, 1974). Fig. 4 shows the absorption and the scattering optical thicknesses (integrated from the surface to the top of the atmosphere) for the standard aerosol mixtures in the spectral region from 300 to 800 nm. As expected, the optical thickness of the urban aerosol is the largest and that of the antarctic aerosol the smallest. In general the continental aerosol mixtures show a stronger wavelength dependency than the maritime mixtures.

530

535

The toolbox Py4CATS (Schreier and Böttger, 2003; Schreier, 2006; Schreier and Kohlert, 2008) which can be downloaded from [www.libradtran.org](http://www.libradtran.org) also includes convenient command line programs to generate spectrally resolved absorption data. The Py4CATS tools however do not include continuum models, hence it should only be used for simulations where the continua are not relevant.

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### 4.4 Rayleigh scattering cross sections

The Rayleigh scattering cross sections are by default calculated using Eqs. 22–23 of Bodhaine et al. (1999). Using the option `crs_model rayleigh` the user may select Eq. 29 of Bodhaine et al. (1999) or the formulas proposed by Nicolet (1984) and Penndorf (1957), respectively. The analytical Rayleigh scattering phase matrix  $\mathbf{P}_R$  (Hansen and Travis, 1974) is

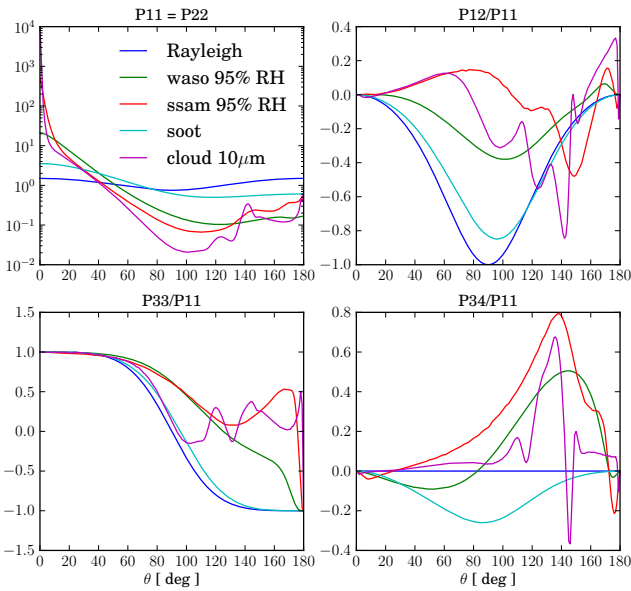
485

$$\mathbf{P}_R(\Theta) =$$

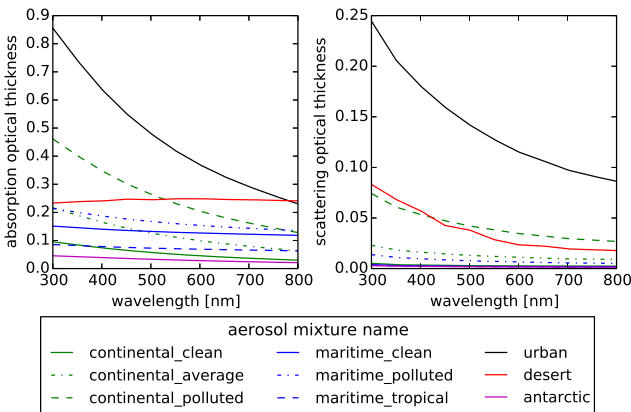
$$\Delta \begin{bmatrix} \frac{3}{4}(1 + \cos^2 \Theta) & -\frac{3}{4}\sin^2 \Theta & 0 & 0 \\ -\frac{3}{4}\sin^2 \Theta & \frac{3}{4}(1 + \cos^2 \Theta) & 0 & 0 \\ 0 & 0 & \frac{3}{2}\cos \Theta & 0 \\ 0 & 0 & 0 & \Delta' \frac{3}{2}\cos \Theta \end{bmatrix}$$

$$+ (1 - \Delta) \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix},$$





**Fig. 3.** Phase matrix elements for the basic OPAC aerosol types “water soluble” (*waso*), “sea salt accumulated mode” (*ssam*), and *soot*, for a water cloud with a droplet effective radius of  $10\ \mu\text{m}$ , and for Rayleigh scattering (with  $\delta=0$ ) at a wavelength of  $350\ \text{nm}$ .  $\theta$  is the scattering angle, i.e. the angle between incoming and scattered directions.



**Fig. 4.** Absorption (left) and scattering (right) optical thickness for various aerosol mixtures specified using the option `aerosol_species_file`. The aerosol optical properties as well as the mixtures have been generated based on OPAC (Hess et al., 1998) parameters.

The users may also provide their own optical properties data which may be generated using *libRadtran*’s Mie tool or other external programs; more detailed instructions are provided in the *libRadtran* user guide.

## 6 Clouds

### 6.1 Water clouds

Table 4 summarizes the parameterizations of water cloud optical properties which may be selected in *libRadtran* using the option `wc_properties`.

For the simulation of irradiances and heating rates it is normally sufficient to use a simple parameterization to convert from cloud liquid water content and droplet effective radius to the respective optical properties: extinction coefficient, single scattering albedo, and asymmetry parameter. For this purpose *libRadtran* includes the parameterization generated by Hu and Stamnes (1993).

For the simulation of radiances more accurate optical properties are needed and the phase function should not be approximated by a Henyey-Greenstein function as it is done in Hu and Stamnes (1993). Therefore, we have pre-calculated cloud optical properties using *libRadtran*’s Mie tool assuming that the cloud droplets are gamma distributed:

$$n(r) = Nr^\alpha \exp\left(-\frac{r}{r_{\text{eff}} \cdot v_{\text{eff}}}\right); \quad \alpha = \frac{1}{v_{\text{eff}}} - 3 \quad (4)$$

Calculations have been performed for effective radii  $r_{\text{eff}}$  from  $1\ \mu\text{m}$  to  $25\ \mu\text{m}$  with a step width of  $1\ \mu\text{m}$ . The effective variance was set to a value of  $v_{\text{eff}} = 0.1$  and the constant  $N$  was determined by normalization. The size distributions were cut off at a minimum radius of  $0.02 \cdot r_{\text{eff}}$  and a maximum radius of  $8 \cdot r_{\text{eff}}$ . The size distribution bins are sampled on a size parameter ( $\frac{2\pi r}{\lambda}$ ) grid with a resolution of 0.003. This fine resolution is necessary to obtain smooth phase matrices. The pre-calculated data includes the wavelength ranges from  $250\ \text{nm}$  to  $2200\ \text{nm}$  (solar) with a resolution of  $10\ \text{nm}$  and the range from  $2.2\ \mu\text{m}$  to  $100\ \mu\text{m}$  (thermal) in 100 steps of equal wavenumbers. The refractive index of water has been taken from Warren (1984). In the solar (thermal) region the phase matrices are computed from 5000 (500) Legendre polynomials. In the optical properties files 129 of the Legendre polynomials are stored, as well as the phase matrix elements, which are stored on scattering angle grids  $\theta$  optimized such that the error of the phase matrix – when interpolated linearly in  $\cos\theta$  between the grid points – is smaller than 1%. As an example Fig. 3 shows the four phase matrix elements of a cloud droplet distribution with  $r_{\text{eff}}=10\ \mu\text{m}$  at  $350\ \text{nm}$ . Here the cloudbow at  $\theta \approx 140^\circ$  is clearly visible in the  $P_{11}$  and  $P_{12}/P_{11}$  elements of the phase matrix.  $P_{12}/P_{11}$  corresponds to the degree of polarization in the principal plane after single scattering, it can be seen that the maximum in the cloudbow region is about 80%. The *mystic* solver uses the phase ma-

**Table 4.** Water clouds parameterizations in *libRadtran*.

Name	Description	Application	References
hu	Default setting. Simple parameterization, uses Henyey-Greenstein phase function to approximate Mie phase function	Irradiances, heating rates	Hu and Stamnes (1993)
echam4	Very simple two-band parameterization of ECHAM4 climate model	Comparison of irradiances to results from ECHAM4	Roeckner et al. (1996)
mie	Optical properties calculated using Mie theory, include full phase matrices	(Polarized) radiances	generated using Mie code by Wiscombe (1980)

trix stored on the  $\theta$ -grid, whereas all other solvers use the Legendre polynomials, except for the intensity correction in `disort` which uses the phase function (see also Buras et al., 2011).

For specific applications, e.g. different size distributions, the user can easily generate optical properties using *libRadtran*'s Mie tool.

## 6.2 Ice Clouds

For ice clouds *libRadtran* includes a variety of parameterizations (see Table 5) from which the user may select the most appropriate one for a specific application by specifying the option `ic_properties`. Ice clouds are more complex than water clouds because they consist of ice crystals of different shapes. Some of the ice cloud parameterizations allow the crystal habit (`ic_habit`) to be specified.

As described in the previous section the exact phase matrix is not needed when irradiances are calculated. For this purpose the parameterizations by Fu (1996); Fu et al. (1998) and Key et al. (2002) are included in *libRadtran*. Fu (1996) and Fu et al. (1998) approximate the phase function by a Henyey-Greenstein function. Key et al. (2002) is slightly more accurate because it uses a double-Henyey-Greenstein function which represents the backscattering of ice crystals much better. The parameterization is based on single scattering calculations for various ice crystal habits and on measured size distributions. It is available in the wavelength range from 0.2 to 5  $\mu\text{m}$ . Based on single scattering data provided by P. Yang and on the size distributions from J. R. Key we have extended the original parameterization by Key et al. (2002) to the thermal wavelength region up to 100  $\mu\text{m}$ .

For accurate radiance calculations the parameterizations by Baum et al. (2005a,b) (`baum`) and the newer one by Heymsfield et al. (2013); Yang et al. (2013) and Baum et al. (2014) (`baum_v36`) are available: `baum` includes full phase functions for a mixture of particle shapes, the parameterization is based on single scattering properties of smooth ice crystals and on a large number of measured size distributions. `baum_v36` includes full phase matrices and three different habit models: a general habit mixture similar to `baum` but

for rough ice crystals, and the single habits solid-column and aggregate, both of them severely roughened.

We have generated two further parameterizations (`hey` and `yang2013`) for individual habits which also include the full phase matrices (see Appendix A): `hey` is available for the wavelength region from 0.2 to 5  $\mu\text{m}$  for smooth particles in the effective radius range from 5 to 90  $\mu\text{m}$ . The full wavelength region from 200 nm to 99  $\mu\text{m}$  is available for `yang2013`, effective radii may be in the range from 5 to 90  $\mu\text{m}$  and a roughness parameter may also be specified, ranging from smooth to severely rough. For the `yang2013` parameterization, the single scattering properties of nine individual ice crystal habits which are commonly observed in ice clouds have been taken from the database by Yang et al. (2013). The `hey` parameterization was generated before this database existed and it is based on single scattering data provided by Hong Gang who used the improved geometrical optics method (IGOM), the same method as used by Yang et al. (2013).

Please refer to the *libRadtran* user guide for a list of available habits for each parameterization.

Fig. 5 shows the phase matrix elements of ice crystal distributions with an effective radius of 40  $\mu\text{m}$  at 550 nm wavelength. The red lines correspond to smooth crystals and the blue lines to severely rough crystals. The individual habits are for the `yang2013` parameterization. General habit mixtures which are available for the `hey` parameterization based on smooth crystals and for the `baum_v36` parameterization based on severely rough crystals are also shown. For most smooth crystals and also for the general habit mixture `ghm` of the `hey` parameterization scattering features of hexagonal ice crystals, the most prominent being the halo at  $22^\circ$  scattering angle, are visible in all phase matrix elements. The phase matrices for severely rough crystals do not show halo features and they are relatively similar for all habits. In reality ice clouds are highly variable: There are situations when the halo is visible, in this case obviously there must be regular smooth ice crystals in the cirrus clouds. When no halo is visible, the assumption of severely roughened crystals might be more realistic.

**Table 5.** Ice cloud parameterizations in *libRadtran*

Name	Description	Application	References
fu	Default setting. Simple parameterization using Henyey-Greenstein phase function.	Irradiances, heating rates	Fu (1996); Fu et al. (1998)
echam4	Very simple 2-band parameterization of ECHAM4 climate model.	Comparison of irradiances to results from ECHAM4	Roeckner et al. (1996)
key	Parameterization using a double-Henyey-Greenstein phase function, covers wavelength range from 0.2 $\mu\text{m}$ to 5.0 $\mu\text{m}$ . Available for various habits.	Irradiances, heating rates	Key et al. (2002)
yang	Similar to <code>key</code> but based on different single scattering calculations and extended to wavelengths up to 100 $\mu\text{m}$ . Below 3.4 $\mu\text{m}$ equivalent to <code>key</code> .	Irradiances, heating rates	Key et al. (2002), Yang et al. (2005)
baum	Bulk optical properties including phase functions for a realistic mixture of habits. Covers wavelength range from 0.4 to 2.2 $\mu\text{m}$ and from 3.1 to 100 $\mu\text{m}$ .	Radiances	Baum et al. (2005a,b)
baum_v36	Bulk optical properties including phase matrices for three microphysical models: general habit mixture, solid columns or rough aggregates. All models include severely rough particles. Covers wavelength range from 0.2 to 99 $\mu\text{m}$ .	(Polarized) radiances	Heymsfield et al. (2013); Yang et al. (2013); Baum et al. (2014)
hey	Bulk optical properties including phase matrices based on single scattering calculations for smooth crystals, covers wavelength range from 0.2 to 5 $\mu\text{m}$ , includes 6 habits and a habit mixture.	(Polarized) radiances	Single scattering properties generated by Hong Gang using the code by Yang et al. (2013), Appendix A
yang2013	Bulk optical properties including phase matrices for 9 habits and 3 degrees of roughness, covers wavelength range from 0.2 to 99 $\mu\text{m}$ .	(Polarized) radiances	Yang et al. (2013), Appendix A

## 7 Surface

### 7.1 Bidirectional reflectance distribution functions

670 All solvers included in *libRadtran* may include Lambertian surfaces, while `disort` and `MYSTIC` can also handle bidirectional reflectance distribution functions. *libRadtran* provides a variety of BRDFs, which are listed in table 6. 680

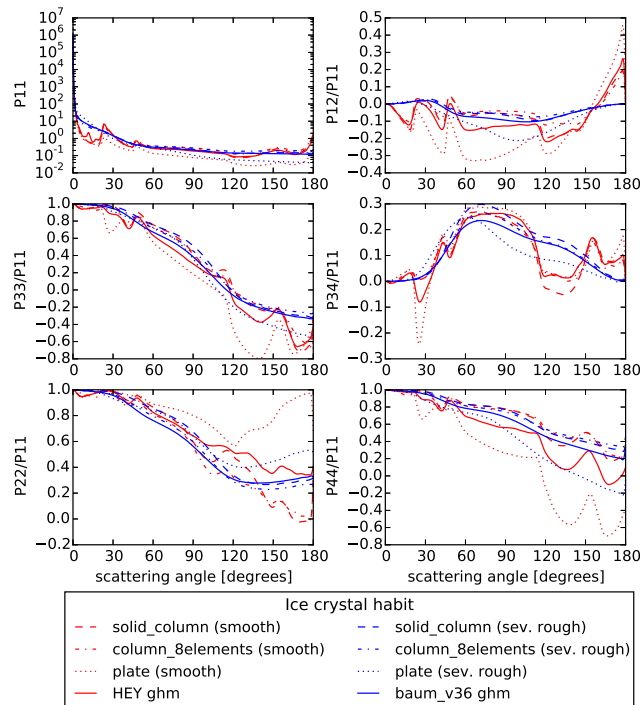
Two parameterizations for land surfaces are available. 675 The first is the “RPV” parameterization by Rahman et al. (1993) with the extension by Degünther and Meerkötter (2000) for modelling snow-covered surfaces. The second is the “RossLi” BRDF first presented by Roujean et al. (1992). 685 The original RossLi BRDF is used in the AMBRALS (the Algorithm for Modeling[MODIS] Bidirectional Reflectance Anisotropies of the Land Surface) BRDF Modeling Framework (Wanner et al., 1997), and consists of four different ker- 680

nel combinations, of which the `RossThickLiSparseReciprocal` combination was identified in several studies to be the model best suited for the operational MODIS BRDF/Albedo algorithm (see Schaaf et al., 2002). An additional factor for simulating the hot spot in vegetation canopies was added by Maignan et al. (2004). The version implemented in *libRadtran* is the `RossThickLiSparseReciprocal` model as used in MODIS data, as presented in Lucht et al. (2000). The hot spot correction factor can be turned on on demand.

As already stated in Mayer and Kylling (2005), but repeated here for completeness, a parameterization of the BRDF of water surfaces is also included which depends mainly on wind speed and to a lesser degree on plankton concentration and salinity. For the `MYSTIC` solver, also the wind direction can be set. In contrast to vegetation where the typical hot spot occurs in the 180° backscatter direction, the main feature for water is specular reflection. The param-

**Table 6.** The surface reflection models currently implemented in *libRadtran*.

Option name	BRDF type	# of parameters	References	Solvers
albedo	Lambertian	1		All
brdf_cam	Ocean BRDF	3+1	Cox and Munk (1954a,b); Nakajima and Tanaka (1983)	D,M
bpdf_tsang	Polarized ocean BRDF	1	Tsang et al. (1985); Mishchenko and Travis (1997)	M
brdf_hapke	Planetary & lunar surfaces	3	Hapke (1993)	D,M
brdf_ambrals	Ross-Li, MODIS Land Surface, RTLSR	3	Roujean et al. (1992); Wanner et al. (1997); Lucht et al. (2000); Schaaf et al. (2002); Maignan et al. (2004)	D,M
brdf_rpv	Land surfaces	3+3	Rahman et al. (1993); Degünther and Meerkötter (2000)	D,M
Explanation:	D: DISORT	M: MYSTIC		
	RTLSR: RossThickLiSparseReciprocal model, optionally with hot spot parameterization			



**Fig. 5.** Phase matrix elements of ice crystal distributions with an effective radius of  $40 \mu\text{m}$  at  $550 \text{ nm}$  wavelength. The red lines correspond to smooth and the blue lines to severely rough crystals, respectively. The individual habits (`solid-column`, `column-8elements` and `plate`) are for the parameterization `yang2013`, and the general habit mixtures (`ghm`) are for `hey` including smooth crystals and `baum_v36` including severely rough particles.

eterization in *uvspec* was adopted from the 6S code (Ver-  
 mote et al., 1997) and is based on the measurements of Cox  
 and Munk (1954a,b) and the calculations of Nakajima and  
 Tanaka (1983). A vector version of the ocean parameteriza-  
 tion, developed by Tsang et al. (1985) and Mishchenko and  
 Travis (1997), is available for polarization calculations with  
 MYSTIC. The vector version uses only wind speed as a pa-  
 rameter and does not take into account plankton concentra-  
 tion, salinity or wind direction.

Finally, the parameterization of the surfaces of extrater-  
 restrial solid bodies such as the moon, asteroids or the inner  
 planets by Hapke (1993) is available.

Only the ocean BRDF parameterizations depend directly  
 on the wavelength. For all other BRDF models, the pa-  
 rameterization can either be given as being constant with  
 wavelength (by using e.g. the option `brdf_rpv`), or as a  
 file containing the parameters for each wavelength (using  
 e.g. `brdf_rpv_file`).

## 7.2 Fluorescence

For vegetation covered surfaces, a weak solar-induced  
 chlorophyll fluorescence signal is emitted in the red and far-  
 red spectral regions. The contribution of fluorescence to the  
 radiance leaving the bottom boundary is

$$L_g^F(\mu, \phi, \lambda) = F(\lambda), \quad (5)$$

where  $F(\lambda)$  is the fluorescence source in the same units as  
 the incoming solar flux at the top of the atmosphere (for ex-  
 ample  $\text{mW}/(\text{m}^2 \text{ nm sr})$ ). The fluorescence source of radiation  
 is included in the `disort` solver. It may either be constant  
 or vary as a function of wavelength. Additional surface bidi-  
 rectional reflection of radiation may also be included. The  
 fluorescence source depends on the solar radiation impinging  
 the vegetation and the type of vegetation. Output from vege-  
 tation fluorescence canopy models such as that described by  
 Miller et al. (2005), may readily be used by *uvspec*.

## 8 Implementation improvements

### 8.1 Multiple atmospheric constituents

The previous versions of *libRadtran* were restricted to using at most four types of atmospheric constituents: molecules, aerosols, and water and ice clouds. Any user defined constituent could only be included by replacing e.g. water clouds with them. Also, it was not possible to use several types of ice cloud habits at the same time.

A recent major internal restructuring of the *libRadtran* code has now made it possible to use any number of atmospheric constituents for a radiative transfer simulation. The number is only limited by computational memory and time. The new input options needed for loading the additional constituents are `profile_file` and `profile_properties`. They work very similar to the cloud input options; merely the name of the constituent needs to be defined.

This option increases the flexibility of *libRadtran* in many ways. E.g. it can be used to load the optical properties for each size bin of an aerosol or water or ice cloud. This way, the size distribution may differ between the atmospheric layers. An example can be found in Kylling et al. (2013).

### 8.2 Change of nomenclature and backward compatibility

As the number of input options had grown to more than 300 over the years, we decided to restructure the language of the input options. The input options now have a largely consistent naming and their usage follows certain rules, making it more easy to find related input options.

We have included a python script in order to provide backward compatibility for long-established *libRadtran* users. [The script can be found in the directory src.py](#). By invoking the command

```
python translate.py input_file \
> new_input_file
```

input files written in the old nomenclature will be translated to the new nomenclature automatically. Alternatively, the old input file can be sent directly to *uvspec* with the following command:

```
python translate.py input_file | uvspec
```

## 9 Graphical User Interface

The large number of input options available in the *uvspec* model may appear overwhelming. To help the user to create *uvspec* input files a graphical user interface (GUI) has been developed. The GUI organizes the input options in logical groups such as “Molecular Atmosphere”, “Aerosol”, “Surface” etc., see also the grey bar at the top in Fig. 6. Input

options that are set by the user and will be written to the given input files are shown in bold face (for example option `rte_solver` in Fig. 6). Options that may be set are shown as normal characters, while options that are not compatible with other set options are greyed (for example in Fig. 6 `mc_ipa` is greyed since it is not possible to combine it with `rte_solver` set to `disort`).

On-line documentation of the options are available and this is identical to the documentation in the *libRadtran* user manual. In Fig. 6 the documentation for the option `number_of_streams` is shown in the lower left corner. The on-line help is activated by pointing the mouse at the requested input variable.

Input options that refer to input data files, such as wavelength dependent surface albedo, may be plotted from the GUI. In the example in Fig. 6, the extraterrestrial flux (upper left subplot), the surface fluorescence spectrum (lower left subplot) and surface albedo (lower right subplot) inputs are plotted. Note that the wavelength coverage (x-axis) differs reflecting the different wavelength regions included in the input data files.

Once all wanted input options are set, they are saved to a user specified file, and *uvspec* is run from within the GUI. The output from the run may readily be plotted using the GUI. For example, in Fig. 6, the calculated nadir radiance at the top of the atmosphere is shown in the upper right subplot. The GUI includes numerous working examples. Users may add more examples to the GUI specific to their interests.

## 10 Other tools

Several additional tools are included in the *libRadtran* package. An overview is given in Mayer and Kylling (2005, Tab. 4). New tools are *ssradar*, a single scattering Radar simulator (see below), and *pmom*, which calculates Legendre polynomials for a given phase function.

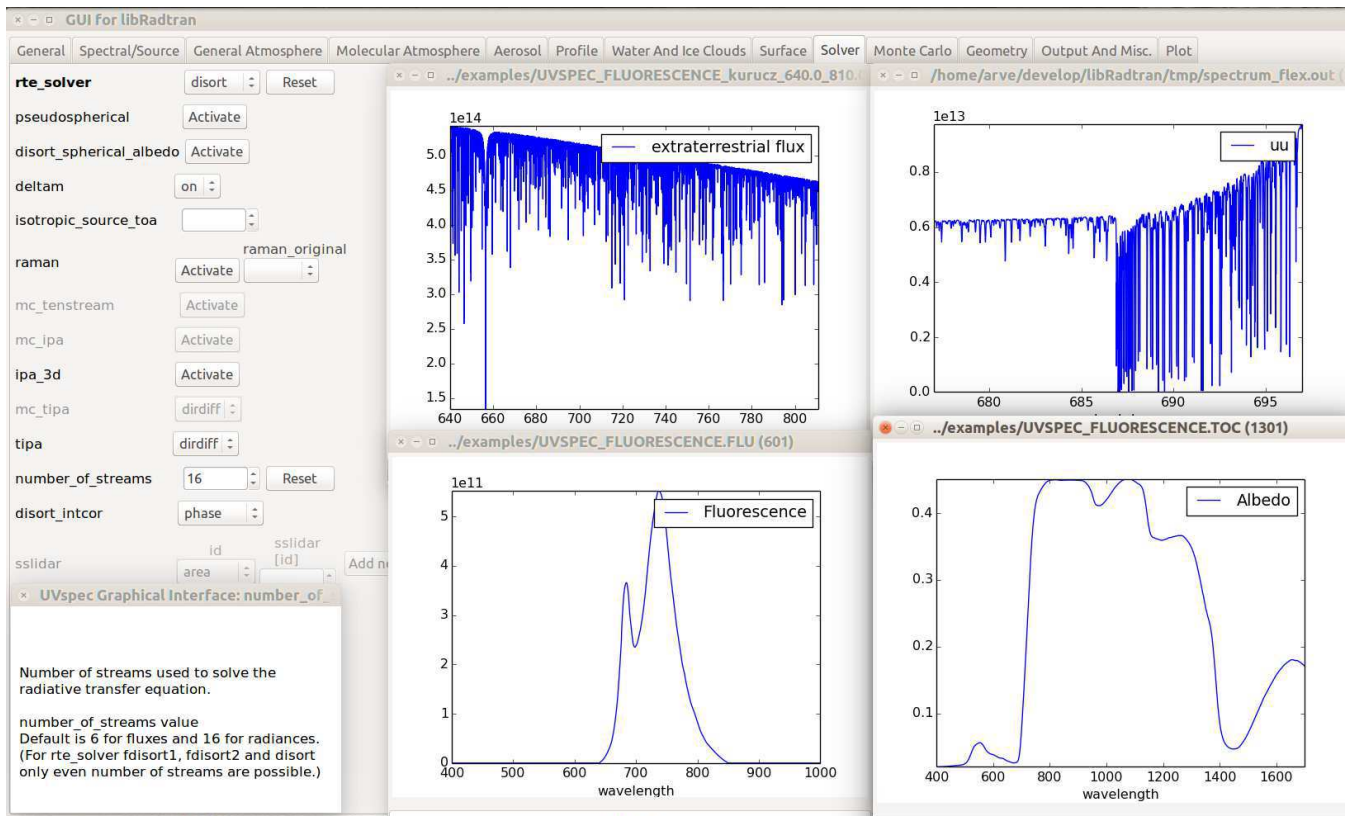
### 10.1 Mie calculations

The tool for Mie calculations (`mie`) has been extended considerably. The user may select between two Mie codes, MIEV0 by Wiscombe (1980) or `bhmie` by Bohren and Huffman (1983). The tool allows to generate input optical properties for *uvspec* calculations for arbitrary size distributions. It generates full phase matrices which are stored on optimized angular grids for a user-defined accuracy. The radiative transfer solvers MYSTIC and DISORT with the new intensity correction method (Buras et al., 2011) use the phase functions/matrices rather than Legendre polynomials, which are calculated by the Mie codes.

### 10.2 Single scattering Radar simulator

Single scattering Radar (*ssradar*) is a stand-alone 1D pure Rayleigh-scattering cloud radar simulator that handles arbi-





**Fig. 6.** Screenshot of the Graphical User Interface for a spectral high-resolution simulation of the O<sub>2</sub>-B band including a fluorescence source. Plots of input and output data are included together with the help information for one option. See text for further explanation.

trary cloud layers and droplet size distributions as well as tilted viewing angles and supercooled water droplets. The radar reflectivity factor is calculated directly from the droplet distribution with  $Z = \sum_i n_i D_i^6$  (Rinehart, 2010) where  $D$  is the droplet diameter and  $n_i$  the distribution number density for the discrete interval  $D_i, D_{i+1}$ . Internally available distributions are gamma and lognormal, arbitrary distributions can be entered using input files.

## 11 Some applications

The *libRadtran* package has been used for numerous applications. Many of these are listed under the publications link at <http://www.libradtran.org>. [The examples directory also includes a number input files that may be used especially by new users to create input files.](#) Below some applications of *libRadtran* are described.

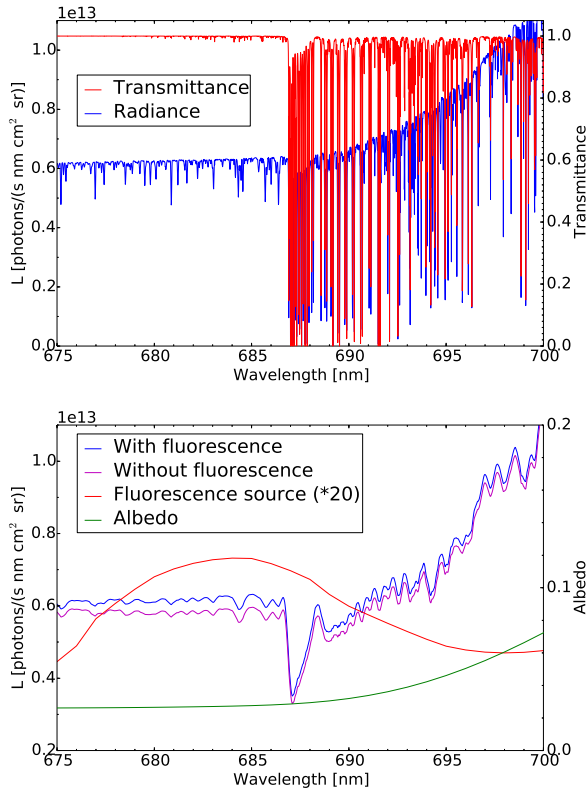
### 11.1 *uvspec* and ARTS

The high number of absorption lines in the shortwave infrared and the thermal infrared requires a line-by-line approach to resolve the spectral structure. Below is shown how molecular absorption data from ARTS may be combined

with *uvspec* to perform line-by-line calculations in both the solar and thermal parts of the spectrum. For both examples the spectral resolution, the molecules to be included and the line function properties are specified in the input to ARTS. It is noted that the same ambient atmospheric profile should be used in both, ARTS and *uvspec*.

#### 11.1.1 Solar source

Solar induced chlorophyll fluorescence is emitted in the 660 to 800 nm spectral region with two broad peaks at about 685 and 740 nm. In this spectral region are the O<sub>2</sub>-A and O<sub>2</sub>-B bands which contain a large number of absorption lines. Although the fluorescence signal is weak, especially the O<sub>2</sub>-B region holds promise for retrieval of vegetation fluorescence from spectrally high resolution space borne instruments (Guanter et al., 2010). In this spectral region the surface albedo is typically low while there is a fluorescence peak around 685 nm (see red line lower plot Fig. 7). The optical depths from ARTS are input to *uvspec* which calculates the top of the atmosphere radiance (blue line, upper plot of Fig. 7) including the fluorescence signal (red line, lower plot of Fig. 7), surface albedo (green line, lower plot of Fig. 7) and molecular scattering. Measurements may be made at a lower



**Fig. 7.** (Upper plot) The transmittance from ARTS output and radiance from *uvspec*. (Lower plot) The top of the atmosphere nadir viewing radiance in the O<sub>2</sub>-B band with (blue line) and without (purple line) a surface fluorescence source (red line). The radiances have been convolved with a spectral response function with FWHM of 0.3 nm.

spectral resolution. The lower plot of Fig. 7 shows radiance spectra convolved with a triangular spectral response function with a full width at half maximum (FWHM) of 0.3 nm using the *conv* tool of *libRadtran*. The spectral response function was generated with the *make\_slitfunction* tool. Spectra with (blue line) and without (purple line) fluorescence are presented. It is seen that the fluorescence signal is relatively larger when the surface albedo is low, below about 690 nm, compared to larger wavelengths.

### 11.1.2 Thermal source

The Infrared Atmospheric Sounding Interferometer (IASI) on board the MetOp satellite measures the radiance from 645 to 2760 cm<sup>-1</sup> (15.50–3.6 μm) with a spectral resolution of 0.25 cm<sup>-1</sup>. Its main purpose is high-resolution atmospheric sounding of temperature and humidity, and trace gas column retrievals (Clerbaux et al., 2009; Hilton et al., 2011). It may also be used to detect volcanic ash (see Clarisse et al., 2013, and references therein).

The left panel of Fig. 8 shows IASI spectra from a granule covering the ash cloud following the eruption of Mt. Kelud, Indonesia, in February, 2014. The spectra are classified as cloudless (green), ice cloud (blue), and volcanic ash (red). To investigate the realism of this identification the spectra were simulated with *ARTS/uvspec*. For all simulated spectra, the surface emissivity was set equal to one which is representative for water. The simulated spectra are shown in the right plot of Fig. 8.

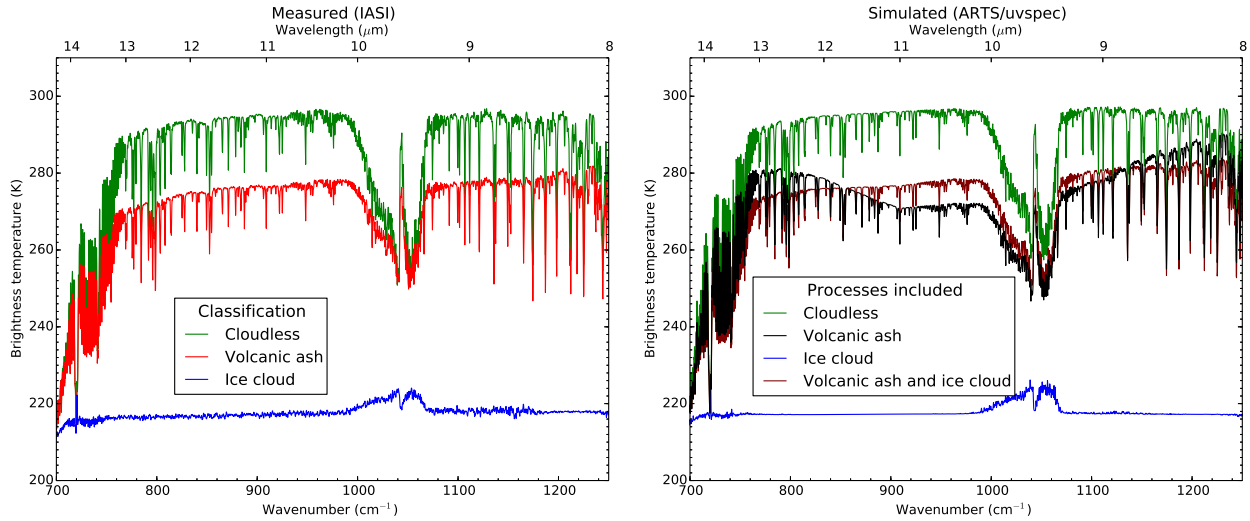
The cloudless spectrum has brightness temperatures representative for the ocean at these latitudes. The main molecular absorption features in this part of the spectrum are water vapor lines throughout the spectrum, ozone (broad band feature centered around 1050 cm<sup>-1</sup>), and CO<sub>2</sub> (feature below 800 cm<sup>-1</sup>). The data from ARTS include absorption lines from these molecules. In the cloudless spectrum the ozone band around 1050 cm<sup>-1</sup> is colder than the radiation at lower and higher wavenumber, indicating that the radiation in the ozone band was emitted at a higher and colder altitude than the surface. Overall the *ARTS/uvspec* cloudless spectrum agrees well with the measured spectrum.

For the simulation with an ice cloud, the ice cloud was located between 12 and 13 km. Ice water content was set to 1 g/m<sup>3</sup>. The ice particles were assumed to consist of solid columns with  $r_{\text{eff}}=40.0 \mu\text{m}$ . The ice cloud parameterization *ic\_properties yang* was selected. The spectrum identified as ice cloud (blue curve in left plot of Fig. 8) appears saturated for nearly all wavenumbers except for the ozone band centered around 1050 cm<sup>-1</sup>. The rather low brightness temperature and wavenumber independent behaviour outside the ozone band, indicates that this is an ice cloud and that it is opaque. The simulation with an ice cloud (blue curve in right plot of Fig. 8) agrees well with the measured spectrum. The higher temperatures in the ozone band implies that this radiation was emitted at a higher altitude in the stratosphere where the temperature is higher than at the altitude of the cloud.

The ash simulation included an ash cloud between 17 and 18 km. The ash particles were assumed to be made of andesite, spherical and mono-disperse with a radius of 3 μm. The refractive index of andesite was taken from Pollack et al. (1973) and the optical properties were calculated using the *mie* tool. The ash density was 1 × 10<sup>-3</sup> g/m<sup>3</sup> which corresponds to a mass loading of 1 g/m<sup>2</sup> for a 1 km thick cloud.

The red curve in the left plot of Fig. 8 is classified as ash using the difference in brightness temperature method described by Clarisse et al. (2010). This spectrum is colder than the cloudless spectrum indicating a colder effective emitting temperature overall. The general spectral shape is similar to the cloudless spectrum below 1000 cm<sup>-1</sup>. Above about 1200 cm<sup>-1</sup> the brightness temperature of the cloudless spectrum generally decreases with increasing wavenumber, while the converse is true for the ash spectrum. The simulated ash cloud spectrum (black curve in right plot of Fig. 8) differs from the measured spectrum classified as ash. Both the sim-



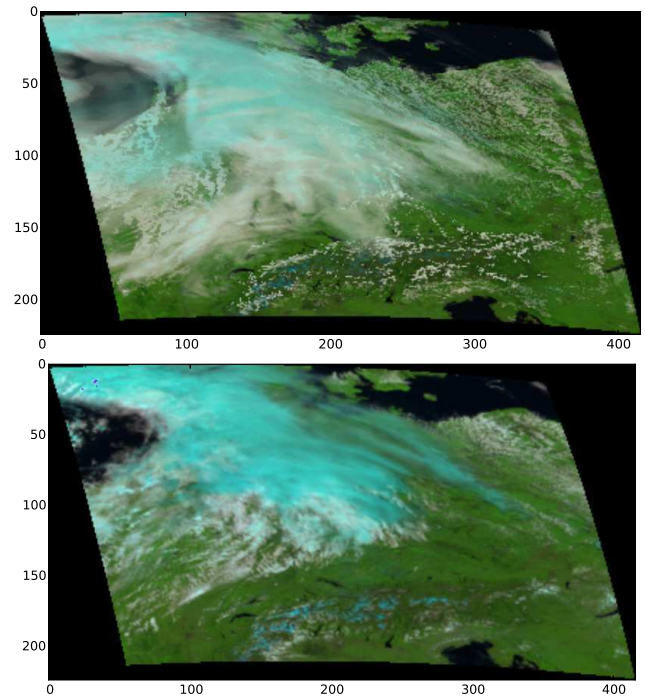


**Fig. 8.** (Left plot) Brightness temperature spectra for different locations as measured by IASI on 15 February, 2014, 02:33 UTC, during the Mt. Kelud, Indonesia, eruption. Tentative classification of the spectra is given in the legend. See text for details. (Right plot) Simulated brightness temperature spectra using ARTS/*uvspec*. The atmospheric processes included in the simulations are given in the legend.

ulated and measured ash spectra increase in magnitude with increasing wavelength above  $1100\text{ cm}^{-1}$ , but the simulated spectrum increases more. Below about  $900\text{ cm}^{-1}$  the spectral behavior of the measured and simulated spectra differs. This may be due to either wrong assumptions about the ash type and hence refractive index and/or the mixing of ice with ash. Ice clouds have an opposite effect of ash clouds on the brightness temperature between  $800\text{--}1000\text{ cm}^{-1}$ , whereas above  $1075\text{ cm}^{-1}$  ice clouds have only a very weak dependence on wavenumber (see Fig. 2 of Gangale et al., 2010). To test if the presence of both ash and ice could reproduce the measured spectrum, simulations were made with both an ash cloud and an ice cloud. The altitude and thickness of the clouds were as above, but the ash cloud density was  $2 \times 10^{-4}\text{ g/m}^3$  and the ice water content  $1.5 \times 10^{-2}\text{ g/m}^3$ . The resulting spectrum is shown in maroon in the right plot of Fig. 8. The mixed scene with both ash and ice is seen to well reproduce the measured ash spectrum in the left plot of Fig. 8.

## 11.2 Simulated satellite image

Fig. 9 shows a simulated satellite image (top) and the corresponding observation (bottom). Three visible channels of the SEVIRI instrument on the MSG (Meteosat Second Generation) satellite were simulated based on input data from the operational COSMO-DE forecast (Baldauf et al., 2011) of Deutscher Wetterdienst for the 15th July 2012, 12 UTC. The spatial resolution of the simulation is  $2.8\text{ km} \times 2.8\text{ km}$ , that of the SEVIRI observation is  $3\text{ km} \times 3\text{ km}$  at the sub-satellite point. A false color composite was generated using the simulated radiance of the  $1.6\text{ }\mu\text{m}$  channel for red, the  $0.8\text{ }\mu\text{m}$



**Fig. 9.** Top: Simulation of MSG-SEVIRI image. False color composite, where red corresponds to the  $1.6\text{ }\mu\text{m}$  channel, green to  $0.8\text{ }\mu\text{m}$  and blue to  $0.6\text{ }\mu\text{m}$ . The simulation was performed using the *disort* solver with input data from the operational COSMO-DE forecast for the 15th July 2012, 12 UTC. The axes correspond to SEVIRI pixel. Bottom: Corresponding SEVIRI image.

radiance for green and  $0.6 \mu\text{m}$  radiance for blue. The simulations were performed using the one-dimensional `disort` solver. The MODIS surface albedo dataset was used (Schaaf et al., 2002) to set the Lambertian surface albedo. The effective radii of liquid clouds were parameterized according to Martin et al. (1994), and for the optical properties the `mie` parameterization was applied. Ice cloud effective radii were parameterized according to Wyser (1998) and for the corresponding optical properties the parameterization `baum_v36` was used with the general habit mixture. Molecular absorption was included using the `reptran` parameterization. In the false color composite water clouds appear white and ice clouds appear blueish, because ice absorbs in the region about  $1.6 \mu\text{m}$ . The simulated image looks very similar to the observation. A major difference is that the ice clouds in the observation appear more blueish, the reason is that their real optical thickness is larger than in the COSMO-DE forecast.

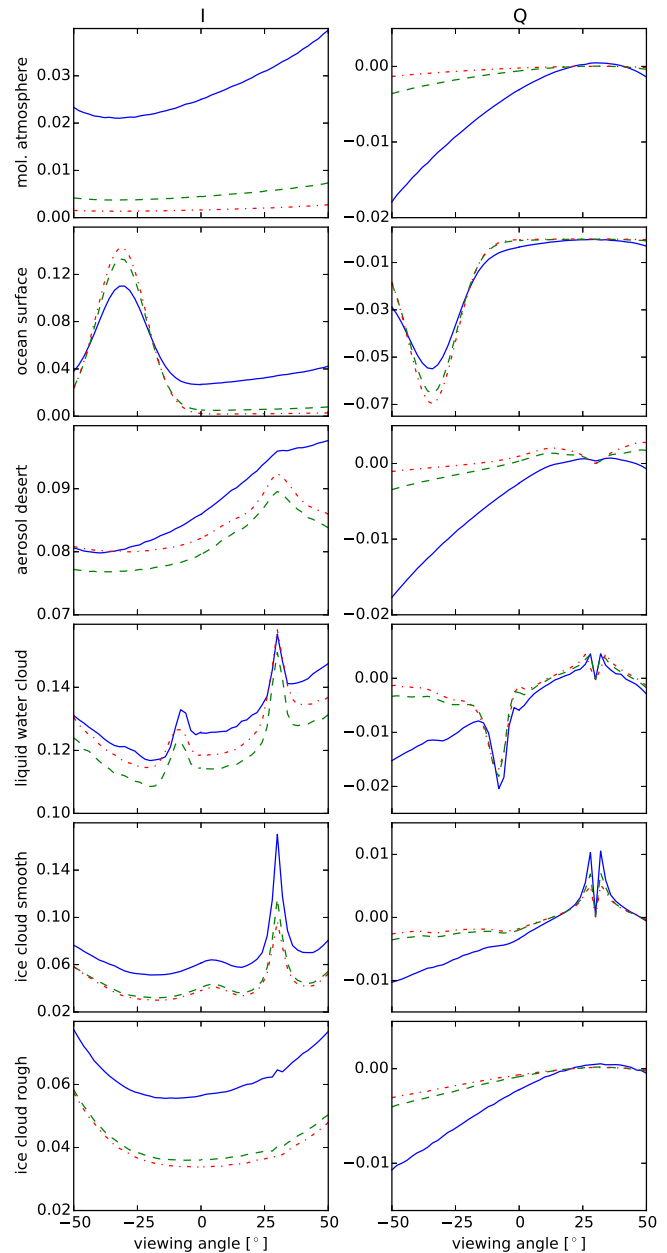
### 11.3 Polarization

The MYSTIC solver can be applied to simulate multi-angle multi-spectral polarized radiances using the option `mc_polarisation` (Emde et al., 2010). Polarized radiative transfer using MYSTIC has been validated in extensive model intercomparison projects (Kokhanovsky et al., 2010; Emde et al., 2015).

Fig. 10 shows an example for simulations at wavelengths of 443, 670 and 865 nm; these are measured by the POLDER (Polarization and Directionality of the Earth's Reflectances) instrument onboard PARASOL (Polarization and Anisotropy of Reflectances for Atmospheric Sciences coupled with Observations from a Lidar) (Deschamps et al., 1994). All simulations are for a solar zenith angle of  $30^\circ$  and show the reflected radiances (normalized to incoming solar irradiance) at the top of the atmosphere in the solar principal plane. The viewing angle of  $30^\circ$  corresponds to the exact backscattering direction. The angular resolution is  $2^\circ$ . All simulations are for the US standard atmosphere. The figure shows the first and second components of the Stokes vector  $I$  and  $Q$ ; the components  $U$  and  $V$  are exactly 0 in the principal plane for symmetry reasons.

The first row shows the results for a clear atmosphere, i.e. Rayleigh scattering and molecular absorption. Here  $I$  is largest for the shortest wavelength because the Rayleigh scattering cross section decreases with  $\lambda^{-4}$ , where  $\lambda$  is the wavelength. The absolute value of  $Q$  also increases with increasing Rayleigh scattering cross section. A negative  $Q$  means that Rayleigh scattering polarizes perpendicular to the scattering plane, which, for single scattering, corresponds to the principal plane for this geometry.

The second row of the figure shows the same simulation but with an underlying ocean surface, which is modelled according to Mishchenko and Travis (1997) (`bpdf.tsang`). The wind speed was set to 2 m/s.  $I$  and  $Q$  clearly show the sun glint which has a maximum at a viewing angle of about



**Fig. 10.** Stokes vector components  $I$  and  $Q$  at wavelengths of 443 nm (blue solid lines), 670 nm (green dashed lines), and 865 nm (red dashed-dotted lines) for various atmospheric setups (see text for details). The radiances are calculated at the top of the atmosphere for viewing angles from  $-50^\circ$  to  $50^\circ$ , where  $0^\circ$  corresponds to the nadir direction.

-30° and which is highly polarized. The intensity of the sun-  
glint increases with increasing wavelength since the incoming  
radiance at the surface becomes less diffuse when there is  
less Rayleigh scattering in the atmosphere.

The third row shows the result for desert aerosol as defined  
in the OPAC database (`aerosol_species_file` desert), with an  
underlying Lambertian surface albedo of 0.3.  $I$  shows a backscatter  
peak at 670 and 865 nm.  $Q$  looks similar as for Rayleigh  
scattering, however there are differences mainly around the  
backscatter region. At wavelengths of 670 and 865 nm,  $Q$  has  
a minimum in the exact backscatter direction and becomes  
positive for viewing angles around this direction.

The fourth row shows a simulation including a water cloud  
(`wc_properties mie`) in 2-3 km altitude with an optical  
thickness of 10 and an effective droplet radius of 10  $\mu\text{m}$ .  
 $I$  and  $Q$  show the glory about the backscatter direction and  
the rainbow at a viewing angle of about -10° corresponding  
to a scattering angle of 140°. In  $Q$  the rainbow is more  
pronounced than in  $I$  because  $Q$  is less affected by multiple  
scattering. The angular resolution shown here is not sufficient  
to separate the glory from the backscattering peak in  $I$ . The  
sign of  $Q$  in the rainbow region is the same as for Rayleigh  
scattering whereas it is opposite in the glory region, which  
means that the rainbow is polarized perpendicular to the  
scattering plane whereas the glory is polarized parallel to  
the scattering plane.

The last two rows show simulations with ice clouds, where  
we have used the `yang2013` parameterization. An ice cloud  
layer with an optical thickness of 2 was included at an  
altitude from 9–10 km. The selected habit was `solid_column`  
and we performed simulations for smooth crystals and for  
severely rough crystals respectively. The effective crystal  
radius in both simulations is 30  $\mu\text{m}$ . The smooth crystals  
show a backscatter peak in  $I$  and a positive  $Q$  about the  
backscatter direction. Also there are some smaller features  
in  $I$  and  $Q$ . The radiances ( $I$  and  $Q$ ) for rough crystals  
are smooth functions of viewing angle. This different  
behaviour has been used to determine the fraction of smooth  
crystals in ice clouds from POLDER measurements (Cole et al.,  
2014).

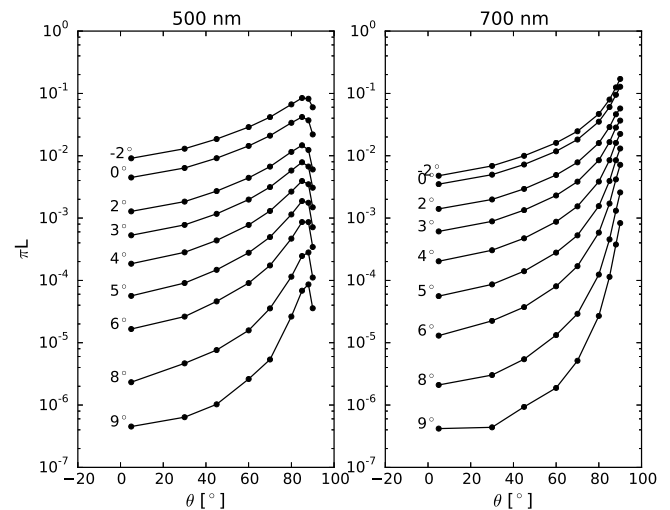
#### 11.4 Fully spherical geometry

MYSTIC can be operated in fully spherical geometry  
(`mc_spherical 1D`). The implementation of 1D spherical  
geometry is described in Emde and Mayer (2007) where it  
has been used to simulate radiation in the umbral shadow of  
a solar eclipse. A comparison to measurements during the total  
eclipse in Greece in March 2006 (Kazantzidis et al., 2007)  
showed a very good agreement for modeled and measured  
UV irradiances, which decreased during totality by 2 to 3  
orders of magnitude depending on wavelength.

Fully spherical geometry has also been used to simulate  
actinic fluxes at high solar zenith angles up to 92°  
(Sumińska-Ebersoldt et al., 2012).

Another interesting application is the simulation of polarized  
radiance at the surface at twilight, because polarized  
radiance measurements at twilight can be used to retrieve  
aerosol optical properties (e.g. Saito and Iwabuchi (2015)).

As an example we calculated polarized clear sky radiances  
for solar depression angles up to 9° for the US-standard  
atmosphere and default Rayleigh scattering and absorption  
settings. Fig. 11 shows the result as a function of viewing  
zenith angle. The relative azimuth angle between sun and  
observer is 0° which means that the observer looks into the  
direction of the sun. We see that the intensity decreases by  
about four orders of magnitude for solar depression angles  
between 0° (sun at horizon) and 9° (sun 9° below horizon).  
The degree of polarization (not shown) at a viewing angle of  
5° is more than 90%. All results agree to published results  
by Blättner et al. (1974), which indicates that fully  
spherical geometry works correctly in MYSTIC.



**Fig. 11.** Twilight radiance at 500 nm and 700 nm calculated using fully spherical geometry for the US-standard atmosphere. The lines are for different solar depression angles. The x-axis corresponds to the viewing zenith angle.

## 12 Summary

We have presented the *libRadtran* software package (version 2.0.1), which is a comprehensive and powerful collection of tools for radiative transfer simulations in the Earth's atmosphere. It is user-friendly, well-documented and is widely used in the scientific community. We have described various new features and parameterizations which have been included after the first publication of *libRadtran* in 2005. New features are for example a vector radiative transfer solver and a solver for rotational Raman scattering. The package includes state-of-the-art parameterizations for aerosol and ice cloud optical properties and a newly developed efficient absorption parameterization.

### 13 [Code availability](#)

The *libRadtran* package was initiated about 20 years ago and is still under continuous development. Regularly updated versions of the package are available from <http://www.libradtran.org>.

[The website includes all released versions of the package. The latest release is version 2.0.1 and includes the source code, example input files, several tests, and the graphical user interface. Additional data packages containing optical properties of clouds and aerosols and the REPTRAN gas absorption parameterization are also available. Alternatively version 2.0.1 and the additional data are available as a supplement to this model description paper. The 1D version of MYSTIC is part of the libRadtran public release. Please note that the 3D version of MYSTIC is not part of the libRadtran public release, it is available in joint projects.](#)

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## Appendix A

### Ice crystal optical properties parameterizations

The parameterization yang2013 is based on the single scattering data by Yang et al. (2013). It is available for nine habits and three roughness parameters. It includes full phase matrices for the spectral range from 200 nm to 99  $\mu\text{m}$ . The hey (Hong, Emde, Yang) parameterization is available for six individual smooth habits and includes the full phase matrices for the wavelength region from 0.2 to 5  $\mu\text{m}$ . The single scattering properties for the six ice crystal habits have been generated by Hong Gang based on the improved geometrical optics method (IGOM), the same which is applied in Yang et al. (2013).

In order to obtain bulk scattering properties (required by the RTE solver) the single scattering properties need to be integrated over the particle size distribution. In reality the size distributions are highly variable, for radiative transfer simulations they are often approximated by simple gamma distributions (e.g. Evans, 1998; Heymsfield et al., 2002; Baum et al., 2005a,b) or bi-modal gamma distributions (Mitchell et al., 1996; Ivanova et al., 2001). We assume a gamma size

1770 distribution to compute the bulk scattering properties as for  
the water cloud properties (compare Eq. 4):

$$n(r_e) = N r_e^{\frac{1}{b}-3} \exp\left(-\frac{r_e}{ab}\right) \quad (\text{A1})_{815}$$

1775 Here  $r_e$  is a measure of the particle size (the radius in case  
of spherical particles) and  $N$  is the normalization constant  
so that the integral over the distribution yields the number of  
particles in a unit volume. For spherical particles the param-  
eters  $a$  and  $b$  correspond to the effective radius  $r_{\text{eff}}$  and to the  
effective variance  $v_{\text{eff}}$ , respectively. Typical values of cirrus  
cloud size distributions for  $b$  are in the range between 0.1 and  
1780 0.5 (Evans, 1998; Heymsfield et al., 2002). In the following  
we take a fixed value of  $b = 0.25$ . We define the effective par-  
ticle size  $r_e(L)$  for an individual ice crystal as follows (Yang<sup>1820</sup>  
et al., 2005):

$$r_e(L) = \frac{3 V(L)}{4 A(L)} \quad (\text{A2})$$

1785 Here  $L$  is the maximum dimension of a nonspherical ice  
crystal and  $A$  and  $V$  are the projected area and the volume of  
the particle, respectively.  $2r_e(L)$  corresponds to the “effec-  
1825 tive distance”, i.e. the representative distance a photon trav-  
els through an ice crystal without experiencing internal re-  
flections and refraction (Mitchell et al., 1996). The effective  
1790 radius of a size distribution is generally defined as:

$$r_{\text{eff}} = \frac{3 \int_{L_{\min}}^{L_{\max}} V(L) n(L) dL}{4 \int_{L_{\min}}^{L_{\max}} A(L) n(L) dL} \quad (\text{A3})_{1830}$$

In order to obtain bulk scattering properties which can be  
used for radiative transfer calculations, we pre-calculate bulk  
1795 optical properties on a specified equidistant effective radius  
grid including values from 5 to 90  $\mu\text{m}$  in steps of 5  $\mu\text{m}$ .  
Now using Eq. (A3) we iteratively find the parameter  $a$  of the  
size distribution which results in the desired effective radius.  
The bulk optical properties are then calculated by integration  
1800 over the gamma distributions with the parameters  $b=0.25$  and  
the iteratively obtained  $a$  depending on the effective radius.  
*libRadtran* requires the extinction coefficient normalized to  
1  $\text{g/m}^3$  ice:

$$\langle \beta_{\text{ext}}(r_{\text{eff}}) \rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L) Q_{\text{ext}}(L) n(L) dL}{\rho \int_{L_{\min}}^{L_{\max}} V(L) n(L) dL} \quad (\text{A4})_{1845}$$

1805 Here  $Q_{\text{ext}}(L)$  is the extinction efficiency,  $\rho$  is the density  
of ice, and  $n(L)$  is the gamma size distribution which cor-  
responds to the effective radius  $r_{\text{eff}}$ . The single scattering  
albedo  $\langle \omega_0 \rangle$  is calculated as follows:

$$\langle \omega_0(r_{\text{eff}}) \rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L) \omega_0(L) Q_{\text{ext}}(L) n(L) dL}{\int_{L_{\min}}^{L_{\max}} A(L) Q_{\text{ext}}(L) n(L) dL} \quad (\text{A5})_{1850}$$

1810 Finally, *libRadtran* requires the phase matrix  $\langle P(r_{\text{eff}}) \rangle$ ,  
which is computed according to the following equation for<sup>1855</sup>

each scattering angle  $\theta$  and for six matrix elements (denoted  
by index  $i$ ) needed to describe the scattering process by ran-  
domly oriented nonspherical particles (see e.g. van de Hulst,  
1981):

$$\langle P(r_{\text{eff}}, i, \theta) \rangle = \frac{\int_{L_{\min}}^{L_{\max}} A(L) P(L, i, \theta) \omega_0(L) Q_{\text{ext}}(L) n(L) dL}{A(L) \int_{L_{\min}}^{L_{\max}} \omega_0(L) Q_{\text{ext}}(L) n(L) dL} \quad (\text{A6})$$

Optical properties for a general habit mixture `ghm` have  
also been calculated for the `hey` parameterization following  
the mixing “recipe” suggested by Baum et al. (2005b).

## Appendix B

### Description of TZS solver

This solver is based on the zero scattering approximation and  
can be used to calculate clear sky or “black cloud” radiances  
at the top of the atmosphere (TOA) in the thermal spectral  
range. Without scattering the formal solution of the radiative  
transfer equation for the upward intensity (radiance) at TOA  
 $I_\nu(\tau = 0, \mu, \phi)$  at a given frequency  $\nu$  reduces to

$$I_\nu(\tau = 0, \mu, \phi) = I_\nu(\tau^*, \mu, \phi) \exp(-\tau^*/\mu) + \int_0^{\tau^*} \frac{d\tau}{\mu} B_\nu(\tau) \exp(-\tau/\mu). \quad (\text{B1})$$

Here we used the (vertical) absorption optical thickness  $\tau$   
measured from top of atmosphere as the vertical coordinate  
such that  $\tau = 0$  at TOA and  $\tau = \tau^*$  at the surface. Variables  
 $\mu$  and  $\phi$  denote the cosine of the zenith angle and the azimuth  
angle respectively. Planck’s function at a given frequency  $\nu$   
is represented by  $B_\nu(\tau)$  and its temperature dependence is  
contained implicitly in  $\tau$ .

The first term on the right hand side in Eq. B1 represents  
the contribution of the surface and the second one the con-  
tribution of the atmosphere. The surface contribution can be  
written as

$$I_\nu(\tau^*, \mu, \phi) = \epsilon_s B_\nu(\tau^*) + 2(1 - \epsilon_s) \int_0^1 \int_0^{\tau^*} B_\nu(\tau) \exp(-(\tau^* - \tau)/\mu) d\tau d\mu \quad (\text{B2})$$

with the first term representing the emission of the surface  
( $\epsilon_s$ =surface emissivity) and the second one the reflection at  
the surface of the radiation emitted by the atmosphere toward  
the surface. The factor 2 comes from the integration over the  
azimuth angle  $\phi$ .

Under the approximation of Planck’s function  $B_\nu(\tau)$  as a  
piecewise linear function in  $\tau$  between two consecutive lev-  
els, both integrals can be solved as a function of the expo-  
nential integral  $Ei(x) = \int_{-\infty}^{-x} e^{-y}/y dy$ .

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