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# **A high-resolution ocean and sea-ice modelling system for the Arctic and North Atlantic Oceans**

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**Abstract.** As part of the CONCEPTS (Canadian Operational Network of Coupled Environmental PredicTion Systems) initiative, a high resolution (1/12°) ice-ocean regional model is developed covering the North Atlantic and the Arctic oceans. The long-term objective is to provide Canada with short-term ice-ocean predictions and hazard warnings in ice infested regions. To evaluate the

- 5 modelling component (as opposed to the analysis –or data-assimilation– component, which is not covered in this contribution), a series of hindcasts for the period 2003–2009 is carried out, forced at the surface by the Canadian Global Re-Forecasts. These hindcasts test how the model represents upper ocean characteristics and ice cover. Each hindcast implements a new aspect of the modelling or the ice-ocean coupling. Notably, the coupling to the multi-category ice model CICE is tested.
- 10 The hindcast solutions are then assessed using a verification package under development, including in-situ and satellite ice and ocean observations. The conclusions are: 1) the model reproduces reasonably well the time mean, variance and skewness of sea surface height $\div z$ ) The model biases in temperature and salinity show that while the mean properties follow expectations, the Pacific Water signature in the Beaufort Sea is weaker than observed.  $\pm$  3) However, the modelled freshwater content
- 15 of the Arctic agrees well with observational estimates $\div z$  The distribution and volume of the sea ice is shown to be improved in the latest hindcast due to modifications to the drag coefficients and to some degree to the ice thickness distribution available in CICE. 5) On the other hand, the model still overestimates the ice drift and ice thickness in the Beaufort Gyre.

## **1 Introduction**

- 20 The CONCEPTS (Canadian Operational Network of Coupled Environmental PredicTion Systems) initiative has fostered collaborations between different federal departments (Fisheries and Oceans Canada, Environment Canada and the Department of National Defence) that yielded the development of several operational prediction systems. These include a coupled (atmosphere-ice-ocean) Gulf of Saint-Lawrence system (officially operational since June 2011, [Smith et al.](#page-24-0), [2012](#page-24-0)), the Global Ice-
- 25 Ocean Prediction System (GIOPS, run in real-time since March 2014, [Smith et al., 2015](#page-24-1)), a Great Lakes coupled system (still in development, [Dupont et al.](#page-21-0), [2012](#page-21-0)), a regional ice-only prediction sys-tem (run in real-time since July 2013, (run in real-time since July 2013, [Lemieux et al., 2015a](#page-22-0)) and a regional Arctic-North Atlantic ice-ocean system based on the CREG12 (Canadian REGional) configuration with a nominal horizontal resolution of 1/12◦ . The latter is the focus of this paper. The
- 30 GIOPS, Great Lakes and CREG12-based systems are based on NEMO (Nucleus for European Modelling of the Ocean, [http://www.nemo-ocean.eu\)](http://www.nemo-ocean.eu), while the coupled Gulf of Saint-Lawrence system has just been transitioned to NEMO for the ice-ocean component. The development of these systems has benefited greatly from a collaboration with Mercator-Océan in France.

The goal of the regional system based on CREG12 is to provide Canada with short-term ice-ocean 35 predictions and analyses covering parts of the North Atlantic and whole Arctic oceans at high resolution. For this purpose, the regional system will eventually be coupled to the regional weather prediction system and wave prediction system of Environment Canada. The coupled system is expected to improve regional weather and marine forecasting services such as issuing bulletins and warnings in ice infested waters for navigation, energy-exploration and northern communities' requirements. As

- 40 such, the system development has benefited from financial support from the Canadian METAREA programme and the Beaufort Regional Environmental Assessment (BREA) project. However, before the full system (analysis+forecast) can be approved for operational use, we need to understand how to use the forecasting component to its full potential, following the best practices of the community running at comparable resolutions. Hence, a series of hindcasts was performed using the forecasting
- 45 component, each implementing and testing a different aspect of ocean-ice modelling. Implementation of data-assimilation in this prediction system, adopting the same methodology as in [Smith et al.](#page-24-1) [\(2015](#page-24-1)), is under development and will be reported in follow-on contributions.

These hindcasts are not long enough to test the full robustness of the model in preserving observed water and ice properties at climatic scales (i.e. several decades), as the initial conditions still imprint

- 50 the model state after 8 years. Nevertheless, discrepancies between atmospheric forcing products and differences in upper-ocean and ice physics are sufficient to create diverging upper-ocean and ice states and variabilities in this short period that are worth investigating. Moreover, recent satellite missions and extensive and automatized observing in-situ programmes (ARGO floats and ice-tethered profilers to cite a few) create a wealth of data covering the hindcast period which we take advantage
- 55 of in our evaluation approach. We are therefore testing the mean state of the model using a few vari-

ables, sometimes focusing on some integrated indices over time, or more extensively mapping the model-observation discrepancy in space and time.

In this contribution, we describe the model components and the verification strategy, along with results of the evaluation of the latest hindcast. The objective is to present to the community the

- 60 progress made and challenges met in developing a high resolution modelling system for the Arctic-Atlantic oceans, in the spirit of [Megann et al. \(2014\)](#page-23-0). In assessing the performance of the latest hindcast in terms of ice properties (concentration, thickness and velocity), we include comparison with an intermediate hindcast and the 1/12° resolution equivalent global simulation ORCA12-T321 of Mercator-Océan.
- 65 More precisely, Section 2 is divided into the description of the model (domain, model components and parameters; Section [2.1\)](#page-2-0), the input bathymetry and other initial and boundary conditions (Section [2.2\)](#page-6-0), and the description of the verification package (Section [2.3\)](#page-7-0). Section 3 provides details of the hindcast simulations (Section [3.1\)](#page-8-0), then describes the simulation results in terms of the statistics of the sea surface height, the hydrography and the general circulation (Section [3.2\)](#page-8-1) and in terms of
- 70 sea-ice metrics (concentration, thickness, volume and drift; Section [3.3\)](#page-13-0). Section 4 concludes.

## <span id="page-2-0"></span>**2 Model setup, input data and verification package**

## **2.1 Model description**

## **2.1.1 Domain configuration**

- The global ORCA12 domain (ORCA family grid at a nominal horizontal resolution of 1/12° in both 75 longitudinal and latitudinal directions, [Drakkar Group](#page-20-0), [2007\)](#page-20-0) is used to derive a seamless (i.e., the "north-fold" discontinuity of the global grid is removed) regional domain covering the whole Arctic Ocean and parts of the North Atlantic down to 27◦N. The horizontal grid consists of 1580x1817 points on which resolution varies from 8 km at the open boundary in the Atlantic Ocean to an average of 5 km in the Arctic, and down to slightly below 2 km in some of the southern channels of
- 80 the Canadian Arctic Archipelago (Figure [1\)](#page-29-0).

The spatial variation of the first Rossby radius of deformation is shown in Figure [2a](#page-30-0). From about 40 km along the southern Atlantic boundary down to a few kilometers in the Labrador Sea, the Greenland, Iceland and Norwegian (GIN) seas and continental shelves, the radius increases again in the deep Arctic Ocean to above 10 km. Relative to the local resolution (Figure [2b](#page-30-0)), the model

85 resolves –grossly speaking– baroclinic eddies in the Sargasso Sea and the Azores region where there are at least two grid spacings for resolving the Rossby radius, but becomes eddy-permitting in the Labrador Sea (one grid spacing) and less than permitting in the GIN seas (under one grid spacing). However, the model is again eddy-resolving in the central Arctic Ocean, which is of importance for the present application.

## 90 **2.1.2 Ocean component**

The ocean component is taken from version 3.1 of NEMO with some code additions from Mercator-Océan, the UK Met Office and the DRAKKAR community. NEMO is a biophysical ocean-ice multicomponent system developed originally in Europe (Madec and [NEMO team](#page-23-1), [2008\)](#page-23-1), that has evolved substantially since its introduction in the 2000s. The ocean engine of NEMO is the primitive equa-

- 95 tion model OPA (Océan Parallelisé; [Madec et al., 1998](#page-23-2)) adapted to regional and global ocean circulation problems. It is intended to be a flexible tool for studying the ocean and its interactions with the other components of Earth's climate system over a wide range of space and time scales [\(Masson-Delmotte et al., 2006;](#page-23-3) [Drillet et al., 2005](#page-20-1); [Barnier et al., 2006\)](#page-20-2). An advantage of the NEMO model is its widespread use and continuous improvement by the scientific community [\(Rattan et al.](#page-23-4), 100 [2010\)](#page-23-4).
	- Previous versions of NEMO have been extensively tested and applied in Canada for global, basin and regional applications [\(Holloway and Wang](#page-22-1), [2009](#page-22-1); [Zhu et al., 2009;](#page-25-0) [Wang et al.](#page-25-1), [2010](#page-25-1); [Lu et al.](#page-22-2), [2014\)](#page-22-2).

### **2.1.3 Ocean model parameters**

- 105 We started from the configuration and parameters of the 1/12° resolution equivalent global simulation, ORCA12-T321 of Mercator-Océan, which are described below and notes will be made when departing. NEMO is run with the implicit free-surface solver and linear free-surface (a version using a time-splitting approach and a non-linear free-surface, including the simulation of the main constituents of the tides, is presently being evaluated). The present version uses the same 50 vertical
- 110 z-levels used in GIOPS, with spacing increasing from 1 m at the surface to 450 m at 5000 m. Bottom partial steps are employed for an accurate representation of the varying bathymetry. The tracer advection uses the Total Variance Diminishing (TVD) scheme. The vectorial form for momentum is chosen, allowing conservation of both energy and enstrophy. The lateral diffusion operator is biharmonic for momentum along geopotential surfaces and harmonic for tracers along isopycnal surfaces.
- 115 The biharmonic viscosity has a nominal value of  $-1 \times 10^{10}$  m<sup>4</sup>s<sup>-1</sup> at the southernmost point, and is scaled by the third power of the grid spacing over the rest of the computational domain. The harmonic diffusion coefficient for tracers follows the same resolution-dependence principle, with a nominal value of 50  $\text{m}^2\text{s}^{-1}$  and a linear scaling. For momentum, we additionally tested the purely free-slip and no-slip lateral boundary dynamic conditions, but retained the former one for most of the hind-
- casts. The background values for vertical viscosity and diffusivity are  $10^{-4}$  m<sup>2</sup>s<sup>-1</sup> and  $10^{-5}$  m<sup>2</sup>s<sup>-1</sup> 120 respectively. We have also experimented with the turbulent kinetic energy (TKE; [Gaspar et al., 1990](#page-21-1); [Blanke and Delecluse, 1993](#page-20-3)) and generic length scale (GLS; [Umlauf and Burchard, 2003](#page-24-2)) closure schemes. The bottom drag is quadratic with a fixed non-dimensional coefficient of  $10^{-3}$ . The model time step is 360 s for all hindcasts (including ORCA12-T321), except for hindcast H05 that required

125 a decrease to 180 s after July 2007 to ensure stability close to Cambridge Bay (Canadian Arctic Archipelago).

### **2.1.4 Sea-ice models**

Within NEMO3.1 the ocean is interfaced with the Louvain-La-Neuve sea-ice model version 2 (LIM2, [Fichefet and Maqueda](#page-21-2), [1997](#page-21-2)), or version 3 (LIM3, not tested here; [Vancoppenolle et al.](#page-24-3), [2009b](#page-24-3), [a](#page-24-4)). 130 However here we also use another community sea-ice model, CICE (described below).

- LIM2 is a simple one-category ice model based on a Semtner 3-layer thermodynamic model (two layers of ice and one layer of snow). A Viscous-Plastic (VP) constitutive law relates the internal ice stresses to the strain rates and the ice strength. It is based on an elliptical yield curve and a normal flow rule [\(Hibler, 1979](#page-21-3)). The VP solution is approached by iteration of a relaxation 135 scheme to the implicit ice velocity problem. LIM2 was used for the first two hindcasts (details given below in Section [3.1](#page-8-0) and Table [1\)](#page-28-0) for sanity checks relative to the configuration used in ORCA12- T321. The latter actually used an upgraded dynamic solver based on the Elastic-VP (EVP) approach [\(Hunke and Dukowicz, 1997,](#page-22-3) [2002;](#page-22-4) [Bouillon et al., 2009](#page-20-4)) instead of the VP solver described above.
- 140 sea ice model, which can be used as a stand-alone model or coupled to an ocean model inside a climate modelling system. Herein, it is coupled to NEMO on the same grid as a single executable [\(Hewitt et al.](#page-21-4), [2011\)](#page-21-4). CICE calculates the evolution of a thickness distribution. The thickness distribution evolves with both thermodynamic (vertical growth/melt, new ice formation and lateral melt) and dynamic processes (advection and redistribution). The momentum equation is solved with

CICE [\(Hunke](#page-22-5), [2001;](#page-22-5) [Lipscomb et al.](#page-22-6), [2007](#page-22-6); [Hunke and Lipscomb, 2010\)](#page-22-7) is a dynamic/thermodynamic

- 145 the same EVP approach as described above for LIM2-EVP, although on a slightly different stencil (Arakawa C-grid in LIM2-EVP and B-grid for CICE). LIM2-VP is discretized over a B-grid stencil. In both sea-ice models, the ice is supposed to be "levitating" (following the convention of [Campin et al.,](#page-20-5) [2008\)](#page-20-5) over the ocean, that is, the growth or melt of ice is not impacting the ocean volume nor the presence of ice is impacting the position of the ocean surface. However, the ocean surface salinity 150 needs to evolve appropriately during brine rejection or the flushing of melt water. For this, a virtual salt flux approach is used, which converts the freshwater flux into a salinity flux to represent dilution
	- **2.1.5 LIM2 and CICE parameters**

<span id="page-4-0"></span>or concentration of salt at fixed water volume.

LIM2 solves the VP dynamics with prescribed ice-water and air-ice drag coefficients. The momen-155 tum stress is expressed using a simple quadratic law [\(McPhee, 1975\)](#page-23-5) with a 0° turning angle for both air and ocean in contact with ice. In the ORCA12-T321 run of Mercator-Océan, the air-ice drag was reduced to  $1.5 \times 10^{-3}$ , whereas the default value of  $1.63 \times 10^{-3}$  is used in our CREG12 LIM2 runs. The ice-water drag is fixed to  $1 \times 10^{-2}$  in all LIM2 runs (including as in the Mercator-Océan run). In ORCA12-T321, the ice module is called with a time-step of 720 s (every two ocean model

- 160 time-steps), the EVP solver uses 400 sub-timesteps and a damping elastic time of 1350 s. In the CREG12 LIM2 runs, the ice model is called every 5 ocean time-steps (equivalent to an ice time-step of 1800 s). The VP solver performs 20 outer loops (the default is 2) with a linear residual at convergence of  $1 \times 10^{-6}$  or a maximum of 550 iterations. It should be noted here that NEMO-LIM2 users can tune the total ice extent and volume by adjusting the parameter hiccrit [\(Wang et al., 2010](#page-25-1)),
- 165 a characteristic thickness that is used to determine changes in open water area during ice growth. Nonetheless, overestimation of the total ice extent, or volume is often reported in NEMO-related publications [\(Massonnet et al.](#page-23-6), [2011;](#page-23-6) [Blockley et al.](#page-20-6), [2014\)](#page-20-6), likely related to the use of a too large value of the aforementioned parameter, for given configuration and forcing. ORCA12-T321 used hiccrit=0.6 m and the same value is applied in the CREG12 LIM2 runs.
- 170 In CICE, both air-ice and ocean-ice stresses are also expressed using a simple quadratic law with a 0° turning angle. Following [Roy et al.](#page-23-7) [\(2015\)](#page-23-7) for our last two hindcasts and since our first ocean layer thickness is relatively small, the ice-ocean drag coefficient is computed by a log-layer assumption using the oceanic first layer thickness and a roughness length scale of 0.03 m as suggested by [Maykut and McPhee \(1995](#page-23-8)) which yields a drag coefficient of  $2.32 \times 10^{-2}$ . The air-ice stress
- 175 involves a more sophisticated formulation that takes into account the stability of the atmospheric boundary layer. Following again [Roy et al. \(2015\)](#page-23-7), the roughness length scale for ice surface is set in our latest run to the value used in the Canadian Global Re-Forecast (CGRF, [Smith et al., 2014\)](#page-24-5) for consistency between the ice-air stress computed in CGRF and in CICE. These modifications can be seen as a more objective way of deriving the drag coefficients, as they are not retrieved from a
- 180 calibration exercise.

Ten thickness categories are defined in CICE (as in [Smith et al., 2015](#page-24-1)), with specific representation of both thin ice and thick ridged ice. CICE is called at every ocean time-step. The remapping advection scheme is used and the EVP solver is run with 920 sub-timesteps. The ice strength is computed using the more physically realistic approach of [Rothrock \(1975\)](#page-23-9). Based on studies with

- 185 CICE run offline [\(Lemieux et al.](#page-22-0), [2015a](#page-22-0)), we increase the value of the newly formed ice in CICE (hfrazilmin) from 5 cm to 8 cm. Otherwise, the default parameters and parametrizations of CICE thermodynamics were used with no further tuning. The number of layers is set to the default value (four ice layers and one for the snow). The default Community Climate System Model 3.0 scheme (CCSM3; [Vertenstein et al., 2004\)](#page-25-2) is used to calculate the albedo and the attenuation of the
- 190 absorbed shortwave radiation. The sea-ice is assumed to have a salinity of 3.2 g/kg. Lateral melting depends on a specified value of the average diameter of the ice floes [\(Steele](#page-24-6), [1992](#page-24-6)) which is kept to the default value of 300 m.

## <span id="page-6-1"></span><span id="page-6-0"></span>**2.2 Model input data**

## **2.2.1 Atmospheric forcing**

- 195 The model is forced at the surface using the CGRF product [\(Smith et al.](#page-24-5), [2014](#page-24-5)) from 2002 (2003 for some other runs) to 2009. This product consists of a series of re-forecasts using available historical operational analyses from the Canadian Meteorological Centre of Environment Canada. As such, it is not a true reanalysis as other centres produce. However, because it uses the global Canadian Numerical Weather Prediction (NWP) model (last updated in 2011), it provides a consistent set of
- 200 global forecasts at higher resolution (nominally 33 km at  $60°N$ ) than typical renanalyses. The only source of variation in the quality of the reforecasts is the quality of the initial state (the analysis), which varies during the historical period with the assimilation method and volume of observations used (more details can be found in [Bélair et al.](#page-20-7), [2009\)](#page-20-7). The resolution offered by this product allows for better resolution of mesoscale atmospheric features. The short and long wave radiation fields
- 205 however require some level of correction as the NWP model is unable to simulate with sufficient accuracy the marine clouds. A climatological correction based on the month of interest but also on the forecast hour is derived from the GEWEX [\(https://eosweb.larc.nasa.gov/project/srb/srb\\_table\)](https://eosweb.larc.nasa.gov/project/srb/srb_table) radiation product.

The frequency of the forcing fields is set to 3 hours, using hours 6-27 of each CGRF initiated at

- 210 00 UTC. CGRF is provided on 10-m wind and 2-m thermodynamic levels. Those are not true "prognostic" model levels but since conventions and model output dissemination requires these levels, a "diagnostic" procedure is used to derive quantities there. The first prognostic level for wind and temperature in CGRF is in fact approximately at 40 m, and quantities at this level are also available and are thought to be less dependent on assimilated surface conditions and approximations made
- 215 during the diagnostic procedure. We have therefore used the product at this level as input to the CORE air-sea exchange bulk formulae and the equivalent in CICE. The only limitation to this approach is in LIM2, where input atmospheric conditions are assumed at 10 m with pre-set constant neutral coefficients, causing an over-estimation of wind-stress by approximately 20% to 50% (the same overestimation problem likely affects the calculation of turbulent heat exchanges.)

## <span id="page-6-2"></span>220 **2.2.2 Bathymetry, initial and lateral boundary conditions**

The bathymetry used in the CREG12 configuration is taken from that used in the ORCA12-T321 run of Mercator-Océan. It is based on ETOPO2 [\(http://www.ngdc.noaa.gov/mgg/global,](http://www.ngdc.noaa.gov/mgg/global) [Amante and Eakins](#page-20-8), [2009\)](#page-20-8). The minimum depth is set at 20 m.

Two sets of initial ocean conditions (comprising 3D velocities, temperature, salinity and sea sur-225 face height) have been used. Firstly a reanalysis product, GLORYS2v1 [\(Ferry et al.](#page-21-5), [2012](#page-21-5)) is used. This covers the satellite-altimetry and ARGO period (1993-2010), with assimilation of both of these datasets in the reanalysis as well as other in-situ data. However we found that, although the assimilation of observations leads to a remarkable agreement with observations at lower latitudes, GLO-RYS2v1 suffers from serious departures relative to observations and to the Polar Science Center Hy-

230 drographic Climatology (PHC, [http://psc.apl.washington.edu/nonwp\\_projects/PHC/Climatology.html\)](http://psc.apl.washington.edu/nonwp_projects/PHC/Climatology.html) in the Arctic<sup>1</sup>. The second set of initial conditions used is simply derived from the ORCA12-T321 run of Mercator-Océan, which has better hydrographic properties in the Arctic Ocean but is not as accurate as GLORYS2v1 at lower latitudes.

Sea ice initial conditions are taken from the same initial condition product, that is either GLO-235 RYS2v1 or ORCA12-T321, which use the mono-category LIM2 model. The ice concentration and ice thickness of these products are applied to the corresponding ice category in CICE, the other categories remaining empty. It then takes several month of simulations before a realistic ice distribution can be recovered. An initial spread among several categories would therefore be more realistic. For snow, the ice category that receives the ice volume also receives the snow volume present in the 240 initial conditions.

Along the lateral open boundaries, time-evolving monthly conditions (comprising 3D velocities, temperature and salinity from 2002 to 2009) are taken from the same products as the initial conditions. More specifically, a clamped velocity condition is specified (hence lateral transport) and a radiation scheme following the advective characteristic is applied for temperature and salinity com-

245 bined with restoring to input values. The restoring time is 15 days when radiating outward and 1 day when inward. A closed wall boundary condition is applied to sea ice in LIM2 and CICE.

The river freshwater discharge was taken as in T321 from the monthly climatology of [Dai and Trenberth](#page-20-9) [\(2002](#page-20-9)). No attempt was made in these hindcasts to investigate the impact of the interannual variation of Arctic river or glacial discharge, which was left to a future study.

## <span id="page-7-0"></span>250 **2.3 Verification package**

Evaluation of the system is performed by comparing model outputs with ocean observations. Additionally, the model outputs are compared with other model estimates and with climatologies. During the development phase, with the model running in hindcast mode, this evaluation provides an assessment of the improvements introduced with each change to the model configuration. Once the forecast 255 system is operational, the verification package will provide an assessment of forecast accuracy.

The CONCEPTS evaluation strategy defines a set of model output fields, a database of ocean observations from both in-situ and remote sensing measurements, and a suite of metrics for comparing the two. This approach has been designed for the CREG12 configuration, but was developed in such a way that it can easily be transferred to other CONCEPTS systems. The key model outputs for eval-260 uation are sea surface height, ocean temperature, salinity and velocity, and sea ice thickness, concen-

<sup>1</sup>Among other poor characteristics, the doming of sea surface height in the Beaufort Sea is absent and the Atlantic layer apparently spreads anti-cyclonically instead of cyclonically. This is in apparent contrast to studies done using GLORYS1, which were more successful, such as in [Lique et al. \(2011](#page-22-8))

tration and velocity. Additional derived output fields include transports through sections, freshwater content and mixed layer depth. The observation database incorporates measurements included in existing global databases, combined with data from individual observation missions. These include missions using new technologies developed to provide measurements in the ice-covered regions

- 265 of the Arctic. The ocean observation database includes traditional ship-deployed and moored insitu measurements of temperature, salinity and velocity, together with measurements from ARGO drifting profilers, ice-tethered profilers, gliders, mammal-mounted instruments and satellite remote sensing. The sea ice observations include thickness and drift measurements from ice mass balance buoys and upward-looking sonar together with remote sensing from aircraft- and satellite-mounted
- 270 instruments.

## <span id="page-8-0"></span>**3 Model simulations and evaluation**

#### **3.1 Simulations**

Five hindcast simulations, H01 to H05, are carried out covering the years 2003 to 2009, and these are briefly described in Table [1.](#page-28-0) LIM2 is used in H01 and H02, and CICE in H03 and higher. H01 275 is initialized from GLORYS2v1, which is found less reliable than ORCA12-T321 in the Arctic Ocean, our focus region. Hence H02 and higher are started instead from ORCA12-T321. Changes related to air-ice and ocean-ice drags based on [Roy et al. \(2015](#page-23-7)) were incrementally implemented in H03 to H05. Parameters are defined in Section [2.1.5.](#page-4-0) Hence H02 uses for instance a lower oceanice drag coefficient relative to H05 (approximately half). The treatment of the air-ice stress is also 280 noteworthily different in H02 as explained in Section [2.2.1,](#page-6-1) and therefore the magnitude of the stress is over-estimated relative to H05. For the interest of the reader, we also note that the latest hindcast H05 has been used in a study of the role of eddy-induced transport of heat and buoyancy in the

## **3.2 Hydrography and circulation evaluation**

<span id="page-8-1"></span>Labrador Sea [\(Saenko et al.](#page-24-7), [2014](#page-24-7)).

285 The focus of the evaluation is the most-recent model run, H05, but there are some brief comparisons with the earlier H02, which incorporates the LIM2 ice model rather than the CICE model. In this sense and in spite of other differences, H02 is the closest simulation to the ORCA12-T321 run. Most of the comparisons presented here are for the mean fields for the period 2003–2009 with additional discussions on time variability.

## 290 **3.2.1 Sea surface height**

Satellite altimeters provide a continuous record of sea surface height (SSH) anomalies since 1993 [\(Benveniste](#page-20-10), [2011\)](#page-20-10), with accuracy at the cm level. Figure [3](#page-31-0) shows the mean (top), standard deviation (middle) and skewness (bottom) of SSH for the North Atlantic for the period 2003–2009 from the model hindcast H05 (left panels) and from the satellite record. The altimeter estimates of the

- 295 standard deviation and skewness are produced using the gridded 1/4° SSH AVISO anomaly product distributed by Archiving, Validation and Interpretation of Satellite Oceanographic data (AVISO, [http://www.aviso.oceanobs.com/en/data/products/auxiliary-products/mss/index.html\)](http://www.aviso.oceanobs.com/en/data/products/auxiliary-products/mss/index.html). The mean altimeter SSH is the sum of the 2003–2009 SSH anomalies and the CNES–CLS09 Mean Dynamic Topography (MDT, [Rio et al.](#page-23-10), [2011](#page-23-10)).
- 300 The mean SSH fields from the model and altimeter record are very similar. The sharp gradient of the Gulf Stream can be seen in both, leaving the coast of North America around 35◦N, and following a similar path eastwards. The high SSH of the subtropical gyre can be seen to the south of the Gulf Stream, and the low SSH of the subpolar gyre to the north. The model estimate shows some sharper gradients, for example along the Labrador coast, but this is likely because of the higher horizontal 305 resolution of the model  $(1/12°)$  compared with the resolution of the altimeter product  $(1/4°)$ .

The spatial distribution of the magnitude of SSH variability, represented by the standard deviation plots, shows good agreement between the model and the altimeter measurements. The altimeter data shows in general though a broader structure of medium values of standard deviation to the south of Gulf Stream whereas that of the model shows medium values extending along the path of the North

310 Atlantic Current.

Positive and negative skewness corresponds to the meandering of a free jet such as the Gulf Stream or the variability caused by warm- and cold-core eddies [\(Thompson and Demirov](#page-24-8), [2006](#page-24-8)). Typically, the zero contour of skewness separating strong regions of negative and positive skewness is a good indicator of the centre position of the mean currents. There is again good agreement between the

- 315 model and the altimeter record in terms of the distribution of skewness for the Gulf Stream area, with the zero contour of the model being positioned slightly more to the north. A broad region of negative skewness in the model is also clearly visible in the mid to eastern Atlantic Ocean which is not seen in the altimeter data. The interpretation of this is more difficult, except to note that the model must be producing more intense cyclonic than anticyclonic deviations in this region. Finally,
- 320 the zero contour helps to define the position of the Azores Current, which is well reproduced in the model in general but with perhaps a slightly more intense and narrower jet.

Most of the satellite altimeters that contribute to the AVISO record are unable to produce useful estimates of SSH in the Arctic, either because their orbits do not extend far enough north or because sea ice prevents the altimeter signal reaching the sea surface. However [Farrell et al.](#page-21-6) [\(2012\)](#page-21-6) used

- 325 measurements from the ICESat and Envisat satellite missions to create an Arctic MDT for the period from 2003 to 2009, corresponding with to the period of the hindcast. This resolves the large (basin) scale features of the MDT, although it is unable to resolve small-scale features. Figure [4](#page-32-0) shows the mean Arctic SSH from H05 and the MDT of [Farrell et al. \(2012](#page-21-6)). There is good agreement between the two estimates, both in terms of the patterns of SSH and the SSH gradients. For example H05
- 330 shows a cross-Arctic sea level difference, from the high of the Beaufort Gyre to the low north of

Spitzbergen, of approximately 60 cm compared with a difference of about 65 cm in the MDT of [Farrell et al. \(2012](#page-21-6)). [Kwok and Morison \(2011](#page-22-9)) similarly use ICESat data (winter only) to estimate the MDT of the Arctic, including its variability. The interannual variability of mean SSH in H05 (not shown here) compares well with their estimates, particularly in the Canada Basin.

## 335 **3.2.2 Surface circulation**

Figure [5](#page-33-0) compares the mean current speeds from hindcast H05 to a 1/2° resolution climatology derived from near-surface drifter velocity estimates [\(Lumpkin and Johnson, 2013\)](#page-22-10). The model speeds at 15 m depth (corresponding with the depth of the drifter drogues) were averaged for the period 2003–2009 and regridded at the same  $1/2^\circ$  resolution as the climatology. The drifter estimates typi-340 cally have an estimated error less than  $3 \text{ cm s}^{-1}$  in the deep North Atlantic. The general agreement

- between the model and drifter climatology is good; for example, the estimates of the speed and the position of the Gulf Stream and the North Atlantic Current appear similar. On the north flank of the Gulf Stream, a weak but persistent branching is clearly visible in both plots, east of  $70°W$ , although that of the model detaches from and rejoins the Gulf Stream a little too early. This secondary current
- 345 system is likely related to the Slope Water Current described in [Pickart et al.](#page-23-11) [\(1999](#page-23-11)) and [Dupont et al.](#page-21-7) [\(2006](#page-21-7)). The East and West Greenland currents, and the Labrador Current, contain more details in the model than can be captured by the drifter resolution, but the separation of coastal and shelf jets is in good agreement with other observations (e.g., [Higginson et](#page-21-8) al., [2011](#page-21-8)). Again, the path of the Azores Current is visible in both model and observations.

### 350 **3.2.3 Temperature and salinity**

Quality-controlled measurements of ocean temperature and salinity (TS) are available from the global CORA3.4 database distributed by MyOcean [\(www.myocean.eu\)](www.myocean.eu). This database includes measurements from ship-based surveys, moorings and the drifting profilers of the ARGO network. In the Arctic there are relatively few observations compared with other ocean basins. Whilst some Arctic

- 355 observation programmes have been incorporated into the CORA3.4 database, others are not yet included. We have undertaken a search of data available from all programmes, and combined them with the CORA3.4 observations where they are missing. These observation programmes include the Beaufort Gyre Exploration Project (BGEP, [http://www.whoi.edu/page.do?pid=66296\)](http://www.whoi.edu/page.do?pid=66296), the Ice– Tethered Profiler project (ITP, [http://www.whoi.edu/page.do?pid=20756\)](http://www.whoi.edu/page.do?pid=20756), the Canadian Basin Ob-
- 360 servational System (CABOS, [http://nabos.iarc.uaf.edu/index.php\)](http://nabos.iarc.uaf.edu/index.php), the Switchyard project [\(http://psc.apl.washington.edu/switchyard/ov](http://psc.apl.washington.edu/switchyard/overview.html) the North Pole Environmental Observatory (NPEO, [http://psc.apl.washington.edu/northpole/\)](http://psc.apl.washington.edu/northpole/), and monitoring programmes in Davis Strait (e.g., [Curry et al., 2013](#page-20-11)), Barrow Strait (e.g., [Hamilton et al.](#page-21-9), [2013\)](#page-21-9) and Fram Strait (e.g., [Schauer et al., 2008\)](#page-24-9).

Figure [6](#page-34-0) shows the mean TS bias for hindcast H05 for the period 2003–2009. Model values are

365 extracted at the same time and location as observations, and the bias is calculated as the model esti-

mate minus the observation. The biases are averaged in 1 degree bins for the top 200 m, and between 200 m and 500 m depth. These intervals are chosen to quantify the near-surface (including shelf) and intermediate depth anomalies. Measurement errors are negligible (typically  $\pm 0.01^{\circ}$ C for temperature and ±0.01 for salinity e.g., [Talley et al.](#page-24-10), [2011](#page-24-10)). However the model output is grid-cell averaged

370 whereas the observations are point measurements that will be subject to additional variability. Accordingly, we consider averaged biases rather than comparisons with individual measurements. Over large areas of both the North Atlantic and the Arctic oceans the average temperature biases are less than  $\pm 1^{\circ}$ C and the salinity biases are less than  $\pm 0.5$ . However, a warm and salty bias is seen

in the central North Atlantic and in the currents that form the subpolar gyre. This bias is strongest in

375 the surface layers, averaging more than  $2^{\circ}$ C and 0.75 in some places, and extends into the Iceland and Norwegian seas. The temperature bias extends southwards along the path of the Gulf Stream, particularly in the surface layers. A cold bias also extends from the north side of the Gulf Stream toward the coast of the US and Canada. The salinity bias is largest in the Labrador Current. A salty bias is seen in the upper layers of the Beaufort Sea, extending along the coast of Canada toward 380 Fram Strait (see also Section [3.2.4\)](#page-12-0). Conversely, the waters in the centre of the Greenland-Iceland-

Norwegian Seas are colder and fresher.

The vertical structure of the model TS compared to observations is shown in Figure [7.](#page-35-0) Four domains were chosen to represent regions of oceanographic interest (the subtropical and subpolar gyres, the Beaufort Gyre and the Nordic seas). These domains (except for the subtropical gyre) cor-

385 respond with regions of relatively high temperature or salinity averaged biases identified in Figure [6.](#page-34-0) For each domain all available observations were averaged to give single temperature and salinity profiles. Model outputs at the same times and locations were extracted from hindcast H05, and also from the GLORYS2v3 reanalysis product [\(Ferry et al.](#page-21-5), [2012](#page-21-5)) and from the Polar Science Center Hydrographic Climatology (PHC). These were similarly averaged across each domain to give single 390 temperature and salinity profiles for each product in each domain.

The profiles for the subtropical gyre domain (box d) show that the model does a good job of representing both temperature and salinity, although the top ocean layers are too fresh by 0.5. In the subpolar gyre domain (box c) the model bias in salinity is positive, with a maximum of less than 0.5 around 100 m depth. The warm bias has a maximum of around 2◦C at a similar depth. In the

- 395 Greenland and Norwegian seas (box b), there is a fresh and cold bias, restricted to the top 100 m of the ocean. In the Beaufort Sea (box a) the temperature biases are small (less than 0.5◦C), but the profile shows the bias to be cold in the Atlantic water layer (around 500 m depth) and near the surface, and slightly warm in the Pacific water layer (around 150 m depth, [Steele et al., 2004\)](#page-24-11). The vertical temperature structure is not well reproduced by the model. This suggests that there may be
- 400 problems with the transport and transformation of Pacific waters in the model, and this is an area for further investigation. We can only tell at this point that Pacific water signature weakens with time in H05 (not shown). A salty bias in the Beaufort Sea is restricted to the upper 75 m of the water

column. Note that GLORYS2v3 and PHC are in good agreement for temperature but both depart considerably noticeably from the observations in this area.

- 405 Examining the Beaufort Sea salinity bias in a little more detail, Figure [8](#page-36-0) shows the mean liquid freshwater content equivalent depth for the Arctic from H05 and from PHC. The freshwater content is calculated using the method described in [Proshutinsky et](#page-23-12) al. [\(2009\)](#page-23-12), with a reference salinity of 34.8. There is good agreement in terms of the distribution of liquid freshwater, with the greatest concentration in the Beaufort Gyre, but the total modelled freshwater content in the gyre is greater than in
- 410 the climatology. This is likely because the PHC does not incorporate observations beyond 1998 and therefore does not reflect the recent increase in freshwater content estimated by [Proshutinsky et al.](#page-23-12) [\(2009](#page-23-12)). In order to investigate whether this increase is reproduced in the different simulations, we average the total monthly modelled liquid freshwater content over a pre-defined region of the Beaufort Gyre. We compare the modelled totals (H02, H05 and ORCA12-T321) to the summer estimate
- 415 (and uncertainties) over the same region based on observational data [\(Proshutinsky et al.](#page-23-12), [2009](#page-23-12), and updates by pers. comm. of A. Proshutinsky, 2013) in Figure [9.](#page-37-0) Because the modelled totals are plotted as monthly values, they exhibit a seasonal cycle that the observed estimate based on summer campaigns can not. The estimateuncertainty is about 9 cannot reproduce. Based on the uncertainties provided with the summer estimate, we concluded that the observational error in total freshwater
- 420 content is about 10%. The two CREG12-based hindcasts reproduce fairly realistically the observed increase in freshwater content (although tapering by the end of the simulation period) whereas the ORCA12-T321 content exhibits no such increase. We partly attribute this discrepancy to differences in atmospheric forcing products used in our hindcasts and ORCA12-T321. The fact that H05 shows a slightly poorer agreement with the observed freshwater estimates than H02 by the end of the
- 425 simulation is due to the weaker Ekman pumping in the Beaufort Gyre, the latter explained by the smaller roughness and associated ice-air drag as described in Section [2.1.5](#page-4-0) and Table [1.](#page-28-0) This will be illustrated from a different point of view in Section [3.3.2.](#page-15-0)

## <span id="page-12-0"></span>**3.2.4 Sections across Fram Strait and Davis Strait**

Arrays of moorings have been deployed across the main pathways for exchange of water between the 430 Arctic and Atlantic, for example in Fram Strait [\(Schauer et al., 2008](#page-24-9)) and in Davis Strait [\(Curry et al.](#page-20-11), [2013\)](#page-20-11). Figures [10](#page-38-0) and [11](#page-39-0) show a comparison of mean temperature, salinity and velocity estimated from these observations with corresponding estimates from hindcast H05 for Fram Strait and Davis Strait respectively. The Fram Strait mooring observations cover the period 2005–2009, and the Davis Strait moorings cover 2004–2009, although not all instruments were deployed for the whole period.

435 For each instrument all available observations are averaged. The output from hindcast H05 is averaged for the corresponding times  $\frac{600}{60}$  each instrument. The contribution of measurement errors and mesoscale variability is negligible because of instrument calibration and averaging. However sampling uncertainty may be an issue in the central sections of the straits where the moorings are spaced further apart, especially in Fram Strait where there is a recirculation within the Strait [\(Schauer et al.](#page-24-12),

440 [2004\)](#page-24-12).

Overall there is good agreement between the model and observations in Fram Strait. The large velocity of the northward-flowing West Spitzbergen Current and the southward-flowing East Greenland Current are very similar in magnitude and location. The temperature and salinity structure of the two currents is broadly similar, although the model shows a cold bias in the central channel and

- 445 the modelled northward-flowing water close to Spitzbergen is a little saltier than observed. The observations show a weaker northward-flowing branch of the West Spitzbergen Current in the central channel, as described by [Schauer et al. \(2004](#page-24-12)), but this is absent in the simulation. This may explain the cold bias in the modelled near-surface waters in the centre of the strait.
- In Davis Strait the observed and modelled temperatures are in good agreement. The salinity fields 450 are also generally good, and the velocity maxima of the northward-flowing West Greenland Current and the southward-flowing Baffin Island Current (BIC) are similar in magnitude. However, the northward-flowing water on the Greenland shelf is a little too salty, likely related to the salty bias in the subpolar gyre described earlier, and the BIC is displaced further offshore in the model. There does not seem to be a strong temperature or salinity bias in the Arctic outflows through either Fram
- 455 Strait or Davis Strait, suggesting that this is not the source of the biases seen in the Atlantic and discussed in the previous section.

The mean net liquid volume transport for 2003–2009 in hindcast H05 for Fram Strait is 2.7 Sv (1 Sv is  $10^6$  m<sup>3</sup>s<sup>-1</sup>) toward the south, compared with an observational estimate of  $2\pm$ 2.7 Sv [\(Schauer et al.](#page-24-9), [2008\)](#page-24-9). For Davis Strait the model mean liquid volume transport is 1.9 Sv toward the south, compared

460 with an observed  $1.6\pm0.5$  Sv [\(Curry et al., 2013](#page-20-11)). The large observational uncertainties are generally associated with interpolation between mooring locations rather than measurement errors (see, for example, [Fahrbach et al.](#page-21-10), [2001](#page-21-10)).

## <span id="page-13-0"></span>**3.3 Sea-ice evaluation**

## **3.3.1 Ice concentration, thickness and volume**

- 465 Estimates of the total ice extent (where ice concentration is higher than 15%) have been derived from satellite products at the National Snow and Ice Data Center (NSIDC, [Cavalieri et al., 1996, updated 2008](#page-20-12)), filling the North Pole data hole with 95% ice concentration. Ice extent is a more robust metric than ice area in summer as the latter is biased due to melt ponds detected as open water with errors on average around 10% [\(Comiso et al.](#page-20-13), [1997\)](#page-20-13). Comparing H02 and H05, the implementation of CICE
- 470 in H05 is beneficial in terms of better reproducing the seasonal cycle (Figure [12,](#page-40-0) top panel). The ice thickness distribution allows for larger rates of melting and growth in the small ice thickness categories, thus enhancing the seasonal cycle of ice extent and bringing it closer to observations. Due the missing North Pacific Ocean in the CREG12 domain, the maximum winter extent in the

hindcasts does not reproduce the NSIDC estimate which covers all northern hemisphere (to ease

- 475 data exchange and comparison, ORCA12-T321 was provided too output is considered only on the CREG12 griddomain). In terms of interannual variability, looking at September ice extent (Figure [12,](#page-40-0) bottom panel), H05 ice loss is faster than H02 at the beginning of the simulation (2003-2005), indicating an initial imbalance in thermodynamics mainly in H05 which necessitates close to two years to be resolved (see Section [2.2.2](#page-6-2) for the initialization of CICE). After this, the total ice extent in H05
- 480 stays close to the observed estimate between 2005 and 2009. H05 September ice extent then starts to depart from observations after 2010 due to an anomalous accumulation of ice in the Beaufort Gyre and retreat elsewhere. H02 and T321 have a too large September ice extent but the negative trend is in general closer to observations than in H05, even though the period for comparison is too short to be statistically significant. This can be related to the fact that both H02 and ORCA12-T321 are in better
- 485 thermodynamic balance with the initial condition, which itself is derived from a simulation using LIM2, than H05 which goes through a two-year adjustment period. The 2007 minimum is well reproduced by H05 in terms of total ice extent, although the regional structure shows differences from the observations (Figure [13\)](#page-41-0). The ice concentration in Beaufort and Chukchi Seas is a little too high and that in the tongue of ice connecting the central pack along the Severnaya Zemlya Archipelago
- 490 to the mainland is somewhat too low. The ORCA12-T321 and H02 ice concentration fields are very similar in spatial structure, with T321 showing a sharper transition at the ice pack edge. They both overestimate the ice concentration in the Beaufort Sea and in the East Siberian sector, in agreement with the total ice extent results.
- In-situ ice thickness observations are available from a number of different sources. Ice mass bal-495 ance buoys (e.g., [Polashenski et al.](#page-23-13), [2011\)](#page-23-13) drift with the ice, measuring the evolution of the ice thickness with  $\pm 0.01$  m precision. For practical reasons, the deployments are generally in areas of multi-year ice. As we concentrate on the Central Arctic, this is less of a concern since the multi-year ice is the most representative type in this area. Sub-sampling is however still an issue and we therefore concentrate on the large structures. Figure [14](#page-42-0) shows the mean difference be-500 tween the model sea ice thickness and the measured thickness. For each observation, the model thickness at the same time and location is obtained, and a bias calculated. Biases are binned into boxes approximately 100 km square, and averaged. H05, which uses the CICE ice model, clearly produces a result closer to observations than H02, which uses LIM2, but the ice in the Beau-
- 505 subsurface moorings, providing high frequency measurement of the ice draft from beneath. This data can be used to produce an estimate of the thickness distribution at the mooring location with an accuracy of  $\pm 0.1$  m [\(Kwok et al., 2004\)](#page-22-11), which can be compared with the ice thickness distribution from the CICE model. Figure [15](#page-43-0) compares the estimates from H05 with observations at one of the BGEP moorings [\(http://www.whoi.edu/beaufortgyre/data\)](http://www.whoi.edu/beaufortgyre/data) and at the NPEO moor-

fort Gyre is still too thick. Upward-Looking Sonars (ULS) have been deployed on a number of

510 ing [\(http://psc.apl.washington.edu/northpole/,](http://psc.apl.washington.edu/northpole/) [Morison et al., 2002](#page-23-14)). The **BGEP** mooring data was

averaged for the period September 2003 to December 2009. The NPEO mooring data was for the period January 2003 to December 2009 (but there are some gaps in the record). In each case the model output is averaged for the same period as the observations. The model reproduces the thickness distribution at both sites quite well, although it tends to overestimate the thicker ice categories

515 in the Beaufort Gyre and underestimate them near the North Pole. Conversely, it can be noted that thinner categories are underestimated in the Beaufort Gyre but overestimated near the pole.

The spatial structure of the ice mean thickness (local total ice volume divided by total ice concen-tration) is also compared to estimates from the ICESat mission [\(Kwok et al.](#page-22-12), [2009](#page-22-12)) . for the period October-November 2007. The uncertainty associated with the ICESat estimate can be as large as

- 520 0.5 m. Thus, we only concentrate on the broad patterns. Figure [16](#page-44-0) shows that ORCA12-T321 and H02 (both of which use LIM2) overestimate thickness over a large area. The mean ice thickness in H05 is closer to the ICESat observations, but there is a region of overestimated thickness in the Beaufort Gyre and an underestimation elsewhere which is consistent with our findings from the ice mass balance and ULS measurements, and also with results of [Roy et al.](#page-23-7) [\(2015](#page-23-7)).
- 525 Finally, the domain total ice volume of the different model simulations is compared to the estimate of the data-assimilative model PIOMAS [\(Zhang and Rothrock](#page-25-3), [2003\)](#page-25-3). This model ice volume compares well with estimates from ICESat and CryoSat2 [\(Laxon et](#page-22-13) al., [2013\)](#page-22-13) and is therefore deemed a reasonable reference <del>. The error bars are not known but could be up to 25</del>with a 10-15% <del>. uncertainty</del> (based on their figure 3). The seasonal cycle (Figure [17,](#page-45-0) top panel) for H05 is very close to the PI-
- 530 OMAS value, and a clear improvement over H02 and ORCA12-T321. The September values (Figure [17,](#page-45-0) bottom panel) emphasize the discrepancy between the different hindcasts: H05 is close to PIOMAS in magnitude and trend (although with a month one-month lag), while ORCA12-T321 and H02 do not have a clear trend and the volume is overestimated by 50 to 100%. This volume overestimation in ORCA12-T321 and H02 is consistent with the findings from in-situ and satellite thickness
- 535 measurements. Here too, the different drag coefficients partially explained the convergence and accumulation of ice in the Beaufort Gyre. The higher ice-ocean drag and the lower air-ice drag in H05 both concur to reduce the ice velocity and therefore the Ekman convergence there, relative to H02.

The modification to the surface ice roughness between H04 and H05 has a positive impact, improving the absolute value and trend in the volume (not shown). However, although the total volume

540 of H05 is very much on par with estimates from PIOMAS, this conceals regional errors such as an overestimation of ice thickness in the Beaufort Gyre that were discussed earlier. The source of these errors in the Beaufort Gyre is likely related to the ice drift pattern, discussed in the following section.

## <span id="page-15-0"></span>**3.3.2** Ice **drift**y**elocity**

Ice drifts for March 2003-2008 are compared Satellite estimates of mean ice velocity, produced 545 at 25 km resolution [\(Fowler et al.](#page-21-11), [2013](#page-21-11)), were obtained for March in the years 2003 to satellite estimates from (source: NSIDC2008 from NSIDC. These are averaged and compared to averages

for the corresponding period from the same three hindcasts (ORCA12-T321, H02 and H05) in Figure [18a](#page-46-0)t 25 kmresolution and observations from ice buoys deployed as part of the International Arctic Buoy Program (IABP; ). The satellite and model estimates are coherent over large spatial

- 550 scales (500 km), with a clearly defined Beaufort Gyre, Transpolar Drift, and Fram Strait outflow. The estimates differ mainly in the intensity of the ice flow in the Beaufort Gyre and the Transpolar Drift. One can see the improvement from hindcast H02 to H05 as the ice-water and air-ice drags are adjusted following a semi-objective approach [\(Roy et al.](#page-23-7), [2015\)](#page-23-7). However, the ice drift velocity in H05 is still overestimated. In the too high compared to the satellite estimate. The ORCA12-T321
- 555 solution, the air-ice drag is slightly decreased and actually yields the best modelled velocity fields. is the closest to the satellite estimate.

Given the similarity in ice circulation between the different products, a simple metric is now con-sidered<del>, the averaged ice drift bias relative to the IABP buoys over the 2003-2009 period (Figure [19](#page-47-0))</del> The difference between the velocity calculated from the buoy displacements of the International

- 560 corresponding Arctic Buoy Program (IABP; <http://iabp.apl.washington.edu/>) and from the model at corresponding times and locations is averaged for the period 2003-2009 (Figure 19). The Pathfinder gridded estimates are buoy locations are provided with an uncertainty of  $\pm 100$  m so, assuming a monthly averaged velocity of  $0.05$  m/s, the uncertainty is much less than 1%. The monthly ice velocity field is generally coherent over large scales, so the issue of irregular sampling by the buoys should not be too critical.
- 565 Figure 19 shows that the satellite estimate is the closest to buoy drifts the buoy drifts (albeit with a slight negative bias), followed by ORCA12-T321and-, H05 and H02. The H05 bias is close to that of ORCA12-T321 but starts to deviate in late 2006. H02 has the largest bias. Hence, the averaged bias confirms the visual examination of the mean March circulation. These results are consistent with those from the average March velocity maps in Figure 18.
- 570 This evidence suggests that Ekman transport is still acting too strongly in H05, driving a convergence of ice and accumulation of multi-year ice in the Beaufort Gyre. An obvious reasoning is that the air-ice stress is too large (either due to too strong winds or/and drag coefficient), driving the ice too fast. However, the CGRF surface winds tend to show a weak negative bias compared to observations at Ice Station Tara (not shown). This is in contrast to some reanalysis products compared by
- 575 [Jakobson et al.](#page-22-14) [\(2012\)](#page-22-14) such as ERA-INT. Moreover, the surface ice roughness lengthscale in CGRF is actually smaller that the one used in ERA-INT. Hence the air-ice stress is less likely to be overestimated. On the other hand, the freshwater content increase during the period 2003-2009 in H05 is slightly weaker than observed (Figure [9\)](#page-37-0), which suggests the opposite, that is, the convergence of freshwater due to Ekman transport acting on the ocean may be underestimated. This issue needs to
- 580 be further studied. Some mechanisms explaining variations in the Arctic freshwater content caused by dependencies on model parameters are also assessed in Roy [et al.](#page-23-7) [\(2015\)](#page-23-7). Preliminary results point to deficiencies in the vertical mixing scheme used in H05  $(k - \epsilon)$  in the Arctic upper ocean

which would explain the overly strong ice drift velocity in the Beaufort Gyre by underestimating the shallow convection under the ice.

585 Additionally, we note that the lack of landfast ice parametrization may explain the over-estimation of the ice drift velocity in all model runs in the East Siberian, Laptev and Kara Seas in Fig. [18.](#page-46-0)

## **4 Conclusions**

The development of a high resolution ice-ocean modelling system is a challenging task that requires a team effort. In CONCEPTS this is achieved by collaborations among different Canadian gov-590 ernment departments and international collaborators such as Mercator Océan. The CREG12-based system consists of state-of-the-art ocean and sea-ice models, a comprehensive verification package, and a data assimilation capability under development. Before proposing the system for operational implementation, the capability of the ice-ocean model to produce high-quality hindcasts must be demonstrated. Hence, the present approach of producing a series of hindcasts and by identifying 595 deficiencies, helps in deciding which aspects of the system need to be improved. For instance, the upper ocean physics and more accurate initialization fields appear as areas of particular concern.

Each multi-year hindcast, driven by the high-resolution CGRF forcing, shows incremental improvements with changes to the initial and boundary conditions, the lateral friction schemes, turbulent mixing parametrizations, and finally the change of sea-ice model from LIM2 to CICE. The

600 verification package includes a variety of ocean and sea-ice observations. It demonstrates the capability of the model in hindcasting the mean, variance and skewness of the SSH, the position and strength of the surface circulation.

In terms of temperature-salinity distributions, the initial conditions (however accurate or poor they can be) still imprint the results after 8 years and therefore only variations in the upper ocean can be

- 605 analyzed. From this point of view, the  $k \epsilon$  mixing scheme seems adequate in the north Atlantic but likely underestimates the shallow convection below the ice and this may explain the degradation of some of the upper ocean water masses of the Arctic Ocean such as the Pacific Layer. Nonetheless, the freshwater content in the Beaufort Sea and its interannual variations are well reproduced by the model, including the seasonal and interannual variations of the Arctic sea-ice extent and total
- 610 volume. The Fram Strait long term averages were in general well reproduced by the model, with the exception that the model misses the offshore extension of the northward flowing branch of the Spitzbergen current, which leads to a small but still important loss of Atlantic inflow into the Arctic. The Davis Strait results show that the model has a northward Western Greenland Current flowing a little too far north and a too strong southward Baffin Current, the net being too much Arctic
- 615 southward flow, while the modelled structure is generally accurate.

The model reproduces the major patterns of sea-ice drift-velocity but the intensity is too strong, especially in the Beaufort Gyre. This is correlated to too thick ice in the Beaufort Sea (and too thin over the pole) which points to an overestimated Ekman transport in the upper ocean but needs to be further investigated. Preliminary results suggest –again– deficiencies of the  $k - \epsilon$  mixing scheme dur-

- 620 ing winter convection. The change from LIM2 to CICE was beneficial in terms of thermodynamics as the seasonal cycle of total ice extent and volume is more pronounced and closer to observations and qualified modelled estimates, but other differences between the two, such as the ice drift velocity intensity and ice convergence in the Beaufort Gyre are related to differences in the drag coefficients. No effort was made for instance to improve LIM2 wind and oceanic stress over ice, contrary to
- 625 [Roy et al. \(2015](#page-23-7)). We noted some obvious differences between H02 and T321. For instance, the freshwater content of the Beaufort Sea in T321 does not reproduce the observed increase whereas H02 does. However, T321 has a more reasonable pattern of ice thickness and its March ice drift velocity is the closest to observations. These differences could point to differences in atmospheric forcing, although we cannot exclude other model errors such as the noted overestimation of the air-
- 630 ice stress in H02 (i.e., too strong Ekman transport and pumping) and possibly too strong vertical mixing in all configurations<sup>2</sup>.

Finally, different advances in ice modelling and ice-ocean coupling are of interest to this project. First, although not critical for the type of evaluation done here, there is a strong incentive [\(Hibler](#page-21-12), [2001;](#page-21-12) [Campin et al., 2008;](#page-20-5) [Griffies et al., 2011\)](#page-21-13) in moving to a more exact "embedded" sea-ice rep-

- 635 resentation in the ocean water column (ice loading effect, volume exchange, true salt flux, implicit momentum coupling between ice and ocean) with possible impacts in shallow channels where ice pressure ridges could restrain the passage of water underneath. This will be tested in the upcoming future. Second, a landfast ice parametrization [\(Lemieux et](#page-22-15) al., [2015b](#page-22-15)) should improve the representation of ice dynamics over the shelves, especially on the Siberian side, and we are hopeful for results
- 640 in the very near future in this area as well. Third, two-way coupling between the wave field, the ocean and the ice are in progress [\(Dumont et al.](#page-21-14), [2011\)](#page-21-14) and are expected to improve substantially the upper ocean response (with the addition of Stokes currents and induced mixing), the representation of the ice in the marginal ice zone, and improving the wave field in general.
- Additionally, promising advances in the parametrization of form drag [\(Tsamados et al., 2014](#page-24-13)) be-645 tween ice-air and sea-ice, and rheology [\(Tsamados et al., 2013\)](#page-24-14) need to be implemented and tested, although for the latter, it is not clear how beneficial this new rheology can be at high resolution – which is true of any existing rheology for that matter. The two latter advances are already available in CICE5 [\(Turner and Hunke, 2014](#page-24-15)). We also plan to move to NEMO Version 3.6 in the upcoming future, which will offer support for coupling to CICE5. We are finally hopeful to increase the vertical
- 650 resolution of the ocean component to 75 levels with a limit to 250 m thick layers in the deep ocean, instead of the present 450 m limit. This would put us on par with DRAKKAR and Mercator-Océan's latest standards used in research.

<sup>&</sup>lt;sup>2</sup>Note that the background diffusivity value used in our hindcasts is ten folds the one recommended by [Zhang and Steele](#page-25-4) [\(2007\)](#page-25-4)

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