

1 **Response to the comments of reviewer 1 with regard to the**
2 **discussion paper:**

3 Mergili, M., Marchesini, I., Alvioli, M., Metz, M., Schneider-Muntau, B., Rossi, M.,
4 Guzzetti, F., 2014. A strategy for GIS-based 3-D slope stability modelling over large
5 areas. *Geoscientific Model Development Discussions* 7, 5407–5445.
6 doi:10.5194/gmdd-7-5407-2014.

7

8 **We would first like to thank the reviewer for the constructive comments on our**
9 **paper. It is good to hear that, according to the reviewer, the topic is of interest**
10 **in principle and suitable for the journal. Below we address each comment in**
11 **detail. Our responses are given in bold blue letters.**

12

13 **General Comments**

14 The paper presents a strategy to improve the computational efficiency of a slope sta-
15 bility assessment model, *r.rotstab*, through multi-core processing and strategies for
16 geometrical and geomechanical parameters sampling. This model allows the as-
17 sessment of the susceptibility of slopes to shallow landslides through the computation
18 of factor of safety on potential truncated ellipsoid surfaces of rupture (3D). The paper
19 discussed parallel processing and several strategies for geotechnical parameters
20 samplings. For large areas, a sequential approach would request huge computational
21 times; therefore, the proposed approach is very valuable. The issues raised in the
22 paper are valid for every physically-based model, and in particular for landslide sus-
23 ceptibility assessment.

24 Therefore, the problematic is valuable for the GMD community.

25 However, the description of the background and the model (part 1 and 2.1) are very
26 similar to the paper from Mergili et al. (2014). Hence, the described background and
27 the state-of-the-art are more oriented towards the problematic addressed in the for-
28 mer paper (needs for finite slope, physically-based models) [..]

29 **We agree with the reviewer that much of the general framework is similar to the**
30 **one presented in Mergili et al. (2014). We chose to describe in depth this part to**
31 **present the reader with a self-contained paper. We will reduce this part in the**
32 **revised manuscript, referring the interested reader to Mergili et al. (2014). In**
33 **particular Chapter 1 will be focused on the existing last two paragraphs while**
34 **Chapter 2.1 will be cleaned of the first 3 paragraphs and centred on the im-**
35 **provements of the *r.slope.stability* model with respect to the previous model**
36 **(*r.rotstab*).**

37 [..] while the state of practice in computational efficiency and sampling strategies is
38 not really discussed. There is no information on why these sampling strategies have
39 been selected and there is an offset between the abstract, background and state-of-
40 the-art and the results and discussions.

41 The methods used (models, parallel processing and sampling) are already existing
42 ones. Therefore, in my opinion, both the subject (computational efficiency) and the
43 model are of interest to be published in GMD, but the paper should be totally restruc-
44 tured to reflect the reflections in sampling strategy and parallel computing before pub-
45 lication. Bibliography should also correspond to computational efficiency.

46 **We further agree that more background and discussion on computing-specific**
47 **topics and sampling strategies could add value to the article. We will modify**
48 **the manuscript (and the reference list) in the way to cover these aspects in suf-**
49 **ficient detail and to bring abstract, background and state of the art in line with**
50 **the results and the discussion. Moreover the structure of the paper will be re-**
51 **vised according to the suggestions.**

52

53 **Specific comments**

54 It is not clear enough what are the differences between r.rotstab and r.slope.stability,
55 except the fact that r.slope.stability can be used with multi-cores computers.

56 **Indeed, as (shortly) explained in section 2.1 (P5413 L18 – P5414 L3), the main**
57 **differences between the old r.rotstab model and r.slope.stability are (i) the ca-**
58 **capacity of r.slope.stability for parallel computing and (ii) the definition of a slope**
59 **failure probability. We will make this aspect clearer in the revised manuscript.**

60 No information on computational times is provided. We cannot judge how necessary
61 are parallel processing and parameters sampling. What are the capacities of the
62 computers used?

63 **We will add information on the capacities of the computer used as well as on**
64 **the computational times. In particular we used a 48 cores (AMD Opteron, fre-**
65 **quency of 2.2 GHz and cache of 512 KB) computer with 140 GB of RAM and**
66 **running a 12.04 LTS Ubuntu GNU/Linux OS with the 3.5.0-26-generic kernel im-**
67 **age. The computational times fluctuate depending on the settings of the exper-**
68 **iments and will be specified in the revised manuscript. E.g., for $d_e=2500$, the**
69 **computational time is approx. 110,000 seconds with 1 core and 1 tile, whilst it**
70 **reduces to approx. 4,700 seconds with 42 cores and 182 tiles.**

71 Global confusion between “surface” and “plane” of rupture. Not every failure occurs
72 following a plane.

73 **We agree with this comment and will replace the term “plane” with “surface”.**

74 In order to be clearer in the description of the model, it would be good to mention that
75 no inter-column forces are considered in the r.slope.stability model.

76 **We will mention this important aspect in the revised manuscript but this re-**
77 **quires that we keep a brief introduction to the model from a geotechnical point**
78 **of view.**

79 The randomization process for W and L is not discussed. Do the authors also use a
80 strategy to increase computational efficiency, or is a Monte-Carlo strategy used?

81 What is the methodology used to ensure a proper repartition of ellipsoids over the
82 whole area? Regular or random sampling?

83 **The ellipsoid parameters are randomly sampled. A proper repartition over the**
84 **area is reached by testing a sufficiently large number of ellipsoids, randomly**
85 **varying the centre coordinates of the ellipsoids. These aspects will be better**
86 **described in the revised manuscript.**

87 The hypothesis of soil saturation is not discussed, even if it is a quite conservative
88 hypothesis. It seems reasonable to make this hypothesis for the purpose of the pa-
89 per, but the results should be analyzed accordingly. The final Pf maps correspond to
90 “probability” of failure in the worst case scenario, and they do not correspond to cur-
91 rent probability of failure.

92 **This issue is a very important one. In fact, the computed probability of failure is**
93 **valid for the worst-case assumption of fully saturated conditions, as correctly**
94 **stated by the referee. Partial saturation is more difficult to treat from a ge-**
95 **otechnical point of view and shall be the subject of future studies. This limita-**
96 **tion will be clearly stated in the revised manuscript.**

97

98 **Technical comments**

99 P2 I22: Is this zone the same one as in Mergili et al. 2014? In this case, why the area
100 is different?

101 **The area given in Mergili et al. (2014) refers to another definition of the bounda-**
102 **ries than the area given in the present paper.**

103 P3 I4: “consisting” instead of “consiststing”

104 **Thank You, this will be corrected!**

105 P3 I6: not all the physically-based models assume the surface of rupture to be a
106 plane. (i.e. circular assumptions with Jambu or Morgenstern-Price approaches).

107 **The term “plane” (issue already raised above) will be replaced by the term**
108 **“surface”.**

109 P3 I14: “forces” instead of “forcess”

110 **Thank You, this will be corrected!**

111 P3 I23: references to Baum et al. (2002) and (2010) are missing in the references
112 section.

113 **Thank You, the references will be added!**

114 P4 I29: is the notion of large areas really commonly related to number of pixels, and
115 not to sizes, or the existence of several objects (i.e. slopes)? A single slope can have
116 $\sim 10^8$ pixels, according to the resolution

117 **From a computational point of view it is related to the number of pixels.**

118 P6 l10-11: does the offset correspond to the offset mentioned l 15. In this case, it is
119 better to mention z_b l10.

120 **Yes, it is z_b – we will use the symbol already in line 10.**

121 P6 l.19 What could be considered as “relatively small pixels”? Could the ratio W/pixel
122 $\text{size} \sim 3$ be considered big enough?

123 **The issue of the appropriate pixel size was discussed in Mergili et al. (2014).**
124 **Pixel size = $W/3$ is certainly at least at the verge of yielding a shape resembling**
125 **an ellipsoid. However, we note that (i) this is the extreme and most ellipsoids**
126 **are much larger and (ii) more importantly, the ellipsoid is an idealized shape**
127 **which will not exactly occur in nature anyway – i.e., if the failure plane is no el-**
128 **lipsoid but some kind of polygon, this does not make the geotechnical compu-**
129 **tations wrong – it just means that the shape tested is slightly different. We will**
130 **be more explicit on this issue in the revised manuscript.**

131 P8 l5-6: also variability of the geometry parameters (i.e. d) P8 l16: Does the number
132 “ n ” of samples of samples to be collected correspond to the samples from the
133 ground, (in this case, not consistent with the “ n ” used in the rest of the paper, e.g. p9
134 l3)?

135 **The number n does not refer to the samples collected from the ground, but to**
136 **the statistical samples. We have collected field data in a much smaller count**
137 **and used that data to build the probability density functions for each of the**
138 **measured soil parameters. The values of the parameters used for each run are**
139 **given by sampling the PDF of the corresponding parameter. We will make this**
140 **aspect clear in the revised manuscript.**

141 P9 l4: “largest” instead of “lapest”,

142 **Thank You, this will be corrected!**

143 P9 l5: is it correct to consider the probability of failure for a pixel to be the largest P_f
144 computed for the different parameters combinations? The value could be representa-
145 tive of the propability, but not the propability of failure per see.

146 **From a strictly geotechnical point of view (which we follow here), it is the larg-**
147 **est failure probability out of all intersecting ellipsoids which is interesting for**
148 **us as, for each pixel, only the most critical ellipsoid is relevant. It is NOT the**
149 **largest value of P_f out of all parameter combinations we consider – P_f is a re-**
150 **sult of combining the values of FOS yielded with all parameter combinations.**

151 P9 l20-25: It is not clear how the sampling is performed: for a) and b), you select a
152 different combinations (c' , φ') for each ellipsoid, while for c), you pick one combina-
153 tion, and you consider the parameters homogenous over the whole area? Why don't
154 you use the last option also for a) and b)?

155 **We will try to explain this issue more clearly in the revised manuscript. It is not**
156 **one combination of parameters we use in c), it is rather one set of parameter**
157 **combinations. As this set is not defined randomly, but deterministically, it is**

158 not necessary to define a new set for each ellipsoid. With a) and b), the set of
159 parameters/combinations is sampled randomly for each ellipsoid. Our results
160 seem to show that the regular sampling strategy is an efficient alternative to
161 the classic Monte Carlo approach, at least in our geotechnical / geomorpholog-
162 ical settings.

163 P9 I25: Please mention that in the paper, it is this solution (application to three pa-
164 rameters) which is applied. It makes confusion after in sect. 4

165 **The application to three parameters will be mentioned in the revised manu-**
166 **script.**

167 P10 I14: According to Eq 4, the density is not in ellipsoids per pixel, but ellipsoid per
168 unit of surface (here, meter).

169 **The average number of ellipsoids per pixel is dimensionless. As long as the**
170 **pixels are much smaller than the ellipsoids, we consider it a valid approxima-**
171 **tion with regard to pixels. Equally, it is a valid approximation with regard to any**
172 **other square unit, as long as the square unit is much smaller than the ellipsoid**
173 **size (here, square metres would be appropriate).**

174 P10 I 16: “A” is not described here, and appears different from the parameter in Eq2
175 **In Eq. 4, A is the study area size – yes, there is confusion with the A in Eq. 2,**
176 **one of the two will be renamed.**

177 P12 I15: does the inventory correspond only to scarps, to reflect areas of departure?

178 **As most landslides in the Collazzone Area have a limited mobility, we have de-**
179 **ecided to use the entire landslides. Using only the scarps would mean that most**
180 **of the landslide area is considered as observed negative as the deposit over-**
181 **lays most of the scarps which can therefore not be defined properly. There is**
182 **certainly a slight overestimate of observed positives due to this. We will make**
183 **this aspect clearer in the revised manuscript.**

184 P13 I24: Please also add the standard deviation of c'

185 **The standard deviation of c' is given in Table 2. However, as we use an expo-**
186 **ponential pdf, it is meaningless for the modelling.**

187 P16 I20: It is good to notice, but isn't it normal? If you don't have a new job to give to
188 an available processor, this processor is somehow useless for the computation.

189 **The referee is right, this is actually clear. We will reformulate the sentence.**

190 P19 I22 (and Figure 8): which parameter sampling strategy has been selected?

191 **It was strategy c). This will be clearly mentioned in the revised manuscript.**

192 In the discussion part: the recommendation for $n \geq 3$ is valid for area assuming a
193 unique parameterization over the whole area. Would it be the same with soil-type
194 specific areas? In this case, where ranges of variations of parameters could be prob-
195 ably be reduced, would n smaller than $9 \geq 3$ suitable?

196 **This is a very interesting question. If we could reduce the parameter variations,**
197 **it is likely that also n could be reduced. However, with the data we have right**
198 **now, also the variations within each soil type are quite large. The comment of**
199 **the referee will be an interesting aspect to explore in a future paper, obtaining**
200 **more data (these efforts are going on) and/or conducting theoretical experi-**
201 **ments.**

202 Would it be possible to consider different soil water content conditions?

203 **In principle it would be possible and interesting, but (see above) partial satura-**
204 **tion is more difficult to treat from a geotechnical point of view and shall be the**
205 **subject of future studies. This will be clearly stated in the revised manuscript.**

206 In references, Iverson and Major (1986) is not referenced in the text.

207 **Thank You, the reference will be added!**