Dear Topical Editor,

Dear Executive Editor,

Thank you for your helpful comments on the revised version of our manuscript. Admittedly, the two points that you mention can be addressed in a better way:

**Editor** Reviewer 2 requests an explanation of the main features of a karst system, to provide a better context for the model equations:

"Referee #2 The reader is rather rushed into the main part of the paper, and detailed comments are provided below on the introduction. However, it is strongly suggested that to provide a proper context for the equations in Section 2.1 then a basic explanation of the main features of a karst system is provided."

No such explanation was added, and the changes to Section 2 largely consist of moving a section of text from Section 2.1, which is insufficient to address this major reviewer comment. Please add a suitable description of the system such that the reader understands the choice of model formulation.

**Our response** We agree with the Editor that such a description would improve readability. Therefore in the second revised version of the manuscript we expanded subsection 2.1 by a description of the surface and shallow subsurface processes that are typical for karst regions:

"The structure of the VarKarst-R model (Figure 1a) is based on the conceptual understanding of the surface and shallow subsurface processes of karst regions (Figure 1c). Their most characteristic feature is the existence of the epikarst that evolves close to the surface because of stronger carbonate rock dissolution. It can be seen as a temporal storage and distribution system for karst recharge (Aquilina et al., 2006; Williams, 1983a). Depending on the rates of infiltration, variability of soil thicknesses and hydraulic conductivities, it can produce slow and diffuse vertical percolation into the carbonate rock, or it can concentrate infiltration laterally towards dissolution widened fissures or conduits (Hartmann et al., 2012). Applied on a 0.25 x 0.25 decimal degree grid (Figure 1b), VarKarst-R simulates potential recharge, which ..."

**Editor** Reviewer 1 also comments on the same section:

"Referee #1 Section 2.1 is a brief summary of equations previously published by the Author and appears to be shown here for the purpose of explaining the four parameters. This could be stated more clearly because it's not totally clear whether these are new equations or not. Also, the reader would need to read the previous papers to fully understand their meaning. One reason for the confusion is that this is described as being a new version (VarKarst-R), but the equations have not changed (unless I missed something). Therefore, please explain what was modified in the new version."

This comment has not yet been sufficiently addressed. If I am correct in guessing that the model equations (1-9) are copied from a previous paper, please state that explicitly at the top of the section

and provide the reference. The referee comment that it is not possible to fully understand their meaning from the current paper has also not been addressed. I found it very difficult to understand the description of these equations, for example in Equation 2, it is unclear whether these three equations are assumptions, definitions or derivations, or how they follow from each other. It is unclear why an integral rather than a sum is taken over a discrete number of model compartments. It is unclear what 'left' and 'right' refer to (line 130), and what is the link with Figure 1.

Our response We clarified the differences between model features that are adopted from previous studies and the new aspects of the study at the very beginning of Section 2, where we inserted the following text:

"...is estimated by a modified version of the VarKarst model (Hartmann et al., 2013a, 2014c). The model has shown to be applicable at various scales and climates over Europe (Hartmann et al., 2013b). To simulate karst recharge we discard the groundwater routines originally present in VarKarst but we use exactly the same surface and shallow subsurface routines. The resulting recharge simulation model, VarKarst-R, is described in the next subsection. The main novel feature of the large-scale application of the VarKarst-R model is the estimation of its parameters. While previous applications of the VarKarst model could rely on calibration by observations at the karst system outlet, the simulation of large-scale recharge requires a different approach. We developed a new parameter estimation procedure of VarKarst-R that separates the study area into four karst landscapes by cluster analysis and estimates model parameters and their uncertainty by a step-wise parameter confinement process (explained in subsection 2.3)"

Furthermore, more information was added throughout Subsection 2.1, clarifying what was taken from previous studies and what is the role of different equations in relation to the description of the karst recharge processes that were also newly added (see above). In particular, the confusing part of Equation (2) was removed and an elaboration of its purpose and a reference to the original paper was added instead:

"For the application of a priori information of mean soil storage capacities (subsection 2.3)  $V_{max.S}$  has to be derived from the mean soil storage capacity  $V_{soil}$  by (Hartmann et al., 2013b):

$$V_{\max,S} = V_{soil} \cdot 2^{\left(\frac{a}{a+1}\right)}.$$
 (1)

Preceding work (Hartmann et al., 2013a) showed ..."

Please find below a version of the re-revised manuscript with all changes marked.

We hope that our second set of revisions improved the manuscript to a level that is acceptable to be published in Geoscientific Model Development.

Kind regards,

Andreas Hartmann

# 1 A large-scale simulation model to assess karstic

- 2 groundwater recharge over Europe and the Mediterranean
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Re-resubmitted to Geoscientific Model Development May 2015

# **Abstract**

Karst develops through the dissolution of carbonate rock and is a major source of groundwater contributing up to half of the total drinking water supply in some European countries. Previous approaches to model future water availability in Europe are either toosmall scale or do not incorporate karst processes, i.e. preferential flow paths. This study presents the first simulations of groundwater recharge in all karst regions in Europe with a parsimonious karst hydrology model. A novel parameter confinement strategy combines *a priori* information with recharge-related observations (actual evapotranspiration and soil moisture) at locations across Europe while explicitly identifying uncertainty in the model parameters. Europe's karst regions are divided into 4 typical karst landscapes (humid, mountain, Mediterranean and desert) by cluster analysis and recharge is simulated from 2002 to 2012 for each karst landscape. Mean annual recharge ranges from negligible in deserts to

>1 m/a in humid regions. The majority of recharge rates ranges from 20%-50% of precipitation and are sensitive to sub-annual climate variability. Simulation results are consistent with independent observations of mean annual recharge and significantly better than other global hydrology models that do not consider karst processes (PCR-GLOBWB, WaterGAP). Global hydrology models systematically underestimate karst recharge implying that they over-estimate actual evapotranspiration and surface runoff. Karst water budgets and thus information to support management decisions regarding drinking water supply and flood risk are significantly improved by our model.

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#### 1 Introduction

39 Groundwater is the main source of water supply for billions of people in the world (Gleeson 40 et al., 2012). Carbonate rock regions only constitute about 35% of Europe's land surface 41 (Williams and Ford, 2006), yet contribute up to 50% of the national water supply in some 42 European countries (COST, 1995) because of their high storage capacity and permeability 43 (Ford and Williams, 2007). Climate conditions have a primary control on groundwater 44 recharge (de Vries and Simmers, 2002). Climate simulations suggest that in the next 90 years 45 Mediterranean regions will be exposed to higher temperatures and lower precipitation 46 amounts (Christensen et al., 2007). In addition, shifts in hydrological regimes (Milly et al., 47 2005) and hydrological extremes (Dai, 2012; Hirabayashi et al., 2013) can be expected. To 48 assess the impact of climate change on regional groundwater resources as groundwater 49 depletion or deteriorations of water quality, large-scale simulation models are necessary that 50 go beyond the typical scale of aquifer simulation models (~10-10,000 km²) Additionally, we 51 expect the future variability of climate to be beyond that reflected in historical observations, 52 which means that model predictions should derive credibility via more in-depth diagnostic 53 evaluation of the consistency between the model and the underlying system and not from 54 some calibration exercise (Wagener et al., 2010). 55 Currently available global hydrology models discretise the land surface in grids with a resolution down to 0.25 to 0.5 decimal degrees. Parts of the vertical fluxes are well 56 57 represented, e.g. the energy balance (Ek, 2003; Miralles et al., 2011). But groundwater

represented, e.g. the energy balance (Ek, 2003; Miralles et al., 2011). But groundwater recharge and groundwater flow are represented simply by heuristic equations (Döll and Fiedler, 2008a) or assumptions of linearity (Wada et al., 2010, 2014). They do not explicitly simulate a dynamic water table or regional groundwater flow. Global models also assume

- 61 homogenous conditions of hydrologic and hydraulic properties in each of their grid cells,
- 62 rather than variable flow paths, and they completely omit the possibility of preferential flow.
- 63 This was criticized in the recent scientific discourse about the need for large-scale hyper-
- resolution models (Beven and Cloke, 2012; Wood et al., 2011).
- 65 The assumption of homogeneity is certainly inappropriate for karst regions. Chemical
- 66 weathering of carbonate rock and other physical processes develop preferential pathways and
- 67 strong subsurface heterogeneity (Bakalowicz, 2005). Flow and storage are heterogeneous
- 68 ranging from very slow diffusion to rapid concentrated flow at the surface, in the soil, the
- 69 unsaturated zone and the aquifer (Kiraly, 1998). A range of modeling studies have developed
- and applied karst specific models at individual karst systems at the catchment or aquifer scale
- 71 (Doummar et al., 2012; Fleury et al., 2007; Hartmann et al., 2013b; Le Moine et al., 2008) but
- 72 a lack of *a priori* information of aquifer properties and observations of groundwater dynamics
- have prohibited their application on larger scales (Hartmann et al., 2014a).
- 74 Compared to the limited information about the deeper subsurface there is much better
- 75 information about the surface and shallow subsurface including maps of soil types and
- 76 properties (FAO/IIASA/ISRIC/ISSCAS/JRCv, 2012), observations of soil moisture
- 77 (International Soil Moisture Network, Dorigo et al., 2011) and of latent heat fluxes (FluxNet,
- 78 Baldocchi et al., 2001), as well as river discharge (GRDC, 2004). Surface and shallow
- 79 subsurface information is used for the parameterization and evaluation of the surface routines
- 80 of present large-scale models. But, although these data also cover Europe's karst regions, it
- 81 has not been used for the development of large-scale models to simulate karstic surface and
- 82 shallow subsurface flow and storage dynamics.
- 83 The objective of this study is to develop the first large-scale simulation model for karstic
- 84 groundwater recharge over Europe and the Mediterranean. Despite much broader definitions
- 85 of groundwater recharge (e.g., Lerner et al., 1990), we focus on potential recharge, that is
- 86 vertical percolation from the soil below the depth affected by evapotranspiration. We use a
- 87 novel type of model structure that considers the sub-grid heterogeneity of karst properties
- 88 using statistical distribution functions. To achieve a realistic parameterization of the model we
- 89 identify typical karst landscapes by cluster analysis and by a combined use of a priori
- 90 information about soil storage capacities and observations of recharge related fluxes and
- 91 storage dynamics. Applying a parameter confinement strategy based on Monte Carlo

sampling we are able to provide large-scale simulation of annual recharge including a quantification of their uncertainty.

# **2 Data and Methods**

Due to chemical weathering (karstification) karst systems have a strong subsurface heterogeneity of flow and storage processes (Bakalowicz, 2005) that have to be considered to produce realistic simulations (Hartmann et al., 2014a). In this study, large-scale karst recharge is estimated by a modified version of the VarKarst model (Hartmann et al., 2013a, 2014c). The model has shown to be applicable at various scales and climates over Europe (Hartmann et al., 2013b). To simulate karst recharge we discard the groundwater routines originally present in VarKarst but we use exactly the same surface and shallow subsurface routines. The resulting recharge simulation model, VarKarst-R, is described in the next subsection. The main novel feature of the large-scale application of the VarKarst-R model is the estimation of its parameters. While previous applications of the VarKarst model could rely on calibration by observations at the karst system outlet, the simulation of large-scale recharge requires a different approach. We developed a new parameter estimation procedure of VarKarst-R that separates the study area into four karst landscapes by cluster analysis and estimates model parameters and their uncertainty by a step-wise parameter confinement process (explained in subsection 2.3).

## 2.1 The model

The structure of the VarKarst-R model (Figure 1 Figure 1a) is based on the conceptual understanding of the surface and shallow subsurface processes of karst regions (Figure 1 Figure 1c). Their most characteristic feature is the existence of the epikarst that evolves close to the surface because of stronger carbonate rock dissolution. It can be seen as a temporal storage and distribution system for karst recharge (Aquilina et al., 2006; Williams, 1983a). Depending on the rates of infiltration, variability of soil thicknesses and hydraulic conductivities, it can produce slow and diffuse vertical percolation into the carbonate rock, or it can concentrate infiltration laterally towards dissolution widened fissures or conduits (Hartmann et al., 2012). Applied on a 0.25 x 0.25 decimal degree grid (Figure 1 Figure 1b), VarKarst-R simulates potential recharge, which is the water column thate vertically percolatesed from the soil and epikarst. Hence, the previous version of the model (VarKarst) is reduced to include only the soil and the epikarst simulation routines but still using the same

statistical distribution functions that allow for variable soil depths, variable epikarst depths and variable subsurface dynamics (<u>Figure 1</u>Figure 1). This leads to a parametrically efficient

process representation. Comparisons with independently derived field data showed that these

- distribution functions are a good approximation of the natural heterogeneity (Hartmann et al.,
- 127 2014b).

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- 128 In VarKarst and VarKarst-R, heterogeneity of soil depths is represented by a mean soil
- storage capacity  $V_{soil}$  [mm] and a variability constant a [-]. The soil storage capacity  $V_{S,i}$  [mm]
- for every compartment i is defined by:

$$V_{S,i} = V_{\max,S} \cdot \left(\frac{i}{N}\right)^a \tag{1}$$

- where  $V_{max,S}$  [mm] is the maximum soil storage capacity and N is the total number of model
- compartments. For the application of a priori information of mean soil storage capacities
- 134 (subsection 2.3),  $V_{max,S}$  This is has to be derived from the mean soil storage capacity  $V_{soil}$  as by
- 135 (Hartmann et al., 2013b):

$$V_{\text{max},S} = V_{soil} \cdot 2^{\left(\frac{a}{a+1}\right)}.$$
 (2)

- 137 where  $i_{1/2}$  is the compartment at which the soil storage capacities on the left equal the soil
- 138 storage capacities on the right (Figure 1a). Preceding work (Hartmann et al., 2013a) showed
- that the same distribution coefficient a can be used to derive the epikarst storage distribution
- 140  $V_{E,i}$  from the mean epikarst storage capacity  $V_{epi}$  [mm] (via the maximum epikarst storage
- 141  $V_{max,E}$  likewise to  $V_{max,S}$  in Eq (2)):

$$V_{E,i} = V_{\max,E} \cdot \left(\frac{i}{N}\right)^a \tag{3}$$

- 143 At each time step t, the actual evapotranspiration from each soil compartment  $E_{act,i}$  is found
- derived by reducing potential evaporation according the soil moisture deficit:

$$E_{act,i}(t) = E_{pot}(t) \cdot \frac{\min[V_{Soil,i}(t) + P_{eff}(t) + Q_{Surfacei}(t), V_{S,i}]}{V_{S,i}}$$

$$(4)$$

- where  $E_{pot}$  [mm] is the potential evapotranspiration derived by the Priestley-Taylor equation
- 147 (Priestley and Taylor, 1972), Peff [mm] is the sum of liquid precipitation and snow melt,
- 148  $Q_{surface,i}$  [mm] is the surface inflow arriving from compartment i-1 (see Eq. (9)), and  $V_{S,i}$  [mm]

the water stored in the soil at time step *t*. Snow fall and snow melt are derived from daily snow water equivalent available from GLDAS-2 (<u>Table 1 Table 1</u>). During days with snow

cover we set  $E_{act}(t)=0$ . Flow from the soil to the epikarst  $R_{Epi,i}$  [mm] takes place when the soil

152 <u>storages are fully saturated. It</u> is calculated by:

153 
$$R_{Epi,i}(t) = \max \left[ V_{Soil,i}(t) + P_{eff}(t) + Q_{Surfacei}(t) - E_{act,i}(t) - V_{S,i}, 0 \right]$$
 (5)

154 The temporal water storage of the epikarst is drained Ffollowing an assumption of linearity

(Rimmer and Hartmann, 2012), which is controlled by the epikarst storage coefficients  $K_{E,i}$ 

[d] controls the epikarst outflow dynamics:

157 
$$Q_{Epi,i}(t) = \frac{\min[V_{Epi,i}(t) + R_{Epi,i}(t), V_{E,i}]}{K_{E,i}} \cdot \Delta t$$
 (6)

$$K_{E,i} = K_{Epi} \cdot \left(\frac{N - i + 1}{N}\right)^{a} \tag{7}$$

where  $V_{E,i}$  [mm] is the water stored in compartment i of the epikarst at time step t. Again, the

same distribution coefficient a is applied to derive  $K_{E,i}$  from the mean epikarst storage

coefficient  $K_{Epi}$ . The latter is obtained from the mean epikarst storage coefficient  $K_{epi}$  using

162 (Hartmann et al., 2013b):

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$$K_{\max,E} = K_{evi} \cdot (a+1) \tag{8}$$

When infiltration exceeds the soil and epikarst storage capacities, <u>lateral flow concentration</u>

<u>initiates</u>. Surface flow to the next model compartment  $Q_{Surf,i+1}$  [mm] initiates is calculated by:

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$$Q_{Surf,i+1}(t) = \max \left[ V_{Eni,i}(t) + R_{Eni,i}(t) - V_{E,i}, 0 \right]$$
 (9)

167 Depending on the volumes of excess water surface flow can be produced at several model

compartments resulting in significant amounts of laterally concentrated percolation where it

finally infiltrates (Figure 1a). To summarize, the model is completely defined by the four

parameters a,  $K_{epi}$ ,  $V_{soil}$ , and  $V_{epi}$  (Table 2Table 2).

#### 2.2 Data availability

172 Forcing for the VarKarst-R model is derived through the Global Land Data Assimilation

173 System (GLDAS-2) that assimilates satellite- and ground-based observational data products to

obtain optimal fields of land surface states and fluxes (Rodell et al., 2004; Rui and Beaudoing,

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2013). While precipitation, temperature and net radiation are mainly merged from satellite and gauge observations, snow water equivalent is derived using data assimilation as well as the snow water equivalent simulations of the NOAH land surface model v3.3 (Ek, 2003) driven by GLDAS-2 forcing. Europe's and the Mediterranean's carbonate rock areas are derived from a global map (vector data) of carbonate rock (Williams and Ford, 2006). Each cell of the 0.25 decimal degree simulation grid intersecting a carbonate rock region was considered a karst region. The model was calibrated and evaluated with observations of actual evapotranspiration from the FLUXNET (Baldocchi et al., 2001) and with soil water content data from the International Soil Moisture Network ISMN (Dorigo et al., 2011). Only stations within carbonate rock regions and with ≥12 months of available data were used (Figure 2Figure 2). Months with <25 days of observations were discarded. In addition, months with ≥50% mismatch in their energy closure were discard from the FLUXNET data set (similar to Miralles et al., 2011).

#### 2.3 Parameter estimation

A lack of *a priori* information and observations of discharge and groundwater levels that can be used for calibration are the primary reasons why karst models have not been applied on larger scales yet (Hartmann et al., 2014a). The parameter assessment strategy we present in the following is meant to overcome this problem by using a combination of *a priori* information and recharge-related variables. We define typical karst landscapes over Europe and the Mediterranean and apply this combined information to a large initial sample of possible model parameter sets. In a step-wise process we then discard all parameter sets that produce simulations inconsistent with our *a priori* information and our recharge-related observations.

## 2.3.1 Definition of typical karst landscapes

Our definition of typical karst landscapes is based on the well-known the hydrologic landscape concept (Winter, 2001), which describes hydrological landscapes based on their geology, relief and climate. Constraining ourselves to karst regions that mainly develop on carbonate rock we assume that differences among the karst landscapes are due to differences in relief and climate, and the consequent processes of landscape evolution including the weathering of carbonate rock (karstification). The carbonate rock regions in Europe and the Mediterranean are divided into typical landscapes using simple descriptors of relief (range of

altitude RA) and climate (aridity index AI and mean annual number of days with snow cover DS) within each of 0.25 decimal degree grid cells and a standard cluster analysis scheme (k-means method). We test the quality of clustering for 2 to 20 clusters by calculating the sums of squared internal distances to the cluster means. The so-called "elbow method" identifies the point where adding additional clusters only leads to a marginal reduction in the internal distance metric, i.e. the percentage of variance explained by adding more clusters would not increase significantly (Seber, 2009).

## 2.3.2 Model parameters for each karst landscape

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We initially sample 25,000 possible model parameter sets from independent uniform distributions using parameter ranges derived from previous catchment scale applications of the VarKarst-R model over Europe and the Mediterranean (Table 2Table 2). We use a priori information and recharge-related observations to assess parameter performance for each karst landscape. A priori information consists of spatially distributed information about mean soil storage capacities as provided by several preceding mapping and modelling studies (Ek, 2003; FAO/IIASA/ISRIC/ISSCAS/JRCv, 2012; Miralles et al., 2011). Recharge-related variables are (1) soil moisture observations and (2) observations of actual evaporation at various locations over the modelling domain (Table 1 Table 1, Figure 2 Figure 2). Soil moisture is related to recharge because it indicates the start and duration of saturation of the soil during which diffuse and preferential recharge can take place. Actual evaporation is related to recharge because usually no surface runoff occurs in karst regions due to the high infiltration capacities (Jeannin and Grasso, 1997). The difference of monthly precipitation and actual evaporation is therefore a valid proxy for groundwater recharge at a monthly time scale or above. The new parameter confinement strategy is applied to each of the karst landscapes in 3 steps:

1. Bias rule: retain only the parameter sets that produce a bias between observed and simulated actual evaporation lower than 75% at all FLUXNET locations within the chosen karst landscape:

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$$\min_{i} (bias_{i}) = \min_{i} \left( \frac{\mu_{sim,i} - \mu_{obs,i}}{\mu_{obs,i}} \right)^{!} < 75\%$$
 (10)

Where  $m_{sim,i}$  and  $m_{obs,i}$  are the sum of simulated and observed actual evapotranspiration at location i, respectively. The value 75% was found by trial-and-error, which reduced

the initial sample to a reasonable number. The bias rule was not applied on the soil moisture since porosities of the soil matrix were not available prohibiting a comparison of simulated and observed soil water contents.

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2. Correlation rule: retain only the parameter sets that produce a positive coefficient of (Pearson) correlation between observations and simulations of both actual evaporation and soil moisture, at all locations:

$$\left(\min_{i} \left[ corr(AET_{sim,i}, AET_{obs,i}) \right] \wedge \min_{j} \left[ corr(\theta_{sim,j}, \theta_{obs,j}) \right] \right)^{!} > 0$$
(11)

- 243 where  $AET_{sim,j}$  and  $AET_{obs,j}$ , and  $\theta_{sim,j}$  and  $\theta_{obs,j}$  are the monthly means of simulated 244 and observed actual evapotranspiration, and soil water content at locations i/j, 245 respectively.
  - 3. Application of *a priori* information: retain only parameter sets in which  $V_{soil}$  falls within the feasible ranges that can be derived from *a priori* information about the maximum soil storage capacity in different karst landscapes (Ek, 2003; FAO/IIASA/ISRIC/ISSCAS/JRCv, 2012; Miralles et al., 2011). Less than usual we add the *a priori* information at the last step to evaluate if the *posterior* distributions of  $V_{soil}$  already adapt to the ranges defined in this confinement step. If they do not we would conclude that the recharge related information applied in confinement steps 1 and 2 is biased. If they do, we have indication that the data applied in all 3 steps is complementary.
- Each step reduces the initial parameter sample differently for each of the karst landscapes.
- 256 The *posterior* parameter distributions within the confined samples should be different among
- 257 the karst landscapes if the karst landscapes are properly defined. The rather weak thresholds
- 258 in step 1 and 2 were chosen to take into account the uncertainties resulting from the
- differences in scales of observations (point) and simulations (grid cell), and from the indirect
- 260 observation of recharge (actual evaporation and soil moisture as recharge related variables).

#### 2.4 Recharge simulations over Europe and the Mediterranean

- 262 Recharge is simulated over the carbonate regions of Europe and the Mediterranean from
- 263 2002/03 to 2011/12 using the confined parameter samples for each of the identified karst
- 264 | landscapes and the available forcings (<u>Table 1</u>). The mean and standard deviation of

simulated recharge for each grid cell and time step is calculated by uniform discrete sampling of a representative subset of 250 parameter sets from each of the confined parameters sets which we regarded to be large enough to provide a reliable measure of spread.

#### 2.5 Model evaluation

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268 269 To assess the realism of simulated groundwater recharge we compare simulated with 270 observed mean annual recharge volumes derived independently from karst studies over 271 Europe and the Mediterranean (Table 3 Table 3). In addition, we compare our results to the 272 simulated mean annual recharge volumes of two well-established global simulation models: 273 PCR-GLOBWB (Wada et al., 2010, 2014) and WaterGAP (Döll and Fiedler, 2008a; Döll et 274 al., 2003). 275 We furthermore apply a global sensitivity analysis strategy, called Regional Sensitivity 276 Analysis (Spear and Hornberger, 1980), to evaluate the importance of the 4 model parameters 277 at different simulation time scales ranging from 1 month up to 10 years. This analysis shows 278 (1) which simulated process and characteristics are dominant at a given time scale and (2) 279 which parameters will need more careful calibration when the model will be used in future 280 studies. We use the same sample of 25,000 parameter sets that was created for the parameter 281 estimation strategy (subsection 2.3.2) and assess the sensitivity of 4 model outputs 282 representative of different time scales: coefficient of variation (CV) of simulated monthly 283 recharge volumes (monthly), CV of simulated 3-monthly recharge volumes (seasonal), CV of 284 annual recharge volumes (annual), and total recharge over the entire 10-year simulation 285 period (decadal). We do not consider temporal resolution less than a month given the 286 assumption that the difference of precipitation and actual evapotranspiration can be a proxy 287 for groundwater recharge, and due to uncertainties related to differences in simulation (grid 288 cell) and observation (point). 289 For each of the identified karst landscapes we choose the 10 locations that are closest to their 290 cluster means (Euclidean distances to relief and climate descriptors; subsection 2.3.1) as 291 representative locations. In the regional sensitivity analysis approach, we split the parameter 292 sets into two groups, those that produce simulations above the simulated median of one of the 293 4 model outputs and those that produce simulations below. We then calculate the maximum

distance D(x) between marginal cumulative distribution functions (CDFs) produced by these

two distributions for each of the parameters – a large distance D(x) suggests that the parameter is important for simulating this particular output (Figure 3Figure 3).

#### **3 Results**

#### 3.1 Parameter assessment

## 3.1.1 Definition of typical karst landscapes

Cluster analysis resulted in four clusters, which are generally spatially contiguous (Figure 4Figure 4Figure 4) and have quantitatively distinct cluster means (Table 4Table 4). We can attribute particular characteristics to each cluster using the mean values of the clustering descriptors (Table 4Table 4): (1) Humid hills and plains (HUM) are characterised by an aridity index <1, a significant number of days with snow cover and low elevation differences. (2) High range mountains (MTN) have an aridity index of ~1, they also have a significant number of days with snow cover and they show very large topographic elevation differences. (3) Mediterranean medium range mountains (MED) show a high aridity index, only few days with snow cover and high elevation differences. (4) Desert hills and plains (DES) are described by similar altitude ranges as the humid hills and plains but they have a high aridity indices and almost no days with snow cover. The karst landscapes order from North (HUM) to South (DES) based on increasing temperatures and decreasing precipitation amounts. While HUM and DES appear to be separated clearly, MTN and MED mix in some regions, for instance Greece and Turkey where mountainous regions are in close proximity to the coast.

#### 3.1.2 Model parameter estimates for each karst landscape

The three steps of the new parameter confinement strategy resulted in a significant reduction of the initial sample of 25,000 parameter sets (Figure 5Figure 5). Each step has a different impact on the reduction among the identified landscapes. For the humid karst landscapes, the correlation rule appears to have the strongest impact while for the mountain and Mediterranean landscapes the bias rule results in the strongest reduction. For the desert landscape only step 3, i.e. application of *a priori* information, reduces the initial sample because no data was available to apply steps 1 and 2. Considering the parameter ranges for each landscape after the application of the confinement strategy (Table 5Table 5), we only

achieved a confinement of the distribution parameter a, the soil storage capacity  $V_{soil}$ , and slight confinement of the epikarst storage coefficient  $K_{epi}$ .

The impact of the three confinement steps becomes more obvious when considering their posterior distributions (Figure 6Figure 6). The distributions of parameters a,  $K_{epi}$  and  $V_{soil}$  evolve significantly away from their initial uniform distributions along the confinement steps. In general, changes of the posterior distributions of each landscape's parameter samples are in accordance with the reductions of their number (Figure 5Figure 5), though changes are pronounced differently among the parameters. While a and  $V_{soil}$  change strongly for HUM, MTN and MED,  $V_{epi}$  maintains a uniform distribution across all steps.  $K_{epi}$  also exhibits strong changes for HUM but they are less pronounced for MTN and MED. The posterior distributions of the DES landscape do not change except for step 3 due to the lack of information to apply confinement steps 1 and 2. Step 3 results in a tailoring of the distribution of  $V_{soil}$  for all landscapes. For HUM, MTN and MED it can be seen that confinement steps 1 and 2 already pushed the parameter distributions towards their final shape, meaning that the changes in parameter distributions induced by the comparison with observations are consistent with the a priori information about the physical characteristics of the karst.

## 3.2 Recharge simulations over Europe and the Mediterranean

The parameter confinement strategy allows us to apply VarKarst-R over all of Europe and the Mediterranean, and to obtain recharge simulations for the hydrological years 2002/03-2011/12. Thanks to the 250 parameter sets that we samples from the *posterior* parameter distributions we can include an estimate of uncertainty for each grid cell (Figure 7Figure 7). Mean annual recharge ranges from almost 0 to >1000 mm/a with the highest volumes found in Northern UK, the Alps and former Yugoslavia. The lowest values are found in the desert regions of Northern Africa. The vast majority of recharge rates ranges from 20%-50% of precipitation. Considering the simulations individually for each karst landscape reveals that the mountain landscapes produce the largest recharge volumes followed by the humid and Mediterranean landscapes (Figure 8Figure 8a). The desert landscapes produce the lowest recharge volumes. However, the recharge rates reveal that on average the Mediterranean landscapes show the largest recharge rates, followed by the highly variable mountains (Figure 8Figure 8c). Humid and deserts landscapes exhibit lower recharge rates. Uncertainties, expressed by the standard deviation of the 250 simulations for each grid cell, are rather low, seldom exceeding 35 mm/a (Figure 8Figure 8b). However, expressed as coefficients of

variation, most of them range from 5%-25% for the humid, mountain and Mediterranean landscapes but for the desert landscape they can reach up to 50% of the mean annual recharge (<u>Figure 8Figure 8</u>d).

#### 3.3 Model evaluation

We compare the simulated recharge volumes of our model with recharge volumes assessed from independent and published karst studies over Europe and the Mediterranean (Figure 9Figure 9a). Even though there is a considerable spread across the simulations their bulk plots well around the 1:1 line achieving an average deviation of only -58 mm/a (Table 6Table 6). Considering the individual karst landscapes there is an over-estimation of recharge for the humid landscapes and an under-estimation for the mountain landscapes. The best results are achieved for the Mediterranean landscapes with only slight under-estimation (Figure 9Figure 9a). When we compare the same observations to the simulated recharge volumes of the PCR-GLOBWB (Figure 9Figure 9b) and WaterGAP models (Figure 9Figure 9c) we find a strong tendency of under-estimation that is strongest for the mountain and Mediterranean landscapes but still significant for the humid landscapes (Table 6Table 6). For the humid landscapes absolute deviations are similar for PCR-GLOBWB and VarKarst-R.

In addition to comparing simulated and observed annual averages, sensitivity analysis on the model output gives us insight in the realism of the model and the importance of individual

In addition to comparing simulated and observed annual averages, sensitivity analysis on the model output gives us insight in the realism of the model and the importance of individual model parameters at different time scales (Figure 10Figure 10). Our results show that parameters a and  $V_{soil}$  have the overall strongest influence on the simulated recharge from a monthly to a 10-year time scale but their influence decreases toward shorter time scales. Simultaneously the epikarst parameter  $K_{epi}$  gains more importance. This behaviour is most pronounced for the Mediterranean and desert landscapes. The same is true for  $V_{epi}$ , but its overall importance remains much lower, which was also found in the parameter confinement strategy (Figure 6Figure 6).

#### 4 Discussion

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#### 4.1 Reliability of parameter estimation

#### 4.1.1 Identification of karst landscapes

The identification of different karst landscapes is a crucial step within our new parameter estimation strategy. The four karst landscapes we identified depend mostly on the choice of climatic and topographic descriptors (Table 4Table 4) and the selected number of clusters. Even though neglecting several factors as depositional environments, fracturing by tectonic processes or regional variations in rain acidity our choice of descriptors is well justified from our understanding of dominant hydrologic process controls as formalized in the hydrologic landscape concept (Winter, 2001) and applied similarly at many other studies (Leibowitz et al., 2014; Sawicz et al., 2011; Wigington et al., 2013). The appropriate choice of clusters for the k-means method is less unambiguous (Ketchen and Shook, 1996). The change in number of clusters when the sum of squared distances to our cluster centres only reduces marginally was not clearly definable (Figure A 1Figure A 1). However, choosing only 3 clusters instead of 4 would have resulted in unrealistic spatial distribution of clusters. The attribution of Northern African regions with Northern Europe to the same cluster occurred because of their similarity of altitude ranges (Table 4Table 4). On the other hand, a selection of 5 clusters would have resulted in a cluster with properties just between the MTN and the MED clusters and, because of a much stronger scattering, weaker spatial distinction between them. With 4 clusters our karst landscapes are similar to the Koeppen Geiger climate regions (Kottek et al., 2006), in particular the Oceanic Climate (HUM), the Hot and Warm summer Mediterranean Climate (MED), and the Hot Desert Climates (DES). We see deviations when comparing the Polar and Alpine Climate regions of Koeppen-Geiger with our High Range Mountain karst landscape though, since our landscapes are also defined by their elevation ranges. The borders of these hydrologic landscapes are also uncertain. Natural systems usually do not have straight borders that fall on a grid as assumed by this analysis. Typical transitions between landscape types are continuous and hence transitions from a parameter set representing one landscape to another parameter set of another cluster should be graded, as well. This will be discussed in the following subsection.

## 4.1.2 Confinement of parameters

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411 How the 3 steps of the parameter confinement strategy reduce the initial sample shows which 412 type of data provides the most relevant information for each of the karst landscapes. While the 413 timing of actual evapotranspiration and soil saturation that is expressed by the correlation rule 414 appears to be most relevant for the humid landscapes, the bias rule, which represents the 415 volumes of monthly evapotranspiration is more relevant for the mountain and Mediterranean 416 landscapes. Swapping the order of the correlation rule and the bias rule would provide the 417 same results for HUM and MTN. But for MED the alternative order increases the importance 418 of timing expressed by the correlation rule indicating the similar importance of both 419 confinement steps. 420 The thresholds we set in confinement step 1 and 2 are not very strict, and the ranges of soil 421 storage capacity we used as a priori information in step 3 are quite large This compensates for 422 the fact that (1) only recharge-related variables are available rather than direct recharge 423 observations, (2) these variables are not available at the simulation scale (0.25° grid) but at a point-scale, and (3) the transition between the landscapes is more continuous than discrete. 424 425 Despite these rather weak constraints, the initial parameter sample of 25,000 reduces to a 426 quite low numbers between 679 (HUM) and 2,731 (MED). All posterior parameters overlap 427 except for the soil storage capacities that are tailored by the a priori information (confinement 428 step 3). Hence, a little number of parameter sets for one landscape is also acceptable for some 429 of the other landscape and therefore taking into account the continuous transition between 430 them. 431 All model parameters, except for  $V_{epi}$ , show different shapes in their cumulative distribution 432 functions across the karst landscapes. The desert landscape parameters only differ from the 433 initial sample for the V<sub>soil</sub> parameter due to the lack of information to apply confinement steps 434 1 and 2. The distribution parameter a is found at the lower values of its feasible range for the 435 humid and mountain landscapes indicating a significant contribution of preferential recharge. 436 Since altitude ranges are rather low for HUM this may be attributed to a significant epikarst 437 development (Perrin et al., 2003; Williams, 1983b). For MTN a mixture of epikarst 438 development and topography driven interflow at the mountain hill slopes and valleys can be 439 expected to control the dynamics of karstic recharge (Scanlon et al., 2002; Tague and Grant, 440 2009). At the Mediterranean landscapes the a parameter adapts to ranges that are rather found 441 at the higher values of its initial range indicating that there is a stronger differentiation 442 between diffuse and concentrated recharge. This may be due to the generally thinner soils 443 (Table 5Table 5) that limit the availability of CO<sub>2</sub> for karst evolution (Ford and Williams, 444 2007). Instead, local surface runoff channels the water to the next enlarged fissure or crack to 445 reach the subsurface as concentrated recharge (Lange et al., 2003). The epikarst storage 446 coefficient  $K_{epi}$  for HUM and MED is at lower values of the initial range indicating realistic 447 mean residence times of days to weeks (Aquilina et al., 2006; Hartmann et al., 2013a). The 448 MTN landscapes show larger  $K_{epi}$  values indicating slower epikarst dynamics most probably 449 due to the reasons mentioned above. The application of a priori information in confinement 450 step 3 automatically tailors the values of  $V_{soil}$  to ranges that we assume to be realistic. The fact 451 that confinement steps 1 and 2 already push the shape of their posteriors towards the a priori 452 ranges corroborates that assumption. 453 The little changes that occur to the initial distributions of the DES parameter sets elaborate the 454 flexibility of our parameter assessment strategy. The posterior distribution evolves only where information is available (for this landscape on  $V_{soit}$ ). This is also evident in the

where information is available (for this landscape on  $V_{soil}$ ). This is also evident in the behaviour of parameter  $V_{epi}$ . The available information is just not precise enough to achieve identification beyond its *a priori* ranges. For parameter *a* in HUM, MTN and MED, a lot of information is derived from the available data and its *posteriors* differ strongly from its initial distribution, while there is less information to determine  $K_{epi}$ . This explicit handling of uncertainties in the parameter identification process allows us to provide recharge simulations over Europe's karst regions with uncertainty estimates that represent confidence for each of the identified karst landscapes.

## 4.2 Simulation of karst recharge over Europe and the Mediterranean

#### 4.2.1 Realism of spatial patterns

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Simulated mean annual recharge amounts for the period 2002/03-2011/12 show a wide range of values, from 0 >1000 mm/a (Figure 7Figure 7). Total water availability (mean annual precipitation) appears to be the main driver for its spatial pattern in many regions, for instance at former Yugoslavia or Northern UK. This is consistent with findings of other studies (Hartmann et al., 2014c; Samuels et al., 2010). When we normalize the recharge rates by the observed precipitation amounts we find that water availability is not the only control on mean annual recharge volumes. A strong relation of evapotranspiration and karst characteristics and processes was shown in many studies and is also found here (Heilman et al., 2014; Jukic and

- Denic-Jukic, 2008). Potential evaporation is generally increasing from North to South and has
- 474 an important impact on recharge rates as well; for instance on the Arabian Peninsula or in the
- 475 Alps.
- 476 Mountain ranges are considered to be the water towers of the world (Viviroli et al., 2007).
- 477 Here the MTN landscapes also show the largest recharge volumes due to the large
- 478 precipitation volumes they receive, though with a considerable spread in our study. HUM and
- 479 MED landscapes behave similarly with significantly less recharge than MTN. Not
- 480 surprisingly there is not much recharge in the desert landscapes at all. But the differences
- among the clusters shift when considering recharge rates. Due to their thin soils, and therefore
- low soil storage for evaporation (<u>Table 5 Table 5</u>), the DES karst landscapes transfer up to
- 483 45% of the little precipitation they receive into recharge. The MED landscapes show similarly
- 484 high recharge rates. Though since their soils are generally thicker than the DES soils the
- 485 typical seasonal and convective rainfall patterns of the Mediterranean climate (Goldreich,
- 486 2003; Lionello, 2012) might have an important impact, too.
- 487 Even though there is still considerable spread in our confined parameter sets, the uncertainty
- 488 in simulated mean annual recharge volumes is quite low. The uncertainties that follow the
- 489 limited information contained in the observations are revealed more clearly when we relate
- 490 the standard deviation of simulated recharge to its mean volumes with the coefficient of
- 491 variation. The uncertainty for the DES landscape is the largest among the clusters because a
- 492 priori information is only available for V<sub>soil</sub>. The uncertainty reduces for the MED and MTN
- 493 landscapes. The low uncertainties for the coefficient of variation of our recharge simulations
- 494 for the HUM landscape indicate that the available data contained significant information for
- 495 confining the model parameter ranges.

# 4.2.2 Relevance of different recharge processes to simulation time scales

- 497 The mean annual water balance of a hydrological system is dominated by the separation of
- 498 precipitation into actual evapotranspiration and discharge (Budyko and Miller, 1974;
- 499 Sivapalan et al., 2011). Actual evapotranspiration is controlled by the soil storage capacity
- $V_{soil}$  and the distribution coefficient a within the VarKarst-R model. Regional sensitivity
- 501 analysis shows that both of them are most sensitive for the 10-year and annual time scale
- 502 (Figure 10Figure 10). Both parameters loose some impact at higher temporal resolutions
- 503 (seasonal or monthly time scale) in favour of the parameters that control the dynamics of the
- 504 epikarst. This behaviour is consistent with evidence from field and other modelling studies

that showed that the epikarst can be considered as a temporary storage and distribution system for karstic recharge (Hartmann et al., 2012; Williams, 1983b) – potentially storing water for several days to weeks (Aquilina et al., 2006; Hartmann et al., 2013a). Parameter  $V_{epi}$  does not show much sensitivity across all landscapes as suggested by the *posterior* distributions of the confinement strategy. First of all, this finding indicates that the data we used for our confinement strategy do not bias the general model behaviour. It also shows that for the epikarst storage and flow dynamics  $K_{epi}$  is much more important when simulating at monthly or seasonal resolution.

Furthermore, the results of the regional sensitivity analysis show which parameters are most important at a given time scale. Depending on the purpose a new study may start with the initial ranges of the model parameters or it might continue with the confined parameter ranges that we found here. The latter would result in slightly different sensitivities (Figure A 2Figure A 2). For both cases, the epikarst parameters will require more attention when applying the VarKarst-R model for simulations at seasonal or monthly time scales. When working at a smaller spatial scale, combined analysis of spring discharge and its hydrochemistry may provide such additional information (Lee and Krothe, 2001; Mudarra and Andreo, 2011). When working at a time scale of >1 year the variability constant a and the soil storage capacity  $V_{soil}$  require most attention if one starts from the initial ranges. The distribution parameter is most important when using the confined ranges. Again, spring discharge analysis may help to understand the degree of karstification (Kiraly, 2003) and the distribution of concentrated and diffuse recharge mechanisms that are controlled by a. In addition, more precise digital elevation models or soil maps may help to better identify a and  $V_{soil}$ . A limitation of the regional sensitivity analysis approach used here is that parameter interactions are only included implicitly, considering parameter interactions with more elaborate methods (Saltelli et al., 2008) may reveal even more characteristics of the VarKarst-R model at different simulation time scales. But this is beyond the scope of this paper.

## 4.3 Impact of karstic subsurface heterogeneity

Even though some deviations occur among the individual karst landscapes, the general simulations of the VarKarst-R model follow well the observations of mean annual recharge rates over Europe and the Mediterranean (Figure 9Figure 9). On the other hand, the widely-used large-scale simulation models PCR-GLOBWB (Wada et al., 2010, 2014) and WaterGAP (Döll and Fiedler, 2008b; Döll et al., 2003) generally under-estimate groundwater recharge

(Table 6Table 6). The reason for this is the representation of karstic subsurface heterogeneity within the VarKarst-R model, i.e. the inclusion of preferential flowpaths and of subsurface heterogeneity. Based on the conceptual understanding of soil and epikarst storage behaviour (Figure 1Figure 1c) it allows (1) for more recharge during wet conditions because surface runoff is not generated, and (2) for more recharge during dry conditions because the thin soil compartments will always allow for some water to percolate downwards before it is consumed by evapotranspiration. During wet conditions, both PCR-GLOBWB and WaterGAP would produce surface runoff instead that is subsequently lost from groundwater recharge. During dry conditions, due to its non-variable soil storage capacity, the PCR-GLOBWB model would not produce any recharge when the soil water is below its minimum storage. Separating surface runoff and groundwater recharge by a constant factor the WaterGAP model would produce recharge during dry conditions, but a constant fraction of effective precipitation will always become fast surface/subsurface runoff resulting in reduced recharge volumes. This does not mean that the representation of recharge processes in models like PCR-GLOBWB or WaterGAP is generally wrong, but can be limited since our analysis shows that the structures of such models need more adaption to the particularities of different hydrologic landscapes. In particular it adds to the need for incorporating sub-grid heterogeneity in our large-scale simulation models (Beven and Cloke, 2012). Karst regions comprise about 35% of Europe's land surface and our results indicate that presently their groundwater recharge is under-estimated, while surface runoff and actual evaporation are over-estimated. Given the expected decrease of precipitation in semi-arid regions, such as the Mediterranean, and an increase of extreme rainfall events at the same time in the near future (2016-2035, Kirtman et al., 2013) current large-scale simulation models will over-estimate both the vulnerability of groundwater recharge and the flood hazard in karst regions in Europe and the Mediterranean. The same is true for the long-term future (end of 21st century, Collins et al., 2013). Of course, an over-estimation of vulnerability and hazard might be the "lesser evil" compared to an overestimation. But at times of limited financial resources excessive investments in ensuring the security of drinking water supply and flood risk management for potential future changes may

unnecessarily aggravate the socio-economic impacts of climate change.

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#### 5 Conclusions

In this study we have presented the first attempt to model groundwater recharge over all karst regions in Europe and the Mediterranean. The model application was made possible by a novel parameter confinement strategy that utilized a combination of *a priori* information and recharge related observations on 4 typical karst landscapes that were identified through cluster analysis. Handling the remaining uncertainty explicitly as *posterior* parameter distributions resulting from the confinement strategy we were finally able to produce recharge simulations and an estimate of their uncertainty. We found an adequate agreement with our new model when comparing our results with independent observations of recharge at study sites over Europe and the Mediterranean. We further show that current large-scale modelling approaches tend to significantly under-estimate recharge volumes.

Overall, our analysis showed that the subsurface heterogeneity of karst regions and the presence of preferential flowpaths enhances recharge. It results in high infiltration capacities prohibiting surface runoff and reducing actual evapotranspiration during wet conditions. On the other hand it allows for recharge during dry conditions because some water can always percolate downwards passing the thin fraction of the distributed soil depths. This particular behaviour suggests that karstic regions might be more resilient to climate change in terms of both flooding and droughts. Drinking water and flood risk management is liable to be based on erroneous information at least at the 35% of Europe's land surface since this is not considered in current large-scale modelling approaches.

However, using recharge directly as a proxy for "available" groundwater resources may not be good in all cases, neither in karst regions nor in other types of aquifers (Bredehoeft, 2002). To precisely estimate the sustainably usable fraction of groundwater the aquifer outflow should be known rather than just the inflow. Further pumpingstrategies should consider the geometry and transmissivity of the aquifer. Hence, recharge estimation can be considered only as a first proxy of available groundwater and future studies should focus on the large-scale simulation of karst groundwater flow and storage to further improve water resources

predictions in karst regions.

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Formatiert: Englisch (Großbritannien)

# **Tables**

# Table 1: Data availability, data properties and sources

Variable	Spatial resolution	Time period	Frequency	Source	Reference
Precipitation	0.25°	2002-2012	daily	GLDAS-2	(Padallatal 2004; Pui and
Temperature	0.25°	2002-2012	daily	GLDAS-2	(Rodell et al., 2004; Rui and
Net radiation	0.25°	2002-2012	daily	GLDAS-2	Beaudoing, 2013)
Snow water equivalent	0.25°	2002-2012	daily	NOAHv3.3 /GLDAS-2	(Ek, 2003; Rodell et al., 2004)
Carbonate rock areas	vector data	-	-		(Williams and Ford, 2006)
Elevation	3"	-	-	SRMT V2.1	(USGS, 2006)
Rock permeability	vector data	-	-		(Gleeson et al., 2014a)
Actual evaporation	individual locations	individual periods	daily	FLUXNET	(Baldocchi et al., 2001)
Soil moisture	Individual locations	individual periods	daily	ISMN	(Dorigo et al., 2011)

Table 2: Parameter description and initial ranges for Monte Carlo sampling based on previous field studies and large-scale model applications

Parameter	Unit	Description	Lower Limit*	Upper limit*	References
а	[-]	Variability constant	0	6	(Hartmann et al., 2013b, 2014c, 2015)
$V_{soil}$	[mm]	Mean soil storage	0	1250	(Miralles et al., 2011;
		capacity			FAO/IIASA/ISRIC/ISSCAS/JRCv, 2012; Ek, 2003)
$V_{epi}$	[mm]	Mean epikarst storage capacity	200	700	(Perrin et al., 2003; Williams, 2008)
$K_{epi}$	[d]	Mean epikarst storage coefficient	0	50	(Gleeson et al., 2014b; Hartmann et al., 2013b)

Table 3: Independent observations of mean annual recharge from field and modelling studies over Europe and the Mediterranean

and the Mediterranean					
Location	Latitude	Longitude	Mean annual recharge	Method	Author
(country, province)	[dec. degr.]	[dec. degr.]	[mm]	-	
Austria (Siebenquellen spring, Schneeaple)	47.69	15.6	694	observed water balance	(Maloszewski et al., 2002)
Croatia (Jadro spring, Dugopolje)	43.58	16.6	795	simulated water balance	(Jukic and Denic-Jukic, 2008)
Croatia (St. Ivan, Mirna)	45.22	13.6	386	observed water balance	(Bonacci, 2001)
France (Bonnieure, La Rouchefoucald-Touvre )	45.8	0.44	250	simulated water balance	(Le Moine et al., 2007)
France (Durzon spring, La Cavalerie)	44.01	3.16	378	observed water balance	(Tritz et al., 2011)
France (Fontaine de Vaucluse)	43.92	5.13	568	observed water balance	(Fleury et al., 2007)
France (St Hippolyte-du-Fort, Vidourle)	43.93	3.85	287	observed water balance	(Vaute et al., 1997)
Germany (Bohming spring, Rieshofen)	48.93	11.3	130	observed water balance	(Einsiedl, 2005)
Germany (Gallusquelle spring, Swabian Albs)	48.21	9.15	351	observed water balance	(Doummar et al., 2012)
Germany (Hohenfells)	49.2	11.8	200	observed water balance	(Quinn et al., 2006)
Greece (Arvi, Crete)*	35.13	24.55	241	observed water balance	(Koutroulis et al., 2013)
Greece (Aitoloakarnania)	38.60	21.15	484	empiric estimation method	(Zagana et al., 2011)
Italy (Cerella spring, Latina)	41.88	12.9	416	empiric estimation method	(Allocca et al., 2014)
Italy (Forcella spring, Sapri)	41.05	14.55	559	empiric estimation method	(Allocca et al., 2014)
Italy (Gran Sasso, Teramo)	42.27	13.34	700	observed water balance	(Barbieri et al., 2005)
Italy (Sanità)	40.78	15.13	974		(Vita et al., 2012)
Italy (Taburno spring)	39.9	15.81	693	empiric estimation method	(Allocca et al., 2014)
Lebanon (Anjar-Chamsine)	33.73	35.93		observed water balance	(Bakalowicz et al., 2008)
Lebanon (Zarka)	34.08	36.30		observed water balance	(Bakalowicz et al., 2008)
Lebanon (Afka)	34.05 ~32.00	35.95 ~35.30	842 144	observed water balance simulated water balance	(Bakalowicz et al., 2008)
Palestine (Mountain Aquifer) Portugal (Algarve, minimum	~37.10	~-7.90	130	not mentioned	(Hughes et al., 2008) (de Vries and Simmers, 2002)
value) Portugal (Algarve, maximum value)	~37.10	~-7.90	300	not mentioned	(de Vries and Simmers, 2002)
Saudi Arabia (Eastern Arabian peninsula)	~26.50	~46.50	44	natural tracers	(Hoetzl, 1995)
Spain (Cazorla, Sierra de Cazorla )	37.9	-3.03	244	empiric estimation method	(Andreo et al., 2008)
Spain (La Villa spring, El Torcel)	36.93	-4.52	463	observed water balance	(Padilla et al., 1994)
Spain (Sierra de las Cabras, Arcos de la frontera)	36.65	-5.72	318	empiric estimation method	(Andreo et al., 2008)
Switzerland (Rappenfluh Spring)	47.87	7.67	650	simulated water balance	(Butscher and Huggenberger, 2008)
Turkey (Aydincik, Mersin)	36.97	33.22	552	observed water balance	(Hatipoglu-Bagci and Sazan, 2014)
Turkey (Harmankoy, Beyyayla)	40.15	30.65	32	observed water balance	(Aydin et al., 2013)
UK (Marlborough and Berkshire Downs and South-West Chilterns,	51.53	-1.15	146	simulated water balance	(Jackson et al., 2010)

minimum value)			
UK (Marlborough and Berkshire			
Downs and South-West Chilterns,	51.53	-1.15	365 simulated water balance (Jackson et al., 2010)
maximum value)			
UK (Dorset)	50.75	-2.45	700 observed water balance (Foster, 1998)
UK (Norfolk)	52.60	0.88	260 observed water balance (Foster, 1998)
UK (Greta spring, Durham)	54.52	-1.87	690 observed water balance (Arnell, 2003)
UK( R. Teme, Tenbury wells)	52.3	-2.58	355 observed water balance (Arnell, 2003)
UK(Lambourn)	51.5	-1.53	234 observed water balance (Arnell, 2003)
UK (Hampshire)	51.1	-1.26	348 observed water balance (Wellings, 1984)

# Table 4: Cluster means of the 4 identified karst landscapes (AI: aridity index, DS mean annual number of days with snow cover, RA: range of altitudes)

descriptor	unit	number of cluster/karst landscape						
descriptor	unit	1.HUM	2.MTN	3.MED	4.DES			
Al	[-]	0.80	0.98	3.18	20.00			
DS	[a-1]	85	76	16	1			
RA	[m]	228	1785	691	232			

Table 5: Minima and maxima of the confined parameter samples for each of the identified landscapes

Parameter	Unit	HUM		М	MTN		MED		DES	
Parameter		min	max	min	max		min	max	min	max
а	[-]	1.1	3.3	0.3	2.9		0.8	6.0	0.1	6.0
V <sub>soil</sub> *	[mm]	900.1	1248.9	500.4	899.9		51.7	498.4	0.2	49.1
		(900)	(1250)	(500)	(900)		(50)	(500)	(0)	(500)
$V_{epi}$	[mm]	204.3	694.8	201.6	699.4		200.1	696.7	202.3	695.7
$K_{epi}$	[d]	0.0	35.8	7.3	49.9		0.0	48.4	10.4	49.9

\* in brackets: a priori infromation used for step 3 of the parameter confinement strategy

 $\begin{tabular}{ll} Table 6: Mean deviations of the VarKarst-R, the PCR-GLOBWB model and the WaterGAP model from all observations and the individual regions \\ \end{tabular}$ 

	rogion	mean deviation [mm/a]							
	region	VarKarst-R	PCR-GLOBWB	WaterGAP					
	all	-58.3	-230.4	-264.2					
	HUM	65.5	-90.2	-151.6					
	MTN	-202.8	-427.5	-446.4					
MED		-4.3	-217.3	-211.4					

# **Figures**

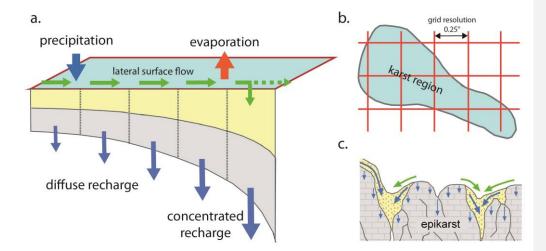


Figure 1: (a) schematic description of the model for one grid cell including the soil (yellow) and epikarst storages (grey) and the simulated fluxes, (b) its gridded discretisation over karst regions and (c) the subsurface heterogeneity that its structure represents for each grid cell.

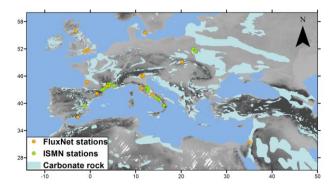


Figure 2: Carbonate rock areas over Europe and the Mediterranean, and location of the selected FLUXNET and ISMN stations

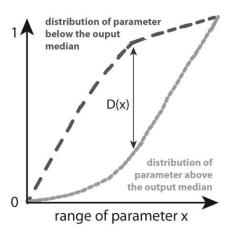


Figure 3: Schematic elaboration of the regional sensitivity analysis procedure

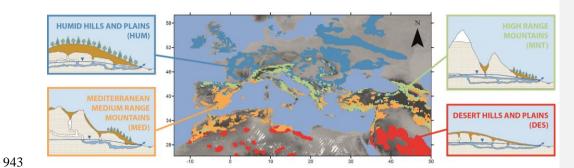


Figure 4: Map with clusters and typical karst landscapes that were attributed to them

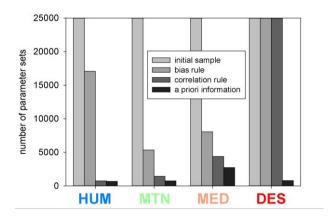


Figure 5: Evolution of the initial sample of 25,000 parameter sets (each including the 4 model parameters sampled from within their initial ranges) along the different confinement steps for the 4 karst landscapes

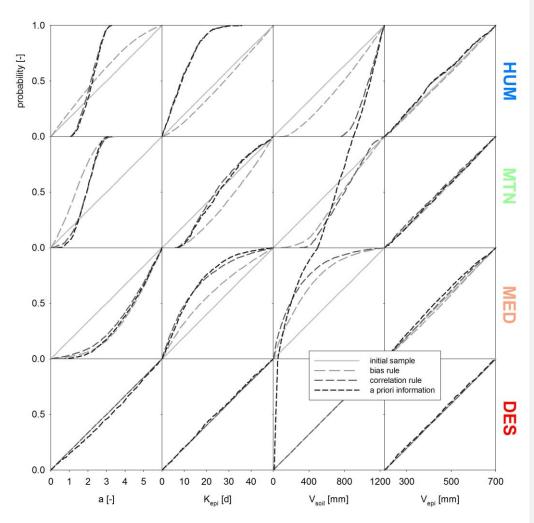


Figure 6: Evolution of *posterior* probabilities of the 4 model parameters for the 4 karst landscapes along the steps of the parameter confinement strategy.

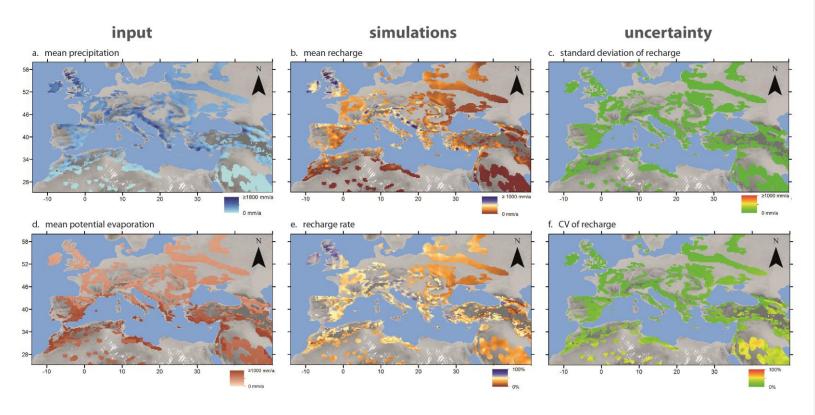


Figure 7: (a) Observed precipitation and (d) potential evaporation versus the simulated (b) mean annual recharge and (e) mean annual recharge rates derived from the mean of all 250 parameter sets, and (c) the standard deviation and (f) coefficients of variation of the simulations due to the variability among the 250 parameter sets.

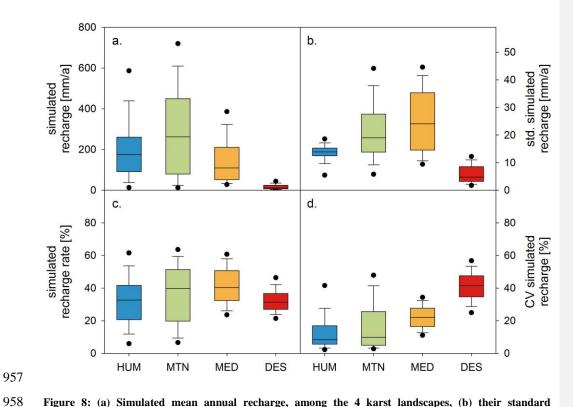


Figure 8: (a) Simulated mean annual recharge, among the 4 karst landscapes, (b) their standard deviations, (c) recharge rates, and (d) coefficients of variation obtained by the final sample of parameters.

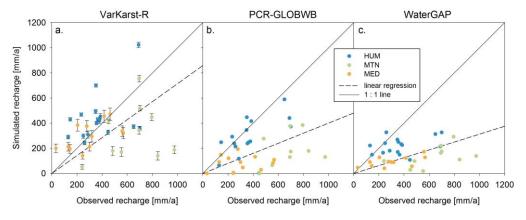


Figure 9: Observations of mean annual recharge from independent studies (Table 3) versus the simulated mean annual recharge by the VarKarst-R and the PCR-GLOBWB model (no data for the DES region available)

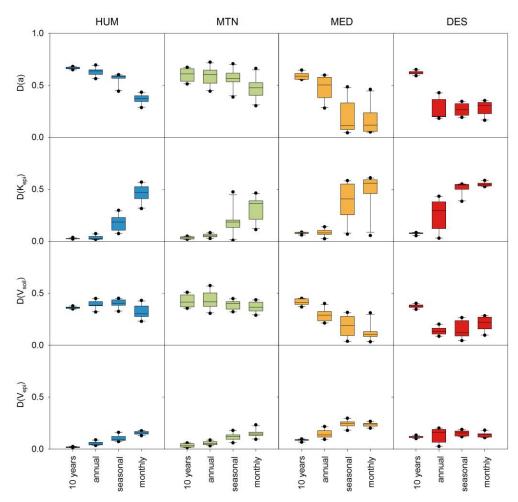
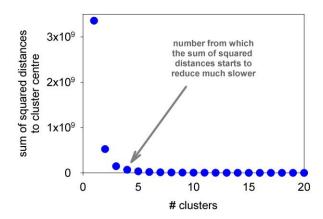


Figure 10: Sensitivity of simulated recharge to the model parameters at different time scales and in the different karst landscapes. Sensitivity is measured by the maximum distance (D) between the distribution of parameter sets that produce 'low' recharge (i.e. below the median) and the distribution producing 'high' recharge (above the median). Parameter sets are initially sampled from the ranges in Table 2.

# **6 Appendix**

# 6.1 Results of the cluster analysis



975 Figure A 1: Elbow plot of sum of squared distances to cluster centres for k-means method

# 6.2 Results of the regional sensitivity using initial ranges

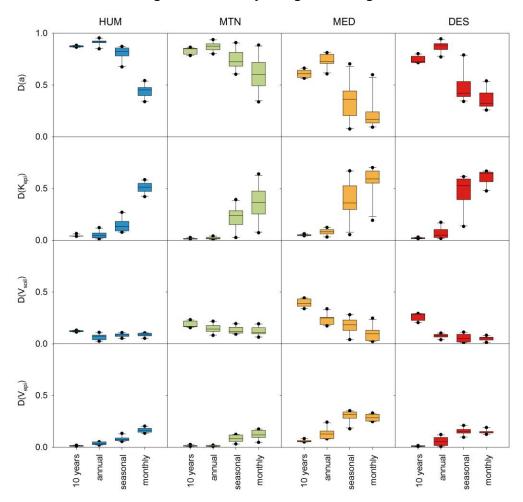


Figure A 2: Sensitivity of simulated recharge to the model parameters at different time scales and in the different karst landscapes, as in Figure 10 but sampling parameters from the confined parameter ranges of <u>Table 5</u>Table 5