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Title: An observation-constrained multi-physics WRF ensemble for simulating European mega-heatwaves

Authors: A.I. Stegehuis, R. Vautard, P. Ciais, A.J. Teuling, D.G. Miralles, and M. Wild

Recommendation: [Minor Revision]

GENERAL COMMENTS:

We would like to thank the reviewer for all the constructive comments for improving the manuscript.

The revised manuscript is substantially improved compared to the original version both in clarity as in readability. Thanks to the amendment in the title (WRF instead of RCM) readers know beforehand which family of model formulations is the focus of the paper.

I still find it regrettable that variations in land-surface parameterization are not included in the investigation. Yet, though it came as a surprise when reading the author's reply, I can accept their explanation that apparently none of the alternative land-surface schemes within WRF is capable of providing realistic results. Which makes me wonder, however, why the authors show so much confidence in announcing a future study dedicated to the use of multiple land-surface schemes.

In the last version of WRF a new land surface parameterization is included (Community Land Model Version 4 - CLM4), which would make it possible to perform such a future study.

There remain a couple of, mostly textual, issues to be resolved.

MAJOR POINTS:

1.) Abstract, 1st sentence. Actually, interchanging "often not" by "not often" does not take away my concern. In this sentence the authors imply a cause-consequence relation which isn't there. Models are performing poorly in heat wave conditions, not because they have not been evaluated or calibrated with observations, but because the physical processes and their interactions controlling this type of atmospheric conditions are not adequately represented in the models. Evaluation in itself is an essential step, but will not prevent models from performing poorly, while calibration of climate models to certain conditions in the past might

probably not be a preferable way to go in climate research.

I recommend to begin the abstract with the perception – which can only come from evaluation – that many climate type models have difficulties in properly reproducing heat wave conditions.

We thank the reviewer for the explanation, we agree that the lack of evaluation alone does not cause poor performance. We changed the sentence now into : 'Many climate models have difficulties in properly reproducing climate extremes, such as heat wave conditions'.

2.) In my opinion the abstract should explicitly mention that only one land-surface scheme has been considered in this study. The ideal place for doing that is the first part of the second sentence which I recommend to rephrase as “Here we use ...(WRF) regional climate model for a large number of configurations of different atmospheric physics schemes in combination with the NOAH land-surface scheme, with the goal ...”

This has been modified.

3.) The last sentence of the abstract is formulated in too general terms. Consider removing this line altogether because it does not highlight your findings. Usually an abstract focusses on what has been done, not on what has not been done. But if you still feel you have good grounds to retain this line, it should be sharpened and make explicit connection with the findings of this paper.

- First of all, the “varied physics schemes” is somewhat vague English, please use “configurations of different atmospheric physics schemes”. The question is, are these the five configuration found in the paper, or has the research to be carried out all over again.
- Secondly, instead of “varied land surface models” use “alternative land surface models”.
- Finally, the “sensitivity” of what could be included? And what are “land surface processes controlling soil moisture”. Please, clarify.

We agree with the referee that the sentences might not be necessary and removed it from the abstract.

4.) Line 55-57. I recommend to rewrite the 2nd part. “ ...underlying extreme temperatures during heat waves, because *it is difficult to separate model biases due to deficiencies in the model representation from sensitivities to initial conditions.*”

We changed the sentence following the referee's suggestion.

5.) Line 68-69: It is not clear to me what you want to say with this line. Different RCMs respond differently to the same large-scale forcings (re-analysis) because they utilize different “physics packages”. Is that what you mean with “internal variability”? Or are you referring to different “internal degrees of freedom” which allow RCMs with the same physics package to still choose different solutions. Also, it is not clear to me whether “its” is meant to refer to “atmospheric flow” or to “events”. In the latter case it should be “their”.

With 'its' we refer to the 'events, and so we have changed it into 'their'. For the internal variability we meant the internal degrees of freedom, which is now added to the text to make it more clear.

6.) Line 91-96: I still miss the point of the term “democracy-driven”, to me it sounds like a misinterpretation of the approach followed in assembling the 2nd type of ensemble. Please, leave it out.

Instead rephrase line 92-94 “ ... in coordinated experiments (see ...and references therein) *or* combinations of parameterizations...” as “ ... in coordinated experiments (see ...and references therein), *or by arbitrarily configured* combinations of parameterizations ...”. (Mind the interpunction following the “”).) Then continue with “In the latter ensemble, ...”

The term 'democracy driven' is left out of the manuscript, and lines 92-94 are rephrased following the suggestions of the referee.

7.) The sensitivity tests you performed to quantify the response to changes in the initialization of soil moisture nicely illustrate that the feed back from soil moisture on temperature is potentially large, especially during episodes of blocked circulation with flow coming from the continent.

Regarding the experimental set up I wonder if 20% is with respect to the absolute amount (which it seems to be), or with respect to the range between field capacity and wilting point. The former would indeed be rather radical, because the perturbation of 20% would potentially bring soil moisture outside the physically realistic range. An alternative would have been to initialize soil moisture either at field capacity (“wet” run) or wilting point (“dry” run). Please, mention more specifically how soil moisture is modified in the sensitivity runs.

The soil moisture is modified with respect to the absolute amount. This is added to the manuscript in line 347: 'In order to mimic radically different land surface processes, sensitivity tests in which the initial absolute amount of soil moisture was artificially increased and decreased by 20% all along the soil column have been conducted.'

Line 344-345: rephrase “a sensitivity test where initial soil moisture was artificially increased and decreased ... was conducted ...” as “sensitivity tests in which initial soil moisture was artificially increased or decreased ... have been conducted ...”

This has now been rephrased and we added the 'absolute amount' regarding the previous remark of the referee.

Figure 8 is rather fuzzy and requires upgrading.

We used figures with the .PNG format in the text document, but the figures are also provided in .PDF format, which has a better quality. We suppose that the .PDF format will be used in the final manuscript.

Regarding the figure caption of Figure 8 I suggest to rephrase the last two sentences as *“Difference between the perturbed simulations (red indicates 20% reduction of initial soil moisture, blue 20% enhancement) performed with the five highest ranked configurations compared to their corresponding ‘control’ simulations. The darkest lines refer to the simulation conducted with to the best ranked configuration (1), while descending colour shade agrees with descending ranking (1-5).”*

Thank you for the suggestion. Indeed this makes the caption more clear. It has been rephrased.

8.) Concerning the new Figure 1, the two different shades of blue (light blue = cyan, and blue) are impossible to distinguish. It seems to me only one shade of ‘blue’ was used. Please, use ‘cyan’ for NOAH and ‘blue’ for RUC. (Or if that doesn’t provide enough contrast replace ‘cyan’ by e.g. ‘magenta’ or ‘red’)

We only used one shade in the original figure ; NOAH and RUC are distinguished by the type of line (dots versus solid line). In order to make the figure more clear we have now used two colours to separate the two land-surface schemes.

MINOR POINTS:

1.) Entire manuscript: Consider using “configuration” instead of “combination” where appropriate. The former is probably more adequate wording in this context than the latter.

Rephrased where appropriate.

2.) Line 40: “... problematic ...” “ ...impacting ...”

Rephrased.

3.) Line 53: “ ... new soil ...” “ ... new land surface hydrology ...”

Rephrased.

4.) Line 55: “ ... easily ...” - ” ... straightforwardly ...” (Nothing is easy, so not easily is a trivial phrase.)

Rephrased.

5.) Line 97-98: “ ... because of interacting physical processes and their biases.” Physical processes themselves have no biases, it is the way we describe them. Please rephrase like, for instance, “... because of shortcomings in the representation of physical processes and their interactions”.

Rephrased.

6.) Line 133: For the sake of completeness, expand “Boundary conditions come from ERA-Interim including sea surface temperatures ...”

This has been added.

7.) Line 157: “The NOAH scheme seemed more stable in the tests ...”. I suggest to replace “seemed more stable” by “appeared more realistic and robust” providing more proper wording.

Rephrased.

8.) Line 172: “..., and were not considered.” “..., and have therefore not been considered.”

Rephrased.

9.) Line 187: Rephrase “ ... is the primary impacted variable and observations are reliable” as “ ... is the primary impacting variable, while corresponding observations are reliable”.

Rephrased.

10.) Line 176: Remove “validate and” , and only retain “we do not use them to rank ...”

'Validate and' has been removed.

11.) Line 191: Rephrase “The 1 K threshold is arbitrary but is used to avoid ...” as “The 1 K threshold was arbitrarily chosen and is used to avoid ...”.

Rephrased.

12.) Line 203-204: Rephrase “ ... which is shown to be able to impact ...” as “ ... which was shown to potentially impact ...”.

Rephrased.

13.) Line 224: “... during heat waves years, ...” “... during heat wave years, ...”

Replaced.

14.) Line 292: “...to considerable overestimate ...” “...to considerablyoverestimate ...”.

Replaced.

15.) Line 313: Suggest to rephrase “..., we found a large spread between the different physics for the simulations for ...” as “..., the multi-physics ensemble contained a large spread in ...”

Rephrased.

16.) Line 314: “..., three variables ...” “..., the three variables ...”

Rephrased.

17.) Line 320: “ ... probable ...” “ ... possible ...” (probable is too speculative)

Replaced.

18.) Line 320: “ ... largely ...” “... considerably ...” (largely is too strong, substantially is also possible).

Replaced.

19.)

a. Panels in figures 3, 5 and 7 have different size. Please, align.

b. Also for Fig 3a the text along the horizontal axis is not visible.

We think both a and b are due to the use of Word (the .PNG versions of the figures were used) and the conversion to a pdf file. The original figures (in .PDF) have the same format and size.

c. Figure 3d) is mentioned in the caption but not indicated in the Figure

This has been adapted.

d. Labels in Figure 4 are still (d), (e), (f), but should be (a), (b), (c).

This has been adapted (also for figure 2 and 6).

e. Figure 4c does not have labels along the vertical axis.

This has been adapted.

1 **An observation-constrained multi-physics WRF ensemble for simulating European**
2 **mega heat waves**

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16

17 Abstract

18 ~~€Many climate models are not often evaluated or calibrated against observations of past~~
19 ~~climate extremes, resulting in poor performance during for instance~~have difficulties in
20 properly reproducing climate extremes, such as heat wave conditions. Here we use the
21 Weather Research and Forecasting (WRF) regional climate model with a large combination of
22 different atmospheric physics schemes in combination with the NOAA land-surface scheme,
23 with the goal of detecting the most sensitive physics and identifying those that appear most
24 suitable for simulating the heat wave events of 2003 in Western Europe and 2010 in Russia.
25 55 out of 216 simulations combining different atmospheric physical schemes have a
26 temperature bias smaller than 1 degree during the heat wave episodes, the majority of
27 simulations showing a cold bias of on average 2-3°C. Conversely, precipitation is mostly
28 overestimated prior to heat waves, and short wave radiation is slightly overestimated.
29 Convection is found to be the most sensitive atmospheric physical process impacting
30 simulated heat wave temperature, across four different convection schemes in the simulation
31 ensemble. Based on these comparisons, we design a reduced ensemble of five well
32 performing and diverse scheme ~~combinations~~configurations, which may be used in the future
33 to perform heat wave analysis and to investigate the impact of climate change in summer in
34 Europe. ~~Future studies could include the sensitivity to land surface processes controlling soil~~
35 ~~moisture, through the use of varied land surface models together with varied physics schemes.~~

36 1. Introduction

37 An increasing number of simulations and studies project a higher frequency of several types
38 of extreme weather events in the future (e.g. Schär et al., 2004; Meehl et al., 2004; Della-
39 Marta et al., 2007; Beniston et al., 2007; Kuglitsch et al., 2010; Fischer and Schär, 2010;
40 Seneviratne et al., 2012; Orłowsky and Seneviratne, 2012). Since summer heat waves are

41 among the most ~~problematic~~impacting of such phenomena - threatening society and
42 ecosystems - climate models used for future projections must provide accurate simulations of
43 these phenomena, or at least their uncertainties should be documented. Even if climate models
44 have been evaluated using observed weather in past decades, it is unclear whether they will be
45 able to simulate extreme heat waves in future climates that may not have analogues in the
46 historical record. At least, models should be able to reproduce the conditions measured during
47 recent extreme heat wave cases, some of them having been shown to be unprecedented when
48 considering the climate over the past five or six centuries (Chuine et al., 2004; Luterbacher et
49 al., 2010; García-Herrera et al., 2010; Barriopedro et al., 2011; Tingley and Huybers, 2013).

50 Given the importance of forecasting summer heat waves well in advance, many studies have
51 analyzed their predictability, which remains poor in seasonal forecasts. For instance the 2003
52 European heat wave was not simulated realistically (neither timing nor intensity) by the
53 operational European Centre for Medium-Range Weather Forecasts (ECMWF) system, but
54 improvements were clear with the use of a new soiland surface hydrology, convection and
55 radiation schemes (e.g. Weisheimer et al., 2011; Dole et al. 2011; Koster et al. 2010; van den
56 Hurk et al. 2012). However seasonal forecasting experiments do not ~~easily~~straightforwardly
57 allow the assessment of model physical processes underlying extreme temperatures during
58 heat waves because it is difficult to separate model biases due to deficiencies in the model
59 representation from~~model biases are mixed with~~ sensitivity to initial conditions. These may
60 inhibit the effect of the representation of physical processes in reproducing the exact
61 atmospheric circulation when starting simulations at the beginning of the season.

62 From a statistical perspective, extreme temperatures have been found to be reasonably well
63 represented in global simulations of the current climate (IPCC, 2013), as well as in regional
64 simulations (Nikulin et al., 2010). In recent regional modeling evaluation experiments, using
65 an ensemble of state-of-the-art regional models guided by re-analysis at the boundaries of a

66 European domain, summer extreme seasonal temperatures were shown to be simulated with
67 biases in the range of a few degrees (Vautard et al., 2013). Individual mega heat waves (2003
68 in Western Europe, 2010 in Russia) were reproduced by most models. However, it was
69 difficult to infer whether these models could also simulate associated processes leading to the
70 extreme heat waves. The exact same events with similar atmospheric flow and ~~its~~their
71 persistence could not be reproduced due to internal variability (internal degrees of freedom) of
72 the models.

73 A comprehensive assessment of simulations of recent mega heat waves has only been the
74 object of a limited number of such studies. Process-oriented studies of high extreme
75 temperatures over Europe have focused on land-atmosphere feedbacks (e.g. Seneviratne et al.,
76 2006 and 2010; Fischer et al., 2007; Teuling et al., 2009; Stegehuis et al., 2013; Miralles et al.,
77 2014) because, beyond atmospheric synoptic circulation, these feedbacks are known to play
78 an important role in summer heat waves. However, the sensitivity of simulated heat wave
79 conditions to physical processes in models has not yet been explored in a systematic way.
80 This could be important because error compensation among processes that involve land-
81 atmosphere interactions, radiation and clouds may cause high temperatures for the wrong
82 reasons (Lenderink et al., 2007).

83 The goal of the present study is threefold. First we examine the ability of a regional climate
84 model, the Weather Research and Forecast (WRF, Skamarock et al., 2008), to simulate recent
85 European mega heat waves, with a number of different model configurations. Analysis of
86 these experiments then allows understanding which physical parameterizations are prone to
87 reproduce the build-up of extreme temperatures, and thus the need for carefully constraining
88 them in order to simulate these events properly. Finally, using observational constraints of
89 temperature, precipitation and radiation, we select a reduced ensemble of WRF configurations
90 that best simulates European heat waves, with different sets of physical schemes combinations.

91 This constrained multi-physics ensemble aims therefore at spanning a range of possible
92 physical parameterizations in extreme heat wave cases while keeping simulations close to
93 observations.

94 Our multi-physics regional ensemble approach contrasts with the classical multi-model
95 ensembles that are constructed by the availability of model simulations in coordinated
96 experiments (see e.g. Déqué et al., 2007 and references therein), or by arbitrarily configured
97 combinations of parameterizations selected by different groups using the same model system
98 (García-Díez et al., 2014). In the latter ~~“democracy driven”~~ ensemble, the lack of overall
99 design strategy may lead the uncertainty estimation to be biased and the models to be farther
100 from observations. In addition, the real cause of model spread is difficult to understand
101 because of shortcomings in the representation of interacting physical processes and their
102 biasesinteractions. Regional perturbed-physics or multi-physics ensembles could help
103 understand and constrain uncertainties more effectively, but so far they have been seldom
104 explored. García-Díez et al. (2014) showed that even a small multi-physics ensemble
105 confronted to several climate variable observations can help diagnose mean biases of a RCM.
106 Bellprat et al. (2012) showed that a well-constrained perturbed physics ensemble may
107 encompass the observations. Their perturbed physics ensemble was designed by varying the
108 values of a number of free parameters, and selecting only the configurations that were closest
109 to the observations; however, the number of combinations of different physical
110 parameterization schemes was limited to a total of eight different configurations.

111 The WRF model offers several parameterization schemes for most physical processes, and is
112 thus suitable for a multi-physics approach. In fact, a WRF multi-physics approach has been
113 used in several studies (e.g. García-Díez et al., 2011; Evans et al., 2012; Awan et al., 2011;
114 Mooney et al., 2013), also with its predecessor MM5, but not specifically to simulate extreme
115 heat waves.

116 Here we run an ensemble of 216 ~~combinations~~configurations of WRF physical
117 parameterizations, and compare each simulation with a set of observations of relevant
118 variables in order to select a reduced set of 5 configurations ~~combinations~~ that best represent
119 European summer mega heat waves. The evaluation is made over the extreme 2003 and 2010
120 events. The ensemble is also evaluated for a more regular summer (2007) in order to test the
121 model configurations under non-heat wave conditions.

122 2. Methods

123

124 *Simulations and general model setup*

125 We use the WRF version 3.3.1 and simulate the three summers (2003, 2007, 2010) using an
126 ensemble of physics scheme combinations. We first test the time necessary to initialize the
127 soil moisture on a limited number of cases. Soil conditions are initialized using the ERA-
128 Interim (Dee et al., 2011) soil moisture and temperatures; thereafter soil moisture and air
129 temperature are calculated as prognostic variables by WRF. For the August 2003 case, we
130 find that temperatures differ by less than 0.5°C among one another when starting experiments
131 before May 1st. Thus in the current study, each simulation is run from the beginning of May to
132 the end of August for the years 2003, 2007 and 2010. The regional domain considered is the
133 EURO-CORDEX domain (Jacob et al., 2014; Vautard et al., 2013) and the low-resolution
134 setup of 50 km x 50 km (~0.44 degree on a rotated lat-lon grid) is used – note that Vautard et
135 al. (2013) recently concluded that a higher spatial resolution did not provide a substantial
136 improvement in heat wave simulations. We use a vertical resolution with 32 levels for WRF.
137 Boundary conditions come from ERA-Interim including sea surface temperatures, (as well as
138 initial snow cover, and soil moisture and temperature). In order to focus on physical processes
139 in the boundary layer and the soil-atmosphere interface, and to avoid chaotic evolution of
140 large-scale atmospheric circulation, we constrain the model wind fields with ERA-Interim re-

141 analyses above Model Level #15 (about 3000m), similar to previous studies (Vautard et al.,
142 2014), using grid nudging, with a relaxation coefficient of $5 \cdot 10^{-5} \text{ s}^{-1}$, corresponding to a
143 relaxation time about equivalent to the input frequency (every six hours) (Omrani et al., 2013).
144 Temperature and water vapor were not constrained, to let feedbacks fully develop.

145 *Physics schemes*

146 We test 216 combinations of physics schemes. We consider different physics of the planetary
147 boundary layer and surface layer (PBL; 6 schemes), microphysics (MP; 3 schemes), radiation
148 (RA; 3 schemes) and of convection (CU; 4 schemes). For each type of scheme, a few options
149 were selected among the ensemble of possibilities offered in WRF. The selection was made to
150 avoid variants of the same scheme, and to maximize the difference of temperature and
151 precipitation outputs in preliminary experiments. At the time of study and model development
152 stage, different land-surface schemes were available in WRF: 5-layer Thermal Diffusion
153 Scheme (Dudhia, 1996), NOAH (Tewari et al., 2004), Rapid Update Cycle (RUC) (Benjamin
154 et al., 2004) and Pleim-Xiu (Gilliam & Pleim, 2010). We decided however to only use one,
155 the NOAH land surface scheme, in order to focus our study on atmospheric processes while
156 limiting the number of simulations, and because the NOAH scheme is the most widely used in
157 WRF applications. This was also motivated by the poor performance and extreme sensitivity
158 of the RUC land surface scheme for the land latent and sensible heat flux as compared with
159 local observations in 2003. It simulates strong latent heat fluxes in the beginning of the season
160 and an extreme drying at the end, while sensible heat flux is overestimated. The NOAH
161 scheme ~~seemed more stable~~appeared more realistic and robust in the tests that were done for
162 capturing both latent and sensible heat fluxes during the 2003 heat wave at selected flux tower
163 sites in Western Europe (Figure 1). Furthermore the Pleim-Xiu scheme is especially
164 recommended for retrospective air quality simulations, and is developed with a specific
165 surface layer scheme as coupled configuration (Gilliam & Pleim, 2010). The last possible

166 option is the 5-layer thermal diffusion scheme (Dudhia, 1996) which predicts ground and soil
167 temperatures but no soil moisture, and is therefore also not suitable for our study. Table 1
168 describes the physical schemes that were combined to simulate the weather over the three
169 summer seasons.

170 *Observational data*

171 In order to evaluate the ensemble and to rank and select its best performing simulations we
172 use gridded observed daily temperature and precipitation from E-OBS with a 0.25 degree
173 resolution (version 7.0) (Haylock et al., 2008). Bilinear interpolation is used to regrid E-OBS
174 data and the model output to the same grid. Furthermore we use station data of monthly global
175 radiation from the Global Energy Balance Archive (GEBA) network (Wild et al., 2009). For
176 France 2003 the data of 21 stations were available, for 2007 this number was 20. Observations
177 over Russia were too scarce, and ~~were~~have therefore not been considered. Model data are
178 interpolated to these stations using the nearest neighbor method. In addition, in order to check
179 land-atmosphere fluxes and the partitioning of net radiation into sensible and latent heat
180 fluxes, we use the satellite observation-driven estimates of daily latent heat fluxes from
181 GLEAM (Miralles et al., 2011). Since the latter is not a direct measurement we do not use
182 them to ~~validate and~~ rank the model configurations. Furthermore latent- and sensible heat flux
183 measurements are used from three FLUXNET sites from the Carbo-Extreme database
184 (Neustift/Stubai – Austria (Wohlfahrt et al., 2010); Tharandt-Anchor station – Germany
185 (Grünwald & Bernhofer, 2007); and Soroe-LilleBogeskov – Denmark (Pilegaard et al.,
186 2009)), for the evaluation of the land surface schemes.

187 *Evaluation and ranking of model simulations*

188 For ranking, we set up several measures of model skill, based on the differences between
189 observed and simulated spatial averages over two domains: France for 2003 and 2007 (5W–

190 5E & 44N–50N), and one in Russia for 2007 and 2010 (25E–60E & 50N–60N) (Fig. 2). A
191 first scheme selection is made based on the skill to reproduce air temperature dynamics, since
192 this is the primary impacted variable, while corresponding ~~and~~ observations are reliable.
193 Because we are interested in heat waves, we select only those simulations that are within a 1
194 K regional average difference between simulated and observed temperature, for heat wave
195 periods; these periods are defined as August 1st-15th for France (in 2003), and July 1st till
196 August 15th for Russia (in 2010). The 1 K threshold ~~is~~ was arbitrarily chosen ~~but~~ and is used to
197 avoid processing a large number of simulations that have unrealistic temperatures. Only 55 of
198 the 216 simulations meet this criterion and are further considered. Then, the ranking of the
199 retained simulations is done based on: (i) the daily temperature difference between
200 simulations and observations during the heat wave periods (as above for 2003 and 2010), and
201 during the period 1st-31st August for the normal year 2007, (ii) the root mean square error of
202 monthly precipitation and radiation for the months July, June and August. The GEBA data set
203 only contains scarce radiation observations over Russia, and therefore we could not consider
204 this region for ranking models against incoming shortwave radiation. As a final step, an
205 overall ranking is proposed by averaging the ranks obtained from the three variables
206 (temperature, precipitation and radiation). From this final ranking, and in order to select an
207 elite of multi-physics combinations, we selected the top-5 highest-ranked configurations. Note
208 that observational uncertainty is not considered in this study, which ~~is~~ was shown to ~~be able~~
209 ~~to~~ potentially impact model ranking over Spain (Gomez-Navarro et al., 2012).

210 **3. Results**

211 **3.1. Large systematic errors found during heat wave periods**

212 Figure 3 shows the large temperature range spanned by the 216 ensemble members for the
213 spatial average over the heat wave areas. The min-max range between ensemble members is

214 up to 5°C during heat wave periods (Figure 3). Locally at 50 km resolution, the difference
215 between the warmest and the coldest simulation during a heat wave is larger, reaching more
216 than 10°C in 2003 (Figure 3d). In 2007, when summer temperatures were not extreme, the
217 range is about twice as small. Only a few simulations match the observed high temperatures
218 (Figure 3a-c). In Fig. 3a, we select two extreme configurations (blue and red lines), based on
219 daily mean temperature over France during the 2003 heat wave. Interestingly, they are
220 extreme in all regions and years, indicating that each ~~combination-configuration~~ tends to
221 induce a rather large systematic bias. This bias however, is different for the ‘warm’ and the
222 ‘cold’ configuration. It seems not to be due to a misrepresentation of the diurnal cycle, since
223 they remain when analyzing time series of maximum and minimum daily temperatures
224 independently (see supplementary Figures 1a-f). However, minimum temperatures show a
225 less consistent bias than maximum daily temperatures. A systematic temperature
226 underestimation by WRF simulations over Europe has also been found in other multi-physics
227 ensemble studies over Europe (e.g. Awan et al., 2011; García-Díez et al., 2011, 2014).

228 For monthly precipitation we obtain a large range of simulated values, with most
229 configurations overestimating monthly summer rainfall (JJA) during heat waves years, and to
230 a lesser extent during the wetter 2007 season (Fig. 4a-c). This is in line with the findings
231 reported by Warrach-Sagi et al. (2013) and Awan et al. (2011), and with the overestimation of
232 precipitation by many EURO-CORDEX models shown by Kotlarski et al. (2014). The two
233 selected extreme ~~combinations-configurations~~ (based on temperature, as explained above) are
234 reproducing precipitation overall without a major bias. This suggests that the temperature bias
235 in these two extreme simulations is not explicitly caused by a misrepresentation of the
236 atmospheric water supply from precipitation. However soil moisture (the soil moisture over
237 the whole column) does show a strong relation to temperature biases in model simulations.
238 Figure 5a-d shows soil moisture at the end of July versus temperature in August 2003 for each

239 model configuration. Configurations with low soil moisture level are associated with higher
240 temperatures and vice versa, confirming the role of land-atmosphere feedbacks during heat
241 waves, already pointed out by previous studies. This indicates that the evapotranspiration
242 from spring to summer depleting soil moisture can be a critical process during summer for the
243 development of heat waves, and that this process is not simply related to summer
244 precipitation.

245 For solar radiation, the mean differences between our simulations over France 2003 and 2007
246 reaches approximately 100 Wm^{-2} (Fig. 6a,b). Observations for France (black dots) are found
247 below the median value of the simulations so a slight overestimation of the ensemble is
248 obtained. The first (warmest) extreme configuration (red dot) is associated with an
249 overestimated radiation of $10\text{-}50 \text{ Wm}^{-2}$ while the other (coldest, blue dot) extreme
250 configuration exhibits an underestimated radiation by about the same amount. Since the
251 warmest simulation agrees better with temperature observations than the coldest simulation,
252 one may therefore suspect that it contains a cooling mechanism that partly compensates for
253 the overestimated solar radiation.

254 **3.2. Sensitivity of temperatures to physical parameterizations and sources of spread**

255 In order to identify the physics schemes to which the development of heat waves is most
256 sensitive, we examine how resulting temperatures are clustered as a function of the scheme
257 used. We find that the spread between all simulations – both in terms of temperature and soil
258 moisture – is mostly due to the differences in convection scheme (clustering of dots with the
259 same color in Fig. 5a). For instance the Tiedtke scheme (blue dots) systematically leads to
260 higher temperatures and lower soil moisture, while the Kain-Fritsch scheme (green dots) leads
261 to wetter soils and lower temperatures, inhibiting heat waves. Microphysics and radiation
262 schemes are also contributing to the spread of simulated temperature and soil moisture values

263 (Fig. 5b-c), although their effect is less marked than for convection. Heat wave temperatures
264 and soil moisture seem to be least sensitive to the planetary boundary layer and surface layer
265 physics schemes. The sensitivity of the convection scheme in WRF has already been
266 mentioned in previous studies (Jankov et al., 2005; Awan et al., 2011;; Vautard et al., 2013;
267 García-Díez et al., 2014). Note that the soil moisture simulated in early August 2003 is better
268 correlated with preceding radiation than with precipitation (compare Supplementary Figures 2
269 and 3), indicating that the way clouds, and particularly convective clouds, affect radiation
270 prior to the onset of heat waves is a major driver of the spread for the development of heat
271 waves, higher radiation leading to drier soils and higher temperatures during heat waves.

272 **3.3. A constrained reduced ensemble of best simulations**

273 Focusing only on the 55 selected simulations that differ less than 1°C from the observations
274 during the heat waves, we apply the ranking method introduced in Section 2 based on
275 temperature, precipitation and radiation model-observation comparison metrics. The 5 highest
276 ranked simulations are given in Table 2 and are actually the numbers 1-5 in Supplementary
277 Table 1. Figure 7a confirms the ranking by showing that these simulations also perform well
278 in terms of temperature, during the months prior to the heat wave. The same is furthermore
279 found for the years 2007 in France (Supp. Fig. 5) and 2010 in Russia (Supp. Fig. 4), and also
280 for other regions such as the Iberian Peninsula and Scandinavia (Supp. Fig. 6a,d). The
281 selected simulations however performed less well for precipitation over France in 2003 (Fig.
282 7b), but do not show a large overestimation of precipitation either. Precipitation over Russia
283 for the 5 highest-ranked simulations does show good performance (Supp. Fig. 4b), as well as
284 for other European regions (Supp. Fig. 6). The mean radiation of the ensemble of the five best
285 simulations is closer to the GEBA observations than in the case of the original ensemble (Fig.
286 7c).

287 Nonetheless, the better match of the reduced ensemble of the five highest-ranked simulations
288 to the observations of temperature, precipitation and radiation is to a very large degree
289 unsurprising: the selection was based on the fit to observations. However, it is still
290 satisfactory to see that some simulations are capable of matching all three variables.
291 Conversely, we also compare simulations against another key variable that was not used for
292 evaluating and ranking simulations, namely the latent heat flux (Figure 7d). Albeit somehow
293 reduced compared to the full-ensemble spread, the spread of the five best simulations for the
294 latent heat flux remains large over the whole period, on average between 50 and 120 Wm^{-2}
295 (observed values are around 75 Wm^{-2}). However, during the 2003 heat wave over France
296 three of the five best simulations exhibit a close resemblance to the latent heat observations
297 (approximately 5-10 Wm^{-2}) (Fig. 7d). The two simulations that are found to considerably
298 overestimate latent heat flux by approximately 30-40 Wm^{-2} (as compared to GLEAM) are
299 those that use a different convection scheme than the Tiedtke scheme. The overestimation of
300 latent heat fluxes in these schemes is however not generalized for other regions and years
301 (Suppl. Fig. 4c, 5d, 6c,f-h), for which the latent heat flux was fairly well simulated within the
302 range of uncertainty of GLEAM.

303 A cross-comparison for the years 2003 and 2010, that is, using only the 2010 heat wave to
304 select schemes and verify the performance of the selected schemes over 2003 and vice versa,
305 yields some promising results. Table 3 shows the average ranking of the best (5, 10, 15, 20
306 and 25) simulations. When only using one heat wave to select the best configurations, they all
307 lie in the top-ranked half, and even higher in the ranking in the case of the 2010 heat wave
308 over Russia being used to select the best configurations. This suggests that the selection based
309 upon one heat wave in one region should also provide better simulations for other heat waves
310 or heat waves in other areas, i.e. that the bias of a member of the WRF ensemble is not local,
311 but at least regional at the scale of Western Europe.

312 4. Concluding remarks

313 In this study we designed and analyzed a large multi-physics ensemble with the WRF model.
314 It is made of all possible combinations of a set of different atmospheric physics
315 parameterization schemes. They were evaluated for their ability to simulate the European heat
316 waves of 2003 and 2010 using the regional climate model WRF based on temperature,
317 precipitation and shortwave radiation. Even though the simulations were constrained by grid
318 nudging, ~~the multi-physics ensemble contained we found~~ a large spread ~~between the different~~
319 ~~physics for the simulations for in~~ temperature, precipitation and incoming shortwave
320 radiation, ~~the~~ three variables we used to create an overall configuration ranking. Most
321 simulations systematically underestimate temperature and overestimate precipitation during
322 heat waves, a model pattern that was already found in previous studies dealing with much
323 smaller ensembles (e.g. Awan et al., 2011; García-Díez et al., 2011; Warrach-Sagi et al.,
324 2013). The spread among ensemble members is amplified during the two extreme heat waves
325 of study. Since we only considered a single land surface scheme, it is ~~probable~~ possible that
326 the ensemble spread would ~~largely~~ considerably increase when incorporating the uncertainty
327 associated with modeling land surface processes. Nevertheless, considering only atmospheric
328 processes, the magnitude of the spread still reaches 5°C during the peak of the heat waves.

329 We also showed that among atmospheric process parameterizations, the choice of a
330 convection scheme appears to dominate the ensemble spread. We found indications that the
331 large differences between convection schemes seem to occur mostly through radiation, and
332 therefore the way convective clouds affect the surface energy and water budget prior to and
333 during heat waves. Changes in incoming radiation cause changes in evapotranspiration and
334 therefore soil moisture, which may subsequently feed back on air temperature.

335 From this ensemble, we selected a small sub-ensemble with the five best ~~combinations~~

336 configurations of atmospheric physics schemes based on the fit to observations. These
337 ~~combinations~~configurations capture well the temperature dynamics during the mega heat
338 waves of France and Russia, and they perform better than other ~~combinations~~configurations
339 in other regions of Europe. In addition, they are consistent with independent latent heat flux
340 data used for cross-validation. This indicates that the constraints set for the selection reduce
341 the uncertainty across the whole European continent and points towards the creation of an
342 optimized ensemble of WRF configurations specific for heat waves, with reduced error
343 compensations. A sub-ensemble that outperforms a larger ensemble was also found by
344 Herrera et al. (2010). The sub-ensemble based on mean precipitation showed better results for
345 extreme precipitation as well.

346 However a limitation of this study is the use of only one land-surface scheme; the five
347 selected WRF configurations may actually all be affected by systematic errors of the NOAH
348 land surface scheme. The importance of the selected land surface scheme is further confirmed
349 by the larger spread of the “best” ensemble for latent heat (in Wm^{-2}) than for shortwave
350 radiation. In order to mimic radically different land surface processes, a sensitivity tests ~~where~~
351 in which the initial absolute amount of soil moisture was artificially increased and decreased
352 by 20% all along the soil column ~~was~~have been conducted. Results confirm the sensitivity of
353 the temperature simulations to soil moisture, a variable partly controlled by the land surface
354 scheme (Figure 8). The full answer to this question is left for a future study in which different
355 atmospheric schemes and surface schemes will be jointly permuted.

356 Although our ensemble is trained on only summer conditions, our results have several
357 implications for climate modeling. First, the constrained WRF ensemble may be used in
358 future studies of climate change; each of the five members may exhibit a different sensitivity
359 to future climate change conditions, leading to a constrained exploration of the uncertainty.
360 Then it is important to notice that our study pinpoints the need to carefully design or adjust

361 the convection scheme for a proper representation of the summer climate during heat waves.
362 This is particularly important in order to evaluate the impacts of climate change on
363 ecosystems, health, carbon cycle, water and cooling capacity of thermal energy plants, since
364 heat waves in the mid latitudes are expected to be of the most impacting phenomena in a
365 human altered climate. Therefore, impact studies can be designed based on the selected
366 configurations.

367

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375

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620 **Table and figure captions**

621

622 Table 1. Physics schemes used in this study (with references). All possible permutations are
623 made, yielding a total of 216 simulations. The numbers in the table refer to the number the
624 schemes have in the Weather Research and Forecasting (WRF) model.

625

626 Table 2. The five best performing ~~combinations~~configurations of physics in ranked from the
627 first to the fifth best.

628

629 Table 3. Cross-comparison between France 2003 and Russia 2010. The (5, 10, 15, 20 and 25)
630 best simulations, when only using one heat wave to select the best configurations and vice
631 versa, are taken and compared with their ranking for the other heat wave. If there would be no
632 correlation between the two years, the average ranking would lay approximately at half of the
633 total number of simulations for both years that lay within a first selection of 1K (column 8). In
634 bold the rankings that are lower than this number. Because observations of radiation are
635 lacking over Russia, we tested France with and without including radiation in the ranking.

636

637 Figure 1. Time series of daily land heat fluxes in 2003 from May to the end of August on
638 three different FLUXNET sites, with latent heat flux (LH) on the first row, sensible heat flux
639 (SH) on the second row, and evaporative fraction (EF – latent heat flux divided by the sum of
640 latent and sensible heat flux) on the last row (DOY is day of year). The three columns
641 represent three sites, with Neustift/Stubai (Austria – ATneu 47N, 11E) in the first column,
642 Tharandt (Germany – DETha, 51N, 4E) in the second, and Soroe-LilleBogeskov (Denmark –
643 DKsor, 66N, 11E) in the third column. Vegetation types on the three sites are respectively
644 grassland (GRA), evergreen needleleaf forest (ENF), and deciduous broadleaf forest (DBF).

645 In grey all 216 simulations with the NOAH scheme. Observational data is shown in black
646 (FLUXNET). The ~~solid light blue-green~~ line is one configuration with NOAH, while the ~~blue~~
647 ~~dots~~blue line represents the same configuration but with RUC instead of NOAH.

648

649 Figure 2. Domains used in this study: France, Iberian Peninsula, Russia and Scandinavia.

650

651 Figure 3. Time series of daily mean temperature over France in 2003 (a) and 2007 (b) and
652 Russia in 2010 (c). Every simulation is shown in gray and observations of E-OBS in black.
653 The blue and red lines are the coldest and the warmest simulations over France during the
654 heat wave. These lines have the same set of physics in all the figures (3, 4, 5). Figure d shows
655 the simulated temperature min-max range during the heatwave of 2003 (1-15 August). The
656 range is calculated as the difference between the warmest and the coldest simulation during
657 the heat wave period between the 216 members of the ensemble.

658

659 Figure 4. Monthly precipitation over France in 2003 (a) and 2007 (b) and Russia 2010 (c).
660 The boxplots show the extremes, 25th, 50th, and 75th percentiles. The blue and red dots are the
661 coldest and the warmest simulations over France during the heat wave (as in figure 3).

662

663 Figure 5. Scatter plot of soil moisture content at July 31, and temperature in August. Every
664 point is one simulation. Different colors and symbols represent different physics for
665 convection (CU) (a), microphysics (MP) (b), radiation (RA) (c) and planetary boundary layer-
666 surface (PBL-SF) (d).

667

668 Figure 6. Monthly radiation over France in 2003 (a) and 2007 (b); no radiation data being
669 available in Russia for 2010. The boxplots show the extremes, 25th, 50th, and 75th percentiles.

670 The blue and red dots are the coldest and the warmest simulations over France during the heat
671 wave (as in figure 3).

672

673 Figure 7. Daily time series of temperature (a) and latent heat flux (c); monthly time series of
674 precipitation (b) and incoming shortwave radiation (d). Observations are shown in black, and
675 the five best performing runs in colors. Gray lines indicate other simulations. All figures are a
676 spatial average over France during summer 2003.

677

678 Figure 8. Sensitivity test of the initialization of soil moisture. Difference between the
679 perturbed 'control' simulations (red indicates 20% reduction of initial soil moisture, blue 20%
680 enhancement) performed with and the perturbed ones (minus (red) and plus (blue) 20% initial
681 soil moisture) of the five highest ranked configurations compared to their corresponding
682 'control' simulations. The darkest lines refer to are the best simulations conducted with the
683 best ranked configuration (1), and while -descending colour shade agrees with descending
684 ranking (1-5).

Microphysics (MP)	PBL+Surface (PBL-SF)	Radiation (RA)	Convection (CU)	Soil
6) WRF-SM6 (Hong et al. 2006a)	1-1) Yonsei Uni- MM5 (Hong et al. 2006b; Beljaars, 1994)	3) CAM (Collins et al. 2004)	1) Kain-Fritsch (Kain 2004)	2) NOAH (Tewari et al. 2004)
8) New Thompson (Thompson et al. 2008)	2-2) MYJ-ETA (Janjic et al. 1994; Janjic, 2002)	4) RRTMG (Iacono et al. 2008)	3) Grell-Devenyi (Grell & Devenyi, 2012)	
10) Morrison DM (Morrison et al. 2009)	4-4) QNSE-QNSE (Sukoriansky et al. 2005)	5) Goddard (Chou & Suarez, 1999)	6) Tiedtke (Tiedtke 1989; Zhang et al. 2011)	
	5-2) MYNN-ETA (Nakanishi & Niino, 2006, 2009; Janjic, 2002)		14) New SAS (Han & Pan, 2011)	
	5-5) MYNN- MYNN (Nakanishi & Niino, 2006, 2009)			

	7-1) ACM2-MM5 (Pleim 2007; Beljaars, 1994)			
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Microphysics	PBL-Surface	Radiation	Convection	Soil	Rank
Morrison DM	Yonsei Uni- MM5	RRTMG	Tiedtke	NOAH	1
WRF-SM6	MYNN- MYNN	RRTMG	Grell-Devenyi	NOAH	2
WRF-SM6	ACM2-MM5	Goddard	Tiedtke	NOAH	3
New Thompson	MYNN- MYNN	RRTMG	New SAS	NOAH	4
New Thompson	ACM2-MM5	RRTMG	Tiedtke	NOAH	5

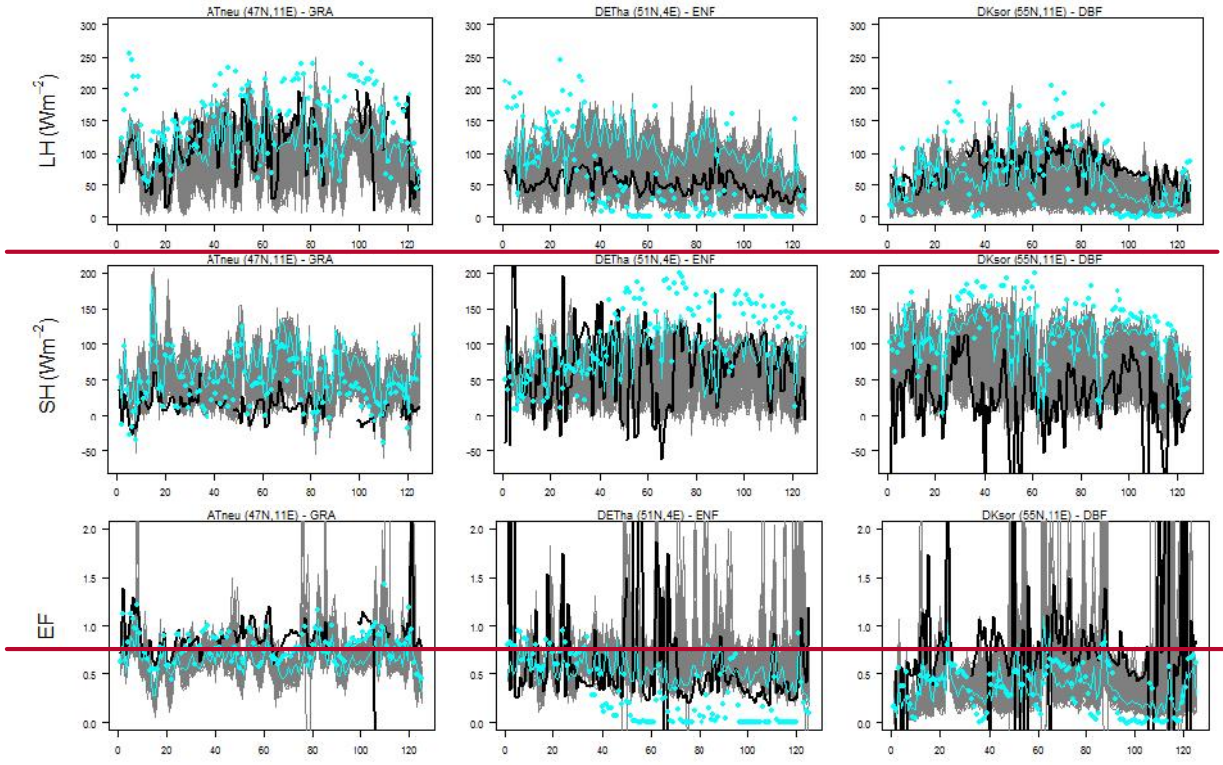
690 **Table 3**

691

		Average ranking of 5, 10, 15, 20 and 25 best simulations					
		5	10	15	20	25	Number of simulations within 1°C
With radiation	Average rank Fr-Ru	22.6	21.8	25.3	23.1	27.5	104
With radiation	Average rank Ru-Fr	15.75	15.2	14.7	13	39.3	58
Without radiation	Average rank Fr-Ru	53	37	28.4	27.6	25.5	104
Without radiation	Average rank Ru-Fr	20.25	16.8	18.1	17	19.9	58

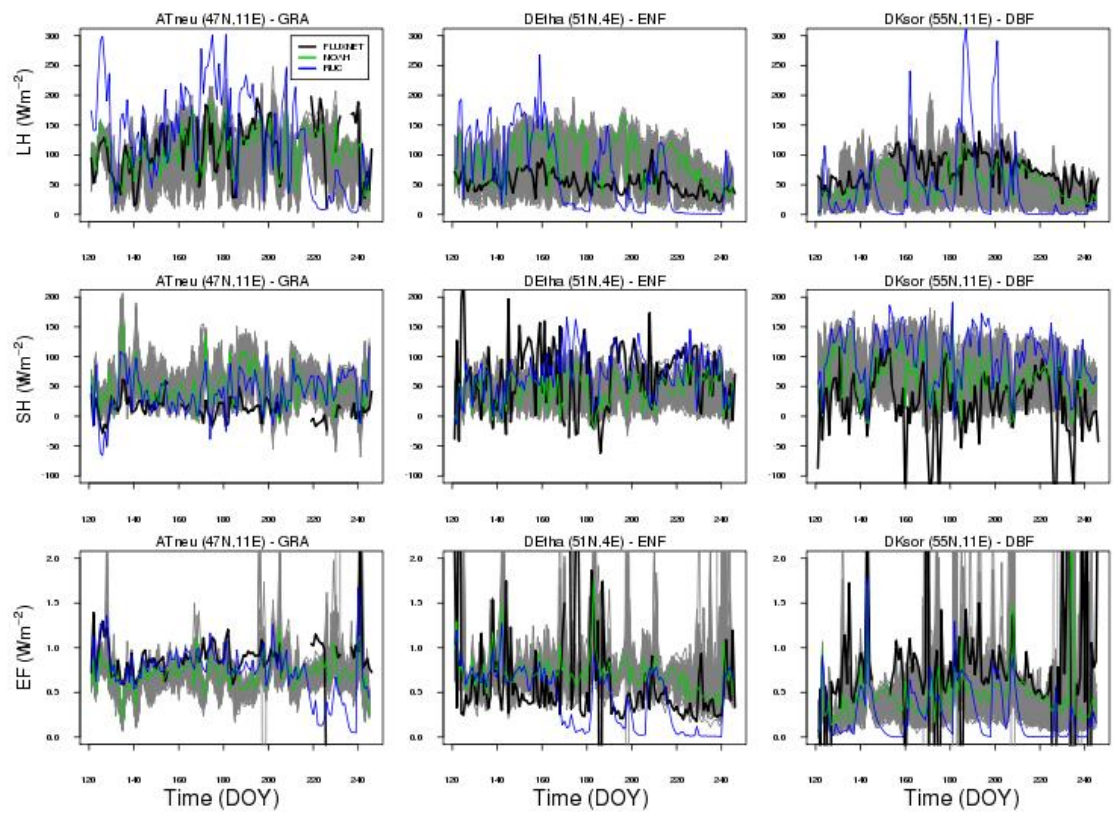
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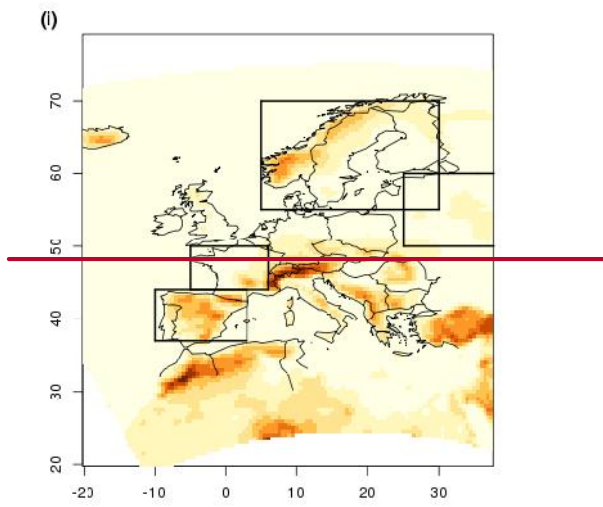
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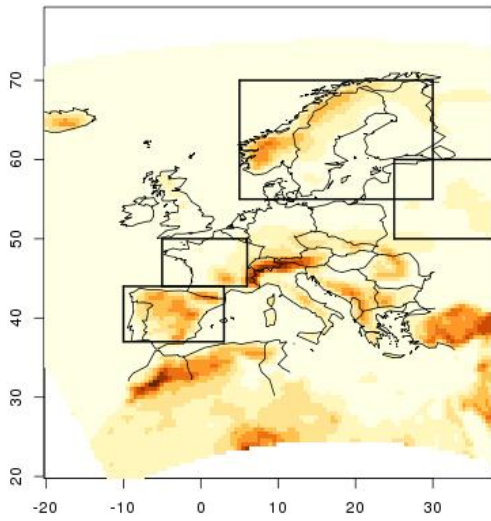
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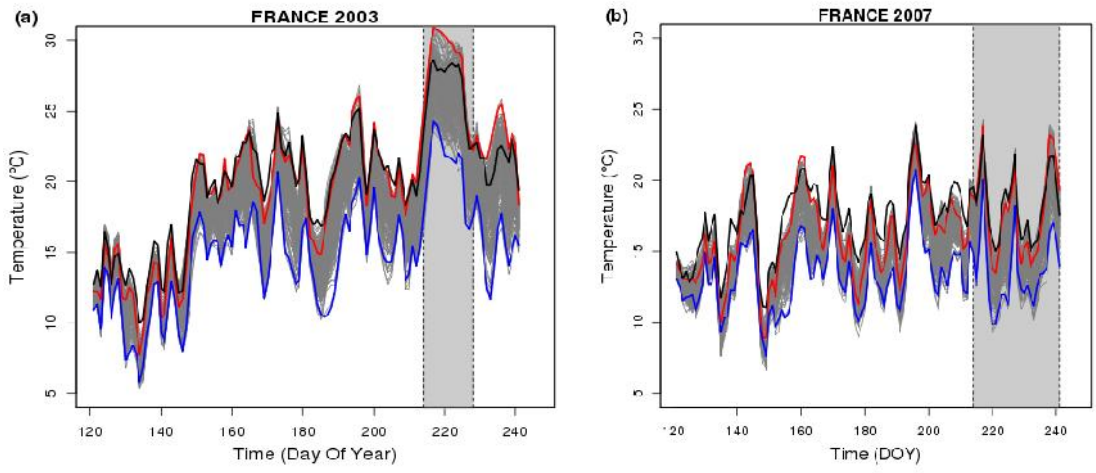


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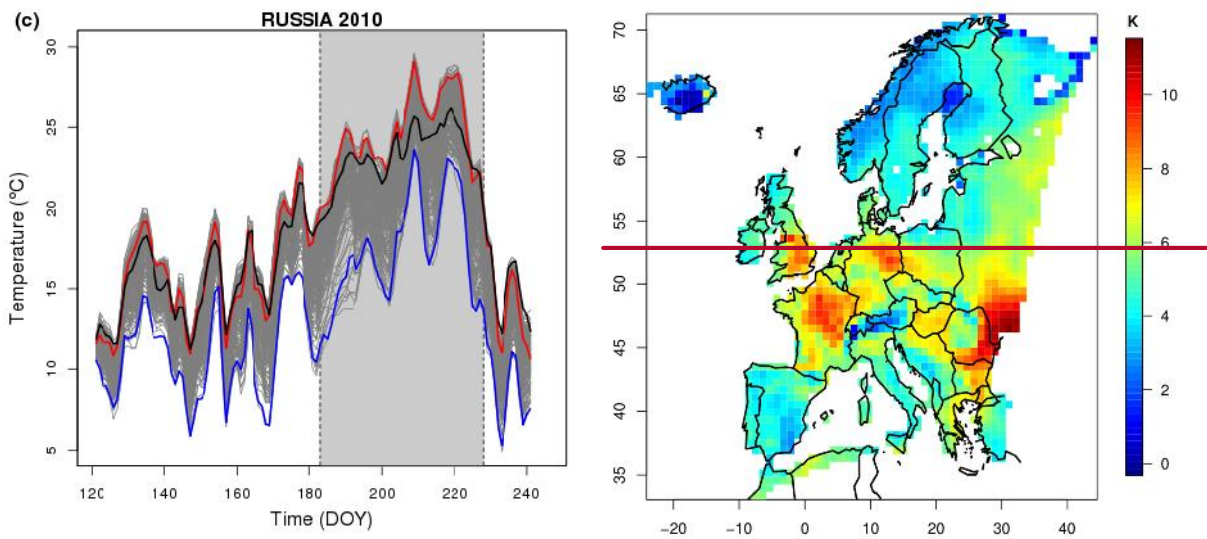


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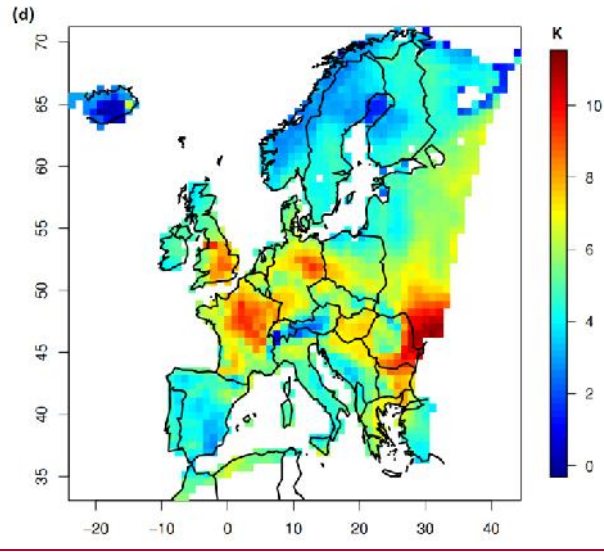
701 **Figure 3**



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703

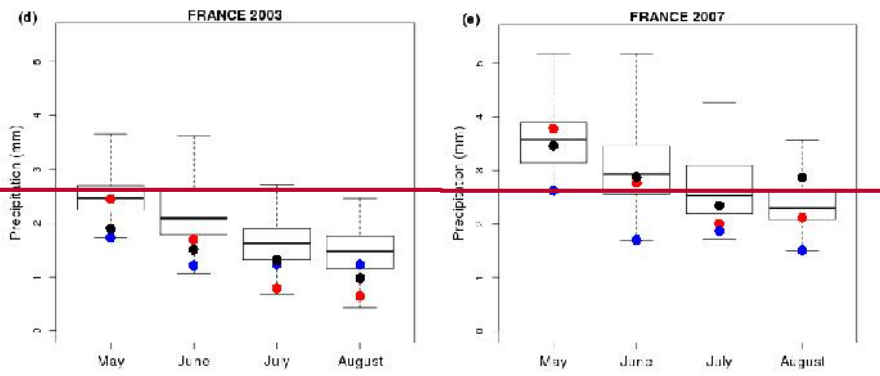


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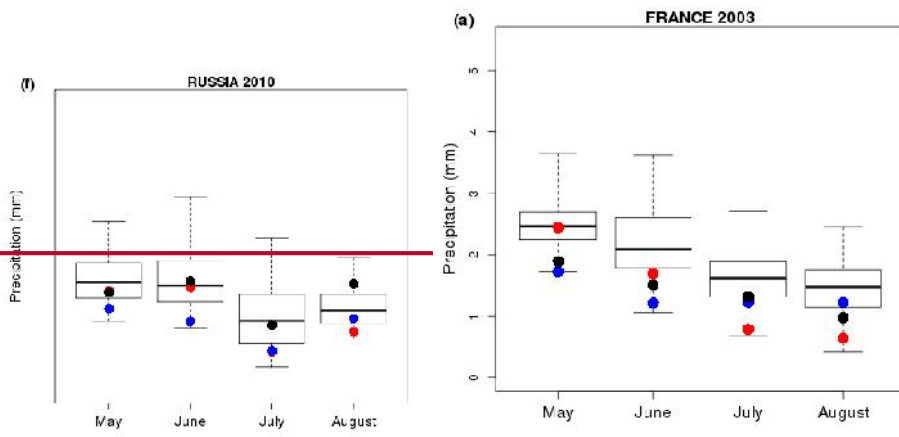
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706 **Figure 4a-c**

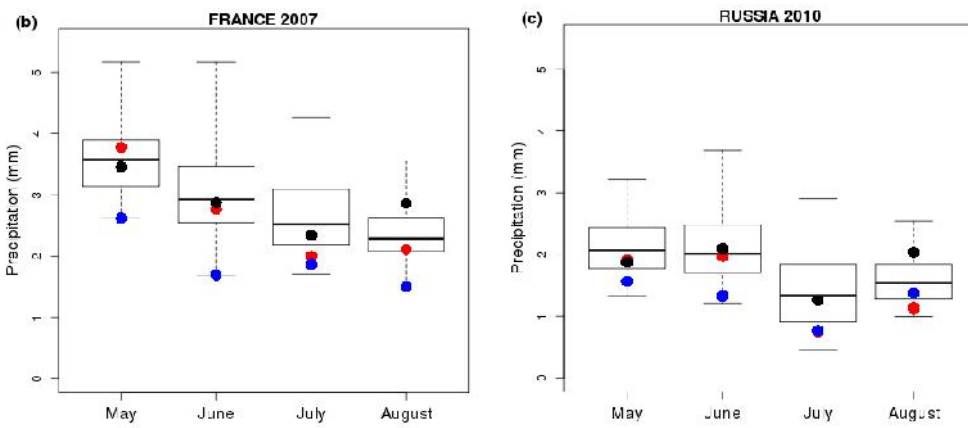
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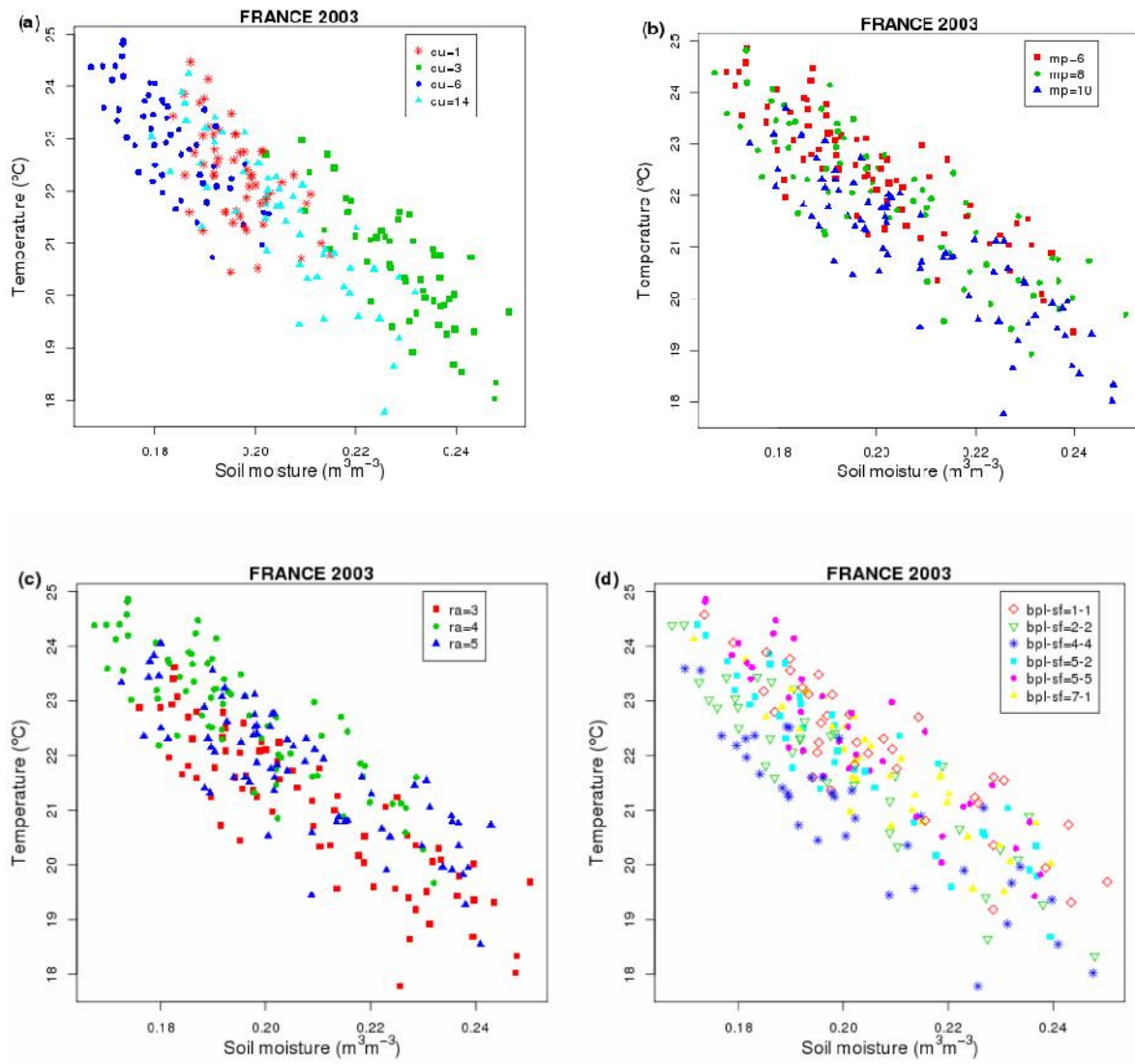
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712 **Figure 5a-d**

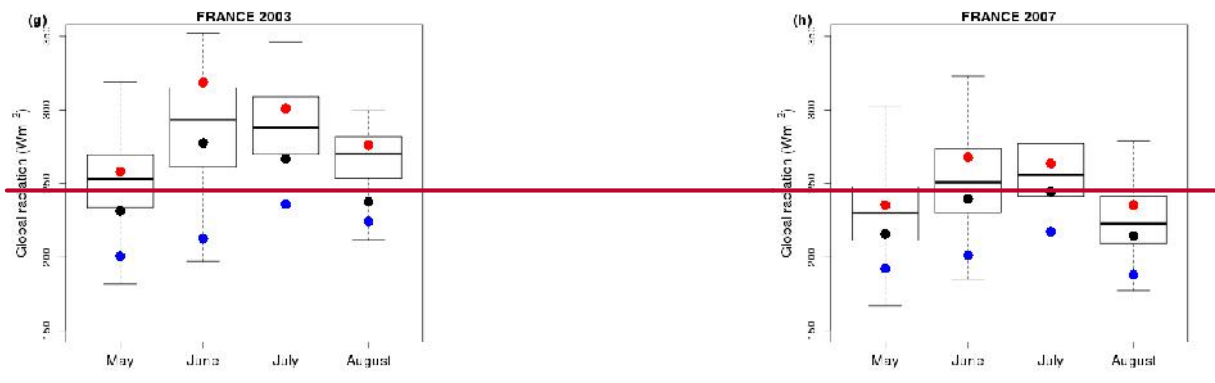


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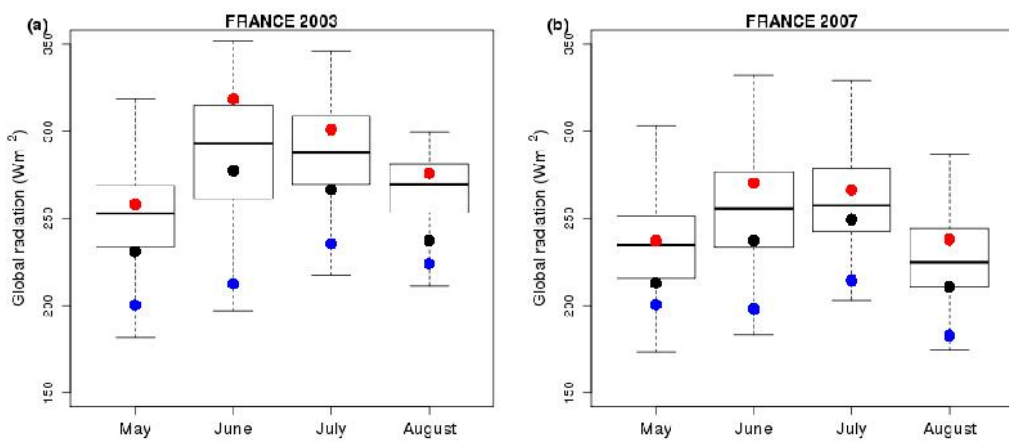
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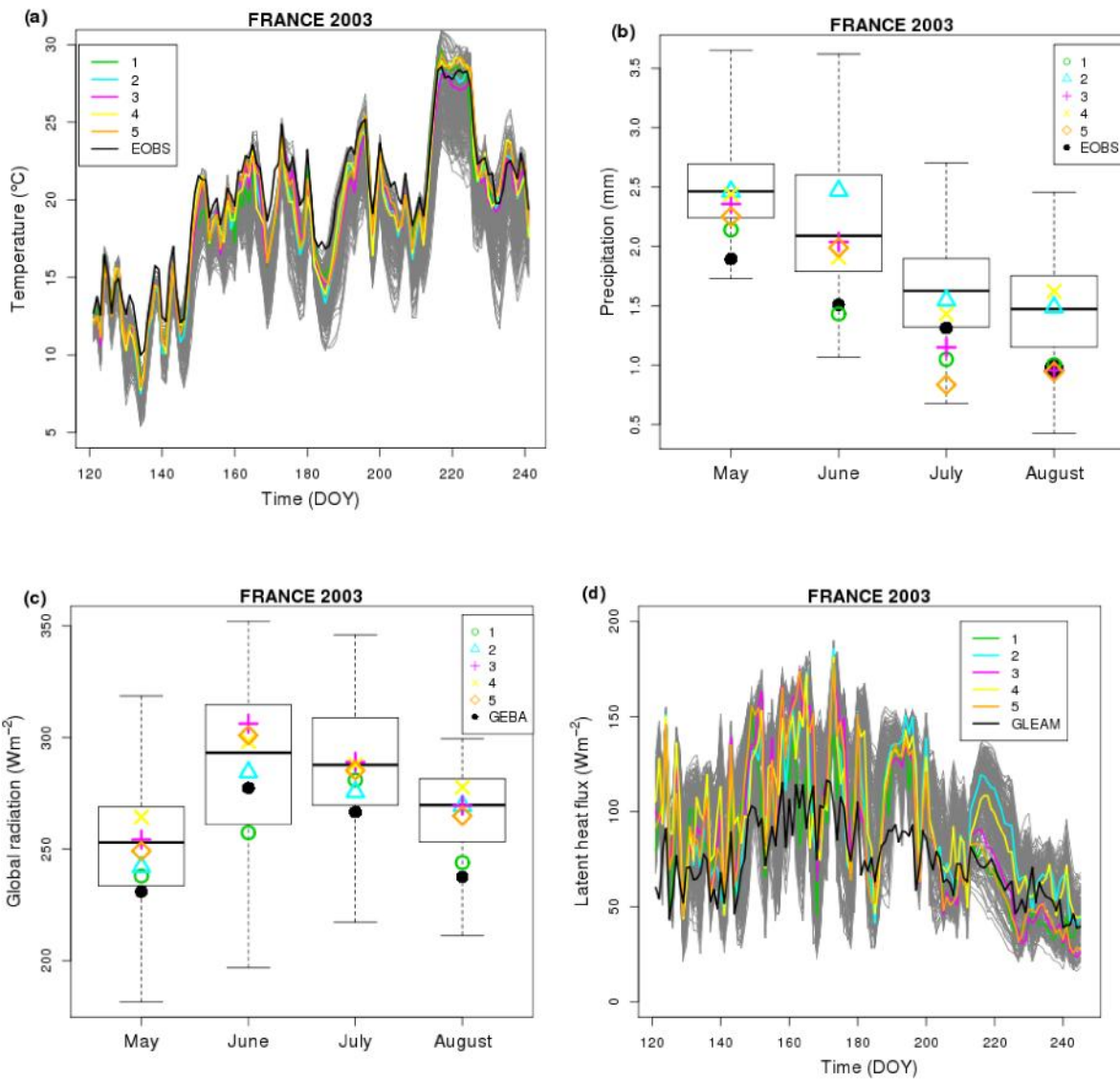


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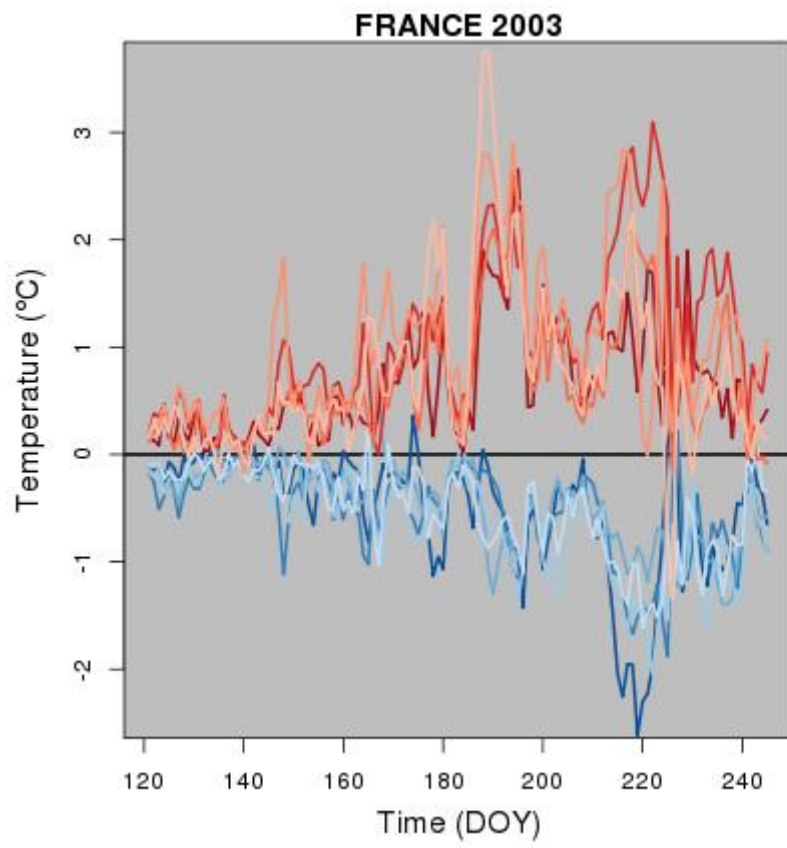
722 **Figure 7a-d**



723

724

725 **Figure 8**



726

727