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Dear Nicolas Delbart,

Firstly we would like to thank you for taking the time to review this manuscript. The comments you provided on our manuscript have been very insightful and we believe they have contributed substantially to improving the quality of this manuscript.

In the following pages we provide responses to the comments made on the manuscript. We hope that our responses have dealt with all the issues raised in the review process, however please do not hesitate to contact us if you require any further clarification.

Yours sincerely,

Dr. Lucy Rowland and co-authors.

Response to major and minor comments from reviewer Nicolas Delbart.

(Please note that responses by the authors are shown in red and that our page numbers references are from the revised MS word document)

Major comments:

1. My main comment is about the experimental setup. To my understanding all these models, maybe except ED2, are steady state equilibrium models. However, the experiments carried out in this manuscript consist in rising temperature dramatically and abruptly, or dropping the precipitation, after the model spin-up. Then the model is run for eight years. I am not a model expert but it seems to me these models are not designed to be able to respond adequately in a short term to such disturbances. Thus I am afraid that the model outputs that are presented only reflect how a model adjusts itself during a transition period to a new and totally different climatic situation. I think the models are here used outside of what they are built to do. I think it would be more adequate to impose a temperature increase ramp after the spin-up, or at least run the models much longer than eight years. Moreover that may be more informative on real ecosystem response as it would be closer to realistic climatic changes that do not consist in such abrupt changes. I am not saying the authors should redo the experiments but they must explain very precisely why steady-state equilibrium models can be used in such a way.

We agree that many of these models are designed to only be run in steady state equilibrium conditions. The methodology used for the spin up and model simulations was pre-constrained by a method which tested the capacity to simulate the responses of two experimental drought (see Powell et al 2013), where the forest was indeed exposed to an abrupt change in precipitation, in the model and in the field-scale experiments from which the test data were derived. Working within the existing simulation framework of our modelling consortium our study therefore focuses on model responses to short term shifts in temperature and precipitation at a tropical forest site. We agree that it would be interesting to study a slow ramp-up in temperature as well as a more abrupt change as modelled here. However, we note that there is increasing evidence that this region will experience increasingly severe short-term changes in climate (Cox et al., 2008; Reichstein et al., 2013), and that the Amazon region has already experienced two abrupt and severe drought events in the last decade (Marengo et al., 2011). Hence, whilst testing against a slow ramp up is of much interest, we do still believe that there is significant value in analysing these short-term responses.

Secondly analysing short-term responses is also important as much of the physiology has a fast response timescale, even if (much less well understood) components such as allocation and acclimation may operate over longer timescales. Many of the rapid physiological response mechanisms are dependent on temperature response functions; examples of these which are a key focus of our paper are photosynthetic responses or shifts in VPD which change stomatal conductance (see Figure 1). If we studied these processes after running the

models to equilibrium, the responses of some of the key variables in the study (A_n , g_s) are more likely to be dominated by the effect of long term soil drying rather than any direct temperature responses, particularly at the highest temperature changes in the models which simulate a soil water stress function. We acknowledge and discuss in the paper that even at our shorter time-scale the model responses are bound up into responses of the change in soil moisture stress (Lines 383-395), however within the shorter-term time-scales used we argue there is greater scope to look at the shorter-term responses to changes in temperature.

Thirdly because of the responses to variables such as the soil water stress function, if run to equilibrium with the temperature scenarios imposed, many of these models would have shifted from a tropical forest ecosystem to grassland, as CLM3.5 did even over a short time-scale. As discussed below (comment 3) these responses are indeed interesting, but unfortunately not the focus of this paper.

Fourthly analysing data from short-term model simulations allowed us to compare model outputs with the Doughty and Goulden data which looked at short-term instantaneous responses of leaves to increased temperature; this validation/test is particularly valuable because there are very few other datasets beyond Doughty and Goulden focusing on this key issue.

For these reasons above we would argue that this and other studies (e.g. Luo et al., 2008) which explore the short-term responses of Amazonian forest to changes in climate are extremely valuable. However, we agree with the reviewer that we have not made these arguments clear enough in the manuscript and have endeavored to insert the sentences below to amend this:

Lines 31-35: However, significant uncertainty remains regarding the response of tropical forests to warming (Corlett, 2011; Reed et al., 2012; Wood et al., 2012), altered precipitation (Meir et al., 2008; Meir and Woodward, 2010) and short-term abrupt changes in in both precipitation and temperature (Cox et al., 2008).

Lines 44-48: However model responses to simultaneous changes in precipitation and temperature complex are difficult to evaluate due to the compound effect of drought and temperature responses (Luo et al., 2008). There are particular challenges when considering short-to-medium term responses (Luo et al., 2008) linked to climatic extremes, such as severe drought (Cox et al., 2008; Marengo et al., 2011).

Lines 87-93: Our model simulations represent short-term non-equilibrium responses to changes in temperature to make them comparable to the perturbation data collected by Doughty and Goulden (2008). Evaluation of non-equilibrium changes in models is valuable for assessing how models will perform when simulating responses to extreme shifts in temperature and precipitation, which are predicted to increase in frequency and severity across Amazonia (Cox et al., 2008; Marengo et al., 2011). If the models were run to their equilibrium response to a simulated climate shift, the changes in some of the key variables in

the study (A_n , g_s) are more likely to be dominated by the effect of long term soil drying rather than direct temperature responses.

Lines 351-354: Had the models been run to their equilibrium states, it is likely that there would have been greater divergence of model responses at both the canopy- and leaf-scales. Prolonged higher temperatures reduce long-term soil moisture availability and cause more severe changes in β ; in dynamic-PFT models this can result in a substantial shift of PFT away from tropical forest.

2. My second comment concerns the conclusions that the authors should give. If it is found that the models only really agree on NEP, less on GPP, and disagree on all processes, it is probably necessary to conclude that despite their complexity these models do not present a clear advantage over simpler models such as light use models or statistical models adjusted on existing ecosystem exchange measurements. Complex models are useful if they allow understanding the mechanisms behind canopy scale measurements, but here we see the models do not bring this knowledge. Thus, the authors should bring a general conclusion on the utility of complex models at their current stage of development to address the question of changes in Amazonian forests in response to climatic variability.

Thank you for raising this point; it is very interesting and something which should be discussed in the manuscript. Model development often advances by using different approaches – simpler (statistical or optimized) and complex. Development of more mechanistic processes should ultimately lead to improvements in our ability to simulate and understand these complex natural systems. We acknowledge that mechanistic understanding is always limited, hence it is certainly very interesting to test if statistical models or simplified ‘optimised’ models, which may have greater random, but less systematic error, will give a more informative prediction than detailed complex models. This discussion is relevant to many aspects of gross ecosystem process simulation. We hope that we have adequately addressed this issue by introducing a sentence in line 436-442 of the discussion which states:

“The range of model responses in this study is likely to stem from real uncertainty in our understanding of the responses by tropical rain forest ecosystems to changes in precipitation and temperature. Further analysis of the same questions using models that vary in complexity (eg. statistical or optimized models, as well as purely mechanistic) might provide additional insight into mechanistic and simulation bias (systematic or random), as well advancing understanding about climate risk that we derive from them (Meir, Mencuccini and Dewar, 2015)

3. My third comment is that it seems to me that ecosystem response to such large changes (+6°C) should be treated with the scope of plant functional type changes, as a transition from

forest to savannah should be expected. Except on page 7834 line 12 this crucial question is not addressed, and must be developed.

We agree that the potential transitions from forest to grassland are very important, especially in the context of making ecosystem scale predictions. However there are other manuscripts currently in prep from the same modelling consortium which will discuss the implications of PFT shifts in model simulations done across the Amazon. The focus of this paper was assessing the effects of climate extremes on physiology in tropical forests, particularly in relation to the data comparison aspect of the manuscript. Therefore we did not address the issue of long-term adaptation and forest transitions, as this involves assessment of the competition matrix within the models, which would introduce a new and complex topic into an already complex and fairly long paper. Finally two of the models used in this study do not have multiple PFT's and comparing the responses of these models, to models which do have competing PFT's becomes impossible if PFT shifts occur.

4. My final general comment is about the simulation of respiration. As the inter-model agreement is higher on NEP than on GPP, it is necessary to develop the changes on respiration, and maybe to separate heterotrophic and autotrophic respiration responses. It is commented but not shown, and maybe this is a good option to keep the manuscript in a reasonable length but still these results should be a bit more developed. We also need to know how the models differ in term of both respiration fluxes right after spin-up, and thus the biomass and the soil carbon should also be given to understand the initial differences between the different model simulations.

We agree that the responses of autotrophic and heterotrophic respiration are both very important and that a single graph of total respiration is insufficient to deal with the complexities of this problem. However, the purpose of the respiration figure is not to provide an answer to the responses of respiration fluxes to temperature and drought, but to show the other half of the NEE flux, so the reader can understand what proportion of the NEE response is driven by GPP versus respiration. Although we would be keen to explore the complexities of respiration in detail, this paper is necessarily focused on the gross primary productivity response to climate extremes. Respiration responses are beyond the scope of our paper, which is already relatively complex in addressing leaf to canopy assimilation processes.

In terms of how carbon stocks and fluxes differ between models at this site following spin up, when no climate changes have been imposed, and after drought is imposed, this has already been addressed and published by Powell et al. (2013).

Minor comments:

- Page 7825 Lines 8-10 and 16-18 comment results on GPP and should be grouped. **Thank you for pointing this out, now grouped.**
- 21 : maybe remove “to” **Done**
- 23-26: this a key issue. As said page 7837, lines 23-25, uncertainties on LAI are compensated by uncertainties on leaf scale processes. May it be possible that this is explained by the fact that the main source of validation data is canopy scale exchanges measurements? Moreover you point the lack of data later (page 7827), thus which validation strategy are you suggesting here? **Thank you for your comment, we have changed the sentence to make it clear that it is validation at both the leaf and canopy scale which is necessary:**
“To improve the reliability of simulations of the response of Amazonian rainforest to climate change the mechanistic underpinnings of vegetation models need to be validated at both leaf- and canopy-scales to improve accuracy and consistency in the quantification of processes within and across an ecosystem. .
- Page 7826, line 23. Meaning of SWC should be given first. **Thank you, now done**
- Page 7829 lines 14 and 19: same information, should be reorganized. **Now re-organised so similar sentences are concatenated**
- Page 7834, figure 3. It would be cleared to me to see the LAI changes expressed in LAI units rather than in initial LAI fraction. Moreover, in figure 3, what is shown is not the fraction of change but the fraction that does not change, or am I wrong? **Thank you for this comment, we agree that the way we have described the fractional changes in the figure legend is maybe confusing and therefore we have changed the figure legend to read:**
“Figure 3: Modelled effect of short-term changes in temperature and drought. Changes in: a) gross primary productivity (GPP) b) ecosystem respiration (Reco) and c) leaf area index (LAI) in the final year (2006) in the drought run expressed as a fraction of the value in the final year (2006) of the control run, for the Tair -5°C (grey bars) and Tair +6°C (White bars) simulations.”
However, if we were to express LAI in the same figure as LAI units this would be misleading because of the large variation in LAI across the models. For example, if the absolute reductions in LAI from ED2 and CLM3.5 are equivalent in the figure (e.g. they both loose $2\text{m}^2\text{m}^{-2}$ of LAI), the implications are very different. ED2 has a starting LAI of $4\text{m}^2\text{m}^{-2}$ and thus predicts a 50% loss. Meanwhile, CLM3.5 starts at $11\text{m}^2\text{m}^{-2}$ and predicts an 18% loss. We believe that the reader therefore gets more information from seeing LAI as a fraction after having already seen the values of LAI between the models in Figure 2.
- Page 7835 : the text here is very complicated, whereas the figure 4 that it described is very clear. I think the manuscript would gain in clarity if the results were described less intensively. Same comment applies elsewhere in the manuscript. **We agree that this section**

and other results sections were unclear; we have completely re-written the results sections in an attempt to simplify them and make them clearer.

- Page 7837 (lines 1-5) and figure 8: why only two models are shown? As stated in the Methods the data from Doughty and Goulsten is only available for sunlit leaves and SPA and CLM3.5 are the only models which simulate an output for sunlit leaves. We have attempted to make this clearer in the Figure legend and in the text in lines 317-319 stating: *“When the effect of soil water stress is removed and sunlit leaf level values are compared to the DG data for the models which could output separate sunlit leaf values of g_s and A_n (only SPA and CLM3.5; Figure 8).”*
- Figure 1: unclear. What mean signs + and - ? Is it the response of models? Why temperature increase induces an increase in GPP whereas in figure 2 we see the contrary? The + and - signs represent the correlation or possible feedbacks between variables. For example A_n is only positively correlated with GPP, it has a +, (i.e. if A_n goes up GPP cannot go down, with all other things being equal). Some variable can have both positive and negative feedbacks depending on the magnitude of the change and hence they have both a +/- sign. We have now made this clearer in the legend:
“Figure 1: Schematic diagram showing how droughts, via the combined effects of increased air temperature (T) and reductions in precipitation (PPT), affect the carbon cycle of a tropical forest, including the effects on: vapour pressure deficit (VPD), evapo-transpiration (E_t), stomatal conductance (g_s), soil water content (SWC), net photosynthesis (A_n), leaf area index (LAI), the maximum rates of RuBP carboxylation and electron transport (V_{cmax} and J_{max} respectively), autotrophic respiration (R_a) heterotrophic respiration (R_h), gross primary productivity (GPP), and net ecosystem exchange (NEE). + signs indicates a positive feedback effect between variables (i.e. an increase in one variable can only cause an increase in another if all else is equal), - signs indicate a negative feedback effect, and +/- indicate the possibility of both a positive and negative effect. Solid arrows represent responses which occur over short timescales of minutes to hours, whereas dashes arrows represent responses which can occur over longer timescales from days to months.”
- Figure 5: should be expressed in the units of V_{cmax} , not relatively to 25°C. The key point of Figure 5 within our paper is to show the relative responses of V_{cmax} to temperature change. We argue that this is much easier to see if you explore the shapes of the temperature response curves on a normalised scale, where the response curve can be directly compared across models. Within the models V_{cmax} is the only variable explored which has a fixed temperature response independent of other climate variations, for example VPD . If we were to plot the actual values the resulting figure would be very confusing because some of the models output V_{cmax} values for the top canopy only, before canopy integration occurs, and other models output an integration of V_{cmax} across the canopy, or different V_{cmax} values from multiple canopy levels. Consequently the absolute values for V_{cmax} across the models are not comparable whereas the temperature responses on V_{cmax} are. If necessary we can clarify this point in the Figure legend.

- Table 3, caption : unclear. This caption has now been re-written, to what we hope is a much clearer format:
“Table 3: Values show the normalised intrinsic water use efficiency (IWUE) calculated as the linear slope of normalised A_n plotted against normalised g_s (Figure 6). The normalised IWUE is calculated separately for each models’ control and drought temperature simulations (ambient air temperature (T_{air}) -5°C , $+0^{\circ}\text{C}$, $+2^{\circ}\text{C}$, $+4^{\circ}\text{C}$, and $+6^{\circ}\text{C}$).”
- I apologize but interpretation of figures 6 and 7 are unclear to me. Thank you for pointing out that the interpretation of Figures 6 and 7 are not 100% clear. The purpose of Figures 6 and 7 is: firstly to demonstrate that there are clear relationships between A_n and g_s in the models, but not between A_n and V_{cmax} . We hope that this is now clearer in lines 299-301: *“Consequently for each model there are apparent, but variable, relationships between g_s and A_n (Figure 6), but no obvious relationships between A_n and V_{cmax} (Figure 7).”* Figure 6 is also used to show the normalised intrinsic water use efficiency: the normalised increase in A_n per unit increase in normalised g_s . The purpose of this plot is to show that the rate at which normalised A_n increases per unit increase in normalised g_s (i.e. the linear slope) increases from the lowest temperature simulation (ambient air temperature -5°C) to the highest temperature simulation (ambient air temperature $+6^{\circ}\text{C}$) and this increase is greater in the drought than control simulations. The normalised values of intrinsic water use efficiency per simulation (the linear slope values) are shown in Table 3
The results and discussion sections in this manuscript which include the explanation of the results from these figures have now all been re-written (see comment above), as have the figure captions. We hope now that the figures and results concerning these figures are now made much clearer throughout the manuscript.

New references added to text and manuscript:

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Modelling climate change responses in tropical forests: similar productivity estimates across five models, but different mechanisms and responses.

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1 Abstract

2 Accurately predicting the response of Amazonia to climate change is ~~important~~ important for
3 predicting climate changes across the globe. ~~However, changes~~ Changes in multiple climatic
4 factors simultaneously ~~may~~ result in complex non-linear ecosystem responses, which are
5 difficult to predict using vegetation models. Using leaf- and canopy-scale observations, this
6 study evaluated the capability of five vegetation models (CLM3.5, ED2, JULES, SiB3, and
7 SPA) to simulate the responses of ~~canopy~~leaf- and leaf-~~canopy~~-scale productivity to changes
8 in temperature and drought in an Amazonian forest.

9 The models did not agree as to whether gross primary productivity (GPP) was more sensitive
10 to changes in temperature or precipitation, ~~but all the models did~~ were consistent with the
11 predictioned that GPP would be higher if tropical forests were 5°C cooler than current
12 ambient temperatures. There was greater model-data consistency in the response of net
13 ecosystem exchange (NEE) to changes in temperature, than in the response to temperature ~~of~~
14 by leaf area index (LAI), net photosynthesis (A_n), and stomatal conductance (g_s) and leaf area
15 index (LAI) stomatal conductance (g_s). Modelled canopy-scale fluxes are calculated by
16 scaling leaf-scale fluxes ~~to using LAI, and therefore~~ At the leaf-scale, the models did not
17 agree on the temperature or magnitude of the optimum points of A_n , V_{cmax} or g_s , and model
18 variation in these parameters were was compensated for by variations in the absolute
19 magnitude of simulated LAI, and how it altered with temperature. and temperature response
20 of LAI

21 ~~n this study similarities in modelled ecosystem scale responses to drought and temperature~~
22 ~~were the result of inconsistent leaf scale and LAI responses among models.~~

23 ~~AA~~ Across the models, there was, however, consistency in two leaf-scale the responses: 1) of
24 changes in A_n to with temperature were more closely linked to stomatal behaviour than

25 | biochemical processes; and 2) intrinsic water use efficiency increased with temperature,
26 | especially with simultaneous when combined with drought. These results suggest that even
27 | up to fairly extreme temperature increases from ambient levels (+6°C), simulated
28 | photosynthesis becomes increasingly sensitive to g_s and remains less sensitive to biochemical
29 | changes. Consequently all the models predicted that GPP would be higher if tropical forests
30 | were 5°C colder, closer to the model optima for g_s . There was however no model consistency
31 | in the response of the A_n - g_s relationship when temperature changes and drought were
32 | introduced simultaneously. The inconsistencies in the A_n - g_s relationships amongst models
33 | were caused by non-linear model responses induced by simultaneous drought and
34 | temperature change. To improve the reliability of simulations of the response of Amazonian
35 | rainforest to climate change, the mechanistic underpinnings of vegetation models need ~~more~~
36 | complete validation to be validated at the scales of both the leaf- and canopy-scales to improve
37 | accuracy and consistency in the scaling quantification of ecosystem processes within and
38 | across an ecosystem. ~~from leaf to canopy.~~

39 1 Introduction

40 Continuing increases in atmospheric CO₂ are likely to cause increases in temperature and
41 changes in precipitation across Amazonia (Good et al., 2013; Jupp et al., 2010; Malhi et al.,
42 2009; Marengo et al., 2012). However, significant uncertainty remains regarding the response
43 of tropical forests to warming ~~temperatures~~ (Corlett, 2011; Reed et al., 2012; Wood et al.,
44 2012), ~~and~~ altered precipitation (Meir et al., 2008; Meir and Woodward, 2010) and short-
45 term abrupt changes in both precipitation and temperature (Cox et al., 2008; Marengo et al.,
46 2011; Reichstein et al., 2013). Such uncertainties are propagated into models, resulting in
47 substantial variability in modelled responses to changes in temperature and drought
48 (Friedlingstein et al., 2006; Galbraith et al., 2010; Powell et al., 2013; Sitch et al., 2008).
49 These responses need to be rigorously assessed to enable further improvement in our ~~current~~
50 ~~cap~~ability to predict the impacts of climate change on rain forest functioning.

51 The ecosystem responses of models to multi-factor changes in climate can be difficult to
52 interpret because of complex nonlinear responses (Zhou et al., 2008), which can vary
53 substantially between vegetation models with different model structures. Previous modelling
54 analyses have shown a greater sensitivity of carbon storage in Amazonian forests to increased
55 temperature than reduced precipitation (Galbraith et al., 2010). However ~~the compound effect~~
56 ~~of drought on temperature responses when simulating Amazonian forest processes (Luo et al.,~~
57 ~~2008), makes evaluating model_ model~~ responses to simultaneous changes in precipitation
58 and temperature complex are difficult to evaluate due to the compound effect of drought and
59 temperature responses (Luo et al., 2008). There are particular challenges when considering
60 the short-to-medium term responses (Luo et al., 2008) linked to climatic extremes, such as

61 | severe drought (Cox et al., 2008; Marengo et al., 2011).-

62 | Concurrent changes in temperature and precipitation can cause a complex chain of positive
63 | and negative feedbacks on different timescales (Figure 1). Increased temperature and reduced
64 | precipitation can directly affect stomatal conductance (g_s) through increasing vapour pressure
65 | deficit (VPD), or indirectly affecting g_s on longer time-scales through reducing soil water
66 | content (SWC) (Figure 1). Stomatal conductance, g_s limits photosynthesis (A_n), and
67 | therefore gross primary productivity (GPP). However A_n can also be limited by changes in
68 | leaf biochemistry (V_{cmax} and J_{max} , Figure 1). How A_n is limited by temperature increase is
69 | important as changes in leaf biochemistry at very high temperatures are the can result of from
70 | permanent alteration and possible damage to proteins, whereas changes in g_s are less
71 | permanent, but result in changes in alter water use, and potentially water use efficiency.
72 | Currently there is no consensus on how A_n will respond to temperature; some studies find a
73 | direct impact through leaf biochemistry (Doughty, 2011; Doughty and Goulden, 2008), and
74 | others an indirect effect initiated by changes in g_s , because of the limitation of increasing VPD
75 | on g_s limitation occurring occurs at lower temperatures than those required that cause for
76 | protein damage (Lloyd and Farquhar, 2008). The lack of data for tropical trees means these
77 | responses remain poorly constrained, though drought and warming can be examined using
78 | limited field data from drought and warming experiments (da Costa et al., 2014; da Costa et
79 | al. 20103; Nepstad et al., 2002) and from extreme events within the natural range of the
80 | climate (Marengo et al., 2012).

81 | The response of vegetation models to temperature change or drought occurs through the
82 | aggregated changes in finer scale processes, for example at the leaf level. Correctly

83 | simulating the mechanisms at the leaf-scale is therefore important to maintain confidence in
84 | canopy-scale predictions. Leaf-scale responses in models are scaled using LAI to simulate the
85 | processes at ~~the scale of the canopy-scale~~canopy-scale; therefore inaccuracies in ~~both~~
86 | ~~leaf scale fluxes or~~both leaf-scale fluxes and how they are scaled can produce substantial
87 | errors in ecosystem scale fluxes (Bonan et al., 2012). Currently no model-data comparisons
88 | exist that allow for the evaluation of combined temperature and precipitation/drought
89 | sensitivity of ecosystem fluxes in relation to LAI and ~~leaf-scale~~leaf-scale processes in tropical
90 | forests. However if we are to identify accurately how to improve simulated responses of
91 | Amazonian forests to future climate change it is vital that model output is evaluated against
92 | data from the leaf to the ~~canopy-scale~~canopy-scale.

93 | At the Tapajós national forest in north east Brazil, Doughty and Goulden (2008) collected
94 | data on the response of net ecosystem exchange (NEE) to change in atmospheric temperature
95 | and the response of A_n and g_s to short-term artificial leaf warming. Doughty and Goulden
96 | (2008) found reductions in forest productivity at air temperatures above 28°C, which
97 | corresponds to significant reductions in A_n and g_s at leaf temperatures above 30-33°C. They
98 | suggested that tropical forests may therefore already be close to a temperature threshold,
99 | beyond which productivity will decline.

100 | Here we use the data published by Doughty and Goulden (2008) to evaluate the short-term
101 | temperature responses within models at both the leaf and ~~canopy-scale~~canopy-scale –and
102 | investigate how the model formulations might impact predicted responses to multiple
103 | climatic factors. Our model simulations represent short-term non-equilibrium responses
104 | to changes in temperature to make them comparable to the perturbation data collected by

105 Doughty and Goulden (2008). Evaluation of non-equilibrium changes in models is
106 valuable for assessing how models will perform when simulating responses to extreme shifts
107 in temperature and precipitation which are predicted to increase across Amazonia (Cox et al.,
108 2008; Marengo et al., 2011). If the models were run their equilibrium response to a simulated
109 climate shift, the changes in some of the key variables in the study (A_n , g_s) are more likely to
110 be dominated by the effect of long-term soil drying rather than direct temperature responses
111 (e.g. the dashed lines in Fig. 1). This study is part of a wider model inter-comparison project
112 which aims to explore how well vegetation models simulate drought in the eastern Amazon
113 (Powell et al., 2013). In this study we evaluate: 1) how the forest productivity of five
114 vegetation models (CLM3.5, ED2, JULES, SiB3, SPA) responds to changes in temperature,
115 2) what leaf-scale processes drive canopy-scale changes in productivity and 3) how both
116 leaf and canopy-scale temperature sensitivities are influenced by concurrent changes in
117 precipitation at the Tapajós forest site in eastern Brazil. In all models we simulate first an
118 ambient and then a 50 % reduction in the incoming precipitation during the wet season from
119 2000-2006 analogous to the ~~imposed~~ drought treatment imposed at the Tapajós forest site,
120 linked to a -5°C, 0°C, +2°C, +4°C, and +6°C change to the ambient air temperature (T_{air}).
121 These simulations cover a range of likely and possible increases in temperature for the
122 Amazon region in the coming century (Christensen et al, 2007; Collins et al., 2013; Malhi et
123 al., 2009) and can be evaluated against existing data from Doughty and Goulden (2008). This
124 study is the first to evaluate, using data, the inter-model variability in the leaf and canopy
125 responses to changes in temperature and precipitation at a tropical forest site.

126

127 2 Materials and Methods

128 2.1 Model description

129 The five models used in this study were the Community Land Model version 3.5 coupled to
130 the Dynamic Global Vegetation model (CLM3.5-DGVM; hereafter CLM3.5), the Ecosystem
131 Demography model version 2 (ED2) , the Joint UK Land Environment Simulator version 2.1
132 (JULES), the Simple Biosphere model version 3 (SiB3), and the Soil-Plant-Atmosphere
133 model (SPA) ~~and the Joint UK Land Environment Simulator version 2.1 (JULES)~~. A brief
134 description of each of the models is given here and in Table 1 (also see Powell et al., (2013)).
135 The simplest canopy structure is in SiB3. ~~SIB3-SiB3~~ has a fixed LAI and uses a big-leaf
136 model which simulates the response of the top canopy and integrates this response throughout
137 the canopy according to a light and leaf nitrogen (N) extinction coefficient (Baker et al.,
138 2008b; Sellers et al., 1992; Sellers et al., 1996). CLM3.5 is also a big-leaf model, however it
139 separates the canopy into a sunlit leaf fraction (leaves which receive both direct and diffuse
140 light) and a shaded leaf fraction (leaves which receive only diffuse light), which change
141 dynamically with sun angle and canopy light penetration (Oleson et al., 2004; Oleson et al.,
142 2008). The version of JULES used in this study simulates 10 canopy layers with equal leaf
143 area increments. Leaf nitrogen decays exponentially through the canopy and radiation
144 interception is simulated following the two-stream approximation of Sellers (1985). SPA also
145 has a layered canopy model, and here used three canopy layers, with separate sunlit and
146 shaded fractions (Williams, 1996; Williams et al., 2005). ED2 mathematically approximates
147 the properties of an individual-based forest gap model, separately modelling the stems of
148 three successional stages (pioneer, mid-successional and late-successional) types of-, in this

149 | study, tropical trees (~~early, mid and late successional~~) and grasses on a continuum of leaf
150 | light levels from fully shaded to fully sunlit (Kim et al., 2012; Medvigy et al., 2009b;
151 | Moorcroft et al., 2001). ~~SIB3-SiB3~~ and SPA simulate only 1 plant functional type (PFT), set
152 | to tropical evergreen broadleaf; JULES and CLM3.5 simulate 5 PFT's, but this site simulated
153 | a fractional cover > 95% evergreen broadleaf trees. As the focus of this study is the responses
154 | within tropical forests, results were not considered if a model simulated a shift in the PFT
155 | away from the dominance of tropical forest. ~~ED2 simulates 3 successional stages (pioneer,~~
156 | ~~mid-successional and late successional) of a single PFT, tropical evergreen broadleaf trees.~~

157 | All of the models use enzyme-kinetic A_n equations, derived from Farquhar et al. (1980),
158 | Farquhar and Sharkey (1982), Kirschbaum and Farquhar (1984) and Collatz et al. (1991). In
159 | all models temperature can affect A_n directly through temperature response functions on the
160 | maximum rate of carboxylation of RuBP (V_{cmax}), the CO₂ compensation point, and the
161 | Michaelis-Menten constants (K_c and K_o), and in SPA the maximum rate of electron transport
162 | (J_{max}). Temperature can also indirectly change A_n through changing the VPD at the leaf
163 | surface, which alters g_s . CLM3.5, ED2 and ~~SIB3-SiB3~~ use the Ball-Berry stomatal
164 | conductance model (Collatz et al., 1991). JULES calculates g_s by relating the ratio of internal
165 | to external CO₂ to the humidity deficit (Cox et al., 1998). SPA is unique in that it models
166 | stomatal conductance by simulating an aqueous continuum between the soil and leaf water: g_s
167 | and photosynthesis are maximised using an isohydric assumption that at each time-step leaf
168 | water potential does not drop below a critical level (-2.5 MPa; see Williams et al., 1996,
169 | Fisher et al., 2007). CLM3.5, ED2, ~~SIB3-SiB3~~ and JULES alter g_s using a water stress factor
170 | (β ; a value ranging 0-1 where 1 indicates no soil water stress and 0 indicates complete soil
171 | water limitation). A detailed description of the effect of soil water stress on g_s and A_n in these
19

172 models is given by Powell et al., (2013).

173

174 **2.2 Site**

175 The throughfall exclusion in the Tapajós National Forest (TNF, 2.897 S, 54.952 W) is located
176 on an Oxisol soil, and has a mean annual precipitation of approximately 2 m per year; the site
177 is described in detail by Nepstad et al. (2002). This plot was selected for this experiment
178 because on the temperature response of canopy level net ecosystem exchange (NEE) was
179 collected at a nearby site (km83; Doughty and Goulden, 2008). The canopy NEE
180 measurements were from an eddy covariance tower from July 2000 to July 2001, when light
181 levels were above $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$ (Doughty and Goulden, 2008). Leaf level responses of
182 stomata conductance and photosynthesis to increases in leaf temperature in fully sunlit
183 canopy leaves were from 3 species in 2004 (see Doughty and Goulden, 2008 and Goulden et
184 al., 2004).

185

186 **2.3 Meteorological Data and Soil Properties**

187 The model simulations were driven using hourly meteorological data (precipitation, T_{air} ,
188 specific humidity, short and long-wave radiation and air pressure) measured above the
189 canopy at the site from 01/01/2002-31/12/2004. The short-wave radiation was split into 68%
190 direct and 32% diffuse, and then this was split into 43% visible and 57% near-infrared for
191 direct, and 52% visible and 48% near-infrared for diffuse (Goudriaan, 1977).

192 The soil properties were standardised across all models to create a similar soil physical
193 environment, thereby testing only for differences in vegetation functioning (see Powell et al.,
194 2013). Only biological properties such as rooting depth, root biomass, as well as the total
195 number of soil layers were left as model specific soil properties.

196

197 **2.4 Experimental design**

198 All of the models went through a standard spin-up procedure prior to simulations (see Powell
199 et al., 2013). Following the spin-up period, a series of five model simulations, with varying
200 T_{air} , were performed for an eight-year period (which was intended to simulate 1999-2006, see
201 Powell et al., 2013) for ambient precipitation (control simulations) and for simulations with a
202 50 % reduction in wet season rainfall (drought simulations). The 2002-2004 meteorological
203 data were recycled over the eight year simulation period. To explore the effects of changes in
204 T_{air} on the models we performed five model simulations which consisted of simulations with
205 the hourly 2000-2006 ambient T_{air} adjusted by -5°C , 0°C (ambient T_{air}), $+2^{\circ}\text{C}$, $+4^{\circ}\text{C}$ and
206 $+6^{\circ}\text{C}$. 1999 was the baseline year for which no changes from ambient temperature and
207 precipitation were implemented. Our analysis was focused on increases in temperature;
208 however we included a simulation with temperatures 5°C lower than ambient temperatures,
209 on the basis that some models may have processes optimised for temperate regions where
210 average T_{air} is lower. VPD was adjusted according to the changes in air temperature.

211

212 **2.5 Model output and evaluation**

213 All the data in this study was processed to match the collection methods and processing done
214 by Doughty and Goulden (2008; hereafter referred to as DG), as closely as possible.
215 Therefore, to compare the models' predictions NEE with the flux data, we extract canopy
216 level fluxes when photosynthetic photon flux density (PPFD) was $> 1000 \mu\text{mol m}^{-2} \text{s}^{-1}$, the
217 conditions used by DG. PPFD was not available for the whole period; therefore we use the
218 measured shortwave radiation to estimate PPFD. A conversion factor of 2 is used to convert
219 from shortwave radiation (W m^{-2}) to PPFD ($\mu\text{mol m}^{-2} \text{s}^{-1}$) based on an empirical relationship
220 calculated from the flux tower at the study site (Doughty, unpublished data). The results on
221 hourly time-steps from each model for the period of (2000-2006) for the five ~~ambient~~
222 temperature simulations (with offset of -5°C , $+0^\circ\text{C}$, $+2^\circ\text{C}$, $+4^\circ\text{C}$ and $+6^\circ\text{C}$) were pooled.
223 Model output was then placed into 1°C bins of T_{air} for the canopy-scale analysis (GPP, NEE,
224 ecosystem respiration (R_{eco}) or ~~of~~ leaf temperature (T_{leaf}), for ~~leaf-scale~~leaf-scale-analysis, as
225 done in the DG study. Accounting for non-gaussian distributions in model output the median
226 and the 15.9th and 84.1th quantiles of the binned model output are plotted to represent the
227 mean and 1 standard deviation of the temperature response curve of any model variable. The
228 data from the drought and control simulations are considered separately.

229 To explore the relative sensitivity of models to changes in temperature and drought a linear
230 relationship between the temperature increase per control simulation (-5°C , 0°C , 2°C , 4°C ,
231 6°C) and final year (2006) GPP was used to calculate the change in GPP per 1°C increase T_{air}
232 for each model (Table 2). This value was used to calculate the increase in temperature
233 necessary to produce the same loss of GPP as the ambient T_{air} drought simulation, where
234 there is a 50% reduction in wet season rainfall (Table 2).

235 DG published data for the temperature response of A_n and g_s of sunlit leaves during the dry
236 season when PPFD is $>1000 \mu\text{mol m}^{-2} \text{s}^{-1}$. CLM3.5 and SPA are the only models which have
237 separate output for sunlit and shaded leaves. Consequently data from the sunlit leaves of
238 these models from periods of high PPFD ($>1000 \mu\text{mol m}^{-2} \text{s}^{-1}$) during the dry season (July-
239 December) were used for comparison. The effect of increasing T_{air} reducing modelled soil
240 water content (via increased VPD and consequent leaf transpiration) had to be removed from
241 the model outputs to make it comparable to the DG data, where individual leaves were
242 artificially warmed. Therefore we only selected model outputs from the temperature
243 simulations if the soil water content in the rooting zone was in the top quartile of the values
244 from the ambient control simulation, this corresponded to β values of >0.9 in CLM3.5. For
245 consistency with the sunlit leaf analysis, the analysis of canopy average leaf data from all
246 models was done using dry season data with PPFD $>1000 \mu\text{mol m}^{-2} \text{s}^{-1}$.

247 The relative sensitivity of the five models to changes in temperature and precipitation is
248 assessed by comparing the interactive and non-interactive effects of the 50 % reduction in
249 wet season precipitation (drought simulation) with the -5°C , 0 , and $+6^\circ\text{C}$ change in T_{air} on
250 ecosystem fluxes at the end of the 8 year simulation (2006).

251

252 3 Results

253 3.1 ~~Canopy scale~~ Canopy-scale responses

254 The models have similar responses of NEE and GPP to increasing T_{air} . DG observed a
255 reduction in carbon uptake as NEE went from -17.4 ± 0.3 to $-7.9 \pm 1.1 \mu\text{mol m}^{-2} \text{s}^{-1}$.

256 corresponding to an increase in T_{air} from 28°C - 32°C (Figure 2a). The modelled NEE begins
257 to increase at a lower T_{air} (22-25°C) in the models and the 28°C - 32°C increase in NEE is
258 generally substantially less than observed by DG (2.5-3.9 $\mu\text{mol m}^{-2} \text{s}^{-1}$), except in SPA which
259 experiences a similar increase in NEE as DG from 28°C - 32°C (8.8 $\mu\text{mol m}^{-2} \text{s}^{-1}$), across the
260 same range of values (-15.8 to -7.0 $\mu\text{mol m}^{-2} \text{s}^{-1}$; Figure 2a). The increase in modelled NEE at
261 high temperatures is caused by a decline in GPP across all models (Figure 2b). As T_{air}
262 increases from 16°C to 38°C the average decline in GPP from all models is $20.9 \pm 3.2 \mu\text{mol m}^{-2}$
263 s^{-1} . In contrast the mean model decline in R_{eco} over the same modelled T_{air} range was
264 $4.2 \pm 1.8 \mu\text{mol m}^{-2} \text{s}^{-1}$ (Figure 2c). The decline in modelled ecosystem respiration is low
265 because in all models a decline in autotrophic respiration with increasing temperature (linked
266 in the models with reduced GPP) is opposed by an increase in heterotrophic respiration (data
267 not shown).

268 Declines in GPP corresponded to declines in LAI. Between 25°C to 38°C the decline in GPP
269 in CLM3.5 (89 \pm 38 %), and SPA (82 \pm 26 %) was greater than in other models (Figure 2b) and
270 matched by greater declines in LAI over the same temperature range ($4.2 \pm 1.0 \text{ m}^2 \text{ m}^{-2}$,
271 CLM3.5 and $4.4 \pm 0.9 \text{ m}^2 \text{ m}^{-2}$ in SPA, relative to only $0.6 \pm 0.3 \text{ m}^2 \text{ m}^{-2}$ in ED2 and $0.4 \pm 0.1 \text{ m}^2$
272 m^{-2} in JULES; Figure 2d). The inter-model variability in LAI is large; at 25 °C the median
273 LAI value in ED2 ($3.6 \pm 0.3 \text{ m}^2 \text{ m}^{-2}$) is 3 times smaller than the median values in CLM3.5
274 ($10.7 \pm 1.0 \text{ m}^2 \text{ m}^{-2}$). Observed mean LAI at the TNF under non-drought conditions ranged
275 from 5.5-6.3 $\text{m}^2 \text{ m}^{-2}$ from 2000 to 2005 (Brando et al., 2008) and therefore the modelled
276 values span a range ~70% above and below the measured LAI (Figure 2d).

277 Combined drought and warming had compound effects on GPP, R_{eco} , and LAI. In CLM3.5

278 GPP remained the same in the $T_{\text{air}} -5^{\circ}\text{C}$ simulation at the end of the drought and control
279 simulation, however in the $T_{\text{air}} +6^{\circ}\text{C}$ simulation the forest which existed at the end of the
280 control simulation was replaced with grassland in the drought simulation (GPP values for
281 grassland are not shown, Figure 3a). In JULES, SiB3 and SPA the GPP was the same in the
282 control and the drought simulation at $T_{\text{air}} -5^{\circ}\text{C}$; however GPP is 61%, 58% and 44% lower
283 respectively at the end of the drought relative to the control simulation (Figure 3a). The
284 combined effect of temperature and drought on GPP and R_{eco} is lowest in ED2, because it
285 was the only model to have a strong drought effect on GPP, R_{eco} and LAI in the $T_{\text{air}} -5^{\circ}\text{C}$
286 simulation (Figure 3). In CLM3.5 and SPA, GPP and LAI have the same fractional
287 reductions with drought, at higher temperatures (Figure 3a and 3c), indicating a tight
288 coupling between the LAI and canopy productivity; this contrasts the lack of, or low GPP-
289 LAI feedback in SiB3 and JULES.

290 Amongst the models there is a continuum of temperature versus drought sensitivity. We
291 express the temperature versus drought sensitivity as the equivalent temperature increase
292 necessary to produce the same GPP reduction as between the last year of the control to the
293 drought simulation at ambient T_{air} (Table 2). A low equivalent temperature would represent a
294 greater GPP sensitivity to temperature increase and/or a lower GPP sensitivity to drought; a
295 higher equivalent temperature represents a lower GPP sensitivity to temperature increase
296 and/or a higher GPP sensitivity to drought. The equivalent temperature increase necessary to
297 reproduce the same GPP reduction as from the last year of control and droughts simulation at
298 ambient temperature was lowest in SPA (4.92°C), moderate in JULES and CLM3.5 (8.61°C
299 and 8.83°C , respectively), and highest in SiB3 and ED2 (15.70°C and 17.50°C , respectively;
300 Table 2). However across all the models a 5°C reduction in ambient T_{air} resulted in an

301 increase in forest productivity as GPP rose between 3.3-8.7 Mg C ha⁻¹ yr⁻¹ in all models
302 (Table 2).

303 ~~The models have similar responses of NEE and GPP to increasing T_{air} . DG observed a~~
304 ~~reduction in carbon uptake as NEE went from -17.4 ± 0.3 to -7.9 ± 1.1 $\mu\text{mol m}^{-2} \text{s}^{-1}$,~~
305 ~~corresponding to an increase in T_{air} from 28°C – 32°C (Figure 2a). The modelled NEE begins~~
306 ~~to increase at a lower T_{air} (22 – 25°C). The modelled increase in NEE from 28°C – 32°C , in all~~
307 ~~models except SPA (2.47 – 3.87 $\mu\text{mol m}^{-2} \text{s}^{-1}$), is substantially less than observed by DG;~~
308 ~~model increases in NEE in SPA from 28°C – 32°C are closer to those observed by DG (-15.8~~
309 ~~to -7.0 $\mu\text{mol m}^{-2} \text{s}^{-1}$; Figure 2a). The increase in modelled NEE at high temperatures is caused~~
310 ~~by a decline in GPP across all models (Figure 2b). As T_{air} increases from 16°C to 38°C the~~
311 ~~average decline in GPP from all models is 20.9 ± 3.2 $\mu\text{mol m}^{-2} \text{s}^{-1}$. In contrast the mean model~~
312 ~~decline in R_{eco} over the same modelled T_{air} range was 4.2 ± 1.8 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (Figure 2c). The~~
313 ~~decline in modelled ecosystem respiration is low because in all models a decline in~~
314 ~~autotrophic respiration with increasing temperature (linked in the models with reduced GPP)~~
315 ~~is opposed by an increase in heterotrophic respiration (data not shown).~~

316 ~~Declines in GPP corresponded to declines in LAI. Between 25°C to 38°C the decline in GPP~~
317 ~~in CLM3.5 (89 ± 38 %), and SPA (82 ± 26 %) was greater than the other models (Figure 2b)~~
318 ~~and was matched by greater declines in LAI over the same temperature range (4.2 ± 1.0 $\text{m}^2 \text{m}^{-2}$~~
319 ~~; CLM3.5 and 4.4 ± 0.9 $\text{m}^2 \text{m}^{-2}$ in SPA, relative to only 0.6 ± 0.3 $\text{m}^2 \text{m}^{-2}$ in ED2 and 0.4 ± 0.1 m^2~~
320 ~~m^{-2} in JULES; Figure 2d). The inter-model variability in LAI is large; at 25°C the median~~
321 ~~LAI value in ED2 (3.6 ± 0.3 $\text{m}^2 \text{m}^{-2}$) is 3 times smaller than the median values in CLM3.5~~
322 ~~(10.7 ± 1.0 $\text{m}^2 \text{m}^{-2}$). Observed mean LAI at the TNF under non-drought conditions ranged~~

323 from $5.5\text{--}6.3\text{ m}^2\text{ m}^{-2}$ from 2000 to 2005 (Brando et al., 2008) and therefore the modelled
324 values span a range $\sim 70\%$ above and below the measured LAI (Figure 2d).

325 Combined drought and warming had compound effects on GPP, R_{eco} , and LAI. In Figure 3
326 the change in GPP, R_{eco} , and LAI for the $T_{\text{air}}\text{--}5^\circ\text{C}$ and $T_{\text{air}}\text{+}6^\circ\text{C}$ simulations relative to the
327 control simulation in the last year (2006) of the drought simulation is shown as a fraction of
328 the year of the control simulation. The effect of temperature and drought was strongest in
329 CLM3.5 where GPP is the same in the drought and control simulation at $T_{\text{air}}\text{--}5^\circ\text{C}$, but where
330 a complete forest dieback to grassland was observed when drought was combined with a
331 $\text{+}6^\circ\text{C}$ temperature increase (GPP values for grassland are not shown, Figure 3a). In JULES,
332 SiB3 and SPA the GPP was also the same in the control and the drought simulation at $T_{\text{air}}\text{--}$
333 5°C ; however GPP is 61%, 58% and 44% lower respectively than the control when a $\text{+}6^\circ\text{C}$
334 increase in T_{air} occurs simultaneously with drought (Figure 3a). The combined effect of
335 temperature and drought on GPP and R_{eco} is lowest in ED2, because it was the only model to
336 have a strong drought effect on GPP, R_{eco} and LAI in the $T_{\text{air}}\text{--}5^\circ\text{C}$ simulation (Figure 3). In
337 CLM3.5 and SPA, GPP and LAI have the same fractional reductions with drought, at higher
338 temperatures (Figure 3a and 3c), indicating a tight coupling between the LAI and canopy
339 productivity. JULES, had the smallest GPP-LAI feedback, contrasting ED2 which had greater
340 fractional reduction in LAI than GPP (Figure 3c), despite low absolute values of LAI (Figure
341 2). Reductions in LAI in ED2 are strongly related to drought at all temperatures, caused by
342 greater mortality and leaf shedding in the drought simulations (see Figure 6 in Powell et al.,
343 2013).

344 We find a continuum of temperature versus drought sensitivity amongst the models. If

345 temperature versus drought sensitivity is expressed as the equivalent temperature increase
346 necessary to produce the same GPP loss as in the ambient T_{air} drought simulation (50%
347 reduction in wet season rainfall; Table 2), a low equivalent temperature would represent a
348 greater GPP sensitivity to temperature increase and/or a lower GPP sensitivity to drought.
349 Likewise a higher equivalent temperature represents a lower GPP sensitivity to temperature
350 increase and/or a higher GPP sensitivity to drought. The equivalent temperature increase to
351 reproduce the GPP loss with drought was lowest in SPA (4.92°C), moderate in JULES and
352 CLM3.5 (8.61°C and 8.83°C, respectively), and highest in SiB3 and ED2 (15.70°C and
353 17.50°C, respectively; Table 2). However across all the models a 5°C reduction in ambient
354 T_{air} resulted in an increase in forest productivity as GPP rose between 3.3–8.7 Mg C ha⁻¹ yr⁻¹
355 in all models (Table 2).

356

357 **3.2 Leaf scale Leaf-scale responses**

358 Leaf scale A_n and g_s oppose LAI responses; the model with the smallest change in LAI (ED2)
359 has the highest A_n and the model with the largest change in LAI (CLM3.5) has the lowest A_n
360 (Figures 2 and 4). Similarly the models with no or limited responses of LAI to temperature
361 change (SiB3 and ED2; Figure 2), showed the strongest responses of A_n to temperature
362 change (Figure 4). Such trade-offs result in high model variation in the shape and magnitude
363 of the temperature responses of A_n , g_s , transpiration (ET) and V_{emax} (Figure 4 and 5). As T_{leaf}
364 increases from 25°C to 40°C the inter-model range of A_n values increases 1.9 times from 1.65
365 $\mu\text{mol m}^{-2} \text{s}^{-1}$ to 3.16 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (Figure 4a), indicating greater uncertainty of A_n at higher
366 temperatures. The optimum A_n in SPA, SiB3, JULES, CLM3.5 and ED2 occurs at T_{leaf} values

367 of 25°C, 26°C, 27°C, 30°C and 30°C respectively (Figure 4a) and significantly before the
368 optimum point on V_{emax} (Figure 5). In all models the A_n optimum is linked to g_s and the
369 decline in canopy average A_n occurs at, or within, 1°C of the T_{leaf} at which g_s starts to decline
370 (Figure 4a-b). At $T_{\text{leaf}} > 25^\circ\text{C}$ the variability between the model responses of V_{emax} increases
371 (Figure 5). In CLM3.5, ED2, JULES, SiB3 and SPA the V_{emax} optima was set to 35°C, 40°C,
372 32°C, 36°C and 30°C respectively (10°C of variation). Between the models there is a large
373 variation in how quickly V_{emax} declined following the optima; in CLM3.5 V_{emax} declined 50%
374 at 9°C over the optimum, contrasting with the same decline only after 17°C over the optimum
375 in SPA (Figure 5).

376 There was high variability in the magnitude and temperature response of g_s across the
377 models. The maximum canopy average g_s values in SiB3 (486 $\text{mmol m}^{-2} \text{s}^{-1}$ at 25°C) and ED2
378 (384 $\text{mmol m}^{-2} \text{s}^{-1}$ at 23°C) are substantially higher than CLM3.5 (49 $\text{mmol m}^{-2} \text{s}^{-1}$ at 20°C),
379 JULES (70 $\text{mmol m}^{-2} \text{s}^{-1}$ at 25°C) and SPA (200 $\text{mmol m}^{-2} \text{s}^{-1}$ at 24°C; Figure 4b). Declining
380 g_s causes the steepest decline in ET in CLM3.5 and SPA after 35°C and 30°C respectively
381 (Figure 4c). In CLM3.5 a strong constriction in ET is caused by the strong influence of β on
382 g_s (Figure 4d). β is reduced by $85 \pm 31\%$ in CLM3.5 as T_{leaf} increase from 30-40°C. The
383 decline in β over the same T_{leaf} range was only $14 \pm 1\%$ in ED2, $38 \pm 5\%$ in JULES and $7.9 \pm 1\%$
384 in SiB3 (Figure 4d).

385 For each model there are apparent, but variable, relationships between g_s and A_n (Figure 6),
386 but no obvious relationships between A_n and V_{emax} (Figure 7). The slope of A_n against g_s
387 indicates intrinsic water use efficiency (IWUE); if a linear fit is forced through the g_s and A_n
388 data for each model temperature simulation, it is apparent that all models simulate increasing

389 IWUE with increasing leaf temperature. The increase in IWUE from the lowest to the highest
390 temperature simulation is higher in the drought than control simulations in all models;
391 however there is high variability in the g_s and A_n slope when drought and control simulations
392 of the same temperature are directly compared. Some models have lower IWUE in the
393 drought simulations at all temperatures (CLM3.5, SPA), others have higher IWUE in the
394 drought simulations at all temperatures (SiB3) and others (ED2 and JULES) start off with
395 higher IWUE in the control simulations at the lower temperature simulations but switch to
396 higher IWUE in the drought simulations at high temperature simulations (Table 3; Figure 6).

397 When the effect of soil water stress is removed and sunlit leaf level values are compared to
398 the DG data for the models which could output sunlit leaf only values of g_s and A_n (SPA and
399 CLM3.5; Figure 8), the peak A_n of sunlit leaves in SPA at 25°C ($8.72 \pm 0.24 \mu\text{mol m}^{-2} \text{s}^{-1}$) is
400 similar to the peak in the DG leaf scale data at 30.5°C ($8.44 \pm 0.17 \mu\text{mol m}^{-2} \text{s}^{-1}$; Figure 8a). In
401 CLM3.5 the peak A_n at 29°C is considerably higher ($13.48 \pm 0.20 \mu\text{mol m}^{-2} \text{s}^{-1}$), although it
402 occurs at a similar temperature to the observed peak, but both CLM3.5 and SPA show a
403 decline of A_n with temperature similar to the data. Modelled g_s , however, shows a poor match
404 to the observations (Figure 8b). Peak g_s values occur at substantially lower T_{leaf} values in
405 CLM3.5 (27°C) and SPA (25°C) than observed (33.5°C; Figure 5b). The peak sunlit g_s in
406 SPA are also significantly higher ($434 \pm 88 \text{ mmol m}^{-2} \text{s}^{-1}$) than the observations ($123 \pm 4 \text{ mmol}$
407 $\text{m}^{-2} \text{s}^{-1}$) and show a very sharp decline not observed in the data (Figure 8b).

408 Leaf-scale A_n and g_s oppose LAI responses; the model with the largest change in LAI in
409 response to temperature increase (CLM3.5) has the lowest A_n values and the models with the
410 smallest change in LAI (ED2, JULES & SiB3) have the greatest A_n values and the strongest

411 responses of A_n to temperature change (Figure 4). Model uncertainty increases with
412 temperature for A_n and V_{cmax} (Figure 4a & 5). For V_{cmax} this is caused by substantial variation
413 in the optima (10°C; Figure 5) and the rate of decline in V_{cmax} following the optima; in
414 CLM3.5 V_{cmax} declines 50% at 9°C over the optimum, contrasting with the same decline 17°C
415 over the optimum in SPA (Figure 5).

416 The optimum A_n in SPA, SiB3, JULES, CLM3.5 and ED2 occurs at T_{leaf} values of 25°C,
417 26°C, 27°C, 30°C and 30°C respectively (Figure 4a) and significantly before the optimum
418 point on V_{cmax} (Figure 5). In all models the A_n optimum and the initial decline in canopy
419 average A_n is linked to declines in g_s (Figure 4a-b). Consequently for each model there are
420 apparent, but variable, relationships between g_s and A_n (Figure 6), but no obvious
421 relationships between A_n and V_{cmax} (Figure 7).

422 There was high variability in the magnitude and temperature response of g_s across the
423 models. The maximum canopy average g_s values in SiB3 (486 mmol m⁻² s⁻¹ at 25°C) and ED2
424 (384 mmol m⁻² s⁻¹ at 23°C) are substantially higher than CLM3.5 (49 mmol m⁻² s⁻¹ at 20°C),
425 JULES (70 mmol m⁻² s⁻¹ at 25°C) and SPA (200 mmol m⁻² s⁻¹ at 24°C; Figure 4b). In CLM3.5
426 a strong constriction in ET is caused by the strong influence of β on g_s (Figure 4c-d). β is
427 reduced by 85±31% in CLM3.5 as T_{leaf} increase from 30-40 °C. The decline in β over the
428 same T_{leaf} range was only 14±1% in ED2, 38±5% in JULES and 7.9±1% in SiB3 (Figure 4d).

429 The slope of A_n against g_s indicates intrinsic water use efficiency (IWUE): the rate of increase
430 of assimilation per unit increase in g_s . If A_n is plotted against g_s separately for each model
431 temperature simulations (-5°C, 0°C, +2°C, +4°C, +6°C) and a linear fit is forced through the
432 g_s and A_n data, it is apparent that all models simulate increasing IWUE (an increase in slope)

433 from the -5°C up to the +6°C simulations (Figure 6 & Table 3). The increase in slope of A_n
434 and g_s from the -5°C to +6°C temperature simulation is greater in the drought than control
435 simulations in all models (Figure 6 & Table 3), suggesting that both increasing temperature
436 and reduced water availability increase IWUE.

437 When the effect of soil water stress is removed and sunlit leaf level values are compared to
438 the DG data for the models which could output separate sunlit leaf values of g_s and A_n (only
439 SPA and CLM3.5; Figure 8), the peak A_n of sunlit leaves in SPA at 25°C ($8.72 \pm 0.24 \mu\text{mol m}^{-2}$
440 s^{-1}) is similar to the peak in the DG leaf-scale data at 30.5°C ($8.44 \pm 0.17 \mu\text{mol m}^{-2} \text{s}^{-1}$; Figure
441 8a). In CLM3.5 the peak A_n at 29°C is considerably higher ($13.48 \pm 0.20 \mu\text{mol m}^{-2} \text{s}^{-1}$),
442 although it occurs at a similar temperature to the observed peak. Both CLM3.5 and SPA
443 show a decline of A_n with temperature similar to the data. Modelled g_s , however, shows a poor
444 match to the observations (Figure 8b). Peak g_s values occur at substantially lower T_{leaf} values
445 in CLM3.5 (27°C) and SPA (25°C) than observed (33.5°C; Figure 5b). The peak sunlit g_s in
446 SPA are also significantly higher ($434 \pm 88 \text{mmol m}^{-2} \text{s}^{-1}$) than the observations ($123 \pm 4 \text{mmol}$
447 $\text{m}^{-2} \text{s}^{-1}$) and show a very sharp decline not observed in the data (Figure 8b).

448

449 **4 Discussion**

450 **4.1 Canopy and leaf-scale feedbacks**

451 The response of NEE and GPP to short-term changes in temperature ~~was~~ demonstrated
452 substantially greater consistency across models than ~~that of~~ LAI (Figure 2). ~~Within~~
453 Amongst the models which had dynamic LAI, the change in LAI from the original value

454 ranged from $4.5 \text{ m}^2 \text{ m}^{-2}$ in SPA to $1.0 \text{ m}^2 \text{ m}^{-2}$ in ED2. Interestingly, the change of LAI with
455 T_{air} in ED2, and JULES was so low that it and was more comparable to SiB3-SiB3, a model
456 with fixed LAI. This contrasts CLM3.5 and SPA, within which LAI declined substantially
457 as T_{air} rose above a threshold (Figure 2d). all showed very little change in absolute LAI
458 values with changes in temperature, despite the fact that ED2 and JULES are dynamic
459 vegetation models and SiB3 does not have dynamic LAI. This is contrasted with a sharp
460 decrease in LAI in response to changes in temperature in CLM3.5 and SPA (Figure 2d). The
461 inter-model inter_ range in LAI values across the 5 models (maximum range $7.5 \text{ m}^2 \text{ m}^{-2}$) was
462 however greater than any_the decline in LAI with T_{air} in any model. If leaf-scale fluxes are
463 scaled using an inaccurate LAI, the simulation of both accurate leaf_ and canopy-scale fluxes
464 is not possible (Bonan et al., 2012; Lloyd et al., 2010; Mercado et al., 2006; Mercado et al.,
465 2009). Given the large variability in LAI responses across the models, it would be expected
466 that there should be a greater variability in GPP and NEE than was observed. Models
467 Therefore the models have to must compensate for variability in canopy structural parameters,
468 such as LAI, through adjustment in other leaf-sealeleaf-scale parameters if the observed
469 consistency in ecosystem-scale responses is to be maintained (Bonan *et al.*, 2012). We
470 therefore suggest that the variability in LAI responses is compensated for by variations in
471 parameterisation at the leaf-scale, which in turn drive similarly high variation in the leaf-scale
472 fluxes.

473 We found substantial variation in the magnitude and temperature responses of leaf-scale
474 parameters: peak V_{cmax} had a 10°C T_{leaf} range across the models (Figure 5), g_s values varied
475 by over an order of magnitude (Figure 4b), the inter-model range of β and ET values showing
476 increasingly large disparities with increasing increased with T_{leaf} (Figure 4c-d), and there was

477 ~~a two-fold increase in the inter-modal-model A_n -range of A_n had a two-fold increase~~
478 ~~between~~ T_{leaf} ~~values of~~ ~~rose from~~ 25-40°C (Figure 4a). Such variability across the models
479 suggests that any ~~similarities~~ similarity in ~~the~~ responses of NEE to ~~T_{air} temperature~~ ~~between~~
480 ~~among~~ models ~~are~~ ~~is~~ caused by different processes ~~having differing~~ ~~and~~ feedbacks at the leaf-
481 scale. ~~Had the models been run to their equilibrium states, it is likely that there would have~~
482 ~~been greater divergence of model responses at the both canopy- and leaf--scales. Prolonged~~
483 ~~higher temperatures reduce long-term moisture availability and cause more severe changes in~~
484 ~~β ; in dynamic PFT-models this can result in a substantial shift of PFT away from tropical~~
485 ~~forest.~~ Without more data to evaluate which models ~~are producing~~ ~~produced~~ ~~produced~~ ~~both~~ the
486 correct ~~V_{cmax} and g_s~~ responses to temperature, it is hard to have confidence in predictions of
487 climate change impacts in Amazonian ~~simulated by either one or multiple_ models.~~

488 Variability in the control of g_s and leaf biochemistry on A_n and changes in IWUE efficiency
489 with increasing temperature or drought will have significant consequences on the demand of
490 water from a forest (Harper et al., 2014). In this study we find g_s had a greater control on the
491 change in A_n with increasing temperature because: A_n started to decline at T_{leaf} values which
492 were lower than those at which peak V_{cmax} occurred (Figure 4b and Figure 5) and A_n
493 maintained a positive relationship with g_s across all models (Table 3; Figure 6), but no clear
494 relationship with V_{cmax} (Figure 7). All the models in this study also predicted an increases in
495 IWUE from the lowest (ambient T_{air} -5°C) to the highest (ambient T_{air} +6°C) temperature
496 simulation; this increase in IWUE was also always greater in the drought temperature
497 simulations relative to the control temperature simulations (Table 3; Figure 6). Increases in
498 IWUE with increasing temperature suggests that as the ecosystem warms A_n will become
499 more sensitive to reductions in g_s and g_s will maintain a greater control on A_n than

500 biochemical controls, even at very extreme increases in temperature (ambient $T_{\text{air}}+6^{\circ}\text{C}$).

501 These results are consistent with the hypothesis that temperature increases will mainly be
502 manifested through the effect of increased VPD on stomatal conductance (Lloyd and
503 Farquhar 2008). They are also consistent ~~and~~ with leaf warming data from the Tapajos forest
504 which show that reductions in A_n start to occur at 4-5°C before the optimum point for V_{cmax}
505 and J_{max} in sunlit leaves (Tribuzy, 2005). However the responses from longer-term leaf
506 warming experiments at the same site showed that changes in leaf biochemistry with
507 increasing leaf temperatures was an important control on A_n (Doughty 2011), suggesting
508 more data ~~is~~ are required to ~~effectively~~ test ~~effectively~~ both the short and long term responses
509 of A_n to changes in temperature in models.

510 Comparing the short term direct effect of temperature on the A_n - g_s relationships is
511 complicated because of the differences in the calculation and implementation of the effect of
512 water stress amongst models (Powell et al., 2013; Zhou et al., 2013). β is altered by changes
513 in SWC, which can be caused by changes in temperature (via increased VPD altering SWC),
514 as well as changes in precipitation; in turn β alters both g_s (Figure S1) and A_n . The decrease
515 in β with temperature increase was highly variable ~~between~~among models (Figure 4d).
516 Consequently, the direct influence of soil water stress on g_s , A_n and ET , versus the indirect
517 effect of VPD, was inconsistent between models. Resolving these inconsistencies is
518 important, as water stress functions impact the ratio of modelled latent to sensible heat fluxes
519 and so when coupled to global climate models they alter climate and vegetation feedbacks
520 (Harper et al., 2014). Improving how water stress is simulated in models is therefore essential
521 to improving temperature and drought responses in tropical forests.

522 The models did agree that reductions in g_s with increasing temperature were the main cause
523 of reductions in forest productivity (Lloyd and Farquhar, 2008). A_n decline was related to g_s
524 decline (Table 3; Figure 6), but not V_{emax} decline (Figure 7) and the decline in A_n with
525 increasing T_{leaf} occurred prior to the T_{leaf} peak in V_{emax} (Figure 4b and Figure 5). The slope of
526 A_n - g_s reflects IWUE and our study demonstrates that all the models predict an increase in
527 IWUE with rising leaf temperatures and an accentuation of this change under drought
528 conditions (Table 3; Figure 6). Very steep A_n - g_s slopes at higher leaf temperatures suggests
529 that as the ecosystem warms A_n becomes more sensitive to reductions in g_s , and therefore that
530 stomatal controls are likely to have greater influence at higher temperatures; this suggests that
531 even at high temperatures (up to 6°C above ambient) reductions in A_n are caused mainly by
532 stomatal, rather than a biochemical responses. These results reflect patterns found in leaf
533 warming data from the Tapajos forest which show that reductions in A_n start to occur at 4-
534 5°C before the optimum point for V_{emax} and J_{max} in sunlit leaves (Tribuzy, 2005), but not the
535 responses of other longer term leaf warming experiments at the same site which experienced
536 changes in leaf biochemistry with increasing leaf temperatures (Doughty 2011). Substantially
537 more data is therefore required to effectively test such results.

538 IWUE was highly variable across the models, particularly with the introduction of
539 precipitation change (Table 3; Figure 6). Variability in the A_n - g_s relationships are related to
540 differences in how β is calculated by models (Powell et al., 2013; Zhou et al., 2013), as β
541 alters g_s (Figure S1) and A_n - β is altered by changes in SWC which can be caused by changes
542 in temperature (via increased VPD altering SWC), as well as changes in precipitation. The
543 decrease in β with temperature increase was highly variable between models (Figure 4d).

544 ~~Consequently, the direct influence of soil water stress on g_s , A_n and ET , versus the indirect~~
545 ~~effect of VPD, as temperature and precipitation changed was inconsistent between models.~~
546 ~~Resolving these inconsistencies is important, as water stress functions impact the ratio of~~
547 ~~modelled latent to sensible heat fluxes and so when coupled to global climate models they~~
548 ~~alter climate and vegetation feedbacks (Harper et al., 2014). Improving how water stress is~~
549 ~~simulated in models is therefore essential to improving temperature and drought responses in~~
550 ~~tropical forests.~~

551 ~~When considering SPA, a model that uses a more mechanistic water stress response~~
552 ~~(and focusing only on periods of high soil water content ~~to and remove therefore removing~~~~
553 ~~the effects of water stress, ~~response of A_n~~) and, g_s values from fully sunlit leaves still varied~~
554 substantially from the response and magnitude of the DG data (Figure 8). Given the DG data
555 ~~was were~~ averaged from only three top-canopy species, ~~compared to deriving from all sunlit~~
556 ~~leaves in CLM3.5 and SPA,~~ some degree of variations between the model and the data is
557 expected. ~~However,~~ ~~the~~ variability between the peak data and peak model g_s ~~is was~~
558 however > 4 times (Figure 8b) and the modelled temperature optima for g_s (25-27°C) was
559 substantial lower than observed by DG (33.5°C). ~~Had the modelled temperature optima for g_s~~
560 ~~been closer to the observed temperature optima (33.5°C), V_{emax} , may have had a greater~~
561 ~~limitation on A_n , as at the observed g_s temperature optima (33.5°C) some models are past the~~
562 ~~V_{emax} temperature optimum (Figure 5). Consequently in this study the dominance of the effect~~
563 ~~of g_s on photosynthesis may be derived from low g_s optima in the models.~~

564 Given that CLM3.5 and SPA are in the lower range of the total model variability for the g_s
565 and A_n of an average canopy leaf (aggregated sunlit and shaded leaf; Figure 4a-b), the

566 variation from the data is likely to be substantially larger if sunlit leaf data could be extracted
567 from all models. Considering the importance of g_s in controlling leaf productivity, the
568 suitability of the empirical models of g_s used in these models requires further testing (Bonan
569 *et al.*, 2014). The use of optimised rather than empirical models may provide an opportunity
570 to improve the capability to simulate g_s responses to temperature and water stress in greater
571 detail (Heroult *et al.*, 2013; Medlyn *et al.*, 2013; Medlyn *et al.*, 2011; Zhou *et al.*, 2013).

572

573 4.2 Combined drought and temperature sensitivities

574 Previous modelling studies have shown that there is high variability in how sensitive models
575 are to temperature and drought (Friedlingstein *et al.*, 2006; Galbraith *et al.*, 2010; Luo *et al.*,
576 2008; Sitch *et al.*, 2008), but that vegetation models have embedded in them greater
577 sensitivity to rises in temperature than drought (Galbraith *et al.*, 2010) despite the evidence
578 for strong drought sensitivity in natural rainforests (Gatti *et al.* 2014; Meir *et al.* 2015). The
579 responses of modelled forest production in this study to combined changes in precipitation
580 and temperature was/were however highly variable. Rising T_{air} in CLM3.5 and SPA
581 had caused very strong compound effects of temperature on drought-induced reductions in
582 GPP, R_{eco} and LAI (Figure 3) relative to JULES and SiB3. ~~In~~ ED2, the drought effect on
583 GPP was/was always stronger than the other model temperature effect (Figure 3) because
584 of because it has a a strong drought-mortality effect at this site (Powell *et al.*, 2013); da Costa
585 et al. 2010). Considerable model disparity in the response of A_n and LAI to drought and
586 temperature (Figures 2, 4 and 6) resulted in substantial variation in relative sensitivity of
587 models to temperature and drought. ~~Previous modelling studies have shown that there is high~~

588 ~~variability in how sensitive models are to temperature and drought (Friedlingstein et al.,~~
589 ~~2006; Galbraith et al., 2010; Luo et al., 2008; Sitch et al., 2008), but that vegetation models~~
590 ~~have greater sensitivity to rises in temperature than drought (Galbraith et al., 2010).~~ This
591 study demonstrates that there is ~~actually~~ a continuum in model responses from models that
592 require a low increase in ambient T_{air} to cause the same GPP loss as a 50% reduction in wet
593 season rainfall (SPA, 4.9 °C), to models that have a very strong drought response and
594 therefore require a substantial increase in ambient T_{air} to replicate the same GPP loss as a
595 50% reduction in wet season rainfall (ED2, 17.5 °C; Table 2). As a 6°C rise in temperature
596 and a 50 % reduction in rainfall are changes which may occur in Amazonia during the 21st
597 century (Christensen et al, 2007; Collins et al., 2013), we suggest that there is currently no
598 consensus ~~between~~ among vegetation models as to whether there will be a stronger drought
599 or temperature response to future climate change within tropical forests.

600 Across all the models; ~~the dominance of stomatal control on productivity resulted in~~ GPP
601 ~~increasing~~ increased when ambient T_{air} was reduced by 5°C; ~~this was~~ occurred because the
602 ~~and the ambient air temperature -5°C temperature was~~ was closer to the modelled g_s
603 ~~optimum~~ optima. This result suggests models are currently predicting that Amazonian forests
604 are operating beyond a temperature and VPD optimum. Given that the models underestimate
605 the point at which NEE declines with T_{air} by 3-6°C and the point at which g_s declines with
606 T_{leaf} by 7.5-9.5°C (Figure 2 and 4), it ~~is~~ seems likely that the models in this study may be
607 biased towards temperature calibrations for temperate ecosystems. Consequently, as well as
608 moving towards implementing more mechanistic responses to improve models, more
609 research to test and adjust their temperature responses in tropical ecosystem is necessary. The
610 range of model responses in this study is likely to stem from real uncertainty in our

611 understanding of the responses by tropical rain forest ecosystems to changes in precipitation
612 and temperature. Further analysis of the same questions using models that vary in complexity
613 (eg, statistical or optimised models, as well as purely mechanistic) might provide additional
614 insight into mechanistic and simulation bias (systematic or random), as well advancing
615 understanding about climate risk that we derive from them (Meir, Mencuccini and Dewar,
616 2015)

617

618 **5 Conclusion**

619 This is the first study in which canopy and leaf temperature responses from multiple
620 vegetation models are analysed and compared to existing data on leaf and canopy
621 temperature responses from a tropical forest site. This study finds models lie along a
622 continuum of those which have a greater sensitivity of GPP to changes in temperature relative
623 to drought and those which have a greater sensitivity to drought relative to a change in
624 temperature. Any consistency in model responses to temperature and drought were however,
625 the result of inconsistent leaf-scale responses, which were found to compensating for
626 substantial inter-model variation in the magnitude and response of LAI to drought and
627 temperature.

628 All the models in this study predict that reductions in A_n are dominated by stomatal rather
629 than biochemical responses and that ~~tropical forest productivity will become more sensitive~~
630 ~~to reductions in g_s as temperatures rise~~ IWUE increased with rising temperatures. The
631 dominance of the effect of g_s rather than V_{cmax} on A_n results in all the models predicting

632 greater forest productivity when temperatures are 5°C below ambient and closer the
633 temperature of the g_s optimum. ~~Despite consistent prediction of increasing IWUE with~~
634 ~~temperature rise, there was however no consistency between models in how IWUE will~~
635 ~~respond to combined changes in temperature and drought. It seems therefore that the~~
636 ~~consistency of model responses is reduced as changes in multiple climate variables are~~
637 ~~introduced simultaneously.~~ This suggests that currently models predict that tropical forests are
638 operating beyond a temperature and VPD optimum, but we note that these predictions may be
639 influenced by parameterisations derived originally from temperate zone forests.

640 ~~To~~ This study concludes that to ~~effectively~~ simulate effectively the response of the Amazon
641 forest to changes in multiple climatic factors substantial improvements are needed in how
642 leaf-scale processes and leaf-to-canopy scaling are simulated. Further measurement
643 campaigns-observational data are also required to generate consistent leaf- and canopy-scale
644 data for independent model evaluation.

645

646 Author Contributions

647 L. R. and M. W. designed the experiment, performed simulations, analysed data and prepared
648 the manuscript. A. H., B. O. C., D. R. G., H. M. A. I., T. L. P., S. S, P. M. performed
649 simulation, contributed to study design and prepared manuscript. D. D. contributed to the
650 data analysis. N. L. and Y. M. contributed to the study design. P. M. ~~designed the experiment,~~
651 contributed to data analysis and prepared the manuscript.

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891 Table 1: Summary of the characteristics of each of the ~~four~~ five vegetation models (CLM3.5,
 892 ED2, JULES, SiB3, & SPA).

893

	CLM3.5	ED2	<u>JULES</u>	SiB3	SPA
No° of plant function types	5	4	<u>10</u>	1	1
Canopy structure	Big-leaf	Gap model	<u>Layered Canopy</u>	Big-leaf	Layered canopy
Leaf Area index	Dynamic	Dynamic	<u>Dynamic</u>	Fixed	Dynamic
Division of sunlit and shaded leaf	Y (discrete division)	N	<u>N</u>	N	Y (discrete division)
Simulation of water stress on A_n and g_s.	Water stress factor	Water stress factor	<u>Water stress factor</u>	Water stress factor	Linked soil-leaf water potential/resistance model to g_s model.
Origin of photosynthesis model	Farquhar et al., (1980); Farquhar and Sharkey (1982); Collatz et al. (1991)	Farquhar et al., (1980); Farquhar and Sharkey (1982); Collatz et al. (1991)	<u>Farquhar et al., (1980); Farquhar and Sharkey (1982); Collatz et al. (1991)</u>	Farquhar et al., 1(980); Farquhar and Sharkey (1982) Collatz et al. (1991)	Farquhar et al., (1980); Kirschbaum and Farquhar (1984); McMurtrie et al. (1992)
Key model references	Bonan et al., (2003); Levis et al., (2004); Oleson et al. (2008).	Medvigy et al., (2009); Kim et al 2012.	<u>Best et al., (2011); Clark et al., (2011)</u>	Sellers et al., (1992); Sellers et al., (1996); Baker et al (2008).	Williams, (1996); Williams et al., (2005); Fisher et al., (2006)

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898 Table 2: Model values for GPP ($\text{Mg C ha}^{-1} \text{ yr}^{-1}$) for the last year (2006) of the ambient air
 899 temperature control plot simulation ($T_{\text{air}}+0^{\circ}\text{C}$), the control plot simulation -5°C ($T_{\text{air}}-5^{\circ}\text{C}$),
 900 the control plot simulation $+6^{\circ}\text{C}$ ($T_{\text{air}}+6^{\circ}\text{C}$) and the ambient air temperature drought plot
 901 simulation ($T_{\text{air}}+0^{\circ}\text{C}$). The equivalent temperature is the elevation in the control plot
 902 simulation temperature needed to replicate the same magnitude reduction in GPP as the
 903 drought simulation, for the year 2006 and at ambient temperatures. The equivalent
 904 temperature is derived from a linear relationship between GPP values in 2006 and the air
 905 temperatures in the 5 temperature simulations per model.

906

907

	CLM3.5	ED2	<u>JULES</u>	SiB3	SPA
Control GPP $T_{\text{air}}-5^{\circ}\text{C}$	40.74	31.74	<u>36.73</u>	35.27	38.23
Control GPP $T_{\text{air}}+0^{\circ}\text{C}$	36.68	28.31	<u>31.16</u>	31.95	29.55
Control GPP $T_{\text{air}}+6^{\circ}\text{C}$	28.03	20.70	<u>20.08</u>	27.50	15.89
Drought GPP $T_{\text{air}}+0^{\circ}\text{C}$	26.47	10.79	<u>18.13</u>	20.86	19.55
Equivalent T_{air}	8.83	17.50	<u>8.61</u>	15.70	4.92

908 Table 3: Values show the normalised intrinsic water use efficiency (IWUE) calculated from
 909 the linear slope of normalised A_n plotted against normalised g_s (Figure 6). The normalised
 910 IWUE is calculated separately for each models' control and drought temperature simulations
 911 (ambient air temperature (T_{air}) -5°C , $+0^{\circ}\text{C}$, $+2^{\circ}\text{C}$, $+4^{\circ}\text{C}$, and $+6^{\circ}\text{C}$). [Note NA in CLM3.5
 912 drought simulations indicates the model changed from a forest to a grassland]
 913 The slope of the relationships of A_n with g_s (intrinsic water use efficiency; IWUE), shown in
 914 Figure 6 for each temperature run (ambient air temperature (T_{air}) -5°C , $+0^{\circ}\text{C}$, $+2^{\circ}\text{C}$, $+4^{\circ}\text{C}$, and
 915 $+6^{\circ}\text{C}$) in the control and drought simulations (panels f-j), for each model. [Note NA in
 916 CLM3.5 drought simulations indicates the model changes to a grassland].

917

	Control Simulations					Drought Simulations				
	CLM3.5	ED2	JULES	SiB3	SPA	CLM3.5	ED2	JULES	SiB3	SPA
$T_{air} -5^{\circ}\text{C}$	0.84	0.42	0.50	0.09	0.49	0.73	0.29	0.50	0.10	0.27
$T_{air} +0^{\circ}\text{C}$	0.93	0.56	0.83	0.49	0.68	0.93	0.40	0.60	0.93	0.24
$T_{air} +2^{\circ}\text{C}$	1.01	0.67	1.01	0.58	0.73	1.08	0.53	0.97	1.11	0.41
$T_{air} +4^{\circ}\text{C}$	1.05	0.79	1.18	0.65	1.00	NA	0.78	1.37	1.20	0.74
$T_{air} +6^{\circ}\text{C}$	1.11	0.95	1.32	0.69	1.50	NA	1.10	1.73	1.22	1.15

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919 Figure captions:

920 Figure 1: Schematic diagram showing how droughts, via the combined effects of increased
921 | air temperature (T) and ~~reductions in~~reduced precipitation (PPT), affect the carbon cycle of a
922 | tropical forest, including the effects on: vapour pressure deficit (VPD), evapo-transpiration
923 | (E_t), stomatal conductance (g_s), soil water content (SWC), net photosynthesis (A_n), leaf area
924 | index (LAI), the maximum rates of RuBP carboxylation and electron transport (V_{cmax} and
925 | J_{max} respectively), autotrophic respiration (R_a) heterotrophic respiration (R_h), gross primary
926 | productivity (GPP), and net ecosystem exchange (NEE). + signs indicate a positive
927 | feedback effect between variables (i.e. an increase in one variable can only cause an increase
928 | in another if all else is equal), - signs indicate a negative feedback effect, and +/- indicate the
929 | possibility of both a positive and negative effect. Solid arrows represent responses which
930 | occur over short timescales of minutes to hours, whereas dashes arrows represent responses
931 | which can occur over longer timescales from days to months.

932 Figure 2: Comparison of the air temperature (T_{air} °C) response of a) daytime net ecosystem
933 | exchange (NEE, $\mu\text{mol m}^{-2} \text{s}^{-1}$; note that negative values of NEE indicate carbon
934 | sequestration), b) gross primary productivity (GPP, $\mu\text{mol m}^{-2} \text{s}^{-1}$), c) ecosystem respiration
935 | (R_{eco} ($\mu\text{mol m}^{-2} \text{s}^{-1}$), d) leaf area index (LAI, $\text{m}^2 \text{m}^{-2}$). The lines show the median model
936 | responses from the five control temperature runs per model pooled and divided into 1-°C
937 | temperature bins. The grey shaded area shows the combined 15.9th and 84.1th quantiles for all
938 | models. The black points and error bars in panel a) show the daytime eddy-flux inferred NEE
939 | (cf. Figure 4 in Doughty and Goulden 2008).

940 | Figure 3: Modelled effect of short-term changes in temperature and drought. Fractional

941 ~~change in~~Changes in: a) gross primary productivity (GPP) b) ecosystem respiration (R_{eco})
942 and c) leaf area index (LAI) ~~in~~ the final year (2006) in the drought run ~~relative to~~
943 ~~the~~expressed as a fraction of the value in the final year (2006) of the control run, ~~are shown~~
944 for the $T_{\text{air}} -5^{\circ}\text{C}$ (grey bars) and $T_{\text{air}} +6^{\circ}\text{C}$ (~~w~~White bars) simulations.

945 Figure 4: Comparison of the dry season mean (sunlit + shaded leaves, weighted by their
946 respective LAIs) leaf-level response to temperature (T_{leaf} , $^{\circ}\text{C}$) of a) net photosynthesis (A_n ,
947 $\mu\text{mol m}^{-2} \text{s}^{-1}$), b) stomatal conductance (g_s , $\text{mmol m}^{-2} \text{s}^{-1}$), c) leaf transpiration (E_t , $\text{mm m}^{-2} \text{s}^{-1}$),
948 and d) the soil water stress factor (β) for average canopy leaves [Note SPA does not
949 simulate β]. The lines show the median model responses from the control plot for the five
950 temperature simulations pooled and divided into 1°C temperature bins for each model. The
951 grey shaded area shows the combined 15.9th and 84.1th quantiles for all models. [Note JULES
952 E_t data is missing from these ~~run~~simulations]

953 Figure 5: The temperature response of V_{cmax} for each model shown ~~in~~ relative to the V_{cmax} at
954 25°C per model.

955 Figure 6: The relationship between 30 minute values of modelled ~~dry season~~ stomatal
956 conductance (g_s) and photosynthesis (A_n) normalised by their respective maximum values: ~~A_n~~
957 and g_s values are taken only from the dry season when $\text{PPFD} > 1000 \mu\text{mol m}^{-2} \text{s}^{-1}$ when
958 $\text{PPFD} > 1000 \mu\text{mol m}^{-2} \text{s}^{-1}$. Values are ~~shown for each~~coloured separately from deep blue to
959 red (see legend) for each temperature ~~run~~simulations (ambient air temperature -5°C , $+0$
960 $^{\circ}\text{C}$, $+2^{\circ}\text{C}$, $+4^{\circ}\text{C}$, and $+6^{\circ}\text{C}$) and panels ~~in~~separate the control (panels a-e) and drought
961 simulations (panels f-j), for each model. Values are from sunlit and shaded leaves, weighted
962 by their respective LAIs. A separate linear line is ~~forced-plotted~~ through the normalised A_n ,

963 g_s -data for each temperature ~~run~~ simulations, the slope of which represents the normalised
964 intrinsic water use efficiency: the normalised increase in A_n per unit increase in normalised g_s .
965 ~~to indicate the steepness of the slope, which represents intrinsic water use efficiency. L~~Data
966 ~~and~~ linear lines are also coloured from deep blue to deep red to differentiate the additions to
967 ambient air temperature (see legend).

968 Figure 7: The relationship between V_{cmax} ($\mu\text{mol m}^{-2} \text{s}^{-1}$) and photosynthesis (A_n $\text{mmol m}^{-2} \text{s}^{-1}$)
969 for the half hourly output from each model in the dry season of the control runs, with PPFD >
970 $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$. Values are from sunlit and shaded leaves, weighted by their respective
971 LAIs. Results are shown across all leaf temperatures explored in this study (colour change
972 from blue to red ~~indicated~~ indicates increasing leaf temperature (see legend)).

973 Figure 8: The sunlit leaf-level response of dry season a) net photosynthesis (A_n , $\mu\text{mol m}^{-2} \text{s}^{-1}$)
974 and b) stomatal conductance (g_s , $\mu\text{mol m}^{-2} \text{s}^{-1}$) to leaf temperature (T_{leaf} , $^{\circ}\text{C}$) for CLM3.5
975 (orange) and SPA (red). The lines show the median model responses from the control plot for
976 the five temperature simulations pooled and divided into 1°C temperature bins for each
977 model. The shaded areas around each line show the 15.9th and 84.1th quantiles for each
978 model. Data from Doughty and Goulden is shown as black points; error bars show the
979 standard error. [Note only SPA and CLM3.5 output data on sunlit leaf values of A_n and g_s .]

980
981 Figure S1: The relationship between β and stomatal conductance (g_s $\text{mmol m}^{-2} \text{s}^{-1}$) for each
982 model in the dry season, with PPFD > $1000 \mu\text{mol m}^{-2} \text{s}^{-1}$. Values are from sunlit and shaded
983 leaves, weighted by their respective LAIs. Results are shown across all leaf temperatures

984 explored in this study (colour change from blue to red indicated increasing leaf temperature)

985 and separately for the drought and control simulation.

986