

1           **Simultaneously assimilating multivariate datasets into the**  
2           **two-source evapotranspiration model by Bayesian approach:**  
3           **Application to spring maize in an arid region of northwest China**

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26 **Abstract**

27 Based on direct measurements of half-hourly canopy evapotranspiration (ET;  $\text{W m}^{-2}$ )  
28 using the eddy covariance (EC) system and daily soil evaporation ( $E$ ;  $\text{mm d}^{-1}$ ) using  
29 microlysimeters over a crop ecosystem in arid northwest China from 27 May to 14  
30 Sep. in 2013, a Bayesian method was used to simultaneously parameterize the soil  
31 surface and canopy resistances in the Shuttleworth-Wallace (S-W) model. 4 of the six  
32 parameters showed relatively larger uncertainty reductions ( $>50\%$ ), and their posterior  
33 distributions became approximately symmetric with distinctive modes. There was a  
34 moderately good agreement between measured and simulated values of half-hourly  
35 ET and daily  $E$  with a linear regression being  $y=0.84x+0.18$  ( $R^2=0.83$ ) and  
36  $y=1.01x+0.01$  ( $R^2=0.82$ ), respectively. The causes of underestimations of ET by the  
37 S-W model was possibly attributed to the micro-scale advection, which can contribute  
38 an added energy in the form of downward sensible heat fluxes to the ET process.  
39 Therefore, the advection process should be taken into account in simulating ET in  
40 heterogeneous land surface. Also, underestimations were observed on or shortly after  
41 rainy days, which may be due to direct evaporation of liquid water intercepted in the  
42 canopy. Thus, the canopy interception model should be coupled to the S-W model in  
43 the long-term ET simulation.

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45 **Keywords:** Bayesian statistics, Crop evapotranspiration, Shuttleworth-Wallace model,  
46 Maize, Arid region

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## 48 1 Introduction

49 In agriculture ecosystem, more than 90% of all water inputs is lost by  
50 evapotranspiration (ET) (Morison et al., 2008), which is defined as the sum of water  
51 loss by evaporation ( $E$ ) from soil and transpiration ( $T$ ) from plants (Rana and Katerji,  
52 2000).  $E$  and  $T$  are influenced by different abiotic and biotic factors (Wang and Yakir,  
53 2000), and the contributions of  $E$  and  $T$  to the total ecosystem ET are highly variable  
54 in space and time (Ferretti et al., 2003). Thus, accurate measurement or estimation of  
55 ET and its components ( $E$  and  $T$ ) is essential for many applications in agriculture,  
56 such as irrigation scheduling, drainage, and yield forecasts (Wallace and Verhoef,  
57 2000; Flumignan et al., 2011; Sun et al., 2012). The Shuttleworth-Wallace model  
58 (S-W model) (Shuttleworth and Wallace, 1985) takes the interactions between the  
59 fluxes from soil and canopy into account, and is physically sound and rigorous.  
60 Previous studies have proved that it performs well for row crops such as maize, wheat,  
61 cotton, sorghun and vine (Stannard, 1993; Tourula and Heikinheimo, 1998;  
62 Anadranistakis et al., 2000; Teh, et al., 2001; Lund and Soegaard, 2003; Kato et al.,  
63 2004; Ortega-Farias et al., 2007; Zhang et al., 2008).

64 Despite these studies, there are still some insufficiencies in the application of the  
65 S-W model (Hu et al., 2009; Zhu et al., 2013). First, the S-W model is sensitive to the  
66 errors in the values of canopy and soil resistances (Lund and Soegaard, 2003).  
67 Previous studies mainly focused on the parameterization of the canopy resistance  
68 (Hanan and Prince, 1997; Samanta et al., 2007; Zhu et al., 2013), and less attentions  
69 have been committed to the parameterization of the soil surface resistance (Sellers et

70 [al., 1992](#); [van de Griend and Owe, 1994](#); [Villagarcía et al., 2010](#)). In crop ecosystem,  
71  $E$  may contribute significantly to the total ET when leaf area index (LAI) is low  
72 ([Lund and Soegaard, 2003](#); [Zhang et al., 2008](#)). Thus, simultaneous parameterization  
73 of the canopy and soil resistances in the S-W model, based on direct measurement of  
74 ET and its components by using a combination of micro-meteorological (e.g. eddy  
75 covariance methods, Bowen ratio), hydrological (e.g. chambers, microlysimeters) and  
76 eco-physiological techniques (e.g. sap-flow, stable isotopes) ([Williams et al., 2004](#);  
77 [Scott et al., 2006](#)), is important to reduce the model error. However, such studies are  
78 relative rare or non-existent. Secondly, as far as the parameterization method is  
79 concerned, abundant evidence has shown that the Bayesian method provides a  
80 powerful new tool to simultaneously optimize many or all model parameters against  
81 all available measurements, and to quantify the influence of uncertainties ([Clark and](#)  
82 [Gelfand, 2006](#)). Although some pioneering efforts have been made (e.g. [Samanta et](#)  
83 [al., 2007](#); [Zhu et al., 2013](#)), the Bayesian method has been much less frequently used  
84 in parameterization of ET model than in the other environmental sciences ([van Oijen](#)  
85 [et al., 2005](#)). Moreover, the Bayesian method, to our knowledge, has not been used to  
86 simultaneously optimized the parameters of the S-W model against multivariate  
87 datasets (section 2.5). Finally, arid northwest China, one of the driest area in the world  
88 ([Zhu et al., 2007, 2008](#)), is characterized by a widely distributed desert/Gobi  
89 interspersed with many oases in different sizes and shapes. Land surface processes of  
90 this heterogeneous region are much complex than in other regions ([Zhang and Huang,](#)  
91 [2004](#)). Thus, the applicability of the S-W model in such regions need to be

92 investigated in details.

93       Based on direct measurements of different components of ET obtained by using  
94 the eddy covariance technique and microlysimeters over a spring maize field in the  
95 arid region of northwest China from 27 May to 14 September in 2013, the objectives  
96 of the present study were to: (1) simultaneously parameterize the S-W model using  
97 the Bayesian method against multivariate datasets; (2) verify the performances of the  
98 S-W model, and identify the causes of failure and success in simulating ET over the  
99 crop ecosystem in arid desert oasis of northwest China. It is expected that this study  
100 can not only promote the developments of ET model parameterization, but also help  
101 us to find a proper direction in modifying the S-W model used in arid regions.

## 102 **2 Materials and methods**

### 103 **2.1 Study site**

104       The study site is located in Daman (DM) Oasis, in the middle Heihe River Basin,  
105 Gansu province, China (100° 22' 20" E, 38° 51' 20" N; 1556 m a. s. l; Fig.1). The  
106 annual average temperature and precipitation was 7.2 °C and 125 mm (1960-2000),  
107 respectively. The potential evaporation is around 2365 mm year<sup>-1</sup>, and the dryness  
108 index according to the World Atals of Desertification (UNEP, 1992) is 15.9. The soil  
109 type is silt clay loam on the surface and silt loam in the deeper layer.

110       The study area has an agricultural development history of over 2000 years owing  
111 to its flat terrain, adequate sunlight and convenient water resources from Qilian  
112 Mountains. The main crops in the DM Oasis are spring wheat and maize. The spring  
113 wheat (*Triticum aestivum* L.) is generally sown in the later March and harvested in the

114 middle 10 days of July, while the maize (*Zea mays* L.) is sown in the late April and  
115 harvested in the middle 10 days of September. Stand density of the spring maize is  
116 about 37 plants m<sup>2</sup> with row spacing of 40 cm and planting spacing of 7 cm.

## 117 **2.2 Measurements and data processing**

118 The field observation systems at this site were constructed in May 2013 as part  
119 of the Heihe Watershed Allied Telemetry Experimental Research (HiWATER) project  
120 (See details in [Li et al., 2013b](#)). The fluxes of sensible heat ( $H$ ), latent heat ( $\lambda ET$ ) and  
121 carbon dioxide were measured at the height 4.5 m using the eddy covariance (EC)  
122 system ([Liu et al., 2013, manuscript in preparation](#)), which consists of an open-path  
123 infrared gas analyzer (Li-7500, LiCor Inc., Lincoln, NE, USA) and a 3D sonic  
124 anemometer (CSAT-3, Campbell Scientific Inc., Logan, UT, USA). The EC data were  
125 sampled at a frequency of 10 Hz by a data logger (CR5000, Campbell Scientific Inc.),  
126 and then were processed with an average time of 30 min. Post-processing calculations,  
127 using EdiRe software, included spike detection, lag correction of H<sub>2</sub>O/CO<sub>2</sub> relative to  
128 the vertical wind component, sonic virtual temperature conversion, planar fit  
129 coordinate rotation, the WPL density fluctuation correction and frequency response  
130 correction ([Xu et al., 2014](#)). About 85% energy balance closure (the sum of  $H+\lambda ET$   
131 against the available energy) was found in EC data ([Liu et al., 2011](#)). In addition, the  
132 flux uncertainties are directly related to the likelihood function of Bayesian inference  
133 (Section 2.5). Thus, determining the uncertainties in EC measurements is essential for  
134 proper parameter estimates. Recently, Wang et al. ([2014](#)) systemically studies the flux  
135 uncertainties of EC systems equipped in the HiWATER experiment. Generally,

136 uncertainties for  $H$  ( $\sigma_r(H)$ ;  $\text{W m}^{-2}$ ) by using method of Mann and Lenschow (1994)  
137 tended to be  $\sigma_r(H) = 0.14H + 2.7$  ( $R^2=0.95$ ), and uncertainties for  $\lambda ET$  ( $\sigma_r(\lambda ET)$ ;  
138  $\text{W m}^{-2}$ ) be  $\sigma_r(\lambda ET) = 0.13\lambda ET + 6$  ( $R^2=0.93$ ) (Wang et al., 2014). Data gaps due to  
139 instrument malfunction, power failure and bad weather conditions were filled using  
140 artificial neural network (ANN) and mean diurnal variations (MDV) methods (Falge  
141 et al., 2001). The ANN method was applied when the synchronous meteorological  
142 data were available; otherwise, the MDV method was used. The gap-filling data were  
143 used only to analyze the seasonal and annual variations in ET.

144 Continuous complementary measurements also included standard  
145 hydro-meteorological variables. Rainfall was measuring using a tipping bucket rain  
146 gauge (TE525MM, Campbell Scientific Instruments Inc.). Air temperature, relative  
147 humidity (HMP45C, Vaisala Inc., Helsinki, Finland) and wind speed/direction (034B,  
148 Met One Instruments, Inc. USA) were measured at heights of 3, 5, 10 15, 20, 30 and  
149 40 m above the ground. Downward and upward solar and longwave radiation (PSP,  
150 The EPPLEY Laboratory Inc., USA) and photosynthetic photon flux density (PPFD)  
151 (LI-190SA, LI-COR Inc.) were measured at height of 6 m. Soil temperature  
152 (Campbell-107, Campbell Scientific Instruments Inc.) and moisture (CS616,  
153 Campbell Scientific Instruments Inc.) was measured at 0.02, 0.04, 0.1, 0.2, 0.4, 0.8,  
154 1.2 and 1.6 m depths. Three heat flux plates (HFT3, Campbell Scientific Instruments  
155 Inc.) were randomly buried at the depths of 0.01 m. The average soil heat fluxes  
156 were calculated using the three randomly buried plates. These data were logged every  
157 10 min by a digital micrologger (CR23X, Campbell Scientific Inc.) equipped with an

158 analog multiplexer (AM416) used for sampling and logging data.

159 Daily soil evaporation was measured using three microlysimeters randomly  
160 placed between crop rows (one in the middle of the rows and the other two close to  
161 plants on each side of the rows). The microlysimeters with an internal diameter of 10  
162 cm and a depth of 20 cm were filled with an intact soil core and pushed into soil with  
163 the top slightly above the soil surface (Daamen et al., 1993; Liu et al., 2002). The  
164 average weight loss of these microlysimeters measured using electronic scales with  
165 0.01 g precision was nearly equal to soil evaporation. In order to keep the soil  
166 moisture in microlysimeters similar to that between the rows, the soil in the  
167 microlysimeters was replaced daily or every two days.

168 Leaf area index (LAI) was measured using AM300 portable leaf area meter  
169 (ADC BioScientific Ltd., UK). The fraction of land cover ( $f$ ) was estimated by  
170 measuring the projected crop canopy area of selected stands in corresponding field  
171 plot. LAI,  $f$  and crop height were measured approximately every 10 days during  
172 the growing season, and the gaps were linearly interpolated to daily interval.

### 173 **2.3 Description of the S-W model**

174 In the S-W model, the ecosystem evapotranspiration ( $\lambda ET$ ;  $W m^{-2}$ ) is separated  
175 into evaporation from the soil surface ( $\lambda E$ ;  $W m^{-2}$ ) and transpiration from the  
176 vegetation canopy ( $\lambda T$ ;  $W m^{-2}$ ) (Fig. 2), which are calculated as (Shuttleworth and  
177 Wallace, 1985; Lhomme et al., 2012):

$$178 \lambda ET = \lambda E + \lambda T = C_s ET_s + C_c ET_c \quad (1)$$

$$179 ET_s = \frac{\Delta A + [\rho C_p D - \Delta r_a^s (A - A_s)] / (r_a^a + r_a^s)}{\Delta + \gamma [1 + r_s^s / (r_a^a + r_a^s)]} \quad (2)$$



$$180 \quad ET_c = \frac{\Delta A + [\rho C_p D - \Delta r_a^c A_s] / (r_a^a + r_a^c)}{\Delta + \gamma [1 + r_s^c / (r_a^a + r_a^c)]} \quad (3)$$

$$181 \quad C_s = \frac{1}{1 + [R_s R_a / R_c (R_s + R_a)]} \quad (4)$$

$$182 \quad C_c = \frac{1}{1 + [R_c R_a / R_s (R_c + R_a)]} \quad (5)$$

$$183 \quad R_a = (\Delta + \gamma) r_a^a \quad (6)$$

$$184 \quad R_c = (\Delta + \gamma) r_a^c + \gamma r_s^c \quad (7)$$

$$185 \quad R_s = (\Delta + \gamma) r_a^s + \gamma r_s^s \quad (8)$$

$$186 \quad \lambda E = \frac{\Delta A_s + \rho C_p D_0 / r_a^s}{\Delta + \gamma (1 + r_s^s / r_a^s)} \quad (9)$$

$$187 \quad \lambda T = \frac{\Delta (A - A_s) + \rho C_p D_0 / r_a^c}{\Delta + \gamma (1 + r_s^c / r_a^c)} \quad (10)$$

$$188 \quad D_0 = D + \frac{(\Delta A - (\Delta + \gamma) \lambda ET) r_a^a}{\rho C_p} \quad (11)$$

189 where  $ET_s$  and  $ET_c$  are terms to describe evaporation from soil and transpiration  
190 from the plant ( $W m^{-2}$ ), respectively;  $C_s$  and  $C_c$  are soil surface resistance and  
191 canopy resistance coefficients (dimensionless), respectively;  $\lambda$  is the latent heat of  
192 evaporation ( $J kg^{-1}$ );  $\Delta$  is the slope of the saturation vapor pressure versus  
193 temperature curve ( $kPa K^{-1}$ );  $\rho$  is the air density ( $kg m^{-3}$ );  $C_p$  is the specific heat  
194 capacity of dry air ( $1013 J kg^{-1} K^{-1}$ );  $D$  and  $D_0$  ( $kPa$ ) are the air water vapor  
195 pressure deficit at the reference height (3 m) and the canopy height, respectively;  $\gamma$  is  
196 the psychrometric constant ( $kPa K^{-1}$ );  $r_s^c$  and  $r_s^s$  are the surface resistance for plant  
197 canopy and soil surface ( $s m^{-1}$ ), respectively;  $r_a^c$  and  $r_a^s$  are aerodynamic resistances  
198 from the leaf to canopy height and soil surface to canopy height ( $s m^{-1}$ ), and  $r_a^a$  is

199 aerodynamic resistances from canopy height to reference height ( $s\ m^{-1}$ ).  $A$  and  $A_s$   
200 ( $W\ m^{-2}$ ) are the available energy input above the canopy and above the soil surface,  
201 respectively, and are calculated as:

$$202 \quad A = R_n - G \quad (12)$$

$$203 \quad A_s = R_{ns} - G \quad (13)$$

204 where  $R_n$  and  $R_{ns}$  are net radiation fluxes into the canopy and the substrate ( $W\ m^{-2}$ ),  
205 respectively;  $G$  is the soil heat flux ( $W\ m^{-2}$ ).  $R_{ns}$  was calculated using a Beer's law  
206 relationship of the form:

$$207 \quad R_{ns} = R_n \exp(-K_A LAI) \quad (14)$$

208 in which  $K_A$  is the extinction coefficient of light attenuation. It can be measured on  
209 *site* (see [Sauer et al., 2007](#)), and was set to be approximately 0.41 for spring maize  
210 ([Mo et al., 2000](#)).

211 The climate-related variables (i.e.,  $\lambda$ ,  $e_s$ ,  $\Delta$ ,  $\rho$  and  $\gamma$ ) in Eqns. (1)-(3) are  
212 calculated by the formulas of Allen et al. (1998).

#### 213 **2.4 Calculation of resistances in the S-W model**

214 The resistance network of the S-W model is shown in Fig. 2. In this paper, the  
215 three aerodynamic resistance (i.e.,  $r_a^a$ ,  $r_a^c$  and  $r_a^s$ ) were calculated using the same  
216 approach suggested by Shuttleworth and Wallace (1985), Shuttleworth and Gurney  
217 (1990) and Lhomme et al. (2012).

218 The canopy resistance ( $r_s^c$ ), which is the equivalent resistance of all the  
219 individual stomates in a canopy and depends on the environmental variables, can be  
220 calculated using the Jarvis-type model (Jarvis, 1976)

$$221 \quad r_s^c = \frac{r_{STmin}}{2LAI \prod_i F_i(X_i)} \quad (15)$$

222 where  $r_{STmin}$  represents the minimal stomatal resistance of individual leaves under  
 223 optimal conditions.  $F_i(X_i)$  is the stress function of a specific environmental variable  
 224  $X_i$ , with  $0 \leq F_i(X_i) \leq 1$ . Following Stewart (1998) and Verhoef and Allen (2000), the  
 225 stress functions were expressed as:

$$226 \quad F_1(R_s) = \frac{R_s}{1000} \frac{1000 + k_1}{R_s + k_1} \quad (16)$$

$$227 \quad F_2(T_a) = \frac{(T_a - T_{a,min})(T_{a,max} - T_a)^{(T_{a,max} - k_2)/(k_2 - T_{a,min})}}{(k_2 - T_{a,min})(T_{a,max} - k_2)^{(T_{a,max} - k_2)/(k_2 - T_{a,min})}} \quad (17)$$

$$228 \quad F_3(D) = 1 - k_3 D \quad (18)$$

$$229 \quad F_4(\theta_r) = \begin{cases} 1 & \theta_r > \theta_{cr} \\ \frac{(\theta_r - \theta_{wp})}{(\theta_{cr} - \theta_{wp})} & \theta_{wp} \leq \theta_r \leq \theta_{cr} \\ 0 & \theta_r < \theta_{wp} \end{cases} \quad (19)$$

230 where  $k_1 - k_3$  are constants (units see Table 1);  $R_s$  is the incoming solar radiation  
 231 ( $\text{W m}^{-2}$ );  $T_a$  is the air temperature ( $^{\circ}\text{C}$ ) at the reference height;  $T_{a,min}$  and  $T_{a,max}$  are  
 232 the lower and upper temperatures limits ( $^{\circ}\text{C}$ ), respectively, which are  $T_a$  values when  
 233  $F_2(T_a) = 0$  and are set at values of 0 and 40  $^{\circ}\text{C}$  (Harris et al., 2004);  $\theta_r$  is the actual  
 234 volumetric soil water content in the root-zone at depth of 0-60 cm ( $\text{m}^3 \text{m}^{-3}$ );  $\theta_{wp}$  is  
 235 water content at the wilting point ( $\text{m}^3 \text{m}^{-3}$ ); and  $\theta_{cr}$  is the critical water content ( $\text{m}^3$   
 236  $\text{m}^{-3}$ ) at which plant stress starts. The values of  $\theta_{wp}$  and  $\theta_{cr}$  are set as 0.11  $\text{m}^3 \text{m}^{-3}$   
 237 and 0.30  $\text{m}^3 \text{m}^{-3}$  for sandy loam in the study area (Zhao et al., 2010).

238 The soil surface resistances ( $r_s^s$ ; Fig. 2) was expressed as a function of  
 239 near-surface soil water content (Sellers, 1992; Verhoef et al., 2006, 2012; Zhu et al.,

240 2013):

$$241 \quad r_s^s = \exp(b_1 - b_2 \frac{\theta_s}{\theta_{\text{sat}}}) \quad (20)$$

242 in which  $b_1$  and  $b_2$  are empirical constants ( $\text{s m}^{-1}$ );  $\theta_s$  is soil water content in the  
243 top layer of soil (at depth of 2cm);  $\theta_{\text{sat}}$  is the saturated soil water content ( $\text{m}^3 \text{ m}^{-3}$ ),  
244 which was estimated empirically through the near-surface soil texture. In summary,  
245 there are 6 site- and species-specific parameters that needed to be estimated in the  
246 S-W model associated with soil and canopy resistances, which are  $b_1$ ,  $b_2$ ,  $r_{\text{STmin}}$  and  
247  $k_1 - k_3$  (see Appendix A).

## 248 **2.5 Bayesian inference framework and assimilation scheme**

249 With Bayes' theorem (a complete description was presented in Appendix B), the  
250 posterior distribution of parameters  $\mathbf{c}$  is generated by:

$$251 \quad p(\mathbf{c} | \mathbf{O}) \propto p(\mathbf{O} | \mathbf{c}) p(\mathbf{c}) \quad (21)$$

252 where  $p(\mathbf{c})$  represents prior probability distributions of parameters  $\mathbf{c}$ , which is chosen  
253 as uniform distributions with specified allowable ranges (Table 1). In general, the  
254 parameter ranges were wide enough to include the actual parameter values and to give  
255 the optimization freedom (Sack et al., 2006). In the test study, we run the S-W model  
256 using 4000 parameter vectors which were sampled from the prior distribution using  
257 Latin Hypercube Sampling (LHS) method (Iman and Helton, 1998), and found that  
258 the observed data in most case were in the range of predicted values (Appendix A);

259  $p(\mathbf{O} | \mathbf{c})$  is the likelihood function, which reflects the influence of the observation  
260 datasets on parameter identification; and  $p(\mathbf{c} | \mathbf{O})$  is the posterior distribution after  
261 Bayesian inference conditioned on available observations  $\mathbf{O}$ .

262 For each dataset (e.g.,  $\lambda$ ET and  $E$ ), the model-data mismatch  $e_i(t)$  ( $i=1,2$ ),  
 263 which represents a relative “goodness-of-fit” measure for each possible parameter  
 264 vector (van Oijen et al., 2011, 2013), is expressed by:

$$265 \quad e_i(t) = O_i(t) - f_i(t) \quad (22)$$

266 where  $O_i(t)$  and  $f_i(t)$  are observed and modeled (equations (1) or (9)) values of the  
 267  $i$ th dataset at time  $t$ , respectively. Assuming the model-data mismatch  $e_i(t)$  follows a  
 268 Gaussian distribution with a zero mean, the likelihood function for the  $i$ th dataset  
 269 ( $\mathbf{O}_i(\cdot)$ ) can be expressed by:

$$270 \quad p(\mathbf{O}_i(\cdot) | \mathbf{c}) = \prod_{t=1}^{n_i} \frac{1}{\sqrt{2\pi}\sigma_i} e^{-\frac{(e_i(t))^2}{2\sigma_i^2}} \quad (23)$$

271 where  $n_i$  is the number of observations of the  $i$ th dataset;  $\sigma_i$  ( $i=1,2$ ) represents  
 272 the residual errors, or standard deviation about model predicted output of the  $i$ th  
 273 dataset. Here, we assumed  $\sigma_i$  is the same over the observation time for the  $i$ th  
 274 dataset (Braswell et al., 2005). Traditionally,  $\sigma_i$  can be included into the analysis  
 275 explicitly (i.e., assuming  $\sigma_i$  is uniform over  $\log \sigma_i$ ; Gelman et al., 1995) and treated  
 276 as one the model parameters, which yields a complete posterior distribution of  $\sigma_i$ .  
 277 However, this method artificially increased the parameter dimension of the problem  
 278 and may result in unreasonable estimations of the parameter values (Kavetski et al.,  
 279 2006). In this study,  $\sigma_i$  was estimated by using the analytical method (Hurtt and  
 280 Armstrong, 1996; Braswell et al., 2005), which is to find the value of  $\sigma_i$  that  
 281 maximizes  $\log(p(\mathbf{O}_i(\cdot) | \mathbf{c}))$  for a given parameter vector. By differentiating  
 282  $\log(p(\mathbf{O}_i(\cdot) | \mathbf{c}))$  with respect to  $\sigma_i$ , we can obtain:

283 
$$\sigma_i^a = \sqrt{\frac{1}{n_i} \sum_{t=1}^{n_i} (e_i(t))^2}$$
 (24)

284 We then used  $\sigma_i^a$  to replace  $\sigma_i$  in the equations (22).

285 The likelihood function for the multivariate datasets,  $p(\mathbf{c} | \mathbf{O})$ , used for  
 286 parameter estimation is then defined as the product of the individual  $p(\mathbf{O}_i(\cdot) | \mathbf{c})$ 's  
 287 (Richardson et al., 2010):

288 
$$p(\mathbf{O} | \mathbf{c}) = \prod_{i=1}^m p(\mathbf{O}_i(\cdot) | \mathbf{c}) = \prod_{i=1}^m \prod_{t=1}^{n_i} \frac{1}{\sqrt{2\pi}\sigma_i} e^{-\frac{(e_i(t))^2}{2\sigma_i^2}}$$
 (25)

289 where  $m$  is the number of dataset; When a particular dataset  $\mathbf{O}_i(\cdot)$  was not being  
 290 used in the optimization, we simply set the corresponding likelihood function  
 291  $p(\mathbf{O}_i(\cdot) | \mathbf{c})$  to 1. Thus, this framework can be easily used when additional  
 292 observations are available. In this studies, the two datasets used to simultaneously  
 293 optimize the parameter values were: EC-measured half-hourly evapotranspiration  
 294 ( $\lambda\text{ET}$ ;  $\text{Wm}^{-2}$ ) and microlysimeters-measured daily soil evaporation ( $E$ ;  $\text{mm d}^{-1}$ ).

## 295 2.6 Metropolis-Hasting algorithm and convergence test

296 The posterior distribution was sampled using the Metropolis–Hasting (M-H)  
 297 algorithm (Metropolis et al., 1953; Hastings, 1970), a version of the Markov Chain  
 298 Monte Carlo (MCMC) technique. To generate a Markov chain in the parameter space,  
 299 the M-H algorithm was run by repeating two steps: a proposing step and a moving  
 300 step. In the proposing step, a candidate point  $\mathbf{c}^{\text{new}}$  is generated according to a  
 301 proposal distribution  $P(\mathbf{c}^{\text{new}} | \mathbf{c}^{k-1})$ , where  $\mathbf{c}^{k-1}$  is the accepted point at the previous  
 302 step. In the moving step, point  $\mathbf{c}^{\text{new}}$  is treated against the Metropolis criterion to  
 303 examine if it should be accepted or rejected. It was well recognized that efficiency of

304 the M-H algorithm was strongly effected by the proposal distribution function. To find  
 305 an effective proposal distribution  $P(\mathbf{c}^{\text{new}} | \mathbf{c}^{k-1})$ , a test run of the M-H algorithm with  
 306 10, 000 simulations was made by using a uniform proposal distribution (Braswell et  
 307 al., 2005):

$$308 \quad \mathbf{c}^{\text{new}} = \mathbf{c}^{k-1} + r(\mathbf{c}^{\text{max}} - \mathbf{c}^{\text{min}}) \quad (26)$$

309 where  $\mathbf{c}^{k-1}$  is the current accepted point;  $r$  is a random number uniformly  
 310 distributed between -0.5 and +0.5;  $\mathbf{c}^{\text{min}}$  and  $\mathbf{c}^{\text{max}}$  are the lower and upper limits of  
 311 parameter vector  $\mathbf{c}$  (Table 1). Based on the test run, we then constructed a normal  
 312 proposal distribution  $\mathbf{c}^{\text{new}} \sim N(\mathbf{c}^{(k-1)}, \text{cov}^0(\mathbf{c}))$ , where  $\text{cov}^0(\mathbf{c})$  is the covariance  
 313 matrix of the parameter vector  $\mathbf{c}$  from the initial test run (Xu et al., 2006). The  
 314 detailed description on MCMC sampling procedure and the code written in Matlab  
 315 were presented in Appendix B.

316 We ran at least four parallel MCMC chains with 20,000 iterations each,  
 317 evaluated the chain convergence using the Gelman-Rubin (G-R) diagnostic method  
 318 (Gelman and Rubin, 1992) (Appendix C), and thinned the chains (every 20th iteration)  
 319 when appropriate to reduce within chain autocorrelation, thereby producing an  
 320 independent sample of 3000 values for each parameter from the joint posterior  
 321 distribution.

## 322 **2.6 Evaluation of model output estimates**

323 Since the primary interest in application of the S-W model was to reproduce the  
 324 pattern of water vapour fluxes from different sources (i.e., soil and vegetation) during  
 325 the whole study period, we used all available data to construct the likelihood function

326 (equation 25) and to obtain the posterior distribution of the parameters. Then, the  
 327 performance of the S-W model was evaluated using the coefficient of determination  
 328 of the linear regression between measured and estimated values of water vapor  
 329 fluxes,  $R^2$ , representing how much the variation in the observations was explained by  
 330 the models. Also, the root mean square error (RMSE), mean bias error (MBE), index  
 331 of agreement (IA) and model efficiency (EF) (Legates and McCabe, 1999;  
 332 Poblete-Echeverria & Ortega-Farias, 2009) were included in the statistical analysis,  
 333 which are calculated as follows:

$$334 \quad \text{RMSE} = \sqrt{\frac{1}{n_i} \sum_{t=1}^{n_i} [O_i(t) - f_i(t)]^2} \quad (26)$$

$$335 \quad \text{MBE} = \frac{1}{n_i} \sum_{t=1}^{n_i} [O_i(t) - f_i(t)] \quad (27)$$

$$336 \quad \text{IA} = 1 - \frac{\sum_{t=1}^{n_i} [O_i(t) - f_i(t)]^2}{\sum_{t=1}^{n_i} [ |O_i(t) - \bar{O}_i| + |f_i(t) - \bar{O}_i| ]^2} \quad (28)$$

$$337 \quad \text{EF} = 1 - \frac{\sum_{t=1}^{n_i} [O_i(t) - f_i(t)]^2}{\sum_{t=1}^{n_i} [O_i(t) - \bar{O}_i]^2} \quad (29)$$

338 where  $n_i$  is the total number of observations of the  $i$ th dataset;  $O_i(t)$  is the observed  
 339 values at time  $t$  of the  $i$ th dataset,  $\bar{O}_i$  is the mean of the observed value of the  $i$ th  
 340 dataset, and  $f_i(t)$  is the simulation which was calculated using the posterior median  
 341 parameter values, and other parameter vectors selected from the parameter chains  
 342 generated by the MCMC iteration (van Oijen et al., 2013).

### 343 **3 Results**



### 344 **3.1 Environmental and biological factors**

345 Detailed information on the seasonality of key environmental and biological  
346 variables is essential to assess seasonal variation in the actual ET and its partitioning.  
347 The seasonal change in net solar radiation ( $R_n$ ; MJ m<sup>-2</sup> d<sup>-1</sup>), air temperature ( $T_a$ ; °C),  
348 air water vapor pressure deficit ( $D$ ; kPa), wind speed ( $u$ , m s<sup>-1</sup>) at the height of 3 m,  
349 rainfall and irrigation (mm), soil water content ( $\theta$ ; m<sup>3</sup> m<sup>-3</sup>), and leaf area index (LAI;  
350 m<sup>2</sup> m<sup>-2</sup>) are illustrated in Fig. 3. During the study period (DOY147-257), the daily  
351 mean  $R_n$  varied from 2.6 to 18.5 MJ m<sup>-2</sup> d<sup>-1</sup> with an average value of 11.9 MJ m<sup>-2</sup> d<sup>-1</sup>.  
352 The peaked values were recorded from the end of June to the middle of July  
353 (DOY180-195). The variation of mean daily air temperature ( $T_a$ ) has a similar trend  
354 to  $R_n$ , varying from 8.8 to 24.9 °C with an average value of around 19.0 °C.  $D$   
355 exhibited large diurnal variation ranging from 0 to 3.5 kPa, and the daily mean  $D$  was  
356 relative small when the LAI was larger than 3 m<sup>2</sup> m<sup>-2</sup> (DOY197-230). Daily mean  
357 wind speed ( $u$ ) ranged from 0.5 to 3.2 m s<sup>-1</sup>, and was close to normal long-term  
358 values. Total precipitation during the study period was 104.2 mm with eight events  
359 over 5.0 mm (Fig. 3).  $\theta$  varied greatly over the whole growing season. The  
360 variability of  $\theta$  mainly depended on irrigation scheduling of local government  
361 (irrigation quota and timing). Soil water content had a peak value (about 0.35 m<sup>3</sup> m<sup>-3</sup>)  
362 after irrigation and gradually reduced till the next irrigation (Fig. 3). The LAI showed  
363 a clear ‘one peak’ pattern over the whole growing season with relative high values of  
364 3.5 m<sup>2</sup> m<sup>-2</sup> from early July to late August (DOY184-221).

### 365 **3.2 Posterior distribution of S-W model parameters**

366 The posterior parameter distributions are shown as histograms in Fig. 4 and  
367 summarized in Table 1 by posterior medians and 95% probability intervals. The  
368 results showed that the Bayesian calibration against the multivariate datasets was in  
369 most cases successful in reducing the assumed prior ranges of the parameters values.  
370 Parameters  $r_{STmin}$ ,  $b_1$ ,  $b_2$  and  $k_2$  showed relatively large uncertainty reductions  
371 (defined as  $1 - CI_{posterior} / CI_{prior}$ , where CI is the length of the 95% credible interval)  
372 (Fig. 5), and their posterior distributions became approximately symmetric with  
373 distinctive modes, while parameters  $k_1$  and  $k_3$  have relative large variability  
374 (widely spread on the prior bounds) (Fig. 4). The global sensitivity analysis with the  
375 first-order impact ratio (FOIR) values (Appendix A) reveals the importance of input  
376 parameters in affecting total ecosystem evapotranspiration. The results indicated that  
377 total ET responded sensitively to  $r_{STmin}$ ,  $b_1$ ,  $b_2$  and  $k_2$  with FOIR values being  
378 54.3%, 21.9%, 10.4% and 8.5% (Appendix A), respectively. Other parameters  
379 exhibits relative low (<5%) FOIR values, suggesting that the variability in these  
380 parameters had almost no effect on the variability in model output. It is worth noting  
381 that the four highest sensitive parameters ( $r_{STmin}$ ,  $b_1$ ,  $b_2$  and  $k_2$ ) also corresponded  
382 to the greatest degree of updating in the Bayesian inference. Thus, we thought that the  
383 key parameters in the S-W model were well optimized by the Bayesian method  
384 against the multivariate datasets. In addition, the correlation coefficient between the  
385 posterior distribution of parameters can be used to find groups of parameters tend to  
386 be constrained together (Knorr and Kattge, 2005). In this study, the six calibrated  
387 parameters were not significantly inter-correlated with each other with correlation

388 coefficients lower than 0.1 (Appendix B).

389 The responses of soil surface resistances ( $r_s^s$ ) to soil water content computed  
390 using our posterior mean  $b_1$  and  $b_2$  values were very similar to that calculated using  
391 equation developed by Ortega-Farias et al. (2010) based on direct soil evaporation  
392 measurements, but seemed to be more sensitive to changes in soil water content  
393 compared with some other studies (e.g., Sun, 1982; Sellers, 1992; Zhu et al., 2013;  
394 Fig. 6). When just using EC-measured  $\lambda ET$  data, a relative wider posterior distribution  
395 of  $b_2$  was observed (see Appendix B). Thus, the daily soil evaporation data helped to  
396 well constrain estimates of  $b_1$  and  $b_2$ . The posterior mean value of  $r_{STmin}$  from our  
397 study was very close to that ( $20 \text{ s m}^{-1}$ ) reported for spring maize growing in  
398 northwest China obtained by using the least squares fitting method(Li et al., 2013a).  
399 The variations of the minimal stomatal resistance ( $r_{STmin}$ ) for many natural and  
400 cultivated plants have been widely investigated by previous studies (Korner et al.,  
401 1979; Pospisilova and Solarova, 1980). Typical values for  $r_{STmin}$  vary considerably  
402 from about 20-100  $\text{s m}^{-1}$  for crops to 200-300  $\text{s m}^{-1}$  for many types of trees. Thus, our  
403 results fell within the range of previous studies. However, some parameters related to  
404 canopy surface resistance (i.e.,  $k_1$  and  $k_3$ ) seemed to be not well updated (Fig. 4). This  
405 may be due to the fact that these parameters may be insensitive to the present  
406 available datasets.

### 407 **3.3 Model performance compared with measurements**

408 Having parameterized the S-W model as described above, we ran the model to  
409 simulate the half-hourly  $\lambda ET$  (equation 1) and  $\lambda E$  (equation 9) values ( $\text{W m}^{-2}$ ). The

410 daily estimations of evapotranspiration (ET; mm d<sup>-1</sup>) and soil evaporation ( $E$ ; mm d<sup>-1</sup>)  
411 were obtained by summing up the half-hourly simulated values. The statistical  
412 analysis of observed versus estimated values of water vapor fluxes at different  
413 time-scales are summarized in Table 2. These results indicated that the parameterized  
414 S-W model was able to predict  $\lambda$ ET on a half-hourly basis with values of  $R^2$ , IA and  
415 EF equal to 0.83, 0.93 and 0.74, respectively. However, significant differences exist  
416 between measured and modeled half-hourly  $\lambda$ ET values for the spring maize in the  
417 arid desert oasis. The slope (0.84) of regression equation between the measured and  
418 modeled half-hourly  $\lambda$ ET values was lower than one (Table 2 and Fig. 7a), which  
419 indicated that the S-W model tended to underestimate the half-hourly  $\lambda$ ET with a  
420 MBE value of 24.2 W m<sup>-2</sup>. Ortega-Farias et al. (2010) also reported that the S-W  
421 model underestimated on half-hourly time intervals compared the EC-measured  $\lambda$ ET  
422 over a drip-irrigated vineyard in Mediterranean semiarid region during the growing  
423 season in 2006. On the contrary, some studies showed that the S-W model  
424 overestimated half-hourly  $\lambda$ ET (e.g., Li et al., 2013a; Ortega-Farias et al., 2007;  
425 Zhang et al., 2008). Therefore, the performances of the S-W model seemed to be  
426 variable for different crops and places, and there is a need to identify the causes that  
427 induced the disagreements between observed and modeled values (discussed below).

428         The fluctuation of measured and estimated daily ET and  $E$  is illustrated in Fig. 8.  
429 In this case, a good agreement between measured and estimated daily  $E$  was obtained  
430 with values of  $R^2$ , IA and EF equal to 0.82, 0.94 and 0.76 (Table 2). The points in  
431 plots of measured-versus-modeled daily  $E$  fell tightly along the 1:1 line (slope=1.01

432 and intercept=0.01 with RMSE=0.05 and MBE=-0.01; Fig. 7b and Table 2). Also, the  
433 95% posterior prediction intervals of simulated soil  $E$  was narrow. Thus, we thought  
434 that the soil resistance in the S-W model was properly parameterized for the spring  
435 maize by the measured soil evaporation data. From Fig. 8, we can also observe that  
436 the estimated daily ET generally fluctuated tightly with the measured values with  
437 relative narrow uncertainties (95% posterior prediction intervals). The values of  
438 RMSE, MBE, IA and EF were equal to 0.05, 0.14 mm d<sup>-1</sup>, 0.94 and 0.79, respectively  
439 (Table 2). However, there are 12 days during the study period (111 days) with  
440 observations beyond the upper bounder of the 95% posterior prediction intervals (Fig.  
441 8). For example, on the 5<sup>th</sup> of July, the estimated using the median values of the  
442 parameters and measured daily ETs were 2.9 and 4.3 mm d<sup>-1</sup>, respectively (Fig. 8).  
443 Thus, the causes of the underestimations of ET by the S-W on these days needs to be  
444 carefully checked based on detailed micrometeorological data. This work would help  
445 us to modify the model in a correct way and improve the precision of prediction.

#### 446 **3.4 Identification of the disagreement/agreement between observed and modeled** 447 **ET values**

448 The diurnal variation of  $R_n$ ,  $H$  and  $\lambda ET$  (measured and modeled) above the  
449 spring maize ecosystem for some selected days is presented in Fig. 9. The  
450 uncertainties of  $H$  and  $\lambda ET$  increased with the flux magnitude (Fig. 9), and tended  
451 to be approximately 14% and 13%, respectively (Wang et al., 2014). The relative error  
452 for  $R_n$  was relatively small and estimated to be 1.24% (Xu et al., 2013). Resulting  
453 from the high surface heterogeneities, one special phenomenon, known as the “oasis

454 effect” (Lemon et al., 1957; Oke, 1978) or “cold island effect” (Wang et al., 1992;  
455 Zhang and Huang, 2004), was often observed on clear days in July and August in the  
456 study area and it is characterized as follows: (1)  $H$  is very small and even negative  
457 (downward) in the afternoon (Figs. 9a-c) due to the micro-scale advection of hot dry  
458 air over the desert to crop surface in the oasis (Oke, 1978; Hu et al., 1994). For an  
459 example, on the 5<sup>th</sup> of July,  $H$  was continuously negative from 12 : 00 to 20 : 00 (Fig.  
460 9a). A strong advection process can be distinctly detected from the temperature and  
461 relative humidity profiles (Figs. 10a and 10b), in which the highest temperature  
462 occurred at a height of 8-18 m; (2) measured actual  $\lambda ET$  often exceeded (Fig. 9a) or  
463 was equal to (Figs. 9b and 9c) the local net radiation because of the added energy in  
464 the form of downward fluxes of  $H$  to the ET process (Evelt et al., 2012). Under such  
465 conditions, the S-W model significantly underestimated the actual ET values due to  
466 the real atmospheric flows that do not correspond to its assumption of horizontal  
467 homogeneities (Rao et al., 1974). Thus, how to properly represent the advection  
468 process in the S-W model should be paid special attention in simulating ET over crop  
469 ecosystems in arid desert oasis in the future studies. In addition to this situation, slight  
470 underestimations were also observed on or shortly after rainy days (Fig. 8). For  
471 example, the simulated half-hourly  $\lambda ET$  was lower than that measured by EC after the  
472 rainfall event occurred in 13 : 00 on 17 June (Fig. 9d). We thought that the  
473 underestimations by the model on or shortly after rainy days were mainly due to  
474 ignoring the direct evaporation of liquid water intercepted in the crop canopy, because  
475 no downward  $H$  and temperature inversion were observed on this day (Figs. 10c and

476 10d). Until now, several canopy interception models have been developed (e.g., [Rutter](#)  
477 [et al., 1971](#); [Mulder, 1985](#); [Gash et al., 1995](#); [Bouten et al., 1996](#)). However, many of  
478 them were developed for simulating the rainfall interception by forest ecosystems, and  
479 their suitability for crops need to be further investigated.

480 The diurnal variation of simulated half-hourly  $\lambda$ ET by the parameterized S-W  
481 model has a similar trend to the measurements on clear and advection-absent days  
482 during the whole study periods (Figs. 9e-h). On these days,  $H$  was positive (upwards)  
483 at day time (Figs. 9e-h ) and no temperature inversion was observed (Figs. 10e and  
484 10f). Thus, we thought that the parameterization schedule adopted in this study  
485 worked well. It also demonstrated that the properly parameterized S-W model can be  
486 used in simulating and partitioning ET for homogeneous land surface. [Hu et al. \(2009\)](#)  
487 reported that the S-W model parameterized by using Monte Carlo method can  
488 successfully simulated ET at four uniform grasslands in China; Our previous studies  
489 ([Zhu et al., 2013](#)) also illustrated that parameterized S-W model can be used to  
490 simulate and partition ET over a vast alpine grassland in Qinghai-Tibet Plateau.

#### 491 **4 Discussion**

492 The assessment of model errors remains an outstanding challenge in Hydrology  
493 ([Beven, 2008](#)). Identifying the uncertainties related to model parameter and structure  
494 needs to take on a prominent position in the hydrological modeling ([Bastola et al.,](#)  
495 [2011](#); [Brigode et al., 2013](#)). An important issue in identifying the parameter  
496 uncertainty is equifinality, where different parameters of the same model yield similar  
497 results, and so can be difficult to distinguish which is correct (see [Franks et al., 1997](#)).

498 A variety of recent studies corroborated the multi-objective calibration against the  
499 multiple (orthogonal; see [Winsemius et al., 2006](#)) datasets can produce a robust  
500 parameter estimates (e.g., [Engeland et al., 2006](#); [Fenicia et al., 2007](#); [Moussa and](#)  
501 [Chahinian, 2009](#); [Richardson et al., 2010](#); [Hrachowitz et al., 2013](#)). In this study, we  
502 constructed a Bayesian inference framework to constrain the model parameters using  
503 the EC-measured ET and microlysimeters-measured daily  $E$  datasets simultaneously.  
504 The results indicated that 4 of the six main parameters were considerably updated, and  
505 simulated  $\lambda$ ET and  $E$  were comparable to the measurements with relatively narrow  
506 uncertainties (95% posterior predication intervals). Using just EC-measured ET data  
507 in our test study (see Appendix B), the optimized S-W model on the simulations of  
508  $\lambda$ ET were not significantly different from that optimized by multivariate datasets  
509 procedure, but it significantly underestimated  $E$  with great uncertainties (Appendix B).  
510 Thus, we can not ensure the S-W model optimized using only the EC-measured ET  
511 data can properly partition the total ET into its different components (soil evaporation  
512 and plant transpiration), even though the simulated  $\lambda$ ET values were in good  
513 agreement with measurements. Limited success in estimating process-based model  
514 parameters using EC-measured data alone were also reported in previous studies (e.g.,  
515 [Wang et al., 2001](#); [Knorr and Kattge, 2005](#); [Richardson et al., 2010](#)).

516 With the developments of observation technologies and strategies, major steps  
517 forwards have been made in extracting a wide variety of environmental data  
518 ([Hrachowitz et al., 2013](#)). Thus, it is critical to assess to what extent the uncertainty in  
519 model parameters and model predictions is reduced by the use of additional data and



520 what new observation is required. The Bayesian inference framework used in this  
521 study provided a convenient way to simultaneously constrain model parameters when  
522 the new observation datasets are available. However, even with all datasets  
523 (EC-measured  $\lambda$ ET and microlysimeters-measured daily  $E$ ), some parameters related  
524 to canopy surface resistance seemed to be not well updated (Fig. 4). We thought that  
525 this may be due to the insensitivities of these parameters (e.g.,  $k_1$ ,  $k_3$ ,  $T_{\text{amax}}$ ,  $T_{\text{amin}}$  and  
526  $K_A$ ) to the present available datasets. Thus, direct observations of plant transpiration  
527 using sap flow or stable isotope ( $\delta^2\text{H}$  and  $\delta^{18}\text{O}$ ) technologies (see [Williams et al.,](#)  
528 [2004](#)), canopy temperature using infrared thermometer and continuous within- and  
529 above-canopy radiation using the four-component net radiometer (see [Sauer et al.,](#)  
530 [2007](#)) are needed in the future studies.

531 The method, as implemented here, used all observations simultaneously to  
532 constrain parameters and obtain an optimal match between data and model. After  
533 parameter optimizing, the main source of model error can be attributed to the model  
534 structure. Thus, this method facilitates the detection of the model structural failures.  
535 Until now, numerous models, retaining the S-W model as basis, have been developed  
536 for estimating ET or its different components, and they tended to be more and more  
537 complex (see [Lhomme et al., 2012](#)). However, increasing model complexity is always  
538 accompanied by a great danger of equifinality and large uncertainties in forward runs  
539 ([Beven et al., 1989](#); [Franks and Beven, 1997](#)). Most importantly, we must ensure that  
540 we are on the right direction in modifying the model. In this study, we found that the  
541 S-W model applied in arid areas generally failed when local advection occurred (Fig.

542 9). Thus, we thought that the main structural error of the S-W model as well as its  
543 various extensions comes from the ignorance of the effects of advection on the ET  
544 processes. A potential solution is to add the additional energy (negative  $H$ ) to the  
545 available energy term defined in equation 12 (see [Parlange and Katul, 1992](#)).

546 The distribution of the model-minus-observation residuals, through the  
547 likelihood function, may also have an influence on the estimation of posterior  
548 parameter distributions ([Raupach et al., 2005](#)). However, a *priori* assessment of these  
549 errors may be not easy ([Beven, 2001](#)). Fig. 11 shows the distribution of the residuals  
550 between simulated and observed datasets. The results indicated that the  
551 model-minus-observation departures of half-hourly  $\lambda$ ET flux was better approximated  
552 by a double-exponential distribution, which was in agreement with previous studies  
553 ([Hollinger and Richardson, 2005](#); [Richardson et al., 2006](#)). Thus, the two-tower  
554 approach ([Hollinger and Richardson, 2005](#)), which can give a prior estimates of the  
555 flux data uncertainties, should be applied in the Bayesian inference in future studies.  
556 The Cauchy distribution gave a more appropriate approximation for the daily  $E$   
557 departures. However, the Cauchy distribution may be not a good choice for the  
558 purpose of Bayesian inference, since its first four moments are undefined ([Richardson  
559 et al., 2008](#)).

## 560 **5 Conclusions**

561 This study illustrated the use of the Bayesian method to simultaneously  
562 parameterize a two-source ET model against the multivariate datasets for a crop  
563 ecosystem in a desert oasis of northwest China. The posterior distributions of the

564 model parameters in most cases can be well constrained by the observations.  
565 Generally, the parameterized model has a good performance in simulating and  
566 partitioning ET. However, underestimations were observed on days when the  
567 ‘oasis-effect’ occurred. Therefore, in the future studies, special attentions should be  
568 given to proper descriptions of the effects of advection on estimating ET for  
569 heterogeneous land surface. In addition, the canopy interception model should be  
570 coupled with the two-source ET model in long-term simulation.

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### Figure Lists:

894 **Fig. 1** Experimental location and instrumentation setting at Daman (DM) superstation.

895 **Fig. 2** Schematic diagram of the S-W model. From right to left,  $r_s^c$  and  $r_a^c$  are bulk  
896 resistances of canopy stomatal and boundary layer ( $s\ m^{-1}$ ), respectively;  $r_s^s$  and  $r_a^a$   
897 aerodynamic resistances from soil to canopy and from canopy to reference height ( $s$   
898  $m^{-1}$ ), respectively;  $r_s^s$  soil surface resistance ( $s\ m^{-1}$ ).  $\lambda T$  transpiration from canopy  
899 ( $W\ m^{-2}$ ),  $\lambda E$  evaporation from soil under plant ( $W\ m^{-2}$ ), and  $\lambda ET$  total  
900 evapotranspiration ( $W\ m^{-2}$ ).

901 **Fig. 3** Seasonal variation in (a) net solar radiation ( $R_n$ ;  $MJ\ m^{-2}\ d^{-1}$ ), (b) air  
902 temperature ( $T_a$ ;  $^{\circ}C$ ), (c) vapor pressure deficit ( $D$ ;  $kPa$ ), (d) wind speed ( $u$ ;  $m\ s^{-1}$ ) at  
903 the height of 3 m, (e) precipitation and irrigation (mm), soil water content ( $\theta$ ,  $m^3\ m^{-3}$ )  
904 at 4, 10 20 and 40 cm depth, and (f) leaf are index (LAI;  $m^2\ m^{-2}$ ) during the study  
905 period in the Daman Oasis.

906 **Fig. 4** Histograms of samples from the posterior distributions of the parameters. The  
907 dashed vertical lines indicate median parameter values.

908 **Fig. 5** Relative uncertainty reductions in the length of 95% credible interval form  
909 prior to posterior distribution.

910 **Fig. 6** Comparisons of responses of soil surface resistance ( $r_s^s$   $s\ m^{-1}$ ) to soil surface  
911 water contents ( $\theta$ ; %).

912 **Fig. 7** (a) Plot of estimated evapotranspiration ( $\lambda ET$ ;  $W\ m^{-2}$ ) against observed values.  
913 The regressions is:  $y = 0.84x + 0.18$  ( $R^2 = 0.83$ ); (b) Plot of estimated daily soil  
914 evaporation ( $E$ ;  $mm\ d^{-1}$ ) against measured data. The regressions is:  $y = 1.01x + 0.01$   
915 ( $R^2 = 0.82$ ).

916 **Fig. 8** Seasonal variation in daily evapotranspiration ( $ET$ ;  $\text{mm d}^{-1}$ ) and soil  
917 evaporation ( $E$ ;  $\text{mm day}^{-1}$ ) measured by the EC system and modeled by the S-W  
918 model during the study period in Daman Oasis. Gap in the time series is caused either  
919 by the absence of flux measurements or missing ancillary data.

920 **Fig. 9** Diurnal variations in net radiation flux ( $R_n$ ;  $\text{W m}^{-2}$ ), sensible heat flux ( $H$ ;  $\text{W}$   
921  $\text{m}^{-2}$ ), and modeled and measured evapotranspiration flux ( $\lambda ET$ ;  $\text{W m}^{-2}$ ). (a)-(c)  
922 represented conditions at which micro-scale advection occurred at 12:00, 15:00 and  
923 17:00 Beijing Standard Time (BST) , respectively, (d) represented a rainy day, and  
924 (e)-(h) represented clear and advection-absent days during the study period. Gap is  
925 caused either by the absence of flux measurements or missing ancillary data. Modeled  
926  $\lambda ET$  was presented as median  $\pm 95\%$  posterior predication intervals.

927 **Fig. 10** The diurnal evolutions of temperature ( $T_a$ ;  $^{\circ}\text{C}$ ) and relative humidity (RH; %)   
928 profiles from 3 m to 40 m above the ground. (a) on 5 Jul. 2013. An obvious advection  
929 process can be detected from 13:00 to 17:00 BST with high temperature and low RH  
930 layer at the height of 8-18 m; (b) on 17 Jun. 2013. A precipitation event occurred at  
931 13:00 and resulted in uniform vertical distributions of  $T_a$  and RH, but no temperature  
932 inversion were observed; (c) on 11 Jun. 2013. It represented a typical clear and  
933 advection-absent day.

934 **Fig. 11** Histograms depicting the frequency distribution of the  
935 model-minus-observation departures for (a) half-hourly  $\lambda ET$  ( $\text{W m}^{-2}$ ) and (b) daily  
936 soil evaporation  $E$  ( $\text{mm day}^{-1}$ ).

937 **Table 1** Prior distributions and the parameter bounds for the S-W model. These values are derived from the literature; The posterior parameter distribution estimated  
 938 by MCMC are based on observed data in our site, and are characterized by the mean and 95% high-probability intervals (Lower limit, Upper limit).  
 939

| Parameter                        | Prior Distribution |             | References   | Posterior Distribution |                               |
|----------------------------------|--------------------|-------------|--|------------------------|-------------------------------|
|                                  | Lower Bound        | Upper Bound |  | Median                 | 95% High-Probability Interval |
| $r_{STmin}$ (s m <sup>-1</sup> ) | 0                  | 80          | Noilhan and Planton (1989); Li et al. (2013a)            | <b>21.8</b>            | (20.2, 24.6)                  |
| $k_1$ (W m <sup>-2</sup> )       | 0                  | 500         | Stewart (1998)   | 294.6                  | (42.5, 487.7)                 |
| $k_2$ (°C)                       | 5                  | 40          | Ogink-Hendriks (1995)                                    | <b>25.6</b>            | (12.9,34.4)                   |
| $k_3$ (kPa <sup>-1</sup> )       | 0                  | 0.1         | Stewart (1998)   | 0.02                   | (0, 0.07)                     |
| $b_1$ (s m <sup>-1</sup> )       | 4                  | 15          | Sellers et al. (1992); Zhang (2012); Zhu et al., (2013)  | <b>9.3</b>             | (8.4, 10.0)                   |
| $b_2$ (s m <sup>-1</sup> )       | 0                  | 8           | Sellers et al. (1992); Zhang (2012) ; Zhu et al., (2013) | <b>6.2</b>             | (3.8, 7.4)                    |

940 The bold number means that this parameter was well constrained by the data.

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948 **Table 2** Statistical analysis of measured and estimated using the median parameter values half-hourly evapotranspiration ( $\lambda ET$ ;  $W m^{-2}$ ), daily soil evaporation ( $E$ ;  
 949  $mm d^{-1}$ ), and daily evapotranspiration( $ET$ ;  $mm d^{-1}$ ) for the spring maize in arid desert oasis during the study period.

|                             | $n$  | Regressive equation                                   | $R^2$ | Mean measured values | Mean simulated values | RMSE | MBE   | IA   | EF   |
|-----------------------------|------|---|-------|----------------------|-----------------------|------|-------|------|------|
| $\lambda ET$ ( $W m^{-2}$ ) | 3578 | $\lambda ET_{modeled}=0.84\lambda ET_{measured}+0.18$ | 0.83  | 161.4                | 137.2                 | 80.7 | 24.2  | 0.93 | 0.74 |
| $E$ ( $mm d^{-1}$ )         | 56   | $E_{modeled}=1.01E_{measured} +0.01$                  | 0.82  | 0.26                 | 0.28                  | 0.05 | -0.01 | 0.94 | 0.76 |
| $ET$ ( $mm d^{-1}$ )        | 95   | $ET_{modeled}=0.83ET_{measured} +0.19$                | 0.83  | 2.02                 | 1.88                  | 0.32 | 0.14  | 0.94 | 0.79 |

950  $n$ =the sample number;  $R^2$ =the determination coefficient; RMSE=root mean square error; MBE=mean bias error between measured and modeled values; IA= index  
 951 of agreement; ET= model efficiency. These statistical parameters are calculated using formulas given by Legates and McCabe (1999) and Poblete-Echeverria and  
 952 Ortega-Farias (2009).

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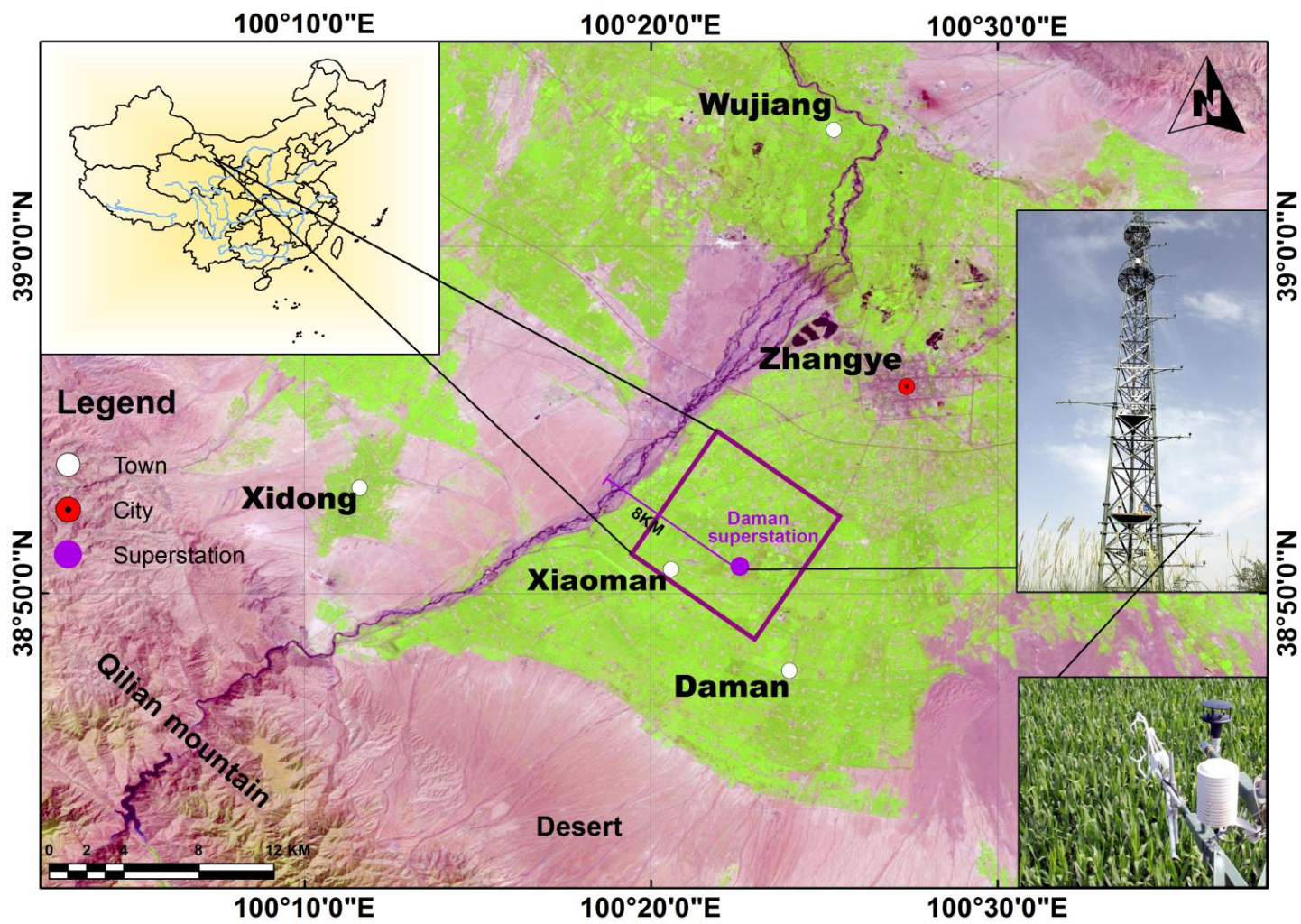
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Fig. 1

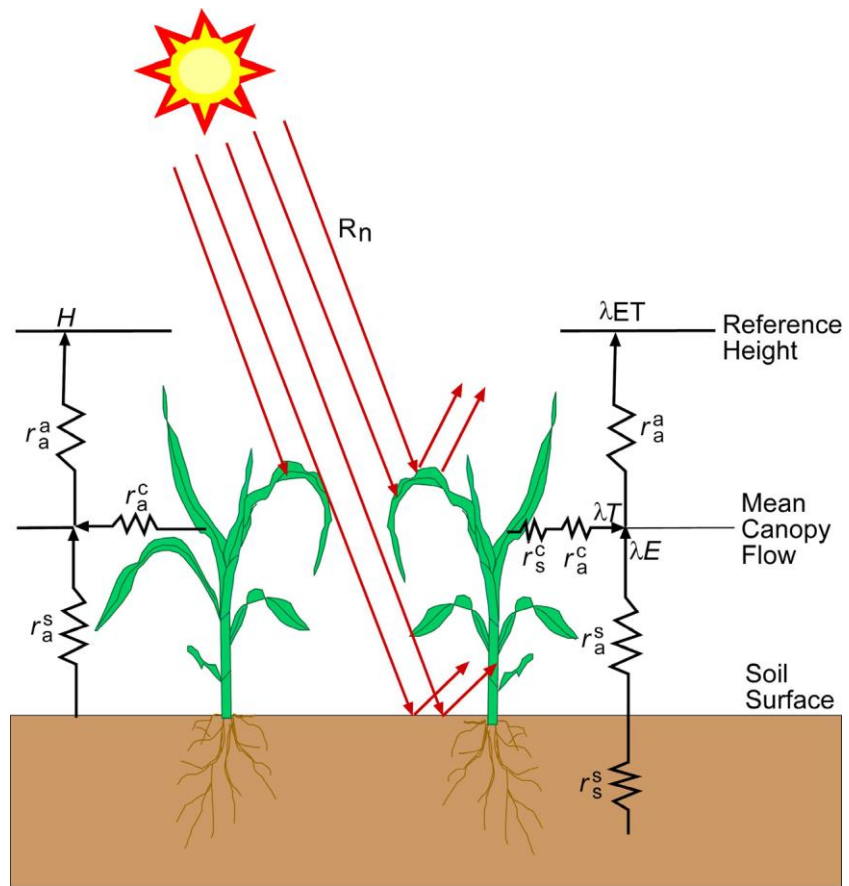


Fig. 2

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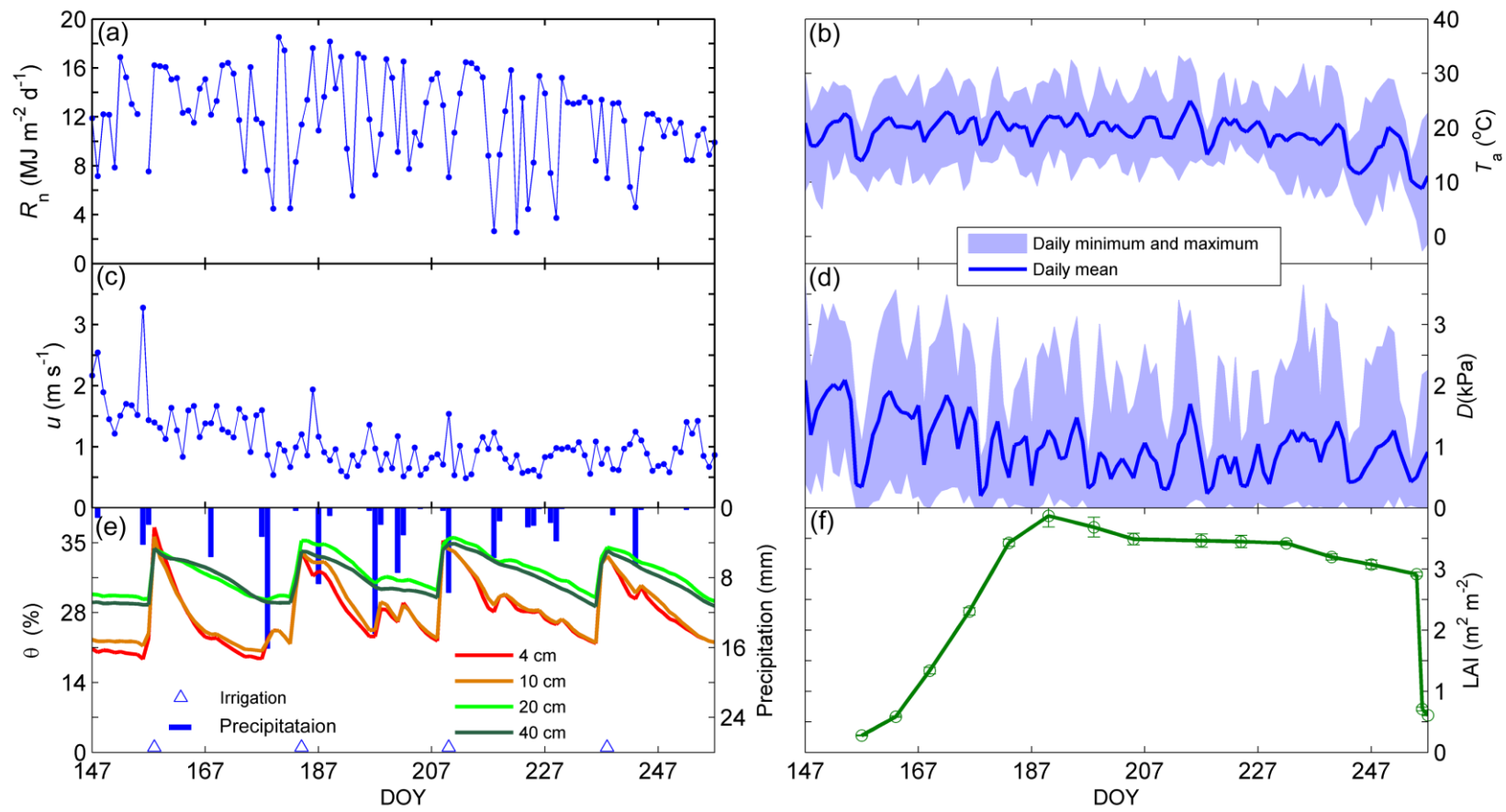


Fig. 3

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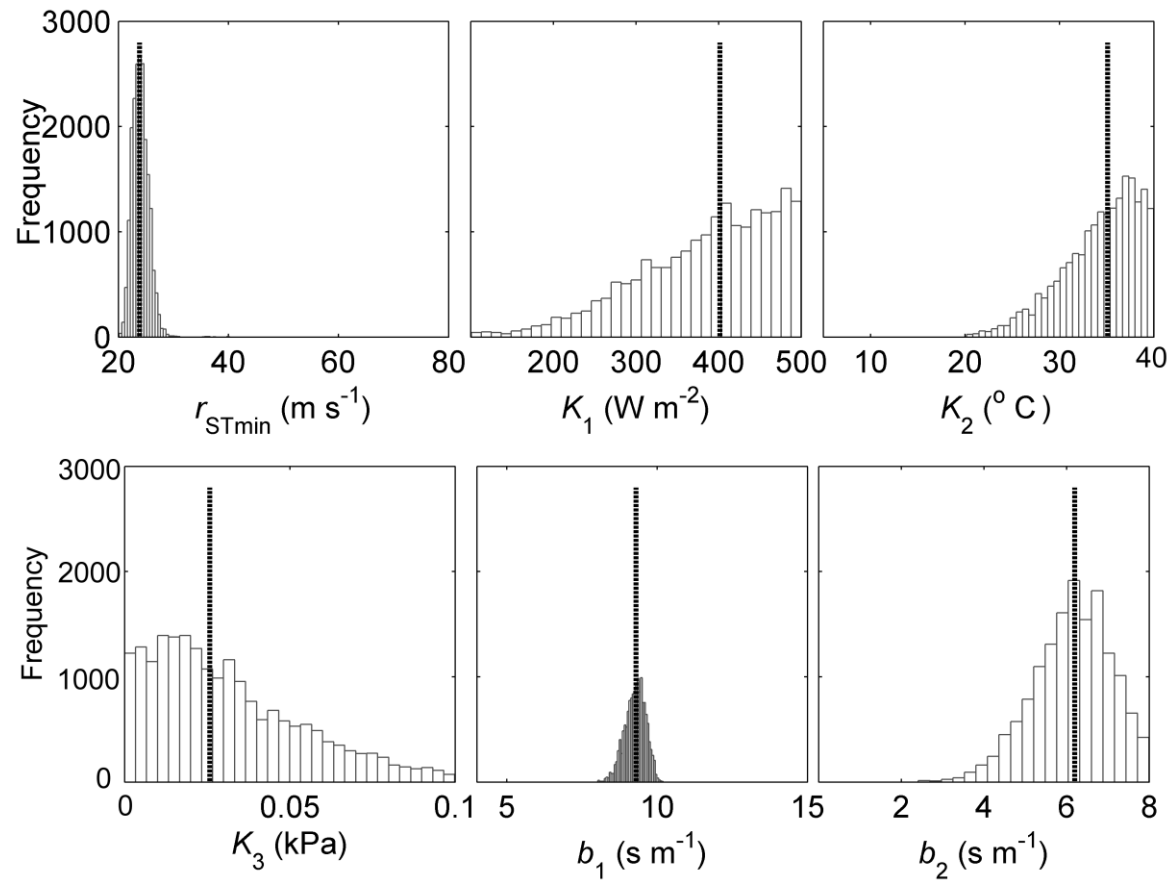


Fig. 4

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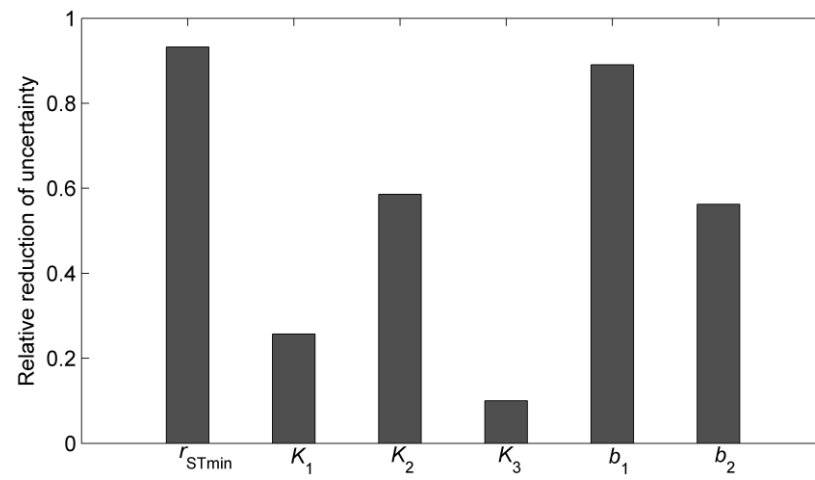


Fig. 5

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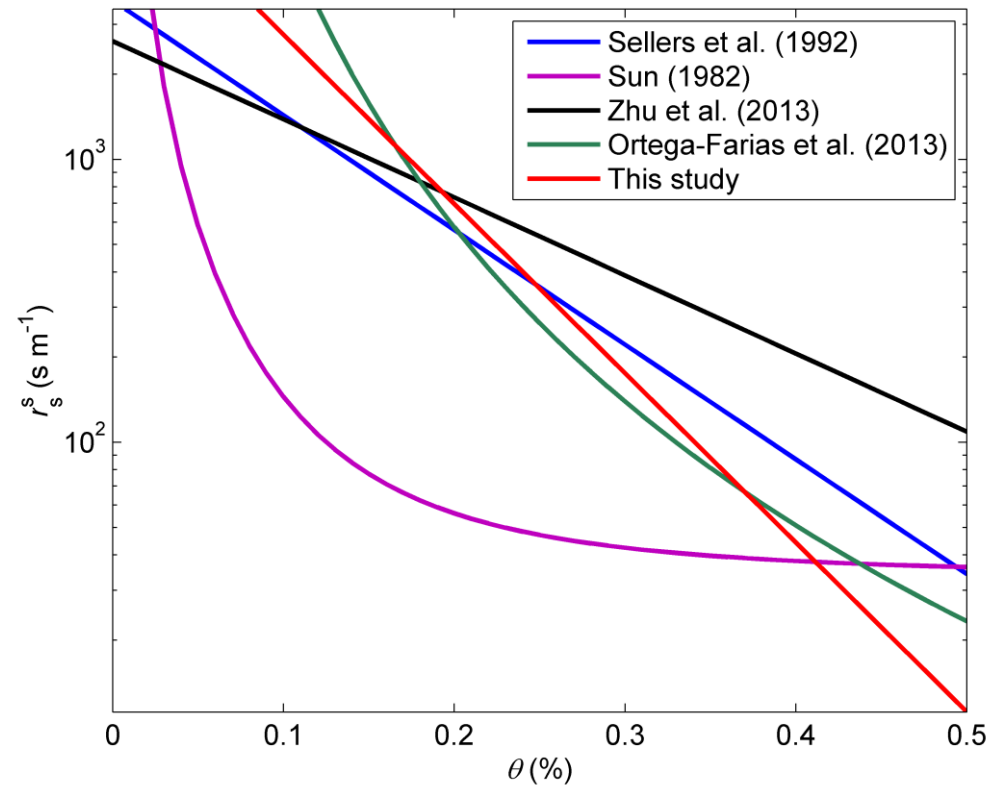


Fig. 6

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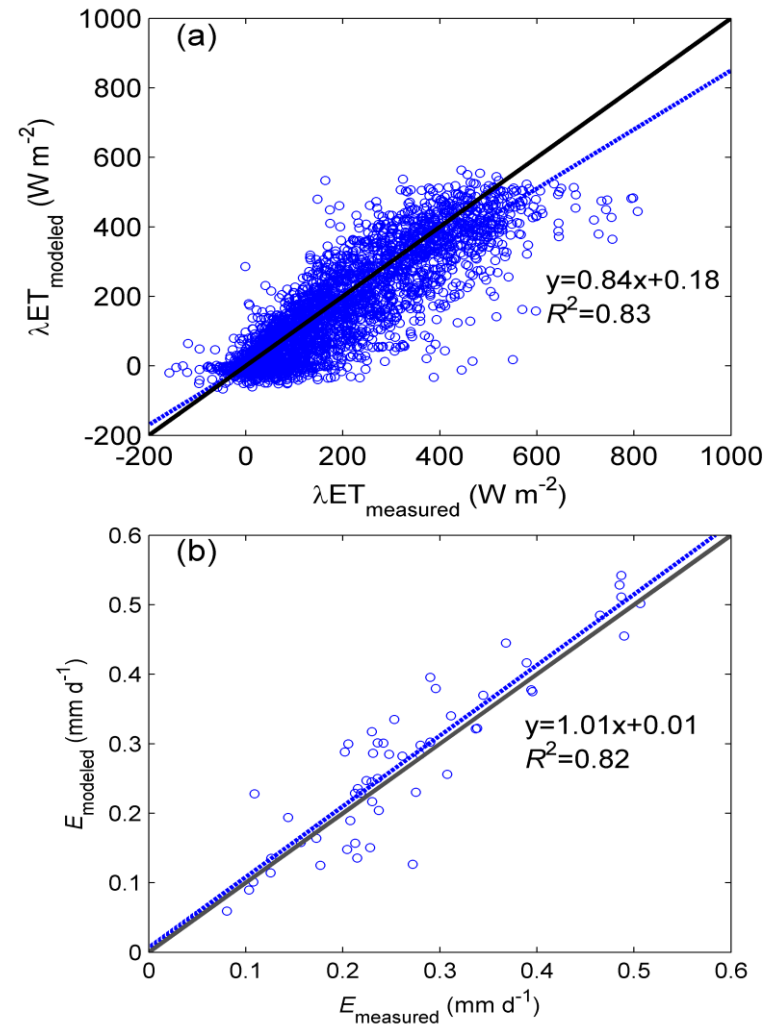


Fig. 7

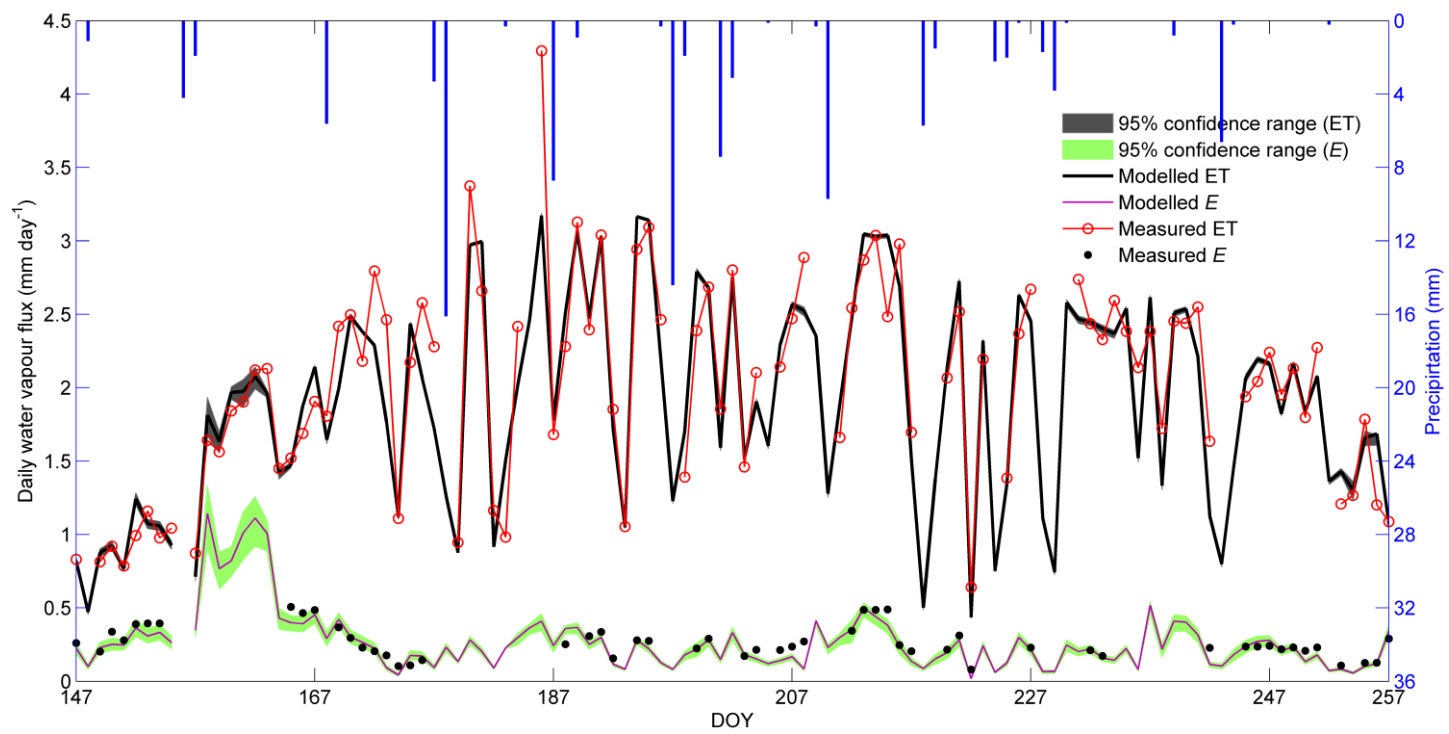


Fig. 8

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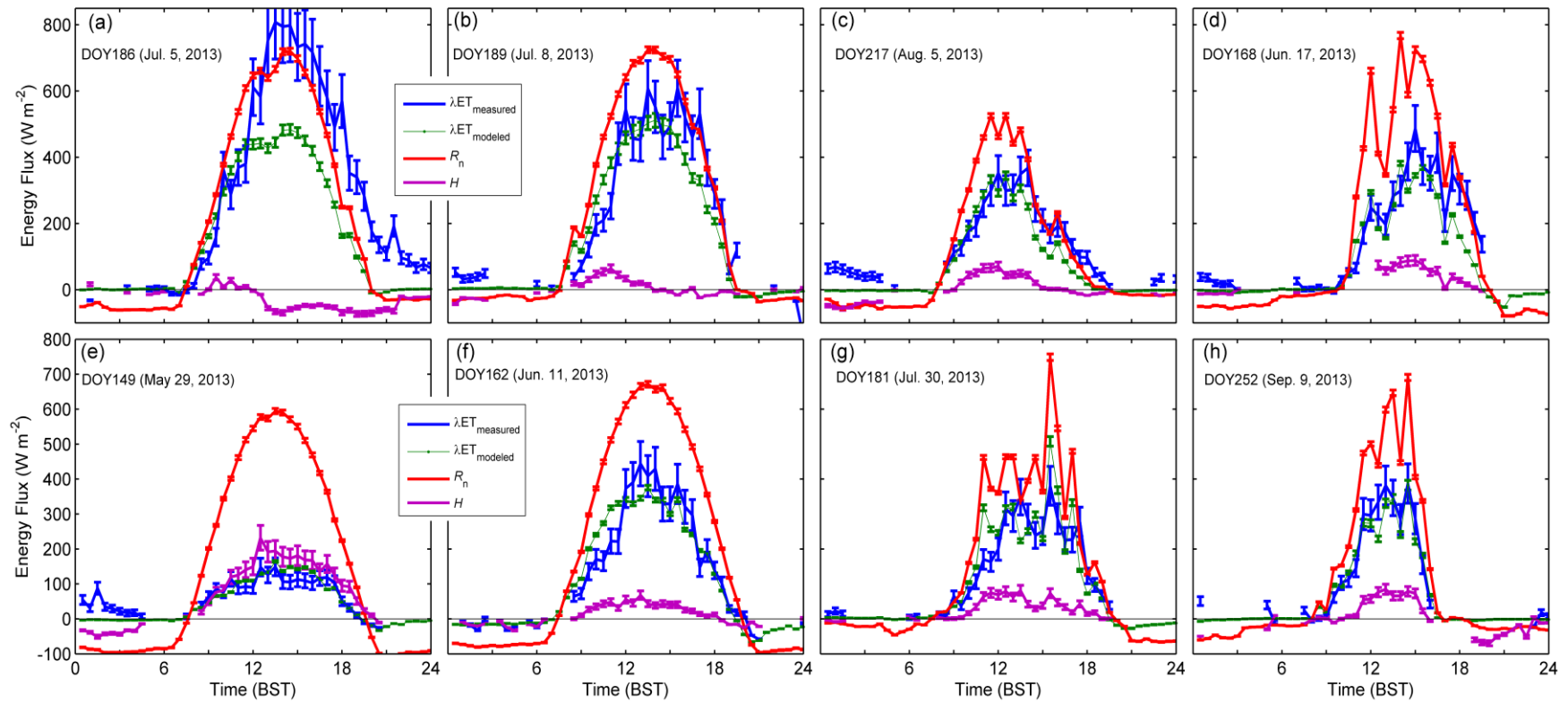


Fig. 9

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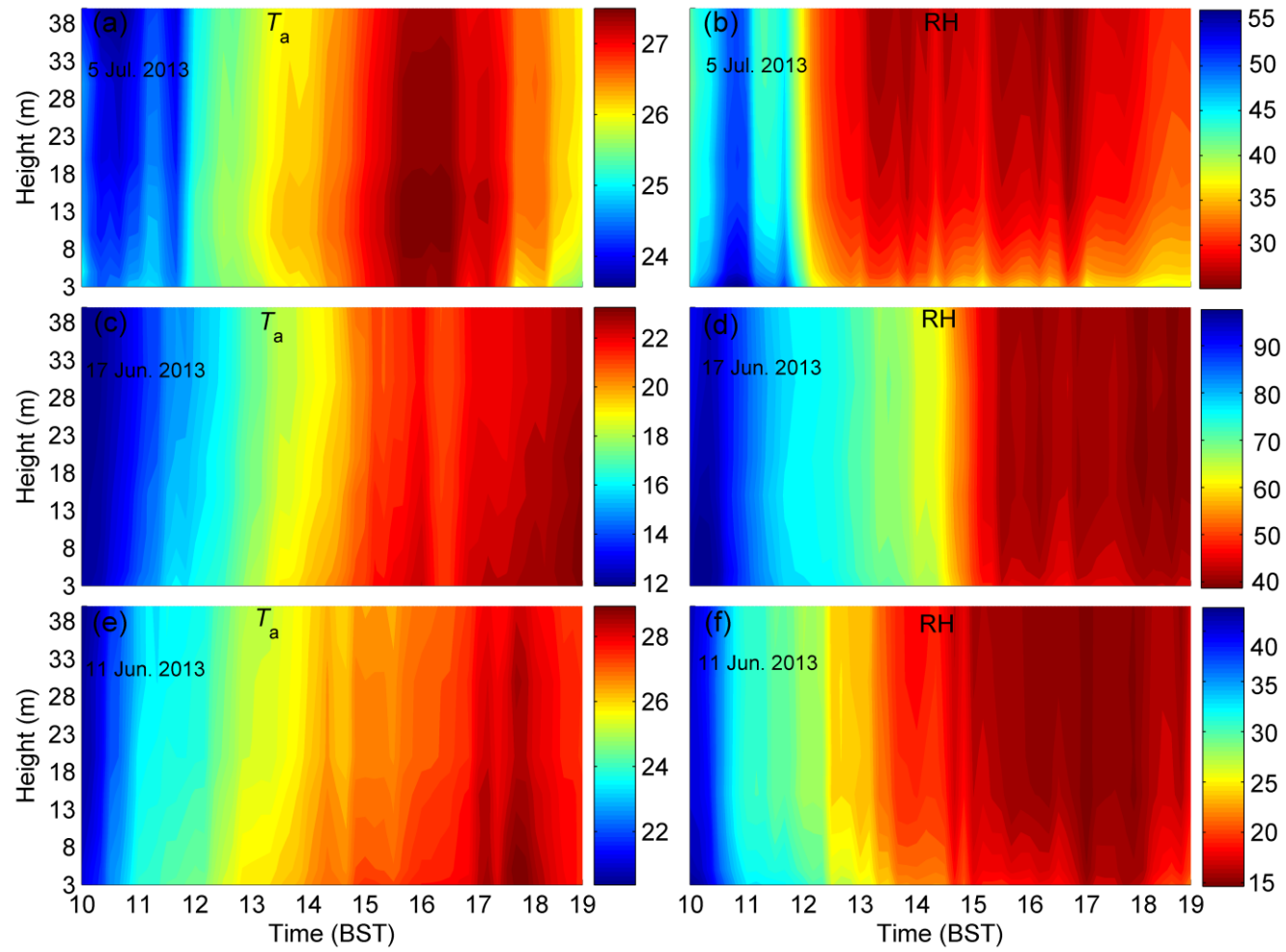


Fig. 10

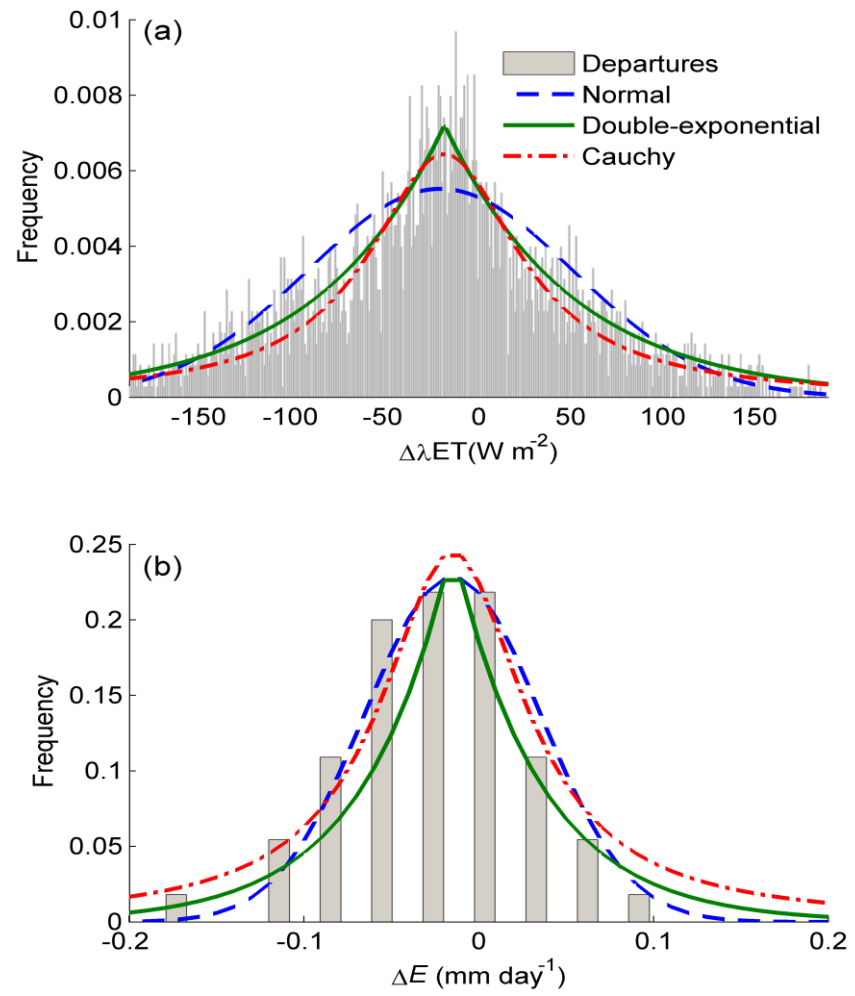


Fig. 11

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