Dear Editor

We have addressed the reviewers' comments in the revised manuscript; our detailed responses to their comments are given below (reviewer's comments in italics). A version of the manuscript with tracked changes in red is attached below.

Reviewer 2

General Comments:

This paper describes a new version of the Reading Intermediate Global Circulation Model, version 4 (IGCM4). It goes over new additions, setups, and parameterizations to the model, and examines how well the model simulates basic tropospheric and stratospheric variables, including tropospheric and stratospheric temperatures, precipitation, Outgoing Longwave Radiation (OLR), and zonally-averaged stratospheric winds. The climate sensitivity of the model coupled to a slab ocean was also examined. In general, the model does a decent job in simulating temperature, precipitation, and OLR compared to the NCEP-DOE reanalysis and CMIP5 models, with most of the errors being attributed to a lack of aerosol forcing and cloud parameterization errors. The model also compares well to ERA-40 reanalysis in the stratosphere, with errors in zonal average wind speed attributed to the model's gravity wave drag scheme.

I have personally never used this model. However, I think this description is easy enough to understand, and thus good enough to be accepted with revisions. The revisions I have for the paper are listed in the next two sections.

We thank the reviewer for this positive review.

Specific Comments:

There were several scientific clarifications I think would be helpful for this paper:

1) In the introduction, it would be useful to describe the scientific benefits of having an intermediate complexity climate model. For example, it would help if you described in more detail how a "hierarchy of models" can help deduce underlying physical processes. You should also emphasize that given this model's relative computational cheapness, it would be a great candidate for running a large ensemble, or for doing very long simulations. Those long simulations themselves could help estimate equilibrium climate sensitivity given long time-scale changes and feedbacks, and could also help paleoclimate simulations. Describing these sorts of scientific benefits in the introduction would certainly strengthen this paper.

This is a good point: we now include a section in the revised manuscript (lines 48-70) in which we include just such a rationale for the use of intermediate complexity models such as the IGCM4:

'The rationale for such a model in the hierarchy of potential model codes is now addressed. Understanding key scientific questions related to climate and climate changes relies on understanding processes within the atmosphere, whose complex and nonlinear nature entails the use of global circulation models. However, understanding such complex processes in models is extremely challenging since unpicking processes within state-of-the-art climate circulation models can be extremely difficult given their complexity- especially when their computational demands are taken into account, leading to limits in both integration times and data storage.'

'Having said that, it is necessary for models to be complex enough to simulate the processes that are relevant to understanding a given question of interest. This is the niche which intermediate circulation models such as the IGCM occupies. This niche

consists of models that are complex enough in terms of dynamical processes to represent a wide variety of processes from monsoonal circulations to extratropical storm tracks. However, their relative simplicity compared to state-of-the-art climate models that are employed by the Intergovernmental Panel on Climate Change (henceforth IPCC), enable process-level understanding to become more tractable because of (a) computational speed enabling long integrations or large ensemble members, and (b) flexibility and ease of use enabling the examination of idealised scenarios. Examples where the IGCM4 might be used are e.g.; conducting integrations of idealised perturbations to boundary conditions such as sea-surface temperature, topography, or continental distributions; conducting ensembles of multi-century integrations to collect robust statistics of small-amplitude responses to particular forcings".'

2) In section 2.3, there is the statement "the height at which total albedo reaches (A+S)/2". I am not sure what "height" that statement is referring to. Is that the model vertical level (e.g., one of the sigma levels), the snow depth, or something else? I think stating explicitly what that height is will help the reader.

The value is height in metres. We now include a table which shows these surface parameters as a function of surface type (Table 1 in revised text).

3) I am not familiar with the radiation schemes used in IGCM, and thus it is not clear what the benefit is to move from NIKOSRAD to Morcrette. Does Morcrette have ozone absorption while NIKOSRAD doesn't? Is Morcrette more physically realistic, or does it produce a more accurate climate simulation? Is it computationally cheaper, or more easily parallelized? I think spending more time describing how you chose your radiation scheme would help this document immensely.

The NIKOSRAD scheme was found to produce 2Δz oscillations under certain conditions in the stratosphere, which is why it was replaced. The Morcrette scheme is originally written for the ECMWF model so is fast. We now state the reason for replacing NIKOSRAD with MORCRETTE in the text.

4) I was unable to find any description of the convective schemes used in the model, even though there are statements about tuning and rainout timescales. There needs to be a description of the convective scheme somewhere in this paper. Without one, it is impossible to have a strong opinion on the scientific validity of the model.

We now describe the convection scheme in the IGCM4 in section 2.3 (lines 192-195): it is similar to that described in Forster et al (Clim. Dynamics, 16, 833-849, 2000).

5) The same goes for clouds produced by the large-scale dynamics. Is there any sort of physical paramterization to deal with the radiative and microphysical effects of those? You do describe a marine stratocumulus scheme, but what about clouds over land, or those generated by extratropical cyclones that aren't convective? Again, a more complete description of the moist physics in this model is needed.

We now describe the stratiform cloud and precipitation scheme in section 2.3 (lines 204-207); again it is identical to that described in Forster et al (Clim. Dynamics, 16, 833-849, 2000). Cyclones over both land and ocean can form stratiform cloud and precipitation.

6) What is the dataset you are using for OLR to compare against the model? I am assuming it is NCEP-DOE reanalysis, but this isn't explicitly stated anywhere. It would be good to state in the document where you acquired your OLR data.

We have now inserted a reference for the OLR data in the figure where it is used and in the text.

Technical comments:

In the last sentence of the second paragraph in section 2.3, you should drop "e.g.", so that it just says "such as HadGEM2".

We have made this change.

In the first sentence of section 2.4, you need to add the word "was", so that the phrase is either "which was originally written" or "which originally was written".

We have added the word "was" to the text.

In the first sentence of the last paragraph of section 2.4, the final wording should be *changed to something like "A version of the Kawai and Inoue (2006) parameterisation for marine stratocumulus cloud has also been implemented in IGCM4."*

We have changed the sentence to the above recommendation.

In section 2.5, I would either drop the parenthetical phrase "a very good approximation for the stratosphere", or add a citation to support it.

We have dropped the phrase.

In section 3.1, the first sentence needs the word "as" included, like so: "…is prescribed ***as*** *a monthly-varying climatology".*

We have made this change.

I would probably not use the phrase "basket of models". Maybe instead use the phrase "collection of models", or "(sub)set of models".

We have changed the word "basket" to "subset".

In the third paragraph of section 3.1, the third sentence is somewhat difficult to read. I would reword it like so:

As a guide to the IGCM's performance in the context of other models, the mean±one standard deviation precipitation bias amongst a ***subset of models present in the CMIP5 archive being used*** *for the UN Intergovernmental Panel on Climate Change's 5th assessment report (IPCC AR5) is also shown: the comparison is for the CMIP5 model configuration using prescribed "AMIP" SSTs, since coupled ocean-atmosphere biases tend to worsen model performance.*

We have made this change.

*I also found the third sentence of the fourth paragraph of section 3.1 difficult to understand at first. I would probably rephrase the beginning like so: "****Thus****, for the JJA season as* ***well as*** *the DJF season…".*

We have made this change.

In the last sentence of paragraph 5 of section 3.1, I think you meant to state that the imbalance, not the balance, of the energy fluxes is 1-2 w/m2.

We have made this change.

In section 4, is the climate sensitivity the equilibrium or transient sensitivity? Just specifying which type will help.

We now specify that we mean equilibrium climate sensitivity.

When discussing Figure 11, it might be better to call it the energy imbalance, or just the net downward energy flux.

We have changed the wording to "imbalance".

In Figure 2, it may be better if there was a labeled color bar instead of labeled contours, as the actual contour values can be hard to read. However, this is more of personal opinion than a strong suggestion.

We have added bolder and larger labels on the curves, and changed colours, to help readability.

In Figures 4 and 5, the observed precipitation panel plot should have a different, explicitly labeled color bar. That way it doesn't make the reader think there is negative precipitation, which is unphysical. It also should state that the bottom three (CMIP5) plots are for average precipitation bias, not average precipitation.

We have inserted a new caption to clarify these issues. It specifically mentions that there are two colour bars (a,b and c,d,e,f) and also states the CMIP5 subfigures are differences with CMAP (which is also indicated in the subfigure heading).

Figures 7, 8 and 9 would probably be improved by adding a difference plot between the reanalysis and IGCM4. However, it isn't a must-have.

As well as showing reanalysis, Figures 7 and 8 now overlay contours of IGCM zonal wind and temperature on colours of IGCM4-reanalysis difference to help to show where the differences are (e.g. in the southern hemisphere tropospheric jetstream). We have not done so for Figure 9, since the additional land-ocean boundary would make such a contour plot more difficult to read- rather we now show eddy fields of both IGCM4 configurations and reanalysis at both 500 hPa and 200 hPa.

In Figure 11, I would again call it an energy imbalance, or just the net downward energy flux.

We have changed the caption to say "Annually averaged net downward zonal surface energy imbalance"

IGCM4: A fast, parallel and flexible intermediate climate model

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Abstract

The IGCM4 (Intermediate Global Circulation Model version 4) is a global spectral primitive equation climate model whose predecessors have extensively been used in areas such as climate research, process modelling, and atmospheric dynamics. The IGCM4's niche and utility lies in its speed and flexibility allied with the complexity of a primitive equation climate model. Moist processes such as clouds, evaporation, atmospheric radiation and soil moisture are simulated in the model, though in a simplified manner compared to state-of-the-art GCMs. IGCM4 is a parallelised model, enabling both very long integrations to be conducted, and the effects of higher resolutions to be explored. It has also undergone changes such as alterations to the cloud and surface processes, and the addition of gravity wave drag. These changes have resulted in a significant improvement to the IGCM's representation of the mean climate as well as its representation of stratospheric processes such as sudden stratospheric warmings. The IGCM4's physical changes and climatology are described in this paper.

1. Introduction

In order to better understand the physical processes that underpin climate and climate change, it is necessary to examine not only state-of-the-art climate models, but also simpler models which can have fewer degrees of freedom. In such a manner, commonly referred to as the hierarchy of models approach, a more robust picture of the causative mechanisms underlying climate processes can emerge. This paper describes the IGCM4 (Intermediate General Circulation Model 4), which is the latest incarnation of a collection of simplified climate models, collectively and usually referred to as 'Reading IGCM' models, after the institution where much of their development has taken place.

The rationale for such a model in the hierarchy of potential model codes is now addressed. Understanding key scientific questions related to climate and climate changes relies on understanding processes within the atmosphere, whose complex and nonlinear nature entails the use of global circulation models. However, understanding such complex processes in models is extremely challenging since unpicking processes within state-of-the-art climate circulation models can be extremely difficult given their complexity- especially when their computational demands are taken into account, leading to limits in both integration times and data storage.

Having said that, it is necessary for models to be complex enough to simulate the processes that are relevant to understanding a given question of interest. This is the niche which intermediate circulation models such as the IGCM occupies. This niche consists of models that are complex enough in terms of dynamical processes to represent a wide variety of processes from monsoonal circulations to extratropical storm tracks. However, their relative simplicity compared to state-of-the-art climate models that are employed by the Intergovernmental Panel on Climate Change

(henceforth IPCC), enable process-level understanding to become more tractable because of (a) computational speed enabling long integrations or large ensemble members, and (b) flexibility and ease of use enabling the examination of idealised scenarios. Examples where the IGCM4 might be used are e.g.; conducting integrations of idealised perturbations to boundary conditions such as sea-surface temperature, topography, or continental distributions; conducting ensembles of multi-century integrations to collect robust statistics of small-amplitude responses to particular forcings.

The base model which IGCM4 will be compared with is the so-called IGCM3 (Forster et al. 2000). The model has had many incremental updates since IGCM3, but since that was the last documented model and climatology, all improvements to IGCM4 are described with respect to IGCM3.

The IGCM has a number of configurations which are briefly described here in order to clarify where IGCM4 sits in relation to the others. IGCM1 is a spectral primitive equation model which can be run in global or hemispheric modes, and is based on the spectral model of Hoskins and Simmons (1975). The vertical coordinate is the σ terrain-following coordinate, where σ = pressure/surface pressure. Diabatic processes in IGCM1 include spectral hyperdiffusion to remove noise at small scales, linear or 'Newtonian' relaxation to a reference temperature state, and linear or 'Rayleigh' friction at any number of model layers. Examples of research conducted with this configuration are studies of baroclinic lifecycles on Earth (Hoskins and Simmons 1975, James and Gray 1986, Thorncroft et al. 1993) and Mars (Collins and James 1995), as well as studies of the stationary circulation on Earth (Valdes and Hoskins 1991), Mars (Joshi et al. 1994) and other planets (Joshi et al 1997).

In IGCM2, the linear diabatic processes in IGCM1 are replaced by more realistic nonlinear diffusive processes. Radiative processes are parameterised simply using a prescribed surface temperature and a constant cooling rate of 1.25 $Kday^{-1}$ representing infra-red radiation to space. The effects of moisture are included in IGCM2, necessitating the inclusion of evaporation, parameterisation of deep and shallow convection, and the potential for moisture transport. Such a configuration represents moist processes allowing the study of tropical regions, and has accordingly been used in studies of mesoscale tropical dynamics and circulation (Cornforth et al. 2009).

IGCM3 is a full climate model in which the prescribed surface can be replaced by one or both of a two-level interactive land surface, and a slab or 'q-flux' ocean model. The constant radiative cooling is replaced by a radiative scheme which calculates clear sky fluxes in 2 visible bands and 6 infra-red bands, and accounts for the radiative effects of clouds. This model is described fully in an appendix to Forster et al. (2000). This configuration has been used in many studies of tropospheric climate (Forster et al 2000, Joshi et al. 2003) and stratospheric climate (Rosier and Shine 2000, Winter and Bourqui 2011 a,b). A coupled ocean-atmosphere model (FORTE) has been created in the past by coupling the IGCM3 to the MOMA ocean model (e.g. Sinha et al. 2012). A similar process is underway for IGCM4, and the resulting coupled model is the subject of an accompanying paper.

We now set out the climatology of the new IGCM4 model in addition to changes since the last published detailed version IGCM3. Section 2 details changes since IGCM3, section 3 details the new model climatology, and section 4 details the climatic performance of the IGCM4.

2. Model changes from IGCM3

2.1 IGCM4 Configurations

IGCM4 exists in two standard configurations: a spectral truncation of T42 (having a 128 x 64 horizontal grid) and 20 layers in the vertical, denoted T42L20, which is the standard configuration for studies of the troposphere and climate, and T42L35, which enables study of the stratosphere on climate. In addition, a configuration of T170L20, which enables study of mesoscale phenomena such as weather fronts and tropical waves, is also under development, but its description is beyond the scope of this paper. The L20 and L35 configurations reach from the surface to 50 hPa and 0.1 hPa respectively, and are shown in Figure 1. The lowest 19 model layers in each configuration have exactly the same values, so that only the stratosphere is different, enabling more traceability when comparing different model configurations.

The spectral code is parallelised using a so-called 2D decomposition (Foster and Worley 1997, Kanamitsu et al. 2005). In a 2D decomposition, two of the three dimensions are divided across the processors, and so there is a column and row of processors, with the columns divided across one dimension and the rows across another. Compared with a 1D decomposition, a 2D decomposition increases the number of transpositions that need to be made to go from spectral-space to grid-space and back again. However the advantage is that each transposition is only amongst processor elements (henceforth PEs) either on the same column or the same row. Any transposition for 1D decomposition requires all the PEs to communicate with one another, which increases the size of buffers passed between PEs, communication latency, and slows down the model. Han and Juang (2004) found that a 2D decomposition is about twice as fast as a 1D decomposition. More details on the decomposition are given on the IGCM website (Stringer 2012)

The model's performance on a parallel cluster using an intel compiler and MPI parallelisation libraries is as follows: T42L35: ~ 75 model years/day on 32 processors; 96 timesteps per day); T42L20: ~ 200 model years/day on 32 processors; 72 timesteps per day).

2.2 Surface and boundary layer processes

Over land, each grid point has a land-surface type based on present-day observations: there are 8 types (ice, inland water, forest, grassland, agriculture, tundra, swamp, desert). Each land-surface type has its own value for snow-free albedo A, snow-covered albedo S, the height (in metres) at which total albedo reaches (A+S)/2, and roughness length. The values of these quantities for each surface type are shown in Table 1.

Whenever snowmelt occurs in the model, the snowmelt moistens soil so that the soil water is 2/3 of the saturated value. This is a very simple parameterisation of snowmelt percolating through soil and helps to alleviate warm biases in late spring and summer in Eastern Eurasia, consistent with more complex GCMs such as HadGEM2 (Martin et al 2010).

A maximum effective depth for snow of 15m exists to prevent slow drifts in heat capacity and hence temperature and energy balance, since there is no physics in the IGCM4 to represent the melting of ice fields at their bases. In addition, the 'land ice' surface type has a fixed snow depth, so that points diagnosed as 'ice' are not subject to slowly emerging model biases in temperature appearing because of snow depth slowly being eroding away over decades. At present, these fixed land-ice points are set to be Antarctica and Greenland.

The effect of sea-ice in IGCM is implemented by assuming a linear change from 0°C to -2°C in these surface properties: roughness, albedo and heat capacity. This replaces the sudden change of surface properties at -2°C, which is unrealistic given partial ice cover in most oceans, and also removes a bias in that while sea-ice forms from saline water at -2°C, it melts at 0°C, since ice is mostly composed of fresh water. A combination of ice and open water is therefore desirable between -2°C and 0°C.

The amount that surface heat fluxes can be amplified by convectively unstable conditions above their values at neutral or zero stability has been limited to 4.0. This value has been chosen to limit latent heat fluxes over the ocean and sensible heat fluxes over the land to better match observations, although it is still a simplification of more complex schemes that involve the Richardson number (e.g. Louis 1979), since it is entirely stability-based.

2.3 Radiation, convection, clouds and aerosol

The NIKOSRAD radiation scheme in IGCM3 (Forster et al. 2000) has been replaced with a modified version of the Morcrette radiation scheme (Zhong and Haigh 1995) which was originally written for the ECMWF model. This is because the NIKOSRAD scheme was found to produce $2\Delta z$ oscillations under certain conditions in the stratosphere. A transitional version of IGCM3, called IGCM3.1, has existed with the Morcrette radiation scheme for some time, and many climatic (e.g. Bell et al. 2009, Cnossen et al. 2011) and climate-chemistry (e.g. Highwood and Stevenson 2003, Taylor and Bourqui 2005) studies have been conducted with it. The Morcrette radiation scheme has a representation of O_3 absorption of UV between 0.12μm and

0.25μm, 2 visible bands (0.25-0.68μm, 0.68-4μm), and 5 infra-red (henceforth IR) bands.

The radiatively active species in the IGCM are H_2O, CO_2, CH_4, O_3, N_2O, CFC-11 and CFC-12. H_2O is advected self-consistently in the model, but prescribed above a seasonally varying climatological tropopause. O_3 is specified from a zonally averaged climatology (Li and Shine 1995), which is then interpolated to model levels. All other gases are assumed to be well-mixed throughout the GCM domain, and are easily changed via a namelist.

The solar constant in IGCM4 is 1365 Wm^{-2}, which is more consistent with observations than the older value of 1376 Wm^{-2} in IGCM3 and IGCM3.1. The ocean albedo A_o varies with latitude φ in this manner:

$$A_o = 0.45 - 0.30\cos\varphi. \quad (1)$$

This is a simple parameterisation of the effects of aerosols and solar zenith angle on albedo based on observations so that at the equator A_o = 0.15, increasing to 0.3 at 60°S/N.

The convection scheme in the IGCM4 is identical to that described in Forster et al (2000) and is based on the scheme of Betts (1986), with separate adjustment processes for shallow and deep convection; the adjustment process for deep convection takes place over 3 hours as in Forster et al (2000). Rainout of shallow convective precipitation is now allowed in IGCM4 over a timescale of 6 hours. This rainout helps to slow down the Hadley circulation, whilst removing some of the shallow convective cloud that occurs over subtropical regions. Stratiform precipitation is as in Forster et al (2000): grid-scale supersaturation is removed. Above a gridpoint relative humidity

(henceforth RH) of 0.8, clouds are formed whose fraction F is given by $F = ((RH-0.8)/0.2)^2$. No cloud can form in the very lowest model layer.

The clouds have been tuned to better match observations of outgoing infra-red radiation and downward surface solar radiation: the cloud base fraction for deep convective cloud is 4 times the fraction at all other levels, which is consistent with observed convective cloud profiles (Slingo 1987). A version of the Kawai and Inoue (2006) parameterisation for marine stratocumulus cloud has also been implemented in IGCM4. This diagnoses low cloud at ocean points depending on the stability of the lowest two model sigma half layers, i.e. between the surface and layer 1, and layer 1 and layer 2, and deposits cloud in the second-to-lowest model layer if diagnosed.

Aerosols are not in the standard IGCM4: their effect on surface temperatures have been parameterised by slightly raising the albedo of land and ocean by 0.05. This is because even CMIP5 GCMs have trouble accurately representing the forcing due to different types of aerosol. In addition, even the aerosol scheme in the IGCM only deals with the direct effect, and not the different indirect effects such as cloud lifetime and particle size that are also present in reality. However, both specific case studies of tropospheric and stratospheric aerosols have been studied using IGCM3.1 (Highwood and Stevenson 2003, Ferraro et al. 2014), so future study using IGCM4 remains technically very feasible.

2.4 Stratosphere

A simple gravity wave drag scheme based on Lindzen (1981) had previously been implemented in both IGCM1 (Joshi et al 1995) and IGCM3 (Cnossen et al. 2011). The IGCM4 scheme is as above, but calculates drag based on orographic drag, as well

as 2 non-orographic modes having horizontal phase speeds of ±10 ms^{-1}. The orographic drag source amplitude is the magnitude of the zonal wind in the lowest model layer multiplied by the subgrid-scale standard deviation of topography; the non-orographic source amplitude is the magnitude of the zonal wind in the lowest model layer multiplied by a constant value of 90m.

Stratospheric water vapour (henceforth SWV) is calculated by adding a fixed value (3 ppmv) onto an amount calculated by a parameterisation that considers the stratospheric radiative effects of changing tropospheric methane concentrations. Methane oxidation in the stratosphere depends on the stratospheric chemical environment and stratospheric residence time. While both the chemical environment and the Brewer-Dobson circulation may change in a changing climate, coupled chemistry-climate model integrations show that their effects on stratospheric methane (and hence on SWV) is small compared to the effect of the changes in methane entering the stratosphere (Eyring et al 2010), which in turn is given by the change in average tropospheric methane to a good approximation. Hence, the impact of changing tropospheric methane can be approximated by calculating the stratospheric distribution of the fraction of oxidised methane, which then is multiplied by the amount of tropospheric methane to give the change in statospheric methane and its contribution to changes in SWV. We define the oxidised fraction β:

$$\beta(\varphi,z) = 1 - CH_4(\varphi,z) / CH_{4\ troposphere} \quad (2)$$

where z is altitude, φ is latitude, and any longitudinal variation is assumed to be averaged. $CH_4(\varphi,z)$ is obtained from satellite measurements by the Halogen Occultation Experiment (HALOE, Russell et al. 1993) over the period 1995-2005. Assuming that two water molecules form for each methane molecule, the water vapour change occurring over a given time interval is given by combining the change

in CH_4 over the same time interval with the scaling factor β in a similar manner to Fueglistaler and Haynes (2005) giving:

$$dH_2O(\varphi,z) = 2 * \beta(\varphi,z) * dCH_{4troposphere} \quad (3)$$

These calculated SWV anomalies are then supplied to the IGCM to allow calculation of the influence of this additional effect on climate. This approach provides excellent predictions of stratospheric methane changes in CCMVal2 models for the period 1960-2008 (REF-1B runs) (Eyring et al 2010).

Figure 2 (top right) shows an analytical approximation to this distribution, which is then used to calculate β. The effect is demonstrated by showing the SWV perturbation in ppmv for pre-industrial CH_4 concentrations of 0.75 ppmv (bottom left), and potential future concentrations of CH_4 of 2.5 ppmv (bottom right), as might be expected in the mid 21st century under the Representative Concentration Pathway (RCP) 8.5 scenario (Holmes et al 2013). For reference the background SWV concentration to which this perturbation is added is 3 ppmv.

3. Model Evaluation

3.1 Surface and top-of-atmosphere model climatology

The following results are all from the most commonly used configuration of the IGCM4: sea surface temperature (henceforth SST) is prescribed as a monthly-varying climatology based on ERA-40 reanalysis (Forster et al 2000), but land temperature is calculated self-consistently from surface fluxes at each timestep. For this section, the 20-layer T42L20 model has been used, which has been integrated for 100 model years in total.

Figure 3 shows the comparison between NCEP-DOE Reanalysis 2 (Kanamitsu et al. 2002) and IGCM4 surface temperature. During Boreal winter (December- February, or DJF), Figure 3 (bottom left panel) shows that the model displays a slight cold bias in Northern Eurasia, and a warm bias in the tropical regions and Antarctica. The bias is mostly below 10K in amplitude, which is good for intermediate models of this type. The boreal summer response (June-August, or JJA) is shown in Figure 3 (bottom right panel). Here, a warm bias is present over most of the land surface. The warm bias in both summer hemispheres is likely due to an absence of aerosols in the IGCM, especially over North Africa and Australia where high amounts of dust occur in reality. However, even during JJA the magnitude of the bias is less than 10K almost everywhere, which is reasonable when compared to biases even in CMIP5 models (e.g. Flato et al. 2013, Figure 9.2). Both ice caps display too large a seasonal cycle, which we attribute to the simplicity of the snow scheme in the model, which has no facility for changing density or conductivity when snow is compacted into ice. This could be a source for future model improvement.

Figure 4c (top right panel) shows the precipitation bias in DJF in the IGCM compared to the CMAP dataset (Xie and Arkin 1997) shown in Figure 4a (top left panel). In general the comparison is quite good, with the major convergence zones (as diagnosed by the 4 mm day^{-1} contour in black) being represented quite well. As a guide to the IGCM's performance in the context of other models, the mean ± one standard deviation precipitation bias amongst a subset of models present in the CMIP5 archive being used for the UN Intergovernmental Panel on Climate Change's 5^{th} assessment report (IPCC AR5) is also shown (Figures 4d and 4f respectively): the comparison is for the CMIP5 model configuration using prescribed "AMIP" SSTs, since coupled ocean-atmosphere biases tend to worsen model performance.

The IGCM's precipitation bias (top right panel) lies within one standard deviation of the AMIP ensemble biases; for instance the dry bias in the Southern Pacific Convergence Zone (SPCZ) in the IGCM (top left panel) is 2-5 mm day^{-1}, which is similar in magnitude to the mean minus one standard deviation, suggesting that the IGCM's performance in this region is within the envelope of state-of-the-art GCMs forced by observed SSTs.

Figure 5 is the same as Figure 4, but for the JJA period. There are some notable wet biases in IGCM4 as shown by Figure 5c (top right panel), particularly in the northern Indian Ocean and Central American regions: however such wet biases are not outside the envelope of the CMIP5 ensemble when comparing the IGCM to the "mean plus one standard deviation" (Figure 5f- bottom right panel). Thus, for the JJA season as well as the DJF season, the precipitation bias in IGCM4 is within the range of state-of-the-art GCMs forced by observed SSTs, which provides a good justification for the use of IGCM4 as a simplified climate model.

The interaction of precipitation, cloud and radiation, can be studied by comparing the outgoing long-wave radiation (OLR) field with observations (Liebmann and Smith 1996), which is shown in Figure 6. The bottom left panel shows that the IGCM broadly simulates OLR quite well, with some differences between model and observations in the Maritime continent region. During JJA (bottom right panel), there is a positive bias in OLR over the Indian Ocean (Figure 6f- bottom right panel), consistent with a slight dry bias there (Figure 4c). The top-of-atmosphere energy imbalance in the IGCM is approximately 1-2 Wm^{-2}, which is similar to other climate models (e.g. Roeckner et al. 2006).

3.2 Zonal mean climatology and stratospheric performance

For this section, both 20-layer T42L20 and 35-layer T42L35 configurations are described; the latter has been integrated for 200 model years in total, in order to average out the effect of stratospheric variability. Figure 7 shows the zonally averaged temperature structure in IGCM4 for the two solstitial seasons compared to data from the ERA40 reanalysis (Uppala et al 2005). In both seasons the lower stratosphere in both L20 and L35 configurations is too cold in the tropics and the winter extratropics by 5-10K. Elsewhere, biases are smaller than 10 K apart from near the summer stratopause, perhaps due to deficiencies in the ozone heating in IGCM4. These errors are comparable models that represent the stratosphere (e.g. Eyring et al. 2006).

A comparison between the zonally-averaged zonal wind in IGCM4 and ERA40 is shown in Figure 8, and like Figure 7, also shows good agreement, perhaps not surprisingly for a field that is expected to be in large-scale thermal balance with temperature. In both L20 and L35 configurations, the southern hemisphere tropospheric jetstream is slightly equatorward of the jet in ERA40 as shown by the dipole pattern in colours in Figures 8 c-f in this region. During DJF the northern hemisphere's tropospheric jetstream is slightly too strong in both L20 (Figure 8c) and L35 (Figure 8e) by 5 ms^{-1}. In general, both L20 and L35 configurations display similar tropospheric biases in zonal wind.

During DJF, the strength of the stratospheric jetstreams in the L35 configuration IGCM4 compares well to ERA40 (Figure 7e). In northern winter especially this is a sign that the joint effects of gravity wave drag and tropospheric wave forcing in IGCM4 are approximately of the right magnitude, since these two factors play a crucial role in controlling the strength of the DJF winter stratospheric jetstream. In JJA however the stratospheric jetstream is weaker and less tilted in the vertical than

ERA40 (Figure 7f). This bias is likely due to the simplicity of the gravity wave drag scheme (see above), and might be removed by more tuning of the drag scheme- but this would require more multi-century L35 integrations to ensure that tuning did not result in greater biases elsewhere: as such it is a source for future development.

The zonally asymmetric component of the circulation is apparent from Figure 9, which shows the geopotential height eddy fields at 500 and 200 hPa. The IGCM4 reproduces the main features of the reanalysis with the standing wave patterns apparent in both model configurations, although low pressure anomaly in NE Asia is weaker in both model configurations compared to reanalysis. Both L35 and L20 configurations display a similar standing wave pattern at both pressure levels.

A key issue for stratospheric dynamics and its interplay with tropospheric climate, which is a primary use of this model, is that the stratospheric circulation, and phenomena such as sudden stratospheric warmings (henceforth SSWs) are simulated as well as other models. A 200-year long integration of IGCM4 yielded 0.57 SSWs per year as diagnosed by the method of Charlton and Polvani (2007); this should be compared with 0.6 as diagnosed in reanalyses by Charlton and Polvani (2007). 57% of the SSWs were categorised as "displacement" events using a vortex moment method based on Mitchell et al. (2011), and 43% diagnosed as "split" events, again broadly consistent with reanalysis output which suggests that just under half of SSWs can be categorised as "split" events (Charlton and Polvani 2007). The timing of SSWs during boreal winter is shown in Figure 10. Again, the timings are broadly consistent with reanalysis output, although there are somewhat more displacement events during March than diagnosed from reanalysis.

4. Climate Change and Energy Balance

When coupled to a slab q-flux ocean model, IGCM4 has an equilibrium climate sensitivity when doubling CO_2 from its pre-industrial concentration of 280 ppmv of 2.1K. This sensitivity is slightly higher than the value of 1.6K in IGCM3 (Joshi et al 2003), and is likely due to the changes in cloud physics outlined above.

We have not performed simulations of a slab model for this paper because although one effect of a slab ocean is to change the characteristics of model interannual variability (as shown by Winter and Bourqui 2011a), the nature of such changes will depend on the depth of the slab, and how this depth changes seasonally and geographically: for instance in the North Atlantic Ocean the effective mixed layer depth changes from 50 m during summer to 500 m in winter. Moreover, the dynamic influence of the atmosphere on the ocean will also depend on the effective mixed layer depth of the ocean, or depth of the slab, as shown by O' Callaghan et al (2014), as well as causing a dynamical ocean response (Zhai et al 2014).

Because interannual variability is sensitive to slab ocean depth, and the IGCM has a constant slab depth, rather than one that varies seasonally and geographically, we have not discussed interannual variability in this paper. However, such a topic would be a source of useful research in the future for a configuration of the IGCM that had such a varying slab ocean model.

As a first assessment of coupled model performance, the zonally averaged net surface energy imbalance and wind stress curl in IGCM4 are examined and compared to reanalysis, since large errors in these two fields will give errors in the dynamic and thermodynamic ocean responses respectively. Figure 11 shows that the broad patterns of response are similar in both model and reanalysis. In equatorial regions incoming

solar radiation is not quite balanced by outgoing IR emission because of the presence of tropical convection and thick clouds, leading to positive values (see top panel); the intense rainfall associated with such convection is shown in the top panels of Figures 4 and 5. In subtropical regions, a lack of cloud leads to more IR emission and negative values in both reanalysis and IGCM4. The pattern of wind stress curl (see bottom panel) is indicative of the combined effects of midlatitude westerlies, and subtropical and tropical trade winds, and is similar in both model and reanalysis apart from the southern ocean westerlies being slightly too equatorward in the model, and the Arctic, where the IGCM fails to reproduce large values associated with mesoscale circulations (e.g. Condron and Renfrew 2013) that the model cannot represent given its horizontal resolution.

To summarise, we have presented the physical details, and major climatological and dynamical features of the IGCM4 climate model. The model provides a fast alternative to conventional state-of-the-art GCMs while retaining the richness of dynamical behaviour allowed by the primitive equations of meteorology. As such the IGCM4 forms a useful part of the "hierarchy of models" approach needed to fully understand climate.

5. Acknowledgements

Model simulations were carried out on the High Performance Computing Cluster supported by the Research and Specialist Computing Support service at the University of East Anglia. AOC acknowledges the support of the UK Natural Environment Research Council (NERC). OLR and CMAP Precipitation data provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their Web site at

http://www.esrl.noaa.gov/psd/. We acknowledge the assistance of M. Blackburn, D. Stevens, B. Sinha, A. Blaker, A. Ferraro, E. Highwood, K. Shine and C. Bell.

6. Code Availability

The code is available to scientific researchers on request by emailing m.joshi@uea.ac.uk in the first instance. Websites detailing different IGCM configurations are given in section 2.2. IGCM4 requires as a prerequisite a fortran compiler, the nupdate code management utility, and MPI routines for parallel integrations (although IGCM4 is designed to run on one processor).

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8. Table Captions

Table 1: Values of surface characteristics for each surface type in IGCM4(ice, inland water, forest, grassland, agriculture, tundra, swamp, desert).

Surface Type	Albedo	Snow-covered albedo	Height when albedo is snow-covered (m)	Roughness length (m)
Ice	0.8	0.8	0.05	0.03
Inland Water	0.2	0.6	0.05	0.001
Forest	0.25	0.7	0.1	0.1
Grassland	0.25	0.8	0.1	0.05
Agriculture	0.25	0.8	0.1	0.05
Tundra	0.3	0.8	0.05	0.03
Swamp	0.2	0.8	0.05	0.03
Desert	0.3	0.8	0.05	0.03

9. Figure Captions

Figure 1: Model layer index vs pressure (for a surface pressure of 1000 hPa) for the 35 layer model (black) and the 20 layer model (red). Note that the lowest 19 layers are exactly the same for both configurations.

Figure 2: The fraction of oxidised methane (which is linked to CH_4 concentration- see equation 1) derived from HALOE data (top left panel); the analytical approximation which extends to the poles (top right panel); the perturbation to stratospheric water vapour (SWV) (ppmv) in pre-industrial conditions, when CH_4 is 0.75 ppmv (bottom left); the perturbation to SWV (ppmv) if CH_4 is increased to 2.5 ppmv (bottom right).

Figure 3: Surface temperature (°C) in IGCM4 (a,b), NCEP-DOE reanalysis (c,d) and difference between IGCM4 and reanalysis (e,f). In all cases the left-hand panels display results for the DJF season and the right-hand panels display results for JJA season. For the reanalysis a mean over the years 1979-2013 is taken.

Figure 4: DJF season mean precipitation (mm day^{-1}) in CMAP (a), IGCM4 (b) and difference between IGCM4 and CMAP (c). Subfigure (e) shows the difference between a multi model mean of an ensemble of CMIP5 GCMs integrated using AMIP SSTs and CMAP; (f) as for (e) but for the multi model mean minus one standard deviation; (g) as for (e) but for the multi model mean plus one standard deviation. In all cases the solid line is the 4 mm day^{-1} contour in CMAP and the dashed line is the same contour in the model of the subfigure. Subfigures (a,b) are based on the top colour bar, subfigures (c-f) are based on the bottom colour bar. The CMIP5 models used in the ensemble are: ACCESS1.0, ACCESS1.3, BCC-CSM1.1, BCC-CSM1.1(m), BNU-ESM, CanCM4, CCSM4, CESM1(CAM5), CCMC-CM, CNRM-CM5, CSIRO-Mk3.6.0, FGOALS-g2, GFDL-CM3, GISS-E2-R, HadGEM2-AO,

INM-CM4, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR, MIROC5, MPI-ESM-LR, MPI-ESM-MR, MRI-CGCM3, NorESM1-M, mean over the years 1979-2005.

Figure 5: As for Figure 4, but during the JJA season.

Figure 6: Outgoing longwave radiation or OLR (W m^{-2}) in IGCM4 (a,b), interpolated OLR dataset (c,d) and difference between IGCM4 and interpolated OLR dataset (Liebmann and Smith 1996) (e,f). In all cases the left-hand panels display results for the DJF season and the right-hand panels display results for JJA season. For the interpolated OLR dataset a mean over the years 1979-2011 is taken.

Figure 7: Zonally averaged temperature (K) in ERA (a,b), difference between IGCM4 L20 and ERA (c,d) and difference between IGCM4 L35 and ERA (e,f) in colour shading. In all subfigures contours show the total zonal mean temperature field (contour interval is 10 K, 240K contour thicker). In all cases the left-hand panels display results for the DJF season and the right-hand panels display results for JJA season. For the reanalysis a mean over the years 1958-2002 is taken.

Figure 8: Zonally averaged zonal wind (ms^{-1}) in ERA (a,b), difference between IGCM4 L20 and ERA (c,d) and difference between IGCM4 L35 and ERA (e,f) in colour shading. In all subfigures contours show the total zonal mean zonal wind field (contour interval is 10 ms^{-1}, negative contours dashed, zero contour dotted). In all cases the left-hand panels display results for the DJF season and the right-hand panels display results for JJA season. For the reanalysis a mean over the years 1958-2002 is taken.

Figure 9: Geopotential Height (m) DJF Eddy Fields for: (a), (b) 200 hPa and 500 hPa ERA-40 Reanalysis respectively. The same for (c), (d) IGCM4 L20 and (e), (f) IGCM4 L35. For the reanalysis a mean over the years 1958-2002 is taken.

Figure 10: Distribution of sudden stratospheric warmings in boreal winter by month in the IGCM4 (filled grey boxes) and reanalysis (red outline boxes) (top panel); distribution of displacement-type warmings (middle panel); distribution of split-type warmings (bottom panel).

Figure 11: Annually averaged net downward zonal surface energy imbalance (Wm^{-2}) in IGCM4 (black) and NCEP reanalysis (red) (top panel); Wind stress curl ($10^{-7}Nm^{-3}$) in IGCM4 (black) and NCEP reanalysis (red) (bottom panel).

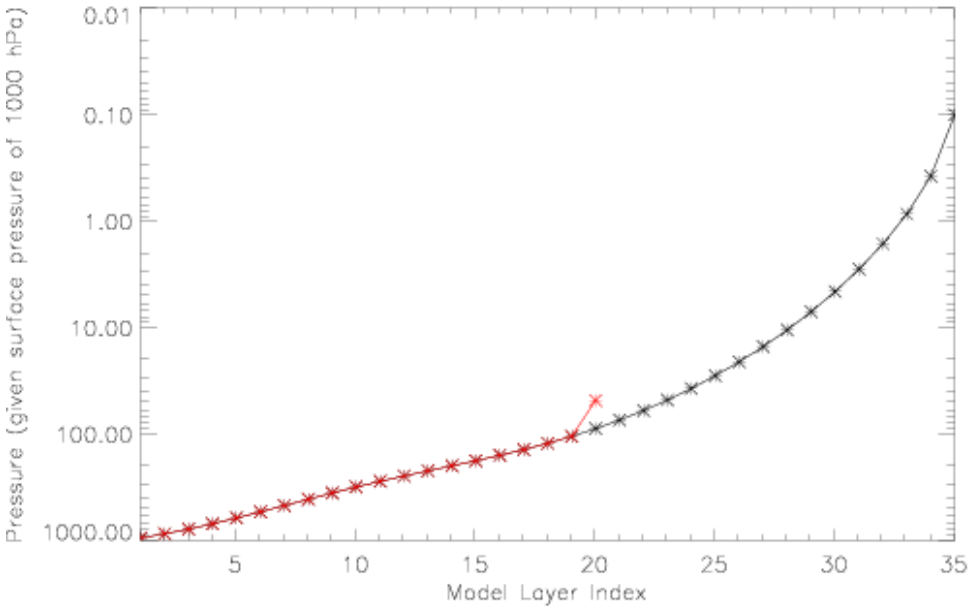

Pressure (given surface pressure of 1000 hPa)
0.01
0.10
1.00
10.00
100.00
1000.00
5
10
15
20
25
30
35
Model Layer Index

Figure 1

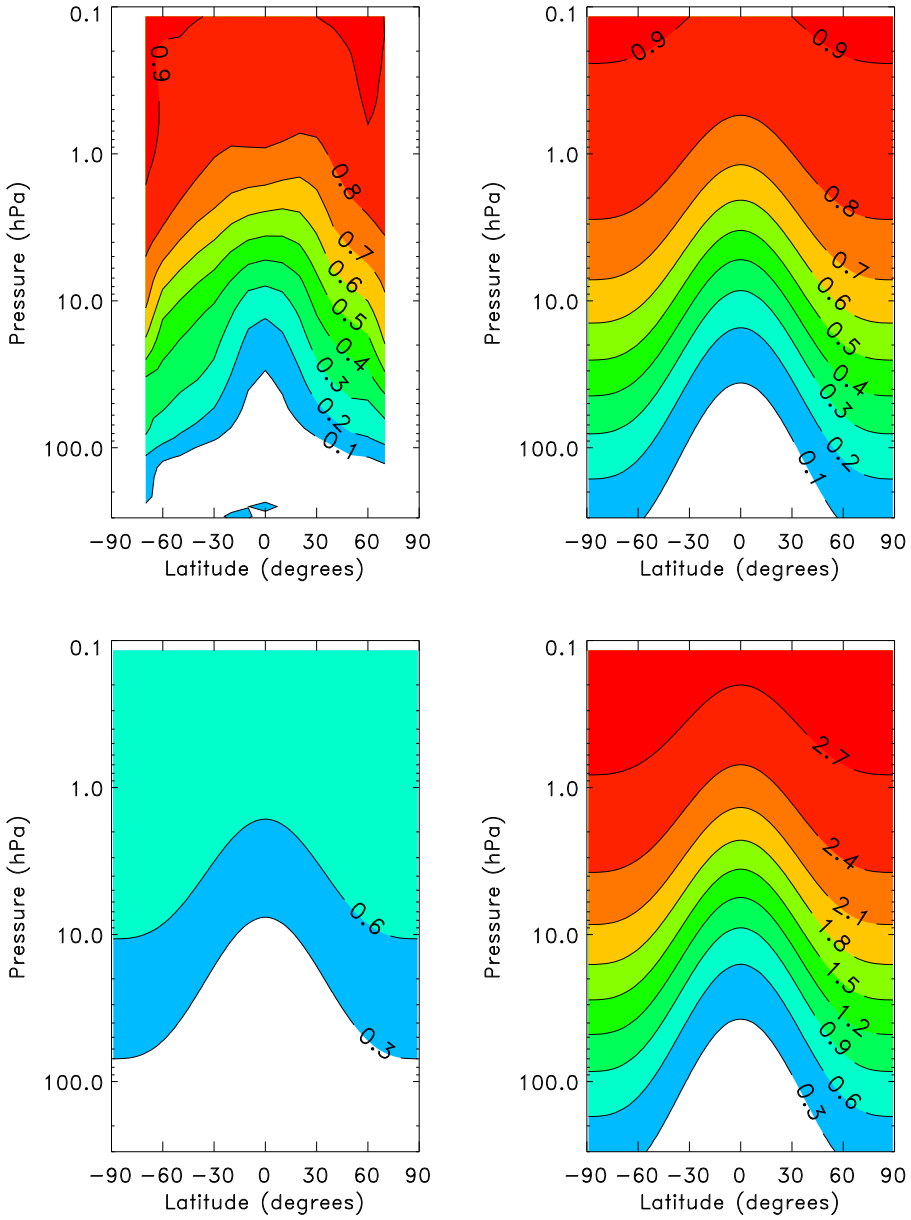

0.1
1.0
10.0
100.0
Pressure (hPa)
−90 −60 −30 0 30 60 90
Latitude (degrees)
0.9
0.8
0.7
0.6
0.5
0.4
0.3
0.2
0.1
0.6
0.3
2.7
2.4
2.1
1.8
1.5
1.2
0.9
0.6
0.3

Figure 2

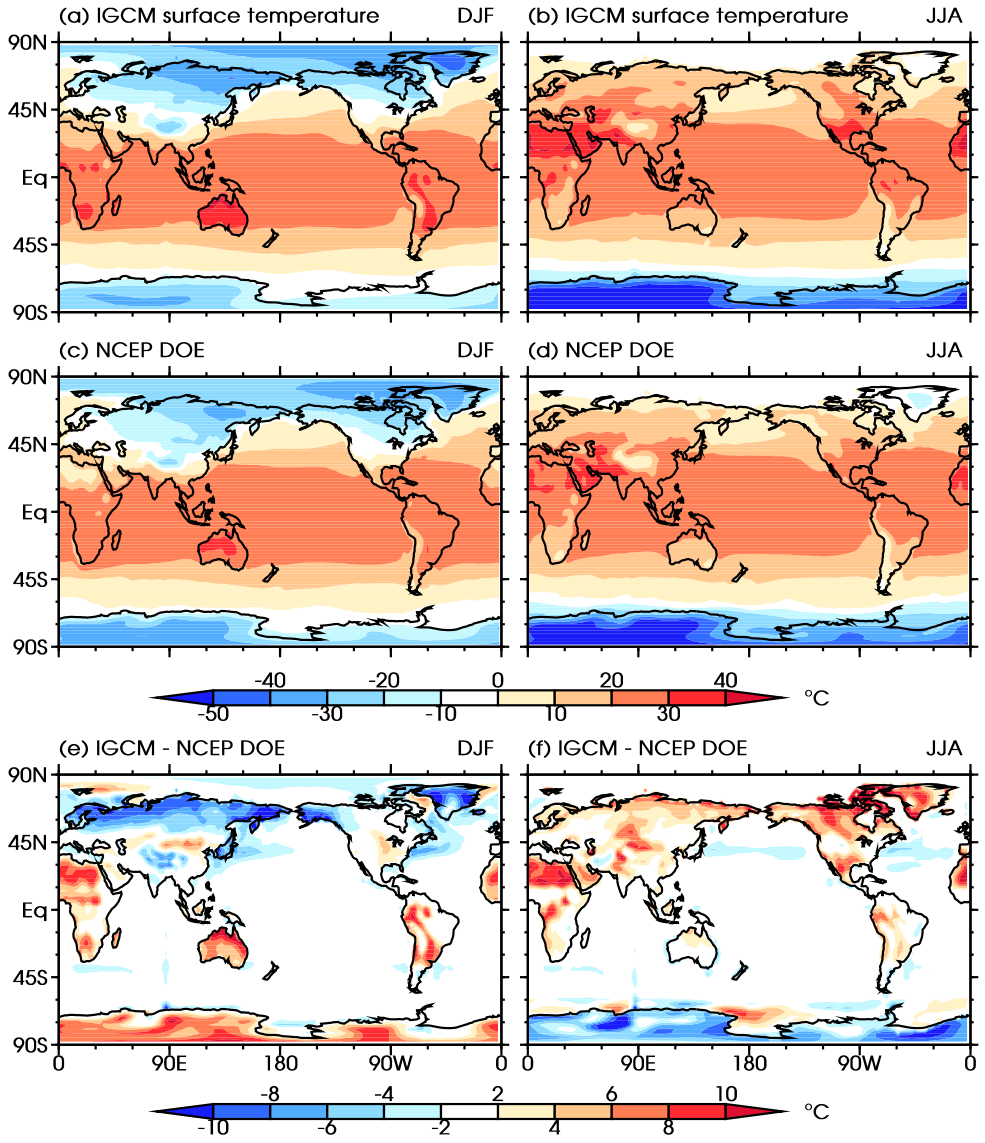

(a) IGCM surface temperature
DJF
(b) IGCM surface temperature
JJA
(c) NCEP DOE
DJF
(d) NCEP DOE
JJA
(e) IGCM - NCEP DOE
DJF
(f) IGCM - NCEP DOE
JJA
90N
45N
Eq
45S
90S
0
90E
180
90W
-40
-20
0
20
40
-50
-30
-10
10
30
°C
-8
-4
2
6
10
-10
-6
-2
4
8
°C

Figure 3

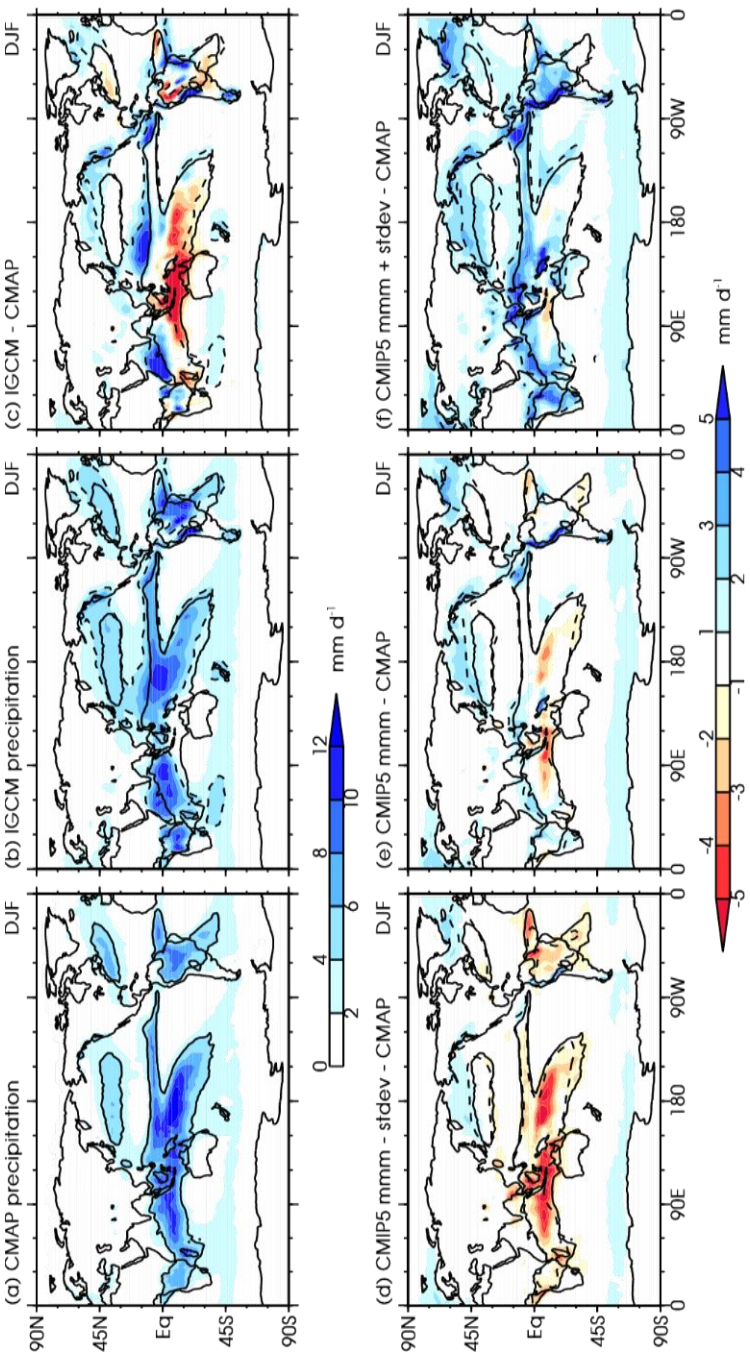

(a) CMAP precipitation
(b) IGCM precipitation
(c) IGCM - CMAP
(d) CMIP5 mmm - stdev - CMAP
(e) CMIP5 mmm - CMAP
(f) CMIP5 mmm + stdev - CMAP
DJF
90N
45N
Eq
45S
90S
0
90E
180
90W
mm d-1
0
2
4
6
8
10
12
-5
-4
-3
-2
-1
1
2
3
4
5

Figure 4

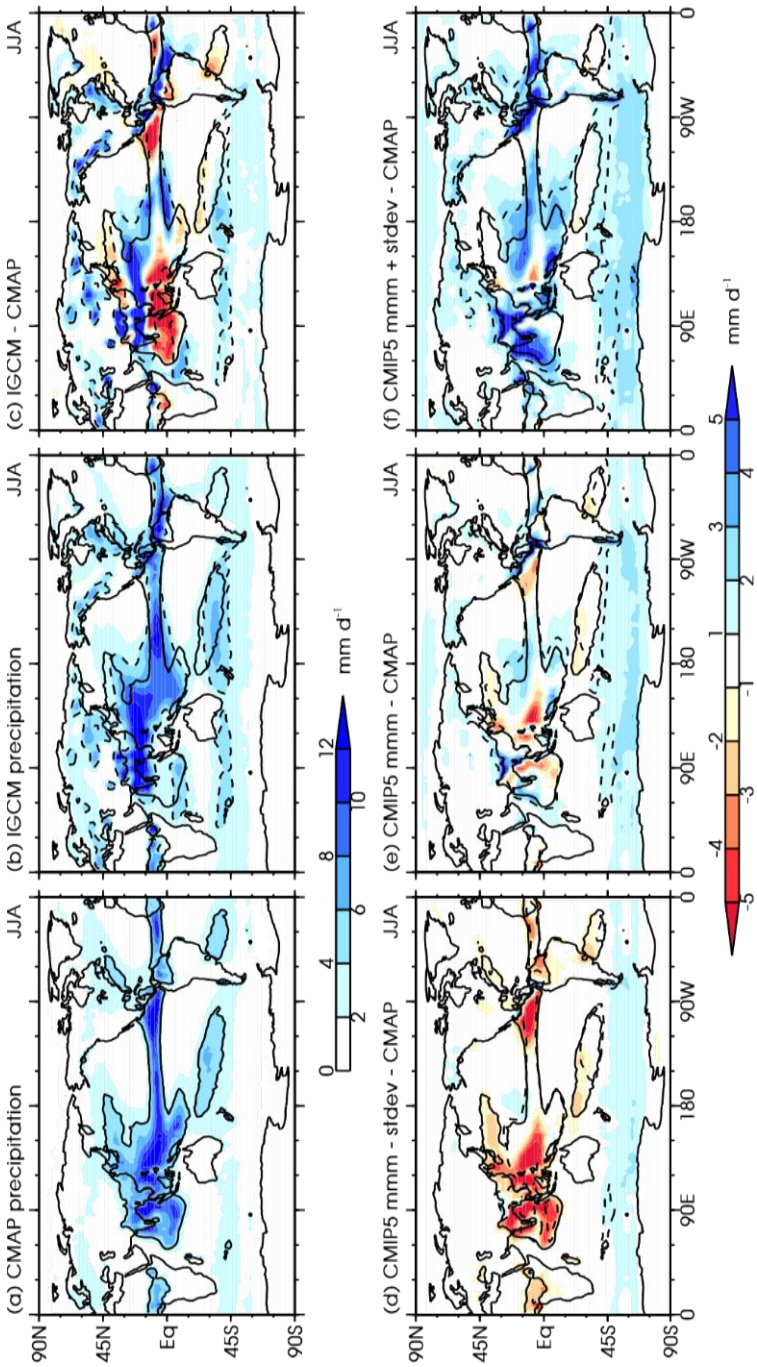

(a) CMAP precipitation
(b) IGCM precipitation
(c) IGCM - CMAP
(d) CMIP5 mmm - stdev - CMAP
(e) CMIP5 mmm - CMAP
(f) CMIP5 mmm + stdev - CMAP
JJA
90N
45N
Eq
45S
90S
0
90E
180
90W
0
0
2
4
6
8
10
12
-5
-4
-3
-2
-1
1
2
3
4
5
mm d-1

Figure 5

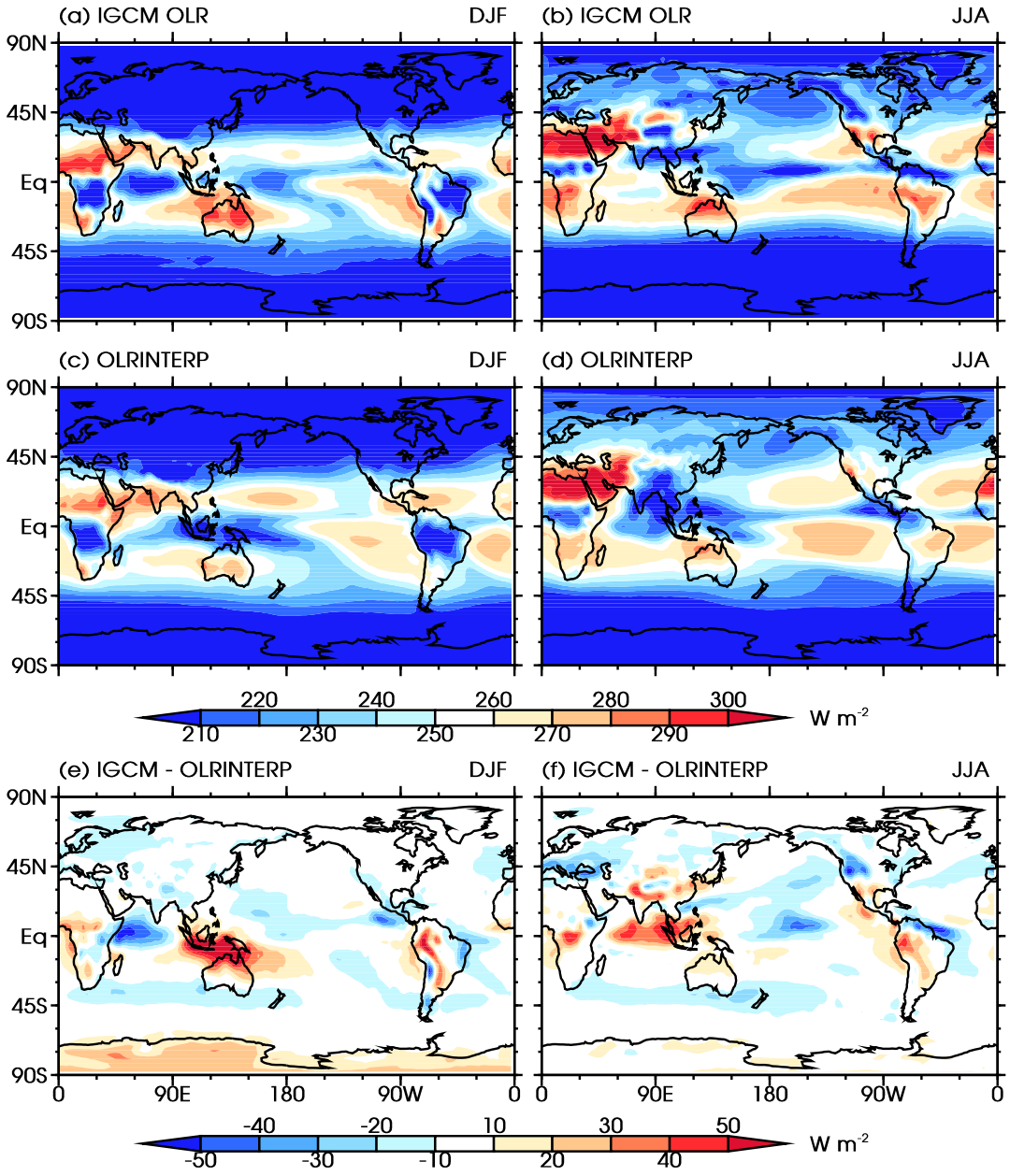

(a) IGCM OLR
DJF
(b) IGCM OLR
JJA
(c) OLRINTERP
DJF
(d) OLRINTERP
JJA
(e) IGCM - OLRINTERP
DJF
(f) IGCM - OLRINTERP
JJA
90N
45N
Eq
45S
90S
0
90E
180
90W
0
210
220
230
240
250
260
270
280
290
300
W m-2
-50
-40
-30
-20
-10
10
20
30
40
50
W m-2

Figure 6

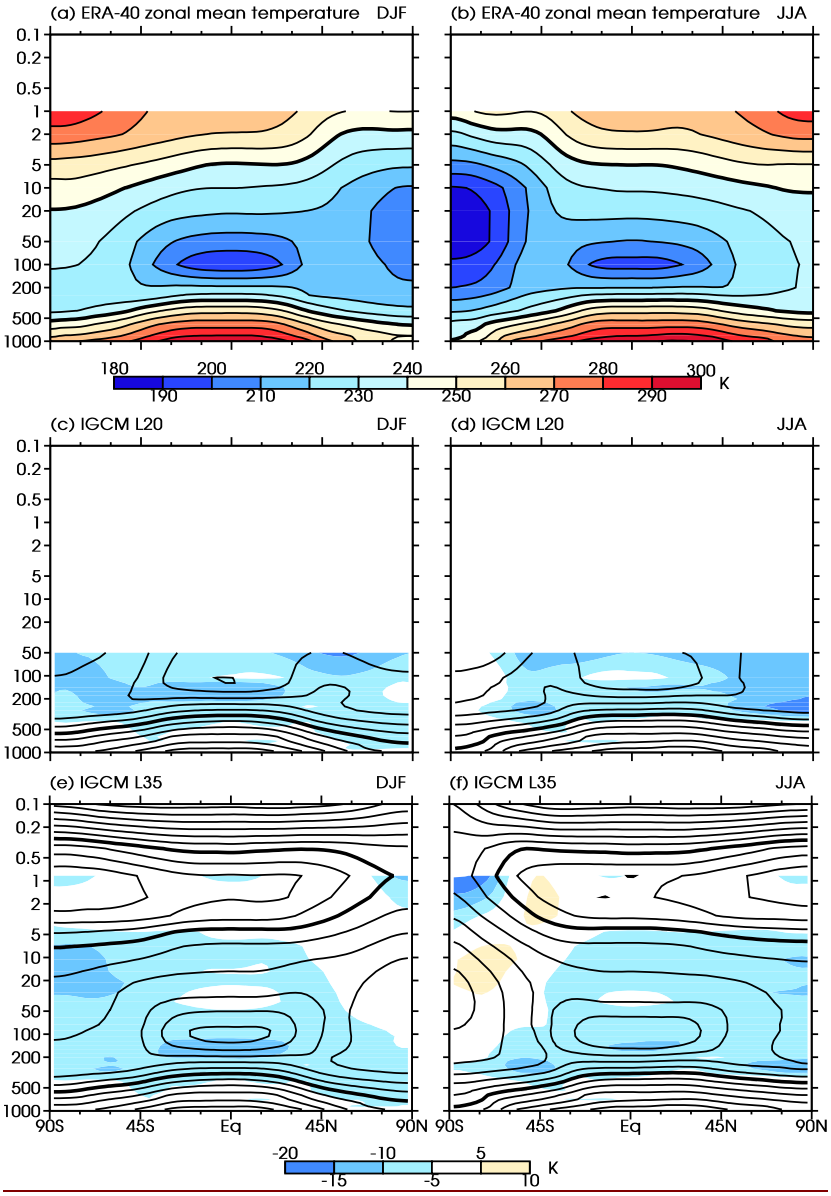

(a) ERA-40 zonal mean temperature DJF
(b) ERA-40 zonal mean temperature JJA
(c) IGCM L20 DJF
(d) IGCM L20 JJA
(e) IGCM L35 DJF
(f) IGCM L35 JJA
0.1
0.2
0.5
1
2
5
10
20
50
100
200
500
1000
180 190 200 210 220 230 240 250 260 270 280 290 300 K
-20 -15 -10 -5 5 10 K
90S 45S Eq 45N 90N

Figure 7

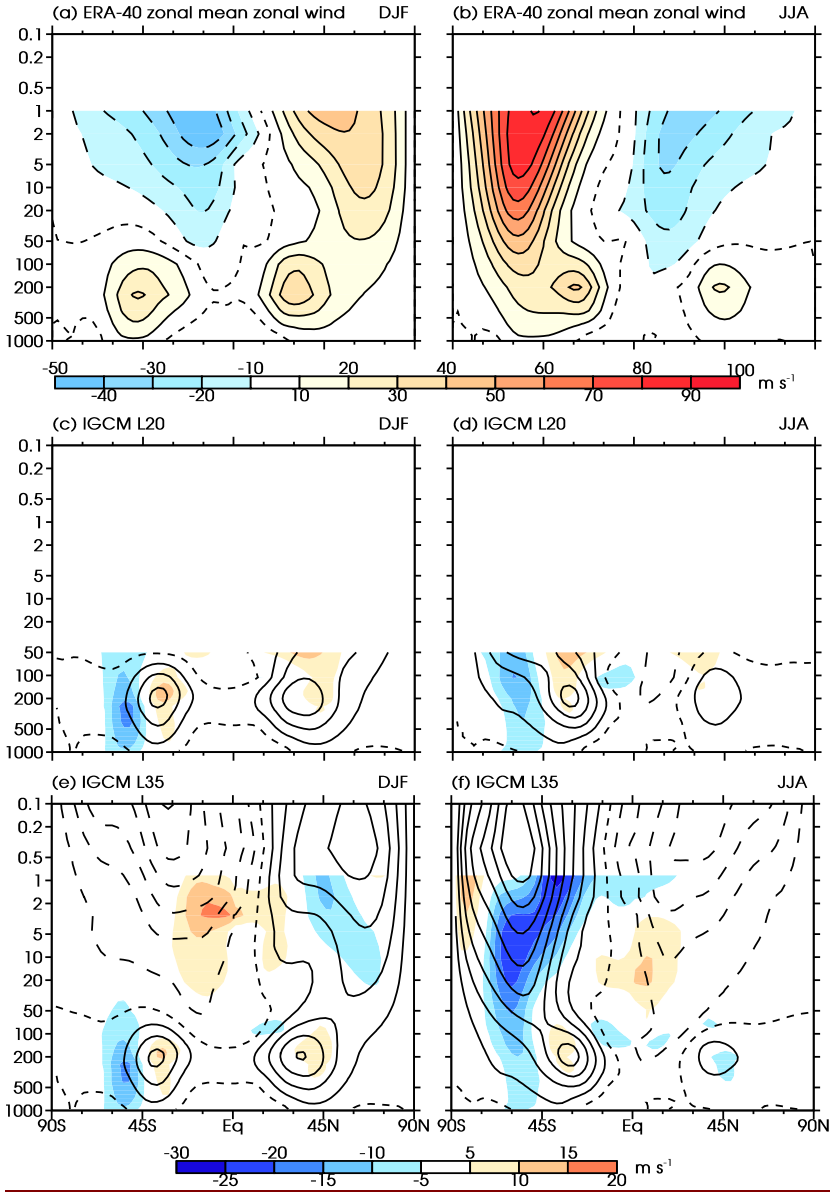

(a) ERA-40 zonal mean zonal wind DJF
(b) ERA-40 zonal mean zonal wind JJA
(c) IGCM L20 DJF
(d) IGCM L20 JJA
(e) IGCM L35 DJF
(f) IGCM L35 JJA
0.1
0.2
0.5
1
2
5
10
20
50
100
200
500
1000
-50 -30 -10 20 40 60 80 100
-40 -20 10 30 50 70 90
m s-1
90S 45S Eq 45N 90N
-30 -20 -10 5 15
-25 -15 -5 10 20
m s-1

Figure 8

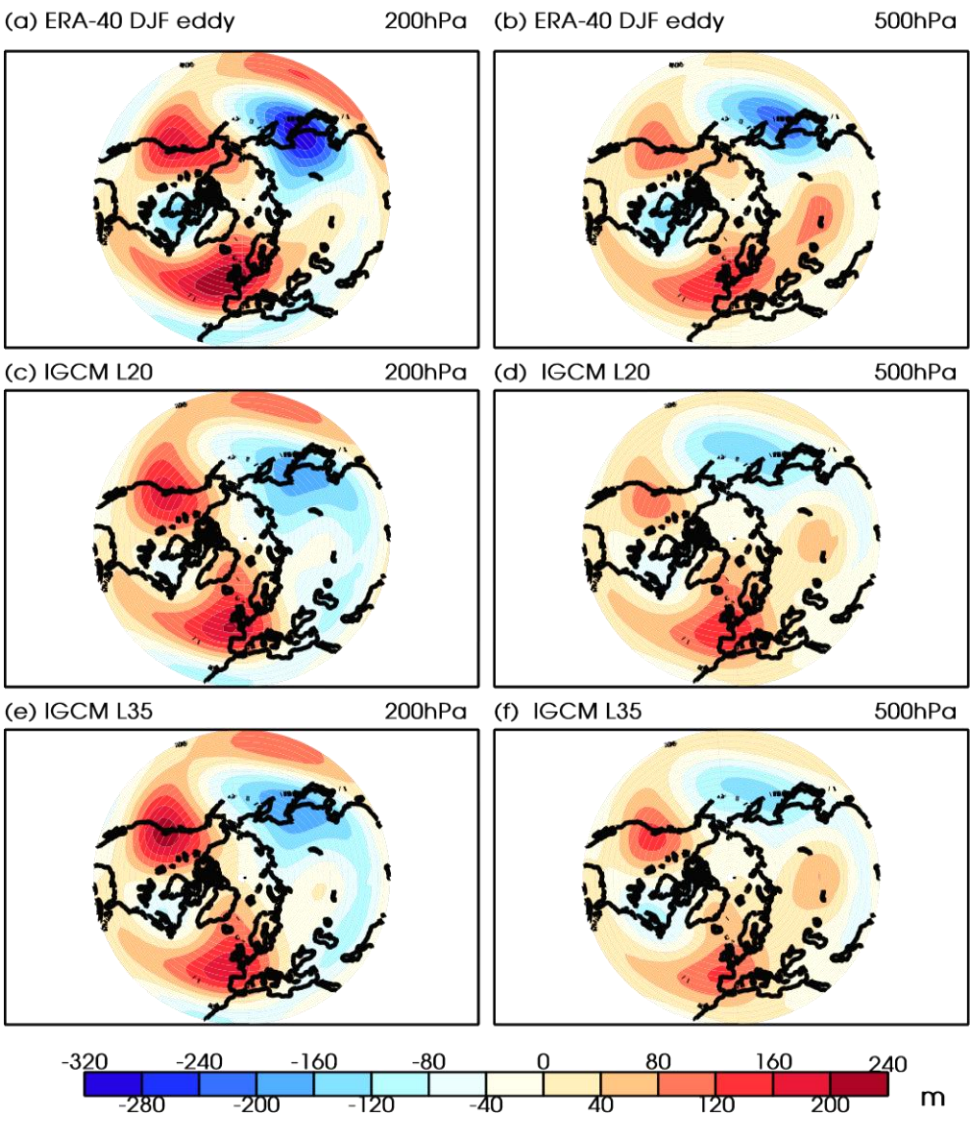
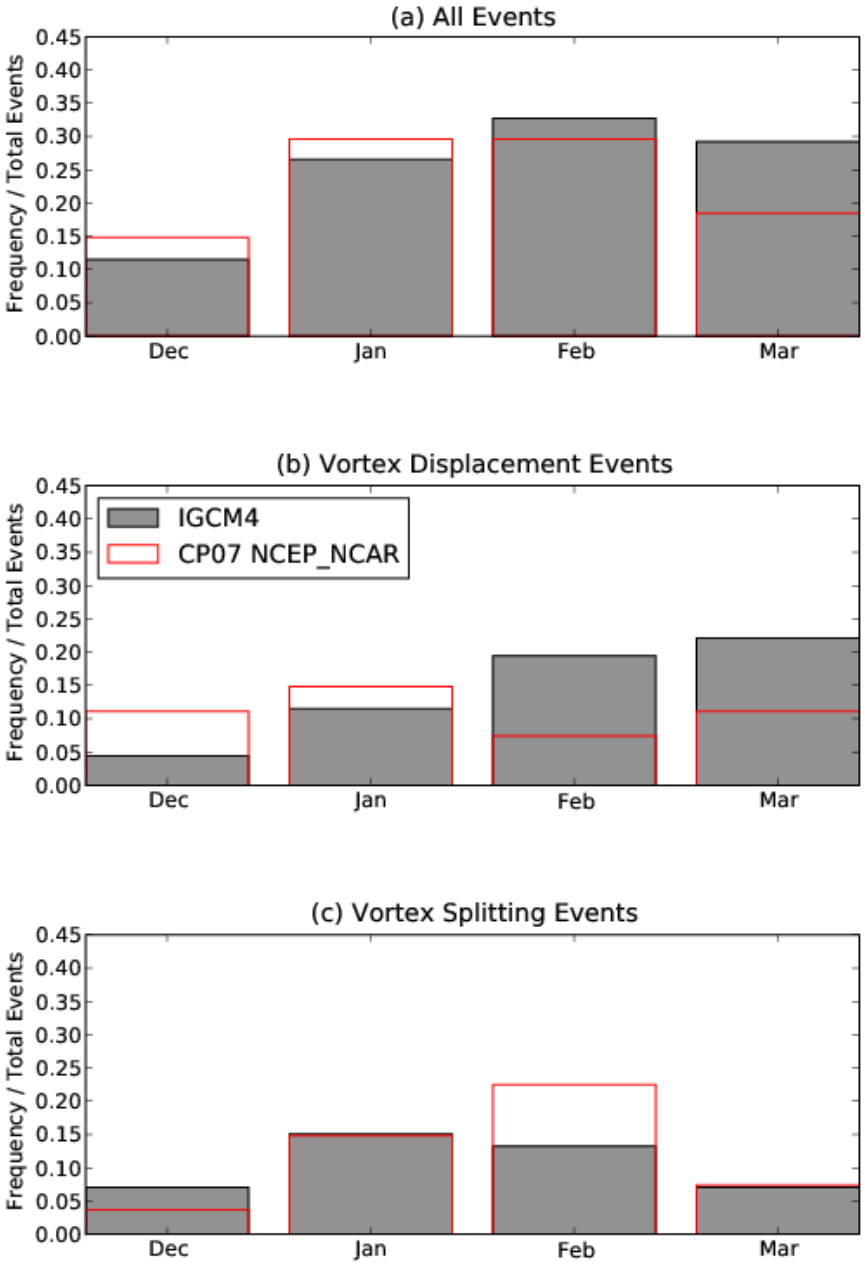

(a) ERA-40 DJF eddy 200hPa
(b) ERA-40 DJF eddy 500hPa
(c) IGCM L20 200hPa
(d) IGCM L20 500hPa
(e) IGCM L35 200hPa
(f) IGCM L35 500hPa
-320 -240 -160 -80 0 80 160 240
-280 -200 -120 -40 40 120 200
m

Figure 9



705

706 Figure 10

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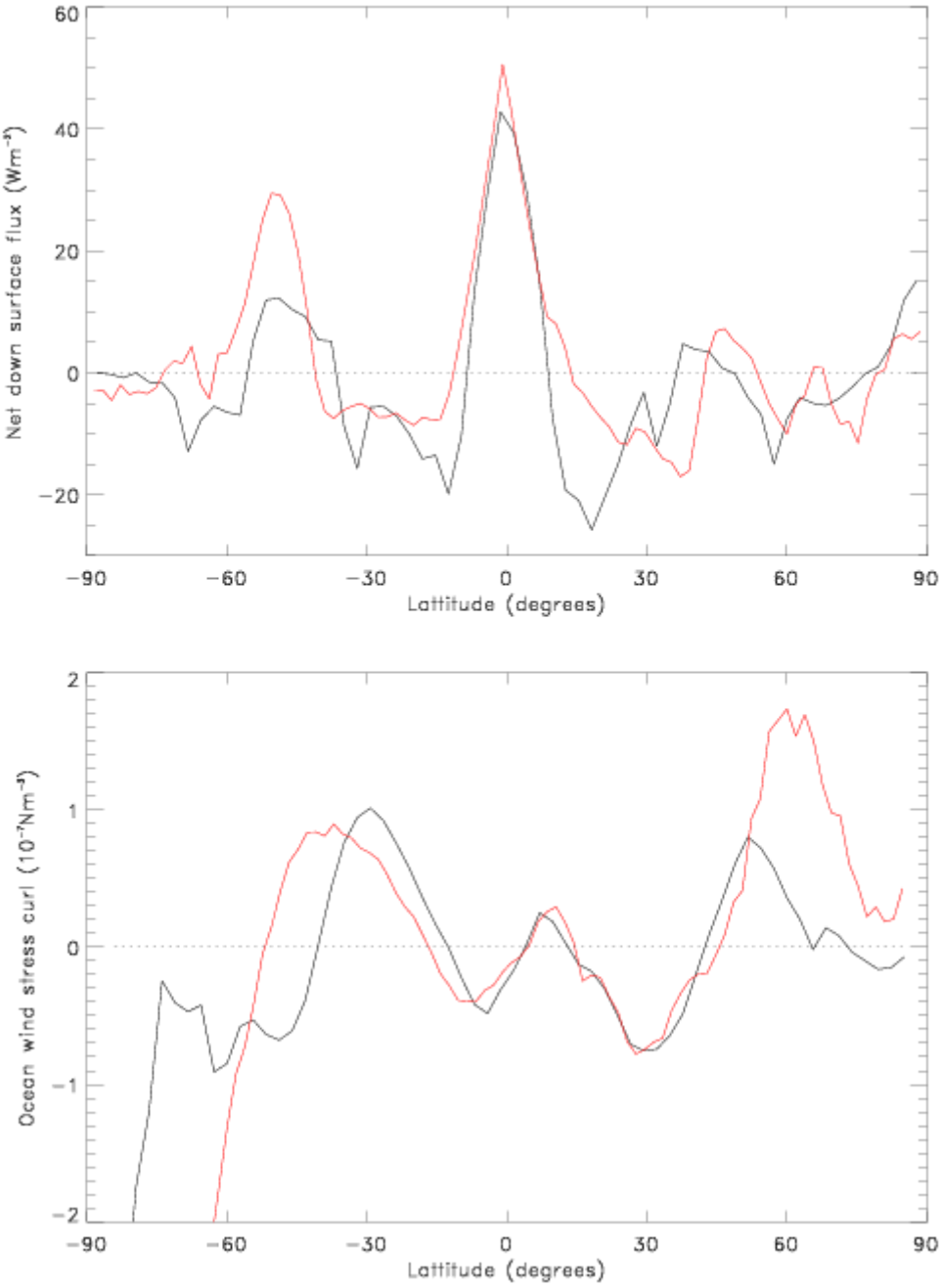
708



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710 Figure 11