1 Mapping of satellite Earth observations using moving window block kriging

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Abstract. Global gridded maps (a.k.a. Level 3 products) of Earth system properties observed by satellites are central to understanding the spatiotemporal variability of these properties. They also typically serve either as inputs into biogeochemical models, or as independent data for evaluating such models. Spatial binning is a common method for generating contiguous maps, but this approach results in a loss of information, especially when the measurement noise is low relative to the degree of spatiotemporal variability. Such "binned" fields typically also lack a quantitative measure of uncertainty.

Geostatistical mapping has previously been shown to make higher spatiotemporal resolution maps 13 possible, and also provides a measure uncertainty associated with the gridded products. This study 14 15 proposes a flexible moving window block kriging method that can be used as a tool for creating high spatiotemporal resolution maps from satellite data. It relies only on the assumption that the observed 16 17 physical quantity exhibits spatial correlation that can be inferred from the observations. The method has 18 several innovations relative to previously applied methods: 1) it provides flexibility in the spatial 19 resolution of the contiguous maps 2) it is applicable for physical quantities with varying spatiotemporal 20 coverage (i.e., density of measurements) by utilizing a more general and versatile data sampling approach, and 3) it provides rigorous assessments of the uncertainty associated with the gridded products. The 21 22 method is demonstrated by creating Level 3 products from observations of column-integrated carbon 23 dioxide (XCO₂) from the GOSAT satellite, and solar induced fluorescence (SIF) from the GOME-2 24 instrument.

25 **1. Introduction**

26 Satellite measurements of a Earth surface and atmospheric quantities have enormous benefits for Earth 27 system science due to their global coverage and near real-time availability. They provide key constraints 28 for developing models representing our understanding of the functioning of the Earth system. However, 29 due to orbit geometries and geophysical limitations, a uniform or contiguous global coverage of these 30 observations in space and/or time is not possible. This necessitates creation of contiguous maps for 31 obtaining measurements at unsampled times and locations for understanding overall patterns, driving 32 biogeochemical or physical models, and/or validating model predictions. Due to their widespread utility, 33 global gridded maps are often part of the standard suite of satellite data products, and are often termed 34 "Level 3" data (e.g. NASA, 2014).

35 In the case of column-integrated carbon dioxide (XCO_2) and solar induced fluorescence (SIF) 36 observations, the two illustrative applications that will be used in this work, gridded products have been 37 used, for example, to evaluate the representation of water stress in models of photosynthesis (Lee et al. 38 2013), to assess the performance of a terrestrial biosphere model in representing global CO_2 distributions 39 (Hammerling et al. 2012b), and to constrain a model to assess the relative roles of variations in 40 atmospheric transport and carbon exchange in explaining atmospheric CO₂ variability over the Amazon (Parazoo et al. 2013). The generation of Level 3 products is also often part of the standard processing 41 42 sequence of observations (e.g. GOSAT Project, 2014; CO₂ DAAD, 2014).

Presently, "binning" is the most widespread method for creating such contiguous maps of satellite data.
Such binning typically involves computing the mean of the observations that fall within a grid-cell (aka

45 "bin") of an appropriate geographic size and time window (for applications of binning in the context of

46 satellite retrievals of atmospheric concentration of carbon dioxide see; Kulawik et al., 2010; Crevoisier et 47 al., 2009). However this simplicity comes with some limitations such as: (1) the mean is computed from a 48 different number of measurements across grid-cells, (2) the inability to take into account any redundancy 49 among nearby observations in computing the mean, and (3) the lack of gap filling properties for grid-cells 50 that may contain no observations for a given time window.

51 The methodological deficiencies of binning can be overcome by using kriging, a geostatistical interpolation approach that takes into account the spatial and/or temporal correlation in the observations. 52 53 Kriging is a best linear unbiased estimator, with the various implementations of ordinary kriging relying 54 on the assumption of intrinsic stationarity. More typically, a covariance function is used to represent 55 spatial correlation, and second-order stationarity is assumed, i.e. that the mean is constant and the 56 covariance is only a function of the distance between observations (for kriging see: Chiles and Delfiner, 2012). Because the mean and covariance of Earth system observations vary substantially, the kriging tools 57 58 need to be modified to reflect this nonstationarity. One such method is moving window kriging, in which 59 kriging is performed locally and the covariance parameters are determined locally within pre-specified 60 spatial and/or temporal subdomains (e.g. Haas 1990). The ability of the moving window kriging to reflect local uncertainty has been emphasized to be the most important advantage over kriging methods relying 61 62 on the global covariance models (e.g. Harris et al., 2010; Walter et al., 2001; Van Tooren and Haas, 1993). Due to this advantage, the moving window kriging has been previously used for creating 63 64 contiguous maps of satellite remote sensing observations of column-averaged CO₂ (XCO₂) (e.g. 65 Hammerling et al. 2012a and 2012b).

66 This work proposes a further development of the moving window kriging method for application with 67 satellite observations of Earth system properties. Whereas Hammerling et al. (2012a,b) used ordinary 68 kriging as the basis for obtaining estimates at the spatial support (i.e. resolution or spatial footprint size) of observations, we propose a moving window block kriging method that can yield estimates at any 69 70 resolution equal to or greater than that of the observations (for discussion on change of support in the 71 context of remote sensing see; Atkinson and Curran, 1995, Collins and Woodcock, 1999; Braverman 72 2011). The main advantages of the proposed tools are that they make it possible to: (1) select the spatial 73 support/resolution of the mapped quantities, (2) handle large volumes of data by developing subsampling 74 technique that can make moving window block kriging computationally feasible for large number of 75 satellite measurements, and (3) provide rigorous assessments of the uncertainty associated with the 76 contiguous maps.

77 **2. Methods**

The proposed approach builds on the work of Hammerling et al. (2012a,b), with the goal of increasing the applicability and the flexibility of the nonstationary local kriging approach presented therein. The main innovations are twofold. The first is to allow flexibility in the spatial support of the estimates (i.e. the spatial resolution at which the mapping is conducted). The second is to provide a general approach for subsampling available observations in a manner that (i) captures the local correlation structure in the vicinity of each estimation grid cell and (ii) makes the statistical mapping approach computationally feasible in the case of applications with a very large number of observations.

The mapping proceeds in three steps for each grid cell and each estimation time on a regular grid, in order to create a contiguous map of the satellite observations. These steps are outlined in the subsections below, and include subsampling of the observations, characterization of the local spatial covariance structure, and interpolation at the desired spatial resolution. In Section 3, the new mapping approach is applied to two prototypical examples of satellite observations, namely observations of column-integrated concentration of atmospheric CO_2 concentrations (XCO₂) and observations of surface solar induced

fluorescence (SIF), measured by the GOSAT satellite, and by the GOME instrument, respectively.

92 2.1 Subsampling of observations

The goal of the subsampling strategy is to preferentially sample observations in the vicinity of a given estimation grid cell, such that both the characterization of the local spatial covariance structure, and the ultimate mapped estimate and its associated uncertainty, are representative of local variability. This is accomplished by selecting the total number of observations to be used, *N*, where *N* is selected to be large enough to yield a representative sample, but small enough to make mapping computationally feasible on a given computational platform. For the applications presented in Section 3, *N*=500 and *N*=1000 for the XCO₂ and SIF mapping, respectively.

N observations are selected for each estimation grid cell by assigning a relative selection probability to
 each observation based on that observation's separation distance from the centroid of the grid cell. This
 selection probability could be application-specific, but for the applications presented here we selected:

 $P_{\rm S} \propto 1/h^2 \tag{1}$

104 where P_s is the relative probability of a given observation being selected, and *h* is the great circle distance 105 between the location x_i of an observation and the centroid x_j of the estimation gridcell:

106
$$h(x_i, x_j) = r\cos^{-1}(\sin\varphi_i \sin\varphi_j + \cos\varphi_i \cos\varphi_j \cos(\lambda_i - \lambda_j))$$
(2)

107 where *r* is the radius of the Earth and φ_i and λ_i are the latitude and longitude of location x_i .

The form of P_s in eqn. 1 ensures that a comparable number of observations is selected within any equalarea concentric band around an estimation grid cell, thereby also ensuring that observations that are at close distances to one another are preferentially close to the estimation location. This is a desirable feature because observations that are close to one another define the shape of the variogram at short separation distances (Section 2.2), and the variogram should reflect variability in the vicinity of the estimation grid cell. Different forms of P_s could also be used, for example if more / fewer observations along a given direction were desirable in order to better represent expected correlations along a given direction.

In previous work (Alkhaled et al. 2008; Hammerling et al. 2012a,b), a fixed application-specific window size was instead defined within which all available observations were used, together with a user-defined fraction of observations outside of the window. The window size was based in part on expected scales of variability in the satellite observations. The updated approach presented here reduces the number of userselected parameters, and explicitly provides a mechanism for ensuring the computational feasibility of mapping in the case of very large datasets, such as the SIF example examined here.

121 **2.2 Characterization of Spatial Covariance**

122 The characterization of the local covariance structure of the observations around each estimation grid cell,

based on the subsampled observations, proceeds as described in Hammerling et al. (2012a, Section 2.1),

124 except that (1) all possible pairs of observations are included in the formulation of the raw variogram, and

125 the nugget-effect variance, representative of the retrieval / measurement errors, is not spatially uniform.

126 The reader is referred to that earlier publication for additional details.

127 Briefly, for each estimation grid cell, a raw variogram is calculated based on the subsampled 128 observations:

129
$$\gamma(h) = \frac{1}{2} [y(x_i) - y(x_j)]^2$$
(3)

130 where γ is the raw variogram value for a given pair of observations $y(x_i)$ and $y(\underline{x_i})$, and *h* is the great circle 131 distance between the locations (x_i and x_i) of these observations, as defined in eqn. 2.

A parametric function, the theoretical variogram, is fitted to the raw variogram using non-linear least squares. For the prototypical applications presented here, an exponential variogram function with a nugget effect was used, because it yields a valid covariance function on a sphere (Huang et al., 2011), provided a good match to the known physical characteristics of the observations, and fit the observed variability well:

137
$$\gamma(h) = \begin{cases} 0, \text{ for } h = 0\\ \sigma^2(1 - \exp\left(-\frac{h}{l}\right) + \sigma_{nug}^2, \text{ for } h > 0 \end{cases}$$
(4)

138 where σ^2 and *l* are the variance and correlation length of the quantity being mapped, and σ^2_{nug} is the 139 nugget variance, typically representative of measurement and retrieval errors in the case of satellite 140 observations. The nugget component can be either prescribed (as in the XCO₂ example in Section 3) or 141 estimated (as in the SIF example in Section 3), depending on the availability of information about 142 measurement and retrieval errors.

143 The variogram parameters can be used to define a corresponding local spatial covariance structure for the 144 mapped quantity (XCO_2 or SIF, in the prototypical examples presented here). For the variogram function 145 in eqn. 4 this becomes:

146
$$q(h) = \sigma^2 \exp\left(-\frac{h}{l}\right) \tag{5}$$

147 The nugget effect is correspondingly used to define the covariance structure of the measurement and 148 retrieval errors:

149
$$R(h) = \begin{cases} \sigma_{nug}^2, \ for \ h = 0\\ 0, \ for \ h > 0 \end{cases}$$
(6)

150 **2.3 Mapping using moving window block kriging**

Ordinary kriging, a minimum variance linear unbiased mapping method for spatial data, was used in Hammerling et al. (2012a,b) to create contiguous maps of XCO_2 . In this approach, the spatial support (i.e. footprint) of the estimates corresponds to that of the observations. Although the mapping can be performed at any spatial interval (e.g. once per $1^{\circ} \times 1^{\circ}$ grid cell), the estimates remain representative of the variability at the scale of the observations.

156 Here, we instead using block kriging (e.g. Webster, 2000), an approach that yields estimates that represent 157 an average within a specified area. This makes it possible to disassociate the native footprint of the 158 observations from the resolution of the mapped product, thereby making it possible to create contiguous 159 maps at any desired spatial resolution equivalent to or greater than the size of the observation footprints. 160 As with moving window ordinary kriging, block kriging provides an optimal estimate of the quantity 161 being mapped (XCO₂ and SIF, in the prototypical examples presented here) for each estimation location, based on the subsampled observations (Section 2.1) and the local covariance structure (Section 2.2), 162 163 together with a rigorous assessment of the uncertainty associated with the estimate.

164 The linear system of equations that is solved to obtain the *N* weights λ assigned to the subsampled 165 observations for a given estimation grid cell is:

166
$$\begin{bmatrix} \mathbf{Q} + \mathbf{R} & \mathbf{1} \\ \mathbf{1}^T & \mathbf{0} \end{bmatrix} \begin{bmatrix} \boldsymbol{\lambda} \\ -\boldsymbol{\nu} \end{bmatrix} = \begin{bmatrix} \mathbf{q}_{\mathbf{A}} \\ \mathbf{1} \end{bmatrix}$$
(7)

167 where **Q** is a $N \times N$ covariance matrix among the *N* observations with individual entries as defined in eqn. 168 5, **R** is an $N \times N$ diagonal measurement and retrieval error covariance matrix among the *N* observations as 169 defined in eqn. 6, **1** is an $N \times 1$ unity vector, *T* denotes the vector transpose operation, and **q**_A is an $N \times 1$ 170 vector of the spatial covariances between the estimation grid cell and the *N* observation locations, defined 171 as:

172
$$q_{A,i} = \frac{1}{n} \sum_{j=1}^{n} q(h_{i,j})$$
(8)

173 where $q_{A,i}$ is the covariance between the grid cell and observation *i*, and $q(h_{i,j})$ is defined as in eqn. 5

based on the distance $h_{i,j}$ between observation *i* and *n* regularly-spaced locations within the grid cell. In

175 general, the larger the n the better the representation of the area (i.e. grid cell) to observation covariance.

176 For practical purposes, in the applications presented here, n is defined based on the relative footprint of

177 the observations compared to that of the estimation grid cells.

178 The system in eqn. 7 is solved for λ and the Lagrange multiplier ν . These parameters are then used to 179 define the estimate (\hat{z}) and estimation uncertainty variance ($\sigma^2_{\hat{z}}$) for the grid cell as:

$$\hat{\mathbf{z}} = \boldsymbol{\lambda}^T \mathbf{y} \tag{9}$$

$$\sigma_{\hat{z}}^2 = \sigma_{AA} - \lambda^T q_A + \nu \tag{10}$$

182 where **y** is the $N \times 1$ vector of subsampled observations, and σ_{AA} is the variance of the mapped quantity 183 (XCO₂ or SIF, in the prototypical examples presented here) at the resolution of the estimation grid cell, 184 defined as:

185
$$\sigma_{AA} = \frac{1}{n^2} \sum_{j=1}^n \sum_{k=1}^n q(h_{j,k})$$
(11)

186 where $q(h_{j,k})$ is defined as in eqn. 5 based on the distance $h_{j,k}$ between any combination of the *n* 187 regularly spaced locations within the grid cell defined previously.

188 **3. Example applications**

189 The mapping approach described in Section 2 is demonstrated using two prototypical examples of 190 satellite observations: 1) observations of column-integrated concentrations of atmospheric CO₂ (XCO₂) 191 from the GOSAT satellite, and 2) observations of surface solar induced fluorescence (SIF) from the 192 GOME-2 instrument. These applications differ in the spatial footprint (i.e. support) of the observations 193 (nadir footprint of about 10.5 km diameter at sea level (Kuze et al., 2009), and 40 km \times 80 km (Joiner et al, 2013), respectively), the volume of available data (approximately 2×10^3 and 2×10^5 observations per 194 week, respectively), the time scales of variability, and the degree of spatial variability and nonstationary 195 196 in the observed quantity.

197 **3.1 Global land XCO₂ fields observed by GOSAT**

198 The Japanese Greenhouse Gasses Observing SATellite (GOSAT) (e.g., Kuze et al., 2009) was launched 199 in 2009 and is the first satellite dedicated to global greenhouse gas monitoring, including CO_2 and CH_4 . 200 GOSAT flies in a polar, sun-synchronous orbit with a 3-day repeat cycle and an approximately 13:00 201 local time overpass time. GOSAT XCO₂ data are being used to examine a number of questions in carbon 202 cycle science, including comparing observed and modeled XCO₂ fields (Hammerling et al., 2012b), 203 quantifying sources and sinks of CO₂ (e.g., Deng et al., 2014; Basu et al., 2013, 2014; Chevallier et al., 2014; Takagi et al., 2014), detecting perturbations in the carbon cycle (Guerlet et al., 2013) and 204 205 interpreting seasonal changes in the carbon balance (Parazoo et al., 2013).

206 Measurements of XCO₂ (a.k.a. "Level 2" data) are derived using a number of retrieval algorithms, among 207 them NASA's Atmospheric CO₂ Observations from Space (ACOS) algorithm (e.g., O'Dell et al., 2012; 208 Crisp et al., 2012). Filtered and bias-corrected data from the most up to date version of this algorithm 209 (ACOS v3.4 release 3) are used here to demonstrate the mapping approach presented in Section 2. 210 Approximately 900 successful retrievals are available per three-day repeat cycle, with the majority of 211 observations being over land. These data have substantial retrieval uncertainties (e.g., O'Dell et al., 2012) 212 and include large gaps (e.g., Figure 1). These features prevent the application of simple spatial and 213 temporal binning techniques for generating XCO_2 maps at spatiotemporal scales that are directly useful 214 for addressing existing uncertainties in carbon cycle science.

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- Figure 1. ACOS v3.4 release 3 XCO₂ Level 2 data ("Observations") for August 2-7, 2009.

218 The approach described in Section 2 is used to create continuous maps, a.k.a. Level 3 data, based on 219 XCO₂ observations obtained over two repeat cycles, namely August 2-7, 2009 (Figure 1). A six-day period is used to balance the competing goals of including as many observations as possible, while 220 221 avoiding time periods over which the XCO_2 field itself would change substantially (see discussion in Hammerling et al. 2012a). Maps of XCO₂ and associated uncertainties are created at native (Figure 2a,b) 222 223 and $1^{\circ} \times 1^{\circ}$ (Figure 2c,d) resolutions, in order to examine and demonstrate the impact of resolution on 224 mapping uncertainty. Targeting different resolutions is made possible by the use of the moving window block kriging approach presented here. N=500 subsampled observations are used per estimation location. 225 226 These maps can also be compared to those presented for an equivalent period in Hammerling (2012b, 227 Auxiliary Figures 2 and 3), with methodological differences as described in Section 2, and representative of the estimated XCO₂ at the native resolution of sounding footprints (nadir footprint ~10.5 km diameter) 228 229 with estimates at $1^{\circ} \times 1.25^{\circ}$ intervals.

Results show that, because of the information content of the sparse observations, the estimated fields (Figure 2 a,c) are similar at native and $1^{\circ} \times 1^{\circ}$, but that estimating directly at the coarser $1^{\circ} \times 1^{\circ}$ resolution yields lower uncertainties as observations become more informative for spatially-averaged quantities (Figure 3).



Figure 2. XCO₂ Level 3 maps (a,c) and associated uncertainties (b,d) based on ACOS 3.4 release-3 retrievals ("Estimates") for August 2-7, 2009 at (a,b) native resolution and (c,d) $1^{\circ} \times 1^{\circ}$ resolution, obtained using the proposed mapping approach.

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Figure 3. Reduction in estimation uncertainties between the native estimation resolution and the $1^{\circ}x1^{\circ}$ estimation resolution for XCO₂ Level 3 maps based on ACOS 3.4 release-3 retrievals for August 2-7, 2009.

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245 **3.2 Global land solar-induced fluorescence fields observed by GOME-2**

246 A series of recent studies has demonstrated the potential use of satellite observations of solar-induced fluorescence (SIF) for understanding and quantifying photosynthetic CO₂ uptake at large scales, using 247 248 data from the GOSAT satellite (e.g., Joiner et al., 2011; Frankenberg et al., 2011; Guanter et al., 2012, 249 Joiner et al., 2012; Lee et al., 2013; Frankenberg et al., 2012), the SCIAMACHY (SCanning Imaging 250 Absorption spectroMeter for Atmospheric CHartographY) instrument on board ENVISAT (e.g., Joiner et 251 al., 2012), the GOME-2 (The Global Ozone Monitoring Experiment-2) instrument on board METOP-A 252 (e.g., Joiner et al., 2013), and the Orbiting Carbon Observatory (OCO-2) (e.g., Frankenberg et al., 2014). 253 Satellite measurements of fluorescence can be used with land surface models to improve the 254 representation of GPP and to understand GPP response to environmental stress (e.g., Lee et al., 2013). 255 Among available datasets, GOME-2 provides the highest spatial and temporal density of data.

256 Until now, studies of SIF have relied on spatially and temporally binned average observations at monthly or coarser timescales and 1° or coarser spatial scales (e.g., Figure 4). The coarse spatial and temporal 257 258 scales were used to overcome, through the use of simple averaging, spatial gaps in observations and the 259 relatively high uncertainties associated with individual retrievals. One of the limitations of such an 260 approach is that it inherently discards information about SIF variability at fine spatial and temporal scales, 261 which is important for understanding the impact of transient effects such as changes in phenology and 262 water availability (Lee et al., 2013), and developing biospheric models that can represent these effects correctly. A second limitation is the lack of a direct and robust quantification of the uncertainty associated 263 with the mapped products, complicating uncertainty analysis in subsequent applications using the data. 264

265 As a second demonstration of the mapping approach proposed here, we therefore use SIF GOME-2 V.14 266 data (Joiner et al., 2013) with the approach described in Section 2 to create contiguous maps of SIF at a single spatial resolution $(1^{\circ} \times 1^{\circ})$, but at multiple temporal resolutions. The examination of shorter time 267 periods was selected in order to more directly respond to scientific opportunities in the use of SIF data, 268 269 and to complement the spatial-resolution-focused demonstration of Section 3.1. Maps of SIF and 270 associated uncertainties are created at one, six, and 31 day temporal resolutions in August, 2009 (Figure 271 5), where August 2009 was chosen for convenience to correspond with the XCO_2 application presented in 272 Section 3.1. N=1000 subsampled observations are used per estimation location. The monthly map can also be compared to the monthly binned map presented in Figure 4. 273

Results show that the proposed approach can leverage nearby observations to create realistic contiguous maps even at one-day resolution (Figure 5a,b), although, as expected, uncertainties are reduced (Figure 5d) at coarse temporal resolutions, just as was seen for coarser spatial resolutions in the XCO₂ application. In fact, the regions with little or no SIF data for the one-day application are clearly visible as high-uncertainty bands in Figure 5b, and a user could explicitly decide whether such uncertainties are acceptable, or too high for a given scientific application. When maps are intended to be used to drive and/or validate biogeochemical models, therefore, having the ability to choose a desirable balance between temporal resolution and mapping uncertainty presents a considerable advantage.

282 Ideally, the temporal resolution at which maps are obtained is as fine as possible so as to capture the 283 dynamics of the observed physical quantity, in this case SIF. The choice of optimal temporal resolution 284 thus, in general, defines a trade-off between having sufficient observations for adequate spatial coverage, while minimizing the impact of temporal variability in the quantity being examined (Hammerling et al., 285 286 2012a). From Figure 6 it is apparent that the presented approach makes it possible to obtain maps at 287 temporal resolutions much higher than the monthly (or coarser) resolution of current binned products. As 288 expected, the more abundant observations available at 6-day temporal resolution (Figure 6d) lead to 289 decreased estimation uncertainty compared to 1-day resolution (Figure 6b). However, at monthly 290 temporal resolutions (Figure 6e,f) the temporal variability in SIF over a 31-day period increases the 291 discrepancy among (spatially) nearby observations, leading to increased uncertainties at coarse time scales. This effect is apparent in comparing Figures 6d and 6f, as uncertainty increases over, for example, 292 293 eastern South America. A similar trade-off was also noted in selecting mapping time-scales for XCO₂ 294 (Hammerling et al. 2012a), and further speaks to the advantage of being able to select a mapping 295 timescale based on scientific need and uncertainty tolerance, as is possible with the approach presented 296 here.

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Figure 4. Monthly-averaged binned map of GOME-2 SIF data for August 1-31, 2009 (mW/m²/sr/nm).

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Figure 5. Maps of global SIF ($mW/m^2/sr/nm$) (a,c,e) and associated estimation uncertainties expressed as standard deviations (b,d,f), for August 1, 2009 (a,b), August 2-7, 2009 (c,d) and August 1-31, 2009 (e,f) obtained using GOME-2 observations and the presented mapping approach at 1° × 1° spatial resolution.

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308 4. Method evaluation

Leave-one-out cross-validation is used to evaluate the performance of the proposed method. In doing so, the goal is for the predicted values to be as directly comparable as possible to the observation being held back. With that goal in mind, the cross-validation analysis is performed for maps generated at 1-day

312 temporal resolution, and at the native spatial resolution of the sounding footprints.

313 We apply this strategy for both SIF and XCO₂ test cases. For SIF, for each day in August 1-7, 2009, 10%

314 of available GOME-2 SIF data were randomly selected for use in leave-one-out cross-validation and their 315 coordinates extracted. For XCO₂, all GOSAT XCO₂ observations for each day in August 2-7, 2009, were used in leave-one-out cross validation. All three mapping steps (see Sections 2.1-2.3) are repeated ab 316 317 initio during cross-validation. The performance of the mapping method is tested in terms of the accuracy 318 of the best estimates (the difference between estimates and withheld observations), and the accuracy of 319 the uncertainty bounds (the degree to which the reported uncertainties capture the difference between 320 estimates and withheld observations) and bias (the mean difference between estimates and withheld 321 observations).

322 The accuracy of the maps at daily temporal resolution and native spatial resolution is evaluated using the 323 mean absolute difference (MAD) and the root mean squared difference (RMSD) between the mapped 324 estimates and observations held back in leave-one-out cross-validation (Table 1). Although an absolute 325 target value for these accuracy metrics is not available, it is interesting to note that the MAD and RMSD 326 are comparable to the reported measurement uncertainty in both satellite datasets (0.77 ppm for GOSAT XCO₂, 0.55 mW/m²/sr/nm for GOME-2 SIF). We also compare the GOSAT XCO₂ values to those 327 obtained from by applying the method developed in Hammerling et al. (2012a), which yielded a MAD of 328 329 0.86 ppm and a RMSD of 1.20 ppm, demonstrating comparable performance, but with the additional 330 benefits provided by the new method as described in Section 2.

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Table 1. Cross-validation results of GOSAT XCO₂ and GOME-2 SIF datasets, including mean absolute

difference, root mean squared difference, percent of observations lying outside of one, two, and three standard deviations ($\sigma_{\hat{z}}$) of the mapping uncertainty, and mean difference.

		GOSAT XCO ₂	GOME-2 SIF
Estimates	Mean absolute difference	0.85 ppm	0.47 mW/m ² /sr/nm
	Root mean squared difference	1.15 ppm	0.61 mW/m ² /sr/nm
Uncertainties	% observations falling outside $1\sigma_{\hat{z}}$ uncertainty	10.06%	11.23%
	% observations falling outside $2\sigma_{\hat{z}}$ uncertainty	0.96%	0.60%
	% observations falling outside $3\sigma_{\hat{z}}$ uncertainty	0.18%	0.03%
Bias	Mean difference	-0.007 ppm	0.002 mW/m ² /sr/nm

336 Estimation uncertainties reflect the locations and number of observations surrounding the estimation 337 location, the degree of spatial variability in the mapped field in the vicinity of the estimation location, and 338 the spatiotemporal support of the estimates. The accuracy of the uncertainties obtained from the mapping method is evaluated by quantifying the reliability with which the uncertainty bounds associated with the 339 estimates capture the values of the withheld observations. Specifically, we calculate the percentage of 340 341 estimation locations where the withheld observations fall outside of the one, two, and three estimation 342 standard deviation ($\sigma_{\hat{z}}$) uncertainty bounds. For independent, normally-distributed data, these percentages 343 should be approximately 32%, 5% and 0.3%, respectively. Although these assumptions do not hold here, 344 these values still provide a general indication of expected performance.

For both applications, the percentage of observations falling outside of the uncertainty bounds is lower than would be expected for normally-distributed data (Table 1), showing good mapping accuracy. These percentages are very similar when the analysis is repeated using the method developed by Hammerling et al. (2012a). The lower percentages are due to the fact that observations are not normally distributed.

Finally, the bias of the developed method is quantified using the mean difference between estimates and the withheld observations in the leave-one-out cross-validation. Theoretically, mean difference should approach zero as the number of cross-validation points increases if the method provides perfectly unbiased estimates. The mean difference for both applications (Table 1) was several orders of magnitude lower than the observed spatial gradients in the mapped quantities (e.g., Figure 1, Figure 4), and was not statistically significant (p>>0.05: p=0.86 for GOSAT XCO₂; p=0.63 for GOME-2 SIF). The approach therefore yields unbiased estimates.

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5. Conclusions

In this study we propose a flexible moving window block kriging method that can be used as a tool for creating high spatiotemporal resolution maps from satellite data. The method can be applied in a 360 standalone mode, or as a part of broader satellite data processing package. The resulting maps can also be 361 incorporated into biogeochemical and physical models of the Earth system. The approach relies only on 362 the assumption that the observed physical quantity exhibits spatial correlation that can be inferred from 363 the observations. The method has several advantages over previously applied methods: 1) it allows for the 364 creation of contiguous maps at varying spatio-temporal resolution, 2) it can be applied for creating 365 contiguous maps for physical quantities with varying spatio-temporal coverage (aka density of 366 measurements), 3) it provides assessments of the uncertainty of interpolated values. The approach 367 emphasizes the use of local covariance structures in predictions by an arbitrary selection of the sampling function, limiting the radius around estimation locations and adjusting the number of sampled points to a 368 369 fraction of available measurements. The approach also limits the number of partially-subjective ancillary 370 parameters required, making it applicable across a variety of applications.

371 The method was demonstrated by creating Level 3 products from two datasets with considerably different spatio-temporal properties. While the GOSAT XCO₂ observations were relatively sparse, the GOME-2 372 SIF data had a much higher spatio-temporal density. In the case of GOSAT XCO₂, the effects of making 373 predictions at different spatial supports (i.e. resolutions) were analyzed, showing that a decrease in the 374 resolution slightly affects estimates ('smoothing' effect) and more significantly estimation uncertainties 375 376 (reduced uncertainties at coarser resolution). In the case of GOME-2 SIF, the focus was kept on the effect 377 of different aggregation time periods by creating maps at higher temporal resolutions. This example 378 demonstrated the importance of being able to select a mapping timescale based on scientific need and 379 uncertainty tolerance as optimal temporal resolution results from a trade-off between having sufficient 380 observations for adequate spatial coverage, while minimizing the impact of temporal variability in the 381 quantity being examined. In this it was shown that even daily Level 3 maps could be successfully created 382 by the proposed method. For both datasets, the method was shown to yield precise, accurate, and unbiased 383 estimates. The results clearly indicate that contiguous maps can be created at different spatial resolutions 384 for time periods shorter than achievable by binning/averaging.

The resulting maps can be used to support the development of improved models of the Earth system, both by serving as driver data and validation data for such models.

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