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A global finite-element shallow-water model supporting continuous and discontinuous elements

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Abstract

This paper presents a novel nodal finite element method for either continuous and discontinuous elements, as applied to the 2-D shallow-water equations on the cubed-sphere. The cornerstone of this method is the construction of a robust derivative oper-

- ator which can be applied to compute discrete derivatives even over a discontinuous function space. A key advantage of the robust derivative is that it can be applied to partial differential equations in either conservative or non-conservative form. However, it is also shown that discontinuous penalization is required to recover the correct order of accuracy for discontinuous elements. Two versions with discontinuous elements are
- examined, using either the g_1 and g_2 flux correction function for distribution of boundary fluxes and penalty across nodal points. Scalar and vector hyperviscosity operators valid for both continuous and discontinuous elements are also derived for stabilization and removal of grid-scale noise. This method is validated using three standard shallow-water test cases, including geostrophically balanced flow, a mountain-induced
- Rossby wave train and a barotropic instability. The results show that although the discontinuous basis requires a smaller time step size than that required for continuous elements, the method exhibits better stability and accuracy properties in the absence of hyperviscosity.

1 Introduction

- ²⁰ Modeling of the 2-D shallow-water equations is an important first step in understanding the behavior of a numerical discretization for atmospheric models. In particular, the global shallow-water equations are governed by features common with atmospheric motions including barotropic Rossby waves and inertia-gravity waves, without the added complexity of a vertical dimension.
- ²⁵ A comprehensive literature already exists on the development of numerical methods for the global shallow-water equations spanning the past several decades.



Examples include the spectral transform method (Jakob-Chien et al., 1995), semi-Lagrangian methods (Ritchie, 1988; Bates et al., 1990; Tolstykh, 2002; Zerroukat et al., 2009; Tolstykh and Shashkin, 2012; Qaddouri et al., 2012), finite-difference methods (Heikes and Randall, 1995; Ronchi et al., 1996), Godunov-type finite-volume methods (Gassmanith, 2006; Ullrich et al., 2010), staggered finite-volume methods (Lin and Rood, 1997; Ringler et al., 2008; Ringler et al., 2011), multi-moment finite-volume methods (Chen and Xiao, 2008; Li et al., 2008; Chen et al., 2013), and finite-element methods (Taylor et al., 1997; Côté and Staniforth, 1990; Thomas and Loft, 2005; Giraldo et al., 2002; Nair et al., 2005; Läuter et al., 2008; Comblen et al., 2009; Bao et al., 2013).

This paper introduces a novel unified formulation for discretizing either conservative or non-conservative formulations of the shallow-water equations on a manifold using continuous and discontinuous finite elements. This work is motivated by the flux correction methods of Huynh (2007) and Vincent et al. (2011), is an alternative to formulations with discontinuous planets that tasks are the conservative formulations.

- ¹⁵ lations with discontinuous elements that rely on the conservative form of the equations of motion with explicit momentum fluxes (Giraldo et al., 2002; Nair et al., 2005), and generalizes both spectral element and discontinuous Galerkin discretizations. This approach is also quadrature-free, requiring no integral computation. Further, this paper introduces a general variational discretization of the scalar and vector Laplacian opera-
- tor which is valid for either choice of elements and only requires one communication per application of the Laplacian. The discontinuous discretization presented in this paper reduces to a traditional discontinuous Galerkin scheme if applied to the conservative form of the shallow-water equations.

There are several reasons why discontinuous elements are potentially more desirable than continuous elements: first, discontinuous elements only require parallel communication along coordinate axes, whereas continuous elements also require parallel communication along diagonals, a doubling of the total number of communications in 2-D. This reduced communication requirement implies better overall scalability on largescale parallel systems. Second, discontinuous elements provide a natural mechanism



to enforce stabilization via discontinuous penalization (or Riemann solvers, for equations in conservation form). Third, discontinuous elements can be used in conjunction with upwind methods, which are generally better for tracer transport and associated problems. However, discontinuous elements also have a number of disadvantages, in-

- ⁵ cluding higher storage requirements (for the same order of accuracy), a maximum time step size which is typically smaller than that imposed for continuous elements (Ullrich, 2013), and added computational expense for many hyperbolic operations. Nonetheless, it is worthwhile to explore the differences between these two formulations for a real global modeling system.
- ¹⁰ The outline of this paper is as follows. Section 2 presents the shallow-water equations on a manifold. The cubed-sphere grid, which will be used for simulations on the sphere, is described in Sect. 3. The discretization of the dynamics and hyperviscosity are then presented in Sects. 4 and 5 respectively. Results from three standard shallowwater test cases are shown in Sect. 6 and conclusions given in Sect. 7.

15 2 The shallow-water equations on a manifold

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The 2-D shallow-water equations in on a Riemannian manifold with coordinate indices $x^s = \{\alpha, \beta\}$ can be written as

$$\frac{\partial u^{\alpha}}{\partial t} + u^{s} \nabla_{s} u^{\alpha} + g^{\alpha s} \frac{\partial}{\partial x^{s}} (g_{c} H) + f(\mathbf{k} \times \mathbf{u})^{\alpha} = 0,$$
(1)
$$\frac{\partial u^{\beta}}{\partial t} + u^{s} \nabla_{s} u^{\beta} + g^{\beta s} \frac{\partial}{\partial x^{s}} (g_{c} H) + f(\mathbf{k} \times \mathbf{u})^{\beta} = 0,$$
(2)
$$\frac{\partial H}{\partial t} + \nabla_{s} (hu^{s}) = 0.$$
(3)

The prognostic variables are free surface height *H* and vector velocity $\boldsymbol{u} = u^{\alpha}\boldsymbol{g}_{\alpha} + u^{\beta}\boldsymbol{g}_{\beta}$, where $\boldsymbol{g}_{\alpha} = \partial \boldsymbol{x}/\partial \alpha$ and $\boldsymbol{g}_{\beta} = \partial \boldsymbol{x}/\partial \beta$ are the natural basis vectors on the manifold. Two



other important quantities are the fluid height *h* and height of the bottom topography *z*, which are related to the free surface height via H = h + z. Here g^{rs} denotes the contravariant metric with covariant inverse g_{rs} , $J = \sqrt{\det g_{rs}}$ is the metric Jacobian, g_c is gravity, *f* is the Coriolis parameter, and *k* is the vertical basis vector of unit length. Einstein summation notation (implied summation) is used for repeated indices. These equations further make use of the covariant derivative ∇_s , which expands as

$$u^{s} \nabla_{s} u^{d} = u^{\alpha} \frac{\partial u^{d}}{\partial \alpha} + u^{\beta} \frac{\partial u^{d}}{\partial \beta} + \Gamma^{d}_{sr} u^{s} u^{r},$$

$$\nabla_{s} (hu^{s}) = \frac{1}{4} \frac{\partial}{\partial \alpha} (Jhu^{\alpha}) + \frac{1}{4} \frac{\partial}{\partial \alpha} (Jhu^{\beta}),$$
(5)

where
$$\Gamma_{sr}^{d}$$
 denotes the Christoffel symbols of the second kind associated with the co-

ordinate transform (again with summation over repeated indices *s* and *r* implied). Observe that Eqs. (1)–(2) are given in a non-conservative form; this formulation is selected over the conservative formulation (where hu^{α} and hu^{β} are prognostic variables) since it can more readily conserve quantities more relevant to atmospheric motion, such as angular momentum and potential enstrophy (Thuburn, 2008), and (depending on the discretization) can lead to a more accurate treatment of wave-like motions (Thuburn and Woollings, 2005). The mass Eq. (3) has been kept in conservative form

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3 The cubed-sphere grid

to enforce strict mass conservation.

²⁰ The Eqs. (1)–(3) are now applied to a particular choice of coordinate system. The cubed-sphere grid (Sadourny, 1972; Ronchi et al., 1996) consists of a cube with six Cartesian patches arranged along each face, which is then inflated onto a tangent spherical shell, as shown in Fig. 1. The cubed-sphere is a *quasi-uniform spherical grid*, that is, it is in the class of grids that provide an approximately uniform tiling of the sphere



(see Staniforth and Thuburn (2012), for example, for a review of different options for global grids). On the equiangular cubed-sphere grid, coordinates are given as (α, β, ρ) , with central angles $\alpha, \beta \in [-\frac{\pi}{4}, \frac{\pi}{4}]$ and panel index $p \in \{1, 2, 3, 4, 5, 6\}$. By convention, we choose panels 1–4 to be along the equator and panels 5 and 6 to be centered on the northern and southern pole, respectively. With uniform grid spacing, each panel consists of a square array of $n_e \times n_e$ elements.

The contravariant 2-D metric on the equiangular cubed-sphere of radius *a* is given by

$$g^{rs} = \frac{\delta^2}{a^2(1 + \tan^2 \alpha)(1 + \tan^2 \beta)} \begin{pmatrix} 1 + \tan^2 \beta & \tan \alpha \tan \beta \\ \tan \alpha \tan \beta & 1 + \tan^2 \alpha \end{pmatrix},$$
 (6)

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where $\delta = \sqrt{1 + \tan^2 \alpha + \tan^2 \beta}$. The Jacobian on the manifold, denoted by *J*, is then

$$J = \sqrt{\det(g_{rs})} = \frac{a^2(1 + \tan^2 \alpha)(1 + \tan^2 \beta)}{\delta^3},$$
(7)

and induces the infinitesimal area element $dA = J d\alpha d\beta$. The Christoffel symbols of the second kind are given by

$$\Gamma^{\alpha}_{ij} = \begin{pmatrix} \frac{2\tan\alpha\tan^{2}\beta}{\delta^{2}} & \frac{-\tan\beta(1+\tan^{2}\beta)}{\delta^{2}} \\ \frac{-\tan\beta(1+\tan^{2}\beta)}{\delta^{2}} & 0 \end{pmatrix},$$

$$\Gamma^{\beta}_{ij} = \begin{pmatrix} 0 & \frac{-\tan\alpha(1+\tan^{2}\alpha)}{\delta^{2}} \\ \frac{-\tan\alpha(1+\tan^{2}\alpha)}{\delta^{2}} & \frac{2\tan^{2}\alpha\tan\beta}{\delta^{2}} \end{pmatrix}.$$
(8)

Spherical coordinates (λ, ϕ) for longitude $\lambda \in [0, 2\pi]$ and latitude $\phi \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ will also be used for plotting and specification of tests. Coordinate transforms between spherical and equiangular coordinates can be found in Ullrich and Jablonowski (2012) Appendix A.



4 Nodal finite element discretization

4.1 The nodal basis

A nodal finite element method is employed (Taylor et al., 1997; Giraldo et al., 2002; Hesthaven and Warburton, 2007). The 1-D reference element is defined as the interval $x \in [-1, 1]$ along with a set of test functions $\hat{\phi}_{(i)}(x)$. The test functions are defined such that test function $\hat{\phi}_{(i)}(x)$ is the unique polynomial of degree $n_p - 1$ that is 1 at the *i*th Gauss–Lobatto–Legendre (GLL) node ($i \in (0, ..., n_p - 1)$) and 0 at all other GLL nodes. Each basis polynomial then has a corresponding weight, defined by

$$w_i = \int_{-1}^1 \hat{\phi}_{(i)}(x) \mathrm{d}x.$$

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The 2-D element $Z = [\alpha_0, \alpha_{n_p-1}] \times [\beta_0, \beta_{n_p-1}]$ (with boundary ∂Z) has accompanying 1-D basis functions

$$\tilde{\phi}_{(i)}(\alpha) = \hat{\phi}_{(i)}\left(\frac{2(\alpha - \alpha_0)}{\Delta\alpha} - 1\right), \qquad \tilde{\phi}_{(j)}(\beta) = \hat{\phi}_{(j)}\left(\frac{2(\beta - \beta_0)}{\Delta\beta} - 1\right), \tag{11}$$

where $\Delta \alpha = \alpha_{n_p-1} - \alpha_0$ and $\Delta \beta = \beta_{n_p-1} - \beta_0$. The accompanying 2-D tensor-product basis is then defined by

$$\phi_{(i,j)}(\alpha,\beta) = \tilde{\phi}_{(i)}(\alpha)\tilde{\phi}_{(j)}(\beta).$$
(12)

Figure 2 provides a depiction of GLL nodes within a single element. For vector quantities (such as velocity \boldsymbol{u}), test functions are instead vector fields. Uniqueness of the variational system is retained if exactly two degrees of freedom are allowed at each nodal location for the vector test function $\boldsymbol{\phi}$. As we shall see, the most natural choice is test functions $\boldsymbol{\phi}_{(i,j)}^{(\alpha)}$ and $\boldsymbol{\phi}_{(i,j)}^{(\beta)}$ with covariant components

$$\boldsymbol{\phi}_{(i,j)\alpha}^{(\alpha)} = \boldsymbol{\phi}_{(i,j)}, \quad \boldsymbol{\phi}_{(i,j)\beta}^{(\alpha)} = 0, \quad \boldsymbol{\phi}_{(i,j)\alpha}^{(\beta)} = 0, \quad \boldsymbol{\phi}_{(i,j)\beta}^{(\beta)} = \boldsymbol{\phi}_{(i,j)}. \tag{13}$$



(10)

4.2 Robust differentiation

A robust differentiation operator is now constructed for both continuous and discontinuous finite elements. Let $f : (\alpha, \beta) \to \mathbb{R}$ be defined and continuous on $\mathcal{Z} \cup \partial \mathcal{Z}$ with basis $\phi_{(i,j)}$,

$${}_{5} f(\alpha,\beta) = \sum_{\rho=0}^{n_{\rho}-1} \sum_{q=0}^{n_{\rho}-1} f_{(\rho,q)} \phi_{(\rho,q)}(\alpha,\beta), \qquad (1$$

with coefficients $f_{(\rho,q)} \in \mathbb{R}$. Further, let $\tilde{f} : (\alpha, \beta) \to \mathbb{R}$ be defined and continuous on ∂Z . Here \tilde{f} represents the evaluation of f in neighboring elements. Note that for a continuous finite element method, f and \tilde{f} must satisfy $\tilde{f}(\alpha, \beta) = f(\alpha, \beta)$ on ∂Z , whereas no such restriction is imposed for discontinuous finite elements. Following Huynh (2007), robust differentiation in the α direction is defined at GLL nodes via

$$D_{\alpha}f(\alpha_{i},\beta_{j}) = \sum_{p=0}^{n_{p}-1} f_{(p,j)} \frac{\partial \tilde{\phi}_{(p)}}{\partial \alpha}(\alpha_{i}) + \frac{\mathrm{d}g_{R}}{\mathrm{d}\alpha}(\alpha_{i})(\overline{f}_{(n_{p}-1,j)} - f_{(n_{p}-1,j)}) + \frac{\mathrm{d}g_{L}}{\mathrm{d}\alpha}(\alpha_{i})(\overline{f}_{(0,j)} - f_{(0,j)}),$$

where the overline denotes the co-located average of f and \tilde{f} ,

 $g_L(\alpha_0) = 1$, $g_L(\alpha_{n_0-1}) = 0$, $g_R(\alpha_0) = 0$, $g_R(\alpha_{n_0-1}) = 1$,

$$\overline{f}_{(n_p-1,j)} = \frac{f(\alpha_{n_p-1},\beta_j) + \widetilde{f}(\alpha_{n_p-1},\beta_j)}{2}, \quad \overline{f}_{(0,j)} = \frac{f(\alpha_0,\beta_j) + \widetilde{f}(\alpha_0,\beta_j)}{2}.$$
(16)

Here g_L and g_R are the local *flux correction functions*, which satisfy

and otherwise are chosen to approximate zero throughout $[\alpha_0, \alpha_{n_p-1}]$. A number of options for g_L and g_R exist, including g_1 (Radau polynomials), which will lead to the



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(15)

(17)

discontinuous Galerkin method, and g_2 , which will lead to the mass-lumped discontinuous Galerkin method (Huynh, 2007). Hereafter discontinuous elements with the g_1 flux correction function will be referred to as "discontinuous g_1 elements", whereas elements using of the g_2 flux correction function will be referred to as "discontinuous g_2 elements". An analogous procedure is used to construct a derivative operator in the β direction. Observe that for continuous finite elements, the rightmost terms in Eq. (15) are exactly zero.

With the definition of a robust discrete derivative in Eq. (15), discretization of the shallow-water system Eqs. (1)–(3) is straightforward. Note that for continuous finite elements, this discretization is identical to the approach of Taylor et al. (1997) when applied in conjunction with *Direct Stiffness Summation* (that is, projection into the space of continuous functions). If the conservative form of the shallow-water equations were employed, this discretization would be the same as Giraldo et al. (2002) when mass lumping is not employed (discontinuous g_1) and Nair et al. (2005) if mass lumping is applied (discontinuous g_2). To the best of the author's knowledge, no previous work has used both discontinuous elements and a non-conservative form of the shallow-water system.

4.3 Discontinuous penalization

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At element boundaries, the use of one-sided derivatives will cause the discontinuity between neighboring elements to exhibit an error with magnitude $\mathcal{O}(\Delta x^{n_p-1})$, an effective loss of one order of accuracy from the expected convergence rate. To reduce errors associated with the discontinuity, a penalization term is added in each coordinate direction. In the α direction this term reads

$$\frac{\partial H}{\partial t}(\alpha_{i},\beta_{j}) = \dots + \frac{\partial g_{R}}{\partial \alpha}(\alpha_{i})\frac{|\lambda(\alpha_{n_{p}-1},\beta_{j})|}{2} \left[\tilde{H}(\alpha_{n_{p}-1},\beta_{j}) - H(\alpha_{n_{p}-1},\beta_{j})\right] \frac{J(\alpha_{n_{p}-1},\beta_{j})}{J(\alpha_{i},\beta_{j})} + \frac{\partial g_{L}}{\partial \alpha}(\alpha_{i})\frac{|\lambda(\alpha_{0},\beta_{j})|}{2} \left[H(\alpha_{0},\beta_{j}) - \tilde{H}(\alpha_{0},\beta_{j})\right] \frac{J(\alpha_{0},\beta_{j})}{J(\alpha_{i},\beta_{j})},$$
(18)
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$$\frac{\partial u^{d}}{\partial t}(\alpha_{i},\beta_{j}) = \dots + \frac{\partial g_{R}}{\partial \alpha}(\alpha_{i}) \frac{|\lambda(\alpha_{n_{p}-1},\beta_{j})|}{2} \left[\tilde{u}^{d}(\alpha_{n_{p}-1},\beta_{j}) - u^{d}(\alpha_{n_{p}-1},\beta_{j}) \right] \\
+ \frac{\partial g_{L}}{\partial \alpha}(\alpha_{i}) \frac{|\lambda(\alpha_{0},\beta_{j})|}{2} \left[u^{d}(\alpha_{0},\beta_{j}) - \tilde{u}^{d}(\alpha_{0},\beta_{j}) \right].$$
(19)

where $\lambda(\alpha, \beta) = |u^{\alpha}| + \sqrt{g_c h}/a$ represents the maximum local wave speed in the α direction. An analogous term is added in the β direction. Note that with this choice of penalization the evolution equation for *h* is identical to the evolution equation that would arise from a traditional conservative discontinuous Galerkin method with local Lax–Friedrichs flux. Since the penalization term is equivalent to upwinding, it is weakly diffusive and so allows the discontinuous scheme to maintain stability even in the absence of explicit viscosity.

5 Viscosity and hyperviscosity

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A stabilization operator is necessary for finite element methods to avoid dispersive errors associated with spectral ringing. In general, it is preferred that this operator is consistent with the underlying geometry of the Riemannian manifold, which precludes, for example, the Boyd–Vandeven filter (Boyd, 1996). There has been considerable suc-

- cess with the use of hyperviscosity in the spectral element method (Dennis et al., 2011), which maintains geometric consistency by mimicking the natural fourth-order hyperviscosity operator. Previously, it has not been clear how to extend this operator to a discontinuous function space. However, the robust derivative Eq. (15) provides a direct
 mechanism by which the hyperviscosity operator can be constructed. The viscosity
- operator for both the continuous and discontinuous function space will be discussed here.

Note that any viscosity operator will lead to a loss of energy conservation of the underlying numerical method. This loss is exhibited in two obvious ways: first, for geostrophically balanced flows the error will tend to grow over time. Second, energy



conservation is lost leading to a decay in the total energy content of the system over time.

5.1 Scalar viscosity

A scalar viscosity operator is constructed to satisfy

5 $\mathcal{H}(v)\psi \approx v\nabla^2\psi$,

where $\nabla^2 = \nabla \cdot \nabla$ is the usual scalar Laplacian. The operator is defined implicitly via a variational construction. If $f = \mathcal{H}(v)\psi$ then, multiplying Eq. (20) by a test function and applying integration by parts, one obtains

$$\int \int f \phi_{(i,j)} dA = v \left[\oint_{\partial Z} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} - \iint_{Z} \nabla \phi_{(i,j)} \cdot \nabla \psi dA \right],$$
(21)

where d**S** is the infinitesimal line element along the boundary of Z and dA is the infinitesimal area element. The two terms on the right-hand side of this expression correspond to the viscosity flux through element boundaries and the Laplacian within the element. Under a continuous element formulation, only the rightmost term is retained and fluxes are instead accounted for via *Direct Stiffness Summation* (DSS). Under a discontinuous formulation, both terms are retained and discretized. The discrete equation satisfied by $f_{(i,j)}$ that follows from Eq. (21) is written as

$$f_{(i,j)} = f_{(i,j)}^{\mathsf{B}} + f_{(i,j)}^{\mathsf{A}},$$

where $f_{(i,j)}^{B}$ denotes the discretization of the boundary integral and $f_{(i,j)}^{A}$ denotes the discretization of the area integral. After a lengthy derivation (see Appendix), these discretizations read

$$f_{(i,j)}^{\mathsf{A}} = -\frac{v}{w_i J(\alpha_i, \beta_j)} \sum_{m=0}^{n_p-1} \frac{\partial \tilde{\phi}_{(i)}}{\partial \alpha} \nabla^{\alpha} \psi J w_m |_{\alpha = \alpha_m, \beta = \beta_j}$$
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(20)

(22)

$$-\frac{\nu}{w_j J(\alpha_i,\beta_j)} \sum_{n=0}^{n_p-1} \frac{\partial \tilde{\phi}_{(j)}}{\partial \beta} \nabla^{\beta} \psi J w_n \big|_{\alpha=\alpha_i,\beta=\beta_n},$$

and

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$$f_{(i,j)}^{\mathsf{B}} = \nu \left[\underbrace{\frac{\delta_{i,n_p-1}}{\underline{w_i \Delta \alpha}} \nabla^{\alpha} \psi}_{\mathsf{Right}} + \underbrace{\frac{\delta_{j,n_p-1}}{\underline{w_j \Delta \beta}} \nabla^{\beta} \psi}_{\mathsf{Top}} - \underbrace{\frac{\delta_{i,0}}{\underline{w_i \Delta \alpha}} \nabla^{\alpha} \psi}_{\mathsf{Left}} - \underbrace{\frac{\delta_{j,0}}{\underline{w_j \Delta \beta}} \nabla^{\beta} \psi}_{\mathsf{Bottom}} \right],$$

where $\delta_{i,i}$ is the Krönecker delta. Here the contravariant derivative of ψ has been used, defined via

$$\nabla^{\rho}\psi = g^{\rho q}\nabla_{q}\psi = g^{\rho \alpha}\frac{\partial\psi}{\partial\alpha} + g^{\rho\beta}\frac{\partial\psi}{\partial\beta}.$$
(25)

Note that the contravariant derivatives $\nabla^{\rho}\psi$ are multivalued along this edge, and so 10 must be adjusted using the robust derivative operator Eq. (15).

5.2 Vector viscosity

Vector viscosity is used for damping of the velocity field, and takes the form

$$\mathcal{H}(v_d, v_v)\boldsymbol{u} \approx v_d \nabla (\nabla \cdot \boldsymbol{u}) - v_v \nabla \times (\nabla \times \boldsymbol{u}).$$

Observe that if $v = v_d = v_v$ then this expression is exactly the standard vector Laplacian operator $\nabla^2 u$, with coefficient v. By writing the vector Laplacian as Eq. (26), the combined operator separates into two distinct operators that effect divergence damping (with coefficient v_{α}) and vorticity damping (with coefficient v_{α}). This result can be guickly verified by taking the divergence and curl of Eq. (26),

$$\nabla \cdot \mathcal{H}(v_d, v_v) \boldsymbol{u} = v_d \nabla^2 (\nabla \cdot \boldsymbol{u}),$$

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$$\nabla \times \mathcal{H}(v_d, v_v) \boldsymbol{u} = -v_v \nabla \times (\nabla \times (\nabla \times \boldsymbol{u})) = v_v \nabla^2 (\nabla \times \boldsymbol{u})$$

For simplicity of calculation, we treat divergence damping and vorticity damping separately. For divergence damping, the operator is constructed by taking the inner product of $f = \mathcal{H}(v_d, v_v)u$ with the vector test function ϕ , integrating over Z and applying integration by parts,

$$\int_{Z} \boldsymbol{\phi} \cdot \boldsymbol{f} dA = \boldsymbol{v}_{d} \iint_{Z} \boldsymbol{\phi} \cdot \nabla (\nabla \cdot \boldsymbol{u}),$$
$$= \boldsymbol{v}_{d} \left[\oint_{\partial Z} (\nabla \cdot \boldsymbol{u}) \boldsymbol{\phi} \cdot d\mathbf{S} - \iint_{Z} (\nabla \cdot \boldsymbol{\phi}) (\nabla \cdot \boldsymbol{u}) dV \right].$$

¹⁰ For vorticity damping an analogous procedure leads to

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$$v_{v} \iint_{\mathcal{Z}} \boldsymbol{\phi} \cdot \boldsymbol{f} dA = -v_{v} \iint_{\mathcal{Z}} \boldsymbol{\phi} \cdot \nabla \times (\nabla \times \boldsymbol{u}) dV,$$
$$= -v_{v} \left[\oint_{\partial \mathcal{Z}} (\nabla \times \boldsymbol{u}) \times \boldsymbol{\phi} \cdot d\mathbf{S} + \iint_{\mathcal{Z}} (\nabla \times \boldsymbol{\phi}) \cdot (\nabla \times \boldsymbol{u}) dV \right]$$

Note that for shallow-water flows, only the radial component of the vorticity is relevant for this calculation. The discrete value of $f^{\alpha}_{(i,j)}$ and $f^{\beta}_{(i,j)}$ that arises from this calculation then has contributions from the area integral via $f^{A,d}_{(i,j)}$ and boundary integral via $f^{B,d}_{(i,j)}$,

$$f_{(i,j)}^{\alpha} = f_{(i,j)}^{B,\alpha} + f_{(i,j)}^{A,\alpha}, \quad f_{(i,j)}^{\beta} = f_{(i,j)}^{B,\beta} + f_{(i,j)}^{A,\beta}.$$
(31)

Following another lengthy derivation (see Appendix) the area integral term appears as

$$f_{(i,j)}^{\mathsf{A},\alpha} = -\frac{v_d}{J(\alpha_i,\beta_j)w_i} \sum_{m=0}^{n_p-1} Jg^{\alpha\alpha} \frac{\mathrm{d}\tilde{\phi}_{(i)}}{\mathrm{d}\alpha} (\nabla \cdot \boldsymbol{u})w_m \bigg|_{\substack{\alpha=\alpha_m,\beta=\beta_j\\ 5153}}$$

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$$= \frac{v_d}{J(\alpha_i,\beta_j)w_j} \sum_{n=0}^{n_p-1} Jg^{\beta\alpha} \frac{d\tilde{\phi}_{(j)}}{d\beta} (\nabla \cdot u) w_n \Big|_{\alpha=\alpha_i,\beta=\beta_n}$$

$$+ \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{n=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\beta} (\nabla \times u)_r w_n \Big|_{\alpha=\alpha_i,\beta=\beta_n}$$
(32)
$$= \frac{v_d}{J(\alpha_i,\beta_j)w_j} \sum_{n=0}^{n_p-1} Jg^{\alpha\beta} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \cdot u) w_n \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$
(32)
$$= \frac{v_d}{J(\alpha_i,\beta_j)w_j} \sum_{n=0}^{n_p-1} Jg^{\alpha\beta} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \cdot u) w_n \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_d}{J(\alpha_i,\beta_j)w_j} \sum_{n=0}^{n_p-1} Jg^{\beta\beta} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \cdot u) w_n \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_n \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_n \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_n}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_m \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_j \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \times u)_r w_j \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \otimes u)_r \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d\tilde{\phi}_{(j)}}{d\alpha} (\nabla \otimes u)_r \Big|_{\alpha=\alpha_n,\beta=\beta_j}$$

$$= \frac{v_v}{J(\alpha_i,\beta_j)w_j} \sum_{m=0}^{n_p-1} \frac{d$$

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and

$$f_{(i,j)}^{B,\beta} = v_d \left[\underbrace{\frac{\delta_{i,n_p-1}g^{\beta\alpha}(\nabla \cdot \boldsymbol{u})}{W_i \Delta \alpha}}_{\text{Right}} + \underbrace{\frac{\delta_{j,n_p-1}g^{\beta\beta}(\nabla \cdot \boldsymbol{u})}{W_j \Delta \beta}}_{\text{Top}} - \underbrace{\frac{\delta_{i,0}g^{\beta\alpha}(\nabla \cdot \boldsymbol{u})}{W_i \Delta \alpha}}_{\text{Left}} - \underbrace{\frac{\delta_{j,0}g^{\beta\beta}(\nabla \cdot \boldsymbol{u})}{W_j \Delta \beta}}_{\text{Bottom}} \right]_{\alpha = \alpha_i, \beta = \beta_j} + v_v \left[\underbrace{\frac{\delta_{i,n_p-1}(\nabla \times \boldsymbol{u})_r}{Jw_i \Delta \alpha}}_{\text{Right}} - \underbrace{\frac{\delta_{i,0}(\nabla \times \boldsymbol{u})_r}{Jw_i \Delta \alpha}}_{\text{Left}} \right]_{\alpha = \alpha_i, \beta = \beta_j} .$$
(35)

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The divergence and curl, which are needed for evaluation of the Laplacian, are computed via

$$\nabla \cdot \boldsymbol{u} = \nabla_{\rho} u^{\rho} = \nabla_{\alpha} u^{\alpha} + \nabla_{\beta} u^{\beta}$$
(36)
$$(\nabla \times \boldsymbol{u})_{r} = \epsilon_{r\rho q} g^{\rho s} \nabla_{s} u^{q} = J \left[g^{\alpha \alpha} \nabla_{\alpha} u^{\beta} + g^{\alpha \beta} \nabla_{\beta} u^{\beta} - g^{\beta \alpha} \nabla_{\alpha} u^{\alpha} - g^{\beta \beta} \nabla_{\beta} u^{\alpha} \right],$$
(37)

where

$$\nabla_{\alpha}u^{\alpha} = \frac{\partial u^{\alpha}}{\partial \alpha} + \Gamma^{\alpha}_{\ \alpha\alpha}u^{\alpha} + \Gamma^{\alpha}_{\ \alpha\beta}u^{\beta}, \qquad \nabla_{\alpha}u^{\beta} = \frac{\partial u^{\beta}}{\partial \alpha} + \Gamma^{\beta}_{\ \alpha\alpha}u^{\alpha} + \Gamma^{\beta}_{\ \alpha\beta}u^{\beta}, \qquad (38)$$

$$\nabla_{\beta}u^{\alpha} = \frac{\partial u^{\alpha}}{\partial \beta} + \Gamma^{\alpha}_{\ \beta\alpha}u^{\alpha} + \Gamma^{\alpha}_{\ \beta\beta}u^{\beta}, \qquad \nabla_{\beta}u^{\beta} = \frac{\partial u^{\beta}}{\partial \beta} + \Gamma^{\beta}_{\ \beta\alpha}u^{\alpha} + \Gamma^{\beta}_{\ \beta\beta}u^{\beta}. \qquad (39)$$

¹⁵ All partial derivatives are evaluated using the robust derivative operator Eq. (15).



5.3 Hyperviscosity

For stabilization of a high-order discretization, hyperviscosity is preferred since it retains the order of accuracy of the underlying scheme. In practice, hyperviscosity is implemented by repeated application of the viscosity operator. For instance, for fourthorder hyperviscosity, the ∇^4 operator is approximated as follows

$$\frac{\partial \boldsymbol{u}}{\partial t} = \mathcal{H}(\boldsymbol{v}_d, \boldsymbol{v}_v)\mathcal{H}(1, 1)\boldsymbol{u}, \quad \frac{\partial h}{\partial t} = \mathcal{H}(v)\mathcal{H}(1)h.$$
(40)

5.4 Computational considerations

Calculation of hyperviscosity in the form presented here requires one parallel exchange per application of the Laplacian operator. For continuous elements, this communication is manifested through the application of DSS, which averages away any discontinuity that has been generated along element edges. For discontinuous elements, scalar viscosity requires pointwise updates along element edges computed from Eq. (24), whereas vector viscosity requires both one-sided values of \boldsymbol{u} , $(\nabla \cdot \boldsymbol{u})$ and $(\nabla \times \boldsymbol{u})_r$, which are in turn used for computing nodal values of $(\nabla \cdot \boldsymbol{u})$ and $(\nabla \times \boldsymbol{u})_r$ needed for Eqs. (32)–

(35). This constitutes a doubling of the overall bandwidth requirement relative to continuous elements.

6 Results

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In this section selected results are provided to evaluate the relative performance of the methods described in this paper. Three test cases are evaluated: from the Williamson et al. (1992) test case suite, steady-state geostrophically balanced flow and zonal flow over an isolated mountain will be analyzed, in addition to the barotropic instability test of Galewsky et al. (2004). For all test cases, time integration is handled via the strong-stability preserving three-stage third-order Runge–Kutta method (Gottlieb et al., 2001).



Note that some improvement in the maximum time step size for discontinuous elements can be obtained from the use of the five-stage third-order Runge–Kutta method (Ruuth, 2006), which has a stability region that covers a larger portion of the negative real plane. The time step Δt for each test is chosen to be close to the stability limit in each case (observed empirically). The value of Δt has negligible effect on the results (not shown), suggesting that spatial errors dominate in each case. Further, note that mass conservation is maintained to machine truncation for all simulations (not shown). From the shallow-water equations, the values of g_c and f for the Earth are used,

$$g_c = 9.80616 \,\mathrm{m\,s^{-2}}, \quad f = 2\Omega \sin\phi, \quad \Omega = 7.29212 \times 10^{-5} \,\mathrm{s^{-1}}.$$
 (41)

When required, the standard L_2 error measure is calculated via

$$L_2(h) = \sqrt{\frac{I\left[(h-h_T)^2\right]}{I\left[h_T^2\right]}},$$

where h_T is the height field at the initial time (which is the analytical solution for steadystate test cases) and *I* denotes an approximation to the global integral, given by

$$I[x] = \sum_{\text{all elements } k} \left[\sum_{m=0}^{n_p-1} \sum_{n=0}^{n_p-1} x_k(\alpha_m, \beta_n) J_k(\alpha_m, \beta_n) w_m w_n \Delta \alpha \Delta \beta \right],$$
(43)

where the subscript k denotes the values of x and J within the kth element.

When applied, hyperviscosity make use of a single coefficient for both scalar and vector hyperviscosity,

$$v = v_d = v_v = (1.0 \times 10^{15} \,\mathrm{m}^4 \,\mathrm{s}^{-1}) \left(\frac{n_e}{30}\right)^{3.2}.$$
 (44)

This choice of scaling for the hyperviscosity coefficient is based on Takahashi et al. (2006).



(42)

6.1 Steady-state geostrophically balanced flow

Test case 2 of Williamson et al. (1992) simulates a zonally symmetric geostrophically balanced flow. This test utilizes an unstable equilibrium solution to the shallow-water equations which is expected to be exactly maintained over time. However, it is gens erally true that only methods that satisfy the curl-grad annihilator property $\nabla \times \nabla \phi = 0$ maintain some sort of discrete equilibrium. Nonetheless, since an analytical solution is known (identical to the initial conditions), this test is effective at measuring the convergence rate of a numerical method. Further, the error fields from this test provide some indication of what effect the grid has on the errors of the underlying method. The analytical height field for this test is given by

$$h = h_0 - \frac{1}{g_c} \left(\Omega u_0 a + \frac{u_0^2}{2} \right) \sin^2 \phi,$$
 (45)

with background height h_0 and velocity amplitude u_0 chosen to be

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$$h_0 = \frac{2.94 \times 10^4 \,\mathrm{m}^2 \,\mathrm{s}^{-2}}{g_c}, \quad \text{and} \quad u_0 = \frac{\pi a}{6} \,\mathrm{day}^{-1}.$$
 (46)

This height field also serves as the reference solution. The non-divergent velocity field is specified in latitude-longitude (ϕ, λ) coordinates as

$$u_{\lambda} = u_0 \cos \phi, \qquad u_{\phi} = 0. \tag{47}$$

Figure 3 shows L_2 errors in the height field after a 5 day integration of the model 20 at $n_e = 4$ resolution with $n_p = 4$. Simulations were completed for continuous elements (a) with hyperviscosity and (d) without hyperviscosity, discontinuous elements (b, e) with mass lumping (the q_2 flux correction function), (c, f) without mass lumping (the q_1 flux correction function), (b, c) with discontinuous penalization, and (e, f) without discontinuous penalization. The time step is $\Delta t = 2200$ s for simulations a, d, $\Delta t = 800$ s 25

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for simulations b, c, e, and $\Delta t = 400$ s for simulation f. Increasing the magnitude of the time step by 100s led to simulation instability in each case. Since the addition of hyperviscosity leads to loss of energy conservation there is a slow decay of the geostrophically balanced flow towards a uniform height state, hence leading to a nearly

- zonally symmetric decay in the height field towards the poles. For all configurations (both continuous and discontinuous elements) visually identical results are observed when hyperviscosity is added, and so these results are not shown. All simulations exhibit a characteristic wavenumber-4 mode triggered by the underlying cubed-sphere, although the specific error pattern differs throughout. Simulation d is exactly mimetic
- and leads to exact maintenance of geostrophic balance. Simulations b and c are guasi-10 mimetic, only losing energy conservation due to the discontinuous penalty term, and so exhibit very slow error growth with time. Simulations e and f, which correspond to discontinuous elements without penalization, show greatly enhanced error norms and substantial imprinting from the $n_{\rho} = 4$ pattern.
- To understand the growth of error norms associated with each configuration, addi-15 tional simulations with $n_{e} = 16$ have been performed and L_{2} error norms plotted as a function of time in Fig. 4. All simulations show an expected near-identical growth of errors with time when hyperviscosity is active. With hyperviscosity disabled the results from each simulation disentangle: continuous elements are oscillatory but show stable error norms, discontinuous elements with penalization show smaller error norms than 20 continuous elements but a very slow growth with time due to the upwinding effect of
- the discontinuous penalization, and discontinuous elements without penalization show rapid growth in errors (and eventual instability without mass lumping).

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To verify that the model exhibits the correct convergence rate, Fig. 5 shows the global error norms associated with simulations with $n_e \in \{4, 8, 16, 32, 64\}$ after a 5 day integration period. At $n_e = 4$, the time step is $\Delta t = 2200$ s for continuous elements, $\Delta t = 800$ s for g_2 discontinuous elements and g_1 discontinuous elements with penalization, and $\Delta t = 400$ s for g_1 discontinuous elements without penalization. The time step is scaled inversely with increasing resolution. Missing simulations correspond to model instability



and divergence prior to simulation completion. The use of hyperviscosity reduces the convergence rate to $\mathcal{O}(\Delta x^{3.2})$, as expected from the choice of hyperviscosity coefficient in Eq. (44). With hyperviscosity disabled, model simulations converge at $\mathcal{O}(\Delta x^4)$ for continuous elements and discontinuous elements with penalty, and $\mathcal{O}(\Delta x^3)$ for dis-

⁵ continuous elements without penalty. The loss of one order of accuracy is due to onesided differentiation at co-located nodes along element edges, leading to enhancement of the discontinuity. Similar results (not shown) are observed when changing n_p – that is, continuous elements and discontinuous elements with penalty converge at $\mathcal{O}(\Delta x^{n_p})$, whereas unpenalized discontinuous elements converge at $\mathcal{O}(\Delta x^{n_p-1})$.

10 6.2 Zonal flow over an isolated mountain

Test case 5 in Williamson et al. (1992) considers zonal flow with underlying topography. The wind and height fields are defined as in Sect. 6.1, except with $h_0 = 5960$ m and $u_0 = 20 \text{ m s}^{-1}$. A conical mountain is used for the topographic forcing, given by

$$_{15} \quad z = z_0 (1 - r/R), \tag{48}$$

with $z_0 = 2000 \text{ m}$, $R = \pi/9$ and $r^2 = \min \left[R^2, (\lambda - \lambda_c)^2 + (\phi - \phi_c)^2 \right]$. The center of the mountain is at $\lambda_c = 3\pi/2$ and $\phi_c = \pi/6$.

Simulation results for this test case were computed at $n_e = 16$ and $n_p = 4$ after 15 days of integration both with and without hyperviscosity. For discontinuous elements penalization is always used. The time step used for these runs was $\Delta t = 480$ s for continuous elements, $\Delta t = 240$ s for g_2 discontinuous elements and $\Delta t = 120$ s for g_1 discontinuous elements. These results are visually indistinguishable, so are instead compared against the continuous element run (with HV) in Fig. 6, where the height field *H* and height field difference $H - H_c$ is plotted (where H_c is the height field given in simulation a). Simulations b and c, corresponding to discontinuous elements with and without mass lumping, are very similar in structure and exhibit smooth differences from



the continuous model. With no hyperviscosity applied, continuous elements (simulation d) show significant noise which is not present for discontinuous elements (simulations e, f). These simulations match closely with results from the literature (Nair et al., 2005; Ullrich et al., 2010).

To understand conservation of invariants over time, total energy E and potential enstrophy ξ are computed over the duration of the simulation. Since these quantities are invariant under the shallow-water equations, it would be expected that a perfect simulation would conserve these quantities exactly. They are defined via

$$E = \frac{1}{2}h\boldsymbol{v}\cdot\boldsymbol{v} + \frac{1}{2}g_{c}(H^{2} - z^{2}), \text{ and } \xi = \frac{(\zeta + f)^{2}}{2h}.$$
(49)

A time series of energy and potential enstrophy are plotted in Fig. 7. With hyperviscosity (simulations a, b) all simulations exhibit nearly identical conservation properties, suggesting that both the continuous and discontinuous hyperviscosity operators (which are responsible for the loss of energy and potential enstrophy conservation) act in a nearly identical manner over the course of the simulation. Without hyperviscosity (simulations c, d) change in energy and potential enstrophy is much smaller. Continuous elements show initiation of instability at approximately day 6, likely due to high-wavenumber oscillations in the height field caused by nonlinear aliasing. On the other hand, discontinuous elements instead show a slow decay of energy and potential enstrophy driven by the weak diffusivity of the discontinuous penalization.

6.3 Barotropic instability

The barotropic instability test case of Galewsky et al. (2004) consists of a zonal jet with compact support at a latitude of 45°, with a latitudinal profile roughly analogous to a much stronger version of test case 3 of Williamson et al. (1992). A small height perturbation is added atop the jet which leads to the controlled formation of an instability

²⁵ turbation is added atop the jet which leads to the controlled formation of an instability in the flow. The relative vorticity of the flow field at day 6 can then be visually compared



against a high-resolution numerically computed solution Galewsky et al. (2004); St-Cyr et al. (2008).

Simulation results for this test case were computed at $n_e = 32$ and $n_p = 4$ after 12 days of integration with hyperviscosity enabled. The time step used for these runs $s was \Delta t = 150 s$ for continuous elements, $\Delta t = 75 s$ for g_2 discontinuous elements and $\Delta t = 50 s$ for g_1 discontinuous elements. Simulations are again compared against the continuous element run (with HV) in Fig. 8, where the relative vorticity field ζ and relative vorticity field difference $\zeta - \zeta_c$ is plotted (where ζ_c is the height field given in simulation a). Due to the presence of sharp frontal activity in this test case and the strong resolution dependence of this problem (Ullrich et al., 2010), differences in ζ are of the same magnitude as the original field. In particular, the simulations without hyperviscosity (simulations d, e, f) all show enhancement near wave fronts which is not apparent in the simulations with hyperviscosity (simulation b, c). Although most differences can be found near sharp fronts, there is also a clear enhancement in the differences near 120E associated with a trailing instability. For continuous elements

without hyperviscosity (simulation c), there is also apparent grid-scale noise which is missing from the other simulations, suggesting that this method is under-diffused.

Normalized total energy and potential enstrophy are plotted for the barotropic instability in Fig. 9 for a 12 day integration with $n_e = 16$ and $n_p = 4$. With hyperviscosity (a,

- b) there are small but visible differences in the results associated with changes in the type of elements. Without hyperviscosity (simulations c, d) the simulation with continuous elements exhibit instability around day 6, leading to rapid growth of energy and potential enstrophy. On the other hand, with discontinuous elements there is a steady loss of energy and potential enstrophy over time due to diffusivity from discontinuous
- 25 penalization. Prior to wave breaking (which occurs around day 4), energy and potential enstrophy loss are significant reduced compared to the simulations with hyperviscosity. After wave breaking, energy and potential enstrophy loss are of the same order of magnitude for simulations with and without hyperviscosity, associated with the fact that diffusivity is enhanced near the barotropic fronts where discontinuities are large.



7 Conclusions

Following Huynh (2007), a novel nodal finite element method for continuous and discontinuous elements has been constructed using a robust derivative operator and discontinuous penalization. The resulting methodology can be used for straightforward

⁵ discretization of partial differential equations in either conservative or non-conservative form. A hyperviscosity operator valid for both continuous and discontinuous elements was also presented that would provide a mechanism for numerical stabilization and the removal of grid-scale noise. Two versions with discontinuous elements were studied, using either the g_1 and g_2 flux correction function for distribution of boundary fluxes and penalty across nodal points. The resulting method was then applied to the 2-D shallow-water equations in cubed-sphere geometry and tested on a suite of test problems.

From the Williamson et al. (1992) test case suite, steady-state geostrophically balanced flow and zonal flow over an isolated mountain were examined, in addition to

- the barotropic instability test of Galewsky et al. (2004). The method was shown to be stable and accurate for both continuous and discontinuous elements, with fourth-order convergence being verified for cubic basis functions. Discontinuous penalization was shown to be necessary for stability and for maintaining the correct order of accuracy of the discontinuous method. Overall the discontinuous elements required a smaller time
- step than continuous elements, although all methods led to similar error norms when hyperviscosity was active. When hyperviscosity was deactivated, the discontinuous method exhibited better error norms than the continuous approach and discontinuous penalization was shown to be sufficient for stability of the method even for complex flows. Nonetheless, differences between all three approaches appeared minor, and all methods performed well for this suite of tests.

The non-conservative discontinuous element formulation has been shown to be a potential candidate for future atmospheric modeling. It has the advantage of requiring fewer parallel communications than continuous methods, and exhibits stability even



when hyperviscosity is not used for explicit stabilization. However, with the reduced time step size it remains unclear whether the discontinuous formulation would be computationally competitive with continuous element methods.

The method discussed in this paper has been implemented in the Tempest atmos spheric model, available from https://github.com/paullric/tempestmodel.

Appendix A: Derivation of the viscosity operator

In this appendix the derivation of the discrete viscosity operator is provided for scalar and vector hyperviscosity on a Riemannian manifold.

A1 Scalar viscosity

¹⁰ From the natural quadrature rule that arises from the nodal finite element formulation, the left-hand-side of Eq. (21) is discretized as

$$\iint f\phi_{(i,j)} dA = \iint f\tilde{\phi}_{(i)}(\alpha)\tilde{\phi}_{(j)}(\beta) dA = f_{(i,j)}w_i w_j J\Delta\alpha\Delta\beta,$$
(A1)

and so, pointwise, the $\ensuremath{\mathcal{H}}$ operator is applied via

$${}_{15} \quad f_{(i,j)} = \frac{\nu}{w_i w_j \Delta \alpha \Delta \beta J(\alpha_i, \beta_j)} \left[\oint_{\partial Z} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} - \iint_{Z} \nabla \phi_{(i,j)} \cdot \nabla \psi dA \right].$$
(A2)

The area integral term in Eq. (A2) is then computed:

$$\iint \nabla \phi_{(i,j)} \cdot \nabla \psi dA = \iint \nabla_{\rho} \phi \nabla^{\rho} \psi dA = \iint \frac{\partial \phi_{(i,j)}}{\partial \alpha} \nabla^{\alpha} \psi + \frac{\partial \phi_{(i,j)}}{\partial \beta} \nabla^{\beta} \psi dA,$$
$$= \Delta \alpha \Delta \beta \sum_{m=0}^{n_{\rho}-1} \sum_{n=0}^{n_{\rho}-1} \tilde{\phi}_{(j)} \frac{\partial \tilde{\phi}_{(j)}}{\partial \alpha} \nabla^{\alpha} \psi J w_{m} w_{n}|_{\alpha = \alpha_{m}, \beta = \beta_{n}}$$
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$$+ \Delta \alpha \Delta \beta \sum_{m=0}^{n_p-1} \sum_{n=0}^{n_p-1} \tilde{\phi}_{(i)} \frac{\partial \tilde{\phi}_{(j)}}{\partial \beta} \nabla^{\beta} \psi J w_m w_n \big|_{\alpha = \alpha_m, \beta = \beta_n}$$

$$= \Delta \alpha \Delta \beta w_j \sum_{m=0}^{n_p-1} \frac{\partial \tilde{\phi}_{(i)}}{\partial \alpha} \nabla^{\alpha} \psi J w_m \big|_{\alpha = \alpha_m, \beta = \beta_j}$$

$$+ \Delta \alpha \Delta \beta w_j \sum_{n=0}^{n_p-1} \frac{\partial \tilde{\phi}_{(j)}}{\partial \beta} \nabla^{\beta} \psi J w_n \big|_{\alpha = \alpha_j, \beta = \beta_n}$$

⁵ From Eqs. (A2), (A3), and (23) then follows. The boundary integral term in Eq. (A2) takes the form

$$\oint_{\partial Z} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} = \int_{\partial Z_R} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} + \int_{\partial Z_T} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} + \int_{\partial Z_L} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} + \int_{\partial Z_B} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S},$$
(A4)

where *R*, *T*, *L* and *B* denote the right, top, left and bottom edges, respectively. The quantity $d\mathbf{S} = \mathbf{N}d\ell$ denotes the normal vector to the edge with magnitude equal to the infinitesimal length element. Only the covariant components of the face normals need to be known, at each edge given by

$$N_{\rho}^{R} = \left(\frac{1}{\sqrt{g^{\alpha\alpha}}}, 0\right), \quad N_{\rho}^{T} = \left(0, \frac{1}{\sqrt{g^{\beta\beta}}}\right),$$
¹⁵
$$N_{\rho}^{L} = \left(-\frac{1}{\sqrt{g^{\alpha\alpha}}}, 0\right), \quad N_{\rho}^{B} = \left(0, -\frac{1}{\sqrt{g^{\beta\beta}}}\right),$$

(A3)

(A5)

The infinitesimal length element along each edge is given by the covariant metric,

$$d\ell^{R} = \sqrt{g_{\beta\beta}} d\beta, \quad d\ell^{T} = \sqrt{g_{\alpha\alpha}} d\alpha, \quad d\ell^{L} = \sqrt{g_{\beta\beta}} d\beta, \quad d\ell^{B} = \sqrt{g_{\alpha\alpha}} d\alpha.$$
(A6)

Then along the right edge, using the nodal discretization of the boundary integral,

$$\int_{\partial Z_R} \phi_{(i,j)} \nabla \psi \cdot d\mathbf{S} = \delta_{i,n_p-1} \sum_{n=0}^{n_p-1} \tilde{\phi}_{(j)}(\beta) \nabla^{\alpha} \psi N_{\alpha}^R w_n \sqrt{g_{\beta\beta}} \Delta \beta \Big|_{\alpha = \alpha_{n_p-1},\beta = \beta_n}$$

$$= \delta_{i,n_p-1} w_j \Delta \beta J \nabla^{\alpha} \psi |_{\alpha = \alpha_{n_p-1},\beta = \beta_j},$$
(A7)

where we have used $g_{\beta\beta} = J^2 g^{\alpha\alpha}$. Repeating for all edges and using Eq. (A2) then yields Eq. (24).

A2 Vector viscosity 10

The area integral that appears on the left-hand-side of Eqs. (29) and (30) takes the form

$$\iint_{Z} \boldsymbol{f} \cdot \boldsymbol{\phi}_{(i,j)}^{(\alpha)} dA = \iint_{Z} f^{\alpha} \tilde{\phi}_{(i)}(\alpha) \tilde{\phi}_{(j)}(\beta) dA = f_{(i,j)}^{\alpha} w_{i} w_{j} J \Delta \alpha \Delta \beta, \qquad (A8)$$
$$\iint_{Z} \boldsymbol{f} \cdot \boldsymbol{\phi}_{(i,j)}^{(\beta)} dA = \iint_{Z} f^{\beta} \tilde{\phi}_{(i)}(\alpha) \tilde{\phi}_{(j)}(\beta) dA = f_{(i,j)}^{\beta} w_{i} w_{j} J \Delta \alpha \Delta \beta. \qquad (A9)$$

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A2.1 Discretization of the area integral

In nodal form, the divergence expands as

$$(\nabla \cdot \boldsymbol{\phi}_{(i,j)}^{(\alpha)}) = \frac{1}{J} \frac{\partial}{\partial \alpha} \left(J g^{\alpha \alpha} \phi_{(i,j)\alpha} \right) + \frac{1}{J} \frac{\partial}{\partial \beta} \left(J g^{\beta \alpha} \phi_{(i,j)\alpha} \right)$$
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(A10)

$$=\frac{\tilde{\phi}_{(j)}(\beta)}{J}\frac{\partial}{\partial\alpha}\left(Jg^{\alpha\alpha}\tilde{\phi}_{(i)}(\alpha)\right)+\frac{\tilde{\phi}_{(i)}(\alpha)}{J}\frac{\partial}{\partial\beta}\left(Jg^{\beta\alpha}\tilde{\phi}_{(j)}(\beta)\right),$$

and so

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$$\begin{split} \iint_{\mathcal{Z}} (\nabla \cdot \boldsymbol{\phi}_{(i,j)}) (\nabla \cdot \boldsymbol{u}) \mathrm{d}A \\ &= \Delta \alpha \Delta \beta \sum_{m=0}^{n_p - 1} \sum_{n=0}^{n_p - 1} \left[\frac{\tilde{\phi}_{(j)}(\beta_n)}{J} \frac{\partial}{\partial \alpha} \left(J g^{\alpha \alpha} \tilde{\phi}_{(i)}(\alpha) \right) \right. \\ &+ \frac{\tilde{\phi}_{(i)}(\alpha_m)}{J} \frac{\partial}{\partial \beta} \left(J g^{\beta \alpha} \tilde{\phi}_{(j)}(\beta) \right) \right] (\nabla \cdot \boldsymbol{u}) J w_m w_n \\ &= \Delta \alpha \Delta \beta w_j \sum_{m=0}^{n_p - 1} J g^{\alpha \alpha} \frac{\mathrm{d} \tilde{\phi}_{(i)}}{\mathrm{d} \alpha} (\nabla \cdot \boldsymbol{u}) w_m \bigg|_{\alpha = \alpha_m, \beta = \beta_j} \\ &+ \Delta \alpha \Delta \beta w_j \sum_{n=0}^{n_p - 1} J g^{\beta \alpha} \frac{\mathrm{d} \tilde{\phi}_{(j)}}{\mathrm{d} \beta} (\nabla \cdot \boldsymbol{u}) w_n \bigg|_{\alpha = \alpha_i, \beta = \beta_n} \end{split}$$

¹⁰ Further, the radial component of the vorticity expands as

$$(\nabla \times \boldsymbol{\phi}_{(i,j)})^{r} = -\frac{1}{J} \frac{\partial \phi_{(i,j)\alpha}}{\partial \beta} = -\frac{\tilde{\phi}_{(i)}}{J} \frac{\mathrm{d}\tilde{\phi}_{(j)}}{\mathrm{d}\beta}$$

and so

$$\iint_{\mathcal{Z}} (\nabla \times \boldsymbol{\phi}_{(i,j)})^r (\nabla \times \boldsymbol{u})_r \mathrm{d}A$$

(A11)

(A12)

(A13)

$$= \Delta \alpha \Delta \beta \sum_{m=0}^{n_p-1} \sum_{n=0}^{n_p-1} \left[-\frac{\tilde{\phi}_{(i)}(\alpha_m)}{J} \frac{\mathrm{d}\tilde{\phi}_{(j)}}{\mathrm{d}\beta} \right] (\nabla \times \boldsymbol{u})_r J w_m w_n \bigg|_{\alpha = \alpha_m, \beta = \beta_n}$$
$$= -\Delta \alpha \Delta \beta w_i \sum_{n=0}^{n_p-1} \left. \frac{\mathrm{d}\tilde{\phi}_{(j)}}{\mathrm{d}\beta} (\nabla \times \boldsymbol{u})_r w_n \right|_{\alpha = \alpha_i, \beta = \beta_n}$$
(A14)

Combining Eqs. (A8), (A12) and (A14) then gives Eq. (32). An analogous procedure $_{5}$ for β leads to Eq. (33).

A2.2 Discretization of the boundary integral

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Using Eqs. (A5) and (A6) and $\sqrt{g_{\beta\beta}} = J\sqrt{g^{\alpha\alpha}}$, the contour integral in Eq. (29) along the right edge becomes

$$\int_{10} (\nabla \cdot \boldsymbol{u}) \boldsymbol{\phi}_{(i,j)}^{(\alpha)} \cdot d\mathbf{S} = \delta_{i,n_p-1} (\nabla \cdot \boldsymbol{u}) g^{\alpha \alpha} J w_j \Delta \beta \big|_{\alpha = \alpha_{n_p-1}, \beta = \beta_j},$$
(A15)

and along the top edge, also using $\sqrt{g_{\alpha\alpha}} = J\sqrt{g^{\beta\beta}}$,

$$\int_{\partial \mathcal{Z}_{T}} (\nabla \cdot \boldsymbol{u}) \boldsymbol{\phi}_{(i,j)}^{(\alpha)} \cdot d\mathbf{S} = \delta_{j,n_{p}-1} (\nabla \cdot \boldsymbol{u}) g^{\alpha\beta} J w_{i} \Delta \alpha \big|_{\alpha = \alpha_{i},\beta = \beta_{n_{p}-1}}$$
(A16)

Repeating for all edges and using Eq. (A8), the complete boundary integral for divergence damping then leads to the divergence damping contribution to Eq. (34). An analogous procedure for test function $\boldsymbol{\phi}_{(i,j)}^{(\beta)}$ leads to Eq. (35).

For vorticity damping, along the right edge Eq. (30) reads

$$\int_{\partial Z_{R}} (\nabla \times \boldsymbol{u}) \times \boldsymbol{\phi} \cdot \mathrm{d}\mathbf{S} = \delta_{i,n_{p}-1} \, \epsilon^{\beta r \alpha} (\nabla \times \boldsymbol{u})_{r} \phi_{(i,j)\alpha} N_{\beta} w_{j} \sqrt{g_{\beta\beta}} \Delta \beta \big|_{\alpha = \alpha_{n_{p}-1},\beta = \beta_{j}} = 0.$$

and along the top edge,

$$\int_{\partial \mathcal{Z}_{T}} (\nabla \times \boldsymbol{u}) \times \boldsymbol{\phi} \cdot \mathrm{d} \mathbf{S} = \delta_{j, n_{p}-1} \, \epsilon^{\beta r \alpha} (\nabla \times \boldsymbol{u})_{r} \phi_{(i,j)\alpha} N_{\beta} w_{i} \sqrt{g_{\alpha \alpha}} \Delta \alpha \Big|_{\alpha = \alpha_{i}, \beta = \beta_{n_{p}-1}},$$

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 $= \delta_{j,n_p-1} (\nabla \times \boldsymbol{u})_r w_i \Delta \alpha.$

Repeating for all edges and using Eq. (A8) then leads to the vorticity damping constribution to Eq. (34). An analogous procedure for test function $\boldsymbol{\phi}_{(i,i)}^{(\beta)}$ leads to Eq. (35).

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Figure 3. L_2 errors in Williamson et al. (1992) Test Case 2, steady-state geostrophically balanced flow, for $n_e = 4$ and $n_p = 4$ after a 5 day integration period. Contour spacing for plot (a) is 1 m. Contour spacing for all other plots is 0.5 m. The zero line is enhanced. Long dashed lines show the cubed-sphere grid.





Figure 4. L_2 error time series for geostrophically balanced flow on the cubed-sphere for $n_e = 16$ and $n_p = 4$ over a 5 day integration period for all continuous and discontinuous schemes.





Figure 5. L_2 errors for geostrophically balanced flow on the cubed-sphere at various resolutions with $n_p = 4$ over a 5 day integration period. In **(a)** errors due to hyperviscosity dominate and so all simulations have approximately equal error leading to coincident lines. In **(b)** unstable simulations have been removed.





Figure 6. Height field with $n_e = 16$ and $n_p = 4$ at day 15 for zonal flow over an isolated mountain with (a) continuous elements and hyperviscosity (reference solution). Height difference plot from reference solution with $n_e = 16$ at day 15 for (b) discontinuous g_2 elements with hyperviscosity, (c) discontinuous g_1 elements with hyperviscosity, (d) continuous elements without hyperviscosity, (e) discontinuous g_2 elements without hyperviscosity and (f) discontinuous g_1 elements without hyperviscosity. The time step used for these runs was (a, d) $\Delta t = 480$ s, (b, e) $\Delta t = 240$ s and (c, f) $\Delta t = 120$ s. Discontinuous penalization was used for both discontinuous schemes. Contour spacing is 1 m in all difference plots with the zero line removed. Long dashed lines show the cubed-sphere grid.







Figure 7. Normalized total energy and potential enstrophy change for the zonal flow over an isolated mountain test with $n_e = 16$ and $n_p = 4$ over a 15 day simulation. In (a) all simulations show roughly equivalent energy and enstrophy loss and so all lines are coincident. In (c) and (d) the simulation with continuous elements is beginning to experience instability, leading to total energy and enstrophy growth after approximately 6 days simulation time.



Figure 8. Relative vorticity field with $n_e = 32$ and $n_p = 4$ at day 6 for the barotropic instability test with (a) continuous elements and hyperviscosity (reference solution). Relative vorticity difference plot from reference solution with $n_e = 16$ at day 6 for (b) discontinuous g_2 elements with hyperviscosity, (c) discontinuous g_1 elements with hyperviscosity, (d) continuous elements without hyperviscosity, (e) discontinuous g_2 elements without hyperviscosity and (f) discontinuous g_1 elements without hyperviscosity and (f) discontinuous g_1 elements without hyperviscosity. The time step used for these runs was (a, d) $\Delta t = 150$ s, (b, e) $\Delta t = 75$ s and (c, f) $\Delta t = 50$ s. Discontinuous penalization was used for both discontinuous schemes. Contour spacing in all plots is 2×10^{-5} s⁻¹ with the zero line removed. Long dashed lines show the cubed-sphere grid.





Figure 9. Normalized total energy and enstrophy change for the barotropic instability test with $n_e = 16$ and $n_p = 4$ over a 12 day simulation. In (c) and (d) the continuous element simulation fails after approximately 6 days, leading to unbounded growth in energy and enstrophy. The time step used for these runs was (a, d) $\Delta t = 300$ s, (b, e) $\Delta t = 150$ s and (c, f) $\Delta t = 75$ s. Discontinuous penalization was used for both discontinuous schemes.

