1A simplified Permafrost-Carbon model for long-term 2climate studies with the CLIMBER-2 coupled earth system 3model

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15Abstract

16We present the development and validation of a simplified permafrost-carbon mechanism for 17use with the land surface scheme operating in the CLIMBER-2 earth system model. The 18simplified model estimates the permafrost fraction of each grid cell according to the balance 19between modelled cold (below 0°C) and warm (above 0°C) days in a year. Areas diagnosed as 20permafrost are assigned a reduction in soil decomposition rateay, thus creating a slow 21accumulating soil carbon pool. In warming climates, permafrost extent reduces and soil 22decaydecomposition rates increases, resulting in soil carbon release to the atmosphere. Four 23accumulation/decompositionay rate settings are retained for experiments within the 24CLIMBER-2(P) model, which are tuned to agree with estimates of total land carbon stocks 25today and at the last glacial maximum. The distribution of this permafrost-carbon pool is in 26broad agreement with measurement data for soil carbon contenteentration per climate 27condition. The level of complexity of the permafrost-carbon model is comparable to other 28components in the CLIMBER-2 earth system model.

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1 Introduction

Model projections of climate response to atmospheric CO_2 increases predict that high northern latitudes experience amplified increases in mean annual temperatures compared to mid-latitudes and the tropics (Collins et al., 2013). The large carbon pool locked in permafrost soils of the high northern latitudes (Tarnocai et al., 2009) and its potential release on thaw (Schuur et al, 2008, Harden et al, 2012) make permafrost and permafrost related carbon an important area of study. Thus far permafrost models that have been coupled within land-surface schemes have relied on thermal heat diffusion calculations from air temperatures into the ground to diagnose permafrost location and depth within soils (Koven et al., 2009, Wania et al., 2009a, Dankers et al., 2011, Ekici et al., 2014). This approach requires a good physical representation of topography, soil types, snow cover, hydrology, soil depths and geology to give a reliable output (Riseborough et al, 2008). The physically based approach lends itself to smaller grid cells and short timescale snapshot simulations for accuracy of model output. The aim of this work is to develop a simplified permafrost-carbon mechanism that is suitable for use within the CLIMBER-2 earth system model (Petoukhov et al., 2000, Ganopolski et al., 2001), and also suitable for long timescale experiments. The CLIMBER-2 model with a coupled permafrost-carbon mechanism, combined with proxy marine, continental and ice core data provide a means to model the past dynamic contribution of permafrost-carbon within the carbon cycle.

1.1 Physical permafrost modelling

Several land surface models diagnose permafrost and concomitant higher soil carbon concentrations (Wania et al., 2009a,b, Koven et al., 2009, Dankers et al., 2011). These models are usually driven with climatic variables output from global climate models (GCMs) and grid cell sizes are the order of 2.5° (the order of hundreds of km) for global simulations. These models use surface air temperature and thermal diffusion calculations to estimate the soil temperature at depths, and from this the depth at which water freezes in the soil. An active layer thickness (ALT) can be determined from this, and soil carbon dynamics are calculated for the unfrozen parts of the soil. These land surface models may also include a representation of peatlands (Sphagnum dominated areas, and wetlands), which store an estimated 574GtC in

northern peatlands (Yu et al., 2010), of which a large part are located within the permafrost region (Northern Circumpolar Atlas: Jones et al., 2009). The dynamic response of carbon in permafrost soils subject to (rapid) thaw is not well constrained (Schuur et al., 2011) and field studies and modelling studies still seek to better constrain this. Riseborough et al. (2008) reviewed advances in permafrost modelling identifying that modelling of taliks (pockets or layers of thawed soil at depth which do not refreeze in winter) complicates physical modelling. The importance of soil depth (lower boundary conditions) was also highlighted, Alexeev et al. (2007) demonstrated that the longer the simulation, the larger the soil column depth required in order to produce reliable thermal diffusion-based temperature calculations: A 4m soil depth can produce reliable temperature predictions for a 2-year simulation, and for a 200-year simulation a 30m soil depth would be required. Van Huissteden and Dolman (2012) reviewed Arctic soil carbon stocks estimates and the permafrost-carbon feedback. They note the processes by which carbon loss occurs from thawing permafrost including active layer thickening (also caused by vegetation disturbance), thermokarst formation, dissolved organic carbon (DOC) export, fire and other disturbances. Their conclusions were that "current models are insufficiently equipped to quantify the carbon release at rapid thaw of ice-rich permafrost" which within a model would require accurate representation of local topography, and hydrology as well as a-priori knowledge of the ice-content in the soils. Koven et al. (2013) further highlighted the importance of soil depths and of soil and snow dynamics on the accuracy of permafrost extent in CMIP (coupled model intercomparison project) models. The high computing power requirements of physical models at grid sizes where output could be an acceptable confidence level makes these kind of models currently unsuitable for long timescale dynamically coupled modelling studies. Current CMIP model projections of future climate reported by the IPCC (Stocker et al., 2013) do not include a possible feedback mechanism from permafrost-soils. There exists some studies of the possible future response of carbon in soils of the permafrost zone which do not rely on heat diffusion calculations down the soil column (Scheafer et al 2011, Harden et al 2012, Schneider von Diemling et al 2012). However, these kind of treatments are not suitable for the study of paleoclimate as they require a-priori knowledge of soil organic carbon content (socc) of the soils at relatively high resolution. This is currently not yet feasible when considering last glacial maximum soils (for example).

1.2   Past permafrost carbon

Zimov et al. (2009) created a physical model for carbon dynamics in permafrost soils. This 1 dimensional model was intended to simulate the carbon dynamics specifically in the permafrost region. Carbon input to the soil originates from root mortality and aboveground litter transport via organic carbon leaching and mixing by bioturbation and cryoturbation. Loss of carbon from the soils occurs via decomposition. The frozen soil active layer depth also determines the maximum root depth of vegetation. Modelled soil carbon profiles were similar to those found in present day ground data for similar conditions. Results of experiments where the temperature zone was changed linearly from Temperate to Cold, then snapped back to Temperate (mimicking a glaciation then termination in Europe) demonstrated the characteristic of slow carbon accumulation in permafrost soils, and fast carbon release on thaw. An important result of this study was that the main driver of the high carbon content in the frozen soils was the low decomposition rates, which reduce further with depth in the soil column, as a result of permafrost underlying an active layer which cycles between freezing and thawing in the year. To estimate the amounts of carbon stored on the land and the ocean at LGM, Ciais et al. (2012) used $\delta^{18}O$ data and carbon cycle modelling to calculate gross primary productivity (GPP) at LGM and in the present day. They estimate that the total land carbon stocks had increased by 330GtC since LGM, but that 700GtC less was presently stored as inert land carbon stocks compared to LGM. Zech et al. (2011) studying two permafrost-loess paleosol sequences concluded that on glacial timescales the effect of reduced biomass productivity may be of secondary importance to the effect of permafrost preserving soil organic matter when considering total land carbon stocks. The Ciais et al. (2012) inert land carbon stock may represent this permafrost carbon pool.

1.3   Carbon cycle responses during a deglaciation

The current leading hypothesis for the fast rise in atmospheric CO_2 in the last glacial termination (17.5kyr to 12kyr BP) (Monnin et al,. 2001) is that carbon was outgassed from the ocean via a reorganisation of ocean circulation that released a deep carbon store in the Southern ocean (Sigman et al., 2010, Fischer et al., 2010, Shakun et al., 2012). The Zimov et al. (2009) model, Ciais et al. (2012) and the $\delta^{13}CO_2$ record for the last termination (Lourantou et al., 2010, Schmitt et al., 2012) suggest that permafrost may have had a role to play in the

dynamics of the carbon cycle during the last termination. At the start of glacial termination 1, (from the end of the last glacial maximumperiod, and the transition to the present interglacial climate, starting at ~17.5kyrBP) a fast drop in the $\delta^{13}CO_2$ of the atmosphere was seen from ice core data. Soil carbon has a $\delta^{13}C$ signature depleted by around 18‰ compared to the atmosphere (Maslin and Thomas, 2003), a release of carbon from thawing permafrost soils is a possible explanation for the $\delta^{13}CO_2$ record.

In this study, we aim to develop a permafrost-carbon model for long-term paleoclimate studies. We present the development of the permafrost-carbon model and validate it with present-day ground measurement data for soil carbon concentrations in high northern latitude soils.

2 Model development

2.1 CLIMBER-2 standard model

The CLIMBER-2 model (Petoukhov et al., 2000, Ganopolski et al., 2001) consists of a statistical-dynamical atmosphere, a 3-basin averaged dynamical ocean model with 21 vertical uneven layers and a dynamic global vegetation model, VECODE (Brovkin et al., 1997). The model version we use is as Bouttes et al. (2012) and Brovkin et al. (2007). The model can simulate around 20kyrs in 10 hours (on a 2.5GHz processor) and so is particularly suited to palaeoclimate and long timescale fully coupled modelling studies. The version of CLIMBER-2 we use (Bouttes et al., 2009, 2012) is equipped with a carbon-13 tracer in its global carbon cycle model, ice sheets and deep sea sediments (allowing the representation of carbonate compensation) in the ocean waters (Brovkin et al. 2007) as well as ocean biogeochemistry. The ice sheets are determined by scaling ice sheets size between the Last Glacial Maximum (LGM) condition from Peltier (2004) and the Pre-Industrial (PI) ice sheet using the sea level record to determine land ice volume (Bouttes et al. 2012). The dynamic vegetation model has two plant functional types (PFTs); trees and grass, plus bare ground as a dummy type. It has two soil pools; "fast" and "slow" representing litter and humus respectively. Soils have no depth, and are only represented as carbon pools. The carbon pools of the terrestrial vegetation model are recalculated once every year. The grid cell size of the atmospheric and land surface models are approximately 51° longitude (360/7 degrees) by 10° latitude. Given the long time-

scale applications of the CLIMBER-2 model and the very large grid size for both atmosphere and land, none of the existing approaches of modelling permafrost-carbon are suitable. Thermal diffusion based physical models would produce results with unacceptable uncertainties (error bounds) compounding over long timescales. To create the permafrost model for CLIMBER-2, the driving mechanism creating high soil carbon concentrations is a reduced soil ~~decay~~decomposition rate in the presence of permafrost, identified by Zimov et al. (2009) as ~~the~~a primary driver in soil carbon accumulation for these soils.

2.2 ~~P~~permafrost-carbon mechanism

CLIMBER-2 grid cells for the land surface model are very large. Two options are available to diagnose permafrost location: either by creating a sub-grid within the land grid or by diagnosing a fraction of each grid cell as permafrost which is the approach followed here. Conceptually the sub-grid model represents keeping permafrost-carbon separate from other soil carbon, and the re-mixing model represents mixing all soil carbon in a grid cell. Figure 1 shows a schematic representation of a CLIMBER-2 grid cell, and how the permafrost fraction of the land is defined relative to other cell parameters when permafrost is diagnosed as a fraction of each cell. For the carbon cycle the calculations of carbon fluxes between atmosphere and land grid cells are for the cell mean. Each grid cell contains cell-wide soil-carbon pools (fast soil or slow soil, per plant functional type), so to account for permafrost-soils either a new permafrost-soil pool needs to be created for each grid cell, or permafrost soils can be mixed back into the standard soil pools at every time-step (Fig. 2a). If the land grid is downscaled a third option is available, w~~h~~ere each sub-grid cell maintains an individual soil carbon pool (Fig. 2b). This, however, requires an increase in computational time which slows down the run speed of the model.

The soil carbon in CLIMBER-2 is built from vegetation mortality and soil carbon dec~~ay~~composition is dependent on surface air temperature, the total amount of carbon in the pool and the source of carbon (i.e. trees or grass). ~~This is shown in eq. (1) and (2), where δt is the model time step taken as 1 year for the land vegetation carbon cycle.~~Equation 1 shows how carbon content of each pool is calculated in CLIMBER-2. The pool is denoted by C_i where pool C_1 is plant green phytomass (leaves), C_2 is plant structural biomass (stems and roots), C_3 is a soil pool made of litter and roots residue and finally C_4 is a soil pool made of humus and residues of woody-type stems and roots. Hereafter, the soil pools will be referred

to as $Soil_{fast}$ for C_3 and $Soil_{slow}$ for C_4. The equations (eq. 1) are numerically solved in the model with a timestep of one year.

$$\frac{dC_1^p}{dt} = k_1^p N - m_1^p C_1^p$$

$$\frac{dC_2^p}{dt} = \left(1 - k_1^p\right) N - m_1^p C_2^p$$

$$\frac{dC_3^p}{dt} = k_2^p m_1^p + k_3^p m_2^p C_2^p - m_3^p C_3^p ;$$

$$\frac{dC_4^p}{dt} = k_4^p m_2^p C_2^p + k_5^p m_3^p C_3^p - m_4^p C_4^p \tag{1}$$

where

C is the carbon content in the pool (kgC/m²)

k are allocation factors ($0 < k_i < 1$)

N is net primary productivity (kgC/m²/yr)

m_i are decomposition rates for the carbon in each pool, (/yr)

p is the plant functional type (trees or grass)

The residence time of carbon in soil pools is 1/m, we call this τ. For soil carbon pools C_3 and C_4, tau is:

$$\tau_i = n_i^p \cdot e^{\left(-ps5\left(T_{mat} - T_{ref}\right)\right)}, \tag{2}$$

where

i is the soil pool

n is a multiplier dependent on the pool type

ps5 is a constant, = 0.04

T_{mat} is mean annual temperature at the surface-air interface, °C

T_{ref} is a reference soil temperature, fixed in CLIMBER-2 at 5°C

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2 ~~The characteristic soil decay time, τ, is dependent upon the mean annual surface air~~
3 ~~temperature (T_{mat}), the temperature of the soil (T_{soil}) and two constants c and ps5. The term ps5~~
4 ~~is fixed at 0.04.~~ The value of n~~c~~ is dependent upon the soil carbon type, being 900 for all slow
5 soils, 16 for fast tree PFT (plant functional type) soil and 40 for fast grass PFT soil. The
6 ~~destruction times (~~dec~~ay~~omp~~s~~oition rates~~)~~ for organic residue in the ~~(~~soils~~)~~ are most strongly
7 based on soil microbial activity and the relative amount of lignin in the residues
8 (Aleksandrova 1970, Brovkin et al., 1997). Increasing the residence time of carbon in
9 permafrost affected soils reduces the dec~~ay~~omposition rates and results in higher soil carbon
10 concentrations. We ~~chose to~~ modify the residence time, $\tau_{3,4}$, in the presence of permafrost
11 using:

12 $$\tau_{(perma\,i)} = \tau_i \left(a.F_{sc} + b \right) \qquad (3)$$

13 Where a and b are tuneable dimensionless constants, F_{sc} is frost index, a value between 0 and
14 1, which is a measure of the balance between cold and warm days in a year, and is shown in
15 eq. (4) where DDF are degree-days below 0°C and DDT are degree-days above 0°C in a year
16 for daily average surface air temperature (Nelson and Outcalt 1987). DDF and DDT have
17 units of °C.days/yr. Snow cover acts to insulate the ground against the coldest winter
18 temperatures and reduces permafrost extent (Zhang 2005, Gouttevin et al. 2012). The
19 subscript sc in eq. (3) and (4) indicate that these values are corrected for snow cover and
20 represent the ground-snow interface conditions not the snow surface-air interface conditions.

21 $$F_{sc} = \frac{DDF_{sc}^{(1/2)}}{DDF_{sc}^{(1/2)} + DDT^{(1/2)}} \qquad (4)$$

22 Including the frost-index as a multiplier (in eq. 3) for the permafrost soils carbon residence
23 time was needed to ~~make the model more tunable and so more controllable for global soil~~
24 ~~carbon content~~allow the correct tuning of the model and allow for total land carbon stocks to
25 be in agreement with data estimates. Therefore, ~~T~~the dec~~ay~~omposition rates of soil carbon in
26 permafrost affected cells are dependent on~~:~~ mean annual temperature, (as with non-permafrost
27 soils~~cells)~~. ~~They are also dependent upon,~~ the fractional cover of permafrost in the cell and
28 the frost index~~,~~(a measure of the severity of coldness in a year). This $\tau_{perma\,i}$ (eq. 3) is only
29 applied to the soils that are diagnosed as permafrost. The remainder of the carbon dynamics in
30 land carbon pools was unaltered from the standard model.

2.3 1D model

We test~~first developed~~ a one dimensional model to compare~~test~~ the effect the different assumptions made for the model design. The total carbon stock in a grid cell using each method (sub-grid and re-mixing) was compared for equilibrium soil carbon content~~concentration~~ by running the 1D model for 100,000 simulation years. The carbon input from vegetation mortality is the same for both the re-mixing and the sub-grid model, as is rainfall. The variables of permafrost fraction, mean annual air-~~-~~surface interface temperature (MA~~A~~T) and frost index are varied one at a time to compare the model outputs. The constants a and b for eq. (3) were ~~both~~ set to 20 for $Soil_{fast}$~~fast soils~~ and 2 for $Soils_{slow}$ ~~slow soils~~ (so a and b have matching values) for the permafrost soils, and as the standard model for the non-permafrost soils. These values for a and b were chosen to compare the performance of the two methods, not for accurate soil carbon concentrations. They result in total carbon in the $Soil_{fast}$ and the $Soil_{slow}$ carbon pools being approximately equal to eachother, which studies suggest is appropriate (Harden et al 2012, Zimov et al 2009).

Figure 3 shows the output for carbon content along a permafrost gradient, taking into account the relationship between permafrost-fraction, frost index and mean annual temperature. More detail on this figure is available in appendix A. The relationship between permafrost-fraction and frost index is defined as that determined in this study for the CLIMBER-2 model in section 3.2. As shown in eq. (1), NPP exerts a control on soil carbon content via input from plant material, although note that figure 3 shows model output for fixed NPP. For both approaches, carbon content increases non-linearly along the permafrost gradient (increasing permafrost fraction of the grid cell). The re-mixing model shows a stronger non-linear behaviour than the sub-grid model.

~~Figure 3 shows the results of sensitivity experiments comparing these two approaches for one CLIMBER-2 land grid cell. Baseline settings of permafrost fraction = 0.6, Frost index = 0.6, mean annual air temperature = -10°C have a relative soil carbon concentration of 1. The sub-grid method outputs a linear-type relationship between permafrost fraction and soil carbon stored. The re-mixing model outputs lower soil carbon concentration for lower fractional permafrost coverage rising quickly when permafrost fraction approaches 1. For the air temperature as variable, the two approaches show a similar response. For higher frost index~~

1 the soil carbon concentration increases, with the sub-grid method showing slightly more
2 sensitivity than the re-mixing model.

3 1D model vs real World

4 In the real world case, the three variables used to drive the 1D model are not independent of
5 each other. An increasing permafrost fraction would normally be associated with an
6 increasing frost-index (Nelson and Outcalt 1987) and a decreasing mean annual air
7 temperature. Figure 4 shows a schematic representation of a permafrost gradient (Fig 4a) and
8 for three grid cells, with 20%, 50% and 100% permafrost coverage (Fig 4b). As permafrost
9 coverage reduces, ALT (active layer thickness) increases, with continuous-zone permafrost
10 having mean ALT less than half that of discontinuous/sporadic-zone permafrost (Jones et al.,
11 2009). This active layer is where soil accumulation and decay can occur in the warm season.
12 In general, continuous permafrost is located further north and in more extreme climate
13 conditions, resulting in a shorter warm season. The soil decay rate in discontinuous or isolated
14 region permafrost will be higher than the soil decay rate in the continuous zone, even if
15 carbon input to the soil is equal for both. This would result in a non-linear relationship
16 between equilibrium soil carbon content for increasing permafrost cover, shown in Figure 4c,
17 which is for the constant MAAT, constant F_{se} condition.

18 ## 2.4   CLIMBER-2 modelled NPP

19 The comparisons of the sub-grid to re-mixing approaches shown in Figure 3 take no account
20 of reductions in input to soils via NPP in colder climates. Figure 45 shows the CLIMBER-2
21 modelled NPP and the MODIS 2000-2005 mean NPP product (Zhao et al. 2011) for the
22 present-day (PI, pre-industrial for CLIMBER output). The CLIMBER-2 vegetation model
23 shows NPP patterns similar to the MODIS dataset. The boreal forest belt seen at around 60°N
24 in the MODIS dataset is not clearly seen in the CLIMBER-2 model, mainly due to the large
25 grid cell size. In Siberia and Alaska the NPP in CLIMBER-2 is does notnot appear to be
26 overestimated. The reduced NPP in the coldest regions would tend to reduce soil carbon
27 accumulation via reduced input from plant mortality (this is shown schematically in Figure
28 4c). Also shown in figure 4 are the upscaled data point plotted against CLIMBER-2 model
29 output. The MODIS dataset represent the Earth system already subject to anthropogenic
30 forcing, where the CLIMBER-2 model output represents the natural system only. However,
31 the use of measurement based data to validate CLIMBER-2 NPP was preferred due to the

quite large model spread seen in output for numerical global dynamic vegetation models of higher complexity than CLIMBER-2. The fact that MODIS is for the present-day "perturbed" system (due to deforestation for example) may also explain some of the model-data mismatch, although we consider this is less significant for the permafrost zone low NPP soils which we are interested in. In order to test the applicability of the CLIMBER-2 model for the glacial climate, a comparison of NPP for the LGM with a more complex model can be done (as measurement data is not available).. Figure 56 shows LGM(eq) NPP for LPX (data courtesy M. Martin-Calvo, Prentice et al., 2011) and for CLIMBER-2 for an LGM climate. At LGM the NPP in Siberia and the coldest permafrost regions are non-zero in both models, and CLIMBER-2 follows the same general patterns as LPX predicts. CLIMBER-2 shows slightly lower NPP in the southern parts of Russia, possibly similar to the boreal forest belt that is not well represented in the pre-indusrial climate background NPP due to the large grid cell size. Again, the upscaled LPX data is shown plotted against CLIMBER-2 output, showing reasonable agreement on this scale. Overall at both periods, PI and LGM, CLIMBER-2 represents NPP reasonably well.

When the soil carbon content shown in figure 3 is adjusted to compensate for the reduction in NPP along a permafrost gradient and for the 0% permafrost socc data value (by multiplying relative value by 350), the resultant outputs are shown in figure 6 (more details are available in appendix A). Now, the re-mixing model shows a slight increase in total carbon along a permafrost gradient, where the sub-grid model shows a peak value at around 80% permafrost coverage. Figure 7 shows a comparison between these 1D model outputs and data for socc. The un-adjusted data is for the top 1m of soils, whereas model output represents the full soil column. As section 4.4, the model-data comparison is carried out by assuming that 40% of total soil carbon is located in the top 1m for permafrost soils (and is fully described in appendix A). From this comparison, the change in socc along a permafrost-gradient is relatively small, this is due to the combined effects of reducing soil decomposition rate and reducing NPP. Here, the re-mixing model represents quite well these changes. It may be possible to improve the performance of the sub-grid model by, for example, downscaling the climate variables also. However, this would represent a more significant change of the land biosphere model in CLIMBER-2, and increase the complexity and therefore the run speed of the model.

For the re-mixing model: at each time-step a proportion of carbon that is accumulated in the permafrost part is then sent back to decompose as standard soil. This occurs because the high carbon permafrost-soil carbon is mixed with the lower carbon standard soil in a grid cell at each time step. This can be seen as similar to that which occurs in the active layer. The active layer is the top layer of the soil that thaws in warm months and freezes in cold months. In warm months the carbon in this thawed layer is available to be decomposed at "standard" soils rates, determined by local temperature. In the re-mixing model, the relative proportion of the permafrost soil carbon that is sent to decompose as standard soil carbon reduces along a permafrost gradient. This reduction can be seen as mimicking the characteristic of a reducing active layer thickness along a permafrost gradient, which is shown in figure 7 for active layer thickness data upscaled to the CLIMBER-2 grid size. Here active layer thickness mean is shown plotted against mean frost-index (and permafrost-fraction is directly calculated from frost-index in CLIMBER-2). It must be noted that on smaller spatial scales the relationship between the mean active layer thickness and the extent of permafrost in a location may be less clear. The local conditions determine both permafrost extent and active layer thickness. Our treatment for permafrost relies entirely on the relationships between climate characteristics and soil carbon contents on the CLIMBER-2 grid scale.

Considering the added effects of soil carbon input, warm season length and active layer thickness for the 1D model, the re-mixing model better represents a real-world case although the increase in carbon concentration between 90-100% permafrost is probably exaggerated. The sub-grid model would underestimate the carbon accumulation in higher permafrost-coverage grid cells. A sub-grid method for permafrost-carbon would also require more extensive model modifications and would slow down computational speed. The more simple model, the re-mixing model, where the entire grid cell sees increased carbon concentration, requires fewer assumptions on processes controlling carbon accumulation and decay. For these reasons we selected the re-mixing model to implement into CLIMBER-2.

3   CLIMBER-2 permafrost-carbon model

We implemented eq. (3) into CLIMBER-2 using the re-mixing model. In order to study the effect of different carbon accumulation and release rates (the permafrost-carbon dynamics) in later modelling studies the decay rates soil carbon residence times can becan be tuned to

distribute the carbon more into the <u>Soil_{fast}</u><s>fast</s> pool (making a quickly responding soil carbon pool) or more into the <u>Soil_{slow}</u><s>slow</s> pool (making a more slowly responding soil carbon pool). A total of 4 dynamic settings are retained for later coupled climate studies (described in section 4<u>3.5)</u>.

3.1 Simulated climates to tune the permafrost-carbon model

Three simulated climates were used to tune and validate the permafrost-carbon model: an LGM equilibrium climate: LGM(eq), a PI equilibrium climate: PI(eq), and a PI transient climate: PI(tr) obtained at the end of a transient deglaciation from the LGM climate. These three climates allow the total soil carbon to be tuned to the estimates of Ciais et al. (2012) for the LGM and PI climate conditions, these are described in table 1.

3.2 <u>Calculating permafrost extent</u><s>Frost-index cut off to match present-day areal permafrost extent</s>

In order to obtain a relationship between calculated frost-index and the permafrost-fraction of a grid cell, measurement and ground data for frost index and permafrost location were used. For present-day mean daily surface air temperatures, the freeze and thaw indices values on a 0.5° global grid were obtained from the National Snow and Ice Data Centre (NSIDC) database (Zhang et al. 1998). Using these values for freeze and thaw index a global frost index dataset on a 0.5° grid scale was created using eq. (4). The present-day estimates of land area that are underlain by permafrost are provided by Zhang et al. 2000, using the definition of zones: "continuous" as 90-100% underlain by permafrost, "discontinuous" as 50-90% underlain by permafrost, "sporadic" as 10-50% permafrost and "isolated" as less than 10%. Zhang et al. (2000) used these zonations to provide area estimates of the total land area underlain by permafrost. Summing the total land area that has a frost index higher than a particular value and comparing this to the Zhang et al. (2000) estimate can identify the appropriate boundary between permafrost and non-permafrost soils. Figure <u>8</u><s>7</s> shows the Zhang et al. (2000) permafrost areas for the high, medium and low ranges defined by the high, medium and low % estimates of permafrost zones marked as horizontal lines. The land area indicated by green squares is the total land surface in the northern hemisphere which has a frost-index value higher (where higher indicates a colder climate) than the cut-off value

shown on the x-axis. Here the frost-index cut-off value of 0.57 shows good agreement with the medium (mean) estimate of the Zhang et al. (2000) total area of land underlain by permafrost.

3.3 Geographic permafrost distribution for the present-day

Figure 98 shows, coloured in blue, the land grid cells with a frost-index higher than 0.57 for 0.5° grid, with the north located at the centre of the map. Overlaid on this map area are the limits of the permafrost zones defined by the International Permafrost Association (IPA) (Jones et al. 2009). The frost-index value cut-off at 0.57 results in a southern limit of permafrost that represents approximately the middle of the discontinuous zone with some areas showing better agreement than others.

Figure 109 represents the upscaling of the 0.5° datasets for mean frost index and permafrost coverage to the CLIMBER-2 land grid scale. It shows the percentage of land in each CLIMBER-2 size grid cell defined as permafrost, (according to the 0.57 frost-index cut-off value shown in Fig. 8), plotted against the mean value of frost-index for the same grid cell. Circled points in Figure 9 are where the grid cell has a large fraction of ocean (more than 75%), and the milder ocean temperatures in winter reduce the mean frost-index value of the whole grid cell. The dashed line shows a well-defined sigmoid type function that relates frost index to permafrost percentage of the land. We employ this relationship to predict permafrost area in CLIMBER-2, as the frost-index can be calculated within the model from modelled daily temperatures. Permafrost fraction is thus modelled as:

$$P_{landfraction} = A\left(0.976 + \frac{\beta}{\sqrt{(1+\beta^2)}}\right) - 0.015$$

(5)

Where A and β are defined in table 2 and the model described in section 3.54. Frost index is calculated from modelled daily surface temperatures and corrected for snow-cover. The snow correction in our model is achieved done using a simple linear correction of surface-air temperature, using snow thickness to estimate the snow-ground interface temperature. This correction is based on data from Taras et al (2003). The snow correction performs reasonably well in CLIMBER-2 compared to measurement data from Morse and Burn (2010) and Zhang (2005). This is because the large grid-cell size results in non-extreme snow depths and air surface temperatures. The snow correction is described in Appendix BA. Equation (6) shows this linear model for snow correction, which is only applied for daily mean surface air

temperatures lower than -6°C. This snow-ground interface temperature is used to calculate the freeze index (DDF_{sc}) in eq. (4).

$$T_{g.i.} = T_{surf} - \frac{(T_{surf}+6).SD}{100}$$

(6)

Where $T_{g.i.}$ is ground interface temperature (°C), T_{surf} is surface air temperature (°C) and SD is snow depth (cm). Overall the effect of the snow correction within the model produced a maximum decrease in permafrost area of 8% (compared to the uncorrected version) in the most affected grid cell for the PI(eq) simulation and is therefore significant.

3.4 Permafrost extent tuning

Using the snow-corrected frost-index value, four permafrost extent models representing the range of values for permafrost area from Zhang et al. (2000) were determined. The model settings are shown in table 2 and refer to A and β from eq. (5). $P_{landfraction}$ is limited between 0 and 1, and the functions are plotted in Figure 10. These settings were identified by adjusting the sigmoid function to obtain total permafrost area values at the PI(eq) simulation similar to the Zhang et al. (2000) areal estimates of permafrost and to maximise the difference in area between the PI(eq) and LGM(eq) simulations permafrost extent. More complex models underestimate permafrost extent at LGM (Levavasseur et al., 2011, Saito et al., 2013) quite significantly and so by maximising the difference between PI and LGM permafrost, we reduce the underestimate as far as possible for~~of~~ LGM permafrost extent.

3.5 Tuning the soil-carbon model

Soil carbon conten~~teentration~~t is controlled by the balance between soil carbon uptake and soil carbon dec~~ay~~omposition. There are four soil-carbon pools in CLIMBER-2; ~~fast soil~~$Soil_{fast}$: trees derived ~~(ft)~~ and grass derived ~~(fg)~~, ~~slow soil~~$Soil_{slow}$: trees derived ~~(st)~~ and grass derived ~~(sg)~~ (eq.1). ~~The final soil-carbon pool dynamies per grid cell are calculated based on the fractional cover of trees and grass in the grid cell and soil accumulation and decay. In transient climate conditions the changes in soil carbon concentration is dependent upon the relative trend of the uptake and decay rates. The slow carbon pools have a slower decay rate than the fast carbon pools, so if there is more carbon in the fast pool than in the slow pool this carbon can be lost more quickly to the atmosphere via decay. This will apply more strongly in permafrost-thaw conditions. When permafrost thaws, that high carbon soil will see increased decay rates and fast soils will loose carbon more quickly than slow soils.~~ $Soil_{fast}$ have shorter

1 carbon residence times than Soil$_{slow}$, so soil decays more quickly in Soil$_{fast}$ pools. The tunable
2 constants a and b (eq. 3) ~~can be~~are independently ~~tuned~~applied for Soil$_{fast}$~~fast~~ and ~~slow~~Soil$_{slow}$
3 ~~soils~~, so carbon can be placed relatively more in the ~~fast~~Soil$_{fast}$ (Soil$_{slow}$~~slow~~) pool~~s~~ as required in
4 model tuning~~desired~~. Carbon is lost from permafrost soils as the permafrost fraction of a grid
5 cell reduces. If there is relatively more (less) carbon in the Soil$_{fast}$ pool, ~~T~~this results in
6 ~~permafrost~~ carbon that decays more quickly (more slowly) when the permafrost thaws. ~~It also~~
7 ~~results in carbon accumulation rates in the permafrost soils being faster (slower).~~

8 At LGM, the area of permafrost on land was larger than today (Vandenberghe et al., 2012)
9 but not much information on soil carbon has been conserved, especially if it has long since
10 decayed as a result of permafrost degradation during the last termination. To constrain the
11 total carbon content in permafrost soils we use the estimates of Ciais et al. (2012), for total
12 land carbon these are 3640±400GtC at LGM and 3970±325GtC at PI, with a total change of
13 +330GtC between LGM and PI. The standard CLIMBER-2 model predicts total land carbon
14 stocks of 1480GtC at LGM and 2480GtC at PI, showing good agreement with the active-land-
15 carbon estimates of Ciais et al. (2012) (of 1340±500GtC LGM and 2370±125 GtC PI). Any
16 'new' soil carbon is created via the permafrost-carbon mechanism and is assumed to be
17 equivalent to the inert land carbon pool estimates of Ciais et al. (2012). However, the dynamic
18 behaviour of permafrost-carbon in changing climates is not well constrained and it is for this
19 reason that a set of four dynamic settings were sought. Here the 'speed' of the dynamic setting
20 is determined by the ratio of total ~~fast~~Soil$_{fast}$ pool to ~~slow~~Soil$_{slow}$ pool carbon (fp/sp), with the
21 "slow" dynamic being fp/sp < 0.5, "medium" being fp/sp 0.5 to 1, "fast" being fp/sp 1 to 1.5
22 and "extra-fast" being fp/sp > 1.5 for the PI-equilibrium simulation. The variables "a" and "b"
23 shown in eq. (3) were set and each setting used to run ~~for~~ a PI(equilibrium),
24 LGM(equilibrium) and PI(transient) simulation to identify the settings which resulted in total
25 land carbon pools in agreement with the Ciais et al. (2012) estimates.

26 The LGM is conventionally defined as being the period around 21 kyrs BP, when large parts
27 of north America were underneath the Laurentide ice sheet. According to their time-to-
28 equilibrium (the slow carbon accumulation rate), soils in this location~~,~~ now free of ice~~,~~ may
29 not yet have reached equilibrium by the present day. Further than this, climate has changed
30 significantly since the LGM so permafrost soils anywhere may not be currently in equilibrium
31 (Rodionow et al. 2006), again due to its slow carbon accumulation rates. Due to this the PI(tr)
32 simulation model output for total land carbon was used to tune the total land carbon stocks, as

it includes a receding Laurentide ice sheet. At LGM, ice sheets were at maximum extent, so the problem of land being newly exposed does not occur in the model. For this reason, the LGM(eq) simulation is used to tune total land carbon for the LGM.

Details of the tuning for total land carbon stocks are available in Appendix CB. It was found that only one permafrost area setting:, the LOW-MEDIUM area, provided an acceptable range of dynamic settings, as defined by the ratio of fast to slow soil carbon. The four selected dynamics settings are shown in more detail in Figure 12+:, for total land carbon stock, atmospheric CO_2 and ratio of fast to slow soil-carbon pool. The a and b values for these settings are shown in table 3.

To evaluate the effect of the different dynamic settings we ran an equilibrium PI simulation for all four selected settings for 40kyrs, followed by a permafrost switch-off for a further 10k yrs. Figure 12 shows the global total land carbon stocks for this experiment. The period between 0-40k simulation years demonstrate the transient effects of the slow accumulation rates in permafrost soils. Depending on the dynamic setting, the total land carbon takes more than 40k years to fully equilibrate in PI climate conditions. On permafrost switch-off, from 40k sim years, the soil-carbon previously held in permafrost soils is quickly released to the atmosphere, at a rate dependent upon the dynamic setting. The xfast setting releasing all excess carbon within hundreds of years and the slow setting around 8000 years after total permafrost disappearance. Currently, the most appropriate carbon dynamic setting is unconstrained by measurement data. It is for this reason that the permafrost-carbon dynamics settings cover a large range. They are intended to be used in transient model simulations to better constrain permafrost-carbon dynamics in changing climate. It is for this reason that these four settings cover such a large range of dynamic responses of soil carbon on permafrost thaw, to study the carbon dynamics in future experiments. It should be noted that the PI(eq) simulation was not used to tune the model, i.e. was not used to compare model output to Ciais et al 2012 PI total land carbon stocks. Figure 13 demonstrates only the range of dynamic response for all four settings. This PI(eq) simulation also demonstrates the difference between transient versus equilibrium PI simulations. The slow dynamic equilibrates (after more than 40k years) at far higher total carbon stocks than the xfast dynamic, but for the PI(tr) simulation these two settings show very similar total land carbon stocks (we selected them for this behaviour).

4 Model ~~Performance~~Validation

Hereafter, the name "CLIMBER-2P" denotes the model in which the permafrost-carbon mechanism operates fully coupled within the dynamic vegetation model.

4.1 Permafrost areal coverage and spatial distribution

Figure 1~~4~~3a shows the spatial pattern of permafrost as predicted in CLIMBER-2P with the snow correction included for the LOW-MEDIUM area setting. The modelled PI(tr) permafrost extent fairly well estimates the location of the present-day southern boundary of the discontinuous permafrost zone (Jones et al. 2009), with overestimate of permafrost extent in the western Siberian grid cell, and underestimate over the Himalayan plateau. Total permafrost area extent is shown in table 4.

Comparing this to performance of other models (Levavasseur et al. 2011), the PI(eq) total permafrost area is closer to Zhang et al. (2000) estimates, but it must be kept in mind that for CLIMBER-2P the area was tuned to be in agreement with mean estimate from Zhang et al. (2000). The PI(tr) total permafrost area is higher by around $4 \times 10^6 km^2$ compared to the PI(eq). This is due to the North Pacific region being colder in PI(tr) than that of the PI(eq) simulation, and may be related to the land run-off, which is kept at LGM settings for the transient simulations. For LGM period, the best PMIP2 model in the Levavasseur study (interpolated case) underestimated total permafrost area by 22% with respect to data estimates (of 33.8 x $10^6 km^2$), and 'worst' model by 53%, with an all-model-median value of 47% underestimate. The LOW-MEDIUM CLIMBER-2P setting gives an LGM total permafrost area underestimate of around 40%, slightly better than the median for PMIP2 models' permafrost area.

Figure 1~~4~~3b shows the LGM CLIMBER-2P permafrost extent with the reconstructed continuous and discontinuous southern boundaries (Vandenberghe et al., 2012, French and Millar, 2013) overlaid. In the LGM simulation for CLIMBER-2P, coastlines do not change so the Siberian Shelf and other exposed coastlines in the northern polar region are not included in the CLIMBER-2P permafrost area estimate. These coastal shelves cover an estimated area of 5 to 7 $\times 10^6 km^2$. Another area which is not diagnosed as permafrost in CLIMBER-2P is the Tibetan plateau, which would be an additional estimated 6 $\times 10^6 km^2$. If these two regions were added (totalling around 12 x $10^6 km^2$) to the LGM area estimate it would bring the modelled permafrost area (then totalling around $33 \times 10^6 km^2$) much closer to the data estimate as

1reported in the Levavasseur et al. (2011) study. The permafrost extent model is dependent
2upon the CLIMBER-2P modelled climate. The very large grid cell size of CLIMBER-2P
3means that modelled mountainous regions such as the Tibetan plateau are problematic,
4resulting in a possible too-warm climate (compared to the real-world) in this region.

54.2   Soil carbon dynamics

6Accumulation rates show general agreement with the Zimov et al. (2009) model and the
7Wania et al. (2009b) (LPJ) model, although the fast and xfast dynamic settings accumulate
8carbon faster than these comparison models. Figure 1~~5~~4 shows output for ~~the "medium"~~all
9permafrost dynamic for the PI (equilibrium) spin-up. The ~~Northern European Russia~~north
10west Siberia site can be compared to the the Aya~~ch~~ke~~ha~~ location from the Wania et al.
11(2009b) and to the extra-cold ~~and wet~~-dry conditions from Zimov et al. (2009). ~~The N.~~
12~~European Russia location in CLIMBER-2P time-to-equilibrium is around 35kyr and full~~
13~~column soil carbon approaching 180kg/m² (Fig 14).~~ The Aya~~ch~~ke~~ha~~ modelled site in
14Wania et al. (2009b) has a time to equilibrium of greater than 80kyr and soil carbon
15con~~tent~~entration of ~~around~~greater than 200kg/m², the Zimov model predicts that 200kg/m²
16soil carbon con~~tent~~entrations can be reached within 10k years ~~(even in the very cold region)~~
17~~for wet-dry conditions~~ in the top layer of the soil and 150kg/m² ~~in 10kyr for the dry-wet~~
18~~conditions~~ for the full soil column taking longer than ~50kyrs to reach equilibrium. ~~The total~~
19~~soil column carbon concentration and time-to-equilibrium in this model is within the range of~~
20~~the Wania and Zimov models. Other dynamic settings are also within this range, with the~~
21~~possible exception of the xfast setting.~~ The N. Canada (Fig 1~~5~~4) location takes a longer time
22to reach equilibrium than soils in the N.W.Siberia grid cell. NPP in the N.Canada grid cell is
23less than one third of that for the N.W.Siberia grid cell. Due to the lower soil carbon input
24there is a lower range in the output between the difference carbon dynamic settings for the
25N.Canada grid cell. ~~for the medium dynamic setting, more than 40k years, and has a full~~
26~~column soil carbon concentration of around 130kg/m².~~ N~~This value is too high and does not~~
27~~agree with data, because this area of n~~orthern Canada was underneath the Laurentide ice sheet
28at LGM. Since the demise of the Laurentide ice sheet around 13kyrs ago (Denton et al., 2010)
29there has not been enough time for these soils to equilibrate, which takes longer than 40k
30years according to our model. As well as this, this region has very high water contents (and
31islands) which are not represented in CLIMBER-2P which may modify soil carbon
32concentrations. Although we do not account for water content, we can take account of the

demise of the laurentide ice sheet and the time that these soils have had to accumulate carbon. The PI climate condition and soil carbon content that we applied to tune and validate the model is the PI(tr), the transient simulation, which includes ice sheet evolution.

4.3 Soil carbon stocks

The total land carbon stocks were tuned using data from Ciais et al. (2012). An assumption made in this study is that all 'extra' soil carbon, relative to the standard model, in the Arctic region is located in permafrost soils and only by the mechanism of increased soil carbon residence time in frozen soils. Table 5 shows the Ciais et al. (2012) land carbon pools values that have been used to tune this model. The standard model total land carbon (tlc) are similar to the active land carbon stocks, with ~~LGM~~PI tlc at 2199GtC and LGM~~PI~~ tlc at 1480GtC (shown in table 7).

The soil types that are found in the continuous and discontinuous permafrost zone are the Cryosols (circumpolar atlas) or Gelisols (Soil taxonomy). Within this group are further subgroups; Turbels which are subject to cryoturbation and characterise the continuous permafrost zone, Orthels which are less affected by cryoturbation and are related to discontinuous permafrost and Histels which relate to peat growth (histosols) and have permafrost at less than 2m depth. Histels are not directly represented in the simplified model, as they are dominated by peat growth (Sphagnum), a distinct PFT not represented in CLIMBER-2P.

The Tarnocai et al. (2009) soil organic carbon content~~centration~~ (socc) estimates for the present-day for relevant soils are shown in table 6. Summing "All soils" with L~~l~~oess soils and Deltaic deposits gives the 1672GtC estimated total socc for the permafrost region. The extra land carbon stocks created in our model in permafrost soils range between 1620~~339~~GtC to 2226~~1945~~GtC (table 8) compared to Tarnocai et al. at 1672GtC and 1600+-300GtC in the Ciais et al estimate for inert land carbon for the present day. For the LGM climate, the model shows a range of 1987GtC to 2117GtC for extra soil carbon compared to the Ciais estimate of 2300+-300GtC for inert land carbon. The "medium" dynamic setting shows total land carbon stocks in the present-day outside the range estimated by Ciais et al. However, during tuning (see Appendix C~~B~~) this overestimate could not be improved upon.

4.4 Soil carbon contents validation~~carbon concentration in the top 100cm~~

The carbon content of Orthels and Turbels decreases with depth, but high carbon contents are still found at depths of 3m and more (Tarnocai et al., 2009). For Orthels (with alluvium) around 80% of their carbon content was found in the top 200cm and for Turbels 38% of carbon content was found in the top 100cm. Based on these values, ~~T~~to compare the CLIMBER-2P output with ground spatial data, it is assumed that 40% of the modelled total soil-column carbon is located in the top 100cm for all permafrost affected soils.

Soil carbon data from Hugelius et al. (2013) was used to compare against the CLIMBER-2P output. The Orthels and Turbels dominate the continuous and coldest permafrost areas, with Histels and other soils becoming more dominant towards the southern parts of the permafrost region. As no peatlands or wetlands are represented in our simplified model, only Orthel and Turbel soils were used as comparison points for soil organic carbon content~~ncentration~~ (socc). Socc data from Hugelius et al. (2013), for grid cells with 50% or more Orthel and Turbel soils, was upscaled to the CLIMBER-2P grid. These mean socc data values for the top 1m of soil were plotted against CLIMBER-2P model output for matching grid cells, this is shown in Figure 16~~5~~. Also shown in Fig. 16~~5~~ is the standard model output, which has no permafrost mechanism. Two grid cells show very much higher socc than data suggests, with around a three fold overestimate and are located in Siberia. All other grid cells are within a range of +-80% heavily dependent on the soil carbon dynamic setting. The standard model shows progressively worse performance as mean socc increases in the data. The permafrost model shows an increasing socc trend more similar to data. Comparing the spatial location of socc to data can be done using Fig. 17~~6~~. The two grid cells with very high socc compared to data are central and eastern Siberia. These grid cells are both 100% permafrost and have had a total of 101kyrs (80k for LGM(eq) plus 21k to PI(tr)) years to accumulate carbon. This is in contrast to the North American continent grid cells which were underneath the ice sheet until the deglaciation, so have had less time to accumulate carbon.

The assumption that all permafrost region soil-carbon acts as Turbels and Orthels has an impact on the physical location of the socc with respect to data. Turbels and Orthels are located in the northern parts of the permafrost zone with Histels and other soils becoming more dominant to the south. Compared to socc in ground data (Fig. 17~~6~~), a northern bias in socc is seen in model output, as expected. Histels (peatland soils) and other soil types of the permafrost zone, with an estimated 390GtC (table 6) are not represented in our model. If these

were modelled they should increase socc in model output in the more southern part of the permafrost region, and parts of Canada. Large river deltas, which contain deltaic deposits of 241GtC (Tarnocai et al. 2009) are also not represented in our model. One example of this is the ~~Lena~~Ob river ~~delta~~and Gulf of Ob, located in western Siberia which~~in western Siberia which~~, combined with dominance of Histels in this region (Hugelius et al. 2013), cause a high socc in data. The model does not represent well the boreal forest belt (see Fig. 4) which is also located in the southern region of the permafrost zone. This results in carbon input to soils in this region ~~-~~being underestimated in our model.

Figure 18~~7~~ shows the model outputs for the LGM climate. No soil carbon is present underneath ice sheets and the highest carbon concentrations are seen in present day south-eastern Russia and Mongolia, with quite high soil carbon concentrations in present day northern Europe and north-western Russia. Comparing this output to the permafrost extent model (Fig. 14~~3~~), the socc is likely located too far north for the same reasons as the PI(tr) socc but also because permafrost extent is underestimated for the LGM(eq) climate. The northern China region, according to data, was continuous permafrost at LGM as was the south west Russia region. These regions would have higher socc in model output if the modelled permafrost area was closer to data estimates. The same would be true of the Siberian shelf. This means that the extra soil carbon tuned to the Ciais et al. (2012) estimate (table 5) is concentrated in a central band in Eurasia more so than the model would predict if permafrost extent was more like the data estimate for LGM.

5   Model applications and limitations

5.1   Applications

The simplified permafrost mechanism is intended to be used for the study of carbon-cycle dynamics on timescales of centuries/millennia and longer. It represents an improvement on the previous terrestrial carbon cycle model in CLIMBER-2 which did not include any effects of frozen soils. It is not intended for the study of carbon cycle dynamics on scales shorter than centuries due to the simplifications made and many processes not accounted for in the simplified model. The permafrost-carbon mechanism is dependent upon the relationship between climate, soil carbon content and active layer thickness on the CLIMBER-2 grid scale. To apply this parametrisation of permafrost-carbon to other grid scales, the relationship

of active layer thickness and climate variables would need to be re-assessed. The relationship between permafrost fraction of a grid cell and soil organic carbon content is non-linear. The values for "a" and "b" would need to be re-tuned in order to output total land carbon stocks in agreement with Ciais et al 2012 for grid scales different to the CLIMBER-2 grid.

The permafrost-carbon mechanism is fully dynamic and responds to changes in: insolation (orbit), atmospheric CO_2 (via changes in NPP and climate), land area in response to coverage by ice sheets extending or contracting. This could not be easily achieved if a box model representation of permafrost-carbon was applied as the model response to the drivers (orbit, CO2 and ice sheet) are dependent upon spatial location.

5.2   Simplifications and limitations

The permafrost model does not make any changes to soil carbon based on hydrology or ice contents. Precipitation only affects vegetation growth, not soil formation.

No account is taken of the effect of peatland soils in permafrost regions as the PFT for Sphagnum species, which accounts for most of peat soil vegetation cover, is not included in the model. The effect of frozen ground inhibiting root growth (to depth) is not accounted for, which may have an impact on the GPP and soil formation in very cold regions.

During glacial climates, no extra land is exposed as sea-level drops in the CLIMBER-2P model, all the carbon used to tune the carbon dynamics for LGM period is located on land that is presently above sea-level.

Wetlands and river deltas increase the spatial spread of the soil carbon in the real world, and these are not represented in CLIMBER-2P. Therefore, it is also not intended that the spatial location of the highest soil carbon concentrations should be used as a very good indicator of the real world case.

Slow accumulation rates in permafrost soils result in the characteristic that in the real world during thaw (or deepening of the active layer) the youngest soils would decompose first. In CLIMBER-2P all soil is mixed, so the age of carbon down the soil column cannot be represented. This age of the soils is important for the correct modelling of ^{14}C then seen in the atmosphere. The model has no soil 'depth' (only a carbon pool) so ^{14}C cannot be used as a useful tracer as part of CLIMBER-2P in its current configuration. The CLIMBER-2P model

does have a ^{13}C tracer within the carbon cycle which is intended to be used in conjunction with the permafrost model to constrain carbon cycle dynamics.

The possible impact of high dust concentrations on soil formation during glacial climates is not accounted for in the model. Loess soils, those created by wind-blown dust or alluvial soils, are not represented. For our study it is assumed that the ratio of loess to non-loess soils is the same in the present day as it was during glacial climates. This is not the case in the real wWorld, where high dust concentrations in the dry atmosphere increased lLoess deposition at LGM (Frechen 2011). However, the LGM climate is only representative of the coldest and driest period of the last glacial. Evidence suggests that soils were productive in cold conditions in the permafrost region of the last glacial period with loess accumulation only more widely significant towards the harsh conditions of the LGM (Elias and Crocker., 2008, Chlachula and Little., 2009, Antoine et al., 2013, Willerslev et al., 2014).

No changes were made to the vegetation model or to controls on soil input which are only dependent upon temperature and NPP, the Mammoth-Steppe biome is not explicitly modelled (Zimov et al. 2012).

Underneath ice-sheets soil carbon is zero, as an ice sheet extends over a location with soil carbon (and vegetation), that carbon is released directly into the atmosphere. As an ice sheet retreats and exposes ground, the vegetation (and soil) can start to grow again. So, our model does not account for any carbon that may have been buried underneath ice sheets (Wadham et al., 2012).

6   Conclusions/summary

This permafrost-carbon model is a simplified representation of the general effect of frozen ground on soil carbon decompositionay. In the presence of frozen ground the soil carbon decays more slowly. The method by which permafrost is diagnosed relies only on the balance between warm (above 0°C) and cold (below 0°C) days, which removes the problem of compounding errors in thermal diffusion calculations (for example). As such, the permafrost-carbon model would perform just as well in distant past climates as it does in pre-industrial climate. In order to account for uncertainties in carbon accumulation and release rates in frozen (and thawing) soils, a range of dynamic settings are retained which agree with total land carbon estimates of Ciais et al. (2012). Due to the slow accumulation in permafrost soils,

soil carbon has a long time to equilibrium and therefore the present-day climate must be treated as a transient state, not as an equilibrium state. We showed the model performs reasonably well at pre-industrial present-day conditions. The permafrost-carbon model creates a mechanism which slowly accumulates soil carbon in cooling or cold climates and quickly releases this high soil carbon in warming climates, caused either by changes in insolation patterns or by global increases in temperature and climatic changes due to greenhouse gas feedbacks and ocean circulation changes. It can thus be used to quantitatively evaluate the role of permafrost dynamics on the carbon build-up and release associated with this specific physical environment, over supra-centennial to glacial-interglacial timescales.

Appendix A: 1D models~~Snow correction~~

Figure A1 shows the results of sensitivity experiments comparing these two approaches for one CLIMBER-2 land grid cell. Baseline settings of permafrost fraction = 0.6, Frost index = 0.6, mean annual air temperature = -10°C have a relative soil carbon concentration of 1. The sub-grid method outputs a linear-type relationship between permafrost fraction and soil carbon stored. The re-mixing model outputs lower soil carbon concentration for lower fractional permafrost coverage rising quickly when permafrost fraction approaches 1. For the air temperature as variable, the two approaches show a similar response. For higher frost index the soil carbon concentration increases, with the sub-grid method showing slightly more sensitivity than the re-mixing model.

The variables of permafrost fraction, frost index and mean annual temperature are inter-related, and co-vary. The relationships between these variable are shown in figure A2a. For permafrost-fraction to frost-index, the relationship is defined as that determined in the main text for the CLIMBER-2 grid scale in section 3.2.

When including the effect of NPP, the equilibrium total carbon contents are scaled according to the relationship between NPP and permafrost fraction. Figure A2b shows MODIS data for NPP plotted against frost index (calculated from data from Zhang et al 1998 for freeze (DDF) and thaw (DDT) values to be used in eq. (4) from the main text). This data is upscaled to the CLIMBER-2 grid and plotted against permafrost-fraction (calculated from the frost-index value). The values are only for NPP in the high northern latitudes.

To compare model out to data, it is assumed that 40% of total soil column carbon is located in the top 1m for permafrost soils (Tarnocai et al 2009). To convert socc (top 1m) to full column, the socc data is multiplied by (2.5*permafrost_fraction). This soil carbon content is plotted against calculated permafrost fraction, that is, using the model from section 3.2 to get permafrost-fraction from frost-index data. This socc data is then binned into 0.1 increases in permafrost fraction and the mean value is shown with +-1sigma in figure 7 (main text).

Appendix B: Snow correction

B.1 Linear model

In more complex physical models, snow correction of ground temperature is achieved by modelling the thermal diffusion characteristics of the snow cover; a function of snow depth and snow type (for example snow density). A thermal diffusion model is used to make an estimate of the snow-ground interface temperature using the surface air temperature, the thermal gradient is also dependent upon the initial snow-ground interface temperature. Within the CLIMBER-2 model, snow is already modelled (Petoukhov et al., 2000) as it has a significant effect on overall climate (Vavrus, 2007). Snow depth in CLIMBER-2 is available as well as snow fraction per cell, but snow type and snow density is not individually modelled. Attempting to model the thermal diffusion in the snow does not make sense for CLIMBER-2, as with permafrost location. Rather the approach is to use measurement data to create a general relationship between air temperature and snow-ground interface temperature based only on the snow depth.

The snow correction linear model is based on data from Taras et al. (2002) giving a correction for snow-ground interface temperature from snow depth and air temperature. Figure B1a shows the data from Taras et al. (2002) and the linear regressions (labelled as A, B and C) of this data re-plotted per snow depth (Fig. B1b). Equation (B.1) shows this linear model for snow correction, which is only applied for surface air temperatures lower than -6°C. This snow-ground interface temperature is used to calculate the freeze index (DDF_{sc}) in eq. (4) in the main text.

$$T_{g.i.} = T_{surf} - \frac{(T_{surf} + 6) \cdot SD}{100}$$

(B.1)

Where $T_{g.i.}$ is ground interface temperature (°C), T_{surf} is surface air temperature (°C) and SD is snow depth (cm)

BA.2 Snow correction validation

This simple snow-correction was tested against data from Morse and Burn (2010). Figure BA2 shows the error made by the linear model when used to predict the snow-ground interface temperature (or snow depth temperature) from Morse and Burn measurement data. In the more extreme conditions, the error of the linear model is far higher, for example in deep snow and cold temperatures. Figure BA3 shows the outputs from CLIMBER-2 for snow depths plotted against surface air temperatures for the PI(eq) pre-industrial climate (green circles) and LGM(eq) glacial climate (blue squares) for all grid cells. The large CLIMBER-2 grid size means that extreme conditions are not present in the model output. Comparing Figures BA2 and BA3 shows that the linear correction can provide an estimated confidence within -8°C for the deepest snow cover and highest temperatures of CLIMBER-2P data output, and within +-2°C for the majority of CLIMBER-2P data outputs. A similar performance is found when comparing to snow thickness and snow-ground interface temperatures from Zhang (2005) for a site in Zyryanka, Russia. The most extreme temperatures and snow conditions produce a larger error from the linear model, but the intermediate conditions, those seen in CLIMBER-2P data points, agree better with the data. Overall the effect of the snow correction within the model produced a maximum decrease in permafrost area of 8% (compared to the uncorrected version) in the most affected grid cell for the PI(eq) simulation and is therefore significant.

Appendix CB: Tuning for total land carbon at the LGM and PI

Table CB1 shows all the settings for 'a' and 'b' per soil pool (eq. (3), main text) that were tested to obtain total soil carbon contents for the LGM and the PI simulations. Figure CB1 shows the modelled total land carbon (GtC) for all simulations sorted by permafrost area function. Green dashed lines on the LOW-MEDIUM area setting indicate the dynamic settings chosen to represent the "slow", "medium", "fast" and "extra-fast" permafrost-carbon dynamic settings. The total land carbon content is clearly very sensitive to permafrost area, and despite many simulation tunings only the LOW-MEDIUM area setting provided a good enough range of dynamics that could be used to later investigate the permafrost-carbon dynamics. Within the settings chosen, the "medium" dynamic setting overestimated the

present-day total land carbon estimate from Ciais et al 2012, but further tuning experiments did not improve this over-estimate.

Acknowledgements

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1Table 1. Simulated climates used in this study. ~~to develop, tune and validate the permafrost~~
2~~carbon mechanism.~~

Date	Event
LGM (equilibrium)	Obtained after an 80kyr spin-up with glacial CO_2 levels of 190ppmv, reduced ocean volume, LGM ice sheets, LGM insolation, LGM runoff. Carbonate compensation in the ocean (Brovkin et al. 2002). Sea-level effects on coast lines are not included, land area is as PI (equilibrium). The continental shelves exposed at LGM are not accounted for in this model set-up because the fate of any carbon that may have accumulated on these shelves is not well constrained. The long time of spin-up, 80kyr, is required to allow the soil carbon pools to equilibrate.
PI (equilibrium)	Obtained after 40kyr spin-up with pre-industrial CO_2 levels of 280ppmv, present-day ocean volume, present-day ice sheets, insolation, and land run-off. The 40kyr spin-up time allows soil carbon pools to equilibrate.
PI (transient)	End of a 21kyr simulation of a transient deglaciation that has the LGM equilibrium climate as a start point at 21kyr BP. The PI (transient) is the climate at 0yr BP. The transient deglaciation has evolving ice sheets scaled to sea-level, increasing ocean volume, insolation changes (seasonality), carbonate compensation and LGM runoff. This transient PI climate is required to account for the long time to equilibrium of the permafrost affected soil carbon pools. In order to compare model output with ground-data the PI(transient) provides a more realistic model output.

1Table 2: permafrost area model settings for eq. ([56])

	A	β
HIGH	0.58	$22(F_{sc}-0.58)$
MED	0.555	$21(F_{sc}-0.59)$
LOW-MED	0.54	$20.5(F_{sc}-0.595)$
LOW	0.53	$20(F_{sc}-0.6)$

2

1Table 3: selected settings for permafrost decomposition~ay~ function, where subscript indicates
2the soil pool. Permafrost area model is LOW-MEDIUM for all.

	Constants settings for eq. (3)			
Dynamic settings	a_{fast}	b_{fast}	a_{slow}	b_{slow}
Slow	10	10	10	10
Medium	20	40	1	3
Fast	60	50	0	1
Xfast	60	80	0.1	0.1

3

1Table 4: Modelled permafrost-affected land area and data based estimates

Permafrost model area setting	Permafrost area (x 10^6 km^2) (land underlain by permafrost)		
	Pre-Industrial climate (equilibrium)	Pre-Industrial climate (transient)	Glacial climate
LOW-MEDIUM	14.0	18.4	20.7
Data estimate	12.21 to 16.98 (Zhang et al. 2000)		33.8 (Levavasseur et al. 2011)
			40 (Vandenberghe et al. 2012)

2

Period	Total land carbon (GtC)	Active land carbon (GtC)	Inert land carbon (GtC)
Present-day	3970+-325	2370+-125	1600+-300
LGM	3640+-400	1340+-500	2300+-300

2Table 5: Total land carbon stock estimates from Ciais et al. (2012)

3

Soil type	depth		Soil carbon (GtC)
Gelisols	To 1m	Turbels	211.9
		Orthels	51.3
		Histels	88.0
		All	351.5
	To 3m	Turbels	581.3
		Orthels	53.0
		Histels	183.7
		All	818.0
All soils	To 1m		495.8
	To 3m		**1024.0**
Pleistocene loess	**>3m**		**407**
Deltaic	**>3m**		**241**

2Table 6: Permafrost region soil carbon stock estimates from Tarnocai et al. (2009)

3

1Table 7: Modelled total land carbon stocks per model setting

Total land carbon (GtC)	Standard model	With permafrost, per dynamic setting			
		slow	medium	fast	xfast
PI (transient)	2199	4052	4425	4079	3819
LGM (eq)	1480	3597	3563	3467	3481

2

3

1Table 8: Modelled permafrost-region extra land carbon stocks wrt. standard model per model

2setting

Extra soil carbon (GtC)	Standard model	With permafrost, per dynamic setting			
		slow	medium	fast	xfast
PI (transient)	0	1853	2226	1880	1620
LGM (eq)	0	2117	2083	1987	2001

3

4

1Table CB1: All settings for eq. (3) (main article) used to tune total land carbon and

2permafrost-carbon dynamics.

Area: LOW

	a fast	b fast	a slow	b slow
1	30	30	2	2
2	40	30	2	2
3	50	50	2	2
4	50	50	3	3
5	20	20	10	10
6	10	10	20	20
7	55	45	3	2
8	70	60	0	1
9	60	70	2	2
10	80	70	0	1
11	100	90	0	1
12	150	100	0	0.5
13	100	150	0	0.5
14	75	200	0	0.5
15	20	20	2	2
16	60	50	0	1

Area: LOW-MED

	a fast	b fast	a slow	b slow
1	50	40	0	0.5
2	21	20	2	2
3	10	10	10	10
4	60	50	0	1
5	50	60	0	1
6	10	30	1	3
7	20	40	1	3
8	5	50	1	3
9	30	70	0	1
10	50	5	3	1
11	45	30	3	2
12	45	25	3	2
13	40	20	3	2
14	60	80	0.1	0.1
15	10	40	1	4
16	5	55	1	2

Area: MED

	a fast	b fast	a slow	b slow
1	50	40	0	0.5
2	20	20	2	2
3	10	10	10	10
4	30	50	0	0.5
5	60	50	0	1

Area: HIGH

	a fast	b fast	a slow	b slow
1	30	30	2	2
2	15	30	1	2
3	15	15	15	15
4	10	30	0	1
5	5	45	0	2
6	4	8	12	16
7	8	35	0	1
8	3	8	12	16
9	1	35	1	2
10	30	10	1	1
11	0.5	40	0.5	2.5
12	3	7	11	15
13	0.2	45	0.2	3
14	1	100	0	1
15	20	30	0	1
16	70	40	0	0.5
17	20	20	2	2
18	60	50	0	1

3
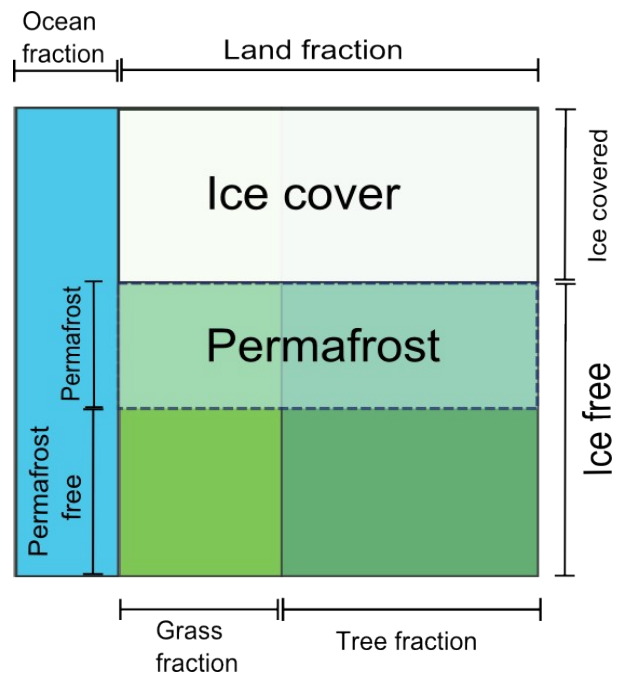
2

3Figure 1: A CLIMBER-2P grid cell showing the distribution of different cell cover types

4
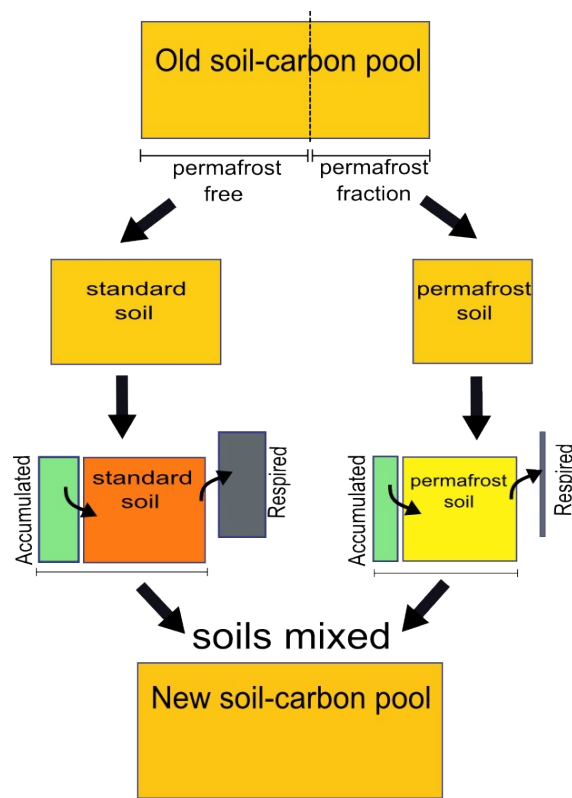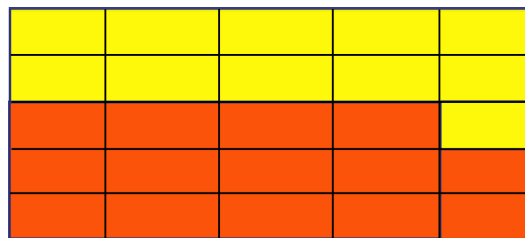
1   a) Re-mixing model

2   b) Sub-grid model

3Figure 2: Schematic of a CLIMBER-2P grid cell showing how carbon is accumulated at each 4time-step. Re-mixing model a) separates grid cell into permafrost or non permafrost, 5calculates the change in carbon pool and re-mixing all carbon in the cell back together. Sub-6grid model b) separates the grid cell into 25 sub-grid cells and calculates change in carbon 7pool in each individually and does not re-mix any carbon between sub-grid cells.

2 ~~Figure 3: 1D model output to compare the performance of the re-mixing (diamonds) and the~~
3 ~~sub-grid (squares) approaches. Top: MAAT (mean annual air temperature) and frost-index are~~
4 ~~constant, permafrost-fraction is variable. Middle: frost-index and permafrost-fraction are~~
5 ~~constant, MAAT is variable. Bottom: permafrost-fraction and MAAT are constant, frost index~~
6 ~~is variable. Input to soils from plant mortality and rainfall are constant for all.~~
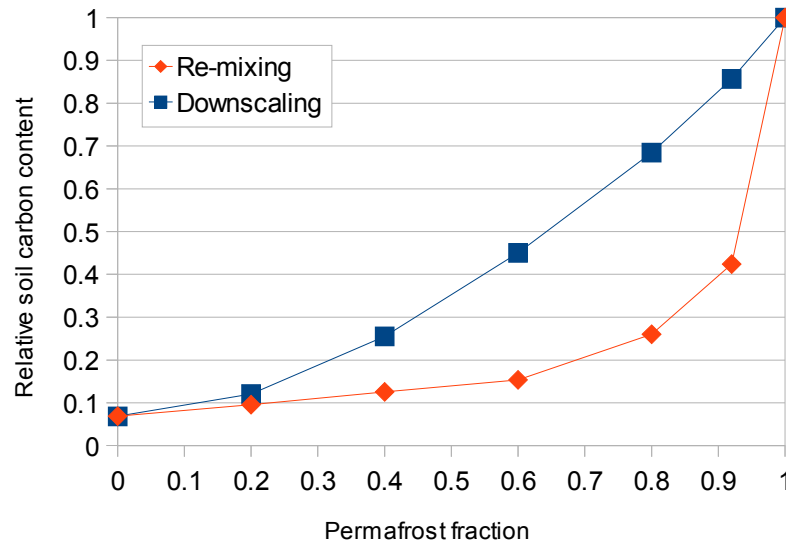
7 Figure 3: Comparison of sub-grid to re-mixing approach for relative soil carbon contents of a
8 grid cell for increasing permafrost fraction. The variables of mean annual temperature and
9 frost-index vary with permafrost fraction according to data relationships upscaled to
10 CLIMBER-2 grid relationships (see Appendix A and figure A2).

1

2

3 Figure 4: a) schematic representation of a permafrost gradient, active layer thickens as
4 permafrost coverage reduces, warm season lengthens as permafrost reduces b) example
5 modelled grid cells for permafrost percentage and active layer thickness, c) schematic
6 relationship between permafrost coverage per grid cell and equilibrium soil carbon
7 concentration. Here MAT and Frost index are considered fixed for all cases. Increased active
8 layer and increased warm season length in turn increase soil carbon decay and therefore
9 reduce equilibrium soil carbon concentration. Reducing NPP along the permafrost gradient,
10 so reducing carbon input to soils, would oppose this effect.

11

Mean NPP (2000-2005) MODIS

NPP PI CLIMBER-2

Net Primary Productivity (NPP), gC/m2/yr
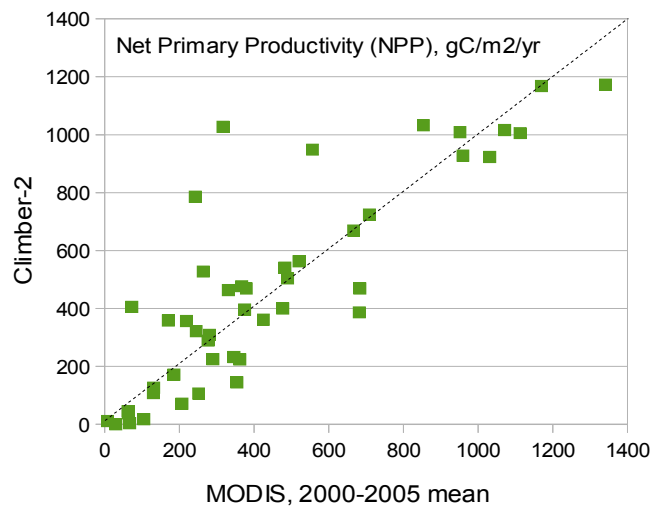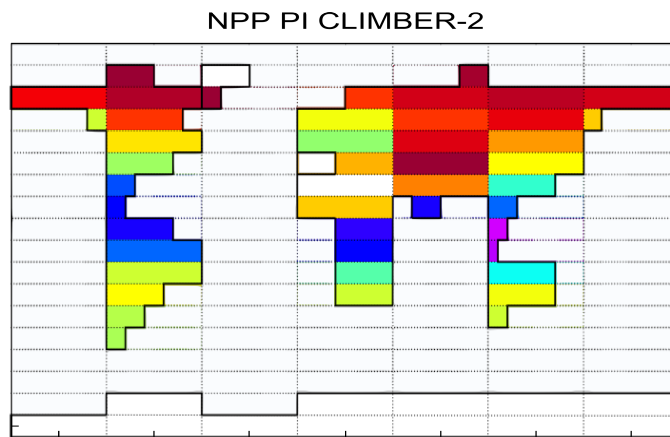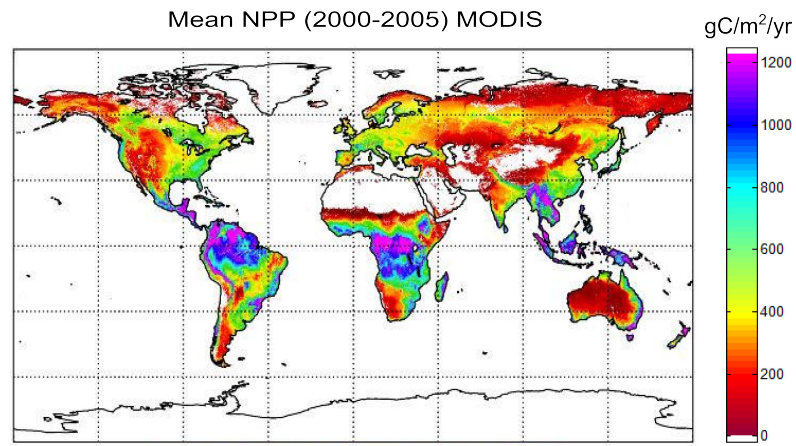
2

3Figure 45: Comparison of NPP (net primary productivity), which has a control on carbon
4input to soils, for MODIS dataset (top, mean 2000-2005) and CLIMBER-2 model for PI(eq)

(modelled year 1950) plotted on the same scale (gC/m^2/yr). MODIS data upscaled to CLIMBER-2 grid scale shown against equivalent points for CLIMBER-2 NPP.

NPP LGM LPX

NPP LGM CLIMBER-2

LGM NPP (gC/m2/yr)
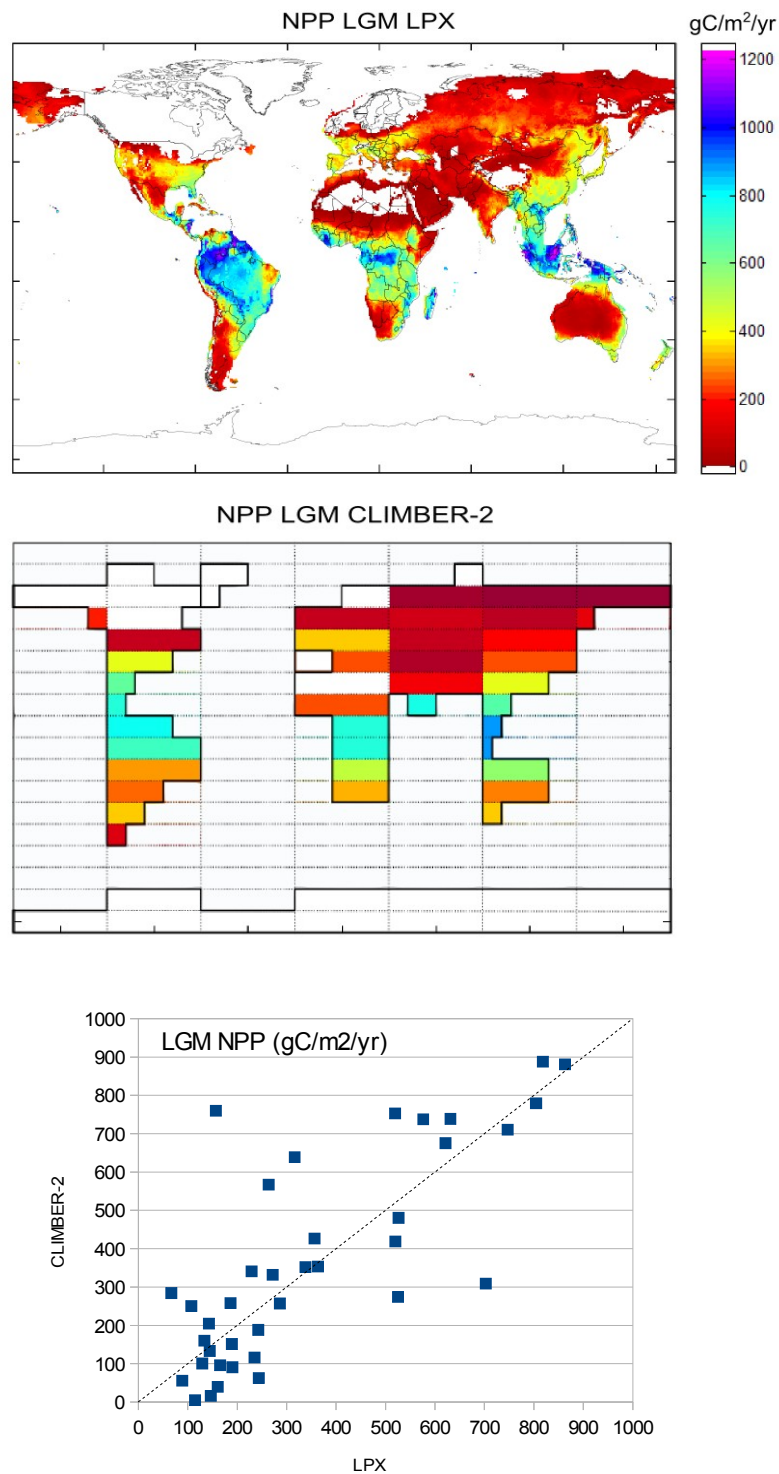
3Figure 56: Comparison of NPP (net primary productivity), which has a control on carbon 4input to soils, for LPX model (top, courtesy M Martin-Calvo, average of an emsemble model 5output) and CLIMBER-2 model for LGM(eq) (at 21kyr BP) plotted on the same scale

1(gC/m²/yr), and same scale as figure 54. LPX output upscaled to CLIMBER-2 grid and
2plotted against equivalent CLIMBER-2 NPP shown also.

3
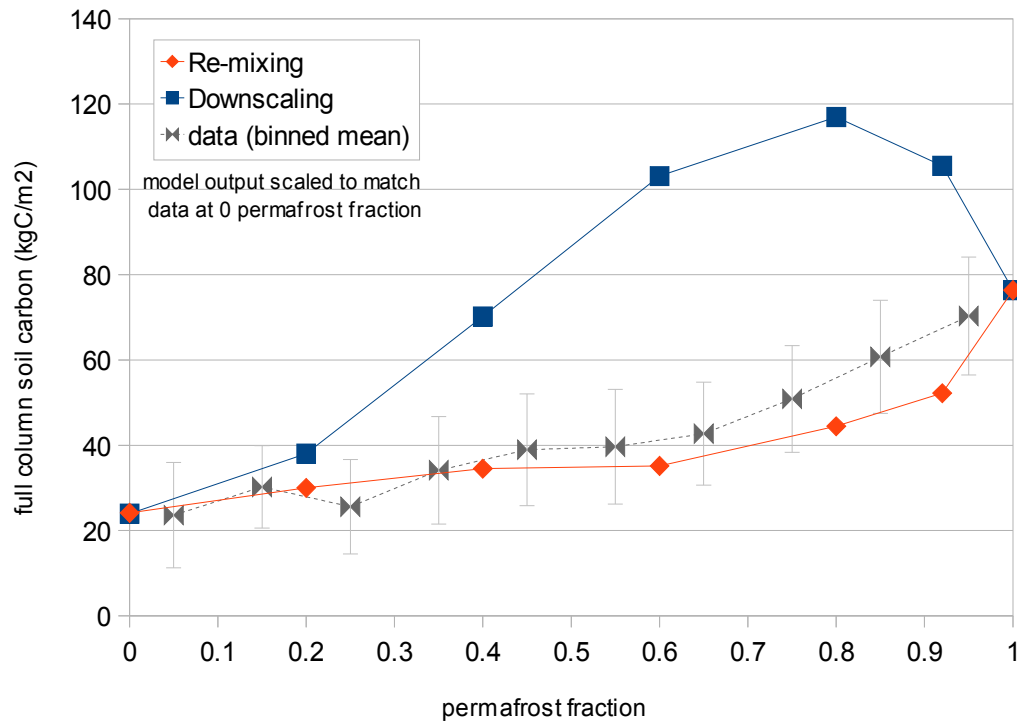
5

6Figure 6, Modelled output for 1D models along a permafrost gradient, with correction for
7NPP and initial value (at 0% permafrost). Overlaid on 1degree data for socc binned into 0.1
8permafrost fraction mean values +- 1 sigma (Hugelius et al 2013) permafrost fraction is
9calculated using relationship identified in section 3.2.

10
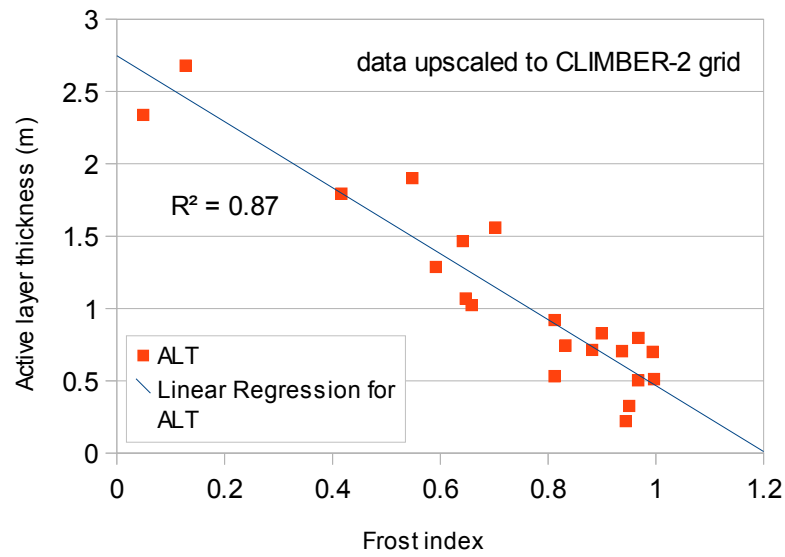
7
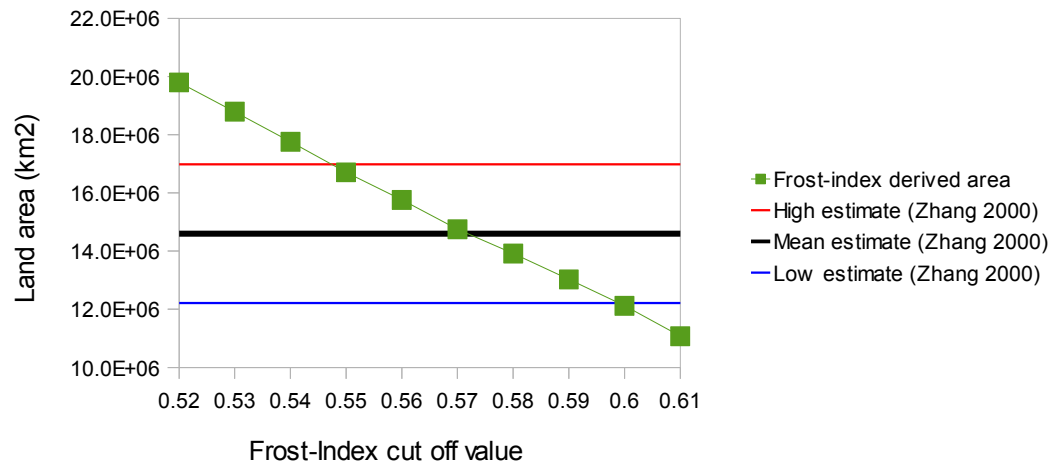
2Figure 87: Total land area with a frost-index higher (colder) than the x-axis cut-off value, for 3frost-index data from Zhang et al 1998 (NSIDC). Shown in horizontal lines are the Zhang 42000 data estimates for area of land underlain by permafrost.

5
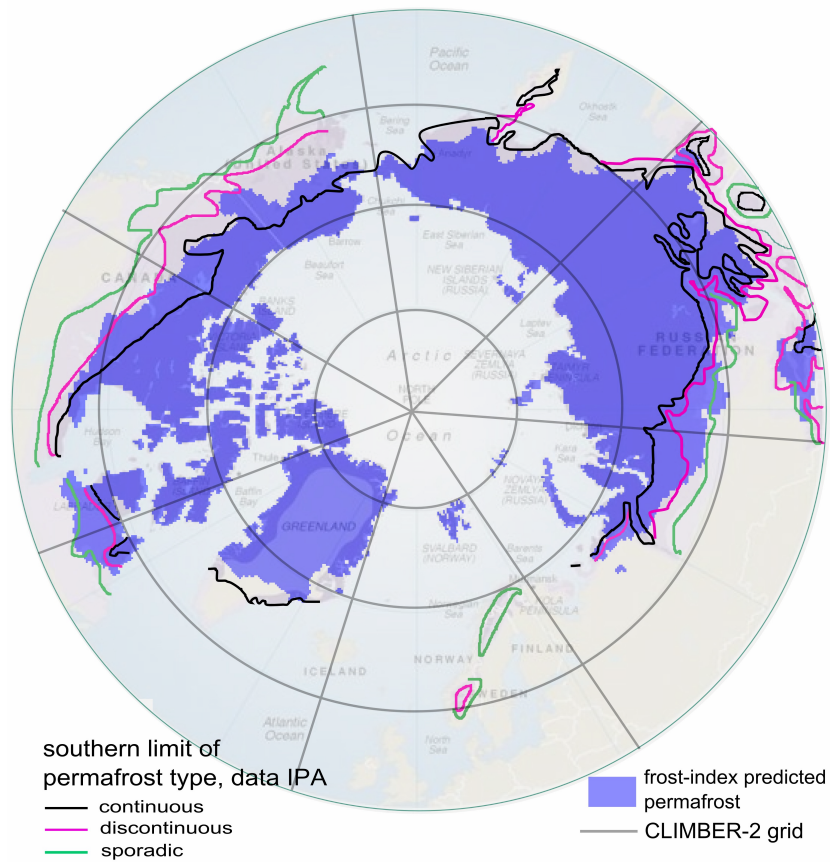
3Figure 98: Map of land with frost-index greater than 0.57 (frost-index predicted permafrost)
4shown in blue with southern limit of permafrost boundaries for the present day defined by
5IPA overlaid. Black line: continuous permafrost, pink line: discontinuous permafrost, green
6line: sporadic permafrost. Grey lines are the CLIMBER-2 grid.

7
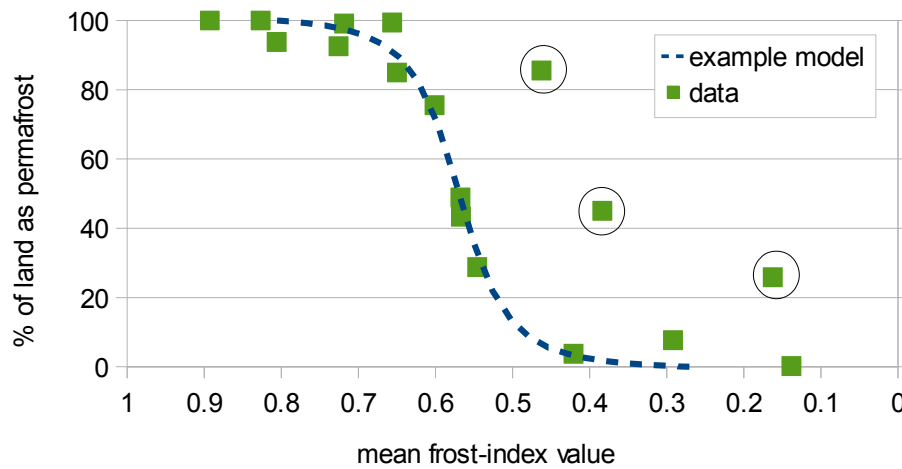
2Figure 109: Frost-index predicted permafrost fraction of land from figure 8 upscaled to the 3CLIMBER-2 grid and plotted against mean Frost-index for the same CLIMBER-2 grid cell. 4Circled points are where the total fraction of land vs ocean in the grid cell is are small (land is 5less that 25% of the grid cell) and ocean temperatures pull frost-index lower (warmer). Blue 6dashed line is a representative relationship between frost-index and permafrost land-fraction.

7
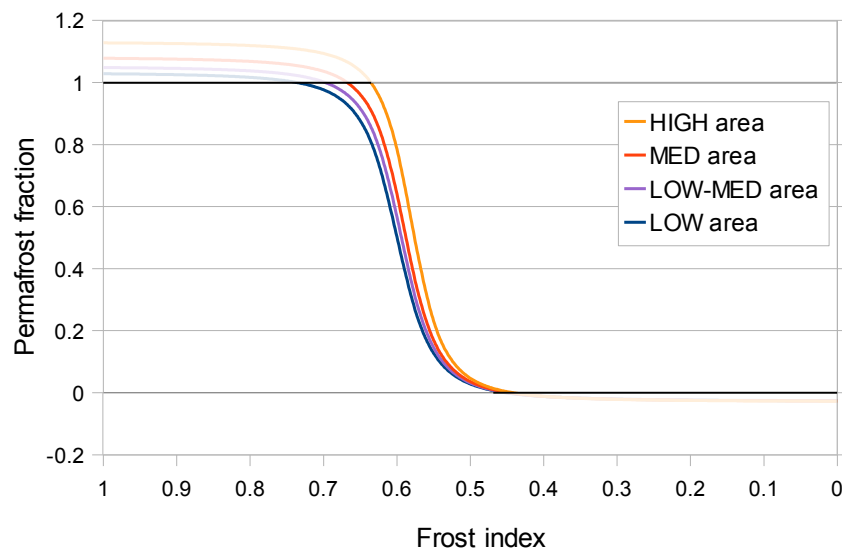
2Figure 1<u>1</u><s>0</s>: CLIMBER-2P model for permafrost-fraction of the land in a grid cell from frost-
3index (snow corrected). Range of areas are within the range of estimates for present-day land
4area underlain by permafrost by Zhang et al. (2000). Fraction is limited between 0 and 1.
5<u>Zhang estimate for total permafrost area is 12.21 to 16.98 x 10^6 km^2. Listed from HIGH to</u>
6<u>LOW model output is: 16.35, 14.87, 14.00 and 13.21 x 10^6 km^2.</u>

7
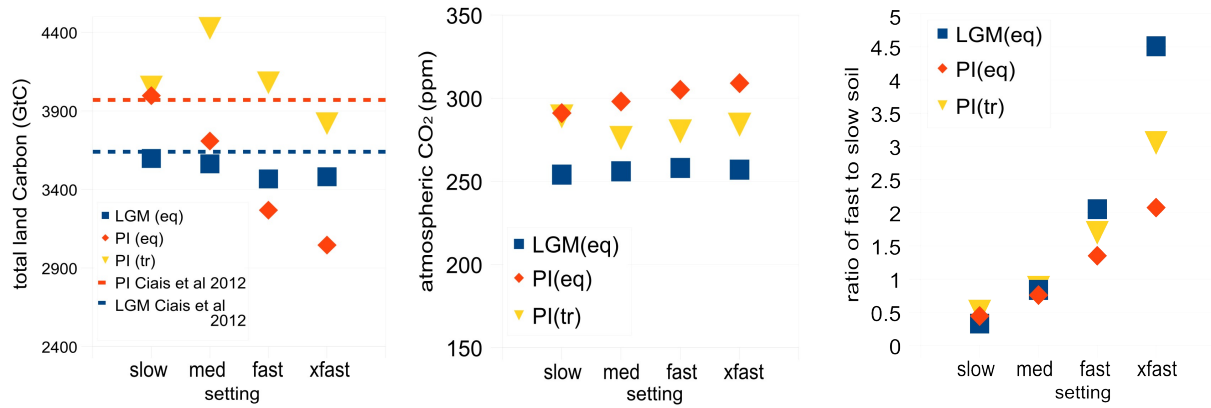
2Figure 12: Chosen dynamic settings for the range of permafrost-carbon dynamics. Left: total
3land carbon with Ciais et al. (2012) estimates as dashed lines. Middle: atmospheric CO_2
4(ppm). Right: ratio of all fast to all slow soil pools indicating the speed of response of the soil
5carbon to changing climate.

6
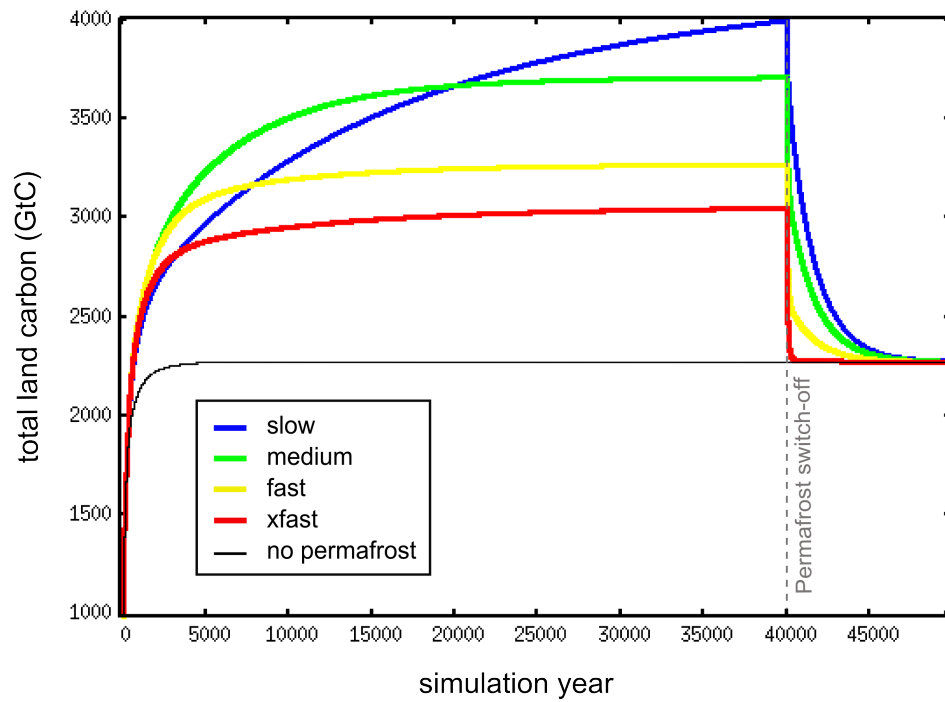
4Figure 13̲2̶: Total land carbon (GtC) for the PI(eq) simulation followed by a permafrost
5switch-off at 40k sim̲u̲l̲a̲t̲i̲o̲n̲ years representing a complete and immediate permafrost thaw
6demonstrating the different dynamic behaviour of each dynamic setting.

7
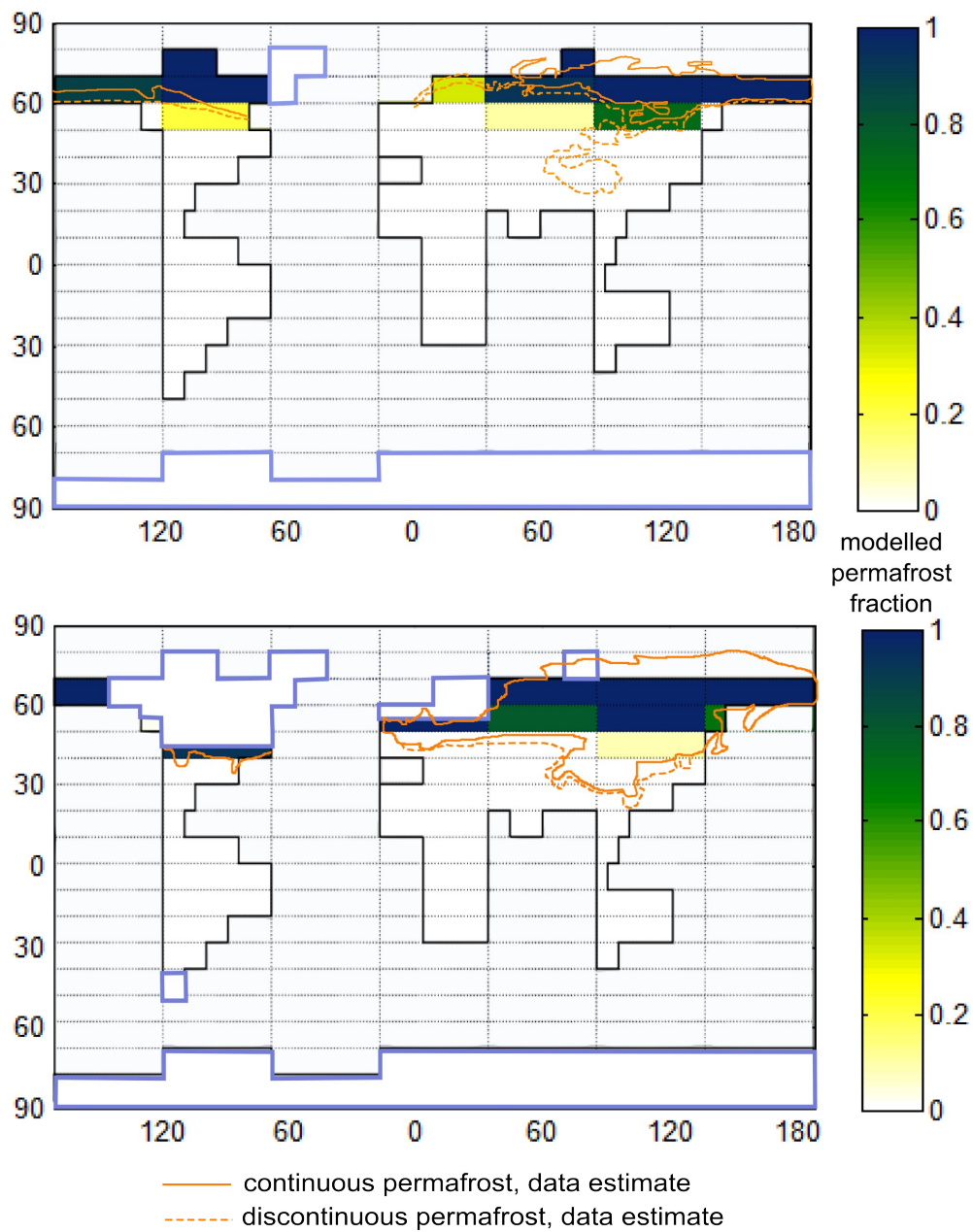
Figure 14: Modelled permafrost area for a): PI(tr) simulation, b) LGM(eq) simulation for LOW-MEDIUM permafrost area. Overlaid in orange are data estimates from Circumpolar Atlas (Jones et al. 2009) for present-day, Vandenberghe et al. (2008) for LGM Eurasia, French and Millar (2013) for LGM N. America.

6
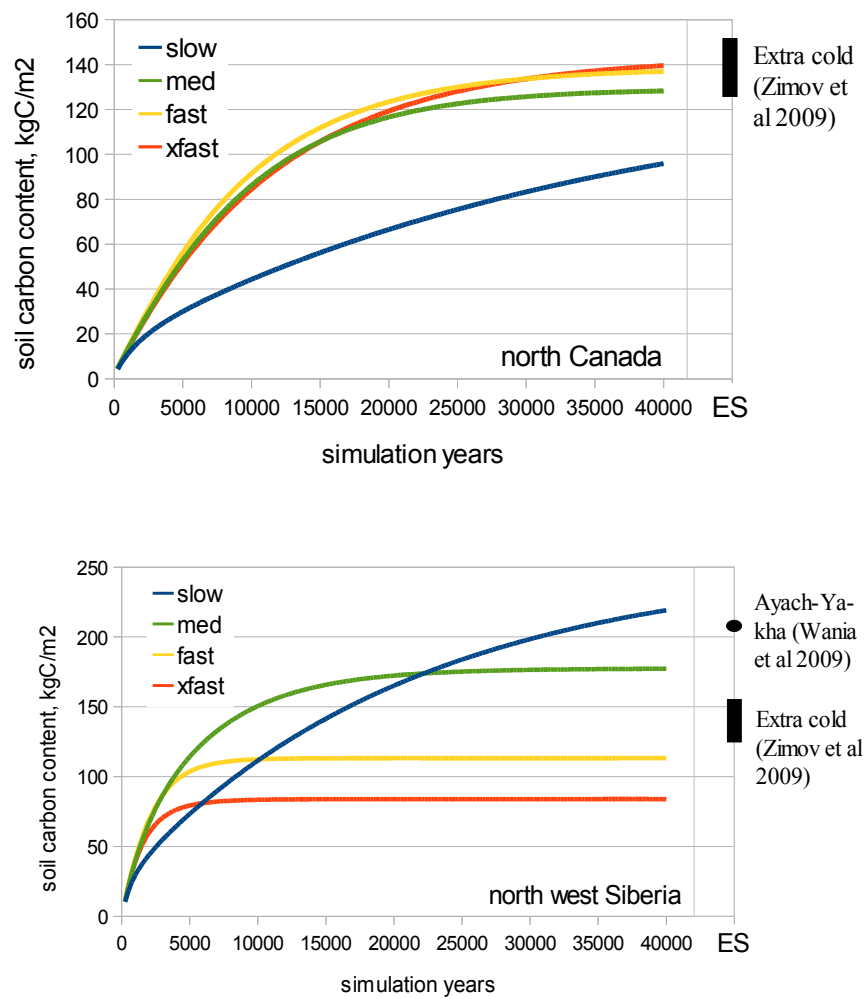
2Figure 1̶5̲4̲: modelled PI(eq) simulation output for total soil column carbon con̲t̲e̲n̲t̲e̶e̶n̶t̶r̶a̶t̶i̶o̶n̶
3for two grid cells. ̶P̶e̶r̶m̶a̶f̶r̶o̶s̶t̶-̶c̶a̶r̶b̶o̶n̶ ̶d̶y̶n̶a̶m̶i̶c̶ ̶s̶e̶t̶t̶i̶n̶g̶ ̶i̶s̶ ̶m̶e̶d̶i̶u̶m̶. ̲E̲S̲ ̲i̲s̲ ̲e̲q̲u̲i̲l̲i̲b̲r̲i̲u̲m̲ ̲s̲t̲a̲t̲e̲
4(̲>̲5̲0̲k̲y̲r̲s̲)̲

5
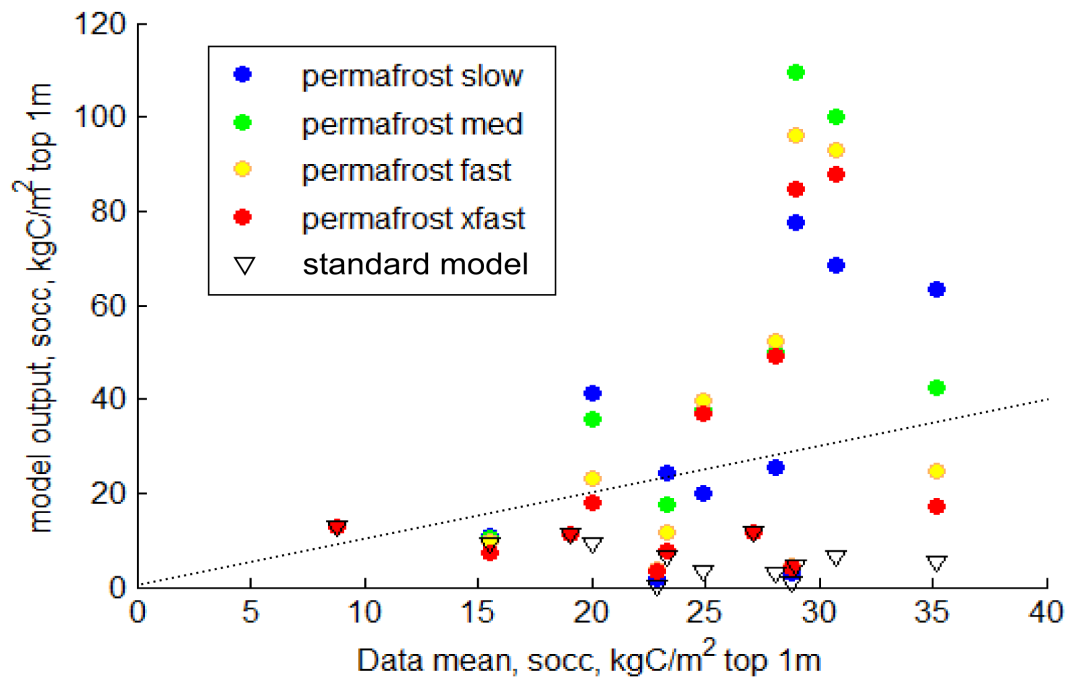
5Figure 165: Modelled socc (soil organic carbon content, kgC/m²) for the top 1m plotted
6against socc data for the top 1m of soil upscaled to the CLIMBER-2 grid scale. Circles are for
7permafrost-carbon model (CLIMBER-2P), triangles are for the standard model (CLIMBER-
82). Dashed line shows the 1:1 position. Points are socc kgC/m²

9
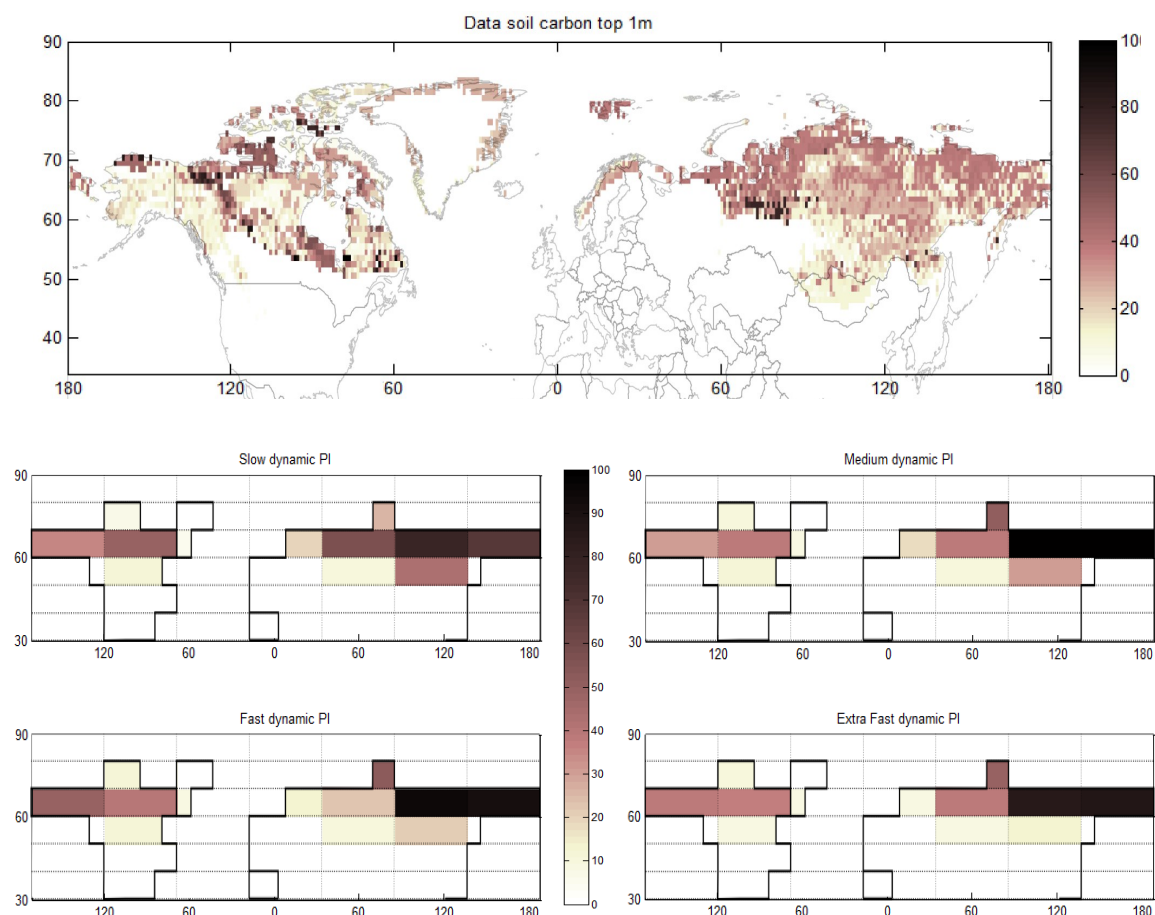
1Figure 176: Socc (soil organic carbon content) data (kgC/m²) for the top 100cm of soils,
2Hugelius et al. (2013) (top). Modelled PI(tr) socc (kgC/m²) in permafrost soils for top 100cm
3(lower four).

4
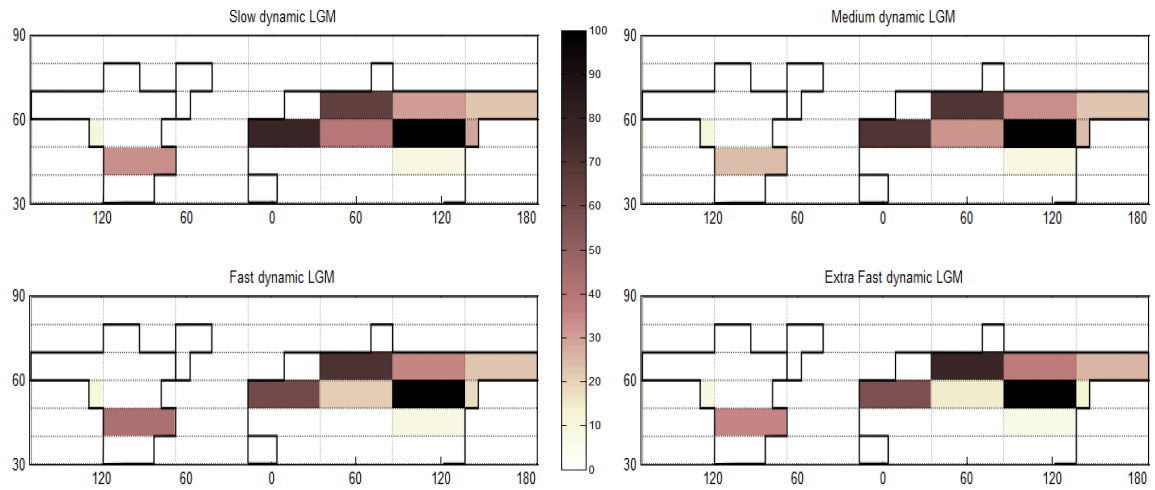
2Figure 1<u>8</u>7: Modelled LGM(eq) socc (kgC/m^2) in permafrost soils for top 100cm.

3

4

5
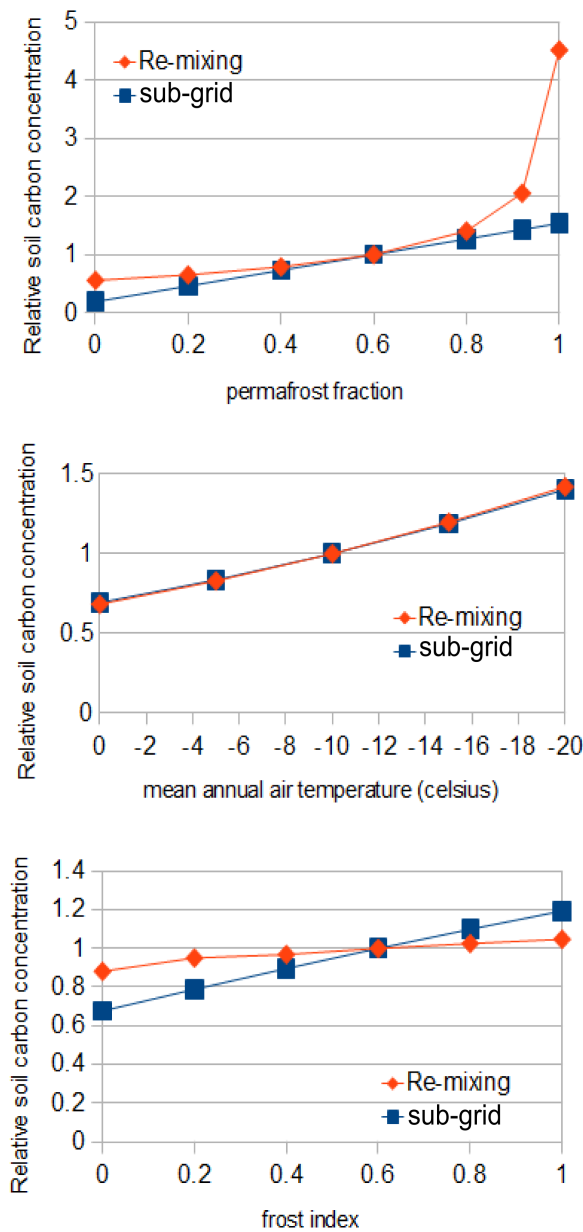
Figure A1: 1D model output to compare the performance of the re-mixing (diamonds) and the
3sub-grid (squares) approaches. Top: MAT (mean annual temperature) and frost-index are
4constant, permafrost-fraction is variable. Middle: frost-index and permafrost-fraction are
5constant, MAT is variable. Bottom: permafrost-fraction and MAT are constant, frost index is
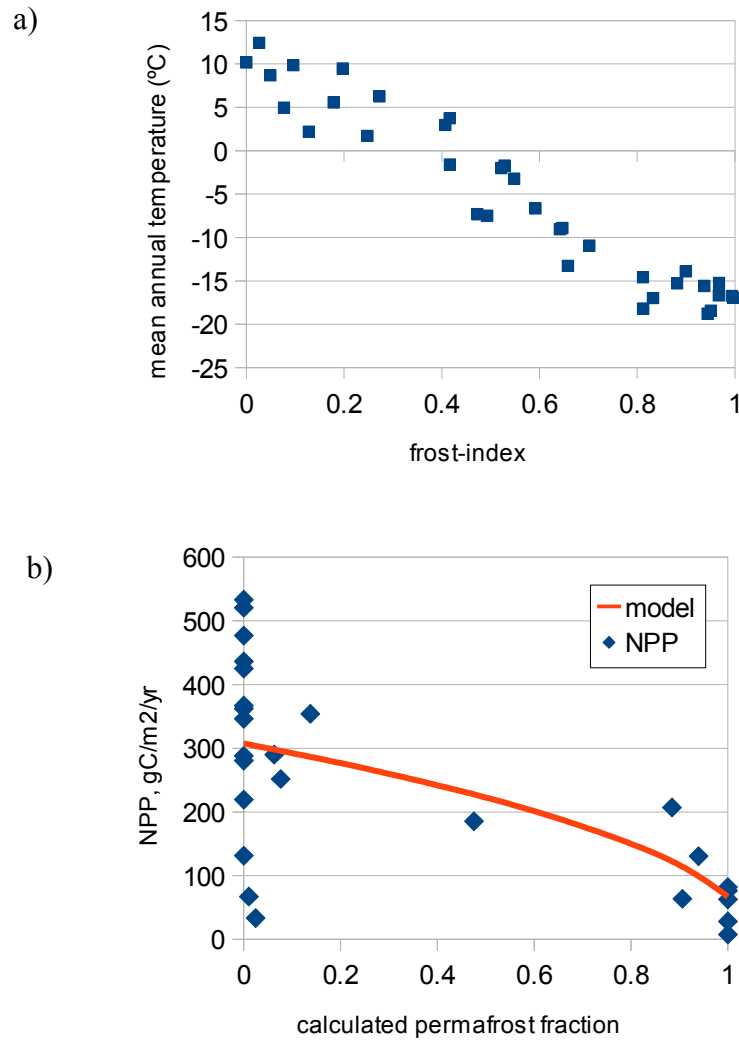6variable. Input to soils from plant mortality and rainfall are constant for all.
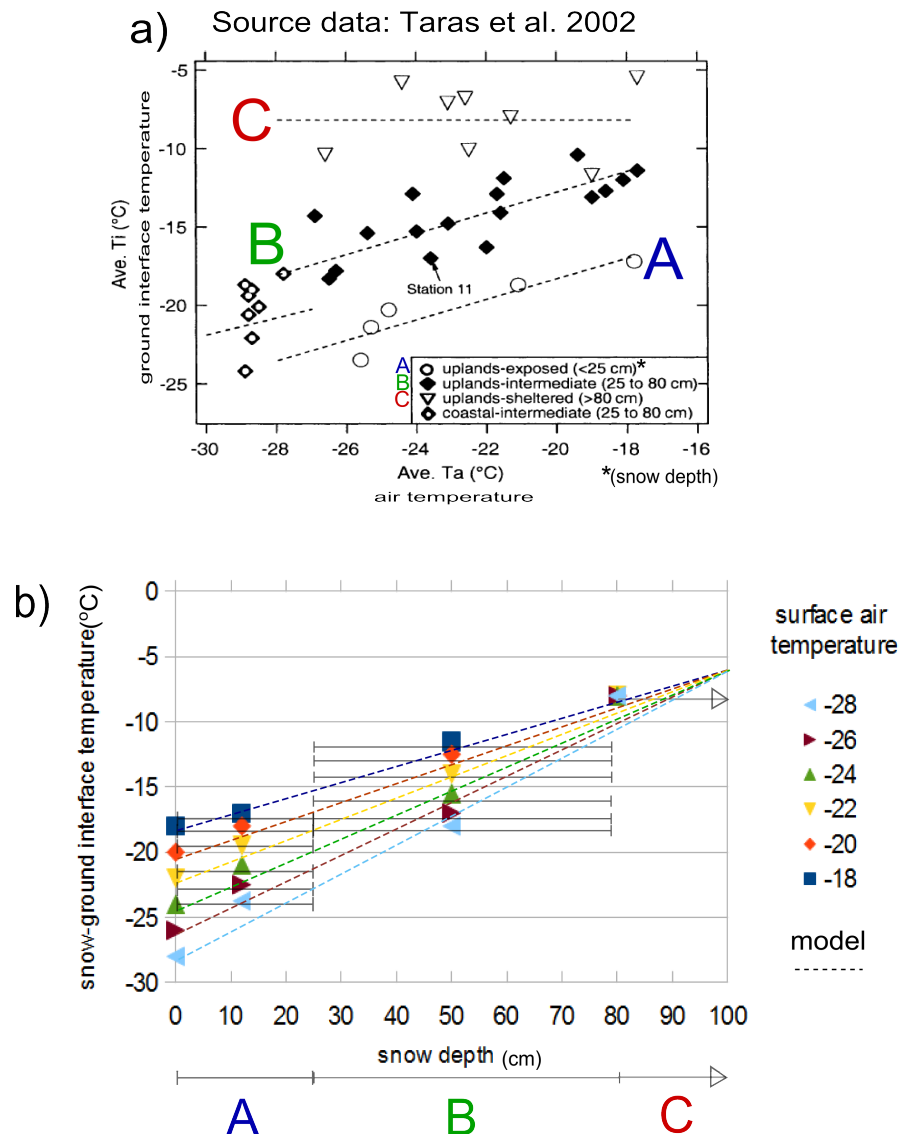
7

8

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a)

b)

2 Figure A2: relationships between frost-index and mean annual temperature on the CLIMBER-
3 2 grid scale (data from Zhang et al 1998 and Jones et al 1999). Frost-index determines
4 permafrost fraction according to model described in section 3.2 (main text). NPP data for the
5 permafrost zone from MODIS plotted against permafrost fraction (calculated from frost index
6 values of Zhang et al 1998) on the CLIMBER-2 grid scale.

3Figure BA1: Snow correction model (b). Linear regressions of data points in (a) (dashed
4lines) are re-plotted as ground interface temperature per snow depth and shown in (b). For
5each surface air temperature, a linear model based on snow depth predicts the snow-ground
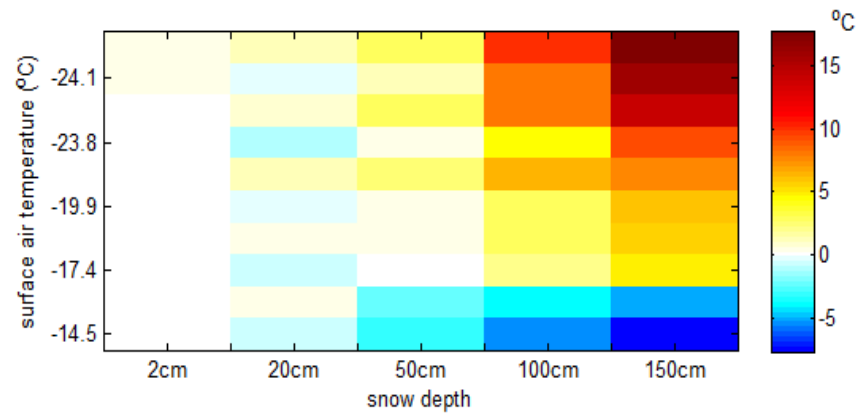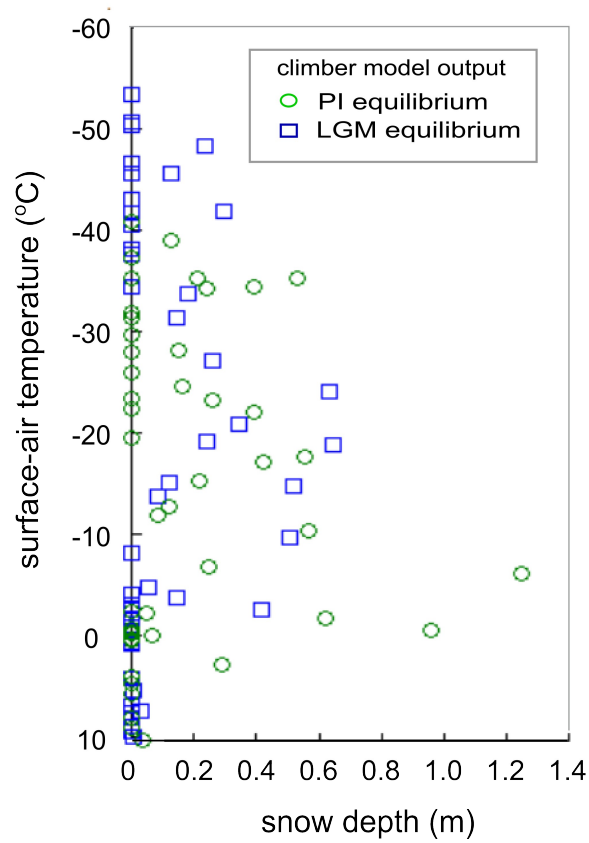6interface temperature.

7

3Figure BA2: Model error when the linear snow correction model is used to predict 4temperatures at snow-depth or snow-ground interface for data from Morse and Burn 2010 5(measurement data is down snow column temperatures). Positive numbers indicate the linear 6model output is too warm compared to data.
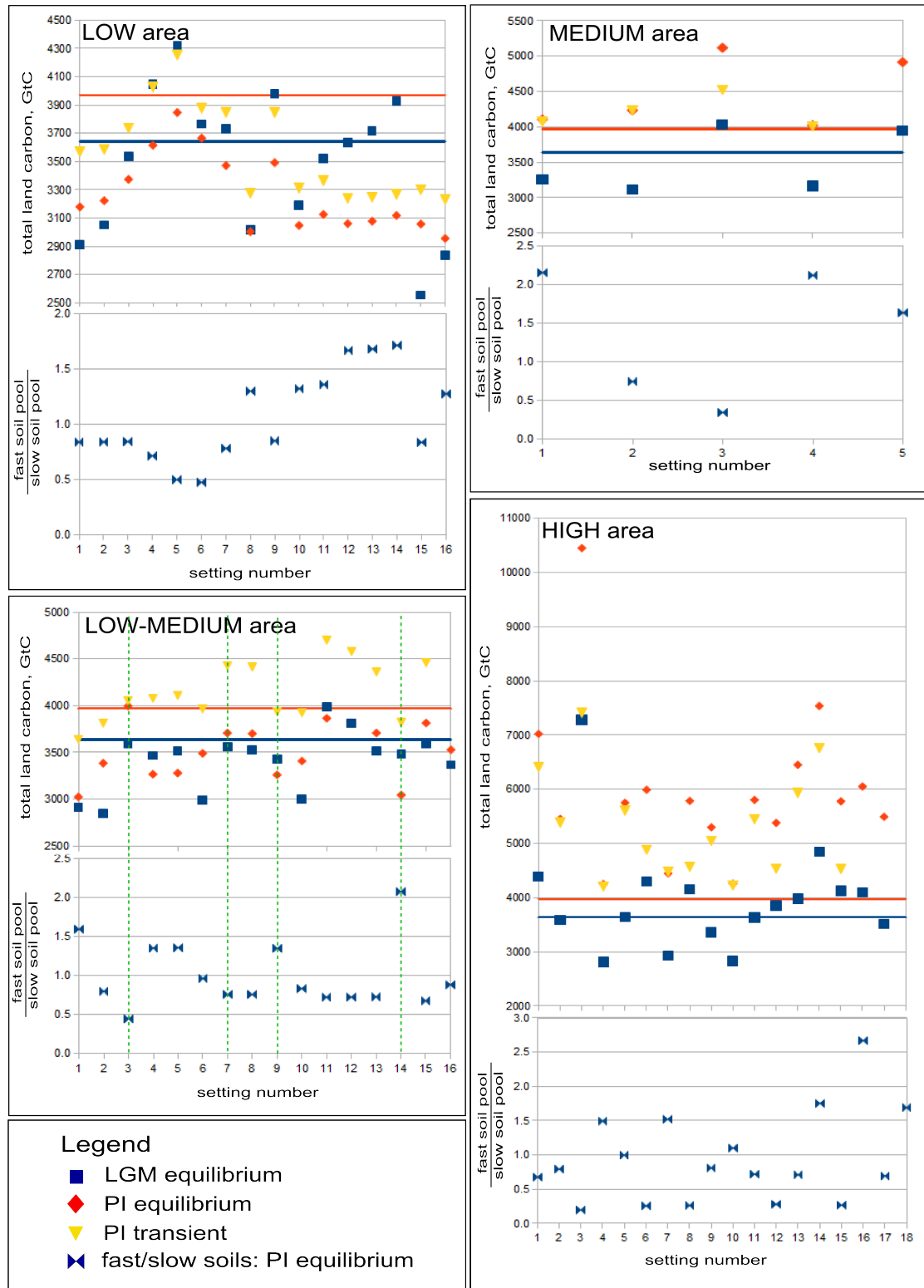
7

4Figure BA3: CLIMBER-2 model output for snow depth (m) plotted against surface air
5temperature (°C) for the PI(eq) (green circles) and LGM(eq) (blue squares) climates. Model
6output does not show extreme conditions for snow cover due to the very large grid-cell size.

7

1

2Figure CB1: Modelled total land carbon stocks, and ratio of fast soils to slow soils for all
3settings used to tune the permafrost-carbon dynamics. Blue squares are for the LGM (eq)
4simulation, red diamonds are for the PI(eq) simulation and yellow triangles are for the PI(tr)
5simulation. Horizontal lines show the total land carbon estimates of Ciais et al. (2012). Green
6dashed lines indicate the chosen dynamic settings where LGM(eq) and PI(tr) show best
7agreement with Ciais et al estimates.

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Changes made to manuscript in response to reviewers comments

page numbers and lines in green text refer to revised manuscript "withcomments" document

Review 1

General Comments:

Crichton et al. Described a simplified permafrost model to study permafrost carbon feedbacks within the climate in longer timescales than centuries. It is indeed a valid field to study considering most of the recent permafrost models focused on 21st century. They have described the model briefly and successfully validated their approach with several datasets. I agree on the approach that a simple and fast permafrost model is useful and needed to study long---time interactions with the climate, but the presentation of the paper could be much improved with a little more effort.

Specific Comments and Technical Corrections:

Most of the motivation comes from the technical difficulties related to the numerical modeling approaches. Although it is important to point this out, authors should make it clear that there are other statistical/empirical approaches for permafrost modeling.

P2 25-31 Reference to other methods of representing permafrost for the carbon cycle added

In several places, authors mentioned permafrost coverage reduction equal to active layer thickening. However, a gridbox can have a reduced permafrost fraction but still have a shallow ALT. And conversely a gridcell can have higher permafrost fraction but still having large active layer and significant decomposition activities... This is not considered in the paper. Please mention this in your discussions.

P52 New figure added showing active layer thickness to frost-index relationship at this spatial scale.

p4935.l22   What do you mean by termination1?

P4 1-3 Glacial termination, date added

p4937.l16   were ---> where List all variables in equations.

P4937.   In Eq. 2, you also need soil temperature Tsoil. Since CLIMBER2 doesn't simulate this, where did you take these values? Is there a difference between Tmat, Tmaat and Tsurf?

p6 26-32, p7 equations and description of carbon dynamics and pools in CLIMBER-2 improved. Tsoil changed to Tref and noted that is 5degC for CLIMBER-2

p4940.l6   Isolated region permafrost ---> isolated permafrost region?

Changed

Fig4   Needs improvement. I suggest showing different boxes for gridcells with changing permafrost coverage. Plot them clearly separate from each other to have a better view. And I don't understand figure 4c. What is the arrow for alt doing in the middle? Also in caption: MAT ---> MAAT

Figure removed

fig5   What do you mean by PI values? You should specify the exact dates of simulation results from which these values are taken. Are these dates really comparable to Modis 2000---2005 averages? If there are no other datasets to compare, please

mention the possible errors arising from this. That could be a reason for the mismatch in Australia for example

fig6   Same goes for fig 6. Please indicate time range used in these plots. Also in caption "Fig. 4" should be Fig. 5

p4940.l19   Remove "is"

p4940.l23   Explain "LGM(eq)" or refer to where it is explained It is very important to see how different CLIMBER2 simulates the soil carbon input. It would be nice to add difference maps for fig 5 and fig 6. The grid sizes are different but a selected grid averaging can be performed to produce the difference values.

Dates added for both figures, reference to the preferential use of MODIS dataset (over model output etc.)

p10 28- p11 4 Upscaled MODIS NPP data cross plotted against CLIMBER-2 output.

p11 12-14 Upscaled LPX output plotted against CLIMBER-2 output

Fig8   There is a problem with this figure. Where are the other parts of the map? Please put the whole map in order to compare the pf extent in Russia, Canada and Alaska.

Do not have this problem with the version I see.... cannot explain why some of the map doesn't show up for reviewer 1.

Fig9   In the caption: "are small" ---> is small? In the caption: "land is less that 25%"--- less than ?

Changed

Table2   It should be Eq 5, not 6

Changed

p4943.l20   Model described in sect3.4? Please check.

Yes section 3.4. the model tuning section is where the permafrost-area setting is selected. Although in revised version this is section 3.5

Fig10   What do permafrost fraction of land values above 1 and below 0 mean? Please show in values how well Climber matches Zhang et al. (2000) estimates of permafrost area.

Values above 1 and below 0 shaded out in figure (as they are limited in the model, as stated in the figure caption). Values for permafrost area added to caption.

p4945.l1---13   The paragraph can be shortened. There is the fast pool and the slow pool. When the soil carbon is transferred from slow to fast pool, it decays faster. There is not much need to mention more carbon in the fast pool.

Paragraph has been simplified, hopefully it is easier to understand now. Section 3.5

p4945.l8   loose ---> lose
fig11   Include units to CO_2 in the plot y axis
p4947.l3,4   Revise sentence.

Done

p4947.l5   validtion ---> validation
fig13   Please add (a) and (b) to plots.

p4949.l2   Please show other dynamic settings in the plot.
p4949.l6   Can you show the data for the N.Canada location?

Done. Figure now shows all settings, with data/model output shown. Text changed to accompany figure.

Fig14   modelled --- > Modelled

p4949.l17   LGM should be 1480 and PI should be 2199, not the other way!

p4950.l3   I can't see the numbers 1339 and 1945 in Table 8. It's rather between 1620 and 2226 GtC! Also why does the "medium" scenario create more carbon than "slow" scenario?

Numbers have been corrected in text

p4950.l15   Do you have more explanation why you chose 40%? Is it the best estimate from other percentage choices for example? Then it would be good to mention in the text.

40% based on Tarnocai et al 2009 values, don't know of other better source....

p4951.l21 fig4 --->fig5

now figure 4 (figure numbers have changed), reference is correct.

fig15   Please describe "socc" also in the caption. Same goes for Fig16.

Done

Fig16   The underlying map is not visible in most parts. I can see Western Europe and USA but not the rest of the borders.

Again, map visibility problem for reviewer 1. All visible in my version.

p4951.l19   I don't think Lena river is considered to be in western Siberia.

Corrected, is actually river Ob and Ob Gulf.

p4953.l13   World ---> world

1 p4953.l14   Loess ---> loess?

2 FigA1   in caption: first "(b)" is unnecessary

3 figA2   you say "… temperatures at snow---depth or snow---ground interface…".
4 What do you mean by temperature at snow depth?

5

6 Caption for figure improved. Morse and Burn 2010 data is for either snow-ground
7 interface or for temperature at snow depth (i.e. Measured from the top of the snow
8 downwards.)

9

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11 **Review 2**

12

13 General Comments:

14 This is a valuable approach, as simplified models of permafrost carbon processes are required
15 for simulations on interglacial timescales. The presentation is generally good, though more
16 details on the rationale for making some of the specific choices of simplifications required for
17 this type of modeling approach would help the reader to better understand tha applicability of
18 the approach. My main issue with this paper is that I have a hard time understanding how the
19 model treats the huge differences in permafrost properties that are required given the
20 enormous grid cell size, and whether this treatment makes sense.

21

22 In the paper, we state that the main driver for permafrost soils being high-carbon is a reduction
23 in rates of soil decomposition due to freezing. This is the strongest driver according to Zimov et
24 al 2009 physical process model. The relationship between frost index and permafrost at this
25 very large grid scale is demonstrated in figure 10 (revised version with comments). The
26 relationship between active layer thickness and frost-index for this grid size is demonstrated in
27 figure 7. Local conditions that create difficulties in modelling permafrost at smaller grid scales
28 are at far smaller spatial scales than that of a CLIMBER-2 grid cell. All of this is in the paper.

29

30 There are a large number of tunable parameters required in creating such a simple scheme, and
31 while I recognize the importance of this approach, it would be informative to give some more
32 detail on the sensitivity of the results to the values of these parameters.

33

34 The model was created by tuning the parameters to match estimates for two points in time; last
35 glcacial maximum (LGM) and pre-industrial present (PI). The model is certainly sensitive to

1   76

these parameters but model output is not un-constrained, because we chose the values of the parameters to make model output match data estimates. Between the two tuning points, LGM and PI, the uncertainty in carbon dynamics is taken account of by the four dynamic settings. The sensitivity of the model to permafrost area is evident in supplmentary material C. It was stated that the permafrost area had a strong control on total alnd carbon stocks in the original manuscript.

More description is needed of the subgrid vs. re-mixing model, with a general introduction to the corresponding ideas behind each of these here. I think I understand it to be that either the C is kept separate between the permafrost-affected and permafrostunaffected fractions of a gridcell, but more description of the assumptions made by each approach is required. Given the large gridcell size of CLIMBER, this would seem to be a critical question and more detail may be needed of the relative merits of each of these approaches before just assuming that one of them is more appropriate for all cases.

A sentence added about the concepts of re-mixing vs sub-grid. A further step in comparing the model output along a permafrost-gradient demonstrating that without downscaling climate variables the re-mixing approach is more appropriate. Section 2.4.

In any of the subgrid approaches, I don't see any mention of the model taking into account horizontal gradients in properties such as the temperature or frost index, nor how permafrost properties such as the frost index actually vary nonlinearly as functions of climate.

Section 2.4 Sentence added about downscaling the climate variables, and that we dont want to add this complexity. In supplementary material, relationship between mean annual temperature and frost-index is demonstrated, almost linear at this grid-scale.

Given the highly nonlinear behaviour of permafrost in general and permafrost carbon in particular, I would want to understand better how the gridcell-mean quantities vary relative to the diagnosed gridcell fractions. For example, if the climate were interpolated to a higher resolution (say the 2-degree resolution typical of GCMs), would the permafrost area change significantly? How about the permafrost C?

Stated in the revised paper that this treatment is only for the CLIMBER-2 grid scale. They very likely do hold different relationships for different grid-scales. This can be seen clearly from

figures 6, 10 and 11 as all are non-linear. Nowhere in the paper do we say that any relationships are linear, or need to be because this treatment is particularly for the CLIMBER-2 grid scale. This is stonrgly stated in section 5.1

Specific Comments:

What is the CLIMBER timestep? I think that this may be an equally important concern as the spatial resolution question for determining whether to use a heat-diffusion approach versus the permafrost index approach used here.

P6 lines 26-32 Timestep stated in equation 1 description. The long timescale experiments already rul out heat diffusion as a suitable modelling approach. This is stated in the introductory paragraphs.

I'm not sure I understand what the role of term b in equation 3 is, nor the domain over which this function is applied.

P8 lines 27-29 b is simply a multiplier for a soil (that is already diagnosed as permafrost) but b is not a function of frost-index, whereas a is also a function of frost index. Added a sentence to emphasise that taupermai is only applied to permafrost soils. Perhaps the reviewer read it as tau applied at all soils, and the frost index dependent multiplier "a" is the way in which permafrost is diagnosed. It is not.

What is the physical meaning of the "slow" C pool here, which according to figure 12 does not equilibrate even on the glacial-interglacial timescale?

Soil pools are now all referred to as Soil_slow or Soil_fast, to distinguish from the dynamic settings.

Review 3

In their manuscript "A simplified permafrost-carbon model for long-term climate studies with the CLIMBER-2 coupled earth system model", Crichton et al. describe a new component added to the CLIMBER-2 model and report performance of this module. The fate of permafrost carbon

under climate change is a novel, challenging topic. The manuscript is in a good shape, but needs better handling of equations and terminology.

General comments.

One of my concerns is about misleading and confusing terminology used in the manuscript. For example, in the abstract they write about "soil decay", while the process they consider is not the soil evolution (formation and degradation of soils), but decomposition of soil organic matter (SOM). They conclude that "the distribution of this permafrost-carbon pool is in broad agreement with measurement data for soil carbon concentration per climate condition." What do they mean under climate conditions: a temperature in the particular geographical location at present or does it mean sensitivity in past climates? Why do they use a term "concentration" and not "storage" used e.g. by Hugelius et al. or "content" and "density" as in most of papers on SOM distribution and modelling? Concentration is usually used for liquids and gases, not solid matter. This misleading terminology is really annoying for a reader who tries to understand what exactly is done by the authors.

Terminology improved in response to reviewers comments. Sentence shortened to "The distribution of this permafrost-carbon pool is in broad agreement with measurement data for soil carbon content". Which is for spatial location from Hugelius et al 2013 model-data comparison.

My other concern is that the authors simulate the permafrost carbon dynamics with one-pool model, while multiple-pool models with several turnover times usually show much better agreement with data.

The model is in fact a two carbon pool model, Soil_fast and Soil_slow. This has been clarified in the text, p9 line 12-14, throughout the manuscript the two pools Soil-fast and Soil_slow are discussed.....

They also have several tunable parameters, e.g. a and b in Eq. 3. How many degrees of freedom does the model have?

There are tunable parameters, but these are not unconstrained as we tune the model to agree with land carbon stock estimates for two points in time LGM and PI. Similar to reviewer 2 comment.

If one do just a global one-box model of permafrost carbon with the same degrees of freedom, wouldn't it show similar dynamic behavior? What is an advantage of using spatially distributed model of permafrost carbon, if there is just one-pool model?

The strength of spatially distibuted (two pool) permafrost carbon stocks is highlighted in section 5.1

Specific comments.

p. 4935, l.26: "a possible explanation for the 13C record". Usually, 13C is referred to marine data, not atmospheric data (13CO2).

Carbon-13 for atmosphere data referred to as d13CO2 throughout

p. 4936, l. 11: "::: carbon-13 tracer in its global carbon cycle model, ice sheets and carbonate compensation in ocean waters (Brovkin et al., 2007) as well as ocean biochemistry." This is a funny mixture of carbon and physical components (ocean bio- GEOchemistry, ice sheets) and processes (carbonate compensation). The component needed to model the carbonate compensation is called "deep sea sediments".

P5 line 20 Sentence changed to refer to components, with process in brackets. "carbon-13 tracer, ice sheets and deep sea sediments (allowing the representation of carbonate compensation) in the ocean (Brovkin et al. 2007) as well as ocean biogeochemistry."

p. 4937, l. 19: Instead of explaining equations in words (which could be easily misinterpreted), the authors could demonstrate that they know how to write equations behind the model code and provide a first-order kinetic equation for SOM dynamics, e.g. In the form
dC/dt=F_litter-C/tau
and explain that F_litter is the litter flux, C/tau is the soil respiration flux for which they could also use another notation, eg F_resp. They also should provide units for all fluxes and stocks (e.g., kgC/m2/yr, kgC/m2) and say that the equation is numerically solved with a time step of 1 year.
Eq.2: The term T_soil should be noted as T_ref equal to 5C in the CLIMBER-2 code. The term c should be tau_ref (turnover time for a reference temperature). Units (years) should be provided in this and next Eq. 3.

p7 All equations improved, including that Tsoil is Tref and is 5 degrees in CLIMBER-2.

Eq.4: what are units for degree-days?

P8 line 15 Units added, degree.days/year

Section 2.5, Figs. 5, 6, 16: comparison of models and data should be done on the same spatial resolution (fine-scale data should be upscaled to the coarse resolution of CLIMBER2).

Done

Table 1 title, section 4 title: "develop, tune and validate the permafrost-carbon mechanism." How one can do tuning and validation of the model using the same set of data? For validation, the data should be independent from the data used to tune the model, otherwise it is a circular logic. It is more correct to say that the model is tuned to get the best fit.

Table 1 caption changed, section 4 on Model Validation changed to Model Performance, and section 4.4 on independent dataset validation called "Soil carbon contents validation".

P. 4953, l. 5: "The model has no soil 'depth' (only a carbon pool) so 14C cannot be used as a useful tracer as part of CLIMBER-2P in its current configuration. The CLIMBER- 2P model does have a 13C tracer within the carbon cycle which is intended to be used in conjunction with the permafrost model to constrain carbon cycle dynamics." Why 14C cannot be used as an atmospheric tracer, similar to 13C?

Section 5.2 Explanation as to why C14 can't be used added.

Change made after reviewers comments

(page numbers and line numbers correct for the with_comments version at the beginning of this document)

General:

Soil decay changed to "soil decomposition" throughout

socc is "soil organic carbon content" throughout.

$\delta^{13}C$ atmosphere changed to $\delta^{13}CO_2$ throughout

Temperature is mean annual temperature MAT at the ground surface

Soil pools nomenclature made clearer to separate them from carbon dynamic settings

p3 25-31 Reference to other methods of representing permafrost for the carbon cycle added

p5 1-3 Glacial termination, date added.

P5 21 changed wording for deep sea sediments mechanism

p6 11-12 added sentence for concepts being sub-grid or re-mixing

p6 26-32, p7 equations and description of carbon dynamics and pools in CLIMBER-2 improved

p8 15-16 units for DDF and DDT added

p8 21-28 wording improved

p9 5 sentence on spin-up time added

p9 12-14 justification for model settings added

p9 16-24 New paragraph comparing two model types, original paragraph moved to supplementary material. This new paragraph (and later addition is to address reviewer 2 who said the justification for chosing re-mixing was not strong enough).

1p10 3-17 Qualitative permafrost-gradient description removed (and figure accompanying)

2

3p10 28- p11 4 Upscaled MODIS NPP data cross plotted against CLIMBER-2 output.

4

5p11 12-14 Upscaled LPX output plotted against CLIMBER-2 output

6

7p11 16-31 New paragraph compares 1D model output adjusted for reducing NPP (more
8details added in supplementary material) compared with mean data values, new figure added

9

10p12 1-15 paragraph describing functioning of re-mixing model. Sentence on the importance
11of spatial scales for relationships between climate variables and soil characteristics

12

13p13 10 section re-named (and numbered) for clarity

14

15p15 23-30 Paragraph simplified

16

17p17 20-31 sentence adjusted (as Victor Brovkin comment), clarified the difference between
18model output for present-day equilibrium or present-day transient simulation

19

20p18 1 Section title changed, as this section is not just validation

21

22p19 6- p20 1-3 Section altered, all dynamic settings added to figure accompanying. Analysis
23adjusted, as initially I misread the Zimov et al 2009 model output (now corrected).

24

25p20 1 Section title changed, this section IS about validation, using a separate dataset to
26compare against model output than those used to tune the model

27

28p20 5 the 40% carbon content in the top 1m was derived from Tarnocai et al 2009 values...
29(38% or 80% in the top 2m). Not other data available to chose a value

30

31p22 4 Its not the Lena Delta I wanted to reference, its the Ob river and Gulf of Ob region.
32Corrected.

33

34p22 29 – p23 1-9 sentences added to clarify that spatial scale of CLIMBER-2 was important
35for relationships between climate and soil characteristics, and that if applied to other models
36these need to be considered. Also, that "a" and "b" values only apply for this spatial scale and
37the relationship between permafrost fraction and soil carbon content is non-linear. The value
38of spatial modelling, not just one pool box model, is emphasized

1

p23 24-28 The reason that carbon-14 shouldnt be used as a tracer is clarified

Appendix A – 1D model comparison and upscaled data for frost index, mean annual temperature and NPP at the climber-2 scale

p34 table caption changed

p 46 new figure, 1D model comparison where variables are inter-connected

p 48 cross-plot added

p 50 cross plot added

p51 new plot, 1D model comparison where variable interconnect and NPP accounted for, overlaid on data mean values

p52 new figure showing relationshio between active layer thickness and frost index on the CLIMBER-2 grid scale

p55 values above and below zero are masked out (as in the model the fraction is limited to 0 to 1. Values for total permafrost area added (and for data).

p60 all model dynamic settings added

p65 new figures to demonstrate the relationships between climate and other variables at this scale