

# 1 Response of microbial decomposition to spin-up explains 2 CMIP5 soil carbon range until 2100

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10

## 11 **Abstract**

12 Soil carbon storage simulated by the Coupled Model Intercomparison Project (CMIP5) models  
13 varies 6-fold for the present day. Here, we confirm earlier work that this range already exists at  
14 the beginning of the CMIP5 historical simulations. We additionally show that this range is  
15 largely determined by the representation of microbial decomposition during each model's spin-  
16 up procedure from initialization to equilibration. The 6-fold range in soil carbon, once  
17 established prior to the beginning of the historical period (and prior to the beginning of a CMIP5  
18 simulation), is then maintained through the present and to 2100 almost unchanged even under a  
19 strong business-as-usual emissions scenario. We therefore highlight that a commonly ignored  
20 part of CMIP5 analyses – the land surface state achieved through the spin-up procedure – can be  
21 important for determining future carbon storage and land surface fluxes. We identify the need to  
22 better constrain the outcome of the spin-up procedure as an important step in reducing  
23 uncertainty in both projected soil carbon and land surface fluxes in CMIP5 transient simulations.

24

## 25 **1 Introduction**

26 The land surface currently absorbs about a third of anthropogenic emissions of CO<sub>2</sub> (Canadell et  
27 al., 2007; Le Quéré et al., 2009) and so helps to offset global warming. Future global warming  
28 may enhance microbial decomposition and emissions of CO<sub>2</sub> from respired soil organic carbon  
29 (SOC), the largest carbon pool in the terrestrial biosphere (Jobbágy and Jackson, 2000). Higher

30 emissions from *SOC* could accelerate increases in atmospheric CO<sub>2</sub> concentrations even if plant  
31 carbon uptake by photosynthesis increased under higher atmospheric CO<sub>2</sub> (Ahlström et al., 2013;  
32 Friedlingstein et al., 2014; Nishina et al., 2014). Conversely, if the soil remains a carbon sink (Le  
33 Quéré et al., 2009; Lund et al., 2010) the negative feedback on rising atmospheric CO<sub>2</sub>  
34 (Davidson and Janssens, 2006) would help limit rates of increase. How soil carbon is represented  
35 in models and how it responds to climate is critical to resolving whether the land will remain a  
36 sink or become a source of CO<sub>2</sub>.

37 Recent model intercomparisons, such as the fifth phase the Coupled Model Intercomparison  
38 Project (CMIP5; Taylor et al., 2012), or the Inter-Sectoral Impact Model Intercomparison  
39 Project (ISI-MIP; Warszawski et al., 2013) have highlighted a lack of consensus among models  
40 on whether the soil carbon sink will be sustained during the 21<sup>st</sup> century (Friedlingstein et al.,  
41 2014; Nishina et al., 2014). These models also exhibit large discrepancies in stores of *SOC* they  
42 simulate. For example, Todd-Brown et al. (2013) report that total *SOC* simulated by CMIP5  
43 models for the present day represents a 6-fold variation ranging from ~510 to ~3040 Pg C.  
44 Another large range (~1090 to ~2645 Pg C) exists in the present day *SOC* simulated by ISI-MIP  
45 models despite being driven by a harmonized weather dataset (Nishina et al., 2014). These latter  
46 results indicate that a significant fraction of the uncertainty in estimates of total *SOC* arises from  
47 the representation of land processes rather than differences in climate drivers.

48 Soil carbon pools of widely different sizes have the potential to react differently to future climate  
49 change. We therefore examine the likely reasons for the large differences between CMIP5  
50 models in their simulation of *SOC*. This work is founded in the recognition that the *SOC* varies  
51 among the CMIP5 models *for the present day* over a 6-fold range (Todd-Brown et al., 2013) and  
52 this range contributes to model-to-model variations in *SOC* change in the future (Todd-Brown et  
53 al., 2014). We explore *why* this 6-fold range exists and ultimately show that individual model  
54 responses to the spin-up procedure, particularly the dominant role of turnover time relative to  
55 *SOC* input, are the key reason for this range. Explaining why the amount of carbon mobilised in  
56 the active cycle varies greatly between models is critical but has been largely ignored in the  
57 literature to date. As noted by Knutti and Sedláček (2013), there may be multiple sources of  
58 disagreement between models such as a lack of process understanding, or the reduced  
59 availability of relevant observational datasets to constrain models. Technical aspects of climate  
60 modelling, such as how different state variables are initialized or spun up to an equilibrated state  
61 prior to an experiment being conducted, and how equilibration is defined in this context, can also  
62 lead to major differences between model simulations. Discriminating between these sources of

63 uncertainty to understand why CMIP5 models differ so significantly in the amount of *SOC* in the  
64 present day, and subsequently in the total amount of C mobilized in the global cycle under a  
65 future climate, enables an improvement in model projections. Increasing the consistency  
66 between models is required to improve our confidence in the sign of the soil carbon feedback in  
67 the future.

68

69 To avoid misconceptions, we define and differentiate between two states that are commonly  
70 called “initial” states in land modelling. Our definition of “initial state”, which is not known or  
71 reported in CMIP5 models, is the state at the beginning of a climate model integration. This  
72 “initial state” may come from a previous simulation, from off-line simulations, from  
73 observations or via expert judgment. In the case of *SOC*, it may be initialized as a “cold start” or  
74 in a state equilibrated with an atmosphere that reflects the period prior to the beginning of a  
75 simulation. This model state is then commonly integrated forward in time until those model  
76 states that are considered important are in equilibrium with the atmospheric model over some  
77 period of time and to a degree that is defined by the modeller (but not reported). This generates  
78 what we define as an “equilibrated state”. In CMIP5, simulations are then reported from the  
79 beginning of the historical period (say 1850), initialized with this “equilibrated state” and  
80 integrated forward in time to the present day under observed forcings, and then into the future  
81 using a representative concentration pathway (Taylor et al., 2012). The values of a climate  
82 model’s state variables at 1850 are commonly thought of as the “initial state” but they are not; it  
83 is the model-specific equilibrated state under pre-industrial forcing and this reflects the skill of  
84 the climate model to represent global and regional temperatures, rainfall and so forth. We  
85 therefore call this the “equilibrated state” and note that this differs from the “initial state” due to  
86 the Earth system model’s simulated climate, the definition of “equilibrium” over time and space  
87 and *crucially* how the state variables are parameterized. Here we show that a great deal of the 6-  
88 fold range in *SOC* in the CMIP5 models at the “equilibrated state” assumed representative of  
89 1850 (and consequently in the present day reported by Todd-Brown et al. (2013)) is a  
90 consequence of the procedures used to evolve the model from the “initial state” to the  
91 “equilibrated state”. These procedures may influence how *SOC* changes through to 2100 (Todd-  
92 Brown et al., 2014) due to the current state-of-the-art representation of *SOC* decomposition.

93

## 94 2 Material and methods

### 95 2.1 SOC in Earth System Models

96 In all global terrestrial models participating in recent intercomparison projects such as CMIP5  
97 and ISI-MIP, the *SOC* balance and its change ( $\Delta SOC$ ) are represented in a similar way. First,  
98 inputs of carbon into the soil are derived from plant pools. Plant carbon uptake and turnover  
99 times respond to climate change, climate variability and atmospheric CO<sub>2</sub> independent of the  
100 size of the *SOC* pools. Meanwhile, modeled microbial decomposition releases carbon by  
101 heterotrophic respiration ( $R_h$ ). The balance can be summarized by

$$102 \quad \Delta SOC = SOC_{in} - R_h \quad (1)$$

103 where  $SOC_{in}$  is the input to the SOC pools from plant and litter pools.

104 Microbial decomposition is commonly represented as a first-order process and applied to a  
105 succession of pools. In each pool, a parameter  $k$  reflects the specific baseline decomposition rate  
106 (Xia et al., 2013; Exbrayat et al., 2013a,b) at a reference soil temperature and non-limiting  
107 moisture conditions. Then, the decay rate is adjusted at each time step by an environmental  
108 scalar (Todd-Brown et al., 2013; Xia et al., 2013; Exbrayat et al., 2013a,b; Nishina et al., 2014)  
109 that describes the instantaneous response of microbial activity to the soil physical state as the  
110 product of a soil temperature ( $f_T$ ) and a soil moisture respiration function ( $f_W$ ). Various  
111 formulations of  $f_T$  and  $f_W$  have been implemented in model codes (Lloyd and Taylor, 1994;  
112 Falloon et al., 2011; Todd-Brown et al., 2013; Exbrayat et al., 2013a,b; Nishina et al., 2014)  
113 usually assuming a space and time-invariant response to the same conditions. Their effect on  
114 decay rate varies according to local soil conditions and therefore climate.

115 The actual decay rate ( $k \times f_T \times f_W$ ) is applied to the amount of substrate available,  $SOC$ , to  
116 determine the amount of microbial decomposition  $D_m$  at each model time step:

$$117 \quad D_m = k \times f_T \times f_W \times SOC \quad (2)$$

118 where  $k \times f_T \times f_W$  is equivalent to the fraction of respired substrate, the inverse of the turnover  
119 time  $SOC/R_h$ . A part of the decomposed organic matter is routed to pools with longer turnover  
120 time and the rest is emitted as CO<sub>2</sub>. There may be variations between models in the number of  
121 pools they represent (Todd-Brown et al., 2013; Nishina et al., 2014) and the formulations of the  
122 environmental response functions (Falloon et al., 2011; Exbrayat et al., 2013a) but at the  
123 ecosystem scale,  $R_h$  is proportional to the amount of substrate, i.e.  $SOC$ , available in the soil.

124 This parameterization may be inconsistent with our current understanding of microbial  
125 decomposition (Allison et al., 2010; Schmidt et al., 2011; Wieder et al., 2013) because it lacks  
126 the representation of processes like microbial activity and priming effect (e.g. Xenakis and  
127 Williams, 2014). However, the first-order dependency of  $R_h$  on  $SOC$ , soil temperature and  
128 moisture is able to explain complex phenomenon like the apparent acclimation of decomposers  
129 to warming by quick depletion of the most labile substrate pools (Luo et al., 2001; Kirschbaum,  
130 2004; Knorr et al., 2005).

131

## 132 **2.2 CMIP5 data**

133 From the CMIP5 archive we downloaded monthly soil carbon density ( $cSoil$  in metadata), litter  
134 carbon density ( $cLitter$ ) and heterotrophic respiration ( $rh$ ) for 15 CMIP5 models from 10  
135 international institutions. A list of models can be found in Table 1 while further details about  
136 models and land components have been summarized in Table 2. We note that four of these  
137 models, namely BCC-CSM1.1 (model A), CCSM4 (model C), NorESM1-M and NorESM1-ME  
138 (grouped as model J), represent nitrogen limitation on plant productivity while the others do not.  
139 We selected data for the historical (1850-2005) and the most intensive Representative  
140 Concentration Pathway 8.5 (RCP 8.5, 2006-2100) experiments. A total of 79 simulations for the  
141 historical experiment, including 34 simulations continuing for RCP 8.5 (Table 1) were available.  
142 When  $cLitter$  was reported, we added it to  $cSoil$  as both pools are parameterized to generate  $R_h$   
143 following first-order kinetics.

144

145 To calculate stock sizes we first multiplied spatially explicit data of  $cSoil$  and  $cLitter$  in  $\text{kg C m}^{-2}$   
146 by corresponding grid-cell areas ( $areacella$  in metadata) and integrated their values globally.  
147 Similarly, we calculated global fluxes of  $R_h$  by multiplying monthly fluxes in  $\text{kg C m}^{-2}$  by grid-  
148 cell areas and integrating them globally. Fluxes were summed to obtain annual averages. Annual  
149 soil carbon input ( $SOC_{in}$ ) from above ground biomass was not available from the database.  
150 Therefore, we calculated it by inverting the  $SOC$  balance:

$$151 \quad SOC_{in} = \Delta SOC + R_h \quad (3)$$

152 As models did not start their historical simulations at the same time, we focus our analyses on  
153 the overlapping period of 1861-2100. We also averaged all simulations from the same model or

154 institution in an attempt to account for model dependence (see Bishop and Abramowitz, 2013,  
155 for a discussion on the topic).

156 In the following, we report values of stocks and fluxes averaged for three periods of time, the  
157 pre-industrial (1861-1870), modern (1996-2005) and future (2091-2100) periods. While the  
158 period 1861-1870 is not part of the pre-industrial control runs *sensu stricto*, the minor increase in  
159 atmospheric CO<sub>2</sub> between pre-industrial times (i.e. before 1850) and 1870 is unlikely to have led  
160 models to simulate a strong change in the greenhouse effect and terrestrial C fluxes. Values are  
161 shown in Table 3.

162

### 163 **2.3 Harmonized World Soil Database**

164 HWSD (FAO, 2012) is a global dataset of dominant soil units at a 30 second arc resolution,  
165 providing soil properties for the top (0-30 cm) and sub-soil (30-100 cm). We use version 1.21  
166 and follow the approach by Todd-Brown et al. (2013) to obtain global values. First, we regridded  
167 the HWSD by selecting dominant soil units in a 0.5° latitude × 0.5° longitude grid. Then, we  
168 multiply the organic carbon content of the dominant soil units (in % weight) by the bulk density  
169 (provided in kg dm<sup>-3</sup>) to obtain the carbon density (in kg C m<sup>-2</sup>) in each 0.5° × 0.5° grid cell. We  
170 multiply the density by the surface area of each grid cell and sum results to obtain a total soil  
171 carbon content of ~1170 Pg C. Following Todd-Brown et al. (2013), a confidence interval of  
172 29% below the mean (i.e. ~830 Pg C) to 32% above the mean (i.e. ~1550 Pg C) was considered  
173 to take variations in soil carbon content and the mapping processes into account. The range we  
174 obtain is slightly smaller than reported by Todd-Brown et al. (2013) (890 – 1660 Pg C) because  
175 we use an updated version of the HWSD and did not replace bulk density values for Andisols  
176 and Histosols.

177

## 178 **3 Results**

179 We first compare total *SOC* for pre-industrial (1861-1870), modern (1996-2005) and future  
180 (2091-2100) periods. Figure 1 compares the total *SOC* range in CMIP5 models for 1861-1870  
181 (563 – 2938 Pg C), 1996-2005 (576 – 3047 Pg C), and 2096-2100 (582 – 3266 Pg C, derived  
182 using the RCP 8.5 scenario). All three periods show very similar distributions of *SOC* among the  
183 models and the present day and future ranges already exist at the beginning of the historical  
184 simulations. Figure 1 highlights that the size of *SOC* pools of individual CMIP5 models remain

185 largely consistent over the three time periods. Indeed, pre-industrial *SOC* predicts modern *SOC*,  
186 modern *SOC* predicts future *SOC* and pre-industrial *SOC* predicts future stocks with a high  
187 degree of precision (Figure 1). Also represented in Figure 1 is the 95% confidence interval of  
188 total *SOC* estimated from HWSD that we use as a reference for modern total *SOC* (i.e. in 1996-  
189 2005). We note that only three models fall within this range: BCC-CSM1.1 (model A),  
190 CanESM2 (model B) and HadGEM2 (model F). Models based on the CLM4 land surface model  
191 (i.e. models C and J) underestimate modern *SOC* while all remaining models overestimate it.  
192 Note that these models C and J include nitrogen limitation of the vegetation response to  
193 increasing CO<sub>2</sub>.

194 We next investigate the likely reasons for the existence of this pre-industrial CMIP5 range in  
195 total *SOC*. The first obvious step is to check whether models are at equilibrium prior to and  
196 climate change experiments. Models may not agree on total *SOC* simply because some of them,  
197 and especially those at the extremes of the CMIP5 spectrum, are still drifting toward their own  
198 steady-state and therefore do not comply with our experiment protocol. In Figure 2 we show the  
199 relationship between pre-industrial  $SOC_{in}$  and  $R_h$ . This relationship is highly significant ( $R^2 = 1$ ;  
200  $p < 0.001$ ) and strongly suggests that all models were equilibrated under pre-industrial boundary  
201 conditions. This removes the possibility that models were not in equilibrium and means that the  
202 6-fold CMIP5 range is likely linked with the internal terrestrial processes represented in these  
203 models.

204 Two major internal terrestrial processes are involved:  $SOC_{in}$ , the amount of *SOC* that enters the  
205 soil pools, and the turnover time of organic matter that corresponds to the amount of *SOC* that is  
206 released from soil pools. The relationship between  $SOC_{in}$  and total *SOC* during the pre-industrial  
207 period is shown in Figure 3. Overall, the relationship is not significant ( $R^2=0.04$ ;  $p=0.604$ ).  
208 Further, the models that equilibrate with the largest total *SOC* stock (models E, H, I) are not the  
209 models with the largest *SOC* input. Similarly, the small equilibrated *SOC* pool size of models C  
210 and J seems unrelated to  $SOC_{in}$  despite these models including N limitations on plant  
211 productivity and  $SOC_{in}$ . In short, the amount of  $SOC_{in}$  cannot explain the size of the equilibrated  
212 pools. In Figure 4, we therefore present the relationship between the pre-industrial *SOC* turnover  
213 time (i.e. the inverse of the decay rate expressed as  $SOC/R_h$ ) and total *SOC*. This relationship is  
214 highly significant ( $p < 0.001$ ) and linear ( $R^2=0.84$ ) and models with a longer turnover time, i.e. a  
215 low decay rate, require larger pools to offset the same *SOC* input, and vice-versa. Further,  
216 turnover times are not affected by the number of *SOC* pools represented. Models with the

217 longest turnover time have alternatively 9 (model E) or 2 pools (models H and I), while models  
218 with the shortest turnover time have 8 (model A), 6 (models C and J) or 4 pools (model F).

219

## 220 **4 Discussion**

221 Despite the change imposed on boundary conditions during global warming experiments (Anav  
222 et al., 2013; Friedlingstein et al., 2014), CMIP5 present day and projected *SOC* stocks are largely  
223 determined by their equilibrated pool size (Figure 1) in 1860. This was not unexpected due to the  
224 slow response of *SOC* pools but it clearly shows that modern and future stocks are mostly  
225 defined by the equilibrated pool size while changes can be explained by a combination of  
226 changes in the input and output fluxes (see Todd-Brown et al., 2014 for a detailed account of  
227 these mechanisms). Further, as *SOC* in 1860 is unknown from observations, CMIP5 models use  
228 a spin-up procedure from an initial state assuming steady pre-industrial boundary conditions  
229 (Xia et al., 2012) to obtain an equilibrated state for pre-industrial *SOC*. In order to reach  
230 equilibrium, iterative or semi-analytical methods (e.g. Xia et al., 2012) are employed to reach the  
231 pool sizes required to balance input ( $SOC_{in}$ ) and output fluxes ( $R_h$ ). Steady-state is assumed  
232 when the trend in  $\Delta SOC$  becomes negligible. Hence, it is not the actual value of *SOC* that  
233 defines the equilibrium but its lack of variation in time (Xia et al., 2013; Exbrayat et al., 2013b).  
234 It is worrisome that these procedures are not clearly documented and therefore how a model is  
235 evolved from its true “initial state” to its “equilibrated state” is not known.

236 However, we have verified that all CMIP5 models were close to equilibrium prior to the  
237 initiation of climate change experiments. Following Equations 1 and 2, the model-specific value  
238 of *SOC* obtained by a model via spin-up depends on two factors. First, if  $SOC_{in}$  is large, a larger  
239 *SOC* pool is required to offset it through microbial decomposition and  $R_h$ , for a given decay rate,  
240  $k \times f_T \times f_W$ . Conversely, low values of  $SOC_{in}$  lead *SOC* pools to equilibrate to lower values for a  
241 particular decay rate. Second, if the decay rate is high (short turnover time) during spin-up, *SOC*  
242 pools will remain small, for a given  $SOC_{in}$ . Conversely, low decay rates, or long turnover time,  
243 will require large pools of substrate to offset the same input  $SOC_{in}$ . Both factors are model-  
244 specific:  $SOC_{in}$  is derived from plant primary productivity fluxes (Davidson and Janssens, 2006)  
245 while the baseline decomposition rate  $k$  and the shape of the response functions  $f_T$  and  $f_W$  are  
246 highly model-dependent (Falloon et al., 2011; Exbrayat et al., 2013a,b; Todd-Brown et al.,  
247 2013).



248 Here we have shown that the large range exhibited by CMIP5 *SOC* is principally due to the  
249 response of microbial decomposition during the spin-up process. This is a long process that  
250 corresponds to multiple centuries of steady climate conditions but as noted is not reported as part  
251 of CMIP5 and might represent a short period if the “initial state“ is already well equilibrated or  
252 may represent many centuries if not. Throughout this period however, for each CMIP5 model,  
253 model-specific parameter  $k$  and environmental response functions  $f_T$  and  $f_W$  drive *SOC* pools to  
254 the size required by the turnover time they simulate to compensate for  $SOC_{in}$ . This observation  
255 corroborates the predominance of turnover time in the uncertainty of ecosystem response to  
256 climate change (Friend et al., 2014) and Figure 4 shows that it is independent from the number  
257 of pools considered in each model. The resulting equilibrated state obtained *prior* to the  
258 initiation of CMIP5 transient simulations propagates through the present and into the future even  
259 when using RCP 8.5.

260 Our results raise a critical problem linked to model initialization and then equilibration by spin-  
261 up. According to our analysis of the CMIP5 models, a simple solution to reduce the uncertainty  
262 in simulated *SOC* stocks would be to modify model parameters, especially those related to *SOC*  
263 turn-over, to obtain a steady-state consistent from model to model with *SOC* values  
264 representative of pre-industrial conditions. Alternatively, because of the millennial time-scales of  
265 soil genesis, as well as land use changes, steady-state of global *SOC* stocks is not guaranteed to  
266 have existed at the end of the pre-industrial era. Therefore, one could choose to only consider  
267 model parameters that achieve modern stocks in accordance with observations in response to  
268 past changes (e.g. Exbrayat et al., 2014). However, this would require multiple realisations of  
269 computationally expensive models, or the use of emulators. Furthermore, it would be necessary  
270 to represent site history, and especially disturbances, with a high degree of confidence during  
271 simulations to avoid over-fitting parameters and this may not be realistic at global scale.  
272 Therefore, assuming an equilibrated pre-industrial state is a more readily available option that is  
273 supported by the lack of variations in simulated *SOC* during historical experiments despite  
274 changing boundary conditions. Thus, we suggest that one could use available estimates and  
275 confidence interval of modern *SOC* stocks to constrain the pre-industrial equilibrated state.  
276 These estimates include global datasets such as HWSD and other (Shangguan et al., 2014) but  
277 also regional data that may better represent high latitude stocks and permafrost (e.g. Northern  
278 Circumpolar Soil Carbon Database; Hugelius et al., 2013). Of course, while changing parameter  
279 values corresponding to *SOC* turnover time is relatively straightforward, it would be important to  
280 ensure that these pools are sustained by an input representative of carbon uptake. At equilibrium

281  $SOC_{in}$  equals net primary productivity (NPP) because plant pools do not vary in size. Here all  
282 models predict  $SOC_{in}$  within two standard deviations of the uncertainty range of modern, high  
283 confidence, NPP estimates ( $56.4 \pm 8-9$  Pg C yr<sup>-1</sup>; Ito et al., 2011). Although not directly  
284 comparable with pre-industrial values, this global estimate indicates that models simulate  
285 acceptable values of global carbon uptake.

286 As decomposition processes are represented following first-order kinetics, simulating more  
287 realistic  $SOC$  stocks from an initial condition, and through spin-up to an equilibrated state in  
288 response to adequate uptake fluxes would likely lead models to represent more correct modern  
289 stocks. Nevertheless, as each model relies on its own formulation of the response functions  $f_T$   
290 and  $f_w$ , the ensemble would still exhibit different sensitivities of  $SOC$  stocks to climate change.  
291 However, by removing a degree of freedom associated with spin-up procedures, we believe that  
292 these observational datasets are a valuable tool for increasing the consistency between models  
293 and making them more comparable. It would improve the confidence we can have in projections  
294 of  $SOC$  fluxes and feedbacks on future climate change.

295

## 296 **5 Conclusions**

297 We have demonstrated that the 6-fold range in  $SOC$  stocks simulated by CMIP5 models can be  
298 explained by the model-specific response of microbial decomposition to spin-up under pre-  
299 industrial conditions. Model dependent parameter and response functions drive the size of the  
300 pools to the amount required by decay rates to offset  $SOC_{in}$  under the steady-state assumption.  
301 Once established, the resulting pool sizes remain similar through to the present and into the  
302 future even under the high-emissions RCP8.5 scenario that generates future conditions the least  
303 similar to current ones. We therefore identify the spin-up procedure, and especially the response  
304 of microbial decomposition during this very long model integration, as a key source of  
305 uncertainty in the simulation of  $SOC$  in CMIP5 models. Critically, this involves the interaction  
306 of a technical and a process-linked uncertainty in CMIP5 models' experimental framework. The  
307 technical methods used for spin-up are model specific and not commonly reported. Interlinked  
308 with the technical uncertainty is the parameterization of processes within the spin-up period.

309 A model that equilibrates to a soil carbon store well outside the observed range should be  
310 examined with care. A very large amount of stored carbon increases the potential for the land  
311 surface to become a source as even a tiny relative change in decay rate can strongly enhance  $R_h$   
312 and possibly reach a tipping point where it offset increases in  $SOC_{in}$ . Conversely, a very small

313 *SOC* store increases the likelihood that it will remain a sink. Such results are likely to be  
314 artefacts of model implementation when *SOC* values are largely inconsistent with observed  
315 ranges.

316 In conclusion, we recommend that future intercomparisons should constrain model parameters  
317 so that each model achieves an equilibrated state similar to observations as the outcome of the  
318 spin-up procedure. This would remove a degree of freedom associated with the process linking  
319 initialization to equilibration via a poorly constrained spin-up procedure when comparing  
320 differences in projected changes.

321

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334

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550 **Tables**

551 **Table 1.** CMIP5 models and number of simulations used in this paper for historical and RCP 8.5 runs. The first column provides the  
 552 letter code used in the figures. References and details about soil carbon components are provided in supplementary Tables 2 and 3.

	Model name	Institution	Number of model runs	
			Historical	RCP 8.5
A	BCC-CSM1.1	Beijing Climate Center (China)	3	0
B	CanESM2	Canadian Centre for Climate Modelling and Analysis (Canada)	5	5
C	CCSM4	National Center for Atmospheric Research (USA)	6	6
D	GFDL-ESM2G	Geophysical Fluid Dynamics Laboratory (USA)	1	1
E <sup>a</sup>	GISS-E2-H	NASA Goddard Institute for Space Studies (USA)	17	3
	GISS-E2-R		25	3
F <sup>a</sup>	HadGEM2-CC	Met Office/Hadley Centre (UK)	1	1
	HadGEM2-ES		3	3
G <sup>a</sup>	IPSL-CM5A-LR	Institut Pierre Simon Laplace (France)	6	4
	IPSL-CM5B-LR		1	1
H <sup>a</sup>	MIROC-ESM	Japan Agency for Marine-Earth Science and Technology (Japan)	3	1
	MIROC-ESM-CHEM		1	1
I	MPI-ESM-LR	Max Planck Institute (Germany)	3	3
J <sup>a</sup>	NorESM1-M	Bjerknes Centre for Climate Research (Norway)	3	1
	NorESM1-ME		1	1

553 <sup>a</sup>models from the same institution where averaged to avoid pseudo-replication

554

555 **Table 2.** Details about the CMIP5 models terrestrial and soil component and associated references.

Model name	Terrestrial component	Soil biogeochemistry	# of pools		N limitations
			L	S	
A BCC-CSM1.1 (Wu et al., 2013)	AVIM2 (Ji et al., 2008)	Based on CENTURY (Parton et al., 1987)	2	6	Yes
B CanESM2 (Chylek et al., 2011)	CTEM (Arora and Boer, 2010)	CTEM (Arora and Boer, 2010)	1	1	No
C CCSM4 (Gent et al., 2011)	CLM4-CN (Lawrence et al., 2011)	CN module (Thornton et al., 2007) based on Biome-BGC 4.1.2 (Thornton and Rosenbloom, 2005)	3	3	Yes
D GFDL-ESM2G (Dunne et al., 2012)	LM3.0 (Shevliakova et al., 2009)	Based on CENTURY (Parton et al., 1987)	–	2	No
E GISS-E2 (Shindell et al., 2013)	NCAR-CSM1.4 (Doney et al., 2006)	Based on CASA (Randerson et al., 1997)	–	9	No
F HadGEM2 (Collins et al., 2011)	JULES (Clark et al., 2011)	Based on TRIFFID (Cox, 2001) and RothC (Jenkinson, 1990)	–	4	No
G IPSL-CM5 (Dufresne et al., 2013)	ORCHIDEE	STOMATE (Krinner et al., 2005) and CENTURY (Parton et al., 1988)	3	4	No
H MIROC-ESM (Watanabe et al., 2011)	SEIB-DGVM (Sato et al., 2007)	Based on DEMETER-1 (Foley et al., 2005)	–	2	No
I MPI-ESM-LR (Giorgetta et al., 2013)	JSBACH (Raddatz et al., 2007)	Based on Bethy (Knorr, 2000) and CENTURY (Parton et al., 1988)	1	1	No

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J	NorESM1 (Bentsen et al., 2012)	CLM4-CN (Lawrence et al., 2011)	CN module (Thornton et al., 2007) based on Biome-BGC 4.1.2 (Thornton and Rosenbloom, 2005)	3	3	Yes
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558 **Table 3.** Model specific values of  $SOC_{in}$ ,  $R_h$  and  $SOC$  used in Figures 1 to 4. Values are averaged over the indicated years. All data are  
 559 rounded to whole numbers. Values for 2091-2100 are from the Representative Concentration Pathway 8.5 (RCP 8.5) simulations.

Model	$SOC_{in}$ [Pg C yr <sup>-1</sup> ]			$R_h$ [Pg C yr <sup>-1</sup> ]			Total soil carbon [Pg C]		
	1861-1870	1996-2005	2091-2100	1861-1870	1996-2005	2091-2100	1861-1870	1996-2005	2091-2100
A	75	87	–	75	86	–	1273	1351	–
B	57	64	84	56	65	85	1511	1541	1490
C	46	49	56	46	49	57	563	576	582
D	79	85	119	79	86	120	1798	1781	1785
E	45	55	58	45	55	61	2113	2306	2118
F	67	86	140	67	84	137	1178	1287	1596
G	76	87	123	76	87	123	1598	1626	1709
H	57	59	71	56	55	74	2515	2566	2494
I	66	75	100	66	74	99	2938	3047	3266
J	52	55	61	52	55	62	650	666	654

560 **Figure legends**

561 **Figure 1.** Relationship between total *SOC* in CMIP5 models at two different times: modern  
562 stocks as a function of pre-industrial stocks (upper panel), future stocks as a function of  
563 modern stocks (middle panel) and future stocks as a function of pre-industrial stocks (lower  
564 panel). Letters correspond to models as in Table 1 and models in green (i.e. C and J) integrate  
565 nitrogen limitation. The gray area is the 95% confidence interval of modern total *SOC* derived  
566 from the HWSD. Equation,  $R^2$  and p values correspond to the linear relationship between  
567 stocks built using data from all models (solid line). The dotted line is the 1:1 line.

568

569 **Figure 2.** Relationship between pre-industrial global *SOC* input and pre-industrial  $R_h$ . Letters  
570 are the same as in Table 1 and models in green (i.e. C and J) integrate nitrogen limitation. The  
571 solid line is a linear relationship constructed using all models with equation,  $R^2$  and p values  
572 indicated in the top left corner. The dashed line represents the 1:1 relationship.

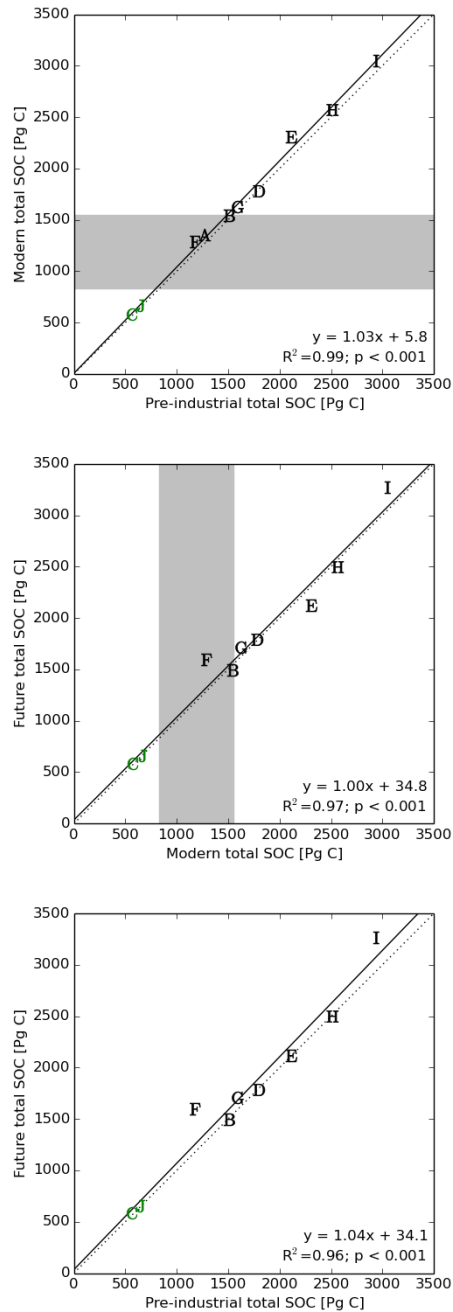
573

574 **Figure 3.** Relationship between pre-industrial *SOC* input and pre-industrial total *SOC* stocks  
575 at the beginning of the historical experiment. Letters correspond to the same models as in  
576 Table 1 and models in green (i.e. C and J) integrate nitrogen limitation. The solid line is a  
577 linear relationship constructed using all models with equation,  $R^2$  and p values indicated in  
578 the top left corner.

579

580 **Figure 4.** Relationship between pre-industrial global *SOC* turnover time and total *SOC*.  
581 Letters correspond to the same models as in Table 1 and models in green (i.e. C and J)  
582 integrate nitrogen limitation. The solid line is a linear relationship constructed using all  
583 models with equation,  $R^2$  and p values indicated in the top left corner.

584

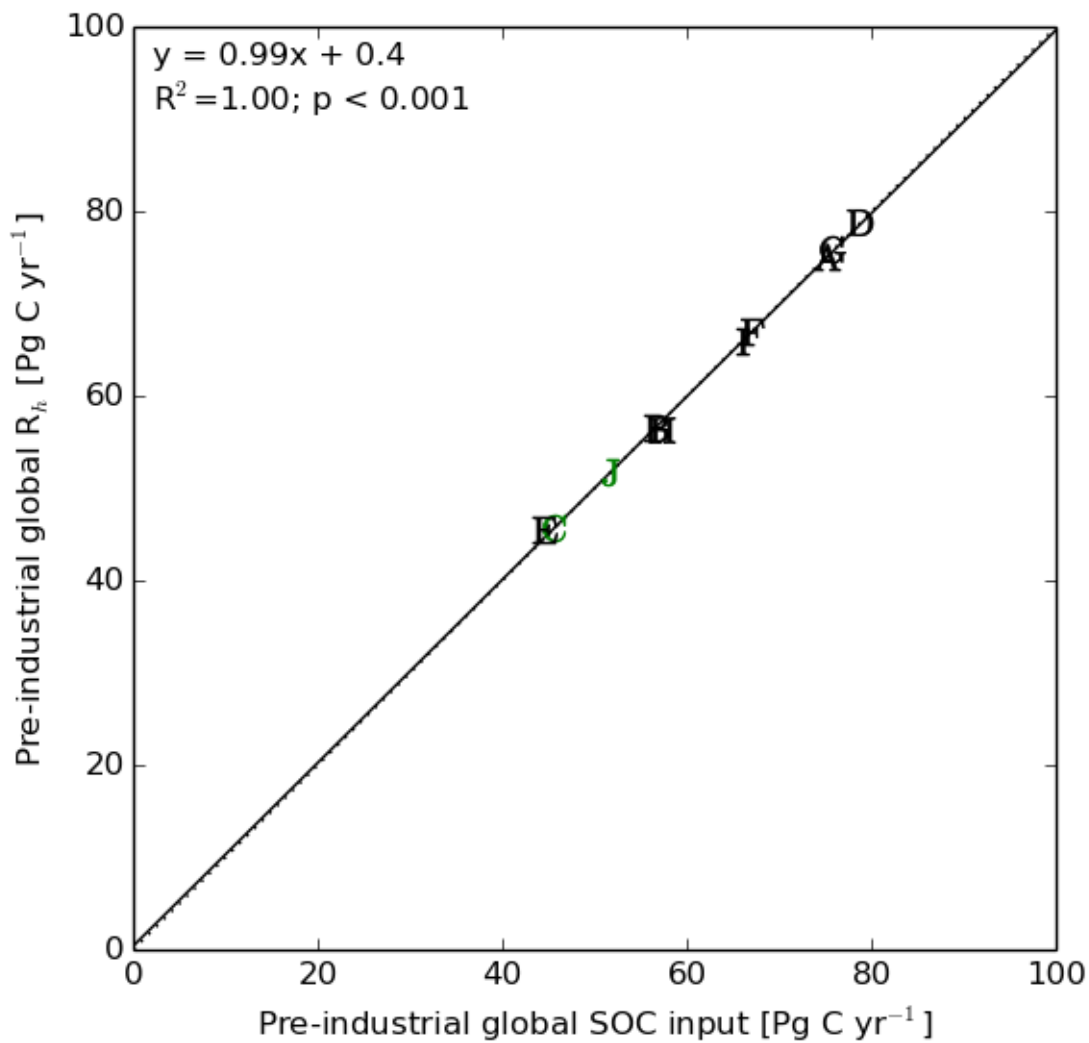


585

586 **Figure 1.** Relationship between total *SOC* in CMIP5 models at two different times: modern  
 587 stocks as a function of pre-industrial stocks (upper panel), future stocks as a function of  
 588 modern stocks (middle panel) and future stocks as a function of pre-industrial stocks (lower  
 589 panel). Letters correspond to models as in Table 1 and models in green (i.e. C and J) integrate  
 590 nitrogen limitation. The gray area is the 95% confidence interval of modern total *SOC* derived  
 591 from the HWSO. Equation,  $R^2$  and  $p$  values correspond to the linear relationship between  
 592 stocks built using data from all models (solid line). The dotted line is the 1:1 line.

593



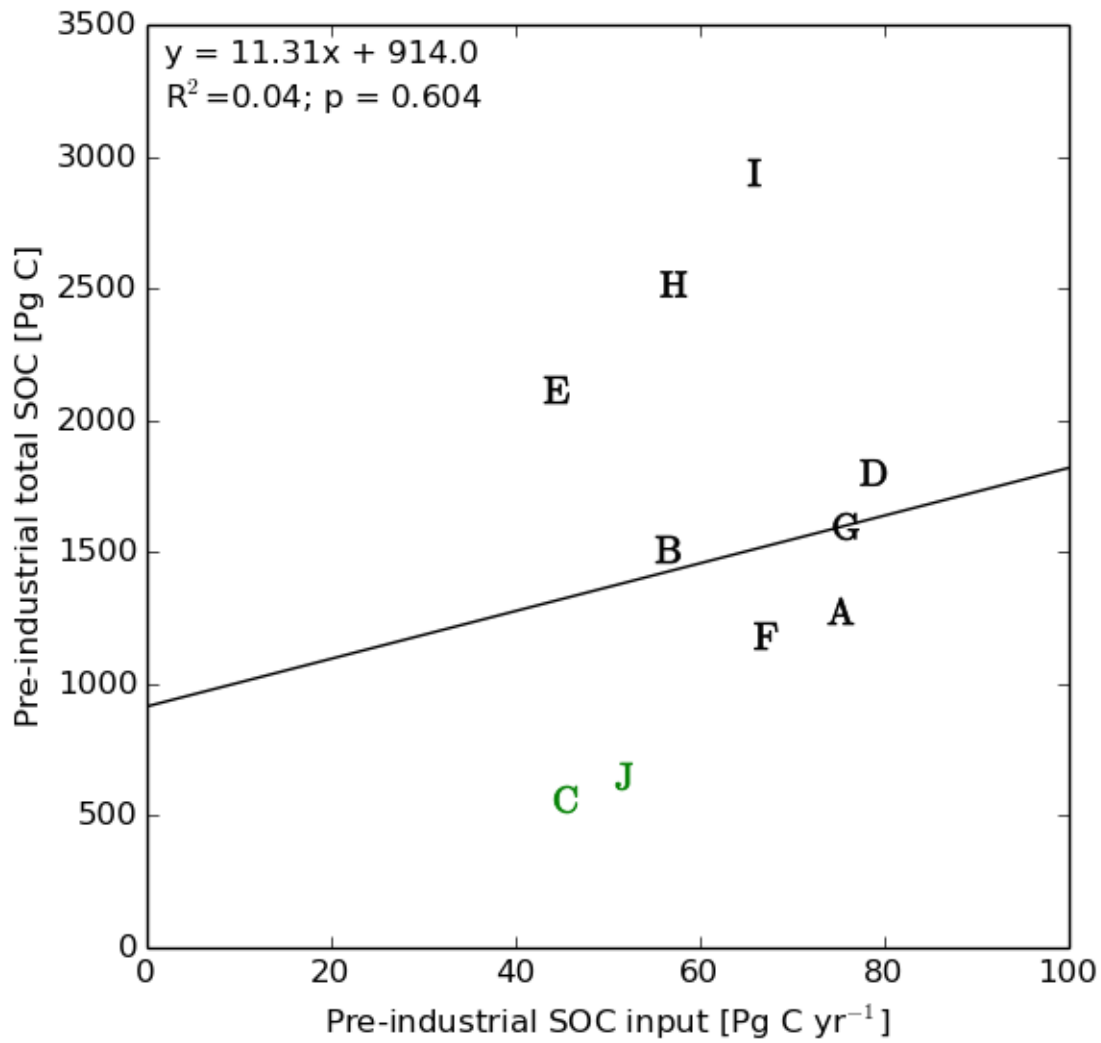


595

596

597 **Figure 2.** Relationship between pre-industrial global SOC input and pre-industrial  $R_h$ . Letters  
 598 are the same as in Table 1 and models in green (i.e. C and J) integrate nitrogen limitation. The  
 599 solid line is a linear relationship constructed using all models with equation,  $R^2$  and p values  
 600 indicated in the top left corner. The dashed line represents the 1:1 relationship.

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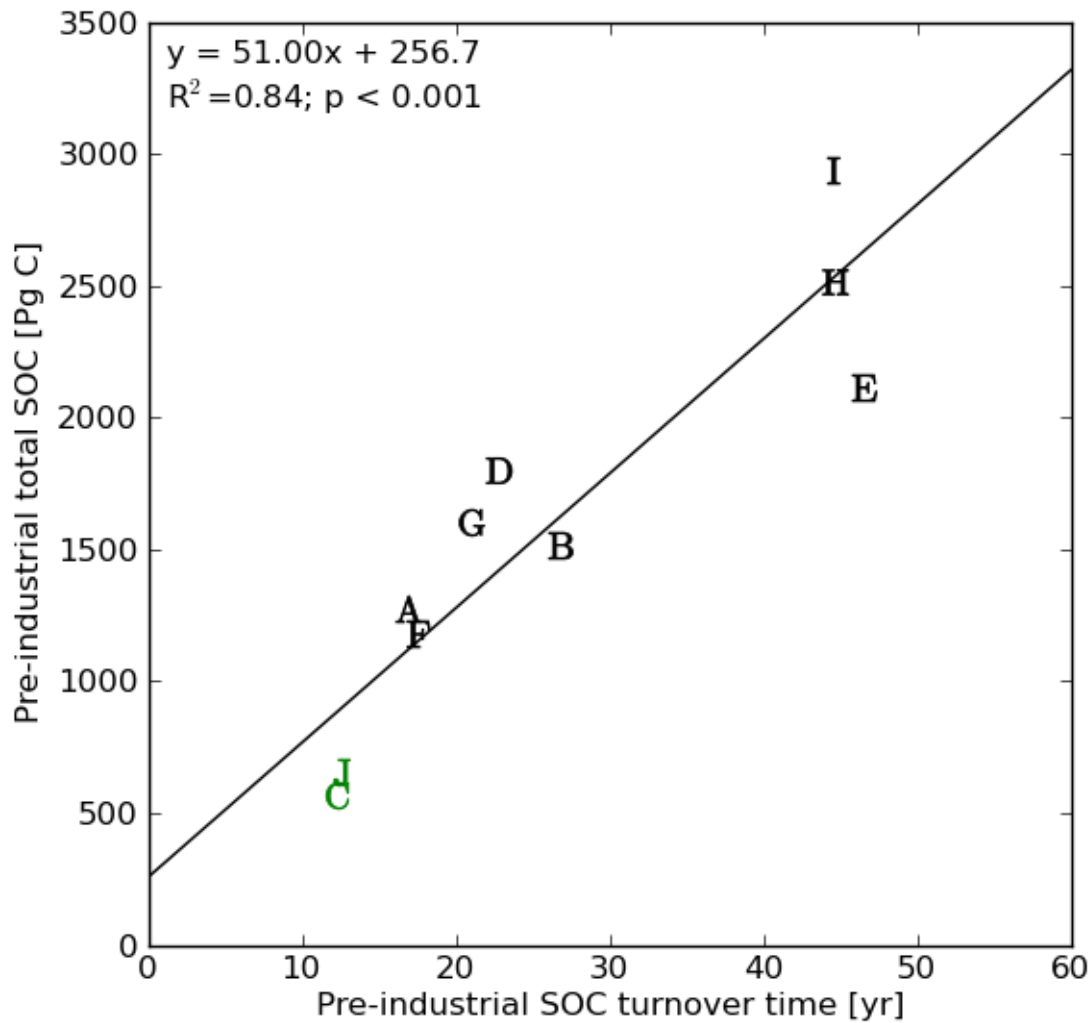
604

605 **Figure 3.** Relationship between pre-industrial *SOC* input and pre-industrial total *SOC* stocks  
 606 at the beginning of the historical experiment. Letters correspond to the same models as in  
 607 Table 1 and models in green (i.e. C and J) integrate nitrogen limitation. The solid line is a  
 608 linear relationship constructed using all models with equation,  $R^2$  and  $p$  values indicated in  
 609 the top left corner.

610

611

612



614

615

616 **Figure 4.** Relationship between pre-industrial global *SOC* residence time and total *SOC*.  
 617 Letters correspond to the same models as in Table 1 and models in green (i.e. C and J)  
 618 integrate nitrogen limitation. The solid line is a linear relationship constructed using all  
 619 models with equation,  $R^2$  and  $p$  values indicated in the top left corner.

620