Refinement of a model for evaluating the population exposure in an urban area

3

4 J. Soares^a, A. Kousa^b, J. Kukkonen^a, L. Matilainen^b, L. Kangas^a, M. Kauhaniemi^a, K.

5 Riikonen^a, J.-P. Jalkanen^a, T. Rasila^a, O. Hänninen^c, T. Koskentalo^b, M. Aarnio^a, C. Hendriks^d

6 and A. Karppinen^a

^aFinnish Meteorological Institute, Erik Palménin aukio 1, POB 503, FI-00101 Helsinki,
Finland

9 ^bHelsinki Region Environmental Services Authority POB 521, FI-00521 Helsinki, Finland

¹⁰ ^cNational Institute for Health and Welfare, POB 95, 70701 Kuopio, Finland

^dTNO, department of Climate, Air and Sustainability, Utrecht, The Netherlands

12

13 Abstract

14

15 A mathematical model is presented for the determination of human exposure to ambient air 16 pollution in an urban area; the model is a refined version of a previously developed 17 mathematical model EXPAND (EXposure model for Particulate matter And Nitrogen 18 oxiDes). The model combines predicted concentrations, information on people's activities and 19 location of the population to evaluate the spatial and temporal variation of average exposure 20 of the urban population to ambient air pollution in different microenvironments. The revisions 21 of the modelling system containing the EXPAND model include improvements of the 22 associated urban emission and dispersion modelling system, an improved treatment of the 23 time-use of population, and better treatment for the infiltration coefficients from outdoor to 24 indoor air. The revised model version can also be used for estimating intake fractions for 25 various pollutants, source categories and population subgroups. We present numerical results 26 on annual spatial concentration, time activity and population exposures to PM_{25} in the 27 Helsinki Metropolitan Area and Helsinki for 2008 and 2009, respectively. Approximately 60 28 % of the total exposure occurred at home, 17 % at work, 4 % in traffic and 19 % in other 29 micro-environments in the Helsinki Metropolitan Area. The population exposure originating 30 from the long range transported background concentrations was responsible for a major 31 fraction, 86 %, of the total exposure in Helsinki. The largest local contributors were vehicular 32 emissions (12 %) and shipping (2 %).

34 **INTRODUCTION**

35

1

36 Exposure models vary from simple relations of the health aspects with the outdoor air 37 concentrations up to comprehensive deterministic exposure models (e.g., Kousa et al., 2002; 38 Ashmore and Dimitripoulou, 2009). Most of the epidemiological studies have been conducted 39 based on relations between pollution concentrations measured at fixed ambient air quality 40 monitoring sites, or modelled values using land-use regression models, and community-level 41 health indicators, such as mortality (Pope and Dockery, 2006).

42

43 Since the urban population spends typically 80-95 % of their time indoors (Hänninen et al., 44 2005; Schweizer et al., 2007), the exposure to particles is dominated by exposure in indoor 45 environments. The most simplistic approaches ignore the differences between indoor and 46 outdoor air. Indoor air quality is determined by infiltration, ventilation and indoor pollution 47 sources. Infiltration of outdoor particles indoors can be significant even in tight buildings that 48 use mechanical ventilation systems and efficient air intake filters. Infiltration can also occur 49 due to the operation of windows and doors, and cracks in the building envelope and window 50 and door frames (Hänninen et al., 2005). Population exposure can therefore be significantly 51 different, depending on the structure and ventilation of buildings.

52

53 If one only takes into consideration concentration levels at measurement sites, fine-scale 54 spatial variability is disregarded. However, the concentrations of pollutants in urban areas 55 may vary by an order of magnitude on a scale of tens of meters. This is particularly important 56 for traffic-originated pollution. Moreover, most of the simplistic models ignore the activity 57 patterns of individuals, i.e., people's day-to-day movements from one location to another, 58 which is known to cause significant variations in exposure (Beckx et al., 2009).

59

60 The assessment of exposure with a deterministic approach usually requires application of 61 integrated model chains starting from estimation of emissions to atmospheric dispersion and 62 transformation of air pollutants. This can be complemented with time-microenvironment-63 activity models, an essential part of exposure assessment, and indoor to outdoor (i/o) 64 concentration ratios. Microenvironment is defined by a location in which human exposure 65 takes place, containing a relatively uniform concentration, such as, e.g., home or workplace. 66 The average personal or population exposure is then estimated as a linear combination of 67 concentrations in different microenvironments, weighted by the time spent in each of them.

69 Probabilistic models of population exposure distributions such as EXPOLIS (Hänninen et al., 70 2003, 2005) and INDAIR (Dimitroulopoulou et al., 2006) provide the frequency distribution 71 of exposure within a population, rather than mean or individual exposures. The population 72 exposure can also be obtained by combining time-activity, dispersion modelling, and 73 Geographical Information Systems techniques; this approach has been adopted in the models 74 developed by Jensen (1999), Kousa et al. (2002), Gulliver and Briggs (2005), Beckx et al. (2009) and Borrego et al. (2009). These models can evaluate the individual or population 75 76 exposure in different microenvironments during the day. In particular, the deterministic 77 modelling system EXPAND (EXposure model for Particulate matter And Nitrogen oxiDes; 78 Kousa et al., 2002) can be applied to continuous time segments ranging from one hour to 79 several years, and for various urban spatial domains, as the time-activity and emission data 80 are temporally and spatially resolved. The city-scale resolution allows taking into 81 consideration small scale (street and neighbourhood scales) spatial variability. The EXPAND 82 model can also consider exposure pathways, by evaluating population intake fractions (Loh et 83 al., 2009).

84

85 The EXPAND model was developed for the determination of human exposure to ambient air 86 pollution in an urban area. The aims of this article are to describe a substantially improved 87 version of this model and to present selected illustrative numerical results. Numerical results 88 were computed for human exposure to fine particulate matter (PM_{2.5}) in the Helsinki 89 Metropolitan Area for 2008 and in Helsinki for 2009. The Helsinki Metropolitan Area is 90 located by the Baltic Sea and it comprises of four cities: Helsinki, Espoo, Vantaa and 91 Kauniainen; the total population is slightly over 1.0 million. The population of Helsinki is 92 over 600 thousand. We have evaluated the exposure of the population in terms of both various 93 micro-environments and the main source categories. This study also presents for the first time 94 quantitative evaluations of the influence of shipping emissions on concentrations and 95 population exposure in Helsinki.

96

97 2 METHODOLOGY

98 2.1 Modelling of vehicular traffic flows

99

We have modelled the traffic flows in the street network of the Helsinki Metropolitan Areausing the EMME/2 interactive transportation planning package (INRO, 1994). The model

102 generates a treatment for the traffic demand on the basis of given scenarios, and allocates the 103 activity over the links (i.e., segments of road or street) of this network, according to 104 predetermined set of rules and individual link characteristics (Elolähde, 2006). The traffic 105 demand generated by the model is governed by the assumed socio-economic urban structure 106 and location of the main activities, such as residential areas and workplaces, as well as the 107 usage rate of public transport. Both the urban bus routes and the incoming and outgoing coach 108 traffic are included in the model.

109

According to the link characteristics and the number of vehicles, the software is used to compute the average speed of vehicular traffic for each link on a given hour of the day. Furthermore, both weekly and seasonal variations of the traffic density are taken into account. The profiles of vehicle speed and vehicle numbers are then computed for each link for each hour of the day (separately for weekdays, Saturdays and Sundays), and further aggregated over the year.

116

117 In this study, approximately 4300 road and street links were included in the computations. 118 The model also allows for the activities at all the major ports in Helsinki; which increase 119 heavy duty vehicle traffic, in particular. In this study, the traffic flow modelling was based on 120 the traffic data for 2008 and 2009, for the corresponding dispersion computations for 2008 121 and 2009, respectively. It was pertinent to use up-to-date traffic data, due to recent substantial 122 changes of traffic flows, caused especially by a recently constructed major cargo harbour in 123 the easternmost part of Helsinki at Vuosaari. This new harbour is located further away from 124 the Helsinki city centre, and it has been active since November 2008. The container terminals of the harbours at Sörnäinen and at the Western harbour (which are located in central 125 126 Helsinki) were transferred to the harbour at Vuosaari.

127

128 **2.2 Modelling of emissions**

129

The emissions of $PM_{2.5}$ were evaluated in the Helsinki Metropolitan Area for 2008, and in a more limited domain, the city of Helsinki for 2009. We have included the emissions originated from urban vehicular traffic for both years, and the emissions from shipping and major stationary sources for 2009. This approach has allowed us to study both the general characteristics of population exposure in the whole of the metropolitan area, and in more detail the influence of two potentially significant local source categories in the capital city.

137 2.2.1 Exhaust and suspension emissions originated from vehicular traffic

138

139 The emissions of PM_{2.5} were computed for each link using average speed-dependent 140 functions, determined separately for each vehicle category (Laurikko et al., 2003). The 141 emission factors were based on European emission factors, and these take into account the age 142 distribution of the Finnish vehicle fleet (Kauhaniemi et al., 2011, Laurikko et al., 2003). A 143 total of 14 vehicle categories were included, divided to petrol cars with or without a catalytic 144 converter, diesel-fuelled vehicles, as well as busses and other heavy duty vehicles. The 145 division of the vehicles within the passenger car category was based on the registration 146 statistics.

147

148 We evaluated the vehicular-traffic emissions by scaling a previously compiled detailed 149 inventory for the year 2005, to correspond to the years 2008 and 2009. The national vehicular 150 exhaust emission values are available for 2005, 2008 and 2009 from a calculation system for 151 traffic exhaust emissions and energy consumption, LIPASTO (Mäkelä, 2002). The scaling 152 was performed for each road link, mainly using the ratio of the total vehicular exhaust 153 emissions of PM_{2.5} in Helsinki Metropolitan Area in 2005 to that in 2008 and 2009, 154 respectively. This means that the vehicular exhaust emissions were assumed to vary with a 155 constant percentage from 2005 to 2008 or 2009. In addition, this scaling allows for major 156 changes in traffic flows, such as those caused by the transferred cargo harbours.

157

In the Nordic countries, the cold start and cold driving emissions of $PM_{2.5}$ can be substantial, especially in winter. These emissions were taken into account, using coefficients based on laboratory emission measurements (Laurikko, 1998). The coefficients were estimated separately for weekdays and weekend, and take into consideration the temperature of ambient air and the fraction of vehicles using a pre-heating of engine (Kauhaniemi et al., 2008).

163

We also applied a model for the road suspension emissions for $PM_{2.5}$, FORE, described by Kauhaniemi et al. (2011). This model is based on the model presented by Omstedt et al. (2005). The emission factor for suspension of road dust (in units μ g/veh/m) is a product of the so-called reference emission factors, the reduction factor of the moisture content of the street, and a weighted sum of the contribution of particles from the wear of pavement and from the traction sand. The FORE model can be used as an assessment tool for urban $PM_{2.5}$ contributions in various European regions, provided that the model input values are available
for local traffic flow, meteorological data and region-specific coefficients. The region-specific
coefficients can be determined with fairly simple measurements, as described by Omstedt et
al. (2005).

174

However, the emissions from brake, tire, and clutch wear are not included in the model, due to their small contribution compared to suspension and road wear emissions in the Nordic countries. The baseline values for the suspension emission model were set by the reference emission factors that depend on the period (that may include street sanding or not), the mass fraction of particles (fine and coarse), and the traffic environment (urban or highway).

180

181 2.2.2 Emissions originated from shipping

182

Emissions from ship traffic in the harbours of Helsinki and in the surrounding sea areas were modelled using the Ship Traffic Emissions Assessment Model (STEAM) presented by Jalkanen et al. (2009 and 2012). The method is based on using the messages provided by the Automatic Identification System (AIS), which enable the positioning of ship emissions with a high spatial resolution (typically a few tens of metres). The model also takes into account the detailed technical data of each individual vessel. The AIS messages were received from the Finnish AIS network.

190

The geographical domain of ship emission modelling was selected so that all the major harbours in Helsinki were included. We modelled the emissions (i) from ships cruising in the selected domain in the vicinity of Helsinki; (ii) from ships manoeuvring in harbours; and (iii) from the use of diesel generators at ships while at berth. Emissions from other sources in harbours, such as various harbour machinery, were not included.

196

197 The computational domain of the shipping emissions comprises a rectangular area, the extent 198 of which is 21.5 km in the east to west direction, and 25.5 km in the north to south direction. 199 The cell size of the computational grid is 0.001°. This domain is slightly larger than the 200 computational domain for evaluating exposures, as we considered it appropriate to include 201 also the shipping emissions originated from the sea areas in the vicinity of Helsinki.

205 The emissions from major stationary sources in the Helsinki Metropolitan Area were mainly 206 originated from energy production and other industrial sources. We have allowed for the most 207 widely used methods for heating of residential buildings and domestic water, and for 208 household appliances, viz. electricity (33 %) and district heating (29 %) (Statistics Finland, 209 2012).

210

211 The third most important source of energy for households is small-scale combustion, which 212 mainly constitutes of the burning of wood (23 %). However, small-scale combustion was not 213 included in this study, as the spatial distribution of the emission data was not known with 214 sufficient accuracy.

215

216 **Dispersion modelling** 2.3

217

218 The urban atmospheric dispersion modelling system utilized in this study combines the road 219 network dispersion model CAR-FMI (Contaminants in the Air from a Road) for vehicular 220 traffic and shipping, and the UDM-FMI model (Urban Dispersion Model) for stationary 221 sources. These models have been addressed in detail by, e.g., Karppinen et al. (2000a) and 222 Kukkonen et al. (2001). Both of these models are multiple source Gaussian urban dispersion 223 models.

224

225 The dispersion parameters are modelled as a function of Monin-Obukhov length, friction 226 velocity, and boundary layer height, which are computed with meteorological pre-processing 227 model MPP-FMI (Karppinen et al., 2001). This model has been used with input data from the 228 three nearest synoptic weather stations and the nearest sounding station, to evaluate an hourly 229 meteorological time series for the dispersion modelling computations.

230

231 In the urban scale computations, PM_{2.5} was treated as a tracer contaminant, i.e., no chemical 232 reactions or aerosol processes were included in the calculations. The computations included 233 approximately 5000 line sources for vehicular traffic and shipping for both years, and in 234 addition, 40 stationary sources (power plants and industrial facilities) for 2009. All shipping 235 emissions were treated as line sources with an injection height of 30 m above the sea level. 236 The value of 30 m is a weighted average value of the injection heights of all ships considered

(including also their estimated average plume rise); as relative weighting coefficients we used
the magnitudes of emissions provided by the STEAM model. The STEAM model includes a
detailed database that contains technical properties of all major ships that travel in the Baltic
Sea.

241

242 For 2008, the regional and long-range transported (LRT) background concentrations were 243 based on the concentrations computed with the LOTOS-EUROS model (Schaap et al., 2008). 244 We selected as the LRT background values the predicted hourly PM_{2.5} concentrations at a model grid square (approximately of the size of 7 x 7 km^2) that includes the regional 245 246 background station Luukki. This site has previously been found to represent well the LRT 247 background concentrations for the Helsinki Metropolitan Area; the influence of local sources 248 on the PM_{2.5} concentrations at this station has been estimated to be on the average less than 10 249 %. The reason for using the predictions of the LOTOS-EUROS model was the harmonization 250 of regional background computations in the EU-funded TRANSPHORM project 251 (www.transphorm.eu). However, for 2009, we used as the LRT background concentrations 252 the measured values at the measurement site in Luukki.

253

The computations of the LOTOS-EUROS model on a European scale included the formation of secondary inorganic aerosol, including sulphates, nitrates and ammonia, but these did not include the formation of secondary organic aerosol. The contributions from sea salt, wild-land fires and elemental carbon have also been included. The secondary $PM_{2.5}$ has therefore been modelled with a reasonable accuracy in the regional background concentration values; however, there is an underprediction, caused presumably mainly by the missing secondary organic aerosol fraction.

261

The local contribution of sea salt aerosol in $PM_{2.5}$ is on the average smaller than 0.2 µg/m³ in Helsinki; the low value is mainly due to the low salinity of the Baltic Sea (Sofiev et al, 2011). The wind-blown dust concentrations are also low on an annual average level, emitted by distant sources (Franzen et al., 1994). Hence, the urban-scale computation included only the LRT'ed contribution of these natural aerosols.

267

The concentrations were computed in an adjustable grid. The receptor grid intervals ranged from approximately 20 meters in the vicinity of the major roads to 500 meters on the outskirts of the area. The number of receptor points was more than 18000 and more than 6000 for thecomputations of vehicular traffic and shipping, and for the stationary sources, respectively.

272

273 The CAR-FMI model has previously been evaluated against the measured data of urban 274 measurement networks in Helsinki Metropolitan Area and in London both for gaseous 275 pollutants (e.g., Karppinen et al., 2000b, Kousa et al., 2001 and Hellén et al., 2005) and for 276 PM_{2.5} (Kauhaniemi et al., 2008, Sokhi et al., 2008 and Singh et al., 2013). The performance of 277 the CAR-FMI model has also been evaluated against the results of a field measurement 278 campaign and other roadside dispersion models (Kukkonen et al., 2001, Öttl et al., 2001, 279 Levitin et al., 2005). The UDM-FMI has been evaluated against the measured data of urban 280 measurement networks in Helsinki Metropolitan Area (Karppinen et al., 2000b, Kousa et al., 281 2001) and the tracer experiments of Kincaid, Copenhagen and Lilleström. The main limitation 282 of Gaussian dispersion models is that they do not allow for the detailed structure of buildings 283 and obstacles.

- 284
- 286

285 **2.4 Modelling of human activities**

287 We obtained the information on the location of the population from the data set that has been 288 collected annually by the municipalities of the Helsinki Metropolitan Area. The human 289 activity data within the EXPAND model is based on this data set. The dataset contains 290 information on the dwelling houses, enterprises and agencies located in the area in 2009. The 291 dataset provides geographic information on the total number and age distribution of people 292 living in a particular building, and the total number of people working at a particular 293 workplace. The data also includes information on the number and location of customers in 294 shops and restaurants, and individuals in other recreational activities.

295

The location of people in traffic was evaluated using the computed traffic flow information. This information is available separately for buses, cars, trains, trams, metro, pedestrians, and cyclists for each street and rail section on an hourly basis. Neither this information nor the above mentioned information from the municipalities identifies individual persons. Time activity of people in harbours was based on the numbers of travellers in each ship line and the time tables of ships arriving to and departing from Helsinki.

303 The time-microenvironment activity data for both years considered (2008 and 2009) is based 304 on the time use survey by Statistics Finland. The time activity data were collected from 532 305 randomly selected over 10-year old inhabitants in the Helsinki Metropolitan Area for the 306 years 2009 and 2010 (OSF, 2013). There was no detailed information on the time activities of 307 children that are younger than or equal to 10 years old; it was therefore assumed in the 308 activity modelling that such children stay at home all the time. This assumption will probably 309 result in only moderate inaccuracies, as most of the childcare facilities and schools are located 310 within a radius of three kilometres from a child's home.

311

312 Population time-activity data was divided into four micro-environments: home, workplace, 313 traffic, and other activities. The category 'other activities' includes customers in shops, 314 restaurants and other locations; however, it does not include the personnel working at such 315 places (they are included in the category 'workplace'). The time-activity data is updated by 316 the municipalities once in every 10 years. The data that we have used in this study 317 (corresponding to the year 2009) is therefore better representative for the last few years than 318 the data used in the previous EXPAND model version (Kousa et al., 2002). The previously 319 applied time-microenvironment activity data was provided for Helsinki in the EXPOLIS 320 study. The EXPOLIS activity data included only adult urban populations, from 25 to 55 years 321 of age, whereas the new activity data comprises of all population age-groups.

322

323 **2.5** The infiltration of outdoor air indoors

324

325 Indoor air quality is determined by the efficiency of infiltration of outdoor air indoors, 326 ventilation and indoor air pollution sources. An infiltration factor (F_{inf}) for pollutant species a 327 is defined as

$$328 F_{\rm inf} = \frac{C_{ai}}{C_a} (1)$$

329

where C_{ai} is the indoor air concentration of species a originating from ambient air, and C_a is the outdoor air concentration of species a. By definition $0 \le F_{inf} \le 1$.

332

The infiltration rates of ambient air particles in the previous version of the EXPAND modelwere estimated using data based on the EXPOLIS study. This was a population representative

study on working age people, conducted in 1996-97. It included measurements of indoor and outdoor $PM_{2.5}$ concentrations, and X-ray fluorescence analysis of elemental markers (Hänninen et al., 2004; Jantunen et al., 1998; Rotko et al., 2000). Elemental sulphur was used as a marker of the outdoor originating particles in 84 residences. The i/o ratios of sulphur in particles were also corrected to allow for the particle size distributions (Hänninen et al., 2004).

341

The infiltration factors at workplaces of the same subjects were also analysed. The workplaces are distributed following a random population sample, but differences between different types of workplaces could not be evaluated, due to the limited number of subjects. Data on infiltration factors in public buildings is scarce; it has therefore been assumed that the values determined in the EXPOLIS project correspond to all workplaces.

347

348 In this study, the previous EXPOLIS infiltration estimates were updated, using also aerosol 349 measurements in the ULTRA-2 study. These aerosol samples were collected in Helsinki in 350 1999, including a sample of homes of 47 cardiovascular patients, with 4-5 repeated 351 measurements (Lanki et al., 2008). The set of homes is smaller in this sample, but the 352 methods were updated to include a treatment of particle size dependent behaviour. The 353 comparison of the results obtained using sulphur-based and aerosol methods revealed 354 significant differences in the aerosol parameters; in particular, regarding the deposition rate 355 and the estimation of the air exchange rates. Nevertheless, the PM_{2.5} infiltration factor distributions of residences were almost identical and were not affected by the improved 356 357 methods.

358

In this study, we have evaluated only the impact of outdoor air pollution on the population exposure. We have considered neither the influence of indoor sources of $PM_{2.5}$ nor the impact of particulate matter transformation and deposition in the indoor environments on the population exposure. In order to account for the indoor concentrations, the EXPAND model could be used to consider the ratio between indoor and outdoor concentrations. However, the detailed value of this ratio depends on numerous factors, in particular the influence of indoor sources.

366

367 The infiltration factors in the present study are based on the results that have been summarised368 in Table 1. These PM2.5 infiltration rates were estimated based on residential and workplace

369 measurements using two relatively large population-based datasets (EXPOLIS and ULTRA-370 2). We therefore evaluate that the residential infiltration rates have been fairly reliably 371 estimated for the 1996-99 building stock. The corresponding values for workplaces, 372 representing partly public buildings and partly private occupational businesses, are available 373 only from the EXPOLIS study. The infiltration estimates for non-residential buildings 374 therefore contain more substantial uncertainties.

375

For simplicity, a weighted average of the presented results, i.e., the value of 0.57, was assumed to represent both the home and work environments. As the information in the case of traffic and other microenvironments was very scarce, it was assumed that the infiltration factor would be equal to one for those microenvironments.

380

381 The Finnish building code (EP, 2002) has been updated in 2002 and 2010, setting new 382 requirements for improved energy efficiency and improved filtration in ventilation. The 383 infiltration rates will therefore be lower in buildings that have been built after the two above 384 mentioned studies. Hänninen et al. (2005) estimated that there was a 20 % reduction of 385 infiltration factors in the building stock that was built in the 1990's, in comparison with older 386 buildings. The same long-term trend has continued in the 2000's. Considering all buildings, 387 the impact on infiltration factors of improved energy efficiency and filtration in ventilation is 388 much smaller, due to the slow renewal rate of the building stock, estimated to be of the order 389 of 1-2 % annually.

390

391 **2.6 Modelling of exposure**

392

Exposure to air pollutants can be represented as the sum of the products of time spent by a person in different locations and the averaged air pollutant concentrations prevailing in those locations. These locations are commonly categorised into micro-environments, which are assumed to have homogeneous pollutant concentrations. Exposure can therefore be written as:

$$_{397} \qquad E_i = \sum_{j=1}^m T_{ij} C_{ij} \tag{2}$$

where E_i is the total exposure of person *i* in various micro-environments [µg m⁻³ s], *m* is the number of different microenvironments, T_{ij} is the time spent in microenvironment *j* by person *i* [s] and C_{ij} is the air pollutant concentration that person *i* experiences in microenvironment *j* 401 $[\mu g m^{-3}]$. Equation (2) can also be interpreted as a weighted sum of concentrations, in which 402 the weights are equal to the time spent in each micro-environment.

403

The main objective of this study was to evaluate the average exposure of the population with reasonable accuracy, instead of the personal exposures of specific individuals. The exposure modelling in case of homes is done by combining residential coordinates with the information on the number of inhabitants at each building and the time spent at home during each day. Correspondingly, for the workplace coordinates, the number of the personnel and the time spent at the workplace are combined.

410

411 The population activities at other locations (such as, e.g., shops, restaurants, cafes, pubs, 412 cinemas, libraries and theatres) are evaluated using statistical information of leisure time 413 (CHUF, 2009). The number of persons in traffic is evaluated based on the predicted traffic 414 flows. In the case of buses, trains, metro, trams and pedestrians and cyclists, the number of 415 persons and the time they spend in each street or rail section is estimated using the traffic-416 planning model EMME/2. In case of private cars, the EMME/2 model predicts the number of 417 cars; we assumed that the number of passengers in each car is equal to the average value in 418 the area, i.e., 1.31 (Hellman, 2012).

419

420 The concentrations are interpolated on to a rectangular grid in the model. The data regarding 421 population activities (number of persons * hour) is also converted to the same grid. For this 422 study, the grid-size was selected as $50*50 \text{ m}^2$. The GIS system MapInfo is subsequently 423 utilised in the post-processing and visualisation of this information.

424

The model has also been extended to be able to use various internationally used coordinationsystems; details have been reported in Appendix A.

- 427
- 428

2.7 Modelling of intake fractions

429

The EXPAND model was refined to calculate not only exposures, but also intake fractions (iF) for the available substances. The iF is defined as intake by humans via relevant exposure pathways, divided by the emissions of the pollutant. For instance, an intake fraction of one in a million (10^{-6}) means that for every tonne of a pollutant emitted, 1 g is inhaled by the exposed population. The iF concept provides a measure of the portion of a source's emissions that is, e.g., inhaled by an exposed population over a defined period of time. The iF concept
can be useful in both screening-level order-of-magnitude estimates and more detailed policy
modelling of non-reactive compounds (Bennett et al., 2002).

438

The model allows for the estimation of the spatial and temporal distribution of iF's, by combining and processing different input values: time-microenvironment activity data, the spatial location of the population, micro-environmental population breathing rates, and pollutant concentration distributions (Loh et al., 2009). The emissions can be considered for one source only, or for a selected source category. The iF can be calculated using exposure estimates for the micro-environments of interest and the average breathing rate of a population, while in each micro-environment.

- 446
- 447 448

7 **3 RESULTS AND DISCUSSION**

449 We address results computed for two years, 2008 and 2009. The computations in 2008 450 address the Helsinki Metropolitan Area, whereas the computations in 2009 focus on the city 451 of Helsinki. Both computations include the LRT pollution, and the vehicular emissions. 452 However, the computations for 2009 additionally include the emissions from major stationary 453 sources and the emissions from shipping in the vicinity and in the harbours of Helsinki. The 454 computations for 2008 can therefore be used for examining the population exposure within a 455 wider area (the whole of the Helsinki Metropolitan Area), whereas those for 2009 are useful 456 for investigating, in particular, the influence of major stationary sources and shipping on the 457 population exposure within the more limited area of the Finnish capital.

458

460

459 **3.1 Predicted emissions of PM**_{2.5}

461 The total emissions of $PM_{2.5}$ originated from vehicular traffic were 322 tonnes for the 462 Helsinki Metropolitan Area in 2008, and 202 tonnes for Helsinki in 2009. The vehicular 463 emissions include exhaust emissions (these include also cold start and driving) and road 464 suspension emissions. The emissions of $PM_{2.5}$ originated from ships were estimated to be 204 465 tonnes in Helsinki in 2009. The PM emissions originated from major stationary sources were 466 225 tonnes in Helsinki in 2009, according to Lappi et al. (2008). In summary, the total annual 467 emissions from vehicular sources and from shipping were approximately the same in Helsinki in 2009, and the emissions from major stationary sources were slightly higher than those fromvehicular or shipping sources.

470

471 The emissions of PM_{2.5} originated from shipping in 2009 are presented in Fig. Figure 1b. 472 There are three main harbours in central Helsinki, listed from north to south: the Kulosaari 473 harbour, the Southern harbour and the Western harbour. The emissions per unit area are 474 largest within these three harbour areas. One reason for the relatively high shipping emissions 475 in harbours is that auxiliary diesel engines are used for power generation while at berth; these 476 engines have relatively higher emissions per power output, compared with the main engines 477 (Jalkanen et al., 2012). The second largest emissions occur along the main shipping routes 478 from Helsinki to Tallinn (the southward ones) and to other major cities.

479

480 The small-scale combustion emissions were not included in the dispersion computations, due 481 to insufficient information regarding the spatial distribution and magnitudes of these 482 emissions. The contribution of small-scale combustion to the total PM25 emissions in 483 Helsinki Metropolitan Area has been estimated to be 15 %; this fraction is slightly lower than 484 the corresponding one for stationary sources (21 %) (Niemi et al, 2009 and Gröndahl et al, 485 2013). In the present study, allowing also for the emissions of small-scale combustion as 486 reported in the above mentioned studies, the contributions of the different emission source 487 categories for PM_{2.5} in Helsinki in 2009 are: 36 % for vehicular traffic, 23 % for major 488 stationary sources, 23 % for shipping and 18 % for small-scale combustion.

489

490 **3.2 Predicted concentrations of PM**_{2.5}

491

492 The predicted concentrations for vehicular emissions and LRT in 2008 are presented in 493 Fig.Figure 2. The centre of Helsinki is on a peninsula that is located approximately in the 494 middle of the southern part of Fig.Figure 2. The LRT is responsible for a substantial fraction 495 of the total PM_{2.5} concentrations. The concentrations are highest in the vicinity of the main 496 roads and streets, and in the centre of Helsinki. Fig.Figure 2 shows also the distinct influence 497 of the ring roads number 1 (situated at a distance of about 8 km from the city centre) and 498 number 3 (situated about 15 km from the city centre), the major roads leading to the Helsinki 499 city centre, and the junctions of major roads and streets. The overall characteristics of the 500 spatial distribution of the predicted concentrations in 2009 were very similar to those in 2008, 501 and are therefore not presented here.

Averaging the results, for 2008, over all receptor grid locations, shows that LRT, vehicular traffic and shipping contribute 86 %, 11 % and 3 % to the $PM_{2.5}$ concentrations, respectively. Although the average contribution of shipping to the total $PM_{2.5}$ concentrations within the whole of the modelled domain was modest, this contribution can be higher than 20 % in the vicinity of the harbours (within a distance of approximately one kilometre).

508

The computations for 2008 have been evaluated against the measurement data from the air quality monitoring network at the Helsinki Metropolitan Area; selected example results have been presented in Fig. Figure **3**. In general, the agreement of the measured and predicted values was good or fairly good. For instance, the index of agreement that corresponds to the comparison of predicted and measured hourly time series of the $PM_{2.5}$ concentrations varied from 0.72 to 0.73 at the available three stations, whereas the fractional bias varied from - 0.16 to - 0.22.

516

517 It is appropriate to evaluate, whether the above mentioned values on the contribution of 518 shipping and harbours on the $PM_{2.5}$ concentrations are correct. We have therefore compared 519 the predicted annual average concentration values with the available measurements of the 520 Helsinki Region Environmental Services Authority in the vicinity of harbours from 2008 to 521 2010 (Table 2). For two stations, the year of measurement was not the same as the predicted 522 year (2009); these comparisons are therefore only qualitative. The measured data included 523 from 95 to 97 % of the hourly values for all these stations.

524

Regarding the values at the stations in the vicinity of the harbours, the agreement of the predicted and measured annual means ranged from 5 to 9 %. This adds some confidence that the predicted contributions from shipping are probably approximately correct. The annual averages of the measured and predicted urban background values also differed only slightly. However, for the computations in 2009 we have used the measured regional background concentration values, which constitute a substantial fraction of the predicted concentrations.

531

532 **3.3 Predicted time-activities**

533

534 The time-activity of the population was divided into four categories: home, workplace, traffic 535 and other activities. The diurnal variation of population activities in various microenvironments in the Helsinki Metropolitan Area is presented in Fig. Figure 4. The
children that are younger or equal to 10 years have been excluded from the data of this figure;
however, they are included in the subsequent exposure computations. In the data presented in
Fig. Figure 4, we have combined indoor and outdoor time-activity in each microenvironment.

540

541 On average people spend most of their time at home environment. As expected, in the late 542 afternoon and early evening, people spend a substantial fraction of their time in traffic and in 543 other activities (these include shopping and various recreational activities). The results 544 presented in Fig. Figure 4 can be compared with the previously applied time-activity data for 545 the adult population, presented by Kousa et al. (2002). As expected, the more comprehensive 546 sample of the population presented in Fig. Figure 4 (including population of all ages larger 547 than 10 years) includes a substantially larger fraction of home activities, and a smaller fraction 548 of work activities.

549

550 The spatial and temporal distributions of the time-activity were modelled separately for each 551 microenvironment. The annually averaged results have been presented in Figs. Figure 5a-e. 552 As expected, the population density values are highest in the centre of Helsinki (Fig. Figure 553 5a). There are also elevated levels of population density in the vicinity of the district centres 554 of the other major cities in the area (Espoo and Vantaa), and in the vicinity of major roads and 555 streets. The work time-activities are focused in some regions of central Helsinki, in the district 556 centres, and in some industrial areas, whereas the home activities, and partly also the other 557 activities are much more evenly dispersed throughout the area.

- 558
- 559 **3.4 Predicted exposures to PM_{2.5}**

560 3.4.1 Exposures in various micro-environments in 2008

561

The population exposures were computed based on the predicted $PM_{2.5}$ concentrations and time-activities. The predicted concentration and population data were interpolated on to a rectangular grid with a grid size of 50 m. The population exposures were computed for each hour of the year, at 18.7 x 10³ receptor grid squares, separately for the selected four microenvironments.

Population exposure is a combination of both the concentration and activity (or population density) values. The fractions of exposure in various micro-environments compared with the total population exposure to $PM_{2.5}$ have been presented in Fig. Figure 6a. These values include all age groups (including also children younger than 10 years). The exposure at home is responsible for most of the exposure, 60 %; whereas the work and other activities exposures are responsible for most of the rest of the exposure, i.e., 19 and 17 %, respectively.

574

We have compared the shares of time-activity and exposure in each microenvironment in Table 3, according to the computations. The contributions to the total time-activity and exposure are similar for home, work and other activities microenvironments; this indicates that there are no major relative differences in the average concentrations prevailing at those microenvironments. However, for traffic the contribution to exposure is substantially higher than the corresponding contribution to time-activity. This is mainly caused by the relatively higher concentrations on the roads and streets and in their vicinity.

582

583 We have presented the spatial distributions of the predicted annual average population 584 exposures in the Helsinki Metropolitan Area in 2008 in Figs. Figure 7a-e, for the total 585 exposure and separately for all micro-environments. These distributions exhibit characteristics 586 of both the corresponding spatial concentration distributions and time-activities. There are 587 elevated values in the Helsinki city centre, along major roads and streets, and in the vicinity of 588 urban district centres. The high home and work exposures in the centre of Helsinki are caused 589 both by the relatively high concentrations and the highest population and workplace densities 590 in the area.

591

592 The spatial distributions of the population exposures at home and work correlate poorly (cf. 593 Figs. Figure 7b-c). The reason is that while most of the work environments are located either 594 in the centre of Helsinki and in district centres, or in major industrial, service and commercial 595 regions, a substantial fraction of residences are also located in suburban areas.

596

As expected, the exposure while in traffic is focused along the main network of roads and streets, and in their immediate vicinity. These exposures may be under-predicted for three main reasons. First, the traffic flow and emission modelling does not completely allow for all the effects of traffic congestion. The traffic flow modelling does take into account the slowing down of traffic in certain regions and streets, and the emission modelling takes into account 602 the dependency of emissions on the travel speed. However, the emission modelling does not 603 take into account the effects of idling, and the deceleration and acceleration of vehicles. 604 Traffic congestion occurs frequently in the centre of Helsinki, and also along the main roads 605 and streets, especially during rush hours. Second, the dispersion modelling and the spatial 606 averaging does not allow for the very fine-scale (< 50 m), highest peak concentrations above 607 the roads and streets. The dispersion modelling also does not include any treatment for 608 dispersion in street canyons, which tends to result in an under-prediction of concentrations. 609 Third, by assuming no indoor sources (the infiltration factor for vehicles is equal to one) the 610 indoor concentrations are neglected.

611

612 We have allowed for only the influence of outdoor air pollution on the population exposure. 613 We have not addressed the indoor sources and sinks of pollution; however, indoor sources 614 such as, e.g., tobacco smoking, cooking, heating and cleaning can cause additional short-term 615 concentration maxima. We have also assumed that the infiltration factor is temporally 616 constant. The temporal variation of indoor concentrations would be expected to be smoother, 617 compared with our assessments, due to the delay associated with the infiltration of outdoor air 618 pollution to indoors. Such a delay would mainly affect shorter term exposure assessments; we 619 have considered only annual average exposures in the present study.

620

622

621 3.4.2 Exposures originated from various source categories in 2009

623 The population exposures from various source categories were also computed for each hour of 624 the year. The contribution of each source category to the total population exposure to $PM_{2.5}$ 625 concentrations in the Helsinki have been presented in Fig. Figure 6b. The population exposure originated from the LRT background concentrations is responsible for a major fraction, 86 %, 626 627 of the total exposure. The second largest contributors are vehicular emissions (12 %) and 628 shipping (2 %). The exposure originated from major stationary sources is negligible, caused 629 by the dispersion of pollutants to wide regions due to high stacks for most of these 630 installations. However, the above mentioned percentage values include some uncertainties, 631 due to excluding the small-scale combustion from these computations. The contribution of 632 small-scale combustion on the population exposure will be higher than its contribution to the 633 total emissions, due to the low injection heights.

635 We have presented in Figs. Figure 8a-c the spatial distributions of annually averaged predicted population exposures to PM_{2.5} in Helsinki in 2009, originated from various source 636 637 categories. The population exposure caused by shipping is focused in central Helsinki, near 638 the main harbours and within some densely inhabited parts of the city. As expected, the 639 population exposure is relatively substantially lower within the main park areas (e.g., Central 640 Park, and the parks of Kaisaniemi and Kaivopuisto) and a cemetery (Hietaniemi). In the 641 harbours and their vicinity (approx. 1 km from the harbour), the contribution of shipping to 642 total exposure can reach up to 20 %.

643

644 **4** Conclusions

645

We have presented a refined version of a mathematical model for the determination of human 646 647 exposure to ambient air pollution. A review of the main characteristics of the previous and 648 current versions of the EXPAND model have been presented in Table 4. The revisions of the 649 modelling system include the following: (i) The treatment of the time-use of population has 650 been extended to include all the age groups and a wide range of activities, including detailed 651 treatments of the various traffic modes, and a wide range of recreational activities; (ii) The 652 infiltration coefficients from outdoor to indoor air have been updated based on new 653 information from the ULTRA-2 study; (iii) The revised model version can also be used for 654 evaluating intake fractions, and the model can be applied using several internationally applied 655 coordinate systems. The model can be used for evaluating specific population exposures, e.g., 656 in terms of population age-groups, microenvironments, source categories or individual 657 sources.

658

659 Numerical results have been presented on the spatial concentrations, the time activity and the population exposures to PM2.5 in the Helsinki Metropolitan Area for 2008 and in Helsinki for 660 661 2009. The computations included the regionally and long-range transported pollution and the 662 vehicular emissions both for 2008 and 2009. In addition, the emissions from major stationary 663 sources and the emissions from shipping in the sea areas and in the harbours of Helsinki have 664 been considered in the simulations for 2009. The above mentioned emission source categories 665 contain all the most important sources in the area, except for small-scale combustion (such as, 666 residential heating). It has been estimated that small-scale combustion contributes 18 % to the 667 total PM_{2.5} emissions in the Helsinki Metropolitan Area. It was not possible to take into account those residential sources, due to scarcity of spatially resolved emission data. 668

We have conducted an unprecedentedly detailed and accurate emission inventory of $PM_{2.5}$ originated from shipping in 2009, using the STEAM emission model. The emissions per unit area were largest within three major harbour areas in Helsinki; the second largest emissions occurred along the main shipping routes. This study presents for the first time for this capital region quantitative evaluations of the influence of shipping emissions on the concentrations and population exposure.

676

677 A comprehensive and up-to-date inventory was compiled of the time-activity of the 678 population of approximately 1.0 million inhabitants. This inventory included the fine-scale 679 spatial distributions of hourly time-activity of all the age groups of the population during a 680 year, classified into four micro-environmental categories: home, workplace, traffic and other 681 activities. On average, people spend most of their time at home. As expected, in the late 682 afternoon and early evening, people spend a substantial fraction of their time in traffic and in 683 other activities (these include, e.g., shopping and various recreational activities). The work 684 time-activities are focused in some regions of central Helsinki, in the district centres, and in 685 some industrial areas, whereas the home activities are much more evenly dispersed 686 throughout the area.

687

688 Finally, we evaluated the population exposures both in terms of the micro-environments and 689 the main source categories. Approximately 60 % of the total exposure occurred at home, 17 % 690 at work, 4 % in traffic and 19 % in other micro-environments. The spatial distributions of the 691 population exposures exhibit characteristics of both the corresponding spatial concentration 692 distributions and time-activities. There were elevated exposure values in the Helsinki city 693 centre, along major roads and streets, and in the vicinity of urban district centres. The high 694 home and work exposures in the centre of Helsinki were caused both by the relatively high 695 concentrations and the highest population and workplace densities in the area.

696

As expected, the exposure while in traffic was focused along the main network of roads and streets, and in their immediate vicinity. However, the exposures in traffic may be underpredicted in this study for three main reasons. First, the emission modelling does not explicitly allow for the traffic congestion. Second, the dispersion modelling and the spatial averaging do not allow either for the dispersion in street canyons or the very fine-scale concentration distributions above the roads and streets. Third, the indoor concentrations areneglected.

704

The population exposure originated from the LRT'ed background concentrations was responsible for a major fraction, 86 %, of the total exposure. The second largest contributors were vehicular emissions (12 %) and shipping (2 %). The exposure originated from major stationary sources was marginally small. In the harbour areas and their vicinity (approximately at the distance of 1 km), the contribution of shipping to total exposure can reach up to 20 %.

711

The values for the infiltration factors were updated based on the best available information, from the ULTRA-2 study. However, the assumed infiltration values are averages for residential and workplace buildings, and do not take into account the specific characteristics of individual buildings, such as the efficiency of ventilation and the filtering of pollutants, or pollution sources and sinks within the indoor microenvironments. The relevant information regarding the whole of the building stock was not sufficient for conducting such assessments.

718

This model has been designed to be utilised by municipal authorities in evaluating the impacts of traffic planning and land use scenarios. It has been used, for instance, as an assessment tool in the revision of the transportation system plan for the Helsinki Metropolitan Area. Such detailed population exposure models can also be a valuable tool of assessment to estimate the adverse health effects caused to the population by air pollution, both for the present and in the future. The model, including the GIS-based methodology, could also be applied on a regional scale in the future.

726

727 The methodologies developed, and the EXPAND model itself, are available to be utilised also 728 for other urban areas world-wide, and within other integrated modelling systems, providing 729 that sufficiently detailed concentration fields and time-activity surveys will be available. The 730 data that is commonly the most difficult to find and process to a suitable format is the detailed 731 time-activity information. This data should include at least a survey regarding the temporally 732 varying location of the population in residential and workplace environments. Whenever 733 possible, this information should be accompanied with time-activity information of the 734 population in traffic and at recreational activities. The location of the population in traffic can

- on the number of passengers in private cars, buses and other vehicles.
- 737
- The executable program of the EXPAND model for Windows operating system for evaluating
- human exposure to air pollution in an urban area is available upon request from the authors.
- 740
- 741 742 ACKN
- 742 743

742 ACKNOWLEDGEMENTS

The study was supported by the EU Contract FP7-ENV-2009-1-243406 (TRANSPHORM);
EU Health Programme projects HEALTHVENT, Grant Nr. 2009 12 08; Academy of Finland
Contracts 133792 (PM Sizex) and "The Influence of Air Pollution, Pollen and Ambient
Temperature on Astma and Allergies in Changing Climate (APTA)". European Regional
Development Fund, Central Baltic INTERREG IV A Programme within the project SNOOP;
EU contract ENV4-CT95-0205 (ULTRA); and EU contract ENV4-CT96-0202 (EXPOLIS,
DG12-DTEE).

- /31
- 752
- 753

REFERENCES

- Ashmore, M.R. and Dimitripoulou, C.: Personal exposure of children to air pollution. Atmos.
 Environ., 43, 128-141, doi:10.1016/j.atmosenv.2008.09.024, 2009.
- 758 Beckx, C., Int Panis, L., Arentze, T., Janssens, D., Torfs, R., Broekx, S., and Wets, G.: A
- dynamic activity-based population modelling approach to evaluate exposure to air
 pollution: Methods and application to a Dutch urban area, Environmental Impact
 Assessment Review, 29, 3, 179-185, 2009.
- Bennett, D., McKone, T., Evans, J., Nazaroff, W., Smith, K., Margni, M., Jolliet, O., and
 Smith, K.R.: Defining intake fraction, Environ. Sci. Technol. 36, 206A–211A,
 doi:10.1021/es0222770, 2002.
- Borrego, C., Sá, E., Monteiro, A., Ferreira, J., and Miranda, A.: Forecasting Human Exposure
 to atmospheric Pollutants A modelling approach, Atmos. Environ., 43, 5796-5806,
 doi:10.1016/j.atmosenv.2009.07.049, 2009.
- 768 City of Helsinki Urban Facts (CHUF): Statistical Yearbook of the City of Helsinki.
 769 Gummerrus Kirjapaino Oy, Jyväskylä, 2009.
- Dimitroulopoulou, C., Ashmore, M. R., Hill, M. T. R., Byrne, M. A., and Kinnersley, R.:
 INDAIR: a probabilistic model of indoor air pollution in UK homes, Atmos. Environ., 40,
 6362–6379, doi:10.1016/j.atmosenv.2006.05.047, 2006.
- Elolähde, T.: Traffic model system and emission calculations of the Helsinki Metropolitan
 Area Council, 20th International Emme Users' Conference, Montreal, available at: http:
 //www.inro.ca/en/pres_pap/international/ieug06/1-3_Timo_Elolahde_report.pdf (last
- access: 18 December 2013), 2006.
- European Parliament (EP): Directive 2002/91/EC of the European Parliament and of the
 Council of 16 December 2002 on the Energy Performance of Buildings, available at:
 http://eur-lex.10europa.eu/legal-content/EN/ALL/?uri=CELEX:32002L0091 (last access: 9
 April 2014), 2002.
- 781 Franzen, L.G., Hjelmroos, M., Kallberg, P., Brorstrom-Lunden, E., Juntto, S., Savolainen, A.-
- L.: The 'yellow snow' episode of northern Fennoscandia, March 1991 a case study of
 long-distance transport of soil, pollen and stable organic compounds. Atmospheric
 Environment 28, 3587–3604, 1994.
- Gulliver J. and Briggs, D.: Time-space modelling of journey-time exposure to traffic-related
 air pollution using GIS, Environ. Res., 97, 10-95, doi:10.1016/j.envres.2004.05.002, 2005.

- Gröndahl, T., Makkonen, J., Myllynen, M., Niemi, J., and Tuomi, S.: Tulisijojen käyttö ja
 päästöt pääkaupunkiseudun pientaloista (The use of residential fireplaces and their
 emissions in the Helsinki Metropolitan Area), Helsingin seudun ympäristöpalvelut kuntayhtymä, HSY, Helsinki, 2013 (in Finnish).
- Hellén, H., Kukkonen, J., Kauhaniemi, M., Hakola, H., Laurila, T., and Pietarila, H.:
 Evaluation of atmospheric benzene concentrations in the Helsinki Metropolitan Area in
 2000–2003 using diffusive sampling and atmospheric dispersion modelling, Atmos.
 Environ., 39, 4003-4014, doi:10.1016/j.atmosenv.2005.03.023, 2005.
- Hellman, T.: Henkilöautojen Keskikuormitus Niemen Rajalla Helsingissä Vuonna 2012 (The
 average number of people in the personal cars in Helsinki year 2012), City of Helsinki,
 City Planning Department, Traffic Planning, Publications on Air Quality, 23, 2012 (In
 Finnish).
- Hänninen, O., Kruize, H., Lebret, E., and Jantunen, M.: EXPOLIS simulation model: PM2.5
 application and comparison with measurements in Helsinki, J. Exp. Anal. and Environ.
 Epidem., 13, 74-85, doi:10.1038/sj.jea.7500260, 2003.
- 802 Hänninen, O., Lebret, E., Ilacqua, V., Katsouyanni, K., Künzli, N., Sram, R., and Jantunen, 803 M.: Infiltration of ambient PM2.5 and levels of indoor generated non-ETS PM2.5 in 804 of residences four European cities, Atmos. Environ., 38, 6411-6423, 805 doi:10.1016/j.atmosenv.2004.07.015, 2004.
- Hänninen, O., Palonen, J., Tuomisto, J., Yli-Tuomi, T., Seppänen, O., Jantunen, M.J.:
 Reduction potential of urban PM2.5 mortality risk using modern ventilation systems in
 buildings, Indoor Air, 15, 246-256, doi: 10.1111/j.1600-0668.2005.00365.x, 2005.
- 809 Hänninen, O., Hoek, G., Mallone, S., Chellini, E., Katsouyanni, K., Kuenzli, N., Gariazzo, C.,
- 810 Cattani, G., Marconi, A., Molnár, P., Bellander, T., and Jantunen, M.: Seasonal patterns of
- 811 outdoor PM infiltration into indoor environments: review and meta-analysis of available
- studies from different climatological zones in Europe, Air Qual. Atmos. Health., 4, 221-
- 813 233, doi: 10.1007/s11869-010-0076-5, 2011.
- Hänninen, O., Sorjamaa, R., Lipponen, P., Cyrys, J., Lanki, T., Pekkanen, J.: Aerosol-based
 modelling of infiltration of ambient PM2.5 and evaluation against population-based
 measurements in homes in Helsinki, Finland, J. Aerosol Sci., 66, 111-122, doi:
 10.1016/j.jaerosci.2013.08.004, 2013.
- 818 INRO: EMME/2 User's manual, INRO Consultants Inc., Montreal, Canada, 1994.

- Jalkanen, J.-P., Brink, A., Kalli, J., Pettersson, H., Kukkonen, J., and Stipa, T.: A modelling
 system for the exhaust emissions of marine traffic and its application in the Baltic Sea area.
 Atmos. Chem. Phys., 9, 9209–9223, doi:10.5194/acp-9-9209-2009, 2009.
- Jalkanen, J.-P., Johansson, L., Kukkonen, J. Brink, A., Kalli, J., and Stipa, T.: Extension of an
 assessment model of ship traffic exhaust emissions for particulate matter and carbon
 monoxide, Atmos. Chem. Phys., 12, 2641-2659, doi:10.5194/acp-12-2641-2012, 2012.
- Jantunen, M., Hänninen, O., Katsouyanni, K., Knöppel, H., Künzli, N., and Lebret, E.: Air
- pollution exposure in European cities: The EXPOLIS-study, J. Expo. Anal. Environ
 Epidemiol., 8, 495-518, 1998.
- Jensen, S.S.: A Geographic Approach to Modelling Human Exposure to Traffic Air Pollution
 using GIS, PhD Thesis. National Environ. Res. Institute, Denmark, 1999.
- 830 Kauhaniemi, M., Karppinen, A., Härkönen, J., Kousa, A., Alaviippola, B., Koskentalo, T.,
- Aarnio, P., Elolähde, T. and Kukkonen, J.: Evaluation of a modelling system for predicting
- the concentrations of $PM_{2.5}$ in an urban area, Atmos. Environ. 42, 4517-4529, doi: 10.1016/j.atmosenv.2008.01.071, 2008.
- Kauhaniemi, M., Kukkonen, J. Härkönen J., Nikmo J., Kangas L., Omstedt G., Ketzel M.,
 Kousa A., Haakana M., and Karppinen A.: Evaluation of a road dust suspension model for
 predicting the concentrations of PM₁₀ in a street canyon, Atmos. Environ., 45, 3646-3654,
 doi:10.1016/j.atmosenv.2011.04.055, 2011.
- Karppinen, A, Kukkonen J., Elolähde T., Konttinen M., Koskentalo T., and Rantakrans E.: A
 modelling system for predicting urban air pollution, Model description and applications in
 the Helsinki Metropolitan Area, Atmos. Environ. 34-22, pp 3723-3733, doi:
 10.1016/S1352-2310(00)00074-1, 2000a.
- Karppinen, A., Kukkonen, J., Elolähde, T., Konttinen, M., and Koskentalo, T.: A modelling
 system for predicting urban air pollution, Comparison of model predictions with the data of
 an urban measurement network, Atmos. Environ., 34, 3735-3743, doi:10.1016/S13522310(00)00073-X, 2000b.
- Karppinen, A.: Meteorological pre-processing and atmospheric dispersion modelling of urban
 air quality and applications in the Helsinki Metropolitan Area. Finnish Meteorological
 Institute, Contributions No. 33, ISBN 951-697-552-6, University Press, Helsinki, 2001.
- Kousa, A., Kukkonen, J., Karppinen, A., Aarnio, P., Koskentalo, T.: Statistical and diagnostic
 evaluation of a new-generation urban dispersion modelling system against an extensive
 dataset in the Helsinki Area. Atmos. Environ., 35, 4617-4628, doi: 10.1016/S13522310(01)00163-7, 2001.

- Kousa, A., Kukkonen, J., Karppinen, A., Aarnio, P., and Koskentalo, T.: A model for
 evaluating the population exposure to ambient air pollution in an urban area, Atmos.
 Environ., 36, 2109-2119, doi:10.1016/S1352-2310(02)00228-5, 2002.
- Kukkonen, J., Härkönen, J., Walden, J., Karppinen, A., and Lusa, K.: Evaluation of the
 dispersion model CAR-FMI against data from a measurement campaign near a major road,
 Int. J. Environ. Pollut., 35, 949-960, 2001.
- Lanki, T., Hoek, G., Timonen, K. L., Peters, A., Tiittanen, P., and Vanninen, E.: Hourly
 variation in fine particle exposure is associated with transiently increased risk of ST
 segment depression, Occup. Environ. Med., 65, 782-786. doi:10.1136/oem.2007.037531,
 2008.
- Lappi, S., Lovén K., Rasila, T., and Pietarila, H.: Pääkaupunkiseudun päästöjen
 leviämismalliselvitys. Energiantuotannon, satamatoiminnan, laivaliikenteen,
 lentoliikenteen, lentoasematoiminnan ja autoliikenteen typenoksidi-, rikkidioksidi- ja
 hiukkaspäästöjen leviämislaskelmat. Finnish Meteorological Institute, Helsinki, 2008.
- Laurikko, J.: On exhaust emissions from petrol-fuelled passenger cars at low ambient
 temperatures, VTT Publications 348, Technical Research Centre of Finland, Espoo, 210,
 1998.
- Laurikko, J., Kukkonen, J., Koistinen, K., and Koskentalo, T.: Integrated modelling system
 for the evaluation of the impact of Transport-related measures to urban air quality, 2th
 symposium "Transport and Air Pollution", Avignon, France, 2003.
- Levitin, J., Härkönen, J., Kukkonen, J., Nikmo, J.: Evaluation of the CALINE4 and CAR-FMI
 models against measurements near a major road, Atmos. Environ., 39, 4439-4452, doi:
 10.1016/j.atmosenv.2005.03.046, 2005.
- 876 Loh, M.M., Soares, J., Karppinen, A., Kukkonen, J., Kangas, L., Riikonen, K., Kousa, A., 877 Asikainen, A., and Jantunen, M.J.: Intake fraction distributions for benzene from vehicles 878 in the Helsinki Metropolitan Area, Atmos. Environ., 43. 301-310. doi: 879 10.1016/j.atmosenv.2008.09.082, 2009.
- Mäkelä K.: "LIPASTO calculation model: unit emissions of traffic", VTT Technical Research
 Centre of Finland, Espoo, Finland, 2002, available at: http://lipasto.vtt.fi/info.htm
- 882 Official Statistics of Finland (OSF): Time use survey [e-publication].
 883 Helsinki: Statistics Finland, available at <u>http://www.stat.fi/til/akay/index_en.html</u>. (last access: 1 January 2013)
- Niemi, J., Malkki, M., Myllynen, M., Lounasheimo J., Kousa, A., Julkunen, A., Koskentalo,
 T.: Air Quality in the Helsinki Metropolitan Area in 2008. YTV Publications 15/2009, 128,

Finland,

available

at

- 888 http://www.hsy.fi/seututieto/Documents/YTV_julkaisusarja/15_2009_vuosiraportti2008.pd
- 889 f (last access: 12 June 2014), 2009 (In Finnish)
- 890
- 891 Omstedt, G., Bringfelt, B., and Johansson, C.: A model for vehicle-induced non-tailpipe
 892 emissions of particles along Swedish roads. Atmos. Environ., 39, 6088-6097, doi:
 893 10.1016/j.atmosenv.2005.06.037, 2005.
- Öttl, D., Kukkonen, J., Almbauer, R.A., Sturm, P.J., Pohjola, M., and Härkönen, J. H.:
 Evaluation of a Gaussian and a Lagrangian model against a roadside dataset, with focus on
 low wind speed conditions. Atmos. Envir., 35, 2123-2132, doi: 10.1016/S13522310(00)00492-1, 2001.
- Pope, C. A. and Dockery, D.W.: Health effects of fine particulate air pollution: Lines that
 connect, J. Air Waste Manage., 56, 709-742, 2006.
- 900 Rotko T., Koistinen, K., Hänninen, O., and Jantunen, M.: Sociodemographic descriptors of
- 901 personal exposure to fine particles (PM2.5) in EXPOLIS Helsinki, J. Expo. Anal. Environ.
 902 Epidemiol., 10, 385-393, doi: 10.1038/sj.jea.7500104, 2000.
- Schaap, M.F.S., Timmermans, R.M.A., Roemer, M., Velders, G., Beck, J., and Builtjes,
 P.J.H.: The LOTOS-EUROS model: description, validation and latest developments, Int. J.
 Environ. Pollut., 32, 270–290, 2008.
- Schweizer, C., Edwards, R.D, Bayer-Oglesby, L., Gauderman, W.J., Ilacqua, V., Juhani, M.,
 Lai, H.K., Nieuwenhuijsen, M., and Künzl, N.: Indoor time-microenvironment-activity
 patterns in seven regions of Europe. J. Expo. Sci. Env. Epid., 17, 170–181, doi:
 10.1038/sj.jes.7500490, 2007.
- Singh, V., Sokhi, R. and Kukkonen, J.: PM_{2.5} concentrations in London for 2008 A
 modeling analysis of contributions from road traffic. J. Air Waste Manage., 2013,
 accepted, available at http://dx.doi.org/10.1080/10962247.2013.848244.
- 913 Sofiev, M., J. Soares, M. Prank, G. de Leeuw, and J. Kukkonen (2011), A regional-to-global
- 914 model of emission and transport of sea salt particles in the atmosphere, J. Geophys. Res.,
- 915 116, D21302, doi:10.1029/2010JD014713.Sokhi, R., Mao, H., Srimath, S.T.G., Fan, S.,
- 916 Kitwiroon, N., Luhana, L., Kukkonen, K., Haakana, M., van den Hout K.D., Boulter, P.,
- 917 McCrae, I.S., Larssen, S., Gjerstad, K.I., San Jose, R., Bartzis, J., Neofytou, P., van den
- 918 Breemer, P., Neville, S., Kousa, A. Cortes, B.M., Karppinen K., and Myrtveit, I.: An
- 919 integrated multi-model approach for air quality assessment: Development and evaluation

- 920 of the OSCAR Air Quality Assessment System, Environ. Modell. and Softw., 23, 268-281,
 921 doi: 10.1016/j.envsoft.2007.03.006, 2008.
- 922 Statistics Filand, Energy consumption in households [e-publication]. ISSN=2323-329X. 2012,
- 923 Appendix figure 1. Energy consumption in households by energy source in 2012. Helsinki:
- 924 Statistics Finland, available at: <u>http://www.stat.fi/til/asen/2012/asen_2012_2013-11-</u>
- 925 <u>13_kuv_001_en.html</u> (last access: 30 June 2014)
- 926

927 **Table 1** Compilation of available results on the $PM_{2.5}$ infiltration factors in the building 928 stocks in the Helsinki Metropolitan Area, based on the results from the EXPOLIS and 929 ULTRA-2 studies. In case of the EXPOLIS study, the main references have been listed. For 930 the ULTRA-2 study, the methods have been mentioned; these infiltration factors have not 931 been previously published. SD = standard deviation.

Acronym	Year	Type of buildings	Number Infiltration			
Acronym			of	factor	References or method	
oj study			buildings	(mean ± SD)		
EXPOLIS	1996-97	Residences	84	0.59±0.17	Hänninen et al.	
					(2004 and 2011)	
EXPOLIS	1996-97	Workplaces	94	0.47 ± 0.24	Hänninen et al. (2005)	
ULTRA-2	1999	Residences	47 (180) ^a	0.58 ± 0.15	sulphur-based method	
					(Hänninen et al., 2013)	
ULTRA-2	1999	Residences	47 (180) ^a	0.55 ± 0.13	Aerosol-based method	
					(Hänninen et al., 2013)	

^a Number of daily measurements in parenthesis.

Table 2 Comparison between measured and predicted annual average $PM_{2.5}$ concentrations 936 (μ g/m³) at the measurement sites in the vicinity of harbours, and at an urban background site 937 in Helsinki. All modelled values are for 2009. SD = standard deviation based on the hourly 938 values.

Name of the measurement site	Classification of the measurement site	Annual mean ± SD, modelled	Year of measure- ments	Annual mean ± SD, measured
Eteläranta	In the vicinity of a harbour	8.7±3.3	2010	9.8±9.9
Katajanokka	In the vicinity of a harbour	8.0±2.9	2009	7.7±6.0
Western harbour	In the vicinity of a harbour	8.2±3.2	2008	8.7±8.7
Kallio	Urban background	8.2±3.0	2009	8.4±5.7

Table 3 Contribution in each microenvironment to total time-activity and exposure.

Mionocurrinonmont	Contribution to total	Contribution to total
Microenvironment	time-activity (%)	exposure (%)
Home	61	60
Work	18	17
Traffic	2	4
Other activity	18	19

-

952	Table 4 A summary of th	e refinements of the	e EXPAND model o	lone in this study.
-----	-------------------------	----------------------	------------------	---------------------

	Previous version	Current version	
	(Kousa et al., 2002)	(this study)	
Emissions	Vehicular (exhaust),	Vehicular (exhaust and suspension),	
	major stationary sources	major stationary sources, shipping	
Pollutants	NO_x and NO_2	PM _{2.5}	
addressed			
Dispersion	CAR-FMI, UDM-FMI,	CAR-FMI, UDM-FMI,	
models	measured regional	LOTOS-EUROS, measured regional	
models	background	background	
		All age groups,	
Timo activity	Working age population	wider range of activities for various	
data	(25-65 years),	traffic modes and recreational	
Gata	year 2000 activities,		
		year 2010	
Infiltration rates	Based on the EXPOLIS	Based on the EXPOLIS and	
minitation rates	project	ULTRA2 projects	
	Population exposure, microenvironment- and	Population exposure and intake	
Model results		fractions, microenvironment-,	
Widden results		source- and population group-	
	source-specific	specific	
Coordinate	Finnish coordinate	Several international and national	
systems	system	coordinate systems	



Figure 1. (a) Location of the harbours and the measurement sites in their vicinity in 2009. The notation for harbours: Katajanokka harbour (KH), Southern harbour (SH), Western harbour (WH); and for the measurement sites: Eteläranta (EM), Katajanokka (KH), Western harbour (WM). The urban background measurement site at Kallio has also been marked in the figure. (b) The predicted emissions of $PM_{2.5}$ originated from shipping (g/cell) in Helsinki in 2009; the size of each grid cell is 0.001 °.



Figure 2. Predicted annual average concentrations of $PM_{2.5}$ (μ g/m³) in the Helsinki Metropolitan Area in 2008. The grid size is 50 m x 50 m and the size of the depicted area is 20 km x 16 km.

967

968



969

970 Figure 3. Predicted daily averaged against observed PM_{2.5} concentrations at the stations of a)

971 Kallio (urban, background) and b) Mannerheimintie (urban, traffic) in 2008.



974 Figure 4. The diurnal variation of the activity of the population in the Helsinki Metropolitan

975 Area in four microenvironments, based on the data for 2009 and 2010. Children that are

976 younger or equal to ten years old have not been included in the statistics of this figure.







Figure 5. The predicted density of population (no. persons), evaluated as an average for 2009
and 2010, for a) all micro-environments, b) home, c) work, d) traffic and e) other activities.
The grid size is 50 m x 50 m.





984 Figure 6. Contribution to the total population exposures to $PM_{2.5}$: a) in each 985 microenvironment in the Helsinki Metropolitan Area in 2008, and b) originated from various 986 source categories in Helsinki, in 2009.







Figure 7. Predicted population exposure per year (μ g/m3 * no. people) to regionally and longrange transported pollution and the emissions originated from the urban vehicular traffic PM_{2.5} in the Helsinki Metropolitan Area in 2008: a) all microenvironments, b) home, c) work, d) traffic and e) other activities.

993

994







Figure 8. Predicted population exposure per year (μ g/m3 * no. people) to PM_{2.5} in Helsinki in 2009. The unit is number of people * μ g/m³. The computations included regionally and longrange transported background, and the emissions originated from vehicular traffic, shipping and major stationary sources: a) total exposure, b) only emissions from vehicular traffic and c) only emissions from shipping.

1005	Α	ppendix A. The coordinate systems of the model.
1008	The E	XPAND model was refined to be able to compute exposures and intake fractions
1008	interna	ationally using the following coordinate systems:
1009	(i)	ETRS-GKn, in which GK refers to the Gauss-Krüger -projection and n stands for the
1010		zone of the projection (in total 13 projections),
1011	(ii)	longitude and latitude (WGS84) and
1012	(iii)	Universal Transverse Mercator (UTM) coordinate system.
1013		
1014	In ad	dition, the new model version can use the national Finnish coordinate system
1015	(abbre	viated as KKJ) in all the defined zones.
1016		
1017		