

Evaluation of the ECHAM family radiation codes performance in the representation of the solar signal

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Abstract

Solar radiation is the main source of energy for the Earth's atmosphere and in many respects defines its composition, photochemistry, temperature profile and dynamics. The magnitude of the solar irradiance variability strongly depends on the wavelength making difficult its representation in climate models. Due to some deficiencies of the applied radiation codes several models fail to show a clear response in middle stratospheric heating rates to solar spectral irradiance variability, therefore it is important to prove a reasonable model performance in this respect before doing multiple model runs. In this work we evaluate the performance of three generations of ECHAM (4, 5 and 6) radiation schemes by comparison with the reference high resolution libRadtran code. We found that all original ECHAM solar radiation codes miss almost all solar signal in the heating rates in the mesosphere. In the stratosphere the 2-band ECHAM4 code (E4) has an almost negligible radiative response to solar irradiance changes, the 6-band ECHAM5 code (E5c) reproduces only about a half of the reference signal, while representation in the ECHAM6 code (E6) is better – it maximally misses about 15% in the upper stratosphere. On the basis of the comparison results we suggest necessary improvements of the ECHAM family codes by inclusion of available parameterizations of the heating rate due to absorption by oxygen (O₂) and ozone (O₃). Improvement is presented for E5c and E6, and both codes with the introduced

1 parameterizations represent the heating rate response to the spectral solar irradiance
2 variability simulated with libRadtran much better without substantial increase of computer
3 time. The suggested parameterizations are recommended to apply in the middle atmosphere
4 version of the ECHAM-5 and 6 models for the study of the solar irradiance influence on
5 climate.

6

7 **1. Introduction**

8 Although solar ultraviolet radiation (SUV) comprises only a couple of percent of the total
9 solar irradiance (TSI), it plays a crucial role, largely defining the structure of the middle
10 atmosphere. While the radiation in the visible (VIS) and infrared spectral ranges of the solar
11 spectrum propagates through the atmosphere without significant absorption, almost all solar
12 ultraviolet irradiance below 300 nm is absorbed by ozone and oxygen above the troposphere
13 and represents the main source of energy in these regions. Furthermore, the SUV is strongly
14 modulated by the solar rotational and 11-year solar cycles. Whereas the variability of TSI
15 during 11 year solar activity cycle is around 0.1%, SUV variations can be more than 10 times
16 higher. Moreover, recent measurements by the SORCE (SOLar Radiation and Climate
17 Experiment) suggest a SUV variability significantly higher than all previous estimates
18 (Ermolli et al., 2013 and references therein).

19 Changes in SUV irradiance lead to significant ozone, temperature, and zonal wind responses
20 in the stratosphere and mesosphere, which has been shown in many modeling and observation
21 data analysis studies (Hood and Soukharev, 2012; Austin et al., 2008; Gray et al., 2010; Haigh
22 et al., 2010; Shapiro et al., 2013). The SUV is not considered as a direct radiative forcing for
23 troposphere and surface, since it does not reach these altitudes, but there are indirect effects of
24 solar irradiance variability, which are communicated downward in the so-called “top-down”
25 mechanism: the modulation of stratospheric temperatures leads to dynamical feedbacks by
26 affecting the Brewer-Dobson circulation and hence the stratosphere-troposphere exchange,
27 resulting in decadal climate changes in the lower atmosphere (Solomon et al. 2007; Gray et
28 al., 2010; Ermolli et al. 2013).

29 A comprehensive study of the entangled possible effects of solar variability requires
30 chemistry-climate models (CCMs), the main instruments which are capable to take into
31 account many atmospheric chemical, dynamical and temperature feedbacks. To this end,
32 CCMs should contain a correct representation of the radiative transfer in the atmosphere.

1 Accurate codes for radiative transfer solution exist, e.g. LibRadtran (Mayer and Kylling,
2 2005), but they are too computationally expensive to be commonly used in global models.
3 Therefore, different parameterizations have been designed to provide a compromise between
4 accuracy and efficiency. Since most CCMs arise from global circulation models (GCMs),
5 which are primarily tropospheric models, their radiation schemes carefully treat the longwave
6 part of the spectrum, whereas the representation of the solar irradiance is coarse,
7 approximating the entire UV/VIS spectral range by 1 or 2 spectral bands and not considering
8 wavelengths shorter than ~250 nm. The evaluation of the radiation codes performed in the
9 framework of the SPARC CCMVal-2 project (Forster et al., 2011, SPARC CCMval, 2010)
10 have shown that only a few CCM radiation codes are capable of reproducing the magnitude
11 and vertical profile of heating rate differences between solar minimum and maximum, which
12 in turn directly depends on the treatment of the spectral resolution in the codes.

13 As was pointed out by Forster et al. (2011), a good representation of the solar signal can be
14 obtained by increasing the number of spectral intervals. However, such an approach implies
15 an increase of computational costs, which is a sensitive issue for already numerically
16 expensive global CCMs (Nissen et al., 2007, Kubin et al., 2011). Nissen et al. (2007) has
17 extended the 4-band scheme of Fouquart and Bonnel (1980) at model levels where the
18 pressure is less than 70 hPa by a 49-band parameterization FUBrad and found out that the
19 reduction of the FUBrad resolution to 6 bands results in a 20% loss of the solar variability
20 induced changes in heating rates. There was no information about the differences in the CPU
21 time taken by the parameterizations, however it is clear that this difference should be
22 sufficiently higher than 20%, since the resolution was decreased by roughly 8 times. Another
23 way is to apply parameterisations for the missed extra heating due to solar UV enhancement
24 based on Beer-Lambert law (Strobel, 1978; Nicolet, 1985; Zhu, 1994). This method has been
25 already used in MAECHAM-4 (Egorova et al., 2004) and CMAM (Fomichev et al., 2004) in
26 order to parameterize the solar signal in missing and/or underrepresented spectral intervals
27 and demonstrated good accuracy combined with very good efficiency. The most recent way to
28 obtain satisfying results even with a relatively small number of spectral intervals is to use a
29 completely different approach of incorporating non-gray gaseous absorption based on the so-
30 called “correlated k-distribution” method (e.g. Fu and Liou, 1992). This method exploits the
31 cumulative probability of the absorption coefficient in a spectral interval to replace
32 wavenumber as an independent variable. Such a code is a part of ECHAM6, but its

1 performance in respect to solar UV influence has not been checked which limits its
2 application for solar-climate studies.

3 In this paper we evaluate the performance of the ECHAM family radiation codes in
4 reproducing the heating rate response to SUV variability through the detailed comparison
5 with the reference libRadtran code. We demonstrate the weaknesses of the ECHAM family
6 solar radiation codes and suggest possible ways to improve their performance.

7

8 **2. Description of the original ECHAM solar radiation codes**

9 ECHAM is a family of atmospheric general circulation models developed by the Max Planck
10 Institute for Meteorology (MPI-M) in Hamburg, Germany. The original ECHAM model
11 branched from an early release of the ECMWF (European Center for Medium Range Weather
12 Forecasts) model to enable climate studies (Simmons et al., 1989). It covered only the lower
13 part of the atmosphere up to the 25-hPa level. Therefore, its solar radiation scheme (Fouquart
14 and Bonnel, 1980) inherited by ECHAM was quite crude with respect to the shortwave part of
15 spectrum, namely it had only one band covering the UV/VIS parts of the solar spectrum (250-
16 680 nm) and one band covering near infrared (NIR), considered only absorption by O₃ and
17 used TSI as input, i.e. change of the TSI was equally distributed among all spectral bands, and
18 high shortwave variability was missed. This scheme (E4 hereafter) had been used up to
19 ECHAM4 until the NIR part of this scheme was extended to 3 bands (Table 1) in ECHAM5
20 (E5 hereafter). The weakness of both this versions in representing the solar signal was
21 demonstrated several times in stand-alone form (Solomon et al., 2007; Forster et al., 2011)
22 and within CCMs (Egorova et al., 2004; Cagnazzo et al., 2007; Nissen et al., 2007): basically
23 it has an almost negligible radiative response to solar irradiance changes due to the lack of
24 wavelength dependence within the one broad UV/VIS band. Further E5 was also upgraded by
25 Cagnazzo et al. (2007) by extending the number of spectral intervals from 1 in UV/VIS to 3
26 with 2 covering the UV range and switching to spectral solar irradiance (SSI) as input (E5c
27 hereafter). This allowed reproducing about half of the reference heating rate differences
28 (Forster et al., 2011). However, this scheme still does not contain any O₂ absorption.

29 One of the main improvements of ECHAM6 compared to previous versions was the
30 adaptation of another solar radiation scheme, namely the Rapid Radiation Transfer model
31 optimized for general circulation modeling studies (E6 hereafter) (Stevens et al., 2013). This
32 scheme is ~10 times faster than previous schemes, it uses the correlated k-distribution

1 method, and solar irradiance is calculated over a prescribed number of pseudo wavelength or
2 g-points regarding to the absorbing features of certain wavelengths. Quadrature is performed
3 over 112 g-points in the shortwave part of the spectrum, which then are grouped to 14 bands
4 with 3 bands in UV (Table 1). The model has three UV spectral bands and considers oxygen
5 absorption. However, the lowest wavelength boundary is 200 nm (Iacono et al., 2008), so that
6 important features such as the solar Lyman- α (121.6 nm) line (LYA) and part of the
7 Schumann- Runge oxygen absorption bands (SRB) are not taken into account.

8

9 **3. Validation**

10 To demonstrate the capabilities of the original codes we performed calculations with stand-
11 alone versions of E4, E5c and E6 for the tropical standard atmosphere, with solar zenith angle
12 equal to 10° and for solar minimum and maximum conditions. We have not analysed E5
13 separately since it has the same single UV/VIS band as E4. To validate the original schemes
14 we compare all our calculations to the reference code LibRadtran (Mayer and Kylling, 2005),
15 which has shown high accuracy in a number of intercomparison studies. For the 120-440 nm
16 range LibRadtran considers more than 16000 wavelengths resolving in detail all relevant
17 spectral features. Figure 1 shows the input information that we used to simulate solar
18 variability: the solar irradiance changes, i.e. the relative difference between the irradiances
19 during solar maximum and minimum conditions, and resulting solar-induced ozone changes.
20 The irradiance spectrum for solar minimum and maximum conditions was calculated with
21 Code for Solar Irradiance (Shapiro et al. 2010) following the approach presented in Shapiro et
22 al. (2011). The solar minimum and maximum conditions correspond to sunspot numbers
23 equal 0 and 120 respectively. We note that the spectral profile of the solar irradiance
24 variability on the 11-year time scale yielded by the approach presented in Shapiro et al.
25 (2011) agrees well with other reconstructions (Ermolli et al., 2013). Figure 1 shows that the
26 solar irradiance variability is a very sophisticated function of wavelength. Resulting ozone
27 changes were estimated from a composite of observational data (Soukharev and Hood, 2006;
28 Austin et al. 2008; SPARC CCMVal, 2010).

29 Figure 2 illustrates the heating rates calculated by original E4, E5c and E6 schemes and by
30 LibRadtran for solar maximum conditions and heating rate differences between solar
31 maximum and minimum caused only by the solar irradiance changes. In terms of absolute
32 values E5c and E6 underestimate heating rates compared to LibRadtran up to 2 and 3.5 Kday⁻¹

1 ¹ correspondingly. This underestimation arises from 250–440 nm (E5) and 263–345 nm (E6)
2 models bands i.e. from Hartley (HAR) and Huggins (HUG) ozone absorption bands. E4
3 (yellow line) shows underestimation instead, which is consistent with Cagnazzo et al. (2007)
4 with respect to E5c. Cagnazzo et al. (2007) used another line-by-line model that was more
5 consistent with E5c in the upper stratosphere, what means that found overestimation regarding
6 to LibRadtran is comparable to the uncertainty range between line-by-line models. In the
7 mesosphere E4 and E5c underestimate absolute values up to 5 and 7 Kday⁻¹ since they do not
8 take into account any oxygen absorption. E6 considers absorption by oxygen and shows
9 adequate absolute values in the mesosphere.

10 In terms of heating rates response to SUV changes all schemes highly underestimate the solar
11 signal in the mesosphere. At these altitudes heating rates are significantly defined by oxygen
12 absorption in a highly variable LYA and SRB, which is completely missed in E4 and E5c and
13 only slightly covered in E6. In the upper stratosphere E5c and E6 first bands covering
14 Herzberg continuum and part of HAR are reproduced well. However, contribution from the
15 second bands containing HAR and HUG is noticeably underestimated causing the main
16 deviation from the reference model resulted in a total maximum 45 and 15 % deviation at 49
17 km for E5c and E6 correspondingly. E4 is able to reproduce only 7.5% of the signal at 49 km.
18 Results of E4 and E5c are in agreement with previous comparison studies (Forster et al.,
19 2011, SPARC CCMval, 2010). Underestimation of all schemes in HAR-HUG bands can be
20 explained by a high spectral inhomogeneity of the solar irradiance variability in these regions
21 (see Fig. 1), which is smoothed in integrated fluxes. Since the main disagreement appears in
22 this wavelength region, it should be paid by more attention in the future evolution of heating
23 rate parameterizations. In case if higher UV variability suggested by SORCE (Ermolli et al.,
24 2013) is correct, the absolute values of the missed solar signal in heating rates would be
25 respectively higher, providing more discrepancy to all feedbacks related to solar irradiance
26 changes.

27

28 **4. Implementation of the parameterizations**

29 We do not consider E4 further, because its upgraded version was already discussed in
30 Egorova et al (2004) and Forster et al. (2011) and currently it is not so widely used anymore
31 as E5c and E6. To improve the representation of the solar signal we have implemented the
32 parameterizations of the heating rates in the spectral regions, where we have found problems

1 in the previous section. All parameterizations use the same approach based on Strobel (1978),
 2 deriving heating rates H from the atmosphere transmissivity of O_2 and O_3 , using integrated
 3 fluxes of the solar radiation F as well as the ozone and oxygen number ($[O_2], [O_3]$) and
 4 column (N_2, N_3) density. For LYA we used the parameterization of Nicolet (1985)

$$5 \quad H_{lya} = [O_2]\sigma_{lya}F_{lya}T_{o_2,lya}, \quad (1)$$

6 where the mean LYA absorption cross-section $\sigma_{lya} = 1.725 \times 10^{-18}/N_2^{0.1175} \text{ cm}^2$ and
 7 transmissivity $T_{o_2,lya} = \exp(-2.115 \times 10^{18}N_2^{0.8855})$.

8 From Zhu (1994) we used for SRB

$$9 \quad H_{srb} = \frac{[O_2]x_{srb}F_{srb}}{\left(1 + \frac{4\sigma_{srb}}{\pi y_{srb}}N_2\right)^{\frac{1}{2}}} \exp\left\{-\frac{\pi y_{srb}}{2} \left[\left(1 + \frac{4\sigma_{srb}}{\pi y_{srb}}N_2\right)^{\frac{1}{2}} - 1\right]\right\}, \quad (2)$$

10 where $\sigma_{srb} = 2.07 \times 10^{-24} \text{ m}^2$, $x_{srb} = (N_{2,top}/N_2)^{0.3} \sigma_{srb}$ and $y_{srb} = 0.0152$.

11 And for HAR and HUG we used

$$12 \quad H_{har} = [O_3]\sigma_{har}F_{har} \exp(-\sigma_{har}N_3), \quad (3)$$

$$13 \quad H_{hug} =$$

$$14 \quad \frac{[O_3]}{MN_3} \{F_{1,hug} + (F_{2,hug} - F_{1,hug})\} \exp\left(-\sigma_{hug}N_3 \exp(-M\lambda_{long}) -\right.
 15 \quad \left.F_{2,hug} \exp(-\sigma_{hug}N_3 \exp(-M\lambda_{short}))\right), \quad (4)$$

16 where $M = 0.01273 \text{ \AA}^{-1}$, $(\lambda_{short}, \lambda_{long}) = (2805, 3015) \text{ \AA}$, $(\sigma_{har}, \sigma_{hug}) = (8.7 \times$
 17 $10^{-22}, 1.15 \times 10^{-6}) \text{ m}^2$ and $F_{1,hug}$ and $F_{2,hug}$ are the integrated solar fluxes in the 280.5-
 18 305.5 and 305.5-360 nm ranges.

19 First, we have performed separate tests of these parameterizations which have shown that the
 20 parameterizations for HAR and HUG are in a good agreement with libRadtran. However, for
 21 LYA and SRB according to the test results we have changed σ_{lya} and added altitude
 22 dependent x_{srb} . Results of these tests are presented in Fig. 3. Then, since we use
 23 parameterizations to restore only a part of the heating rates variability, we have calculated
 24 scaling coefficients for each of the applied parameterizations separately for E5c and E6 (table
 25 2) and implemented them to the original ECHAM codes. Since E5c does not have original
 26 absorption by oxygen and therefore underestimates the absolute values in the mesosphere, the

1 heating parameterizations for LYA and SRB have been added to the original scheme using the
2 full flux integrated within specific band in order to improve the scheme in respect to the
3 calculation of the absolute heating rates. However to avoid an overestimation in the upper
4 stratosphere, related to the fact that the original codes partially treat O₃ absorption in the
5 Hartley and Huggins bands, we recommend to use not the full flux, but the difference
6 between solar minimum and maximum. The same should be done for LYA and SRB in E6 to
7 avoid an overestimation in the mesosphere, since the absolute values in the mesosphere are
8 already reproduced well. In global models this can be done choosing the year with the lowest
9 SSI in which all extra heating will be equal to zero, and then for calculations in all other years
10 one should use the SSI difference from this “grand minimum” year.

11

12 **4.1 Changing UV**

13 Figure 4 shows the improvement of the original schemes performance due to the implemented
14 parameterizations of O₂ and O₃ absorption calculated under changing UV and constant ozone
15 conditions for tropical standard atmosphere and solar zenith angle equal to 10°. The
16 implemented parameterizations of O₂ absorption allowed us to get very good agreement in
17 solar variability induced heating rate changes with the reference model in the mesosphere,
18 while the implemented parameterizations of O₃ absorption resulted in a very good agreement
19 in the stratosphere. These parameterizations take negligible computer time compared to the
20 time taken by radiation schemes and another advantage is that the inclusion of these
21 parameterizations does not introduce any additional deviation to the absolute values of the
22 heating rates compared to LibRadtran but only makes the difference between LibRadtran and
23 E5c and E6 constant in time. The mean difference over the whole modelling time will be
24 greater with extra heating than without extra heating, however the second one is less only
25 because of the bad representation of the solar signal, and the first one will be equal to the
26 difference in the “grand minimum” and will be constant in time. Therefore implementation of
27 the proposed parameterizations does not require any retuning of the original codes.

28 Results of calculations with 4 other different atmosphere models (midlatitude summer,
29 midlatitude winter, subarctic summer, subarctic winter (McClatchey et. al., 1972)) and 3 solar
30 zenith angles (10°, 40°, 70°) presented in Fig. 5 have shown that the parameterizations work
31 good for all conditions, and the applied scaling coefficients do not strongly depend on the
32 position of the Sun and latitude and can be used in models with high confidence. It should be

1 noted that for other radiation schemes and other SSI data sets these coefficients will differ and
2 have to be carefully calculated regarding to the specific features of each scheme.

3

4 **4.2 Changing ozone.**

5 For the previous calculations we have used only changing UV fluxes with a constant ozone
6 profile, but ozone profile is modulated by solar irradiance changes and these two features are
7 closely related. To check the parameterization applicability taking into account the ozone
8 feedback we have also calculated the heating rate response to the solar induced ozone changes
9 keeping the UV fluxes unchanged. Results of these calculations are shown in Fig. 6. In this
10 case the original codes work well, and since we use irradiance differences to calculate extra
11 heating, we do not affect heating rates by ozone changes, because extra-heating rates in this
12 case are equal to zero. The total heating rate (UV + ozone) also looks good compared to the
13 reference model.

14

15 **5. Conclusions**

16 We have evaluated the performance of the ECHAM4, 6-band ECHAM5 and ECHAM6
17 radiation codes in the representation of the solar UV variability induced changes in the
18 heating rates. All schemes have shown high underestimation in the mesosphere. In the
19 stratosphere ECHAM4 code is able to reproduce only 7.5% of the reference solar signal,
20 while 6-band ECHAM5 code misses 45% and ECHAM6 code misses about 15%. We
21 suggested an accurate method to correct the revealed problems by the implementation of
22 parameterizations of extra heating due to oxygen and ozone absorption. This approach was
23 implemented to the 6-band ECHAM5 and ECHAM6 schemes and allowed us to get very
24 good agreement with the reference model in the representation of the solar signal in the
25 mesosphere and stratosphere without significant increase of computational time. This method
26 does not require tuning of the original codes, but it only provides the solar induced addition to
27 original heating rates. Therefore this method is suitable for any other radiation scheme to
28 correct the solar signal in heating rates due to missing or underrepresented spectral intervals.
29 It should be noted that the coefficients of the parameterizations should be re-evaluated
30 regarding to the features of any particular scheme.

31

1 **Acknowledgments**

2 This research was funded in part by the Swiss National Science Foundation under grant
3 agreements 200020 140573, and CRSI122-130642 (FUPSOL). Fruitful discussions inside
4 COST Action ES1005 TOSCA (<http://www.tosca-cost.eu>) community are much appreciated.

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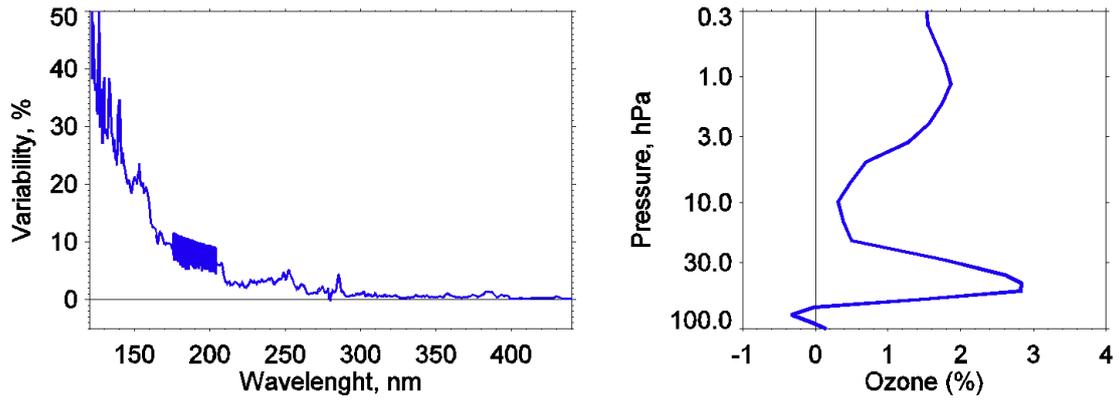
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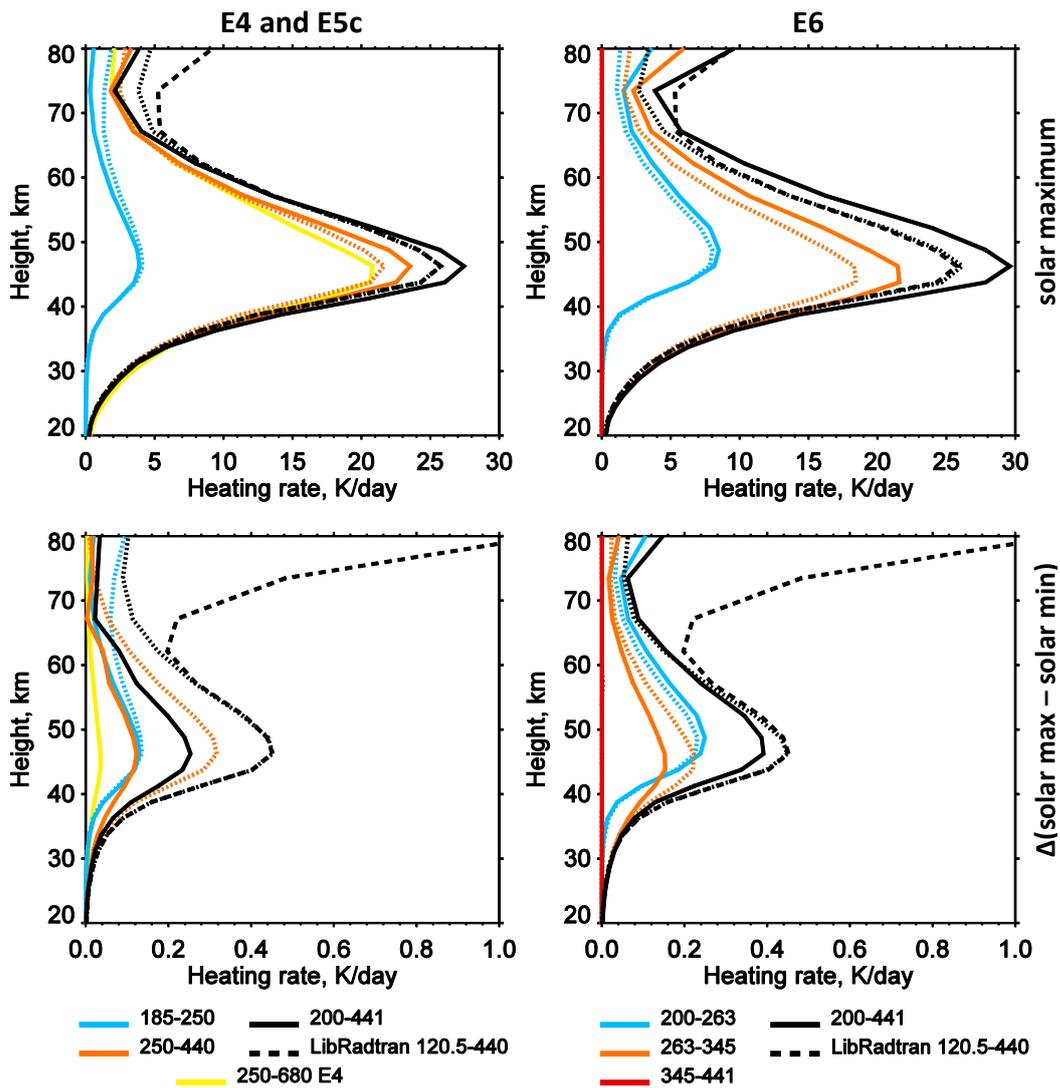


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3 Fig. 1. Variability of solar irradiance in the 120-440 nm wavelength range calculated by COSI
4 (left) and resulting ozone response from a composite of observational data from Soukharev
5 and Hood (2006) and Austin et al. (2008) (right).

6



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3 Fig. 2. Shortwave heating rates in Kday^{-1} for tropical standard atmosphere and solar zenith
 4 angle equal to 10° calculated by E5c nad E4 (left pictures) and E6 (right pictures). Top
 5 panels: absolute values during solar maximum. Bottom panels: differences between minimum
 6 and maximum (max-min) of the 11-year solar cycle. Solid lines: ECHAM results. Dotted
 7 lines: LibRadtran results for the same spectral intervals. Different spectral intervals are
 8 designated by colours, yellow line – E4 250–680 band. Black dashed line: LibRadtran results
 9 for 120-440 nm (i.e. including shortest wavelengths > 120 nm).

10

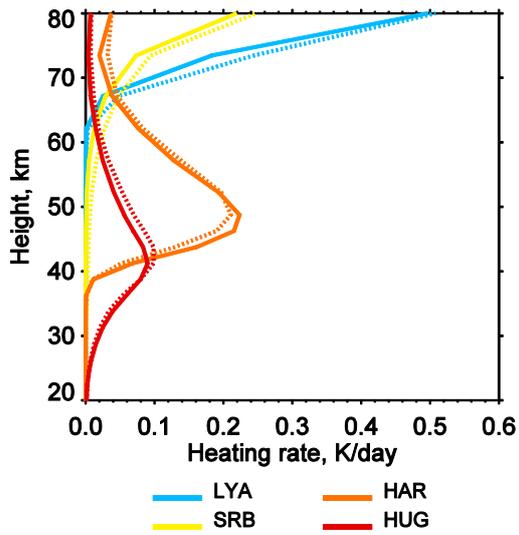


Fig. 3. Shortwave heating rate differences of the 11-year solar cycle (solar max minus solar min) in Kday^{-1} for tropical standard atmosphere and solar zenith angle equal to 10° calculated by extra heating parameterizations and LibRadtran. Solid lines: results of parameterizations. Dotted lines: LibRadtran results for the same spectral intervals (table 1).

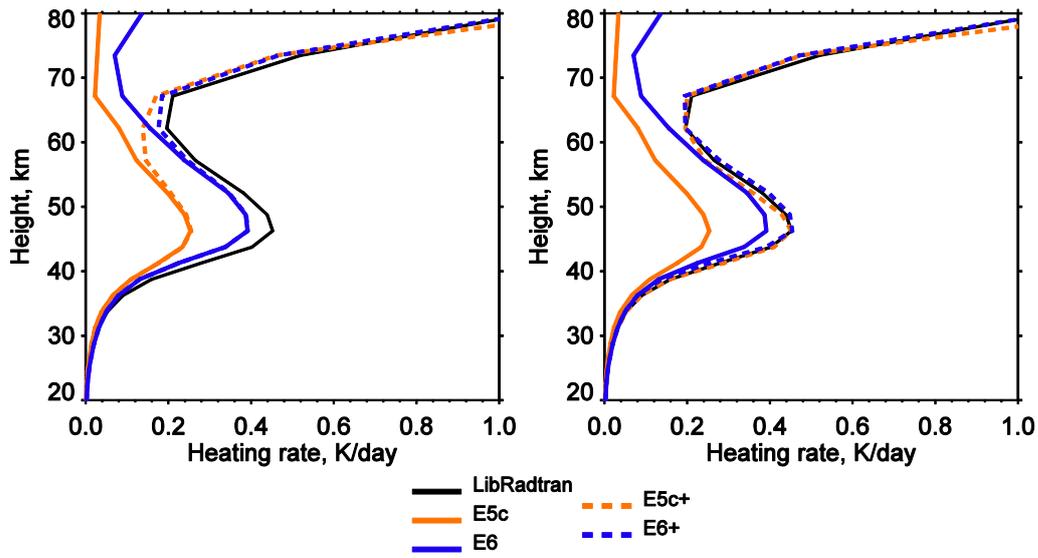


Fig. 4. Shortwave heating rate differences of the 11-year solar cycle (solar max minus solar min) in Kday^{-1} for tropical standard atmosphere and solar zenith angle equal to 10° in case of UV only variability and constant ozone profile. Coloured solid lines: results from original codes. Black solid line: LibRadtran results for reference. Dashed lines: results from improved parameterizations. Left panel: improvement due to implementation of O_2 absorption parameterization only. Right panel: O_2 and O_3 absorption parameterization.

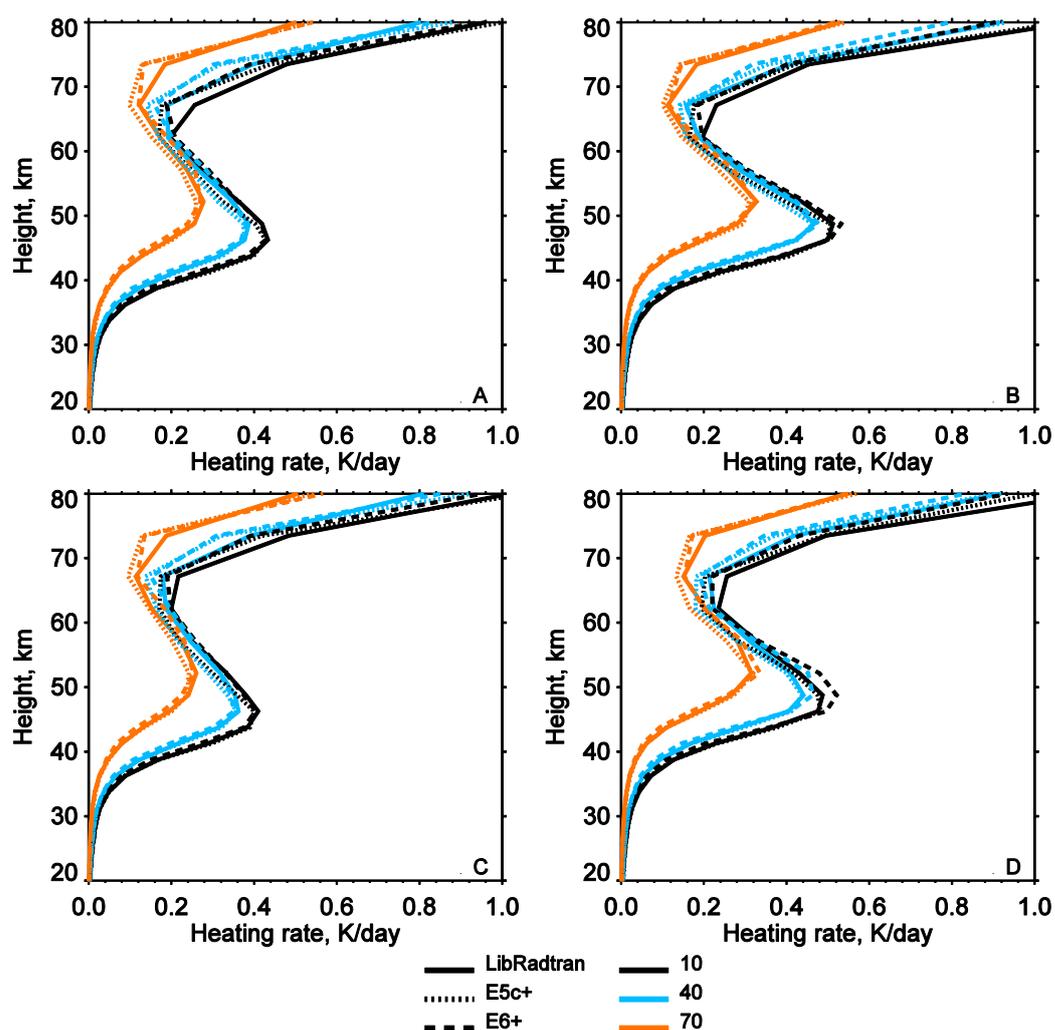
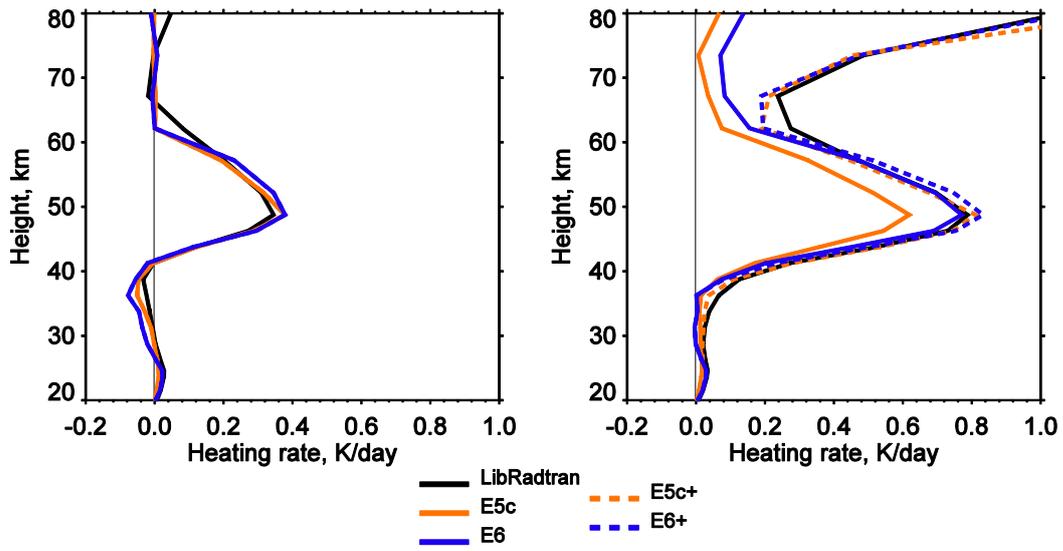


Fig. 5. Shortwave heating rate differences (solar max minus solar min) of the 11-year solar cycle in Kday^{-1} for 4 standard atmospheres: A - midlatitude summer, B - midlatitude winter, C - subarctic summer, D - subarctic winter. Solid lines: LibRadtran. Dashed lines: E6+ (E6 including corrections to 120 nm). Dotted lines: E5c+. Colours: different solar zenith angles (black 10° , blue 40° , orange 70°).



1

2

3 Fig. 6. Shortwave heating rate differences (solar max minus solar min) of the 11-year solar
 4 cycle in Kday^{-1} for tropical standard atmosphere and solar zenith angle equal to 10° . Left
 5 panel: including only ozone changes. Right panel: UV + ozone changes. Original codes
 6 results are denoted by solid lines, improved codes results – by dashed lines.

7

1 Table 1. ECHAM radiation schemes spectral intervals and main absorbers in the UV part of
 2 spectrum.

Scheme	E4	E5	E5c	E6	
Main absorbers in the UV	O ₃	O ₃	O ₃	O ₂ , O ₃	
Wavelength bands	250 – 680	185 – 250	185 – 250	200 – 263	1298 – 1626
	680 - 4000	690 – 1190	250 – 440	263 – 345	1626 – 1942
		1190 - 2380	440 - 690	345 – 441	1942 - 2151
	2380 - 4000	690 - 1190	441 – 625	2151 – 2500	
			1190 - 2380	625 – 778	2500 – 3077
			2380 - 4000	778 – 1242	3077 – 3846
				1242 – 1298	3846 - 12195

3

1 Table 2. Wavelength intervals and scaling coefficients of the extra heating parameterizations.

Parameterization	Wavelength interval (nm)	Scaling coefficients	
		E5c	E6
LYA	121.0 – 122.0	0.5	1.2
SRB	175.0 – 205.0	3.5	1.0
HAR	250.0 – 280.0	0.7	0.25
HUG	280.5 – 360.0	0.3	0.0

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