

In this response to reviewer comments, the reviewer comments are italicized, and our responses are not.

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#### GENERAL COMMENTS

*Cloud and precipitation related microphysical variables feature a lot of variability within areas corresponding to grid cells of large-scale atmospheric models. Generally, both cloud microphysical processes and radiative transfer depend non-linearly on these microphysical properties, which implies that if mean values over GCM grid cells are used as the sole input to the microphysical calculations, the corresponding grid-cell mean process rates will be biased. To account for the variations in microphysical properties, upscaling methods have been devised. One (and in most cases most straightforward) approach is Monte Carlo integration, in which the process rates are computed for subcolumns and then averaged over the grid cell.*

*This manuscript introduces a new method (SILHS) for generating subcolumns inside a large-scale model grid column, and reports its tests for a couple of case studies in the single-column model framework. A key feature of this method is that it generates multivariate samples, and can thus properly account for correlations between different variables, as well as vertical overlap. The approach is described in a logical manner, although not in such detail that the model could be reproduced based on this manuscript only. However, I do not consider this a problem, since the authors' model is freely available for downloading.*

*The SILHS approach is potentially very useful, and the paper is mostly well written. In addition to several minor comments mainly directed towards improving the clarity, I have one suggestion for extending the paper (which is, in part, inspired by Peter Caldwell's minor comment 17: "... I expect that the massive noise injection from this would outweigh the benefit of subgrid sampling"). This comment is listed first below, while other comments follow it in the order they appear in the manuscript.*

Thank you for your review.

#### SPECIFIC COMMENTS

*1. As noted above, the main benefit of the proposed approach is that it can handle subgrid-scale variability of several variables and their correlations, along with vertical overlap. On the other hand, the disadvantage of the approach (like all approaches based on Monte Carlo sampling) is that the results contain random sampling noise, which is quite substantial for individual time steps, especially for the (computationally*

most attractive) *lh2\_int* approach.

For comparison, typical GCM microphysics parameterizations distinguish between the cloudy and cloud-free part of each layer, but assume both to be homogeneous. Thus, in-cloud mean values of cloud water and other microphysical variables are used, with the potential for biases in microphysical process rates, due to their non-linear nature.

On the other hand, there is no sampling noise.

Therefore, I suggest that the random variations be evaluated a bit more comprehensively, and their effect be put in the perspective by contrasting them with errors associated with the neglect of cloud subgrid-scale variability (i.e., the typical GCM approach).

First, this could be done in an offline mode: use the analytic (exact) solution for driving the SCM, and perform diagnostic computations for (i) full SILHS (several, e.g. 10 realizations for quantifying the variability); doing this for the *lh\_int2* version might be sufficient; (ii) a GCM-type approach where subgrid-scale variations are neglected (for ease of interpretation, you might still use the same overlap assumptions).

The most useful quantity for the analysis might be surface precipitation rate: How does the random error in precipitation (e.g., the std. dev.) compare with the systematic error associated with neglecting subgrid-scale variability. For instantaneous values, and for integrals over time?

Second, the test could be repeated "on-line", so that each approach is used to drive the model. If the outcome is like I would expect, for short periods SILHS's random errors would dominate over the bias due to neglecting subgrid variations in the GCM-type approach, but when model integration is extended, the situation \*\* might \*\* be reversed (e.g., for accumulated precipitation) – which is to be tested here. Here, in addition to precipitation, LWP would be another interesting quantity.

We have performed an online test that overwrites the sampled values of cloud water with the within-cloud average values. For convenience, we repeat our response to Peter Caldwell's comment on this topic, namely, it is infeasible for SILHS to replicate how a typical climate model handles subgrid microphysics. One feasible modification to SILHS, however, is to choose all samples as usual, except choose the average value of cloud water and/or rain in a deterministic way, rather than sampling from the full distribution. The results are shown in a figure in the revised manuscript. The upshot is that treating liquid deterministically still leads to sampling noise in the rain, and treating both liquid and rain deterministically underpredicts rain. The underprediction in rain is understandable, given that accretion is boosted by positive covariance between rain and cloud water, and if only the mean of rain is kept, the covariance is zero.

2. p. 2138, line 9: please explain what you actually mean by "non-intrusive".

The new manuscript omits the term "non-intrusive" in the abstract and instead writes "The subcolumn methodology requires little change to the parameterization source code and can be used with a wide variety of physical parameterizations." The term "non-intrusive" is defined in

the body of the manuscript.

3. p. 2140-2141: *While the literature review in the Introduction appears comprehensive, the copula approach (Norris et al., Quart. J. Roy. Meteor. Soc., 134, 1843-1864) should be mentioned. Like SILHS, this approach can handle correlations between two or more variables, although it appears relatively complex.*

Thanks. This reference has now been cited.

4. p. 2143; line 9: *"horizontal correlations" was not immediately clear to me. Horizontal correlation between the variates?*

The revised manuscript states "horizontal (i.e. within grid box) correlations between variates."

5. p. 2146, line 3: *For completeness, show also the formula for the normal mixture marginals.*

We've now included the formula for a normal mixture.

6. p. 2148, line 5: *"..an uncorrelated multivariate sample." I found this puzzling when I first read the manuscript. It would help to add a note like "the correlations between the different variates are handled below (point 4)".*

"(The uncorrelated samples are transformed to correlated ones in task 4 below.)"

7. p. 2147, line 9: *suggestion "the following five tasks".*

The manuscript now reads: "The procedure by which SILHS generates subcolumns can be divided into the following five tasks:"

8. p. 2150, line 27: *How are the sample points chosen when the cloud fraction is larger than 0.5?*

The manuscript now reads "In particular, if liquid cloud fraction exceeds 0.5, then SILHS does not sample preferentially within cloud, but if liquid cloud fraction lies between 0.001 and 0.5, then SILHS chooses an equal number of sample points within liquid cloud (i.e.~where  $s > 0$ ) and outside of liquid cloud (i.e.~where  $s < 0$ ) for each mixture component."

9. p. 2150, Eq.(3): *The variable name "vert\_corr\_coef" is slightly misleading, because with increasing "vert\_corr\_coef", "vert\_corr" becomes smaller. Why not e.g. "vert\_decorr\_coef"?*

"vert\_corr\_coef" has been renamed "vert\_decorr\_coef".

10. A follow-up comment: It could be worth stating explicitly that based on Eq. (3), the vertical correlations are the same for all variates. (This is not an unreasonable approximation as such, but not always true: e.g., precipitating hydrometeors tend to be closer to maximum overlap than cloud droplets).

We've added a sentence: "For simplicity, each variate uses the same value of  $\text{vert\_corr}$ , even though in nature the vertical correlations of all quantities may not necessarily be equal."

11. p. 2151, lines 12-15: This is rather confusing. Try to reformulate? E.g., "Note that SILHS imposes (influences?) directly only the vertical correlation of uniformly distributed points. Although the vertical correlations for variates in the normal mixture/lognormal distributions are related to those of uniformly distributed points, they are generally not equal".

The revised manuscript states: "Note that SILHS directly influences only the vertical correlation of uniformly distributed points and not the normal mixture/lognormal points. Although the vertical correlations of the normal mixture/lognormal points are related to those of uniformly distributed points, they are usually not equal."

12. p. 2155, lines 11-13: You use 2-64 samples per grid box and time step, but do you also use optimization of the set of samples over time steps? Larson et al. (2005) generated  $n_t$  samples, and used  $n$  of them at each time step, where  $n$  could be less than  $n_t$ . Are  $n$  and  $n_t$  the same (or different) here?

$n$  and  $n_t$  are the same in all simulations here.

13. A follow-up on the previous one: how would the random noise for standard Monte Carlo sampling compare with the Latin Hypercube sampling? It was demonstrated by Larson et al. (2005) that LHS reduces the sampling noise for time-averaged quantities, when compared to standard MC sampling (their Table 1) but not for individual time steps. That test, however, considered a simpler system (autoconversion only, overlap not considered).

This question could be addressed by adding one more case to the tests suggested in the first comment: standard Monte Carlo sampling without LHS (although, you might still keep the importance sampling).

It is not trivial in SILHS to revert to standard Monte Carlo sampling, but we would expect somewhat slower convergence on theoretical grounds (i.e. an asymptotic convergence rate of  $1/\sqrt{n}$  for Monte Carlo vs. a faster rate for Latin hypercube (e.g., Owen 2003)).

14. p. 2156, line 9: Why is the convergence of rain water mixing ratio so slow for the RICO case? Is this because rain is generated mainly in the upper part of the

*cloud (above 1 km) with very small cloud fraction, while your "importance sampling" presumably targets the layer with more cloud at 600-700m?*

The importance sampling targets the maximum in liquid water (not cloud fraction), which is at 1700 m. The rain profile peaks at 1200 m, which is not distant.

The biggest reason for the slower convergence in RICO than DYCOMS-II RF02 is that RICO, being a cumulus case, is much more inhomogeneous. In DYCOMS, even ignoring all subgrid variability doesn't lead to an unusable answer (see Griffin and Larson 2013).

*15. p. 2157, line 20: You should state explicitly that the timing estimates in this paragraph and Table 1 refer to the most "computer-friendly" case, with only two subcolumns. For a larger number of subcolumns, the cost is higher.*

The manuscript now writes "In SILHS' cheapest available configuration, which generates two sample points per grid box and time step, the computational cost of SILHS is almost as large as the cost of CLUBB".

*16. p. 2160, lines 25-. This is a good point to mention, and I think this argument has been made before in connection to McICA. Still, while the motivation for adding noise in ensemble forecasting is pragmatic (and the methods sometimes ad-hoc), its physical justification is that in the real world, tendencies of atmospheric variables are not determined solely by the grid-mean values, as in physical parameterizations. I think it would be just to say that there is no particular reason to believe that the nature of sampling noise introduced by SILHS would properly represent the uncertainty associated with the physical parameterization.*

We've added the sentence "That being said, there is no reason to suppose that the noise produced by SILHS represents model error."

*17. Figs 1-2. Consider adding graphs of accumulated rainfall at surface (i.e., integrals from  $t=0$  to each point in time). It would be very interesting to see how this compares between the different experiments.*

Time series of surface rainfall rate have been included in the manuscript. These contain the same information, albeit in different form, as accumulated rainfall.

*18. Caption of Fig. 7: Trivially, sudden jumps also occur for random overlap!*

We now add "(For random overlap, even if the component remains the same, jumps can occur due to random noise.)"

**TECHNICAL CORRECTIONS:**

*1. Figs. 1-4. Consider using thinner lines. Now, the curves cannot be distinguished properly (especially the analytic solution is invisible in most of the plots).*

Figures 1--4 have been re-plotted with thinner lines.

*2. Fig. 6: The second panel on the right (and the font in its title) is visibly smaller than the others.*

This has been fixed in the revised version.